

Paper Title: Thermal characterization of full-scale PCM products and numerical simulations, including hysteresis, to evaluate energy impacts in an envelope application

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1 ABSTRACT

2 This article presents combined measurements of fatty acid-based organic PCM products and
3 numerical simulations to evaluate the energy benefits of adding a PCM layer to an exterior wall.
4 The thermal storage characteristics of the PCM were measured using a heat flow meter apparatus
5 (HFMA). The PCM characterization is based on a recent ASTM International standard test
6 method, ASTM C1784. The PCM samples were subjected to step changes in temperature and
7 allowed to stabilize at each temperature. By measuring the heat absorbed or released by the
8 PCM, the temperature-dependent enthalpy functions for melting and freezing were determined.

9 The simulations were done using a previously-validated two-dimensional (2D) wall model
10 containing a PCM layer and incorporating the HFMA-measured enthalpy functions. The wall
11 model was modified to include the hysteresis phenomenon observed in PCMs, which is reflected
12 in different melting and freezing temperatures of the PCM. Simulations were done with a single
13 enthalpy curve based on the PCM melting tests, both melting and freezing enthalpy curves, and
14 with different degrees of hysteresis between the melting and freezing curves. Significant
15 differences were observed between the thermal performances of the modeled wall with the PCM
16 layer under the different scenarios.

17

18 **Keywords:** PCM characterization; heat flow meter apparatus; ASTM C1784; PCM hysteresis
19 modeling; 2D wall model with PCM

20

21 **NOMENCLATURE**

22	Bi	Biot number
23	C_p	Specific heat (J/kg/K)
24	F	View factor
25	H	Enthalpy (J/g)
26	h	Convective heat transfer coefficient (W/m ² /K)
27	k	Thermal conductivity (W/m/K)
28	N	Number of readings in a HFMA block
29	Q	Energy released/absorbed per unit area by the PCM (J/m ²)
30	q	Heat flux (W/m ²)
31	q_{solar}	Solar irradiance (W/m ²)
32	R	Radius of tube used in T-history method (m)
33	S	HFMA plate calibration factor ((W/m ²)/mV)
34	T	Temperature (K)
35	V	Voltage signal from HFMA plates (mV)
36	x	Thickness (m)
37		
38	ΔH	Step-wise enthalpy change (J/g)
39	ΔT	Temperature step change in HFMA plates (K)
40	δx	HFMA plate thickness (m)
41	α	Solar absorptance
42	β	Term used in view factor calculation
43	ε	Infrared emittance
44	ϕ	Inclination of the modeled wall with the ground
45	ρ	Density (kg/m ³)
46	τ	Time period of each HFMA reading (1.3 s)
47		

48 **Subscripts:**

49	$equi.$	Equilibrium condition of the HFMA
50	ext	Exterior
51	int	Interior
52	L	Lower plate of the HFMA
53	l	Fully molten state of PCM
54	s	Fully frozen state of PCM
55	U	Upper plate of the HFMA
56		

57 **Abbreviations:**

58	COP	Coefficient of performance
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59	HFMA	Heat flow meter apparatus
60	LWR	Long wave radiation
61	OSB	Oriented strand board
62	PCM	Phase change material
63	TMY	Typical meteorological year
64		

65 **1. INTRODUCTION**

66 Phase change materials have garnered a lot of attention for applications in building envelopes
67 for energy storage, reducing cooling loads and peak load shifting, and improving comfort
68 conditions [1-3]. In order to accurately evaluate the performance of a PCM-based system, the
69 knowledge of the main thermophysical properties of the selected PCM is important [4-6]. The
70 thermal storage characteristics of a PCM are well-defined via four parameters, the specific heats
71 in the solid and molten phase, melting temperature and the phase change enthalpy [7]. However,
72 most PCMs exhibit a melting range instead of a single melting temperature, in which case the
73 shape of the enthalpy curve as a function of temperature [$H(T)$] describes the material with much
74 better precision [7].

75 Differential scanning calorimetry (DSC) is one of the most-commonly used methods for
76 determining the enthalpy function of PCMs and its application to PCMs has been described in
77 the literature [7, 8]. The DSC method assumes isothermal conditions within the test sample
78 (PCM) and this requirement limits the samples to very small sizes (1-10 mg), which may result
79 in the thermophysical properties of the test sample being different from those of the bulk
80 material [4]. High heating and cooling rates can lead to temperature gradients within the PCM
81 test sample and lead to measurement artifacts, such as the heating and cooling enthalpy curves
82 being systematically shifted to higher and lower temperatures, respectively [7, 8].

83 Yinping et al. [4] introduced the T-history method for PCM characterization. In their method,
84 the authors started with a tube containing a liquid PCM maintained at a temperature above its
85 melting point and another with water at the same initial temperature, followed by exposing both
86 samples to an ambient temperature lower than the phase change range of the PCM and
87 measuring the temperature evolution of both samples. Using the known properties of water (or

88 another standard material) and the test tube, the specific heats (solid and liquid) and the latent
89 heat of the PCM could be determined [4]. Over the years, several researchers have proposed
90 modifications and improvements to the T-history method [9-11]. The T-history method is
91 purported to be a more suitable method for PCM characterization than DSC as it allows analysis
92 of larger samples (15 g) with simpler equipment and in less time [12].

93 However, even with the T-history method the samples are limited in size and shape
94 compared to what might be expected with PCM products for building envelopes. T-history
95 method is based on the lumped capacitance method, i.e. the temperature distribution in the
96 sample is assumed to be uniform, which is reasonable if the Biot number ($Bi = hR/2k$) is less
97 than 0.1; where 'R' is the radius of a tube, 'k' the thermal conductivity of the PCM and 'h' the
98 natural convective heat-transfer coefficient of air outside the tube [4]. To ensure the validity of
99 the lumped capacitance approach, typically test tubes of a small diameter are used as containers
100 for the PCM [13].

101 Recently, a new ASTM International standard called ASTM C1784 [14] has been established
102 to enable measurements of PCMs as well as inhomogeneous building products containing PCMs.
103 Several different forms of PCM-based building products have been reported in the literature,
104 including shape-stabilized PCM sheets [15], PCM wallboards [16-18], PCM mixed in
105 concrete and brick [19, 20], PCM-impregnated insulation materials [21-23], macro-packaged
106 PCM in plastic pouches [24], and products with nano-PCM composites [25]. ASTM C1784 is
107 based on a modified application of a heat flow meter apparatus (HFMA), which was originally
108 designed according to the requirements of ASTM C518 [26] to measure steady-state thermal
109 conductivity of materials. Shukla and Kosny [27] reported test data from several PCM-integrated
110 products based on the new test method. The authors noted that the dynamic thermal properties of

111 PCM-integrated building products are dependent on parameters such as the fraction of PCM within
112 the product as well as specific heat and thermal conductivity of all the components [27]; the
113 additional components might be packaging and additives such as fire retardants, conductivity
114 enhancers, etc. Further, the properties of the PCM itself may change due to surrounding materials
115 and introduction of foreign materials. Therefore, the properties of PCM- integrated components
116 may be significantly different than ones derived from the pure PCM [27]. Kim et al. [15] used a
117 variation of the heat flow meter method to measure specific heats of PCM sheets in a thermostatic
118 chamber. The chamber temperature was raised or lowered by 1°C every 30 minutes over the range
119 of the PCM transition temperatures, with 4 hours of stabilization period between heating and
120 cooling tests. The specific heats were calculated using the measured heat flows and rate of change
121 of temperature [15]. PCM products that have been tested using heat flow meters include PCM-
122 enhanced gypsum board, PCM-aerogel composite, shape-stabilized PCM sheet, and PCM-
123 enhanced blown cellulose [15, 27].

124 Regarding numerical modeling of building envelopes with PCMs, AL-Saadi and Zhai [28]
125 reviewed the literature and classified the models into three categories based on their level of
126 complexity: simple, intermediate and sophisticated models. The authors compared the different
127 models in terms of their advantages and disadvantages regarding simulation capabilities for
128 complex systems and computational efficiency. The authors noted that many existing models
129 ignore hysteresis and subcooling that are inherent in some PCMs and cannot be used for annual
130 simulations of building thermal performance with PCMs [28]. Bony and Citherlet [29] defined
131 hysteresis as a delay in phase change while cooling, i.e. the freezing begins at a lower
132 temperature than the end of the melting phase. Paraffinic PCMs exhibit very little hysteresis
133 (~1°C or less) [8, 18], while organic bio-based PCMs and inorganic salt hydrates can exhibit

134 hysteresis of 5-13°C [24, 30].

135 Kuznik and Virgone [31] showed the need to model thermophysical property curves of both
136 melting and freezing to account for hysteresis. The authors used specific heats (C_p) from melting
137 and freezing curves in separate models and compared the results against experimental data from
138 heating and cooling steps. The model with the melting ' C_p ' yielded good match with melting step
139 data and freezing curve-based model matched well with cooling step data, but not vice-versa
140 [31]. Gowreesunker and Tassou [32] utilized varying enthalpy–temperature relationships during
141 melting and freezing in a computational fluid dynamics (CFD) model to study the effects of
142 PCM clay boards on the control of indoor environments. When validated against experimental
143 measurements of indoor temperatures, the model utilizing the separate melting and freezing
144 enthalpy functions showed better simulation accuracy than a model using a single, idealized
145 linear enthalpy function [32].

146 Researchers have used different methods of incorporating separate enthalpy curves for
147 melting and freezing in models, specifically the treatment of interrupted melting or freezing. In
148 other words, what if the PCM is subjected to heating while its state is defined by the freezing
149 curve or vice-versa? One method is to assume enthalpy transitions from one curve to the other at
150 a slope equal to the slope of the enthalpy curve in the solid phase [29, 33] or a horizontal
151 transition between the curves [34]. Another method is to assume no transitions, i.e. the PCM
152 state remains on the same curve whether heating or cooling and only transitions to the other
153 curve once the melting end temperature has been exceeded (melting to freezing curve transition)
154 or if the temperature falls below the freezing end temperature (freezing to melting curve
155 transition) [33, 35].

156 The current work presents measurements of melting and freezing enthalpy curves of two

157 PCMs using the HFMA and estimations of annual energy performance using a two-dimensional
158 (2D) model of a PCM-enhanced wall system. To the authors' knowledge, this is the first study that
159 combines measurements of full-scale PCM products with annual energy simulations using a 2D
160 wall model incorporating the hysteresis in PCMs. The current model is based on an existing wall
161 model that was validated against data from experiments on a full-scale wall system with a PCM
162 wallboard and then used to calculate the annual energy impacts of the PCM wallboard [18]. The
163 existing model was modified to include an 8.3 mm layer of PCM (instead of the PCM wallboard)
164 and to incorporate the PCM hysteresis. Interrupted heating and cooling cycles were treated without
165 instantaneous transitions from one curve to the other, following [33, 35].

166 **2. PCM THERMAL CHARACTERIZATION USING HFMA**

167 **2.1. Description of HFMA**

168 Figure 1 shows a heat flow meter apparatus (HFMA). The HFMA used in this study is one of
169 the Fox 300 models, similar to the current Fox 314 from TA Instruments [36]. The apparatus
170 consists of upper and lower plates, which sandwich the test specimen. Each plate is outfitted with
171 a solid state heating and cooling system and the plate temperatures can be independently
172 controlled to induce a heat flow in either upward or downward direction through the specimen.
173 Thin-film heat flux transducers (HFTs), of dimensions 7.6 x 7.6 cm and thickness 1.78 mm, are
174 permanently bonded to the upper and lower plate surfaces. Each plate contains one HFT installed
175 at the center of the plate. In the center of the each transducer, a Type E thermocouple is bonded
176 near its surface, close to the test specimen. These thermocouples accurately measure the

177 specimen surface temperatures and are also used to control the plate temperatures.

178 During tests, a set of data is taken once every 1.3 seconds. Each set of data includes the upper
179 and lower plate temperatures and heat flux transducer outputs. 512 consecutive sets of data are
180 organized in one block and are averaged to yield the mean plate temperatures and heat fluxes.

181 The following thermal equilibrium criteria need to be met for a test to be considered complete:

- 182 1. The block average temperature of each plate must be within 0.2K of the previous block.
- 183 2. The difference between the average HFT voltages from successive blocks must be within
184 a certain absolute value (typically 50 mV) and within 2% of the earlier block average.

185 An additional criterion for test completion is the absence of any monotonic trends in the data.

186 Once a certain number of consecutive blocks satisfy all equilibrium criteria, the test for a given
187 temperature set point is considered complete. The HFMA is calibrated using a National Institute
188 of Standards and Technology (NIST)-traceable standard reference material (SRM) 1450 [37] to
189 convert the voltage signal to heat fluxes. With the measured sample thickness, upper and lower
190 plate temperatures and heat fluxes, the thermal conductivity of the test specimen can be
191 calculated.

192 **2.2. PCM characterization**

193 A modified application of the HFMA is to measure specific heats of materials [38]. The
194 procedure involves measuring the amount of heat flow per unit area (Q , J/m²) absorbed or
195 released by a test sample on switching the HFMA plate temperatures after they have achieved
196 thermal equilibrium at one set point to another, till the plates achieve thermal equilibrium at the
197 new set points. The measured heat absorbed or released is also corrected for the heat capacity of
198 the HFMA plates. The volumetric specific heat (ρC_p , J/m³/K) of the test sample is then

199 determined by dividing the corrected heat absorbed/released by the measured sample thickness;
200 ‘ ρ ’ (kg/m³) and ‘ C_p ’ (J/kg/K) are the density and specific heat of the test sample. Eqs. 1 and 2
201 show the calculations of ‘ Q ’ and ‘ ρC_p ’.

$$202 Q = \sum_{i=1}^N [S_U(V_{U,i} - V_{U,equi.}) + S_L(V_{L,i} - V_{L,equi.})]\tau \quad (1)$$

$$203 \rho C_p = (Q \Delta T / C_p \rho' 2\delta x')/x \quad (2)$$

204 In the above equations, ‘ N ’ is the number of blocks, each with 512 readings, ‘ S ’ is the plate
205 calibration factor ((W/m²)/mV), ‘ V ’ is the block-averaged voltage signal (mV), ‘ τ ’ is the time
206 period for each data point (1.3 s), ‘ ΔT ’ is the temperature step change imposed on the HFMA
207 plates, ‘ $C_p \rho' 2\delta x'$ ’ is the plate correction factor (J/m²/K), ‘ $\delta x'$ ’ is the plate thickness (m), and ‘ x ’
208 is the sample thickness (m). The subscripts ‘ U ’ and ‘ L ’ represent the upper and lower plates, and
209 ‘*equi.*’ represents the residual signals at the final equilibrium condition.

210 This additional capability of the HFMA can be utilized to measure the thermal storage
211 characteristics of PCMs and led to the development of ASTM C1784 [14]. This test method
212 makes a series of measurements to determine the thermal energy storage in a test specimen, or
213 the enthalpy function, over a temperature range, as depicted in Figure 2. ‘ T ’ represents the plate
214 temperatures and ‘ Q ’ is the energy released/absorbed by the PCM during the temperature steps.
215 First, both HFMA plates are held at the same constant temperature until thermal equilibrium is
216 achieved. Equilibrium is defined by the reduction in the amount of energy flow between the
217 plates and the specimen to a very small (residual) and nearly constant value. Next, both plate
218 temperatures are changed by identical amounts and held at the new temperature until equilibrium
219 is again achieved. The energy absorbed or released by the specimen is recorded from the time of
220 the temperature change until reaching equilibrium is again achieved. Using a series of

221 temperature step changes and the measured thickness and density of the PCM, the step-wise
222 enthalpy changes (ΔH , J/g) and cumulative enthalpy function ($H(T)$, J/g) over the PCM
223 transition temperature range can be determined. Complete details of the test method are provided
225 in ASTM C1784 [14].

226 **2.3. Selected PCMs for characterization**

227 Two PCM products were measured, SaveE® FS21R and SaveE® FS29, produced by PLUSS
228 Advanced Technologies (<http://www.pluss.co.in/>). FS21R and FS29 have nominal melting
229 temperatures of 20.7 and 29 °C, respectively, and are designed to yield latent heat capacities of
230 183 and 158 J/g. Both PCMs are blends of fatty acid-based organic materials in a polymer
231 matrix. The PCMs are made into tiles that are encapsulated with a polyethylene layer and a thin
232 aluminum foil (<0.5 mm); two layers are intended to reduce the risk of rupture. Further, the
233 PCMs are designed to be form stable to minimize leakage even if the tiles are ruptured during
234 installation. The form-stability also allows developing PCM tiles of different dimensions
235 (thickness and shape) according to the application requirements.

236 The current PCM samples are about 30.5 x 30.5 cm in dimensions, 8 to 8.3 mm thick
237 and weighed about 0.6 kg. One of the PCMs, FS21R, is shown in Figure 3. The PCM dimensions
238 are deemed large enough to be representative of actual PCM products for building envelope
239 applications; in fact, PCM tiles of the same dimensions were used in the ceiling of a test hut for a
240 different study. The measurements were done in a HFMA with a 7.6 x 7.6 cm measurement area.
241 The authors have access to a HFMA with a measurement area of 25.4 x 25.4 cm, which is being
242 upgraded to add the specific heat measurement capability. A comparison of enthalpy
243 measurements from the smaller and larger HFMA will provide insights about the uniformity of

244 the PCM composition and if a larger measurement area or larger samples are required.

245 3. NUMERICAL SIMULATIONS

246 3.1. Model geometry and details

247 Simulations were performed using the heat transfer module of COMSOL Multiphysics® [39].

248 The current wall model, shown in Figure 4, is a modification of previous models that were
249 validated against experimental data from tests of full-scale walls containing PCMs under real
250 building and weather conditions [18, 23]. Simulations were performed with and without an 8.3
251 mm PCM layer; the case without the PCM served as a baseline. FS21R was the PCM used in the
252 simulations. Due to its design, the PCM sheet is added as a separate layer in the current model
253 compared to a PCM wallboard (18) and PCM-impregnated cavity insulation (23) in the previous
254 modeling studies. The wall construction used in the model was “2 x 4” stud construction, i.e. it
255 contained wood studs of 3.8 cm x 8.9 cm, resulting in a cavity depth of 8.9 cm. The centerlines
256 of the studs were spaced 40.6 cm apart. The exterior side of the wall consisted of 1.3 cm oriented
257 strand board (OSB) and the interior contained 1.3 cm gypsum wallboard. The cavity was filled
258 with cellulose insulation. The modeled wall represents a typical construction practice for
259 residential buildings in the United States (U.S.).

260 The model solved the following time-dependent energy equation:

$$261 \rho C_p \frac{\partial T}{\partial t} = \nabla \cdot (k \nabla T) \quad (3)$$

262 In eq. 3, ‘ T ’ is the temperature, ‘ ρ ’ is the density, ‘ C_p ’ is the specific heat, and ‘ k ’ is the
263 thermal conductivity of the different wall materials. Boundary conditions used in the model are
264 described in section 3.3. Table 1 lists the material properties used in the numerical modeling.

265 These values were obtained from literature or through measurements. The conductivity
266 measurements were done according to ASTM C518 [26].

267 **Table 1. Material properties for numerical modeling**

	Density (kg/m ³)	Thermal conductivity (W/m/K)	Specific heat (J/g/K)
Cellulose	40.8	0.042	1.424
Wood stud	576.7	0.144	1.633
OSB	640.0	0.130	1.410
Gypsum board	549.5	0.153	1.089
PCM (FS21R)	774.0	0.119(s) 0.127 (l)	-- --

268

269 The thermal conductivities of the PCM were measured with HFMA plate temperatures that
270 were both below or both above the phase transition range of the PCM. In other words, the
271 conductivities were measured with the PCM in fully frozen and fully molten states, and the
272 respective conductivities are represented by 's' and 'l'. For simplicity of the simulations, and
273 since the two measured conductivities were within 3% of the mean, an average value of 0.123
274 W/m/K was used in the simulations. The PCM specific heat ($C_{p,PCM}$) was calculated as the
275 temperature derivative of the measured enthalpy functions ($= dH/dT$), using the hysteresis model
276 described in section 3.2.

277 The scope of the study was limited to calculating heat flows through a 'clear' section of the
278 wall, i.e. no features other than the wall cavity and stud were modeled. Wall-to-wall or wall-to-
279 ceiling interfaces, joints and corners, windows, radiation exchange between interior surfaces,
280 etc., were not considered in the model. Further, internal loads, solar gains and heat flows through
281 windows, roof and ceiling loads, infiltration, etc. could not be considered due to the modeling
282 limitations. Hence, only a small two-dimensional (2D) horizontal cross-section of the wall was
283 modeled, extending from the stud centerline to the cavity centerline. Exterior boundary

284 conditions were applied to the OSB surface that is exposed to the “outside” and an interior
285 boundary condition was applied to the wallboard surface facing the interior conditioned space or
286 “room”. Symmetric boundary conditions were assumed at the stud and cavity centerlines, as
287 indicated in Figure 4.

288 **3.2. PCM hysteresis model**

289 As described earlier, it is important to account for the hysteresis of PCMs for more realistic
290 and accurate evaluations of PCM performance. Here, the hysteresis phenomenon in the PCM
291 layer was modeled using a ‘previous solution’ operator in COMSOL [40]. A variable named
292 ‘ H_{PCM} ’ was defined within the domain representing the PCM layer (see Figure 4). H_{PCM} is
293 a binary variable that can take values of ‘0’ and ‘1’. It should be noted that H_{PCM} does not
294 define the state of the PCM as solid or liquid. Rather, depending on its value, the enthalpy curve
295 of melting ($H_{PCM} = 0$) or freezing ($H_{PCM} = 1$) is used to calculate the specific heat of the
296 PCM. The following equation was incorporated in the model:

$$297 H_{PCM} \triangleq \text{nojac}[\text{if}\{T > T_{high}, 1, \text{if}(T < T_{low}, 0, H_{PCM})\}] = 0 \quad (4)$$

298 ‘ T_{high} ’ is the temperature at which melting ends and ‘ T_{low} ’ is the freezing end temperature.
299 According to eq. 4, at any location within the PCM layer, whenever the temperature from the
300 previous solution step rose above T_{high} , that location was assumed to be fully molten, so H_{PCM}
301 switched from “0” to “1” and the PCM enthalpy switched from the melting to the freezing curve.
302 Conversely, at any location, if the temperature dropped below T_{low} , H_{PCM} switched from “1”
303 to “0” and the PCM enthalpy switched to the freezing curve. For interrupted heating or cooling,
304 the variable H_{PCM} retained its existing value, i.e. the enthalpy function remained on the
305 melting or freezing curve, as the case may be, without any transitions. The operator ‘ nojac ’ is

306 used in COMSOL to ensure that the previous solution variables are not included in the solution
307 of the conservation equations for the current time step.

308 3.3. Annual simulation parameters and boundary conditions

309 The annual simulation methodology is the same as reported previously [18, 23]. Appropriate
310 exterior and interior boundary conditions are required for the annual simulations. The exterior
311 boundary conditions were estimated using typical meteorological year (TMY3) weather data [41]
312 for Charleston, South Carolina (SC). Input files containing hourly values of outdoor and sky
313 temperatures, solar irradiation and exterior surface convective heat transfer coefficients were
314 generated for the annual simulation models. Eqs. 5 and 6 represent the exterior and interior
315 boundary conditions. In eq. 5, the first term on the right side is the solar irradiance, the second
316 term is the convection heat transfer and the last term is the long-wave radiation (LWR) exchange
317 with the surroundings. Symmetry boundary condition was assumed at the stud and cavity
318 centerlines, i.e. there was no heat flux across those surfaces as represented by eq. 7.

$$319 q_{ext} = \alpha q_{solar} + h_{ext}(T_{out} - T_{surf}) + \varepsilon \sigma \left[(1 - F_{sky})(T_{out}^4 - T_{surf}^4) + F_{sky}(T_{sky}^4 - T_{surf}^4) \right] \quad (5)$$

$$320 q_{int} = h_{int}(T_{room} - T_{surf}) \quad (6)$$

$$321 -\mathbf{n} \cdot \mathbf{q} = 0 \quad (7)$$

322 In the above equations,

323 α = Solar absorptance of the exterior wall surface, assumed to be 0.6

324 ε = Infrared emittance of the exterior wall surface, assumed to be 0.8

325 ext = Exterior surface, facing the conditioned space

326 int = Interior surface, facing the conditioned space

327 q = Heat flux (W/m²)

q = Heat flux vector (W/m²)

n = Boundary normal vector

q_{solar} = Solar irradiance on the exterior wall surface (W/m²), from TMY3 data

h_{ext} = Exterior surface convective heat transfer coefficient (W/m²/K)

h_{int} = Interior surface heat transfer coefficient (W/m²/K)

F_{sky} = Radiation view factor from sky to the wall

T_{out} = Outside ambient temperature (K), from TMY3 data

T_{sky} = Sky temperature (K), from TMY3 data

T_{surf} = Wall surface temperature (K); exterior wall surface facing the outdoor

environment in eq. 5 and interior surface facing the room in eq. 6

T_{room} = Room or interior conditioned space temperature (K)

Hourly values of ' h_{ext} ' and ' q_{solar} ' for the different wall orientations were generated with the help of EnergyPlus™ [42], a whole-building modeling tool. Values of ' h_{ext} ' were calculated using the outdoor temperature and wind velocity data from the TMY3 files. The LWR exchange between the exterior wall surface and the outside, ground and sky can be described by eq. 8. For simplicity, it was assumed that the outside (T_{out}) and ground surface temperatures (T_{ground}) are the same, reducing the LWR exchange to eq. 9. F_{sky} , F_{out} and F_{ground} are the view factors between the exterior wall surface and the sky, outside and ground, respectively. The sum of those three view factors is unity (eq. 10), which results in the LWR term in eq. 5. F_{sky} was calculated using a relation from Walton [43], listed as eq. 11, and it has a value of 0.35 for a vertical wall ($\phi = 90^\circ$).

$$q_{LWR} = \varepsilon \sigma \left[F_{out} \left(T_{out}^4 - T_{surf}^4 \right) + F_{ground} \left(T_{ground}^4 - T_{surf}^4 \right) + F_{sky} \left(T_{sky}^4 - T_{surf}^4 \right) \right] \quad (8)$$

$$q_{LWR} = \varepsilon \sigma \left[(F_{out} + F_{ground}) (T_{out}^4 - T_{surf}^4) + F_{sky} (T_{sky}^4 - T_{surf}^4) \right] \quad (9)$$

351 $F_{sky} + F_{out} + F_{ground} = 1$ (10)

352 $F_{sky} = \beta[0.5(1 + \cos\phi)]; \beta = \sqrt{0.5(1 + \cos\phi)}$ (11)

353 The interior heat transfer coefficient (h_{int}) was assumed to be 8.29 W/m²/K, following
354 ASHRAE Handbook of Fundamentals [44], for a non-reflective vertical surface. The influence of
355 the heat gains and losses through the wall on the interior room temperature was captured by
356 allowing the room temperature to float between assumed heating and cooling temperature set
357 points. It was also assumed that the heating and cooling systems could instantaneously match the
358 wall-generated heating and cooling loads, so that the room temperature floated between the set
359 points but never went outside that range. The heating and cooling set points were set to 20 and
360 22.2°C, respectively, and these set points are quite common in residential and commercial
361 buildings in the U.S. The choice of the PCM FS21R for characterization and modeling is related
362 to the selected cooling set point, since it has been shown that the energy performance of a PCM
363 is dependent on the relation between its melting temperature and the cooling set point [18].

364 **4. RESULTS AND DISCUSSION**

365 In this section, experimental data and the numerical simulation results are presented and
366 discussed. Also included are simulation results of temperature, H_{PCM} and the PCM specific
367 heat that show that the PCM hysteresis model was operating as per expectations.

368 **4.1. HFMA data**

369 As described in sections 2.1 and 2.2, the heat flux transducers within the HFMA plates record
370 voltage signals that are converted to heat flows using calibration factors. The block averages of
371 the voltage signals are also used to determine the length of time needed after each step change in

372 temperature to reach equilibrium. Figure 5 and Figure 6 show the block-averaged voltage
373 readings from one melting test and one freezing test of the PCM FS21R. The legends or labels
374 describing the curves represent the end temperatures after each temperature step change. The
375 step changes were nominally 1°C. For clarity, only a few curves are shown that correspond to the
376 temperatures close to and within the phase transition range of FS21R.

377 The heating curves are characterized by a high positive initial reading followed by a steep
378 decline within a few blocks (3-5) to the equilibrium values. However, when melting is occurring,
379 with associated heat absorption by the PCM, the block averages show a slow, gradual decline. In
380 Figure 5, the three curves exhibiting the most prominent melting behavior are indicated by
381 arrows; these curves correspond to the temperature steps ending at 20, 21 and 22°C, which is
382 expected, since the nominal melting point of FS21R is 20.7°C. The cooling curves are
383 characterized by a high negative initial reading followed by an increase to the equilibrium
384 values. When freezing occurs, with associated heat release from the PCM, the increase is slow
385 and gradual. However, often the freezing of the PCM is delayed, as seen by the behavior of
386 FS21R at 20°C in Figure 6. The curve corresponding to 20°C initially increased to a small
387 negative number (blocks 2-4) before freezing and heat release started, as indicated by the
388 inverted bell shape of the curve between blocks 5 and 30. Again, the three curves representing
389 temperature steps ending at 18, 19 and 20°C, which exhibited the most prominent freezing
390 behavior, are indicated by arrows. It is interesting to note that the curve corresponding to 18°C
391 end point (i.e. temperature step 19 to 18°C) showed a discernibly slower increase in the negative
392 voltage signals than the curve corresponding to 19°C (i.e. 20 to 19°C step); in other words the
393 amount of heat released was greater in the 19-18°C step than the 20-19°C step. This indicates
394 that the PCM FS21R is a mixture of components with slightly different freezing temperature

395 ranges (21-20 and 19-18°C).

396 Figure 7 and Figure 8 show the measured enthalpy curves from duplicate melting and
397 freezing tests, respectively. The temperature range of the measurements was from 10 to 32°C.
398 Near and within the phase transition range, 1°C temperature steps were used for improved
399 resolution, but away from the transition range 2°C temperature steps were used. The
400 measurements from the duplicate tests were within 4% of each other for all temperature steps,
401 except the 18-19°C step during melting tests for which the difference was 8%. Figure 9 shows
402 the combined melting and freezing enthalpy curves. The enthalpy is assumed to be zero at 10°C
403 and is 165.7 J/g at 32°C (based on the melting tests). The phase transition range is about 18 to
404 22°C and a hysteresis of 1°C is observed; melting ended at 22°C and freezing started at 21°C.
405 Figure 10 shows the melting and freezing specific heats based on the enthalpy measurements.
406 The specific heat curve during freezing has two peaks, at 18.5 and 20.5°C, indicating the
407 presence of components with distinct freezing temperatures.

408 Another PCM, FS29, was tested using the HFMA method. Two tests each were performed
409 for melting and freezing. In this case, rather than duplicating the temperature steps as with
410 FS21R, the temperature steps were offset. The first melting test contained temperature steps of
411 14-16, 16-18, 18-20,, 36-38°C, and the second melting test contained steps of 17-19, 19-
412 21,, 35-37°C. Similarly, offset temperature steps were used for the freezing tests. Following
413 the analysis process described in ASTM C1784 [14], the enthalpy data from the different tests
414 were combined and are listed in Table 2. ‘ T_{start} ’ and ‘ T_{end} ’ are the initial and final temperatures
415 for each temperature step, ‘ ΔH ’ is the change in enthalpy during the temperature steps and ‘ H ’ is
416 the cumulative enthalpy function. Figure 11 shows the combined enthalpy curves of FS29.

417 **Table 2. Combined melting and freezing enthalpy data of FS29**

T_{start} (°C)	T_{end} (°C)	ΔH (J/g)	H (J/g)	Remarks
<u>Melting test 1</u>	14		0	
14	16	3.87	3.87	
16	18	4.12	8.00	
18	20	4.46	12.46	
20	22	4.86	17.32	
22	24	6.34	23.67	
24	26	10.42	34.09	
26	28	27.72	61.81	
28	30	74.99	136.80	
30	32	5.61	142.41	
32	34	6.49	148.90	
34	36	6.30	155.19	
36	38	4.48	159.67	
<u>Melting test 2</u>	17		5.92	'H' interpolated from melting test 1
17	19	4.32	10.24	
19	21	5.06	15.31	
21	23	6.36	21.66	
23	25	9.65	31.31	
25	27	22.64	53.95	
27	29	77.10	131.05	
29	31	10.51	141.57	
31	33	5.54	147.10	
33	35	4.17	151.27	
35	37	4.21	155.48	
<u>Freezing test 1</u>	38		159.67	'H' from melting test 1
38	36	-3.88	155.79	
36	34	-4.01	151.79	
34	32	-3.96	147.82	
32	30	-6.50	141.33	
30	28	-8.06	133.27	
28	27	-43.97	89.30	
27	26	-12.17	77.13	
26	24	-12.97	64.16	
24	22	-8.44	55.72	
22	20	-5.68	50.04	
20	18	-5.06	44.98	
18	16	-4.68	40.31	
16	14	-4.44	35.87	
14	12	-4.66	31.21	

<u>Freezing test 2</u>	35		153.72	'H' interpolated from freezing test 1
35	33	-4.74	148.98	
33	31	-5.00	143.98	
31	29	-5.21	138.76	
29	27	-38.57	100.19	
27	25	-21.94	78.25	
25	23	-9.58	68.67	
23	21	-6.09	62.58	

418

419 **4.2. Annual simulations with PCM incorporated in an external wall**

420 Annual simulations were performed using the wall model depicted in Figure 4 and using
 421 TMY3 weather data for Charleston. The simulations were performed over a period of one year or
 422 8760 hours (365 days x 24 hours) and the variables of interest (temperature, PCM properties,
 423 heat flows, etc.) were written in output files on an hourly basis. The first step in the modeling
 424 work was to check the validity of the hysteresis model, described in section 3.2, in capturing the
 425 phase of the PCM FS21R. To evaluate the hysteresis model, the temperature, the variable
 426 H_{PCM} and specific heat (C_p, PCM) were monitored at a point 'A' in the PCM layer. The location
 427 of point 'A' is indicated by the red dot in Figure 12. Figure 13 shows the calculated temperature
 428 and H_{PCM} at point 'A' as a function of time between the time period of February 22-28; the
 429 values of H_{PCM} correspond to the right vertical axis. The selected time period was chosen for
 430 illustration due to the frequent switching of the PCM state between melting and freezing
 431 enthalpy curves. The thick horizontal, dashed black and green lines correspond the melting end
 432 and freezing end temperatures, respectively. When the temperature of the PCM rises above the
 433 melting end point (22°C), the PCM should transition from the melting to the freezing curve, and
 434 vice-versa when the PCM temperature drops below the freezing end point (18°C). A value of
 435 zero of H_{PCM} is associated with the melting curve and a value of one is associated with the

436 freezing curve. As observed in Figure 13, while the temperature remained below 22°C, the value
437 of H_{PCM} was zero. In the simulations, at 5 PM on February 22, the temperature
438 rose above 22°C and H_{PCM} switched to a value of one. H_{PCM} remained at one till the
439 temperature dropped below 18°C at 8 AM on February 25, when it switched back to
440 zero. Subsequently, H_{PCM} switched between zero and one whenever the temperature crossed
441 the melting and freezing end points.

442 Figure 14 shows the calculated PCM specific heat ('Cp - PCM', based on the value of
443 H_{PCM}) and specific heats based on the melting and freezing curves ('Cp - Melting' and 'Cp -
444 Freezing'). The transition of $C_{p,PCM}$ between the melting and freezing curves are clearly
445 observable; the transitions are marked by thin vertical black, dashed lines. The times of these
446 transitions coincide with the switching of the values of H_{PCM} . At 5 PM on February 22,
447 the $C_{p,PCM}$ transitioned from the melting to the freezing curve as H_{PCM} went from zero
448 to one; on February 25, 8 AM, H_{PCM} went from one to zero and $C_{p,PCM}$
449 transitioned from the freezing to the melting curve, and so on. Thus, the hysteresis model
450 accurately captured the shift of the PCM enthalpy between melting and freezing enthalpy curves
451 depending on the temperature within the PCM layer.

452 Next, annual simulations were performed with three different scenarios: (i) baseline model
453 without the PCM ('No PCM'), (ii) model with the PCM layer, but the PCM specific heat (C_p)
454 based on the melting enthalpy curve alone ('Hmelt'), and (iii) model with PCM hysteresis, i.e.
455 $C_{p,PCM}$ is based on both melting and freezing enthalpy curves depending on the value of H_{PCM}
456 ('Hmelt/freeze'). The main quantity of interest is the heat transfer at the interior wall surface,
457 which is facing the room. The heat gains and losses at this surface represent the wall-generated
458 loads that need to be compensated by mechanical heating or cooling to maintain the indoor

459 comfort conditions. The simulations were performed for a south-oriented wall, which typically
460 experiences the highest heat gains in Charleston. Further, the analysis of the simulation results is
461 focused on heat gains and resultant cooling energy use, since Charleston is in a warm, humid
462 climate zone.

463 To illustrate the PCM behavior, Figure 15 shows the calculated spatially-averaged
464 temperature and specific heat within the PCM layer. The results are shown for typical 2-day
465 periods during spring (April 28 – 29) and summer (July 17-18) in Charleston. During the spring
466 period (Figure 15(a)), for a majority of the time, the calculated temperature in the melting curve
467 only case ('Hmelt') remained within the PCM transition range (i.e. below the melting end point
468 of 22°C) and the specific heat ($C_{p,PCM}$ (Hmelt)) remained at a high level (> 20 J/g/K). During the
469 late-afternoon of April 29, the temperature rose above 22°C and the $C_{p,PCM}$ (Hmelt) decreased to
470 about 1.8 J/g/K, which indicates the fully-molten regime. When hysteresis was included in the
471 model ('Hmelt/freeze'), the temperature rose above 22°C during the afternoons on both spring
472 days and the $C_{p,PCM}$ (Hmelt/freeze) decreased to fully-molten value of 1.8 J/g/K. Thus, including
473 hysteresis clearly impacts the calculations of PCM behavior. During the summer days (Figure
474 15(b)), the calculated temperatures were predominantly above 22°C and the $C_{p,PCM}$ from both the
475 'Hmelt' and 'Hmelt/freeze' cases remained in the 1.8-2.1 J/g/K range, except briefly during the
476 early hours of July 17.

477 Figure 16 compares the calculated heat flows per unit wall area at the interior wall surface for
478 the 'No PCM', 'Hmelt' and 'Hmelt/freeze' cases. Positive heat flows represent internal heat
479 gains and negative flows represent heat losses. The impact of the PCM layer during spring is
480 clearly visible in Figure 16(a), with reduced heat gains and no heat losses compared to the
481 baseline case ('No PCM'). Also evident is the difference in the calculated heat flows when the

482 PCM hysteresis is ignored ('Hmelt') or captured ('Hmelt/freeze'). The differences in the
483 calculated heat flows under the 'Hmelt' and 'Hmelt/freeze' cases follow the $C_{p,PCM}$ profiles in
484 Figure 15(a). In the 'Hmelt' case, the heat gains were zero at all times when $C_{p,PCM}(Hmelt)$
485 remained above 20 J/g/K; the heat gains rose to 3 W/m² during late afternoon on April 29, when
486 the PCM was fully molten and $C_{p,PCM}(Hmelt)$ decreased to 1.8 J/g/K. Conversely, $C_{p,PCM}$
487 (Hmelt/freeze) decreased to 1.8 J/g/K during the afternoons of both April 28 and 29, and peak
488 heat gains of about 5.5 W/m² were observed during both days. During summer (Figure 16(b)),
489 the PCM in both the 'Hmelt' and 'Hmelt/freeze' cases was in a predominantly fully-molten state
490 and was not benefitting from the phase transitions and latent heat storage; hence, no discernible
491 difference was observed in the heat gains from the two PCM cases. The slight reduction in the
492 peak heat gains compared to the 'No PCM' case is presumably resulting from the added thermal
493 resistance of the molten PCM layer.

494 In order to further evaluate the impact of the degree of hysteresis on PCM performance,
495 additional scenarios were considered using assumed freezing enthalpy curves with additional
496 hysteresis. Figure 17 shows the measured ('F_Meas') and assumed enthalpy curves for freezing;
497 'F_H2C' and 'F_H4C' represent the freezing curves with additional hysteresis of 2 and 4°C,
498 respectively. 'F_H2C' and 'F_H4C' were created by shifting the measured freezing enthalpy
499 curve by 2 and 4°C to lower temperatures.

500 Figure 18 shows the calculated monthly-integrated heat gains per unit wall area through a
501 south-facing wall for all the scenarios modeled, without and with PCM as well as using different
502 enthalpy curves and degrees of hysteresis to define the PCM specific heat. The reductions in heat
503 gains with the PCM layer are most significant during the non-summer months (January-May and
504 October-December). During these months, the impact of using one ('Hmelt') vs. two enthalpy

505 curves ('Hmelt/freeze') and additional hysteresis ('Hmelt/f_H2C' and 'Hmelt/f_H4C') on the
506 calculated heat gains are clearly observable. The calculated heat gains are minimum when the
507 PCM specific heat is defined by the melting enthalpy curve only, and progressively increase
508 when both melting and freezing enthalpy curves are used and with increasing degrees of
509 hysteresis. During summer (June-September), the heat gains are almost identical regardless of
510 the enthalpy curves used to define the specific heat; this is presumably due to the PCM layer
511 being predominantly molten during this time and not benefitting from phase transitions.

512 To get a better sense of the resulting cooling energy consumption, the calculated heat gains
513 were converted to electricity consumption using temperature-dependent coefficients of
514 performance (COP) of a typical heat pump unit, following [18]. The COP of the heat pump is a
515 function of the ambient temperature. Since the cooling equipment is often placed in an
516 unconditioned space, it operates more efficiently when the outside temperatures are lower. This
517 adds to the potential for cooling energy savings since PCMs enable delayed heat gains through
518 walls compared to walls without PCM, as seen in Figure 16.

519 Table 3 shows the variation of the heat pump COP with outside temperature. The hourly
520 calculated heat gains were converted to electricity consumption using the COP values listed in
521 table 3; linear interpolation was used to estimate COP values at outdoor temperatures other than
522 those listed in table 3. It was assumed that the cooling equipment operated only when the room
523 temperature tended to exceed the cooling set point (22.2°C). In other words, if the room
524 temperature remained below the cooling set point, the electricity consumption was set to zero
525 even if there was some heat gain through the walls. This was done to differentiate between
526 cooling loads in summer and heat gains in winter (or spring/autumn); the latter might offset the
527 heating energy needs and do not add to the cooling energy use.

528

Table 3. Temperature-dependent heat pump COP

Outdoor temperature (°C)	COP (Wh/Wh)
23.89	4.16
29.44	3.73
35.00	3.22
40.56	2.72
46.11	2.28

529

530 Table 4 shows the calculated annual heat gains per unit wall area and resultant cooling
 531 electricity consumption for the different scenarios considered. Again, the impact of the PCM
 532 layer in reducing heat gains and electricity consumption are clearly observable. Further, when
 533 utilizing both melting and freezing enthalpy curves in the model, the savings are discernibly
 534 lower than when using the melting curve alone, 29% vs. 32%, respectively. With PCMs that
 535 exhibit significant hysteresis, the savings can be expected to be significantly lower than with
 536 PCMs that show little to no hysteresis. For the current wall configuration and climate zone, the
 537 PCMs with added hysteresis ('Hmelt/f_H2C' and 'Hmelt/f_H4C') were estimated to save only
 538 12-15% in cooling electricity compared to 29% with the actual PCM, FS21R, which is
 539 represented by 'Hmelt/freeze'.

540
541**Table 4. Comparison of calculated annual heat gains per unit wall area and cooling
electricity use between different modeled scenarios**

	Annual heat gain (Wh/m ²)	% Difference	Annual electricity (Wh/m ²)	% Difference
No PCM	22507		5601	
Hmelt	14609	-35.1	3813	-31.9
Hmelt/freeze	15284	-32.1	3969	-29.1
Hmelt/f_H2C	18962	-15.7	4772	-14.8
Hmelt/f_H4C	19674	-12.6	4912	-12.3

542 5. SUMMARY, CONCLUSIONS AND FUTURE WORK

543 Temperature-dependent enthalpy functions of two fatty-acid based PCM products, FS21R

544 and FS29, were measured using a heat flow meter apparatus (HFMA). The measurements were
545 done according to ASTM C1784, a standard test method for thermal characterization of full-scale
546 PCM products. The measured enthalpy functions of FS21R were utilized in a 2D wall model.
547 The model incorporated a hysteresis model to include both melting and freezing enthalpy curves
548 with varying degrees of hysteresis. Simulations were performed for a south-oriented wall in
549 Charleston, SC using typical yearly climate data. Hourly heat gains through the wall and
550 associated cooling electricity consumption were calculated for different scenarios: (i) baseline
551 wall without the PCM layer, (ii) wall with the PCM layer, but the PCM specific heat based on
552 the melting enthalpy curve alone, and (iii) PCM specific heat based on the melting and freezing
553 enthalpy curves and with varying degrees of hysteresis.

554 The results showed that including the hysteresis effect significantly impacts the calculated
555 thermal performance of the PCM layer and resultant energy savings compared to a wall without
556 the PCM. For the current wall model, orientation (south-facing wall) and climate conditions,
557 simulations with the PCM specific heat based on only the melting enthalpy curve showed 32%
558 reduction in the wall-related cooling electricity consumption. The calculated energy savings
559 dropped to 29% when both the melting and freezing enthalpy curves are used; the calculated
560 savings were further reduced to 15% and 12% when additional 2-4°C of hysteresis between the
561 melting and freezing enthalpy curves were assumed.

562 A significant assumption in the numerical simulations was related to the treatment of
563 hysteresis and interrupted melting or freezing. Here, it was assumed that the PCM state remained
564 on the same enthalpy curve even if the melting or freezing was interrupted, and only transitioned
565 to the other curve if the temperature went above or below the melting and freezing end points,
566 respectively. It will be interesting to evaluate the difference in the calculated PCM performance if

567 instantaneous transition to the melting curve was allowed in case of interrupted freezing (or vice-
568 versa), as has been done in some studies. Another important next step is to couple the 2D wall
569 model to a whole-building energy analysis software to determine the impacts of PCMs, with and
570 without hysteresis, on the whole-building energy performance.

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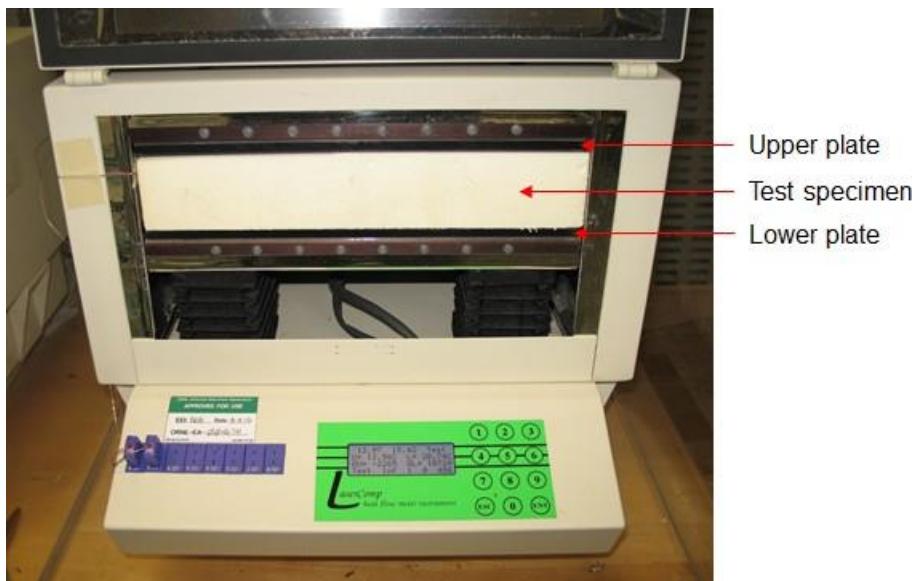


Figure 1. Heat flow meter apparatus used for PCM characterization.

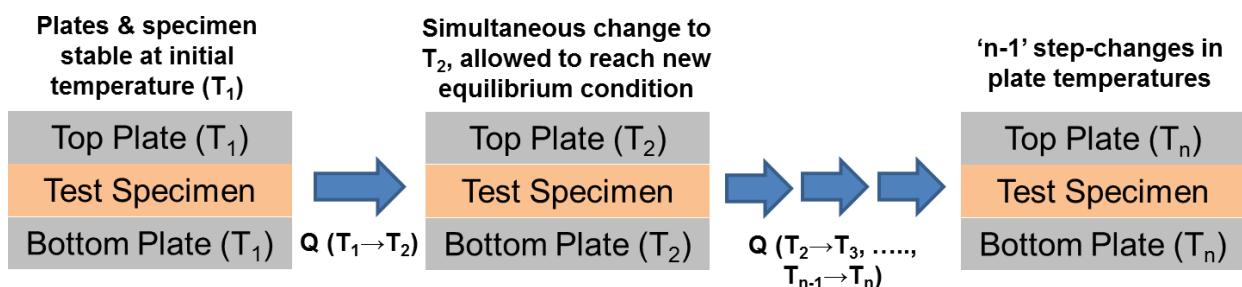


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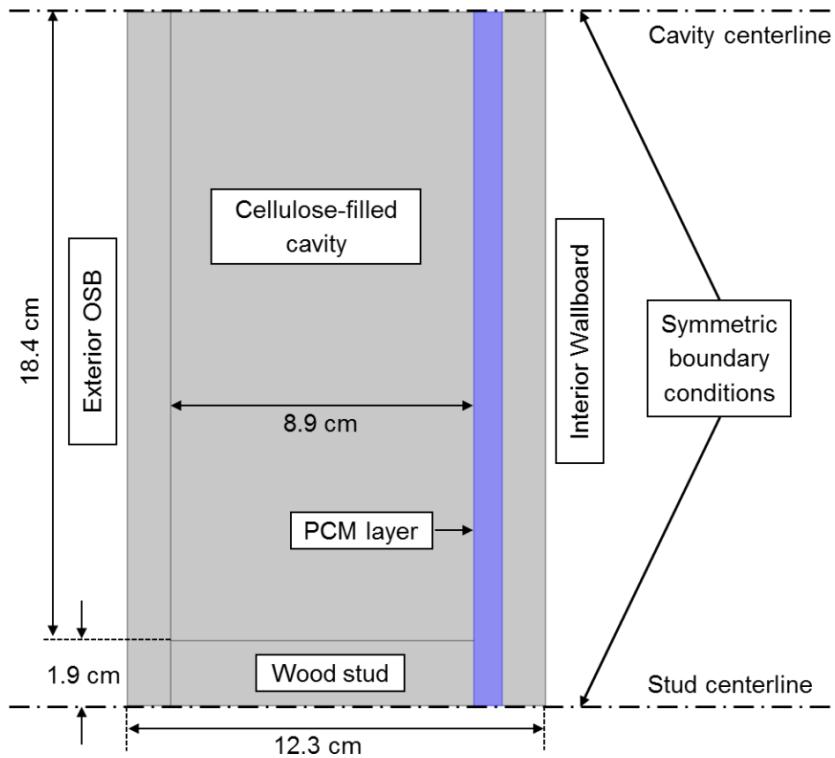


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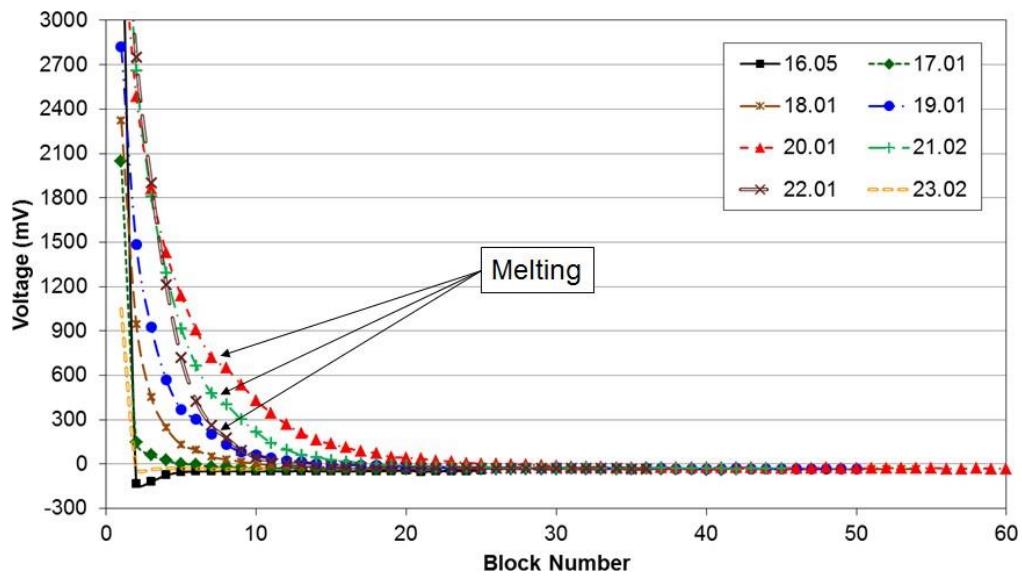


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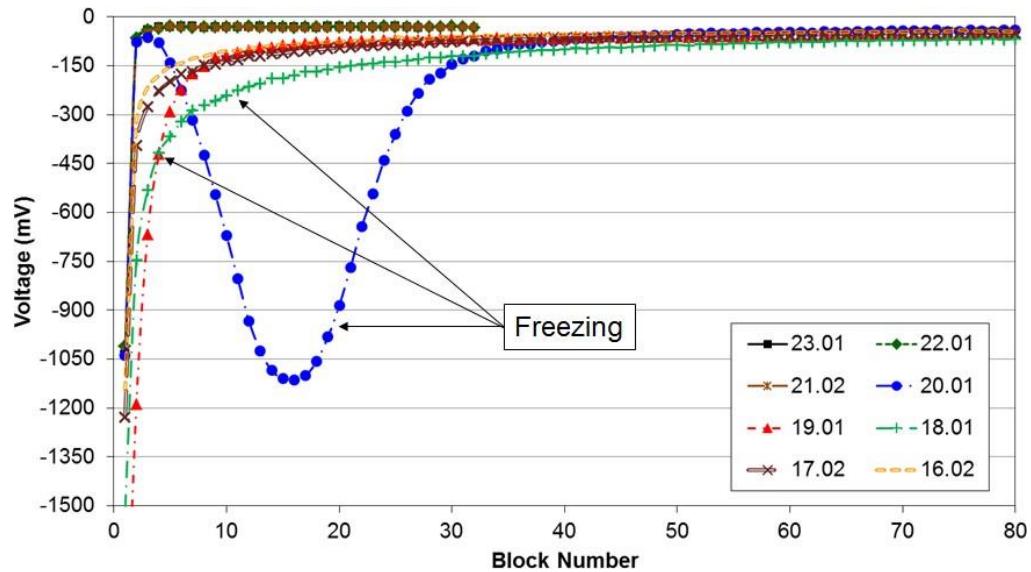


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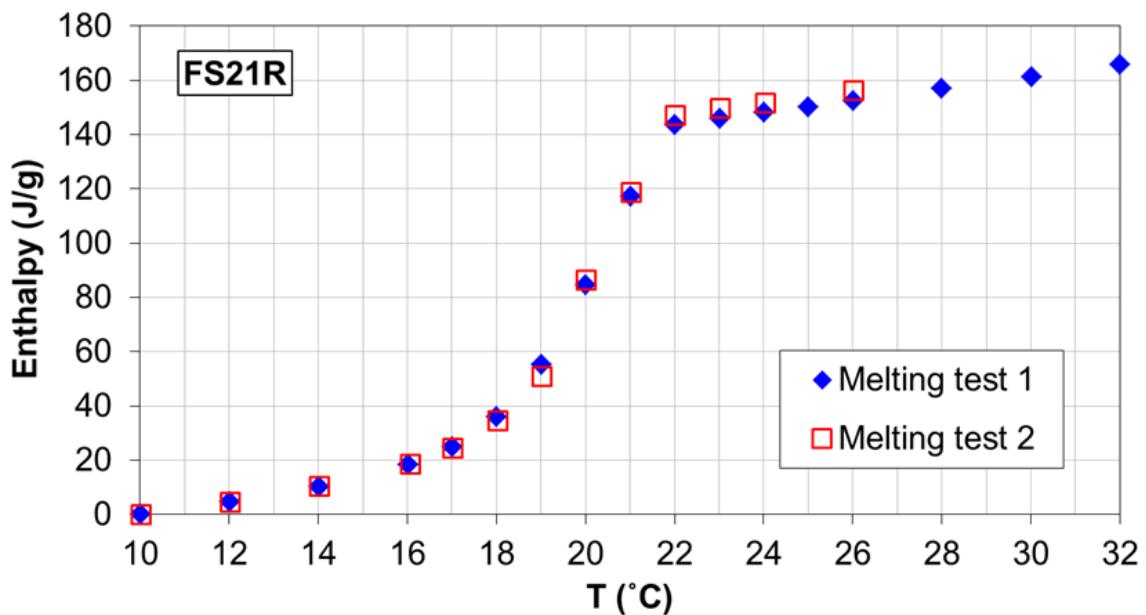


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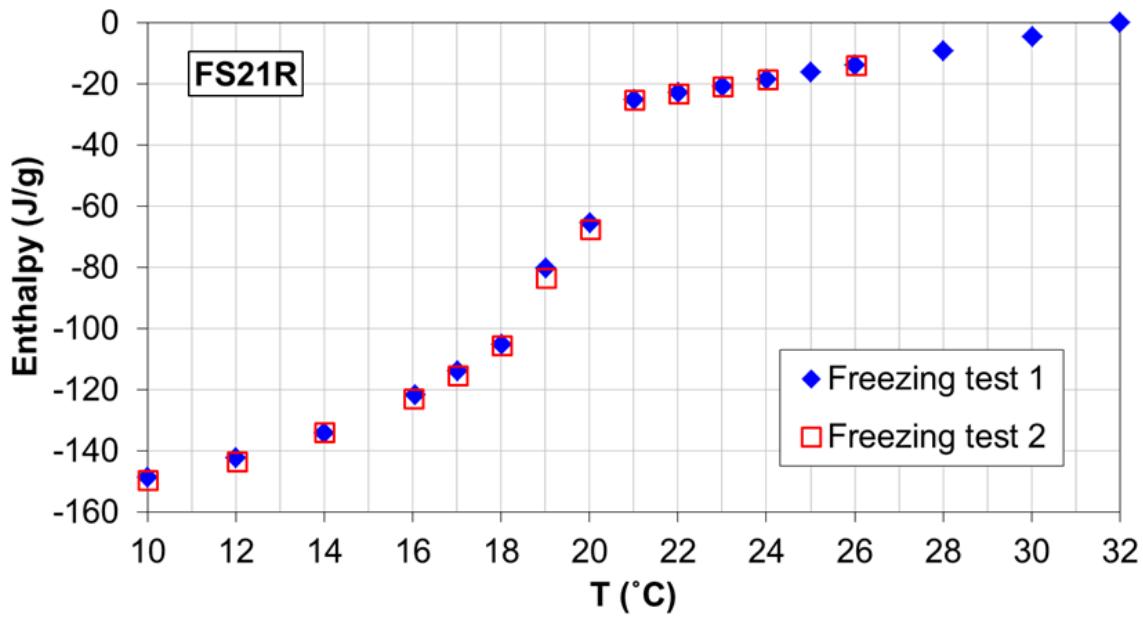


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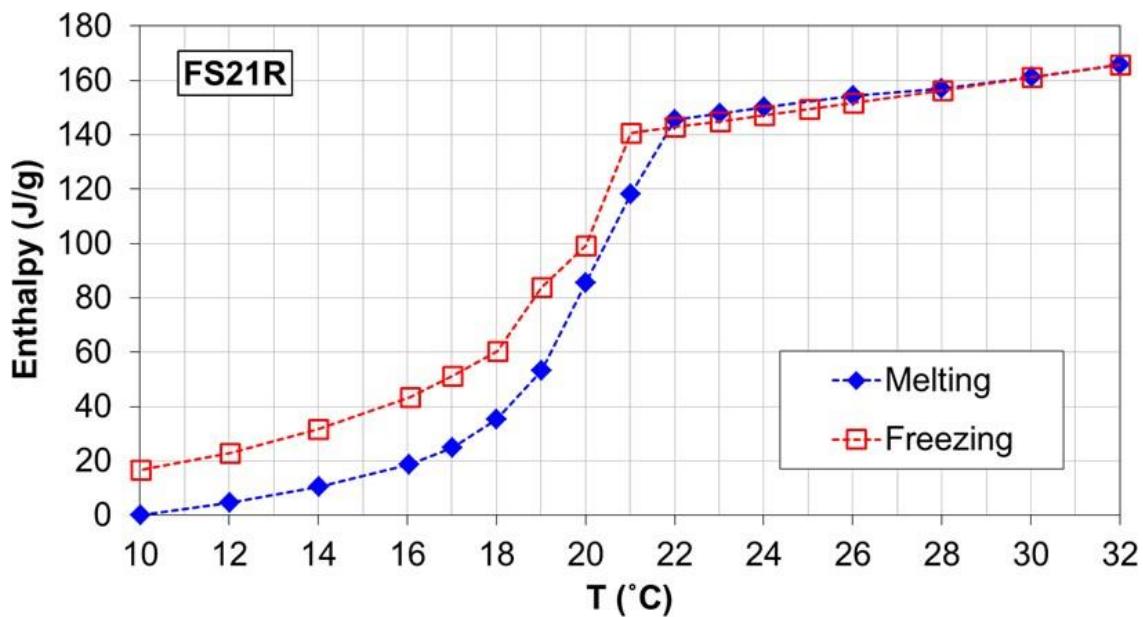


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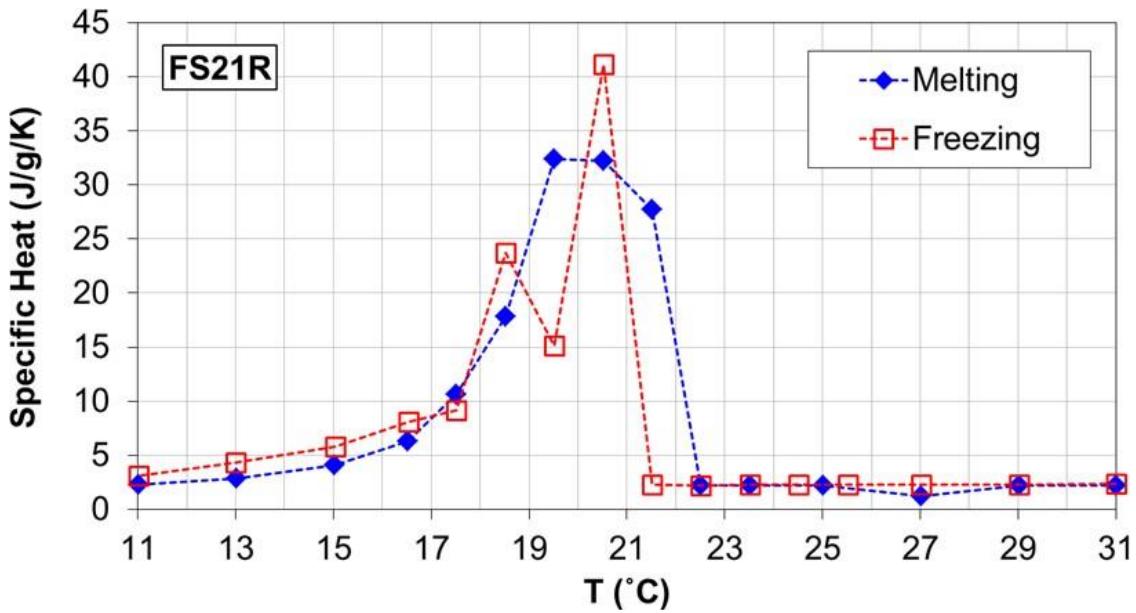


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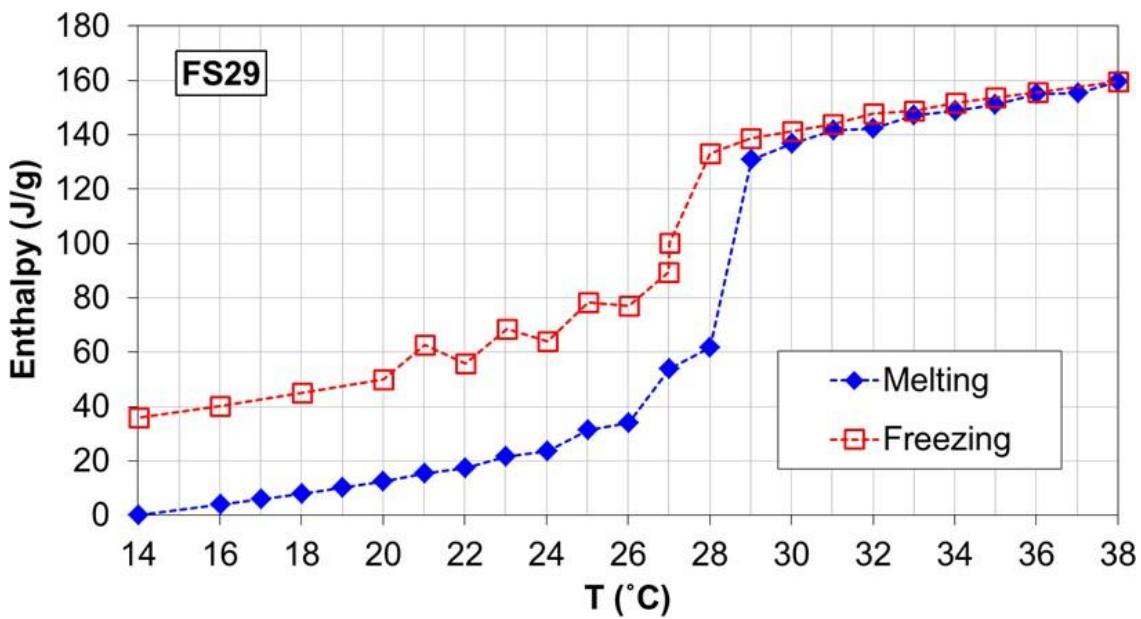


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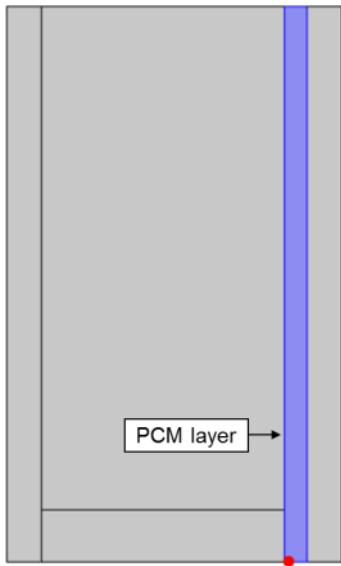


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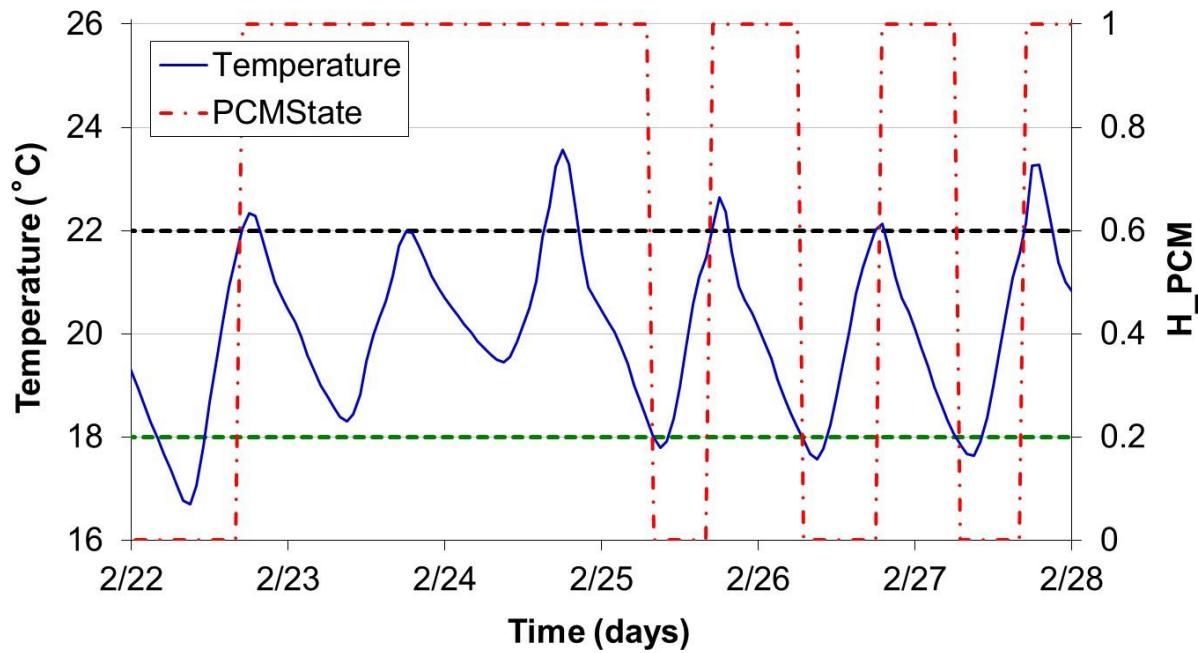


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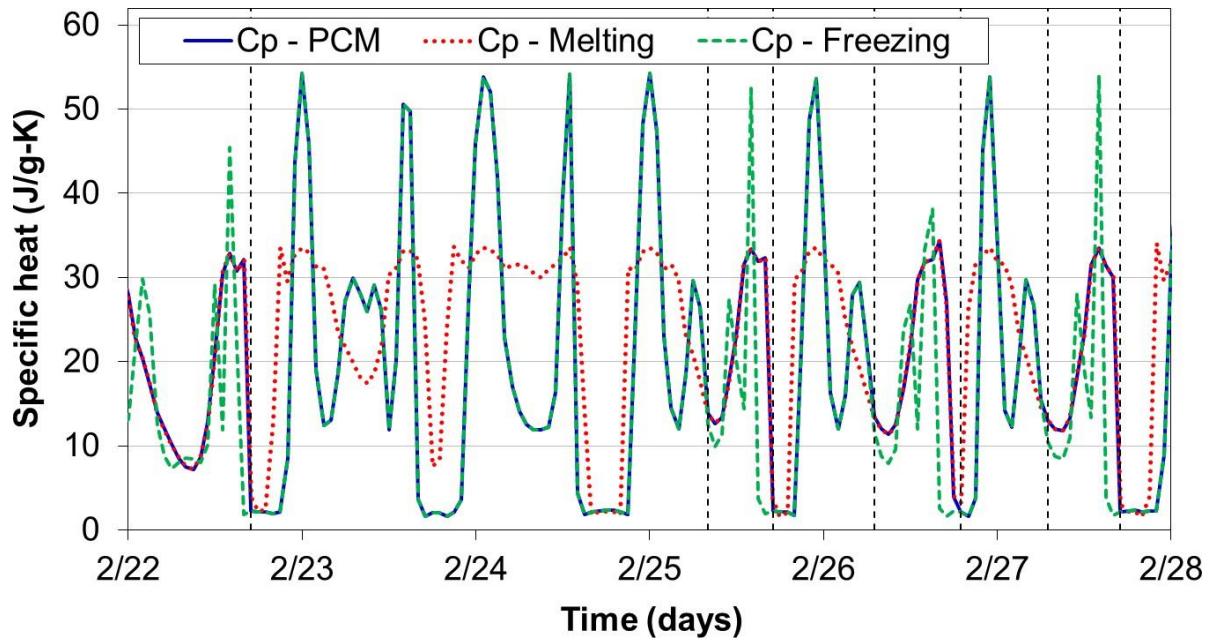


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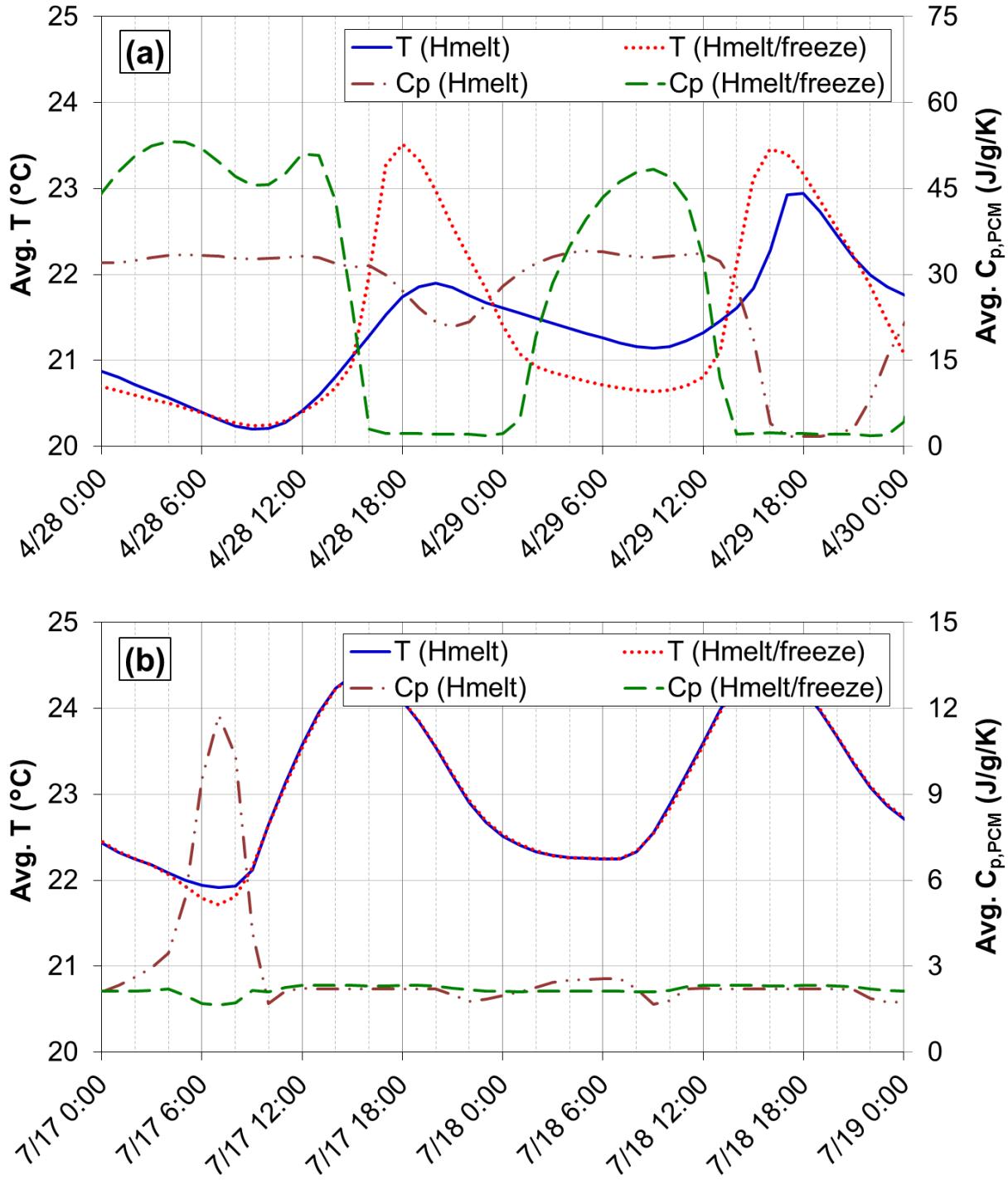


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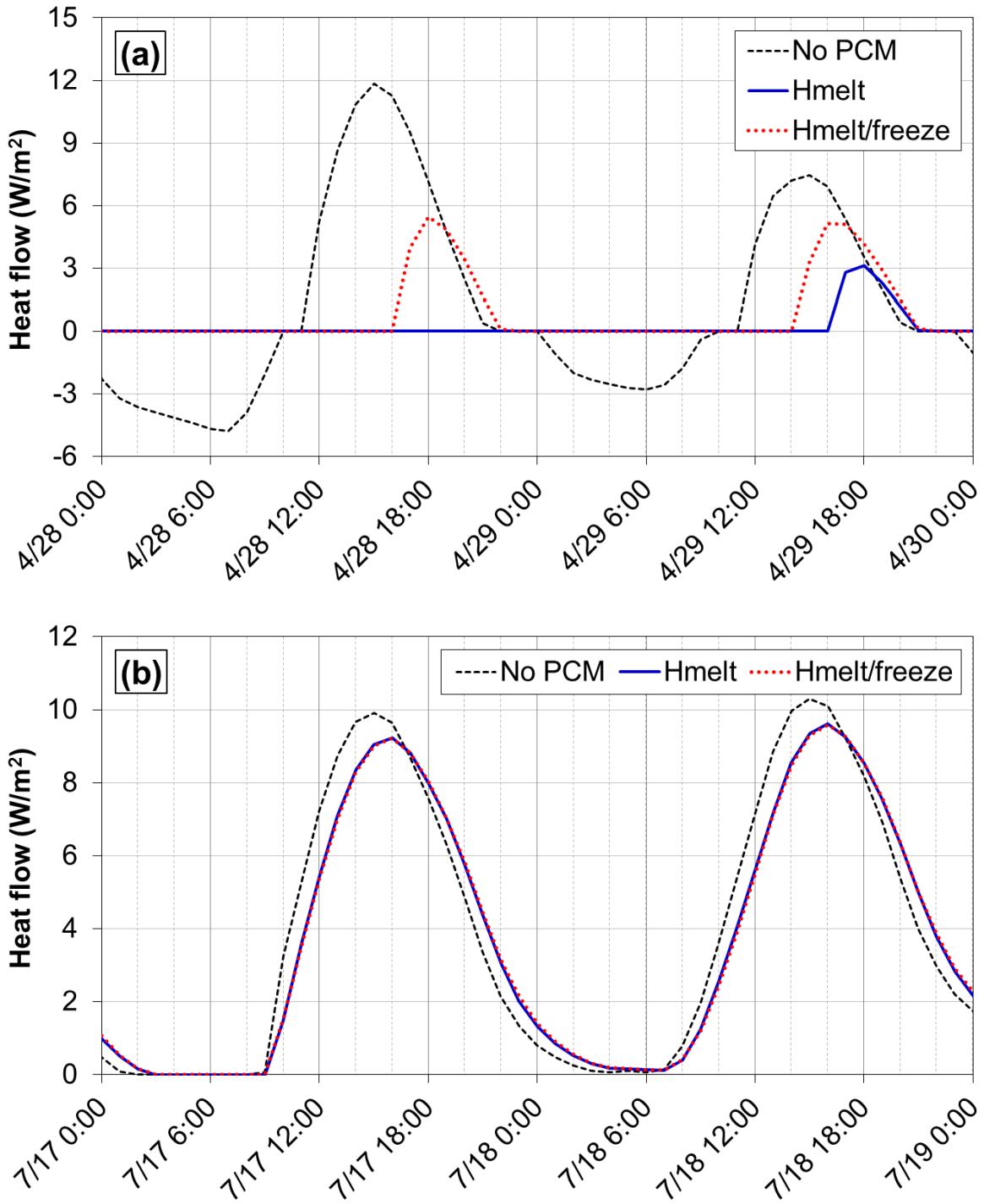


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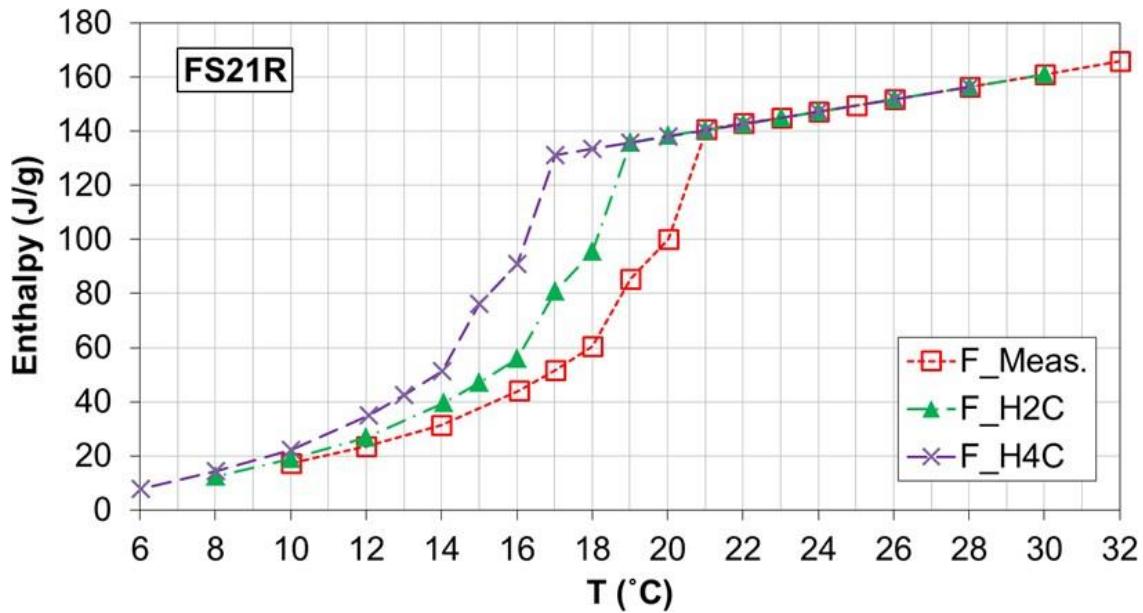


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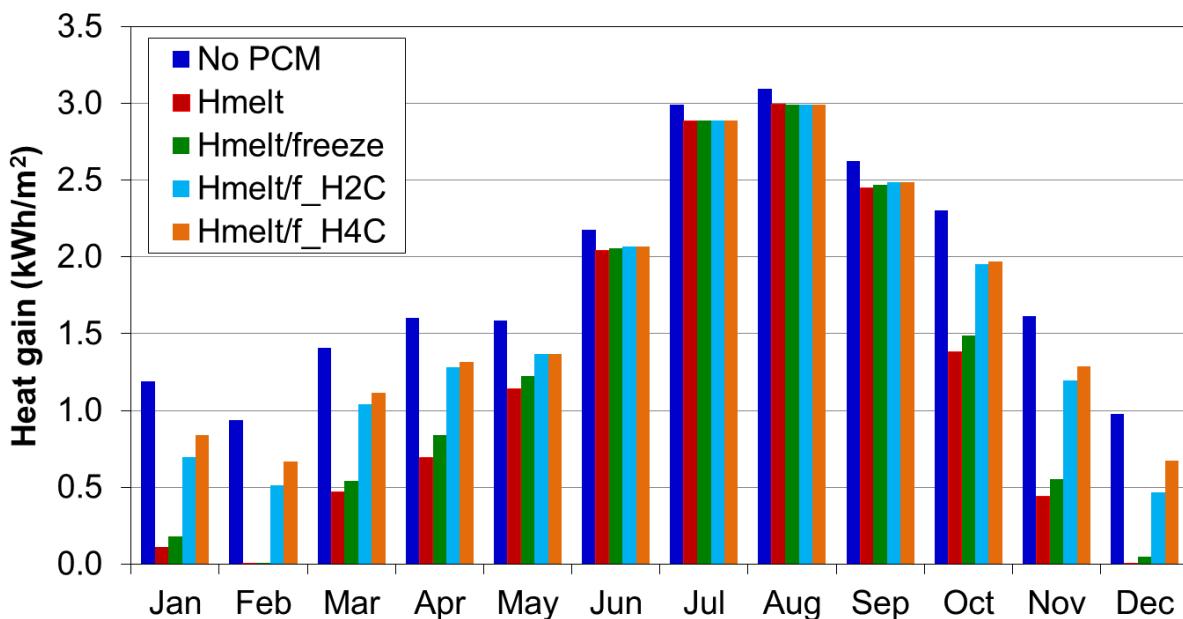


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