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# Is desiccation tolerance and avoidance reflected in xylem and phloem anatomy of two co-existing arid-zone coniferous trees?

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## Abstract

Plants close their stomata during drought to avoid excessive water loss, but species differ in respect to the drought severity at which stomata close. The stomatal closure point is related to xylem anatomy and vulnerability to embolism, but it also has implications for phloem transport, and possibly phloem anatomy to allow sugar transport at low water potentials. Desiccation tolerant plants that close their stomata at severe drought should have smaller xylem conduits and/or fewer and smaller inter-conduit pits to reduce vulnerability to embolism, but more phloem tissue and larger phloem conduits compared to plants that avoid desiccation. These anatomical differences could be expected to increase in response to long-term reduction in precipitation. To test these hypotheses we used tridimensional synchrotron X-ray microtomograph and light microscope imaging of combined xylem and phloem tissues of two coniferous species: one-seed juniper (*Juniperus monosperma*) and piñon pine (*Pinus edulis*) subjected to precipitation manipulation treatments. These species show different xylem vulnerability to embolism, contrasting desiccation tolerance, and stomatal closure points. Our results support the hypothesis that desiccation tolerant plants require higher phloem transport capacity than desiccation avoiding plants, but this can be gained through various anatomical adaptations in addition to changing conduit or tissue size.

Key words: conduit size, inter-conduit pit, phloem transport, stomatal closure point, xylem vulnerability, synchrotron X-ray microtomography

Summary statement:

Stomatal closure point during drought is related to xylem anatomy and vulnerability to embolism, but little is known about how stomatal closure point and the need to transport sugars at low tissue water potentials affects the phloem. We used tridimensional synchrotron X-ray microtomograph and light microscope imaging of combined xylem and phloem tissues of two coniferous species that show contrasting desiccation tolerance, and stomatal closure points to test recent theoretical hypotheses about the changes needed in phloem anatomy to allow sugar transport at low water potentials. Our results support the hypothesis that plants operating at low water potentials require higher phloem transport capacity than plants that maintain relatively high water potentials, but the high transport capacity can be gained through various anatomical adaptations in addition to changing conduit or tissue size.

## Introduction

One key feature of plant life is the need to take up CO<sub>2</sub> from the atmosphere for photosynthesis, but control simultaneous water loss that occurs through the stomata. Water uptake from the soil is usually slower than transpiration from the leaves when stomata are open. This leads to decreasing water reserves and increasing water tension in the stem during the day (Irvine & Grace 1997; Sevanto et al. 2005). Increasing water tension can lead to embolization of xylem conduits (Tyree & Sperry 1989). If uncontrolled and excessive, embolism can spread and lead to catastrophic failure of the water conducting system (Sperry & Pockman 1993). Therefore, in some conditions, it is preferable for the plant to close the stomata to avoid water loss, even if this means simultaneously blocking CO<sub>2</sub> uptake for photosynthesis.

The conditions considered severe enough to favor stomatal closure differ between plants even in the same environment (Brodribb et al 2003; Quero et al. 2011). Desiccation avoiding (isohydric) plants close their stomata at relatively high tissue water potentials (low water stress), relying on their carbon reserves for the duration of drought. Desiccation tolerant (anisohydric) plants keep the stomata open longer during drought (Tardieu and Simmoneau 1998), maintaining a more positive carbon balance (McDowell et al 2008). In recent years, theories for explaining these differences have been developed and tested to allow improved prediction of plant responses to changing environment (Cowan & Farquhar 1977; Berninger et al. 1996; Meinzer 2002; Katul et al. 2010; Manzoni et al. 2013; Sperry et al. 2016). These theories state that to avoid catastrophic hydraulic failure the stomatal closure point (leaf water potential at which stomata close during drought) is linked with xylem vulnerability to

embolism (Sperry 1986; Cochard et al. 1996; Nardini & Salleo 2000; Sperry 2000; Brodribb et al. 2003; Brodribb et al. 2009). Plants that tend to have a more vulnerable xylem close their stomata earlier during drought than plants that have more embolism resistant xylem (Meinzer et al. 2009).

Xylem vulnerability to embolism has been linked with several anatomical features. Generally, large and long conduits that provide a high hydraulic conductivity are more vulnerable to embolism than short and narrow conduits (Gleason et al. 2015). This has been observed both between (e.g. Smith et al. 2013; Scoffoni et al. 2017) and within species (Cai & Tyree 2010), and even between different tissues in an individual (LoGullo et al. 1995; Domec & Gartner 2002). Highly conductive xylem could give a plant a competitive advantage (Brodribb & Hill 1999). But because of the increased vulnerability, a trade-off between hydraulic conductivity and xylem vulnerability favors small conduits in arid environments (Martinez-Vilalta et al. 2002; Brodersen 2015; but see also Gleason et al. 2015). Whether the vulnerability of large conduits is purely due to large conduit volume leading to a large amount of dissolved gases that could increase the probability of bubble formation (Sevanto et al. 2012), or some other factor that correlates with conduit volume, such as number of pits, total pit area (Wheeler et al. 2005), pit structure (Choat et al. 2003; Choat et al. 2004) or size of largest inter-conduit pores (Christman et al. 2009) is still unclear. For conifers, there is increasing evidence that drought-induced embolism is related to the anatomy of pit structures and inter-conduit pore size (Wheeler et al. 2005; Cochard et al. 2009; Delzon et al. 2010; Pittermann et al. 2010; Jansen et al. 2012; Brodersen et al. 2014) with air-seeding thought to be the main mechanisms of bubble formation (Hacke et al. 2004; Cochard et al. 2009). High pit abundance and/or large pit size, while reducing flow resistance in the xylem, could lead to high vulnerability by creating more “weak spots” at the cell walls (Wheeler et al. 2005).

In addition to the xylem, the plant hydraulic system includes the sugar transporting phloem. Phloem and xylem are located next to each other, and they generally operate at hydraulic equilibrium (Thompson & Holbrook 2003). When xylem tension increases because of increased transpiration, phloem water potential quickly adjusts osmotically to match that of the adjacent xylem to avoid water leakage to the xylem and possible loss of turgor in phloem cells (for review see Savage et al. 2016). The good hydraulic connection between the xylem and the phloem has led to theories on how limitations in phloem function could lead to

stomatal closure (Nikinmaa et al. 2013), or even plant mortality during drought (Sala et al 2010; McDowell & Sevanto 2010). If phloem transport capacity is compromised by an increase in viscosity of the phloem sap resulting from osmoregulation to balance water potential with the xylem, then increasing sugar concentration in the leaves could induce stomatal closure (Hölttä et al 2009; Nikinmaa et al 2013). On the other hand, if the osmoregulation fails due to declining carbohydrate reserves, phloem might lose turgor and collapse, facilitating plant mortality (Sevanto et al. 2014; Sevanto 2014).

Phloem anatomy of many plants is poorly characterized, and considerations of possible impacts of phloem function on stomatal control and plant survival during drought are very recent. Therefore, little is known about how phloem function and vulnerability to drought is reflected in phloem anatomy. Theoretically, the link between phloem anatomy, transport capacity and vulnerability to drought could be defined by the strength of the hydraulic connection between the conduits and their surroundings including the xylem (Sevanto 2014). If hydraulic conductivity between these tissues is relatively low, the conduits operate fairly independently, and axial flow through each conduit should approximately follow the Hagen-Poiseuille equation. In this case, phloem transport capacity during drought can be limited by increasing sap viscosity, which results from the increased need of osmoregulation with declining xylem water potential. Plants that operate at low leaf water potentials could structurally compensate for this by increasing conduit diameter, or by increasing the number of phloem conduits (Hölttä et al. 2009; Sevanto 2014). The relative increase in conduit diameter required to maintain transport capacity unchanged under declining water potential can be calculated by combining the semi-empirical equation of Morrison (2002) for viscosity increase as a response to increasing sucrose concentration with the van't Hoff equation for the relationship of solute concentration and osmotic potential. Using the Hagen-Poiseuille equation for calculating mass flux (see Supplementary materials) results in

$$\frac{D_2}{D_1} = \sqrt[4]{e^{\frac{4.68 \cdot 0.956 \cdot \left(\frac{V \Psi}{RT}\right)}{1 - 0.956 \cdot \left(\frac{V \Psi}{RT}\right)}}} \quad (1)$$

where  $D_1$  and  $D_2$  are the initial and final conduit diameters,  $V$  is the molar volume of sucrose in water solution, here taken at 20°C (Fucaloro et al 2007),  $\Psi$  is the tissue water potential,  $R$  the gas constant and  $T$  temperature (K). To maintain a constant mass flux despite increasing

sap viscosity phloem conduits should increase in diameter by a factor of 2 in plants operating at water potential  $<-5\text{MPa}$  compared to plants that close stomata at water potential of  $\sim-2\text{MPa}$  (Fig S1a). Similarly, if the phloem mass flux was maintained solely by increasing the number of conduits of similar size, plants operating at minimum water potential  $<-5\text{MPa}$  should have 10 times more phloem conduits than plants that close stomata at  $\sim-2\text{MPa}$  (Fig S1b). These values, however, are hard to verify empirically, because they are influenced by the tissue age and sample location, as well as the leaf area supported by these tissues, and the magnitude of the hydraulic conductivity between phloem conduits and their surroundings.

In conifers the phloem tissue consists almost solely of conduits and their companion cells (Strasburger cells) (Schulz 1990), and the buffering impact of parenchyma cells surrounding phloem conduits is small, leaving phloem conduit water potential linked more directly with xylem water potential than in many angiosperm species. The relatively strong hydraulic coupling between the xylem and the phloem results in a strong influence of the conductive capacity of one tissue on the other, and structural investments that increase conductivity of one also improve conductivity of the other (Hölttä et al 2009). According to a modeling study, at stomatal closure point  $\sim-5\text{MPa}$  and with hydraulic conductivity between these tissues  $\sim 10^{-13} \text{ m Pa}^{-1} \text{ s}^{-1}$ , the abundance of xylem to phloem conduits should scale as 6:1 assuming similar conduit size in both tissues. At minimum xylem water potentials of  $\sim-2\text{MPa}$ , the amounts of xylem and phloem conduits should scale roughly 22:1 (Hölttä et al. 2009). Thus, plants operating at low leaf water potentials should have relatively larger phloem to xylem ratios than plants that close stomata relatively early during drought.

Structurally, hydraulic coupling between the xylem and the phloem, and between conduits within each tissue can be achieved either by inter-conduit pits (sieve pores in the phloem) or semi-permeable conduit walls. In the first case, conduit walls can be impermeable to water and all water exchange between conduits occurs via the pits. This model matches the observations of xylem anatomy and flow (Kim et al. 2014), and supports the use of Hagen-Poiseuille equation for describing the flow, even if the increased flow resistance in the pits introduces an offset from the theoretical maximum conductivity (Pittermann et al. 2006). In the phloem, however, it is possible that the conduits are connected to their surroundings via semipermeable conduit walls facilitated e.g. by aquaporins (Laur & Hacke 2014). In this case, a theory of flow in tubes with semipermeable walls is needed for the analysis (Sevanto

2014). Fluid viscosity will not limit fluxes, because water exchange between the conduit and its surroundings constantly dilutes the solution. The mass flux also becomes directly proportional to the conduit radius (see e.g. Phillips & Dungan 1993; Supplementary materials), unlike in Hagen-Poiseuille flow where the flux is proportional to the fourth power of the conduit radius (Bird et al. 2002; See also supplementary materials). This limits the capacity of the plant to increase tissue conductivity by adjustment of conduit size. The influence of increasing the number of conduits on tissue transport capacity is not as straightforward as in the case of conduits with non-permeable walls because conduits would not operate as independent entities, but more like an interconnected network.

Based on these considerations, we hypothesize that, in addition to a more embolism resistant xylem, desiccation-tolerant plants that conduct photosynthesis and maintain phloem transport at low tissue water potentials should have a more conductive phloem than desiccation-avoiding plants. This high conductivity could be achieved by larger phloem conduits and/or larger phloem tissue (more conduits) if the phloem conduits are hydraulically relatively isolated from their surroundings. Alternatively, if the phloem conduits are hydraulically well connected to their surroundings, increased transport capacity could primarily be achieved via increased tissue size (more conduits), or increased hydraulic conductance between adjacent conduits. Similarly, if these traits are important for survival during drought, we would expect dry growth conditions to promote structural adaptation towards decreased vulnerability to embolism in the xylem in both plant groups, and increased phloem transport capacity at high xylem water tensions in desiccation-tolerant species.

To test these hypotheses, we used phase contrast synchrotron X-ray microtomography imaging of xylem and phloem anatomy in piñon pine (*Pinus edulis*) and one-seed juniper (*Juniperus monosperma*) grown for 5 years under precipitation manipulation treatments (see e.g. Plaut et al. 2012). This method yields 3D datasets of the cellular structure in presence of water or sap allowing characterization of phloem geometry as it would be *in situ*. We also combine tomography with light microscopy to compare tissue size of the xylem and phloem. Piñon pine and one-seed juniper have been used in many studies as a model system of two co-existing species representing extremes of desiccation avoidance and tolerance (McDowell et al 2008; Plaut et al. 2012; Pangle et al. 2015). Typical of pine trees, piñon pine closes its stomata at about -2.0 to -2.5 MPa leaf water potential, while the co-occurring one-seed juniper allows leaf water potential to drop to ~-5MPa before stomatal closure (Garcia-Forner

et al. 2016) maintaining photosynthesis rates up to  $6 \mu\text{mol m}^{-2} \text{s}^{-1}$  at leaf water potentials below stomatal closure point of pine (Limousin et al. 2013). Piñon pine is also more vulnerable to xylem cavitation ( $P_{50} = -2.75 - -4.4 \text{ MPa}$ ) than one-seed juniper ( $P_{50} = -8.25 - -9.5 \text{ MPa}$ ) (Plaut et al. 2012; Hudson et al. 2018). As measures of conductive capacity and xylem vulnerability to cavitation, we compared total xylem and phloem area, xylem and phloem conduit size, abundance of inter-conduit pits, inter-conduit pit diameter and width, the latter of which has been related to cavitation vulnerability via inflection of the torus when aspirated (Hacke et al. 2004; Pittermann et al. 2010). To shed light on how hydraulically independent xylem and phloem tissues might be, we compare our results with the predictions of conduit size and increase in conduit abundance required for maintaining mass fluxes under drought (Fig. S1).

## **Materials and Methods**

### ***Sampling and imaging***

Samples were collected at the Sevilleta precipitation manipulation experiment (Pangle et al. 2012, Plaut et al. 2012) in March 2013. The site is located in the Los Pinos mountains within the Sevilleta National Wildlife Refuge in Socorro County, New Mexico (N  $34^{\circ}23'13''$ , W  $106^{\circ}31'29''$ ). The experiment consisted of two precipitation manipulations (irrigation and drought) initiated in 2007, and two control treatments: ambient and control for drought structure (Pangle et al. 2012). For irrigation, ambient precipitation was enhanced by up to 42% each year and distributed using above-canopy sprinklers over the irrigation plots in 3-6 evenly distributed irrigation events during each growing season (April-September). For drought, ~50% of the surface area of the plots were covered with plastic troughs that redirected precipitation away from the plot. This resulted in ~45% reduction of available water from precipitation (for more details see Pangle et al. 2012, Plaut et al 2012. Dickman et al. 2014). We randomly selected two piñon pine and two one-seed juniper trees from ambient, irrigation and drought treatments in treatment block #1, the only replicate in which all trees were still alive after 5 years of treatment (Dickman et al. 2014). Two ~30-50cm long branches from the south side of each tree were cut, immediately inserted in a PVC tube filled with water, and sealed with a stopper to maintain branch hydration during transport. All branches were placed in opaque plastic bags and transported in a plastic box insulated with

expanded polystyrene to the Paul Scherrer Institute, Switzerland for imaging. Branch transport from cutting to final measurement took a total of 5 days. Upon arrival the cut ends were still submerged in water and all branches were functional, which was confirmed by measuring stomatal conductance under a growth light with a leaf porometer (SC-1 Decacon Devices, Pullman, WA, USA). At the Paul Scherrer Institute, we cut ~2x2x20mm (LxWxH) tooth-pick-like segments containing both xylem and phloem tissues including the cambial zone (Fig 1) from the middle of the branches with a razor blade under a light microscope. The segments were attached vertically to small sample holders using bee's wax. The wax was shaped to form a small bowl containing water to keep the sample moist during imaging.

The samples were imaged by phase contrast synchrotron radiation X-ray tomographic microscope at the TOMCAT beam line of Swiss Light Source (SLS), Paul Scherrer Institute, Villigen, Switzerland. The samples were placed inside an environmental chamber set to keep approximately 95% relative humidity at room temperature (for more detail see Derome et al. 2011). During image acquisition, local tomography datasets were acquired on a specific region of interest (ROI), selected in the middle of the sample so that the xylem-phloem interface was visible (Figure 1). Due to the low X-ray attenuation coefficient of the samples, especially the phloem, the phase contrast imaging method was used. We used 15keV energy, PCO.Edge 5.5 detector with 10x and 20x objectives leading to a pixel size of 0.65 or 0.35  $\mu\text{m}$ , respectively. The sample was set 73mm from the detector. The X-ray dosage is very low, resulting in no temperature change, nor any visual damage of the samples. Such damage during acquisition would have prevented reconstruction. We acquired 32 dark field and 100 flat field images for each sample to allow for image correction. For each sample measured with 10x magnification, we acquired 1501 projections at equiangular positions over a total rotation angle range of 180° with 110ms exposure. For samples measured with 20x magnification, the number of projections was 2001. Each radiographic image consisted of 2560x2160 pixels leading to a window of view of ~ 1.6x1.4 or 0.9x0.8  $\text{mm}^2$ , depending on the magnification. The 10x magnification (leading to 0.65  $\mu\text{m}$  pixel size) was used initially for two control tree samples. This magnification allowed for analysis of conduit length, but pit structures remained obscure. To allow for pit size analysis, we used 20x magnification for all the other samples at the expense of conduit length analysis. The reconstruction procedure used phase retrieval with the Paganin algorithm. After reconstruction, the dataset for each sample consisted of 2160 cross-sectional 2D slices of 2560x2560 pixels, 1 pixel apart in the

axial direction. These images were then rendered to form 3D images of the samples using Avizo 3D (FEI, Hillsboro, OR, USA), and ImageJ (Schneider *et al*, 2012) software.

For estimating the total phloem and xylem areas, and xylem-phloem area ratios, we collected another set of branches from the same site. Sun exposed branches, 3-4mm in diameter and representing growth from the last 3-4 years, were collected from five drought and irrigation trees per species including all trees that were used for 3D imaging. The branches were sliced into thin segments close to the cut end, and mounted on microscope slides using the free hand sectioning protocol of Taylor (1957). In this method the samples are mounted moist and their shape retained by the high moisture content. The samples were stained with cresyl violet to help identify the tissues, and imaged with a compound light microscope (Zeiss AxioImager M1, Carl Zeiss MicroImaging, Gottingen, Germany) at 10x magnification at the University of New Mexico imaging laboratory. The leaf area supported by the xylem and phloem tissues of these branches was estimated based on stem diameter at the cut end of each sample using allometric equations for each treatment measured for these trees in the year prior to sample collection (Ms. Amanda Boutz, unpublished data).

### ***Image analysis***

All image analysis was completed using the visualization and analysis software Avizo 3D (FEI, Hillsboro, OR, USA), and ImageJ (Schneider *et al*, 2012). Total xylem and phloem areas were determined from the light microscopy images by tracing the xylem and phloem boundaries and using the ImageJ area measurement tool. Total tissue area included conduit lumens, cell walls, and possible ray cells and resin ducts located within the tissue, but excluded the central pith. Typical of coniferous species (Schulz 1990), the phloem of both species consisted almost exclusively of sieve elements, and therefore this method gave a realistic estimate of conduit numbers. Total xylem and phloem areas were measured in two excisions from each branch, cut at least 1 cm apart to avoid pseudo-replication of the same conduits, and averaged.

### ***Xylem and phloem conduit size analysis***

Xylem and phloem conduit sizes were measured from the 3D X-ray tomography images. In order to analyze a representative array of conduit diameters from the 3D renderings, three slices, namely #400, 1000, and 1800 from within the 2160 slices making one dataset, were taken. This allowed us to measure conduits at multiple points along their length, removing

any bias among cell sizes from a single image. Single conduits appeared to be ~1 mm in length (determined from the images of the samples measured with 10x magnification), thus exceeding the length of most of our imaged samples (imaged at 20x magnification). To minimize the possible influence of pseudo-replication and human bias in selecting xylem conduits for analysis, we divided each growth ring of the xylem into ten equal sections, two rows by five columns. A random number generator was then used to select one to two target conduits from within each section. Thus, a total of 10-20 xylem conduits were selected from each image, depending on the size of the image and the identifiability of the cells. Once each conduit was selected, the freehand selection tool in ImageJ was used to outline the target cell. The cell was designated as a region of interest (ROI) and added to the ROI Manager. Once all xylem conduits for an image were collected, the area of each cell was measured using the “analyze particle” function in ImageJ.

The phloem structure of both species closely resembled the anatomical organization of the xylem. The phloem consisted almost solely of sieve elements with companion cells embedded in the conduit wall (see also Schultz 1990). In pine, easily identifiable large resin ducts were the main cell type in addition to sieve elements. In juniper, the sieve element rows were occasionally transversed by clusters of fibers with high contrast in our images (Fig 2). In both species ray cells continued from the xylem through the cambial zone to the phloem, and could be easily identified from the 3D tomography images. Based on these observations, and comparisons with Saderi et al (2013), Schweingruber et al. (2006), Alfieri & Evert (1973) and Abbe & Crafts (1939) phloem conduits were identified within the first ~5 cell layers from the cambium to ensure they were still living (Alfieri & Evert 1973). All easily identifiable conduits within this 5 cell layer section were selected and analyzed in the same way as xylem conduits.

#### *Pit abundance and size analysis*

For analysis of pits, the 3D datasets of each sample produced by Avizo software were aligned with a global coordinate system, adjusted for brightness and contrast and sliced in both the radial and tangential directions (see Fig 1) to produce three cross sections in each direction. The cross sections were obtained from both ends and the middle of the sample to avoid sampling the same conduits multiple times. Pit abundance was defined as the number of pits per cross sectional area. This measure was selected because our samples did not cover the

whole length of conduits, and therefore the number of pits per conduit could not be calculated reliably. Each tangential surface slice was divided into six equal sections, three rows by two columns. A random number generator was used to select three of the six sections. The total number of pits in each of these three sections was counted. This total was then divided by the area of the section, calculated using the measurement tool in ImageJ. The number of pits for each of the three sections was then averaged to determine the mean number of pits per area of each slice. These averages were used in the statistical model as independent samples of the dependent variable. Tree identity was set as a random variable to avoid pseudoreplication issues stemming from the analysis of multiple images per tree (see *Statistical analysis* below).

Pit diameter, equivalent to membrane diameter in Hacke et al. (2004), was measured from radial and tangential cross sections using Avizo software. Tangential surface cross sections revealed pits that connect conduits in the tangential direction as small holes in the cell walls (Fig 1). Similarly, radial surface cross sections showed pits that connect conduits in the radial direction as small openings in the cell wall. Pit size was measured by scanning through the cell wall in the 3D analysis mode of Avizo and counting the number of slides from the first visible opening of the pit until it closed. Pit size was then obtained by multiplying the number of slides by the image pixel size. This method allowed for faster and more reliable measurement of pit size for a large number of pits than measuring the diameter of the circular pit openings (Fig 1). Our image contrast and resolution was not sufficient for visualizing more detailed pit structures such as the size of the torus and margo.

To determine average pit size of each sample, we randomly selected 10 pits in each of the three slides. For tangential pits, we used only the 2012 growth ring. For radial pits, we measured pits at any growth ring because they occurred only in the latewood cell walls and were thus fewer (see Results). This procedure resulted in 10 to 40 pits analyzed per sample. Pit width was defined as the length of the pit opening through the cell wall (representative of  $r_y$  in Hacke et al. 2004), and measured using the images identified above and the ImageJ measurement tool. Ten pits for which the full width was visible were randomly selected from each of the three image sections. Each measurement was treated as an independent measurement with no averaging in the statistical model.

### *Xylem and phloem conduit abundance*

To test the model predictions of Hölttä et al. (2009) on the ratio of xylem and phloem conduit abundance and tissue transport capacity, we combined the conduit size measurements from X-ray tomography with total xylem and phloem areas measured using light microscopy. The xylem-to-phloem conduit abundance ratio was calculated as

$$\frac{n_x}{n_p} = \frac{A_x a_p}{a_x A_p} \quad (2)$$

where  $n_x$  and  $n_p$  are the number of xylem and phloem conduits,  $A_x$  and  $A_p$  the total areas of xylem and phloem tissue measured from light microscopy images and  $a_x$  and  $a_p$  the average conduit areas of each tree measured from the X-ray tomography images. This approach takes into account the possible differences in xylem and phloem conduit sizes that were omitted in the model of Hölttä et al. (2009). Phloem and xylem tissue in this analysis consisted of all the cell types included in the region identified as phloem or xylem (Fig S2). In pine, the phloem was surrounded by a large layer of parenchyma cells identified as storage tissue and not included in phloem area.

### *Error analysis*

In the xylem, the conduits and other structures were generally easy to identify as a result of high contrast in the images, but in the phloem this was more challenging (Fig 1). Error in conduit size and pit size due to difficulty in determining the exact boundary between lumen and cell wall was estimated by measuring the difference between conduit sizes in the control treatment samples when brightness and contrast were set for the smallest and largest possible conduit size, respectively. We estimated that the error in the calculated xylem conduit area was ~4%, and in the phloem it was ~8%. Error in pit size measurements resulted from the pixel size and was ~9-11% for images taken with 20x magnification and 16-20% for images taken with 10x magnification.

### *Statistical Analysis*

To evaluate which predictors (species, treatment, tissue) explained variation in xylem and phloem conduit size, pit abundance, and pit size we first built the most general linear mixed effects model for the variable in question using the package lme4 (Bates *et al.*, 2015) in R (R Core Team, 2013) or anovan in Matlab (version 7.11.1 Mathworks Inc., Natick, MA, USA).

Based on the significance of the predicting variables and their interactions we then simplified the model using stepwise multiple regression to determine the minimum adequate model (MAM). Species and treatment were used as fixed effects. The factor 'individual tree' was set as a random effect, with image number nested in this factor when appropriate. This allowed for the absorption of noise due to natural variation between and within individuals. We used a Gaussian error structure, and log-transformed data in order to comply with the assumptions therein when appropriate. The model fits were confirmed using QQ-plots, and the significance determined using the  $\chi^2$  test. When random effects were insignificant in absorbing variation, the significance of the differences between treatments, tissues and species were determined using Tukey-Kramer post-hoc test with  $F$ -statistics, and significance level set at  $p < 0.05$ . For xylem and phloem conduit, and pit size the random effects absorbed 25% and 13% of the variation, respectively leaving the applicability of the Tukey test slightly questionable, but even in these cases the Tukey-test results agreed with the significances obtained from the linear mixed model. For pit abundance, xylem-to-phloem area ratio and xylem-to-phloem conduit abundance ratio random effects absorbed  $< 5\%$  of the variation and application of Tukey test can be considered robust.

To test for correlations between structural parameters such as conduit size and pit size, we conducted linear regression analysis for each species separately. Significance of correlations was tested using t-test. Medium significance was defined as  $p < 0.05$ , and high significance as  $p < 0.01$ . T-test was also used to test whether the xylem-to-phloem area and conduit abundance ratios differed significantly from one.

## **Results**

### ***Xylem and phloem area and conduit size***

Compared to the xylem area, phloem area was larger in juniper, whereas xylem area was larger than phloem area in pine (Fig 3a). This difference was consistent between drought and irrigation treatments, and the actual area ratios were not influenced by the treatments. There were no statistically significant differences between species or treatments in xylem-to-phloem conduit abundance ratios (Fig 3b). All these ratios were considerably smaller than the ones predicted by the Hölttä et al. (2009) model in both species ranging from 0.9 (9:10) in irrigated juniper to 2.3 (23:10) in drought pine, which was the only value statistically significantly

different from one. The difference in xylem-to-phloem area ratio was due to considerable differences in tissue type distribution between pine and juniper branch cross-sections (Fig S2). The xylem area was similar in both species, but juniper had considerably more phloem than pine. The pine phloem was only a narrow strip around the xylem separated from the bark layer by parenchyma cells and resin ducts. In juniper there was no layer separating the phloem from the bark. In juniper the branch cross sections consisted of  $35\pm 4\%$  xylem and  $18\pm 2\%$  phloem, while in pine cross-sections were composed of  $10\pm 5\%$  xylem and  $8\pm 5\%$  phloem (no statistically significant differences between treatments). These tissues supported three to four times more downstream leaf area in juniper than in pine. Sample leaf area in the juniper irrigated treatment was  $94.5\pm 0.5\text{ cm}^2$  and in drought treatment  $66.7\pm 0.5\text{ cm}^2$ , while in pine the leaf areas for these treatments were  $24.9\pm 0.7\text{ cm}^2$  and  $23.8\pm 0.6\text{ cm}^2$ , respectively.

Conduit size, as well as the conductive capacity in both Hagen-Poiseuille flow and the semipermeable scenarios depended significantly on the three-way interaction of species, treatment, and tissue ( $\chi^2 = 6.8436$ ,  $p = 0.0327$ ), but there were few statistically significant differences between species, tissues or treatments (Fig 4). In juniper, phloem conduits were larger than xylem conduits in the drought treatment, and their conductive capacity was twice of the conductive capacity of xylem conduits in the semipermeable conduit wall scenario (Fig 4b), and a five times the conductive capacity of xylem conduits in Hagen-Poiseuille flow (Fig 4c). Note that this comparison is somewhat arbitrary because we can expect flow in the xylem to follow the Hagen-Poiseuille equation, but in the phloem it is possible that the conduit walls are semi-permeable. In pine, phloem conduit size decreased progressively with increasing precipitation resulting in significantly smaller conduits and lower transport capacity in irrigation than in drought. In juniper, phloem conduit size did not respond to the treatments. Xylem conduits did not differ in size or transport capacity between species or treatments.

Though we did not quantify differences between treatments in growth ring formation, it was clear from the images that the growth rings were narrower in droughted trees than in irrigated trees (Figs 2 and 5). In droughted trees latewood regions typically consisted of only one or two cell layers with walls that were barely thicker than those found in the early wood, while in irrigated trees the thickening of latewood cell walls was clear. In droughted pine it was difficult to distinguish between growth rings using the axial images alone, but pits connecting

conduits in the radial direction were found only at the cell walls of late wood and at the cambial zone. Their occurrence was thus used to help identify latewood and growth rings (Fig 5).

In both species phloem cell walls in the tangential direction were thicker than the cell walls in radial direction (Figs 2, 5 and 6). In juniper the phloem conduits formed clusters of two conduits separated by a very thin cell wall in the radial direction, with a cell layer in between the clusters (Fig 6 insert), while in pine the phloem conduits were surrounded by clearer cell walls. The thin cell wall between the phloem conduits in juniper could be a column of living cells dividing the conduit cluster into two or three compartments (Fig 6 and insert in Fig 2). In our analysis of conduit size we treated the two conduits separated by the thin cell structure as individual conduits.

### ***Inter-conduit pits***

Pits connecting xylem conduits in the tangential direction were very abundant at the conduit ends of both species (Fig 7). Radial pits were much less abundant than tangential pits and located only at late wood cell walls (Fig. 6). Therefore, we only analyzed radial pits for pit size.

In the xylem the abundance of tangential pits depended on species ( $\chi^2 = 16.837$ ,  $p < 0.0001$ ), but not treatment ( $\chi^2 = 2.474$ ,  $p = 0.2903$ ) or their interaction ( $\chi^2_2 = 5.1834$ ,  $p = 0.0748$ ).

Juniper trees had significantly more pits than pine trees (Fig 8a). In all species and treatments tangential pits were larger than radial pits ( $F = 47.11$   $p < 0.001$ ) (Fig 8b), but the only significant difference in pit size was found between species when comparing irrigation treatment only. Irrigated junipers had significantly wider pits than irrigated pines ( $\chi^2_1 = 6.0017$ ,  $p = 0.0143$ ) (data not shown). In other treatments, there was no difference between species.

Tangential cell walls in the phloem of both species consisted of openings resembling xylem pits, but lacking clear pit borders (Fig. 7). These clusters of openings have been identified as sieve pore areas (Schulz 1990). In pine, sieve pores seemed to be clearly clustered to distinct areas. In juniper, however, they were so abundant that the whole conduit wall seemed to be perforated by sieve pores making the phloem look more like an interconnected conduit network rather than consisting of individual, isolated conduits. In pine, phloem sieve pores

were similar in size to tangential xylem pits and no statistically significant differences were found between treatments (data not shown). In the juniper phloem, the images were not clear enough for reliable analysis of sieve pore size.

To test for correlations between these structural parameters we conducted simple regression analyses for each species. Generally, there were more significant correlations between structural traits in juniper than in pine, but the only highly significant correlation was found between the size of radial and tangential pits in pine (Table 1). In both species, radial pits were about half the size of tangential pits (Fig 9). The slopes were not significantly different between species. In juniper, phloem conduit size was inversely related to both tangential and radial pit size in the xylem suggesting that higher phloem conduit transport capacity could be linked with lower connectivity between conduits. On the other hand, pit abundance in juniper was positively correlated with xylem conduit size suggesting that larger xylem conduits have a higher pit density. In pine there was no correlation between phloem conduit size and pit size, or xylem conduit size and pit abundance, but the correlation between pit abundance and tangential pit size was almost significant. This suggests that in pine there might be a tendency towards more pits resulting in smaller pits. In juniper, pit size and abundance were unrelated.

## **Discussion**

Our anatomical analysis revealed two major differences between the desiccation-tolerant one-seed juniper and desiccation-avoiding piñon pine that were independent of precipitation treatments. One-seed juniper twigs had more phloem area compared to xylem area than piñon pine (Fig 3). Additionally, there were more tangential pits per xylem area in juniper than in pine (Fig 8). The findings on phloem area are in line with what would be expected based on the connection between stomatal closure point and hypothesized phloem transport limitations during drought. The more vulnerable piñon pine closes stomata at higher leaf water potentials than the less vulnerable one-seed juniper (Garcia-Fornier et al 2016; Plaut et al 2012). To maintain phloem transport at low leaf water potential, juniper needs to invest in larger phloem tissue than pine (Hölttä et al. 2009; Sevanto 2014). The pit abundance, however, contradicts what would be expected based on xylem vulnerability of these species (Fig 8). Based on higher vulnerability, and generally higher sap flow rates in pine than in juniper (Plaut et al. 2012), we expected pine to show higher pit abundance than juniper. Our results

suggest that differences in pit abundance, size or width or in conduit size are not responsible for the difference in xylem vulnerability to embolism between these species (Fig 4 and 8). Differences in vulnerability of the torus-margo system, however, are possible. If pine torus contained for example more plasmodesmal pores than juniper torus did, higher vulnerability could be expected (Jansen et al. 2012) even independent of pit abundance.

The larger phloem area and relative conduit abundance in one-seed juniper than in piñon pine agree with the general trend suggested by Eq. (1) (Fig S1) and Hölttä et al. (2009) of low stomatal closure point generating a need for more phloem to maintain transport capacity. The conduit abundance ratios, however were considerably lower than would have been expected showing larger than predicted phloem area in both species. (Fig 3b). The Hölttä et al. (2009) study assumed similar conduit size both in the xylem and the phloem, but our lower conduit abundance ratios could not be explained by the observed differences in conduit sizes between these tissues (Fig 4). The large amount of phloem conduits compared to xylem conduits in both species might be due to differences in tissue distributions between young twigs and the main stem of trees. In older branches and tree parts the amount of xylem tends to increase faster than the amount of phloem (Jyske & Hölttä 2015), and the Hölttä et al. (2009) model considers tree stems rather than young twigs. Interestingly, the difference in phloem area fraction matched the difference in supported downstream leaf area between species, though no difference in phloem area between juniper treatments was found despite a large difference in leaf area between drought and irrigation twigs.

The low xylem-to-phloem conduit abundance could also be an indication of the different transport mechanisms in these two tissues. The xylem transport capacity could be higher compared to the phloem transport capacity even if the conduit abundance is similar because the flow is driven by different pressure gradients, and conduit size affects the hydraulic resistance differently. A true comparison of relative efficiency of transport in conduits with non-permeable and semi-permeable walls is difficult because the pressure gradients driving the flow are not comparable. In non-permeable conduits, the pressure gradient that drives the flow is a vertical gradient between the two ends of the conduits, while in conduits with semi-permeable walls a local vertical gradient that drives the flow is generated by a horizontal osmotic pressure gradient between conduits and their surroundings (Phillips & Duncan 1993, Sevanto 2014). It is also possible that the phloem in piñon pine and one-seed juniper operate differently. We observed an abundance of sieve pores in juniper phloem, while the structure

of piñon pine phloem suggested greater similarity to the xylem transport system (Fig 7). Furthermore, in one-seed juniper the phloem conduits formed clusters of two conduits separated by a column of cells that could have flexible walls and contain sugars (Fig 6). Even though we report no difference in phloem conduit size between pine and juniper, these structural differences might lead to differences in transport capacity that are not explained completely by the comparison of transport capacity of conduits with semipermeable and non-permeable walls. The flexible cell walls in juniper could influence the pressure development in the conduits, and the cells in the middle could induce loading and unloading of sugars described by the phloem relay scenario (Thompson & Holbrook 2003; Hölttä et al 2009). The operation of the clusters of two conduits might be better described as one unit rather than two separate conduits as was done in this study. Therefore, further investigation of the hydraulics of such systems is needed for robust conclusions of phloem adaptations to precipitation treatments in juniper, and comparisons of phloem transport capacity between piñon pine and one-seed juniper.

Interestingly, our results suggest that structural adaptation to precipitation treatments was not very strong in these species. The only clear treatment effects were the difference in xylem and phloem conduit size in the drought treatment for both species, the increase in pit width with irrigation in juniper, and the increase in phloem conduit size with decreasing precipitation in piñon pine (Fig 4). The increased difference in xylem and phloem conduit size in drought treatment compared to other treatments was due to slightly increased phloem conduit size in piñon pine, and slightly decreased xylem conduit size in one-seed juniper. This suggests that contrary to our hypothesis piñon pine rather than one-seed juniper seems to adapt to precipitation changes by changing phloem conduit size. Juniper's adaptation, on the other hand, was focused on the xylem, possibly making it even less vulnerable by reducing conduit size, although no treatment effect in xylem vulnerability have been reported on trees of this experiment (Plaut et al. 2012; Hudson et al. 2018). Another explanation for this adaptation pattern could be that juniper has already optimized its phloem structure for transport capacity in any conditions it is likely to encounter, and therefore no change was needed to adapt to drought treatment, but more moist conditions allowed it to invest in increasing xylem transport capacity and maintaining higher leaf area in the irrigation treatment. Similarly, pine could have optimized xylem conduit size for transport in its realm, but may have benefitted from increased phloem conduit size under drought conditions, due to lower inter-conduit connectivity via sieve pores than in juniper. In conduits with non-

permeable walls even a small change in conduit diameter has a large effect on flow resistance (Fig S1). The observed changes of  $\sim 1\text{-}2\ \mu\text{m}$  (33%) between irrigated and droughted trees (Fig 4) would mean a three-fold increase in conductivity (Hagen-Poiseuille equation) in pine. This change in conductivity would translate to an increase of about 1MPa in the osmotic potential that could be tolerated without viscosity-induced decline in mass flux when a plant operates at xylem water potentials  $> -4\text{MPa}$  (Fig S1). Below  $-4\text{MPa}$  xylem water potentials, the size of conduits has to increase much more rapidly to achieve similar gains in tolerable osmotic potentials. This might explain why juniper phloem structure differs from xylem structure more than pine phloem does. Interestingly, there was no correlation between xylem and phloem conduit size in either species, which suggests that these slight adaptations are tissue-specific, and independent of each other, even if the over-all cellular structure continues from one tissue to the other through the cambium (Fig 9).

The speculations on piñon pine phloem operating like a system of independent conduits with non-permeable walls are contrary to our hypotheses, and those suggested by Sevanto (2014) based on the theories of ease of phloem transport and turgor loss during drought. These hypotheses suggest that desiccation tolerant plants would benefit from less permeable conduit walls to reduce the influence of changes in xylem water potential on phloem transport. Desiccation avoiding plants, on the other hand, could have high hydraulic conductivity between the xylem and the phloem, because they control the declining xylem water potential by early stomatal closure. These hypotheses are supported by our observation of large storage tissue surrounding the phloem in piñon pine (Fig S2), and the branch-scale observations of Malone et al. (2016), which suggested higher hydraulic conductivity in the radial direction in pine than in juniper. These hypotheses and all our findings, however, could still fit together if the turgor loss in pine phloem (Sevanto et al. 2014) was facilitated by turgor loss in the storage tissue rather than in phloem conduits, and the highest resistance to radial water flux in juniper was at the cambial zone rather than between phloem conduits. More detailed information on the anatomy and function of different components of the phloem tissue is thus needed to understand the vulnerability of phloem transport in these species. One intriguing aspect of the hydraulic connection between the xylem and the phloem are radial pits found in both species only in latewood and across the cambial zone (Fig 5). Even though small and sparse these pits facilitate a direct hydraulic connection between these tissues allowing water to move from one growth ring to another, and from the xylem to the phloem even if the conduits themselves have non-permeable walls.

It is also intriguing that in juniper pit size in both tangential and radial directions was inversely correlated with phloem conduit size, and not correlated with xylem conduit size. In line with the model results of Hölttä et al. (2009), this could be interpreted as higher phloem transport capacity requiring lower hydraulic conductivity in the xylem, and between the xylem and the phloem. Whether the hydraulic connection between the xylem and the phloem is the root cause of small pit sizes, and small tangential pits just follow from genetic coordination of radial and tangential pit size, remains to be shown. It is equally possible that small tangential pits could be triggered by the need to decrease xylem vulnerability to embolism, and small radial pit size and large phloem conduit size would be simply responses to this. Although it was not statistically significant in our data set, there was a trend in juniper towards larger tangential pits with increasing precipitation (Fig 8), which supports the latter interpretation. All in all, our results suggest that high hydraulic transport capacity can be obtained via various anatomical adaptations, and some coordination in tissue anatomy occurs in an unexpected manner.

## **Conclusions**

We tested hypotheses presented in the literature about how different stomatal closure points would be reflected in xylem and phloem anatomy of desiccation avoiding and -tolerant plants using three-dimensional X-ray tomography and light microscopy imaging. Our results support the hypothesis that desiccation tolerant species need more conductive phloem tissue than desiccation avoiding species to maintain phloem transport at low tissue water potentials. An increase in phloem transport capacity, however, is not necessarily obtained only by increasing phloem tissue or conduit size. The contrast in juniper and pine phloem anatomy, and in anatomical response to decreased precipitation suggests that higher transport capacity can be obtained via various anatomical features that support good hydraulic connection between phloem sieve elements.

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Accepted Article

**Table 1:** Correlation coefficients between different anatomical properties for one-seed juniper and piñon pine. Significance of correlations was tested using t-test. Medium significance ( $p < 0.05$ ) is marked with \*, highly significant ( $p < 0.01$ ) with \*\*. The perfect correlations of a variable with itself are marked with 1. Values for juniper are in **bold**.

	Phloem conduit size	Pit abundance	Tangential pit size	Radial pit size
Xylem conduit size	<b>-0.1233</b> 0.1410	<b>0.6448*</b> -0.4257	<b>0.2356</b> -0.0889	<b>0.0230</b> -0.2225
Phloem conduit size	1	<b>0.3138</b> -0.1163	<b>-0.8479*</b> 0.4213	<b>-0.8212*</b> 0.2455
Tangential pit size	<b>-0.8479*</b> 0.4213	<b>-0.0640</b> -0.5814	1	<b>0.5675</b> 0.9731**
Radial pit size	<b>-0.8212*</b> 0.2455	<b>-0.2406</b> -0.5440	<b>0.5675</b> 0.9731**	1

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Figure 1

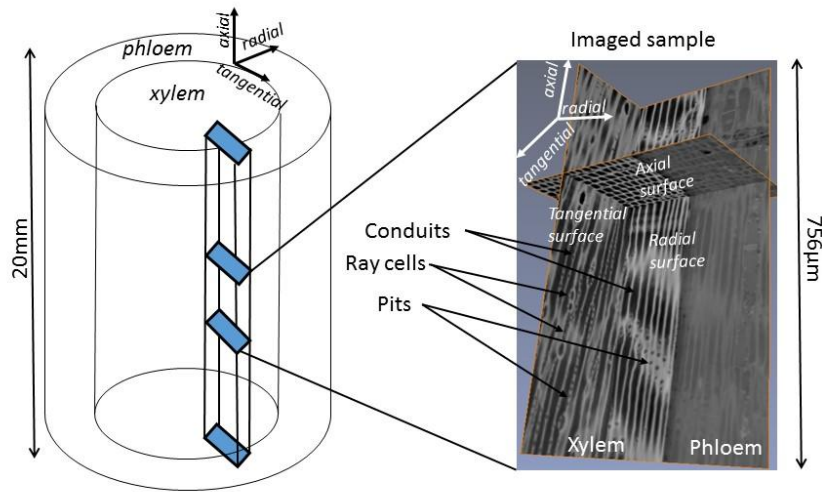


Figure 1: A schematic presentation of the samples imaged with X-ray tomography and the orientation of different planes in the samples. Our samples were match-stick-like segments cut at the xylem-phloem transition from branches of 3-5 years of age. The axial, tangential and radial directions of the sample are indicated by the coordinates, and the surfaces labelled with tissues and some anatomical features in an example of a 3D rendered image of a sample (on the right).

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Figure 2

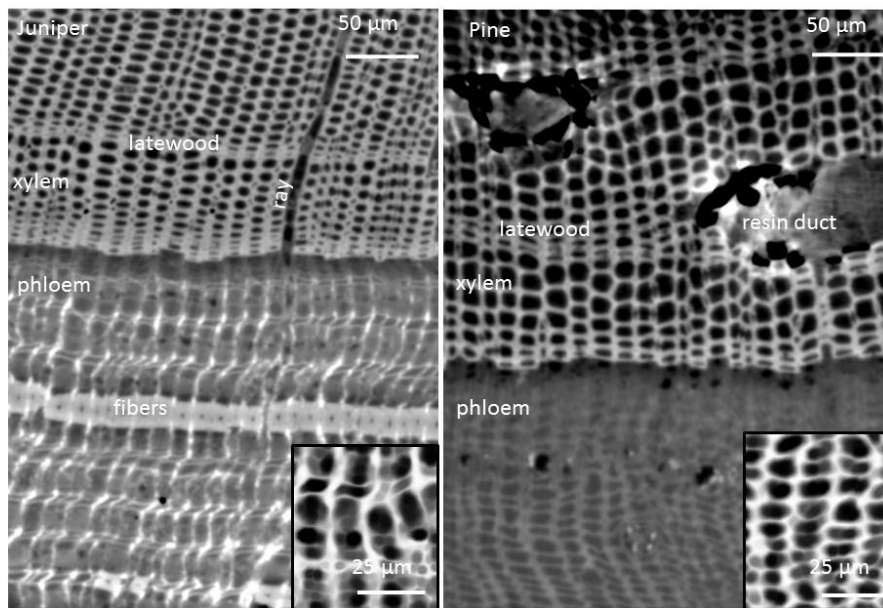


Figure 2: Axial views of irrigated one-seed juniper (left) and piñon pine (right) tissues at the xylem-phloem transition. In both images, xylem is the region of high contrast at the top of the image with less-structured phloem below. Two and three late-wood regions are clearly visible in one-seed juniper and piñon pine xylem, respectively. The newest growth ring (closest to the phloem boundary) is from 2012. In juniper, a ray from xylem to phloem is visible. In pine, two resin ducts are visible. Lighter areas are materials more interactive with X-rays (fibers, more lignified cell walls, clusters of sugars). In juniper phloem, rows of fibers are common, and conduit walls in the radial direction are very thin (insert). In pine phloem, the structure is more uniform, although there still is a clear difference in radial and tangential cell wall thickness between xylem and phloem (insert).

Figure 3

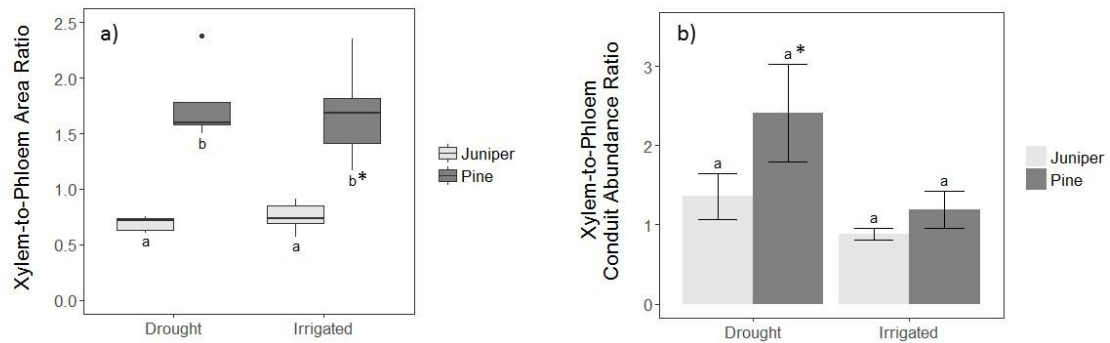


Figure 3: Average xylem-to-phloem area (a) and conduit abundance ratio( b) in piñon pine and one-seed juniper grown under drought and irrigation treatments. Different letters indicate statistically significant differences. In area, there is more phloem than xylem in juniper, while in pine there is more xylem than phloem. Conduit abundance is higher in the xylem than in the phloem except in irrigated juniper. In panel (a), the middle line shows the median, the bottom and top of the box 25 and 75 percentiles. Values outside this range are plotted individually. The error bars in panel (b) indicate the maximum or interquartile range of the data. Different letters indicate statistically significant differences. Asterix marks the values that are statistically significantly different from 1 (t-test  $p < 0.05$ ).

Figure 4

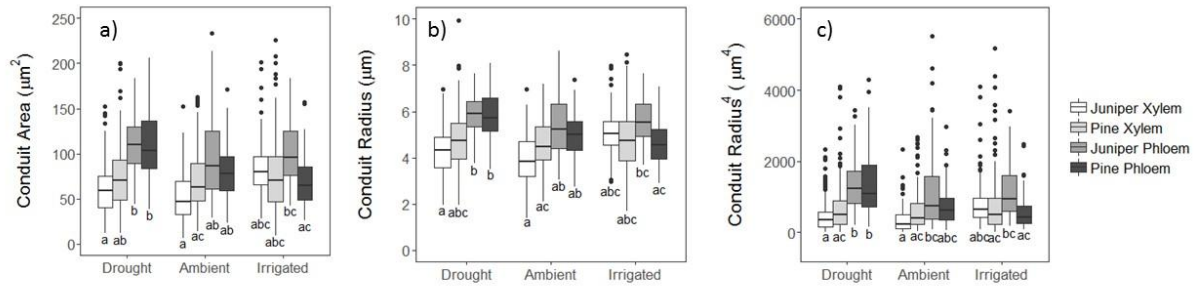


Figure 4: Average conduit lumen area (a), conduit radius (b), and conduit radius to fourth power (c) by treatment, tissue, and species. Conduit radius and conduit radius to fourth power represent conduit transport capacity in conduits with semipermeable and non-permeable cell walls, respectively. The middle line shows the median, the bottom and top of the box 25 and 75 percentiles, and the error bars the maximum interquartile range of the data. Values outside this range are plotted individually. Different letters indicate statistically significant differences.

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Figure 5

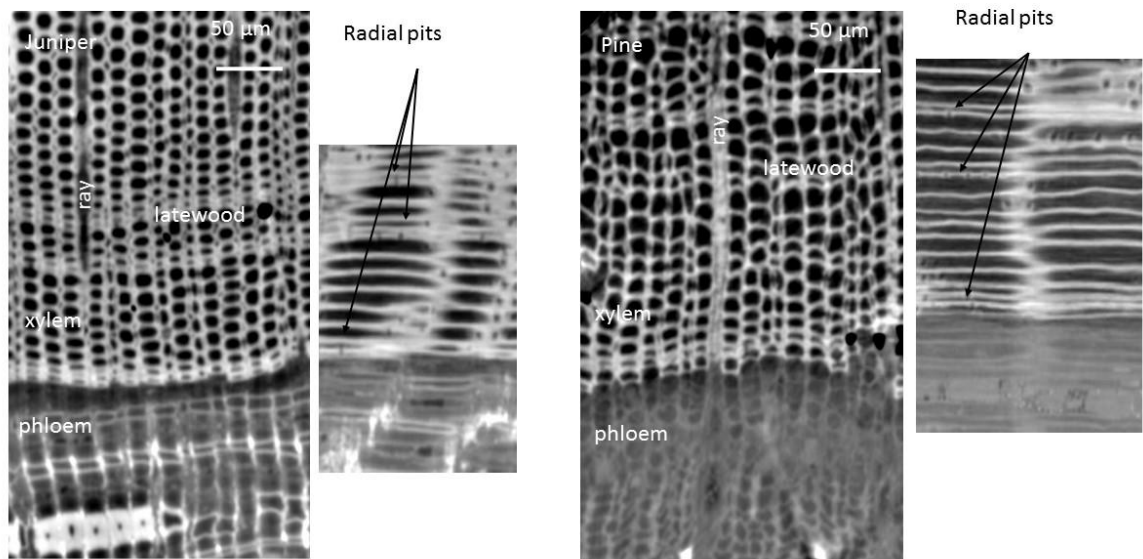


Figure 5: Axial and radial views of droughted juniper (left) and piñon pine (right) tissues at the xylem-phloem transition. Xylem is the region of high contrast at the top of the image with less-structured phloem below. Both samples have three growth rings. The rings are narrow and late wood consists of only a few cell layers in contrast to irrigated samples (Fig 2). Latewood regions are barely visible in pine, and can be more easily identified in the tangential images by radial pits in the latewood cell walls. Radial pits were observed only in latewood tissue.

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Figure 6

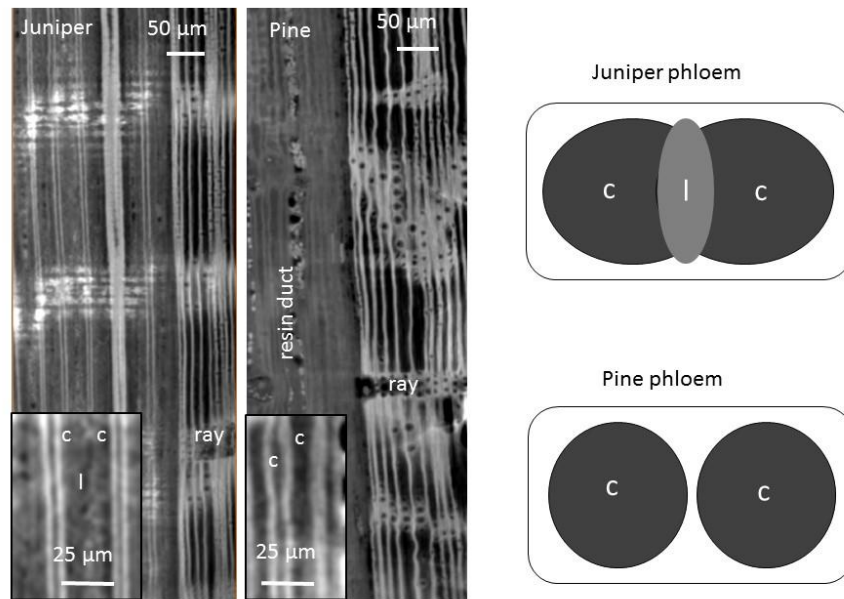


Figure 6: Radial surface images of irrigated juniper (left) and piñon pine (middle) at the xylem-phloem boundary, and a schematic illustrations of phloem conduit structure in the axial view (right). In both images, xylem is on the right in the higher contrast area with clearer and more dense cell walls. In juniper, the phloem appears to be missing every second cell wall. The “missing” wall forms a thin barrier between two conduits (c) that resembles a column of living cells (l) (insert). In pine, the xylem structure repeats itself in the phloem. In the schematic illustrations, clusters of two conduits (c) of both species are presented. In juniper the two conduits are separated by a column of cells with thin, non-lignified walls (l), while in pine the cell walls between conduits are more similar in every direction.

Figure 7

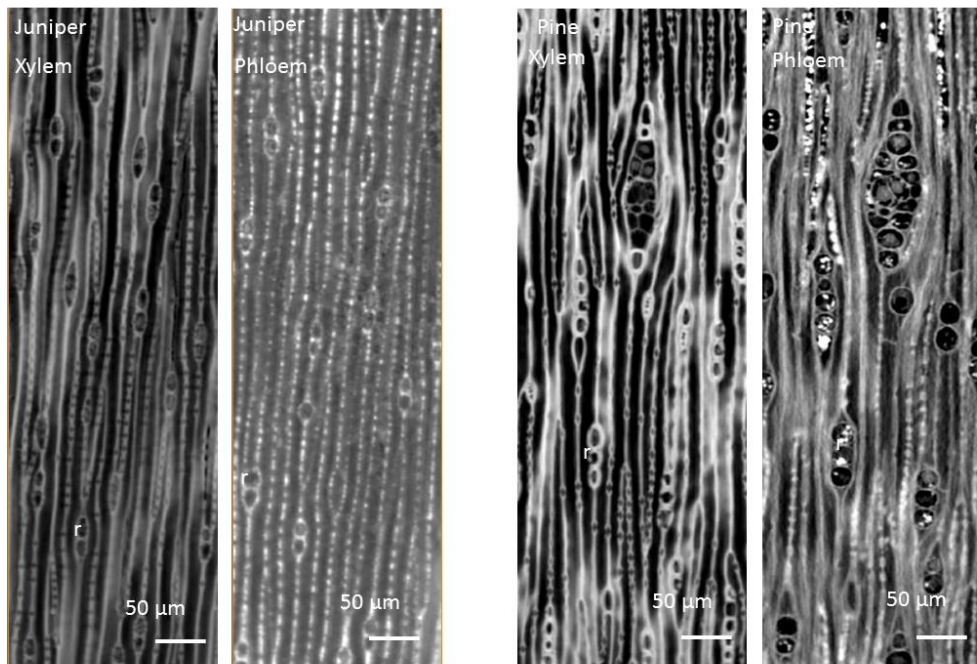


Figure 7: Tangential surface of juniper (left) and piñon pine (right) xylem and phloem. Ray cells (r) are visible as widenings in the cell walls, pits that allow flow in the tangential direction as small gaps in the cell walls. In juniper phloem the cell walls appear to be perforated with sieve pores throughout the sample while in the xylem, and in both tissues of pine, the inter-conduit connections are concentrated at conduit endings. There is much more dense material (possibly sugars) in the ray cells of pine than in juniper.

Accepted

Figure 8

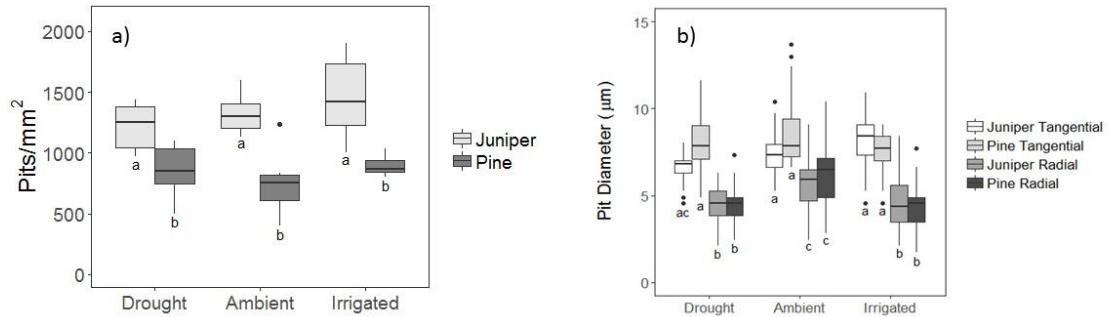


Figure 8: Xylem pit abundance for tangential pits (a) and pit size for tangential and radial pits (b) by species and treatment. The middle line shows the median, the bottom and top of the box 25 and 75 percentiles, and the error bars the maximum or interquartile range of the data. Values outside this range are plotted individually. Different letters indicate statistically significant differences.

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Figure 9

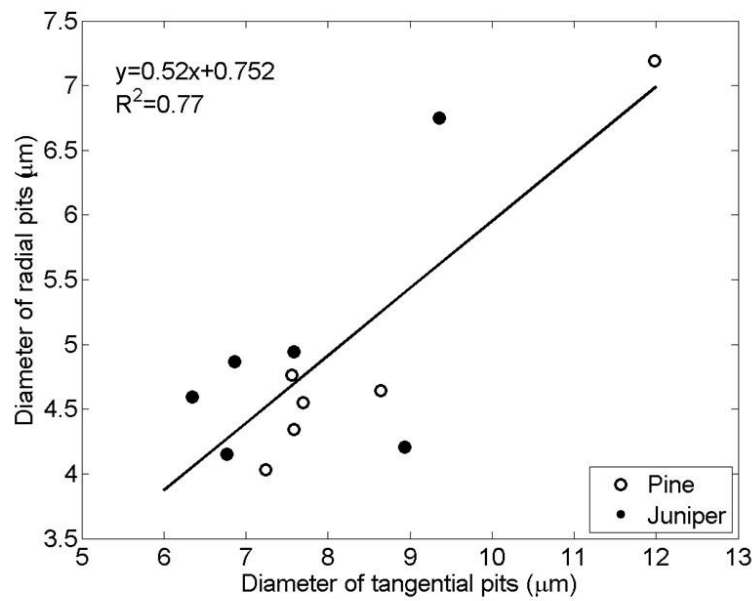


Figure 9: Radial and tangential pit size are positively correlated. In both species, radial pits were roughly half the size of tangential pits. The relationship was stronger in pine than in juniper (Table 1), but the slopes did not differ significantly between species.

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