

Density Functional Perturbation Theory Analysis of Negative Thermal Expansion Materials: A Combined Computational and Experimental Study of α -ZrW₂O₈

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ABSTRACT: Cubic zirconium tungstate (α -ZrW₂O₈), a notorious negative thermal expansion (NTE) material, has been investigated within the framework of density functional perturbation theory (DFPT), combined with experimental characterization to assess and validate computational results. Spectroscopic, mechanical and thermodynamic properties have been derived from DFPT calculations. A systematic comparison of DFPT-simulated infrared, Raman, and phonon density-of-state spectra with Fourier transform far-/mid-infrared and Raman data collected in this study, as well as with available inelastic neutron scattering measurements, shows the superior accuracy of the PBEsol exchange-correlation functional over standard PBE calculations. The thermal evolution of the Grüneisen parameter computed within the quasi-harmonic approximation exhibits negative values below the Debye temperature, consistent with the observed NTE characteristics of α -ZrW₂O₈. The standard molar heat capacity is predicted to be $C_p^0 = 193.8$ and $192.2 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ with PBE and PBEsol, respectively, *ca.* 7% lower than calorimetric data. These results demonstrate the accuracy of the DFPT/PBEsol approach for studying the spectroscopic, mechanical and thermodynamic properties of materials with anomalous thermal expansion.

1. INTRODUCTION

While most solids expand upon heating as a result of anharmonic lattice dynamics,¹ negative thermal expansion (NTE) can occur in an unusual and fascinating subclass of materials that contract with increasing temperature.^{2,3,4,5,6,7,8,9,10} Mechanisms underlying NTE range from structural or magnetic phase transitions to anomalous vibrational modes, such as transverse vibrational modes or rigid unit modes (RUM).^{4,6,8,9} NTE has been a subject of active experimental and theoretical research for several decades, with well-documented NTE in materials such as, e.g., Si and Ge, elemental U, β -quartz, elastomers, some zeolites and ceramics with framework structures.^{2,4,5,6,7,9}

Typical NTE materials exhibit anisotropic expansion, *i.e.*, contraction in one or two directions coupled with positive thermal expansion in other directions, over a very limited temperature range.^{4,5,6} Owing to this anisotropic expansion, microcracks affecting mechanical strength can develop in such NTE materials, leading to significant hysteresis effect in heating/cooling cycles. Although, microcracking can be used advantageously for the development of materials designed to withstand thermal shocks, isotropic NTE materials are usually preferred for most relevant technological applications.^{5,6,8} Indeed, isotropic NTE materials can be used as thermal-expansion compensators in composites designed to have overall zero or adjustable thermal expansion.⁸ Controlling thermal expansion of materials is of crucial importance, for example, in the production and operation of nanoscale semiconductor devices, high-precision optical systems, or high-performance thermoelectric converters and fuel cells.^{5,8,9}

Very few materials, either cubic or amorphous, feature isotropic NTE over a broad temperature range, including room temperature. For example, amorphous SiO₂, CuO₂ and Si possess low-temperature isotropic NTE, and cubic AV₂O₇ (A = Zr, Hf) and AP₂O₇ (A = Th, U) exhibit isotropic NTE above room temperature.⁵ Originally synthesized and characterized in the 1960's,^{11,12} zirconium tungstate, ZrW₂O₈, with a relatively large and linear NTE of *ca.* $-9 \times 10^{-6} \text{ K}^{-1}$ ranging from absolute zero up to its decomposition temperature ~ 1050 K, has attracted the most experimental attention among NTE materials only over the last two decades.^{13,14,15,16,17,18,19,20,21,22,23,24,25,26,27,28,29} The cubic structure of ZrW₂O₈ was solved in the mid 1990's^{13,14} and was initially considered to be unstable due to its tedious synthesis. The structure of low-temperature acentric α -ZrW₂O₈ phase (space group $P2_13$) is closely related to ZrV₂O₇ (space group $P\bar{a}\bar{3}$), with ZrO₆ octahedra occupying the unit-cell origin and face centers and two crystallographically distinct WO₄²⁻ tetrahedral units substituting V₂O₇⁴⁺ groups.^{13,14} Upon heating to ~ 431 K, α -ZrW₂O₈ transforms into the centric cubic β -ZrW₂O₈ high-temperature phase (space group $P\bar{a}\bar{3}$).^{13,15,22}

Contrasting with this wealth of experimental information available on α -ZrW₂O₈, very few computational studies have been dedicated to this notorious NTE material, as recently noted by Dove and Fang.¹⁰ Lattice dynamics simulations using classical force fields were conducted by Mittal and Chaplot in 2000,³⁰ and by Sanson in 2014.³¹ To the best of our knowledge, the only first-principles investigations of α -ZrW₂O₈ were reported in the past few years by Ramzan et al.,³² Gava et al.,³³ and Gupta et al.³⁴ Ramzan and co-

workers³² used density functional theory (DFT), with the Perdew, Burke and Ernzerhof (PBE)³⁵ exchange-correlation (XC) functional, to study the high-pressure, mechanical and optical properties of α -ZrW₂O₈. Gava *et al.*³³ focused on predicting the mode Grüneisen parameters and NTE behavior of α -ZrW₂O₈ using DFT with the hybrid Becke three-parameter Lee-Yang-Parr (B3LYP) XC functional, according to the Debye-Einstein model of the quasiharmonic approximation (QHA). Finally, Gupta and coworkers³⁴ reinvestigated in more details the specific roles of anharmonic phonons responsible for the large NTE of α -ZrW₂O₈ using DFT/PBE. All aforementioned DFT studies used finite displacement methods to determine the interatomic forces and phonon frequencies needed to derive the elastic and thermal properties of α -ZrW₂O₈.

In this work, the infrared (IR), Raman, and phonon density-of-state (PDOS) spectra, mechanical and thermodynamic properties of α -ZrW₂O₈ have been extensively investigated within the framework of density functional perturbation theory (DFPT), and systematically compared with results from experimental characterization carried out in this study and previous investigations in order to assess and validate DFPT results. DFPT linear response calculations were conducted using the PBE XC functional, as in two of the previous DFT studies of α -ZrW₂O₈, as well as the revised version of PBE XC for solids (PBEsol).³⁶ Compared to simple finite-displacement methods typically used with DFT to determine the vibrational properties of many-particle systems, DFPT-based computational approaches can be regarded as considerably more effective, since additional physical properties (e.g., IR and Raman absorbance) can be derived from the total energy with respect to perturbations.³⁷ The accuracy of DFPT methods was extensively tested in previous lattice dynamics studies.^{38,39,40,41,42,43}

Details of our computational approach are provided in the next section, followed by a detailed analysis and discussion of our results. A summary of our findings and conclusions is presented in the last section of the manuscript.

2. METHODS

Computational Methods. First-principles calculations were carried out using DFT, as implemented in the Vienna *ab initio* simulation package (VASP).⁴⁴ The XC energy was calculated using the generalized gradient approximation (GGA), with the PBE³⁵ parameterization and its PBEsol³⁶ revised version for solids. Both functionals were found in previous studies to correctly describe the geometric parameters and properties of a variety of Zr compounds and oxides.^{38,39,40,45,46}

The interaction between valence electrons and ionic cores was described by the projector augmented wave (PAW) method.^{47,48} The Zr(4p⁶,5s²,4d²), W(6s²,5d⁴) and O(2s²,2p⁴) electrons were treated explicitly as valence electrons in the Kohn-Sham (KS) equations and the remaining core electrons together with the nuclei were represented by PAW pseudopotentials. The KS equation was solved using the blocked Davidson⁴⁹ iterative matrix diagonalization scheme. The plane-wave cutoff energy for the electronic wavefunctions was set to a value of 500 eV, ensuring the total energy of the system to be converged to within 1 meV/atom. A periodic unit-cell approach was used in the total-energy relaxation calculations, with the α -ZrW₂O₈ crystal structure (space group *P*2₁3, IT No. 198; Z = 4) reported by Evans *et al.*¹⁵ utilized as the initial guess. The Brillouin zone was sampled using the Monkhorst-Pack *k*-point scheme,⁵⁰ with a *k*-point mesh of 5×5×5. No symmetry constraints were imposed in unit-cell optimization calculations. Relaxation calculations were first carried out until the Hellmann-Feynman

forces acting on atoms were converged within 0.01 eV/Å.

Structures resulting from total-energy minimization with GGA/PBE and GGA/PBEsol were further relaxed with respect to Hellmann-Feynman forces until a convergence tolerance of 0.001 eV/Å was reached. Density functional perturbation theory (DFPT) linear response calculations were then carried out at these levels of theory with VASP to determine the vibrational frequencies and associated intensities. The latter were computed based on the Born effective charges (BEC) tensor, which corresponds to the change in atoms polarizabilities with respect to an external electric field. Thermal properties were further derived from phonon calculations within the QHA, which introduces a volume dependence of phonon frequencies as a part of the anharmonic effect.⁵¹ This DFPT approach was utilized in previous computational studies to successfully predict the properties of various Zr-containing compounds and crystalline materials.^{38,39,40,41,45,52,53,54,55}

Experimental Methods. All reagents were used as received for the synthesis of α -ZrW₂O₈ samples. Accurate metal concentrations in the reagents were determined via thermogravimetric analysis: heating under USP grade air to 900 °C. Following the procedure from Closmann *et al.*,⁵⁶ a 1M aqueous solution of tungsten with a volume of 50 mL and a 0.5M aqueous solution of zirconium with a volume of 50 mL were made separately from ammonium metatungstate (Aldrich) and zirconium oxychloride hydrate (Aldrich). Simultaneously, each solution was added dropwise to a stirred flask containing 25 mL deionized (DI) water. After addition, the flask was stirred for an additional 10 hours. Then, 125 mL of 6M HCl was added to the solution and mixed. The solution was placed in a Parr reactor and heated to 200 °C under autogenous pressure for 4 hours. The resulting solution was filtered and rinsed with DI water to obtain the cubic hydrated precursor ZrW₂O₇(OH)₂(H₂O)₂ to α -ZrW₂O₈ (see Supporting Information). The precursor was then heated to 600 °C for 10 hours, and allowed to cool to ambient temperature.

X-ray Diffraction (XRD) analysis was performed using a Bruker D2 Phaser diffractometer. This system was equipped with a sealed-tube X-ray source (Cu K α radiation, $\lambda = 1.5406$ Å) operated at 30 kV and 10 mA. A Ni-filter was employed on the diffracted-beam side of the instrument for suppression of K β radiation. A Lynx-eye™ silicon strip detector was employed to collect the diffraction pattern with a scan rate of 15° 2 θ per minute. Samples were analyzed as-received without any additional modification. XRD analysis was performed using the program JADE 9.0 (Materials Data, Inc. Livermore, CA).

Infrared spectra were collected using a Thermo Scientific Nicolet 6700 Fourier transform infrared (FT-IR) spectrometer and OMNIC 8.3 software suite, with a Spectra Tech Collector II-DIFTS (diffuse reflectance) and an aluminum mirror as background and blank; powder was placed in holder and spectra were collected. Scans were done on the sample at a resolution of 1 cm⁻¹, with absorbance spectra ranging from 4000.12 to 649.89 cm⁻¹, using a KBr beamsplitter and a MCT/A detector. Scans were also carried out with a solid substrate beamsplitter and a DTGS-polyethylene detector using similar resolution in the range 50.14–600.23 cm⁻¹, and converted to 4.0 cm⁻¹ resolution using the software.

Raman spectra were collected using a Horiba T64000 Raman Spectrometer fitted with 1800 grooves/mm gratings and a Symphony CCD detector and controlled with the LabSpec 5 software. A Coherent Verdi V10 green laser (532 nm laser at 50 mW before entering the instrument) was used for excitation. The instrument

was calibrated by analyzing a piece of silicon wafer (520.7 cm^{-1}). The $\alpha\text{-ZrW}_2\text{O}_8$ spectrum shown was captured using 11 accumulations of 60 seconds from 50 to 1150 cm^{-1} . An Olympus BH2 (BHSM) microscope with a BH2-UMA fitted with a ULWD MS Plan 80 objective (NA= 0.75) was used for sampling the material. Data collection was in the single mode looking between 50 and 1150 cm^{-1} .

3. RESULTS AND DISCUSSION

Crystal Structure. Scanning electron microscope (SEM) characterization of the $\text{ZrW}_2\text{O}_7(\text{OH})_2(\text{H}_2\text{O})_2$ precursor and $\alpha\text{-ZrW}_2\text{O}_8$ showed similar crystal habits, with elongated thin crystalline plates or filiform pointed needles (see Figure 1 below and Figures S1 and S3 in Supporting Information).

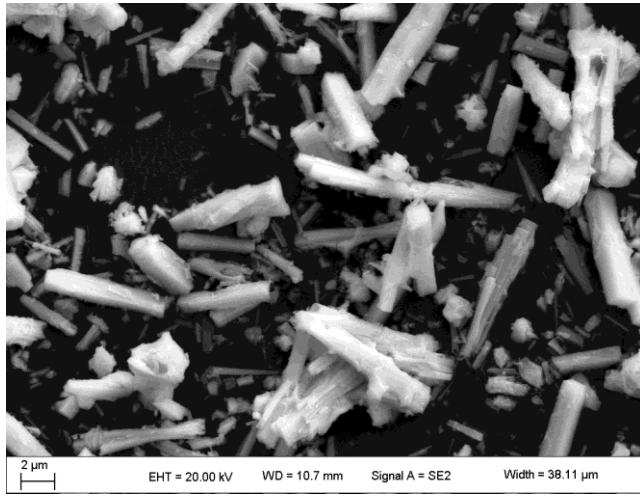


Figure 1. Scanning electron microscope (SEM) image of $\alpha\text{-ZrW}_2\text{O}_8$.

Figure 2 depicts the observed X-ray diffraction pattern of $\alpha\text{-ZrW}_2\text{O}_8$ (Cu K α radiation, $\lambda = 1.5406\text{ \AA}$) and simulated patterns from crystal geometries optimized with DFT at the GGA/PBE and GGA/PBEsol levels of theory, with and without relaxation of the experimental crystal lattice. The fully-relaxed structure of cubic $\alpha\text{-ZrW}_2\text{O}_8$ (space group $P2_13$, IT No. 198; $Z = 4$) features crystal unit cell parameters of $a = 9.241\text{ \AA}$ ($V = 789.10\text{ \AA}^3$) for GGA/PBEsol and $a = 9.310\text{ \AA}$ ($V = 806.87\text{ \AA}^3$) for GGA/PBE at $T = 0\text{ K}$. These lattice parameter values in the athermal limit are $\sim 1.0\%$ and $\sim 1.8\%$ larger than the present XRD estimate of $9.1493(2)\text{ \AA}$ at $T = 298\text{ K}$, due to NTE in the range $0\text{--}298\text{ K}$ and to the fact that GGA-type functionals tend to slightly overestimate bond distances. The predicted PBEsol and PBE lattice parameters reproduce within $\sim 0.6\%$ and $\sim 1.4\%$, respectively, the value of $9.1846(7)\text{ \AA}$ refined by Evans et al. at $T = 0.3\text{ K}$ using a rigid unit model. As shown in Table 1, comparable agreement is obtained with previous DFT and experimental results. Let us note the superior accuracy of the lattice parameter calculated using the PBEsol XC functional over results obtained using the standard PBE functional and the hybrid B3LYP functional (see Figure 2 and Table 1). Relaxation of the unit cell lattice has only a limited impact on the simulated patterns, resulting in a small peak shift towards smaller 2θ values.

A ball-and-stick representation of the $\alpha\text{-ZrW}_2\text{O}_8$ crystal unit cell is shown in Figure 3(a). As discussed in previous studies, the structure consists of corner-sharing ZrO_6 octahedral and two crystallographically distinct WO_4 tetrahedral coordination units (with metal centers labeled hereafter as W1 and W2). All atoms are positioned

on 4a Wyckoff sites (.3. symmetry), with the exception of O1 and O2 atoms on 12b Wyckoff sites (1 symmetry). The bond distances calculated in this study with PBEsol and PBE, along with previous PBE and B3LYP results and XRD and neutron diffraction data are summarized in Table 1. The W1 centers possess a tetrahedral local environment with three W1–O1 bonds of 1.823 and 1.832 \AA and one shorter W1–O4 bond of 1.729 and 1.732 \AA with PBEsol and PBE, respectively [see Figure 3(b)]. Similarly, the local environment of the W2 centers consists of three W2–O2 bonds of 1.798 and 1.810 \AA and one shorter W2–O3 bond of 1.752 and 1.755 \AA with PBEsol and PBE. Octahedrally-coordinated Zr centers exhibit two types of bonds, namely three Zr–O1 bonds of 2.059 and 2.077 \AA and three longer Zr–O2 bonds of 2.117 and 2.130 \AA predicted with PBEsol and PBE, respectively [see Figure 3(c)].

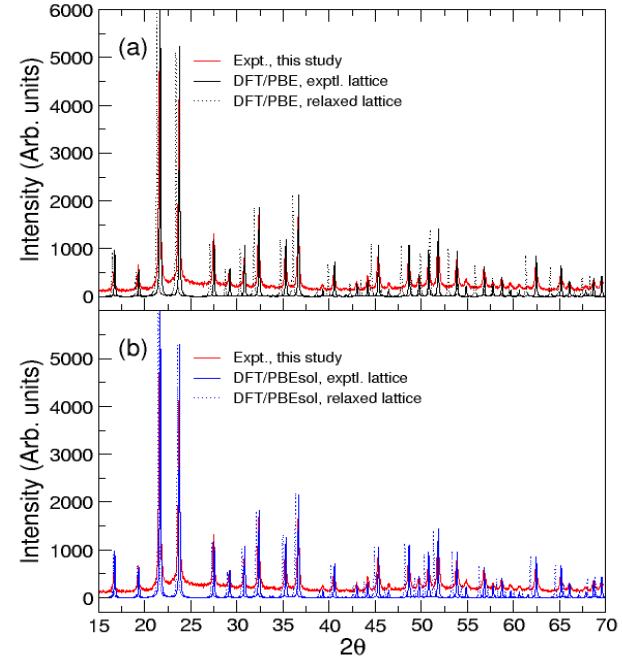


Figure 2. Observed X-ray diffraction pattern of $\alpha\text{-ZrW}_2\text{O}_8$ (Cu K α radiation, $\lambda = 1.5406\text{ \AA}$) and simulated patterns from crystal geometries optimized with DFT at the (a) GGA/PBE and (b) GGA/PBEsol levels of theory, with and without relaxation of the experimental crystal lattice.

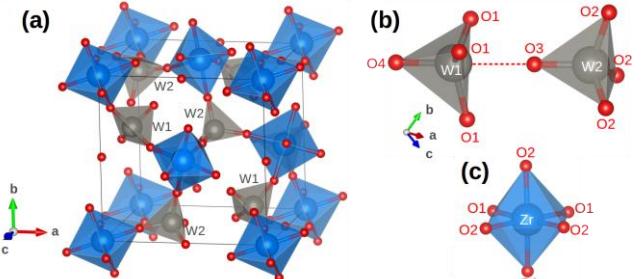


Figure 3. (a) Crystal unit cell of $\alpha\text{-ZrW}_2\text{O}_8$ (space group $P2_13$, IT No. 198; $Z = 4$), with corner-sharing ZrO_6 octahedral (blue) and WO_4 tetrahedral (grey) coordination units; (b) Relative arrangement of the two crystallographically distinct WO_4 tetrahedra along the threefold symmetry axis (dashed line); (c) ZrO_6 coordination octahedron.

As shown in Table 1, the best agreement between DFT results and the low-temperature ($T = 0.3\text{ K}$) bond distances measured by Evans et al. is obtained using the PBEsol functional, followed by PBE and B3LYP functionals.

Table 1. Crystal Structure Properties (in Å) of α -ZrW₂O₈ (Space Group $P2_13$, IT No. 198; $Z = 4$) Calculated with Density Functional Theory and Measured Using X-Ray and Neutron Diffraction.

| | T (K) | a | Zr–O1 | Zr–O2 | W1–O1 | W1–O4 | W2–O2 | W2–O3 |
|---------------------|---------|------------|---------|---------|---------|---------|---------|---------|
| PBEsol ^a | 0 | 9.241 | 2.059 | 2.117 | 1.823 | 1.729 | 1.798 | 1.752 |
| PBE ^a | 0 | 9.310 | 2.077 | 2.130 | 1.832 | 1.732 | 1.810 | 1.755 |
| PBE ^b | 0 | 9.320 | 2.077 | 2.137 | 1.835 | 1.732 | 1.810 | 1.756 |
| B3LYP ^c | 0 | 9.352 | 2.065 | 2.147 | 1.846 | 1.749 | 1.813 | 1.779 |
| Expt. ^a | 298 | 9.1493(2) | 1.93(3) | 2.17(3) | 1.97(3) | 1.51(4) | 1.78(3) | 1.71(3) |
| Expt. ^d | 293 | 9.15993(5) | 2.0420 | 2.1085 | 1.7975 | 1.7071 | 1.7819 | 1.7325 |
| Expt. ^e | 293 | 9.1575(2) | 2.0397 | 2.1169 | 1.8011 | 1.7241 | 1.7713 | 1.6940 |
| Expt. ^e | 0.3 | 9.1846(7) | 2.0393 | 2.1131 | 1.8276 | 1.739 | 1.7793 | 1.714 |

^aThis study. ^bGupta et al., 2013; ref 34. ^cGava et al., 2012; ref 33. ^dMary et al., 1996; ref 13. ^eEvans et al., 1996; ref 15.

The PBE bond distances computed in this study are in line with those reported by Gupta et al.³⁴ at the same level of theory. The bond distances refined from XRD analysis at $T = 298$ K in this study are overall consistent with previous combined XRD/neutron diffraction structural models by Mary et al.¹³ and Evans et al.¹⁵ at $T = 293$ K.

Phonon, Infrared, and Raman Spectroscopic Properties. DFPT was used at the GGA/PBE and GGA/PBEsol levels of theory to calculate the forces exerted on atoms of the equilibrium structure of bulk α -ZrW₂O₈ and phonon frequencies were computed at the center of the Brillouin zone (Γ -point). Figure 4 shows the resulting phonon density-of-states spectra simulated at $T = 0$ K, along with generalized PDOS spectrum from inelastic neutron scattering data collected at $T = 300$ K using time-of-flight (TOF) and filter-analyser (FA) spectroscopy to probe the low and high phonon-energy range, respectively.¹⁸ Overall, good agreement is obtained between DFPT predictions and experiments, with the notable exception of phonon signatures observed in the vicinity of $\omega \cong 600$ cm⁻¹, which are not reproduced by calculations. Phonon frequencies calculated with PBEsol appear systematically blueshifted compared to PBE results, with larger differences occurring above $\omega \cong 350$ cm⁻¹.

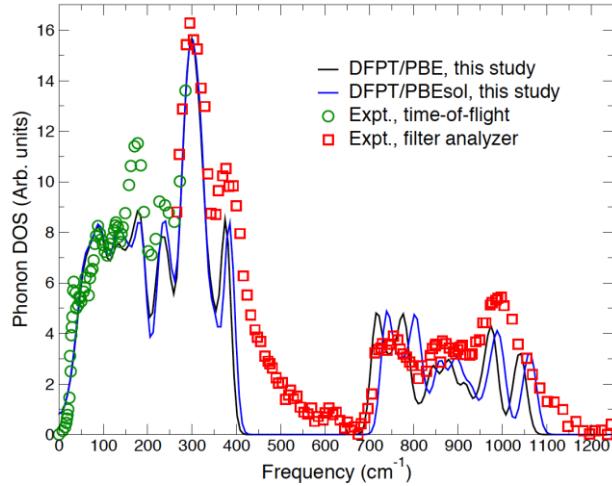


Figure 4. Phonon density-of-states (PDOS) spectra of α -ZrW₂O₈ simulated at $T = 0$ K using density functional perturbation theory (DFPT) at the GGA/PBE (black line) and GGA/PBEsol (blue line) levels. The generalized PDOS spectrum from inelastic neutron scattering data collected at $T = 300$ K using time-of-flight (TOF; green circles) and filter-analyser (FA; red squares) spectroscopy [Ref. (18)] is shown for comparison. A smearing width of $\sigma = 10$ cm⁻¹ was used to generate PDOS spectra.

With four formula units per unit cell (i.e., $N = 44$ atoms), α -ZrW₂O₈ possesses $3N = 132$ degrees of freedom, which are distributed among 55 phonons, namely, 54 optical phonons ($\Gamma_{optical}$) and one acoustic phonon ($\Gamma_{acoustic}$). The latter corresponds to triply-

degenerate modes of translation at the Γ -point, *i.e.*, one longitudinal acoustic mode and two transverse acoustic modes with zero-frequency, and is associated with the T irreducible representation [irrep; $\Gamma_{acoustic}(3) = T$] of the cubic point group⁵⁷ for α -ZrW₂O₈ (cf. Table 2). The remaining 129 optical modes can be represented as $\Gamma_{optical}(129) = 11A + 11E + 32T$, where vibrational modes belonging to the T , E and A irreps are triply-, doubly- and non-degenerate, respectively. Optical phonons can be further separated into subgroups as follows: $\Gamma_{optical}(129) = \Gamma_{lattice}(9) + \Gamma_{trans}(24) + \Gamma_{libr}(24) + \Gamma_{int}(72)$, where $\Gamma_{lattice}(9) = A + E + 2T$ are lattice modes associated with the motion of Zr atoms, $\Gamma_{trans}(24) = 2A + 2E + 6T$ and $\Gamma_{libr}(24) = 2A + 2E + 6T$ correspond to WO₄ modes of translation and libration (*i.e.*, hindered rotation), and $\Gamma_{int}(72) = 6A + 6E + 18T$ are distorted WO₄ unit internal modes of vibration. Using a correlation diagram, a direct correspondence can be established between the internal modes of WO₄ units in the α -ZrW₂O₈ crystal and a free tungstate ion, which features symmetric (v_1) and antisymmetric (v_3) stretching modes, as well as symmetric (v_2) and antisymmetric (v_4) bending modes.^{58,59} The 72 internal modes of WO₄ units can be subdivided into the following four categories: $v_1 \rightarrow 2A + 2T$, $v_2 \rightarrow 2E + 4T$, $v_3 \rightarrow 2A + 2E + 6T$, and $v_4 \rightarrow 2A + 2E + 6T$. A full assignment of the 55 phonons calculated with DFPT at the PBE and PBEsol levels is reported in Table 3. PBEsol (PBE) calculations predict symmetric v_1 stretching modes in the range 1013–1032 cm⁻¹ (993–1012 cm⁻¹), antisymmetric v_3 stretching modes in the range 739–992 cm⁻¹ (717–975 cm⁻¹), antisymmetric v_4 and symmetric v_2 bending modes in the range 277–381 cm⁻¹ (276–374 cm⁻¹), and a combination of libration, lattice and translation modes below 256 cm⁻¹ (253 cm⁻¹). Large gaps of 358 cm⁻¹ and 343 cm⁻¹ computed with PBEsol and PBE, respectively, separate the high-frequency stretching modes from the low-frequency bending modes. These findings are consistent with the 318 cm⁻¹ wide gap between 416 and 734 cm⁻¹ recently calculated by Gava et al.³³ using DFT with the B3LYP hybrid functional, although spectral signatures were observed in this range in the generalized PDOS spectrum from inelastic neutron scattering data collected at $T = 300$ K.¹⁸

Table 2. Character Table for α -ZrW₂O₈ (Space Group $P2_13$, IT No. 198; Cubic Point Group T(23); See ref. 57).

| Irrep. ^a | Multiplicity | E | $3C_2$ | $4C_3$ | $4(C_3)^2$ | Functions/Rotations |
|---------------------|--------------|-----|--------|--------|------------|--|
| T | 33 | 3 | -1 | 0 | 0 | $(x, y, z) (xy, yz, xz) (R_x, R_y, R_z)$ |
| E | 11 | 2 | 2 | -1 | -1 | $(x^2-y^2, 3z^2-r^2)$ |
| A | 11 | 1 | 1 | 1 | 1 | $x^2+y^2+z^2$ |

^aVibrational modes belonging to the T , E and A irreducible representations (irrep.) are triply-, doubly- and non-degenerate, respectively.

Table 3. Vibrational Eigenfrequencies for α -ZrW₂O₈ (space group *P*2₁3, IT No. 198) Calculated from Density Functional Perturbation Theory (DFPT) at the GGA/PBE and GGA/PBEsol Levels. Observed IR- and Raman-Active Bands Are Reported for Comparison.

| Irrep. ^a | DFPT (cm ⁻¹) | | IR (cm ⁻¹) | | Raman (cm ⁻¹) | | Mode Description ^e |
|---------------------|--------------------------|---------------------|------------------------|--------------------|---------------------------|--------------------|-------------------------------|
| | PBE ^b | PBEsol ^b | Expt. ^b | Expt. ^c | Expt. ^b | Expt. ^c | |
| <i>A</i> | 1012 | 1032 | | | 1030 | 1028 _m | 1034 |
| <i>T</i> | 1004 | 1021 | | | 1020 | | 1021 |
| <i>A</i> | 1003 | 1019 | | | | | $\nu_1(\text{WO}_4)$ |
| <i>T</i> | 993 | 1013 | | | | 987 | ↓ |
| <i>T</i> | 975 | 992 | 998 | 999 _w | 969 | 966 _w | 970 |
| <i>A</i> | 915 | 919 | | | 931 | 929 _m | 933 |
| <i>T</i> | 888 | 900 | 908 | 907 _m | 903 | 901 _m | 907 |
| <i>T</i> | 850 | 861 | 873 | 871 _s | | 887 _w | 890 |
| <i>A</i> | 843 | 855 | | | | 859 _w | 863 |
| <i>T</i> | 771 | 798 | 799 | 800 _s | | | 807 |
| <i>E</i> | 769 | 796 | | | 792 | 789 _s | 794 |
| <i>T</i> | 732 | 756 | 760 | 760 _m | | | 747 |
| <i>E</i> | 721 | 744 | | | 737 | 733 _m | 737 |
| <i>T</i> | 717 | 739 | 734 | 739 _m | | | 725 |
| <i>T</i> | 374 | 381 | 399 | | | | ↑ |
| <i>E</i> | 369 | 377 | | | 383 | 382 _w | 382 |
| <i>T</i> | 367 | 375 | 393 | | | | |
| <i>T</i> | 347 | 352 | 368 | | | | 350 |
| <i>A</i> | 342 | 346 | | | | | |
| <i>T</i> | 325 | 325 | | | | | |
| <i>E</i> | 320 | 323 | | | 332 | 331 _m | 331 |
| <i>T</i> | 320 | 321 | 332 | | | | |
| <i>T</i> | 307 | 307 | 321 | | | | $\nu_4(\text{WO}_4)$ |
| <i>E</i> | 299 | 300 | | | 309 | 308 _w | 307 |
| <i>T</i> | 297 | 299 | | | | | $\nu_2(\text{WO}_4)$ |
| <i>T</i> | 291 | 295 | 305 | | | | 298 |
| <i>T</i> | 290 | 292 | | | | | |
| <i>A</i> | 283 | 286 | | | | | |
| <i>T</i> | 283 | 284 | 292 | | | | |
| <i>E</i> | 276 | 277 | | | 271 | 270 | ↓ |
| <i>T</i> | 253 | 256 | 262 | | | 243 | ↑ |
| <i>E</i> | 234 | 238 | | | 235 | 234 _w | 236 |
| <i>T</i> | 234 | 237 | 240 | | | | |
| <i>A</i> | 233 | 236 | | | | | |
| <i>T</i> | 225 | 228 | 222 | | | | |
| <i>A</i> | 201 | 197 | | | | 205 | |
| <i>T</i> | 187 | 190 | 193 | | 181 | | 181 |
| <i>T</i> | 176 | 177 | 185 | | | | |
| <i>T</i> | 172 | 172 | 174 | | | | |
| <i>E</i> | 169 | 171 | | | | 170 | |
| <i>T</i> | 157 | 152 | 161 | | | | |
| <i>E</i> | 142 | 140 | | | 143 | 144 _m | 143 |
| <i>T</i> | 128 | 128 | 132 | | | | |
| <i>A</i> | 125 | 123 | | | | | Libration + Lattice + |
| <i>T</i> | 100 | 97 | 97 | | | | Translation |
| <i>E</i> | 94 | 93 | | | 104 | 103 _w | 113 |
| <i>T</i> | 82 | 91 | 86 | | 77 | 84 _w | 91 |
| <i>A</i> | 74 | 73 | | | 66 | 65 _m | 69 |
| <i>T</i> | 68 | 72 | 78 | | | | |
| <i>T</i> | 60 | 64 | 71 | | | | |
| <i>T</i> | 60 | 59 | | | | | |
| <i>A</i> | 51 | 48 | | | | 50 | |
| <i>E</i> | 42 | 37 | | | | 40 _m | 41 |
| <i>T</i> | 39 | 36 | | | | | ↓ |
| <i>T</i> | 0 | 0 | | | | | Acoustic |

^aVibrational modes belonging to the *T*, *E* and *A* representations are Raman active (quadratic functions), while only *T* modes are IR active (linear functions). ^bThis study. ^cEvans et al., 1996; ref 15; Band intensity: *s* = strong *m* = medium, *w* = weak.

^dRavindran et al., 2001; ref 24. ^eFree tungstate ion vibration modes: symmetric (ν_1) and antisymmetric (ν_3) stretching modes, symmetric (ν_2) and antisymmetric (ν_4) bending modes.

Eigenfrequencies predicted with DFPT have also been systematically compared to IR and Raman bands observed in this study and in previous experiments by Evans *et al.*¹⁵ and Ravindran *et al.*²⁴ (cf. Table 3). As shown in Table 2, all the optical modes belonging to the *T*, *E* and *A* irreps are Raman active (quadratic functions), while only optical modes from the *T* irrep are IR active (linear functions).

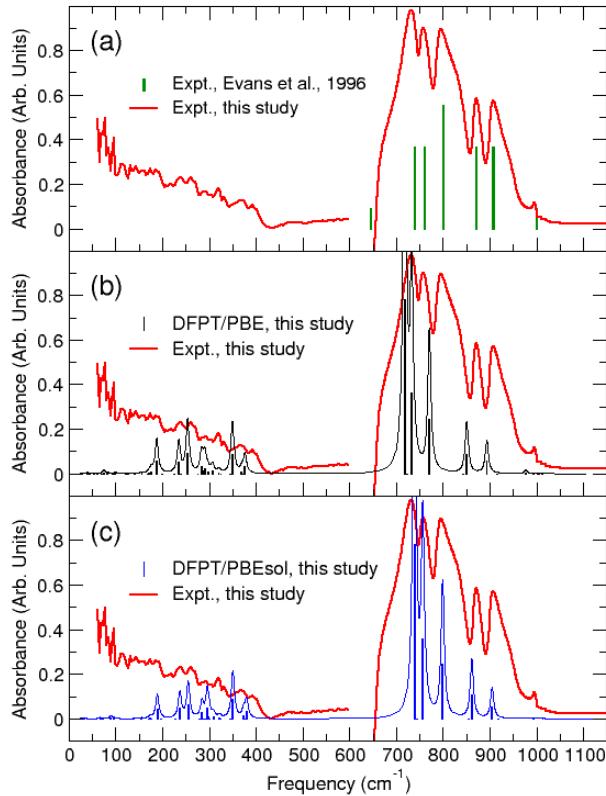


Figure 5. (a) Fourier transform infrared (FT-IR) spectrum of α -ZrW₂O₈ measured in this study at $T = 298$ K (red line) and observed IR band centers reported by Evans *et al.* [Ref. (15)] (green peaks), along with infrared spectra simulated from density functional perturbation theory (DFPT) at the (b) GGA/PBE (black line/peaks) and (c) GGA/PBEsol (blue line/peaks) levels. Natural line broadening was simulated from DFPT eigenfrequencies using a Lorentzian lineshape function with a full width at half maximum (FWHM) of 4 cm⁻¹.

As shown in Table 3 and Figure 5, the FT-IR bands observed by Evans *et al.*¹⁵ at 999 cm⁻¹ (*w*: weak), 907 cm⁻¹ (*m*: medium), 871 cm⁻¹ (*s*: strong), 800 cm⁻¹ (*s*), 760 cm⁻¹ (*m*) and 739 cm⁻¹ (*m*) are well reproduced by the FT-IR spectrum collected in this study. The IR spectrum simulated using PBEsol is in closer agreement with experiments over this frequency range than that predicted with PBE. The IR-active frequencies observed by Evans *et al.*¹⁵ at 646 cm⁻¹ (*w*) and in the range 600 - 400 cm⁻¹ (*w*) were not predicted by DFPT calculations, nor observed in the present experiments. This discrepancy is similar to the one mentioned above in the comparison between the generalized PDOS spectrum from inelastic neutron scattering data and PDOS simulated with DFPT. Based on previous FT-IR investigations,^{60,61} it can be inferred these additional IR bands observed by Evans *et al.*¹⁵ originate from the formation of ZrO₂ and WO₃, which are thermodynamically more stable around room temperature than the α -ZrW₂O₈ compound.⁶²

Using combined FT-IR measurements and DFPT calculations, new and extensive assignments were made for the far-infrared (<400 cm⁻¹) spectrum of α -ZrW₂O₈. As shown in Table 3, seven IR-

active bands corresponding to $\nu_4(\text{WO}_4)$ and $\nu_2(\text{WO}_4)$ were found in the FT-IR spectrum observed between 399 and 292 cm⁻¹, in addition to twelve IR bands assigned as libration, lattice and translation modes between 262 and 71 cm⁻¹. The lowest-frequency IR-active mode predicted at 36 and 39 cm⁻¹ with PBEsol and PBE, respectively, could not be observed in FT-IR experiments.

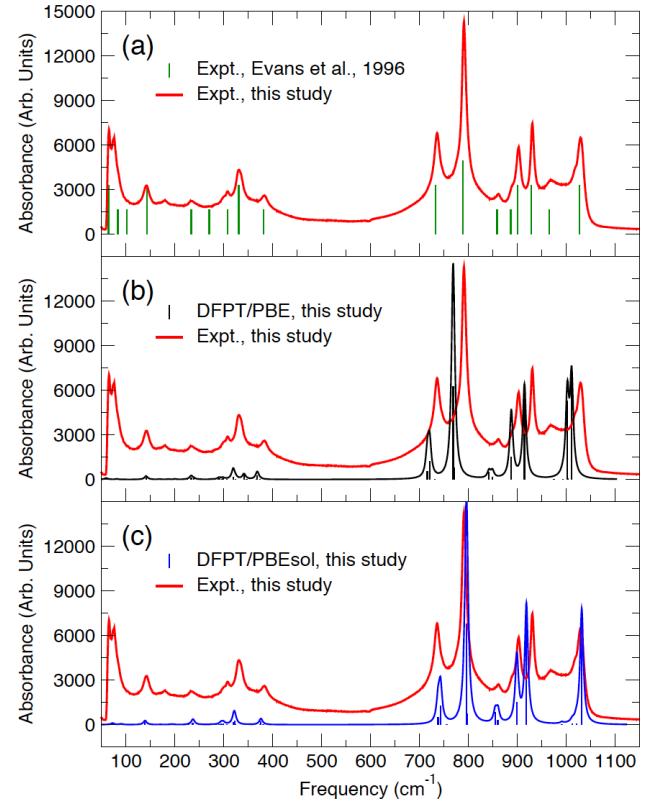


Figure 6. Raman spectrum of α -ZrW₂O₈ measured in this study at $T = 298$ K (red line) and observed Raman band centers reported by Evans *et al.* [Ref. (15)] (green peaks), along with Raman spectra simulated from density functional perturbation theory (DFPT) at the (b) GGA/PBE (black line/peaks) and (c) GGA/PBEsol (blue line/peaks) levels. Natural line broadening was simulated from DFPT eigenfrequencies using a Lorentzian lineshape function with a full width at half maximum (FWHM) of 4 cm⁻¹.

The Raman spectra simulated with DFPT at the PBEsol and PBE levels, along with the Raman-active bands observed at room temperature in this study and by Evans *et al.*¹⁵ are shown in Figure 6, with the corresponding vibrational eigenfrequencies listed in Table 3, which also includes Raman band centers measured by Ravindran *et al.*²⁴ at $T = 20$ K. All three sets of observed Raman bands appear to be consistent overall, although a larger number of Raman-active bands was reported by Ravindran *et al.*²⁴ Instead of the fourteen Raman bands expected above 600 cm⁻¹ from group theory analysis (cf. Table 3), twenty bands were observed by Ravindran *et al.*²⁴ Comparison between their Raman results and PBEsol predictions show that the band observed at 1021 cm⁻¹ (1020 cm⁻¹ in this study) is actually a combination of two bands predicted at 1021 cm⁻¹ (*T* irrep) and 1019 cm⁻¹ (*A* irrep). In addition, the bands centers observed Ravindran *et al.*²⁴ at 855 , 841 , 779 , 718 , 685 , 645 and 628 cm⁻¹ do not appear to coincide with any of the α -ZrW₂O₈ Raman bands predicted with DFPT or measured in this study or by Evans *et al.*¹⁵ or by Perrottoni and da Jornada.²⁰ As aforementioned, some of these Raman bands might stem from the formation of stable ZrO₂ and WO₃ products at room temperature. Let us note that, similar to PDOS and IR DFPT calculations, no Raman active modes

are predicted to occur in the ranges 381–739 cm⁻¹ and 374–717 cm⁻¹ with PBEsol and PBE, respectively.

Thermodynamic Properties. Phonon calculations near equilibrium were carried out within the QHA to obtain the thermal properties of α -ZrW₂O₈ at constant pressure. A volume dependence of phonon frequencies is introduced within the QHA as a part of anharmonic effect.⁵¹ A temperature effect was added to the total energy $U(V)$ of the system through the phonon contribution, F_{phonon} , calculated at constant volume as a function of the temperature T according to:

$$F_{\text{phonon}}(T) = \frac{1}{2} \sum_{\mathbf{q}, \nu} \hbar \omega_{\mathbf{q}, \nu} + k_B T \sum_{\mathbf{q}, \nu} \ln[1 - e^{-\beta \hbar \omega_{\mathbf{q}, \nu}}], \quad (1)$$

where \mathbf{q} and ν are the wave vector and band index, \hbar is the reduced Planck constant, $\hbar \omega_{\mathbf{q}, \nu}$ is the energy of a single phonon with angular frequency $\omega_{\mathbf{q}, \nu}$, k_B is the Boltzmann constant, T is the temperature of the system, and $\beta = (k_B T)^{-1}$. Figure 7 depicts the variation of the $U(V) + F_{\text{phonon}}(T; V)$ energy of α -ZrW₂O₈ with the unit-cell volume computed with DFPT at the PBE and PBEsol levels, in the temperature range $T = 0$ –450 K. While qualitatively similar, the variation of the locus of points corresponding to the local minimum of each free energy curve (red diamonds in Figure 7) appears more pronounced for PBEsol than for its PBE counterpart.

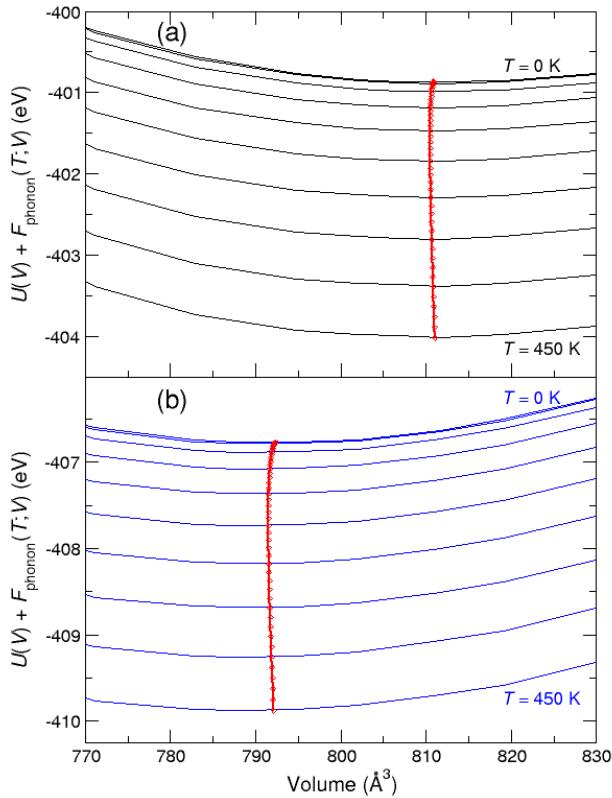


Figure 7. Variation of the total energy with phonon contribution, $U(V) + F_{\text{phonon}}(T; V)$, of α -ZrW₂O₈ as a function of the unit-cell volume computed with density functional perturbation theory at the (a) GGA/PBE and (b) GGA/PBEsol levels from $T = 0$ K to 450 K, by step of 50 K. The local minimum of each free energy curve, by step of 10 K, is indicated by a red diamond.

In order to determine the thermal properties of α -ZrW₂O₈ at constant pressure, a volume dependence of the thermodynamic functions is introduced through a transformation. The Gibbs free energy

is defined at constant pressure by the following transformation:

$$G(T, P) = \min_V [U(V) + F_{\text{phonon}}(T; V) + PV], \quad (2)$$

where \min_V [function of V] corresponds to a unique minimum of the expression between brackets with respect to the volume V , U is the total energy of the system, and P is the pressure. $U(V)$ and $F_{\text{phonon}}(T; V)$ were calculated and the thermodynamic functions of the right-hand side of the equation above were fitted to the integral form of the Vinet equation of state (EoS). The universal Vinet⁶³ EoS is expressed as:

$$P(V) = 3K_0 \frac{(1-x)}{x^2} \exp \left[\frac{3}{2} (K'_0 - 1)(1-x) \right], \quad (3)$$

where P is the uniform hydrostatic pressure, V_0 is the reference volume, V is the deformed volume, and the bulk modulus and its first derivative with respect to the pressure are given by

$$K_0(T) = -V \left(\frac{\partial P}{\partial V} \right)_{P=0} \quad \text{and} \quad K'_0(T) = \left(\frac{\partial K}{\partial P} \right)_{P=0} \quad (4)$$

and where

$$x = \left(\frac{V}{V_0} \right)^{\frac{1}{3}}. \quad (5)$$

Table 4. Elastic Constants (C_{ij}) of α -ZrW₂O₈ Calculated at $T = 0$ K at the PBEsol and PBE Levels and Bulk (K), Shear (G), and Young's (E) Moduli, Poisson's Ratio (ν), and Debye Temperature (θ_D) Derived within the Voigt-Reuss-Hill Approximation. Previous Calculations and Experimental Estimates Are Also Reported.

| method | C_{11} | C_{12} | C_{44} | K | G | E | ν | θ_D |
|---------------------|----------|----------|----------|-------|------|------|-------|------------|
| PBEsol ^a | 162.8 | 77.2 | 29.8 | 105.7 | 34.4 | 93.2 | 0.353 | 337 |
| PBE ^a | 154.3 | 70.9 | 31.0 | 98.7 | 34.9 | 93.8 | 0.342 | 341 |
| PBE ^b | 137.6 | 66.7 | 24.9 | 90.3 | | | | |
| PBE ^c | 144.8 | 65.6 | 22.6 | 92.0 | 29.4 | 79.7 | 0.356 | 314 |
| LDA ^c | 157.7 | 78.7 | 30.5 | 105.0 | 34.1 | 92.3 | 0.354 | 335 |
| Exptl. ^d | 161.8 | 75.5 | 29.4 | 104.3 | 36.8 | 98.8 | 0.342 | 333 |

^aThis study. ^bGupta et al., 2013; ref 34. ^cRamzan et al., 2011; ref 32.

^dDrymiotis et al., 2004; ref 25; resonant-ultrasound spectroscopy at $T = 0$ K.

In the zero-temperature limit, the bulk modulus values for single-crystal α -ZrW₂O₈ computed using the Vinet EoS are $K_0 = 90.2$ and 96.7 GPa ($K'_0 = 4.2$ and 3.4) with the PBE and PBEsol functionals, respectively, in agreement with the experimental value of 104.3 GPa obtained by resonant-ultrasound spectroscopy near $T = 0$ K.²⁵ These computed bulk moduli are also consistent with the Voigt-Reuss-Hill (VRH) estimates of 98.7 GPa for PBE and 105.7 GPa for PBEsol derived from the elastic constants calculated at $T = 0$ K with DFPT as the second derivatives of the energy with respect to the strain (cf. Supporting Information). As shown in Table 4, elastic constants calculated with PBEsol, i.e., $C_{11} = 162.8$ GPa, $C_{12} = 77.2$ GPa, and $C_{44} = 29.8$ GPa, are in closer agreement with the resonant-ultrasound data of $C_{11} = 161.8$ GPa, $C_{12} = 75.5$ GPa, and $C_{44} = 29.4$ GPa measured by Drymiotis et al.²⁵ than PBE and LDA predictions. The Born stability conditions^{64,65} are satisfied by both calculated and measured elastic constants, thus indicating mechanical stability of α -ZrW₂O₈ (see Supporting Information). The shear (G) and Young's (E) moduli, Poisson's ratio (ν), and Debye temperature (θ_D) were also derived from elastic constants and compared to available experimental data (cf. Table 4 and Supporting Information).

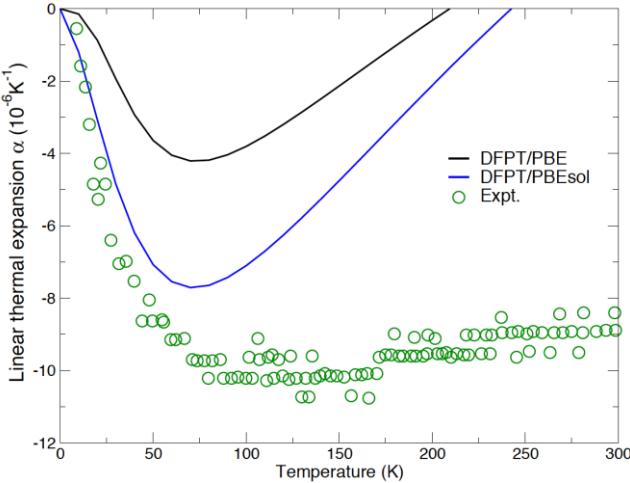


Figure 8. Linear coefficient of thermal expansion (CTE) of α -ZrW₂O₈ single crystal computed with density functional perturbation theory (DFPT) at the GGA/PBE and GGA/PBEsol levels within the quasi-harmonic approximation. Experimental linear CTE estimates (green circles) extracted from the high-resolution neutron diffraction data for polycrystalline ZrW₂O₈ (Ref. 19) are represented for comparison.

As shown in Figure 7, the volume dependence of the phonon free energy changes as a function of temperature, associated with a temperature-dependent equilibrium volume of α -ZrW₂O₈. Within the QHA approach, this volume variation can be assimilated to thermal expansion. The variations of the linear coefficient of thermal expansion (CTE), $\alpha_L(T)$, of α -ZrW₂O₈ predicted with DFPT at the GGA/PBE and GGA/PBEsol levels are depicted in Figure 8, along with experimental linear CTE estimates extracted from the high-resolution neutron diffraction data of David *et al.*^{19,24} As mentioned in previous studies,¹⁵ the linear CTE is usually calculated assuming isotropic expansion/contraction with the expression $\alpha_L = a_0^{-1} \Delta a / \Delta T$, where the reference lattice parameter a_0 ($a = V^{1/3}$) corresponds here to a temperature of $T = 0$ K and $\Delta a / \Delta T$ is the variation of the lattice parameter with temperature. The linear CTE initially obtained from neutron diffraction data collected by Evans *et al.*¹⁵ for a polycrystalline sample was -8.7×10^{-6} K⁻¹ ($T = 0.3 - 693$ K), with a lattice parameter dependence of the form $a(T) = a_0 + a_1 T^2 + a_2 T e^{-a_3/T}$.⁶⁶ The value subsequently measured by Ernst *et al.*¹⁸ using neutron Bragg diffraction from a single crystal of α -ZrW₂O₈ was $-9.39 \pm 0.03 \times 10^{-6}$ K⁻¹ above 50 K. The latest high-resolution neutron diffraction data of David *et al.*¹⁹ for polycrystalline ZrW₂O₈ yielded a value of -9.54×10^{-6} K⁻¹ at 520 K, with a reference at 2 K. Grüneisen's vibrational theory of thermal expansion was used to calculate the PBEsol and PBE linear CTE displayed in Figure 8.^{67,68} Within this approach, the thermal expansion of isotropic materials such as α -ZrW₂O₈ can be expressed as $\alpha_L = \sum_i \alpha_i = \gamma C_V / 3K$, where K and C_V are the bulk modulus and the specific (isochoric) heat capacity, respectively, and the mean Grüneisen parameter γ can be decomposed into as $\gamma = \sum_i \gamma_i c_i / \sum_i c_i$, where the mode Grüneisen parameters $\gamma_i = -\partial \ln \omega_i / \partial \ln V$ represent the relative change of mode frequencies ω_i with the unit-cell volume V and c_i are the contributions of these vibrational modes to the total specific heat C_V . As shown in Figure 8, the negative thermal expansion computed using PBEsol is in very good agreement with experimental data up to ~ 70 K, where it reaches an extremum of -7.7×10^{-6} K⁻¹. Predictions using PBE are qualitatively similar to PBEsol, although the maximum NTE

value predicted is only -4.2×10^{-6} K⁻¹ at ~ 70 K. Above this temperature, both PBEsol and PBE results exhibit smaller NTE values and, eventually, positive thermal expansion is predicted above 209 K and 243 K with PBE and PBEsol, respectively. While reduction in NTE also appears above ~ 70 K in the experimental data of David *et al.*^{19,24} for polycrystalline ZrW₂O₈, computational predictions for single crystal α -ZrW₂O₈ are markedly different from measurements, which oscillate between -10.8×10^{-6} K⁻¹ and -8.4×10^{-6} K⁻¹ in the temperature range ~ 70 –300 K. Discrepancies between finite-displacement DFT calculations with the B3LYP hybrid functional and experimental thermal expansion data above ~ 60 K were also found by Gava *et al.*³³ These differences were attributed to the creation of thermally induced defects in real α -ZrW₂O₈ samples, as precursors to the thermally activated $\alpha \rightarrow \beta$ phase transition in cubic ZrW₂O₈, which occurs around 431 K.^{13,15,22} Such low-temperature defect creation scenario is plausible owing to the metastable character of α -ZrW₂O₈, which is only thermodynamically stable in a narrow temperature range around 1400 K.⁶²

Since both the bulk modulus and the specific heat are positive in the expression of the thermal expansion $\alpha_L = \gamma C_V / 3K$, the Grüneisen parameter γ is the pivotal parameter dictating positive or negative thermal expansion, with NTE originating from vibrational modes with $\gamma_i < 0$. The thermal evolution of the Grüneisen parameter of α -ZrW₂O₈ calculated using DFPT at the GGA/PBE and GGA/PBEsol levels within the QHA is depicted in Figure 9, along with the experimentally derived Grüneisen parameter reported by Ernst and co-workers.¹⁸ Results obtained using PBEsol are in excellent agreement with experimental estimates to ~ 60 K, while PBE predictions systematically underestimate experimental data. Calculations at the PBEsol and PBE levels indicate that γ is negative below 245 and 210 K, respectively, with minima of -6.56 at 10 K for PBEsol and -1.11 at 30 K for PBE and that γ remains close to zero up to 450 K. This finding is consistent with the observation by David *et al.*¹⁹ that phonon modes have little net effect on the lattice expansion between ~ 300 –550 K. The main contributions to NTE at low temperature stem from the two lowest-frequency optical phonons, predicted at 36 cm⁻¹ (T irrep) and 37 cm⁻¹ (E irrep) with PBEsol and at 39 and 42 cm⁻¹ with PBE. These results are in line with previous calculations carried out within the Debye-Einstein QHA showing that both vibrational modes accounts for up to $\sim 70\%$ of the CTE in the vicinity of 30 K.³³ These modes are characterized by variations of intrapolahedral bond angles O–M–O (M = W, Zr), with very limited changes in M–O bond lengths and long-range Zr…W distances, achieved through combined librational and translational motions of WO₄ units. Above ~ 60 K, oscillations appear in experimental data, while the present calculations predict a smooth evolution of the Grüneisen parameter. These oscillations were ascribed by Ernst *et al.* to small systematic errors in their lattice-parameter measurements.¹⁸ Such fluctuations in lattice-parameter data might occur as the result of thermally-induced defect creation, as discussed above. As shown in Figure 9, the overall thermal expansion become positive ca. 92 and 131 K below the computed PBEsol and PBE Debye temperature thresholds of 337 and 341 K, respectively, which correspond to the temperature above which most vibrational modes of the crystal are fully excited.²

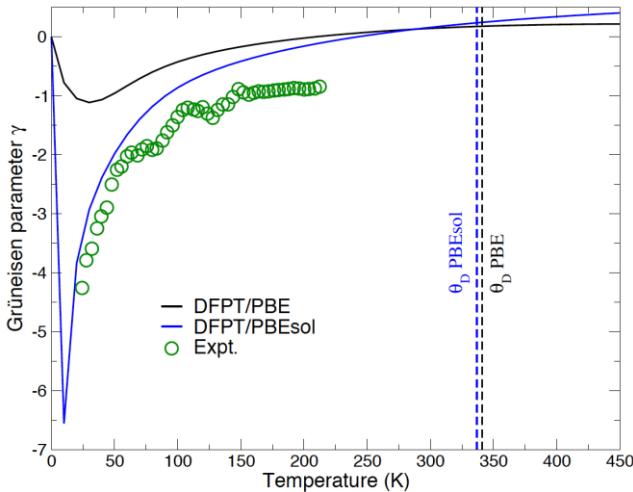


Figure 9. Thermal evolution of the Grüneisen parameter γ of $\alpha\text{-ZrW}_2\text{O}_8$ calculated using density functional perturbation theory (DFPT) at the GGA/PBE (solid black line) and GGA/PBEsol (solid blue line) levels within the quasi-harmonic approximation. The experimentally derived Grüneisen parameter (green circles) [Ref. (18)] and the Debye temperatures (θ_D) calculated with PBE and PBEsol (dashed lines) are also displayed.

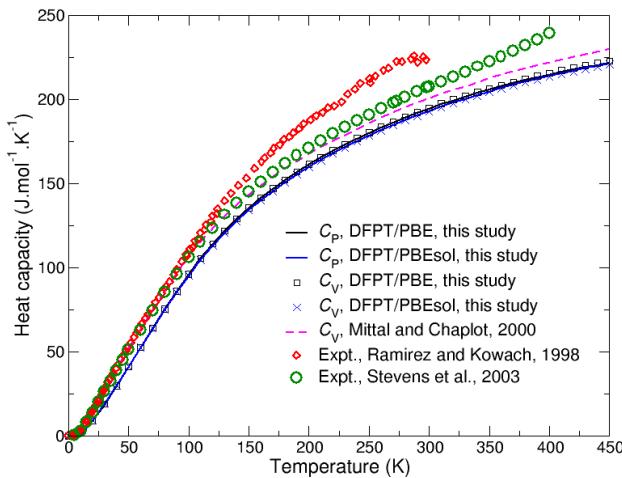


Figure 10. Thermal evolution of the molar isochoric (C_V) and isobaric (C_P) heat capacities of $\alpha\text{-ZrW}_2\text{O}_8$ calculated at constant atmospheric pressure using density functional perturbation theory (DFPT) at the GGA/PBE and GGA/PBEsol levels within the quasi-harmonic approximation. Results from lattice dynamics calculations based on semiempirical interaction potentials by Mittal and Chaplot [Ref. (30)] and calorimetric data by Ramirez and Kowach [Ref. (21)] and Stevens et al. [Ref. (29)] are also displayed.

Thermodynamic functions fitted to the Vinet EoS were further derived from phonon calculations. Since $\alpha\text{-ZrW}_2\text{O}_8$ is a semiconductor with a calculated band gap of 3.44 and 3.45 eV at the PBE and PBEsol levels, the electronic contribution to the thermodynamic properties is zero and only lattice vibrations contribute to thermodynamic properties. The molar isobaric heat capacity, C_P , as a function of temperature was obtained within the QHA using the expression:

$$C_P(T, P) = -T \frac{\partial^2 G(T, P)}{\partial T^2} = T \frac{\partial V(T, P)}{\partial T} \frac{\partial S(T; V)}{\partial V} \Big|_{V=V(T, P)} + C_V[T, V(T, P)], \quad (8)$$

with the molar entropy, S , given by

$$S = -k_B \sum_{\mathbf{q}, \nu} \ln[1 - e^{-\beta \hbar \omega_{\mathbf{q}, \nu}}] - \frac{1}{T} \sum_{\mathbf{q}, \nu} \frac{\hbar \omega_{\mathbf{q}, \nu}}{e^{\beta \hbar \omega_{\mathbf{q}, \nu}} - 1}, \quad (9)$$

the molar isochoric heat capacity, C_V , defined as

$$C_V = k_B \sum_{\mathbf{q}, \nu} (\beta \hbar \omega_{\mathbf{q}, \nu})^2 \frac{e^{\beta \hbar \omega_{\mathbf{q}, \nu}}}{[e^{\beta \hbar \omega_{\mathbf{q}, \nu}} - 1]^2}, \quad (10)$$

where $V(T, P)$ in Eq. (8) is the equilibrium volume at T and P , and the pressure is set to $P = 1$ bar, *i.e.* the reference ambient pressure for the standard state adopted in calorimetric data; other variables have been defined in Eq. (1).

The thermal evolution of C_P for $\alpha\text{-ZrW}_2\text{O}_8$ calculated at constant atmospheric pressure using DFPT at the PBE and PBEsol levels within the QHA is depicted in Figure 10, along with C_P results from lattice dynamics calculations based on semiempirical interaction potentials by Mittal and Chaplot³⁰ and calorimetric data by Ramirez and Kowach²¹ and Stevens et al.²⁹. As shown in Figure 10, computed molar isochoric and isobaric heat capacities are similar, with only minute differences between PBE and PBEsol results. At $T = 298.15$ K, the standard molar heat capacity is predicted to be $C_P^0 = 193.8$ and $192.2 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ with PBE and PBEsol, respectively, *i.e.*, $\sim 6\text{-}7\%$ lower than the value of $C_P^0 = 207.01 \pm 0.21 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ measured by Stevens et al.²⁹ and $\sim 13\text{-}14\%$ smaller than the value of $C_P^0 \sim 223.3 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ reported by Ramirez and Kowach²¹. As discussed by Stevens et al.²⁹, the results from Ramirez and Kowach²¹ are consistently larger than all other sets of calorimetric data,^{22,29} due in part to limitations of the relaxation technique utilized at high temperatures, where radiation losses become significant. However, the present results are in closer agreement with the lattice dynamics estimate of $C_P^0 \sim 199.8 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ calculated by Mittal and Chaplot³⁰ using semiempirical interaction potentials with Born-Mayer type short-range terms and van der Waals type attractive interaction. The agreement between PBE/PBEsol DFPT predictions and semiempirical simulations remains within 3-4% up to the thermal limit of ~ 431 K corresponding to the $\alpha \rightarrow \beta$ structural phase transition. The value of $C_P \sim 219 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ obtained with PBE/PBEsol DFPT near this thermal stability limit for $\alpha\text{-ZrW}_2\text{O}_8$ is still $\sim 20\%$ smaller than the theoretical Dulong-Petit asymptotic value of $C_P = 3nR = 274.4 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$, where n is the number of atoms per formula unit and $R = 8.314 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ is the universal gas constant.

The thermal dependence of the molar entropy of $\alpha\text{-ZrW}_2\text{O}_8$ calculated at equilibrium volume with Eq. (9) using DFPT is represented in Figure 11. PBE and PBEsol results are essentially indistinguishable, with standard molar entropy values of $S^0 \sim 230.3$ and $229.2 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$, respectively. These values are $\sim 11\%$ smaller than the estimate of $S^0 = 257.96 \pm 0.50 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ obtained by Stevens et al.²⁹ by integration of their measured molar isobaric heat capacity. The computed thermal evolution of the entropy exhibits a characteristic logarithmically increase according to Boltzmann's entropy formula, $S = k_B \log(W)$, where W is the number of microstates in the system.

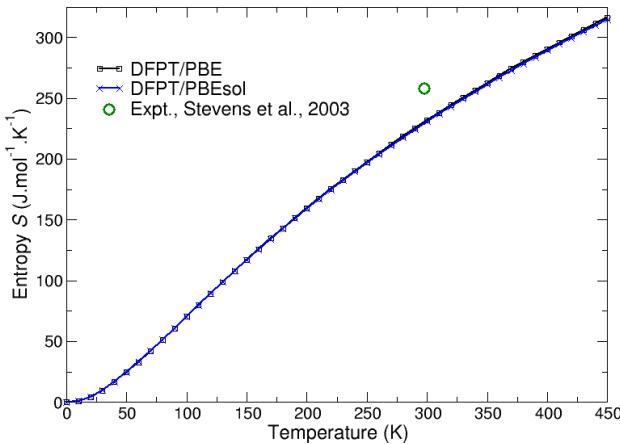


Figure 11. Thermal evolution of the molar entropy of α -ZrW₂O₈ calculated at equilibrium volume using density functional perturbation theory (DFPT) at the GGA/PBE (solid black line) and GGA/PBEsol (solid blue line) levels. The standard molar entropy measured by Stevens et al. [Ref. (29)] is also represented.

4. CONCLUSION

In summary, DFPT calculations were conducted at the GGA/PBE and GGA/PBEsol levels of theory to systematically investigate the crystal structure, phonon, IR and Raman spectra, and thermomechanical properties of the NTE material α -ZrW₂O₈. The accuracy of the DFPT methodology was extensively assessed and validated by performing a comprehensive comparison between results from these calculations and experimental data generated in this study and previous investigations.

Relaxed crystal unit-cell parameters of $a = 9.24$ and 9.31 Å were obtained at $T = 0$ K with PBEsol and PBE, respectively, *i.e.*, $\sim 0.6\%$ and $\sim 1.4\%$ larger than the value of $9.1823(4)$ Å measured by Evans et al. at $T = 0.3$ K, and $\sim 1.0\%$ and $\sim 1.8\%$ larger than the present XRD estimate of $9.1493(2)$ Å at room temperature. The accuracy of the PBEsol XC functional in reproducing experimental crystallographic parameters is superior compared to the standard PBE and hybrid B3LYP functionals.

In addition, good agreement was obtained between the phonon density of states spectra simulated with DFPT in the athermal limit and the generalized PDOS spectrum from inelastic neutron scattering data collected at $T = 300$ K using time-of-flight and filter-analysing spectroscopy to probe the low and high phonon-energy range. The only notable exception was for phonon signatures observed in the vicinity of $\omega \cong 600$ cm⁻¹, which are not reproduced by DFPT calculations. Phonon frequencies calculated with PBEsol appear systematically blueshifted compared to PBE results, with larger differences occurring above $\omega \cong 350$ cm⁻¹.

A full assignment of the 55 phonons of α -ZrW₂O₈ was reported based on PBE/PBEsol DFPT calculations and eigenfrequencies predicted with DFPT were systematically compared to IR and Raman bands observed in this study and in previous experiments by Evans et al. and Ravindran et al. From the direct correspondence between the internal modes of WO₄ units in the α -ZrW₂O₈ crystal and a free tungstate ion, PBEsol (PBE) calculations predicted symmetric v₁ stretching modes in the range 1013–1032 cm⁻¹ (993–1012 cm⁻¹), antisymmetric v₃ stretching modes in the range 739–992 cm⁻¹ (717–975 cm⁻¹), antisymmetric v₄ and symmetric v₂ bending

modes in the range 277–381 cm⁻¹ (276–374 cm⁻¹), and a combination of libration, lattice and translation modes below 256 cm⁻¹ (253 cm⁻¹). Large gaps of 358 cm⁻¹ and 343 cm⁻¹ computed with PBEsol and PBE, respectively, separate the high-frequency stretching modes from the low-frequency bending modes, although spectral signatures were previously observed in this range in the generalized PDOS spectrum from inelastic neutron scattering.

Phonon calculations near equilibrium were also carried out within the QHA to derive the thermomechanical properties of α -ZrW₂O₈. At $T = 0$ K, the bulk modulus values computed using the Vinet EoS are $K_0 = 90.2$ and 96.7 GPa with the PBE and PBEsol functionals, respectively, in agreement with the experimental value of 104.3 GPa obtained by resonant-ultrasound spectroscopy. These values are consistent with the VRH estimates of 98.7 GPa for PBE and 105.7 GPa for PBEsol derived from the elastic constants calculated with DFPT. In addition, the variation of the linear CTE predicted with PBE/PBEsol DFPT was compared to experimental estimates extracted from the high-resolution neutron diffraction data of David *et al.* between 2 and 520 K. The NTE computed using PBEsol is in very good agreement with experimental data up to ~ 70 K, where it reaches an extremum of -7.7×10^{-6} K⁻¹. Predictions using PBE are qualitatively similar to PBEsol, although the maximum NTE value predicted is only -4.2×10^{-6} K⁻¹ at ~ 70 K. Above this temperature, both PBEsol and PBE results exhibit smaller NTE values and feature positive thermal expansion above 209 K and 243 K, respectively. While reduction in NTE also appears above ~ 70 K in the experimental data for polycrystalline ZrW₂O₈, computational predictions for single crystal α -ZrW₂O₈ differ from measurements, which oscillate between -10.8×10^{-6} K⁻¹ and -8.4×10^{-6} K⁻¹ in the temperature range ~ 70 – 300 K. Such differences might stem from the creation of thermally induced defects in α -ZrW₂O₈ samples, as precursors to the thermally activated $\alpha \rightarrow \beta$ phase transition in cubic ZrW₂O₈, which occurs around 431 K. The thermal evolution of the Grüneisen parameter γ predicted with PBEsol is in excellent agreement with experimental estimates by Ernst and co-workers to ~ 60 K, while PBE predictions systematically underestimate experimental data. Calculations at the PBEsol and PBE levels indicate that γ is negative below 245 and 210 K, respectively, with minima of -6.56 at 10 K for PBEsol and -1.11 at 30 K for PBE. The main contributions to NTE at low temperature stem from the two lowest-frequency optical phonons, predicted at 36 cm⁻¹ (*T* irrep) and 37 cm⁻¹ (*E* irrep) with PBEsol and at 39 and 42 cm⁻¹ with PBE.

Finally, the thermal evolutions of the molar isochoric and isobaric heat capacities and molar entropy were calculated for single-crystal α -ZrW₂O₈ using DFPT at the PBE and PBEsol levels. At $T = 298.15$ K, the standard molar heat capacity is predicted to be $C_p^0 = 193.8$ and 192.2 J·mol⁻¹·K⁻¹ with PBE and PBEsol, respectively, *i.e.*, ~ 6 – 7% lower than the value of $C_p^0 = 207.01 \pm 0.21$ J·mol⁻¹·K⁻¹ measured by Stevens et al. and ~ 13 – 14% smaller than the value of $C_p^0 \sim 223.3$ J·mol⁻¹·K⁻¹ reported by Ramirez and Kowach. However, the present results are in closer agreement with the lattice dynamics estimate of $C_p^0 \sim 199.8$ J·mol⁻¹·K⁻¹ calculated by Mittal and Chaplot using semiempirical interaction potentials. PBE and PBEsol results for the entropy are essentially identical, with standard molar entropy values of $S^0 \sim 230.3$ and 229.2 J·mol⁻¹·K⁻¹, respectively. These values are $\sim 11\%$ smaller than the estimate of $S^0 = 257.96 \pm 0.50$ J·mol⁻¹·K⁻¹ obtained by Stevens et al. by integration of their measured molar isobaric heat capacity.

The present results and finding demonstrate the accuracy of the DFPT/PBEsol approach for studying the spectroscopic, mechanical

and thermodynamic properties of stoichiometric materials with anomalous thermal expansion. Further DFPT investigations will focus on the role of defects and impurities in NTE materials, since a full understanding of the structure-properties relationship is still currently missing for this class of systems.

ASSOCIATED CONTENT

Supporting Information

Experimental details of the precipitation of the cubic hydrated precursor $\text{ZrW}_2\text{O}_7(\text{OH})_2(\text{H}_2\text{O})_2$ and conversion to the final α - ZrW_2O_8 phase are given in Supporting Information, along with and SEM and XRD characterization of these compounds. Computational methods utilized to obtain elastic constants and derive shear and Young's moduli, Poisson's ratio, and Debye temperature are also discussed in Supporting Information.

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Notes

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