# Proceedings of the 8<sup>th</sup> US/German Workshop on Salt Repository Research, Design, and Operation

**Spent Fuel and Waste Disposition** 

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#### **SUMMARY**

The 8th US/German Workshop on Salt Repository Research, Design, and Operation was hosted by Centrale Organisatie Voor Radioactief Afval (COVRA), at their headquarters near the operating storage facility in Nieuwdorp, The Netherlands. Fifty-five registered participants efficiently conducted the technical program at COVRA's premises, an excellent venue to present, discuss, and advance the basis for disposal of radioactive waste in salt formations.

This collaboration has come a long way since reconvening United States (US)/German salt repository collaboration in 2010. The international collaboration is productive and successful and continues to identify prominent salt research that either emerges as the science and research agendas develop or continues to mature from existing, ongoing partnerships. This is mirrored and documented by annual Proceedings, updated mutual research agendas, and advancement of salt repository science across a forefront of issues.

This document records the Proceedings of the 2017 gathering of salt repository nations. In a spirit of mutual support, technical issues are dissected, led capably by subject matter experts. As before, it is not possible to explore all contemporary issues regarding nuclear waste disposal in salt formations. Instead, the group focused on a few selected issues to be pursued in depth, while at the same time acknowledging and recording ancillary issues.

To distribute the burden of creating the annual Proceedings, lead scientists in certain areas of research volunteered to write cogent summaries, which are presented as chapters in this document. Contributing authors are listed in the Acknowledgement Section. As always, these Proceedings include appendices containing the Final Agenda, List of Participants, Abstracts, Presentations, and Biographical Information, if provided. A primary objective for producing Proceedings of each workshop is to sustain a track-record of ongoing collaboration and thereby provide continuity of long-term research, summarize and publish status as issues mature, and develop appropriate research by consensus in a workshop environment. The workshop Proceedings are also a great resource for background material, photographs, and history of salt repository experience.

Major topics and findings constitute individual chapters in these Proceedings. The scientific breadth continues to be larger than can be accomplished by single investigators, as will become apparent in this document. Presentations and abstracts provided by participants are included in appendices, while summary write-ups are provided so the reader can understand clearly where our collaborations are focused and heading. Notably, the following topics are emphasized here:

- WEIMOS -- Verbundprojekt: Weiterentwicklung und Qualifizierung der gebirgsmechanischen Modellierung für die HAW-Endlagerung im Steinsalz (Collaborative project: Further development and qualification of rock mechanics modeling for final storage of HAW in rock salt) testing of WIPP salt—Chapter 2
- Creep at low deviatoric stress—Chapter 3
- Reconsolidation of granular salt—Chapter 4
- KOSINA (Concept development for a generic final repository for heat-generating wastes in flatbedded salt layers in Germany as well as development and examination of a safety and verification concept)—Chapter 5
- Operational safety—Chapter 6
- Special topics Chapter 7

The Proceedings will conclude (Chapter 8) with a look toward the next workshop to be held in Hannover, Germany in September 2018.

Continuity of purpose has been established over recent years of the US/German collaboration on salt repository research, design and operation. Themes and emphases arise naturally because advancing investigations, discussion, and new test results lead to creation of a yearly or relatively short-term focus for salt repository research. A contemporary agenda has been documented periodically in external publications, in annual Proceedings, and is often integrated into requests to the German Ministry and the US Department of Energy for financial support. Research themes frequently interrelate or feed information between and among activities, as can be readily discerned in the content of these Proceedings.

Specific research in definitive areas probes deeply into fundamental material properties, which makes it practical to break-out separate chapters for certain case studies. For example, WEIMOS, testing of the Waste Isolation Pilot Plant (WIPP) salt, and creep at low stress conditions are directly linked. These relationships are well known to participants, but presentation of scientific advancement is sometimes more clearly conveyed by either combination or separation into individual chapters. Chapter 2 on WEIMOS, which emphasizes modeling also includes testing of WIPP salt because specific testing has been identified to support model development and assessment. A deeper examination of testing at low deviatoric stress warrants its own chapter, which is developed sequentially after WEIMOS.

Chapter 3 dives deeper into what appears to be accelerated salt creep deformation at low deviatoric stress, which means that steady-state deformation by salt under low stress conditions appears to be much faster than extrapolated rates from commonly used constitutive relationships. Essentially, there were no experiments with precise instrumentation and absolute control over state variables at the low strain rates of interest. The experimental door was opened by Bérest and coworkers and similar investigations now constitute significant emphasis in our collaborative research.

Chapter 4 reviews reconsolidation of granular salt and takes note of progress and uncertainties to define emphasis for future investigations. This extremely important research issue has also been recognized for several decades, as backfilling and reconsolidation are industry practice for structural integrity, stowing, and other purposes, which can be extending to affect seal systems for repositories. In salt repositories, reconsolidation of granular salt has profound implications—in terms of operational safety and long-term performance. Collaborators are looking at how best to advance current understanding and how to solidify practices to ensure safety by design.

Chapter 5 highlights the progress of work and results of a research and development project called KOSINA, which is a joint undertaking of Bundesanstalt für Geowissenschaften und Rohstoffe (Federal Institute for Geosciences and Natural Resources (Germany)) (BGR), Gesellschaft für Anlagen- und Reaktorsicherheit (Society for plant and reactor safety) GmbH (GRS), Institut für Gebirgsmechanik (Institute for Rock Mechanics), and DBE TECHNOLOGY (a wholly owned subsidiary of a German Company for Construction and Operation of Waste Repositories (DBE) and funded by the German Federal Ministry for Economic Affairs and Energy. KOSINA is an acronym for Concept development for a generic repository for heat-generating waste in bedded salt formations in Germany as well as development and testing of a safety and demonstration concept. KOSINA was launched in the summer of 2015 A bedded salt formation was not considered previously as a possibility to host a high-level-waste repository in Germany. The project has been going on for nearly three years and will be concluded in April 2018. KOSINA foci include development of generic geologic models, including derivation of model parameters, development of a safety demonstration concept, development of technical repository designs for four different emplacement alternatives, analysis of geomechanical integrity, evaluation of operational safety, as well as analysis of radiological consequences. The main achievements are four different repository designs, two per each generic geologic model (flat-bedded salt and salt pillow) based on thermomechanical calculations. This includes implementation of a safety concept that provides containment of radioactive materials with regard to the technical designs of waste packages, transport and emplacement technologies, and backfilling and sealing concepts. Integrity of the geologic barriers could be demonstrated for all four repository designs, and the long-term predictions showed no radiological releases during the demonstration period of one million years. Presentations given by the partners showed details of the achievements.

Chapter 6 concerns the vital topic of Operational Safety. The workshop emphasizes recent WIPP experience, because of unexpected interruption of disposal operations owing to a fire and a radiological release. Operations ceased for three years, and underground access was severely limited. Ground control was not possible during this period, giving rise to unsafe working conditions in some areas of the repository. A recovery plan was developed and implemented and WIPP is once again disposing of transuranic waste. These real-life experiences are informative to Project BASAL, which includes assessment and documentation of interactions between operational safety and post-closure safety.

Chapter 7 covers the session of special topics from the final afternoon of the workshop. This included discussion of the actinide brine chemistry workshop, an ongoing GRS/Sandia National Laboratories collaboration on regional density-dependent groundwater flow around a salt repository, and a German study on deep borehole disposal.

Chapter 8 looks ahead. After eight years of annual workshops, our collaborations have matured in many ways, but most importantly the engaged colleagues know how to work together. This is essential and key for being *erfolgreiche collaboration*. The German word *erfolgreich* cannot be improved by translation into English—it means successful. Scientific and technical teamwork cooperation is also acknowledged by funding organizations.

A clear research agenda has been established, providing essential workshop topics. Next year (2018) the US/German Workshop will be held coincidentally with the 9th Conference on the Mechanical Behavior of Salt (SaltMechIX). The SaltMechIX will take place at the BGR in Hannover on September 12-14, 2018. The conference will be organized jointly by the Federal Institute for Geosciences and Natural Resources Hannover, the Institute of Geomechanics Leipzig, and the Technical University of Clausthal. Because the global salt research community enjoys close ties, coordination and added value should materialize between the salt repository workshop and the larger venue of the SaltMechIX conference.

The technical emphasis of ongoing workshops remains on issues pertaining to salt repository research, design, and operation. The group strives to advance the technical basis for salt repository systems, and provides a forum to evaluate and address arising issues and support the Nuclear Energy Agency Salt Club. A secondary emphasis of the ongoing workshops is to ensure early-career salt researchers can collaborate with and learn from experts in the field who may soon be retiring, helping to ensure a degree of continuity in each of the participant countries' technical programs. Proceedings of all workshops and other pertinent information are posted on several websites (e.g., Sandia National Laboratories, the Nuclear Energy Agency Salt Club, Karlsruhe Institute of Technology/Projektträger Karlsruhe). Proceedings can also be obtained via a simple web search.

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The 8<sup>th</sup> US/German Workshop on Salt Repository Research, Design, and Operations was hosted by the Central Organisation for Radioactive Waste (Centrale Organisatie Voor Radioactief Afval, COVRA) in Nieuwdorp, The Netherlands. Hospitality extended by COVRA operations, especially Ewoud Verhoef, Erica Neeft, Marianne Cornet, and Laura Waterman was outstanding. The facilities for presentations and discussion were excellent for a group of our size, while catering and logistics made the entire exchange wonderful. The Netherlands has been a solid contributor to our technical program, as well.

Special thanks to Laura A. Connolly of Sandia National Laboratories for helping organize the COVRA meeting logistics, urging participants to provide material on time and in good order, gathering and assembling all the abstracts, presentations and other program materials included in these Proceedings.

Each country's organizations gain from collaborations that have now enjoyed 8 years of consistent achievement. Though we have historically worked together for many years (since the 1970s), national programs were not always synchronous regarding priorities or funding. That condition still exists to some extent, but progress and comradery in recent years helped build these workshops into a sustainable structure. Sharing responsibility as well as technical contributions is reflected by chapter authorship. Virtually every attendee contributed to dialogue and debate, which augurs a future continuity of US/German Workshops on Salt Repository Research, Design and Operations multiplying benefits to involved parties. These Proceedings comprise the following main chapters:

CONTRIBUTING AUTHORS					
Chapter	Title	Authors			
Chapter 1	INTRODUCTION	F. Hansen, W. Bollingerfehr, and W. Steininger			
Chapter 2	WEIMOS AND TESTING WIPP SALT	A. Hampel, T. Popp, K. Herchen and F. Hansen			
Chapter 3	CREEP AT LOW DEVIATORIC STRESS	T. Popp and F. Hansen			
Chapter 4	RECONSOLIDATION OF GRANULAR SALT	K. Wieczorek, N. Mueller- Hoeppe, and F. Hansen			
Chapter 5	KOSINA	W. Bollingerfehr, J. Kindlein, T. Popp, and T. Kühnlenz			
Chapter 6	OPERATIONAL SAFETY	S. Dunagan, J. Wolf, N. Bertrams, W. Bollingerfehr, D. Buhmann, C. Fahrenholz, W. Filbert, A. Lommerzheim, U. Noseck, and S. Prignitz			
Chapter 7	SPECIAL TOPICS	K. Kuhlman			
Chapter 8	CONCLUDING REMARKS	F. Hansen, W. Bollingerfehr, and W. Steininger			

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to RESPEC who made it possible for the first author (Hansen) to attend, contribute, write, and edit these Proceedings.

Going forward, the Proceedings will be compiled by the hosting organization. That means in 2018, the Bundesanstalt für Geowissenschaften und Rohstoffe (Federal Institute for Geosciences and Natural Resources (Germany)) will compile the Proceedings. The successful assembly process undertaken the past few years should facilitate this transition. Subsequently, in 2019 for example, the hosting organization will also assume production of the Proceedings. In this modern-day electronic environment, the Proceedings will be posted on several websites.

# If you don't show appreciation to those who deserve it, they'll learn to stop doing the things you appreciate.

(Unknown)

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#### **ACRONYMS**

ABC Actinide Brine Chemistry

AIB WIPP accident investigation board

BGR Bundesanstalt für Geowissenschaften und Rohstoffe (Federal Institute for

Geosciences and Natural Resources (Germany))

BMU Federal Ministry for the Environment, Nature Conservation, and Nuclear Safety of

Germany

BMWi Bundesministerium für Wirtschaft und Energie (German Federal Ministry for

Economic Affairs and Energy)

CBFO US Department of Energy Carlsbad Field Office

CORR Contractor Operational Readiness Review

COVRA Central Organisation for Radioactive Waste (Centrale Organisatie Voor

Radioactief Afval, Dutch nuclear waste processing and storage company)

CRZ containment-providing rock zone

DAEF Deutsche Arbeitsgemeinschaft für Endlagerforschung (German Association for

Repository Research)

DBE TEC Deutsche Gesellschaft zum Bau und Betrieb von Endlagern für Abfallstoffe mbH

(DBE Technology is a wholly owned subsidiary of German Company for

Construction and Operation of Waste Repositories (DBE))

DOE US Department of Energy

DORR DOE Operational Readiness Review

DRZ damaged rock zone

EDZ excavation damaged zone FEPs features, events, and processes

GRS Gesellschaft für Anlagen- und Reaktorsicherheit (Society for plant and reactor

safety) GmbH

HEPA high-efficiency particulate air

HLW, HAW high-activity waste, high-level radioactive waste

IAEA International Atomic Energy Agency

IfG Institut für Gebirgsmechanik (Institute for Rock Mechanics)

INE Institute for Nuclear Waste Disposal
IVS WIPP interim ventilation system
KIT Karlsruhe Institute of Technology

KOSINA Concept development for a generic repository for heat-generating waste in bedded

salt formations in Germany as well as development and testing of a safety and

demonstration concept

LANL Los Alamos National Laboratory
NEA OECD Nuclear Energy Agency

NWP nuclear waste partnership (WIPP management and operations contractor)

OECD Organisation for Economic Co-operation and Development

PTKA-WTE Projektträger Karlsruhe / Abteilung Entsorgung (Project Management Agency

Karlsruhe, (Department Waste Management)

R&D research and development

RepoTREND (Transport and REtention of Non-decaying and Decaying contaminants in final

REPOsitory)

RGI Radiologischer Geringfügigkeits-Index (index of marginal radiological impact)

SaltMechIX 9th Conference on the Mechanical Behavior of Salt

SMRI Solution Mining Research Institute

SNF spent nuclear fuel

SNL Sandia National Laboratories
TSC Transport and Storage Cask

TUC Technische Universität Clausthal, Germany US/USA United States/United States of America

WEIMOS Verbundprojekt: Weiterentwicklung und Qualifizierung der gebirgsmechanischen

Modellierung für die HAW-Endlagerung im Steinsalz (Collaborative project: Further development and qualification of rock mechanicsl modeling for final

storage of HAW in rock salt).

WIPP DOE Waste Isolation Pilot Plant

WP Work Package

# Proceedings of the 8<sup>th</sup> US/German Workshop on Salt Repository Research, Design, and Operation

#### 1 INTRODUCTION

Research, design and operation of salt repositories have advanced appreciably over the past several years through collaboration between scientists, engineers, stakeholders, regulators, and researchers predominantly from Germany and the United States (US). Repository programs in The Netherlands have contributed significantly over this period, as well, and with their blessings the branding of these collaborations remains under the moniker: US/German Workshop on Salt Repository Research, Design, and Operation. Although the workshops focus on salt repositories for nuclear waste, the underlying testing and modeling research and development (R&D), seal systems, materials and performance, geomechanics, operations, and a variety of topics at the forefront of salt behavior and applications are of great interest to the larger salt community. These Proceedings can serve as a resource in terms of identifying the state-of-the-art in many areas as well as a reserve of figures, photographs, and records. This document has been created for each annual meeting since 2010, and can be readily obtained from many sources, including a simple web search.

These international workshops were reinitiated in 2010 to address salt repository applications. Several collaborative investigations have been ongoing for a long time. Scientists and engineers have increasingly better tools and insight into the challenges of salt behavior. Interrogation of salt geomechanics is sharpened through collaboration, which identifies those areas of greatest value or most significant impact to repository considerations. The group often looks critically at existing baseline information and summarizes the state of the practice in joint publications.

The workshop forum provides well-informed scientists an opportunity to deliberate contemporary issues that may or may not affect salt repositories. Because the workshop emphasis is on nuclear waste disposal, there is often a need to respond to sensational claims of one type or another that challenge the robustness of salt isolation and storage of materials placed therein. All technical issues are openly discussed and questions that remain are addressed systematically.

#### 2 WEIMOS TESTING WIPP SALT

# 2.1 WEIMOS Project

Our flagship collaboration effort (Verbundprojekt: Weiterentwicklung und Qualifizierung der gebirgsmechanischen Modellierung für die HAW-Endlagerung im Steinsalz (Collaborative project: Further development and qualification of rock mechanics modeling for final storage of HAW in rock salt) – WEIMOS – addresses modeling of salt deformation. Historically, salt scientists and practitioners have employed many methods to model salt deformation, from response models, to power-law functions, to more sophisticated models of today. Salt response is complicated and strongly influenced by state variables, while natural environments offer non-laboratory conditions and further challenges for proper characterization and modeling. With burgeoning computational capability comes a parallel trial to provide constitutive properties for various physical phenomena, as discussed in this WEIMOS chapter and related, separate chapters.

Collaborative geomechanical modeling preceding the current WEIMOS efforts simulated field experiments that progressed from relatively simple heated boreholes to contemporary efforts to model Rooms B & D at the Waste Isolation Pilot Plant (WIPP), which were full-scale experiments representing size and geometry of possible disposal vaults. Room D closure occurred under ambient temperature, while Room B experienced thermal profiles expected with certain configurations of defense high-level waste. The

WEIMOS collaboration is actively addressing historical modeling of Rooms B&D while maintaining transparent treatment of decision bases.

Modelers and laboratory scientists have worked closely within the WEIMOS project and its predecessors. This collaboration highlights how the current salt-repository research agenda has evolved. To gain perspective, it should be noted that modelers have been performing finite-element calculations of WIPP underground response and other similar applications for 30-40 years. Computational capabilities have advanced tremendously over this time, while laboratory techniques continued to improve precision, control, and basic micromechanical understanding of salt deformational processes. Fundamental issues are not new; more than 30 years ago, early computer models of ambient WIPP deformation under-predicted actual underground closure by a factor of three at 3.7 years after the excavation (Munson et al., 1986). Underprediction was easily compensated at that time by simply reducing the modulus of elasticity by 12.5; whereupon, computer models simulated room closure more closely (Munson et al., 1987). This rather unmechanistic approach achieved a match between field-test results and computer models.

Attempts at a more fundamental reconciliation between underground test results and simulations followed a winding path. From the WIPP side, a long series of modeling choices was made such that computer models would match field-test data. These included changes of the flow potential from von Mises to Tresca, change of frictional coefficient for interfaces, change of stratigraphy, change of material properties (clean and argillaceous salt), and change to material model calibrations. After significant reconfiguration of model parameters, the much-adjusted model could match field experiments at WIPP acceptably well for immediate programmatic needs (Munson et al., 1989). Over decades that followed, WIPP licensing went forward, and operational issues became paramount with concomitant decrease in research interest or necessity. However, collaboration between German salt researchers and US colleagues recently revisited WIPP field-test results from Rooms B & D, and despite possessing vastly improved computational capability and an increased laboratory data base, once again under-predicted closure by a factor of two to three at 3.7 years. Therefore, it should be clear that the finely tuned model for WIPP room closure was successful because many parameters were adjusted to allow models to match field-test results. The WEIMOS project and preceding collaborations were vital in this discovery.

Collaborations such as these demonstrate clear and sometimes striking value. The objective and transparent process undertaken in current collaborations leads to the present-day research agenda, as discussed below. Salt simulations require fundamental input to behavior of layered interfaces, such as anhydrite/clay or anhydrite/salt. Constitutive models for salt under conditions of low deviatoric stress are essential because most of the simulated area in a finite-element grid as well as the actual underground situation, resides in regions of low deviatoric stress. Therefore, the current research agenda includes shear of inhomogeneous layers and testing of salt under low stress conditions.

Other tuning of model parameters, such as introduction of a free variable called lost transient strain, would be suitable for further research. Previous modeling of WIPP room closure also invoked vast changes to WIPP reference stratigraphy, which warrants peer review and reconciliation. These discoveries were made by way of collaborations on the modern WEIMOS efforts. Adjusting models in various ways may be an acceptable engineering approach, but research embraced by the US/German collaborators on salt repository science is dedicated to examining open questions, such as identified here.

The Joint Project WEIMOS (2016-2019) is a collaboration of the following partners:

- Dr. Andreas Hampel, Scientific Consultant, Mainz, Germany (coordinator);
- Institut für Gebirgsmechanik GmbH (IfG), Leipzig, Germany;
- Leibniz Universität Hannover, Germany;
- Technische Universität Braunschweig, Germany;

- Technische Universität Clausthal, Germany (TUC);
- Sandia National Laboratories (SNL), Albuquerque and Carlsbad, New Mexico, USA.

The German partners are funded by the German Federal Ministry for Economic Affairs and Energy (BMWi) and managed by the Project Management Agency Karlsruhe. The US partners are funded by the US DOE, through the offices of Nuclear Energy and Environmental Management.

In this project, the rock mechanical modeling for the final disposal of heat-generating high-level radioactive waste (HLW, HAW) in rock salt is developed further and qualified for the application in numerical simulations. It builds on three previous Joint Projects on the "Comparison of Constitutive Models for the Thermo-mechanical Behavior of Rock Salt" (2004-2016). In these studies, four topics were identified by the partners for a necessary further development of the modeling. The progress in the four work packages (WPs) 1 to 4 shall be demonstrated with simulations of a complex model of a typical underground situation in salt in work package 5:

- WP 1. Deformation behavior at small deviatoric stresses
- WP 2. Temperature and stress dependence of damage reduction
- WP 3. Deformation behavior resulting from tensile stresses
- WP 4. Influence of inhomogeneities (layer boundaries, interfaces) on deformation
- WP 5. Virtual demonstrator
- WP 6. Administrative work

At the 8th US/German Workshop recent results and the status of research in WPs 1 to 5 were reported.

#### WP 1: Small deviatoric stresses

Reliable extrapolations of the creep behavior of natural salt over a long period of time and at low deformation rates with constitutive equations require a well-derived experimental data base and an understanding of the acting deformation mechanisms. This theme was thus an important topic in the geomechanical section at the workshop and addressed also by the invited speaker Pierre Bérest (École Polytechnique, Paris, France) and Stuart Buchholz (RESPEC Inc., Rapid City, South Dakota, USA) in their presentations.

In WEIMOS, triaxial lab creep tests at small deviatoric stresses, i.e., at  $\Delta\sigma$  below 5 MPa, are performed on WIPP salt with very high precision by IfG as a basis for the common improvement of the modeling by the partners. In this work package, the partners collaborate also with Bundesanstalt für Geowissenschaften und Rohstoffe (Federal Institute for Geosciences and Natural Resources (Germany)) (BGR) Hannover. BGR also performs lab creep tests with WIPP salt specimens, but in a higher temperature range than IfG, i.e., above  $100^{\circ}$ C. Additionally, BGR and SNL carry out microstructural analyses of unloaded and loaded specimens to identify the dominant deformation mechanisms in the range of small stresses.

Since it was a special topic at this workshop, recent results and the status of this work package are reported in the next chapter of these Proceedings.

#### **WP2: Damage reduction**

Generally, "damage" involves the formation of microcracks and results in reduced load-bearing capacity, volumetric strains (dilatancy), and increased permeability. "Damage reduction" or "healing" at first reduces dilatancy by a closure of opened microcracks and subsequently restores mechanical strength by a recovery of cohesion with a regeneration of bonding between microcrack surfaces.

Currently, "healing" processes are not considered in the safety analysis for radioactive waste repositories, neither in the thermomechanical integrity analysis for the demonstration of the containment-providing rock zone (CRZ) which is only based on the evaluation of the dilatancy and minimum stress, nor in simulations

of the tightness of geotechnical barriers which only consider the development of the excavation disturbed/damaged rock zone (EDZ, DRZ). Establishment of generally accepted "healing" approaches in the constitutive models may help to demonstrate more realistically the long-term performance of geological and technical barriers and, thereby, to enhance the confidence in the salt barrier robustness. Thus, reliable experimental data are of urgent need for developing improved healing approaches.

The laboratory tests of the influence of temperature and stress state on damage reduction in this work package are performed by TUC. Therefore, Kai Herchen of TUC presented the "healing" test program and current test results.

The dilatancy of a salt sample is measured for a quantification of damage during a test, because it is the main quantity in the damage and healing approaches in the constitutive models of the partners. In general, dilatancy measurements for damage reduction are a major challenge because the tests must be performed over a long test period at high pressures with very high resolution and stability. External influences such as fluctuations of the room temperature in the lab and the compressibility of the oil and test machine can have a large influence and must be considered.

For these reasons, four high-precision test machines at the TUC were developed further. In addition, optimized test procedures were developed.

In the first test series, all tests started at the same point in time and were carried out with the same loading history at constant temperature  $T=35^{\circ}$  C. After a reconsolidation phase with an isotropic stress state over 5 days, the tests were started by increasing the axial stress up to a deviatoric stress level of  $\Delta \sigma = 27$  MPa ( $\sigma_z = 30$  MPa,  $\sigma_x = \sigma_y = 3$  MPa). After 11 days in this damage phase, the confining stress was increased to an almost isotropic stress state close to 30 MPa to reach the damage reduction process. In one test machine, a steel dummy was installed to measure the oil and plant compressibility. The other three tests were performed with salt samples from rock salt of the Asse mine. Two of the tests were terminated earlier to give an opportunity for a second independent dilatancy measurement method, such as immersion weighing, at intermediate stages. The test results of the three salt samples are illustrated in the top diagrams of Figure 2-1. An axial strain  $\epsilon = 8.2$  to 9.1 % and a maximum dilatancy  $\epsilon_{vol} = 1.25$  to 1.5 % were measured.

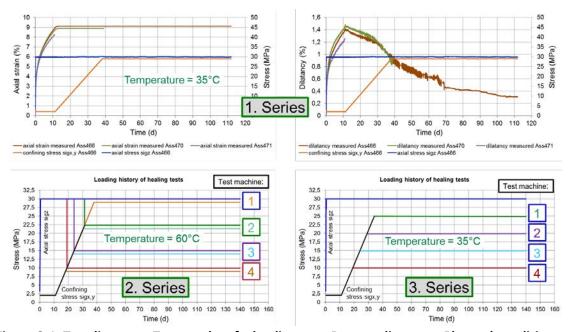


Figure 2-1. Top diagrams: Test results of a healing test. Bottom diagrams: Planned conditions of further healing tests with different stress states and temperatures.

Two further tests series are planned for the investigation of the healing behavior at different stresses and temperatures. Series 2, shown in Figure 2-1 bottom left, differs from the already performed test by a higher temperature of  $T = 60^{\circ}$  C as well as lower isotropic healing levels. In test series 3, Figure 2-1 bottom right, healing is investigated at different deviatoric stress states.

In an additional newly developed test type, the load history is modified to identify at one specimen the damage-free creep behavior, the dilatancy boundary, the damage-induced creep behavior, the stress state where damage reduction starts, and the damage reduction behavior. This test is characterized by a constant differential stress but various axial and confining stresses. Based on the variation of the axial and confining stresses, at first only transient and stationary creep occurs, followed by damage-induced creep, i.e., creep with additional evolution of damage and dilatancy, and finally healing-induced creep. Figure 2-2 shows the loading history, measured dilatancy, ultrasonic wave velocity, and axial strain of a first test with Asse salt at temperature  $T=30^{\circ}$  C.

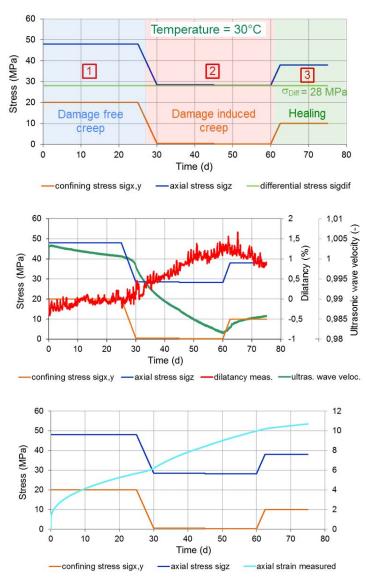


Figure 2-2. Loading history, measured dilatancy, relative ultrasonic wave velocity, and axial strain of a first test with Asse salt.

#### WP 3: Tensile stresses

Around underground openings in salt, tensile strength and tensile damage processes play dominant roles for the development of microcracks and progressive damage in the EDZ. Typical failure modes such as spalling are closely related to tensile damage (Figure 2-3). In addition to purely mechanical effects, i.e., due to rock convergence, tensile stresses may also be generated by thermal processes, e.g., due to temperature changes in a heat-generating radioactive waste repository or due to a temperature drop in a gas cavern if highly compressed gases are squeezed out.

In WEIMOS the impact of tensile stresses in the near and far-field of a repository is addressed by the following approaches:

- Comparison of how tensile stresses are treated in the various material laws
- Benchmark calculations of lab tests and field constellations
- Evaluation of the relevance of tensile stresses

The presented example of the recalculation of a Brazilian test demonstrates the capability of the constitutive models used (Figure 2-3). They can describe not only the characteristics of a visco-plastic material behavior, i.e., the three creep phases in combination with the strength and dilatancy behavior, but also tensile effects. However, while modeling results are plausible, additional systematic laboratory studies are required to overcome existing deficits regarding reliable modeling of tensile strength and failure as a function of damage (Günther et al., 2015).

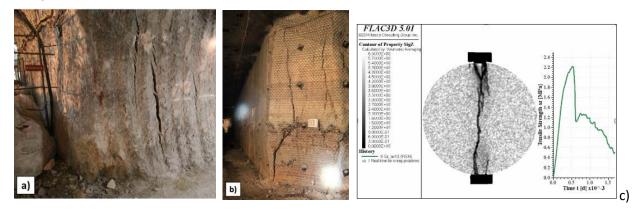


Figure 2-3. Tensile damage processes in salt. Observations a) in the Asse mine, and b) at the WIPP site; c) numerical simulation of a Brazilian test with the Günther/Salzer model.

#### WP 4: Layer boundaries, interfaces

The influence of layer boundaries, interfaces, clay seams or stringers, and contact surfaces on the convergence of underground openings became already visible during the simulations of Rooms D and B from WIPP in the previous Joint Project III. Here, some deviations of the simulation results from *in situ* measured data were explained with the influence of local sliding between rock salt and clay seams on the horizontal convergence, and with the influence of a separation between rock salt and an anhydrite layer above the room ceiling on the vertical convergence. Both phenomena were not considered in the calculations in Joint Project III because of the lack of experimental data.

Munson et al. (1989), Munson (1997), and Rath & Argüello (2012) considered a shear response of nine clay seams in their simulations of Rooms D and B (treated as sliding material interfaces) by assuming a coefficient of friction of  $\mu = 0.2$ . However, this assumption was not based on measurements. Rath & Argüello (2012, p. 18) refer to Munson et al., as follows: "Historically, this value has been interrogated,

demonstrating that values ranging from 0.4 to 0.2 result a change in vertical closure of 10% and a change in horizontal closure of about 5% (Munson et al., 1989). Also, all clay seams are homogenous in the numerical treatment of contact surfaces; that is, there is no variance in friction value with regard to each clay seam."

In this work package, direct shear tests on several samples with clay seams, salt/anhydrite contact surfaces, or salt/polyhalite contact surfaces will be performed for SNL by RESPEC Inc. in their laboratory in Rapid City, SD. A test plan was elaborated by Steve Sobolik and Benjamin Reedlunn (SNL), and Stuart Buchholz (RESPEC) and presented at this workshop by Steve Sobolik. The drilling of cores in a mine close to WIPP (same salt formation, smaller depth) was completed in the fall of 2017. Test specimens have been prepared, but actual testing has not initiated as of this writing. Several excellent samples with a clay seam, halite/anhydrite interface, and halite/polyhalite interface were obtained. The tests were planned to begin in October 2017, but funding issues with the US Department of Energy (DOE) have delayed these tests until early 2018. The shear tests will be conducted at different normal loads and two different shearing rates. They will provide valuable data for a more realistic modeling of these phenomena.

Furthermore, Sandia has proposed *in situ* testing for a direct investigation of these processes underground. These tests would help to confirm the significance and transferability of the lab test results to *in situ* situations.

Besides shear behavior, modeling of evolution and reduction of damage, dilatancy, and permeability in the EDZ/DRZ in contact zones between rock salt and geotechnical sealing elements is not yet well understood and should be investigated.

#### **WP 5: Virtual Demonstrator**

In this work package, a complex 3-D model will be calculated 1) with the existing material models, and 2) with the improved tools after the studies in WPs 1 to 4. The partners have chosen a main drift with a sealing system as an example, see Figure 2-4 left. A vertical cut through this structure resembles the 2-D plane strain model of Room D from Joint Project III. Therefore, this 2-D model was used as a basis for the Virtual Demonstrator.

Currently, test simulations are performed by all partners to e.g., adjust the 3-D discretization, reduce numerical issues, and find appropriate locations for the evaluation and comparison of simulation results. At first the open drift is calculated for 30 years after the assumed excavation, then the sealing system is introduced, and the subsequent phase is calculated for another 70 years. The plug is modeled in a simplified manner since the focus is not on the behavior of the sealing element. Besides the anhydrite and polyhalite layers, it is planned to introduce an additional interface (clay seam) that crosses the drift wall in order to study all the phenomena from WP 4.

With the final model, also the long-term evolution of stresses and strains and reduction of damage and dilatancy behind the plug will be studied.

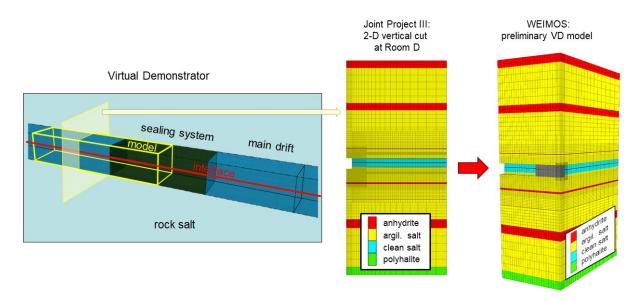


Figure 2-4. As a Virtual Demonstrator, a main drift with a sealing system was chosen. For reasons of symmetry, only half of the plug and a part of the residual drift is modeled. A vertical cut resembles the 2-D model of Room D calculated in Joint Project III, therefore it served as basis for the preliminary model of the Virtual Demonstrator in WEIMOS.

#### 3 CREEP AT LOW DEVIATORIC STRESS

Testing salt under conditions of low deviatoric stress is a subset of investigations that comprise the architecture of the WEIMOS project, as discussed in Chapter 2. Owing to intense interest shown by the salt repository community in creep of salt at low stresses, the US/German workshop leadership extended an invitation to Professor Pierre Bérest, who along with a group of colleagues from the Solution Mining Research Institute, has executed some clever low-deviatoric creep tests with control of temperature and stress to tight specifications (SMRI Research Report RR2017-1, available at SMRI)

A fundamental principle for reliable extrapolation of creep behavior of natural salt over long periods of time and at low deformation rates using constitutive equations can only be carried out based on well-derived experimental data bases coupled with an understanding of acting deformation mechanisms. Despite decades of research on salt deformation, its behavior under low deviatoric stresses remains vague because establishment of significant creep deformation at such conditions presents an experimental challenge (e.g., Hardin et al., 2014).

Figure 3-1 provides steady-state creep rates determined for several types of salt at various temperatures and stress levels. In earlier laboratory testing (say, from 1970s through 1990s), differences between axial and confining stresses commonly ranged from 5 to 15 MPa, while confining stresses were sufficiently large to suppress dilatancy. Typically, the relationship between steady-state creep rate was a function of the deviatoric stress raised to a power ranging from 3 to 6 under such conditions. This can be generally appreciated from data plotted in Figure 3-1a, a synoptic view of lab test results on WIPP-salt in relation to findings from other authors [Bérest (2015, 2017: using different salt samples); BGR (unpublished data on Morsleben salt)] which also indicates deviation of this relationship as deviatoric stress decreases. In addition, isolines for the description of the strain rate-stress relationship at various temperatures resulting from curve fitting with the Günther-Salzer (GS-stat) material law are shown. The arrow labeled "Residual stress" indicates as an additional explanation of discrepancies between "dry" and "wet" salt the microscopically determined inherent stress level on domal salt samples which may possibly overlap the creep behavior due to hardening. Figure 3-1b presents an extended data base of WIPP salt (as of 09/2017)

with tests a low deviatoric stresses ranging from approximately 1 to 10 MPa. In addition, curve fits using the Günther-Salzer (GS) or Minkley (Mi) material law are shown for various temperatures.

The data base obtained from *in situ* observations and laboratory tests on salt indicates that both dislocation (e.g., Carter & Hansen, 1983) and diffusional creep mechanisms (Urai & Spiers, 2007; Spiers et al., 1990) can be important in salt under long-term conditions. The implication of these different creep mechanisms on constitutive model development becomes visible from Figure 3-1a, showing experimental data sets for WIPP salt in relation to findings from other authors with different salt types in a log-log diagram. Current results from creep tests at low deviatoric stresses of  $\Delta \sigma \le 1$  MPa were presented by Bérest (2015; 2017) who performed uniaxial creep tests on various salt types using dead weight systems at underground test sites in salt mines. His findings demonstrate a shift in creep rates orders of magnitude higher than would be predicted by extrapolating from conventional tests at higher stresses, using a simple power law relationship. The stress response on salt creep is characterized by a change in slope. At deviatoric stress >5 MPa a stress exponent of 3 to 7 matches data, while the exponent is only 1 at deviatoric stress < 5 MPa.

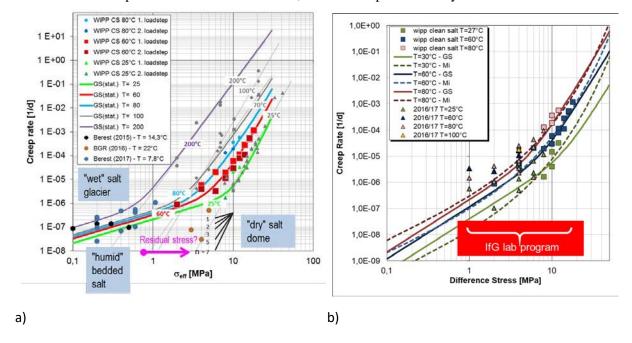


Figure 3-1. Steady-state creep behavior of salt. a) synoptic view of lab test results on WIPP-salt in relation to findings from other authors: Bérest (2015,2017); BGR (unpublished data); b) extended data base of WIPP salt (as of 09/2017) with tests a low deviatoric stresses:  $\Delta \sigma = 1 - 10$  MPa.

The transition to low-deviatoric stress creep mechanisms appears to be temperature dependent, increasing at lower temperatures, as can be seen from the WIPP results. This observation is consistent with humidity-assisted creep at low stresses. However, recent tests at BGR performed with uniaxial test rigs on salt from the Morsleben dome show a behavior more consistent with a power law with an exponent of around 5, which is interpreted as dislocation creep. In contrast, the most recent investigations on WIPP salt in the framework of WEIMOS, performed as triaxial creep tests by IfG between  $\Delta \sigma = 1$  and 10 MPa indicate also a creep mechanism change in deviatoric stress response, but the measured creep rates lie below the Bérest data (Figure 3-1b).

This collaborative research is an excellent example of investigations on several fronts approached in different manners toward solving a common dilemma. Experimental results at low deviatoric stresses are quite different than those projected or estimated based solely on experiments above 5 MPa. These matters

clarify a future research agenda, exemplifying one of the great results of international collaboration. At least two aspects are important for future work:

- 1. Experimental differences between various groups need to be evaluated. Uniaxial tests are relatively easy to perform by implementing dead-weight systems, but suffer a disadvantage that test samples are not pre-consolidated. It is believed that the long transient creep phase and relatively higher creep rates reflect sub-structural reestablishment of the salt's natural architecture. This evolution can be mitigated by a triaxial test setup where samples can be pre-compacted under defined conditions. This advancement in experimental knowledge requires more sophisticated test procedures (Günther et al., 2014), and such tests require significant maintenance to ensure stable low-deviatoric stress conditions over long test periods. As an improvement of their usual creep test equipment, IfG constructed three new triaxial creep rigs, which are characterized by an improved strain measuring system capacitive Non-Contact Displacement Transducer with a resolution better than 1 nm) operating in a highly stabilized climate room.
- 2. Lithological differences exist between bedded and domal salt in water content and tectonic stresses during diagenesis and subsequent salt dome uplift. Because systematic investigations are missing, extensive microstructural investigations are underway, performed by SNL and BGR including subgrain size piezometry for determining differential stresses (at paleo- and lab-conditions), precise determinations of water content, and its distribution.

Another means to investigate relevancy of the different creep behaviors involves finite-element simulations of a current salt dome uplift. Different constitutive relationships with application to natural cases were performed within the framework of the WEIMOS project. Figure 3-2 illustrates these models of salt dome formation by buoyancy of less-dense salt through a denser overburden. Usually a low and stable rate of rise is reached. Under such conditions continuing active uplift of the salt is due only to the remaining weight difference between the salt and the neighboring rocks. Using a simplified shape of a columnar salt diapir (with cap and adjacent rock conditions comparable to the simplified Gorleben site) in a 2D-geometry, rise of salt was estimated using two different strain rate / stress relationships corresponding to the experimental data base. A rise rate of the order of 0.03 mm per year is obtained when low stress-creep rates 3 orders of magnitude higher than extrapolated from a power-law fit at higher stresses are applied. This rate corresponds well to actual rise rates from the Gorleben salt dome (0.01 – 0.05 mm/a, after Zirngast, 1996), which tends to confirm accelerated creep rates at low-deviatoric stresses.

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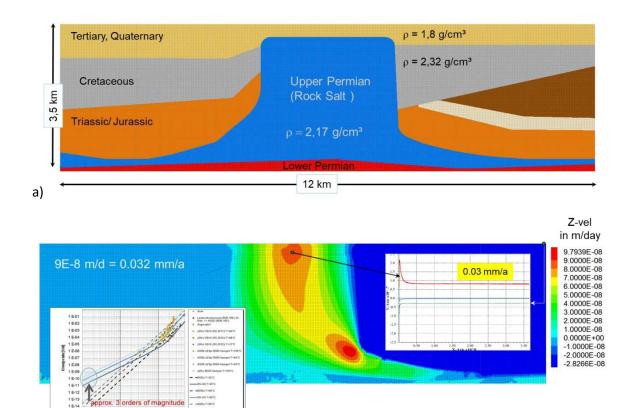


Figure 3-2. 2D Simulation of salt dome uplift. a) Generic geological model of a columnar salt diapir; b) Outcome of numerical simulation (Günther/Salzer model): velocity field of vertical uplift. The left inset illustrates the accelerated creep rate/Δσ-relationship and the right inset shows time-dependent change of vertical uplift for the respective history-point until equilibrium is reached.

Clearly, research of salt deformation under low-stress conditions is intriguing. As the example above illustrates, if the deformation rate at low-differential stress is higher than extrapolated from higher-stress creep results, overall response of large-scale salt grids will be accelerated. Extending the concept to WIPP Rooms B & D, a fundamental change in deformational behavior may account for underestimating room closure.

#### 4 RECONSOLIDATION OF GRANULAR SALT

#### 4.1 Overview

b)

Reconsolidation of crushed salt has been a topic of interest for US/German collaborators for many years. A milestone report (Hansen et al., 2014) summarized reconsolidation principles and applications. The report represents collaboration among SNL, IfG, the Gesellschaft für Anlagen-und Reaktorsicherheit (GRS) (Society for plant and reactor safety) and BGR. Reconsolidation remains a topic of active collaboration yet today, both for the Organisation for Economic Co-operation and Development (OECD) Nuclear Energy Agency (NEA) Salt Club and US/German workshops because of the great potential it brings to operational-period drift closures and long-term seal systems.

As a case in point, the NEA Salt Club held their annual meeting a day in advance of the US/German workshop and featured a break-out session focused on crushed salt reconsolidation. Four related presentations were provided by technical staff from DBE TEC, RESPEC, IfG, and GRS. Discussion

followed, and experts were queried as to the most important activities to advance the state of knowledge in repository-applicable R&D. Reconsolidation of crushed salt was also identified for an in-depth break-out session during the US/German workshop, providing further elaboration.

Furthermore, the Deutsche Arbeitsgemeinschaft für Endlagerforschung (German association for repository research) (DAEF) has been supporting research on granular salt reconsolidation, as introduced to US collaborators by Oliver Czaikowski at an exchange of salt technical understanding held at the BGR in March 2016. The DAEF represents leading German research organizations active in radioactive waste disposal research (<a href="http://www.endlagerforschung.de/">http://www.endlagerforschung.de/</a>). The aim of this association is to contribute to safe disposal of radioactive waste, to develop research, and to offer respective fact-based information.

On behalf of collaborators, Klaus Wieczorek presented an overview of the role of crushed salt backfill in a nuclear waste repository for decay heat transfer to the host rock and structural stabilization. After reconsolidation, this emplaced backfill provides a tight long-term barrier against brine transport, a capability that was more strongly emphasized in order to prove the confinement of radioactive waste inside a containment providing rock zone.

Under many circumstances, granular salt has been reconsolidated into an intact material, as shown in the micrographs in Figure 4-1. The key question remains: How and when will sufficiently low porosities/permeabilities be reached to achieve tightness? Therefore, systematic reduction of uncertainties is necessary for reliable repository dimensioning and additional R&D is highly desirable for further optimization. The break-out session allowed participants to suggest ideas for consideration in future studies, which are summarized further down in this chapter.

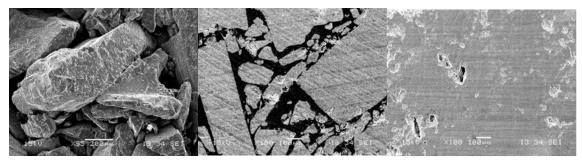


Figure 4-1. Evolution of damped crushed salt to intact material (from left to right).

Wieczorek led discussion of perceived open issues, which exist despite an extensive experimental database and availability of various material models. Several models have been applied to assess reconsolidation of granular salt. Modeling was not addressed in Hansen et al. (2014), and has been identified by Wieczorek as an important research area. He proposes validation and calibration among pre-selected existing models.

As part of the preliminary safety case for Gorleben, adequate performance of reconsolidating crushed salt was demonstrated, even assuming pessimistic conditions for reconsolidation. Nonetheless, uncertainties remain, which if addressed, could result in a more resilient design. It is felt necessary, therefore, to document the current state of research in crushed salt compaction, to identify gaps in knowledge, and thereby offer recommendations concerning a research agenda and subsequent proposal. Perceived shortcomings exist in the database and model validation, especially under conditions of low residual porosity where salt's barrier functionality begins. To address these issues, a research program is under consideration, including associate partnerships with American colleagues at SNL and RESPEC. In part, work going forward will bridge between what we know about granular salt reconsolidation, how it is modeled, and performance expectations when placed in an underground repository. Further understanding of micromechanical processes is paramount for evaluating modeling approaches. Nuances of this research

include comparison of heretofore domal salt environments to potential disposal in flat-lying (bedded) salt formations.

The ultimate application for reconsolidated salt is to provide barriers to transport in a repository, so a natural complementary idea is to create conditions for crushed salt backfill to achieve high performance as early as possible. That means, build the barrier to high density upon construction by applying knowledge gained in our collaborations.

US/German collaboration should continue inquiry into behavior of reconsolidating salt to the extent possible. Analogues are highly useful, though initial and boundary conditions are usually not well known. Additional emphasis on acquiring salt consolidated *in situ* after backfilling would add to any studies of this nature.

#### Salt reconsolidation modeling

Several material constitutive models have been developed over time, as summarized by Wieczorek in the US/German workshop. One initial undertaking might rightfully compare various models. For example, WIPP researchers have developed models for their use, including Callahan (2011), as comparable models were forthcoming from European initiatives (Zhang, Hein, Olivella/Gens and Heemann). Reconsolidation models present similar technical challenges as found in development of constitutive models for intact salt. Many formulations are available, but it is unclear which one most correctly represents and predicts behavior. To further clarify these issues, comparative calculations might be conducted to expand upon those conducted by Project REPOPERM 2 (Kröhn et al., 2017). To facilitate model comparison a uniform material parameter set would need to be established.

Model comparisons might first evaluate similarities and differences by a calculating a simple benchmark example. Parameters could then be calibrated and adjusted. An example benchmark has already been documented (Kröhn et al., 2017). Comparison with new, specifically defined laboratory tests would reveal predictive capability.

Test specimens for completion of experimental database

Creation of test specimens was discussed at length. Permeability changes of greatest interest occur at porosities of significantly less than 10%. Nominally uncompacted granular material, such as disaggregated salt, possesses porosity around 35%. Therefore, a consistent and scientifically defensible procedure by which to create test samples preconditioned to 10% or less would be of great advantage to facilitate a suite of tests at low porosity. The challenge would be to create precompacted starting material in a relatively short time that represents *in situ* barrier salt that has been consolidated by room closure. An investigation into short-term production of special conditioned test specimens with low porosity and natural grain substructural conditions will be needed.

A successful pre-test phase would save months or years compared to traditional consolidation testing by oedometer or triaxial compression. Historically, testing of this type in Germany used domal salt, while future testing would include salt from flat-lying formations as well. A biaxial preconsolidation technique has been proposed where a conventional triaxial cell is arranged and axial displacement is static. Deformation would be applied in the radial direction, essentially creating a modified extension test. Targeted precompaction levels would be porosity of approximately 10%. The resulting mass would need to be characterized hydrologically and microstructurally.

#### Microstructural observations

Consolidation of granular salt has been widely studied, and microstructural observations using optical and scanning electron microscopes provide direct insight into deformation mechanisms during consolidation. Moisture effects are well known, and samples with nominal available moisture (say 1 weight percent)

exhibit pressure solution processes with tight grain boundaries and areas of occluded fluid pore spaces. Recrystallization has been rarely observed in rock salt consolidation experiments. Figure 4-2a shows scanning electron microscope micrographs of occluded fluid pores on a fresh face of a broken reconsolidated sample. During reconsolidation, permeability becomes very low at porosities <3.0%, as brine becomes occluded along boundaries and within interfaces. The scanning electron microscope image is unvented WIPP run-of-mine consolidated salt at 250°C.

Figure 4.2b is an etched thin section capturing recrystallization among grains with extensive polygonization (subgrains). The sample was vented WIPP run-of-mine salt consolidated at 250°C. Samples consolidated without additional moisture exhibit mainly cataclastic and plastic deformation. Experimental research will be required to develop short-term samples for further consolidation.

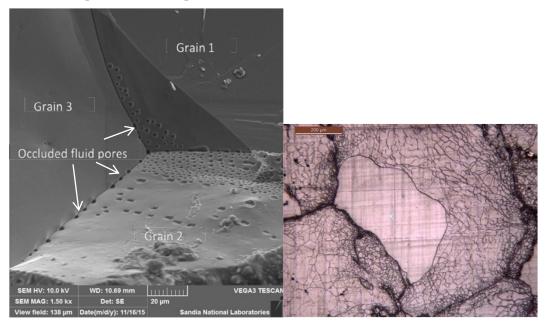


Figure 4-2. a. Occluded pore structure of reconsolidated salt (Stormont et al., 2017). b. Recrystallization.

#### Dynamic precompaction

In past studies for the WIPP shaft seal system, Sandia prepared samples in the lab and on a large-scale (40 cubic meters) using dynamic compaction, and then further consolidated the compacted material by hydrostatic compression. Dynamic compaction can create samples with 10% porosity, but simultaneously creates fine powder, and is not necessarily representative of slow closure under *in situ* conditions. Perhaps comparison between samples created by dynamic compaction and those created by hydrostatic compression could be done in the following sequence:

- 1. Document porosity,
- 2. Characterize resulting substructure,
- 3. Run identical consolidation tests,
- 4. Evaluate porosity and permeability,
- 5. Characterize the new consolidated substructure.

If moisture is available, it is possible response between dynamically compacted and triaxially compressed pre-conditioned samples would be the same.

#### Additives

Construction techniques capable of emplacing granular salt seals, perhaps with additives, to near final performance conditions greatly reduce the need for extrapolation via modeling. Permeability reduces more readily and at higher porosity with clay additives—a point that needs to be carried forward, as well. Placement of granular salt in a horizontal drift presents more challenge than compaction in a shaft, but perhaps initial placement properties could be engineered to high-performance conditions by mixing crushed salt with bentonite.

#### Pore pressure effects

The idea of pore pressure effects in reconsolidating salt remains enigmatic. Salt is unlike sandstone or granular material of hard particles because salt is highly deformable. An astute investigation could include vented and unvented samples. Venting should minimize creation of pore pressure, while unvented samples would seem to compel pore pressure to build up. It may be found that unvented samples will become impermeable, just like vented samples. It would be an interesting and relatively easy suite of tests.

## 4.2 Summary

Repository performance assessment applications involve long time periods and in some cases the properties of reconsolidating salt are needed many years after placement (well beyond existing laboratory data), requiring modeling and extrapolating engineering performance. Performance uncertainty can be lessened by deepening mechanistic understanding through continued research and additional validation garnered from industry practice and analogues. Laboratory results and natural and anthropogenic analogues support the proposition that granular salt can be reconsolidated to the point where it has mechanical and transport properties approaching or equivalent to natural, undisturbed salt.

Ongoing US/German Workshops on Salt Repository Research, Design and Operation include salt reconsolidation as a special focus area. The breakout session led by Wieczorek, Müller-Hoeppe and Hansen updated information on salt reconsolidation research. As noted, key questions involve how, when, and to what degree properties of reconsolidating granular salt approach or attain those of the native salt formation. Supporting data reveal predisposition for granular salt to reconsolidate in natural environments as well as under conditions involved with slurry injection and *in situ* construction techniques that may be applied in nuclear waste repositories. Reconsolidation of granular rock salt is expected to continue as a focus for future US/German workshops. Several suggestions were put forward, including resolving test-dependent parameter variations, advanced porosity measurements during tests, creation of consistent samples to avoid long time periods involved with testing, micromechanical processes and studies, complexity of mineral and brine composition, intermediate-scale operational period test, and evaluation of how uncertainty affects performance assessment. Reconsolidation clearly has many possible future priorities, and the topic itself remains highly important. Somehow, the immediate efforts will need to be streamlined in terms of what can be done in the framework of US/German collaboration.

#### 5 KOSINA

#### 5.1 Introduction

In July 2013, a site selection act for a repository for heat generating radioactive waste and spent nuclear fuel (SNF) in Germany was issued and amended in May 2017 (StandAG, 2017). This act directs the selection process for a repository site in Germany that best ensures the repository safety for a demonstration period of one million years. This requires the existence of generic repository concepts as well as suitable safety and safety demonstration concepts for all potential host rocks in Germany. In Germany, a reference concept for the disposal of heat-generating radioactive waste and SNF in salt domes was developed in the early 1980s. Comparable investigations for a repository in clay or crystalline host rock formations were

launched in the early 2000s. Up to now, flat-bedded salt formations were not considered in Germany for the disposal of radioactive materials. For this reason, the R&D project KOSINA was launched and funded by BMWi represented by the Project Management Agency Karlsruhe in summer 2015. KOSINA is a German acronym for "Concept development for a generic repository for heat-generating waste in bedded salt formations in Germany as well as development and testing of a safety and demonstration concept."

#### 5.1.1 Objectives and Work Program

The main objective of the project KOSINA – a joint undertaking of BGR, DBE TECHNOLOGY, GRS and IfG - is to develop a generic technical (site-independent) concept of a repository for heat-generating waste and SNF based on generic geologic models for flat-bedded salt formations in Germany. This program includes development of a safety concept and safety demonstration for such a repository type. To achieve the aforementioned objectives, the work program of the KOSINA project is divided into eight work packages which are strongly linked to each other and must be worked on iteratively:

WP1: Compilation of basic data and requirements

WP2: Derivation of generic geologic models and corresponding material parameters

WP3: Development of a safety and safety demonstration concept

WP4: Demonstration of geologic integrity

WP5: Development of repository concepts

WP6: Analysis of radiological consequences

WP7: Assessment of operational safety

WP8: Synthesis report

### 5.1.2 Generic Geological Models

As an initial work step of KOSINA, BGR and IfG evaluated the geologic conditions of bedded salt formations in Germany, mainly based on the results of the previous BGR-project "BASAL," with respect to the minimum requirements for a HAW repository: e.g., depth, thickness, lateral extension after StandAG (2017) and AkEnd (2002). The latter are only fulfilled by some regional occurrences of saliferous formations of the Zechstein (Upper Permian) and the Malm (Upper Jurassic). Two structural types were distinguished as generic, referred to as model type A "flat-bedded salt" and model type B "salt pillow" (Figure 5-1).

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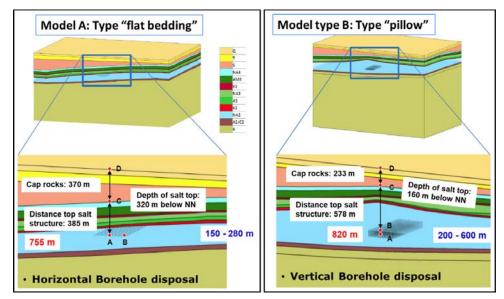


Figure 5-1. Generic sites (3D-models) of bedded salt formations with detail sections showing examples of repository design used for integrity analysis: left) model type A "flat-bedded salt," right) model type B "salt pillow."

Based on regional geological data, BGR developed generic geological profiles consisting of 18 stratigraphic units for both models, selecting the Staßfurt-Hauptsalz (z2HS) as an emplacement horizon. After construction of realistic generic 3D-models for both structures a sufficient salt thickness and lateral extension was confirmed (Kühnlenz, 2016). The "salt pillow" type has the advantage of a significant salt thickness increase due to density-induced lateral salt movement and local uplift. In contrast to salt diapirs, more or less concordant bedded salt layers were preserved and no piercing of the structure through the overlying sediments has occurred. In addition, as prerequisite for modeling, a comprehensive data base of thermal-hydrological-mechanical parameters of bedded salt formations, under considerations of the existing knowledge for domal salt, was compiled (Liu et al., 2017).

# 5.2 Repository Design

#### 5.2.1 Design Fundamentals and Requirements

The design of a deep geologic repository relies on detailed information about the types and amounts of radioactive waste and on the knowledge about the geology at the site as well as on national regulations, ordinances, and design requirements. All these data must be compiled before the repository development process can be started. Then, the possible distribution patterns of the waste packages in the repository facility are determined by means of thermal calculations in order to not exceed given design temperatures in the host rock. Finally, shafts, drifts, and emplacement areas can be designed, considering the best practice of the mining industry as well as the special needs for such a repository as defined in specific regulations and in the safety case. The inventories as well as the predicted amounts of SNF are summarized in the German national waste management program (NaPro) (Nationales Entsorgungsprogramm, 2015). In Germany, a total of 10,500 metric tons of heavy metal of SNF is expected. The distribution of SNF from test and prototype reactors and from research reactors is available as well (VSG, 2011b) and has been updated in the course of the KOSINA project by adding the SNF of the research reactors Rossendorf and Mainz. In total, 540 waste packages of different types must be considered. Until 2005, reprocessing of SNF from German nuclear power plants was carried out in France and the United Kingdom. The corresponding waste packages comprise vitrified fission products, feed sludge, vitrified flushing water or compacted claddings and structural parts, amounting to approximately 8000 packages. Within the KOSINA project,

disassembling of the SNF elements of the nuclear power plants was considered, as in previous repository concepts. Thus, structural parts with less heat production capacity will be placed into separate waste packages. In total, 2620 small-size waste packages must be considered. Other waste with negligible heat production was not considered in the KOSINA project.

The generic geologic models, necessary as fundamental data for the repository designs were developed by BGR and IfG. The regulatory framework for the design of a repository for heat-generating radioactive waste mainly consists of the safety requirements issued by Federal Ministry for the Environment, Nature Conservation, and Nuclear Safety of Germany (BMU) in 2010 as well as of the atomic energy act, the mining act, and the radiation protection ordinance.

A few quantitative requirements regarding the technical design of the repository facility were fixed prior to the start of the design work. These requirements consist of minimum distances of the mine openings, to the surrounding salt formations, and of the emplacement areas to the position of the shafts. Thus, in the safety concept, the following minimum distances were fixed:

- 150 m to the top of the salt formation,
- 50 m to the top and to the bottom of the rock salt formation (emplacement level),
- 500 m lateral safety pillar (to exclude impacts of perhaps existing salt incline, considering the dipping of layers) and
- 300 m distance of the emplacement areas to the shafts.

These determinations enable a positioning of the repository facility in the generic geologic models. Accordingly, the depth of the repository facility in the geologic environment can be determined. The depth is 700 m below sea level for the disposal concepts in the geologic type "flat-bedded salt" and 830 m and 725 m below sea level for the type "salt pillow," depending on the selected emplacement option.

In the course of the KOSINA project, two different emplacement options were investigated for each of the two geologic models. For the type "flat-bedded salt," the drift disposal concepts for POLLUX® casks for heat-generating waste as well as for waste packages with spent fuel from research and prototype reactors was selected and the disposal concept of emplacing all types of waste packaged in small waste canisters of the type BSK-H into lined horizontal boreholes. For the geologic model type "salt pillow," the emplacement concept of disposing all types of waste packed in small waste packages of the type BSK-V into lined deep vertical boreholes was selected. The second disposal concept consists of disposing all the waste by means of Transport and Storage Casks (TSCs) into short horizontal boreholes. Details concerning the description of the waste packages and the corresponding amounts of waste packages per emplacement option can be found in the KOSINA interim report (Bertrams et al., 2015). As an example, the repository design for the Transport- and Storage Casks is described in the following chapters.

#### 5.2.2 Thermal Design Calculations

It was agreed within the KOSINA project to perform the thermal design calculations with a maximum design temperature of 200° C, which is in accordance with the regulations of the safety requirements of BMU as of 2010 (BMU, 2010). This decision provides the possibility to compare the design calculation results with those of previous studies; e.g., the preliminary safety assessment for the Gorleben site. Based on thermal and thermomechanical simulations, the minimum distances between waste packages and emplacement drifts were calculated. The calculations rely on the technique of an elementary cell model. In this case, symmetry conditions will be considered. This method allows modeling only one quarter of a waste package surrounded by backfill material and host rock. The use of adiabatic boundary conditions allows the consideration of the areas of the repository not modeled by reflection of the temperature gradient at the model borders. In this case, the model represents the case of an infinitely extended repository with an unlimited number of waste packages. The calculated temperatures in such models are most conservative

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and ensure meeting the temperature criterion. The technical design of a repository for heat-generating waste and SNF requires the consideration of thermal parameters. The determination of the depth of the repository, the existing temperature, and the basic stress condition at that level can be determined. The thermal material features of the geologic structures are considered in the calculations as well. The heat capacity of the heat-generating waste was determined according to the burn-off calculations in previous studies (VSG, 2011a). For the disposal of TSCs in small horizontal boreholes, the design point for the calculations is the contact point between waste package and the surrounding host rock salt. Thermal calculations were carried out for the spent fuel elements from pressurized water reactors and for waste packages with vitrified fission products from reprocessing, thus covering all waste types with lower heat production, like spent fuel elements from boiling water reactors and reactors of Russian type. The results of the thermal design calculations are shown in Figure 5-2, exemplarily for the pressurized water reactor spent fuel elements.

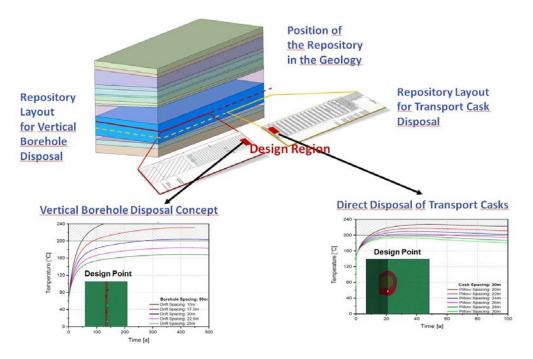


Figure 5-2: Results of thermal design calculations for emplacement of Transport Casks (TSCs) loaded with spent fuel elements (right).

#### 5.2.3 Layout of the Repository

The requirements that must be considered when designing the repository facility include the depth of the emplacement level, the type of waste package transport and emplacement technique. The dimensioning of the mine openings in case of TSCs emplacement is mainly related to requirements occurring from the dimensions of transport and emplacement device. The corresponding safety distances in the mine openings are determined in the accident prevention regulations. A decisive mine layout criterion is the minimum width of host rock pillars between two emplacement drifts. The width of the emplacement fields is limited to 400 m to avoid additional mining requirements from the mining authority. The curve radius in a mine depends on the transportation technique. For rail-bound transport the radius is 25 m and for wheeled transport only 10 m. The length of the horizontal borehole will generally be limited to 100 m because of the application of dry drilling method in salt environment, but for one single TSC per borehole only a length of approximately 13 m was calculated considering the length of the cask as well as the length for a borehole seal.

The waste generally has to be shielded during transportation on surface, through the shaft to the underground and in the mine, up to the emplacement location. In case of TSCs the shielding is provided by the waste package itself. The transport of the TSC from the conditioning plant on surface through the shaft (in an upright position) to the emplacement field in the repository mine will be done via rail. The emplacement technique consists of a transport wagon with a rotatable upper-carriage as well as of a pushing device on top of the wagon. A conceptual design was performed on behalf of the German Nuclear Industry for the direct disposal of TSCs (Jussofie et al., 2016). Figure 5-3 shows the TSC emplacement scheme.

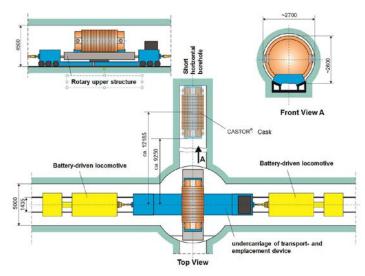


Figure 5-3: Emplacement scheme for the TSC-disposal concept: Step 1 - The TSC will be transported rail bound to the emplacement position in front of a horizontal emplacement borehole and turned by means of a rotary structure: Step 2 - The TSC will be pushed into the borehole by means of a pushing system fixed on the rotary upper structure.

The design of the emplacement field was done per the calculated thermal minimum distances between the emplacement boreholes and between the waste packages. Figure 5-4 shows a TSC-Type CASTOR® V/19 and a design of an appropriate emplacement field for TSCs.

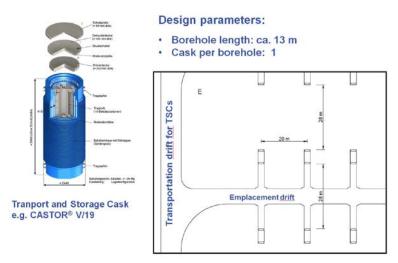


Figure 5-4: Plan view of an emplacement field for the TSC-disposal concept considering the calculated distances between TSCs and boreholes.

# 5.3 Integrity Analysis

Because the integrity of the geological barrier is crucial for protection from damage caused by ionizing radiation during the post-operational phase, extensive 2D and 3D thermo-mechanical calculations for both models were carried out by BGR and IfG, all looking at two different waste emplacement concepts: emplacement in drifts and in boreholes, as developed by DBE TECHNOLOGY. Two different geomechanical model approaches were applied for the description of deformation and damage processes: the well-known BGR-concept with modifications for the different creep capable salt rocks and the elastovisco-plastic material law of Minkley.

Both, model concepts and numerical tools are verified and proven, which facilitates reciprocal supplement or proof of the results. Whereas BGR uses mainly the JIFE-code for investigation of large 3D models, focusing on the far field (the heat source is distributed over the emplacement area), IfG utilizes the UDEC code, whereas the emplacement geometry (e.g., canisters, crushed salt backfill) is explicitly modeled, additionally allowing it to describe the interactions between convergence and backfill (crushed salt consolidation state as input parameter for the consequence and radiological analysis of GRS Braunschweig).

Simulating the thermal impact and its time-dependent change the calculated stresses are used for evaluating the barrier integrity on the basis of the generally accepted dilatancy criterion and the fluid pressure criterion. Exemplarily, results are presented in Figure 5-5 for drift disposal in a salt pillow (model B) which demonstrates the temperature field induced reduction of horizontal stresses predominantly at the salt structure top (around the Aller formation), which is only temporarily whereby an unaffected salt barrier >400 m remains.

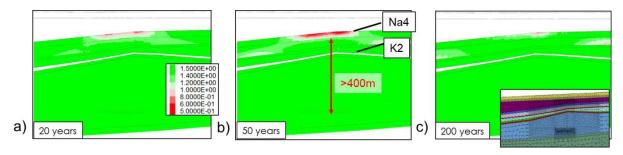


Figure 5-5. Evaluation of the fluid pressure criterion for different time steps after the start of drift emplacement for model B "pillow" (2D-modeling by IfG): Criterion violation ( $\eta_F < 1$ ) in the pink to red zone.

The preliminary conclusions of the integrity analysis are

- Temporary violations of the minimum stress and dilatancy criterions occur at the top of the salt formation, according to the temperature impact but a sufficient thick salt barrier remains;
- Vertical bore hole emplacement shows higher effects than horizontal emplacement;
- Because of its higher salt-thickness the type B "pillow" has advantages over type A "flat-bedded salt." This is a function of the thickness of the salt formation, and not necessarily of the type of formation.

# 5.4 Radiological Consequences Analysis

The German site selection act for a repository for vitrified HLW and SNF demands the consideration of all kinds of suitable host rock formations for deep geological disposal. One of the eligible and presently investigated host rock formations is bedded rock salt. Within the framework of the national R&D project

KOSINA (conceptual design, safety concept and safety demonstration for a generic repository in bedded salt in Germany) the scientific bases for the geological characterization, safety concept, design, and safety assessment of a repository for HLW and SNF in bedded salt formations are being provided.

The current specifications governing the final disposal of HLW and SNF in Germany are defined by the Safety Requirements of the Federal Ministry for the Environment, Nature Conservation, Building and Nuclear Safety. In the context of the safety concept and the safety demonstration, the following three safety principles of the Safety Requirements should be stressed:

- The radioactive and other pollutants in the waste must be concentrated and contained in the CRZ, and thus kept away from the biosphere for as long as possible.
- Final disposal must ensure that in the long term, any release of radioactive substances from the final repository only negligibly increases the risks associated with natural radiation exposure.
- The final repository shall be constructed and operated in such a way that no intervention or maintenance work is required during the post-closure phase to ensure the reliable long-term containment of the radioactive waste in the containment providing rock zone.

Therefore, the key elements of the KOSINA safety concept and safety demonstration are the isolation and the containment of the radioactive waste in a deep geological repository. Isolation is mainly provided by the depth of the repository. Containment is provided by the CRZ and the integrity of the CRZ in the long-term. For those areas of the CRZ which are penetrated due to the construction of the repository, an adequate technical sealing system must be provided. If it is possible to prove safety in accordance with the Safety Requirements by a simplified radiological statement, thus relying only on the containment capacity of the host rock plus geotechnical barriers, near-surface processes are not considered to be safety relevant.

The German Safety Requirements state that a simplified radiological long-term assessment without modeling the dispersion of substances in the overburden and adjoining rock is permissible if the radioactive substances released from the CRZ do not exceed a certain maximum which is considered negligible. This ensures that only very small amounts of contaminants may be released. Radiological safety indicators shall be calculated using an established generic exposure model under certain assumptions. To implement the specifications in the Safety Requirements for a radiological indicator the RGI (Radiologischer Geringfügigkeits-Index (index of marginal radiological impact)) was applied.

In the KOSINA project the numerical simulations for the long-term performance assessment of the repositories were conducted with the tool RepoTREND (Transport and REtention of Non-decaying and Decaying contaminants in final REPOsitory), developed by GRS since 2007. The integrated software package provides functionalities for simulating the release of contaminants and their transport through the repository (near-field model), release and transport of radionuclides in the overburden (far-field model) as well as the estimation of the radiological consequences for man and environment (biosphere model), as illustrated in Figure 5-6.

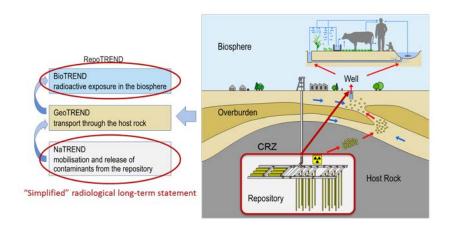


Figure 5-6. Features of RepoTREND model and applications to simplified radiological long-term statement.

Mobilization, retardation and advective-diffusive transport of contaminants as well as creep closure of mine openings were considered. Important parameter uncertainties (particularly regarding compaction of crushed salt and diffusion processes) were handled by parameter variations. Preliminary test cases enabled stepwise and iterative optimization of the repository design. To obtain information on the robustness of the system "what-if" cases were also included in the performance assessment.

The simulation period amounts to 10 million years, which is more than the required demonstration period of 1 million years. The modeled repository structure includes shaft seals 100 m long, sealing of the access drifts with wetted crushed salt and concrete barriers, an infrastructure area that is backfilled with highly porous gravel, and dry crushed salt backfill in emplacement areas and all remaining mine openings. A failure of all geotechnical seal (concrete) after 50,000 years is presumed, eventually resulting in continuous brine intrusion and radionuclide mobilization.

The modeling results of the radiological safety indicator RGI over time for the base case scenario of drift disposal are shown in Figure 5-7. The calculations show that inflowing brine slowly reaches the disposal areas after the designed functional time of the shaft and drift seals (50,000 years) eventually leading to a release of nuclides from the CRZ. The calculations yield an RGI of a mere  $10^{-6}$  after 1 million years for radionuclide release in the liquid phase. Even after the full simulation period of 10 million years the RGI increases to only about  $3 \cdot 10^{-3}$ , which is still much below RGI of 1, where a safe containment of the radionuclides within the CRZ is demonstrated.

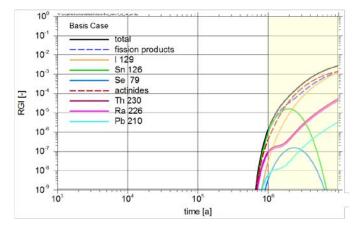


Figure 5-7. Radiological consequences (RGI) for base case scenario of drift disposal concept.

Supplementary to the analysis of base case scenarios extensive case studies were performed. These model calculations included preliminary test cases, where design parameters were tested in advance of the final repository layout, parameter variations for sensitivity studies, as well as "what-if" cases, in order to gain better understanding about the particular processes affecting the modeled repository system.

In summary, it can be stated that the consequence analysis for the base case scenarios, the investigated test cases, and "what-if" cases regarding a radionuclide release in the liquid phase cover a wide range of possible system evolutions and parameter distributions and are based on very detailed and profound process knowledge. All calculations show RGI values several orders of magnitude below the criteria set out in the Safety Requirements.

The results of the R&D project KOSINA provide evidence that a safe HLW-repository in a bedded salt formation with a suitable geological structure is feasible and its long-term safety can be demonstrated according to the state of the art in science and technology. This statement depends, however, on several generic assumptions which will have to be confirmed by comprehensive site-specific investigations. In spring 2018 the KOSINA-project will conclude and a synthesis report and technical appendices will be published.

## **6 OPERATIONAL SAFETY**

#### **6.1 WIPP**

The Waste Isolation Pilot Plant had two events occur in February 2014 that will forever change the way the facility is operated and maintained.

On Wednesday, February 5, 2014, at approximately 10:45 Mountain Standard Time, an underground mine fire involving an EIMCO Salt Haul Truck (74-U-006B) occurred. There were 86 workers underground in the facility when the fire happened. All workers were evacuated, while six workers were transported to the Carlsbad Medical Center for treatment for smoke inhalation and an additional seven workers were treated on-site (US DOE, 2015).

An accident investigation board (AIB) was appointed to investigate the fire incident and was led by Ted Wyka. In his summary of the fire incident, Wyka presented the following as some of the contributing causes of the fire (Wyka, 2014):

- Nuclear Facility vs. Mine Culture: Difference in expectations between waste handling and non-waste handling vehicles
- Operability and recognition of impaired critical safety equipment
- Ineffective training and drilling
- Unreasonable expectations and uncertain capabilities of the Facility Shift Manager to manage all aspects of an emergency or abnormal event
- Maintenance, Emergency Management/Preparedness Programs and (nuclear waste partnership (NWP) contractor assurance system and Carlsbad Field Office (CBFO) oversight were evaluated as ineffective.
- Inadequate DOE Headquarters oversight

On Friday, February 14, 2014, there was a second incident in the underground, which resulted in release of americium and plutonium from one or more transuranic waste containers into the mine and the environment. The release was detected by an underground continuous air monitor and then directed through higherficiency particulate air (HEPA) filter banks located in the surface exhaust building. However, a measurable portion bypassed the HEPA filters through leaks in two ventilation system dampers and was

discharged directly to the environment from an exhaust duct. No personnel were determined to have received external contamination; however, 21 individuals were identified through bioassay to have initially tested positive for low level amounts of internal contamination as of March 28, 2014. Trace amounts of americium and plutonium were detected off-site (US DOE, 2015).

An AIB was appointed to investigate the radiological release event, also led by Ted Wyka. The summary of this AIB was captured in two reports which are both summarized in US DOE (2015):

The Radiological Event AIB identified the systemic root cause as the Los Alamos Field Office and National Transuranic Program/CBFO failure to ensure that Los Alamos National Laboratory (LANL) had adequately developed and implemented repackaging and treatment procedures that incorporated suitable hazard controls and included a rigorous review and approval process. The Los Alamos Field Office and CBFO did not ensure the adequate flow down of the Resource Conservation and Recovery Act and other upper tier requirements, including the WIPP Hazardous Waste Facility Permit, Attachment C, Waste Analysis Plan, WIPP Waste Acceptance Criteria, and the LANL Hazardous Waste Facility Permit requirements into operating procedures at LANL.

# 6.1.1 Focus of Recovery

Following the events, remedial action focused on recovery of the facility with an added emphasis on safety culture. The WIPP Recovery Plan, Revision 0 (September 30, 2014) produced by the DOE highlighted recovery actions that were to take place, with safety as the number one priority.

As pointed out by the AIB findings (US DOE, 2015), the nuclear safety documentation and safety management programs needed revisions and revitalization. DOE has numerous nuclear facilities around the country that have expertise with nuclear safety documentation and safety management programs, those facilities were called upon to aid WIPP in the effort to correct AIB findings. DOE and the WIPP Management and Operating contractor NWP spent considerable resources to improve the safety management programs and nuclear safety documentation.

The recovery plan (US DOE, 2014) pointed to improvements and changes at the facility that would be necessary to return to operations. The facility was in a very different operational capacity than it was prior to the events. During the events, underground ventilation shifted from unfiltered to filtered mode, reducing air flow from approximately 425,000 to 60,000 cubic feet per minute. Restricted ventilation was immediately identified as an area that required attention because air flow governs how many different operations could occur in the underground. Management decided that filtered ventilation needed to be increased, as the repository was to remain in filtration mode following the radiological event. Two projects were identified to produce additional ventilation. One was termed the interim ventilation system (IVS) which would add fan and filter banks to the ventilation system allowing for an increase in filtered air. The IVS was necessary to return to waste emplacement operations. An additional system, the supplemental ventilation system, was developed to produce ventilation required to return to mining in the WIPP underground. The IVS is now operating at the site and the supplemental ventilation system is going through readiness reviews to begin operating. These systems were neither designed nor intended to provide a long-term solution to WIPP ventilation needs. Two capital asset projects were proposed and started to produce a long-term solution. The different ventilation plans are explained in the recovery plan (US DOE, 2014).

In addition to limited ventilation, WIPP underground access was constrained due to concerns of radioactive contamination. These two limitations controlled the amount of work that could be performed. Mine stability operations (bolting of the host rock) were not performed for almost 9 months following the events. Before the events, rock bolting was a daily operation because salt continues to deform into excavations.

Overall, the WIPP site had numerous processes, procedures, documents, equipment, and systems that needed significant work to address shortcomings that led to the events (US DOE, 2014).

#### 6.1.2 Ground Control Issues

As noted, ground control is continuous at WIPP. The WIPP site is different than production mines with similar host rock in that much of the underground was mined with the intention of being open and maintained for an extended period. Ground control activities and measures are taken to maintain open areas to allow work to be performed in a safe configuration. Following the events, routine ground control activities were not possible for almost 9 months. Thus, the condition of the underground deteriorated. Prior to the events, ground control at WIPP could be classified as "in maintenance mode" or "caught up." Following the extended lapse of ground control activities, much of the WIPP underground was beyond routine maintenance and was in "catch up" mode.

The recovery plan (US DOE, 2014) points to the importance of mine stability and identifies a plan to recover a stable configuration. Problems with the recovery plan included limited ventilation, ongoing issues in the underground (e.g., hoist outage, volatile organic compounds concerns, radiological contamination), lessened ground control activities efficiency. Bolting now must proceed in a perceived contaminated environment, requiring new training, new procedures, and additional personnel.

Reduced ground control efficiency led to additional prohibited areas due to mine stability concerns. The longer prohibited areas remained inaccessible, the more structural instability developed. Degradation was manifested by rock fall in September, October, and November of 2016 (Figure 6-1, Figure 6-2, and Figure 6-3).



Figure 6-1. Roof fall in WIPP Panel 4 access drift (WIPP Update Oct 3, 2016).



Figure 6-2. Roof fall in WIPP Panel 3 access drift (WIPP Update Oct 5, 2016).



Figure 6-3. Roof fall in WIPP Panel 7 Room 4 (WIPP Update Nov 4, 2016).

Following the first two roof falls, a controlled withdrawal from the south end of the WIPP underground was implemented in October 2016 (WIPP Update Oct 14, 2016). Lack of ground control efficiency resulted in loss of disposal area. Following these roof falls, DOE and NWP declared ground control the number-one priority moving forward into resumption of operations.

# 6.1.3 Resumption of Operations

As ground control operations remained the number-one priority, there was also a concentrated effort toward resolving all findings from the AIB reports with the goal of resuming operations. In the fall of 2016 two independent, different readiness activities were performed to evaluated if WIPP was prepared to resume operations.

In October 2016, a Contractor Operational Readiness Review (CORR) was performed by an independent group (WIPP Update Dec 23, 2016). The CORR encompassed all aspects of the restart of the contact-handled waste emplacement operations at WIPP and provided DOE and CBFO with an independent assessment of NWP's readiness to commence contact-handled waste emplacement operations. The CORR report identified several pre-start and post-start findings but concluded that waste emplacement operations could proceed safely once the pre-start findings were resolved and all prerequisites were completed. The full CORR report is available at <a href="http://www.wipp.energy.gov/Special/WIPP\_CORR\_Final\_Report.pdf">http://www.wipp.energy.gov/Special/WIPP\_CORR\_Final\_Report.pdf</a>.

Additionally, the DOE Operational Readiness Review (DORR) performed another independent evaluation of the WIPP site to determine if it was capable of safely resuming waste emplacement operations (WIPP Update Dec 23, 2016). The DORR team concluded that upon satisfactory closure of the pre-start findings and approval of corrective action plans for the post-start findings, WIPP can safely restart waste emplacement in accordance with DOE standards. The full DORR report is available at <a href="http://www.wipp.energy.gov/Special/WIPP\_DORR\_Final\_Report.pdf">http://www.wipp.energy.gov/Special/WIPP\_DORR\_Final\_Report.pdf</a>.

On December 23, 2016, DOE authorized NWP to resume waste emplacement operations. This decision confirmed that all pre-start corrective actions identified in the two operational readiness reviews had been completed and properly validated and other required actions were completed (WIPP Update Dec 23, 2016). Waste emplacement activities resumed in January 2017, shortly after this approval.

WIPP received its first waste shipment since the February 2014 events on April 10, 2017 (WIPP Update April 10, 2017). This shipment represented WIPP returning to limited operations of both emplacement and waste receipt. Due to the limited ventilation, ground control issues, and contamination, shipment rates are not expected to approach pre-event rates until the two capital asset projects (the permanent ventilation projects) are completed.

#### 6.1.4 Path Forward

The WIPP has a plan and path forward to resuming full operations. The plan forward for emplacements and mining activities illustrated in Figure 6-4 was laid out in the Town Hall meeting on March 16, 2017, <a href="http://www.wipp.energy.gov/wipprecovery/Presentations/Town\_Hall\_Slides\_03\_16\_17.pdf">http://www.wipp.energy.gov/wipprecovery/Presentations/Town\_Hall\_Slides\_03\_16\_17.pdf</a>.

#### Waste Emplacement Rates FY17 - FY23

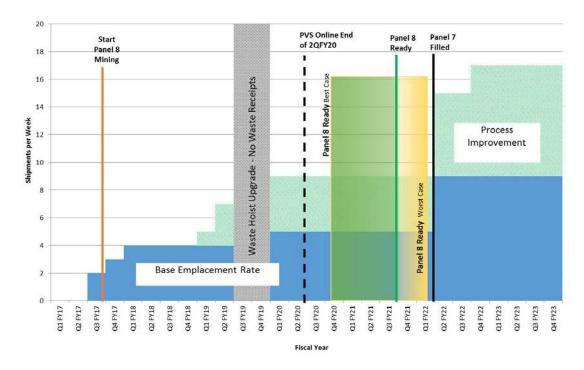


Figure 6-4. WIPP Mining and Emplacement Model.

The two capital asset projects' schedules were identified at the Town Hall meeting on September 28, 2017 (Figure 6-4), <a href="http://www.wipp.energy.gov/wipprecovery/Presentations/Town\_Hall\_Slides\_09\_28\_17.pdf">http://www.wipp.energy.gov/wipprecovery/Presentations/Town\_Hall\_Slides\_09\_28\_17.pdf</a>.

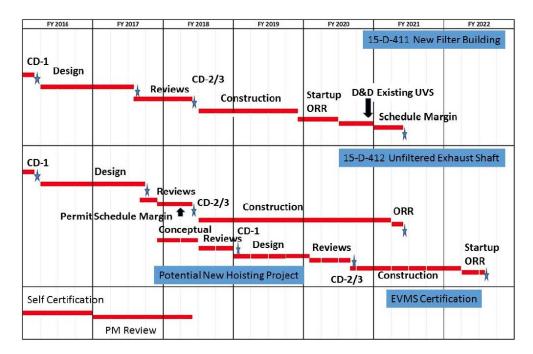


Figure 6-5 New Underground Filtration System/Shaft.

As can be seen by these two figures, WIPP is expected to resume full operations in fiscal year 2022 (FY22), 8 years after the events in 2014.

# 6.1.5 Summary

The path to limited operations at WIPP has been successful, notwithstanding many learning opportunities along the way. As pointed out in the AIB reports, there were many operational deficiencies precipitating the events, some of which developed over the operational period of the facility. A growing dichotomy of culture evolved between nuclear facility practice and conventional mining. The focus of operations as well as contractor incentives were on rate of waste emplacements/shipments processed per year. This led to production focus that often-overlooked operational maintenance of critical safety equipment. The training and oversight programs were found to be lacking as well.

The WIPP site enjoyed over a decade of incident free, productive operations. As was evident by the AIB reports, complacency in operations, facilities, and oversight increased over time. Following the events, DOE focused on nuclear safety and ground control was inadequate. Limited operations have now successfully resumed and continue to increase with gained efficiencies. A path to full operations has been identified with progress being made. WIPP operations expect to regain full functional capability, but will always have the reminder of lessons learned, which forever changed the way the facility is operated and maintained.

# 6.2 Interaction between Operational Safety and Long-Term Safety (Project BASEL)

The need to ensure operational safety including radiation protection during the operational phase will require specific measures that influence the design and operational planning of a deep geological disposal facility. Contrary to other nuclear or industrial facilities, the implementation of a repository must comply with additional requirements because post-closure safety must be guaranteed for hundreds of thousands of years. In a safety case, a comprehensive set of safety arguments must be compiled and the operator's confidence in the operational and long-term safety of the disposal facility must be demonstrated and communicated. The documentation and communication of the different safety assessments for the operational and post-closure phases are fundamental tasks of the safety case, which should include the assessment and documentation of the interactions between operational safety and post-closure safety.

Fundamental objectives of the operational safety are the protection of personnel and the environment, with a focus on the operating personnel. Moreover, the operator must demonstrate that the state of the facility at the time of closure will be compatible with the legal requirements for long-term safety. For both, a comprehensive system analysis of the features, events, and processes (FEPs) during the operational phase is necessary.

In the German R&D project BASEL, a FEP catalogue for the operational phase was developed based on the national disposal concepts for clay and salt. The FEP catalogue contains analyses of the processes and events that affect the components (features) of the repository system in its entirety. It was used to identify and document a) hazards for the operational phase, b) the initial state of the repository's post-closure phase, and c) the impacts of the FEP on and consequences for post-closure safety.

# 7 SPECIAL TOPICS

After the session on operational safety, there was a final session on special topics of interest to the Workshop attendees that included: a summary of the Actinide Brine Chemistry (ABC salt) meeting, ongoing collaborations between SNL and GRS on regional groundwater flow, and a German perspective regarding deep borehole disposal.

The first talk was given by Don Reed from LANL, in Carlsbad, New Mexico (co-author Marcus Altmaier from Karlsruhe Institute of Technology - Institute for Nuclear Waste Disposal (KIT-INE). It was a summary of the March 2017 ABC Salt (V) workshop in Ruidoso, New Mexico. Don presented results from each of the major sessions at ABC Salt, including a WIPP progress update, international actinide chemistry updates, microbial effects, corrosion and sorption, modeling and solubility studies, temperature effects, and the redox of actinide chemistry.

The second talk was jointly given by Anke Schneider (GRS) and Kristopher Kuhlman (SNL). This presented ongoing work to simulate basin-scale density-dependent groundwater flow in the non-salt sediments above the WIPP site. The presentation gave background material on the model that is being implemented (Corbet, 2000). Anke presented a summary of the capabilities of d³f++, and progress that has been made implementing the WIPP case. Kris presented the capabilities of PFLOTRAN and the progress made by SNL re-implementing the problem. Both presenters agreed the comparison is difficult because the domain is a large area and the geologic units have extreme aspect ratios and permeability contrast. Even though both simulators have extensive parallel capabilities, the time steps required to simulate both a free surface and density dependent flow require make the long simulation time (24,000 years) difficult. The presenters agreed to work on simpler test cases in the coming year and work their way up to more complex and realistic cases.

The third and final talk was given by Tino Rosenzweig (TU-BAF) on the German perspective about deep borehole disposal. He briefly summarized recent research on the topic by SNL and SKB. The presentation outlined several engineering considerations considered to be challenges, including: storage and emplacement of waste packages in a liquid, confirmation of the impermeability of the casing and cement, waste package accident scenarios that require fishing, sealing of the borehole, and retrievability. Tino presented some preliminary results indicating a large number of boreholes (at both 44.5 cm and 91.4 cm diameter) would be needed to dispose of the entire German radioactive waste inventory. He also illustrated that the larger diameter borehole would require additional technology development.

# 8 CONCLUDING REMARKS

As can be appreciated from the content of these Proceedings, discovery and progress continue via sustained partnering of international colleagues. In addition to the summaries of individual chapters, presentations and abstracts (as provided) are also included in the Appendices. These Proceedings afford ready access to resources, references, data plots, computer output, photographs and summaries for persons interested in salt repository research, design, and operation. The science and technology developed in these collaborations pose enormous potential, because salt repositories can solve waste disposal issues in the long-term and at a large-scale.

The next US/German workshop will be hosted by the BGR in Hannover Germany in September 10-11, 2018 in the two days preceding SaltMechIX—the ninth conference on the mechanical behavior of salt (https://www.saltmech.com). SaltMechIX is organized jointly by the BGR (Hannover), the IfG (Leipzig), and the TUC. These two salt-specific technical meetings are organized independently, but coordinating the US/German workshop on salt repository research, design and operation with SaltMechIX helps reduce time and travel costs. SaltMechIX publishes a traditional hard-cover book including reviewed papers from each contributor associated with the conference, and their preparations are well underway.

Topics to be considered for the next US/German workshop have also been identified, though details will be sorted out by workshop leadership. It is worth noting personnel changes that have been made to the US/German workshop leadership. In the past few years, Walter Steininger, Wilhelm Bollingerfehr and Frank Hansen have organized the sessions, solicited contributions, and invited certain persons for special contributions. In 2018, contents of the workshop will be organized by Wilhelm Bollingerfehr, Sean Dunagan (replacing Frank Hansen), Michael Bühler (replacing Walter Steininger), and Sandra Fahland on behalf of BGR.

Topics to be developed for the US/German workshop may be modified depending upon formal contributions to SaltMechIX, but certainly an update of the WEIMOS collaboration remains a central theme. Similarly, comparison of bedded, pillow, or dome salt formations is contemporary in the US and Germany; therefore, conclusions resulting from the project KOSINA could influence decisions made in the US. Several workshop participants advocated for a break-out session on geotechnical seals in salt. There are many sealing strategies vitally important to salt repositories, including ongoing work in reconsolidation, use of salt blocks, consideration of geotechnical stability, cement-based materials, construction methods, and operational and long-term performance.

To ensure continuity of the US/German workshop and production of the Proceedings, it was mutually decided that the host organization assume responsibility for producing the Proceedings. For 2018, colleagues at the BGR have accepted this responsibility. To assist in this compilation, we have conventionally asked subject matter experts to summarize specific technical issues that comprise chapters of the Proceedings. Chapter authorship is also organized near the close of each workshop. The 2018 Proceedings document would be a BGR report, in a manner appropriate to their procedures. In the subsequent year, presumably held in the US, the host would assume this responsibility. The international community provides several websites on which the Proceedings can be stored, such as BGR, NEA, SNL, RESPEC or other sites to be determined.

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# **APPENDIX A: AGENDA**

# September 4 - Monday, NEA Salt Club Meeting same venue

# **September 5 - Tuesday**

Day 1	8:00-08:30	Registration		
Day I				
	08:30-08:45	Kick off and organizational details	F. Hansen, USA M. Bühler, PTKA W. Bollingerfehr, DBE TEC	
	08:45-09:00	Welcome COVRA	E. Verhouf, COVRA	
	09:00-09:15	Welcome BMWi	H. Wirth, BMWi	
	09:15-09:30	Short Report on SC meeting	J. Mönig, GRS	
	09:30-10:00	IAEA repository studies	A. Orrell, IAEA	
	10:00-10:30	Break and Group Photo		
		Developments in National Programs (chair: S. Mayer-IAEA)		
	10:30-11:00	Dutch Waste Management Strategy	E. Verhoef, COVRA	
	11:00-11:30	Welcome DOE and Summary of US WM status	T. Gunter, DOE	
	11:30-12:00	German Waste Management Strategy	T. von Berlepsch, DBE TEC	
	Safety Case Issues (chair: W. Steininger, KIT)			
	12:00-12:30	Netherlands Safety Case experiences OPERA	N.N., NRG	
	12:30 13:30	Lunch		
	13:30-14:00	PA Codes comparison: PFLOTRAN & RepoTrend	D. Becker, GRS, J. Frederick, SNL	
	14:00-14:30	Uncertainties in SC	D. Becker, GRS,	
	14:30-15:00	FEP-matrix and database	G. Freeze, D. Sevougian, SNL, J. Wolf, GRS	
	15:00-17:00	Tour of Flood Museum		
	18:00	Workshop Dinner at Restaurant Vierbanne		

# September 6 – Wednesday

Day 2	Geomechanical Issues (chair: M. Bühler; KIT)		
	9:00-09:30	Invited Lecture: Salt Creep at Low Deviatoric Stress	P. Berest, France
	09:30-10:00	Current status of research in the Joint Project WEIMOS	A. Hampel, Hampel Consulting; T. Popp, IfG; K. Herchen, TU Clausthal
	10:00-10:30	Salt testing: Low deviatoric stress and shear	S. Buchholz, RESPEC
	10:30-11:00	New results of the KOSINA project	T. Popp, IfG, N.N., BGR, E. Matteo, SNL
	11:00-11:30	Break	
	Geomechanical Issues contd´(chair: F. Hansen)		
	11:30-12:00	New developments in KOSINA - Modelling	J. Kindlein, GRS
	12:00-12:30	Outcome of the Repoperm Project	KP. Kröhn, GRS
	12:30-13:30	Lunch	
	13:30-14:00	Reconsolidation of granular salt (DAEF report)	K. Wieczorek (GRS), BGR, DBE TEC, IfG, TU CI
	14:00-15:00	Breakout Session	
		Reconsolidation of salt backfill material	K. Kuhlmann, SNL, T. Popp, IfG, K. Wieczorek, GRS, F. Hansen, USA, N. Müller-Hoeppe, DBE TEC
	15:00-15:30	Break	
	Repository Design and Operational Safety (chair: W. Bollingerfehr, DBE		
	15:30-16:00	Project BASEL (Interaction between OS and LTS)	J. Wolf, GRS
	16:30-17:00	Repository design in bedded salt (KOSINA)	W. Bollingerfehr, DBE TEC
	17:00	Adjourn for the day –	
		no scheduled group activity	

# **Final Technical Agenda**

8th US/German Workshop on Salt Repository Research, Design, and Operation, COVRA Premises

# September 7 – Thursday

08:30	Repository Design and Operational Safety (chair: W. Bollingerfehr, DBETEC)		
	08:30-09:00	The regulator's perspective of DOE's 2014 certification application for WIPP"	K. Economy, EPA
	09:00-09:30	WIPP recovery and Operational Safety	S. Dunagan, SNL
	09:30-10:00	WIPP Future Advancements and Operational Safety	R. Whisenhunt, NWP
	10:00-10:30	Sandia's Salt Design Concept for HLW and DSNF	E. Matteo, SNL
	10:30-11:00	Break	
	Special Topics (chair: K. Kuhlman, Sandia)		
	11:00-11:30	Actinide and brine chemistry in salt repositories	D. Reed, LANL M. Altmaier, KIT/INE
	11:30-12:00	Groundwater Models of WIPP	A. Schneider, GRS, K. Kuhlman, SNL
	12:00-12:30	German VDB-project CREATIEF	T. Rosenzweig, TU BAF,
	12:30-12:45	Wrap up and outlook	Organizers
	12:45-14:00	Lunch	
	14:00 – 16:00	Visit Storage Facility (optional)	



### **APPENDIX B: WELCOME ADDRESSES:**

#### Mr. Wirth

#### BMWi Address for 8th Annual Workshop September 5, 2017

#### Ladies and Gentlemen,

On behalf of the Federal Ministry for Economic Affairs and Energy (BMWi), I would like to welcome you to our eighth US-German Workshop on Salt Repository Research, Design, and Operation. It is a great pleasure to have the workshop in the Netherlands, and we appreciate it very much that our Dutch colleagues from COVRA agreed to hosting it here. Moreover, our Dutch colleagues are esteemed regular attendees of our workshops. We are very grateful as well for all the organizational efforts that went into preparing for this workshop.

As in previous years, the workshop has been jointly organized by Sandia National Laboratories, DBE-Technology and the Project Management Agency Karlsruhe. I would like to thank Ms. Marianne Cornet from COVRA in her capacity as the local organizer, Mr. Frank Hansen and Ms. Laura Connolly from the US, and Mr. Wilhelm Bollingerfehr, Mr. Walter Steininger and Mr. Michael Buehler from Germany for their hard work and dedication in preparing for this workshop.

#### Ladies and Gentlemen,

Our cooperation is based on a Memorandum of Understanding between the US Department of Energy (DOE) and the German BMWi. Moreover, it is based upon the people involved in this work, their commitment, and the close and excellent relationships — both on a personal and a scientific and technical level — that have evolved over the past few years. It is already a tradition to keep this workshop open to other interested countries as well. Our Dutch colleagues, in particular, have made many valuable contributions to our discussions and, this year, they have invited us to hold our workshop here in Middelburg. I would therefore like to extend a very special welcome to Mr. Ewoud Verhoef, the Deputy Director of COVRA. And I would also like to welcome Mr. Timothy Gunter from the Office of Nuclear Energy within the US Department of Energy. In addition, I would like to welcome Mr. Andrew Orrell and Mr. Stefan Mayer from the International Atomic Energy Agency (IAEA).

#### Ladies and Gentlemen,

The fact that this workshop is being attended by high-ranking representatives from the US, Germany and the Netherlands and as well those from the IAEA shows how important our bilateral research cooperation is to our countries. This cooperation is still considered topical, of high importance, and is greatly appreciated. Once again, this workshop is bringing together nearly sixty salt experts. They will be updating each other on the latest developments in their work. Our annual workshop is well-established and has become a showcase for US-German cooperation. The outcomes of the workshop discussions will certainly provide valuable input for new research activities.

#### Ladies and Gentlemen,

It might be of interest for you to learn about the changes and new developments that have taken place in Germany since the last workshop in Washington, and about how these changes are influencing our work. Since we met last year, the regulatory and organizational framework for future nuclear waste disposal in Germany has further evolved. Two independent bodies, the Commission for the Storage of High-Level Radioactive Waste (Repository

Commission) and the Commission to Review the Financing for the Phase-out of Nuclear Energy (KFK) concluded their work in 2016. Their recommendations were used in the process of drafting new regulations and putting in place new procedures. The Act Reorganizing Responsibility for Nuclear Waste Management (VkENOG), drafted under the leadership of the Federal Ministry for Economic Affairs and Energy, regulates the financing of nuclear disposal and the organizational and management structure as well. It entered into force on June 16, 2017. The Repository Site Selection Act (StandAG), drawn up under the leadership of the Federal Ministry for the Environment, defines the site selection procedure for a high-level waste repository and all the organizational procedures associated with this process. This law became effective on May 16, 2017.

Ladies and Gentlemen,

In adopting these new laws, Germany has taken an important step towards the safe disposal of nuclear waste. Moreover, Germany has now paved the way for starting the so-called unbiased selection of a suitable site for a repository for high-level radioactive waste. This will be done from scratch, starting with a blank map.

Through these two pieces of legislation, Germany has taken care of the financing for the entire disposal process, starting from the dismantling of nuclear power plants, through to final disposal.

Please allow me to give you a few more details about the content of the two pieces of legislation.

Under the Act Reorganizing Responsibility for Nuclear Waste Management (VkENOG), the various responsibilities for disposal have been assigned and the financing of the decommissioning and dismantling of nuclear power plants and the interim and final storage of nuclear waste secured. The Act will prevent any situation whereby costs would be shifted towards society, and avoid any undue economic burden being placed on operators as well. More specifically, this means that:

- Operators will continue to be responsible for managing and financing all activities linked to the decommissioning and dismantling of the plants and for competent packaging of radioactive waste. The companies have already made adequate provisions for this. If necessary, they will also have to foot the bill for any additional costs.
- The government is in charge of interim storage and final disposal. The funding required for this, a grand total of 24.1 billion euros, was provided by the operators and transferred into a dedicated fund on July 1, 2017.

The Repository Site Selection Act (StandAG) sets out the following requirements for the siting process:

- The waste is to be disposed of within the territory of Germany, in a deep geological repository in rock salt, clay stone or crystalline rock.
- The repository is to be sealed off permanently, but in a way that allows retrievability of the waste during the entire operational phase and, in case of unforeseen circumstances, the possibility of recovery at any time during a 500-year timespan following the sealing of the repository.
- A comparative procedure is to be used to select the site with the best safety for a compliance period of one million years.
- The site selection process is to be a participative, scientific, transparent, self-learning and self-reviewing procedure.
- There are detailed provisions concerning the organizational structure of those relevant bodies to guarantee that the general public can participate in the process at regional and national level.

#### Ladies and Gentlemen,

Another key point intensively discussed within both commissions was the structure of the responsible organizations. It was agreed that there will be a new supervisory authority, the Federal Office for the Safety of Nuclear Waste Management (Bundesamt für kerntechnische Entsorgungssicherheit, BfE), and a new operating firm, the implementing body, owned by the Federation, the Federal Final Disposal Company (Bundes-Gesellschaft für Endlagerung, BGE). The responsibilities for operating interim storage facilities have also been reassigned to the Federal Interim Storage Company (Gesellschaft für Zwischenlagerung, BGZ). These are the interim storage facilities Gorleben and Ahaus and the interim storage facilities at the nuclear power plant sites. The BGE and the BGZ are currently being established and will be subordinate to the Federal Ministry for the Environment, Nature Conservation, Building and Nuclear Safety. Both new organizations will draw on expertise that is already available. The Federal Final Disposal Company will be created by merging parts of DBE, the company Asse, and a part of the Federal Office for Radiation Protection. The Federal Interim Storage Company will be formed from former parts of the Nuclear Service Company (Gesellschaft für Nuklear-Service or GNS).

You will hear more about the procedure to be used in the site selection process and about the overall organizational structure from Mr. von Berlepsch, who will speak about the German Waste Management Strategy.

# Ladies and Gentlemen,

So, what does this mean for our future joint research? First of all, it means that we will continue to rely on broad-based scientific support and international networks and expertise as we proceed with organizing the disposal of high-level waste. Still of high priority is the further development of the state-of-the-art research into disposal and building the scientific and technical basis for constructing and operating a final repository. This means that we need to continue to do work in these fields, build new skills, and train and educate the next generation of researchers. In the context of our workshop-related work, it means that we must continue to do research into rock salt, for instance address and resolve questions that are still open, and deal with issues regarding a repository in bedded salt. This is one of the fields where we can greatly benefit from our cooperation with the US, a country that has long-standing experience in rock salt, among other things, from its experience with operating the Waste Isolation Pilot Plant (WIPP).

#### Ladies and Gentlemen,

Discussions here in Middelburg also serve to foster technological and scientific development in the various areas covered in this workshop. Key issues are the safety case and geomechanics, which have been, and will continue to be topics of high importance. Another very important point is operational safety. We will hear more about that tomorrow. This is a highly complex issue that is becoming increasingly important in the context of those programs that will soon enter the licensing phase. The joint BASEL project will address this issue and we will hear a little about this project in the presentation from Mr. Jens Wolf. Moreover, we will need to continue the activities related to questions on sealing a final repository. Most of this work will continue to take place under the ELSA project. Let me use this opportunity to say a few words about the joint WEIMOS project, which is being conducted by American and German colleagues in close cooperation. The project comprises joint lab work and modeling by different programs. The results of the lab work are being used to develop models of materials and modeling techniques for a final repository in rock salt. For this purpose, Sandia National Laboratories has provided seventeen drill

core samples from the WIPP. These samples have a combined length of approx. 10.5 meters and weigh 1.6 tons and are being used to study rock healing and creep under low deviatoric stresses. The tests are being conducted at Clausthal University of Technology, the Institute of Geomechanics Leipzig and the Federal Institute for Geosciences and Natural Resources (BGR). The scientists are also jointly working on work packages designed to provide new insights into tensile stress and on modeling work based on a virtual demonstrator. The presentation by Mr. Andres Hampel will show the latest results from the WEIMOS-project.

Ladies and Gentlemen,

We are convinced that the joint US-German research and development activities provide important and excellent contributions to better understanding the properties of rock salt. Furthermore, they help us to think about and discuss new issues of mutual interest. We are convinced that these results contribute very well to the objectives of the research concept of the Federal Ministry for Economic Affairs and Energy.

The United States continues to be our number-one international research partner on rock salt. We are also very happy that the Netherlands regularly take part in our discussions. This is very positive because, in the Netherlands, rock salt is also being considered as a possible host rock option. Moreover, in the Dutch scientific community, there is a good level of knowledge on salt available.

Personally, I am very much looking forward to learning more about the HABOG (Hoogradioactief Afval Behandeling- en Opslag Gebouw) Storage Facility during our guided tour, and to learning about the Dutch way of dealing with radioactive waste. Once again, let me thank our Dutch colleagues for preparing and hosting this workshop.

And as far as Germany is concerned, I can only confirm that we are more than willing to share our long-standing expertise on salt as we address the challenges ahead. Our cooperation allows all partners to benefit from synergies that will help them in their own programs. We can all benefit from the findings, both in scientific and economic terms, and continue our work based on what we have already achieved. This is what makes this cooperation so valuable for all of us.

Ladies and Gentlemen,

Let me stress that the German government continues to view rock salt as a potential host for radioactive waste and that there is further need for research in this field. It is therefore my hope that our successful cooperation will continue at just the same level of intensity.

I wish us all a successful event.

# APPENDIX C: LIST OF PARTICIPANTS AND OBSERVERS FROM 8<sup>TH</sup> WORKSHOP



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# **APPENDIX D: BIOS**

#### **Marcus Altmaier**

Dr. Marcus Altmaier has studied chemistry and received a PhD in Radiochemistry from the University of Cologne. In 2000 he joined the Institute for Nuclear Waste Disposal (INE) in Karlsruhe, Germany. Since 2012 he is Head of Radiochemistry Division of INE at the Karlsruhe Institute of Technology (KIT).

Dr. Altmaier is an expert on aquatic chemistry and thermodynamics of actinides and long lived fission and activation products. Experimental research activities focus on actinide chemistry in aqueous media (radionuclide solubility, complex formation, ionic strength effects). Dr. Altmaier is involved in several Nuclear Energy Agency (of the Organisation for Economic Co-operation and Development) related activities and is a member of the German THEREDA project team. Dr. Altmaier has a strong interest in radioanalytical techniques, actinide and fission product chemistry and the study of radionuclide solubility phenomena in dilute to concentrated salt brine systems. Dr. Altmaier has been involved in several national and international projects, ranging from fundamental scientific research on actinide chemistry to applied work related to the final disposal of nuclear waste in deep underground facilities. He is the author of several peer reviewed publications and presented his work at numerous international scientific conferences.

#### **Dirk-Alexander Becker**

#### Pierre Bérest

Pierre Bérest is emeritus professor at Ecole Polytechnique, Palaiseau, France. He graduated from Ecole Polytechnique and Paris School of Mines. He is the author or coauthor of 200 papers (Journals and Conference Proceedings) in the field of Continuum Mechanics applied to underground works (Plasticity, viscoplasticity, buckling, thermomechanics, thermodynamics) applied to mines, tunnels, underground storage of gas, oil, CO2 and nuclear wastes disposal.

# Stephan Bödecker

# Wilhelm Bollingerfehr

Diplom-Bauingenieur (M.Sc.eq) –civil engineer

**Prokurist** 

Head of Research and Development Department

DBE TECHNOLOGY GmbH, Eschenstraße 55, D-31224 Peine

After finishing the Technical University of Hannover in Germany as a civil engineer in 1985, he gained extensive experience in the field of repository design and development of engineered barriers. As project engineer and project manager he developed concepts for technical barriers for repositories in salt and managed the construction of prototype barriers. In addition, he was responsible for developing transport and emplacement systems and components for heat generating radioactive waste, industrial demonstration test included. Nowadays, as Prokurist and head of the Research and Development (R&D) department he is responsible for a staff of some 10 scientists and engineers all of them working in RD&D projects in the field of safe disposal of heat generating waste (reprocessing waste and spent fuel). His recent work was focusing on the development of a repository design and closure measures for a high-level radioactive waste (HLW) repository in salt formations in the context of a preliminary safety case. One new challenge he is faced with is an analysis of possibilities to retrieve emplaced waste packages and to develop technical solutions for retrieval processes for HLW-repositories in salt and clay formations.

Since autumn 2012 he has the honor to give lectures on Repository Techniques at the University of Braunschweig at the Institut für Grundbau und Bodenmechanik (Institute of Geotechnics) lead by Prof. Stahlmann.

#### Stuart A. Buchholz

Mr. Buchholz is the manager of the Materials Testing Laboratory for RESPEC Consulting and Services in Rapid City, South Dakota, USA. He holds B.S. and M.S. degrees in Geological and Mechanical Engineering from the South Dakota School of Mines and Technology. Mr. Buchholz started his professional career at Halliburton Energy Services where he worked as a wireline logging engineer in the Gulf of Mexico for 7 years. Mr. Buchholz has been a geomechanical consultant for RESPEC for the last 12 years and has extensive experience in analyzing salt caverns that are used for hydrocarbon and waste storage, dry mine excavations in bedded and domal salt formations, and dry- and solution-mined potash excavations.

#### Michael Bühler

#### Oliver Czaikowski

# Sean Dunagan

Sean manages the Special Projects and Remote Site Support department at Sandia National Laboratories (SNL) in Carlsbad, New Mexico, USA. His department engages across a range of activities at the Waste Isolation Pilot Plant (WIPP) such as ground control, the National TRU Program, performance assessment code development, and repository configuration/design. Sean previously was the Department of Energy's Senior WIPP Recovery Manager, overseeing the WIPP site recovery to resume operations following the 2014 events. Additionally, Sean has extensive experience in WIPP performance assessment as an individual contributor and a manager. Sean's education includes a BS and MS degree in industrial engineering with a focus in operational research from Texas Tech University.

#### **Uwe Düsterloh**

Degree: PD Dr.- Ing. habil.

Institution: Clausthal University of Technology

Chair: chair for waste disposal technologies and geomechanics

1982-1988 field of study: mining engineer

1989- 1993 PhD work – geomechanical investigations on the stability of salt caverns for waste disposal 2009 Habilitation - proof of stability and integrity of underground excavations in saliniferous formations with special regard to lab tests

1989 - 2012 chief engineer at Clausthal University of Technology

# Kathleen Economy

Ms. Economy has been working on nuclear waste repository issues since 1992. She has held various roles in the preparation of performance assessments for both the WIPP and the Yucca Mountain Project. In 2010 she began her role as a WIPP regulator for the US Environmental Protection Agency. She has a master's degree in Hydrology from New Mexico Institute of Mining and Technology.

#### Sandra Fahland

Civil engineer degree (Dipl.-Ing.) in 1997 at the Technical University of Braunschweig, Germany and Ph.D. degree (Dr.-Ing.) in 2004 at the Technical University of Clausthal, Germany. Joined the Federal Institute for Geoscience and Natural Resources, Department 3 —Underground Space for Storage and Economic Use, in 2005 as a scientist of the Sub-Department — Geotechnical Safety Analyses — Scientific background: Rock mechanics - especially salt mechanics, thermomechanical numerical analysis of underground structures, radioactive waste disposal, field measurements.

#### **David Felhauer**

#### **Bettina Franke**

#### Jennifer M. Frederick

Jenn Frederick is a senior member of the technical staff at SNL in Albuquerque, New Mexico, USA. She is a computational geoscientist and a PFLOTRAN developer, an open source, massively parallel subsurface simulator for multiphase flow and reactive transport in porous media. Jenn specializes in geological fluid dynamics and software engineering, and applies these skills to develop software required to model performance assessment for deep geologic repositories. Jenn earned a B.S. in Bioengineering from the University of Illinois at Chicago, a M.S. in Mechanical Engineering from the University of California, Berkeley, and a Ph.D. in Earth & Planetary Science with an emphasis in Computational Science and Engineering from the University of California, Berkeley.

#### **Geoff Freeze**

Geoff Freeze is an Engineer/Hydrogeologist at SNL in Albuquerque, New Mexico, USA. Mr. Freeze has over 30 years of professional experience in radioactive waste disposal, probabilistic risk and safety analyses, groundwater modeling, and site characterization. He has supported radioactive waste disposal programs in the US (at both Yucca Mountain and WIPP) and internationally, including 4 years as the Yucca Mountain Project Lead for Features, Events, and Processes.

Mr. Freeze has authored over 40 journal articles and project reports, taught short courses in computer solutions to groundwater problems, and written chapters on "Decision Making" and "Solute Transport Modeling" for the McGraw-Hill Environmental Handbook. He holds an M.S. degree in Agricultural Engineering from Texas A&M University and a B.A.Sc. degree in Civil Engineering from the University of British Columbia.

# **Jaques Grupa**

#### **Tim Gunter**

Tim Gunter, US Department of Energy (DOE), is a Nuclear Engineer (B.NE 1979, Georgia Institute of Technology) with over 35 years of professional experience in nuclear related fields. He is currently a Federal Program Manager for Spent Fuel and Waste Disposition in the DOE's Office of Nuclear Energy, where he manages a multi-million-dollar R&D program for geologic disposal of SNF and high-level radioactive waste. His previous experience includes naval nuclear reactor plant systems testing and nuclear performance assessment at the Charleston Naval Shipyard; facility startup and engineering for the DOE Savannah River Site including initial start-up of the Defense Waste Processing Facility (the first operating high-level waste vitrification facility in the US). Subsequently, with the DOE Yucca Mountain Project Office, he was the supervisor of the Regulatory Compliance Branch and the DOE licensing lead for the pre-closure safety assessment. He assisted with the completion and submittal of the Yucca Mountain High-Level Waste Repository License Application to the Nuclear Regulatory Commission, and managed the technical input to over 300 licensing contentions. He is a current Member of the American Nuclear Society.

# Jörg Hammer

Jörg Hammer studied geology (Diploma) at the Mining University Leningrad/Sankt Petersburg (1977 – 1982; M. Sc. in Geology). From 1982 to 1986 he worked as scientific assistant at the Technical University Bergakademie Freiberg, Department of Mineralogy, and wrote in 1986 his Ph.D. in Geology and Geochemistry ("Geochemistry of copper shale near Sangerhausen, Eastern Germany"). He then worked at the Department of Geochemistry, University Greifswald and finalized in 1995 his habilitation ("Geochemistry and petrogenesis of granitoids in Lusatia and Erzgebirge/Ore Mountains"). From 06/1996 to 06/2002, he worked as head of project in the Geological Survey of Mecklenburg-Vorpommern and investigated geochemistry and mineralogy of quarternary sediments in connection with landfill protection in northeast Germany. Since 07/2002, he works as a senior scientist and since 2008 as the head of the subdivision "Geological Exploration" at the Federal Institute for Geosciences and Natural Resources in Hannover.

# **Andreas Hampel**

Dr. Hampel is a physicist. After his PhD work at the TU Braunschweig about deformation micro-processes in metals and alloys, he started in 1993 at the BGR Hannover his investigation of the thermo-mechanical behavior of rock salt and the development of the Composite Dilatancy Model. In 1998 he began to work as an independent scientific consultant, since 2004 he has been the coordinator of a Joint Project series on the comparison of constitutive models for rock salt.

#### Frank Hansen

Since the 1970's Frank Hansen actively engaged national and international nuclear waste repository science, engineering, research, development, and demonstration. Frank began his career in 1974 at RESPEC, where he started their thermomechanical testing laboratory. His career included research in rock mechanics essential to licensing WIPP and Yucca Mountain. He has collaborated extensively internationally, including founding this modern series of US/German workshops. Frank retired as a Senior Scientist from Sandia in February 2017. He is now engaged as a Professional Associate with RESPEC, which facilitates continued involvement with international salt repository research. He is a registered professional engineer in New Mexico and South Dakota and an ASCE Fellow.

### **Jaap Hart**

#### Kai Herchen

#### Jonathan Kindlein

Jonathan Kindlein is a scientist at Gesellschaft für Anlagen- und Reaktorsicherheit (Society for plant and reactor safety) GmbH (GRS) Braunschweig. He's got a Diploma in Civil Engineering (emphasis Structural Mechanics) from Bauhaus-University Weimar and a Ph.D. in Civil Engineering (emphasis Reactive Transport Modeling) from Technical University Braunschweig. Jonathan has been engaged in safety assessments of RAW final repositories for more than ten years, for four years at GRS in several projects concerning process analyses and long-term safety analyses for repository systems in salt.

#### Klaus-Peter Kröhn

Klaus-Peter Kröhn is a senior scientist at the branch of the GRS GmbH in Braunschweig. He got a degree in civil engineering at Hannover University where he also got his PhD. His expertise covers (a) development of model concepts, formulation of mathematical models and writing of simulation codes, (b) modeling groundwater flow and solute transport, CO2 migration in deep geological formations, and bentonite resaturation, and (c) planning, execution and evaluation of experiments concerning bentonite re-saturation, compaction of crushed salt and two-phase flow.

# Kristopher Kuhlman

Kristopher Kuhlman is technical staff at SNL in Albuquerque, New Mexico, USA. His research interests include ultra-low-permeability rocks and geologic disposal of radioactive waste in mined repositories and boreholes. Kris worked for Sandia at WIPP in Carlsbad, New Mexico before working on deep borehole disposal. He now is focused on modeling, laboratory testing, and field testing related to generic salt repositories. Kris got a BS in Geological Engineering from Colorado School of Mines and a PhD in Hydrology from University of Arizona.

# Tatjana Kühnlenz

# **Christoph Lüdeling**

#### **Karl-Heinz Lux**

#### **Edward Matteo**

Ed Matteo is technical staff at SNL in Albuquerque, New Mexico, USA. Ed's research interests include fluid-mineral interfaces and reactive transport in porous media, esp. chemical durability/reactivity of cementitious materials and clay minerals. For the last few years, he has worked on engineered barrier system design and repository design for DOE-NE's *Used Fuel Disposition and Spent Fuel and Waste Science and Technology* Campaigns. Ed has a PhD in Chemical Engineering from Princeton University and a BS in Chemical Engineering from the University of New Mexico.

## **Stefan Mayer**

#### **Melissa Mills**

Melissa Mills is a member of the technical staff at SNL, and has been involved in several experimental research initiatives in the Nuclear Waste Research and Disposal Organization at SNL for the last 6 years. Contributed projects include thermal and chemical effects on clay minerals for engineered barriers, iodide interaction with clay minerals, compacted clay pellet percolation and diffusion studies, as well as microscopic analysis of geomaterials. She holds a Master's in Civil Engineering from the University of New Mexico and was a Nuclear Energy-University Program Fellow, with research focused on the characterization of consolidated granular salt, investigating deformation mechanisms, pore structure, and substructures by microscopic examination.

# Jörg Mönig

Jörg Mönig has a degree in Physical Chemistry from the Technical University of Berlin. For 30 years, he is conducting research in the field of radioactive waste disposal. In this time, he contributed to many R&D projects with experimental investigations in the laboratory and *in situ* as well as with theoretical and numerical studies. He has participated to the safety analyses both for the closure of the Morsleben Mine and of the Asse Mine. From 2004 to 2012 he led the Department Long-term Safety Analyses of GRS. Since 2013 Jörg Mönig is Head of the Repository Safety Research Division of GRS in Braunschweig, Germany.

# Nina Müller-Hoeppe

#### Erika Neeft

Dr. Neeft is the technical coordinator of the Dutch research program into geological disposal of radioactive waste at the waste management organization COVRA (Central Organisation for Radioactive Waste (Centrale Organisatie Voor Radioactief Afval, Dutch nuclear waste processing and storage company). She holds a MSc degree in Earth Sciences from Utrecht University and a PhD in reactor physics (transmutation of nuclear waste) from Delft University of Technology.

#### S. Andrew Orrell

Mr. Andrew Orrell is the Section Head for Waste and Environmental Safety at the International Atomic Energy Agency where he is responsible for the development and promulgation of internationally accepted standards, requirements and guides for the safe management of radioactive waste and spent fuel, decommissioning, remediation and environmental monitoring. In addition, Mr. Orrell oversees the planning and execution of support to the International Atomic Energy Agency (IAEA) Member States for the implementation of the IAEA Safety Standards, and the Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management.

Prior to joining the IAEA, Mr. Orrell was the Director of Nuclear Energy Programs for SNL, where he was responsible for laboratory development initiatives involving all facets of the nuclear fuel cycle. He provided executive leadership for Sandia's Lead Laboratory for Repository Systems program, managing the completion of the post-closure performance assessment and safety case for a license to construct the nation's first geologic repository for high-level nuclear waste at Yucca Mountain. Prior to working on Yucca Mountain, he managed site characterization programs for a deep geologic repository for transuranic waste at WIPP, and developed transportation optimizations for the National Transuranic Waste Management program.

With over 25 years of professional experience in nuclear fuel cycle and radioactive waste management for the U.S. and several international programs, Mr. Orrell is versed in the complex interdependencies between nuclear energy development, waste management, decommissioning, remediation and disposal. Mr. Orrell routinely advises government and industry leaders on the technical and policy implications for radioactive waste management, including repository development and licensing, national policy development and regulation, site characterization and safety case development, storage, transportation, and the securing of public confidence.

#### **Teresa Orellana-Perez**

## Till Popp

Dr. Till Popp is a mineralogist working since 1986 in the field of hydro-mechanical rock investigations at a lab or field scale. Since 2003 he is appointed at the IfG, Leipzig as project manager, mostly responsible for research projects aiming on disposal of radioactive and toxic waste in salt and argillaceous clay formations.

#### **Donn Reed**

#### Benjamin Reedlunn

Benjamin Reedlunn has a master's degree in material science and a doctorate in mechanical engineering from the University of Michigan. In 2012, he joined Sandia to study the thermomechanical behavior of structural metal alloys. More recently, as Sandia's representative in Joint Project WEIMOS, he has been investigating constitutive models for salt and performing simulations of geomechanical experiments at WIPP.

### **Anke Richter**

# **Tino Rosenzweig**

Tino Rosenzweig is a Research Assistant at the Technical University Bergakademie in Freiberg, Germany, Institute of Mining and Special Civil Engineering. His research is in the field of Hydro-consolidation, Soil Liquefaction, and Deep Borehole Disposal of High-level Radioactive Waste. Dr. Rosenzweig has a PhD (Dr.-Ing.) with a major in Geotechnical Engineering; a Master of Science Degree and a Bachelor of Science Degree with majors in Industrial Engineering and Management – all from the Technical University Bergakademie Freiberg.

Jay Santillan

**Yildirim Savas** 

**Anke Schneider** 

# S. David Sevougian

Dr. S. David Sevougian is a principal member of the technical staff at SNL with over 30 years of experience in earth sciences, including geologic repository sciences, hydrogeology, geophysics, decision analysis, and petroleum engineering. He has an AB degree in physics from Cornell University and a PhD in petroleum engineering from The University of Texas at Austin. He is a member of the American Nuclear Society and the Society of Petroleum Engineers. Recently he has been working on the safety case and safety assessment methodology for evaluating a generic deep geologic repository for commercially generated SNF, as well as a possible separate geologic repository for nuclear wastes generated from national defense activities. He is researching concepts related to several types of host rocks, including granite, argillite, and bedded salt.

#### Steven Sobolik

Steven Sobolik is a Principal Member of the Technical Staff at SNL in Albuquerque, New Mexico, USA. He is a mechanical engineer by degree, obtaining his Bachelor's and Master's degrees from Texas A&M University. He began his career at Sandia in 1984, performing high-velocity impact tests at the Sandia rocket sled track. Since 1995 he has specialized in computational and experimental geomechanics, applied to radioactive waste repository projects such as the Yucca Mountain Project and WIPP; underground oil storage caverns in salt formations for the US Strategic Petroleum Reserve; CO2 sequestration, wellbore integrity, and other underground storage and geomechanical projects. He has authored or co-authored numerous papers on the mechanics of salt as they relate to the storage of oil, gas, and radioactive waste, and is a frequent presenter at international conferences on rock and salt mechanics. He is a member of the American Society of Mechanical Engineers, the American Rock Mechanics Association, the American Geophysical Union, and the Solution Mining Research Institute.

#### Joachim Stahlmann

Joachim Stahlmann has been working as head of the Institute for Soil Mechanics and Foundation Engineering at the Technische Universität Braunschweig since October 2002. Since the early 1990s he has been active in the field of salt mechanics and underground disposal. He has worked on the construction of the shafts at the Gorleben exploration site and has developed the decommissioning concept and sealing structures in the radioactive waste repository Morsleben, particularly the stability and integrity, as well as the functionality of flow barriers and shaft seals. He was a member of the Consulting Group Asse for the Asse mine until 2007.

# **Walter Steininger**

Walter Steininger is a physicist (University of Stuttgart). He made his doctoral thesis at the Max-Planck-Institute for Material Research, Material Science, and worked as a project scientist at the Staatliche Materialprüfungsanstalt, University of Stuttgart, in the field of radiation embrittlement of RPV steels. Since 1991 he is working as a program manager at the Project Management Agency Karlsruhe, Water Technology and Waste Management at the Karlsruhe Institute of Technology, managing, behalf of ministries respective RD&D programs related to high-level radwaste disposal.

#### **Janis Trone**

# **Philip Vardon**

## **Ewoud Verhoef**

# Holger Völzke

Dr. Völzke is a mechanical engineer and has 24 years of experience in the area of spent fuel and radioactive waste management with the Federal Institute für Materials Research and Testing. There he is head of Division 3.4 "Safety of Storage Containers" and responsible for safety evaluation, experimental and numerical design testing, research projects, and advising authorities, industry and the public. Dr. Völzke is member of the German Nuclear Waste Management Commission - Committee on Waste Conditioning, Transport and Interim Storage, consultant for the IAEA and managing collaboration with several international partners.

#### Thilo von Berlepsch

#### **Erik Webb**

Erik manages the Geoscience Research & Applications Group, the core of Sandia's geoscience capability with six departments centered around Geotechnology and Engineering, Geophysics, Atmospheric Sciences, Geomechanics, Geochemistry, and Geothermal Research. These departments engage across atmospheric monitoring and modeling, climate programs, fossil energy, geoengineering, nuclear repository programs, detection of underground structures, basic science of geological materials, geothermal energy, and geological elements of treaty verification and nuclear weapons programs for multiple federal agencies, foreign governments and in partnership with universities and commercial companies.

### **Rodney Whisenhunt**

#### Klaus Wieczorek

Degree in geophysics at the University of Münster 1984, since 1985 in repository research, since 1995 with GRS Repository Safety Research Division in Braunschweig. Various projects on rock salt, clay, and crystalline rock, including *in situ* testing and thermal-hydrological-mechanical model simulation. Head of geotechnical section of the GRS department of process analyses.

#### **Holger Wirth**

#### **Jens Wolf**

Jens Wolf is a scientist at GRS GmbH. He holds a Diploma in Geology/Hydrogeology and a Ph.D. in Civil Engineering (Hydraulic and Environmental Systems). For eleven years, he has been engaged at GRS in several projects concerning long-term safety analyses for repository systems in salt, clay and crystalline host rocks.

#### **Ralf Wolters**

# **APPENDIX E: ABSTRACTS**

# FEP Catalogue, Database, and Knowledge Archive

Geoff Freeze\*, S. David Sevougian\*, Michael Gross\*\*, Kris Kuhlman\*, Jens Wolf\*\*\*, and Dieter Buhmann\*\*\*

\*Sandia National Laboratories (SNL)

\*\*Nuclear Regulatory and Support Services (NRSS)

\*\*\*Gesellschaft für Anlagen- und Reaktorsicherheit (GRS) GmbH

8th US/German Workshop on Salt Repository Research, Design, and Operation Middelburg, The Netherlands September 6-8, 2017

#### **ABSTRACT**

This presentation describes the ongoing development and application of a matrix-based approach for feature, event, and process (FEP) analysis to support the characterization and post-closure performance assessment modeling of deep geologic repositories for SNF and high-level radioactive waste.

The new organizational structure is built around a two-dimensional FEP classification matrix and is based on the concept that a FEP is typically a process (e.g., thermal, hydrologic, chemical, mechanical, biologic, radiologic) or event (e.g., seismic, igneous, human intrusion) acting upon or within a feature or component (e.g., waste form, waste package, host rock). The two-dimensional structure of the FEP matrix makes it easier to identify groups of related FEPs and thereby better inform characterization needs and performance assessment models.

The FEP matrix approach is currently being applied to develop a comprehensive set of FEPs for a generic salt repository, as part of a joint collaboration between the U.S. and German repository research programs. The goal of the collaboration is to populate an international FEP database for salt repositories. However, the current FEP matrix is applicable to any host rock, including repositories located in crystalline and/or argillaceous formations. Recent efforts have focused on a more systematic identification and organization of individual FEPs within a given process/event category.

For example, new thermal-hydrologic FEPs are generally organized by the nature of the driving force. This includes flow processes arising from fluid pressure differences, from capillary processes, and from gravity-and density-driven processes. Each individual FEP is then further subdivided into "Associated Processes," which represent more detailed phenomena that need to be considered (i.e., screened) when constructing a PA model for repository system performance.

In addition to the development of a complete list of FEPs and Associated Processes, an on-line electronic database (www.saltfep.org) has been developed. The salt FEP Database is organized around the new FEP matrix structure, and is being developed by GRS to facilitate FEP identification, documentation, analysis, and screening. The salt FEP Database will also support a salt knowledge archive, with relevant documents linked to individual FEPs.

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# **Very Slow Creep Tests on Salt Samples**

Pierre Bérest<sup>1\*</sup>, Benoit Brouard<sup>2</sup>, Dieter Bruckner<sup>3</sup>, Kerry DeVries<sup>4</sup>, Hakim Gharbi<sup>1</sup>, Grégoire Hévin<sup>5</sup>, Gerd Hofer<sup>6</sup>, Christopher Spiers<sup>7</sup> Stefan Stimmisher<sup>6</sup>, Janos L. Urai<sup>8</sup>

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8th US/German Workshop on Salt Repository Research, Design, and Operation Middelburg, The Netherlands September 6-8, 2017

#### **ABSTRACT**

Four 2-year-long multistage creep tests have been performed on creep testing devices set in the Altaussee Mine in Austria to take advantage of the very stable temperature (and humidity) conditions in this salt mine. This cooperative testing program was supported by the Solution Mining Research Institute. Loading steps were planned to be 0.2, 0.4 and 0.6 MPa (The actual applied loads were slightly different) — much smaller than the loads usually applied during creep tests, which are in the range 5-15 MPa. Five salt samples were used: two from the Avery Island salt mine in Louisiana, two from the Gorleben salt mine in Germany, and a sample cored at Hauterives, France.

Main findings (Figure 1) are as follows:

- 1. During these low-stress creep tests, transient creep is long (6 to 10 months).
- 2. Steady-state strain rates when stresses are low are much faster (by 7-8 orders of magnitude) than what can be extrapolated from high-stress tests.
- 3. Even if tests results are scattered, the exponent of the power law in the low-stress domain seems to be close to n=1 (instead of n=5 in the high-stress domain in the case of the Gorleben and Avery Island salts).

## Strain - Device C - Hauterives Salt

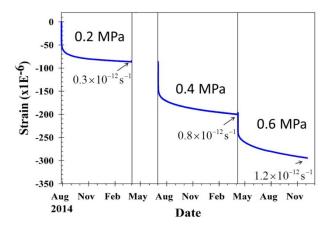


Figure 1. A three-stage, two-year long creep test on a Hauterives salt sample.

# Testing Shear Strength and Deformation along Discontinuities in Salt

Steven R. Sobolik
Sandia National Laboratories, Albuquerque, NM, USA

Stuart Buchholz
RESPEC, Rapid City, SD, USA

#### **ABSTRACT**

Extensive collaborations between United States and German salt repository researchers have identified four key research areas to better understand the behavior of salt for radioactive waste repositories. One subject area includes the influence of inhomogeneities, specifically interfaces between the host salt and other *in situ* materials such as clay seams within bedded salt, or different materials such as anhydrite or polyhalite in contact with the salt. The potential increases in creep rate, roof collapse, and permeability near and along these inhomogeneities are thought to be first-order effects. Despite their importance, characterizations of the peak shear strength, residual shear strength, and permeability of interfaces in salt are extremely rare in the published literature.

This presentation discusses the initiation of laboratory experiments designed to measure the mechanical behavior of a bedding interface or clay seam as it is sheared. The series of laboratory direct shear tests reported in this paper will be performed on several samples of materials typical of WIPP emplacement drifts. The test series will include tests with machined blocks of halite and other materials such as anhydrite, clay, or polyhalite. These tests will be conducted at several normal and shear loads up to expected *in situ* pre-mining stress conditions, and at multiple shear velocities to scope for potential velocity-dependence of shear stress evolution. At the time of the presentation, the test plan has been written, and locations for extracting core with clay seams and halite contacts with anhydrite and polyhalite have been identified, with coring to begin in September 2017.

Sandia National Laboratories is a multi-mission laboratory managed and operated by National Technology and Engineering Solutions of Sandia, LLC., a wholly owned subsidiary of Honeywell International, Inc., for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA-0003525.

# Radiological Consequences Analysis for a HLW Repository in Bedded Salt in Germany – Project KOSINA

J. Kindlein

**GRS GmbH** 

8th US/German Workshop on Salt Repository Research, Design, and Operation Middelburg, The Netherlands September 6-8, 2017

#### **ABSTRACT**

Within the framework of the German research and development project KOSINA (conceptual design, safety concept and safety demonstration concept for a generic repository in bedded salt in Germany) the scientific basis for the geological characterization, safety concept, design and safety assessment of a repository for high-level radioactive waste and spent nuclear fuel in bedded salt formations is being provided. In this presentation, some research findings regarding the safety demonstration and radiological consequences analysis will be presented in detail.

The safety concept for a repository in bedded salt describes in a verbal, argument-based way how the natural conditions, processes and technical measures at large yield a status of safety. Fundamental principle of the safety concept is the concentration and containment of the radioactive and other pollutants in the waste in the containment providing rock zone, which ensures isolation from the biosphere. The safety demonstration concept describes i.e., how nuclide release scenarios are evaluated by appropriate radiological safety indicators.

The radiological consequences analysis performed at GRS within the KOSINA project primarily consists of the calculation of the radiological safety indicator for different test cases and scenarios for the various repository design and waste emplacement options. Here, the modeling results of the disposal concept 'drift disposal' are being presented in detail by means of computational flow and transport modeling of the repository mine (near field) and assessment of the radiological consequences (biosphere model). The used software package RepoTREND will shortly be introduced, the modeling concept and employed radiological indicators explained. Major modeling results will be presented and drawn conclusions discussed.

#### **Outcome of the REPOPERM Project**

Klaus-Peter Kröhn GRS GmbH

8th US/German Workshop on Salt Repository Research, Design, and Operation Middelburg, The Netherlands September 6-8, 2017

#### **ABSTRACT**

The REPOPERM-project was concerned with the mechanical and hydraulic behavior of compacting crushed salt backfill at low porosities. This specific topic was extensively investigated by means of experimental as well as theoretical work.

Phase 1 of the project started out to answer the question if there is a threshold porosity at which all flow channels are completely shut off even before compaction is actually completed. It turned out, though, that such a threshold porosity would lie in a range where measurement of the porosity is of inherently untolerable uncertainty.

Phase 2 thus concentrated on the reliable prediction of crushed salt behavior under repository conditions. Of particular interest were mechanical and hydraulic data for compaction of wet material. Out of a large variety of experiments the long-term uniaxial compaction test on samples with different initial moisture content and the measurement of two-phase flow parameters for different degrees of compaction should be mentioned here explicitly. On the theoretical side the transferability of a calibrated material model was investigated, based on three different experiments. Finally, the first true thermo-hydro-mechanically coupled model with realistic parameters was successfully set up and run.

All in all, the REPOPERM-project has advanced the knowledge about compaction of crushed salt considerably. This includes, however, the awareness of knowledge that has not been acquired, yet. Some conclusions could thus be drawn from the findings of the project ending with a list of recommendations for further work.

## Reconsolidation of crushed salt backfill – review of existing experimental database and constitutive models and need for future R&D work

K. Wieczorek (GRS), U. Heemann, D. Stührenberg (BGR), C. Lerch, N. Müller-Hoeppe (DBE TECHNOLOGY),
C. Lüdeling, T. Popp (IfG), U. Düsterloh, R. Wolters (TUC) 8th US/German Workshop on Salt Repository Research, Design, and Operation Middelburg, The Netherlands
September 5-7, 2017

#### **ABSTRACT**

Crushed salt is the preferred candidate material for backfill measures and for realization of long-term seals in potential repositories for high-level radioactive waste in rock salt formations. With respect to repository design, analysis and performance assessment, solid knowledge of the thermal, mechanical, and fluid transport properties of crushed salt is required.

Over time the crushed salt will be consolidated by convergence of the host rock. The key questions are how, when, and to what degree the properties of reconsolidating crushed salt approach or attain those of the native salt formation.

The main objective of the Deutsche Gesellschaft für Endlagerforschung (German Association for Repository Research) (DAEF) initiative was to develop a strategy for reliable prediction of crushed salt properties under repository conditions based on identified shortcomings. Hence, this paper comprises two aspects:

- 1. An assessment of
  - the current understanding of the mechanisms and influencing parameters of crushed salt reconsolidation and their hydraulic impact,
  - the existing experimental data base, and
  - available constitutive models for numerical simulations.
- 2. Recommendations for completion of the experimental data base and the further development and validation of constitutive models.

The phenomenology and current process understanding of crushed salt reconsolidation is discussed in detail by Hansen et al., (2014). Therefore, this topic has been addressed only briefly in this paper.

Experimental data on crushed salt consolidation available today comprise results of oedometer tests and triaxial (Kármán type and true triaxial) tests. Experiments have been carried out at ambient and elevated temperatures, some with addition of brine or bentonite. However, they were usually not designed to enable validation of constitutive models. They show shortcomings in one or several of these fields:

- Precise knowledge of stress state (oedometer tests)
- Test conduction (complicated loading/unloading patterns)
- Porosity range (most tests reach only medium porosities)
- Accuracy of porosity measurement at high consolidation

- Sample pre-treatment
- Systematic parameter variations (lack of controlled series varying one parameter)

Besides the consolidation process itself, the hydraulic impact is important. Measurements of permeability at low porosities are a challenge, e.g., due to nonstationary flow conditions and two-phase flow phenomena in wetted specimens.

Several constitutive models, from purely empirical to microstructurally inspired, exist and are implemented. However, the models are at best partially validated, especially considering the low porosity range, and consistent parameter sets for larger sets of experiments on specific crushed salt varieties have not been derived.

Based on this evaluation of the currents status, we propose the following strategy for future work:

- Completion of the experimental database: Definition and execution of systematic laboratory procedures, including
  - o reproducible sample preparation (e.g., concerning grain size, moisture, precompaction),
  - o experimental procedures (e.g., time, temperature and stress state),
  - o high-precision data sets (e.g., porosity, permeability), and
  - o controlled lab test series.
- Validation of constitutive models:
  - Definition of a set of benchmark test series.
  - o comparison of models against the tests, and
  - o modification or extension of the models (e.g., by including additional processes or internal variables) where necessary.
- As a result, reliability of constitutive models should be demonstrated by reproducing a large set of lab tests under different conditions.

Future progress could undoubtedly profit from international cooperation, drawing on the DAEF institutions as well as the knowledge, experience and facilities present at partners around the world.

## Interaction between Operational Safety and Long-Term Safety (Project BASEL)

Wolf, J.<sup>1</sup>, Bertrams, N.<sup>2</sup>, Bollingerfehr, W.<sup>2</sup>, Buhmann, D.<sup>1</sup>, Fahrenholz, C.<sup>1</sup>, Filbert, W.<sup>2</sup>, Lommerzheim, A.<sup>2</sup>, Noseck, U.<sup>1</sup>, Prignitz, S.<sup>2</sup>

<sup>1</sup> Gesellschaft für Anlagen- und Reaktorsicherheit (GRS) GmbH <sup>2</sup> DBE TECHNOLOGY GmbH

8th US/German Workshop on
Salt Repository Research, Design, and Operation
Middelburg, The Netherlands
September 6-8, 2017
ABSTRACT

The need to ensure operational safety including radiation protection during the operational phase will require specific measures that influence the design and operational planning of a deep geological disposal facility. Contrary to other nuclear or industrial facilities, the implementation of a repository has to comply with additional requirements because post-closure safety must be guaranteed for hundreds of thousands of years. In a safety case, a comprehensive set of safety arguments must be compiled and the operator's confidence in the operational and long-term safety of the disposal facility must be demonstrated and communicated. The documentation and communication of the different safety assessments for the operational and post-closure phases are fundamental tasks of the safety case, which should include the assessment and documentation of the interactions between operational safety and post-closure safety.

Fundamental objectives of the operational safety are the protection of men and the environment with particular focus on the operating personnel. Moreover, the operator must demonstrate that the state of the facility at the time of closure will be compatible with the legal requirements for long-term safety. For both, a comprehensive system analysis of the features, events, and processes (FEP) during the operational phase is necessary.

In the German research and development project BASEL, a FEP catalogue for the operational phase was developed based on the national disposal concepts for clay and salt. The FEP catalogue contains analyses of the processes and events that affect the components (features) of the repository system in its entirety. It was used to identify and document a) hazards for the operational phase, b) the initial state of the repository's post-closure phase, and c) the impacts of the FEP on and consequences for post-closure safety.

### Current research on deep borehole disposal of spent nuclear fuel and high-level radioactive waste - considerations within a German research project

Tino Rosenzweig 8th US/German Workshop on Salt Repository Research, Design, and Operation Middelburg, The Netherlands September 6-8, 2017

#### ABSTRACT

What is deep borehole disposal about? The concept consists of drilling a borehole into crystalline basement rock to a depth of about 5000 m. From these 5000 m, at least the lower 2000 m have to be in crystalline basement rock. The lower 2000 m will be the later waste disposal zone for the emplacement of the waste canisters containing used nuclear fuel or vitrified radioactive waste from reprocessing, while the upper 3000 m will be the sealing zone. The overburden consists of layers of salt and/or clay to be able to identify a containment providing rock zone. The sealing zone reaches from 3000 m depth to the surface.

The deep borehole disposal option seems to have many advantages in relation to a mining-disposal. One is the great distance between the waste canisters and the biosphere. It is several times deeper than typical mined repositories, resulting in a longer sealing zone up to 3000 m and a very long transport path for potential radionuclide release. The host rock should have a low permeability as well as high saline and reducing conditions. Due to the great distance to the surface, it is possible to have several different overlaying layers like clay and salt.

The deep borehole disposal concept has many severe challenges to deal with. One example is drilling a borehole with a large diameter (adjusted to the size of the waste canister) to a depth of 5000 m. This is not common in the drilling industry, since the oil and gas industry usually tries to drill with the smallest possible diameter. Another crucial point is the final disposal of the waste canisters in a fluid. In other concepts, especially in mined repository concepts the most important objective is to dispose waste canisters in dry host rock and to avoid any contact with fluids. However, in the deep borehole disposal concept a fluid is necessary to keep the borehole stable. It is impossible to pump the slurry out of the casing to create a dry borehole. Another challenge is the impermeability of the casing and the cementation. It is also harder or nearly impossible to solve problems when accidents occur in a deep borehole. There exist technologies in the oil and gas industry when for example equipment gets lost or a drill string tears. Then you can use fishing technologies, which are common in the drilling industry, but if this does not work, there are spare solutions for such kind of accident management. Two other challenges are the qualified sealing of a fluid filled borehole and the retrievability of emplaced waste canisters. How will you place for instance bentonite in a fluid filled borehole and control the density of the bentonite? Or how will you bring down asphalt for instance up to 1500 m? In summary, there are a lot of challenges, which need to be solved and tested especially. These tests need to be carried out not only in a small scale but also in situ.

The aim of a German research project (Acronym: CREATIEF), funded by BMWi, is to identify the most sensitive aspects of the deep borehole disposal option with regard to safety and technical feasibility. For example, the drilling technology up to 5000 m, the emplacement of the waste canisters, the disposal of the waste canisters in a fluid filled borehole, ensuring the possibility of waste canister retrieval and the sealing of the fluid filled borehole. It is necessary to identify what materials can be used and by which technology the chosen materials can be brought down in the borehole. Another aim of the research project is to develop a concept for the disposal in terms of a waste canister design based on the known waste inventory of Germany. The size and number of the waste canisters needs to be calculated and the resulting number of boreholes accordingly. Finally, an evaluation of the deep borehole disposal option will be performed by showing the chances and the risks of this disposal option in Germany.

In a first step, the waste inventory in Germany was compiled. This is known because the last nuclear power plant will be shut down by the end of the year 2022. Thus, in Germany, the waste consists of SNF, of vitrified waste from reprocessing, of structural components of SNF disassembling and of spent fuel of prototype and research reactors. The amount of each waste type is known as well.

To create a concept, especially a drilling concept a geological profile is needed. Therefore, a simplified generic geologic profile was designed, which represents realistic geologic situations in Germany. The crystalline rock should reach from a depth of 5000 m up to about 2500 m and the overburden should consist of salt and clay with a large horizontal expansion to benefit from their positive properties and to be able to identify a containment providing rock zone. These are the necessary requirements for a safe repository. Due to the fact that the drilling technology and the diameter of the casing have a huge influence on the size of the waste canister, it was necessary to develop a drilling concept first prior to the design of a waste canister. Two variants were considered. On the one hand, todays conventional drilling diameters in oil and gas exploration were investigated. The result of these considerations was, that 44.5 cm or 17 ½ inch should be the final borehole diameter in a depth of 5000 m in the crystalline rock that could be realistically achieved. On the other hand, the necessary diameter for a reasonable and practical canister was considered. On basis of these considerations, the diameter of the borehole was assessed. Nevertheless, the diameter should stay in an area that still seems achievable with further drilling research. A borehole diameter of about 90 cm seems to be practical and achievable. For the two variants, the idea was to show the effect of a bigger diameter of the waste canister on the number of boreholes. For a borehole with a diameter of 44.5 cm, which equals 17 ½ inch, there exists a drill bit which is able to drill into crystalline hard rock. When the final borehole diameter equals 17 ½ inch you need much bigger drill bits in the upper regions. Additionally, up to four casings are necessary depending on geology, but the maximum casing diameter according to the API-Standard is 30 inch. So, depending on the geology it might be possible that even a start-shaft is needed. For the bigger final borehole diameter of 90 cm, further research is not only needed for the depth of 5000 m but also for the even bigger diameters of the casings and drill bits above.

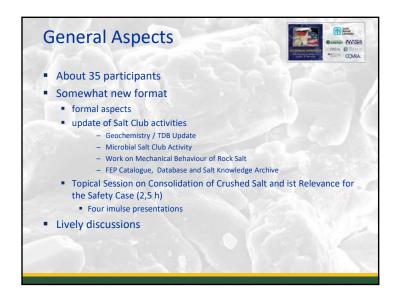
The state of the art in drilling engineering shows small borehole diameters are feasible only so that the borehole can only take up a small canister. The inner diameter of this canister would be much smaller than the one, where further research in the drilling technology is necessary. The greatest disadvantage of the smaller borehole is that only SNF can be disposed of. In the larger borehole, it could be possible to dispose of all waste forms, even existing canisters with vitrified waste, the structural components of SNF and the SNF of prototype and research reactors. Thus, all forms of the HLW in Germany would fit into the large diameter borehole. The number of boreholes that are needed to get the radioactive waste disposed was calculated accordingly. With today's available drilling technology, about 400 to 500 boreholes are required. Considering it would be possible to realize a borehole diameter of about 90 cm in a depth of 5000 m only about 31 boreholes are necessary. A small number of boreholes would be very advantageous to accept the deep borehole option as an alternative to a mined repository.

The deep borehole disposal option has some very difficult and big challenges to deal with and will require R&D work in several areas. According to the current state of the art in drilling technology, it is impossible to create a repository with a realistic number of deep boreholes, which could take all the HLW in Germany. The main reason is standard equipment from oil and gas industry is not suited for repositories of HLW. There are still many unsolved problems that might never be solved; particularly the issue of retrievability, which is mandatory in Germany.

#### **APPENDIX F: PRESENTATIONS**

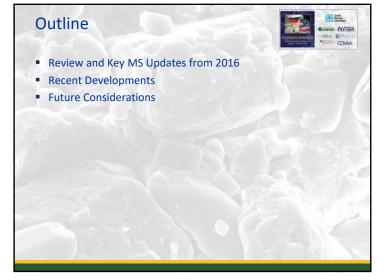


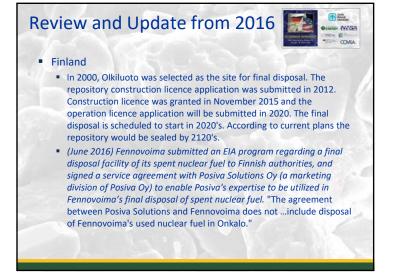


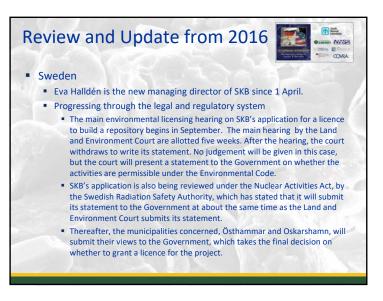


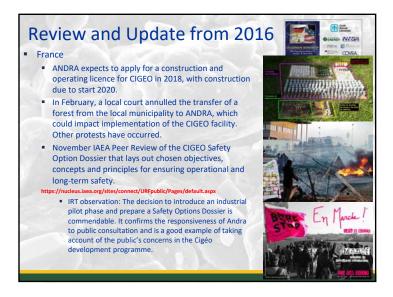


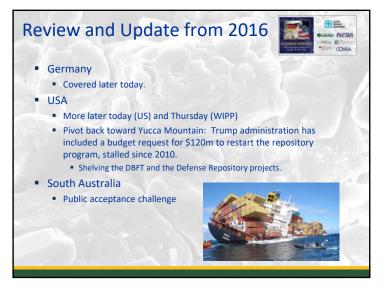




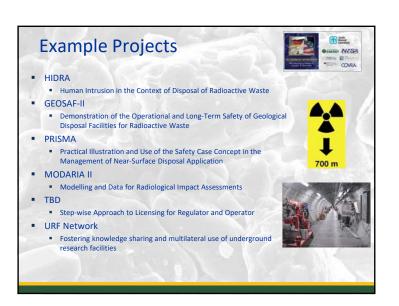












## International Peer Reviews

- ARTEMIS Peer reviews requested by MS on RWM
  - To improve programmes for Radioactive Waste and Spent Fuel Management, Decommissioning and Remediation
  - Demand is expected from the obligations of the EU "Waste Directive",
    - Several MS reviews are in planning and development
    - CIGEO, SOGIN, examples of more focussed reviews
- IRRS (Integrated Regulatory Review Service)
- OSART (Operational Safety Review Team)



#### **Future Considerations**

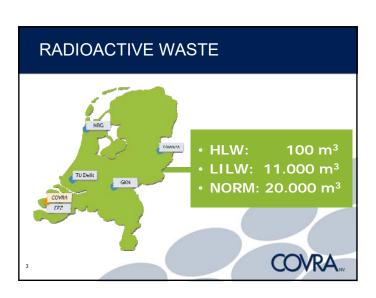


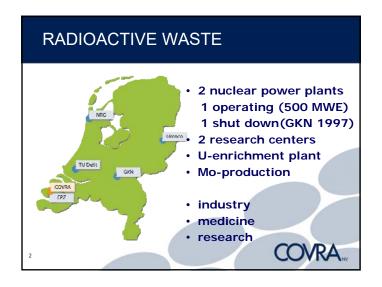
- Nuclear newcomer countries embracing nuclear power defer the issue of disposal
  - There is not a requirement to have disposal capacity in place a-priori or coincident with power (or waste) production, only the expectation.
- IAEA
  - Can disposal be incentivized?
  - Can the barriers to disposal be lowered?
    - Multinational Repositories
    - Alternative disposal concepts
    - Capitalizing / leveraging existing solutions
    - Improving public acceptance
- Same as 2016: Quantify the Salt Potential!
  - Romania, Mexico, South Australia, etc.
  - Design experience,
  - Lab, Field, in-situ Testing and Data,
  - Safety Case, PA, FEP

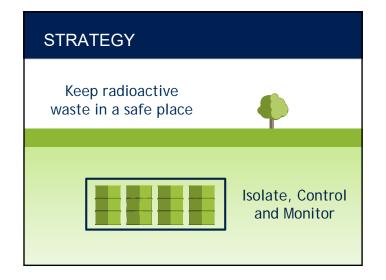




















#### **POLICY**

- all waste managed and owned by COVRA
- all waste at one industrial site
- at least 100 years storage, in buildings
- disposal after 100 years either in national or international context (dual track)
- research

stable policy since 1984

COVRA.

# SITE Co.

#### COVRA

- Established 1982
- → Nieuwdorp 1992
- State-owned enterprise 2002
- 60 people
- 20 million turnover



Only statutory task: care for Dutch RW to protect people & the environment



#### LMLW

- Defence in depth
- proven technology
- simple, robust storage buildings
- minimal equipment
- stable product
- small units
- repairable

13

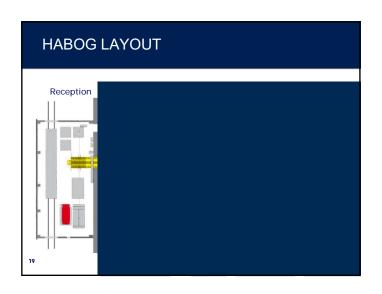




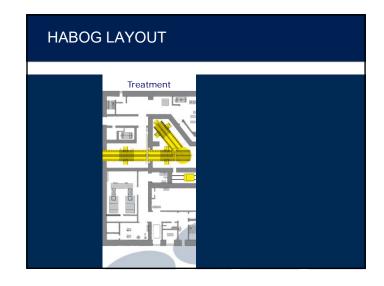


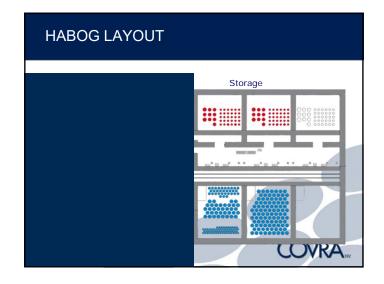


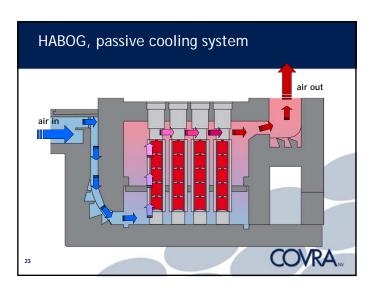
# All events ≤ 10-6 covered • earthquakes VI½ Mercali • plane crash (F16-A Falcon fighter) • flooding +10 m NAP • lpg gas cloud explosion • severe winds 125 m/s

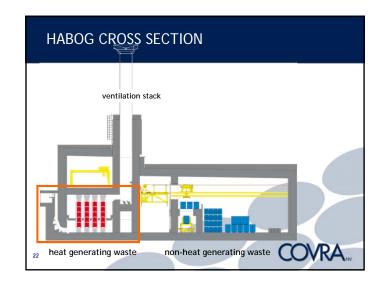










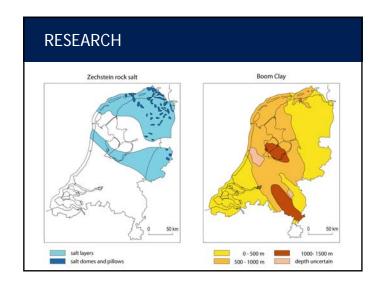


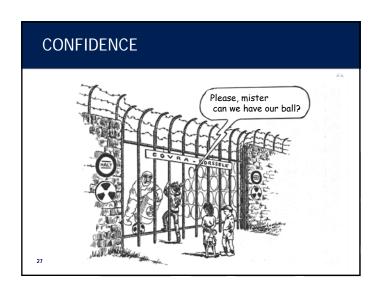
#### MONEY

- polluter pays
- costs covered by fees
- cost effective
- no retrospective adjustment of fees paid
- COVRA takes over full title
- future costs to be paid from funds
- capital growth fund

24



















#### COMMUNICATION

- HABOG is a Work of Art:

  METAMORPHOSIS

  (William Verstraeten)
- Show on the outside what is happening inside

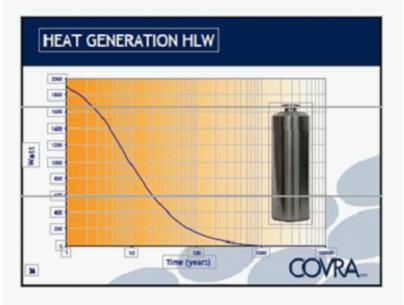
COVRA...

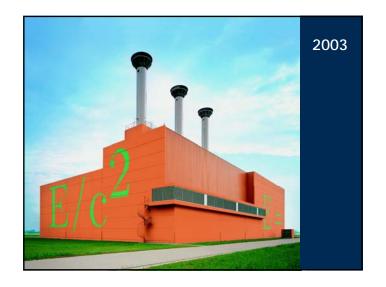
 Look through the 1.70 m concrete walls to the outside

33









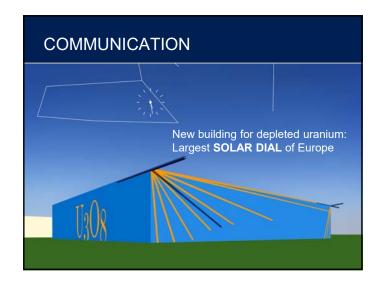














#### **German Waste Management Strategy**

US / German Workshop on Salt Repository Research, Design, an Operation

Dr. Thilo v. Berlepsch DBE TECHNOLOGY GmbH September 5<sup>th</sup>, 2017

German Waste Management Strategy, September 5th, 2011

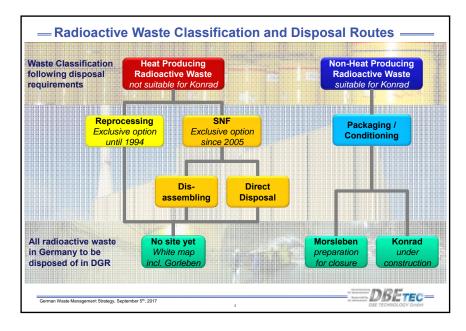


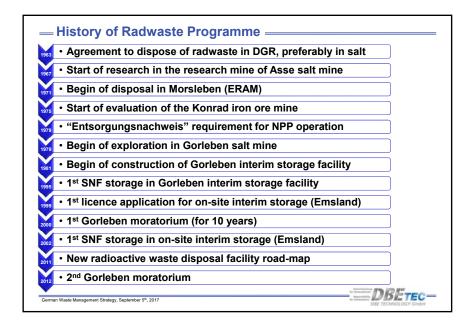


- > Introduction
  - > Overview on Radioactive Waste Streams in Germany
- > Political Background
  - Commissions and Acts
- > Site Selection for a HLW repository
  - > Involved Organisations

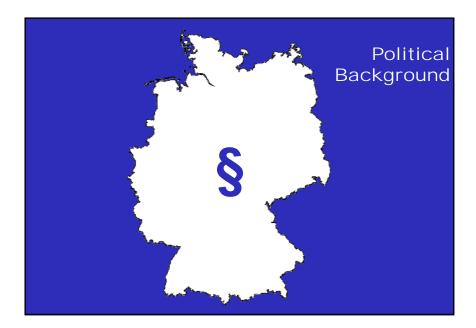








# Overview on German Disposal Projects So far operated by Asse GmbH Asse mine used only as URL since 1978, currently under decommissioning So far operated by DBE: Gorleben: Heat-generating waste, underground survey started in the 1990's, on hold Konrad: Non heat-generating waste, under construction Morsleben: Operational Waste (1980's until 1998), planning for decommissioning



#### - KfK: Financial Viability of Nuclear Phase-out =

- October 14<sup>th</sup>, 2015: Implementation of Commission by Federal Government
  - Review the financial viability of the nuclear phase-out in Germany
  - Representatives of politics, science, churches, workers unions, NGOs (no industry representatives)
- December 16<sup>th</sup>, 2016: Approval of Act by Federal Government to transfer Commission's recommendations into Federal Law
  - Mandatory transfer of money from Utilities into public fund for transportation, storage and disposal (17.2 bn €)
  - Voluntary transfer of risk premium (35,5 %: 6.1 bn €) into public fund releasing Utilities from any later liabilities
  - Enactment pending until EC decides positively on state aid assumption
- State owned organisation for storage to be founded
  - Transfer of storage facilities into one new state owned organisation
  - Heat producing waste: 2019 / Non heat producing waste: 2020
  - GNS already transferred centralised storage facilities into separate organisations (still owned by GNS)

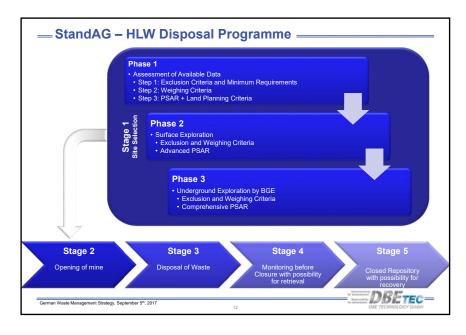
DBETEC-

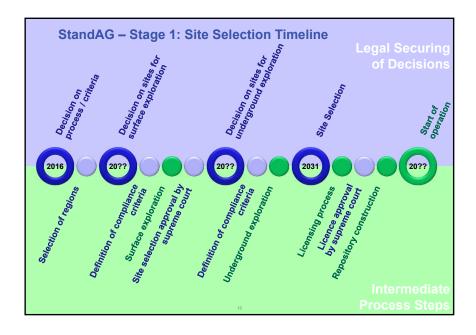
November 11 <sup>th</sup> , 2011:	Bund-Laender-Arbeitsgruppe
• March 1 <sup>st</sup> , 2012:	Last meeting of working group
October 17th, 2012:	Presentation of Site Selection Act by Governme
• May 14 <sup>th</sup> , 2013:	Formal publication of draft Site Selection Act
• May 31 <sup>st</sup> , 2013:	3-day Public Forum
• July 5 <sup>th</sup> , 2013:	Approval of Site Selection Act
• Jul. 27 <sup>th</sup> , '13 / Jan. 1 <sup>st</sup> , '14:	Enforcement of Act
• 2014:	Establishment of Disposal Commission and BfE
• July 5 <sup>th</sup> , 2016:	Final report of disposal commission
• July 30 <sup>th</sup> , 2016:	Act on Re-organisation
• May 16 <sup>th</sup> , 2017:	Amendment of StandAG: Definition of procedur

## StandAG - Site Selection Act Act on the Search and Selection of a Site for a Disposal Facility for Heatgenerating Radioactive Waste and the Amendment of other Acts Objectives Site selection process for, in particular, heat producing radioactive waste repository in Germany Best suitable site" regarding safety for a period of one million years Science-based and transparent selection process Unbiased selection, i.e., "white map" of Germany as starting point Further rulings Roles and responsibilities in the area of radioactive waste disposal Financing of site selection process Protection of potential sites

Public involvement



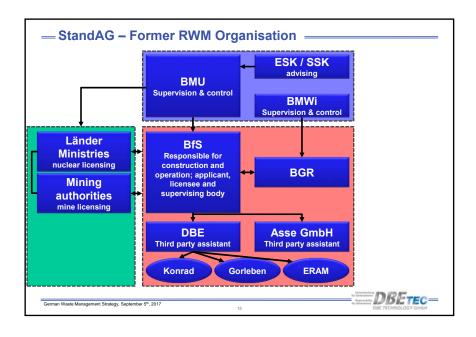


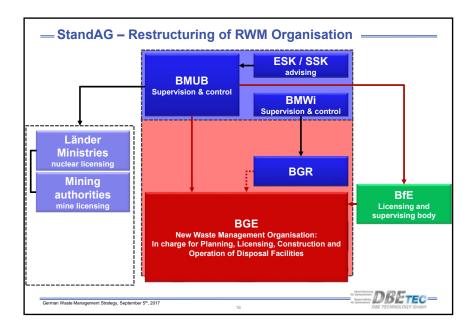


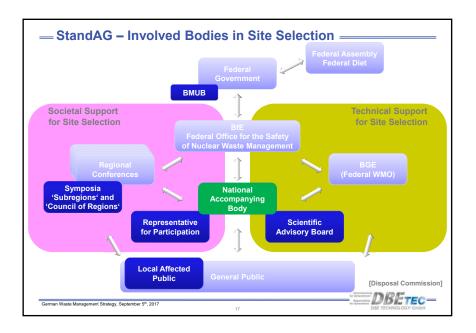
#### — StandAG - Site Selection Evaluation Criteria —

- Geoscientific exclusion criteria
  - If an exclusion criterion is met a region or site a priori is not suitable for the development of an HLW repository.
- Geoscientific minimum requirements
  - Regions and sites are excluded permanently from the further site selection process if they don't meet the geoscientific minimum requirements.
- Geoscientific weighing criteria
  - Group 1: Quality of Isolation and Evidence.
  - Group 2: Validation of Isolating Capability.
  - Group 3: Further Criteria.
- Safety requirements and requirements for safety assessments
- Land planning criteria
  - Group 1: Protection of mankind and of human health.
  - Group 2: Protection of unique nature and cultural assets.
  - Group 3: Further competing use or infrastructure.
- Official commencement of site selection: today









#### StandAG - Implementation of new organisations: BfE \_\_\_\_\_

BfE: Bundesamt für Entsorgungssicherheit Federal Office for the Safety of Nuclear Waste Management

• Foundation date: September 1st, 2014

#### Tasks

- Regulation of site selection and the co-ordination of public participation,
- Nuclear licences for interim storage facilities and transports of nuclear fuels,
- Procedures under mining, water and nuclear law relating to disposal,
- Issues related to the safety of nuclear waste management, and
- Task-related research in these areas.

#### Staffing:

 Transfer of relevant staff from BfS (mainly the former "EÜ" – Endlagerüberwachung: disposal supervision) into BfE in April 2016

German Waste Management Strategy September 5th 2017



#### —StandAG - Implementation of new organisations: BGE —

BGE: Bundesgesellschaft für Endlagerung Federal Organisation for Disposal

July, 2016: Foundation date

#### ■ Tack

- Planning, construction and operation of radioactive waste repositories,
- Implementation of the site selection procedure for a HLW repository:
  - development and implementation of exploration programmes,
  - · development of safety assessments,
  - proposals for regions and sites
- April 25<sup>th</sup>, 2017: Transfer of operational tasks for Asse, Gorleben, Konrad and Morsleben to BGE

#### Staffing

- April 25<sup>th</sup>, 2017: Transfer of relevant staff from BfS
- June: Transfer of DBE's shares to the State
- End 2017: Merger of Asse GmbH and DBE to BGE

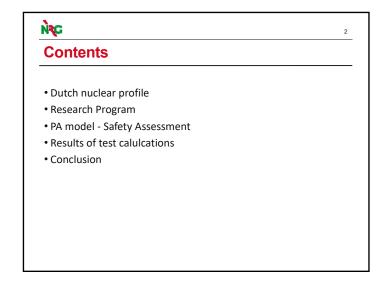


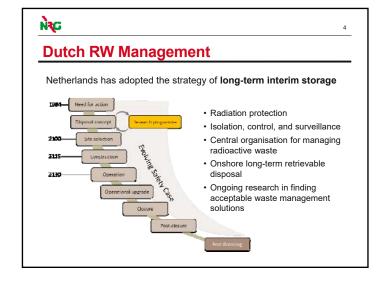




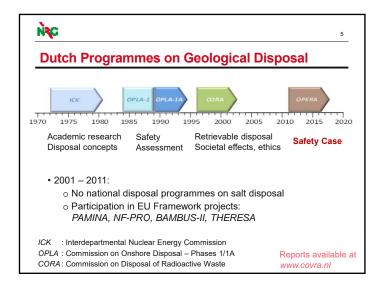


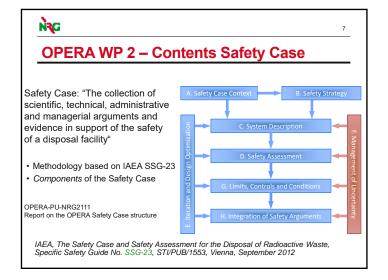


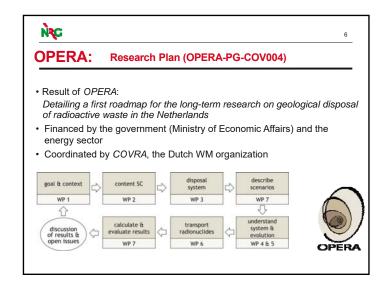


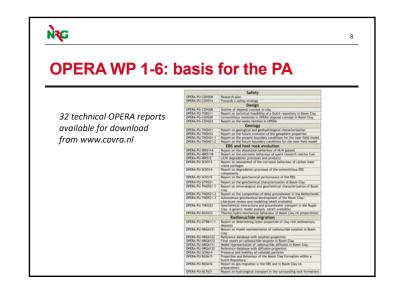




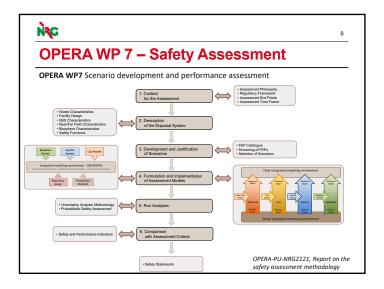




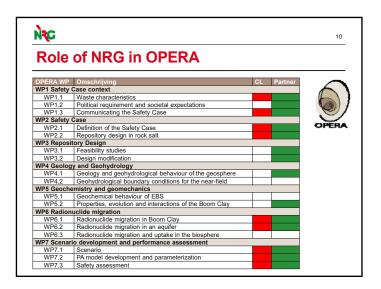


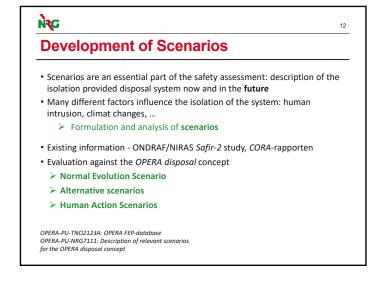




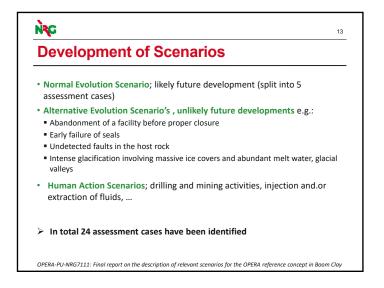


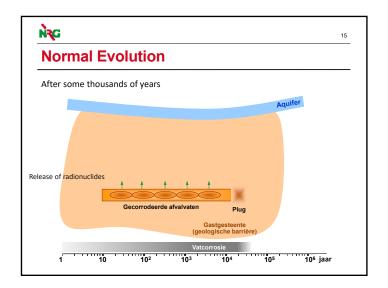


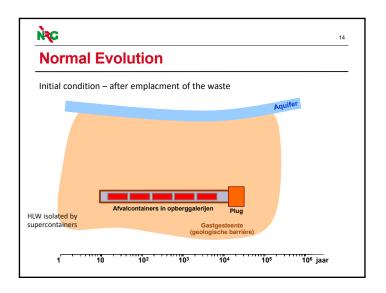


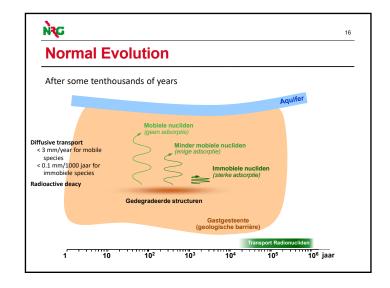




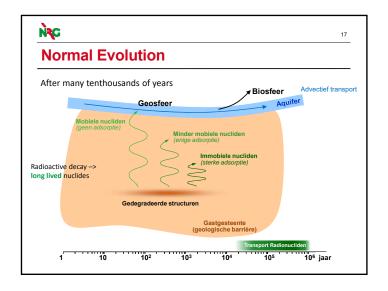


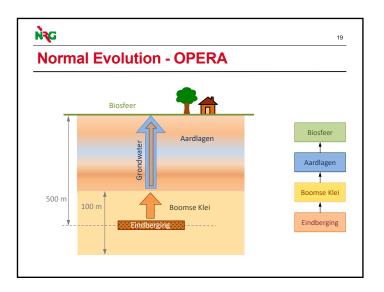


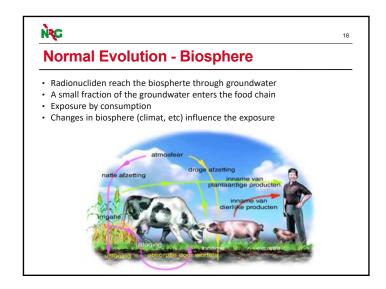


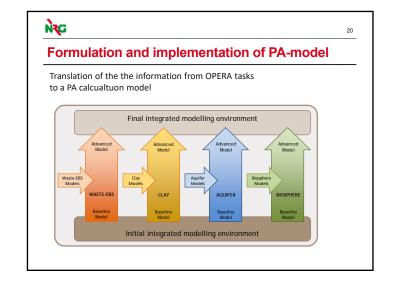




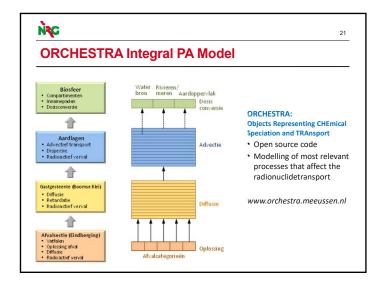


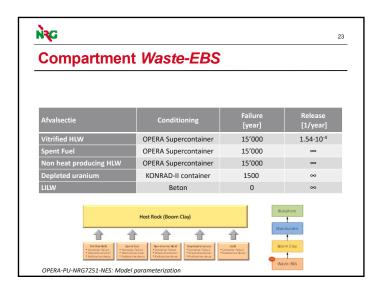


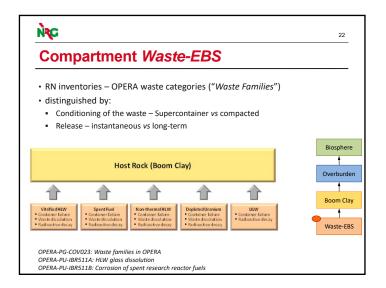


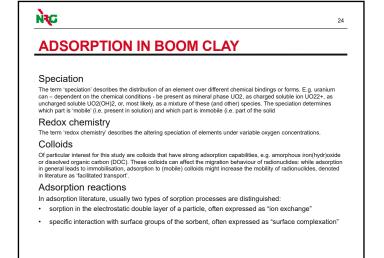




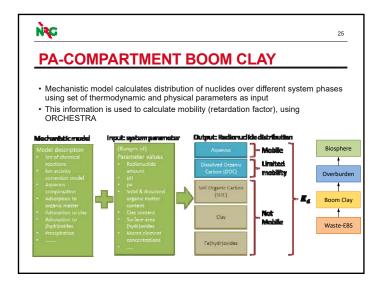


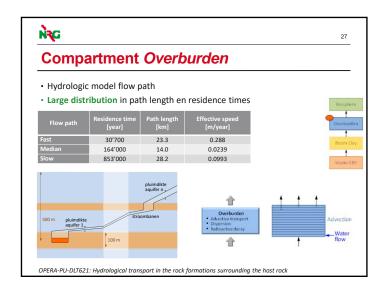


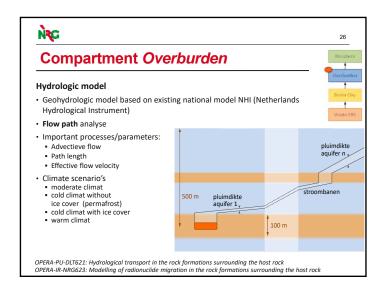


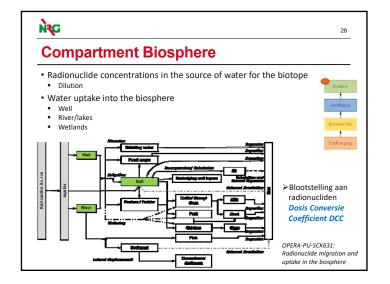




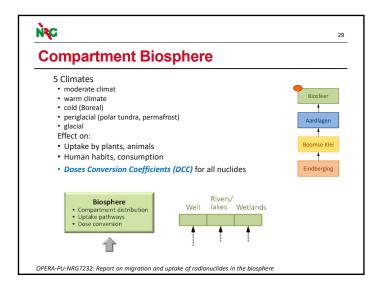


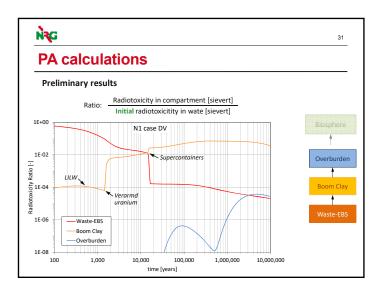


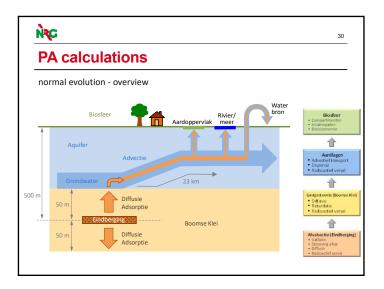


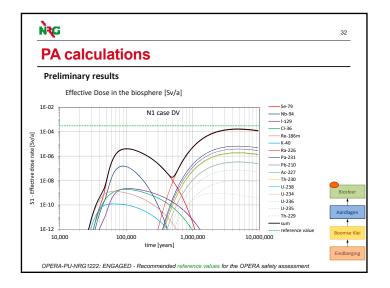
















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#### **Evaluation of the preliminary results**

- Only a a few (long lived) radionuclides contribute to the dose in the biosphere
- · Calculations show two peaks in the effective dose:
- For mobile nuclides (mostly anions) ~ 70'000 200'000 year
- For long lived nuclides and decay chins (depleted uranium) > 1 million years; adsorption to Boom Clay
- For waste categories other than depleted uranium blijft the effectieve dose is far below reference values and legal limits
- For the waste category depleted uranium the effective dose can approach refernce values in the very long term (> 1 million years)





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#### **Conclusion**

Assessment Basis (OPERA WP 1-6) finished - reports available for download

PA calculations (WP 7 Safety Assessment) are being finalised and reported

The Safety Case Report is being drafted by members the OPERA Safety Case group (i.e. not the researchers)

#### Acknowledgement

The research leading to these results has received funding from:

- The Dutch research programme on geological disposal OPERA. OPERA is financed by the Dutch Ministry of Economic Affairs and the public limited liability company Elektriciteits-Produktiemaatschappij Zuid-Nederland (EPZ) and coordinated by COVRA
- The Dutch Ministry of Economic Affairs as part of the Research Programme EZS Economische Zaken Subsidie



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#### **Experiences**

The development of PA models in OPERA is a two track process.

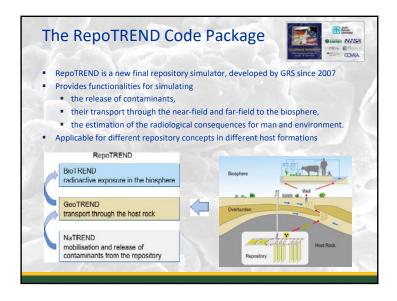
- The first track, which is the main ingredient of this presentation, uses the available mathematical PA-models developed in previous research programmes, which have been further refined during the OPERA Programme.
- The second track, starts with the scenario narratives, and is based on process experts' determination which processes need to be addressed quantitatively in the scenario model.

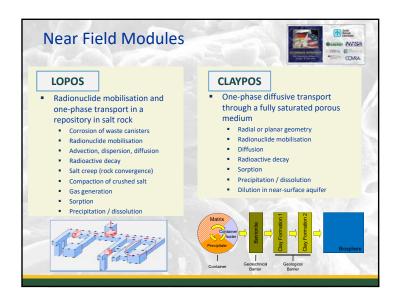
It is difficult to have all experts in the program cooperate effectively. As stated in the 2015 IGSC Scenario Development Workshop:

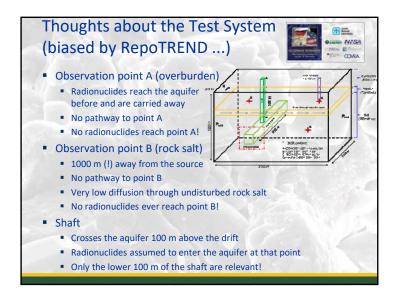
"Further development may be helpful in areas including communicating the role and choice of scenarios between experts within a wastemanagement programme and also to wider audiences;"

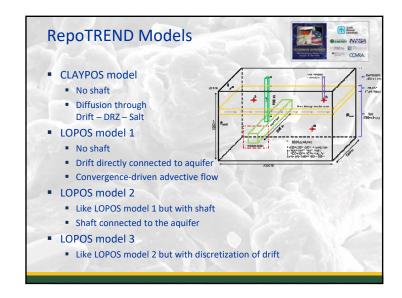


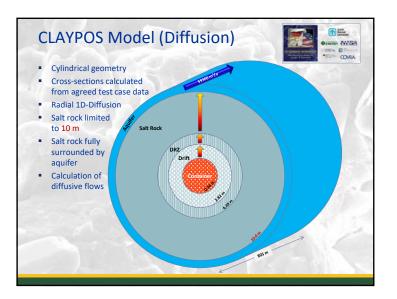


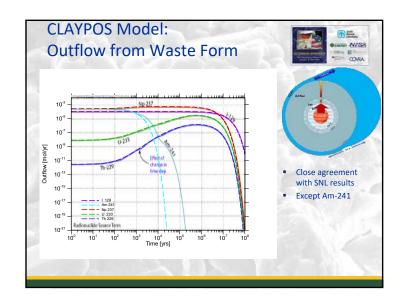


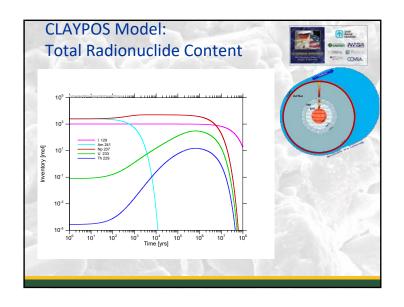


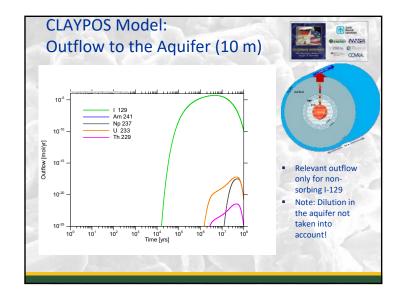


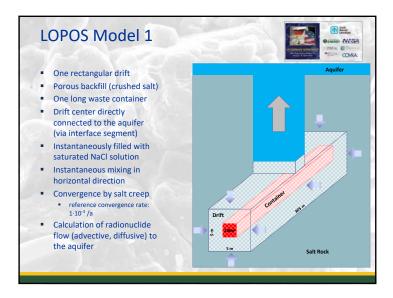


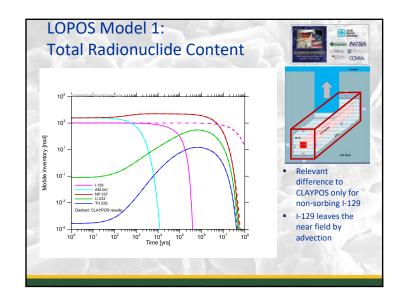


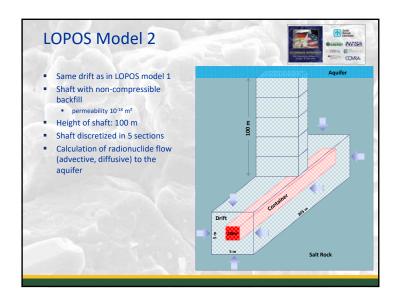


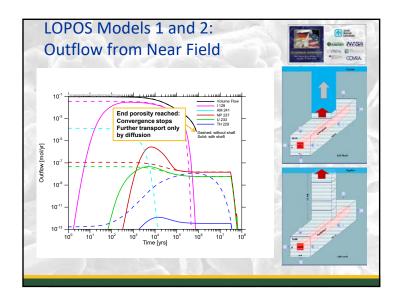


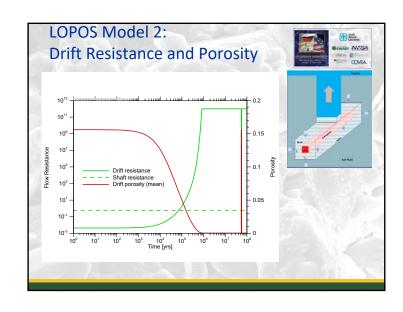


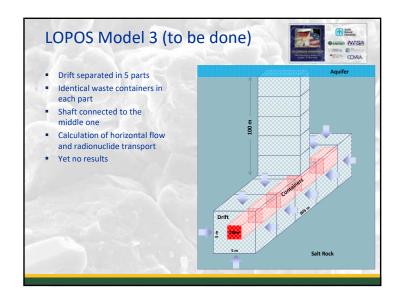


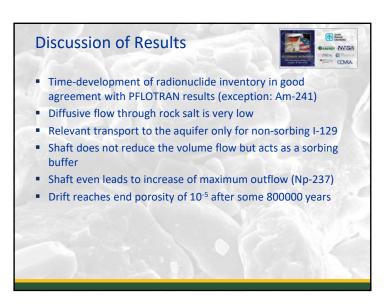


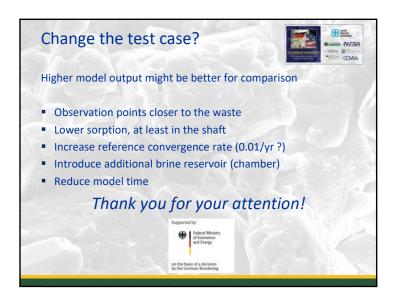




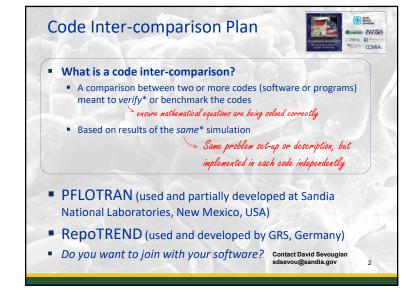


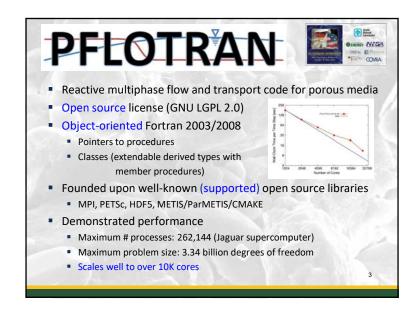


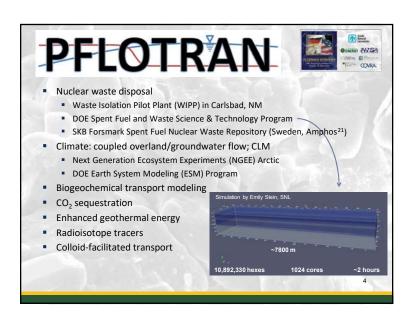


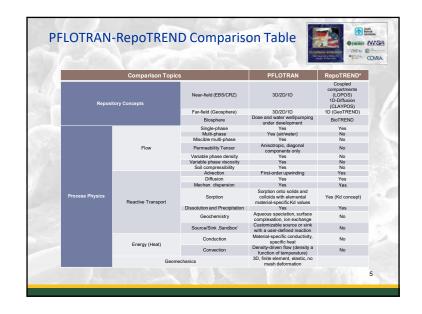


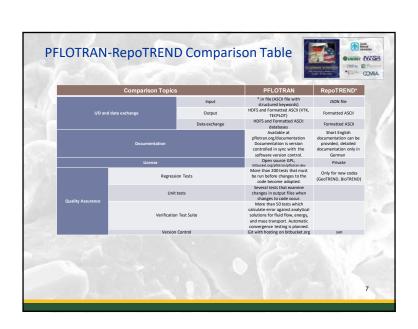


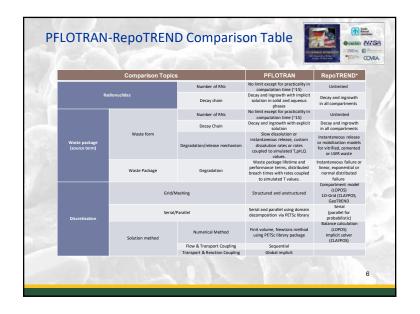


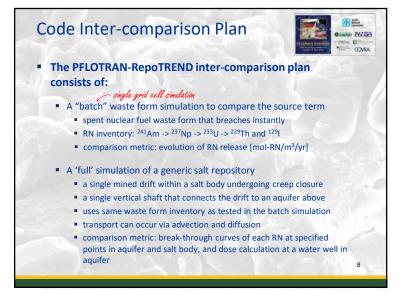


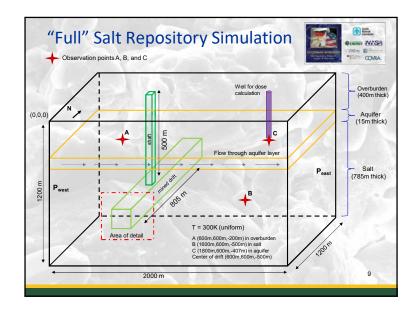


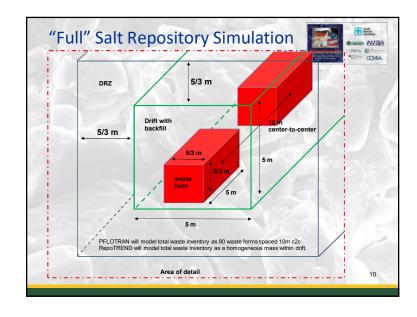


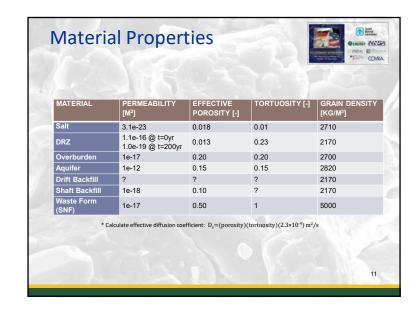




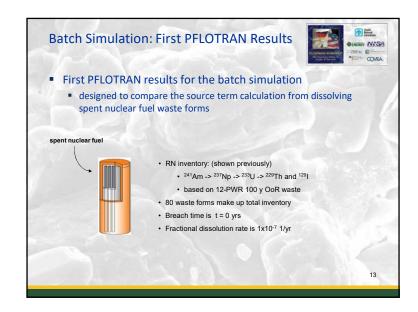


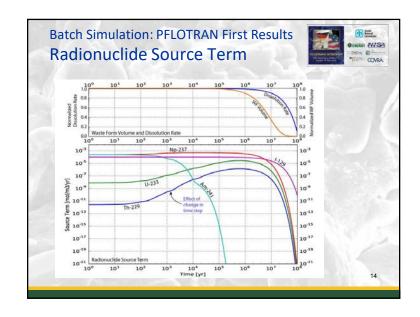


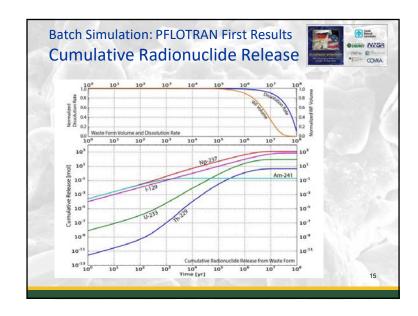


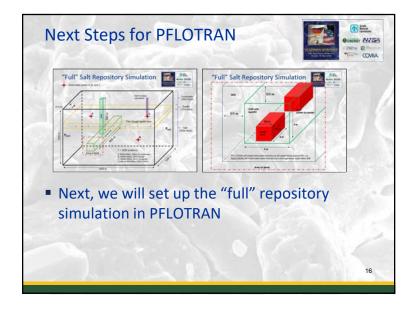


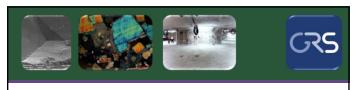












8<sup>th</sup> US/German Workshop on Salt Repository Research, Design, and Operation Handling of Uncertainties in the Safety Case



Dirk-A. Becker GRS

Middelburg, The Netherlands September 5-7, 2017

PDF should reflect the actual knowledge ...



- Knowledge of all experts of the world?
- · Impossible to collect
- Changes permanently
- Knowledge of a few selected experts?
- Arbitrary selection
- · Contradictions possible
- My own knowledge?
- How can the actual knowledge be quantified?
- Analyze a database!
- Find another database and come to a completely different result ...
- Ask an expert!
- Ask another expert and get a completely different answer ...
- Try to think yourself!
- Think again the other day and come to a completely different conclusion ...



**Uncertainty quantification** 

Uncertainties on the input side of the model

· Parameter uncertainties



- · What type of pdf (uniform, normal, ...)?
- · Linear or logarithmic scale?
- · pdf parameters?
- > Can have an essential influence on the results of UA/SA!

### The problem:

- · We often do not know much about the uncertain parameters
- Weak experimental databases
- No reliable theoretical models
- · The applied pdfs should reflect the actual uncertainty!

2

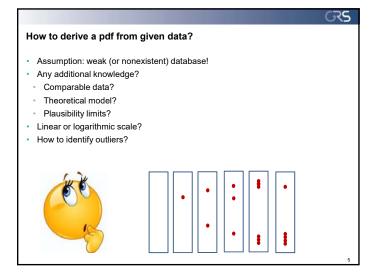
### How to quantify knowledge?

- · How uncertain is my knowledge about a parameter?
- · Danger: over-confidence in my own estimation
- How can knowledge about a parameter be transferred into a pdf?
- · Possible problems
- Weak database
- · Maybe only one or two data points
- · Available data valid for different physical conditions
- · Theoretical model not available
- · Procedure in practice: wild guess
- · This is unsatisfying!

.

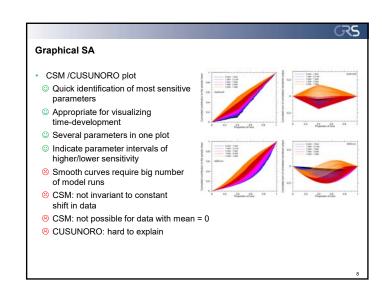


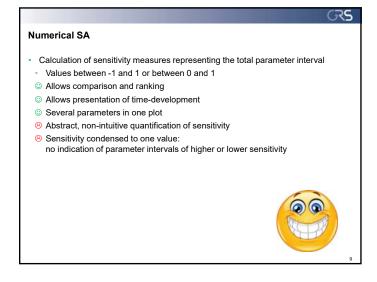
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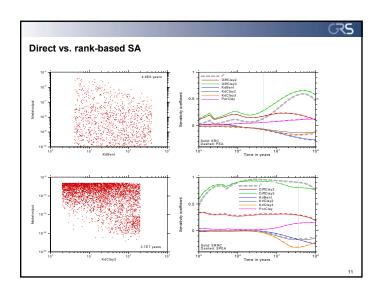


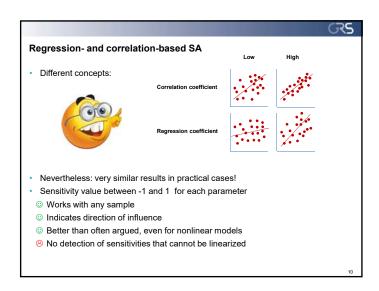
**Graphical SA** · Scatterplots: model output vs. parameter value © Quickly produced from probabilistic data © Easy to understand © Clear qualitative message © Indicate parameter intervals of higher/lower sensitivity 8 Many plots necessary 8 Time-development hard to visualize 8 Plots with several parameters are confusing · Conditional Cobweb plot / mean rank plot Quick identification of most sensitive parameters All parameters in one plot 8 Arbitrary condition, might be inadequate for the model 8 Not appropriate for visualizing time-development 8 Cobweb plot: confusing look 8 Cobweb plot: not appropriate for more than a few hundreds of model runs Suppose all pdfs are properly defined ...

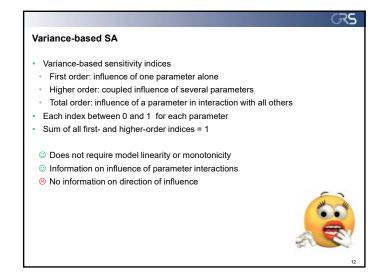
Draw a sample
random sampling
stratified sampling
quasirandom sampling
Perform many model runs with distributed input parameter values
Uncertainty analysis: analyze uncertainty of the model output
statistical moments (mean, variance, skewness, ...)
quantiles
graphical presentation: histograms, (C)CDF, ...
Sensitivity analysis: analyze behavior of model output under variation of input parameter values
local SA (derivatives ...)
global SA (regression, correlation, variance-based SA, ...)
graphical SA

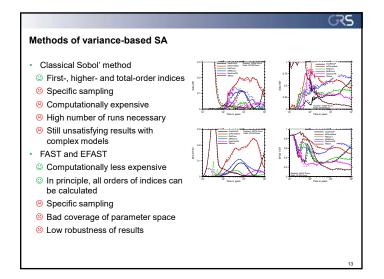


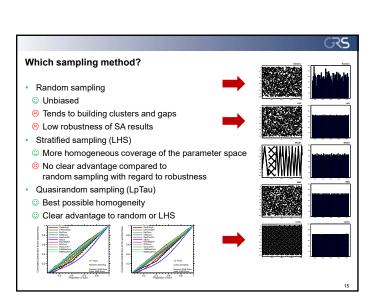


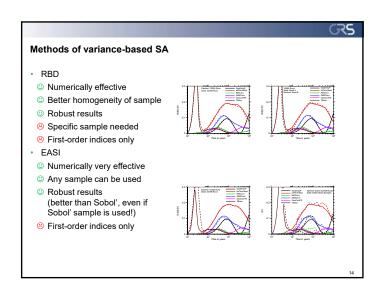


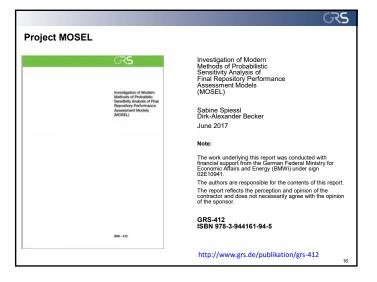


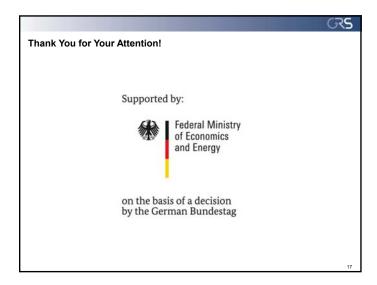






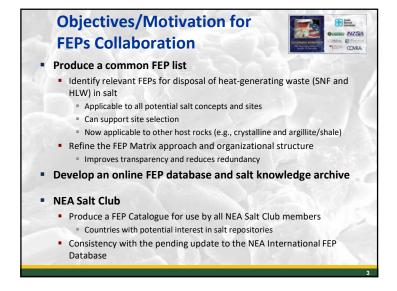


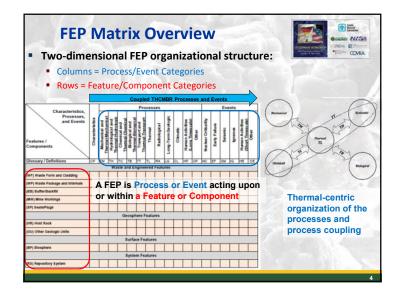


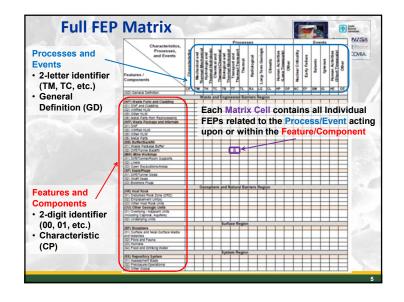


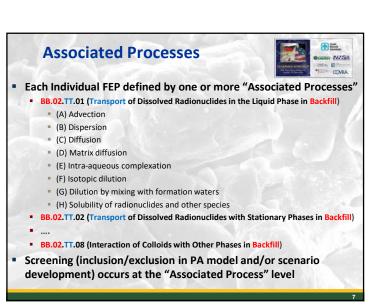


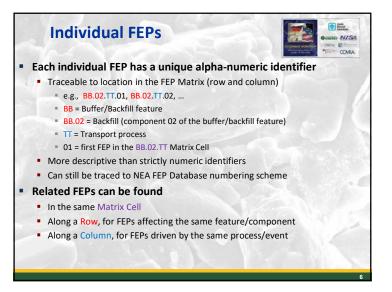


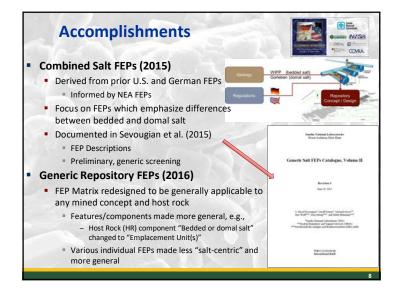












# Recent Developments (2016-2017)



- New structured hierarchical formulation of Individual FEPs and Associated Processes
  - Eliminates some redundancy among FEPs
  - Allow for easier completeness check for each FEP Matrix Cell
  - Screening continues to be managed at the Associated Process level, rather than at the overarching FEP level
- SaltFEP Database and Salt Knowledge Archive
  - Incorporation of new FEPs and Associated Processes into database
    - Mapping of prior (UFD, VSG) salt FEPs to new FEP structure
  - Addition of references for FEP-based salt knowledge archive
- NEA Salt Club interactions
  - SAND Report describing Salt FEP Catalogue and Database in preparation
    - Potential deliverable to NEA Salt Club
  - Beta testing of NEA FEP Database (web-based Version 0.3)

## New Structure for FEPs and Associated Processes



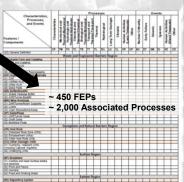
- Individual FEPs (e.g., HR.01.TM.06) are formulated around driving forces or "loading"
- Associated Processes (e.g., HR.01.TM.06.A, B, ...) are formulated as fluxes or responses to the driving forces
- Developed templates for each specific process (TM, TH, TC, etc.)
  - Each process-specific template attempted to define equivalent / comparable driving forces and responses for each feature/component
    - Some differences between forces/responses in waste/engineered features vs. comparable forces/responses in geosphere/natural features
    - Due to thermal-centric organization of FEPs, thermal effects are often captured in separate FEPs
  - In any template, the level of "discretization" of both FEPs and Associated Processes is somewhat arbitrary
    - New FEP list is finely discretized could be amended for specific licensing issues
    - Need broader discretization (more "lumping") for model building

1

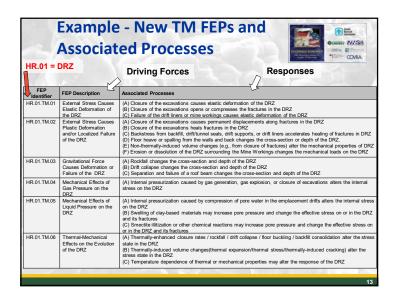
# Full Set of Generic FEPs and Associated Processes



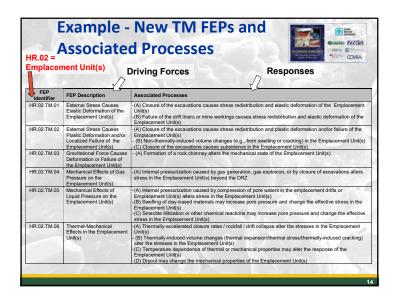
- Completed development of a full set of Generic Repository FEPs and Associated Processes
- Generally applicable to any mined concept and host rock
  - Informed by parallel FEP development efforts in U.S. for Generic Mined Repositories and Deep Borehole Disposal
    - crystalline (2016), shale (2017)
- Mapped prior (UFD, VSG) salt
   FEPs to new FEP structure

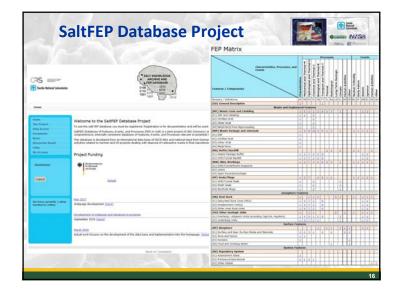


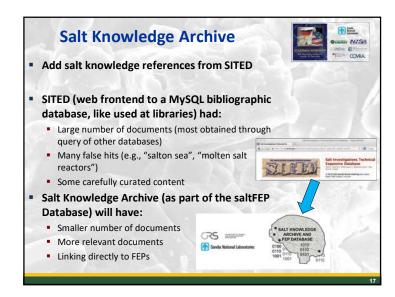
**Example - New TH FEPs and HR.02** = **Associated Processes** WWW NISH **Driving Forces** Responses ssociated Processes ldentifier Pressure-Driven Darcy Flow (A) Pressure-driven flow of liquid (wetting) phase Through Fractures and Porous Media in Emplacement Unit(s) (B) Pressure-driven flow of gas (non-wetting) phase (C) Flow of any additional phases (e.g., hydrocarbor (D) Pressure-driven flow between fractures and matrix (local non-equilibrium) Capillarity-Dominated Darcy Flow (A) Wicking and imbibition (i.e., infiltration without gravity) (B) Vapor barrier (i.e. reduction in relative liquid permeability at low saturation) in Emplacement Unit(s) (C) Immiscible phase interaction and displacem (D) Trapping, discontinuous blobs, or viscous fingering in non-wetting phase HR.02.TH.03 Gravity- and Density-Dominated (B) Infiltration and drainage Flow in Emplacement Unit(s) HR.02.TH.04 (A) Thin film flow below residual saturation (i.e., near liquid dry-out) Emplacement Unit(s) (B) Hygroscopy (equilibration of solid phase with humidity) (C) Immobile water in nano-pores or in small-aperture fractures Diffusion or Dispersion in Miscibl HR.02.TH.05 (A) Diffusion of vapor in air phase Phases in Emplacement Unit(s) (B) Diffusion of dissolved gas in liquid phase (A) High Reynolds number fluid flow in large-aperture fractures Non-Darcy Flow Through (B) Erosion or sedimentation (i.e., non-chemical plugging) of fractures and flow paths Fractures and Porous Media in Emplacement Unit(s) (C) Threshold gradient flow in low-permeability matrix Thermal-Hydrological Effects or (A) Convection and conduction of energy via liquid phase - (B) Convection of energy via vapor (i.e., heat pipe)
- (C) Fluid density and viscosity changes due to temperature (e.g., thermal expansion Flow in Emplacement Unit(s) (D) Phase changes (i.e., condensation, boiling) leading to dry-out or resaturation (E) Release of water from hydrated minerals during heating (F) Decrepitation, creation (during reconsolidation), and migration of fluid inclusions

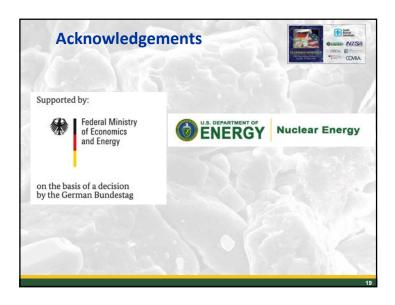








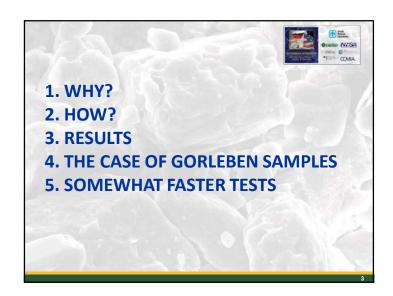




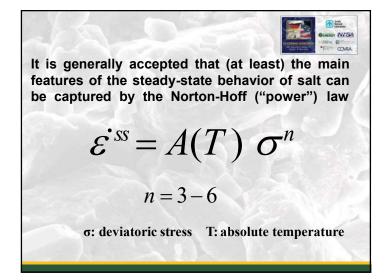
# Future Developments Salt FEP Catalogue and Database Fuller description/definition of FEPs and Associated Processes requires significant resources Preliminary screening for salt repository generic FEPs only, hard to screen without a site or design Salt FEP Database and Knowledge Archive Improve database functionality Add salt knowledge references from SITED NEA Participation Exchange with NEA FEP Group Finalize deliverable SAND Report

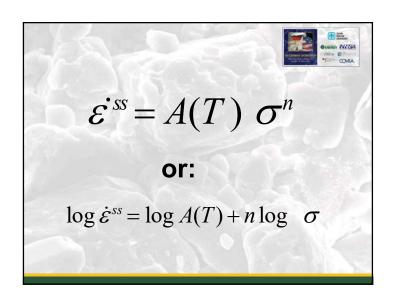


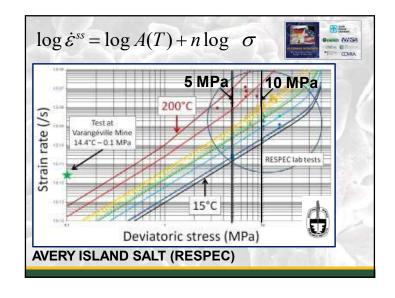


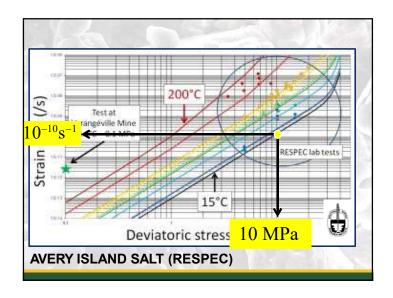


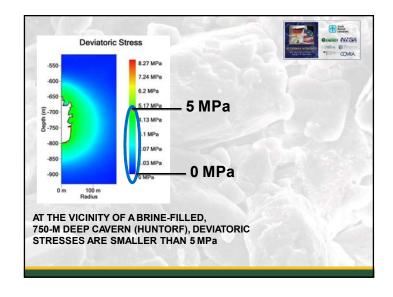


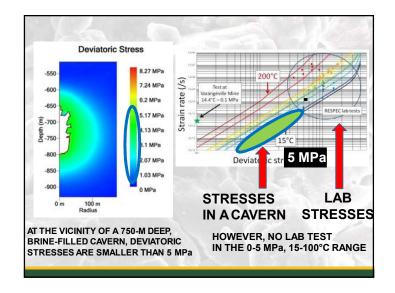










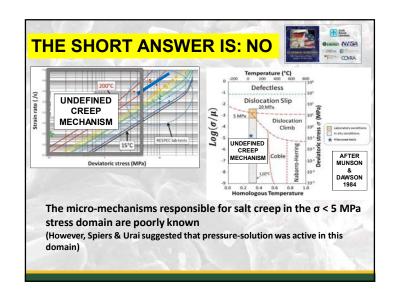




CREEP TESTS ARE PERFORMED IN A RANGE OF DEVIATORIC STRESSES (LARGER THAN 5 MPa) WHICH IS NOT THE RANGE ACTUALLY OBSERVED AT THE VICINITY OF A CAVERN.

# Is extrapolation possible?

"...reliable extrapolation of the creep equations at low deformation rates can be carried out only on the basis of deformation mechanisms." (Langer, 1984)



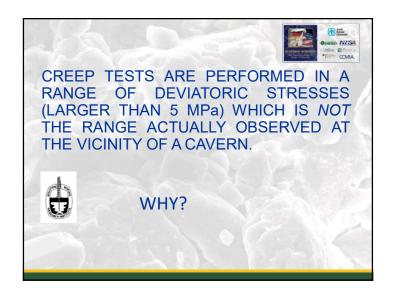
### THE TREND IS TO TAKE INTO ACCOUNT THIS NOTION

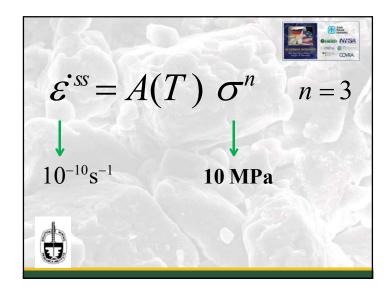
"...the stress levels in the large rock mass surrounding the cavity are well below the stress levels used in laboratory investigations ... This means creep behavior for stresses levels  $\sigma_v$  < 8 MPa is not well based on observation in lab but based just on extrapolation". K.H. Lux and U. Düsterloh, 8th Conf. Mech. Beh. Salt, 2015, p.280

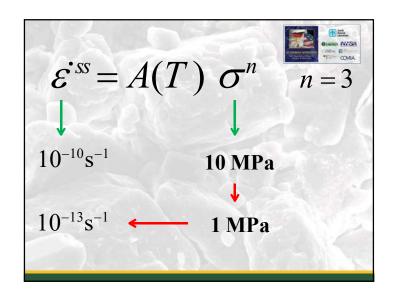
"Nowadays the approaches are more complex (two power functions or exponential function) ... the differences between predicted and measured creep rates are much smaller." D. Brückner (personal communication, 2016)

"Laboratory data on the low stress (hence low strain rate) steady state creep behavior of rock salt, however, are scarce as they are very difficult to obtain..." Marketos et al. J. Geophys. Res. Solid Earth, 121; 2016

"... even with small deviatoric stresses, the creep strain rates are larger than those predicted by most creep laws. Because of this discrepancy, too small structural responses are calculated as a consequence of using creep laws that under-predict creep rates for small deviatoric stresses". Van Sambeek and DiRienzo, Salzburg SMRI Meting, 2016







$$\mathcal{E}^{SS} = A(T) \stackrel{\downarrow}{\sigma}^{n} t$$

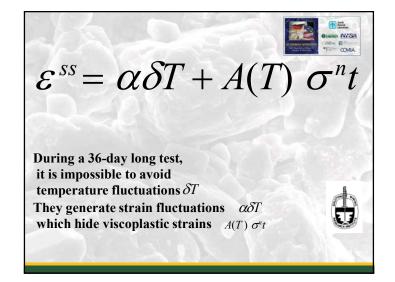
$$\dot{\mathcal{E}}^{SS} = A(T) \stackrel{\uparrow}{\sigma}^{n} t$$

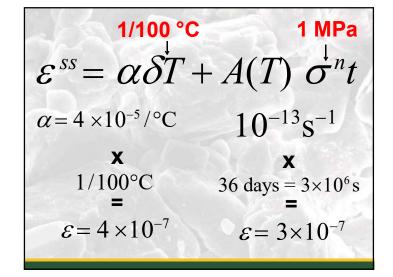
$$10^{-13} \text{s}^{-1} \downarrow$$

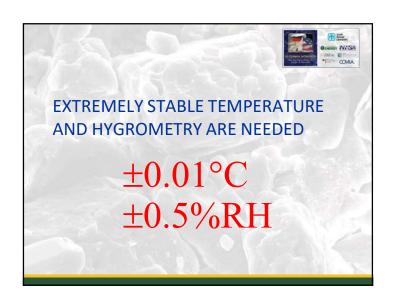
$$10^{-10} \text{s}^{-1} \qquad 10 \text{ MPa}$$

$$10^{-13} \text{s}^{-1} \leftarrow 1 \text{ MPa}$$

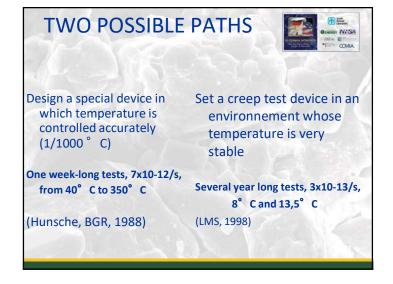
$$2 \text{s}^{SS} = 3 \times 10^{-7}$$





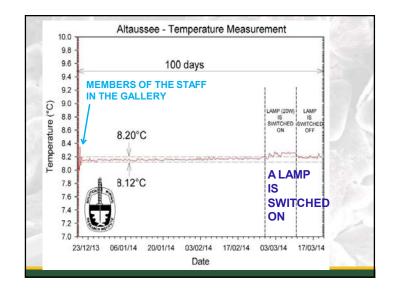


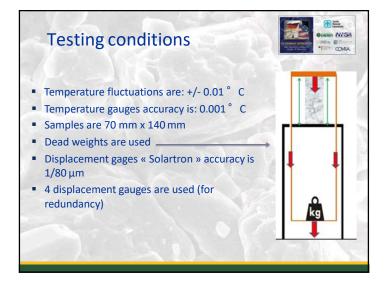


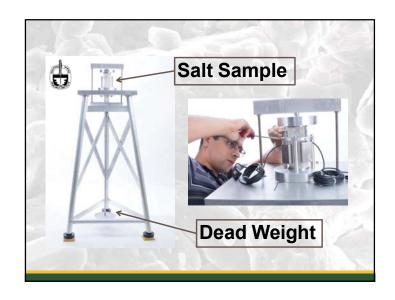


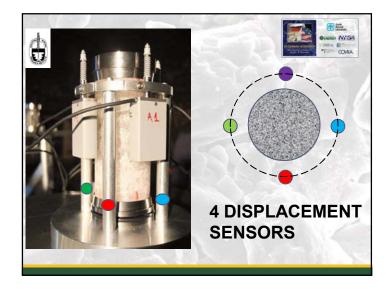


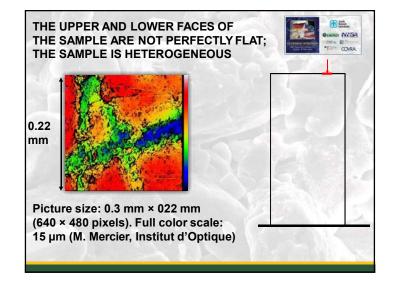


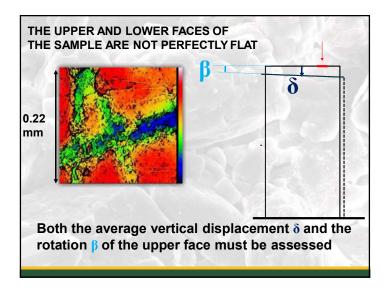


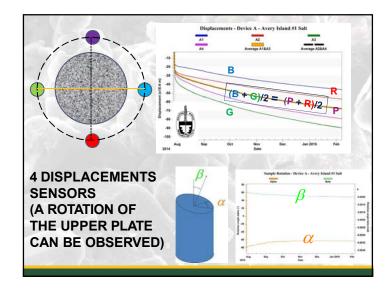


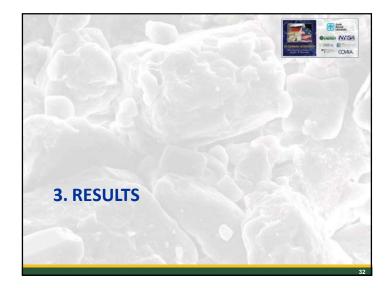


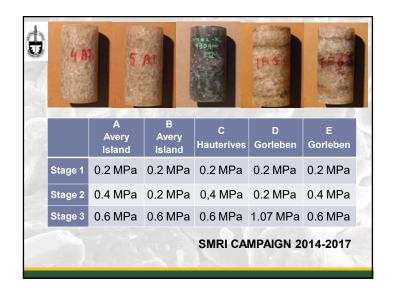


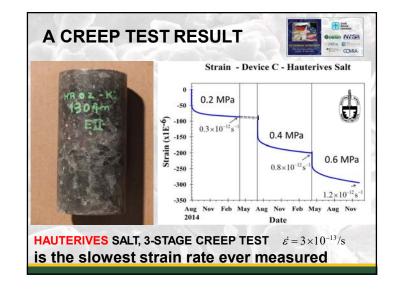


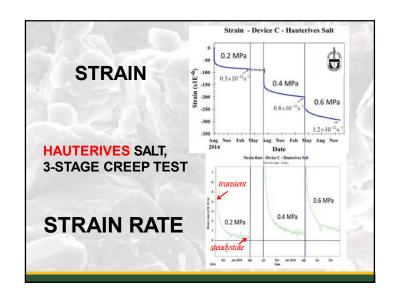


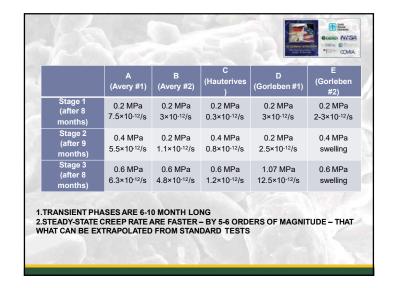


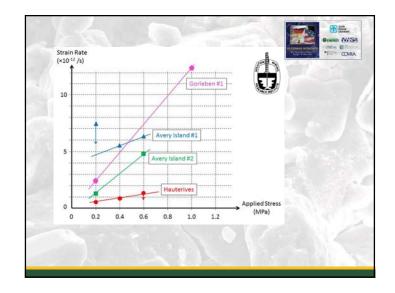


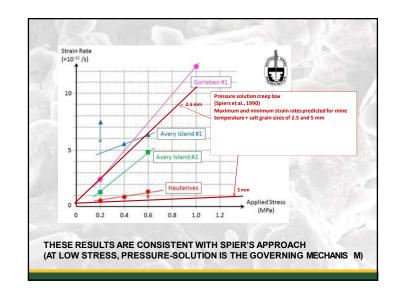


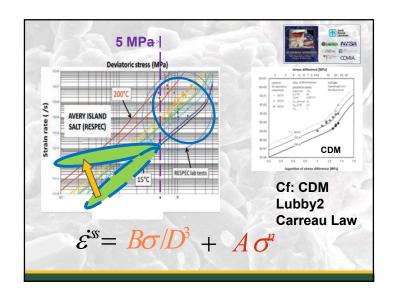


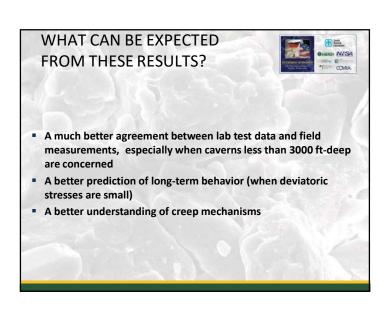




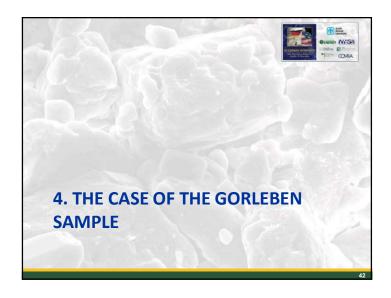


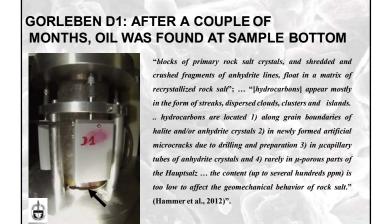


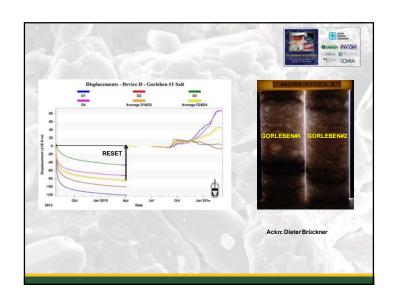


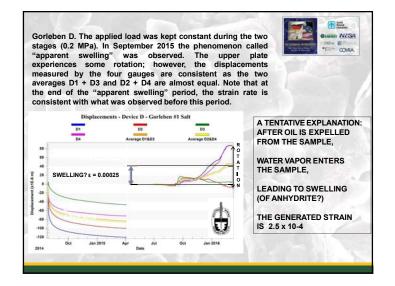


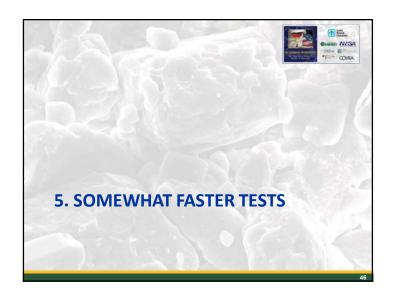


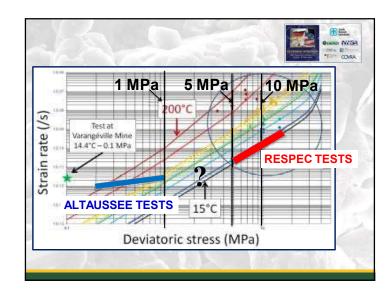


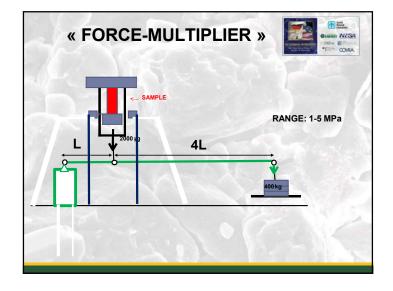


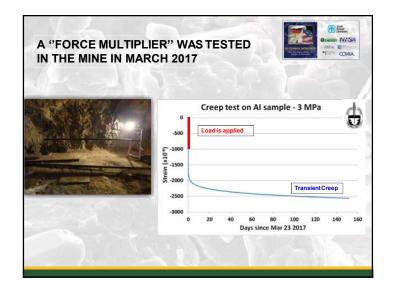


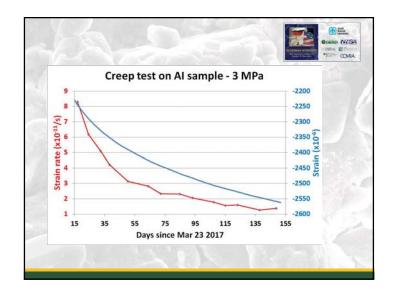






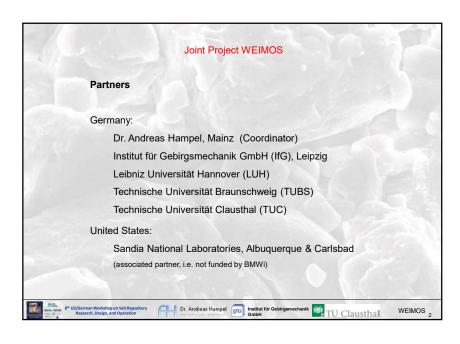


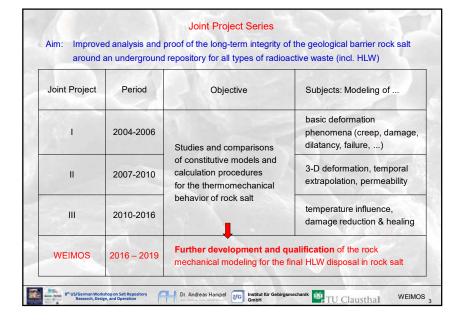


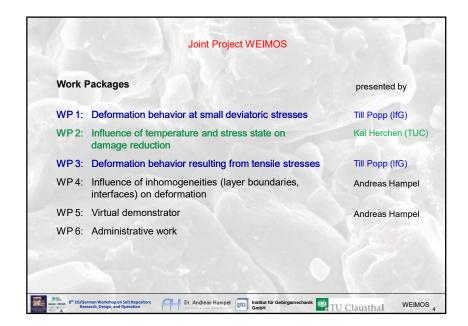


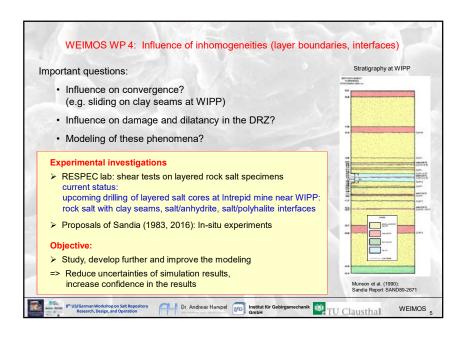


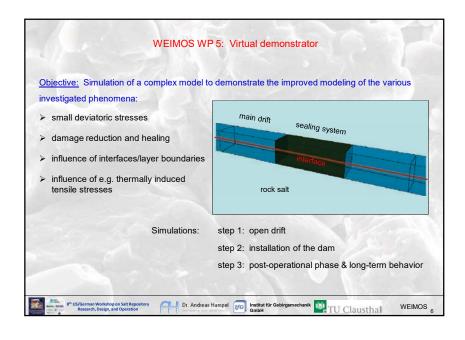


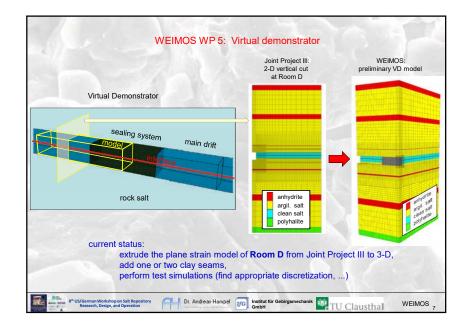


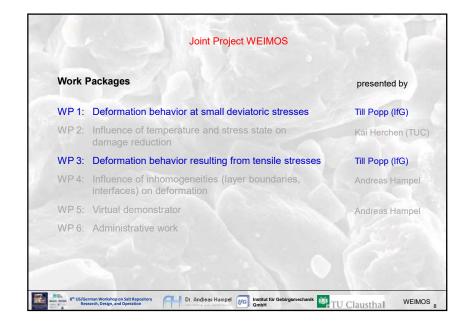


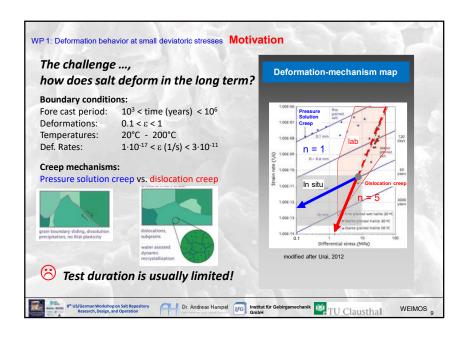


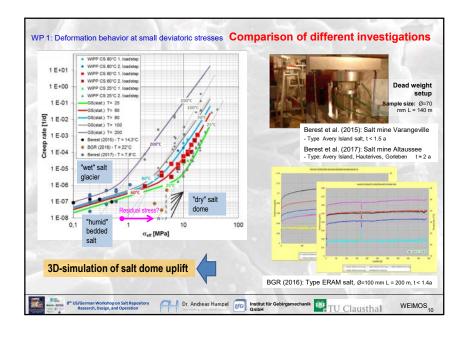


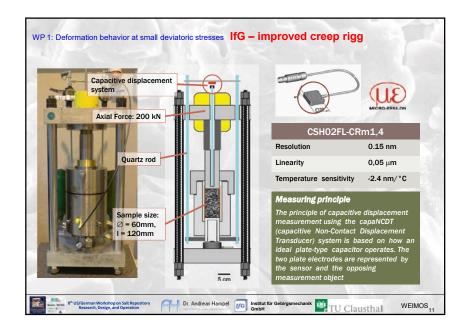


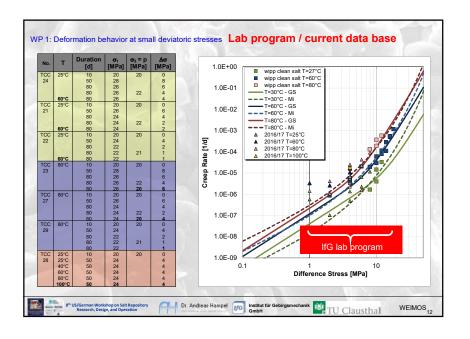


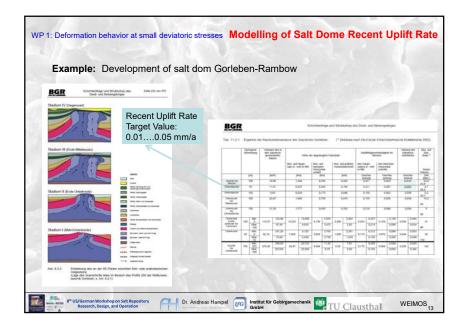


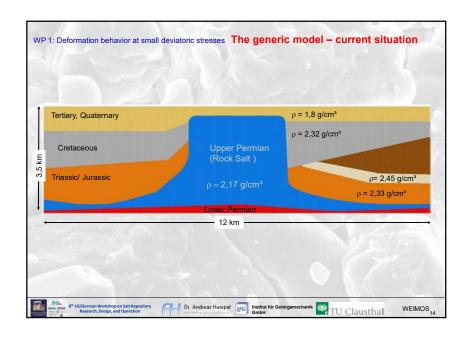


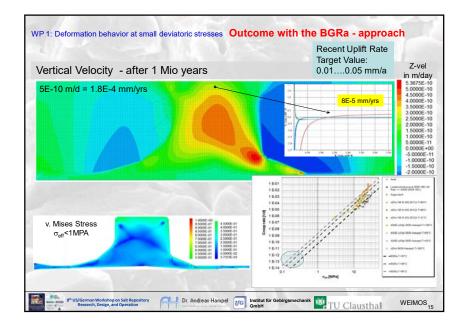


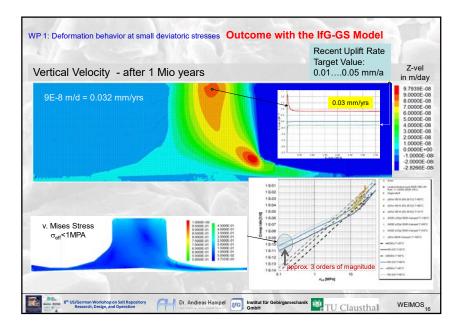


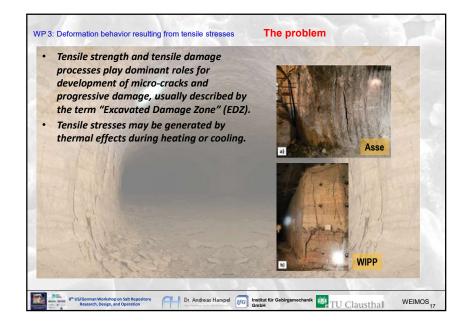


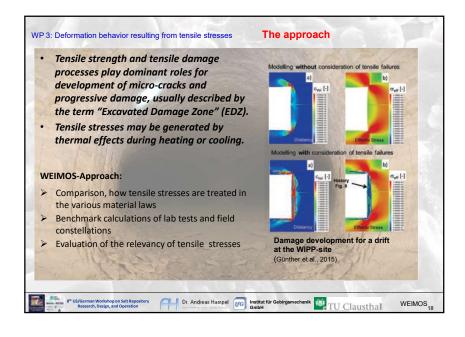


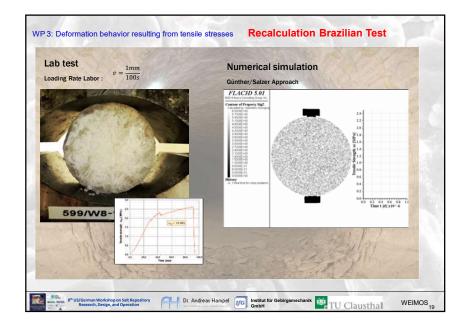


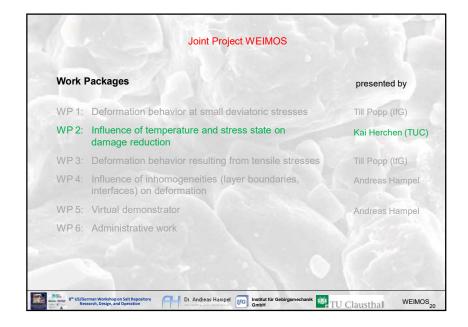


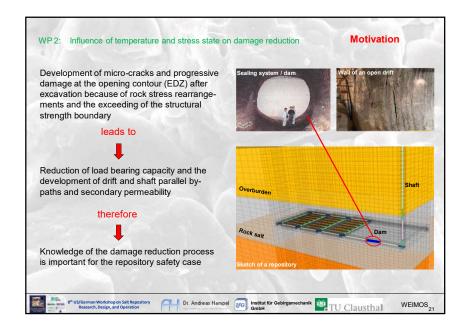


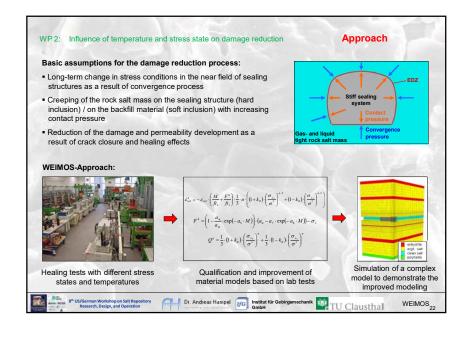


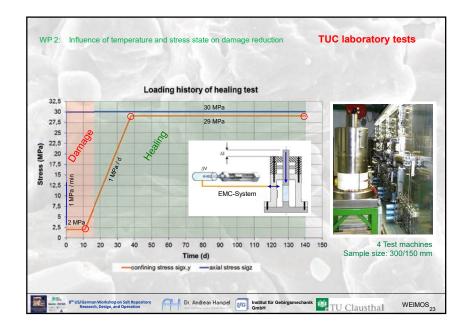


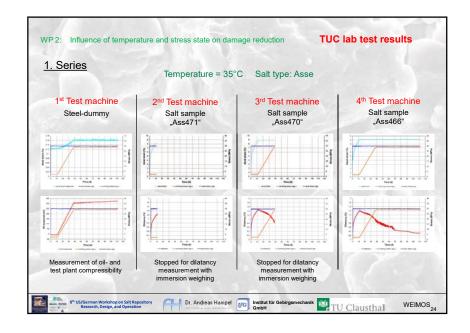


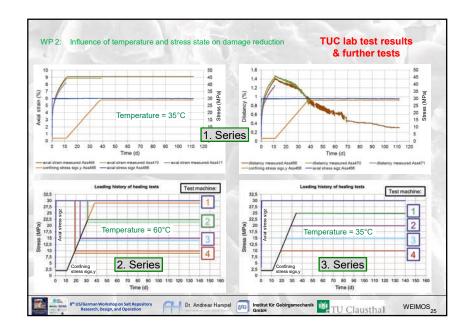


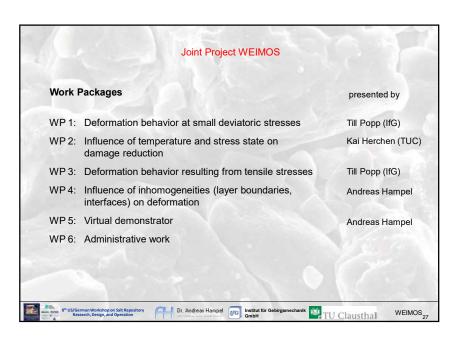


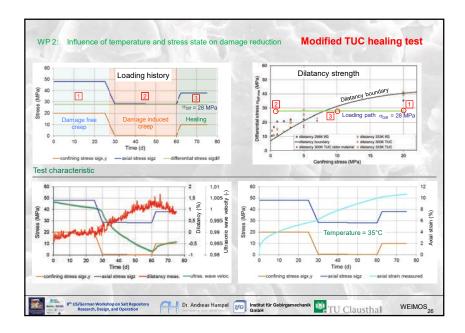




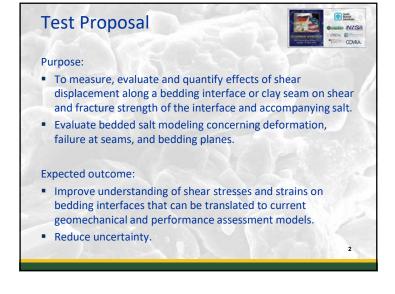


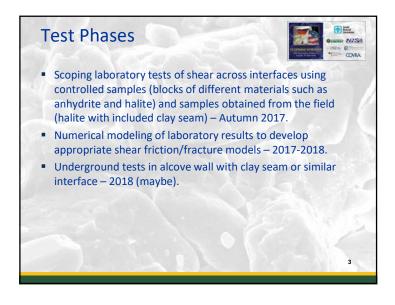


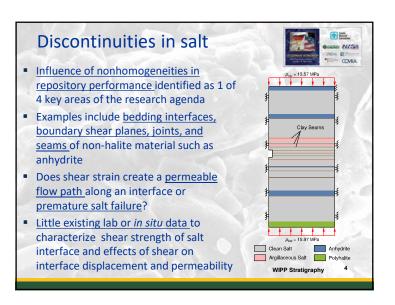


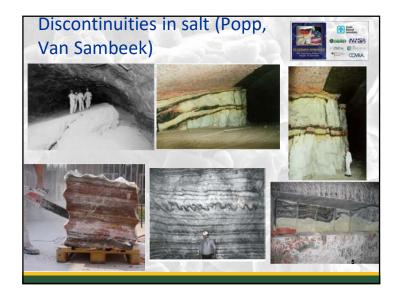


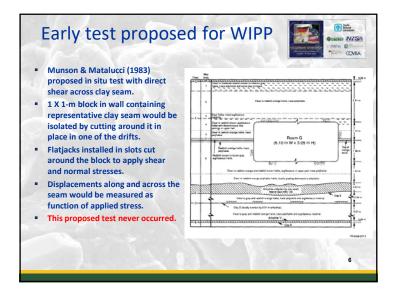












### Laboratory testing in 2017



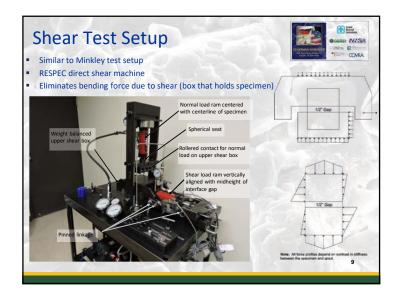
<u>Test description</u>: Series of laboratory tests to begin in late 2017 to be used in conjunction with WIPP, WEIMOS modeling exercises.

- Test plan written, completed WIPP QA reviews 7/27/2017.
- Contract modification between SNL, RESPEC in process, pending DOE requirements for WIPP; NEPA checklist completed 8/7/2017
- Plan to obtain cores for up to 33 test samples from New Mexico potash/salt mines:
  - 30 cm diameter, 56 cm length cores cut vertically from floor
  - Cores to contain clay seam identified from further uphill in drift
  - Test samples cut from cores will be 10-cm cylinders or square blocks
  - Seam size no greater than 2.5 cm; or interface between distinct layers
  - Material next to seam/interface halite, polyhalite, anhydrite
  - Asperity characteristics?

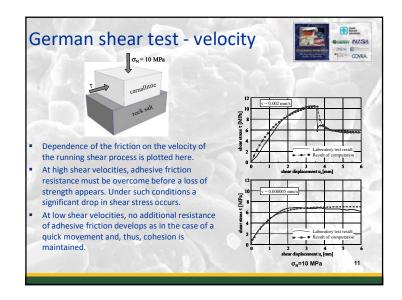
### Laboratory testing goals

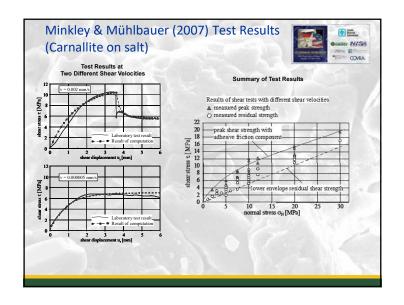


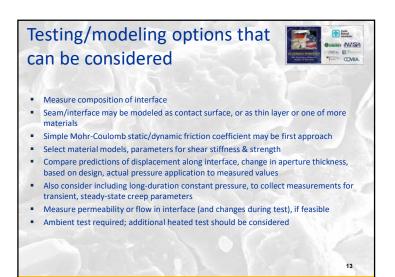
- Laboratory shear tests similar to those on carnalite and salt performed in 2007 (Minkley & Mühlbauer); test results were used for model development.
- 2017 laboratory tests require following:
  - 10-cm samples, with existing or fabricated interface
  - 6.9-20.7 MPa stress capacity both in axial (compression) and shear loading
  - Fixed normal stress for most tests; fixed normal displacement another option
  - Variable shear velocity to evaluate onset of fracture/slip
  - RESPEC direct shear machine (Capacity for up to 15-cm cube samples, 130 kN capacity, shear velocities 0.25-5 mm/min.)
- Analysis plan in process (Reedlunn) to develop constitutive, numerical model for shear slip in seams from results of 2017 lab tests.

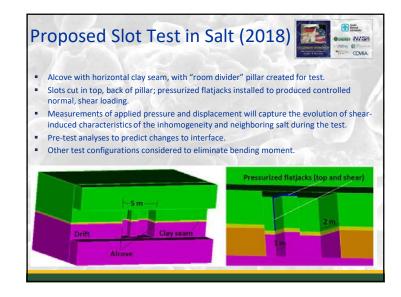




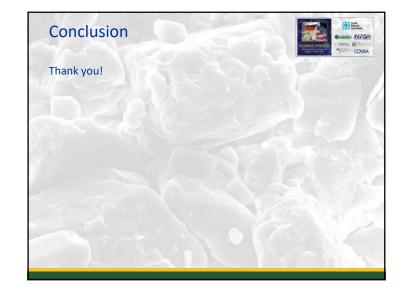


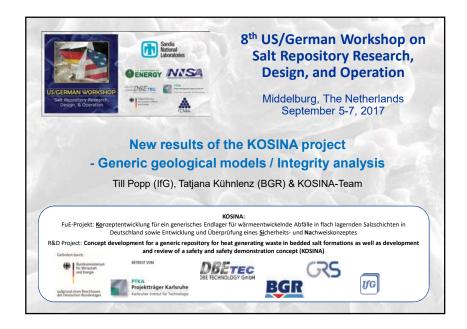


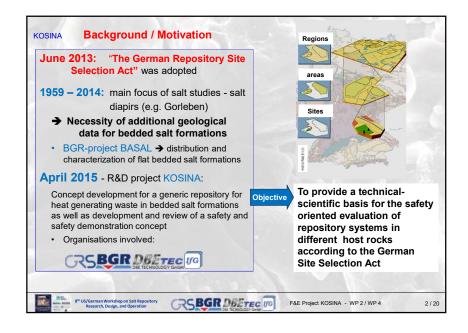


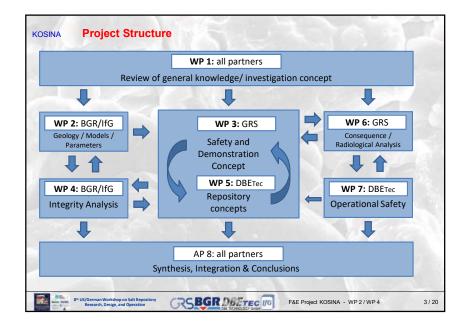


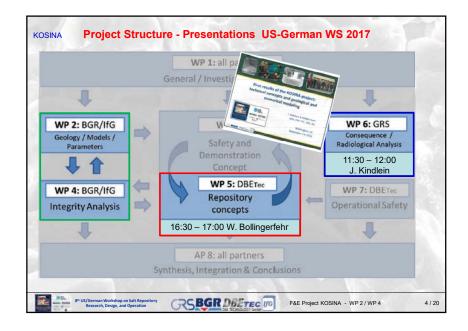


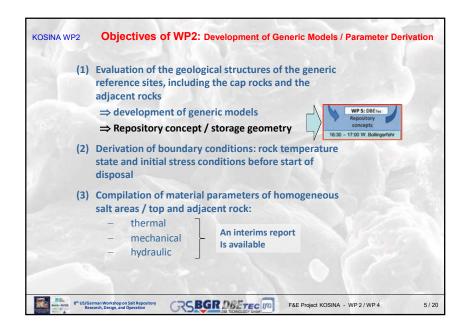


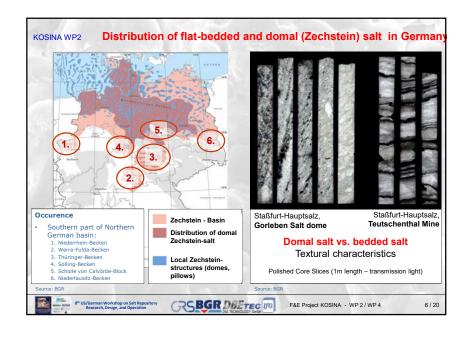


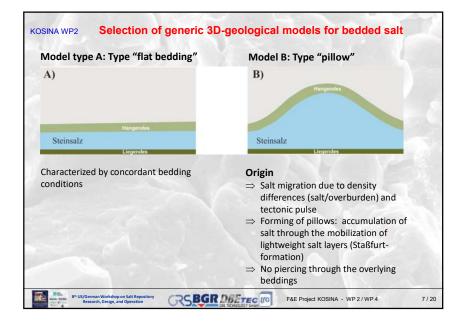


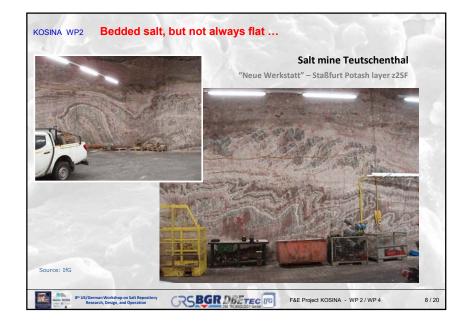


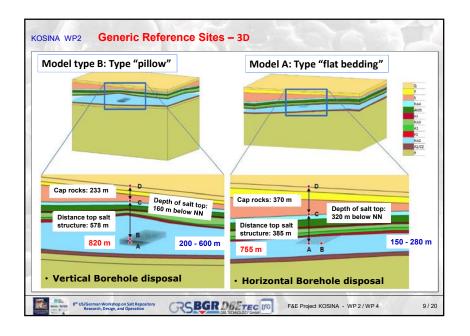


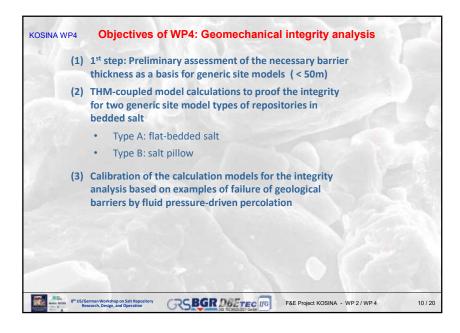


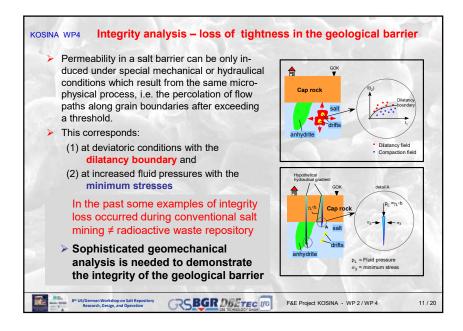


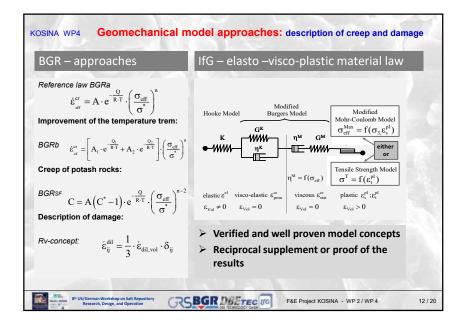


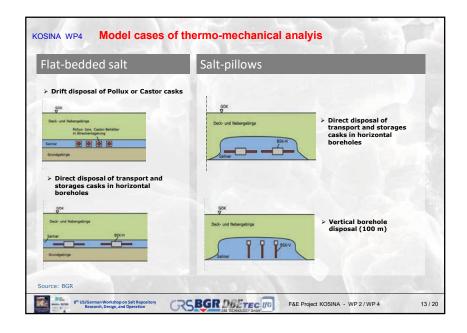


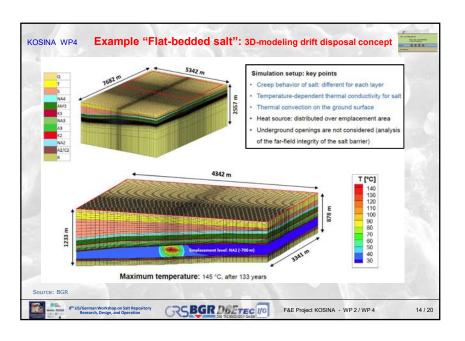


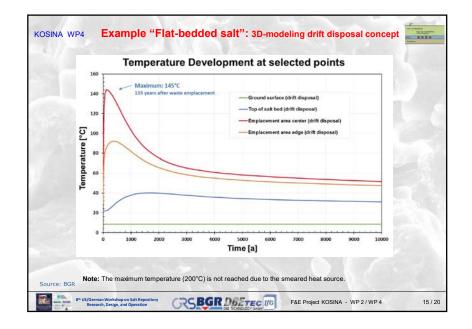


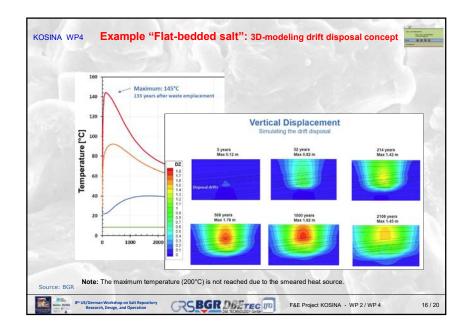


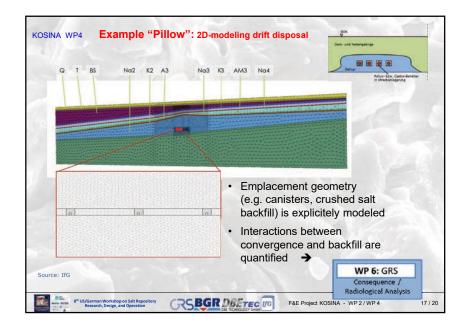


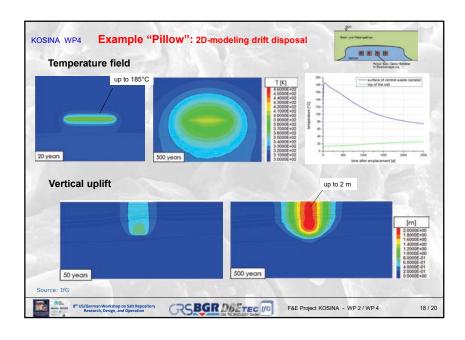


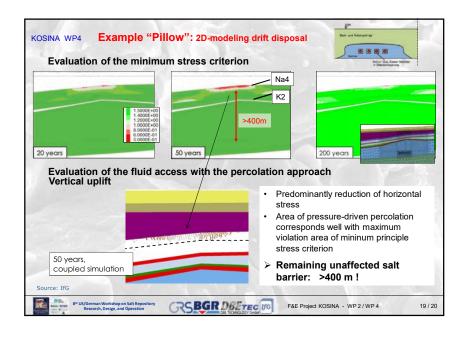


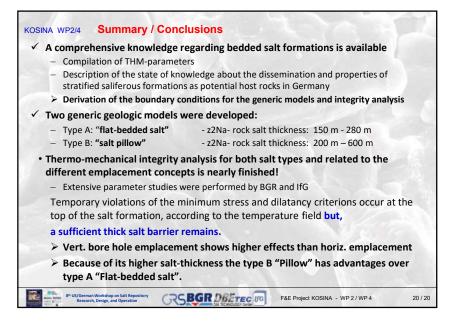




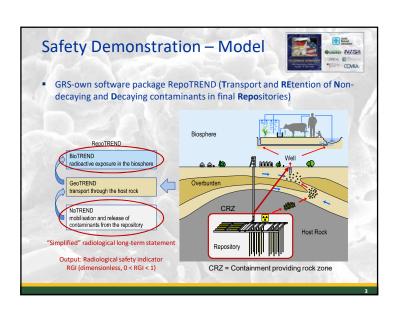




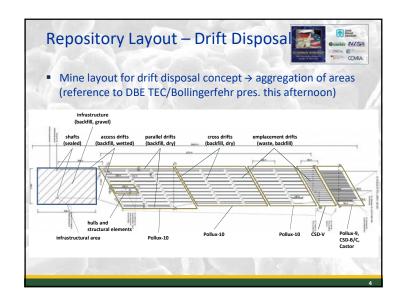


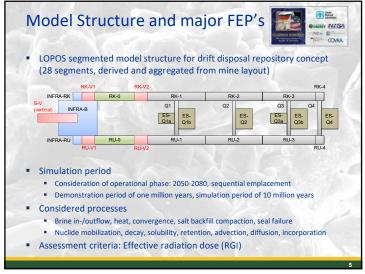


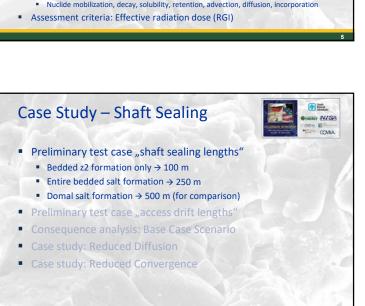


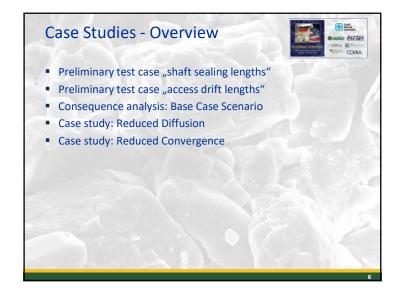


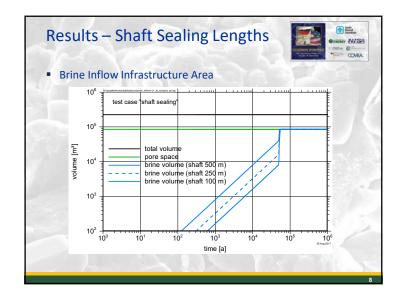


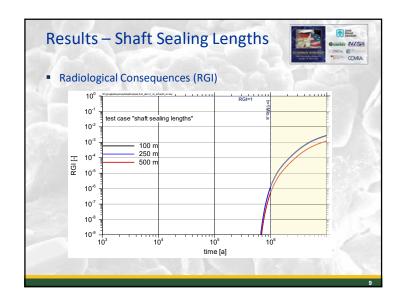


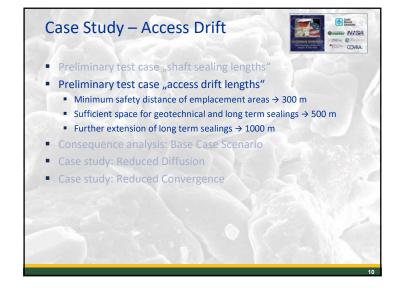


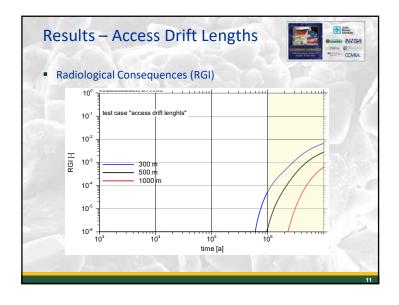


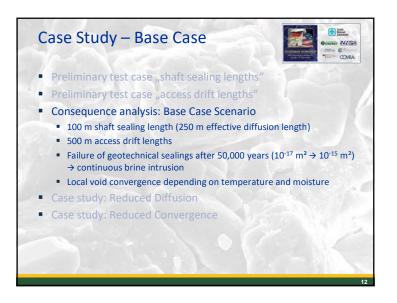


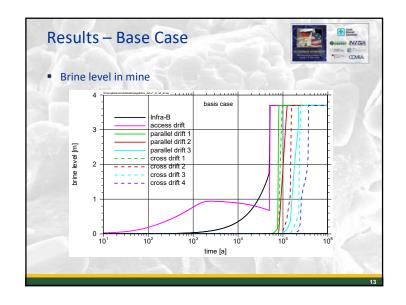


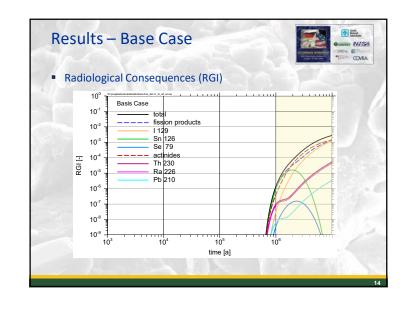


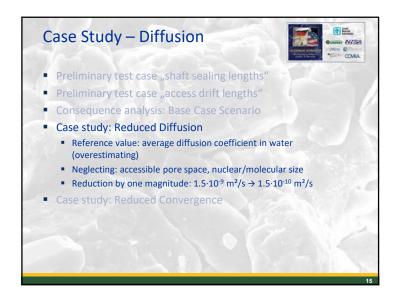


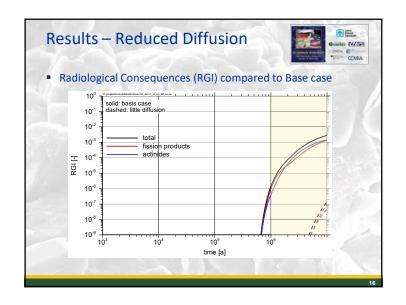




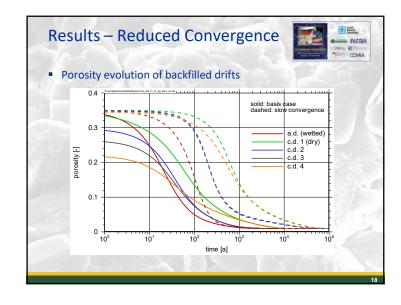


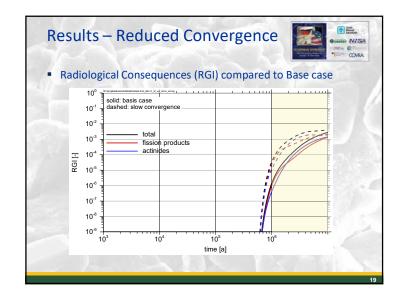


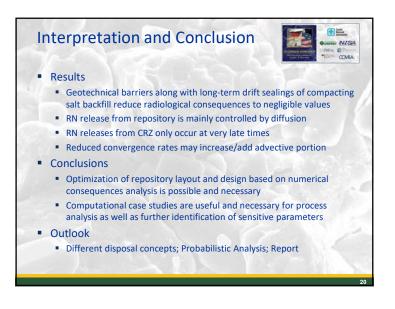




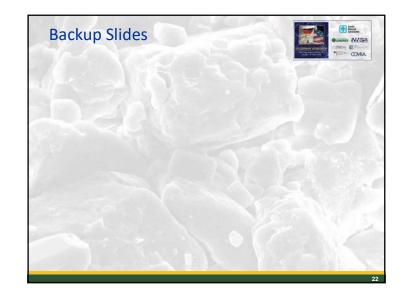


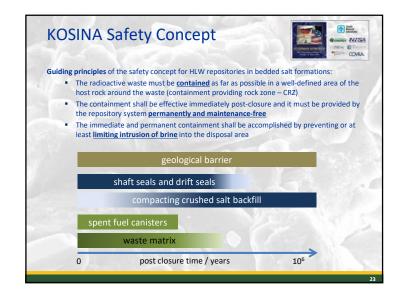


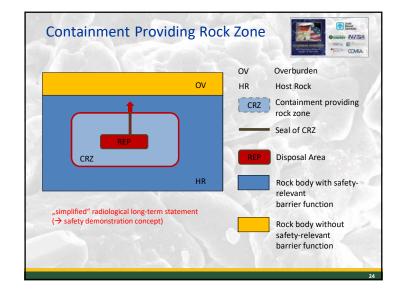


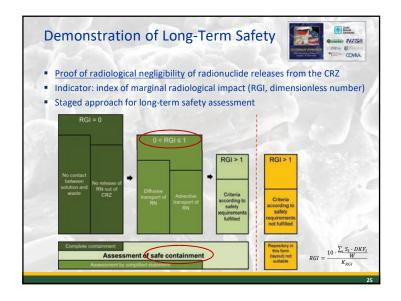


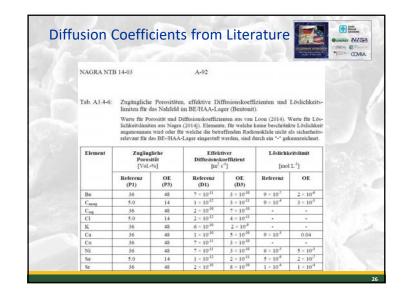


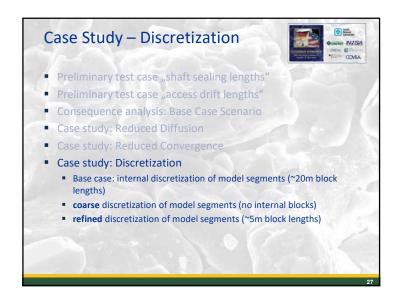


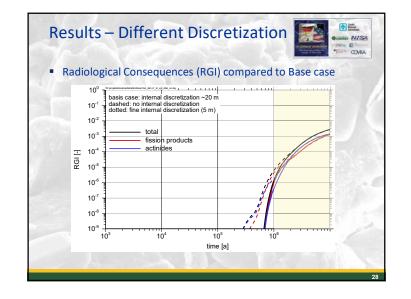


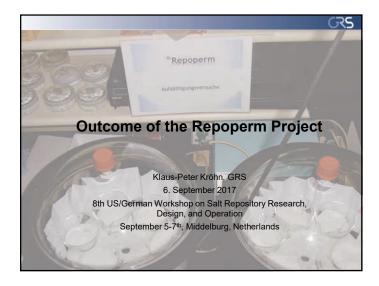




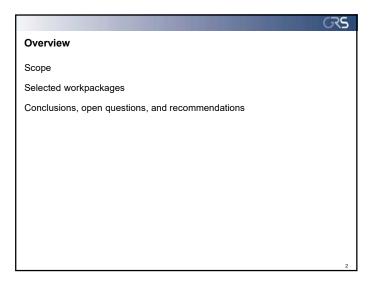


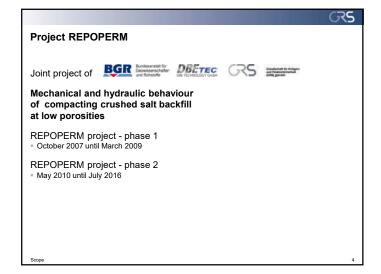












## Cobjectives Key question for Phase 1 Threshold porosity Φ₀ → permeability k₀ = 0 m²? Main result of Phase 1 A possible Φ₀ lies in a range where measurement of porosity is of inherent high uncertainty Key question for Phase 2 Relevance of Φ₀ → reliable prediction of crushed salt behaviour under repository conditions Mechanical and hydraulic data for compaction especially of wet material

### CRS

### REPOPERM project - phase 2

### **Experimental investigations**

- Examining the porosity in relevant, past experiments
- Influence of the sieve line on compaction behaviour
- Triaxial compaction test with dry material at low porosities
- Influence of humidity on compaction
- Permeability associated with low porosity
- Constitutive relations for two-phase flow
- Microstructural Investigations

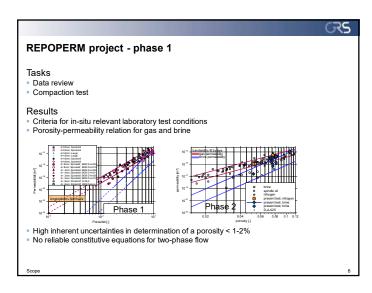
### Basics for numerical modelling of compacting crushed salt

- Phenomenology and physics related to crushed salt compaction
- Development/definition and comparison of material models
- Benchmark calculations and parameter improvement
- Scaling-rules for capillary pressure
- Application of Discrete Element Codes

### THM-coupled model calculations

- Relevant scenarios and boundary conditions
- Inflow into the drift backfill at the drift seal

Scope



### REPOPERM project - phase 2

### Experimental investigations

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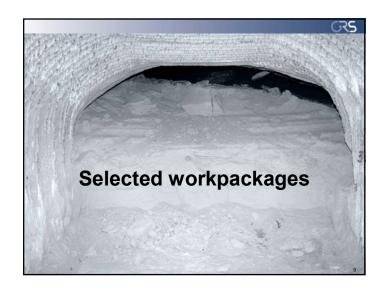
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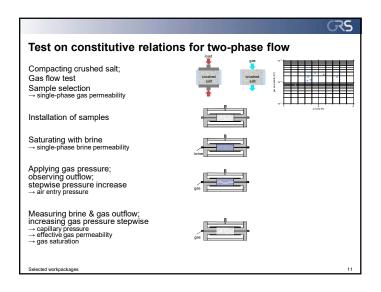
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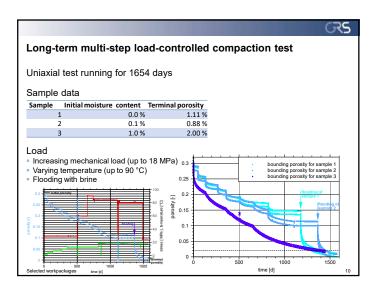
- Relevant scenarios and boundary conditions
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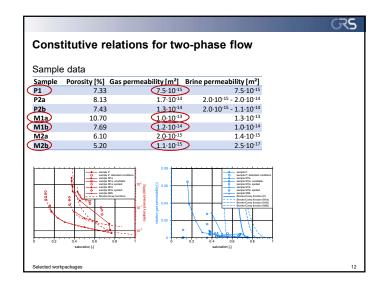
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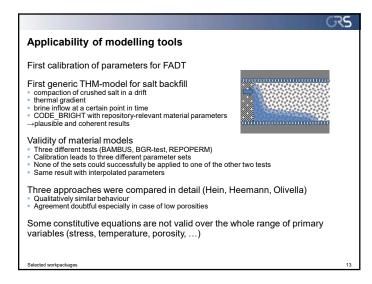
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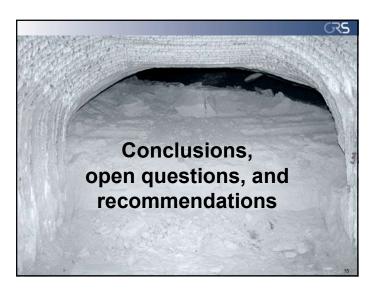












### Specific topics

### Mechanics

- Uncertainty in the grain density → uncertainty of void ratio: Δe ≈ 0.0045 0.0065
- The fractions of the smaller grain sizes dominate the compaction behaviour
- Results of triaxial and uniaxial compaction tests increasingly disagree towards low porosities

### Hydromechanics

- Uniaxial and triaxial tests indicate a transition from dry to wet compaction
- between 0.3% and 0.6% moisture content
- No significant influence of high relative humidity in the pore air on compaction

- Very little adsorption of water on crushed salt in a humid atmosphere
- Confirming the porosity-permeability relation from Phase 1
- Two-phase/unsaturated flow
- · Measurement of two-phase flow properties of crushed salt with a repository-relevant grain size distribution down to a gas permeability of 10<sup>-15</sup> m² is possible
- Application of the theory of Brooks and Corey
- works for the capillary pressure
- fails for relative permeability

### Conclusions

Considerable advance in knowledge and understanding

First experimental data → parameters for wet compaction (FADT)

First repository relevant THM-model for salt buffer

A row of specific questions answered

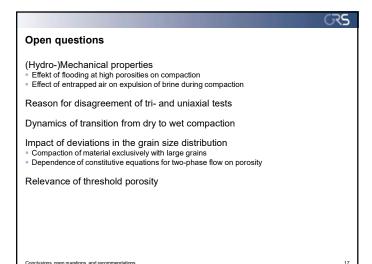
Limits of presently used models

Hydro-mechanical behaviour of compacting crushed salt is not yet

- completely characterized (e.g. validity of constitutive equations)
  sufficiently understood (e.g. permeability under unsaturated conditions)

Water vapour will spread out through the backfill unimpeded

Material parameters are presently test dependent





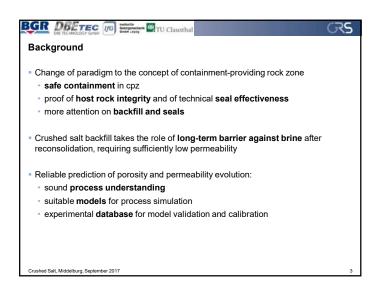
### Recommendations Enhance the predictive capability of the numerical models Catalogue all constitutive equations all supporting experimental evidence range of validity uncertainties Ensure validity constitutive equations of over the whole range of possible THM conditions do supplemental experiments where necessary Material parameters must not be allowed to be test dependent! Increase understanding of the tests e.g., reconcile results of uniaxial and triaxial tests Include additional effects and processes like wall friction and hardening Investigate Relevance of threshold porosity with a well-founded numerical model Impact of deviations in the grain size distribution Transition between dry and wet compaction Impact of vapour transport on canister corrosion Decide about two-phase flow parameters further measurements dependency on grain size distribution alternatives

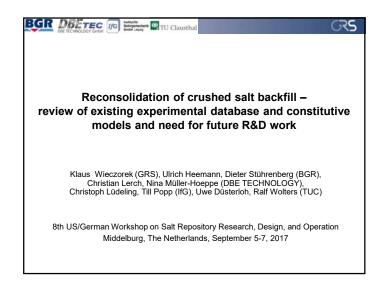
Conclusions, open questions, and recommendations

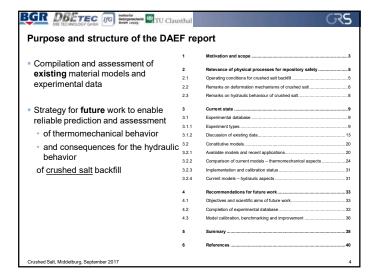


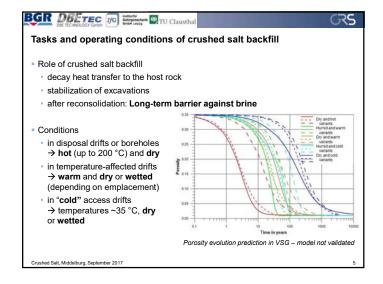
Middelburg, The Netherlands

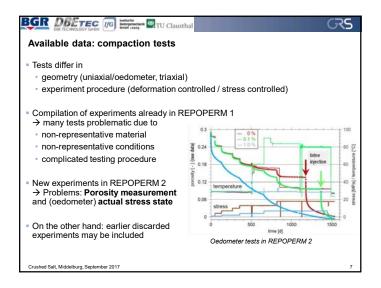
September 5-7, 2017

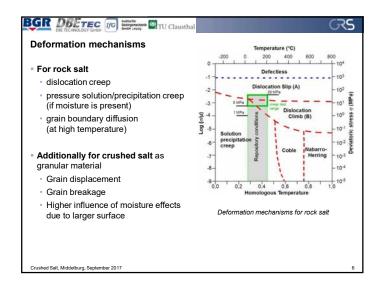


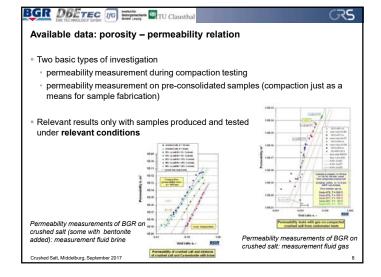


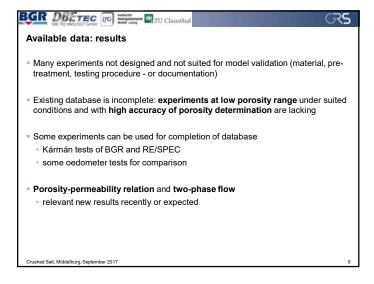


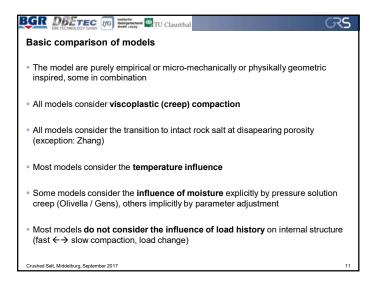


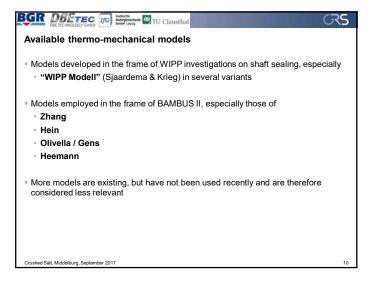


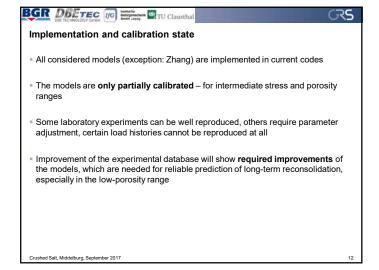


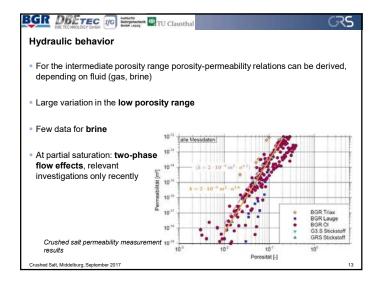


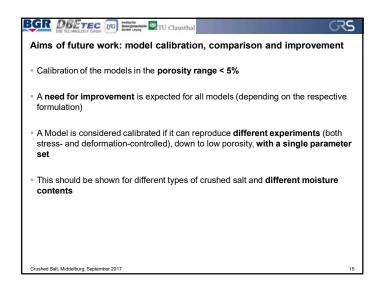


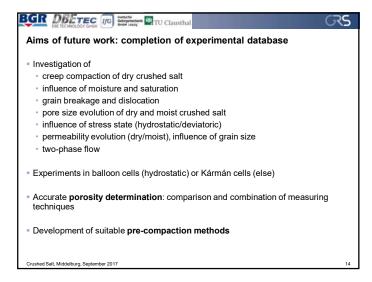


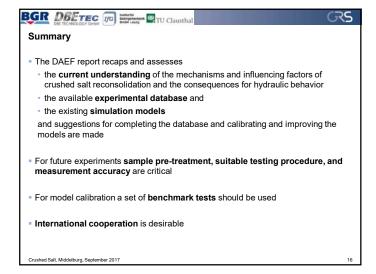






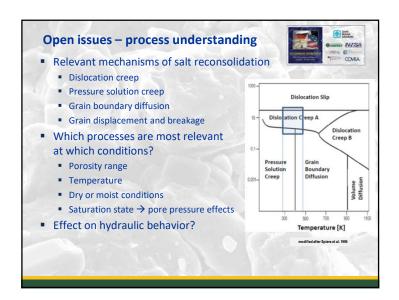


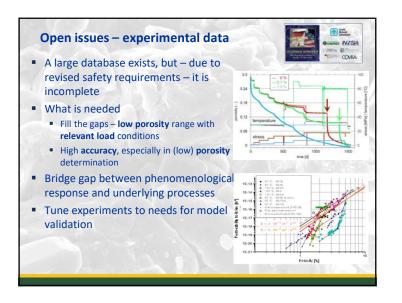






# Reconsolidation of salt backfill material Role of crushed salt backfill in a nuclear waste repository decay heat transfer to the host rock stabilization of excavations after reconsolidation: Long-term barrier against brine Key question: How and when will sufficiently low porosities/permeabilities be reached? Systematic reduction of uncertainties is necessary for reliable repository dimensioning highly desirable for further optimization





## Open issues – models A number of models describing crushed salt reconsolidation is existing Validation/calibration is incomplete Models need to be investigated in detail Which processes are/can be simulated at all? In which range (of load conditions, porosity,...) is the models' application admissible? Which are the parameters determining the output? Benchmark with cycles of calculation-assessment-adjustment/improvement needed

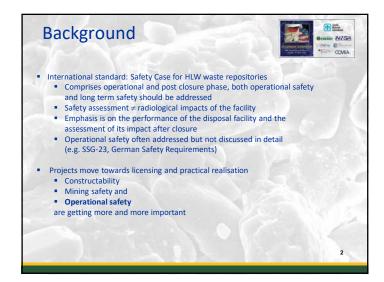
### Ideas for a project (compiled by GRS, BGR, DBE TEC, IfG, TUC, SNL)

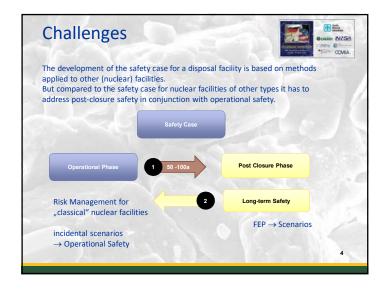


- A project aimed at clearing the deficits in prediction capability should include all three issues and their interrelation
  - Modelling
  - Experimental investigations
  - Process understanding
- Complementary idea: Tune backfill material to attain high performance characteristics early in a salt repository lifetime

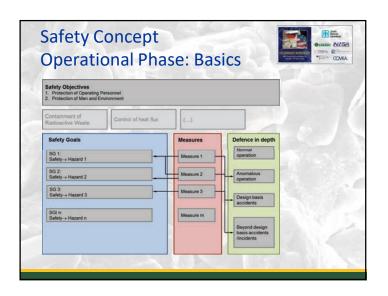


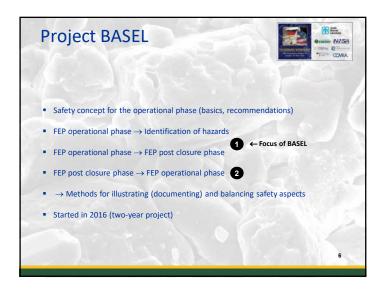


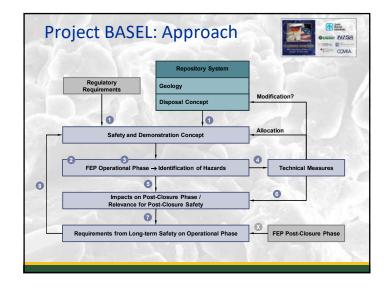


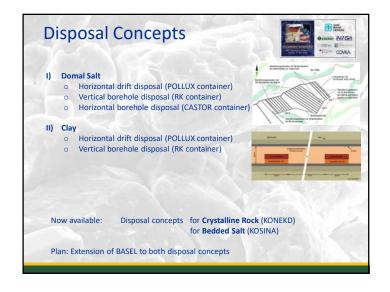


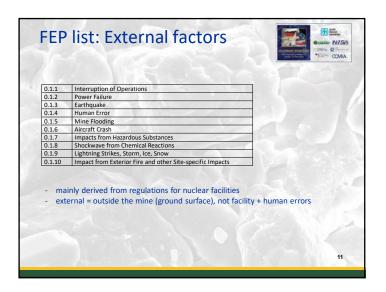


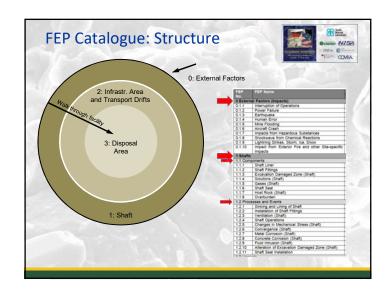


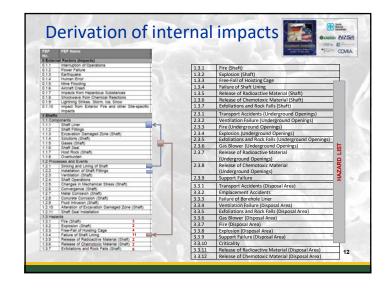


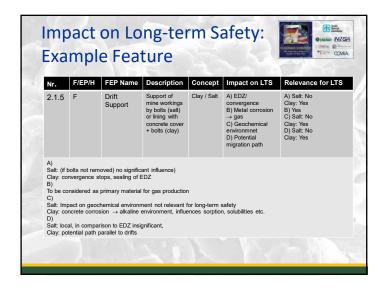


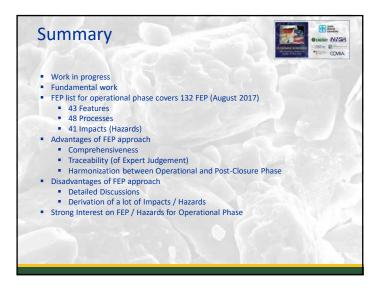


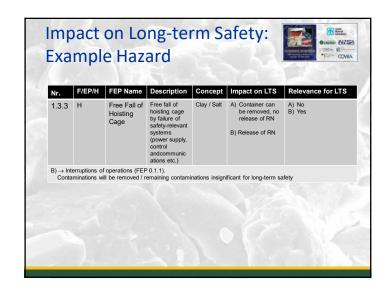


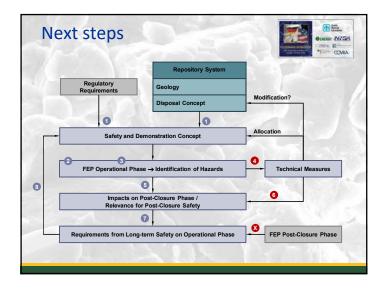




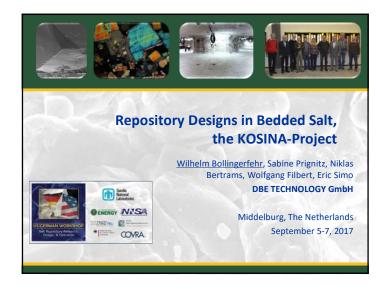


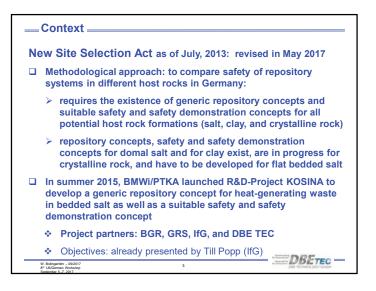


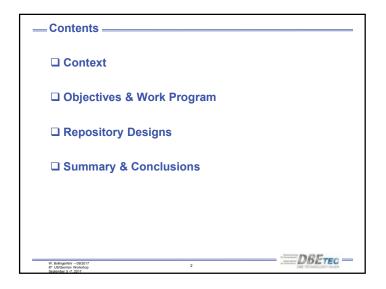


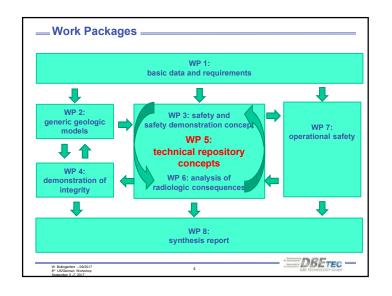












#### = Fundamentals for Repository Design Work =

- 1. Knowledge about Types and Amounts of Waste
- 2. Clear Understanding of the Geologic Environment

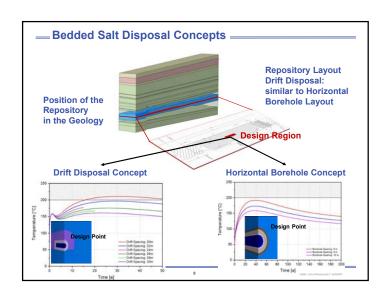
(e.g. type and composition of geologic formation at site)

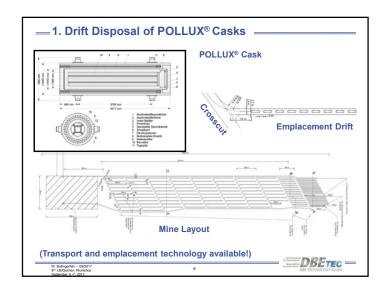
- > See presentation of BGR and IfG (Till Popp)
- 3. Safety and Safety Demonstration Concept for Bedded Salt
  - > See presentation of GRS (Jonathan Kindlein)
- 4. Design Temperature
  - > max. 200°C
- 5. Regulatory Framework:
  - (e.g. atomic energy act, mining act, safety requirements, etc.)

W. Bollingerfehr – 09/201 8<sup>th</sup> US/German Workshop \_\_\_DBETEC \_\_

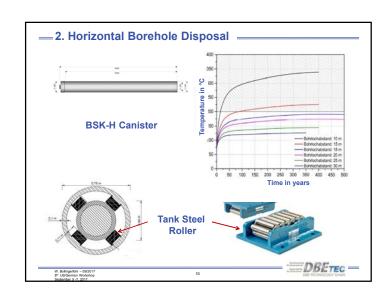
Waste stream						Cask	
						Туре	Numbe
Spent fuel elements from nuclear power		PWR	UO <sub>2</sub> MOX	12,450 FE 1,530 FE	6,415 tHM 765 tHM		1,398
plants		BWR	UO <sub>2</sub> MOX	14,350 FE 1,250 FE	2,465 tHM 220 tHM	POLLUX®-10	520
		WWER	UO <sub>2</sub>	5,050 FE	580 tHM		202
		Total	-		10,445 tHM	POLLUX®-10	2,120
Waste from	CSD-V	AREVA NC (F)		3,024			336
reprocessing		Sellafield Ltd. (UK)	565 140			POLLUX®-9	63
		VEK (D)					16
	CSD-B	AREVA NC (F)	140			POLLUX®-9	16
	CSD-C	AREVA NC (F)	4,104			POLLUX®-9	456
		Total	7,973			POLLUX®-9	887
Spent fuel elements		AVR	288,161 FE (spherical)			CASTOR® THTR/AVR	152
from prototype and		THTR 300	617,606 FE (spherical)			CASTOR HITTOANK	305
research reactors		KNK II	2,484 fuel rods			CASTOR® KNK	4
		Otto-Hahn	52 fuel rods				
		FRM II	150 FE				30
		BER II 120 FE			CASTOR® MTR 2	20	
		FRMZ 89 FE				1	
		RFR 950 FE 1 fuel rod canister with 16 fuel rods Total -				18	
					-	530	
Compacted structural components of fuel elements		Total		-		MOSAIK®	2,620

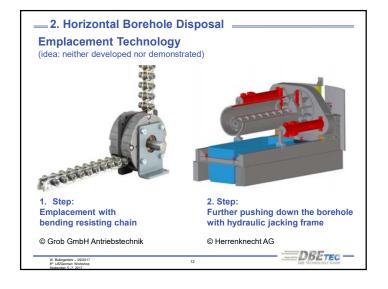
# Type: flat bedded salt 1. Drift disposal of POLLUX®Casks 2. borehole disposal (horizontal) of canisters (BSK-H) Type: salt pillow 3. borehole disposal (vertical) of canisters (BSK-V) 4. Direct disposal of CASTOR® Casks Tasks: • design temperature calculations (max. 200°C) • design of the repository mine • Transport and emplacement techniology and -systems • backfilling and sealing concept

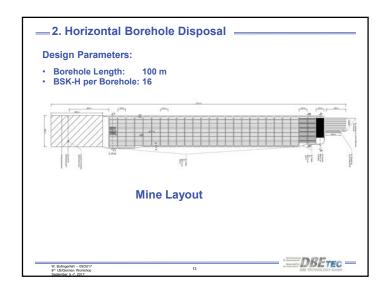


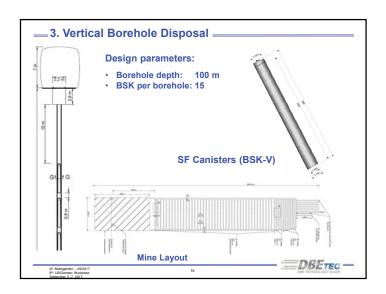


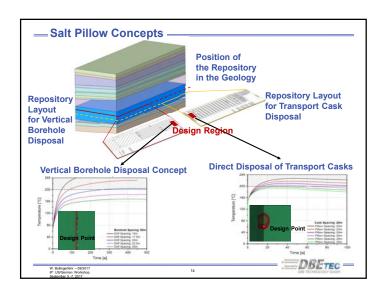


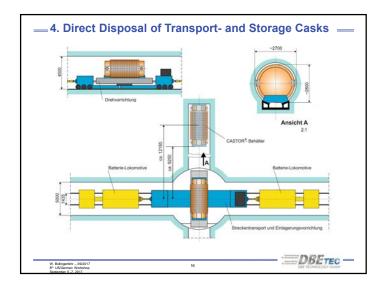


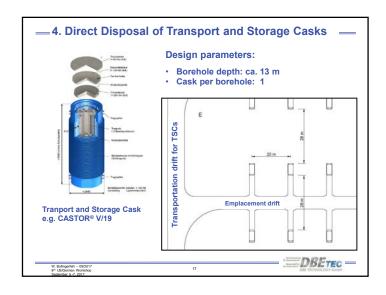














#### \_\_Summary & Conclusions \_\_ ☐ Design fundamentals were made available within KOSINA > types & amounts of waste (DBE TEC) > generic geological models (BGR +IfG), > safety concept (GRS) > laws, ordinances, safety requirements, etc. (all to the public available) ☐ Repository designs for 4 emplacement alternatives developed in consistence with generic geological model conditions ☐ R&D project KOSINA will fill a gap existing in repository design and safety demonstration concepts in Germany ☐ R&D project KOSINA results/final report will be available in spring 2018, prior to the start of a public debate (approx. autumn 2018) about draft ordinance on revised repository safety requirements and ordinance on safety investigations DBETEC -



#### **Regulatory Steps to Recertify WIPP**

Every 5 Years - DOE is required to submit to EPA a Compliance Recertification Application (CRA) with a Performance Assessment (PA) for WIPP

- March 26, 2014 DOE submitted 3<sup>rd</sup> WIPP CRA PA to EPA for recertification
  - EPA responded to the February 14, 2014 radiological release incident, therefore the review was delayed
- July 13, 2017- EPA Issued the Recertification Decision

What are EPA activities during this review process?

#### **Underpinning of Each CRA Review**

- Focuses on what has been, or should have been, updated since the previous CRA
- Evaluates conceptual models and sub-models, asks...
   Do they adequately represent the system?
   Are they appropriately implemented?
   (e.g., suitable and updated input parameters)

Asks – Has DOE met the 'reasonable expectation' that they have demonstrated compliance with EPA's radioactive waste disposal regulations?

#### **EPA's Review Process**

- Starts with a 'Completeness Review'
- Determines what changed since the previous CRA
  - Design changes?
  - Waste information (e.g., characterization, inventory)?
- Looks at parameter updates are they aligned with current scientific information?
- Evaluates how updates are integrated into PA
  - Includes issues identified in previous recertifications
- Completeness review spawns clarifying questions to DOE
- May request additional calculations



## After EPA's 1996 CCA, 2004 and 2009 CRA Reviews EPA Requests Additional Calculations

- Updated PA calculations were requested
  - Purpose
    - Addresses EPA completeness questions
    - Evaluates movement of performance metrics
  - The updated PA is then considered the updated baseline
  - Labeled as Performance Assessment Baseline Calculations (PABC)
- During EPA's 2014 completeness review the possibility of a 2014 PABC was cancelled.....

...WHY?



## CDA

## How to Address Issues Raised in EPA's 2014-CRA Completeness Review

#### Some of EPA's Top Issues

- DOE's revised probability of a borehole intersecting a waste panel and brine reservoir below
  - direct brine releases (DBR) are the dominant low-probability high consequence release mechanism
- Actinide chemistry modifications (e.g., solubility, oxidation states) – determines availability of radionuclides for release
- Long-term parameter values adopted for.....
  - Salt Aggregate (Run of Mine Salt ROM)
  - Open Drifts
  - Disturbed Rock Zone (DRZ)



## Answer: Too Many Potential Design Changes DOE proposing Installing new ventilation shaft and drifts Abandoning waste panel 9 May not use run-of-mine panel closures in waste panels 3 thru 6 Therefore, a PABC using the 2014 configuration would not represent an expected future repository design

#### How to Resolve? EPA Requests DOE Perform **Four** Sensitivity Studies

Purpose: Addresses some of EPA's top concerns not directly affected by repository design changes

- Compare Results with 2014-CRA PA
- Determine Impact On Total Releases



## SEN 1 and SEN 2 – Modify Parameter Values Affected by Creep Closure

- SEN 1- Non-waste areas: model time dependent reduction in permeability, porosity, invoke two-phase flow
  - Resulted in non-physical results study abandoned
- SEN 2- Non-waste areas: instantly 'heal' non-waste areas
  - perm/porosity/two-phase same as intact halite for 10,000 years

## SEN 3 – Modify Inputs for Non-waste Areas, ROM Salt in Panel Closures, Adjacent DRZ

- Instantly 'heal' both panel closures, non-waste areas, DRZ
  - perm/porosity/two-phase same as intact halite for 10,000 year



#### SEN 4 - Tested a subset of revised parameter values

- EPA's revised probability of encountering a Castile brine reservoir beneath the repository
- EPA's revised uncertainty distributions for actinide solubility
- Revise the iron sulfidation reaction EPA supported assumption that iron passivated by H<sub>2</sub>S
- Modified slightly lower limit for shear strength of degraded waste
- Used DOE corrected version for DRSPALL code
- Use corrected length of farthest north panel closure



## How Will Modifications Affect Performance Metrics Total Releases Must Be Below EPA Release Limits

#### **Releases Composed of Four Primary Mechanisms**

Releases to Culebra

Minimally impacted by repository processes or design

Spallings Releases

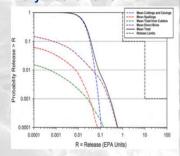
Impacted by repository processes, but effect on total releases small compared to direct brine releases

Cuttings and Cavings

Not a function of repository processes

Direct Brine Releases

Significantly impacted by repository processes and design



Mean of Four Main Release Components and Mean Total Releases (SEN 2 Study from Day 2016, Figure 4-155)

## SEN 2 Results – (non-waste areas instantly heal)

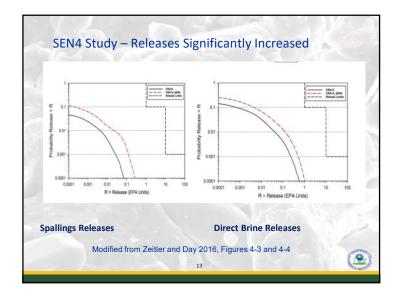
- Primarily Impacted Spallings Releases (due to increased waste panel pressures)
- Insignificantly Impacted Overall Releases

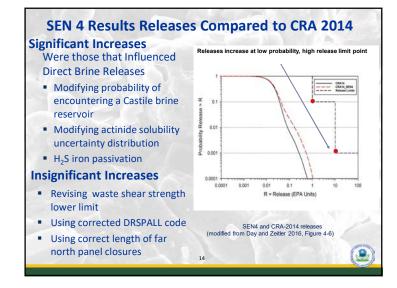
**SEN 3 Results**— (both the non-waste areas and ROM salt in panel closure drifts instantly heal)

- Primarily Impacted Spallings Releases (due to increased waste panel pressures)
- An increase in Direct Brine Releases seen (due to increased pressures in waste panel pressures and minor increase in saturation)
- Overall Releases a slight increase seen

2

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#### **Impact On EPA's Recertification Decision**

- Sensitivity studies indicated mean total releases increased with specified modifications, however releases did not exceed EPA release limits, therefore......
- July 13, 2017 EPA Recertified DOE's CRA-2014 PA
- But...
  - EPA has several concerns related to DOE's 2014-CRA and recommended a list of activities be conducted by DOE before the next CRA

EPA Identified Areas of Improvement and Some Recommendations for DOE in Upcoming CRAs

- Re-evaluate the following:
  - DBR model that assumes isolation of waste panels
  - Water balance issues (e.g., iron corrosion, MgO hydration)
  - Actinide uncertainty distributions for An 3/+4 solubilities
- Conduct independent technical or peer review related to:
  - Pu+3/+4 oxidation state in low Eh/high pH brines
  - Long-term (10,000 years) parameter values that reflect,
    - Creep closure of empty drifts
    - ROM salt consolidation and DRZ healing





#### References

**EPA Radiation Protection Website:** 

https://www.epa.gov/radiation/waste-isolation-pilot-plant-wipp

EPA CRA-2014 Review Related Documents

http://www.regulations.gov, Docket ID No. EPA-HQ-OAR-2014-0609

Contains: EPA CRA-2014 Technical Support Documents, Compliance Application Requirement Documents, Completeness Comments and DOE Responses, Public Comments, Other EPA WIPP Review Documents

Day, Brad, and Todd Zeitler 2016. Panel Closure System Sensitivity Study, Revision 0. ERMS 566725. Sandia National Laboratories, Waste Isolation Pilot Plant. Carlsbad, New Mexico. August.

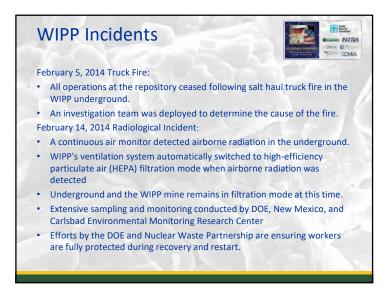
DOE (U.S. Department of Energy) 2014. Title 40 CFR 191 Subparts B and C Compliance Recertification Application 2014. U.S. Department of Energy, Carlsbad, New Mexico (can be found DOE Website: http://www.wipp.energy.gov/Documents\_All\_Title.htm#C)

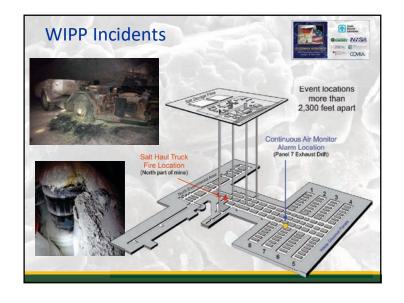
Zeitler, Todd, and Brad Day 2016. CRA14 SEN4 Sensitivity Study, Revision 1. ERMS 567505. Sandia National Laboratories, Waste Isolation Pilot Plant. Carlsbad, New Mexico. December

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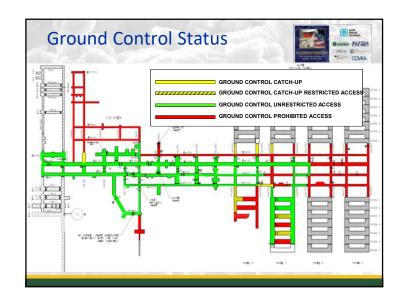








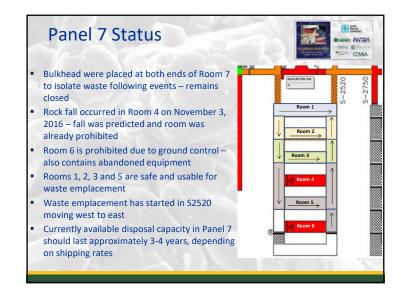


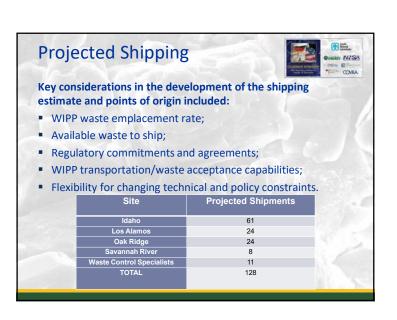




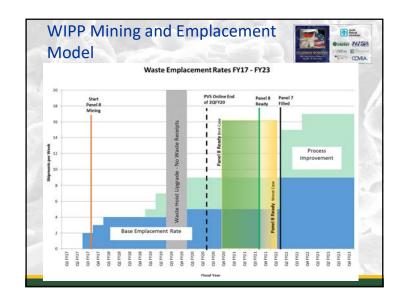


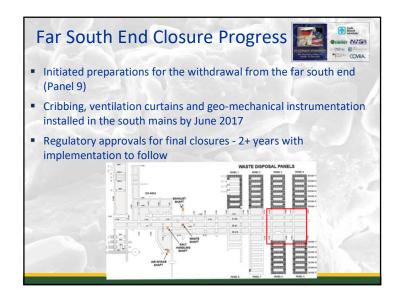


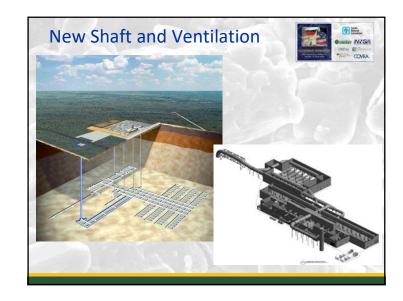




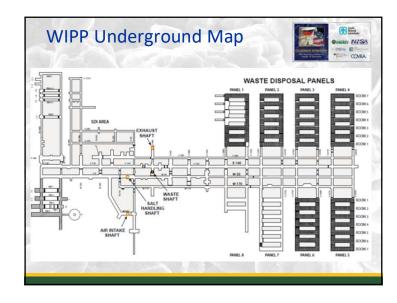


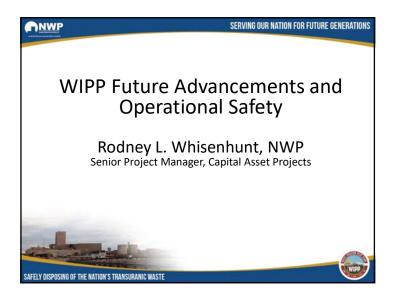


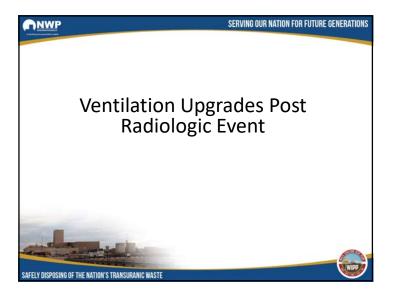


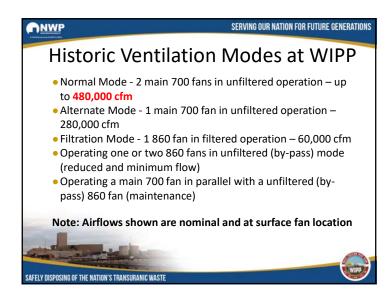


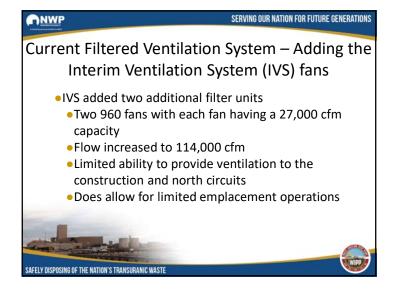


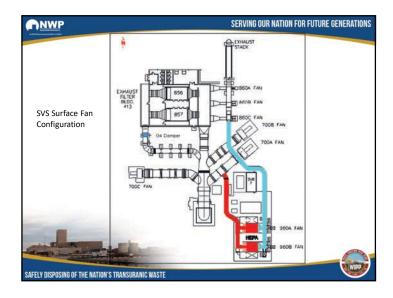


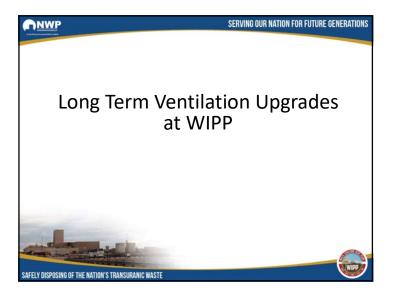


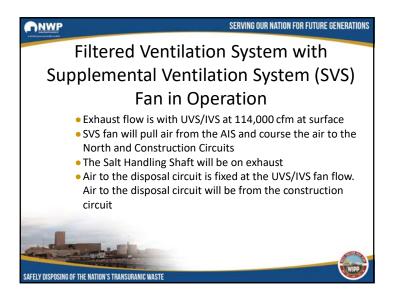


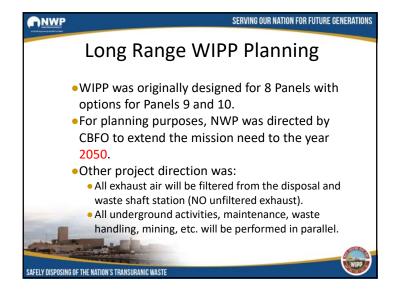


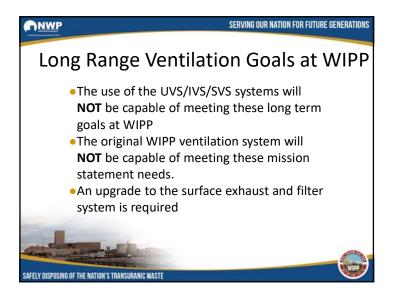




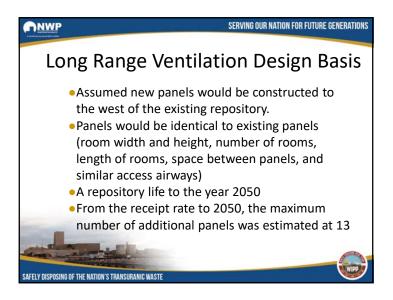




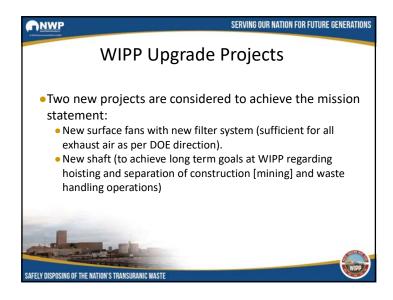




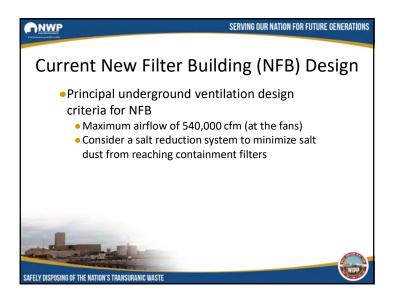


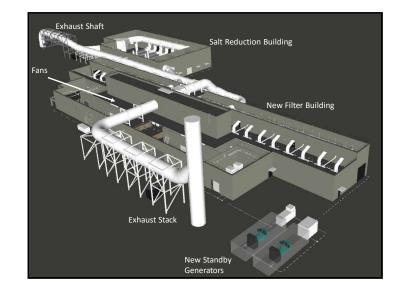


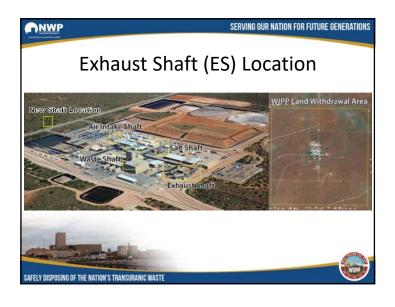


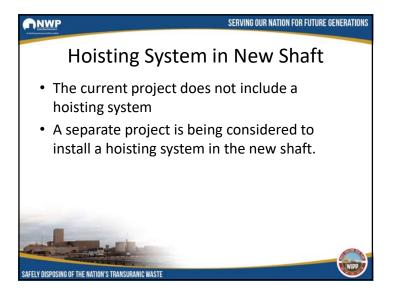


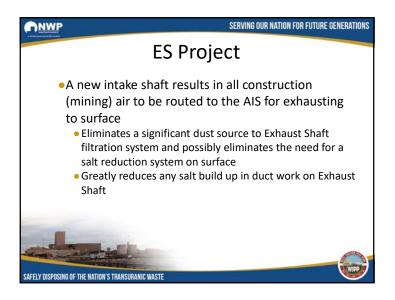


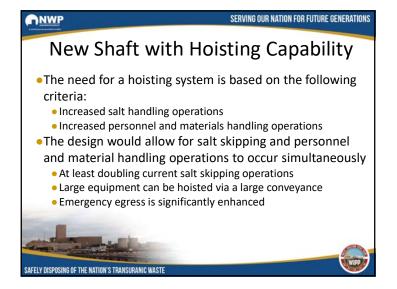


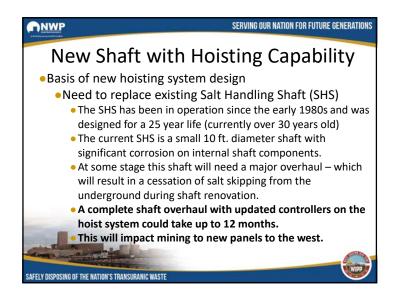


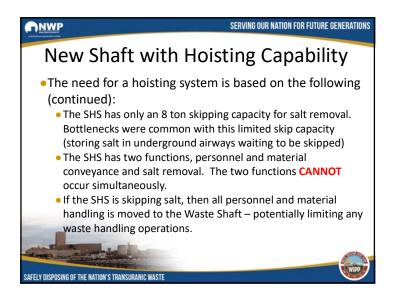


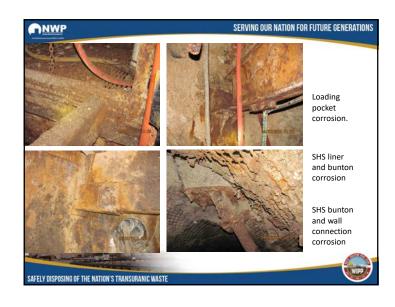


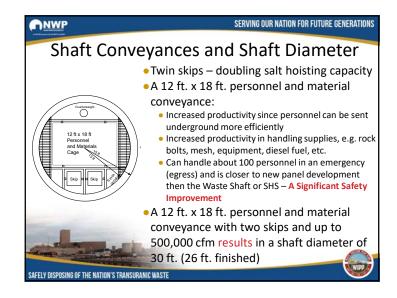


















- Main Objectives: Operational and post-closure safety
- Disposal Concept ≡ waste form + geologic setting + concept of operations
  - Waste form:
    - Mostly High Level Waste (HLW) glass, low heat output, Stainless Steel pour canisters
    - Defense Spent Nuclear Fuel (DSNF) of various types, precanistered
  - Geologic setting: Salt
  - Concept of operations: ?

#### Disclaimer



This research was performed as part of the Defense Waste Repository (DWR) project. Based on revised DOE priorities in mid-2017, the development of a DWR has been discontinued; current work unique to the development of a DWR is being closed out and documentation will be completed by the end of fiscal year (FY) 2017. Implementation of any recommendations made in this report for further research regarding a DWR would require resumption of the DWR project at some future time.

#### **Key Acronyms**

- HLW = High Level Waste
- DSNF = Defense Spent Nuclear Fuel (or DOE-Managed Spent Nuclear Fuel)
- CSNF = Commercial Spent Nuclear Fuel

## Preliminary Disposal Concepts for a Salt Repository: Defense Waste Characteristics



- Low-thermal (up to 1 kW per 3- or 5-m canister)
- Long-lived radionuclides (~10<sup>6</sup>-year assessment)
- Large numbers of canisters (data from Carter et al. 2012)
  - 3,542 DSNF (99.4% < 1 kW in 2030)
  - 23,032 HLW (Savannah River Site(SRS, Hanford & Idaho; all < 1 kW)</li>
- Small canisters (mostly 18- and 24-inch diameters)
  - Neglecting Naval SNF which is most similar to Commercial Spent Nuclear Fuel (CSNF)
  - (Assume Idaho calcine is package in standardized canisters.)
- Canister Handling Weights
  - DSNF 2267 kg to 4536 kg + overpack ~15 MT
    - Shielded transport wt. >34 MT
  - HLW 2500 kg to 4200 kg (no overpack)
    - Shielded transport weight > 32 MT
  - Material: stainless steel (welded, no heat treatment)

١.

### Preliminary Disposal Concepts for a Salt Repository: Salt Geologic Setting

Pinton

OLIMATOR NYSA

OLIMATOR NYSA

OCHRA

- Plastic formation
  - Creep behavior impacts concept of operations
    - Excavations will close due to creep
    - Just-in time drift construction
    - Self-healing
- Geochemical environment
  - Brine pore water
- Virtually impermeable media (diffusion dominated)
- Repository Access
  - For bedded salt, shaft access only
  - For domal salt, shaft or ramp access

### Preliminary Disposal Concepts for a Salt Repository: Design Considerations

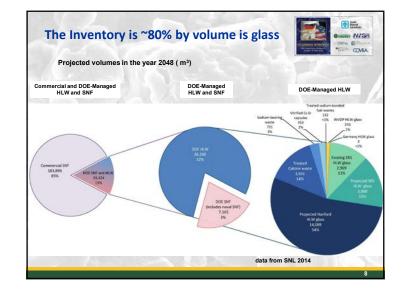


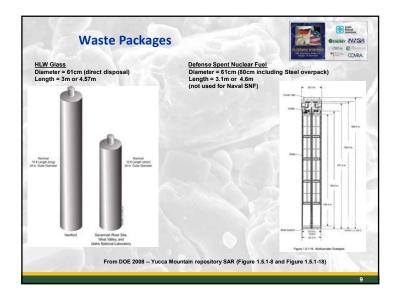
- Bedded or Domal Salt Constructability
  - Opening stability
  - Salt backfill
- Superior Heat Dissipation
- Nominal and Disturbed Performance
  - · Releases dominated by human intrusion
- Natural Barrier
  - Insignificant groundwater abundance and mobility (nominal)
  - Brine saturation (esp. human intrusion)
- Engineered Barriers
  - Backfill and seals
  - Robust containment during operations
  - Emplacement borehole behavior (e.g., backfill reconsolidation)

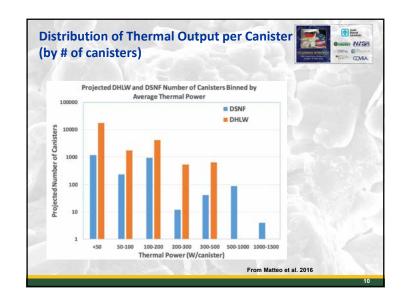
## Preliminary Disposal Concept for a Salt Repository

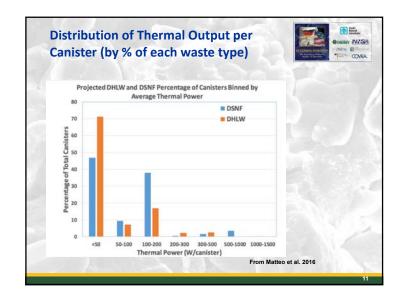


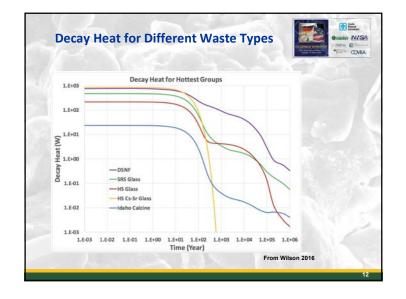
- Direct Disposal of Pour Canisters
  - HLW glass stability in operational environment
- Robust Overpack for Other Waste Forms
  - Carbon steel overpack (e.g., DSNF)
- Just-in-Time Drift Construction
  - · Minimize handling of crushed salt
- In-Drift Emplacement (axial or transverse)
  - · Relatively small, lightweight canisters (e.g., 6 MT HLW)
  - · Immediate backfilling with crushed salt
- Constructability Challenges
  - Remote operation in unshielded environments

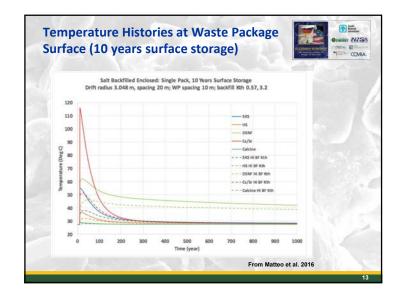


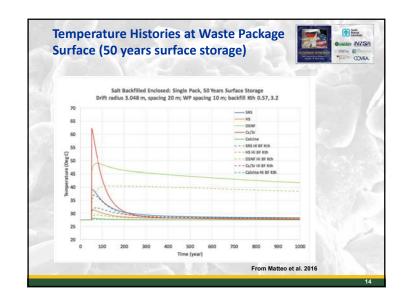


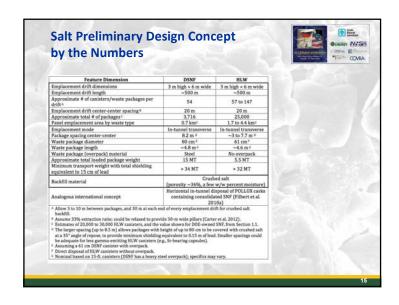


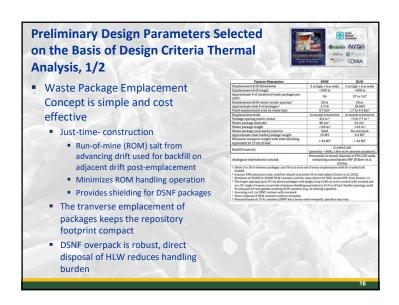






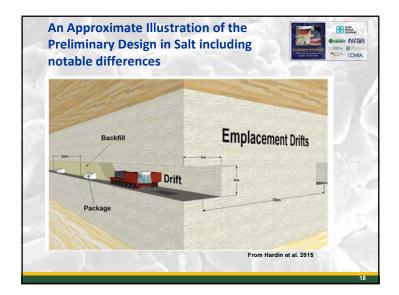


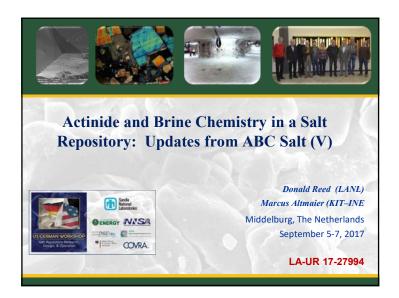








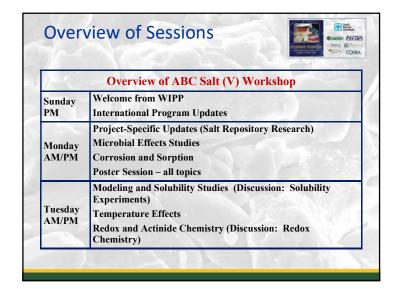


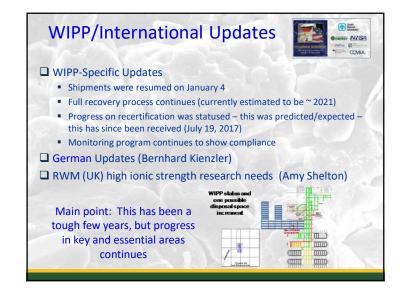


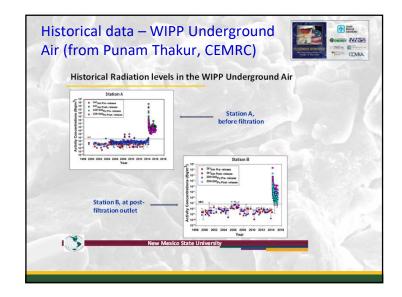


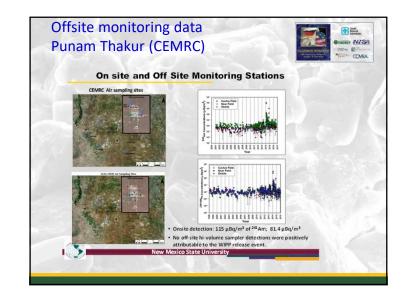


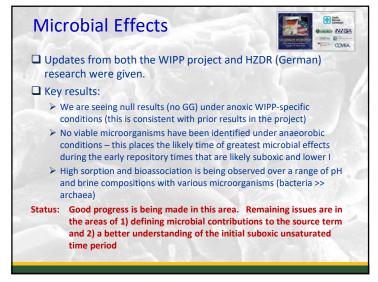


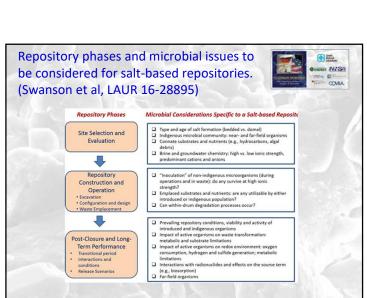


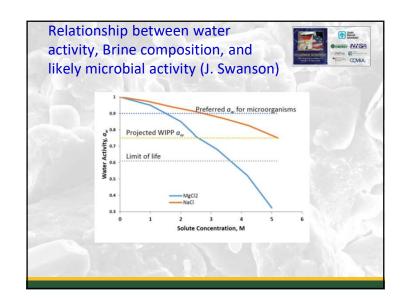












#### **Corrosion and Sorption**

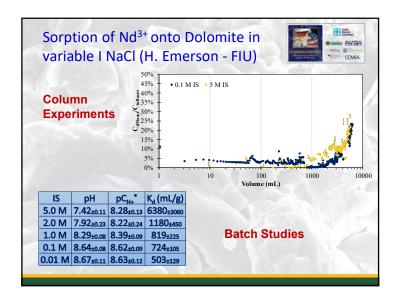


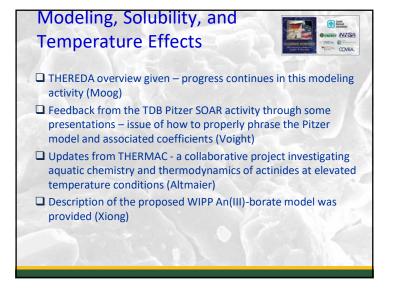
#### Sorption

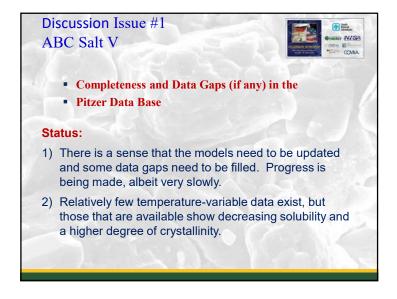
- Collaborative work between FIU and Los Alamos were presented on sorption (H. Emerson and T. Dietrich).
- Not a high priority for the WIPP project as to far-field interactions (small potential contribution to overall release)
- Potential contributions that affect the actinide source term are important and being investigated (but we do not fully account for sorption in the source term).

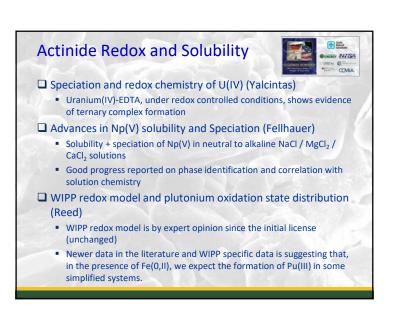
#### Corrosion

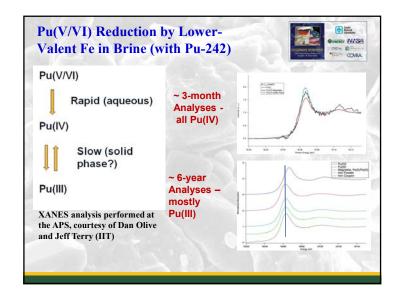
- INE (B. Kienzler) presented a summary of 10 year corrosion data in salt (these are largely consistent as to mass loss data)
- New KORSO Project (Corrosion and sorption processes onto steel surfaces at elevated temperatures under saline conditions) was announced.

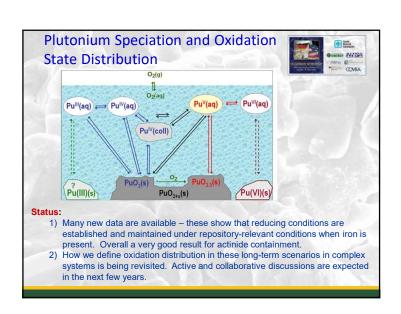






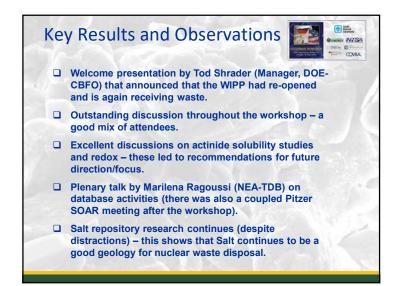




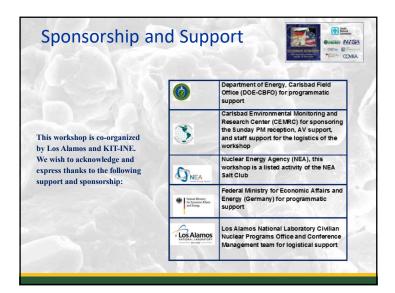


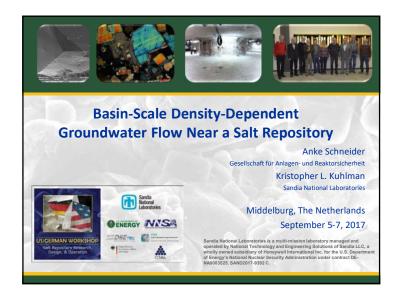
# Discussion Issue #2: Actinide Redox in the Salt Repository Case How well are we able to predict/model/understand redox evolution and control in a repository? Is there sufficient experimental evidence available for saline systems to assess An redox processes under reducing conditions? Plutonium case: Which are the relevant Pu oxidation states under oxidizing, anoxic or strongly reducing conditions in rock salt. How will strongly complexing ligands affect Pu redox state distribution? Are our current TDBs adequate to assess Pu redox state distributions?

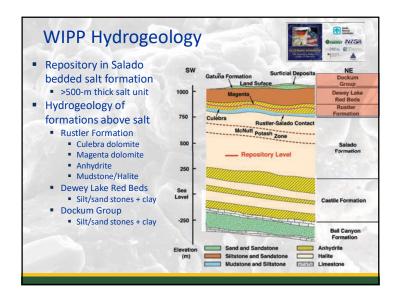


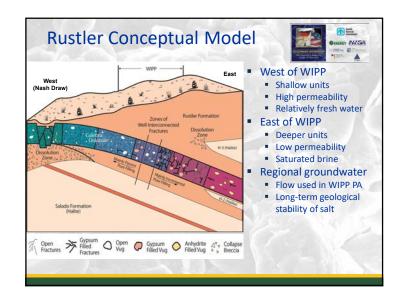


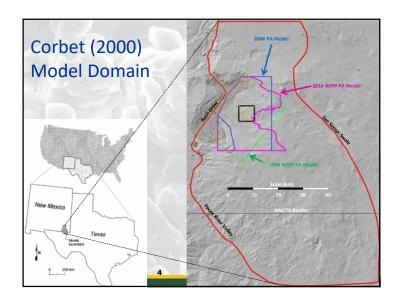


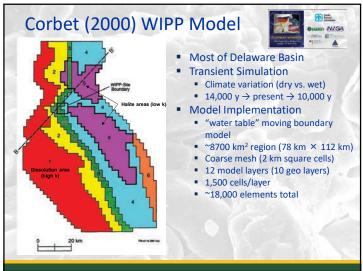


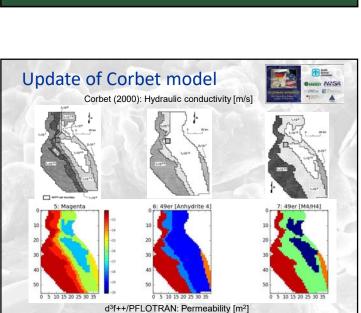




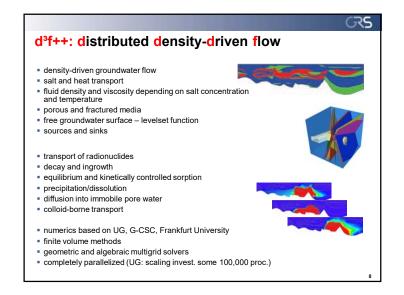


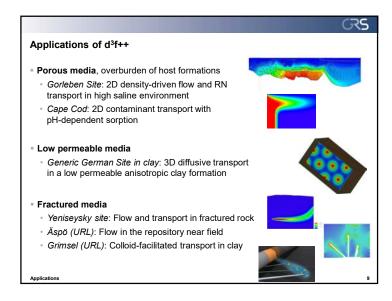


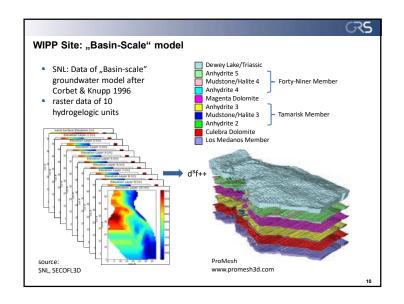


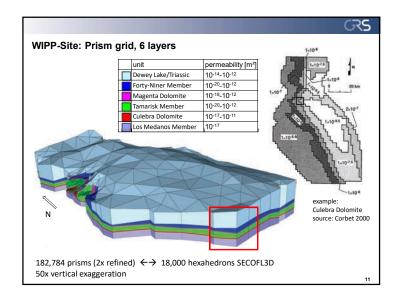


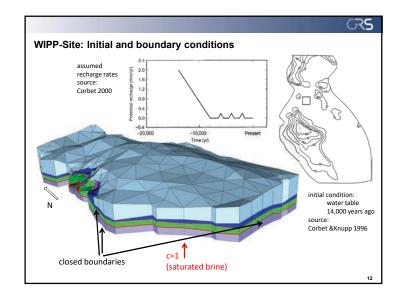
# Motivation Benchmark against existing solution (Corbet, 2000) Comparison with original model Old mesh, model parameters & boundary conditions Include new processes, features & data Include density-driven flow (e.g., Davies, 1989) Include chemistry & mineral dissolution Investigate flow & chemistry boundary conditions Test and update hydrogeological conceptual model Incoporate current data: 81Kr GW age data, water level data Comparison and Development of Models PFLOTRAN (SNL) Add density dependent flow d3f++ (GRS)

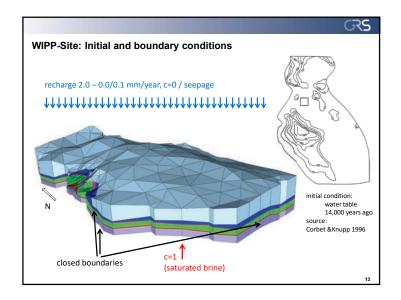


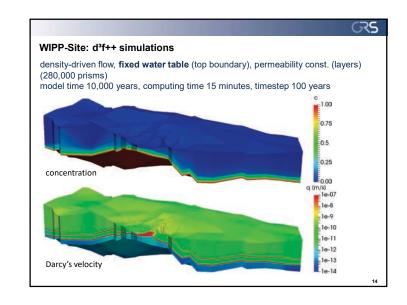


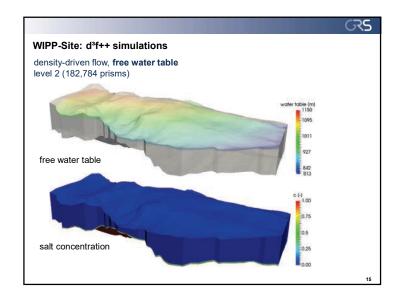


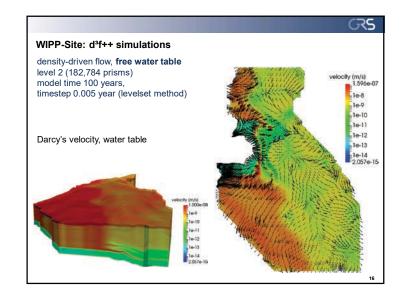




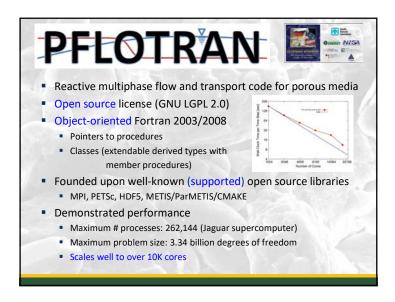


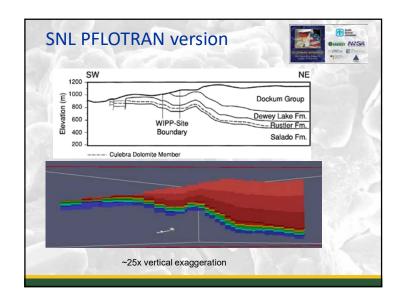


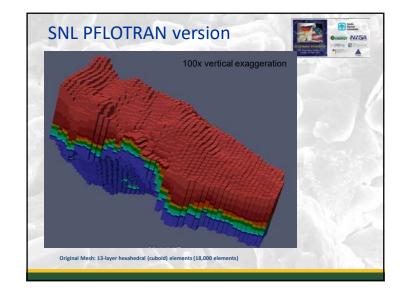


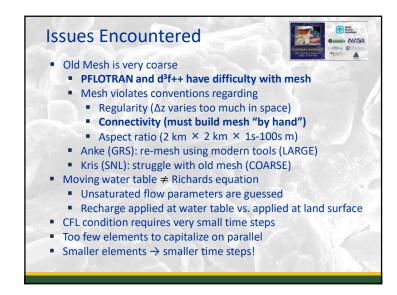


## Summary and outlook Difficulties: non steady-state density-driven flow model strongly anisotropic (thin layers, jumping coefficients) free groundwater surface 8,700 km² Current work: BMWi-funded joint project GRUSS (GRS, G-CSC Frankfurt University) improve grid generating/refinement improve robustness of solvers (convergence, timesteps) implement volume of fluid (VOF) method to speed-up free surface handling Next steps: increase timestep levelset method simulation 14.000 years past reproduction of SECOFL3D results (Corbet & Knupp, 1996)

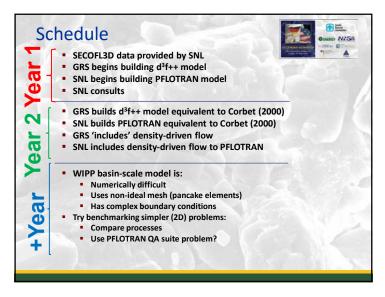








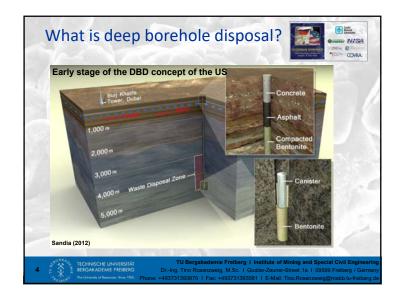






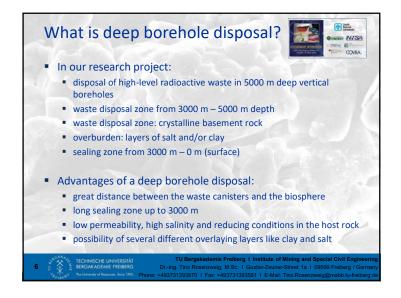


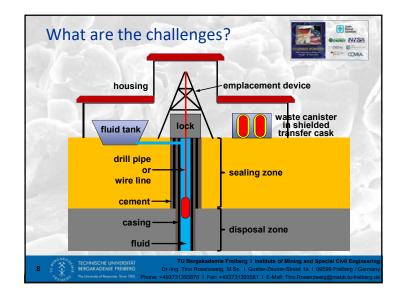


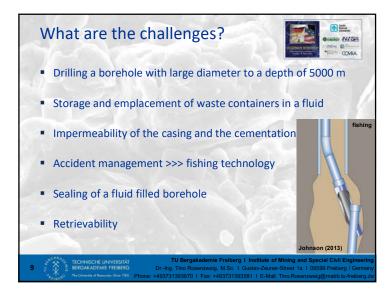






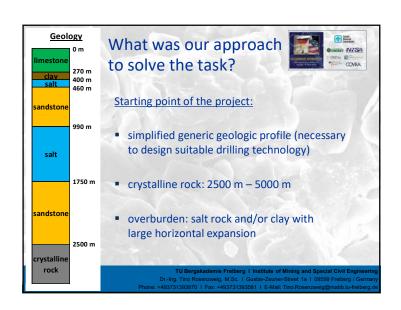


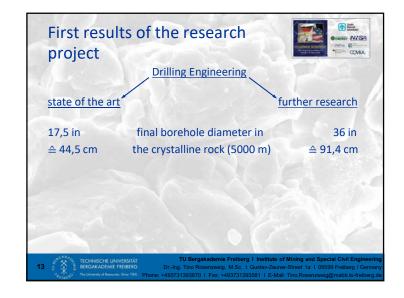


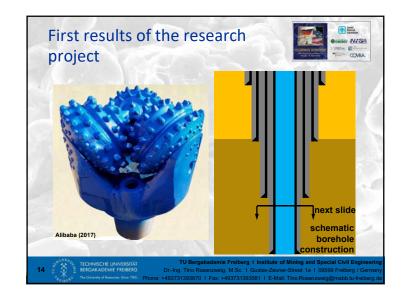


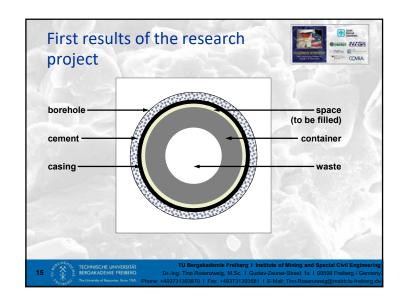


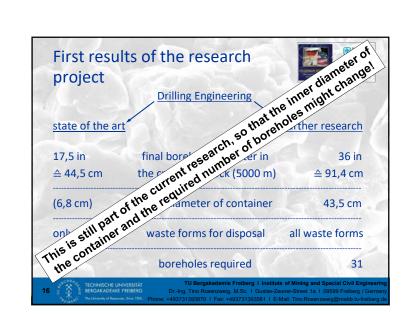












#### Summary (1)



- The deep borehole disposal option still has some severe challenges to deal with and will require research and development work in several areas.
- Outgoing from the current state of the art in drilling technology it will not be possible to create a repository with a realistic number of deep boreholes in that we could dispose all of the high-level radioactive waste of Germany because standard equipment from oil and gas industry is not suited for repositories of high-level radioactive waste.

17 TECHNISCHE UNIVERSITÄT BERGAKADEMIE FREIBERG

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#### Summary (2)



- There are still some unsolved problems that might never be solved specifically the issue of retrievability, which is demanded in Germany.
- By solving the uncertainty of retrievability and with further research especially in drilling technology (development of required technology appears feasible - provided there will be a "market" for the service companies), the deep borehole disposal option could be an alternative for the future.



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