

# Nano-Scale Optomechanical Devices and Phononic Crystals for RF Signal Processing

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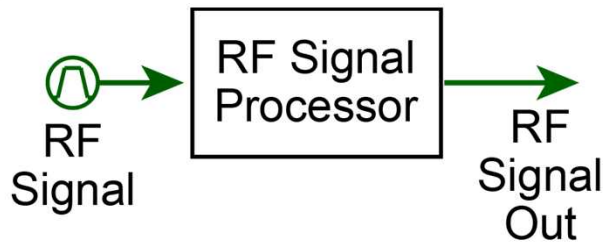
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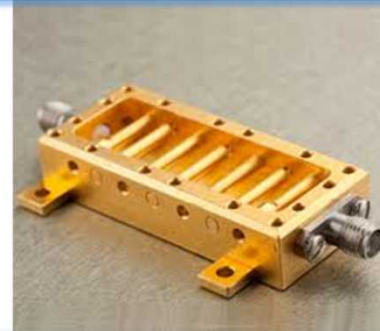
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# RF Signal Processing

## RF Electronics

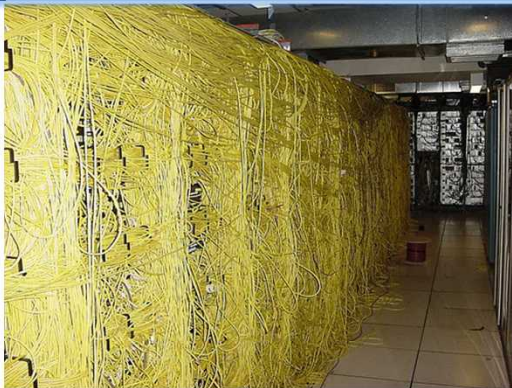


- Filters
- Time delay line
- Phase shifter
- Frequency converter
- Etc.

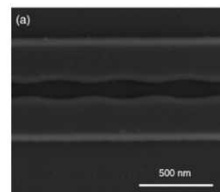
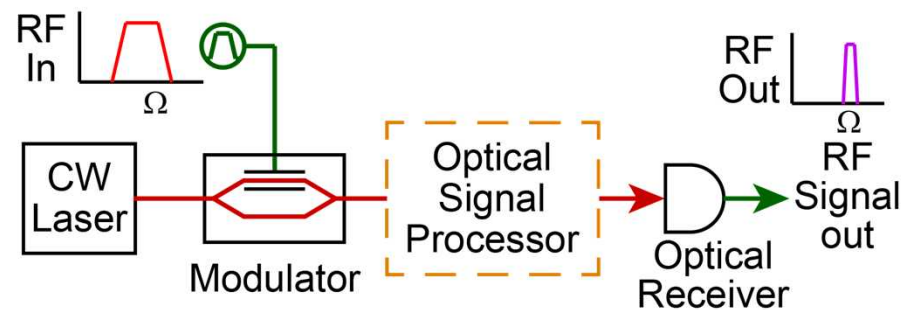


- Generally must be comparable in size to the RF wavelength
- SWaP increases with performance

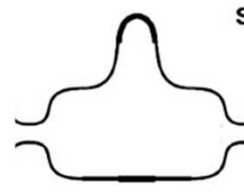
## RF Photonics



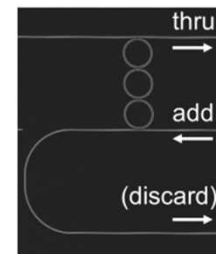
- Very large inherent bandwidth (>100THz)
- Integrated photonics could yield dramatic size and power reductions



Bragg grating



Asymmetric MZI



Ring resonator

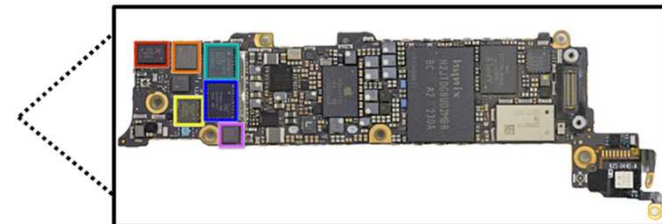
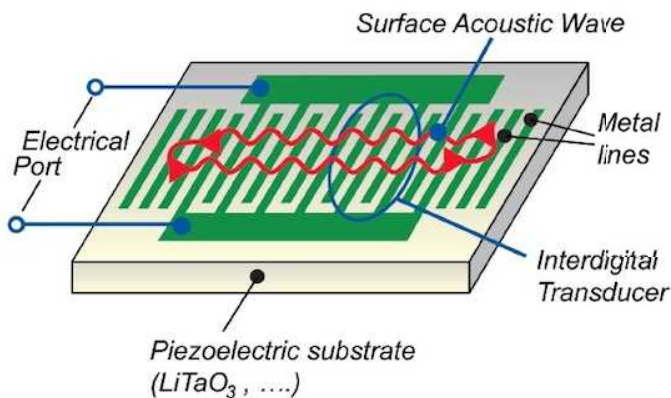


Whispering gallery mode resonator

# RF MEMS

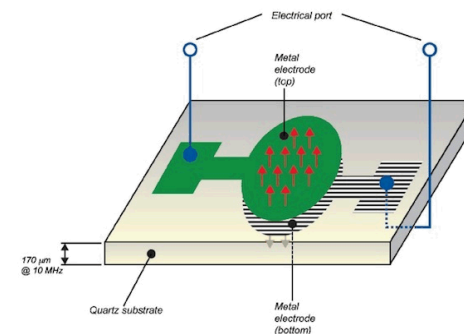
## Acoustic (Phononics) Devices

**SAW < 3GHz**



- Surface acoustic wave (SAW) devices:
  - Sound  $\sim 10,000\times$  slower than light
  - Lifetimes  $10^{-3}$  to  $10^{-6}$  seconds
  - Low-frequency stability

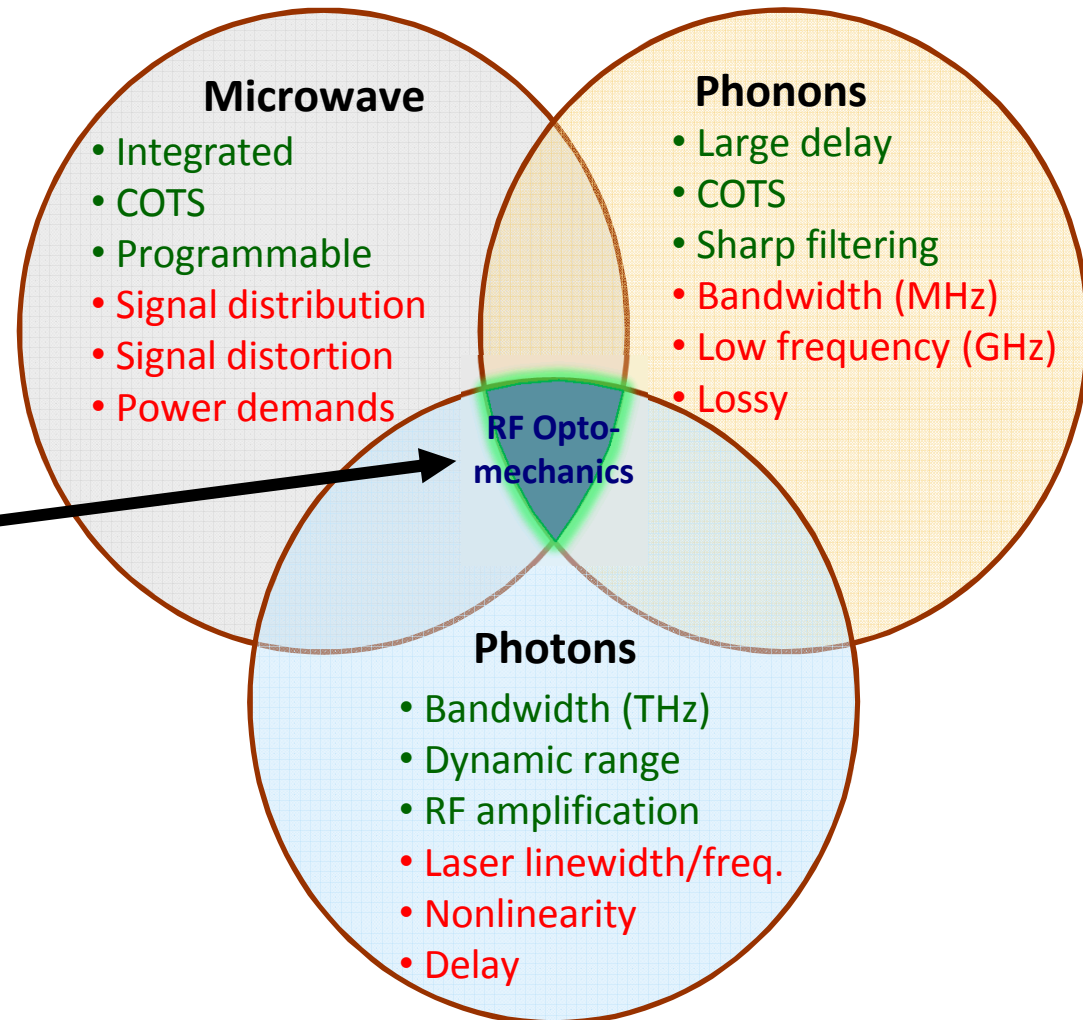
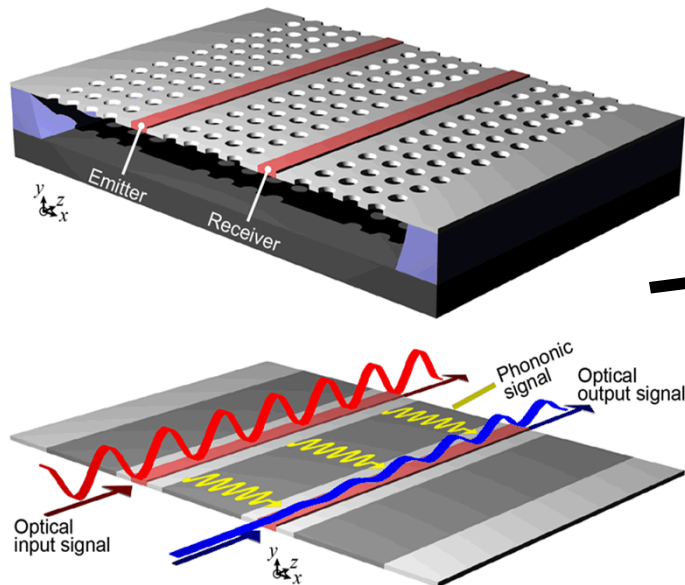
**Bulk Acoustic Wave (BAW) > 3GHz**



**Example: Narrow-band filtering and compact signal delay routinely achieved with SAW technology**

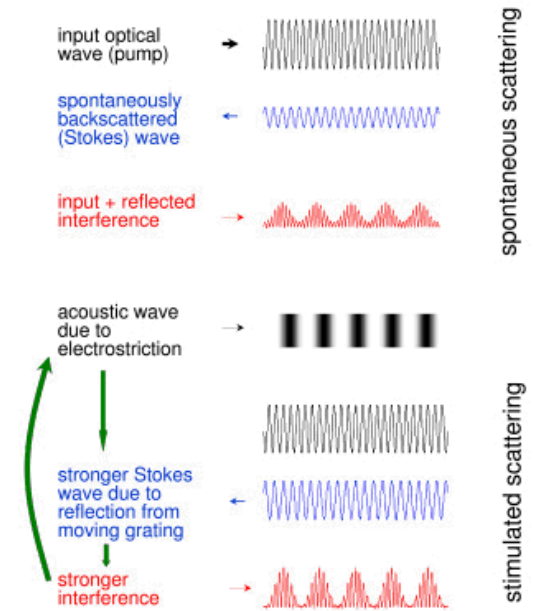
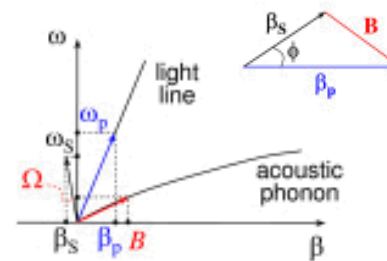
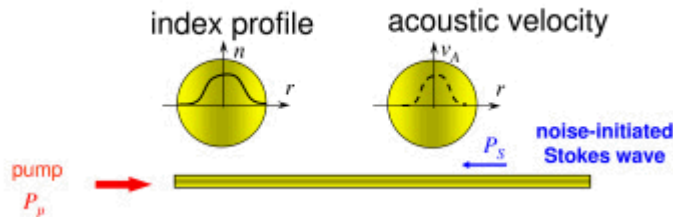
# Signal Processing with Optomechanics

## Photonic-Photonic RF Platform

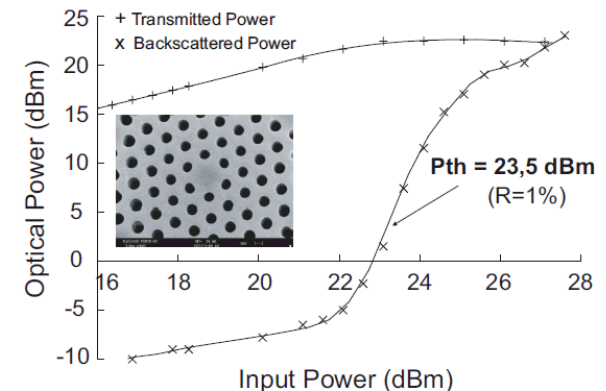


# Stimulated Brillouin Scattering (SBS)

- Backward SBS typically seen in optical fiber
  - Third-order nonlinear optical process
  - Mediated by electrostriction
  - Strong confinement of longitudinal acoustic modes allows for long-range interactions



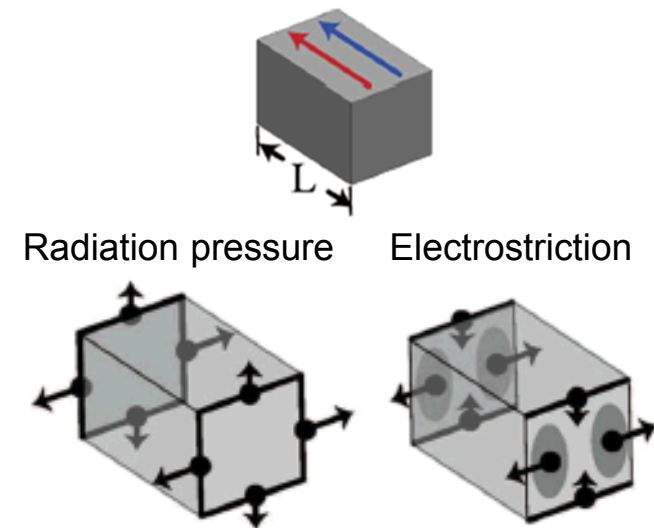
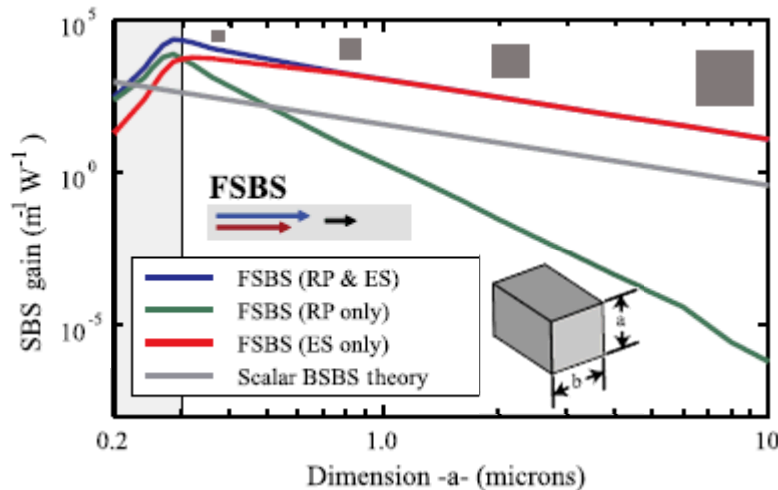
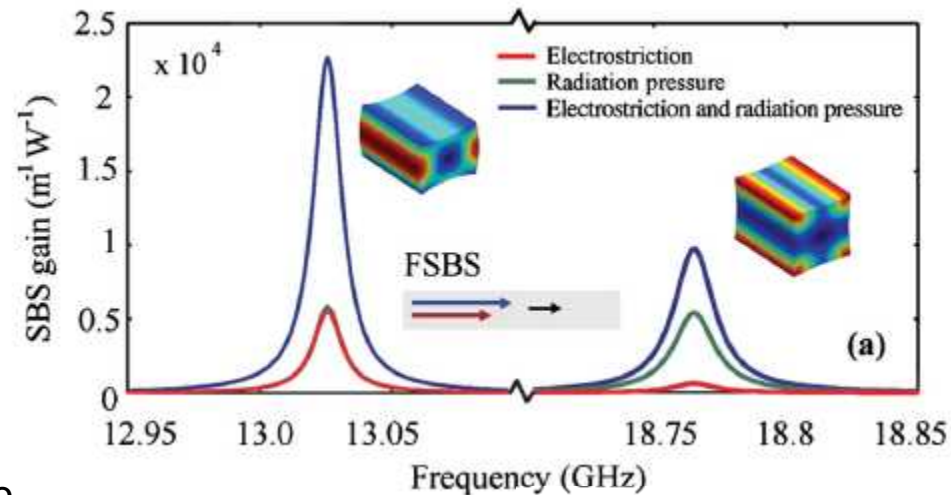
- Forward SBS recently observed in photonic crystal fiber
  - Transverse acoustic confinement enables new coupling mechanisms





# Enhancement of SBS

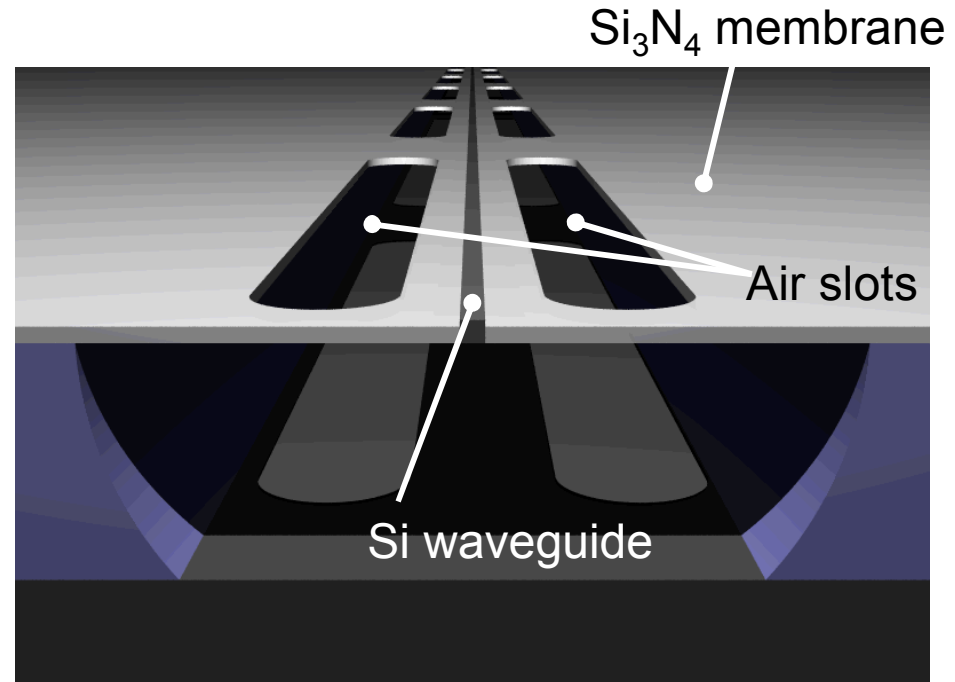
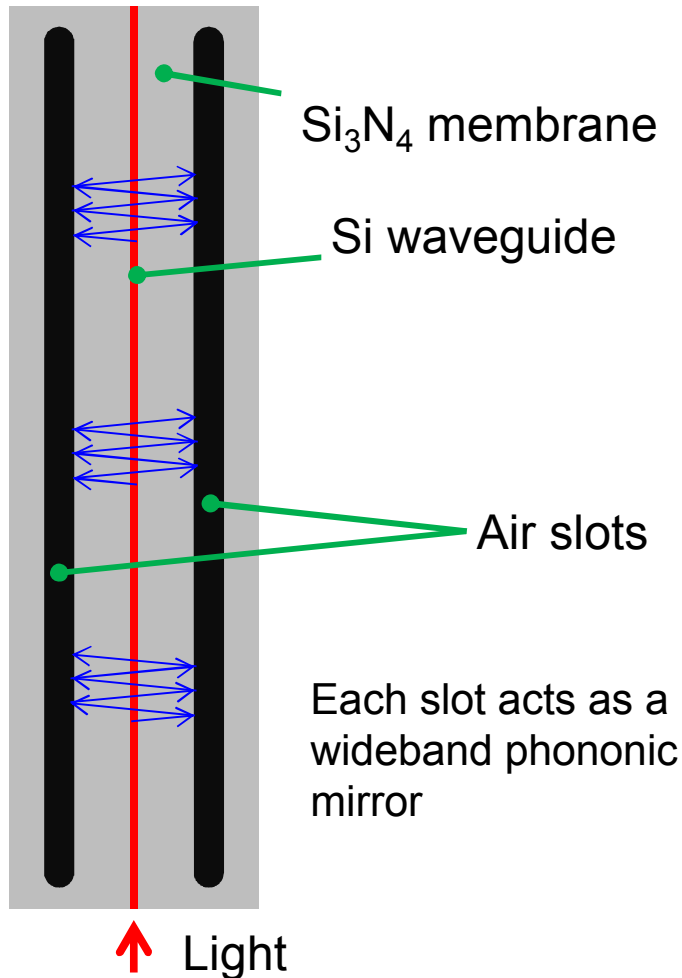
- Combination of electrostriction and radiation pressure more than double optomechanical forces
- Microscale SBS theory under-predicts nanoscale optomechanical forces
  - Nanoscale geometric effects
  - Different photoelastic coefficients
- Nanoscale forces **100x larger** than microscale prediction → SBS gain  $\sim 4 \cdot 10^3 \text{ m}^{-1} \text{ W}^{-1}$



Rakich, *et al.*, *Phys. Rev X* 2, 011008 (2012)

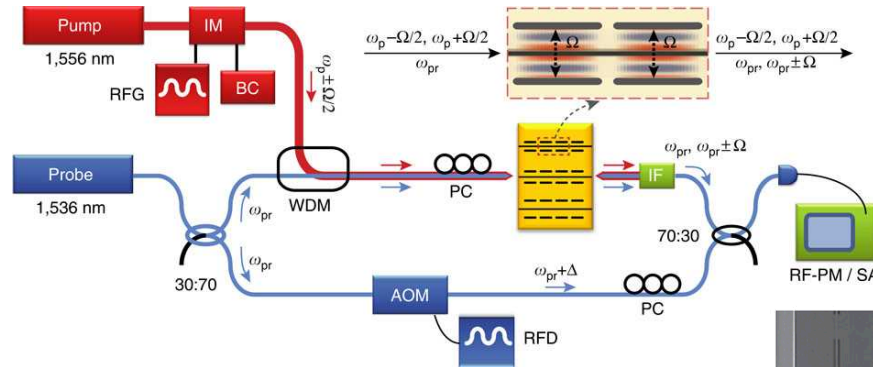
# Chip-Scale SBS Structures

Brillouin-active membrane  
and waveguide



- Reducing phonon dissipation
- Photonic waveguide (silicon)
- Phononic waveguide (SiN between slots)
- Strong photon-phonon **confinement**

# Chip-Scale SBS Amplification

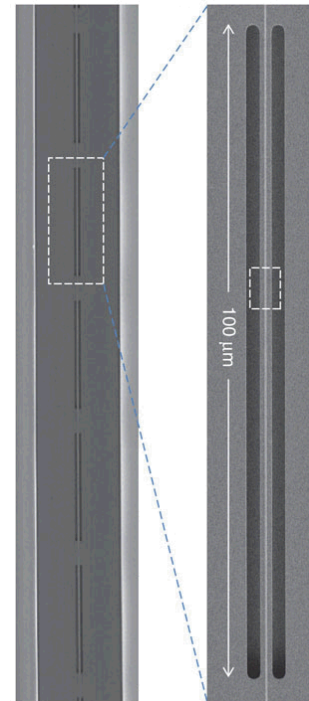


## Phononic Crystal BAM waveguide

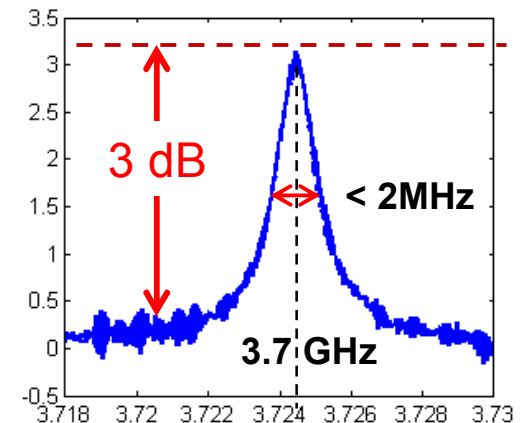
- Bragg reflection guides phonons
- Silicon waveguide  $\rightarrow$  1  $\mu\text{m}$  wide
- Low propagation loss  $\rightarrow$   $\sim 0.5 \text{ dB/cm}$

## Results:

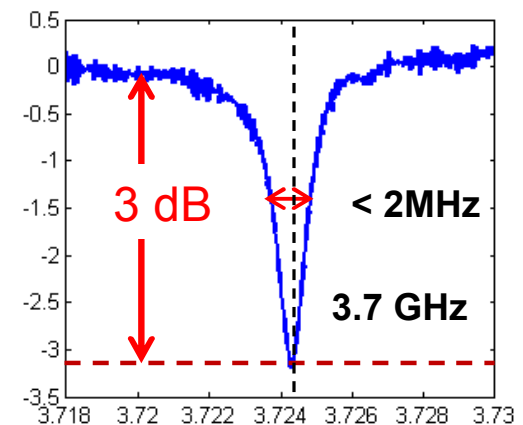
- High power handling  $\rightarrow$  300 mW
- Brillouin nonlinearity  $\rightarrow$   $\sim 5 \times$  Kerr
- Gain  $\rightarrow 2750 \text{ W}^{-1} \text{ m}^{-1}$



## Stokes: Amplification



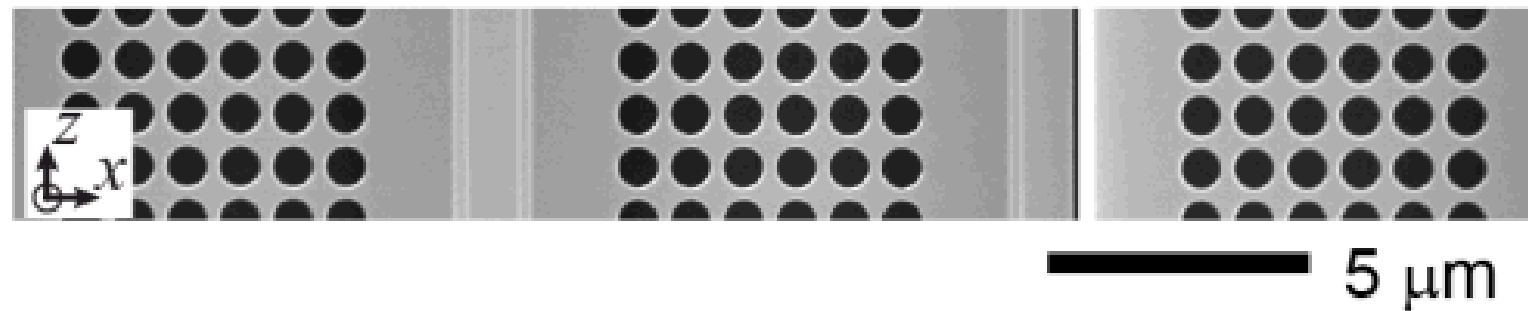
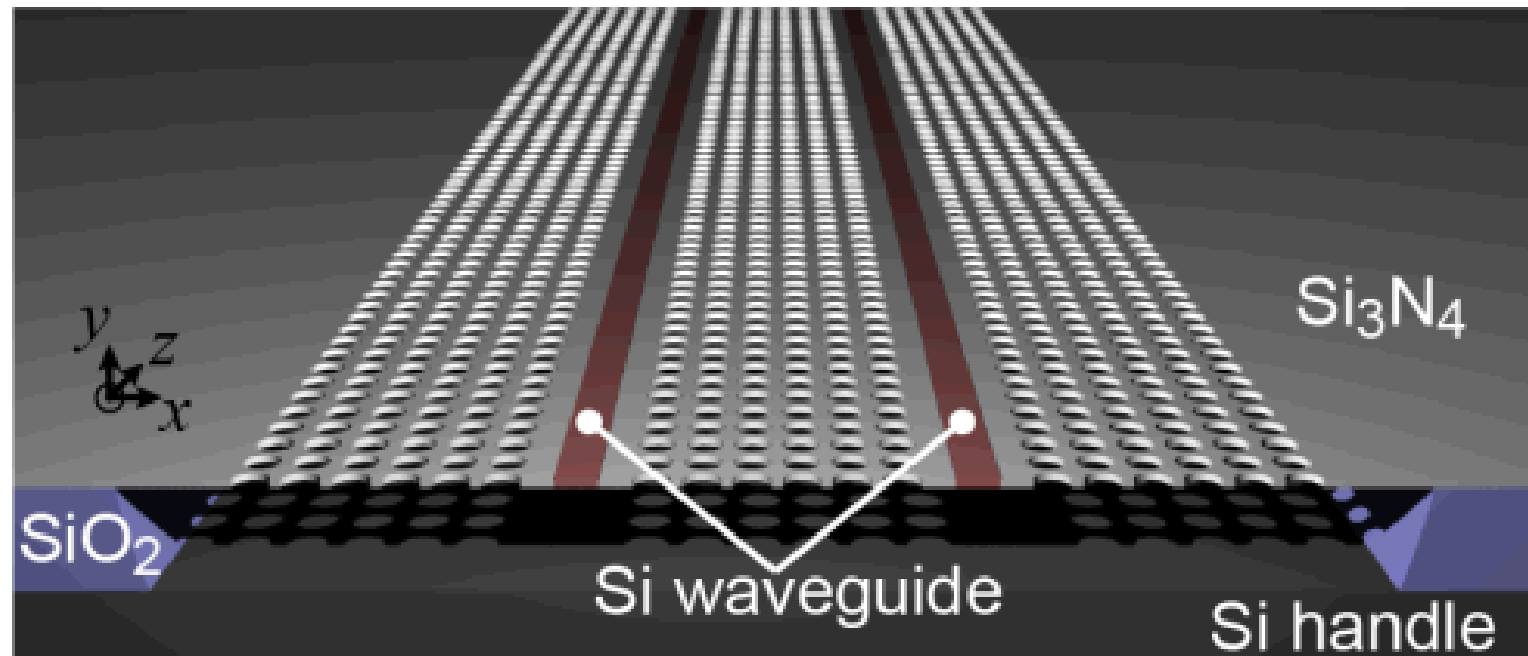
## Anti-Stokes: Depletion



Shin, et al., Nat. Comm. 4, 1944 (2013)



# Photon-Phonon Emitter-Receiver (PPER)



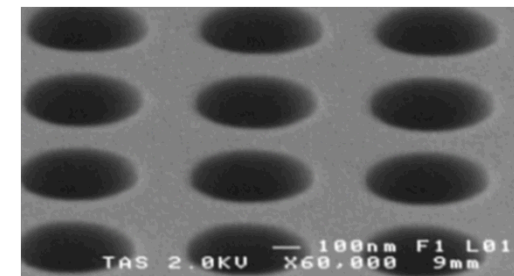
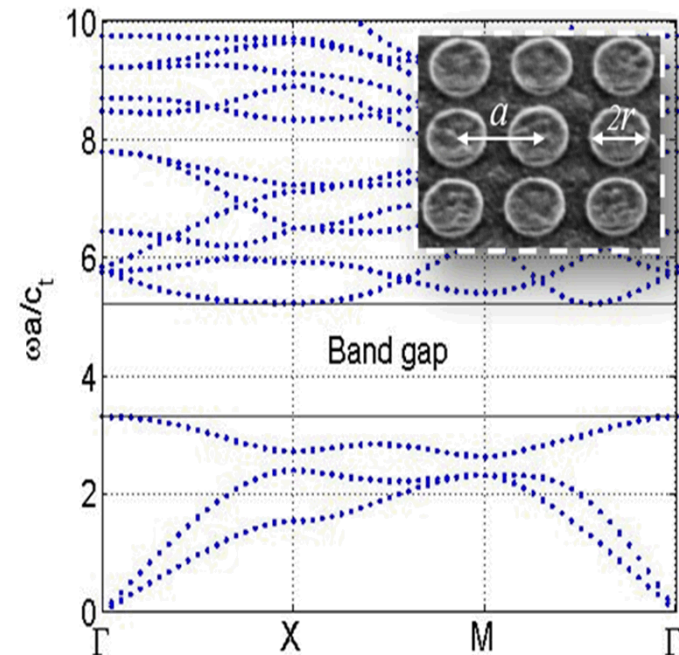
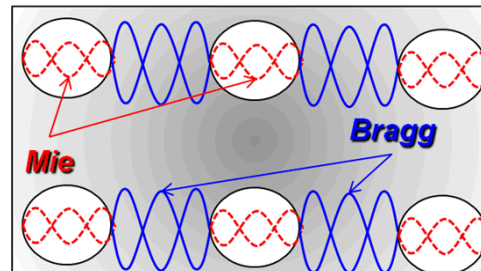
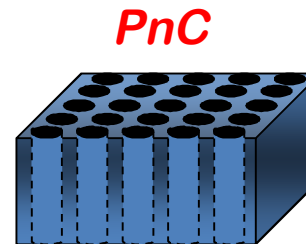
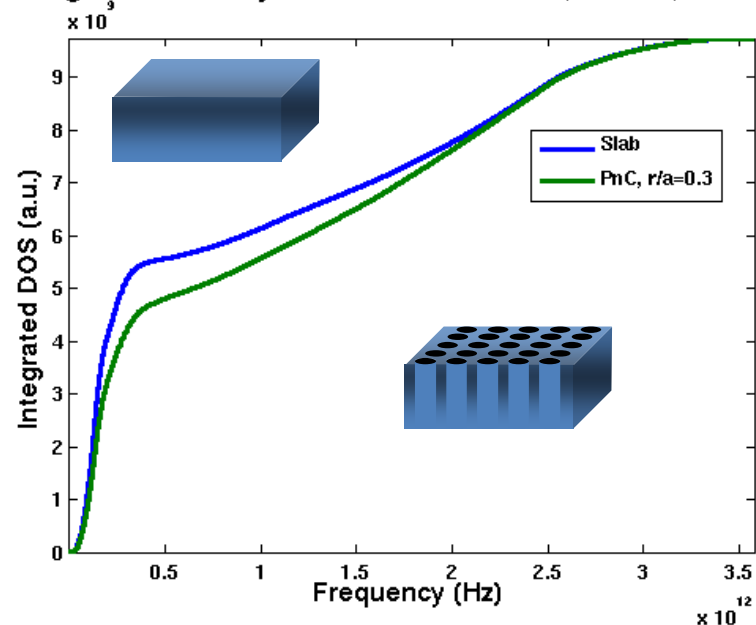
**Photonic-phononic emitter-receiver (PPER)**

# Phononic Crystals (PnCs)

## What are phononic crystals?

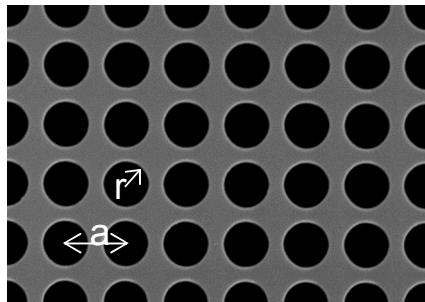
- **Periodic** arrangement of elastic scattering centers in a matrix material that exhibits both incoherent and Mie and Bragg resonant scattering
- Requires sufficient mechanical **impedance mismatch**

Integrated Density of States for Silicon,  $t/a=1.0$ ,  $a=500\text{nm}$



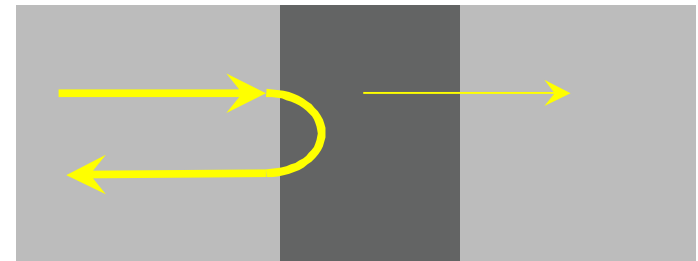
# Phononic Crystals (PnCs)

## Square lattice PnC

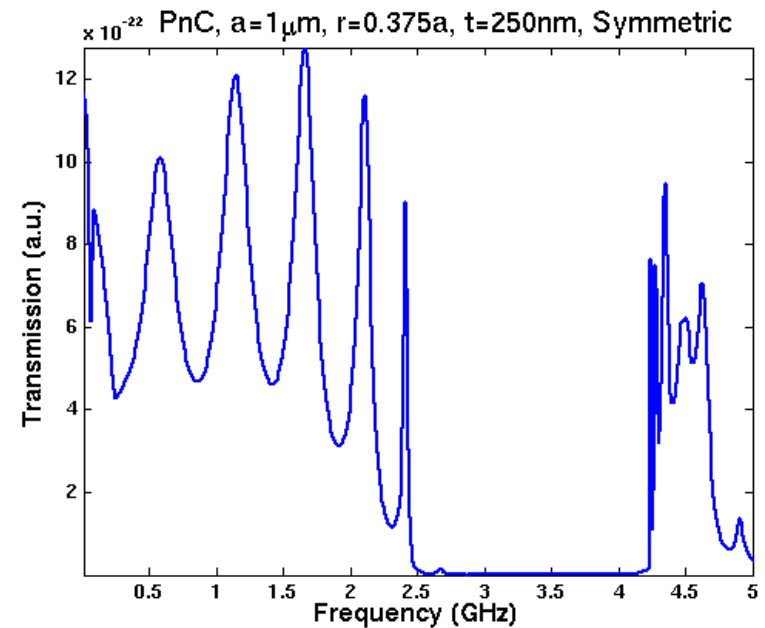
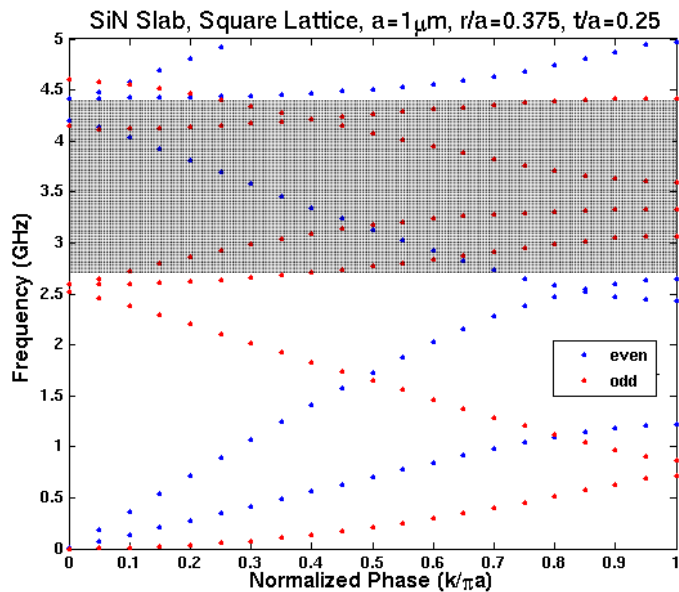


$$a = 1 \mu\text{m}$$

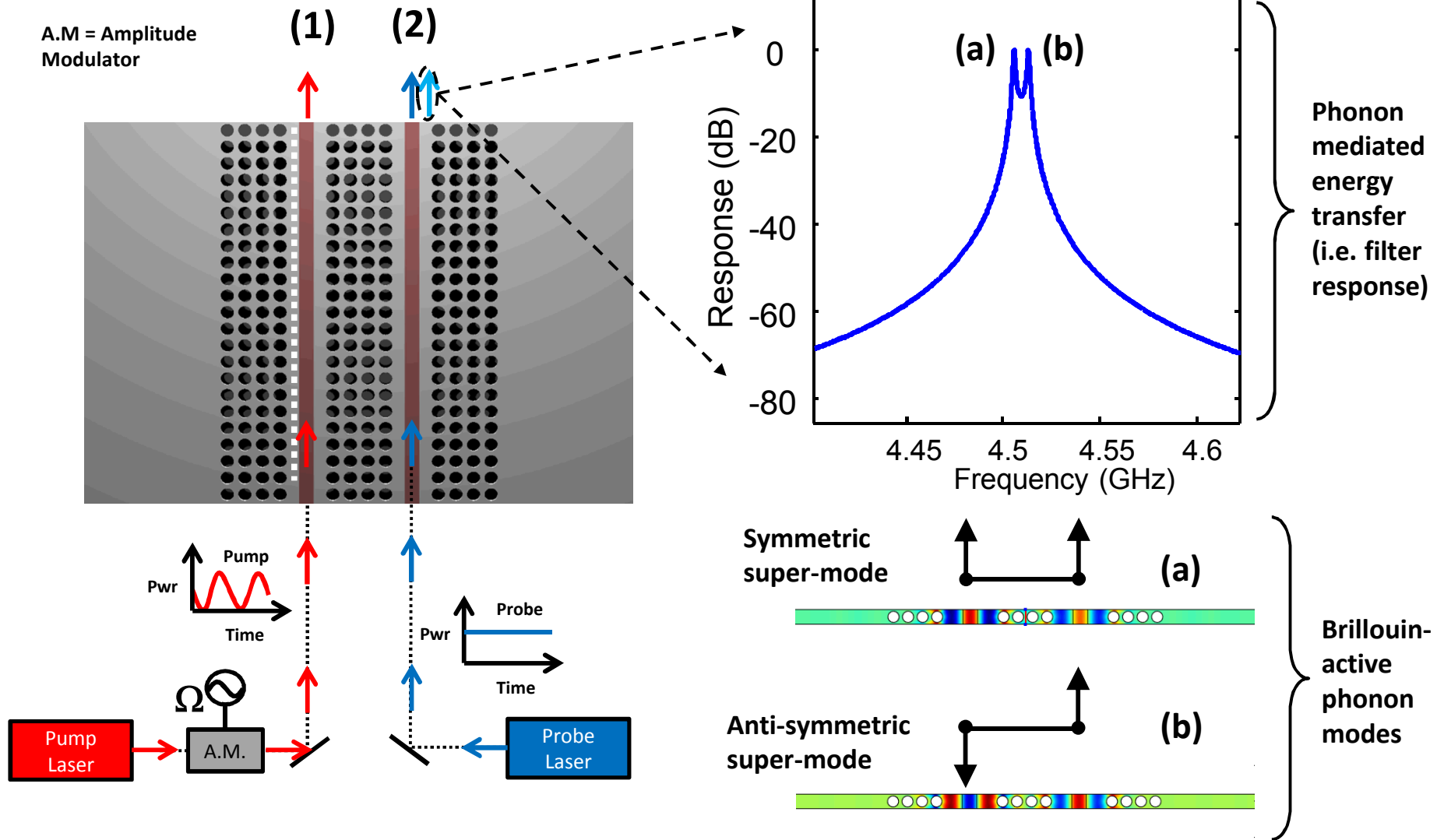
$$r = 0.385 \mu\text{m}$$



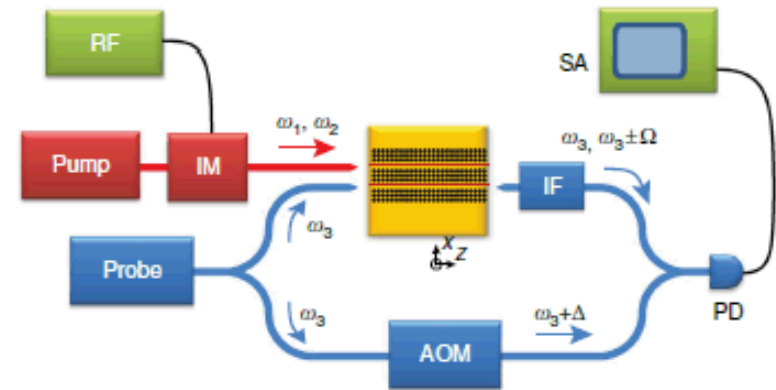
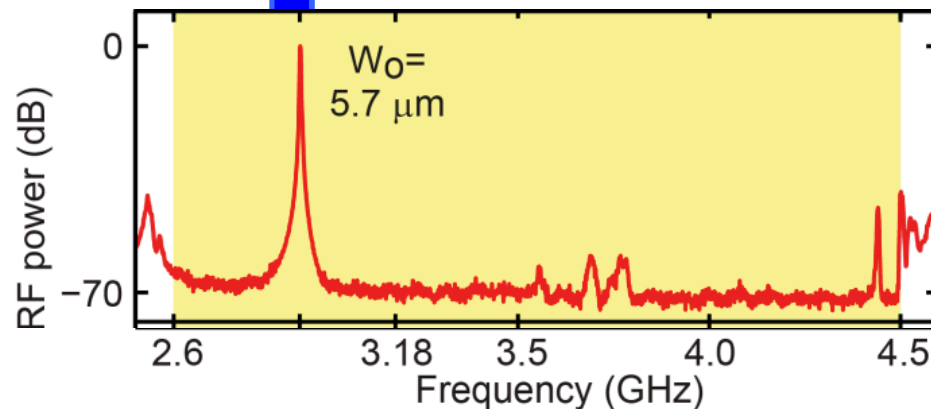
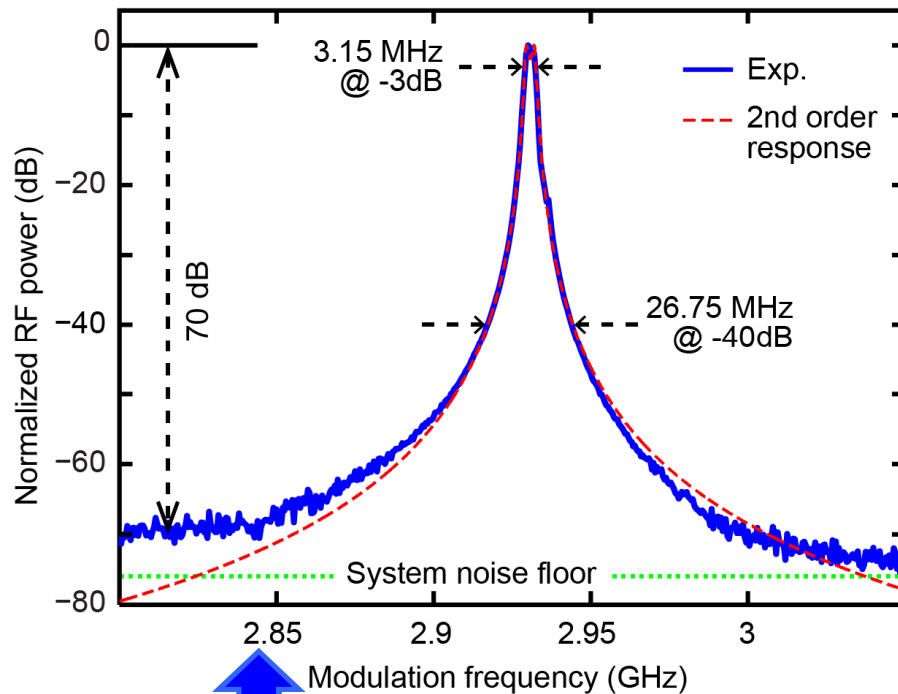
Khelif, et al., Phys. Rev. E 74, 046610 (2006)



# Dual-Waveguide PnC Cavity System



# PPER Device RF Response



Center frequency,  $f_o = 2.93\text{GHz}$

3-dB bandpass bandwidth,  $B = 3.15\text{MHz}$

Stopband attenuation,  $A > 70\text{dB}$

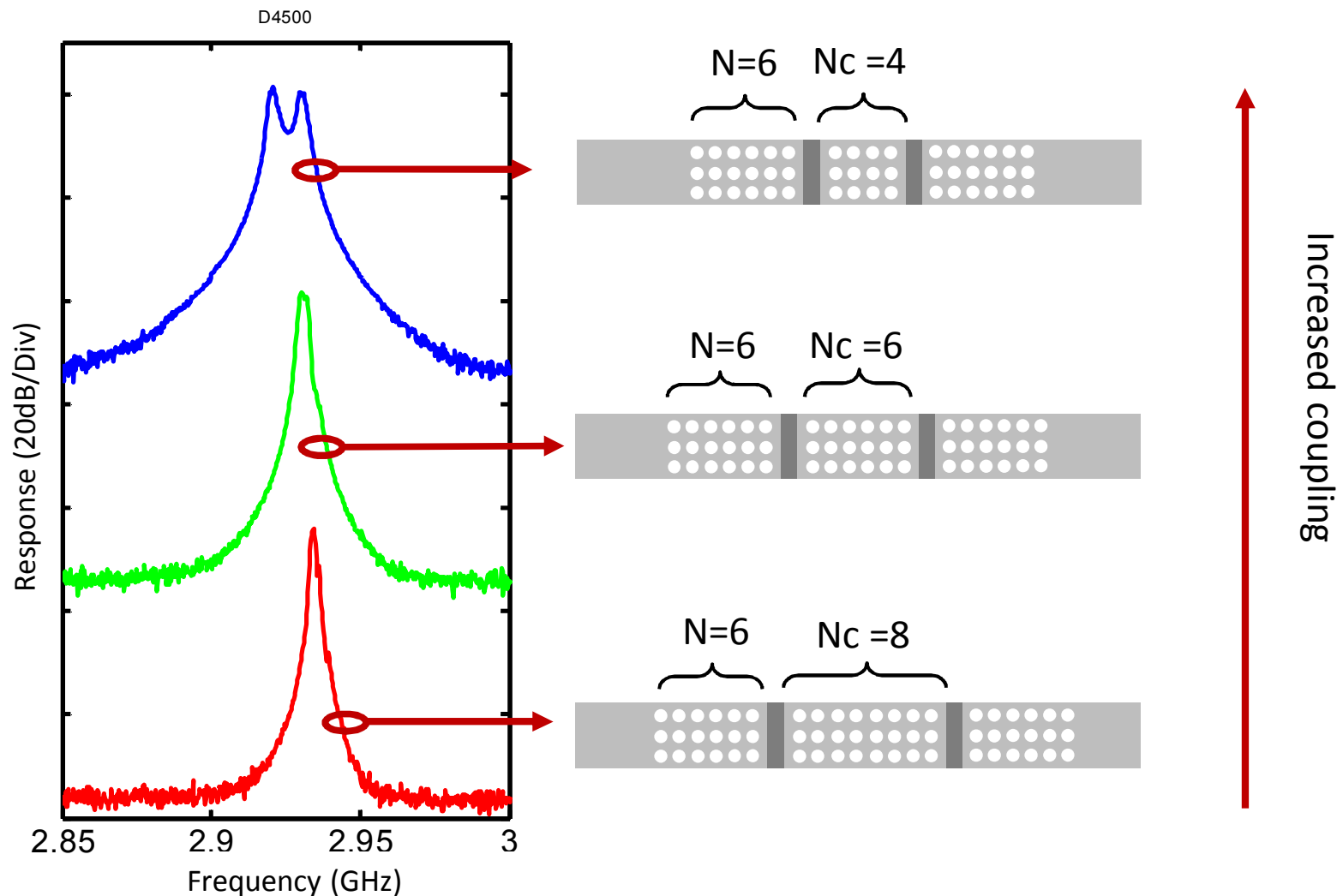
Rejection bandwidth,  $B_R = 1.9\text{GHz}$

High power handling, 36mW (110mW for 3dB/cm loss)

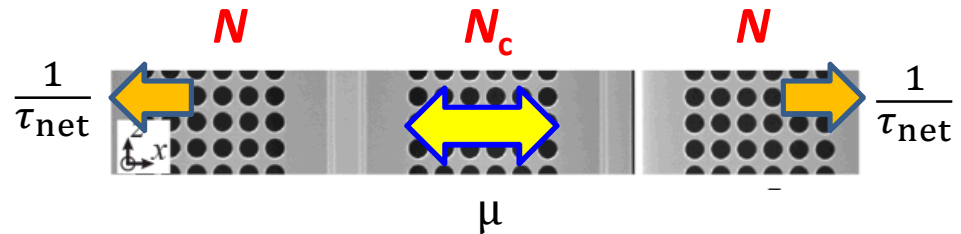
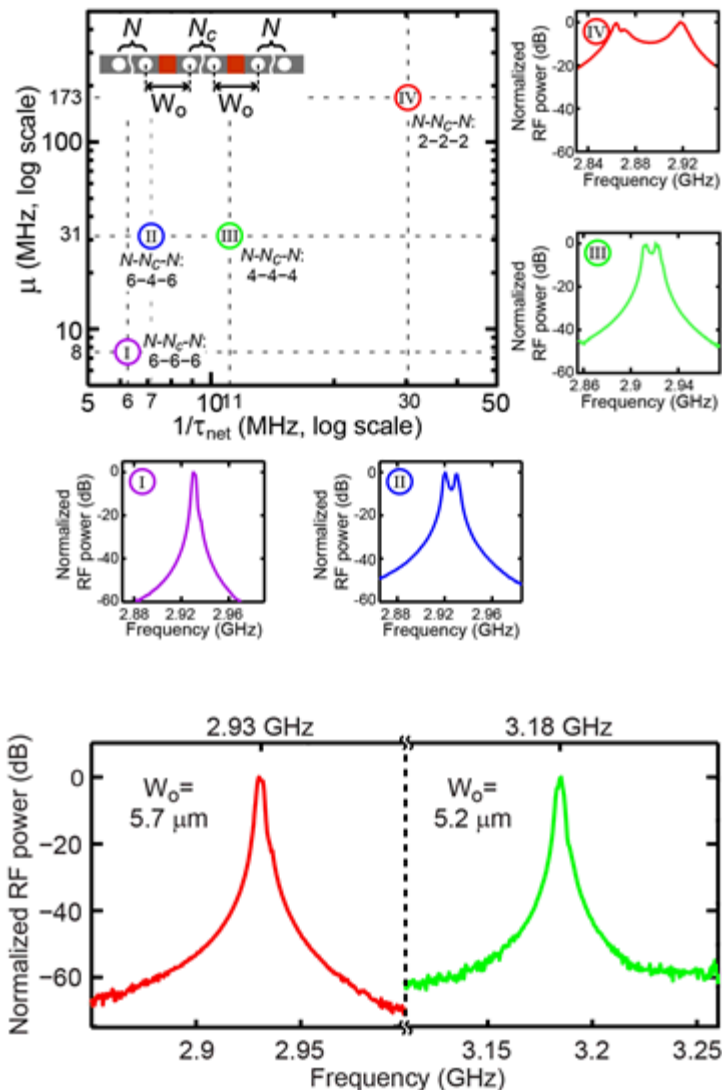
Shin, *et al.*, *Nat. Comm.* **6**, 6427 (2015)



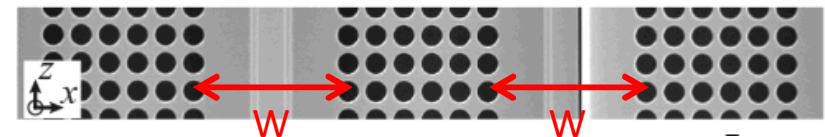
# PnC Engineering of Coupling Strength



# PPER Device RF Response



- Peak separation and/or bandwidth tuned by varying the number of PnC hole layers



- Center frequency tuned by changing the phononic cavity width,  $W$

# Comparison with RF Photonics

## RF Photonic Filters Using All-Optical Methods

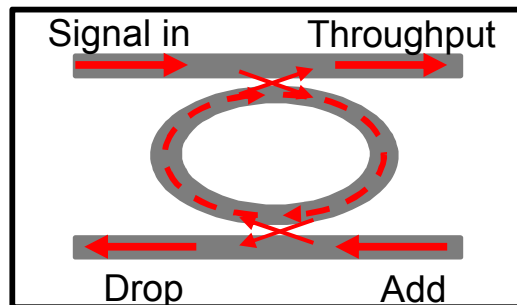
Asymmetric MZI

Bragg grating

Whispering gallery mode resonator

Ring resonator

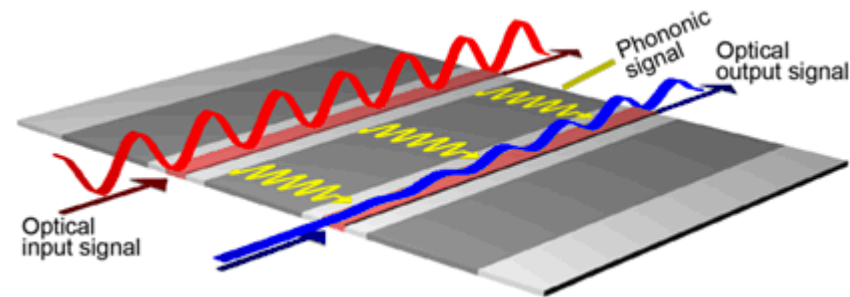
## Resonator-based RF photonic filters



- Requires high optical Q ( $\sim 10^7$ )
- Low power handling (optical nonlinearities)
- Requires narrow linewidth lasers
- Requires frequency locking
- Higher-order filter responses difficult

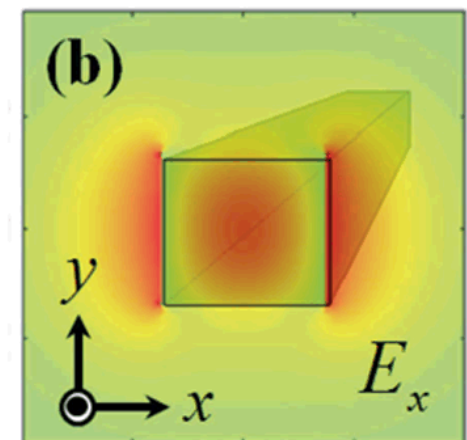
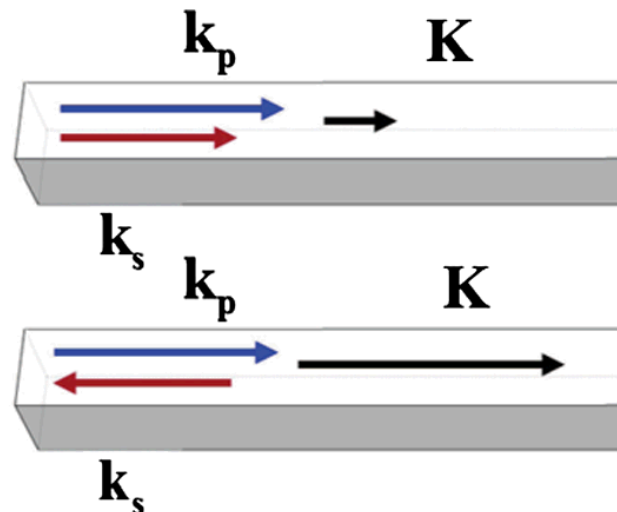
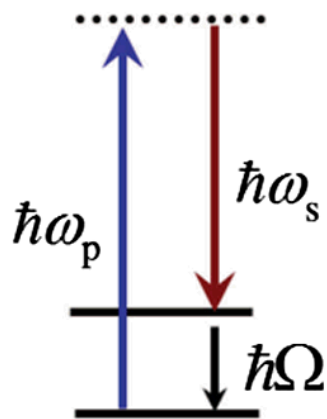
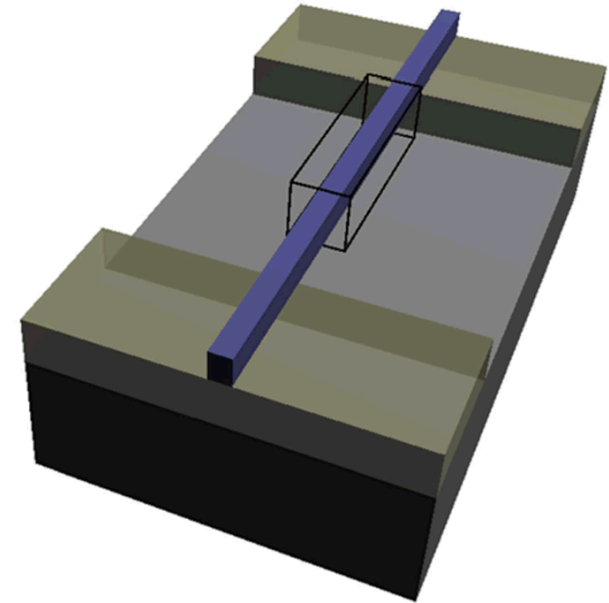
# Summary

- Demonstrated SBS gain values as large as  $>2000\text{m}^{-1}\text{W}^{-1}$  in a 7mm-long device, equivalent to the SBS nonlinearity of more than a meter of conventional silica fiber
- Demonstrated chip-scale, agile RF filtering with MHz linewidths, GHz bandwidth, and  $>70\text{dB}$  of dynamic range



# SBS in Nanophotonic Waveguides

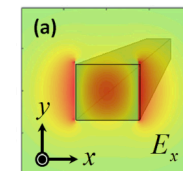
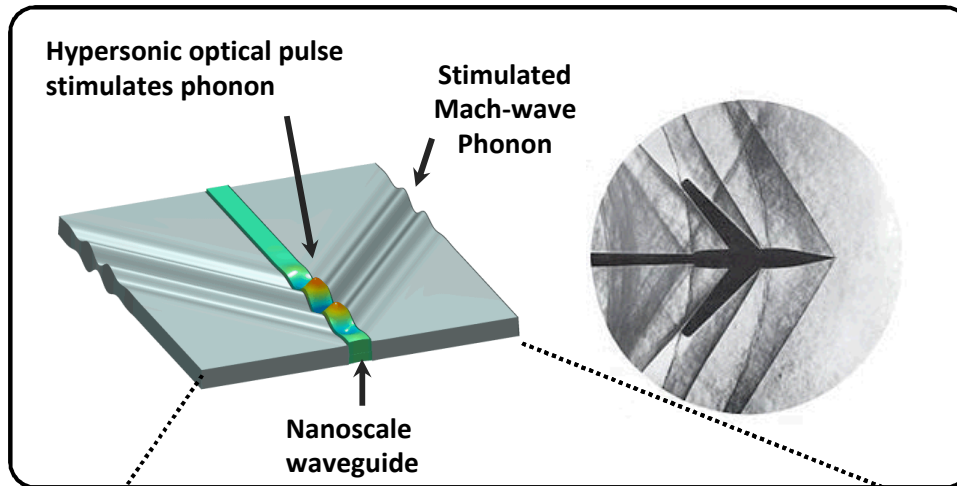
- Nano-scale photonic waveguides
- Tight optical mode confinement → Strong interaction with waveguide boundaries
- Mediated by both electrostriction and radiation pressure





# Stimulated Mach-Wave Phonon Emission

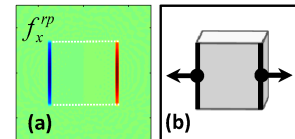
## New Physics: Stimulated Mach-wave Phonon Emission



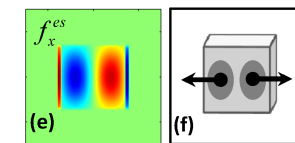
300nm x 300nm

## Enhanced optical forces

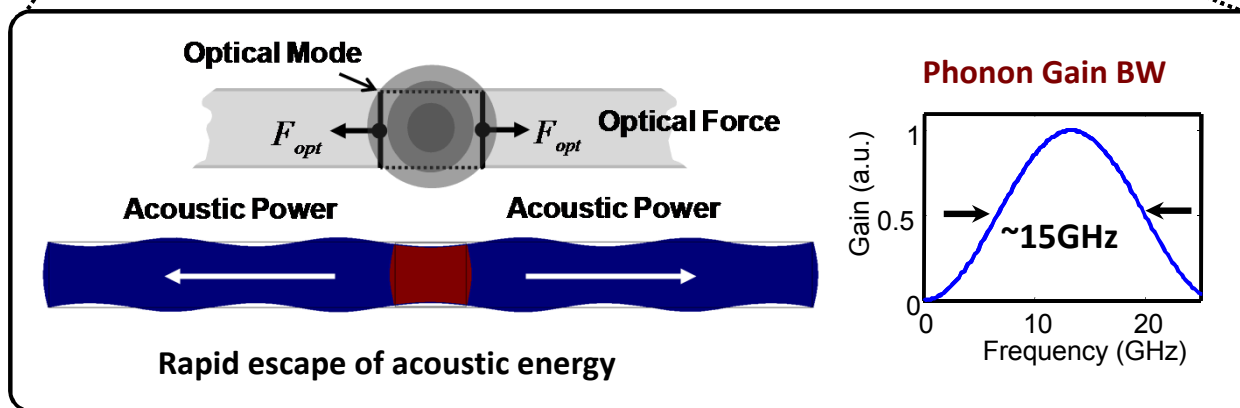
### Radiation Pressure



### Electrostrictive Forces



## Result: Ultra-Broadband Stimulated Phonon Emission



## Mach-wave emission:

- New chip-scale signal processing platform
- Wide-band and narrow-band parametric processes