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Nano-Scale Optomechanical Devices and Phononic Crystals for RF Signal Processing

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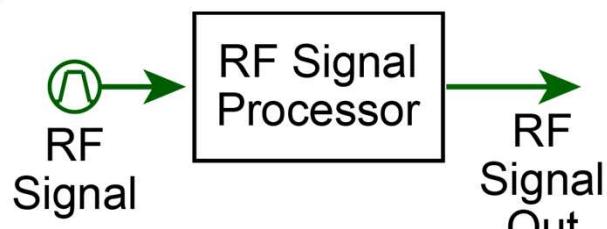
cmreink@sandia.gov



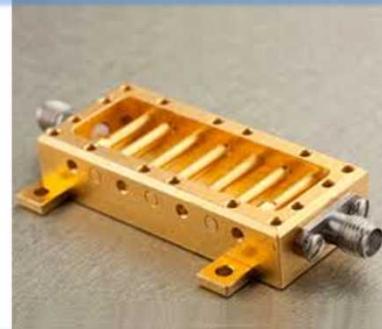
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RF Signal Processing

RF Electronics

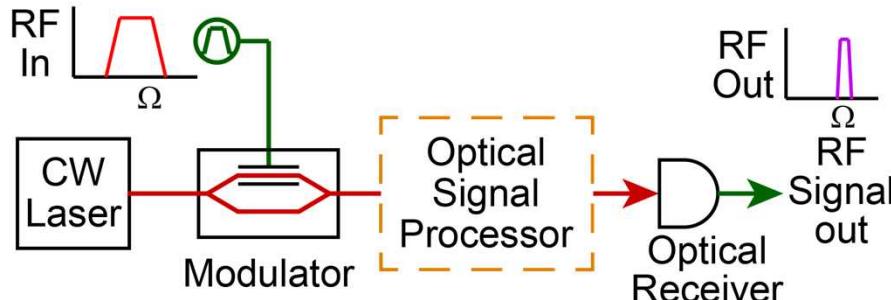
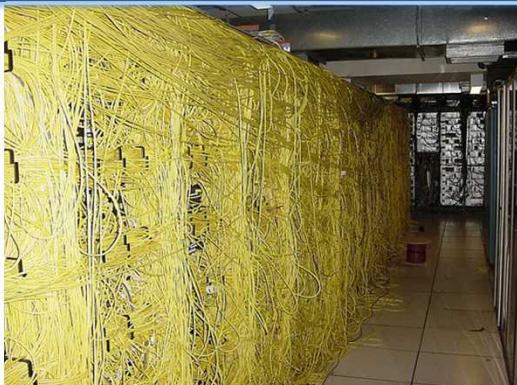


- Filters
- Time delay line
- Phase shifter
- Frequency converter
- Etc.

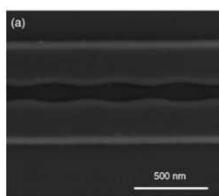


- Generally must be comparable in size to the RF wavelength
- SWaP increases with performance

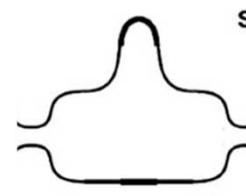
RF Photonics



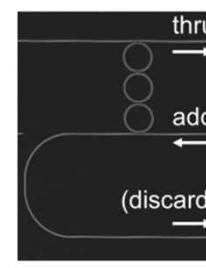
- Very large inherent bandwidth (>100THz)
- Integrated photonics could yield dramatic size and power reductions



Bragg grating



Asymmetric MZI



Ring resonator

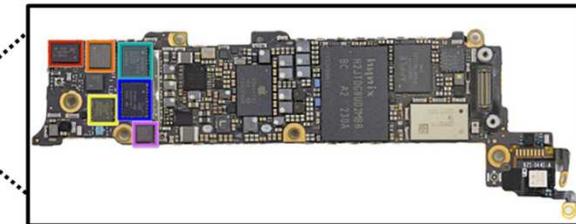
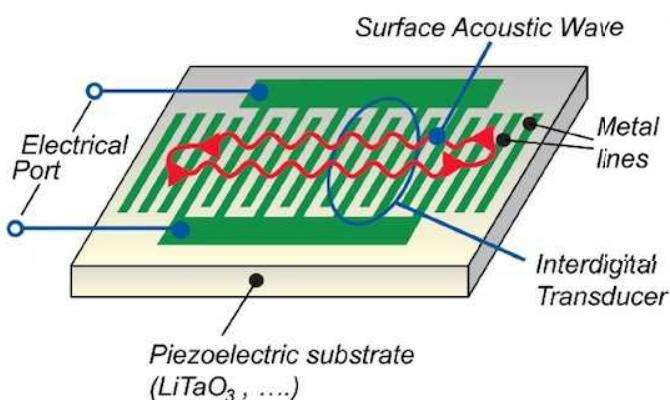


Whispering gallery mode resonator

RF MEMS

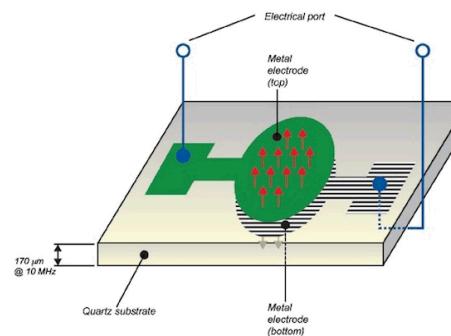
Acoustic (Phononics) Devices

SAW < 3GHz



- Surface acoustic wave (SAW) devices:
 - Sound \sim 10,000x slower than light
 - Lifetimes 10^{-3} to 10^{-6} seconds
 - Low-frequency stability

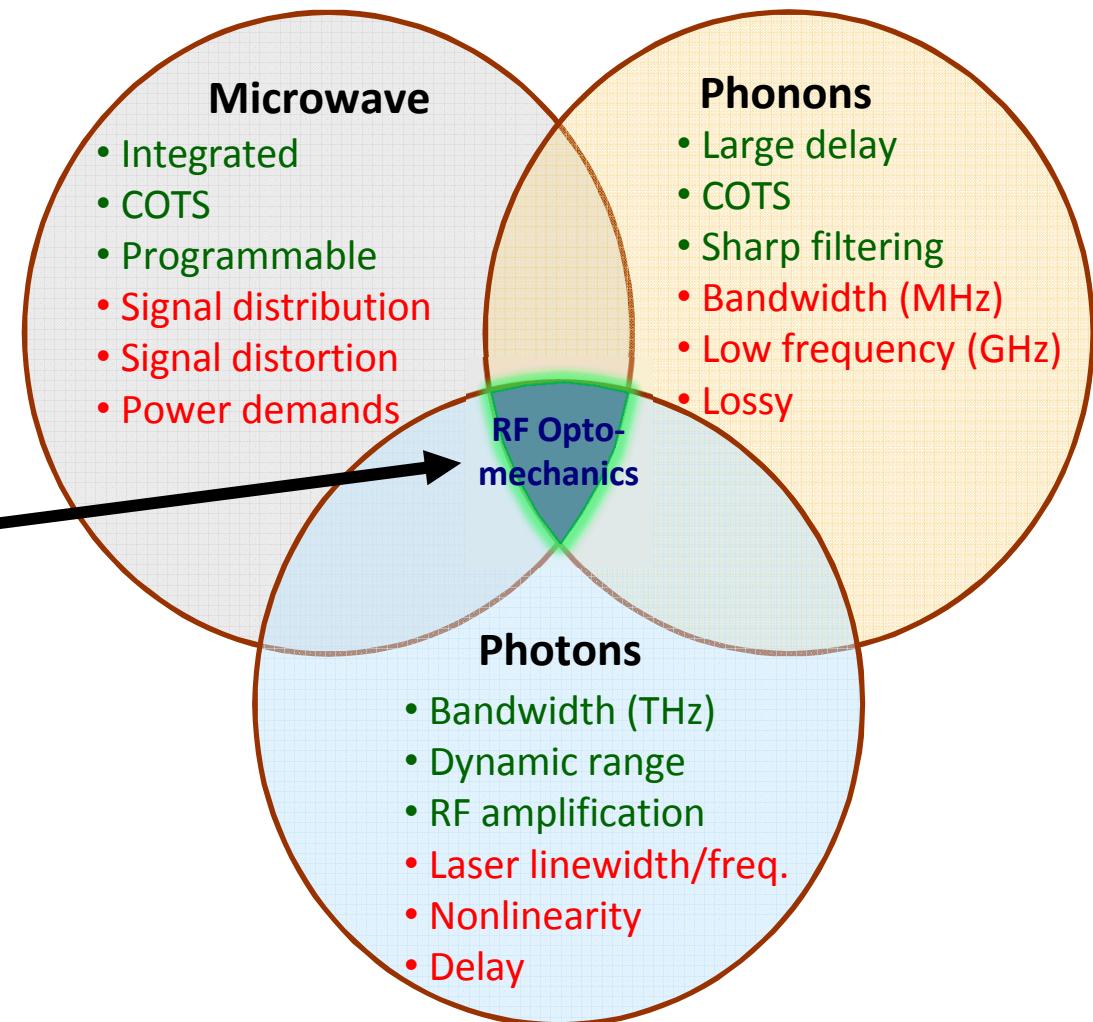
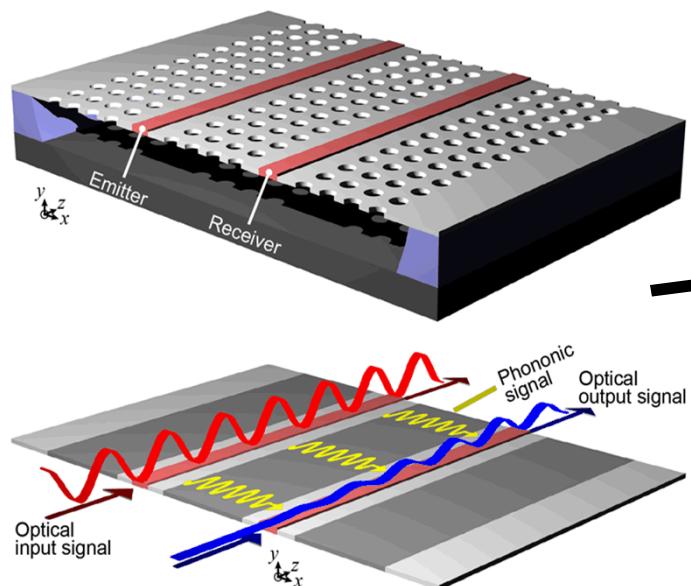
Bulk Acoustic Wave (BAW) > 3GHz



Example: Narrow-band filtering and compact signal delay routinely achieved with SAW technology

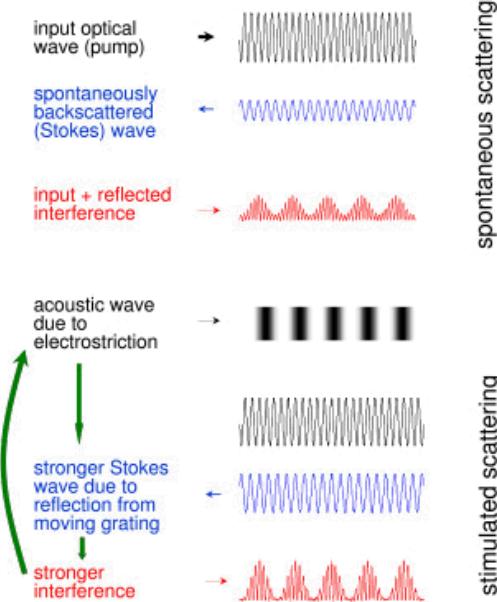
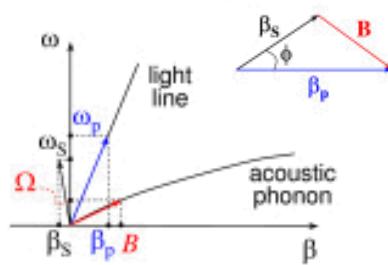
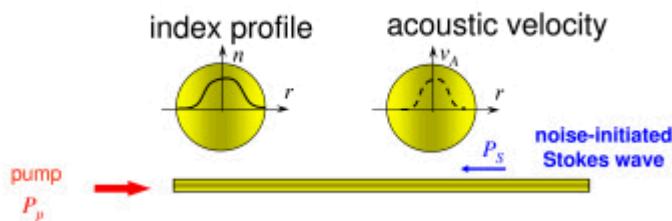
Signal Processing with Optomechanics

Photonic-Photonic RF Platform

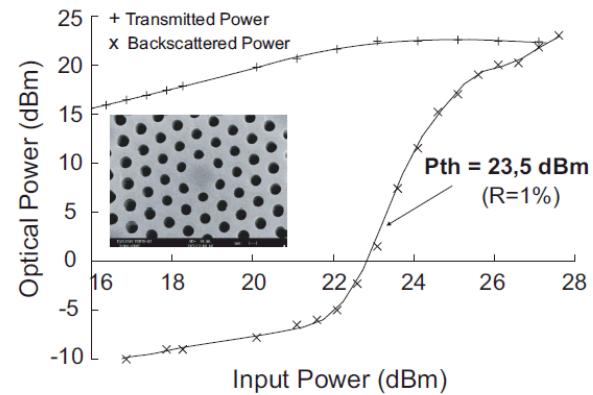


Stimulated Brillouin Scattering (SBS)

- Backward SBS typically seen in optical fiber
 - Third-order nonlinear optical process
 - Mediated by electrostriction
 - Strong confinement of longitudinal acoustic modes allows for long-range interactions

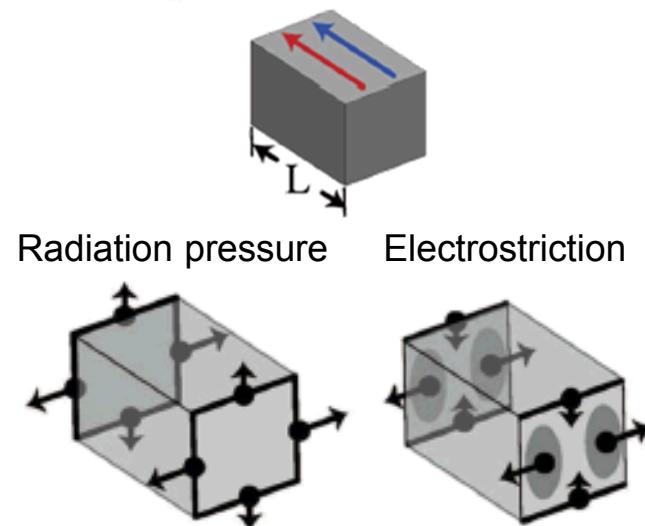
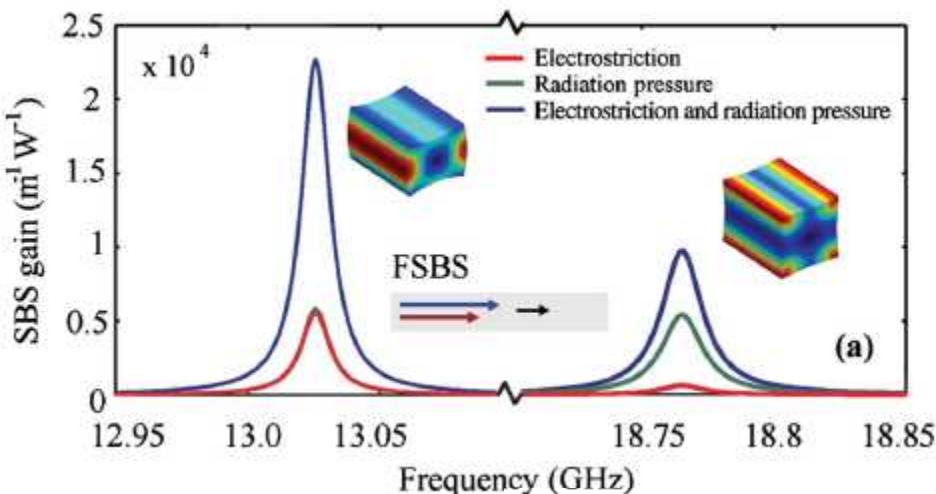
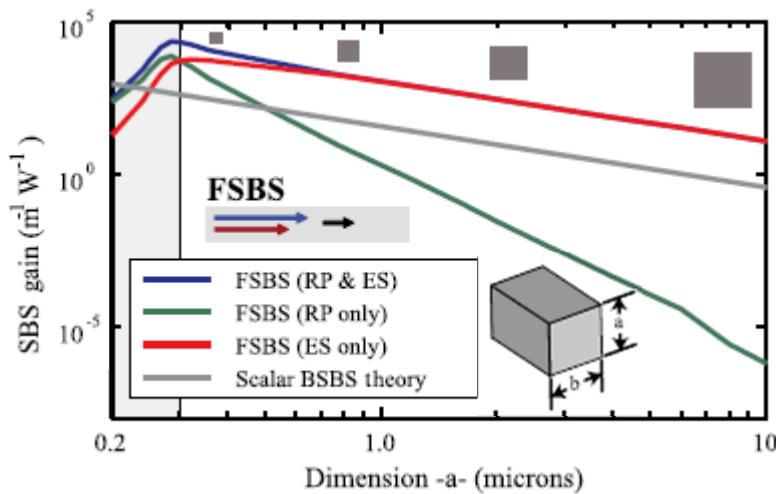


- Forward SBS recently observed in photonic crystal fiber
 - Transverse acoustic confinement enables new coupling mechanisms



Enhancement of SBS

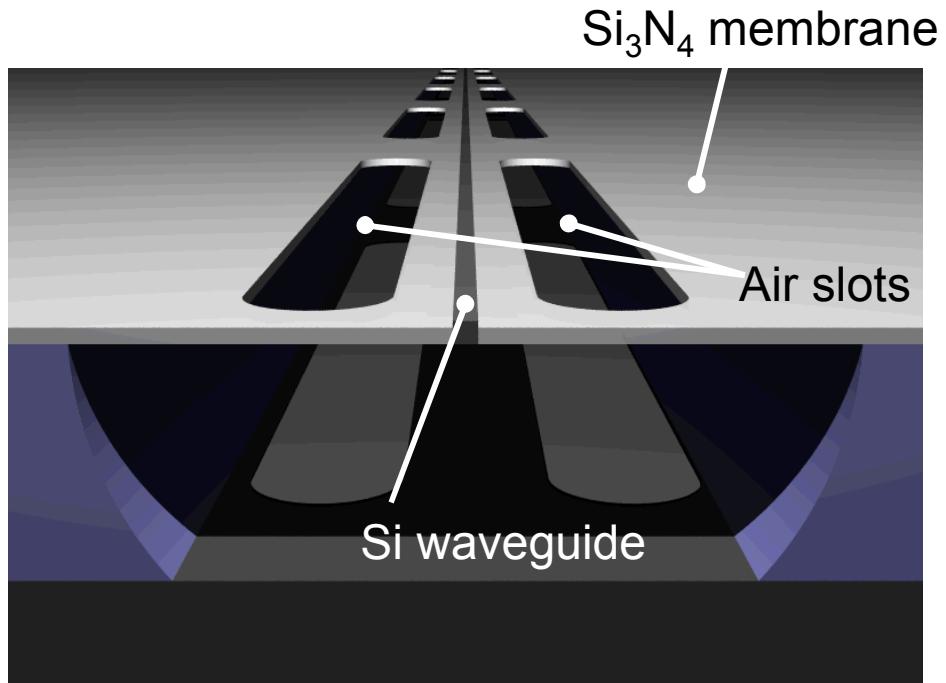
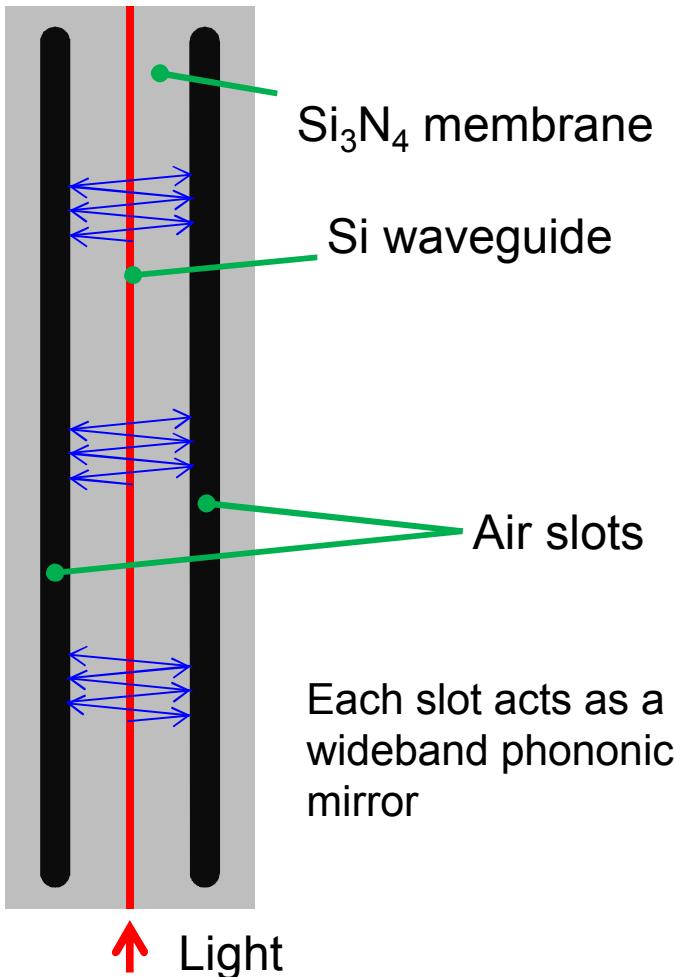
- Combination of electrostriction and radiation pressure more than double optomechanical forces
- Microscale SBS theory under-predicts nanoscale optomechanical forces
 - Nanoscale geometric effects
 - Different photoelastic coefficients
- Nanoscale forces **100x larger** than microscale prediction → SBS gain $\sim 4 \cdot 10^3 \text{ m}^{-1} \text{ W}^{-1}$



Rakich, et al., *Phys. Rev X* **2**, 011008 (2012)

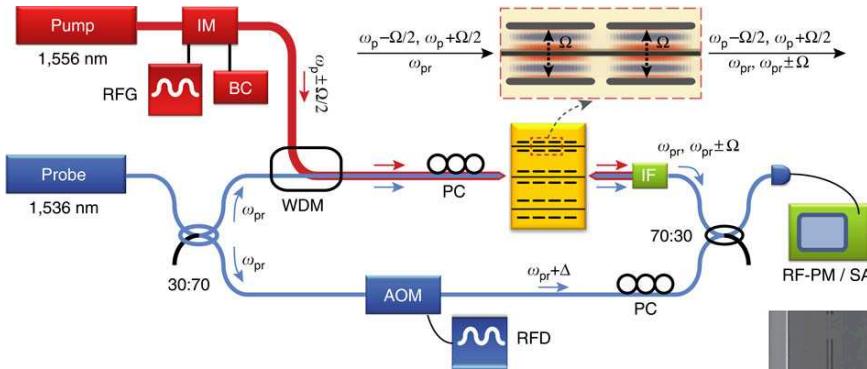
Chip-Scale SBS Structures

Brillouin-active membrane and waveguide



- Reducing phonon dissipation
- Photonic waveguide (silicon)
- Phononic waveguide (SiN between slots)
- Strong photon-phonon **confinement**

Chip-Scale SBS Amplification

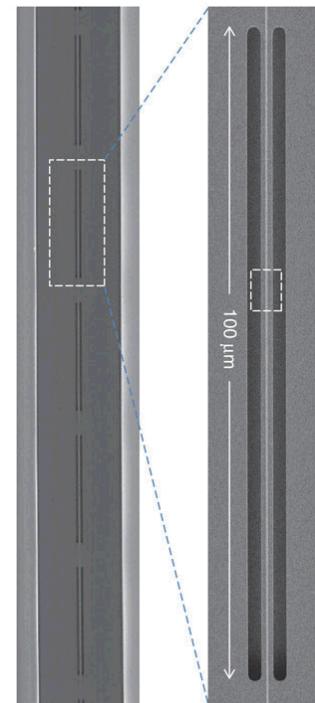


Phononic Crystal BAM waveguide

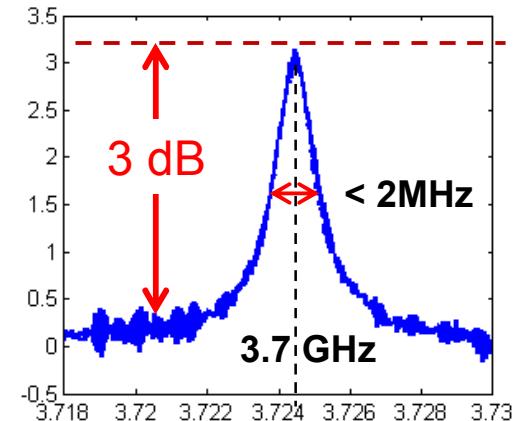
- Bragg reflection guides phonons
- Silicon waveguide \rightarrow 1um wide
- Low propagation loss \rightarrow $\sim 0.5\text{dB/cm}$

Results:

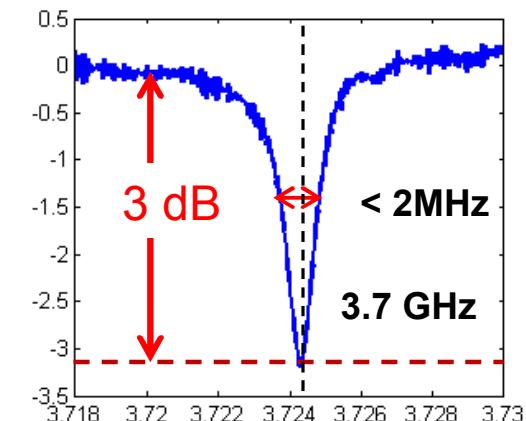
- High power handling \rightarrow 300mW
- Brillouin nonlinearity \rightarrow $\sim 5\times$ Kerr
- Gain \rightarrow $2750\text{W}^{-1}\text{m}^{-1}$



Stokes: Amplification

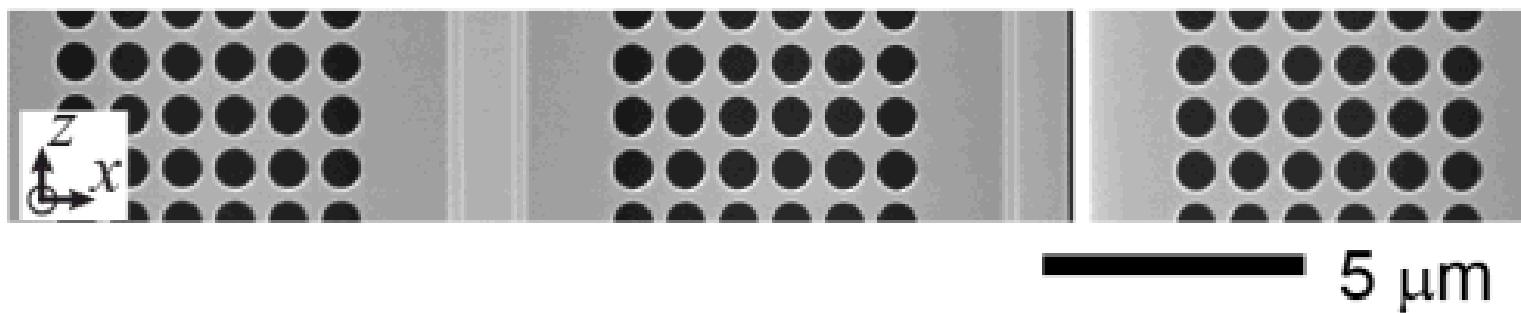
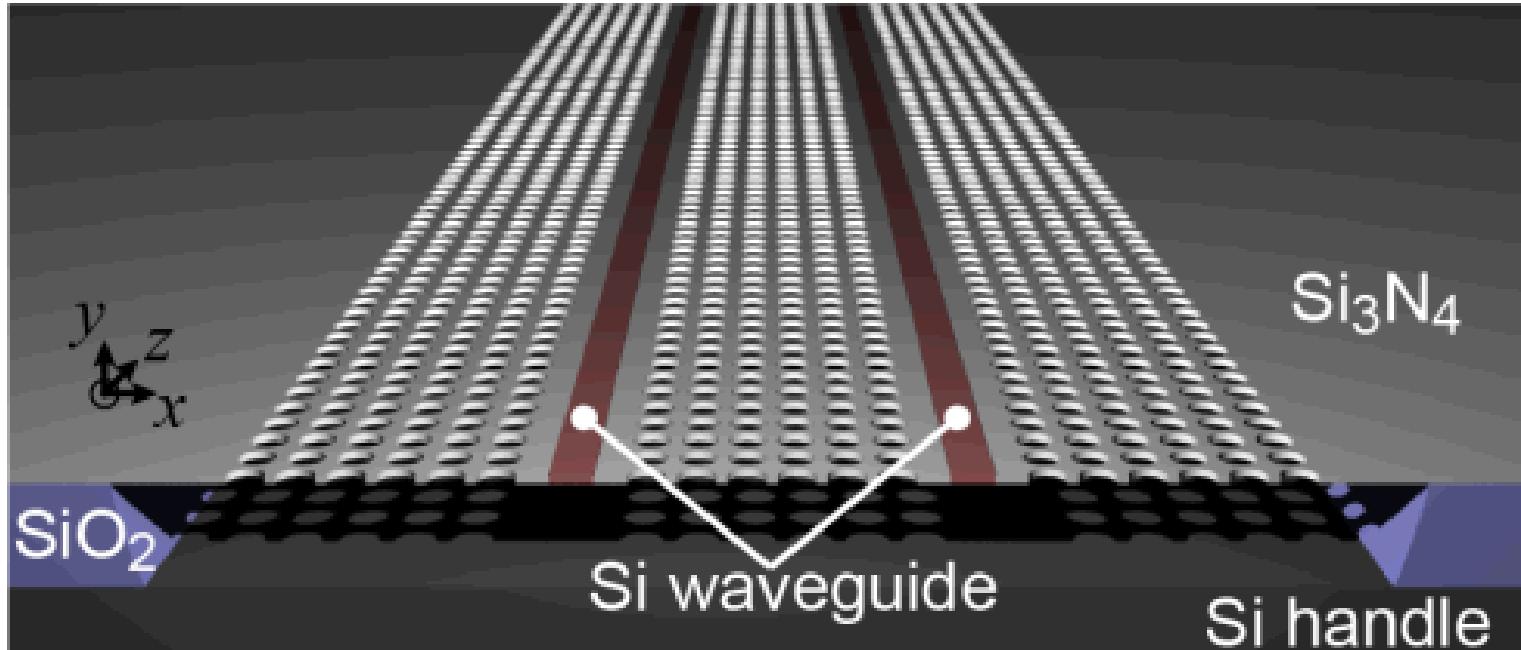


Anti-Stokes: Depletion



Shin, et al., *Nat. Comm.* 4, 1944 (2013)

Photon-Phonon Emitter-Receiver (PPer)

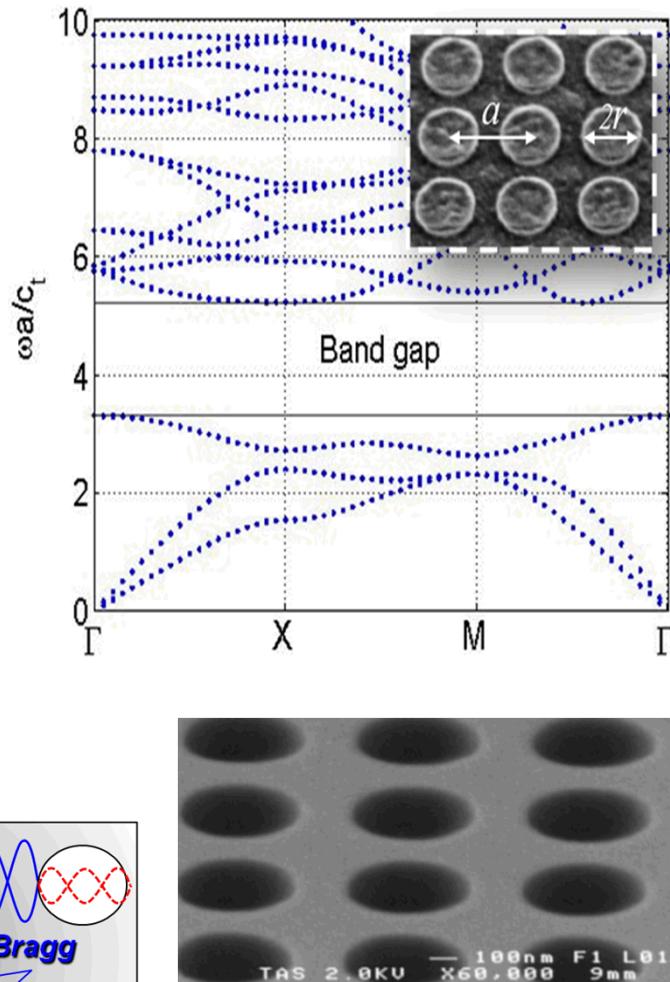
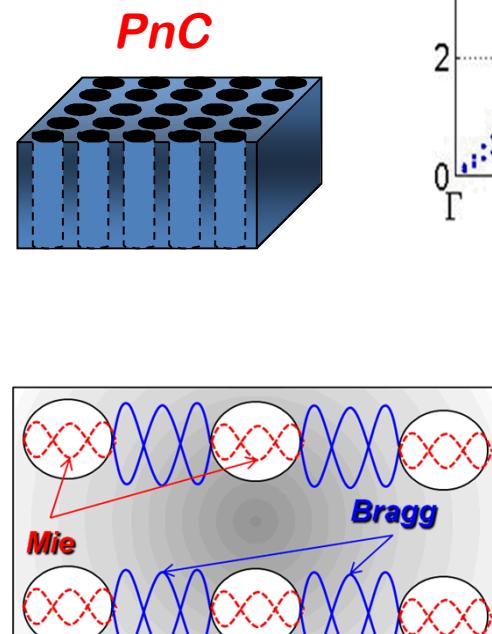
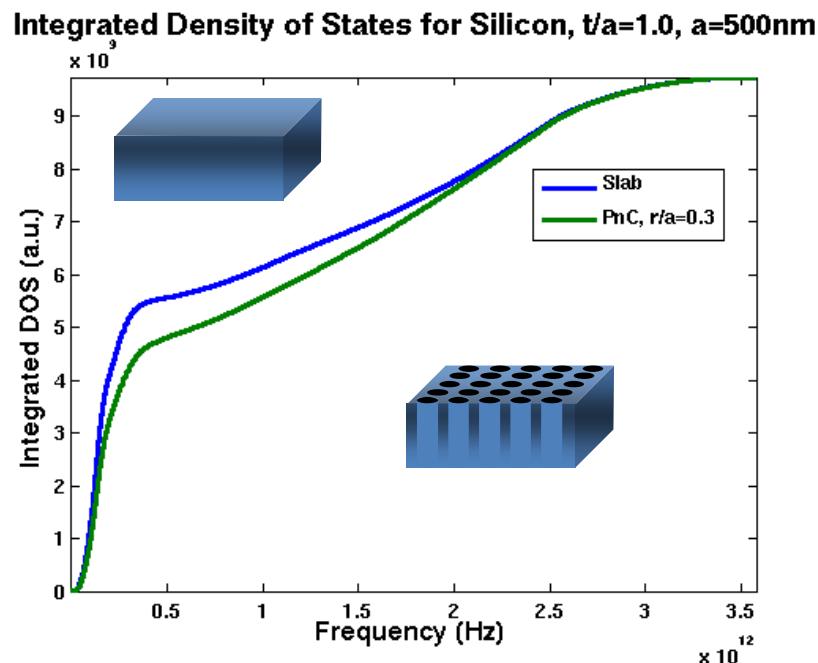


Photonic-phononic emitter-receiver (PPer)

Phononic Crystals (PnCs)

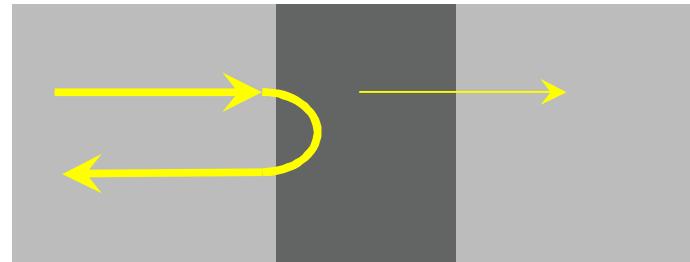
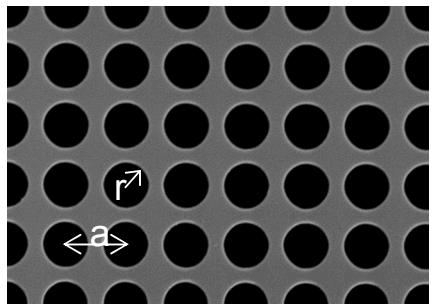
What are phononic crystals?

- **Periodic** arrangement of elastic scattering centers in a matrix material that exhibits both incoherent and Mie and Bragg resonant scattering
- Requires sufficient mechanical **impedance mismatch**

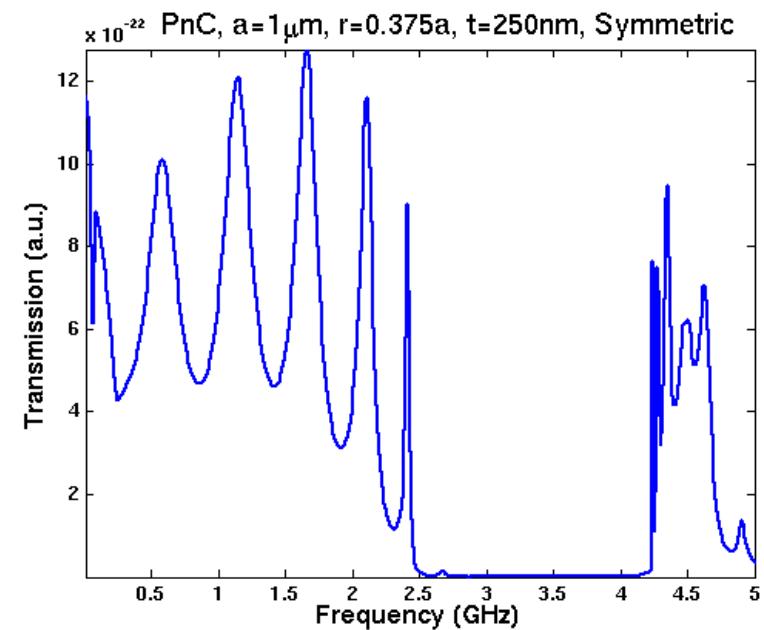
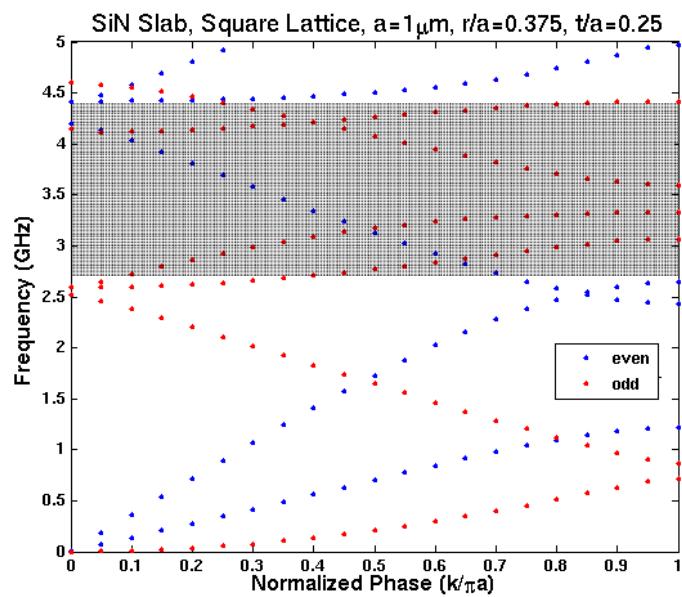


Phononic Crystals (PnCs)

Square lattice PnC

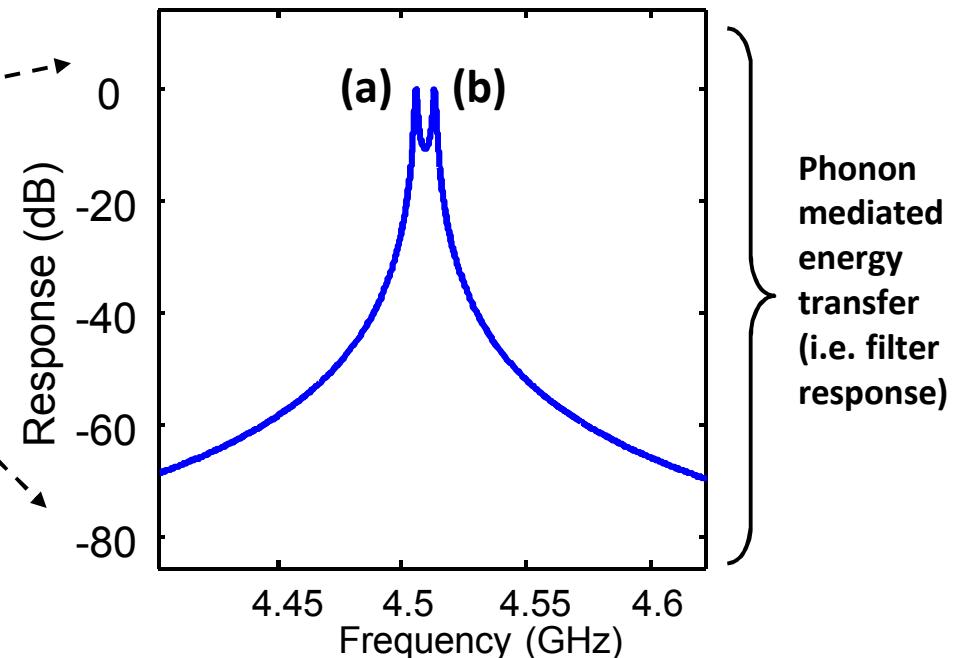
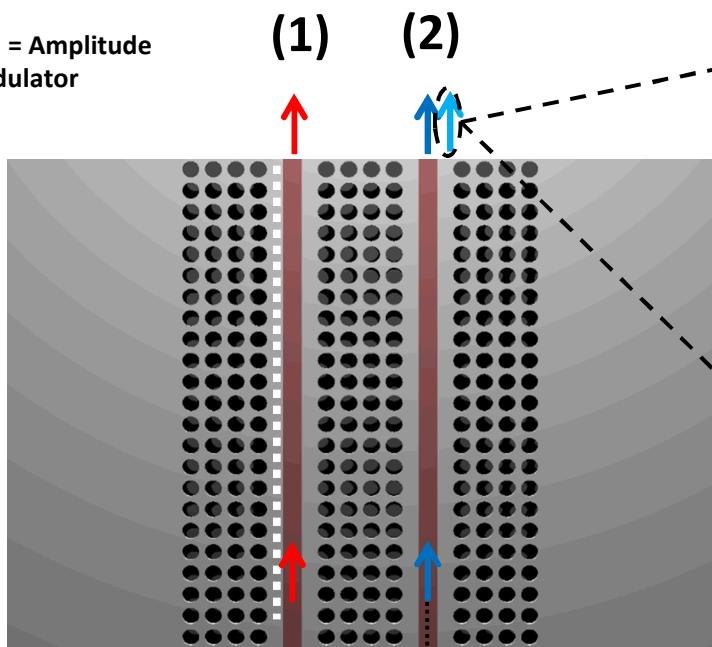


Khelif, et al., Phys. Rev. E 74, 046610 (2006)

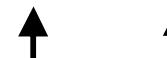


Dual-Waveguide PnC Cavity System

A.M = Amplitude Modulator



Symmetric
super-mode

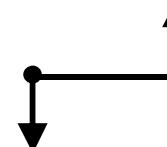


(a)



Brillouin-
active
phonon
modes

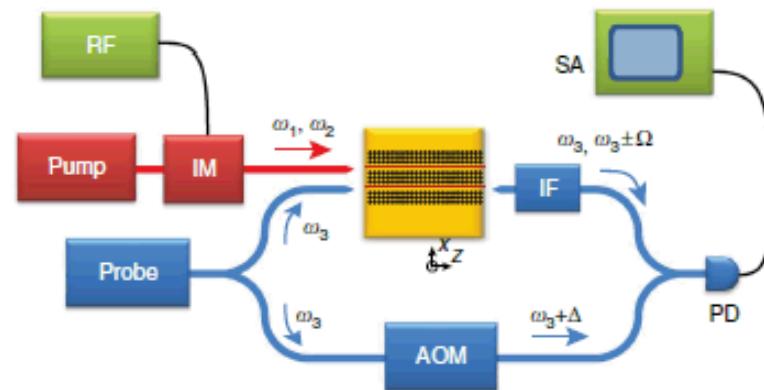
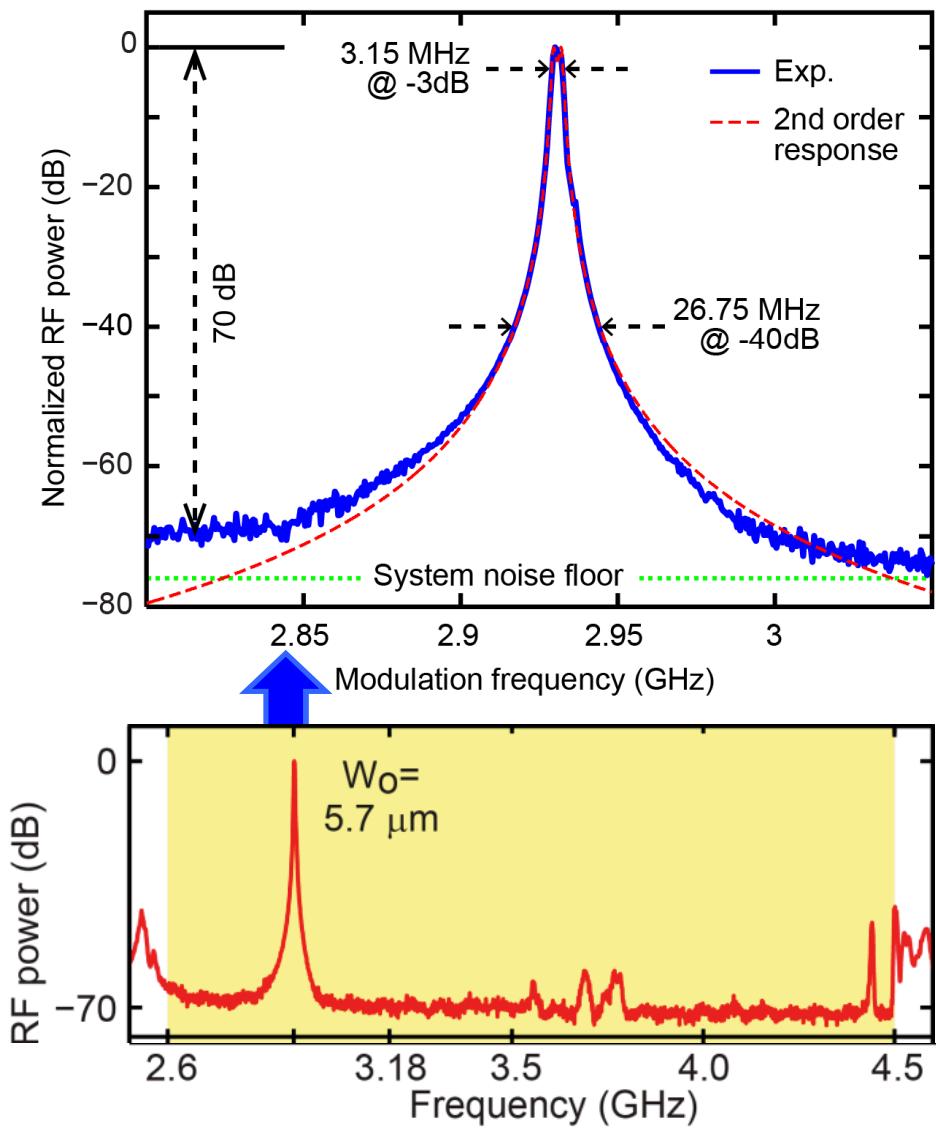
Anti-symmetric
super-mode



(b)



PPER Device RF Response



Center frequency, $f_o = 2.93\text{GHz}$

3-dB bandpass bandwidth, $B = 3.15\text{MHz}$

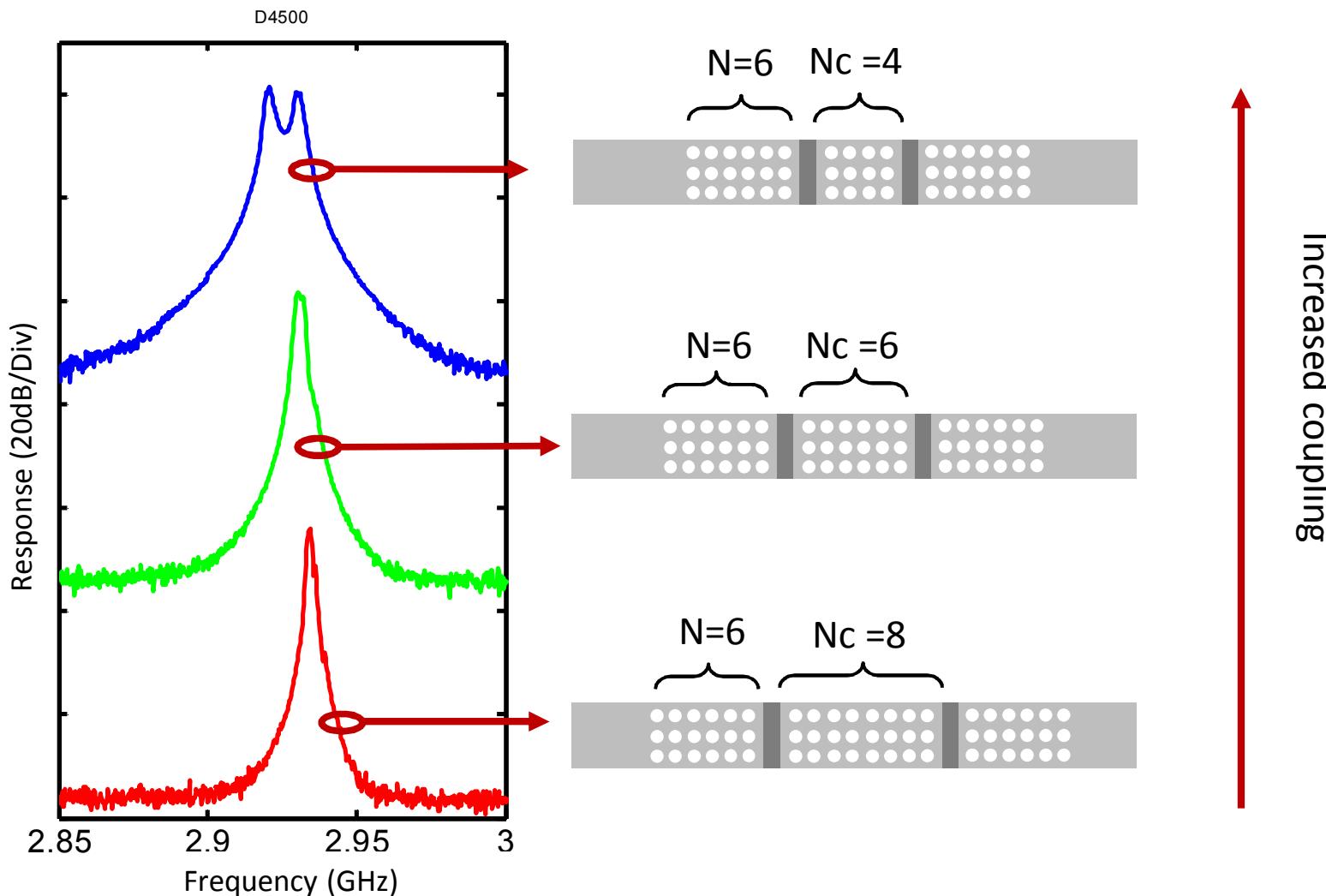
Stopband attenuation, $A > 70\text{dB}$

Rejection bandwidth, $B_R = 1.9\text{GHz}$

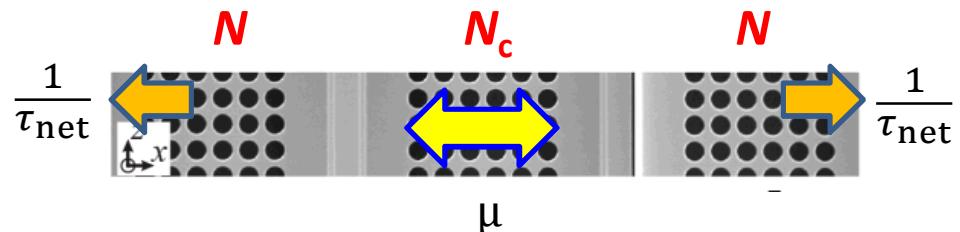
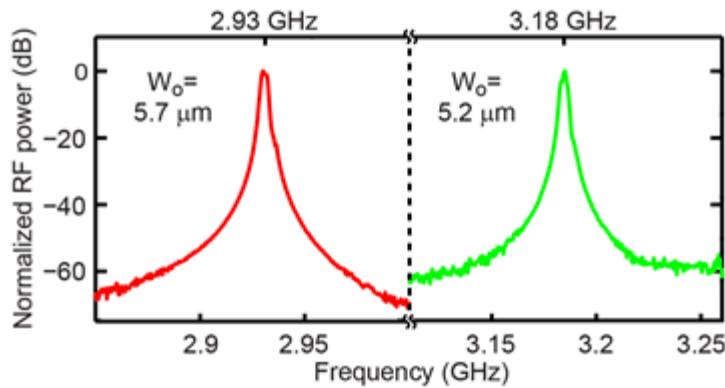
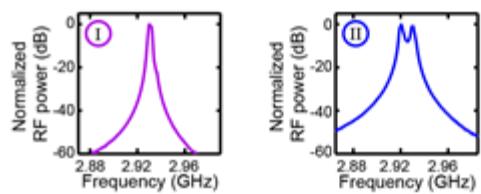
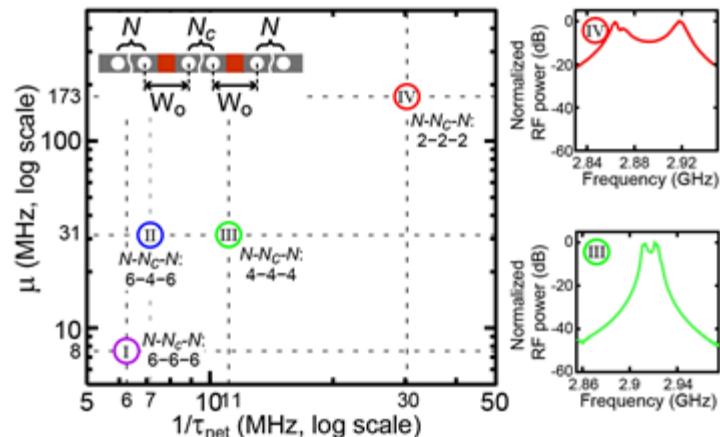
High power handling, 36mW (110mW for 3dB/cm loss)

Shin, et al., *Nat. Comm.* **6**, 6427 (2015)

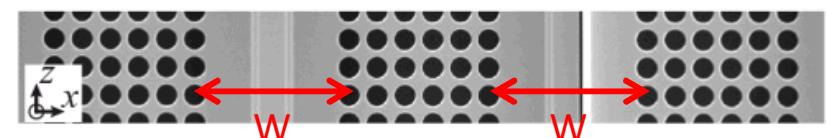
PnC Engineering of Coupling Strength



PPER Device RF Response



- Peak separation and/or bandwidth tuned by varying the number of PnC hole layers



- Center frequency tuned by changing the phononic cavity width, W

Comparison with RF Photonics

RF Photonic Filters Using All-Optical Methods

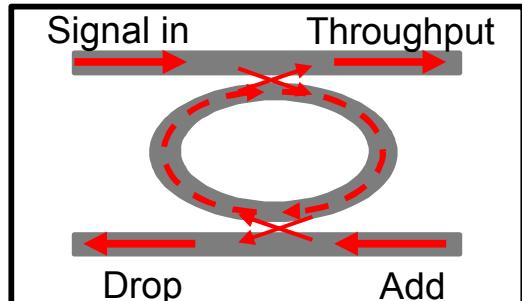
Asymmetric MZI

Bragg grating

Whispering gallery mode resonator

Ring resonator

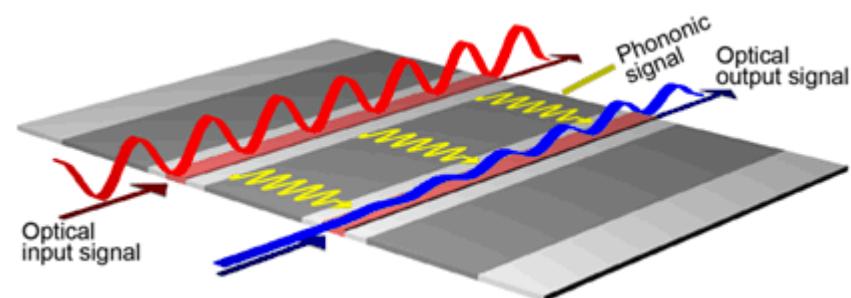
Resonator-based RF photonic filters



- Requires high optical Q ($\sim 10^7$)
- Low power handling (optical nonlinearities)
- Requires narrow linewidth lasers
- Requires frequency locking
- Higher-order filter responses difficult

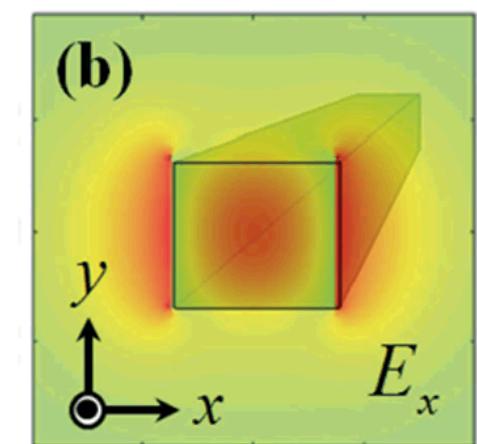
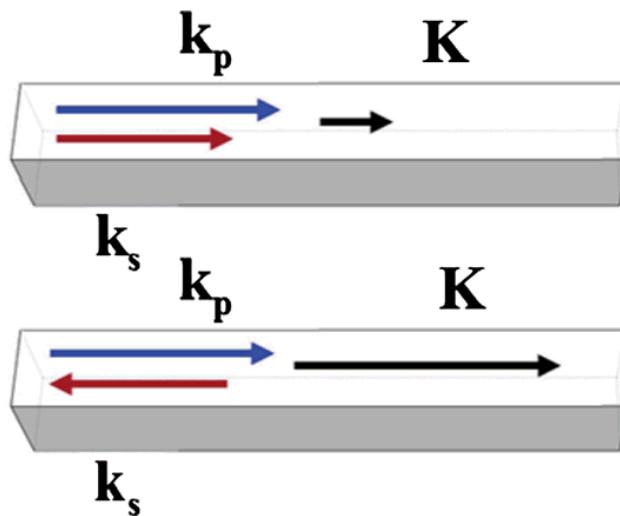
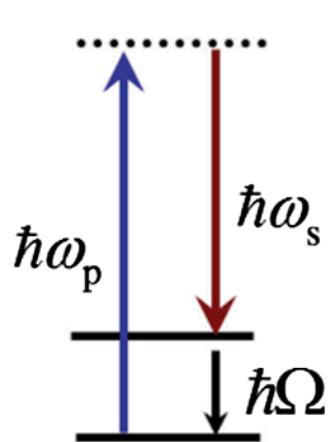
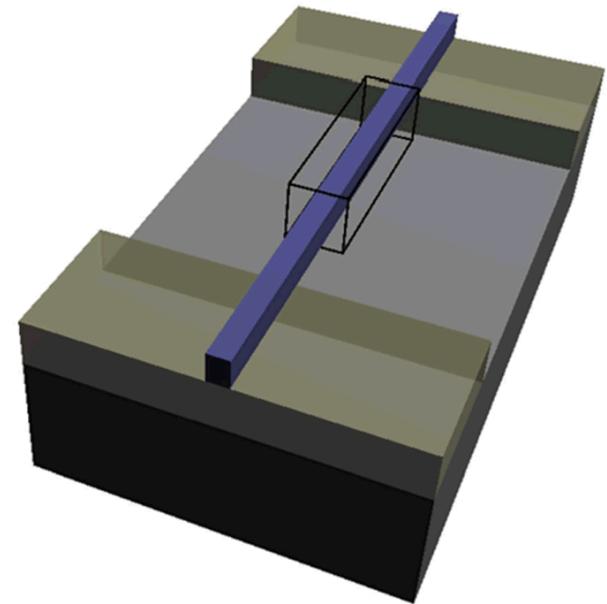
Summary

- Demonstrated SBS gain values as large as $>2000\text{m}^{-1}\text{W}^{-1}$ in a 7mm-long device, equivalent to the SBS nonlinearity of more than a meter of conventional silica fiber
- Demonstrated chip-scale, agile RF filtering with MHz linewidths, GHz bandwidth, and $>70\text{dB}$ of dynamic range



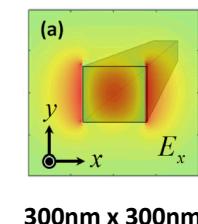
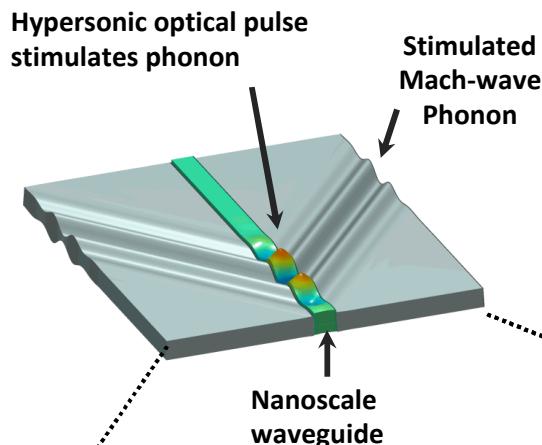
SBS in Nanophotonic Waveguides

- Nano-scale photonic waveguides
- Tight optical mode confinement → Strong interaction with waveguide boundaries
- Mediated by both electrostriction and radiation pressure

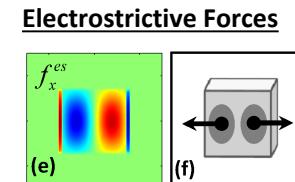
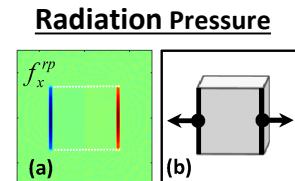


Stimulated Mach-Wave Phonon Emission

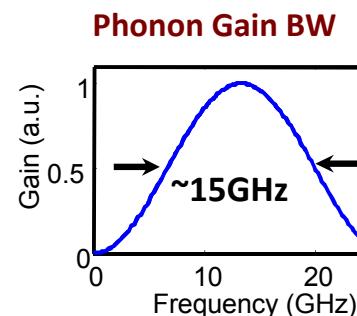
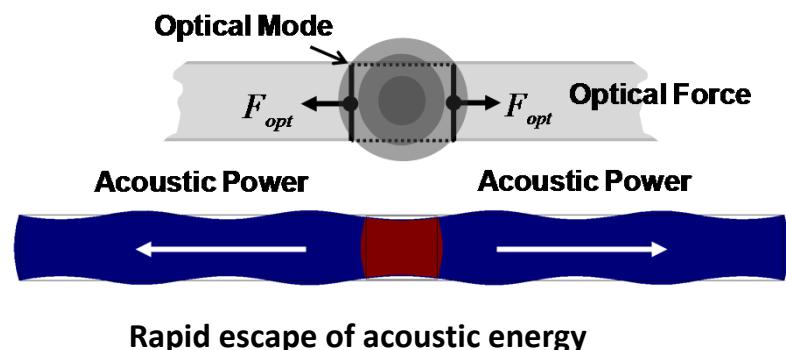
New Physics: Stimulated Mach-wave Phonon Emission



Enhanced optical forces



Result: Ultra-Broadband Stimulated Phonon Emission



Mach-wave emission:

- New chip-scale signal processing platform
- Wide-band and narrow-band parametric processes