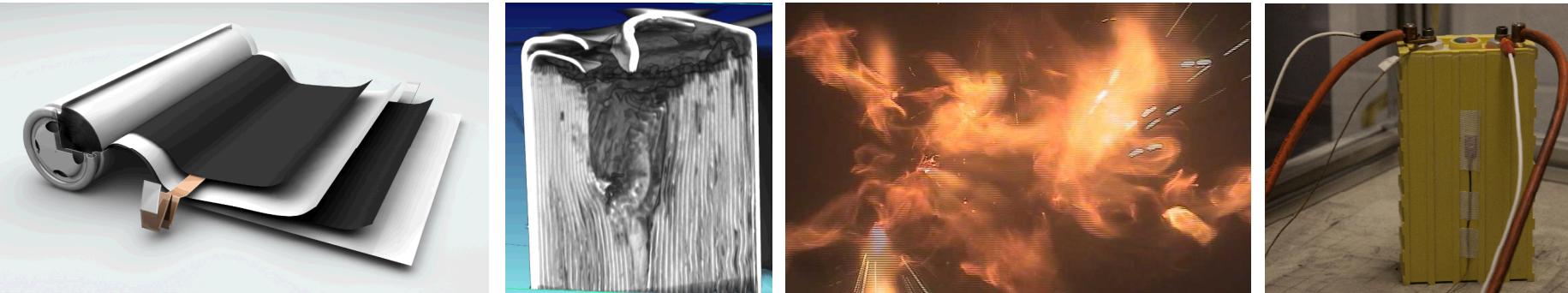


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Materials Safety Study of Practical Nano-Silicon + Graphite Anodes for Lithium-Ion Batteries

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Sandia National Laboratories
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Introduction

- Multi-lab Deep Dive on Silicon Anodes – Sandia investigates abuse performance



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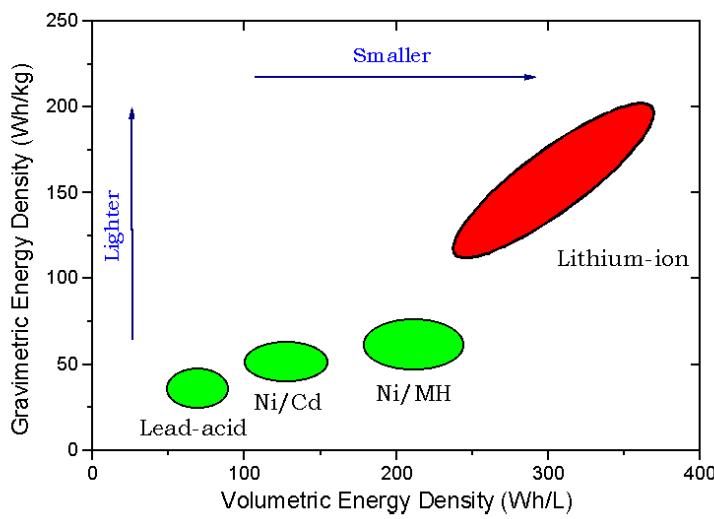
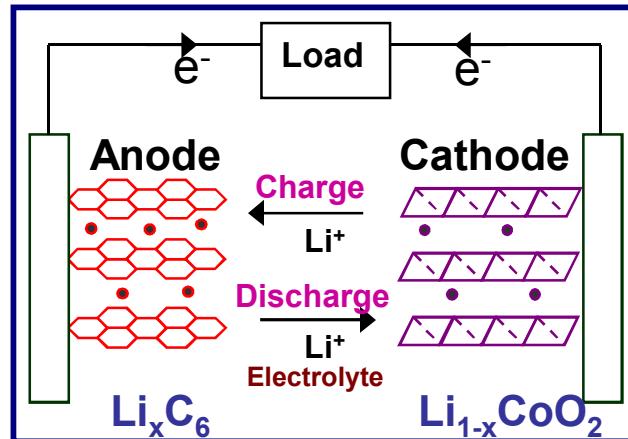
NATIONAL RENEWABLE ENERGY LABORATORY



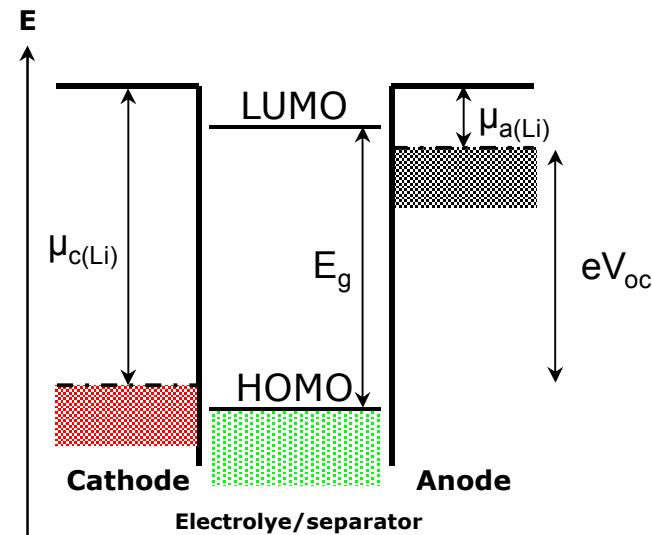
- Background & Motivation
 - Safety issues of Li-ion batteries
 - Silicon containing anodes
- Thermal studies of nSi + graphite anodes
 - DSC and ARC analysis of anode materials
 - Effects of silicon content, electrolyte additives, particle size, etc.

Background on Lithium-ion Batteries

- Energy storage needs are constantly driving for greater gravimetric and volumetric energy density
- High voltage of Li-ion system enables high energy density – necessitates the use of flammable organic electrolytes



A. Manthiram, *J. Phys. Chem. Lett.* **2**, 176-184 (2011).



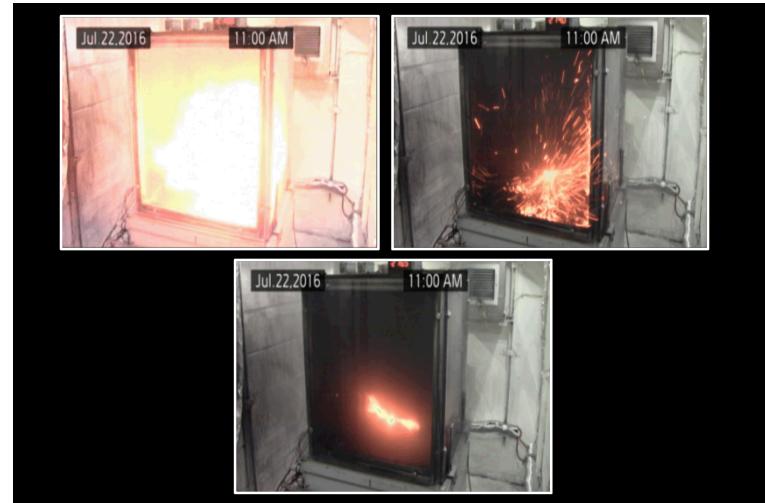
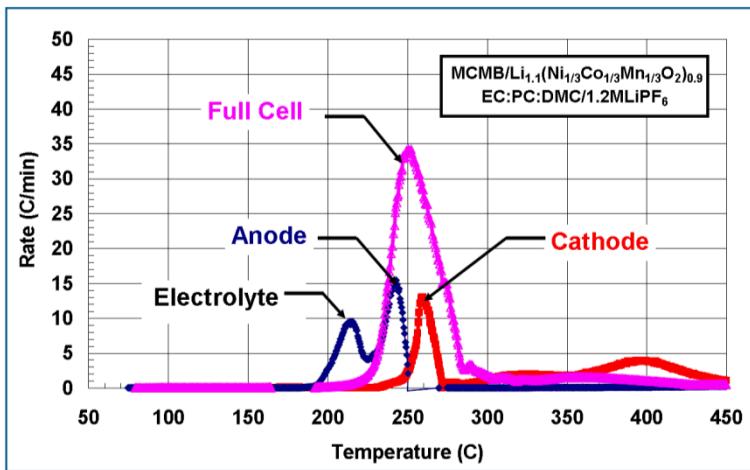
Issues of Battery Safety

- Thermal runaway primarily caused by cathode and electrolyte reactions at high temperatures
- Anode degradation begins at lower temperatures and can lead to self sustaining temperature rise eventually causing thermal runaway

Samsung Galaxy S7 Recall



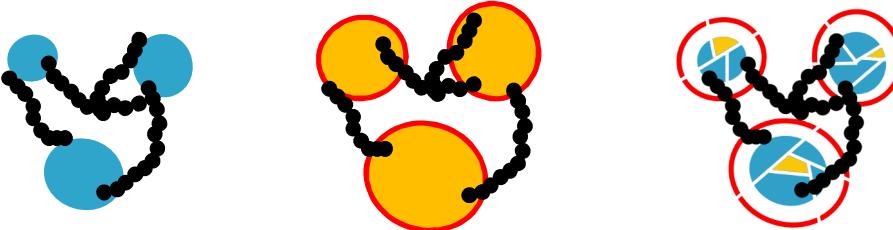
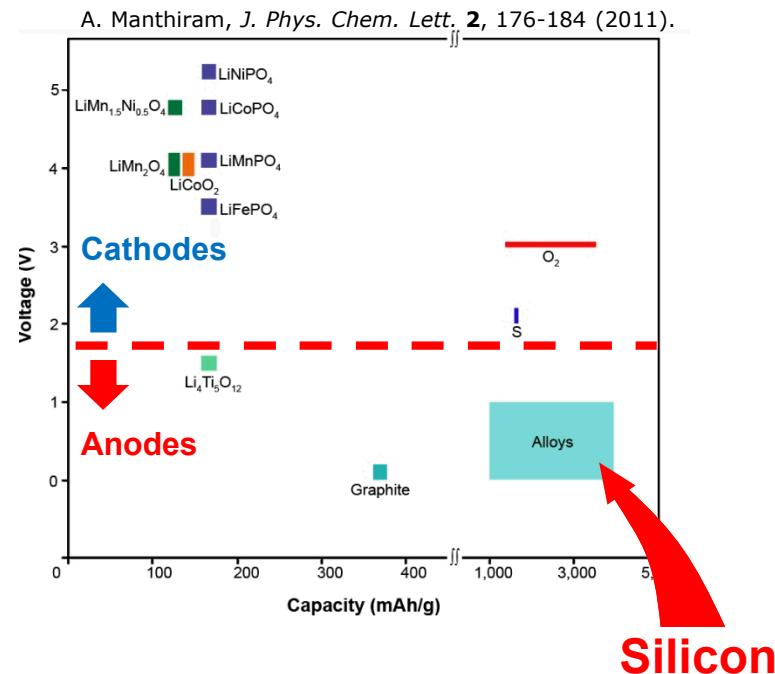
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Silicon as Anode Background

- Meets the need for greater energy density with order of magnitude capacity increase over graphite (~3500 mAh/g vs 372 mAh/g)
 - Alloying reaction with lithium

$$Si + xLi \rightarrow Li_xSi + (3.75 - x)Li \rightarrow Li_{3.75}Si$$
 - Cycle life issues
 - Volume change during lithiation/delithiation of >300%
 - Lack of surface passivation, exacerbated by changing particle size



Safety of silicon anodes and contribution to cell runaway are not well known but must be considered in any effort to develop new electrodes

* Y. Wang, J. Dahn. *ECS Solid State Lett.* **9**, A340-A343 (2006).

* Y.S. Park, S.M. Lee. *Bull. Korean Chem. Soc.* **32**, 145-148 (2011).

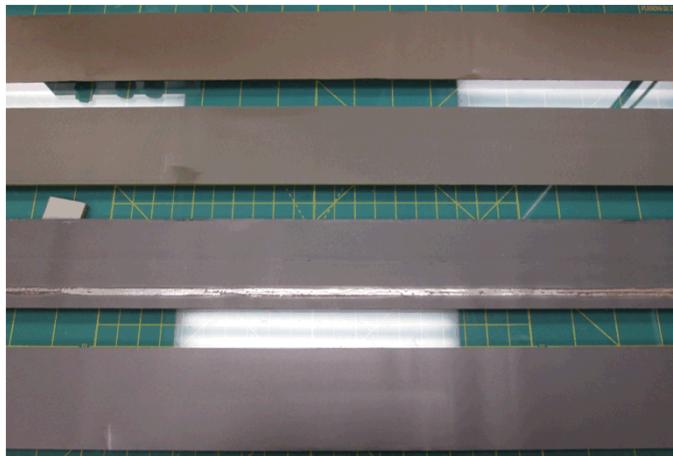
Silicon Material Studied

Mixture of nSi (Nanostructured & Amorphous Materials, Inc) + graphite (Hitachi MAG-E), LiPAA binder (MW= ~400,000), carbon black (Timcal C45)

- 88% active (nSi + graphite): 10% LiPAA: 2% CB

Varied Electrode Properties

- 0%, 5%, 10%, 15% nSi content
- nSi nominal particle sizes of 30-50nm, 50-70nm, 70-130nm
- Baseline electrolyte of 3:7 EC:EMC w/ 1.2M LiPF₆, baseline electrolyte + 10% FEC



15% nSi

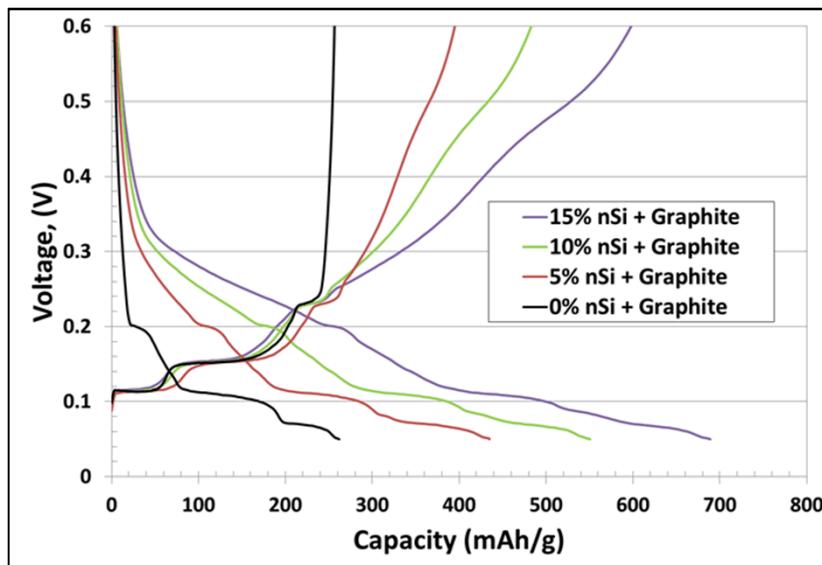
10% nSi

5% nSi

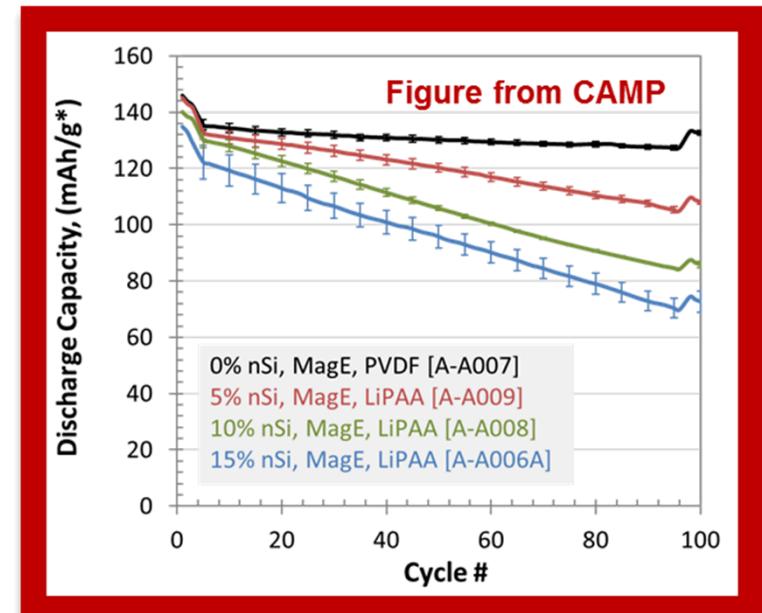
0% nSi

Electrochemical Performance of nSi/G Materials

- Performance trends with increasing nSi content
 - Increasing capacity with increasing content
 - Decreasing cycle life with increasing content



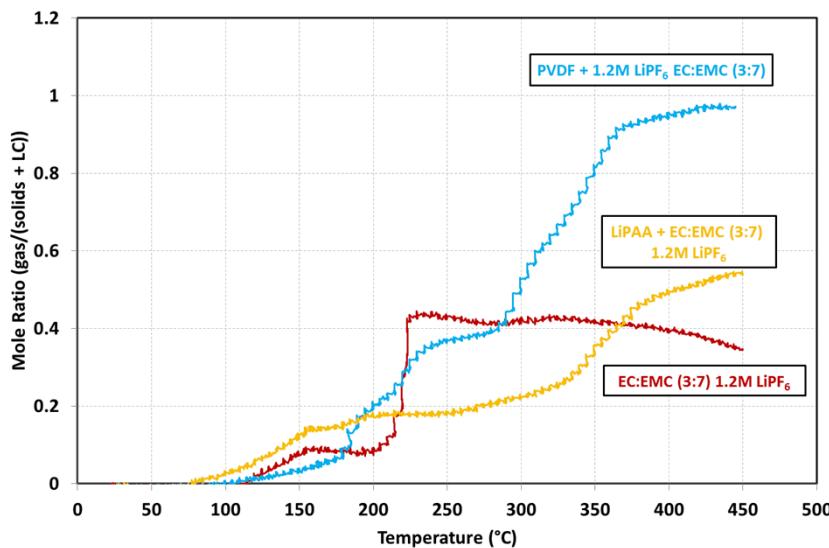
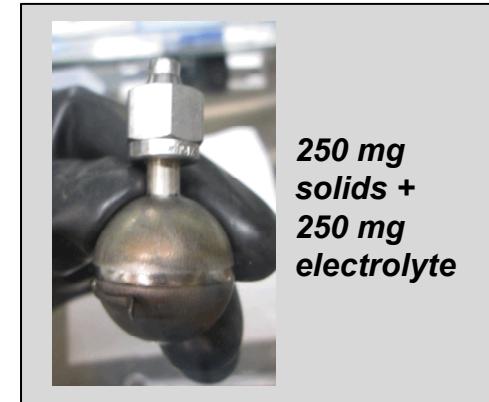
Electrode	Reversible Capacity (mAh/g)
0% nSi	330
5% nSi	430
10% nSi	550
15% nSi	660



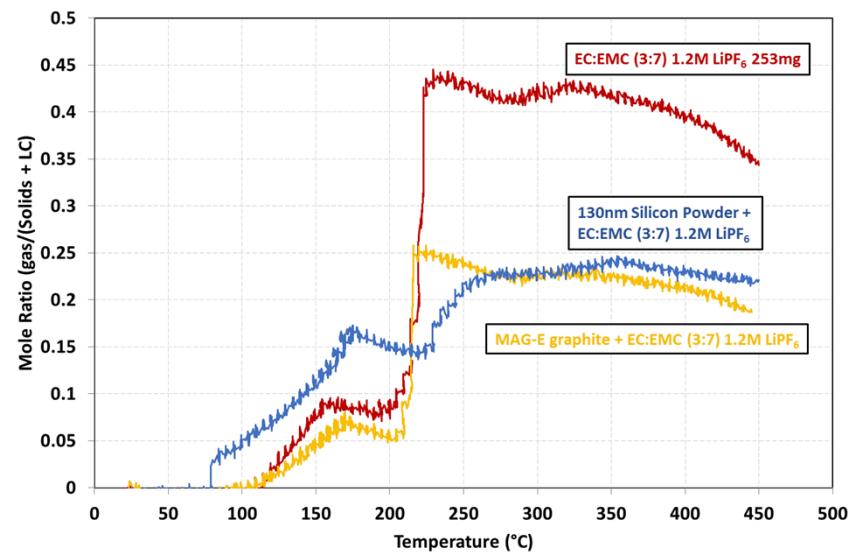
* Data from ANL CAMP Facility

ARC Study of Binders and Active Materials

- Gas generation of individual electrode components combined with electrolyte
 - Effect of shift to LiPAA from baseline PVDF
 - Effect of inclusion of nSi in place of graphite



Additional gas generation from both PVDF and LiPAA



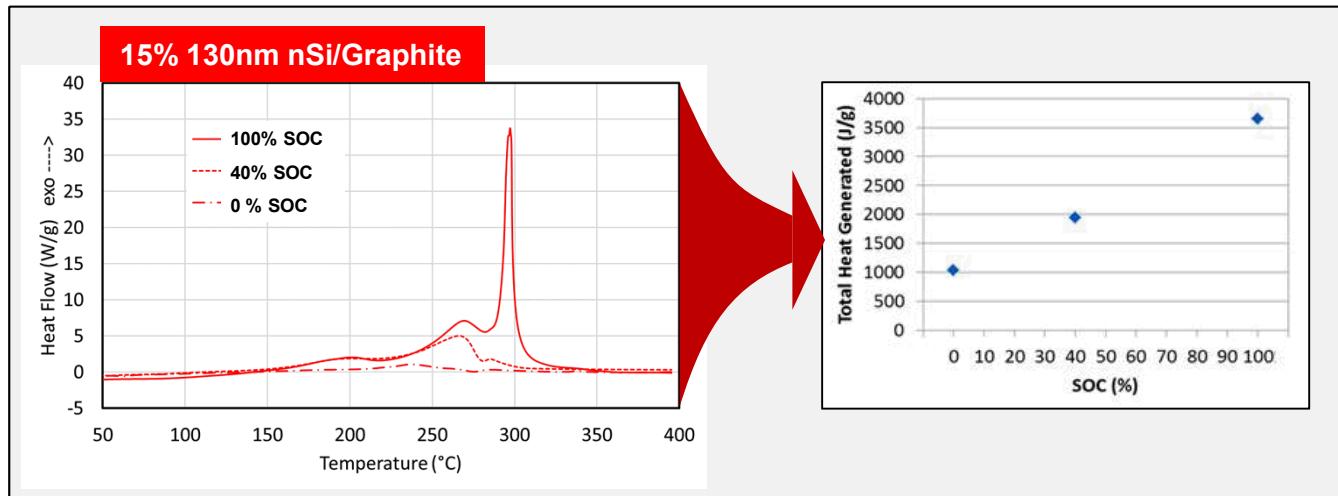
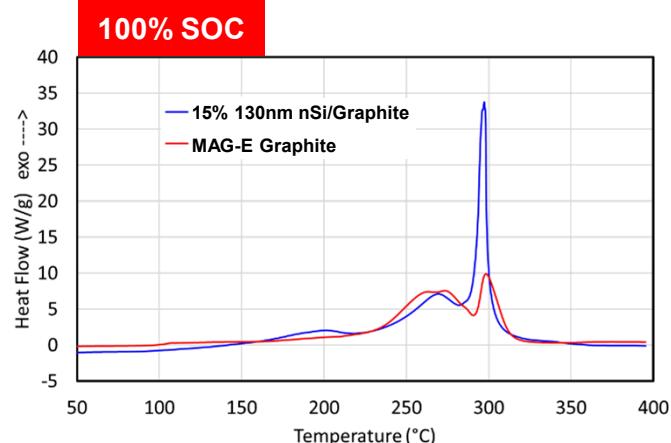
Almost no contribution from graphite or silicon

DSC of nSi Electrodes

- Similar peak locations, higher heat generation and significant exotherm present in silicon containing anodes at 100% SOC – **3800 J/g** vs. **2300 J/g**
- Large exotherm is only present at full SOC – reaction of $\text{Li}_{15}\text{Si}_4$?

* Y.S. Park, S.M. Lee. *Bull. Korean Chem. Soc.* **32**, 145-148 (2011).

Cells undergo 4 formation cycles from 3.0-4.1V at C/10 followed by topoff or discharge to desired SOC, testing performed with 1:1 mass ratio of active material to electrolyte



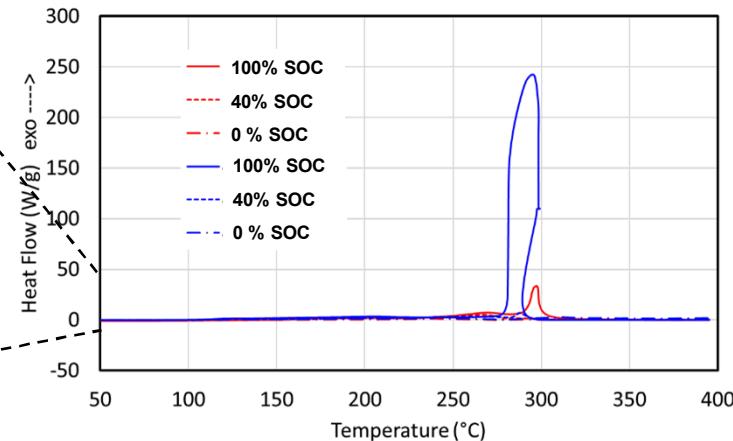
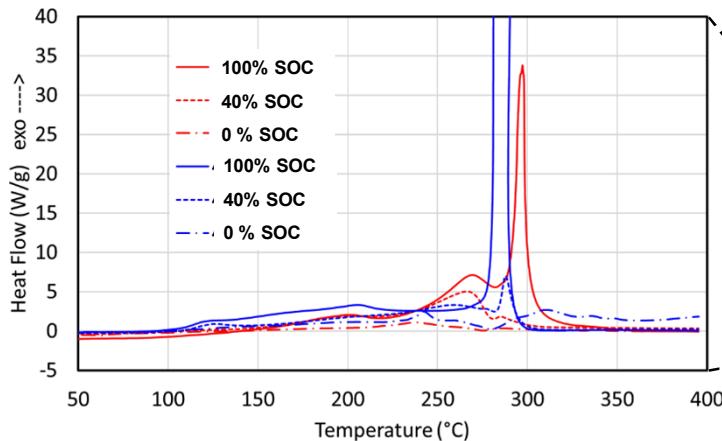
Extreme Exothermic Reactions Observed

- Testing of CAMP produced electrodes with higher loading and smaller particle sizes resulted in dramatic exothermic peaks, very high kinetics in 100% SOC electrodes
 - Surpassed equipment capability to accurately record heat flow with no observable leakage
- Smaller particle size lowers initiation temperature of large exotherm

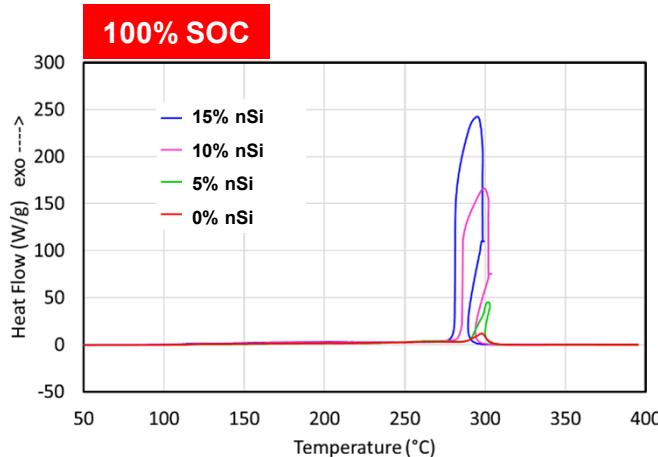


No observed
pan leakage

15% 130nm nSi/Graphite 15% 50-70nm nSi/Graphite

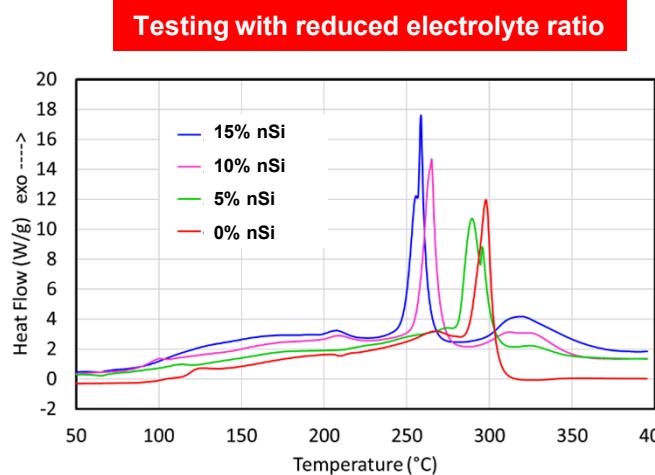
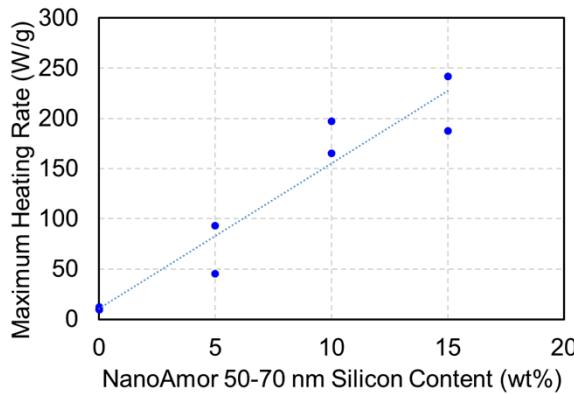


Trending of Heat Generation with Si Content



- Large exotherms are repeatable and trend with silicon content
 - Greater peak heating rate
 - Lower exotherm onset temperature
- Exotherm can be mitigated by reducing electrolyte ratio
 - Trends hold in this case but reaction peaks are quite altered

Exotherm values aren't true but qualitative comparison shows trending with silicon content

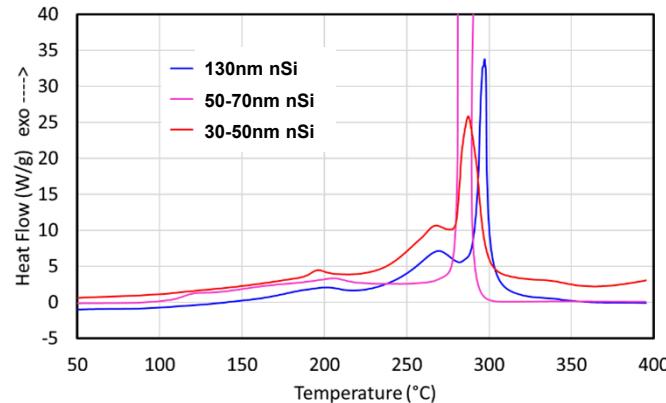


Effect of nSi Particle Size

- Electrolyte clearly participates in highly exothermic reaction, suggests that greater surface area (ie. Smaller particle size) will lead to greater reaction rates
 - Anticipated trends of greater peak heating rate / lower reaction onset with smaller particle size

Observed Trends

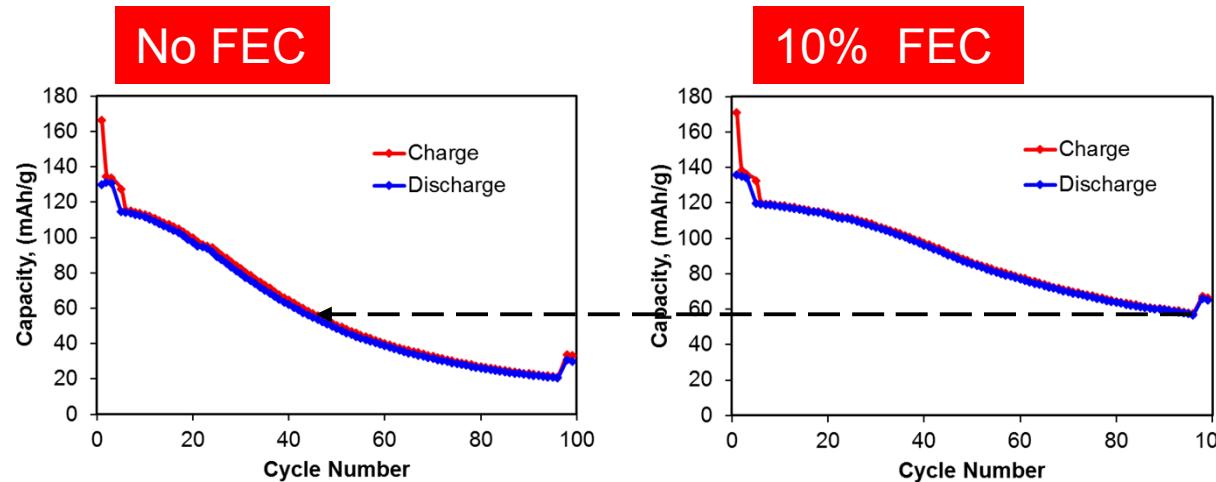
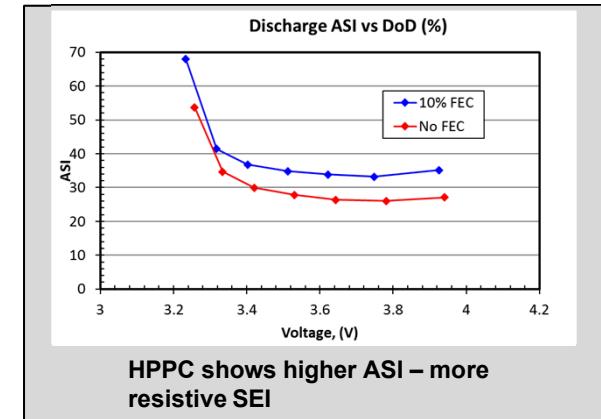
- High loading CAMP electrodes do not follow trends
 - Extreme exotherms may be associated with greater material loading
- In lower loading electrodes smaller particles show:
 - Stronger SEI peak, lower temperature peak onset, minimal change to peak heating rate and total heat generation
 - Conclusions are tentative due to limited data points, limited characterization of nSi particles



Effect of FEC on Electrode Performance

- FEC is widely demonstrated to improve capacity and cycle life of Si electrodes
 - Formed SEI is more passivating / robust

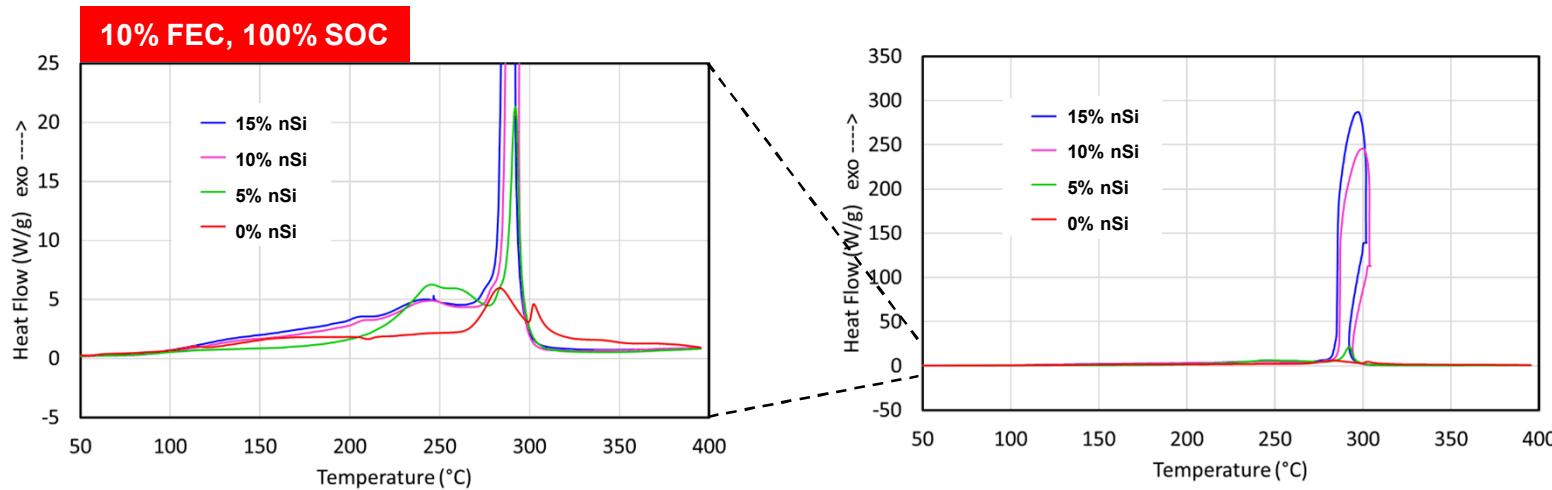
* N.S. Choi, K.H. Yew, K.Y. Lee, M. Sung, H. Kim, S.S. Kim. *J. Power Sources* **161**, 1254-1259 (2006).



Addition of 10% FEC to electrolyte more than doubles cycle life at a given capacity

Effect of FEC on Thermal Performance

- Testing after cycling with and in the presence of 10% FEC shows thermal performance is not significantly affected by FEC addition
 - Extreme exotherms still present and still trend with nSi content



Scale Up to Larger Format Testing

- Purpose of materials level study is to correlate observations with full scale testing (18650 full cells)
 - Accurate thermal abuse performance requires larger cell capacities
 - ARC, thermal abuse testing, electrical abuse testing, etc.
- As discussed in previous presentation, observed issues with thermal reactivity of nSi translate to full scale performance



Conclusions

- Silicon is an appealing substitute for graphite as an anode in lithium ion batteries
 - Challenges include limited cycle life and difficulty in achieving high silicon loading
- This materials thermal performance study suggest that thermal instability is another issue that needs to be addressed before silicon becomes a viable substitute
 - These conclusions agree with full cell study observations

Acknowledgements

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- Bryant Polzin
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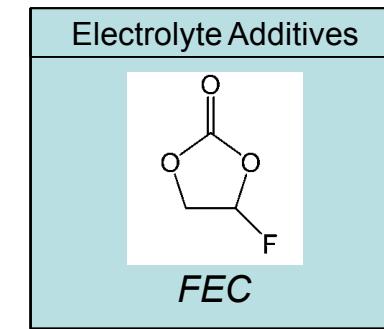
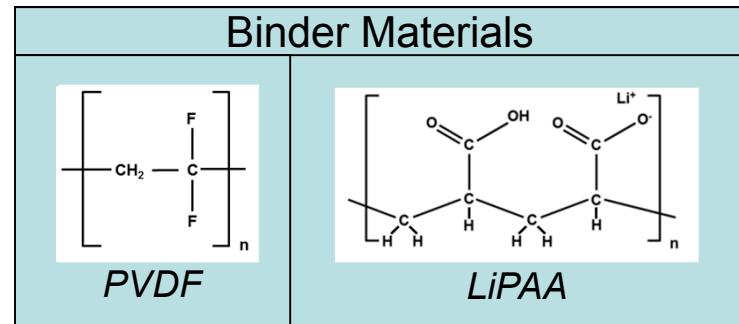
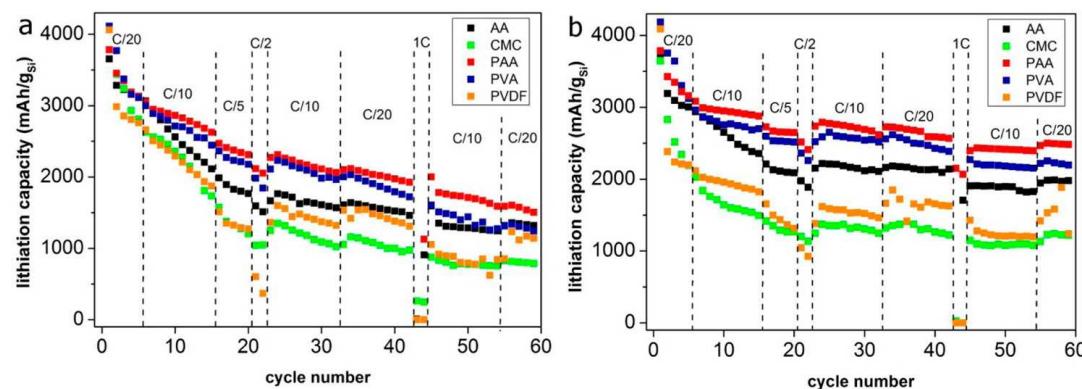
Thanks to Peter Faguy and the U.S.
Department of Energy – Vehicle
Technologies Office for financial support
of this work.



Extra Slides

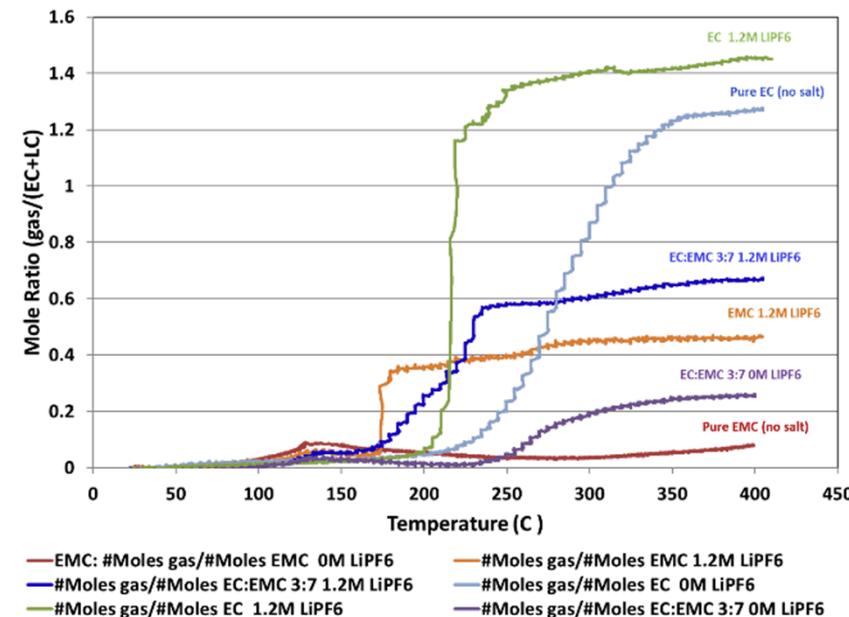
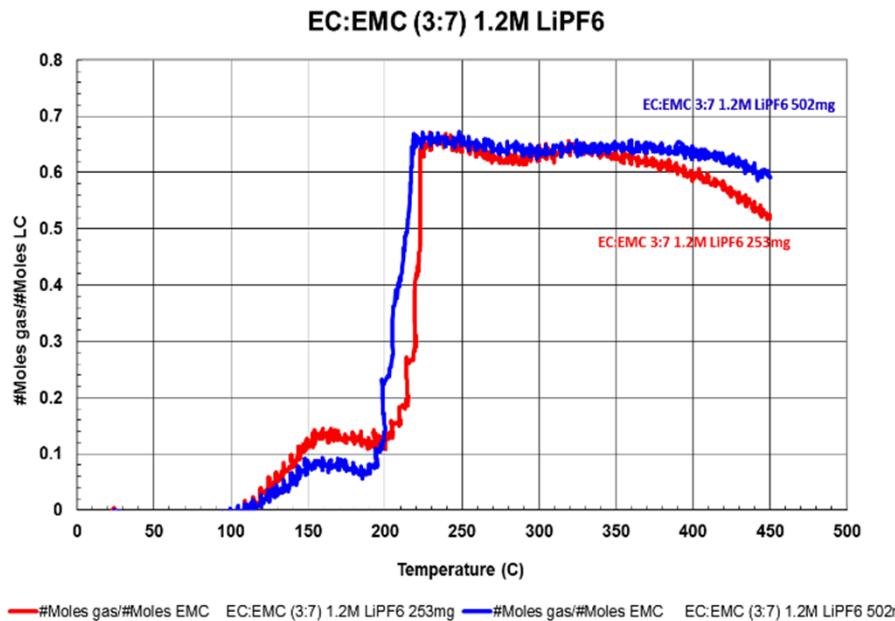
TO EXTRA SLIDES

- Silicon shows good performance in mixtures, in nano-architecture, and with low loadings
 - Difficulty in achieving practical areal energy density while maintaining high specific capacity and/or cycle life
- Proper binder and electrolyte selection are crucial for optimized silicon performance



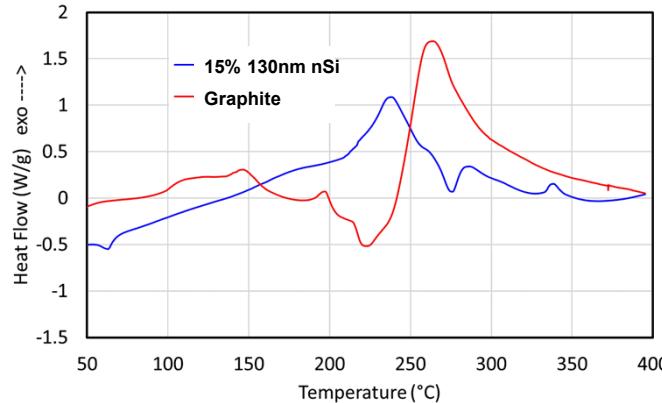
Electrolyte ARC Data

- Trend is independent of mass tested (250mg v 500mg)

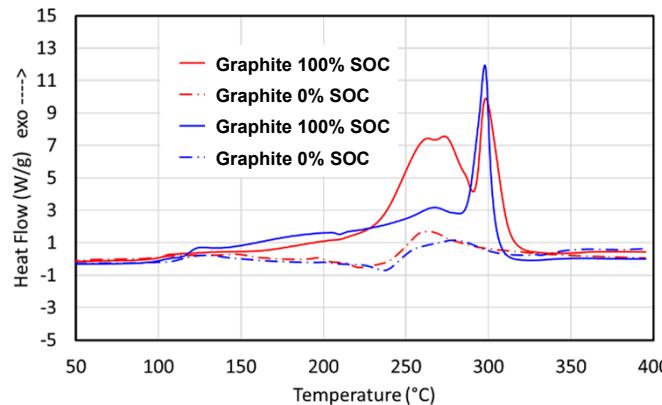


Additional DSC Curves

- 0% SOC comparison of graphite and nSi/graphite

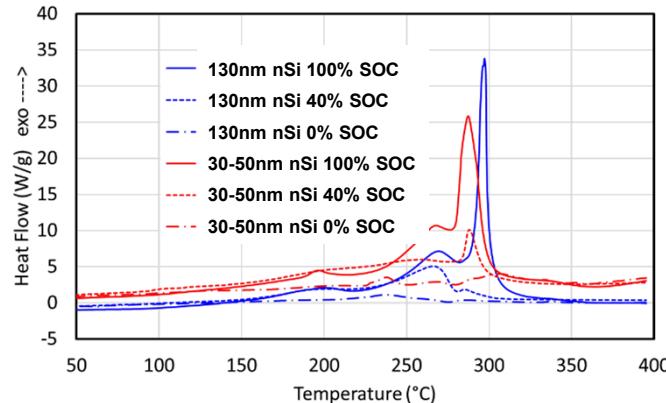


- Graphite DSC comparison of SNL-130nm to CAMP-50-70nm



Additional DSC Curves

- All SOC comparison of 130nm and 30-50nm nSi



- All SOC comparison of no FEC and 10% FEC in the electrolyte

