

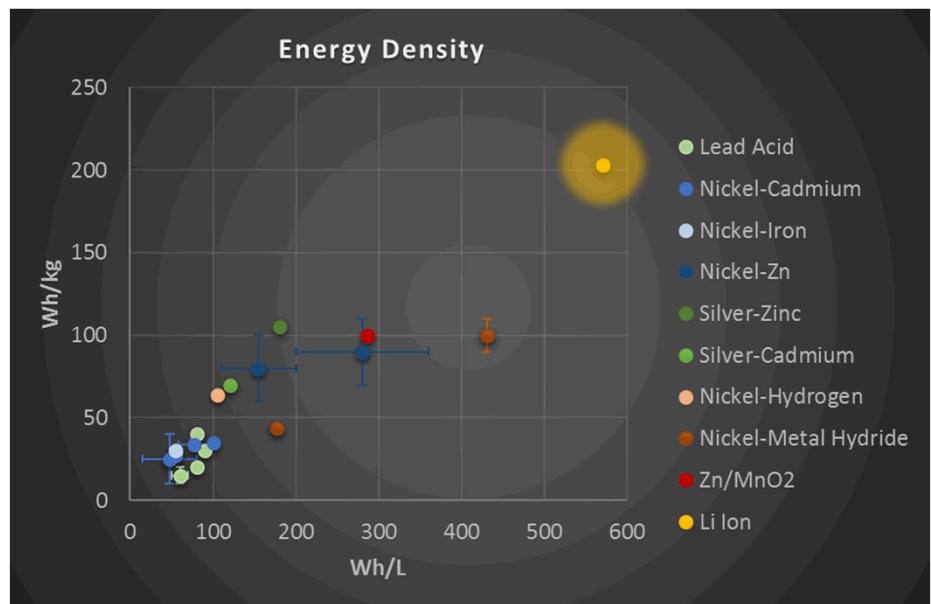
The Effects of Cycle Rate on Capacity Fade of Lithium Ion Batteries

Chelsea Snyder
PhD Graduate Student

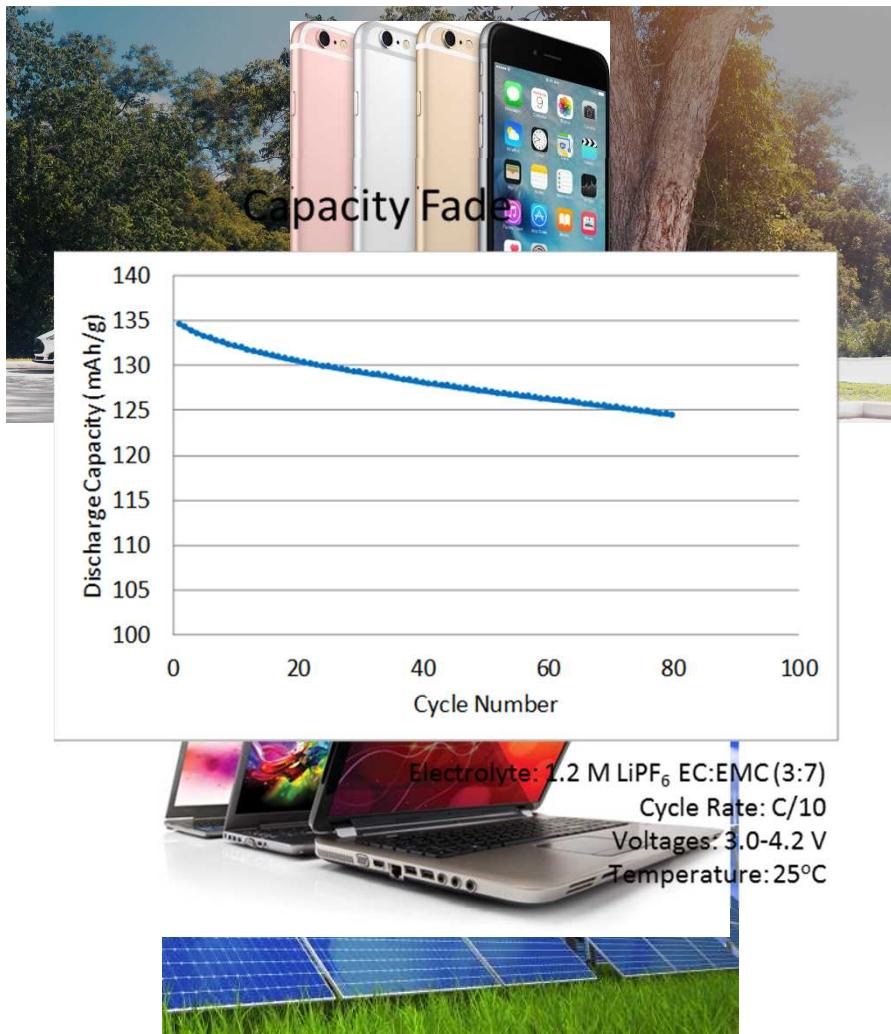
Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.



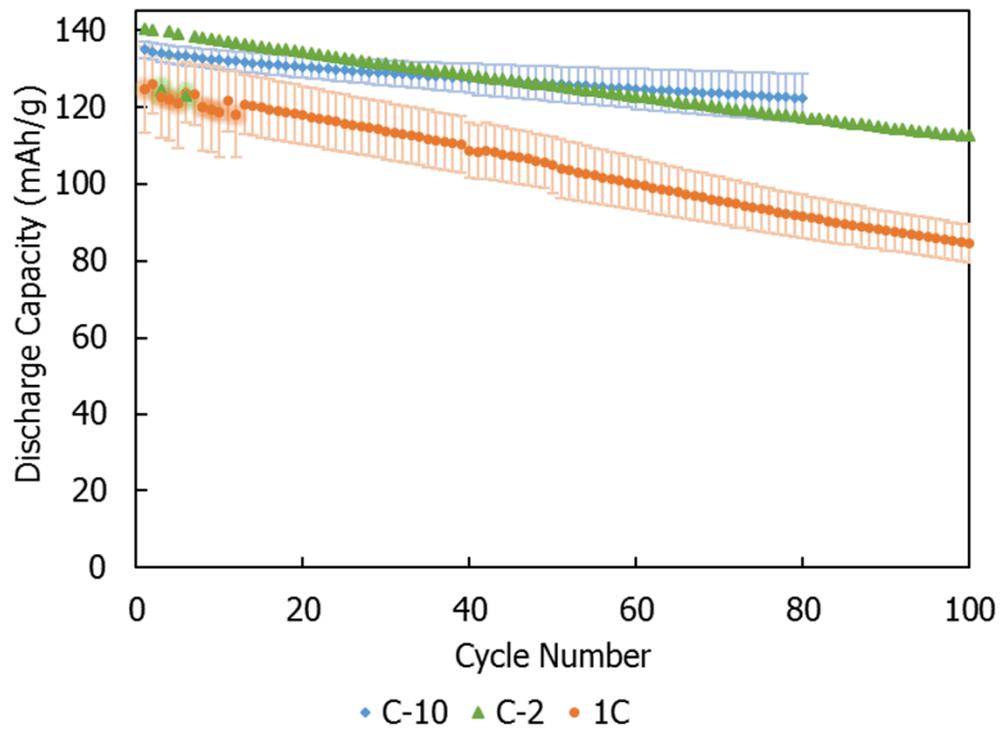
Motivation



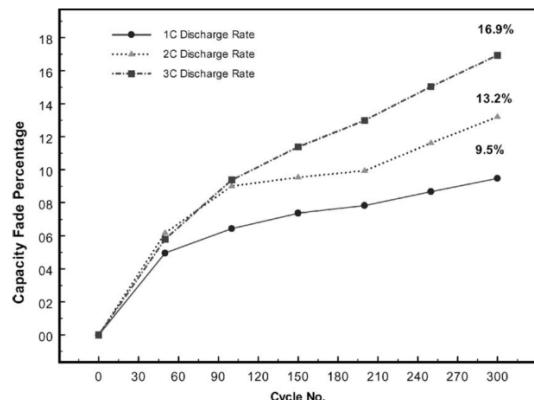
Data from Linden, D., *Linden's Handbook of Batteries*. Fourth ed. 2011:
The McGraw-Hill Companies, Inc.



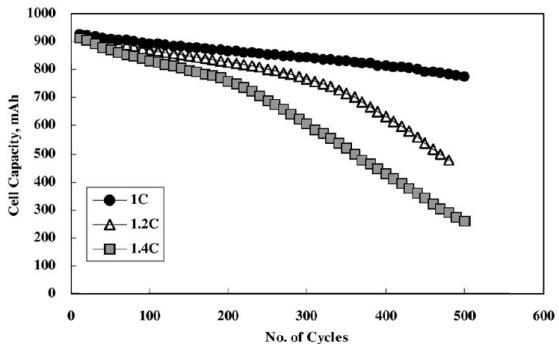
C-rate Effects



Electrolyte: 1.2M LiPF₆ EC:EMC (3:7)
Voltage: 3.0 to 4.2V CCCV
Temperature: 25°C

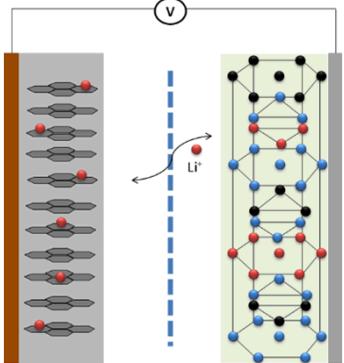


G. Ning, B. Haran, B. Popov *J. Power Sources*
117 (2003) 160-169

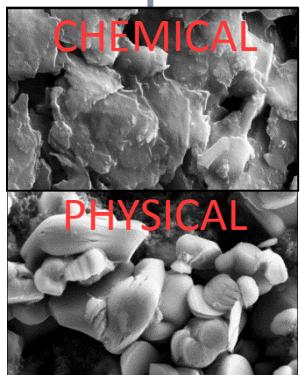


C. Soo Seok and H. S. Lim *J. Power Sources* 111 (2002) 130-136

LIB TECHNOLOGY

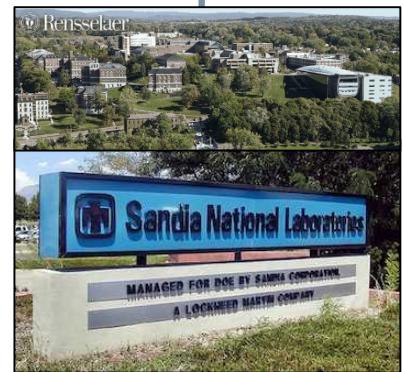


DEGRADATION

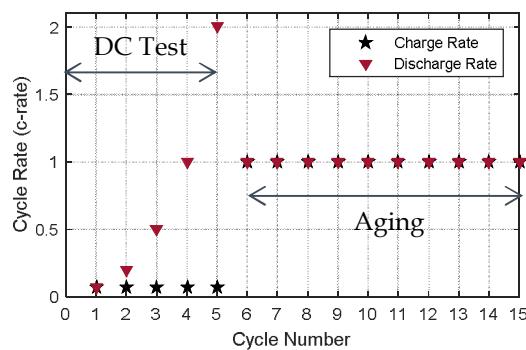
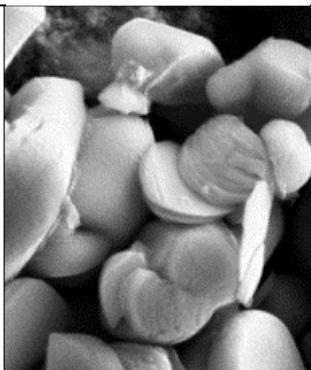
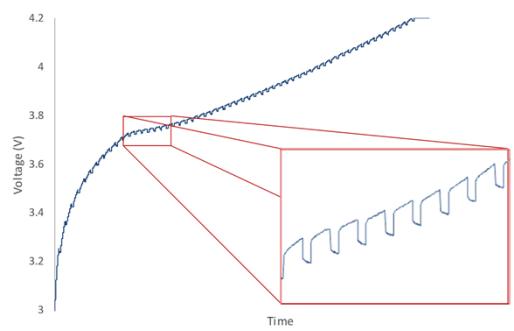
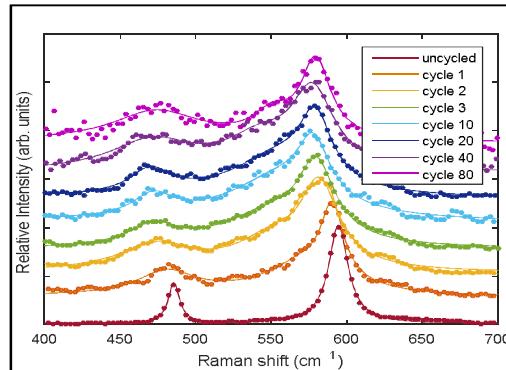


CONCLUSIONS

ACKNOWLEDGMENTS



THESIS HYPOTHESIS



RAMAN SPECTROSCOPY

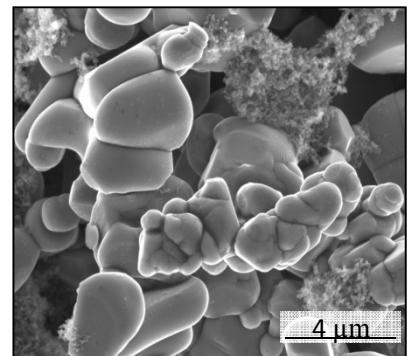
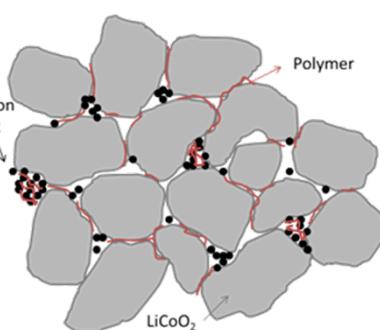
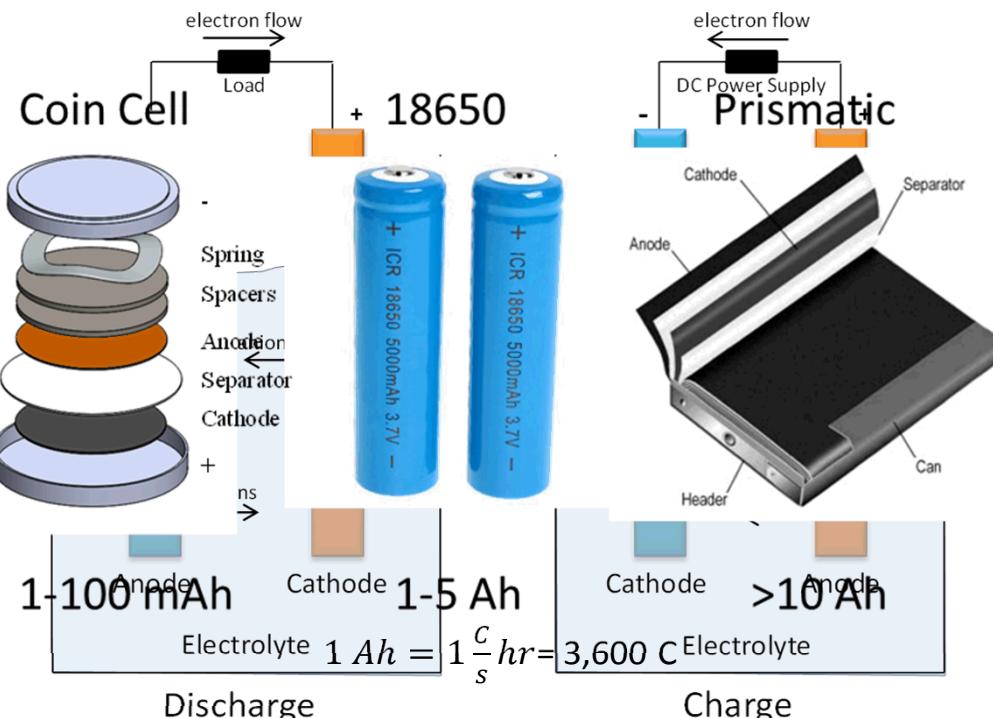
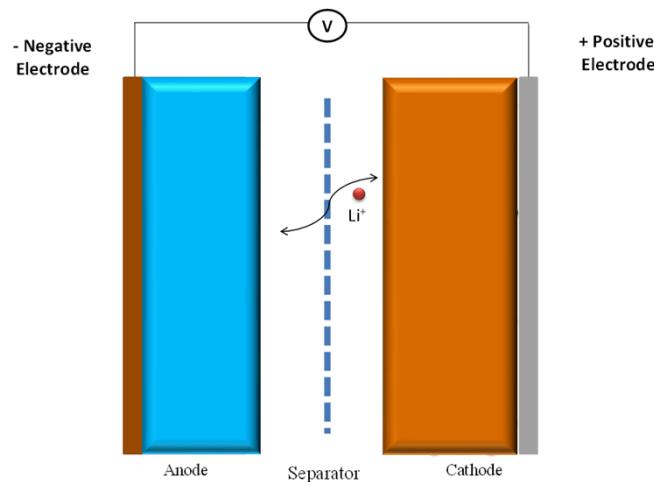
CURRENT INTERRUPT

MICROSCOPY

RATE CAPABILITY

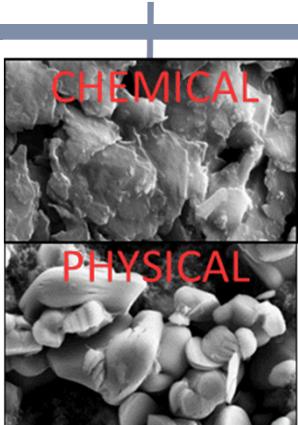
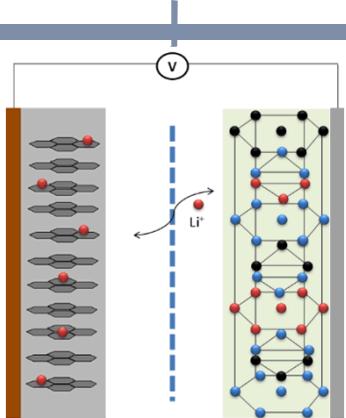
Batteries

- A battery converts stored chemical energy into electrical energy through an electrochemical oxidation-reduction reaction
- Anode: oxidized species
- Cathode: reduced species



LIB TECHNOLOGY

DEGRADATION



Graphitic Electrode

1. Solid Electrolyte Interphase (SEI) formation

- Reduction of electrolyte to form passive surface film
- Largest impact during first few cycles
- Grows with square root time

2. Lithium plating

- Li dendrite formation
- Caused by high polarization of graphitic electrode (poor kinetics)

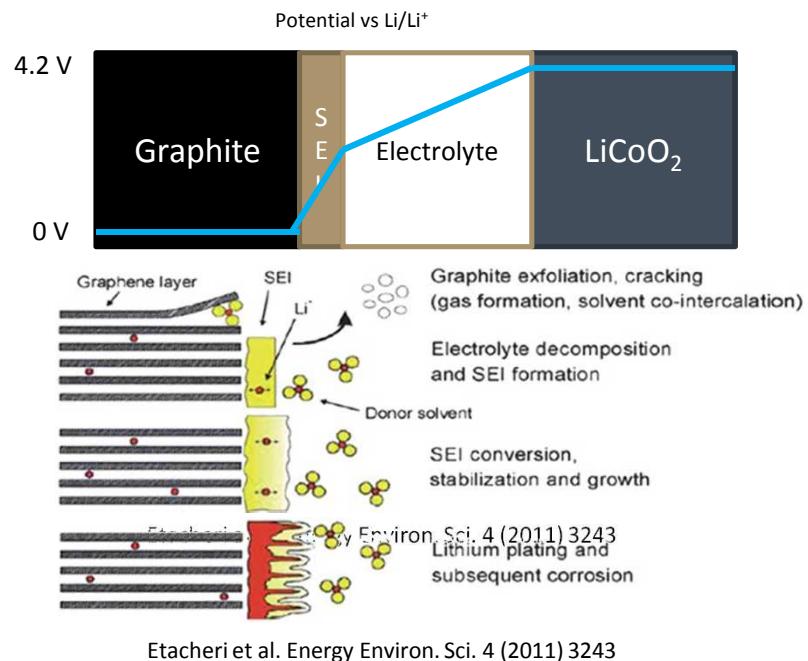
3. Structural disorder and damage

- 10% linear expansion at full charge

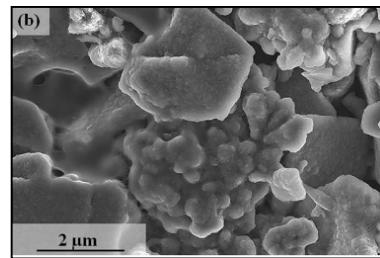
4. Contact loss in composite material due to expansion of graphite

$$L = \sqrt{Dt}$$

L : Characteristic Length
 D : Diffusion coefficient
 t : time



Etacheri et al. Energy Environ. Sci. 4 (2011) 3243



J. Lee et al. Carbon 52 (2013) 388-397

LiCoO₂ Electrode

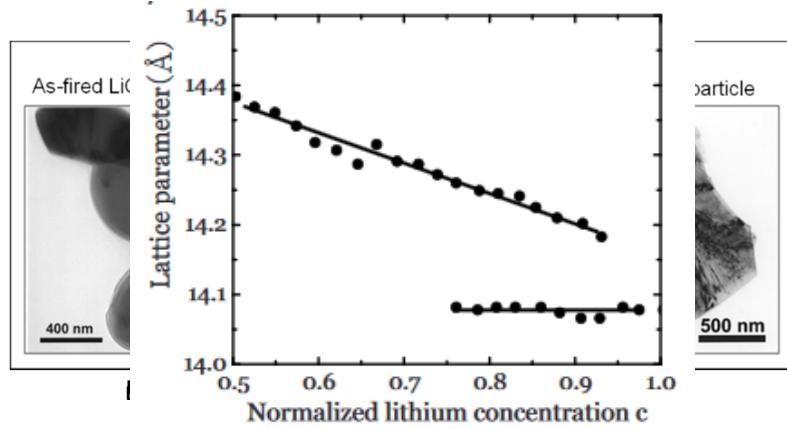
1. Anisotropic expansion/contraction

- Internal strains and dislocation defects
- Fracture of particles

2. Electrode disordering

- Deactivation of Li ions
- Triggered by strain caused by volumetric changes

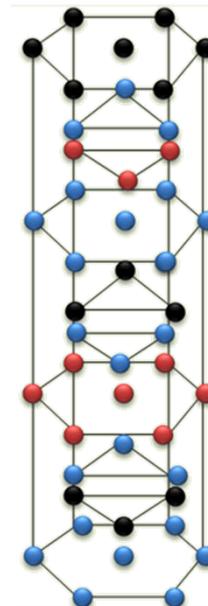
3. Electrolyte Oxidation



K. Zhao et al. *J. Appl. Phys.* 108 (2010) 073517-1 - 073517-6
 J. Reimers and J. Dahn *J. Electrochem. Soc.* 139 (1992) 2091 - 2097

- Oxygen
- Lithium
- Cobalt

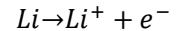
Li⁺ de-intercalation
 $\text{LiCoO}_2 \rightarrow \text{Li}_{0.5}\text{CoO}_2$
 c-axis: +1.8%
 a-axis: -0.34%



Specific capacity: 137 mAh/g

$$1 \text{ Ah} = 1 \frac{C}{s} \text{ hr} = 3,600 \text{ C}$$

$$\text{LiCoO}_2 = 97.87 \text{ g/mol}$$



Degradation

CHEMICAL

Loss of Li^+ inventory

- SEI
- Side reactions of electrolyte

Impedance Rise

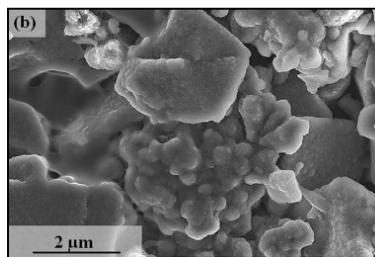
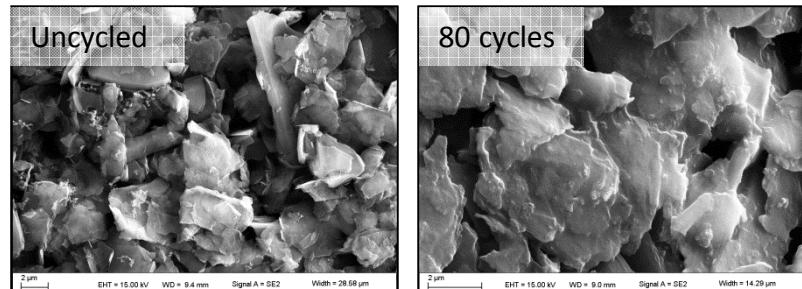
PHYSICAL

Loss of Active Material

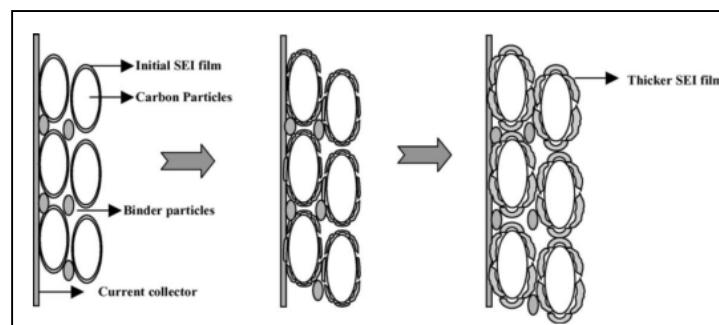
- Particle isolation

Reduction of Electrode Utilization

- Anisotropic expansion/contraction
- Internal strains and dislocation defects
- Fracture of particles



J. Lee et al. *Carbon* 52 (2013) 388-397



G. Ning et al. *J. Power Sources* 117 (2003) 160-169

Degradation

CHEMICAL

Loss of Li^+ inventory

- SEI
- Side reactions of electrolyte

Impedance Rise

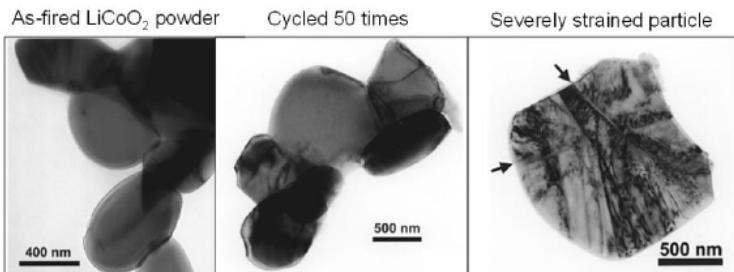
PHYSICAL

Loss of Active Material

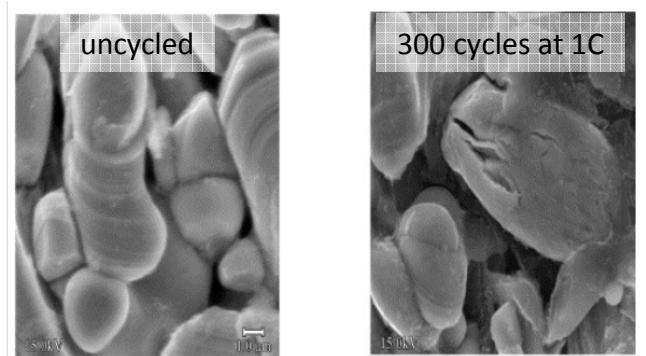
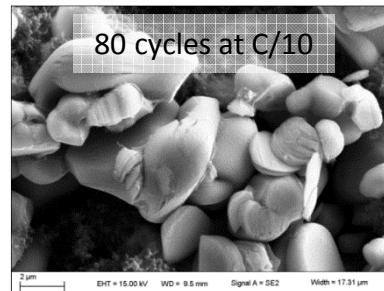
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Reduction of Electrode Utilization

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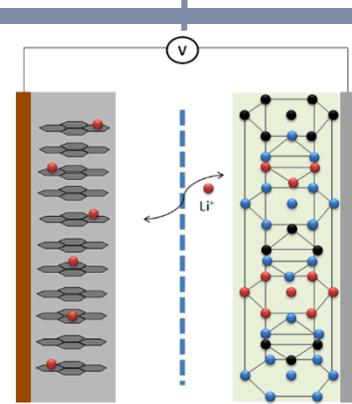


W. Haifeng et al. *J. Electrochem. Soc.* 146 (1999) 473-480

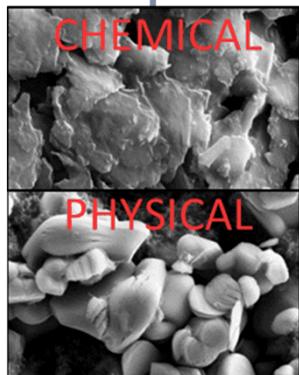


J. Li et al. *J. Power Sources* 102 (2001) 302-309

LIB TECHNOLOGY



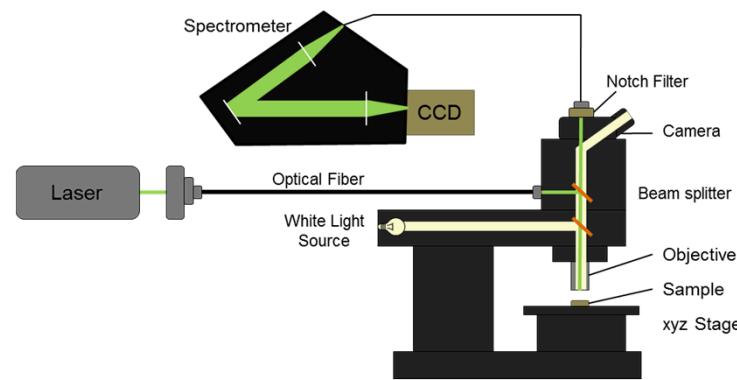
DEGRADATION



THEESIS HYPOTHESIS

Project Approach

Hypothesis: Chemical mechanisms of degradation in a Li-ion battery dominate capacity loss at low c-rates, whereas mechanical-induced mechanisms dominate at high c-rates.



RAMAN SPECTROSCOPY



ELECTROCHEMICAL TESTING



MICROSCOPY

Coin Cells

Electrodes (Active:PVDF:CB)
Cathode: LiCoO_2 (94:3:3)
Anode: Graphite (92:6:2)

Electrolyte, 1.2 M LiPF_6 EC:EMC (3:7 by wt)

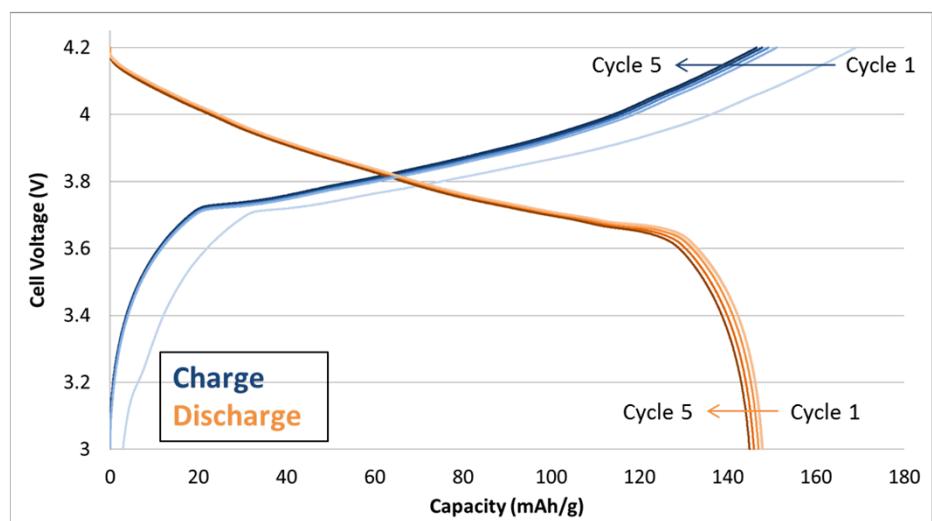
Separator, Celgard 2325

Potential Range, 3.0 V to 4.2 V

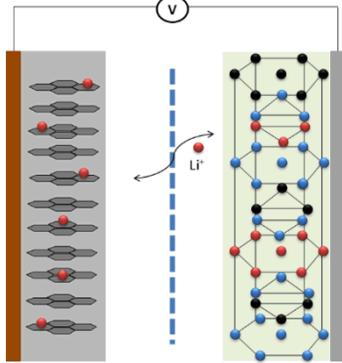
Constant Current (CC) or
Constant Current Constant Voltage (CCCV)

Temperature, 25°C

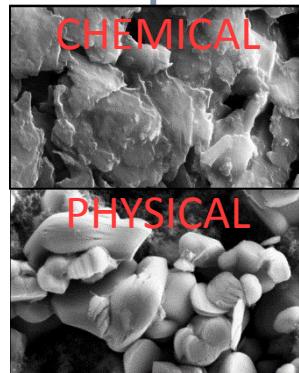
Cell Tester, Arbin BT2043



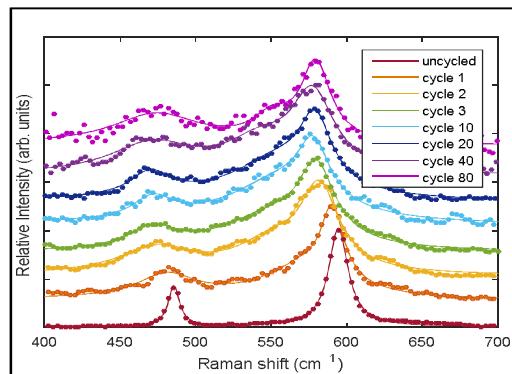
LIB TECHNOLOGY



DEGRADATION



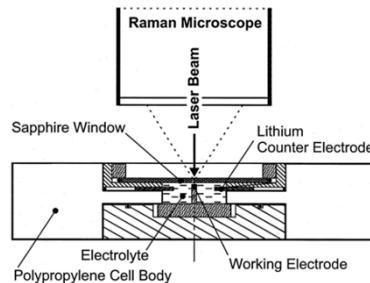
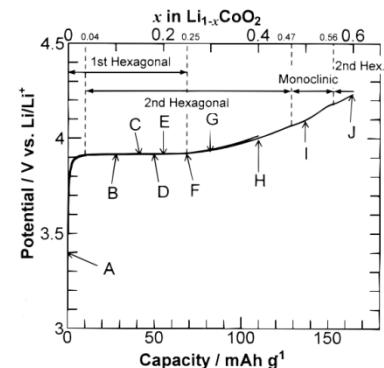
THESIS HYPOTHESIS



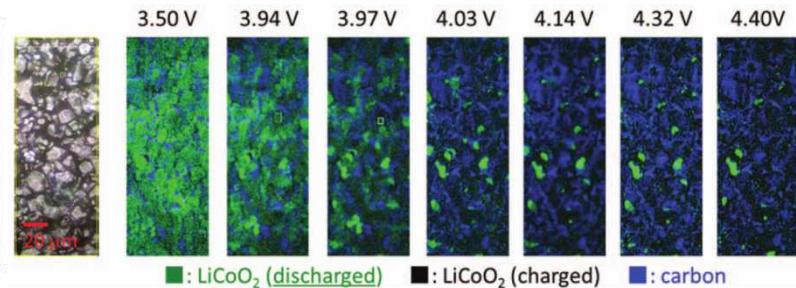
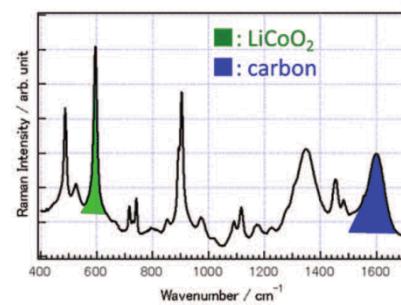
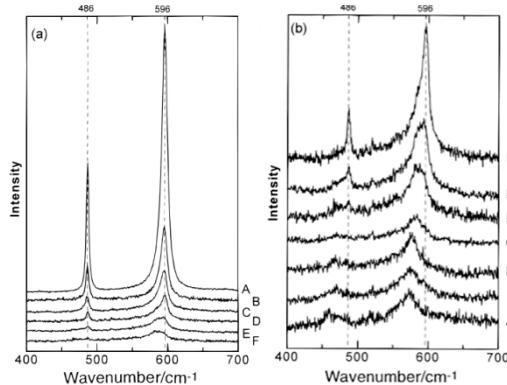
RAMAN SPECTROSCOPY

SEI Growth

- Hypothesis: At low c-rates chemical mechanisms dominate capacity fade
- SEI growth results in lithium inventory loss and impedance rise



P. Novak et al. *J. Power Sources* 90 (2000) 52-58



T. Nishi et al. *J. Electrochem. Soc.* 160 (2013) A1785-A1788

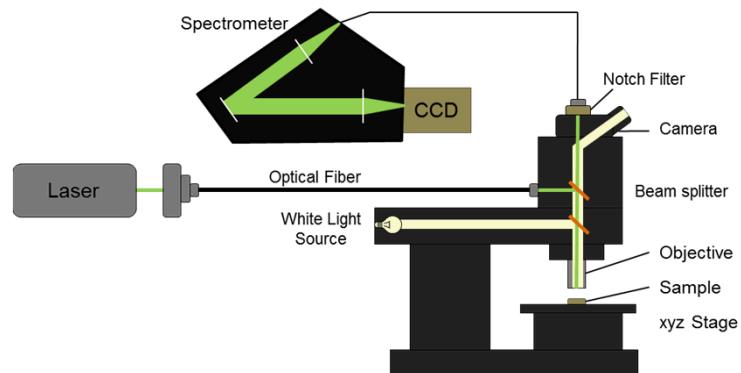
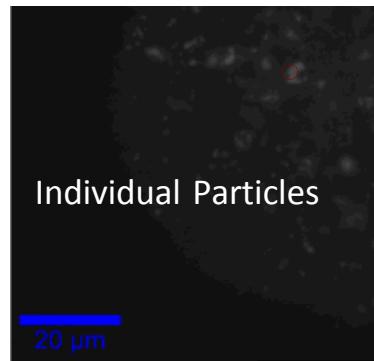
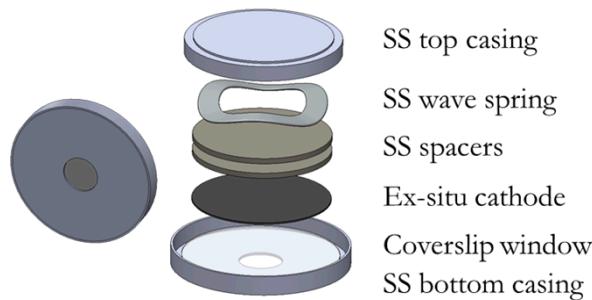
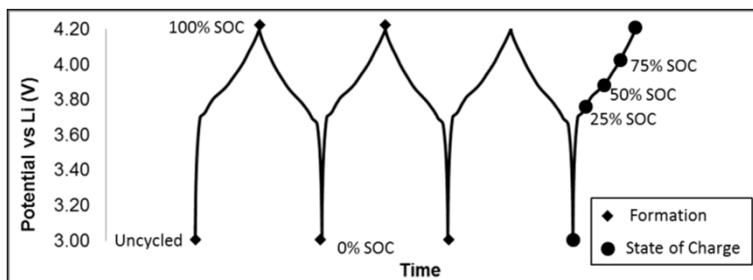
Experimental Setup

Develop Model:

1. Formation Cycle Test (Cycle 1 and 2)
2. State of Charge Test (Cycle 4)

Implement:

3. Long Term Cycle Test (Cycle 10, 20, 40, 80)



Cycle Information

C-rate : C/10

Raman Analysis Information

System: Witec Alpha 200R

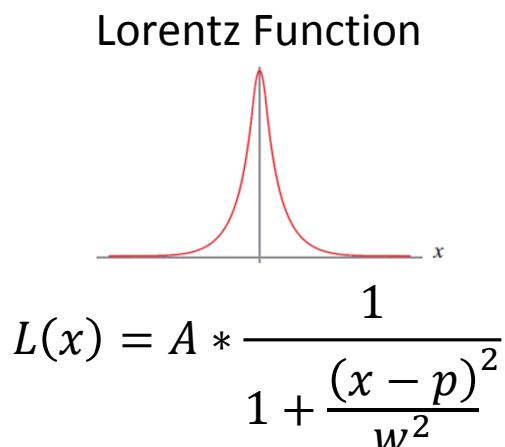
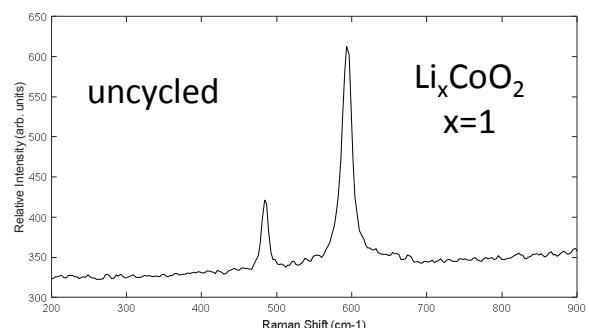
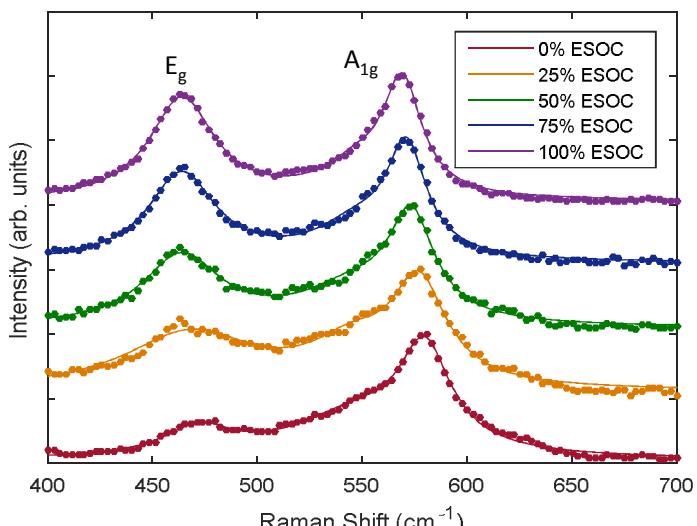
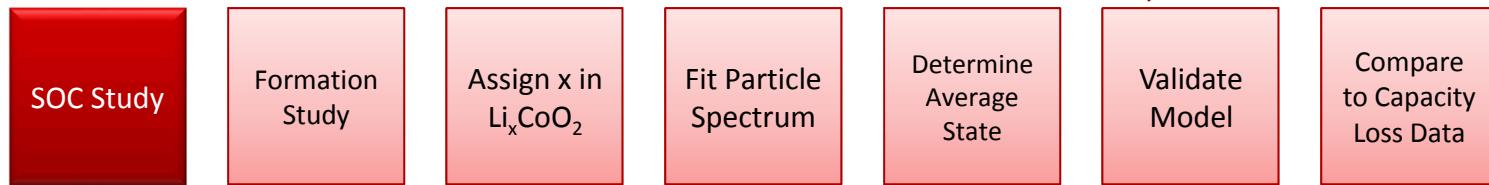
Laser: Nd:YAG at 532 nm

Objective: 50 x , 0.55 NA (600 nm spot size)

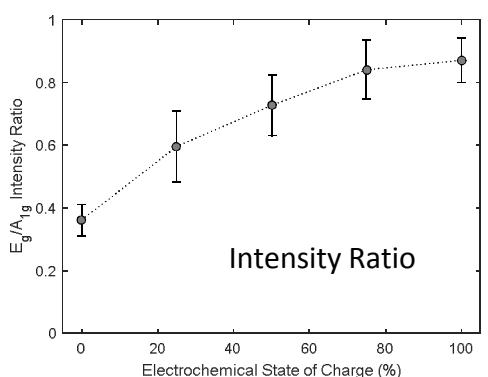
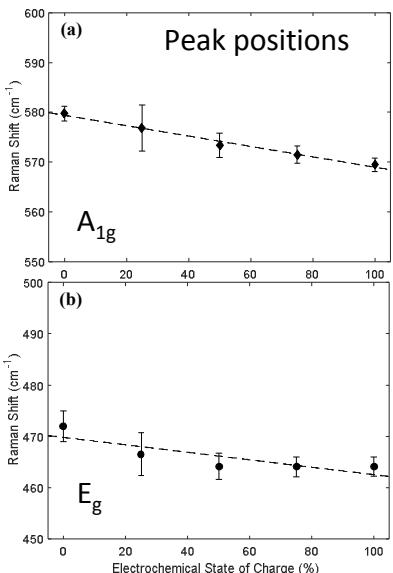
Power : 250 μ W

Integration time : 20 s integration,
15 accumulations

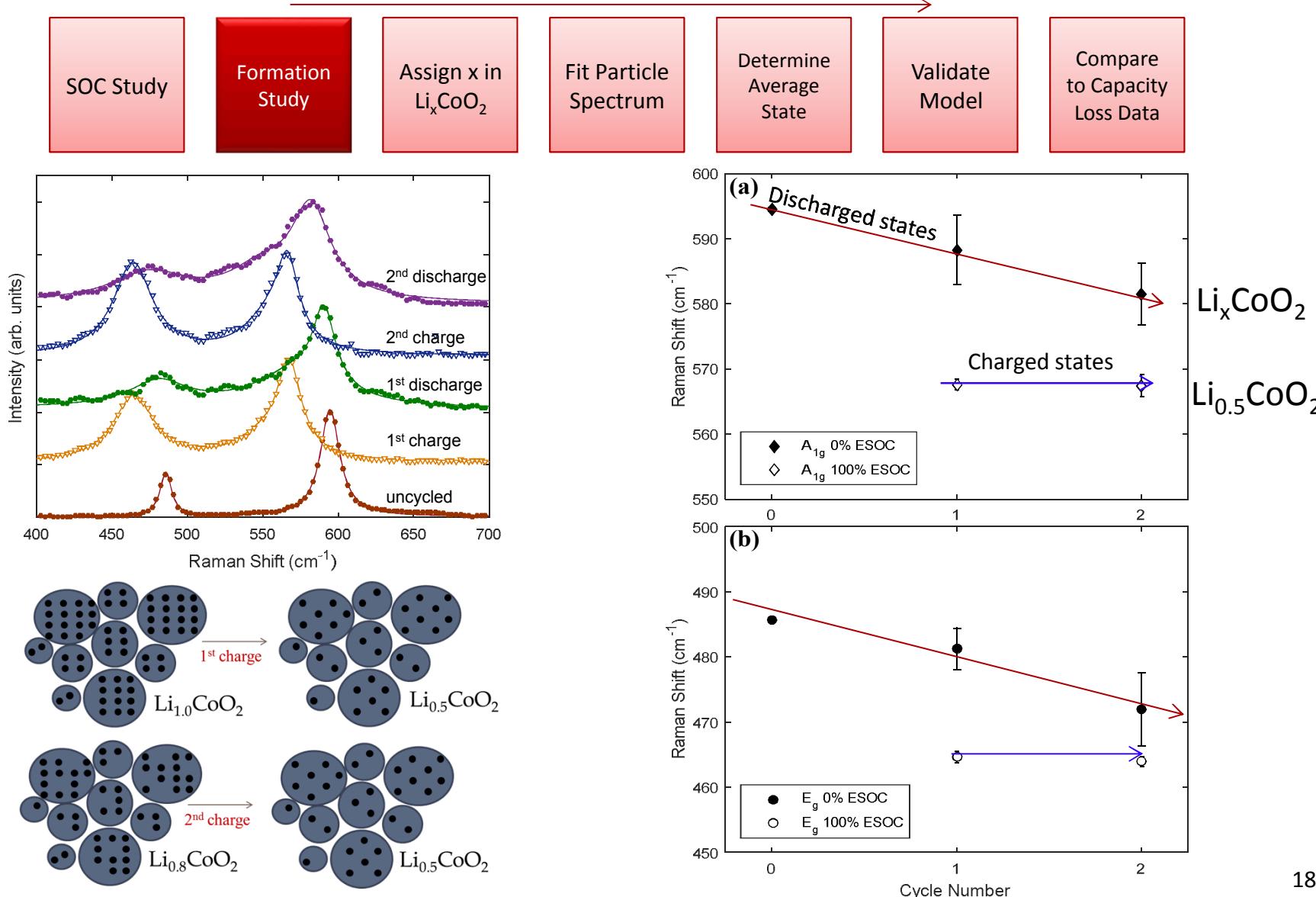
Raman Analysis



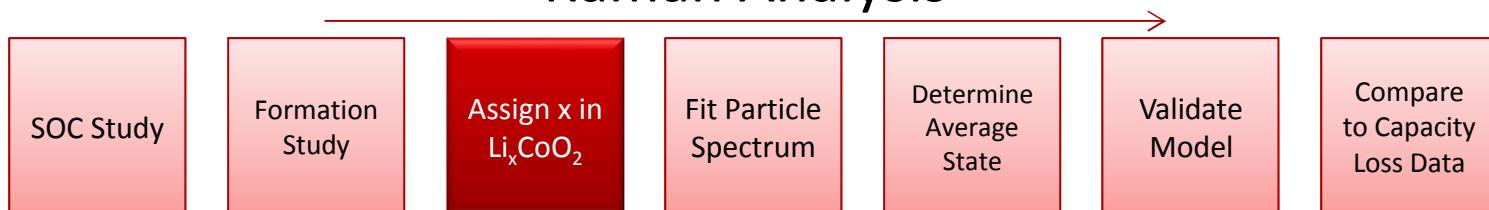
$A \sim$ Amplitude
 $w \sim$ line width
 $p \sim$ peak position



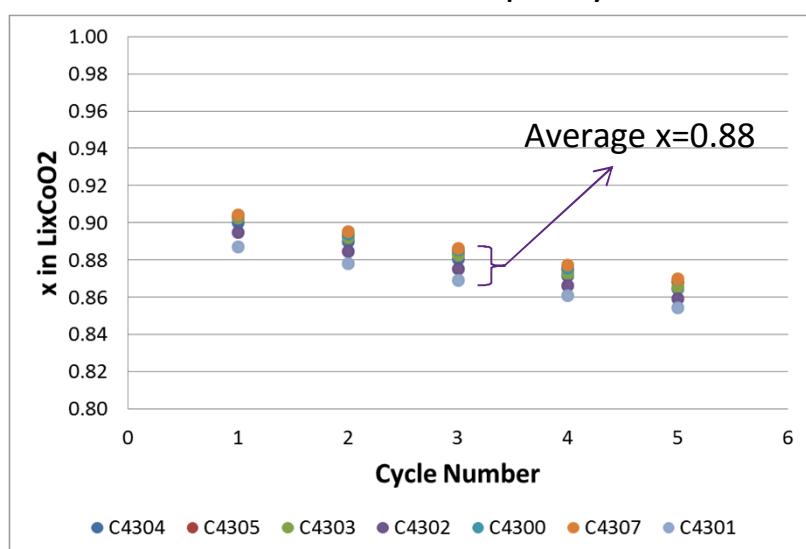
Raman Analysis



Raman Analysis



Discharge Capacity Normalized
to Theoretical Capacity



Assignment of lithiation state

ESOC (%)	x in Li_xCoO_2	PSOC (%)
0	0.88	24
25	0.785	43
50	0.69	62
75	0.595	81
100	0.5	100

Raman Analysis



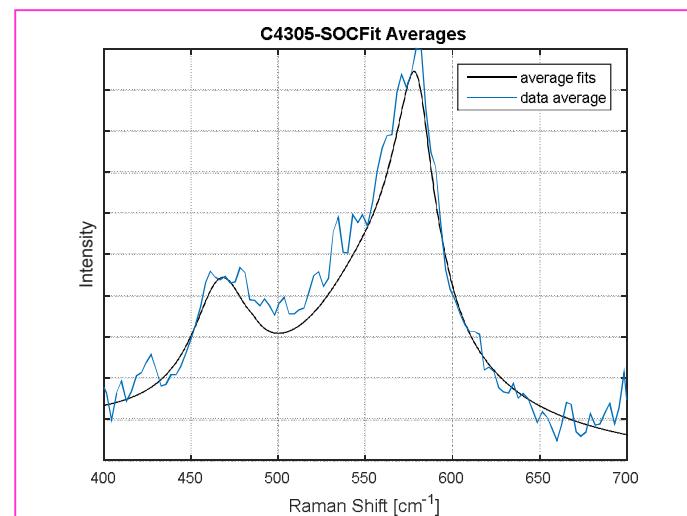
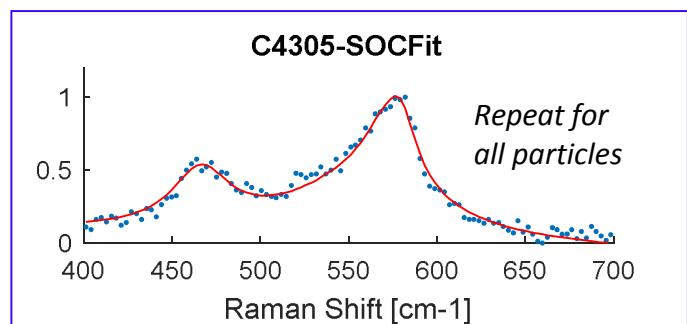
1. Preprocessing

- Exclude data outside 400-700 cm⁻¹
- Linear Background Removal
- Cosmic Ray Removal
- Normalize Intensity

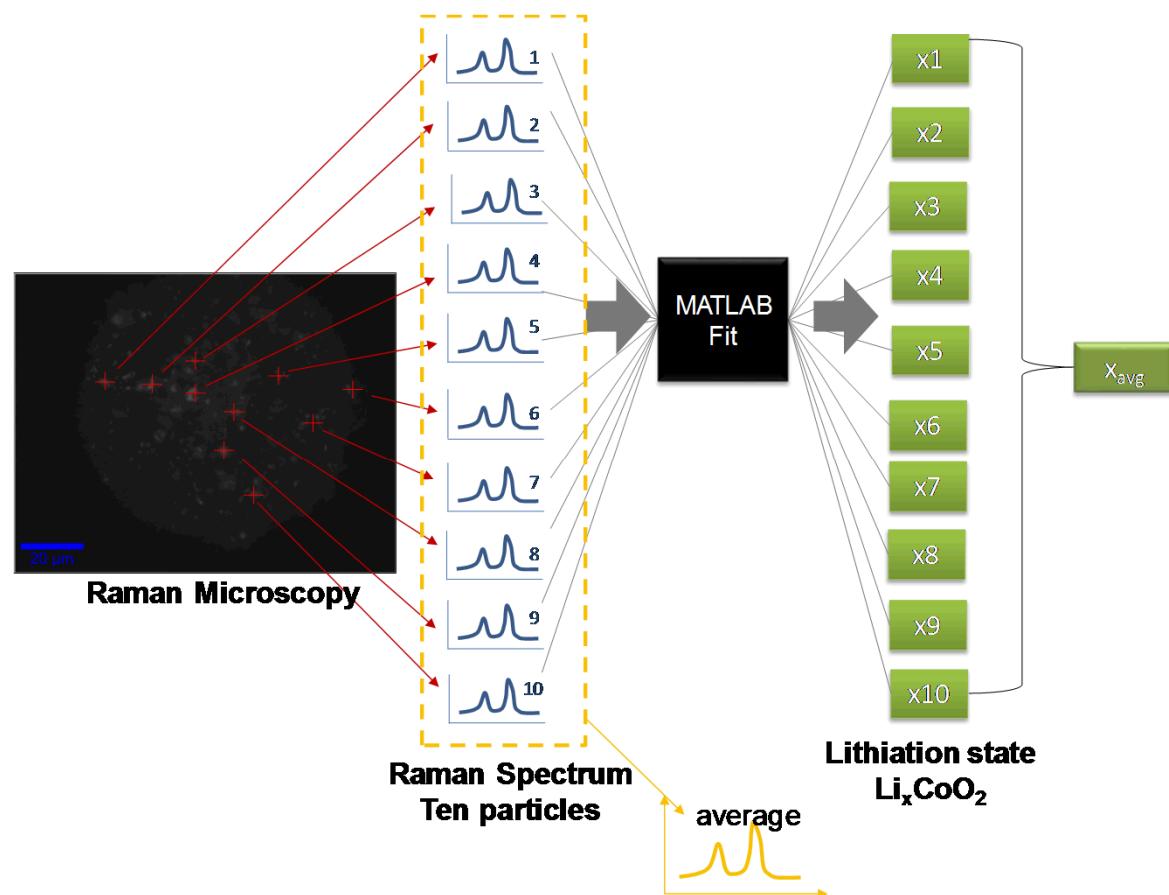
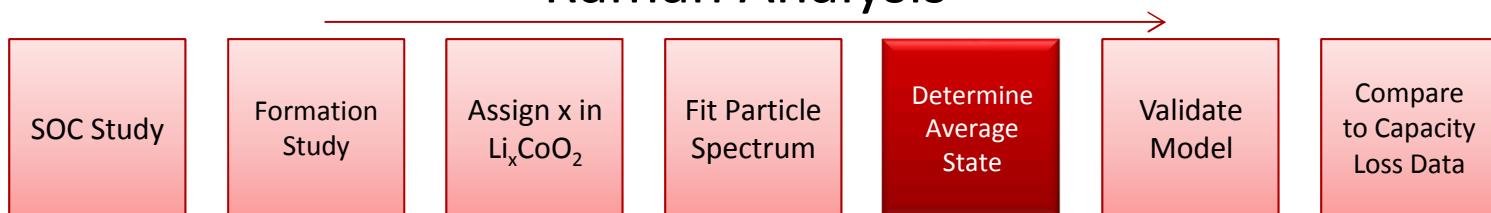
2. Fit to Linear Combination of SOC spectra - use weights in peak regions to maximize fit

3. Compare Average Fit to Average Data – ensure fits represent the data well

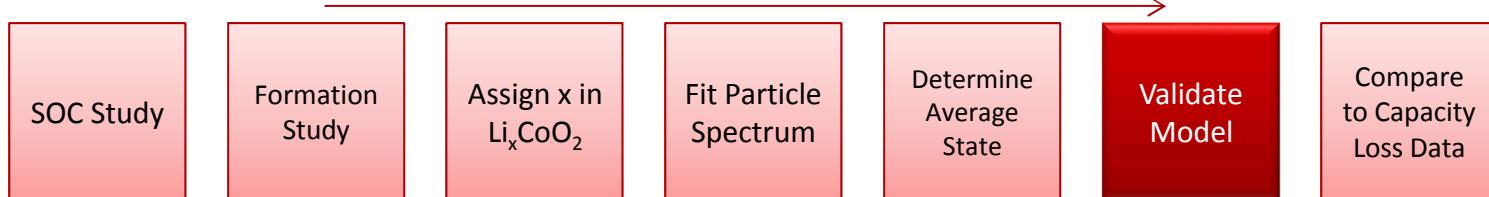
4. Export coefficients and R² fit value



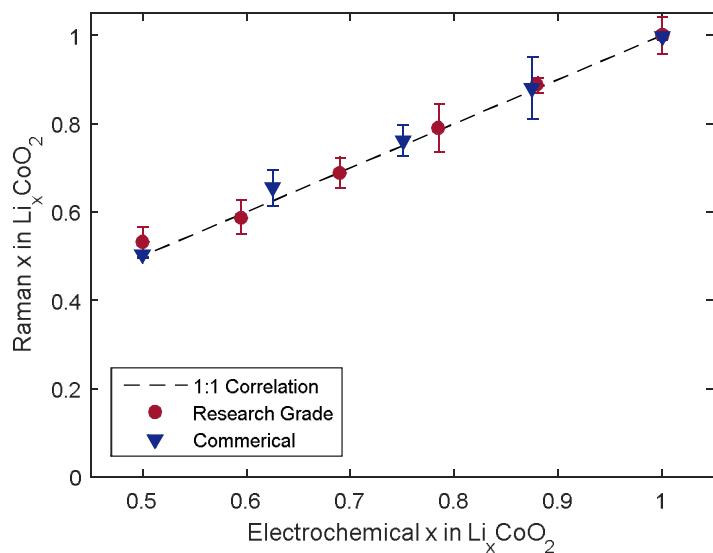
Raman Analysis



Raman Analysis



Raman vs Electrochemical



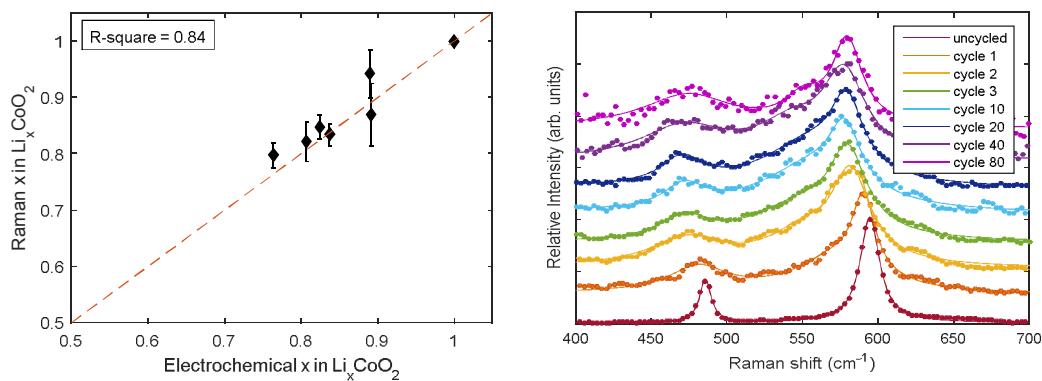
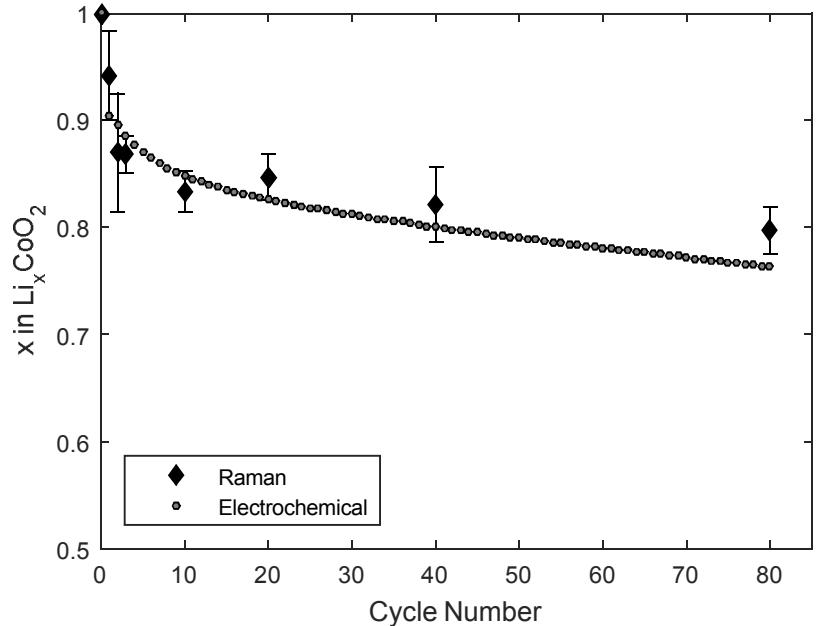
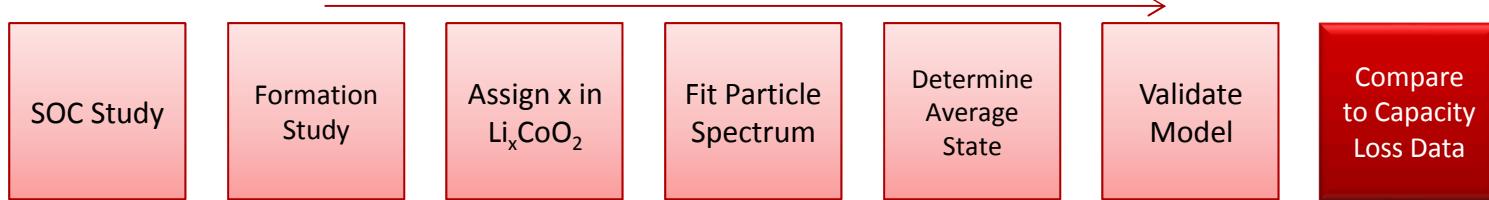
Research Grade

- SOC Samples

Commercial Electrodes

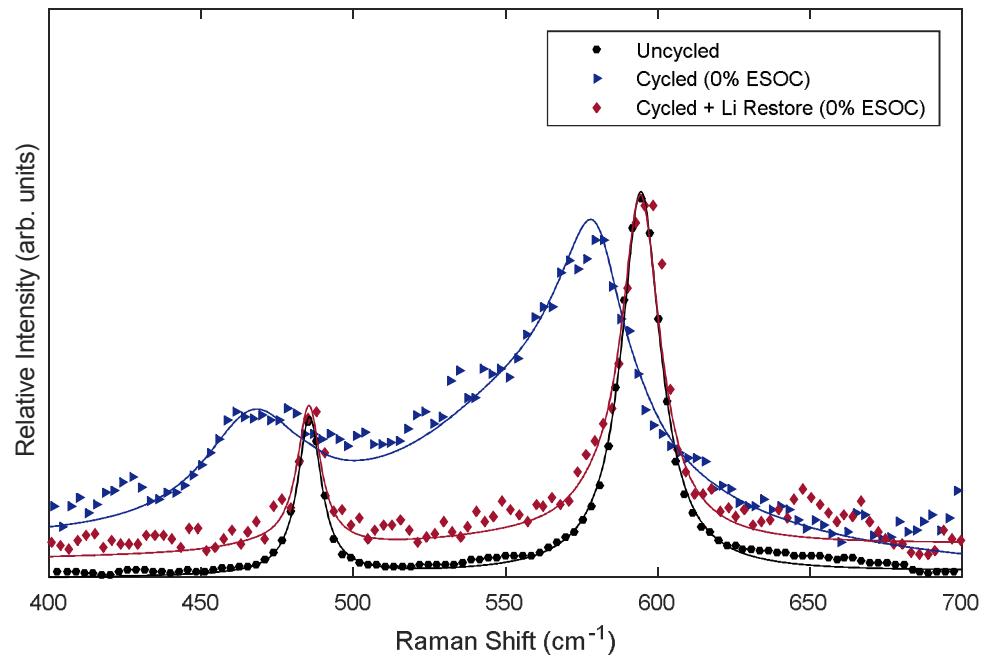
- Refreshed electrolyte (excess Li^+)

Raman Analysis

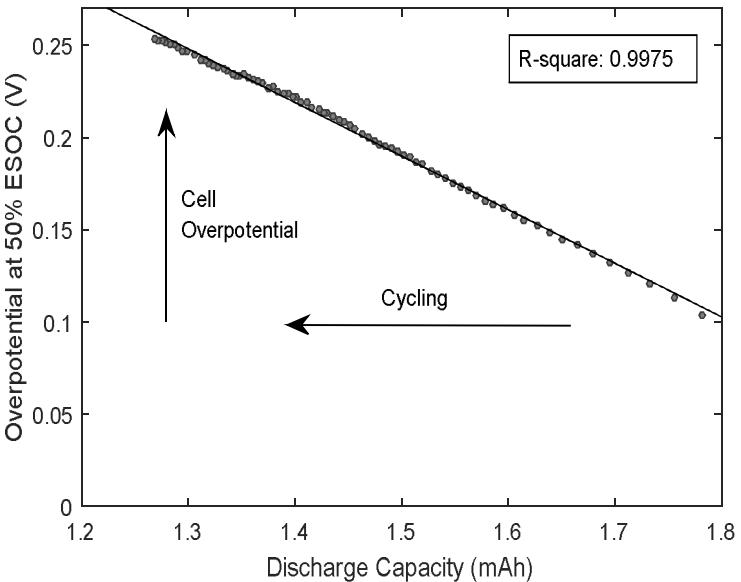


1. Raman microscopy is capable of estimating the lithiation state within individual LiCoO_2 particles
2. At these slow rates, capacity loss is exclusively caused by a loss of cycleable Li^+
3. No “inactive” particles of LCO were found on the surface of the electrode
4. All particles were in a relatively homogenous state of lithiation at the slow rates (C/10)

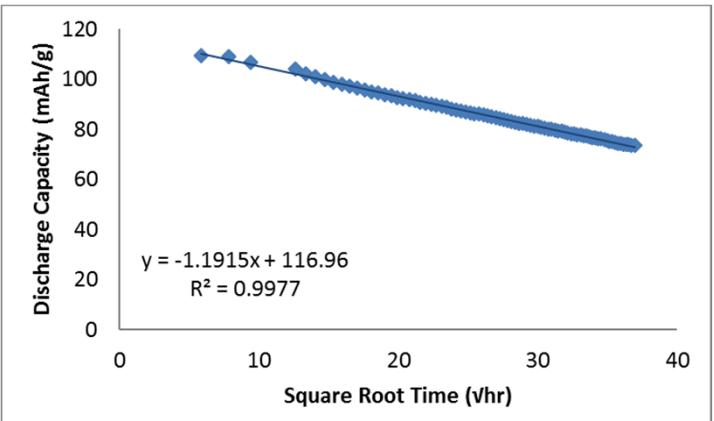
Raman Analysis



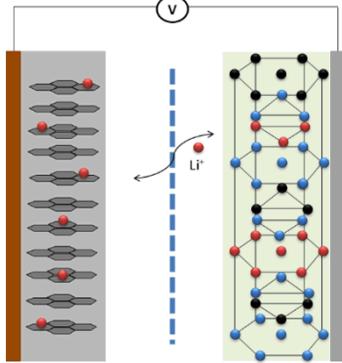
No measurable damage of LiCoO_2 structure that prohibits a fully lithiated state after cycling



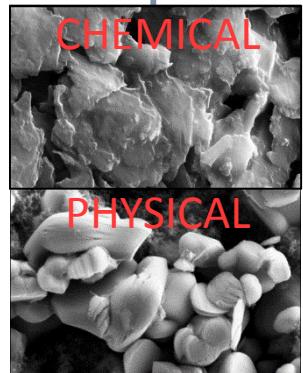
Overpotential rise is linked to continued SEI film formation/thickening which results in reduced Li^+ Inventory and thus capacity loss.



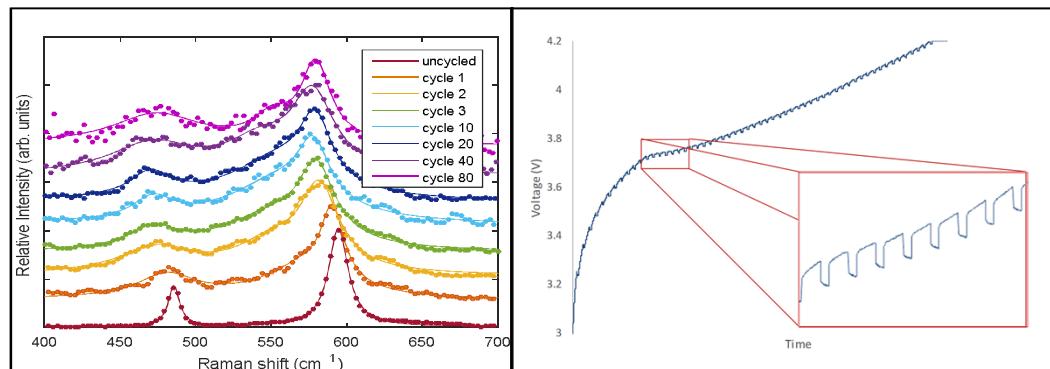
LIB TECHNOLOGY



DEGRADATION

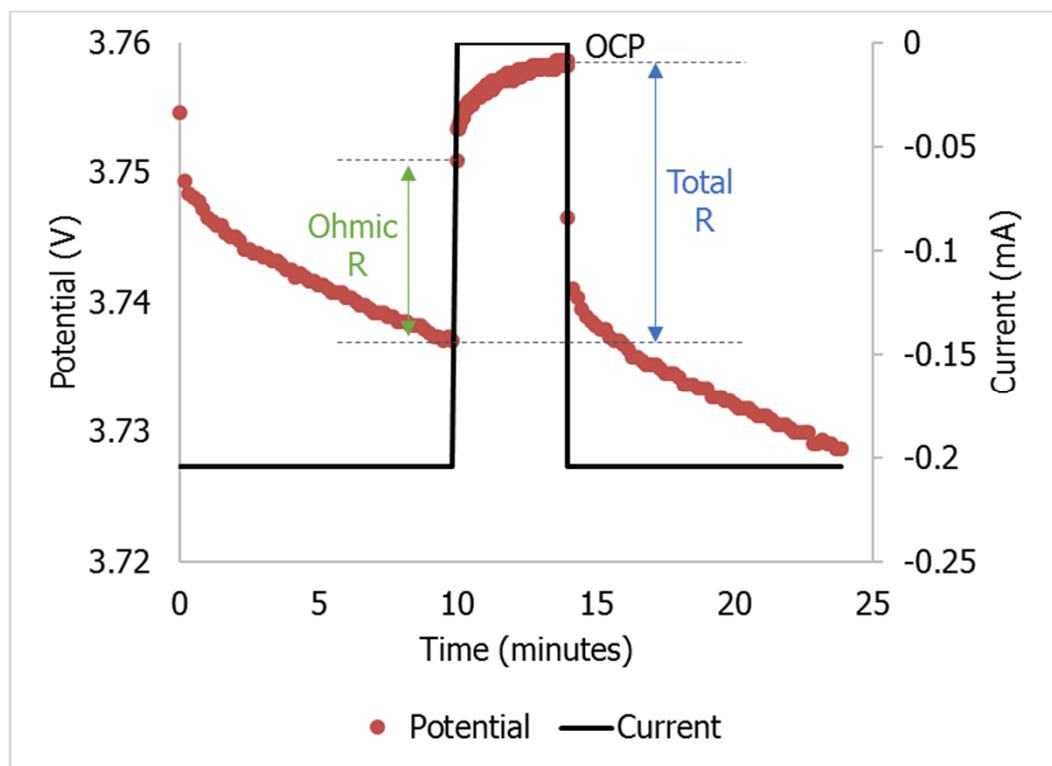


THESES HYPOTHESIS



Current Interrupt

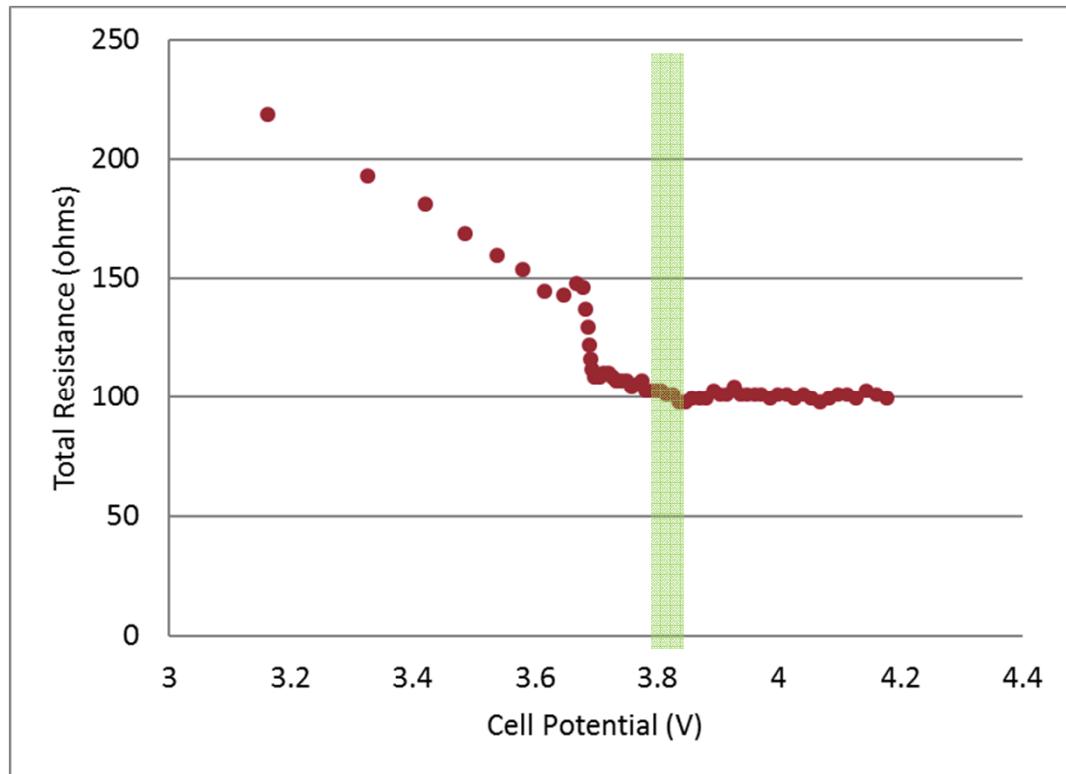
Study Resistance Rise of Cells as function of c-rate : 1C, C/2, C/5, C/10



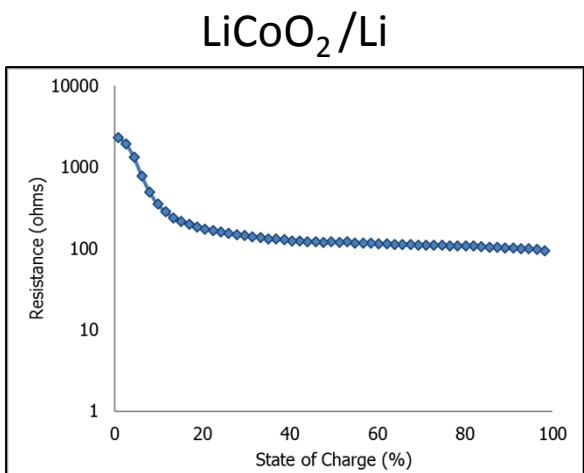
Total R
Ohmic (electronic)
Activation (Double layer)
Concentration (mass transport)

Test Setup
Rest Period: 4 min
CC Interval: Varies with c-rate

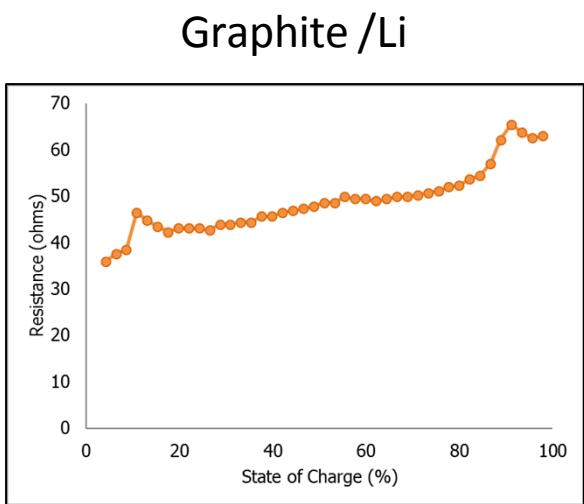
Resistance



C/10 CCCV

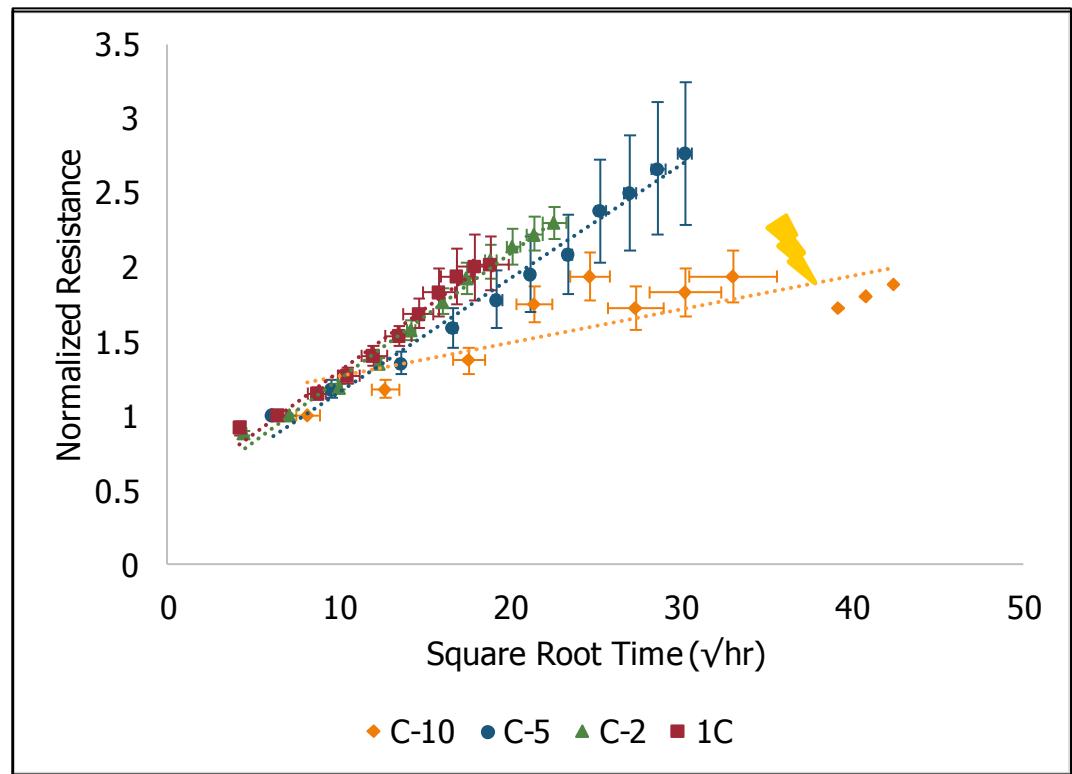


C/5 CCCV



C/10 CCCV

Resistance Rise



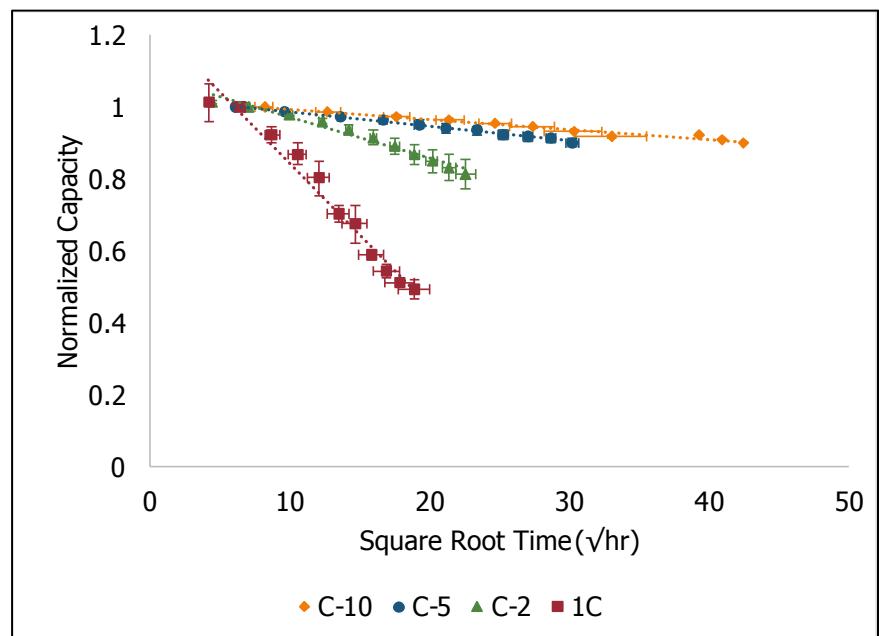
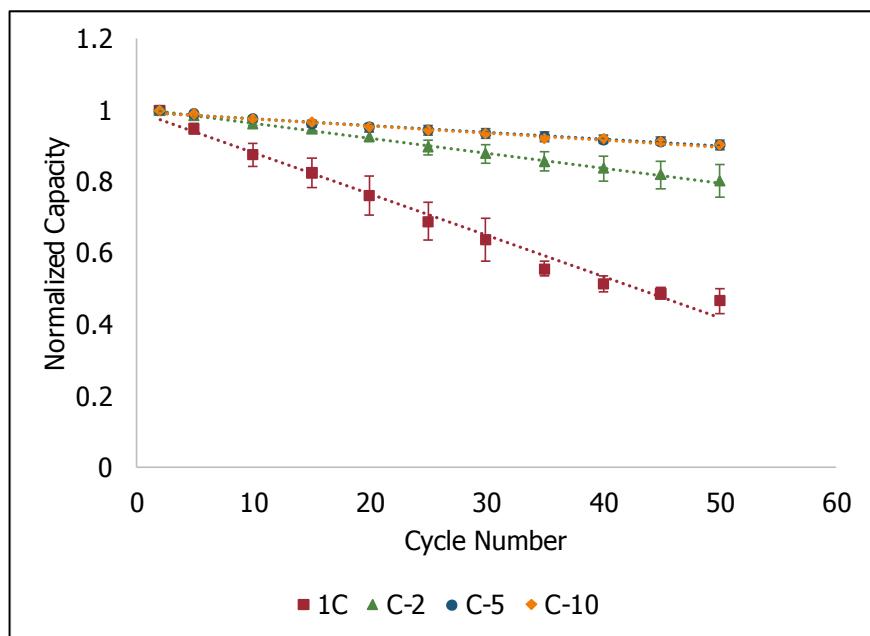
Cell Resistance Rise

- Electrolyte conductivity changes
- Film growth (\sqrt{t})
- Binder conductivity changes

- Resistance rise is most impacted by chemical mechanisms as the rise correlates with \sqrt{t} and is relatively independent of c-rate

Capacity Loss

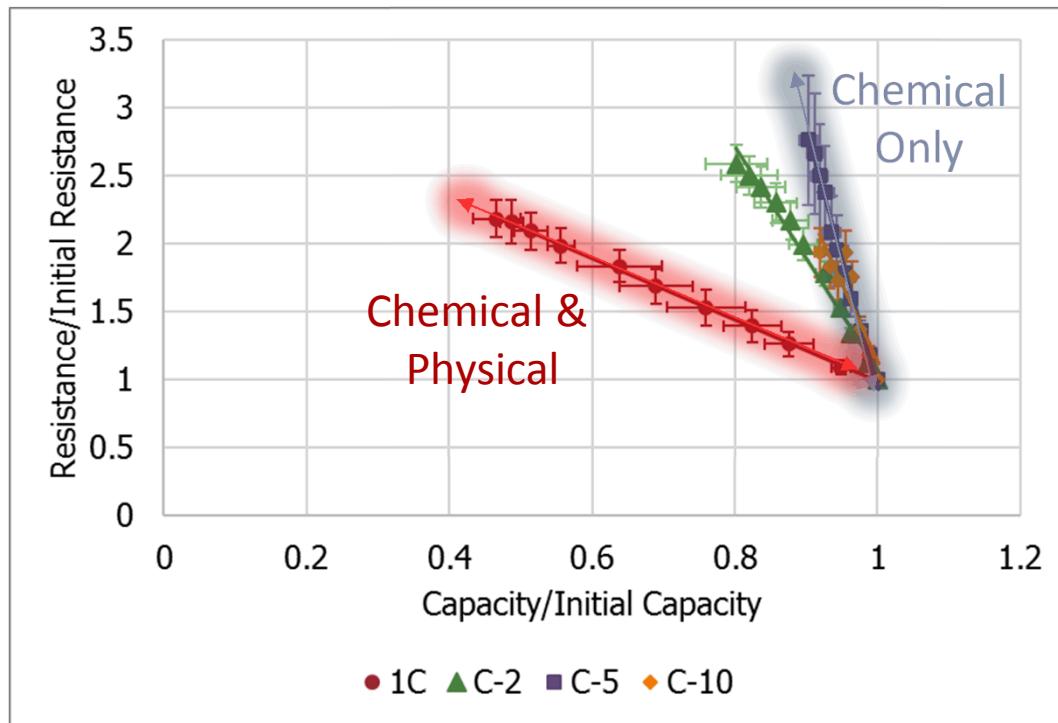
Capacity loss is c-rate sensitive and higher c-rates lead to faster capacity loss on a per cycle and time basis.



Cell Capacity loss

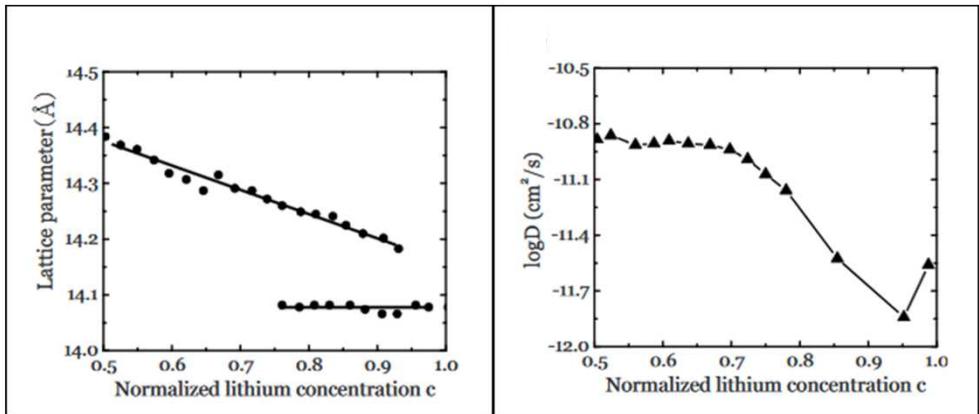
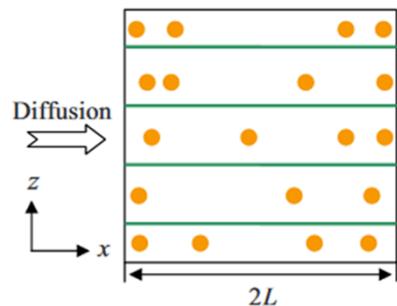
- Particle isolation
- Film growth (Vt)
- Mechanical damage

Current Interrupt



- Resistance measures chemical degradation
- Capacity loss stems from chemical and physical degradation
- As c-rate is increased, the contribution from physical degradation also increases

Particle Fracture



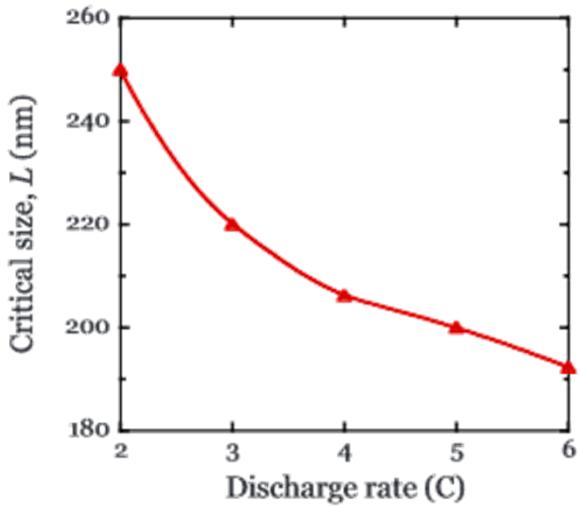
$$D = \frac{L^2}{t}$$

Concentration Gradients \rightarrow

$$\varepsilon = \frac{l(c) - l_0}{l_0}$$

Crack Propagation

$$G = ZE\varepsilon^2 L$$



$$L_c = \frac{\Gamma}{Z_{max} E \varepsilon_m^2}$$

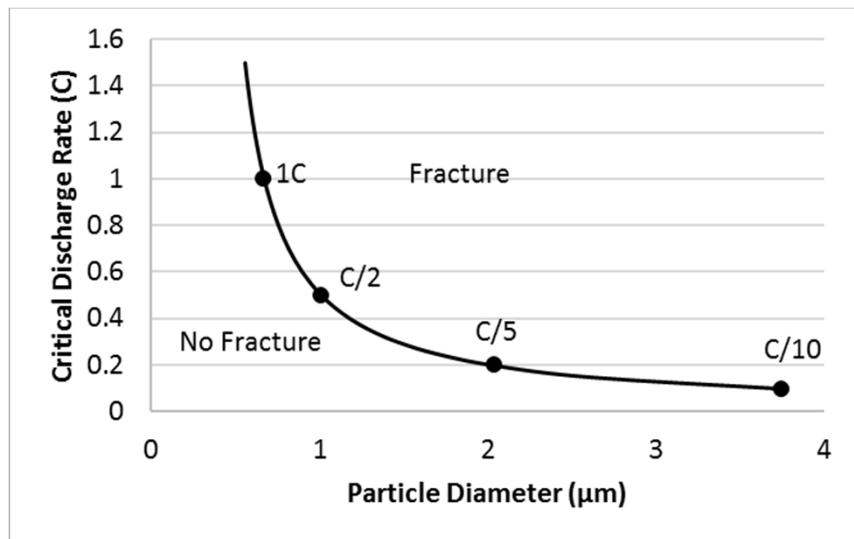
L_c : critical particle size
 Γ : fracture energy of LiCoO_2
 Z_{max} : maximum energy release
 E : elastic modulus of LiCoO_2
 ε_m : mismatch strain

Fracture Model: Zhao et al. J. Appl. Phys. 108 (2010) 073517-1

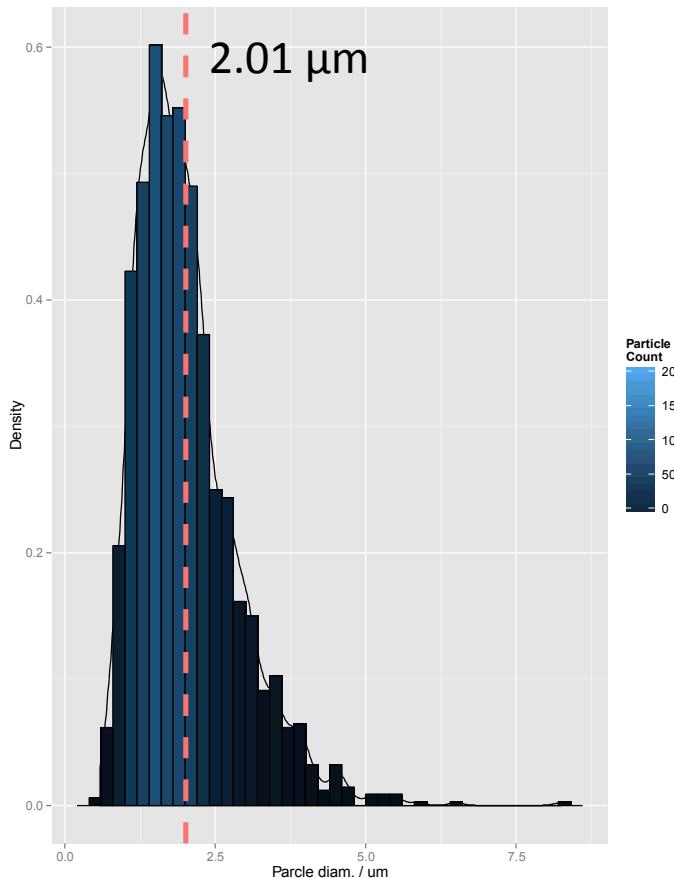
Diffusion Measurements: Xie et al. Solid State Ionics 179 (2008) 362

Lattice Constant Measurements: Reimers and Dahn J. Electrochem. Soc. 139 (1992) 2091

Particle Fracture

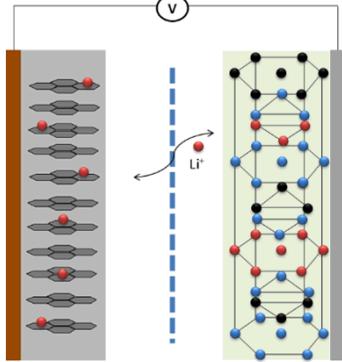


Simulations support the increased likelihood of particle fracture with increased c-rate

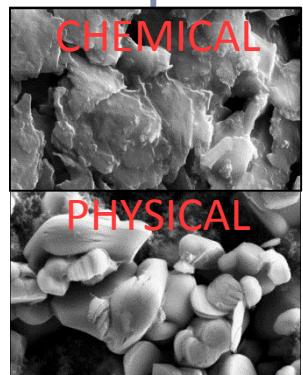


Courtesy of Dr. Farid El Gabaly (Sandia – CA)

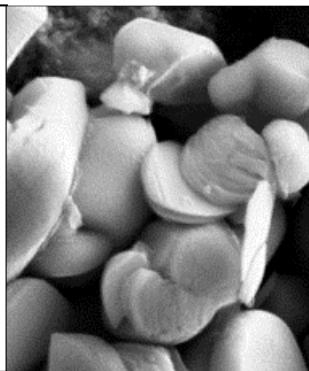
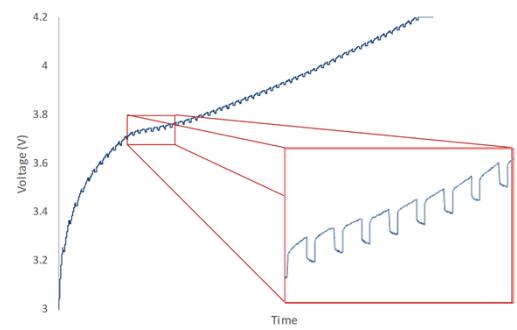
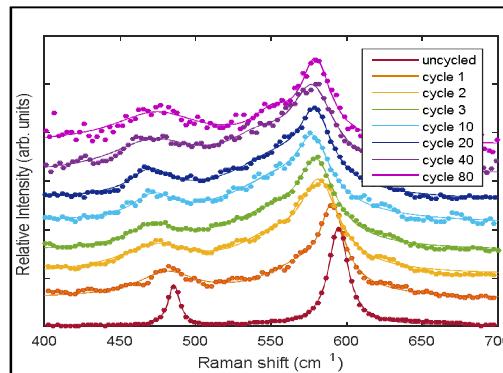
LIB TECHNOLOGY



DEGRADATION



THESES HYPOTHESIS

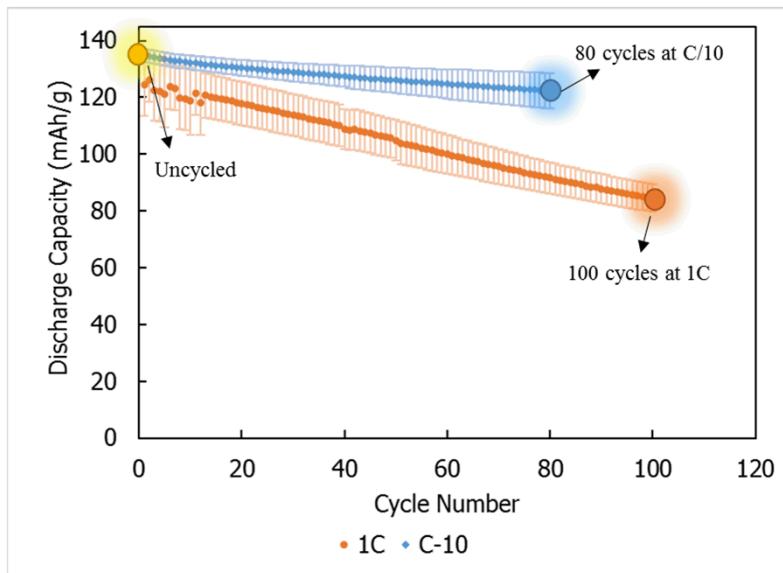


RAMAN SPECTROSCOPY

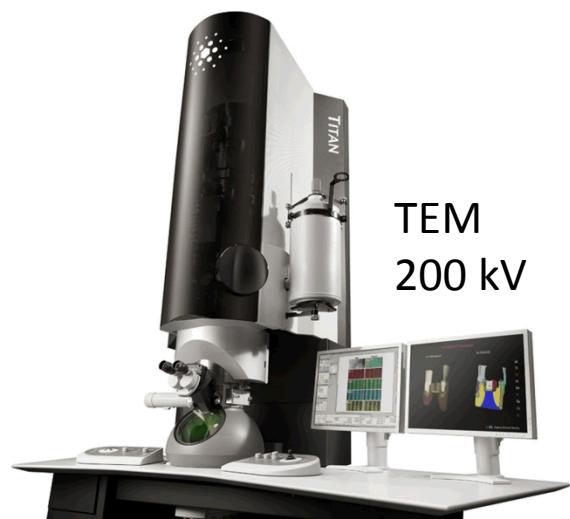
CURRENT INTERRUPT

MICROSCOPY

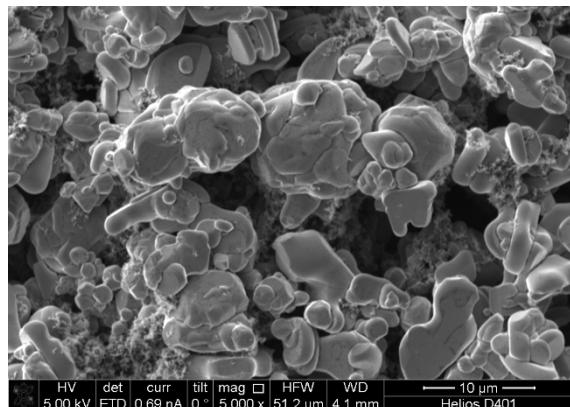
Microscopy analysis



SEM
15 kV

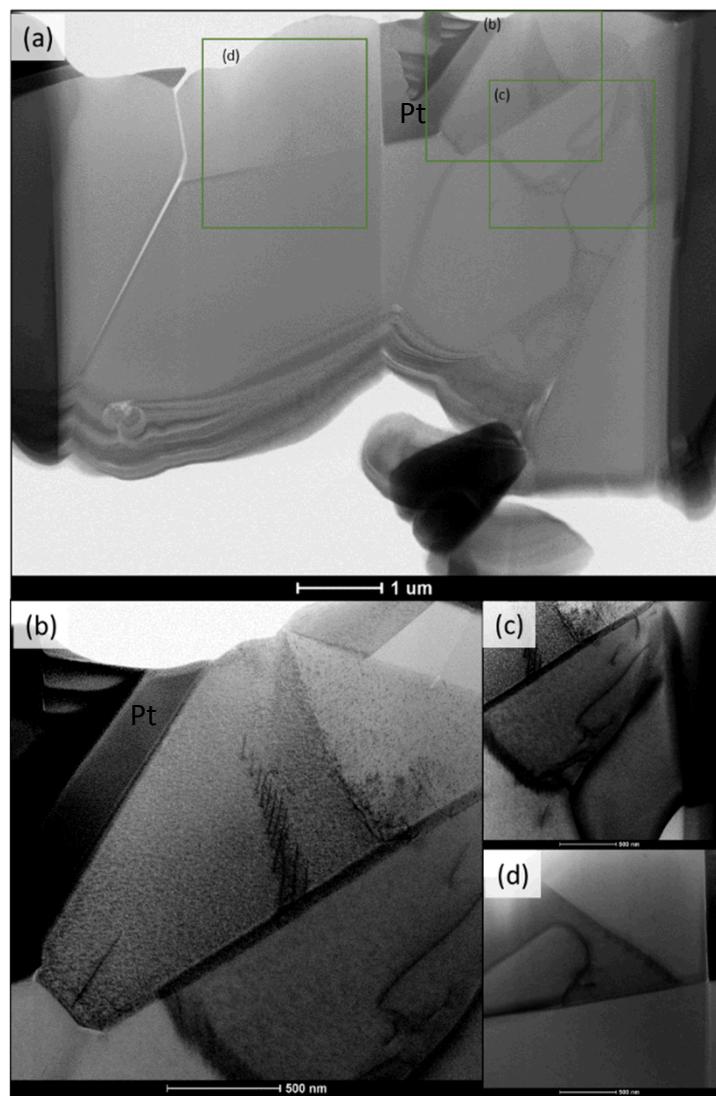
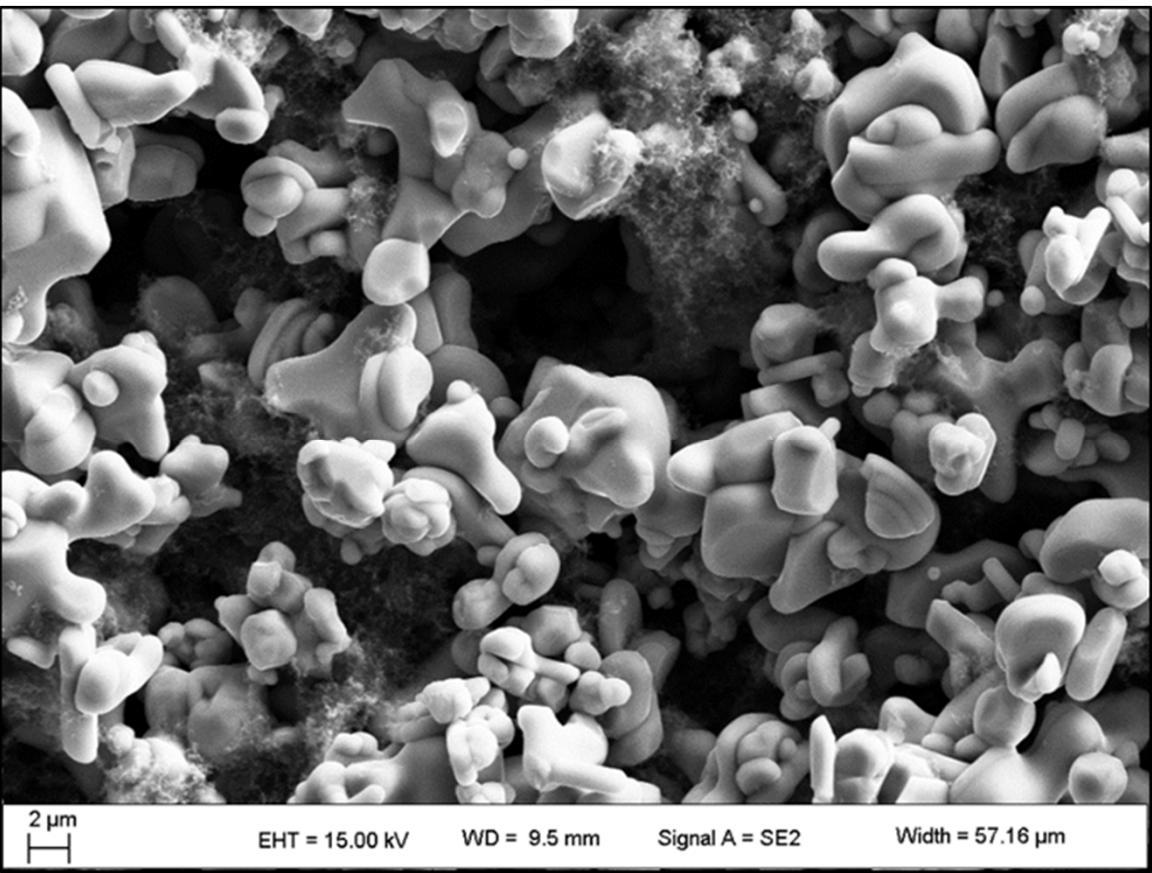


TEM
200 kV

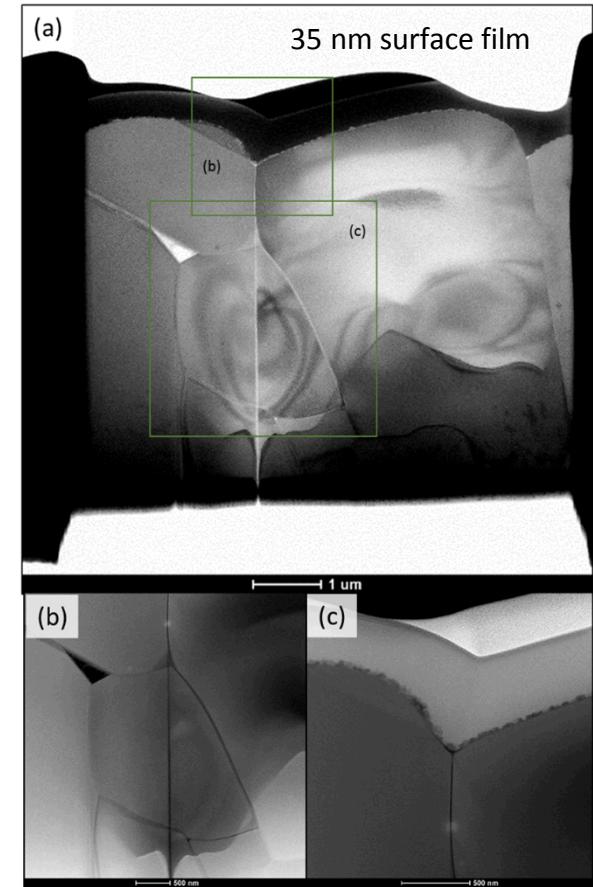
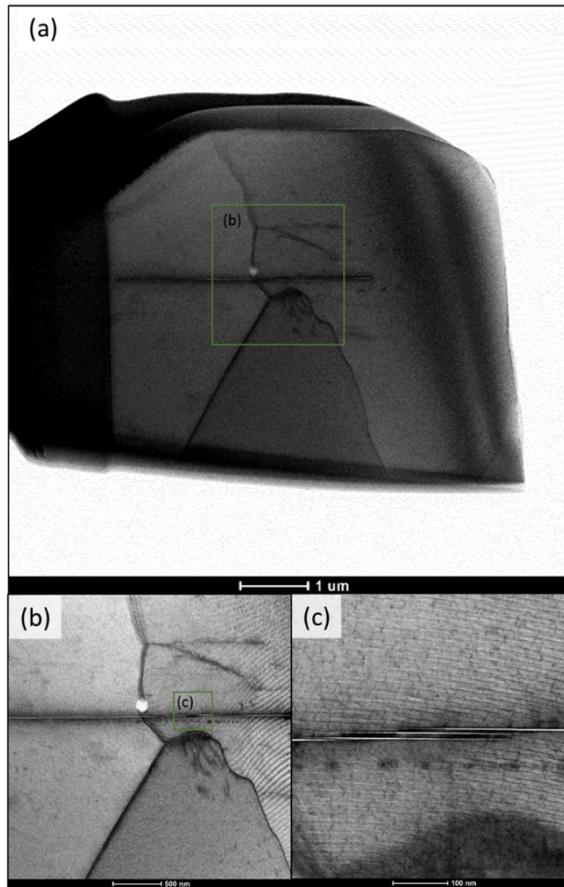
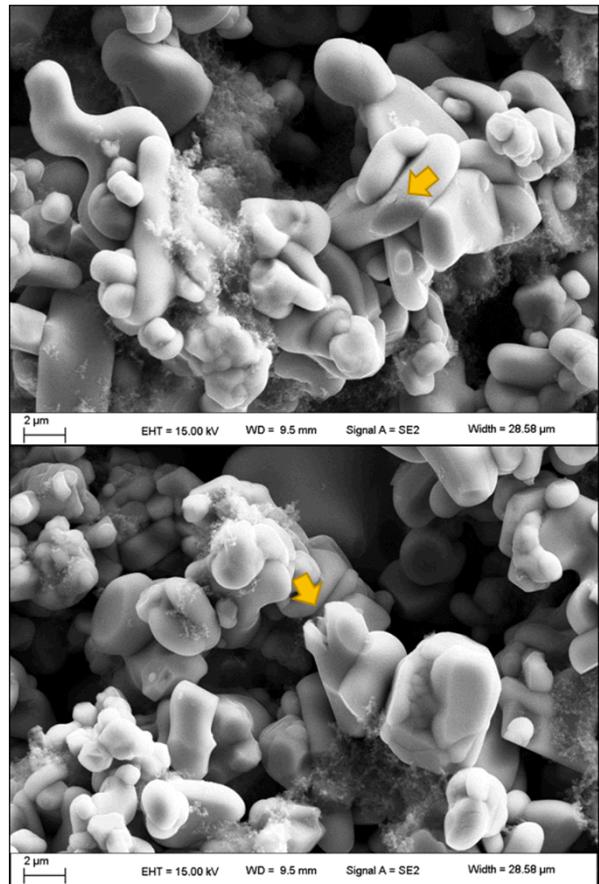


FIB Removal
100 nm thick
12 μm
3 μm

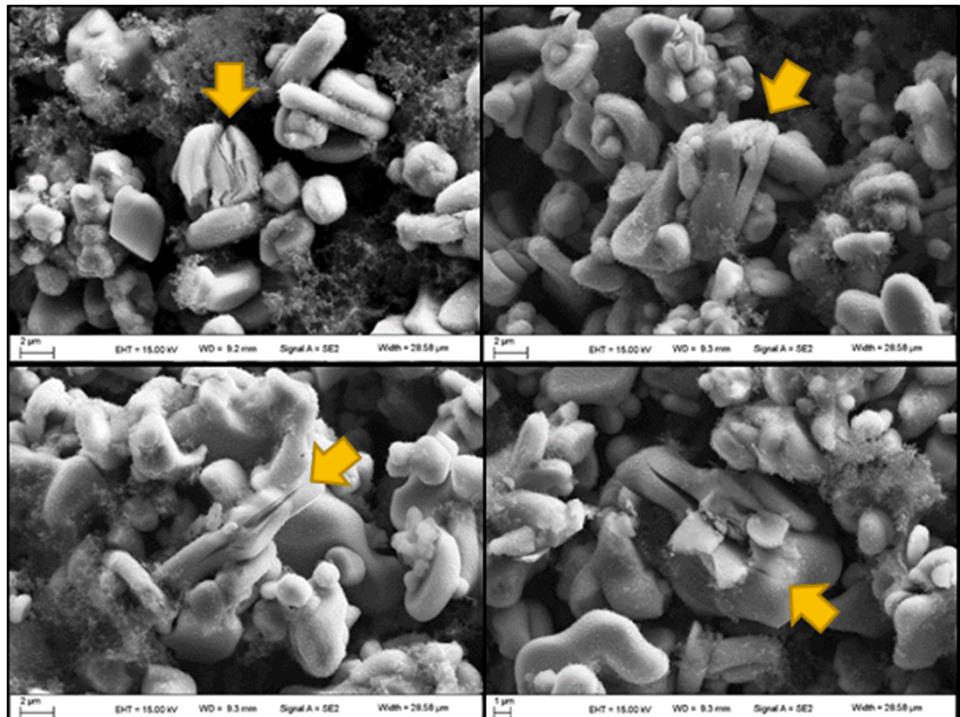
Uncycled



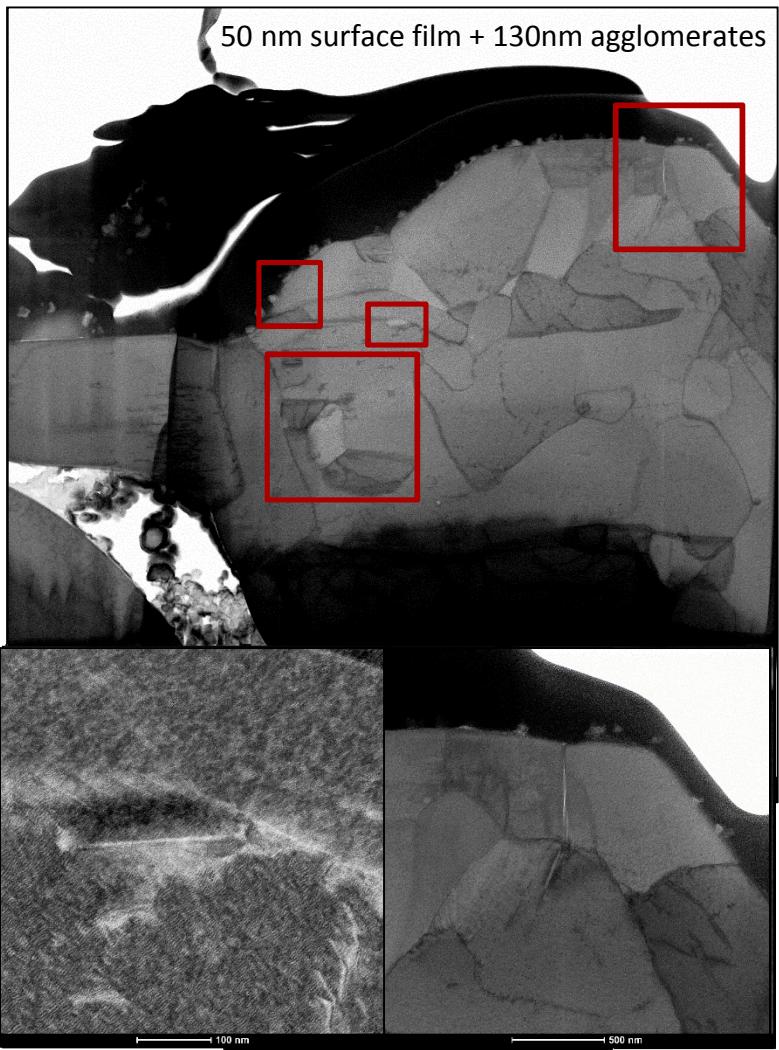
80 cycles at C/10



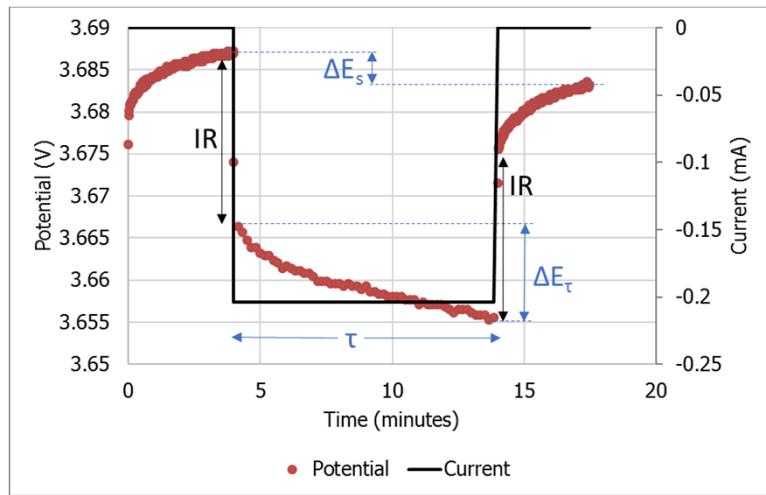
100 cycles at 1C



Damage is most extensive in high c-rate electrodes

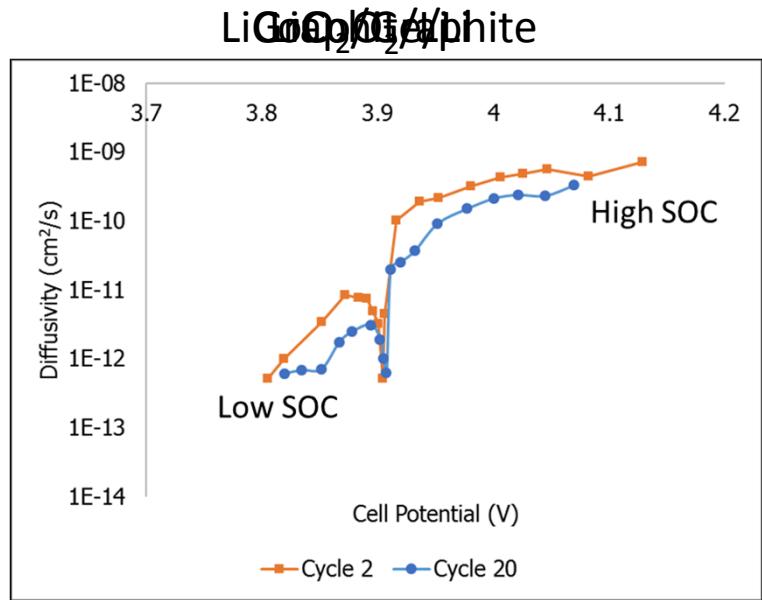


Li ion transport changes



$$\tilde{D}_{Li} = \frac{4}{\tau \pi} \left(\frac{m_B V_m}{M_B S} \right)^2 \left(\frac{\Delta E_s}{\Delta E_\tau} \right)^2$$

m_B : mass of active material
 V_m : molar volume
 M_B : atomic weight
 S : surface area



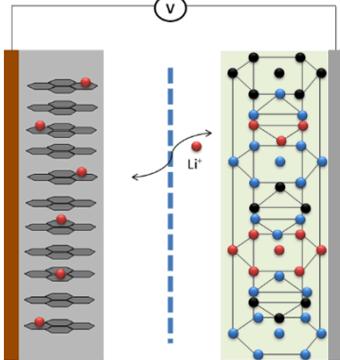
CC10 CCCV

- Diffusivity degrades with cycling and is attributable to the LiCoO_2 electrode.
- Micro-cracks, high dislocation densities, and surface films are all expected to affect Li ion trapping and reduce Li ion transport

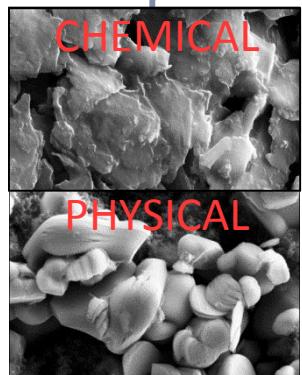
W. Weppner and R. Huggins *J. Electrochem. Soc.* (1977) 1569-1578.

A. Funabik et al. *J. Electrochem. Soc.* 145 (1998) 172-178.

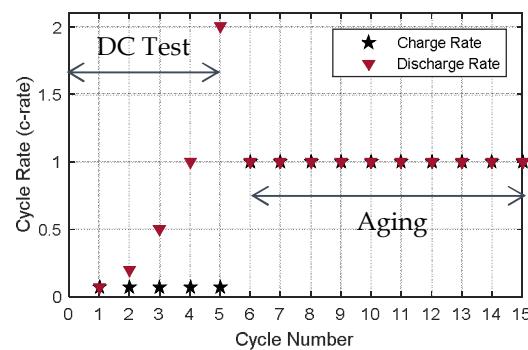
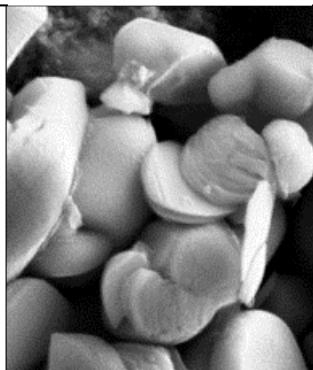
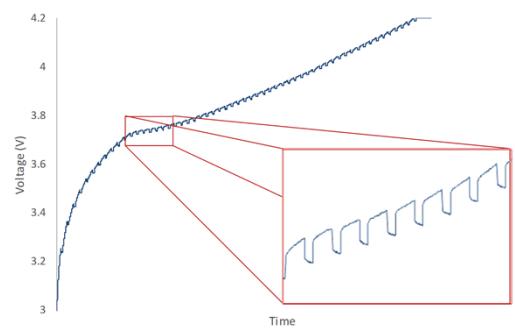
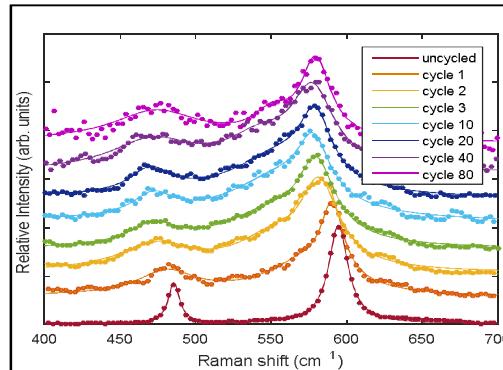
LIB TECHNOLOGY



DEGRADATION



THESES HYPOTHESIS



RAMAN SPECTROSCOPY

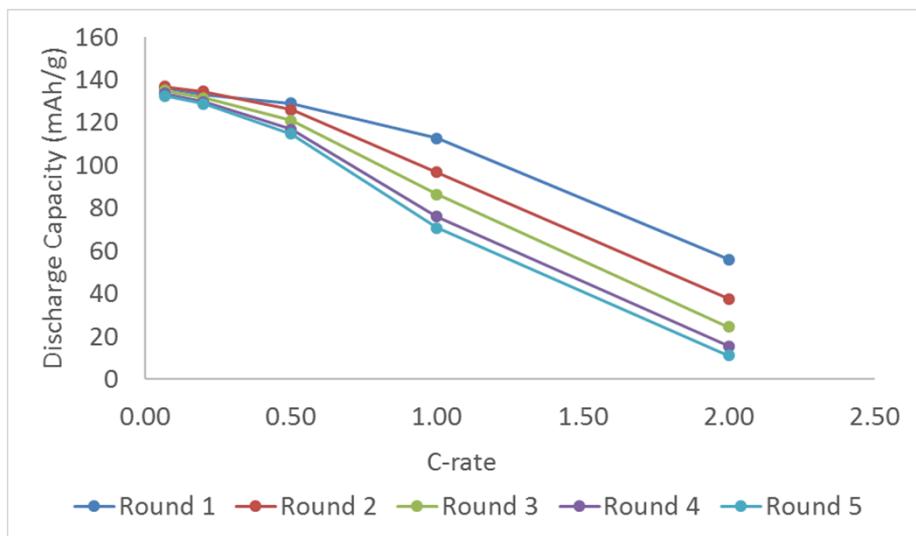
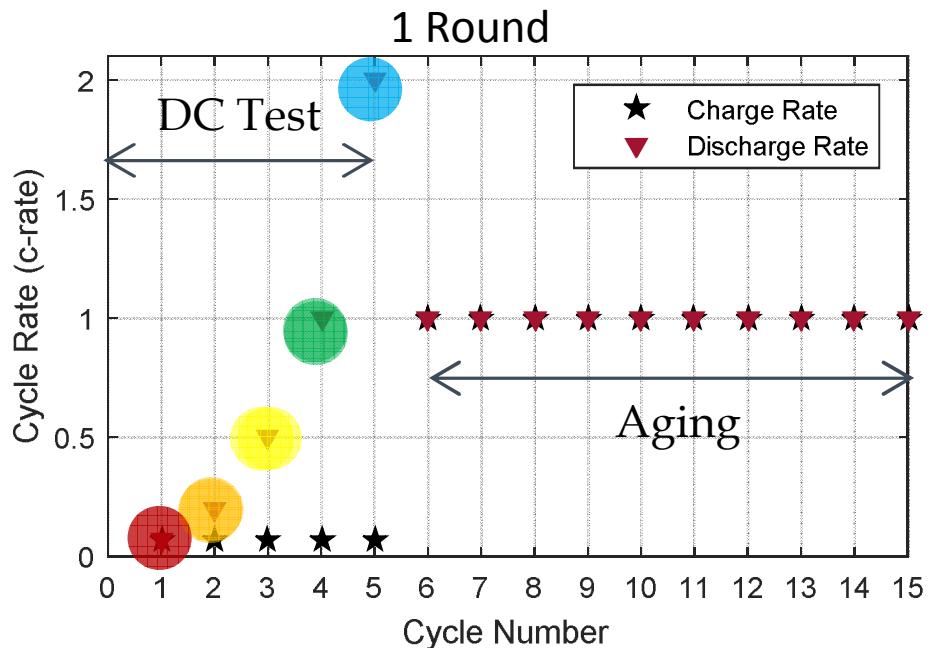
CURRENT INTERRUPT

MICROSCOPY

RATE CAPABILITY

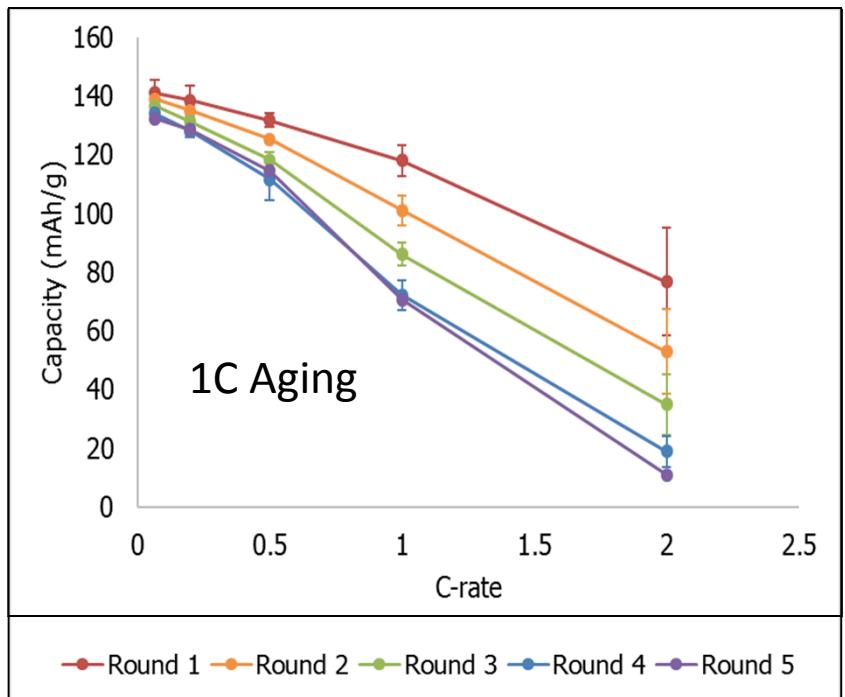
Rate Capability Test Procedure

Tests electrode utilization at various rates and how it evolves throughout cycling

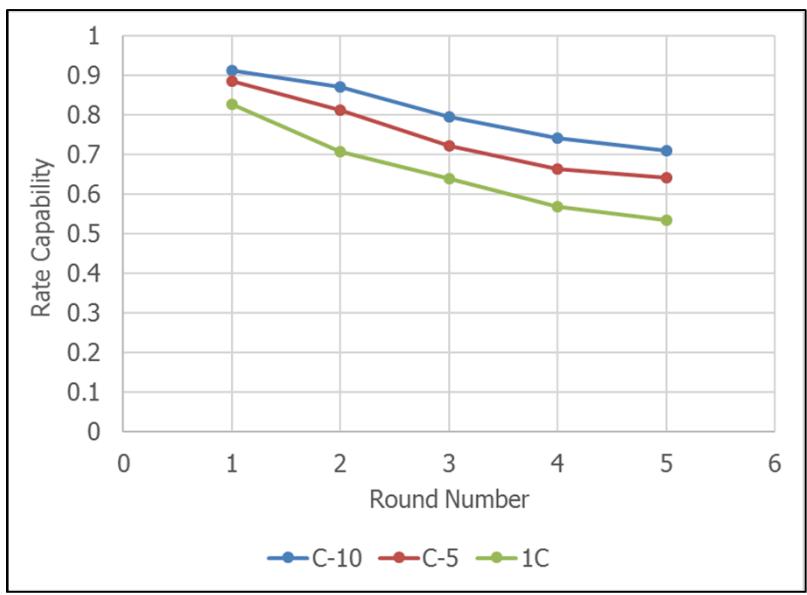
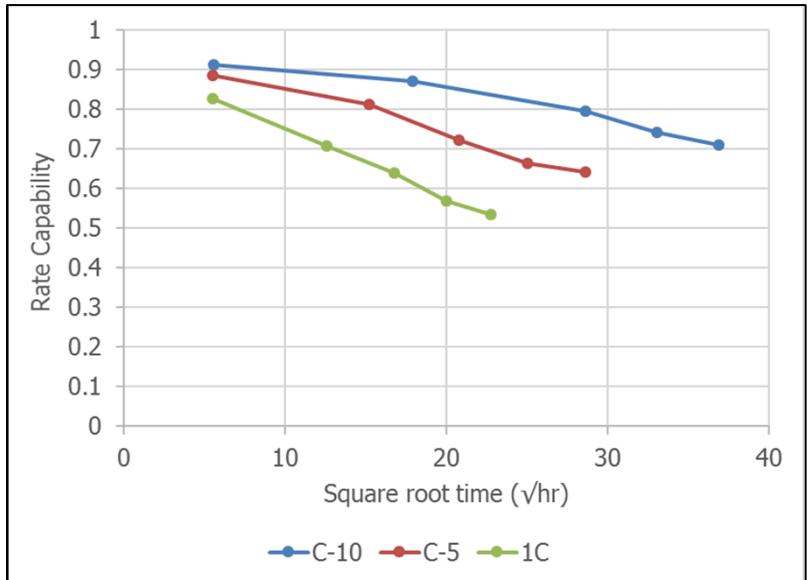


Aging C-rates Tested: C/10, C/5, 1C

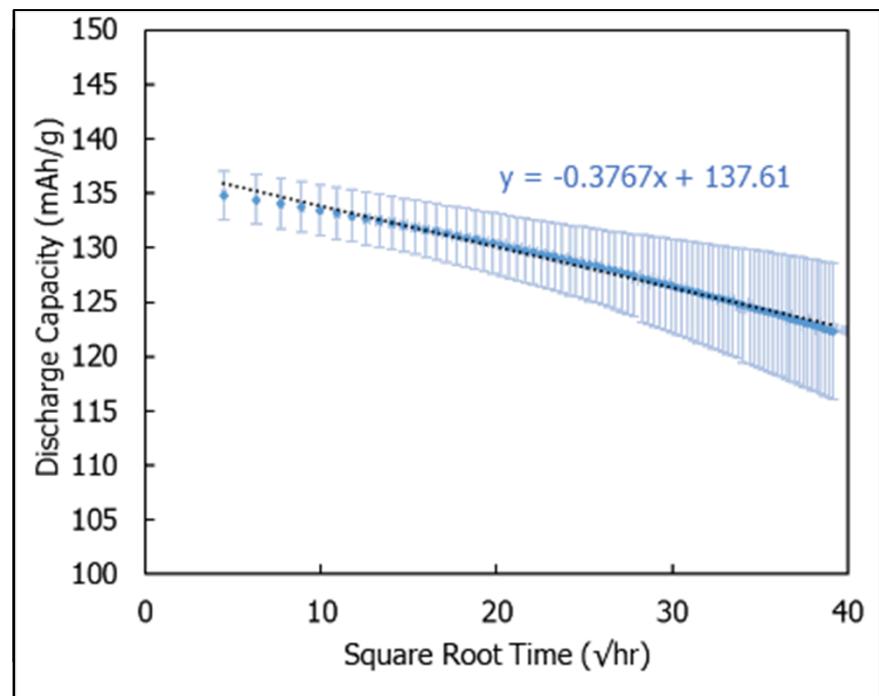
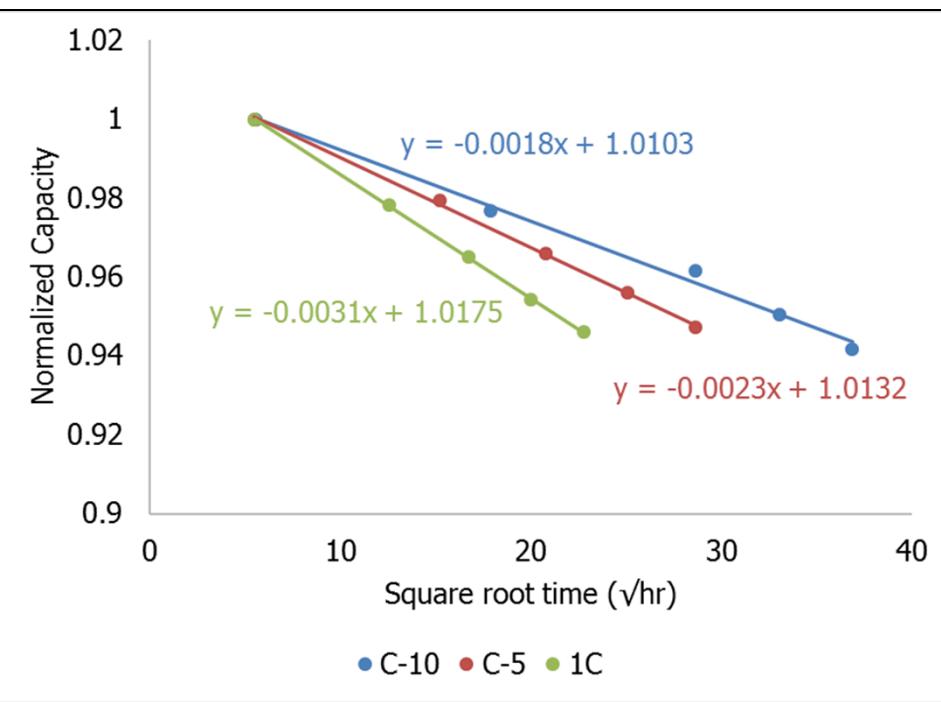
Rate Capability



$$\text{Rate Capability} = \frac{\text{Discharge Capacity at } 1C}{\text{Discharge Capacity at } C/15}$$



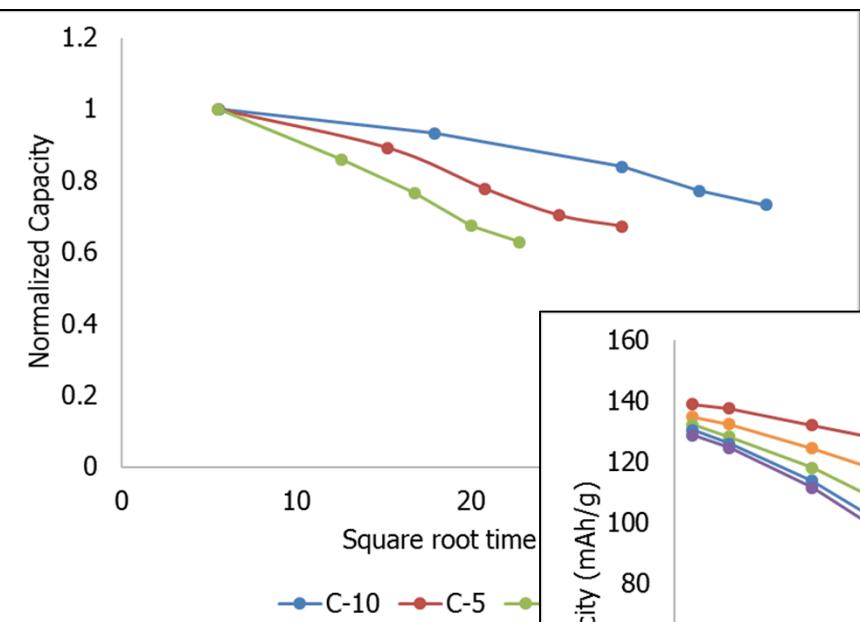
Capacity at C/15



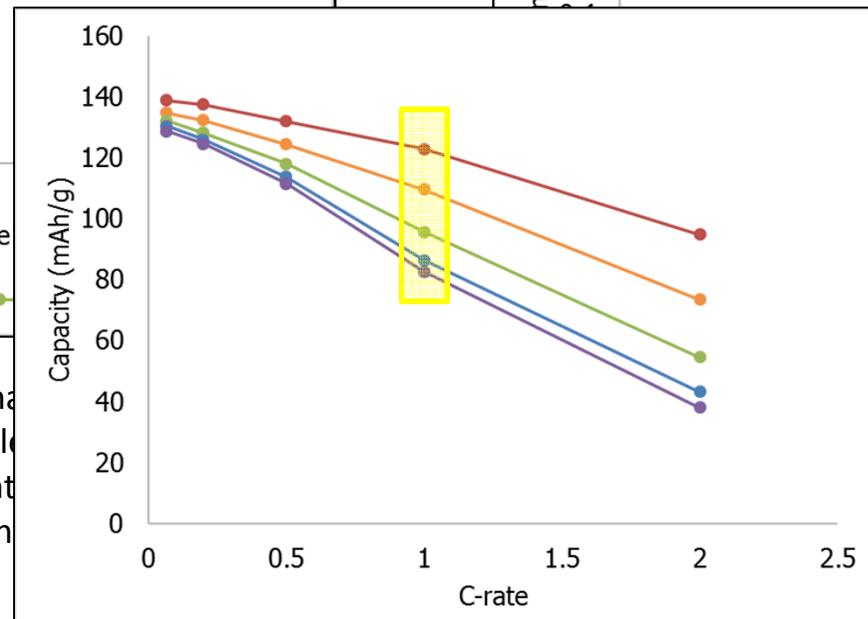
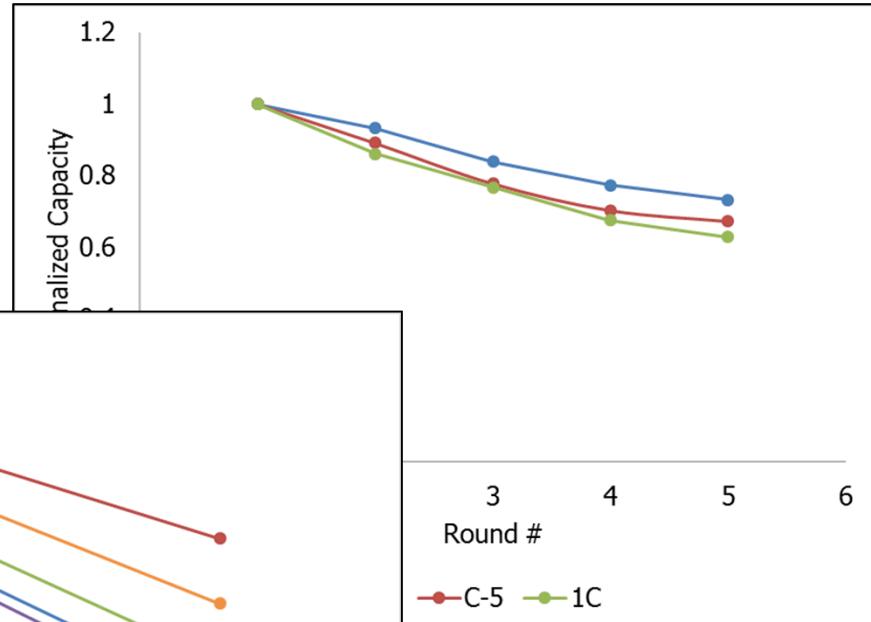
- At C/15 kinetic and polarization effects are minimized and thus we measure a true capacity for the cell
- The loss of capacity agrees with what was seen in long-term testing of cells – Loss of Li inventory through SEI mechanisms
- Therefore, mechanical induced damage has only slight impact on the true capacity for the cell

1C Capacity loss

Square root time



Round Number



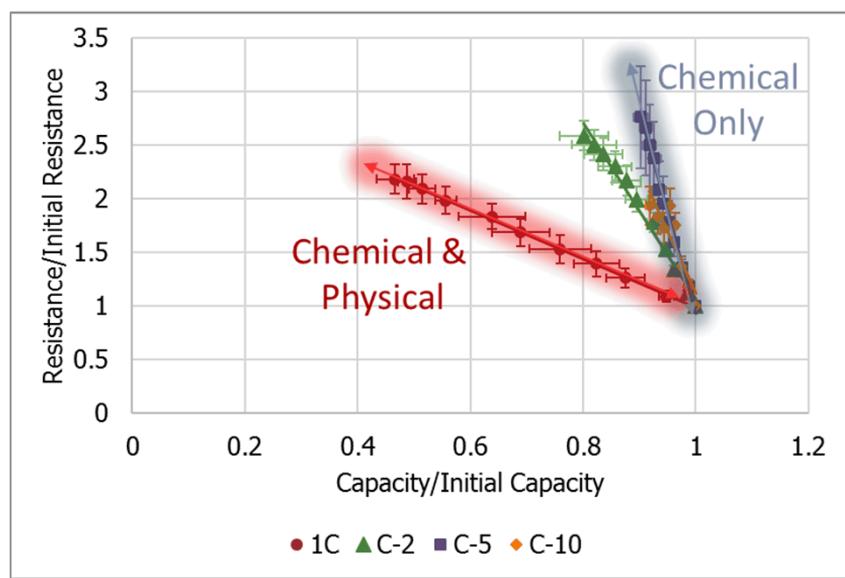
- Chemical mechanisms dominate
- In addition to non-recoverable, recoverable mechanisms contribute
- Recoverable mechanisms control the Li₂O₂ particle.

(desolvation),
(trapping)
of Li ion through

Rate Capability Summary

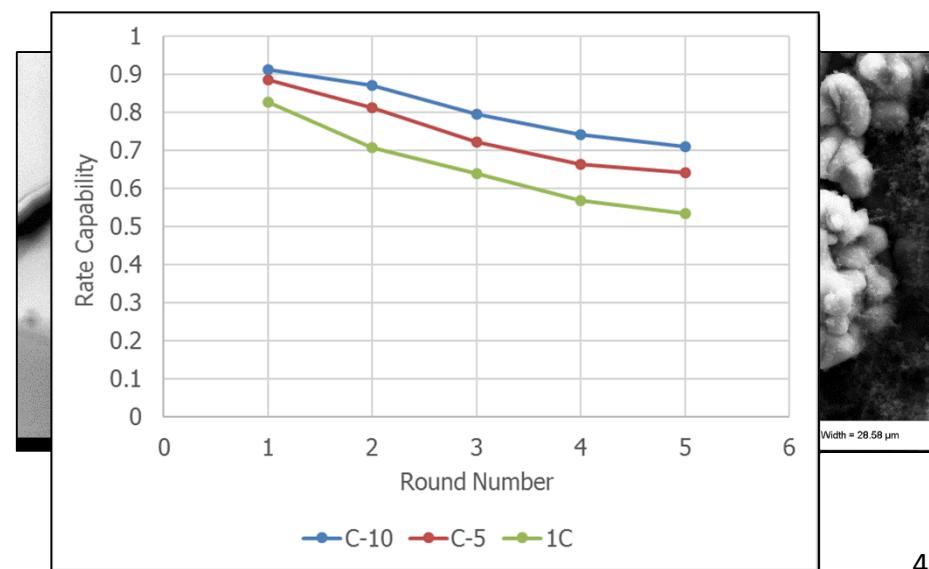
Slow Rates (C/15)

- Li^+ inventory loss
- Particle isolation

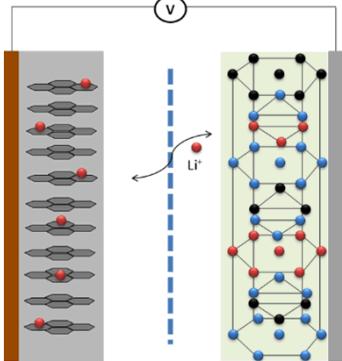


Fast Rates (1C)

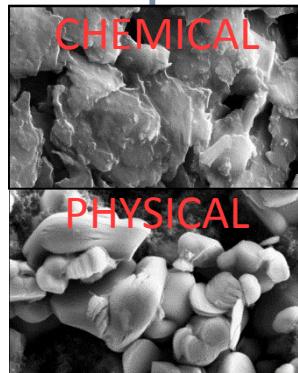
- Li^+ inventory loss, surface films
- Particle isolation
- Mechanical-induced degradation (Li ion trapping)



LIB TECHNOLOGY

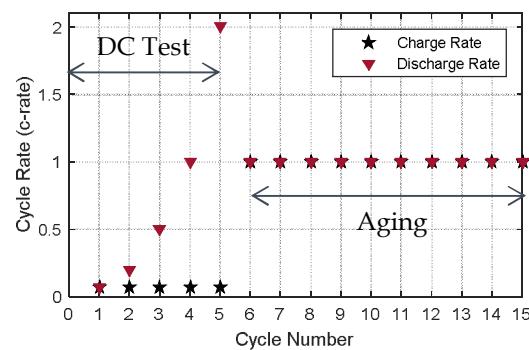
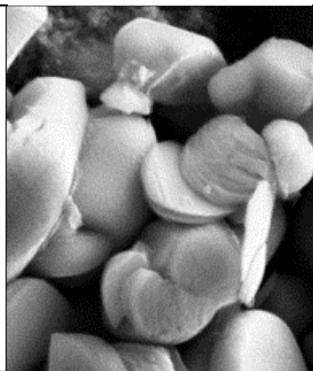
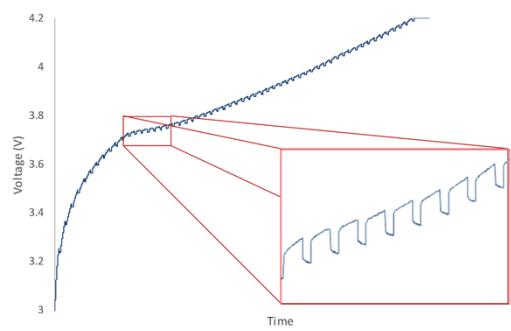
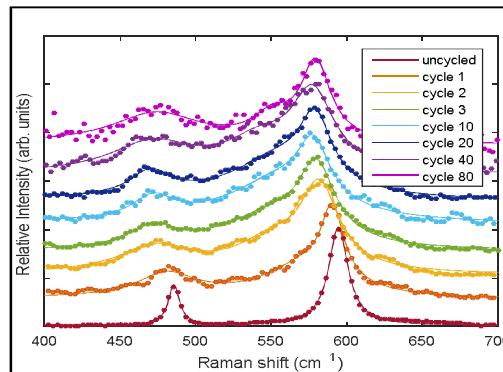


DEGRADATION



CONCLUSIONS

THESSIS HYPOTHESIS



RAMAN SPECTROSCOPY

CURRENT INTERRUPT

MICROSCOPY

RATE CAPABILITY

Conclusions

- Capacity loss at slow rates (C/10) is exclusively caused by loss of Li inventory through chemical mechanisms of SEI formation and growth.
- For an equivalent amount of chemical degradation, high c-rates result in larger capacity losses attributed to mechanical damage.
- Mechanical damage was most severe in high c-rate electrodes. Damage accumulated in the form of micro-cracks, dislocations, and particle fracture. All of which work to effectively lower solid-state diffusivity of Li^+ .
- Rate capability degrades with faster c-rate aging caused by mechanical-induced damage resulting in lowered diffusivity.
- Capacity loss at slow c-rates is attributable to non-recoverable losses in the form of Li inventory loss. Meanwhile, capacity loss at high c-rates combines non-recoverable and recoverable losses where recoverable losses involve limitations from surface films and Li ion trapping.

Final Conclusions

Chemical mechanisms of degradation in a Li-ion battery dominate capacity loss at low c-rates, whereas, mechanical mechanisms dominate at high c-rates.

Takeaways

Creating a more stable SEI and configuring LiCoO_2 particle morphology to reduce intercalation stress is critical for improvement of lithium ion batteries.

Chemical mechanisms of degradation in a Li-ion battery dominate capacity loss at low c-rates, whereas, mechanical degradation dominates at high c-rates.

Publications

C. Snyder, C. Apblett, A. Grillet, K. Fenton, D. Duquette "C-rate Effects on Degradation Mechanisms in Li ion cells using Current Interruption Methods "submitting to *Journal of The Electrochemical Society*

C. Snyder, C. Apblett, A. Grillet, D. Duquette "New In-situ Raman Microscopy Coin Cell for Study of Li-ion Electrode Materials" submitting to *Journal of The Electrochemical Society*

C. Snyder, C. Apblett, A. Grillet, T. Beechem, D. Duquette "Measuring Li⁺ Inventory Losses in LiCoO₂/Graphite Cells Using Raman Microscopy" *Journal of The Electrochemical Society* 163 (2016) A1036-A1041

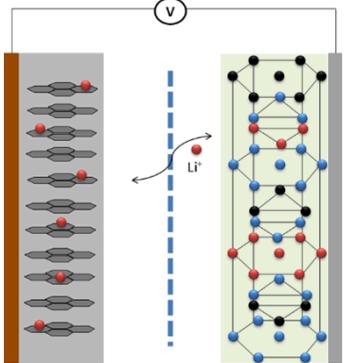
A. Grillet, T. Humplik, E. Stirrup, S. Roberts, D. Barringer, C. Snyder, M. Janvrin, C. Apblett "Conductivity Degradation of Polyvinylidene Fluoride Composite Binder during Cycling: Measurements and Simulations for Lithium-Ion Batteries" *Journal of The Electrochemical Society* 163 (2016) A1859-A1871

Technical Talks

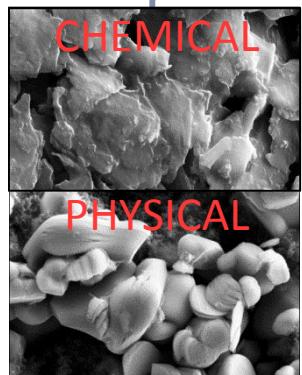
"Measuring Li⁺ Inventory Losses in LiCoO₂/C Cells Using Ex-Situ Raman Spectroscopy", C. Snyder, D. Duquette, C. Apblett, A. Grillet, T. Beechem, 229th Electrochemical Society Meeting, San Diego, CA, May 29-June 3, 2016

"Steps Towards In-situ Studies of the Mechanical Degradation of Lithium Ion Batteries using Fluorescence Confocal Microscopy", C. Snyder, D. Duquette, C. Apblett, A. Grillet, T. Beechem, 227th Electrochemical Society Meeting, Chicago, IL, May 24-28, 2015

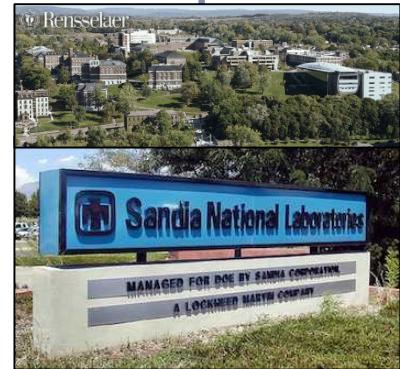
LIB TECHNOLOGY



DEGRADATION

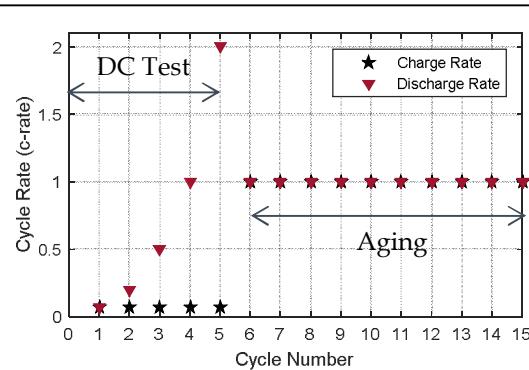
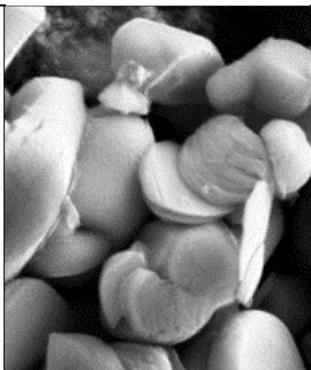
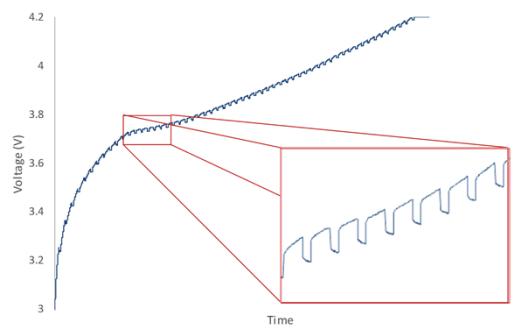
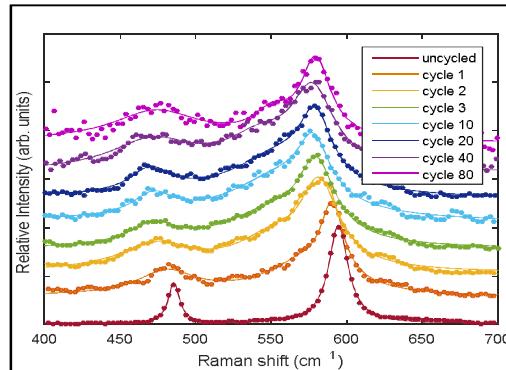


CONCLUSIONS



ACKNOWLEDGMENTS

THESIS HYPOTHESIS



RAMAN SPECTROSCOPY

CURRENT INTERRUPT

MICROSCOPY

RATE CAPABILITY

Acknowledgements

- **Thesis Advisors:** Professor David Duquette, Dr. Christopher Apblett
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- **Group Members:** Dr. Brian Perdue, Dr. Jonathan Coleman, Hannah Height, Josey McBrayer, Jaclyn Coyle



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Lisa Lowery

Anthony McDonald

Bonnie Mckenzie

Dr. Mani Nagasubramanian

Dr. Christopher Orendorff

Dr. Harry Pratt

Cherisse Rigby

Dr. Scott Roberts

Dr. Jeremy Walraven

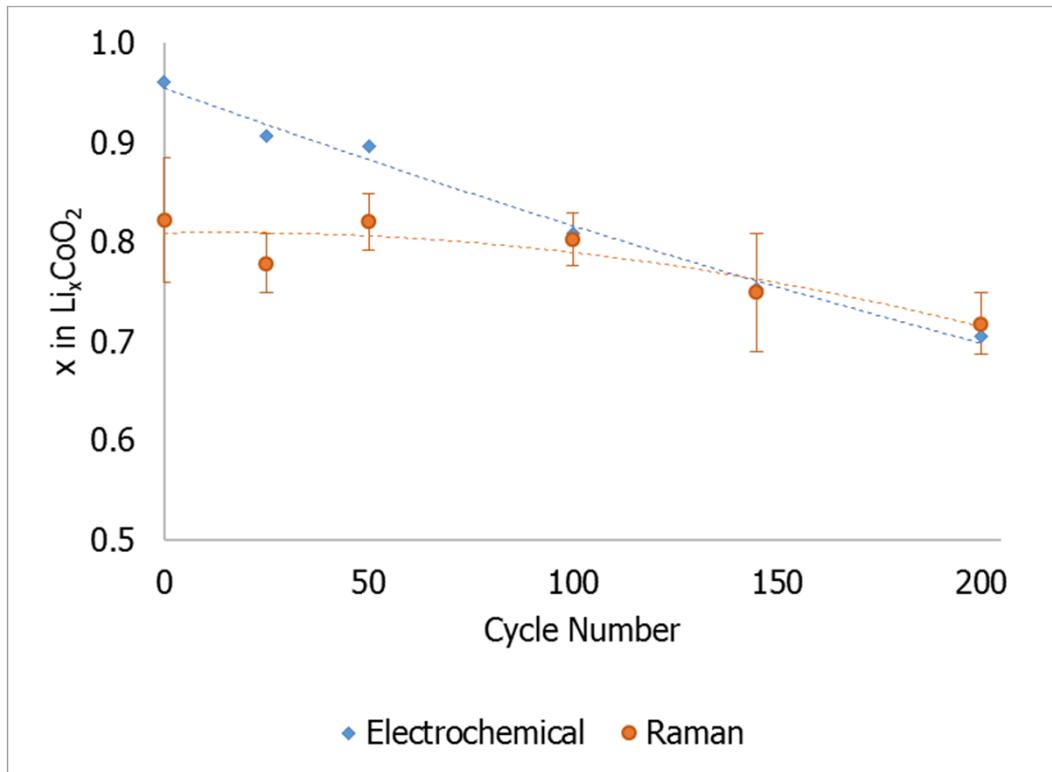


Thank you
Questions?

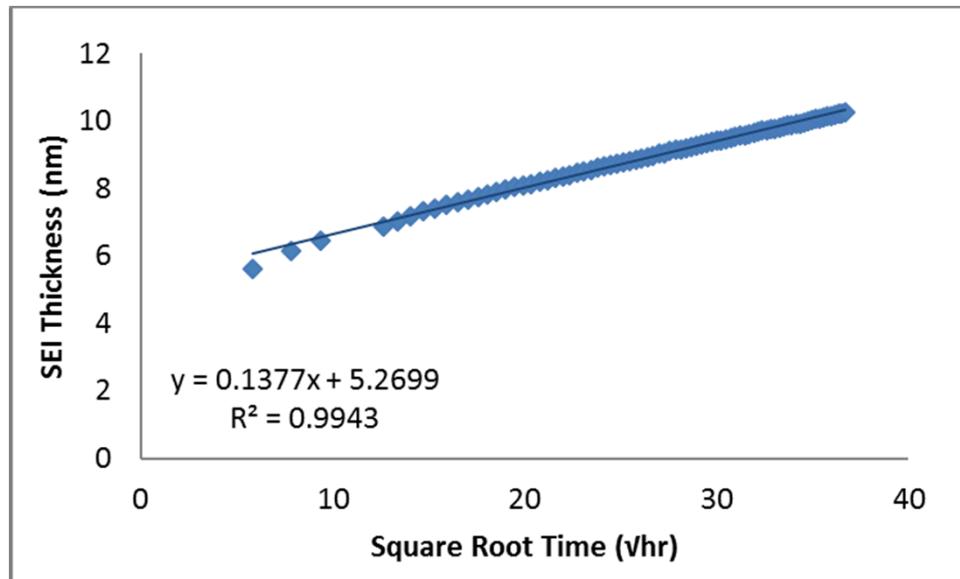
List of Backup Slides

1. Raman Power – Heat Generated
2. Raman Study at 1C
3. SEI with square root time references and data
4. Estimating SEI thickness with square root time by capacity loss
5. Detecting SEI
6. CC vs CCCV Mode
7. Compare Cells to Commercial Cells
8. EIS Data – why use Cl as opposed to EIS?
9. Li Diffusion mechanism
10. RCI Measurements
11. Microtome Results
12. Non-recoverable capacity loss from high c-rate cycling
13. Rate Capability comparison to literature values
14. Uncycled Resistance Rise
15. Weppner Assumptions

2. Raman Study at 1C



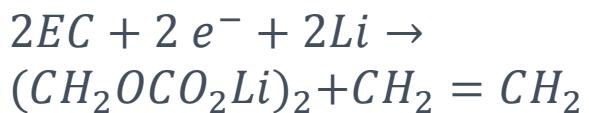
3. Estimating SEI thickness with square root time by capacity loss



Estimated using methods described by:

G. Zhuang et al *J. Phys. Chem. B.* 109 (2005) 17567-17573

EC Decomposition into
lithium ethylene dicarbonate
 $(CH_2OCO_2Li)_2$



One Monolayer
3 Å thick



5. Detecting SEI

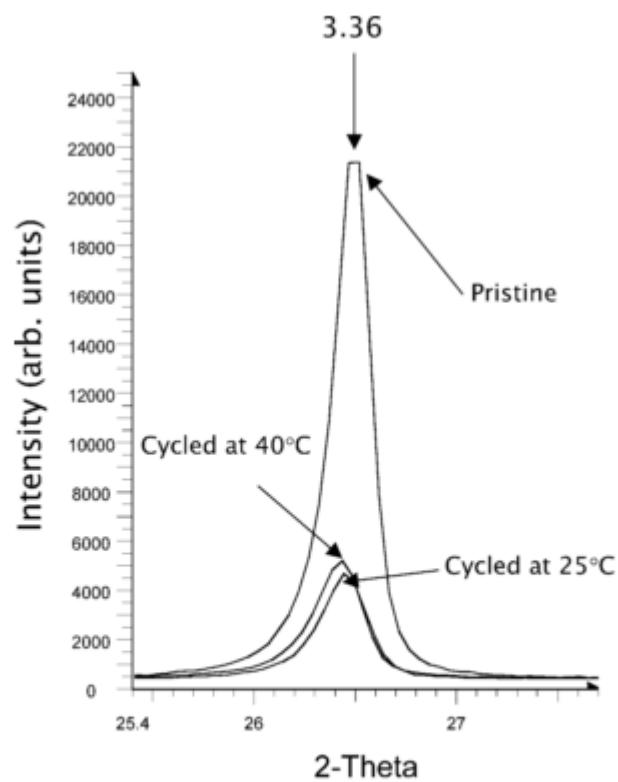
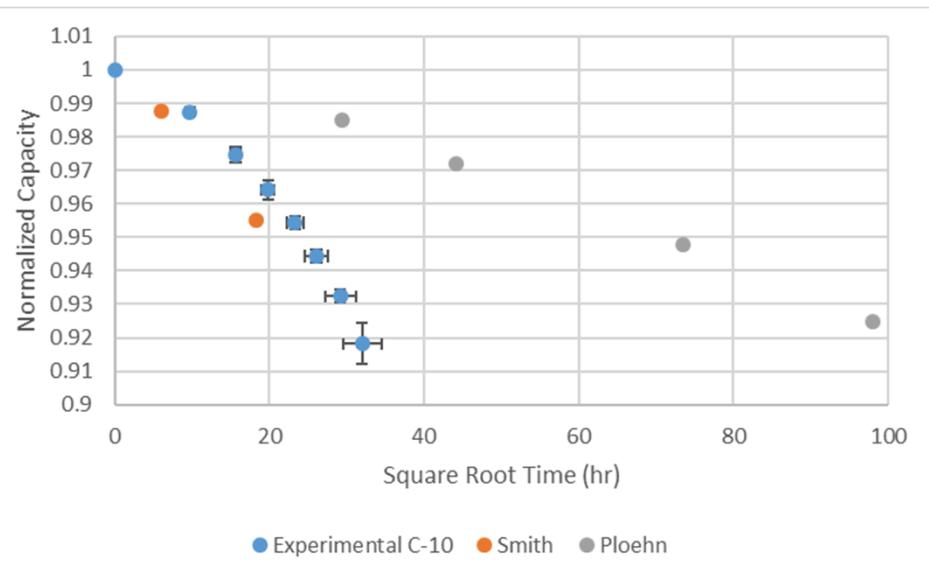
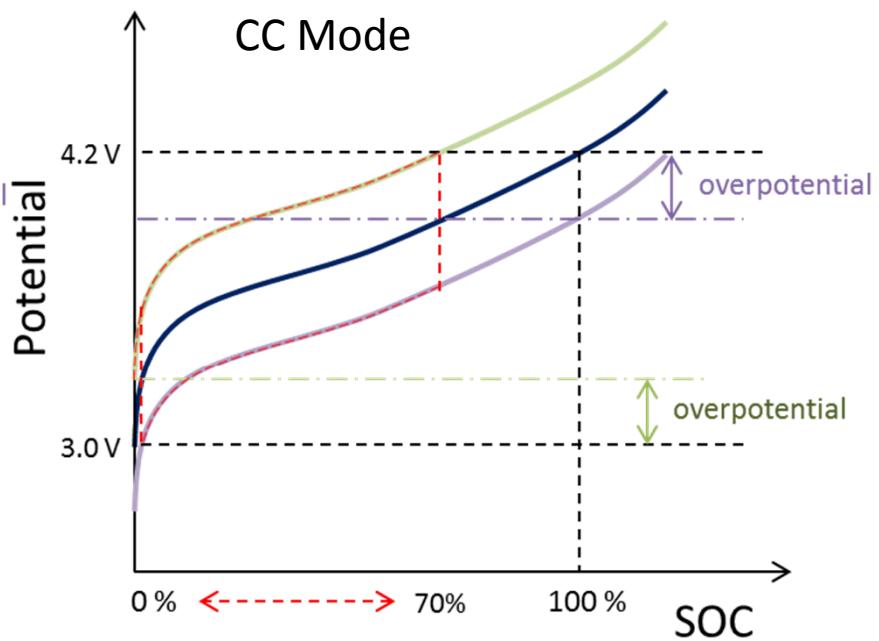
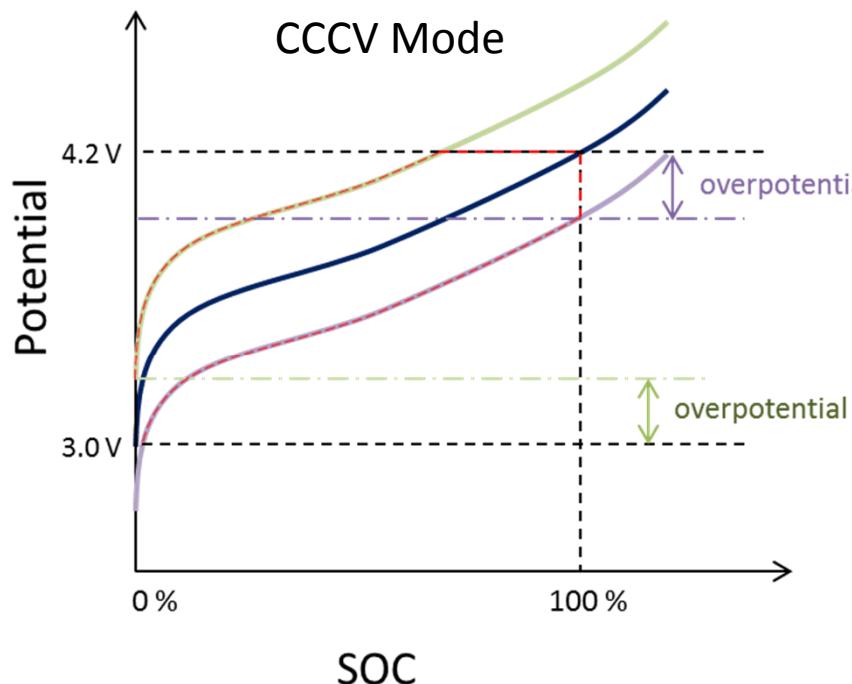


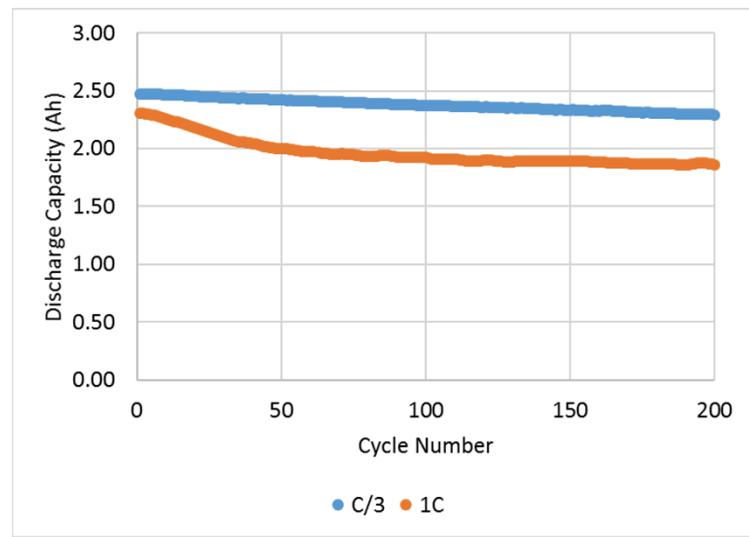
Fig. 9. XRD patterns measured from a pristine anode (on a copper-foil current collector) and XRD patterns measured from anodes after prolonged cycling of 18650-type batteries at 25 and 40 °C, as indicated.

D. Aurbach et al. / Electrochimica Acta 00 (2002) 1–13

6. CC vs CCCV Mode

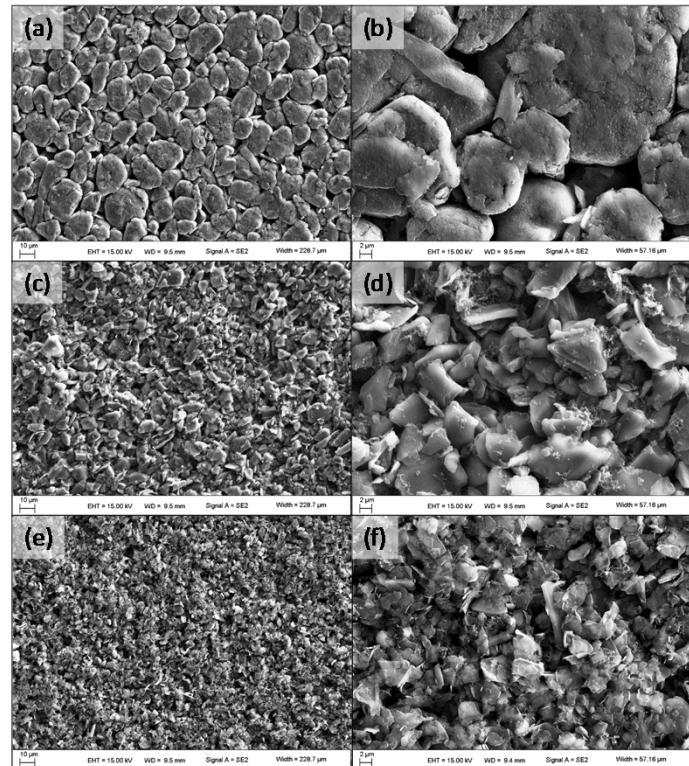


7. Commercial vs Research Grade Cells



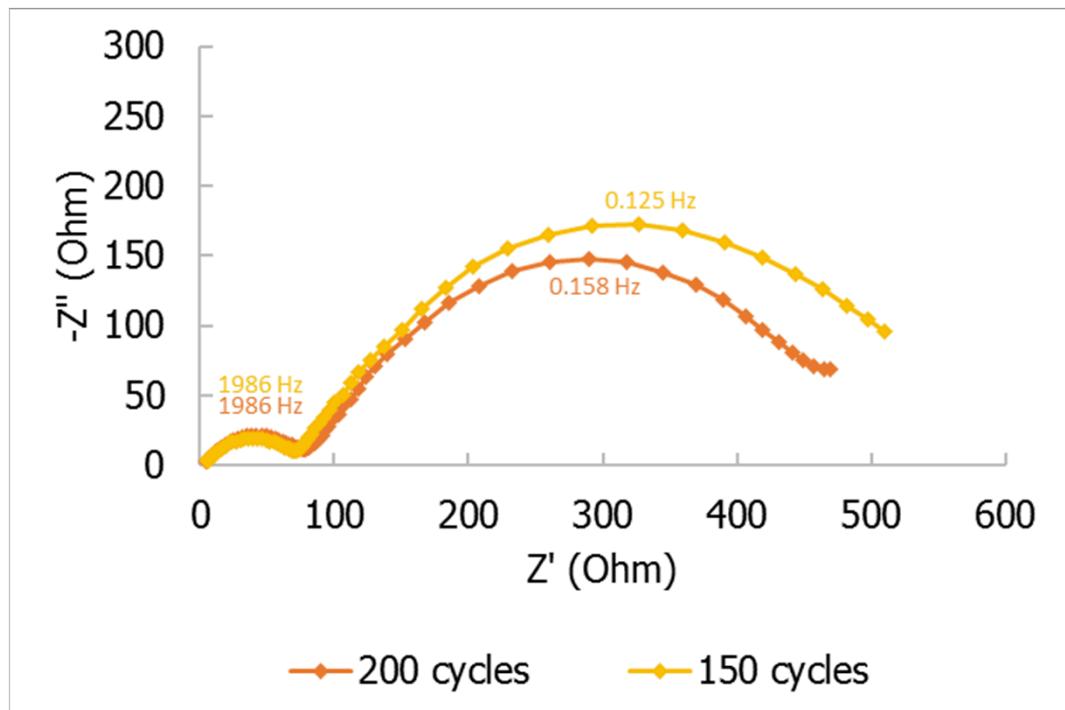
Discharge capacity with cycle number for commercial cell (LG ICR18650C2) cycled at 1C and C/3 rate.

Discuss Cathodes

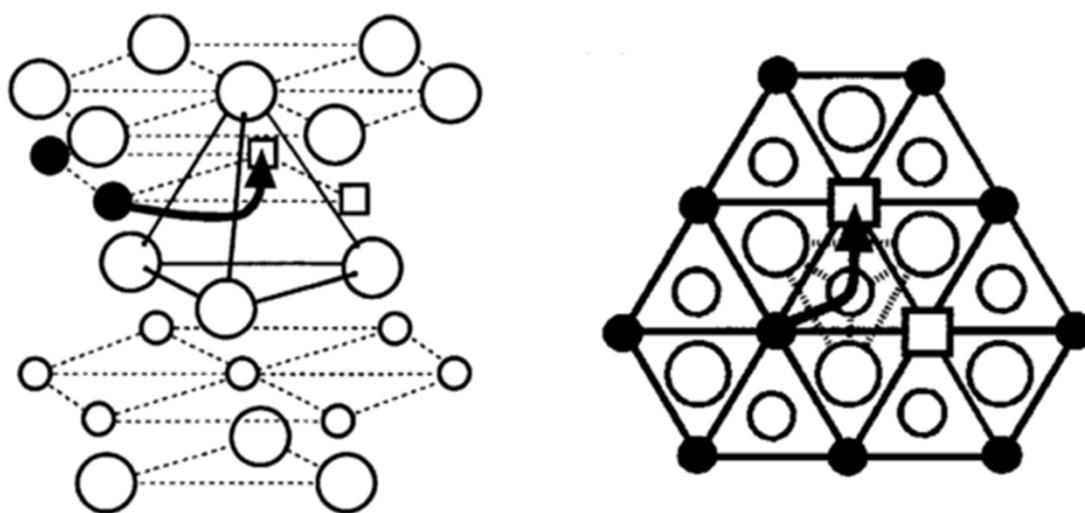


SEM images of (a,b) commercial (LG ICR18650C2), (c,d) G8 research grade, and (e,f) KS-6 research grade graphite anodes. Images in left column are of same magnification and images in right column are of same magnification.

8. EIS Data



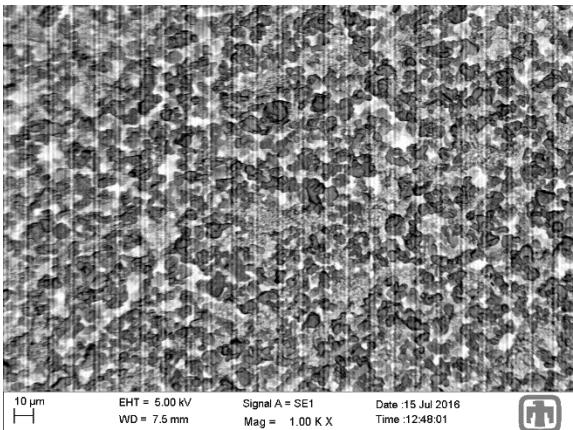
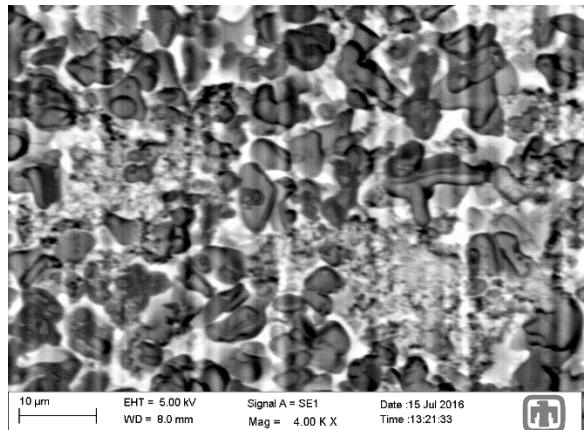
9. Li Mechanism



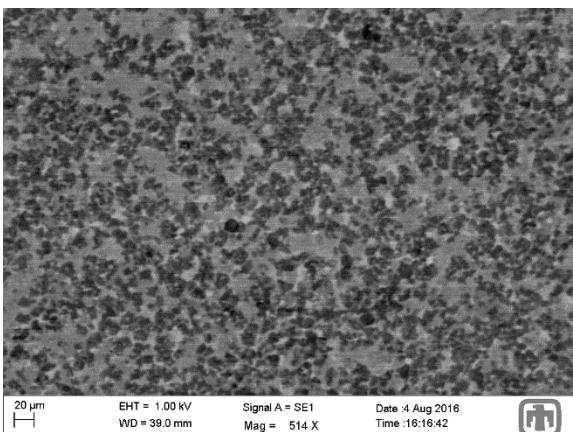
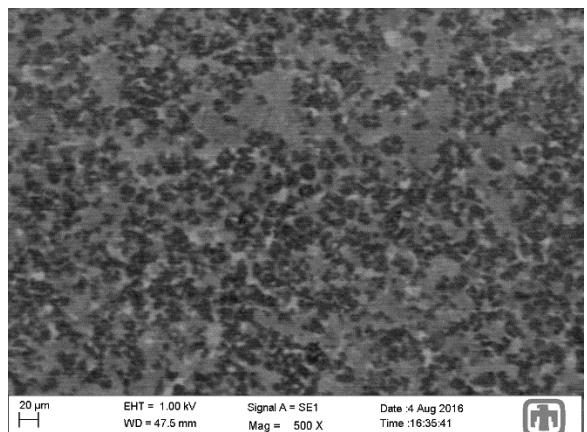
A. Van der Ven and G. Ceder, *J. Power Sources*, 97-8 529-531 (2001)

A. Van der Ven and G. Ceder, *Electrochem. Solid State Lett.*, 3 (7), 301-304 (2000)

10. RCI Measurements

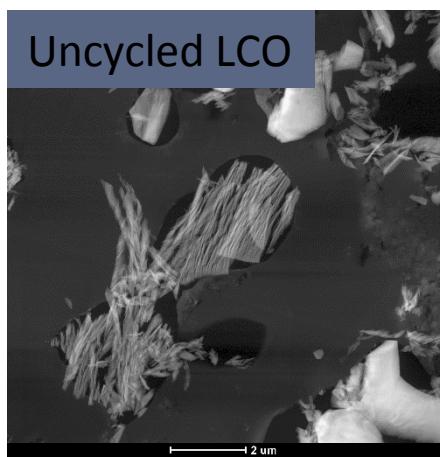
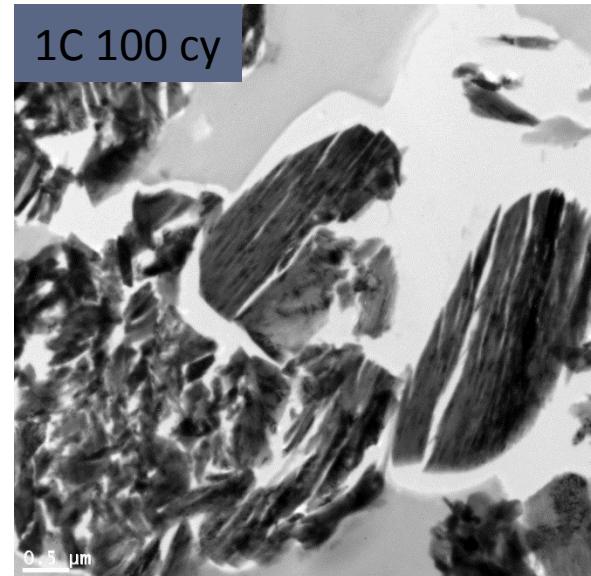
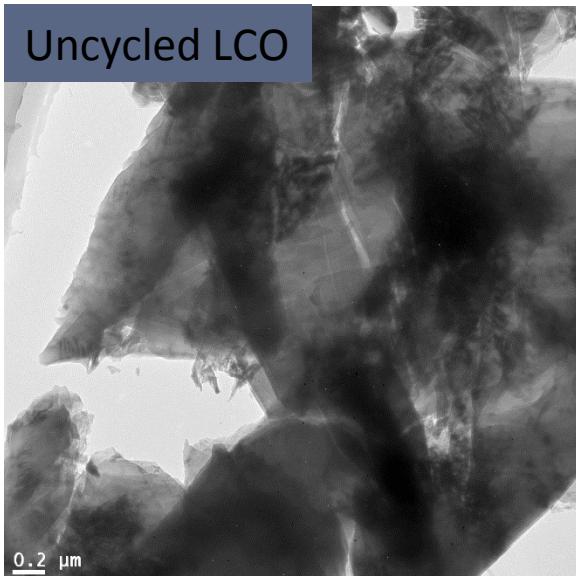
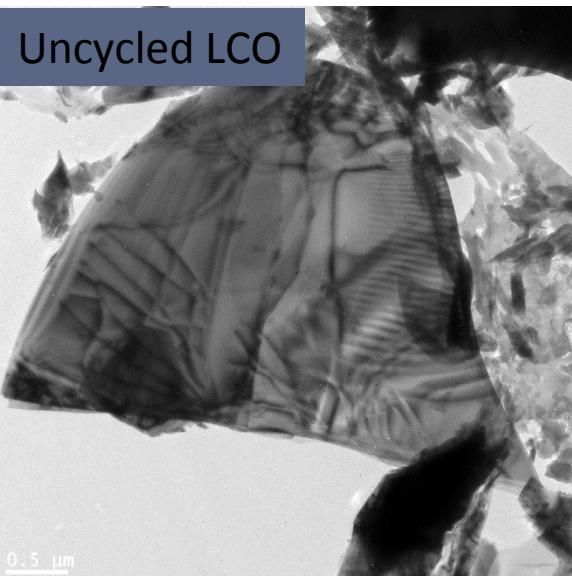


Uncycled

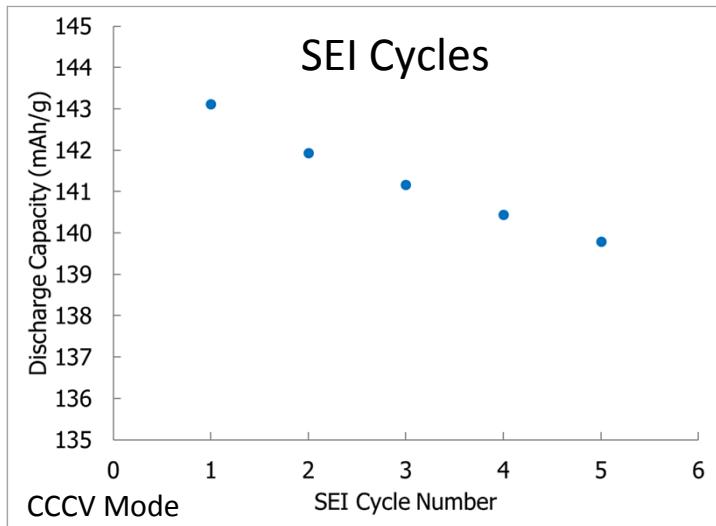
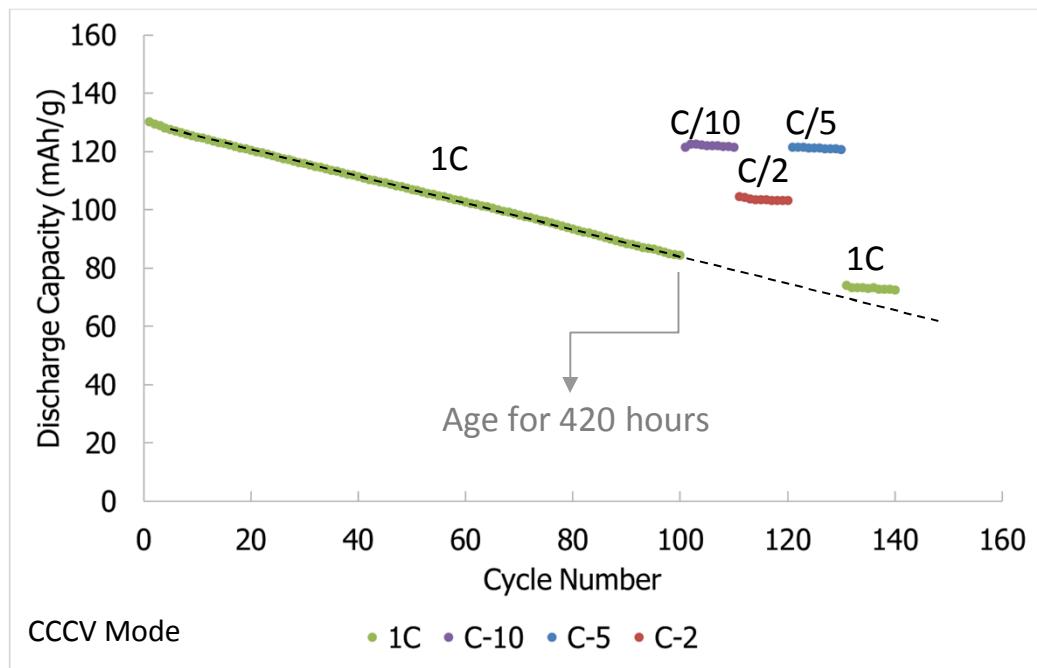


1C 100 cycles

11. Microtome Results



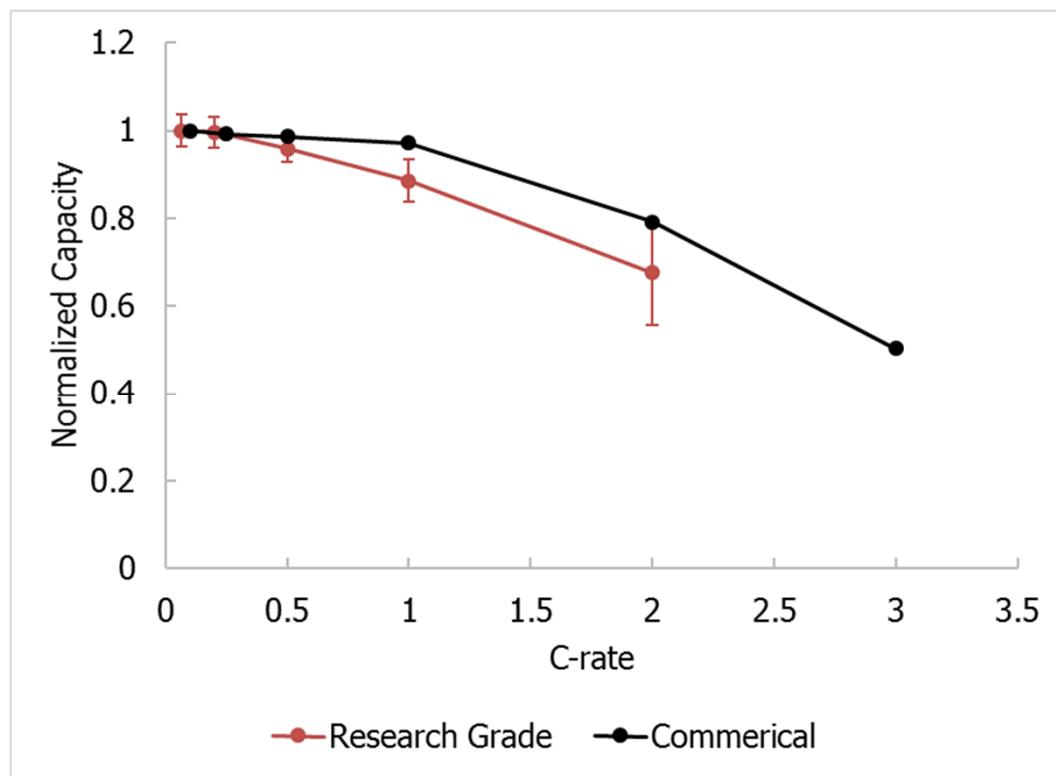
12. Non-recoverable capacity loss from high c-rate cycling



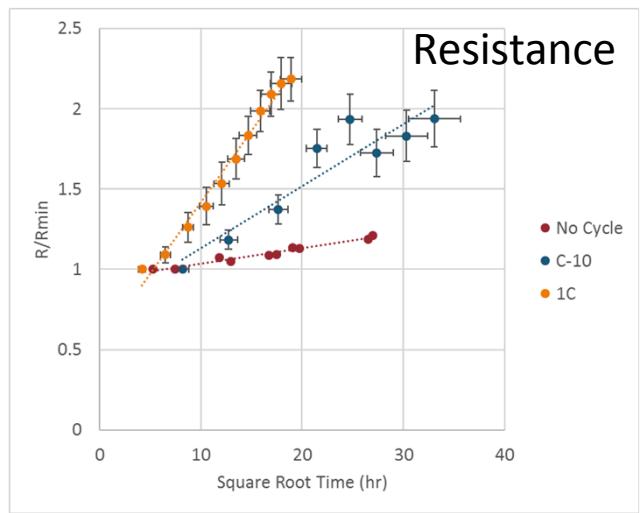
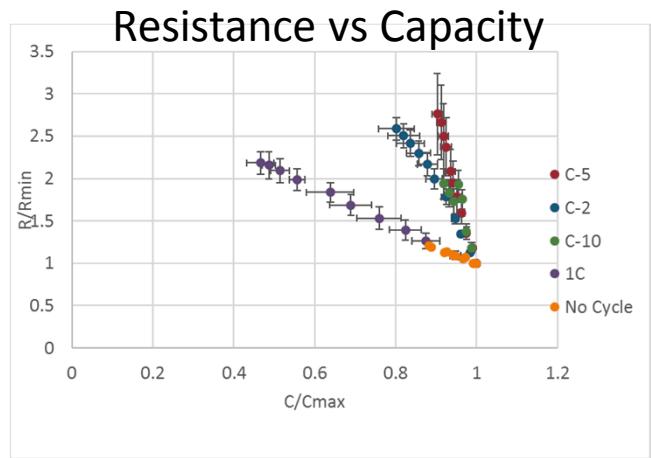
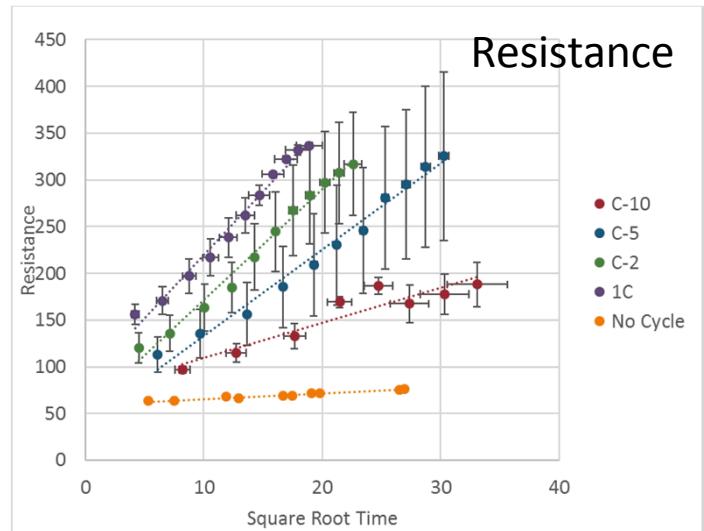
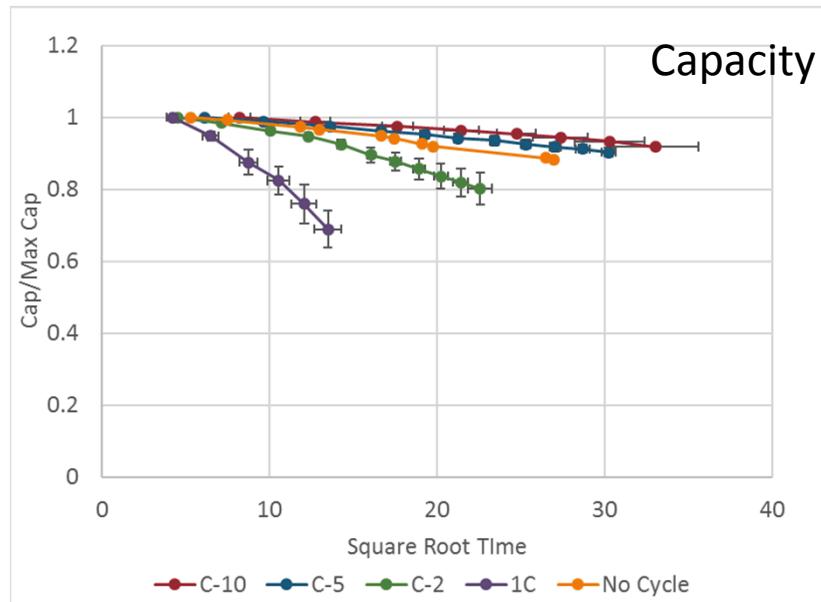
Capacity loss after 824 hours as measured at C/10

C/10 Cycling: $5.8 \pm 0.2\%$
1C Cycling: 13.0%

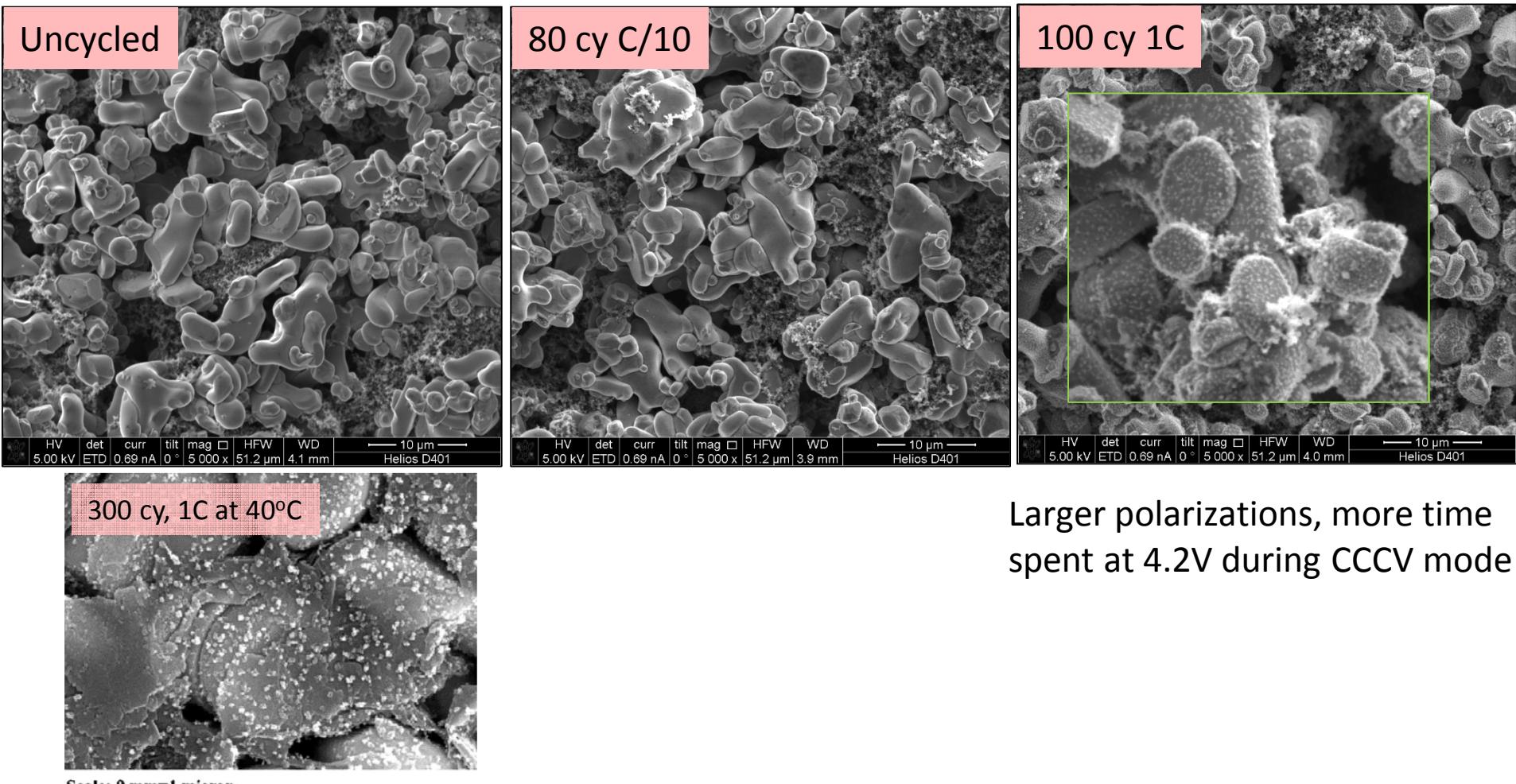
13. Rate Capability



14. Uncycled Resistance Rise



Surface Films on LiCoO_2 Cathode



Questions to Prep for

- Impedance vs Resistance
- LiCoO₂ synthesis process
- How would u measure dislocation density?
- What type of defects can you get in a ionic crystal – dislocations, frenkl and schottky point defects – charge neutrality
- Active glide plane? Cleavage plan in crystal structure?
- FIB Process

Conclusions

- **Coin Cell Testing:** High c-rates accelerate capacity loss
- **Raman Microscopy:** Capacity loss at slow rates (C/10) is exclusively caused by loss of Li inventory through chemical mechanisms of SEI formation and growth.
- **Current Interrupt:** For an equivalent amount of chemical degradation, high c-rates result in larger capacity losses attributed to mechanical damage
- **Microscopy:** Mechanical damage was most severe in high c-rate electrodes. Damage accumulated in the form of micro-cracks, dislocations, and particle fracture. All of which work to effectively lower solid-state diffusivity of Li^+ .
- **Rate Capability:** Rate capability degrades with faster c-rate aging caused by mechanical-induced damage resulting in lowered diffusivity. Capacity loss at slow c-rates is attributable to non-recoverable losses in the form of Li inventory loss. Meanwhile, capacity loss at high c-rates combines non-recoverable and recoverable losses where recoverable losses involve limitations from surface films and Li ion trapping.

Final Conclusions

Chemical mechanisms of degradation in a Li-ion battery dominate capacity loss at low c-rates, whereas, mechanical mechanisms dominate at high c-rates.

