

# Metamaterials-Based High Efficiency Absorbers for High Temperature Solar Applications

(9937-37)

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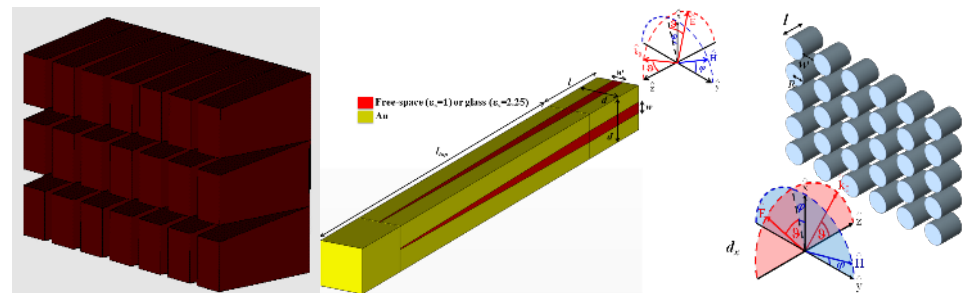
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# Outline

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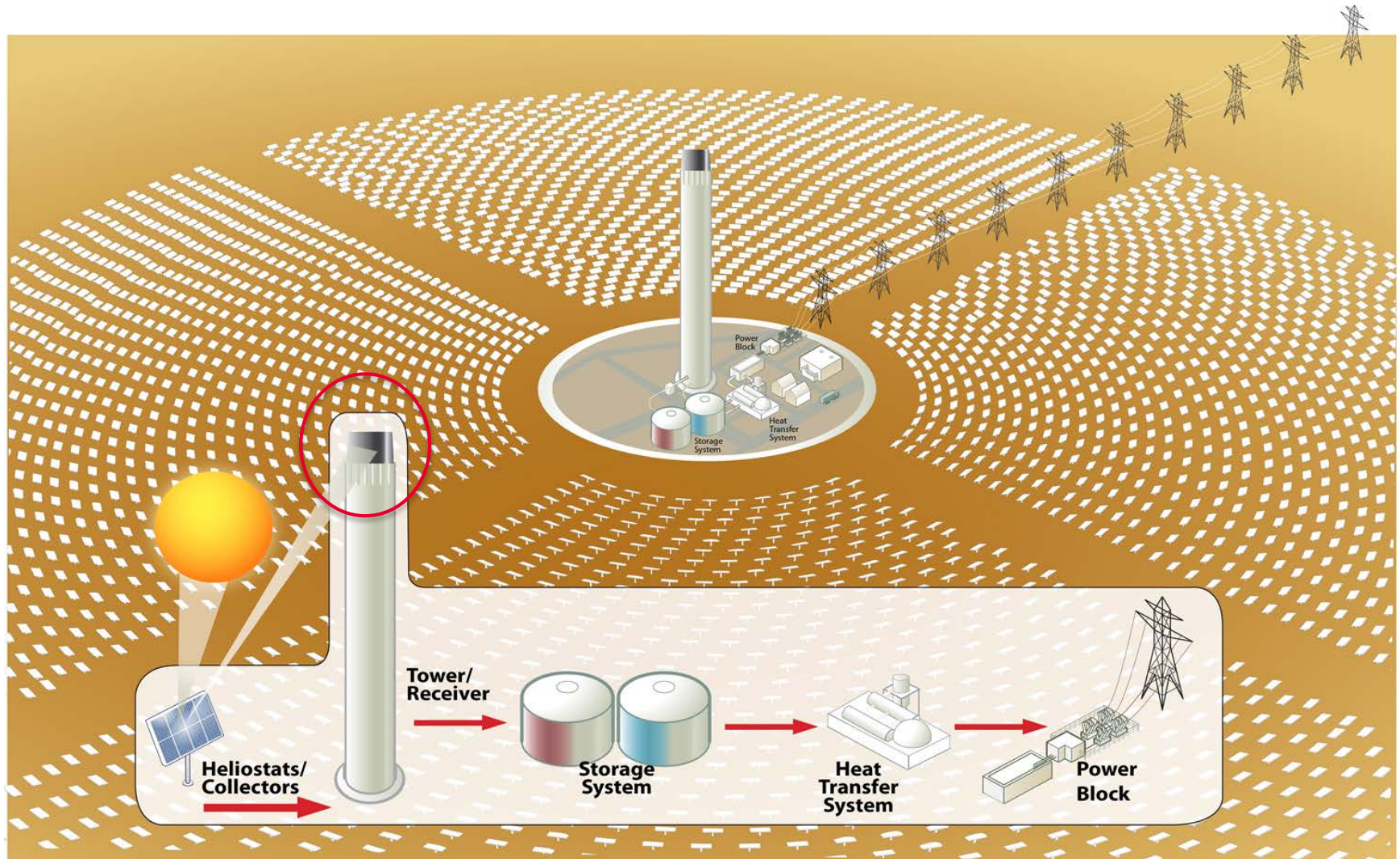
- Motivation for This Work – Enhance CSP Receivers
- Background – Metamaterials and CSP
- Simulations on Proposed Designs
- Fabrication Approach
- Optical Testing Approach
- Conclusion & Future Work

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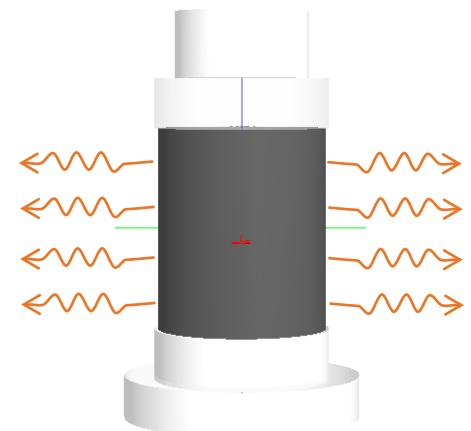
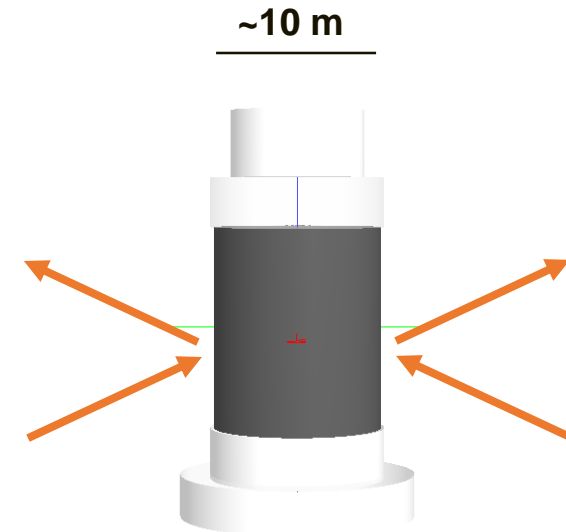
# Receivers for Concentrating Solar Power



# Motivation: High-Temp CSP Receivers

- Goal is to improve receiver thermal efficiency and power block efficiency
  - $\geq 700^{\circ}\text{C}$   $\longrightarrow$   $\text{sCO}_2$  cycles
  - $\geq 50\%$  power cycle efficiencies
- Thermal efficiency is reduced for CSP receivers at high temperatures
  - Reflection losses
  - Thermal re-radiation in IR dominates  $> 650^{\circ}\text{C}$

$$\eta_{th} = \alpha - \text{---}$$

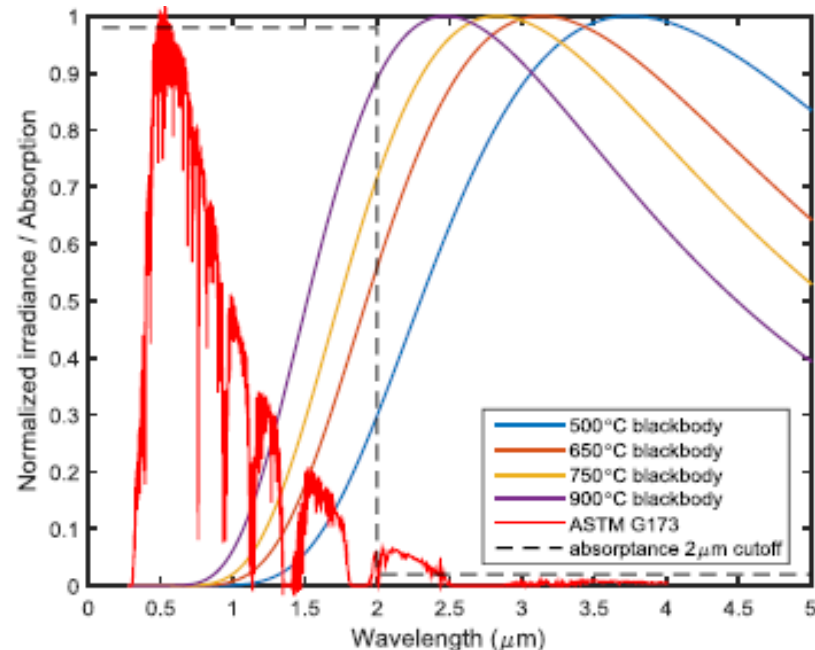


Conventional cylindrical solar receiver



# Motivation: Metamaterials for Enhanced Solar Absorption

- Pyromark has high absorptance (0.95), but also has high emittance (0.80)
- Current selective coatings degrade in extended high-temperature exposure
- Metamaterials can be designed to absorb the visible spectrum at near unity, and suppress re-radiation in IR
  - High temperature materials (e.g., refractory metals)
  - Broadband and wide range of incidence angles



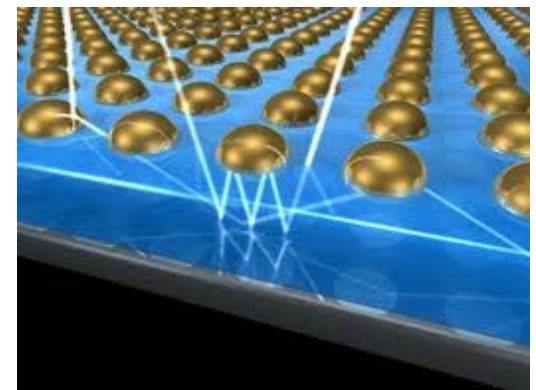
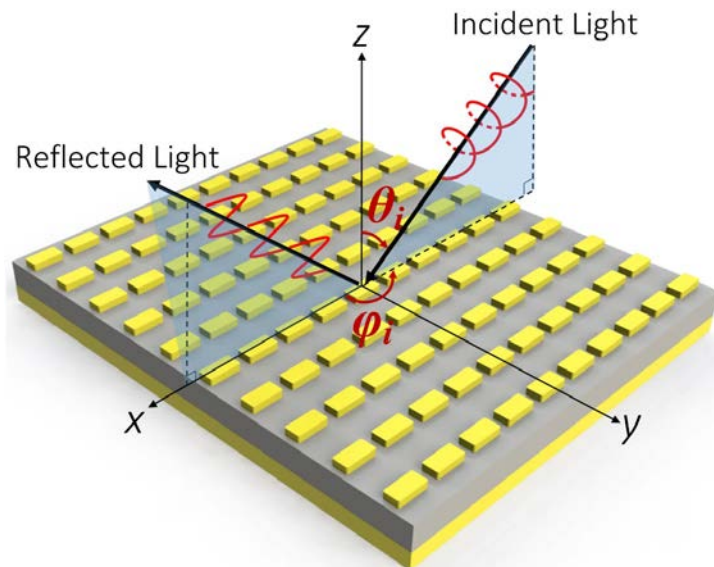
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# Metamaterials Introduction

- Metamaterials are man-made periodic micro/nano structures to enhance interaction of light with matter
- Instead of manipulating chemical composition materials, the material surface structures are engineered and manipulated

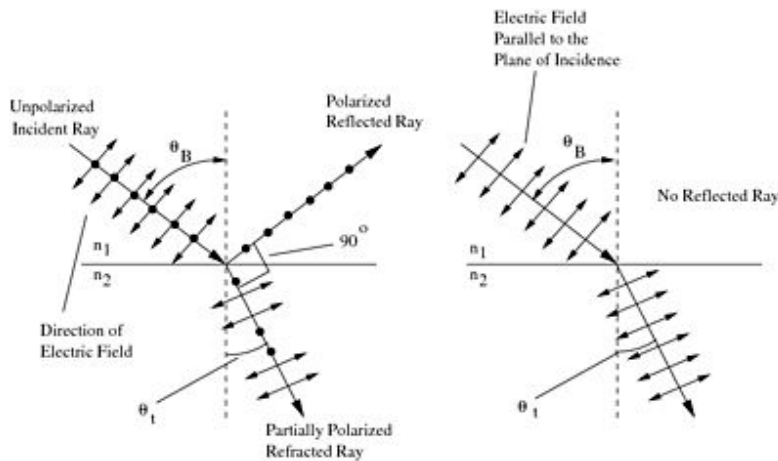


Plasmonic solar cells {A. Polman]



# Plasmonic Brewster Angle

## Conventional Brewster transmission

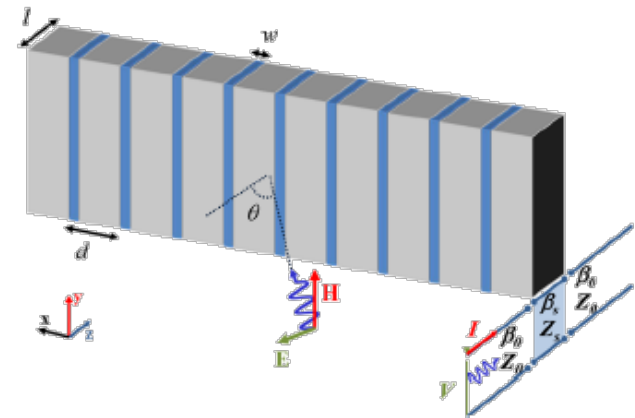


$$Z_s = \frac{E}{H} = \sqrt{\mu \epsilon_s} \quad \theta_r$$

$$=$$

$$Z = \frac{E}{H} = \sqrt{\mu \epsilon} \quad \theta_i$$

## Plasmonic Brewster angle



$$Z_s = \frac{\bar{E}}{\bar{H}} = \frac{w}{d} \eta_{TM}$$

$$=$$

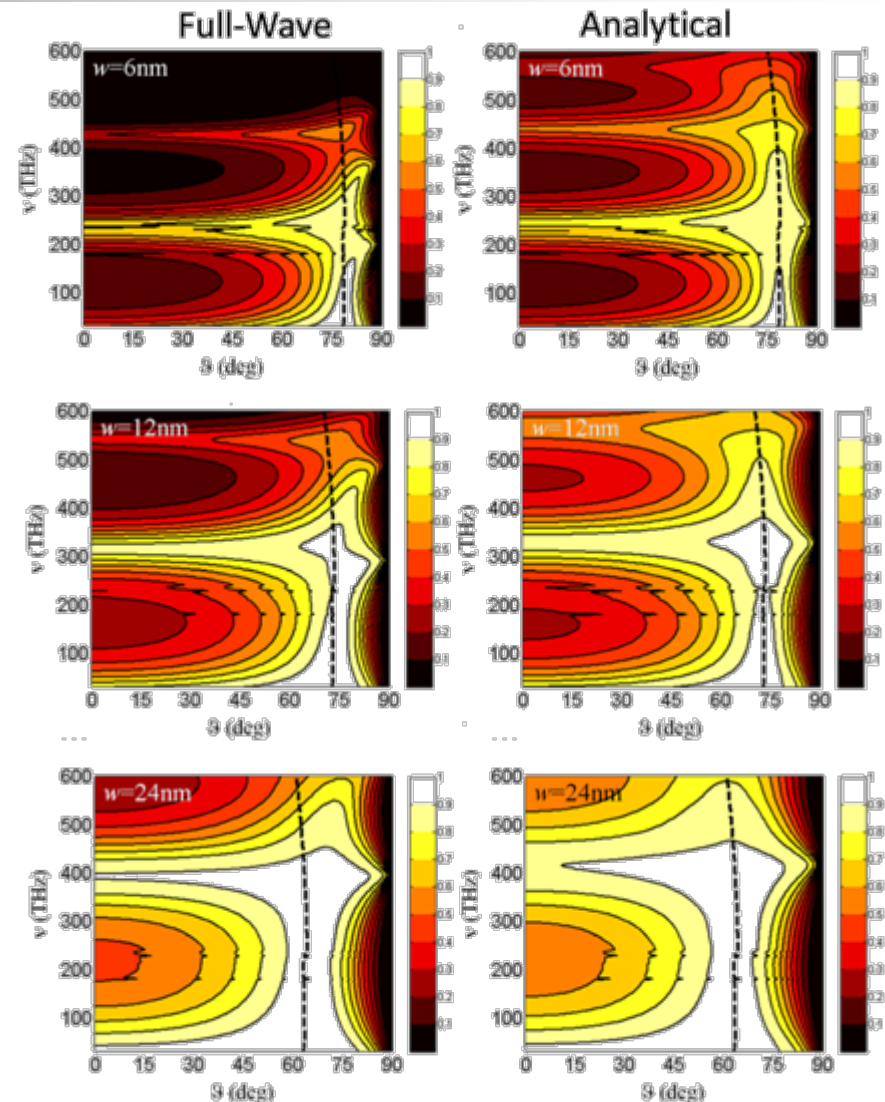
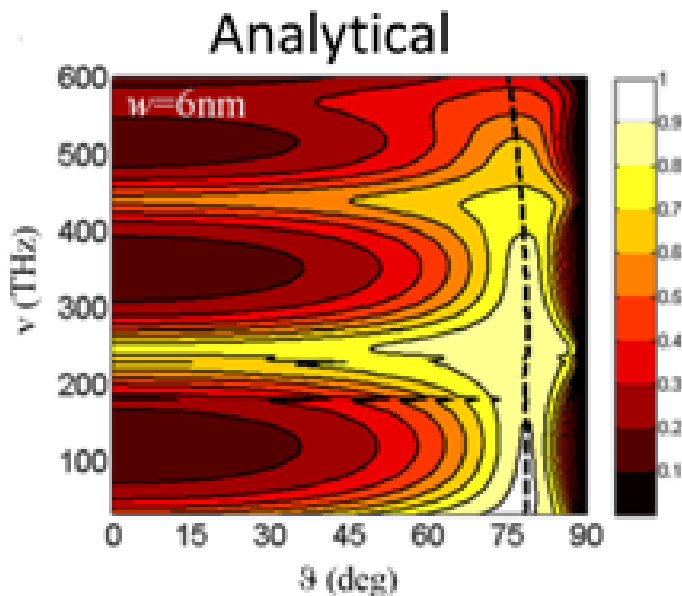
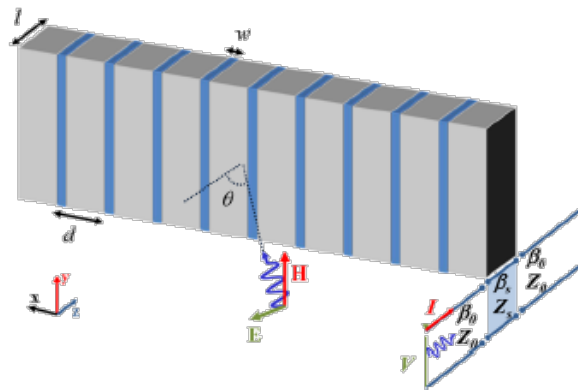
$$Z = \frac{E}{H} = \sqrt{\mu \epsilon} \quad \theta_i$$

Brewster angle transmission is inherently broadband - can we use the same concept to squeeze energy in small volumes?

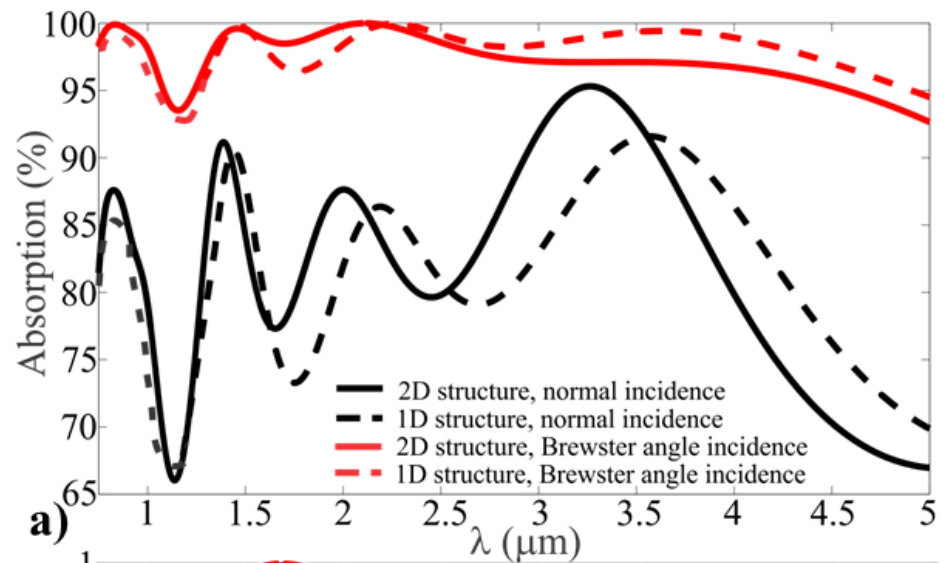
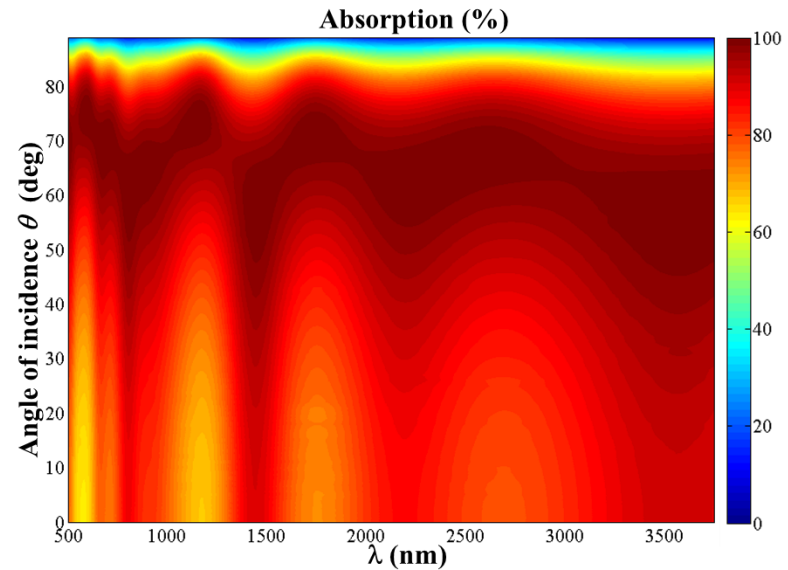
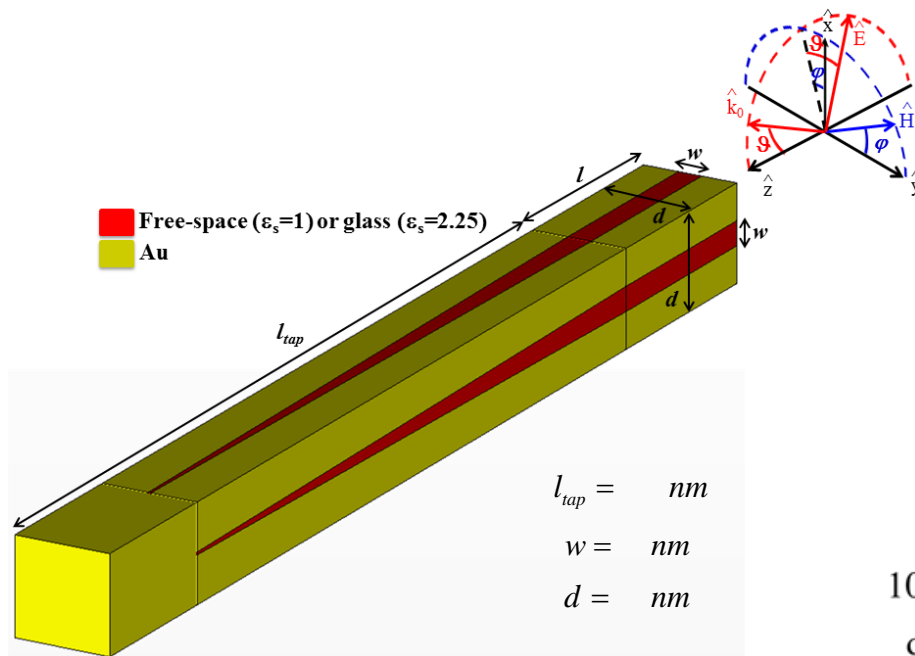
$$\theta_B = \frac{\beta_s w}{k d}$$

# Broadband Impedance Matching

- Thickness, period



# Broadband Absorbers

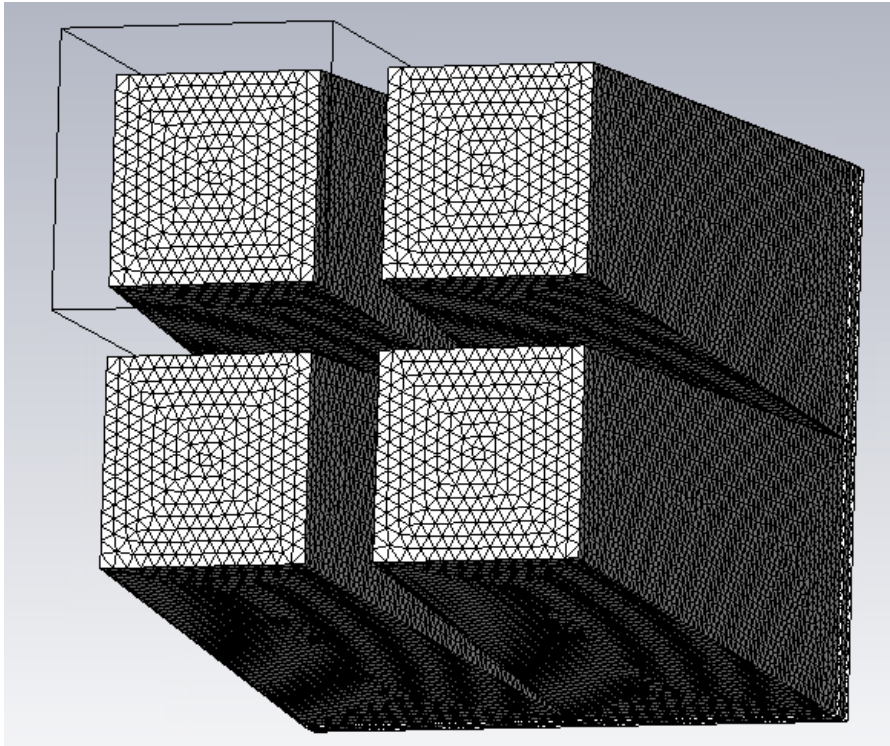


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# Simulation Background



Varying: materials (Tungsten, refractory materials, Si)  
Width, period, thickness, taper/no taper

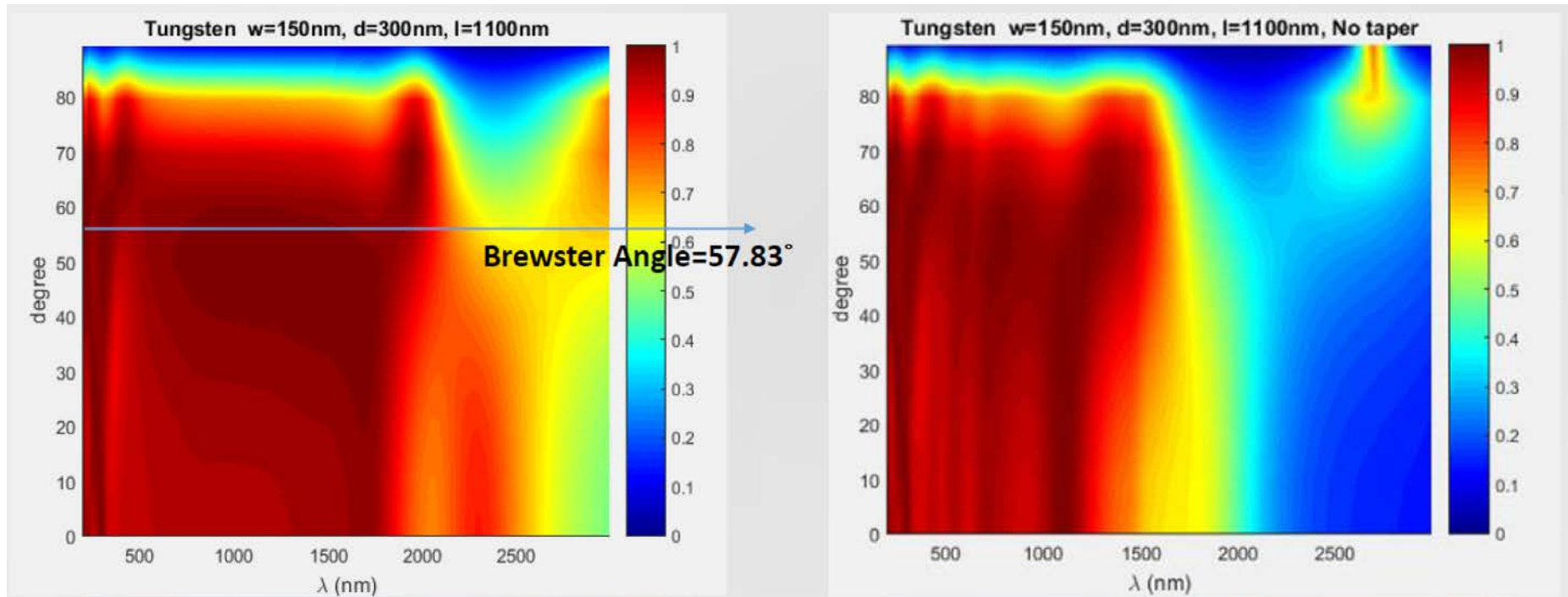
Bandwidth bounds:

- Upper cut-off: period
- Lower cut-off: thickness

The width controls the Brewster angle and angular beam width

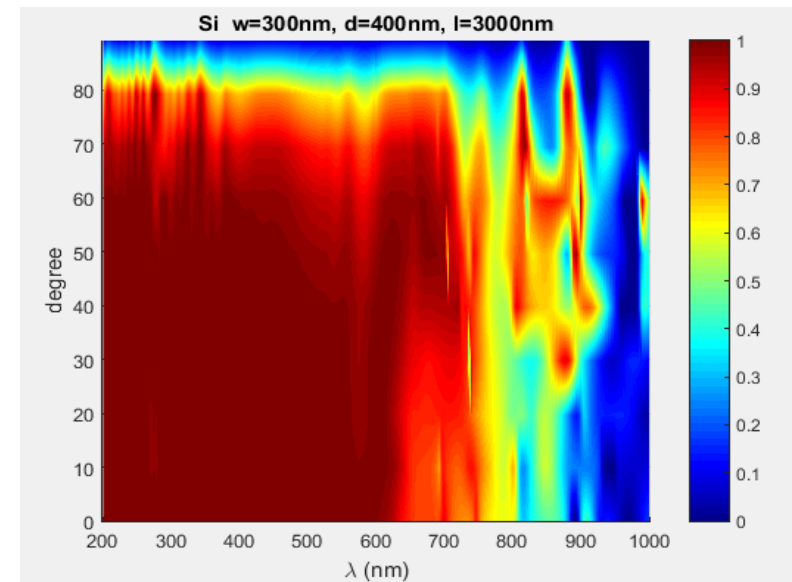
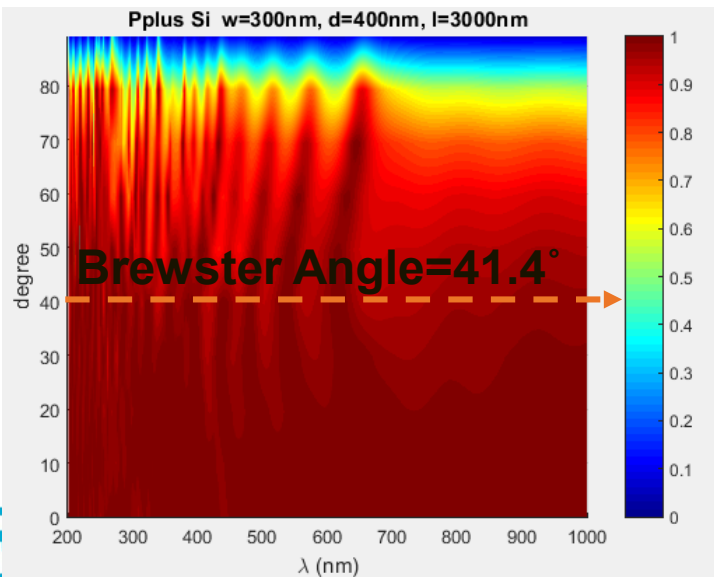
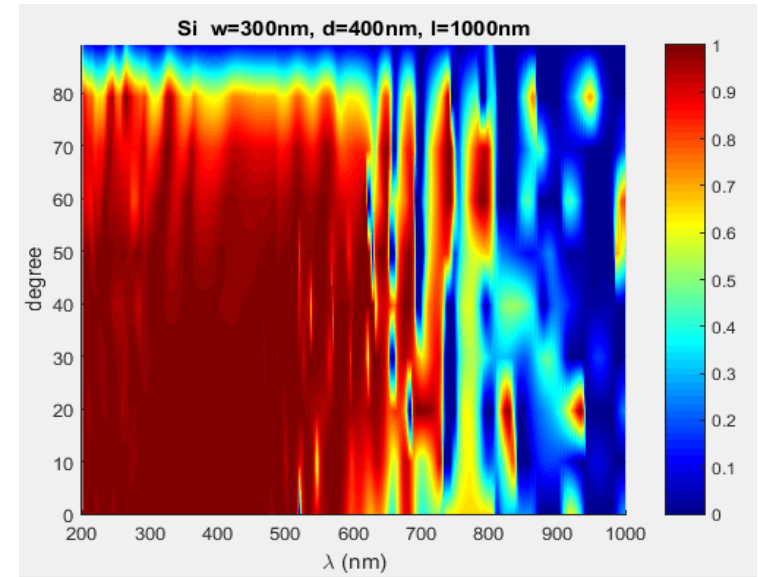
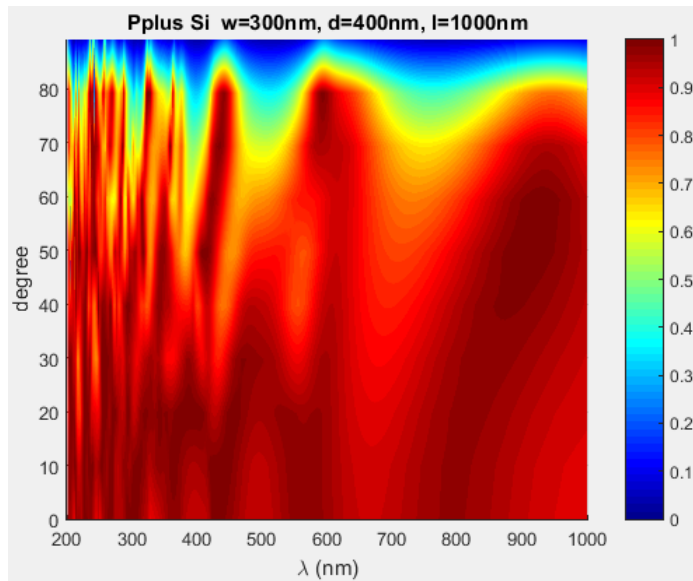
Taper is chosen carefully to cut off at  $2\ \mu\text{m}$ ,  
or taper depth of  $1.1\ \mu\text{m}$

# Simulation Results – Tungsten





# Simulation Results – Si



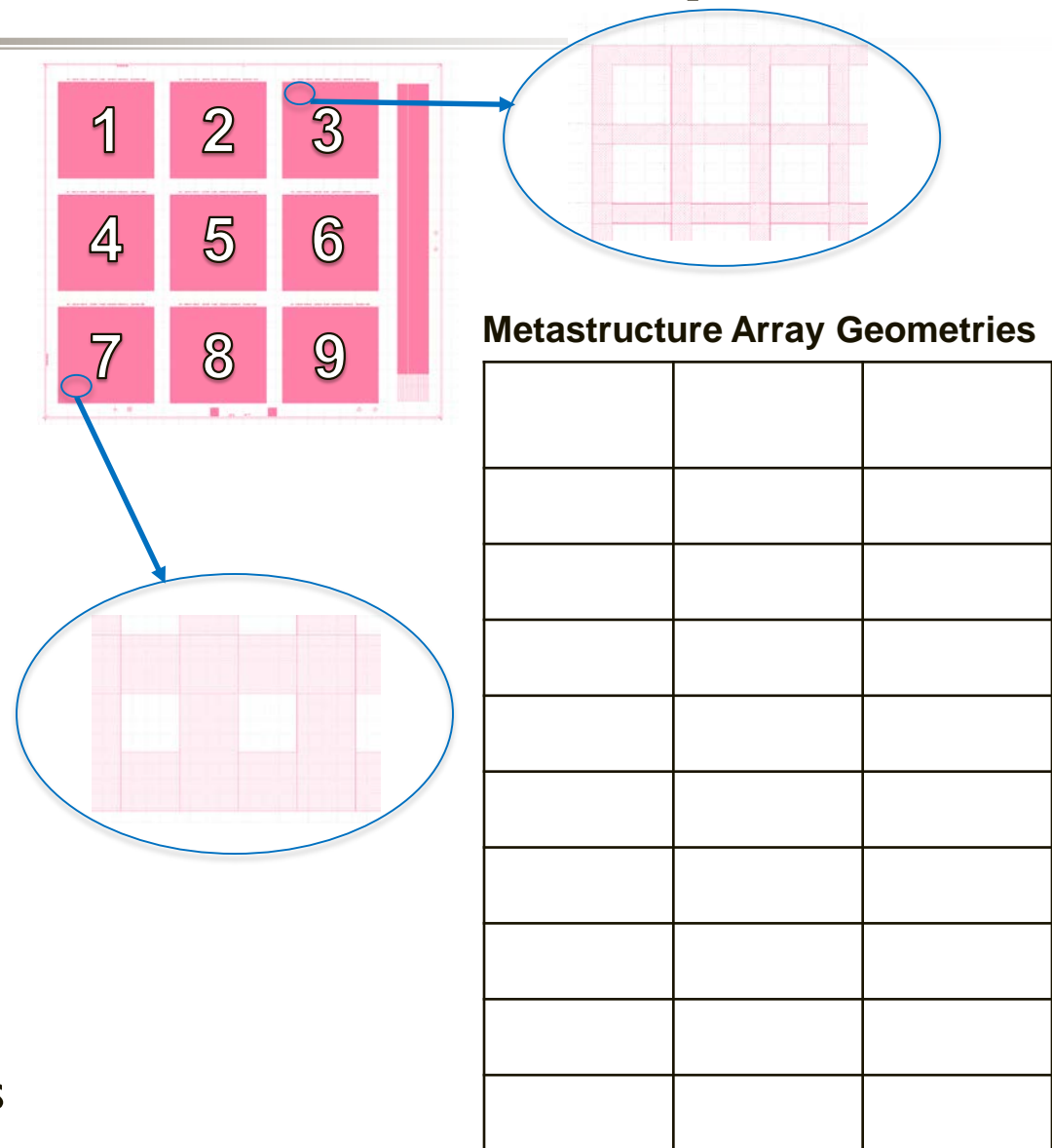
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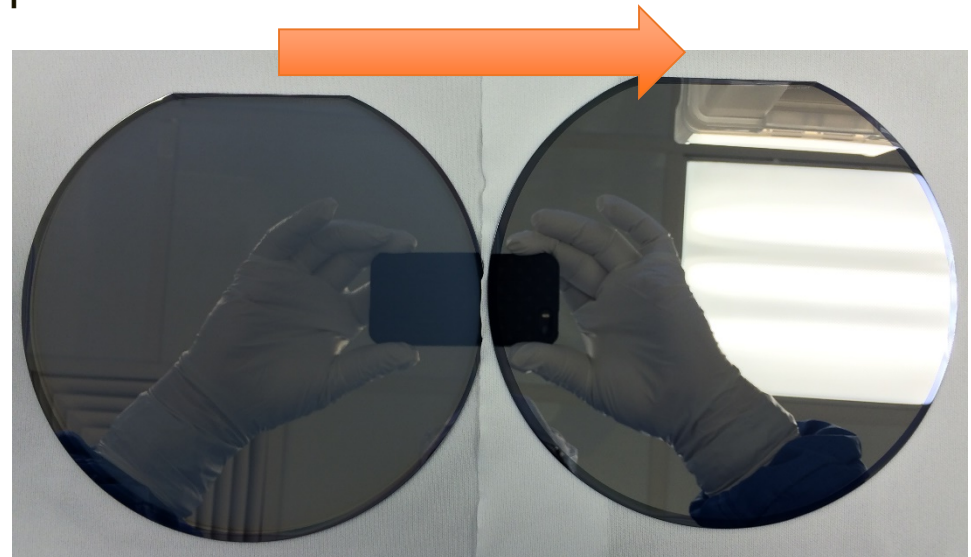
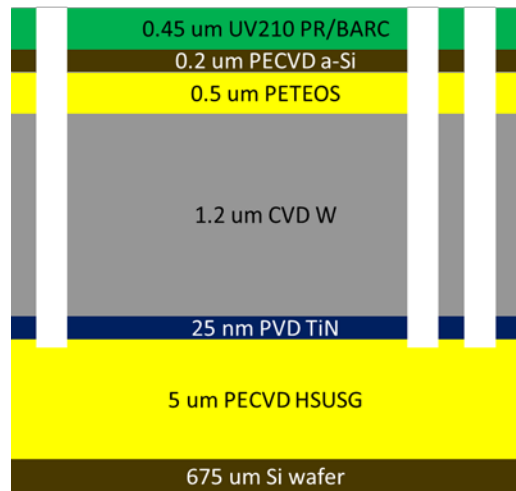
# Fabrication Preparation – Mask Development

- 5  $\mu\text{m}$  PECVD HSUSG
- 1.2  $\mu\text{m}$  CVD tungsten on 25 nm PVD TiN
- CMP touch polish, 75 nm
- 200 nm a-Si/500 nm PETEOS hard mask
- ASML 248 nm scanner
- $\text{SF}_6/\text{C}_4\text{F}_8$  and  $\text{SF}_6/\text{O}_2$  dry etch options in AMAT “DT” DPS Centura deep-Si etcher
- Future e-beam litho options



# Fabrication Approach

- Good stress compensation from compressive HSUSG underlayer
  - Net radius of curvature >70 meters
- Short W-CMP polish seems effective at improving surface roughness
- Remaining issues:
  - Optical lithography performance on fine-pitch 2D gratings
  - Hard mask etch optimization
  - High-aspect ratio W etch development.



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# Optical Testing Approach

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- Optical spectroscopy testing
  - Absorption and reflection measurements
- On-sun FTIR
  - Heat the samples to  $\geq 700^{\circ}\text{C}$  and measure emission



# Conclusions

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- At high temperatures ( $>700^{\circ}\text{C}$ ) CSP receivers suffer large thermal losses, which reduce thermal efficiency
- To improve solar absorption and reduce thermal losses, we propose using structured receiver surfaces using metamaterials
- Simulation of metamaterial geometries showed near unity absorption in majority of solar spectrum while suppressing re-radiation in the IR

# Future Work

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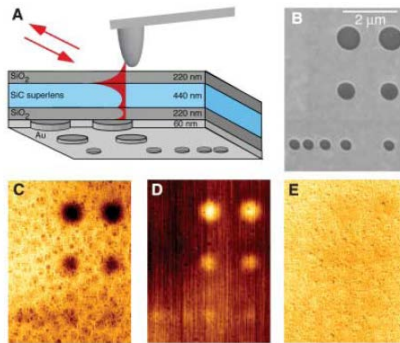
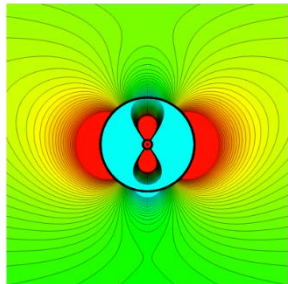
- Fabrication
  - Optimize tungsten dry etch
  - Explore e-beam litho options
  - Alternate material stacks
    - Thicker tungsten
    - Thermal/chemical stability at temperature
    - Protective coatings
- Perform optical testing on fabricated samples
  - Optical spectroscopy
  - On-sun FTIR

# Questions?

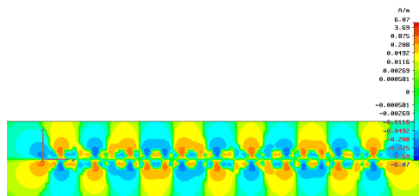
# Extra Slides

# Metamaterials and Plasmonics

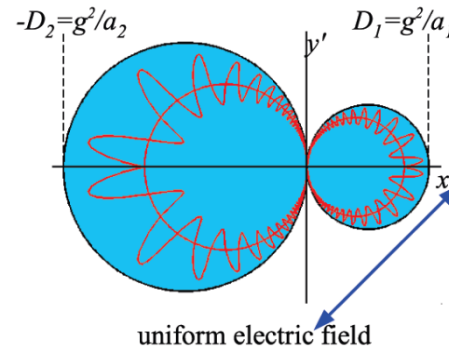
## Examples



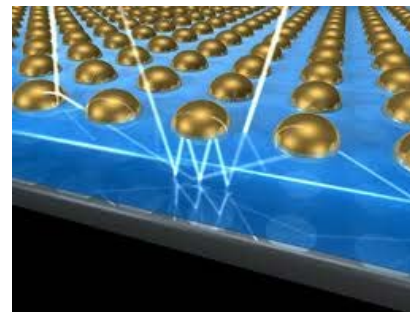
Sub-diffraction imaging [T. Taubner et al., Science 2006]



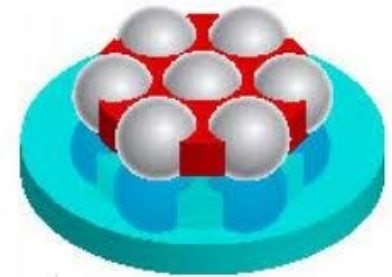
Sub-diffraction guiding [A. Alu, N. Engheta, Opt. Expr. 2008]



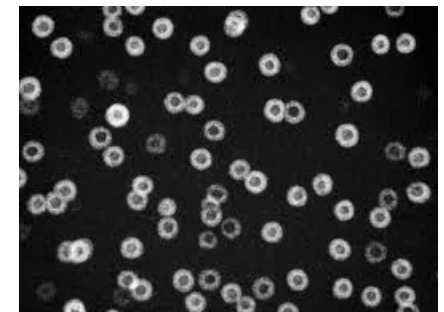
Focusing [D.Y. Lei, et al., N. J. Phys. 2010]



Plasmonic solar cells [A. Polman]



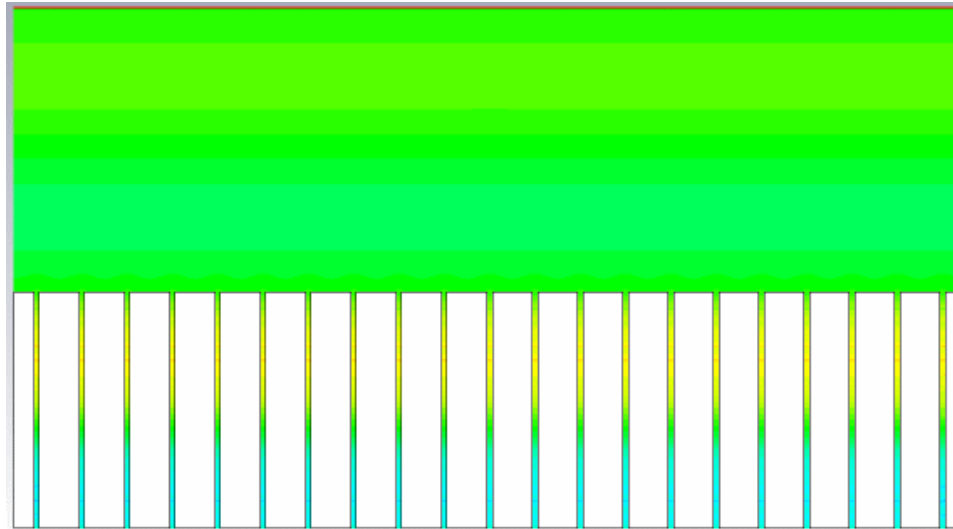
Sensing [J.A. Fan, et al. Science 2010]



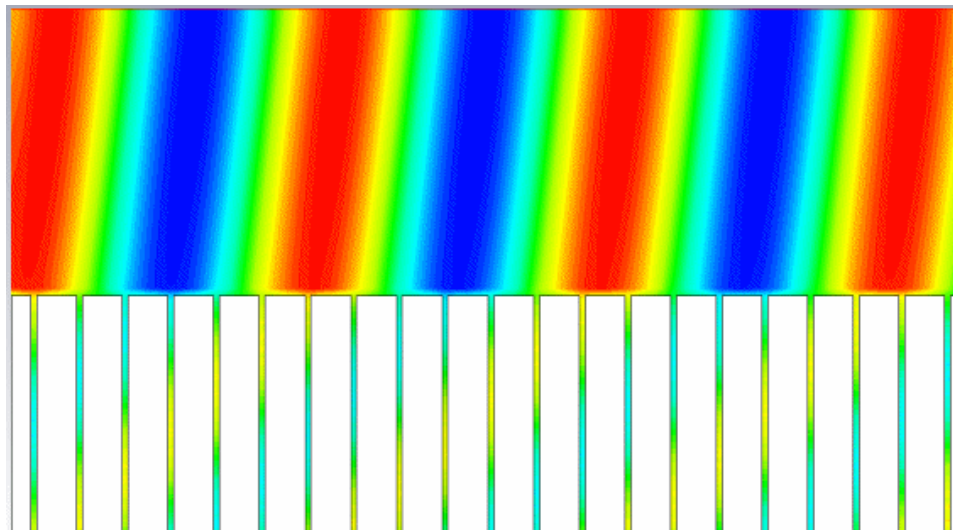
Core-shell for biomedical treatments [N. Halas]

# Broadband Impedance Matching

$$\theta_i = 0^\circ$$



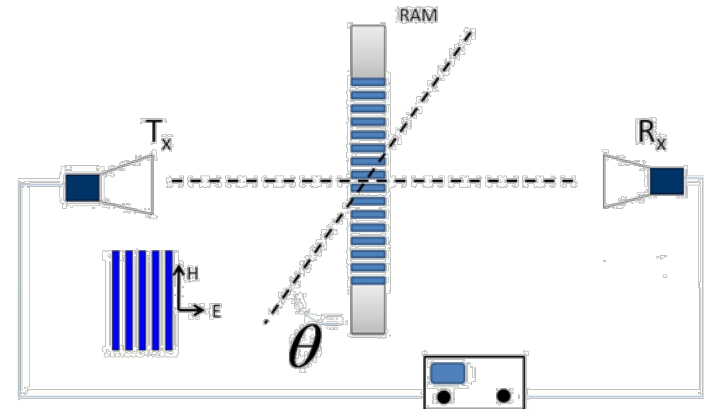
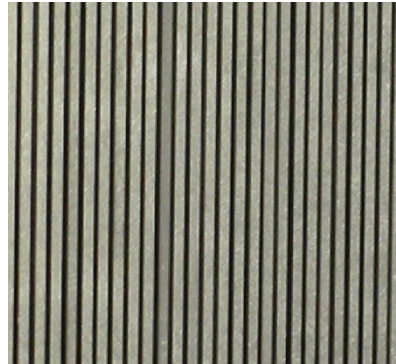
$$\theta_i = \theta_B = 0^\circ$$



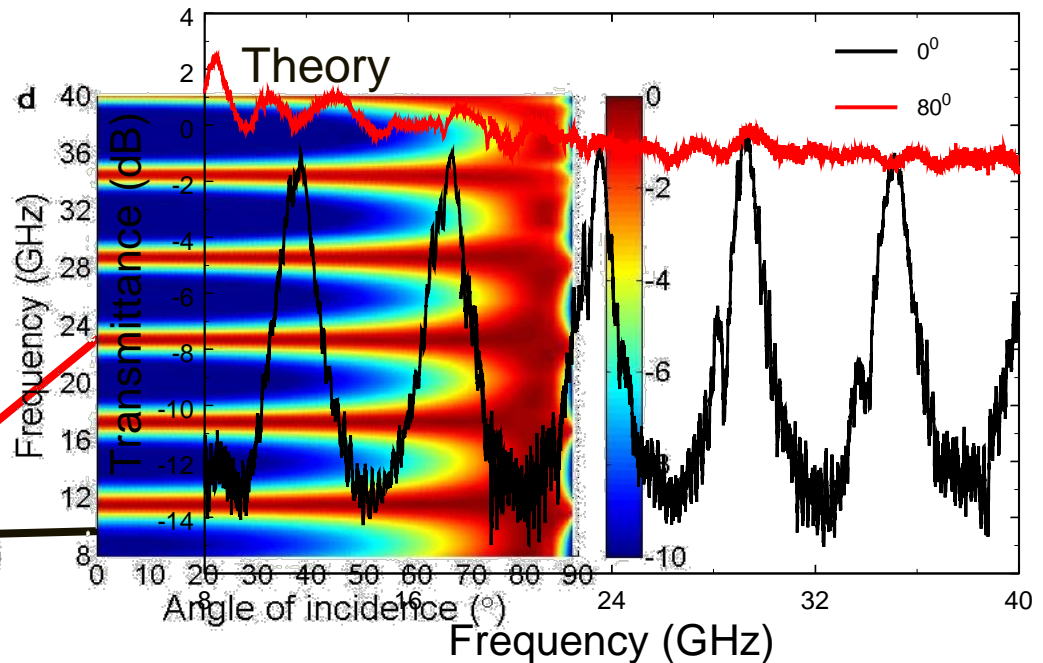
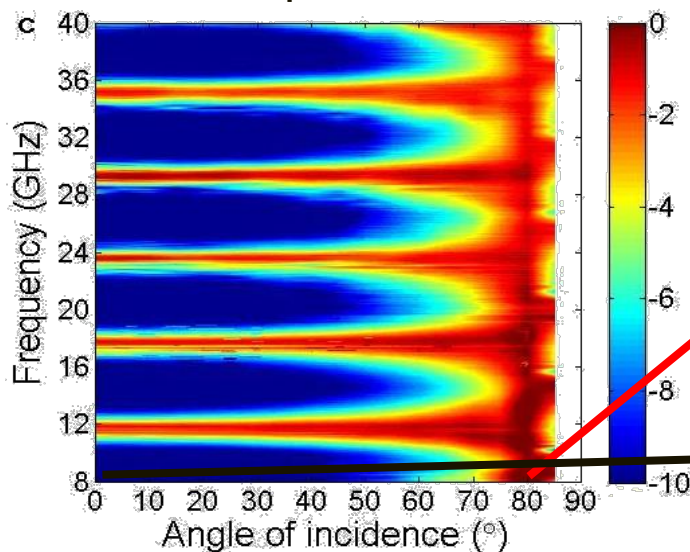


# Experimental Verification at RF

- $\lambda = 400 \mu\text{m}$
- $d = 3.6 \text{ mm}$
- $f = 11\%$
- $L = 2.54 \text{ cm}$

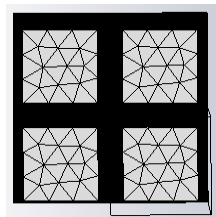


Experiment



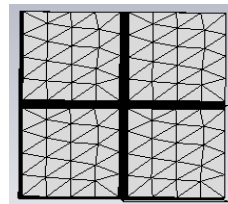
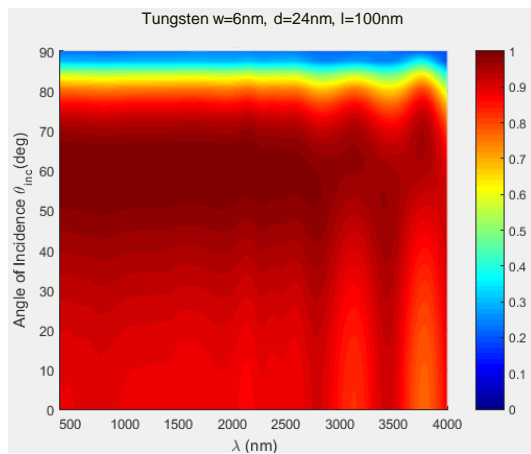
# I. Brewster Angle Check

Fix,  $w = \text{nm} \rightarrow \beta_s + j k$



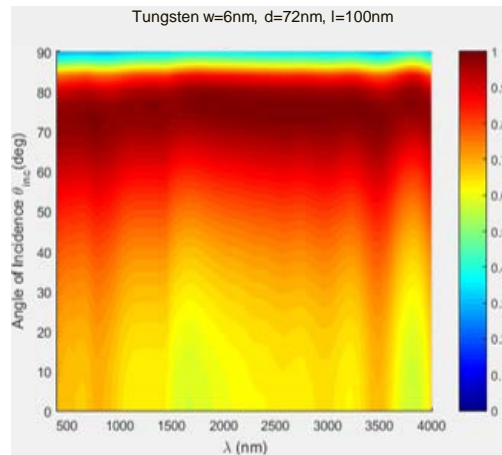
$d=24\text{nm}$

$\theta_b =$

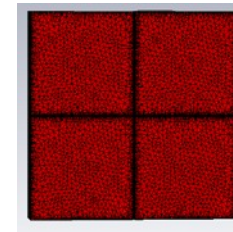


$d=72\text{nm}$

$\theta_b =$

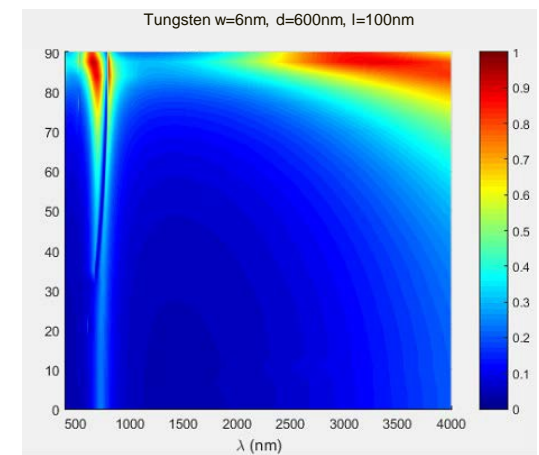


[Absorption]



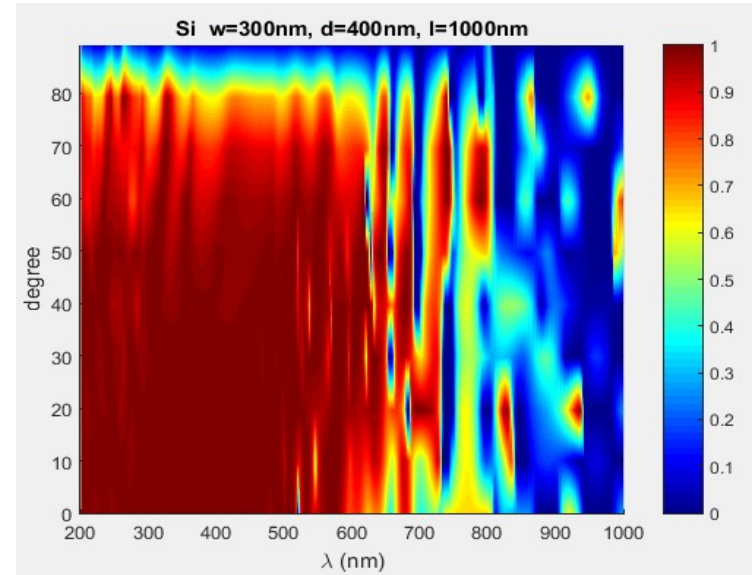
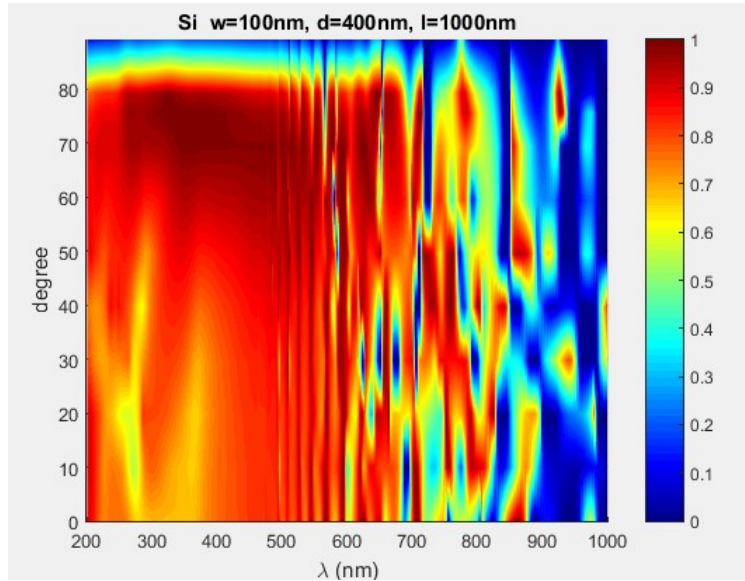
$d=600\text{nm}$

$\theta_b =$

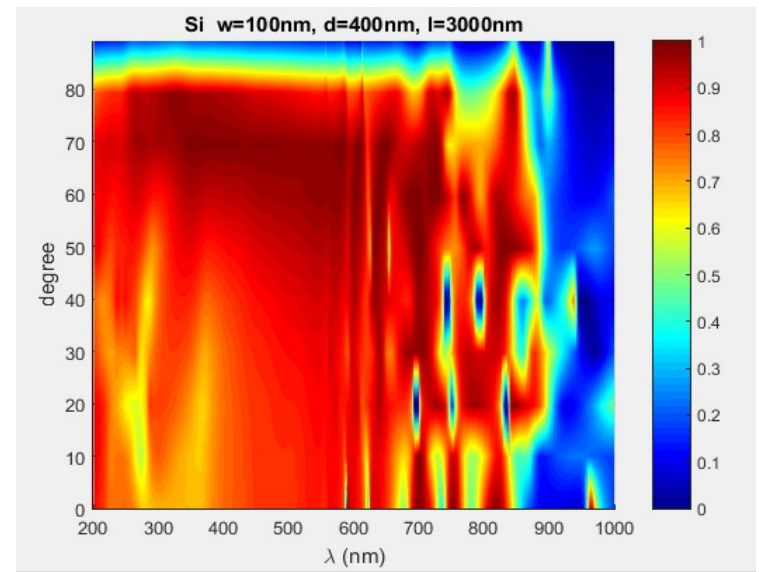
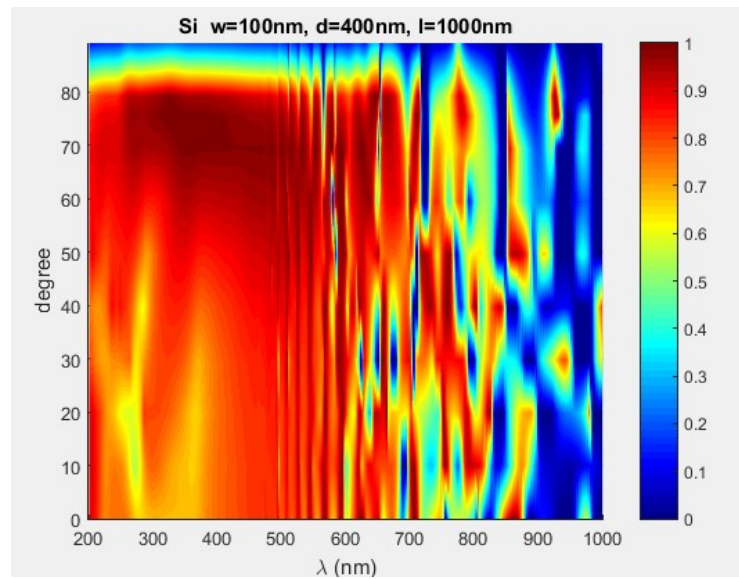


Brewster Angle goes up

# I. Brewster Angle Check

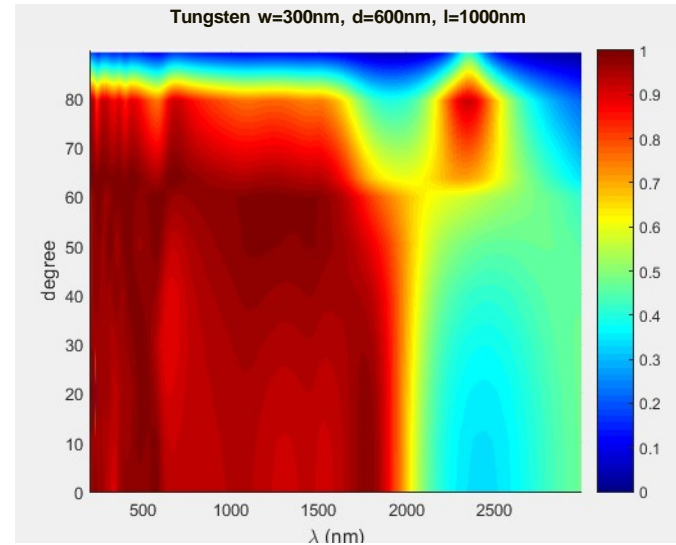
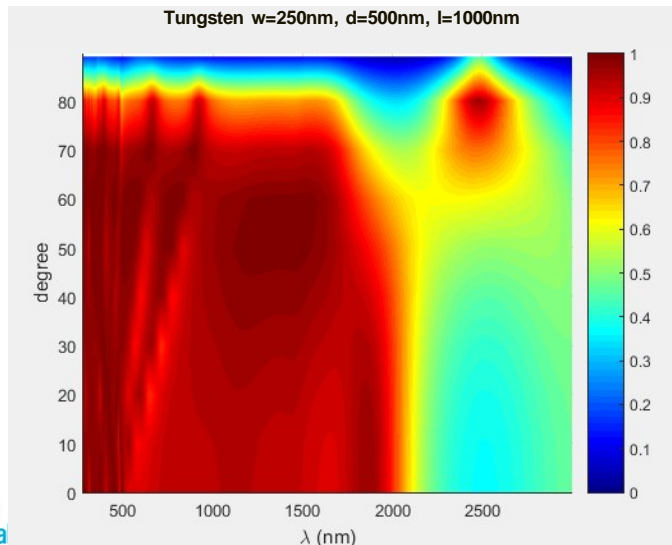
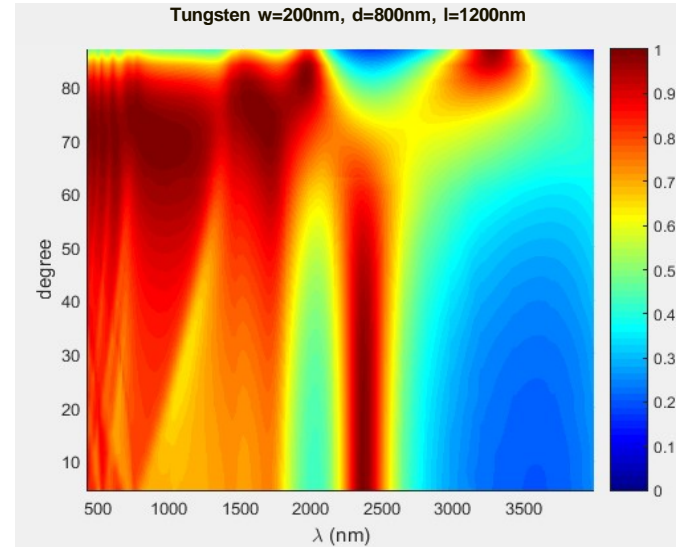
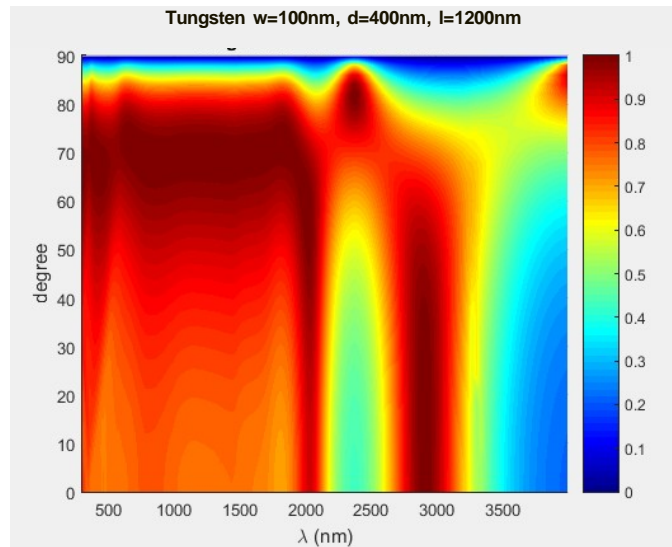


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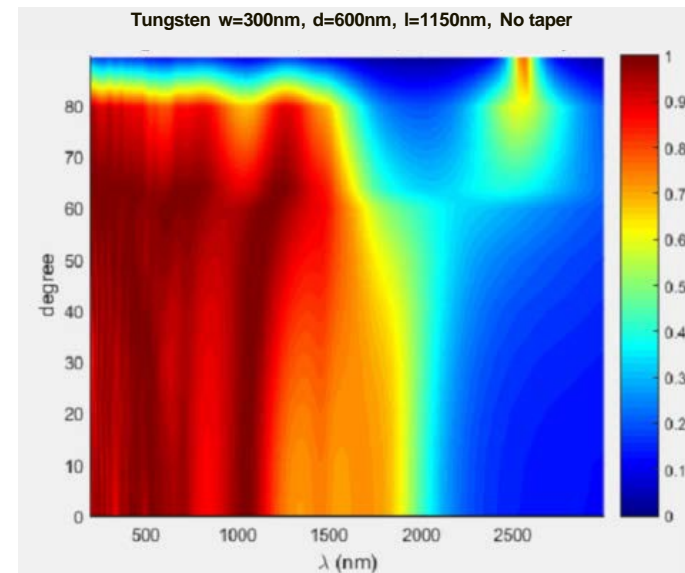
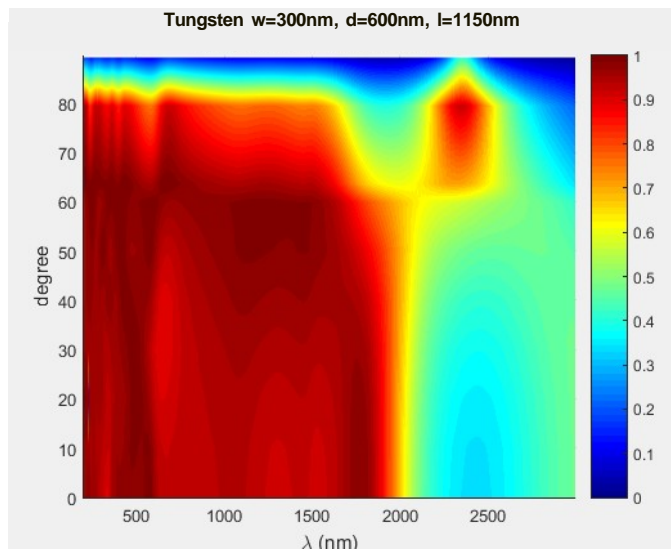
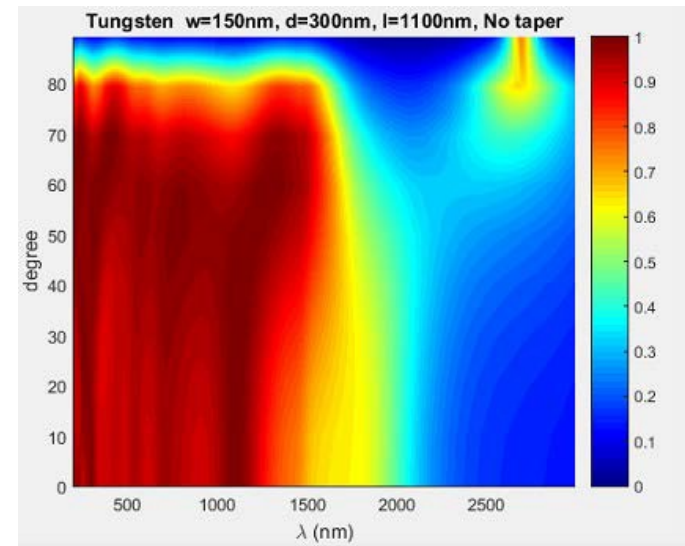
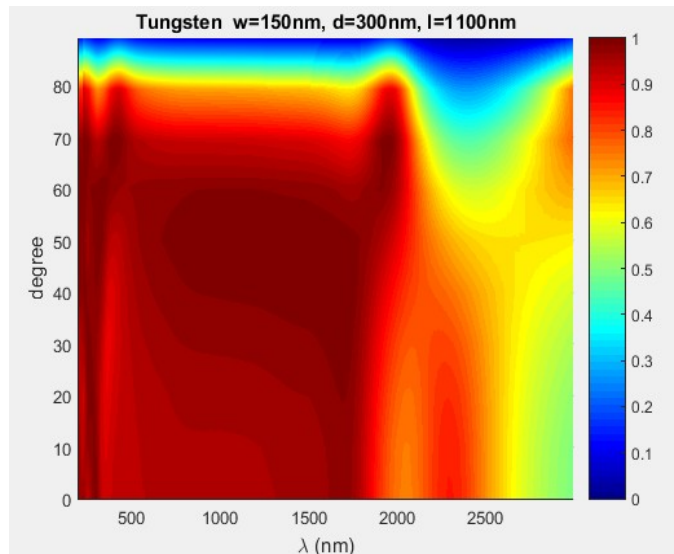




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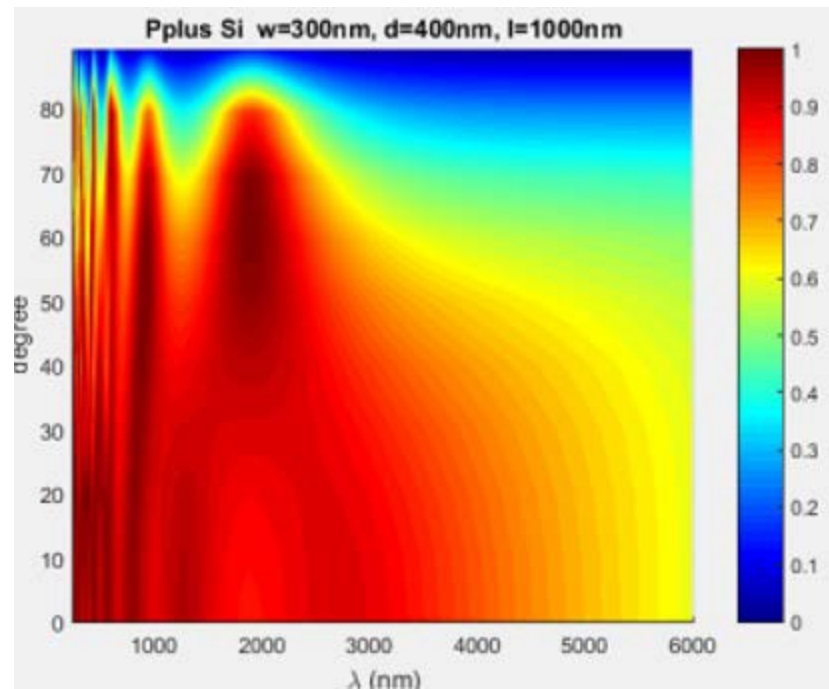


# 2. Taper & No taper





# 3. Highly Doped Silicon Compliment



# Planned Fabrication Stack

