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Tailoring Dielectric Resonator Geometries for Directional Scattering, Huygens' Metasurfaces, and High Quality-Factor Fano Resonances

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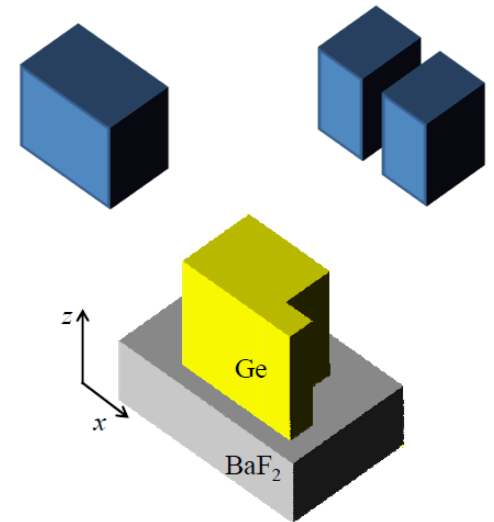


Parts of this work were supported by the U.S. Department of Energy, Office of Basic Energy Sciences, Division of Materials Sciences and Engineering and performed, in part, at the Center for Integrated Nanotechnologies, an Office of Science User Facility operated for the U.S. Department of Energy (DOE) Office of Science. Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.

Outline of the talk

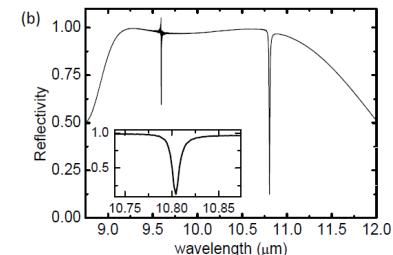
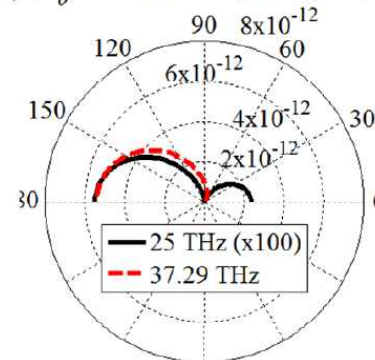
- Metamaterial dielectric resonators represent a promising path toward low-loss metamaterials at optical frequencies

- We show that the introduction of perturbations of high symmetry geometries



- Enables directional scattering, Huygens' metasurfaces, and high-quality factor Fano resonances

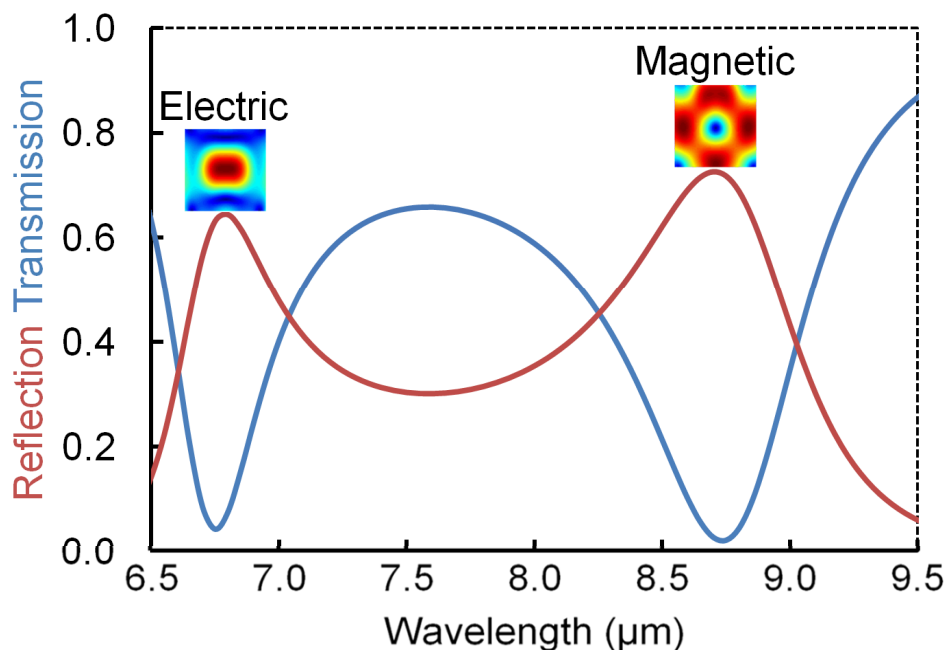
a) $|E_\theta|^2$ versus θ on xz plane ($\phi = 0$)



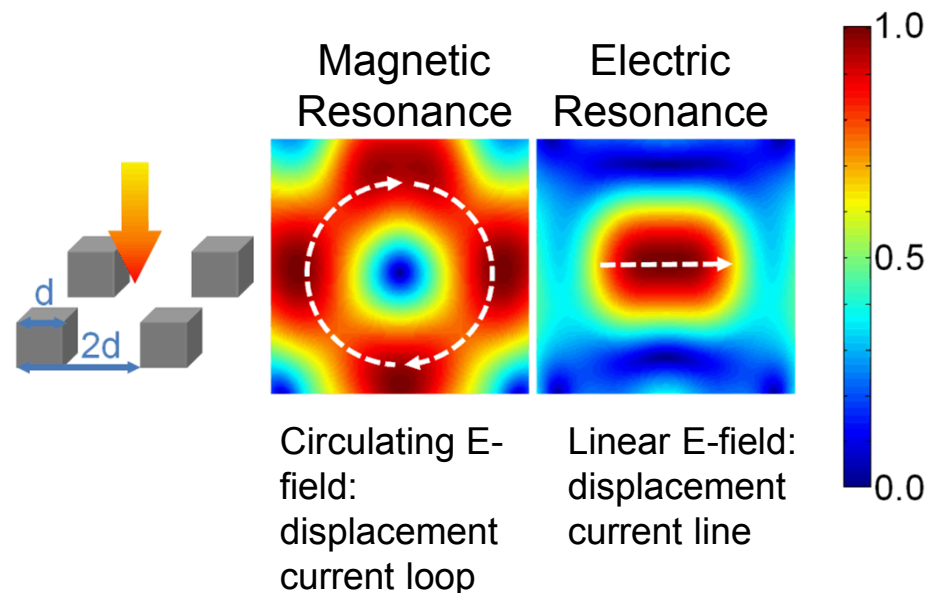
Dielectric Resonator Metamaterials

- Ohmic currents replaced by displacement currents
- Low loss option for infrared & visible wavelengths
- High permittivity materials
- Starting Point: Mie theory $\rightarrow$ multipole resonance

1.7 μm Te cube array



Electric field patterns

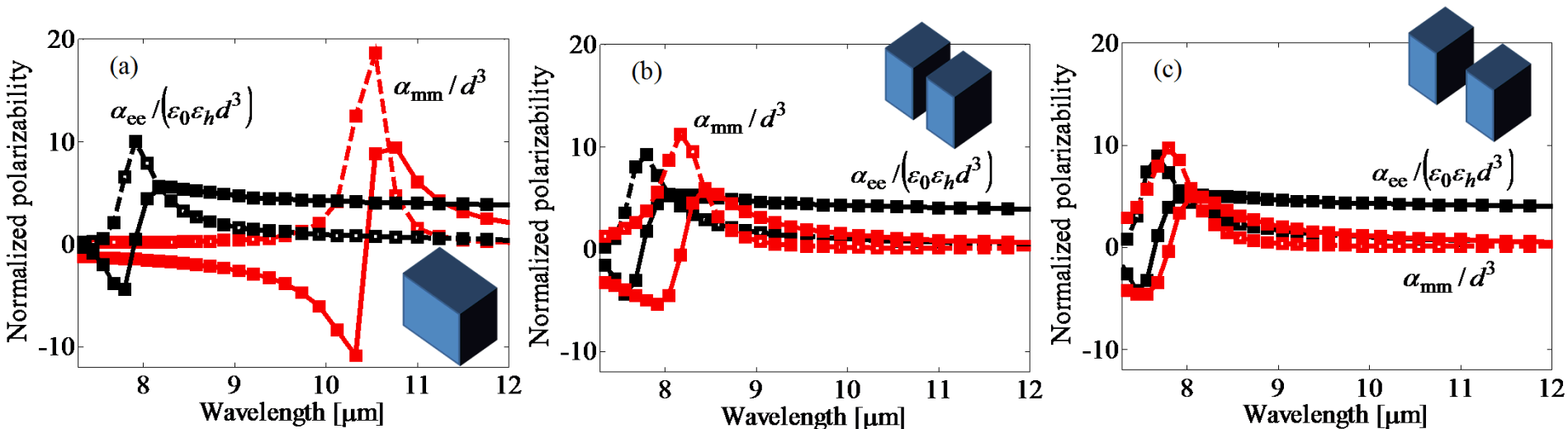


Aligning electric and magnetic resonances in single resonator

- Retrieve electric and magnetic polarizabilities of single scatterers using full-wave simulations

$$\mathbf{p} = \underline{\alpha}_{ee} \cdot \mathbf{E}_{loc} \quad \mathbf{m} = \underline{\alpha}_{mm} \cdot \mathbf{H}_{loc}$$

Basilio et al. IEEE Antennas Wireless Propag. Lett. 10, 1567 (2011); Rockstuhl et al. Phys. Rev. B 83, 245119 (2011)



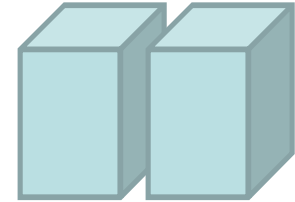
- Electric and magnetic polarizabilities can be easily engineered to overlap at a given frequency
- Quadrupole resonance is also affected and can be shifted away from the dipolar resonances

Warne et al., PIER B 44, 1-29 (2012); IEEE Trans. Antennas Propagat. 61, 2130-2141 (2013)

Campione et al., Opt. Express 23, 2293-2307 (2015)

Aligning resonances leads to directional scattering

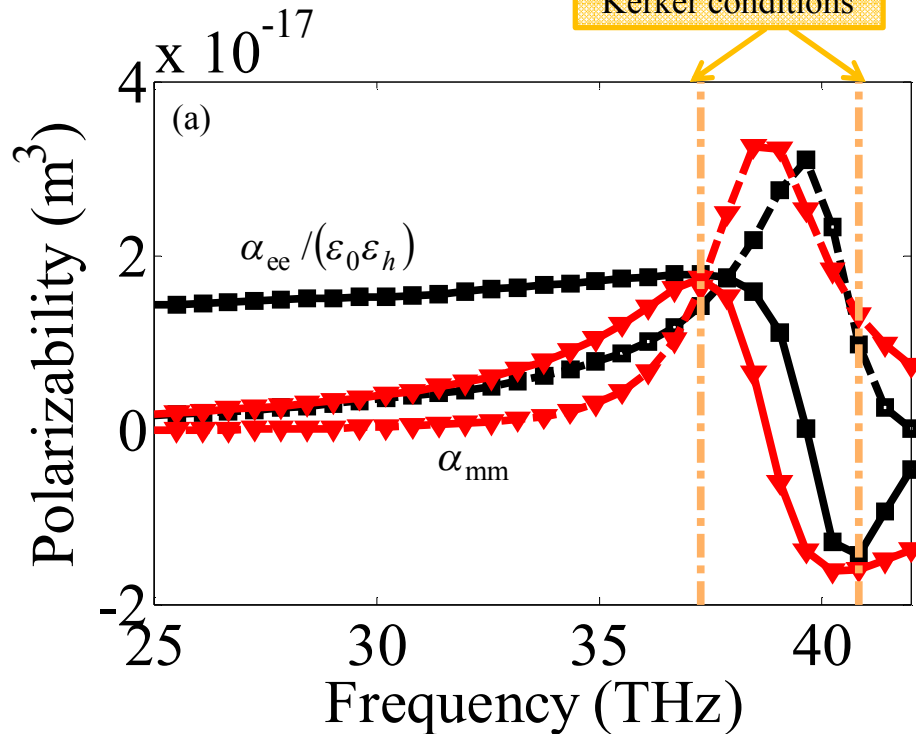
- First Kerker condition can be satisfied at two frequencies
- Forward scattering only $\rightarrow$ no back scatter



M. Kerker et al., J. Opt. Soc. Am. 73(6), 765–767 (1983)

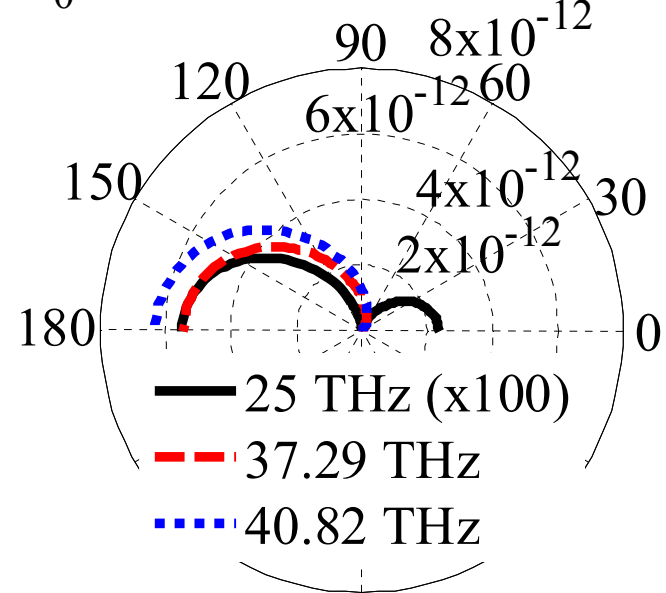
$$\alpha_{ee}/(\epsilon_0\epsilon_h) = \alpha_{mm}$$

Kerker conditions



(b)

$|E_\theta|^2$ versus θ on xz plane ($\phi = 0$)

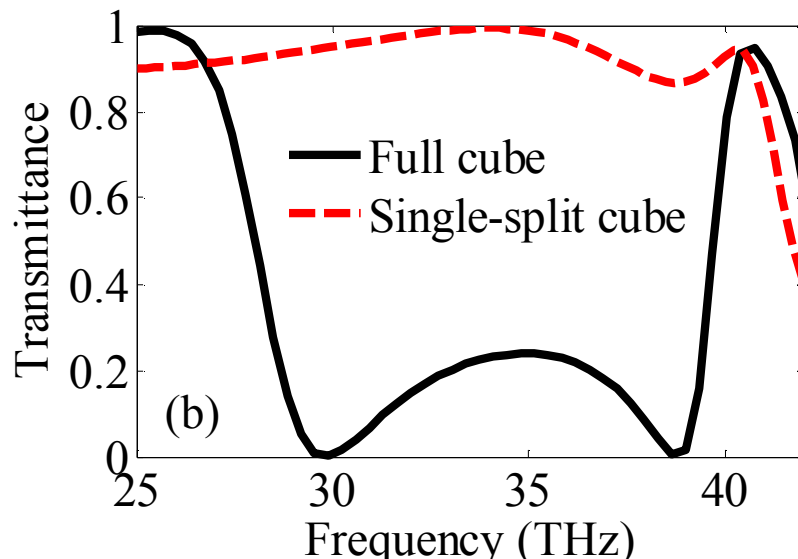


Campione et al., Opt. Express **23**, 2293-2307 (2015)

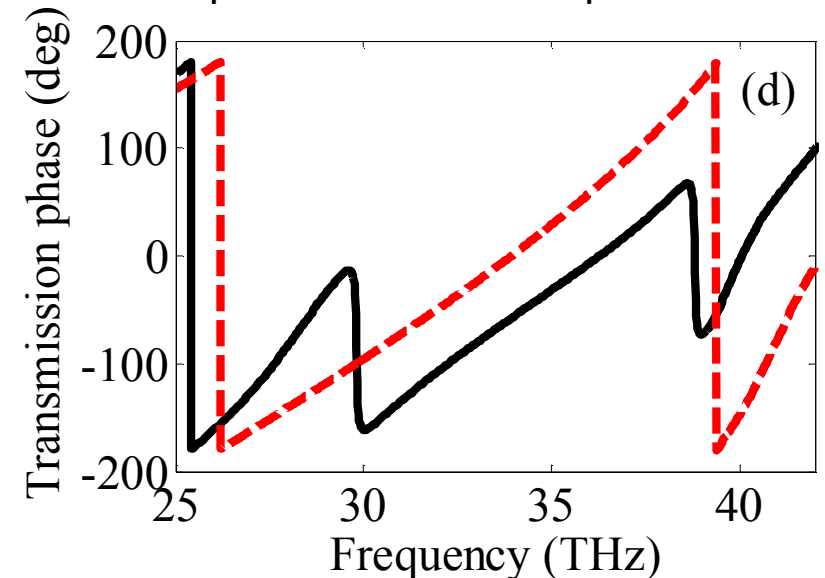
2D array of directional scatterers: Huygens' metasurface

single particle forward scattering → array high transmission

High transmission across spectral band



2π phase shift across spectral band



- Ultra thin optics
- Phase front manipulation

Campione et al., Opt. Express **23**, 2293-2307 (2015)

How do we achieve sharp resonances?



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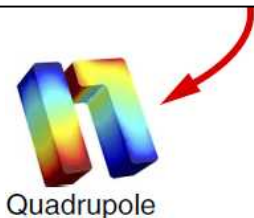
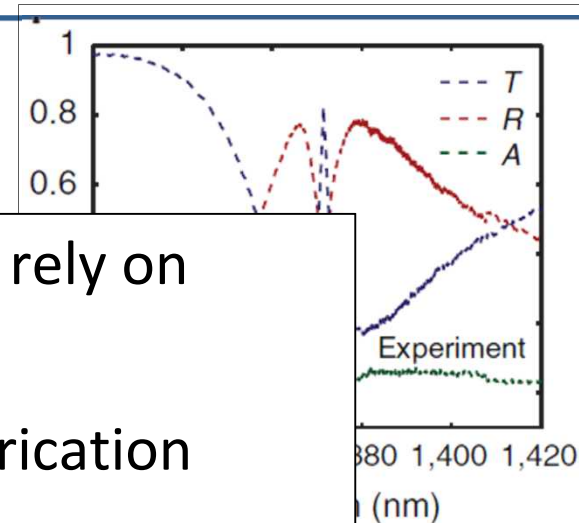
Fano resonances of metasurfaces:

- First proposed for metal metasurfaces
- Interference of “bright” and “dark” excitations

No The highest Q-factor DR Fano designs to-date rely on multiple resonators in the unit cell

Near field coupling extremely sensitive to fabrication errors

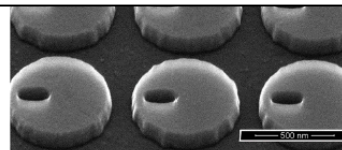
Can we find a one-resonator design that leads to high Q??



Quadrupole

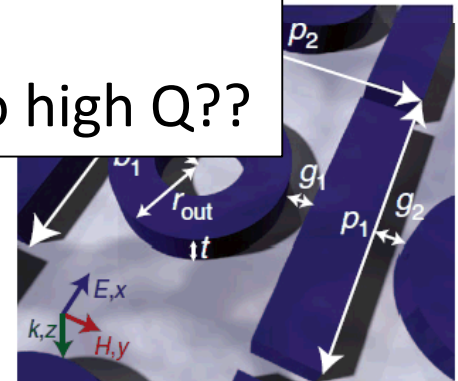
$Q \sim 130$ at 4 μm
(experimental)

Wu, et al.
Nature Comm. 5, 3892, 2014



$Q \sim 100$ at 1.5 μm

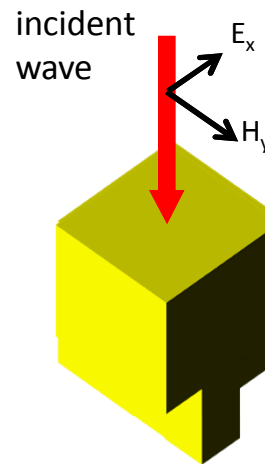
Jain, et al.
AOM 10, 1431, 2015



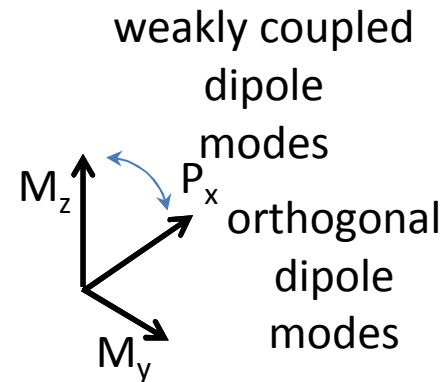
$Q \sim 500$ at 1.37 μm
(experimental)

Yang, et al.
Nature Comm. 5, 5753, 2014

Operating principles



=



Fano system

External Wave



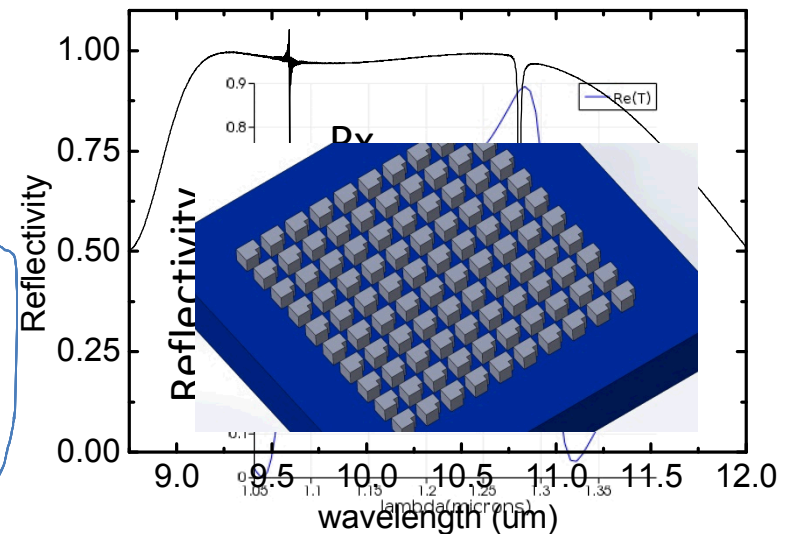
P_x, M_y (low Q)



Cavity perturbation

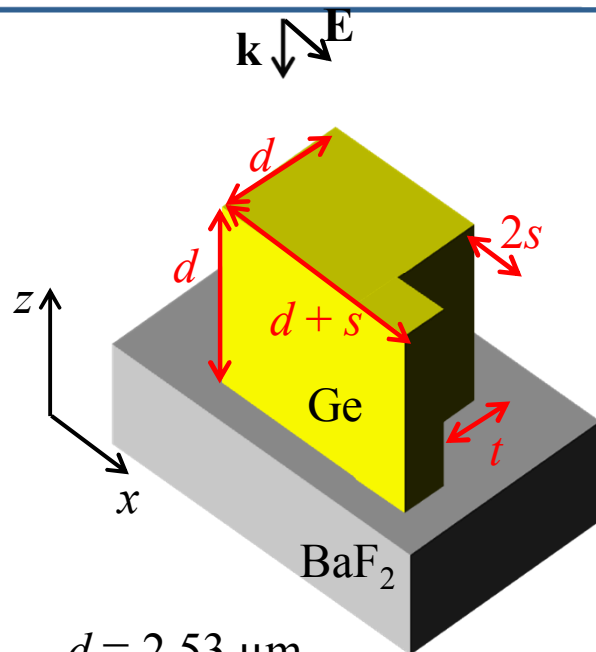
M_z (high Q)

radiative decay is suppressed due to local field interactions



Campione et al., arxiv:1607.06469 (2016)

Ge-based Fano metasurface design



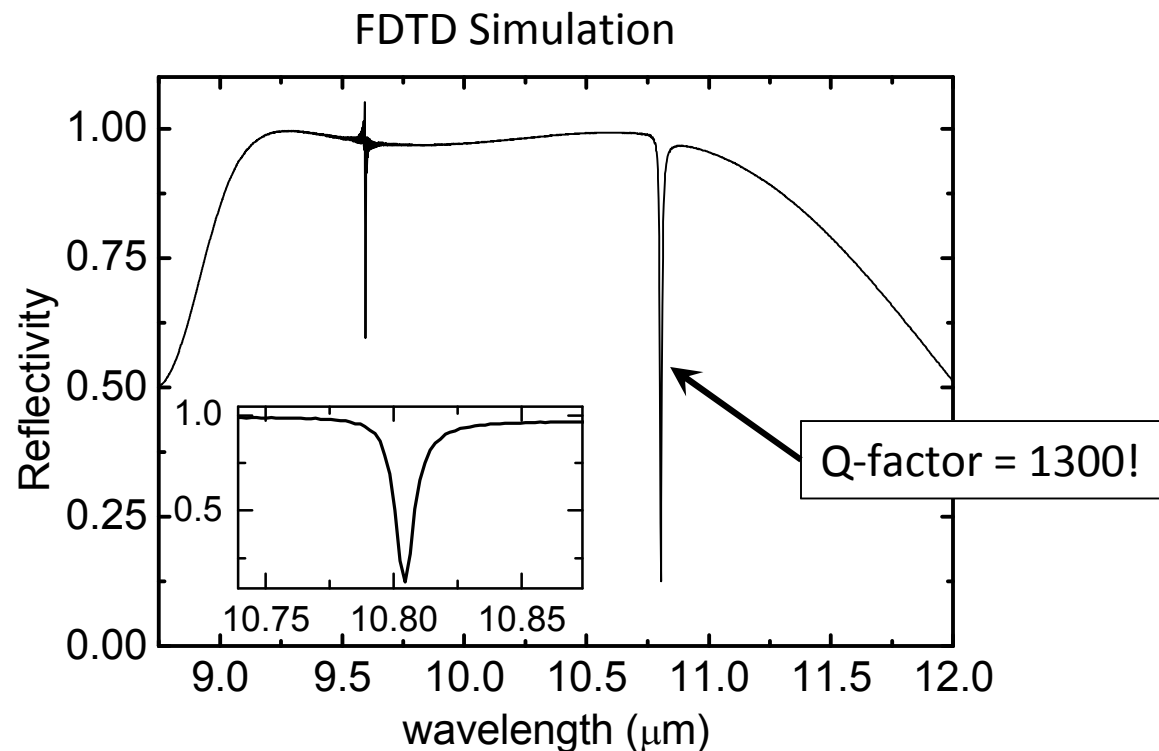
$$d = 2.53 \mu\text{m}$$

$$s = d / 5$$

$$t = 1.5 \mu\text{m}$$

Array Pitch:

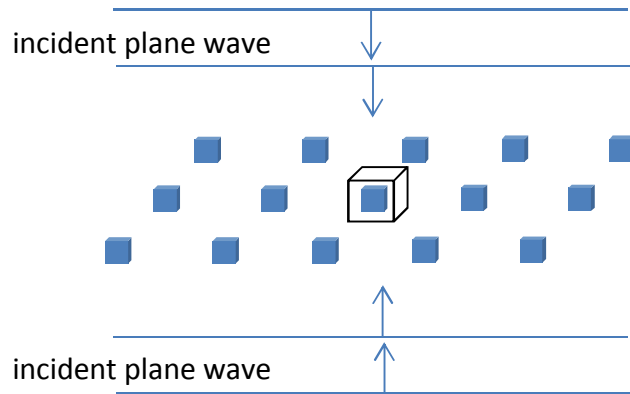
$$a = b = 4.2 \mu\text{m}$$



Design is scalable from NIR through RF!

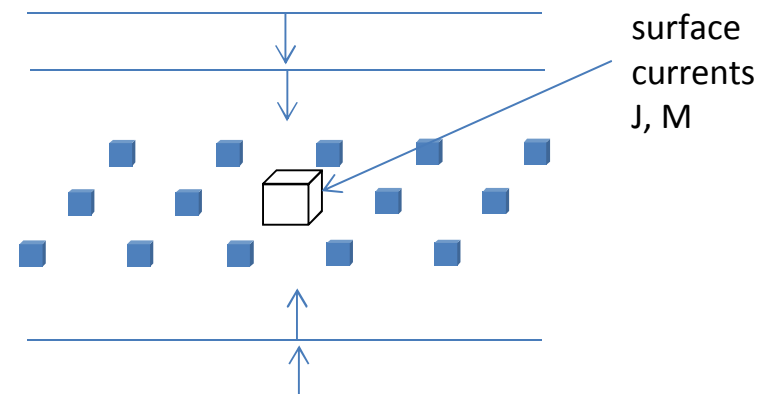
Understanding the nature of the Fano mode

“Numerical Experiment”: multipole decomposition of the resonator’s response in the array.



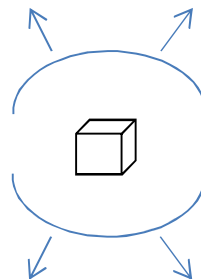
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Love's
Equivalence Principle



1. Simulate array response
2. Collect tangential fields on fictitious box

3. Replace resonator with surface currents on box

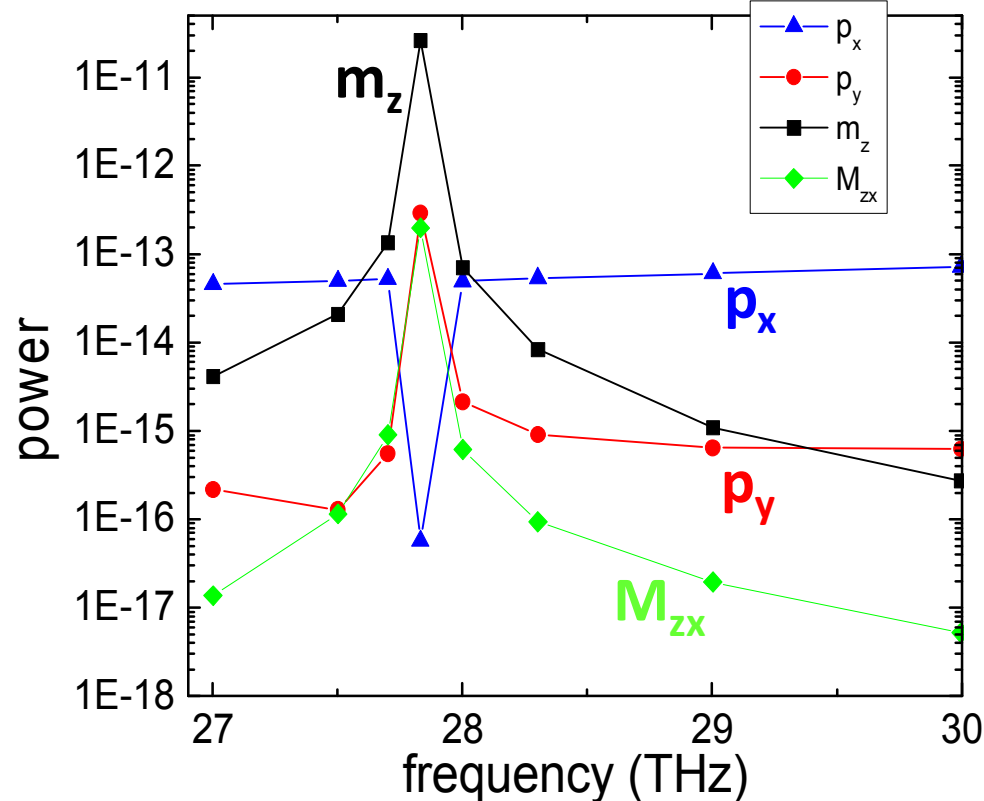


4. Calculate far-field due to surface currents on box alone
5. Fit to multipoles – all dipole and quadrupole modes

Understanding the nature of the Fano mode

Multipole decomposition of resonator response

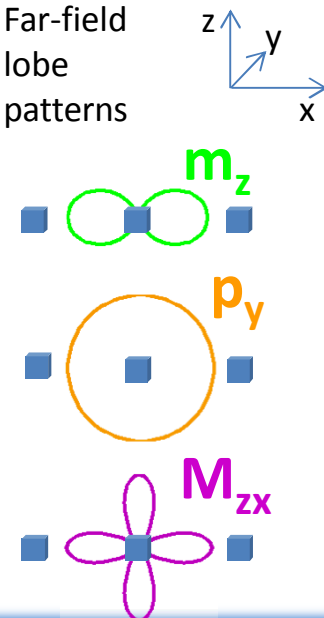
- x-polarized electric field excitation for full array
- Far-field scattering for single cube
- Extract multipole powers



At the Fano resonance:

- m_z dominates
- p_x is extinguished
- p_y and M_{zx} (magnetic quadrupole) are excited

Far-field lobe patterns

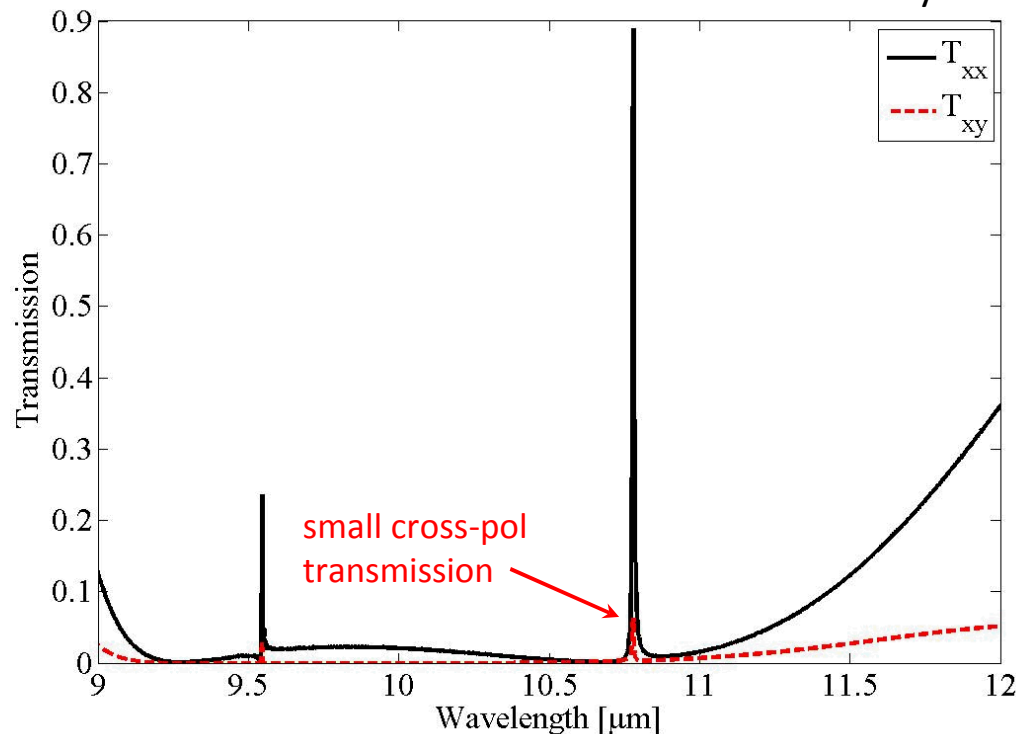


What about p_y and M_{zx} ? Small cross polarization of transmitted wave

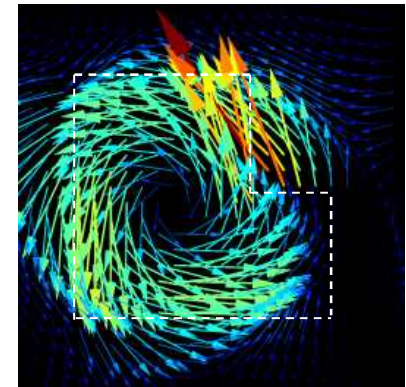
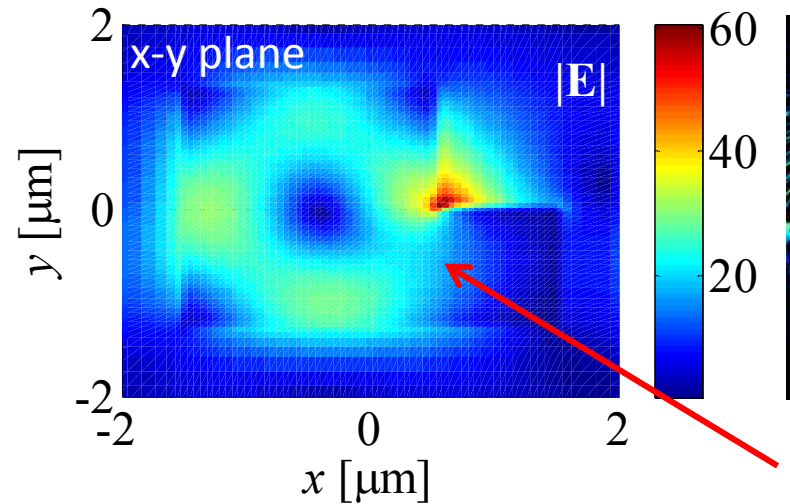
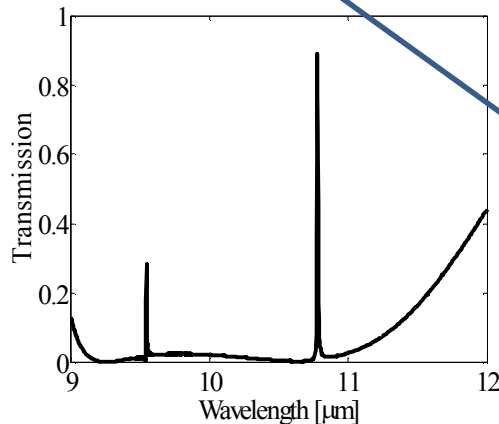
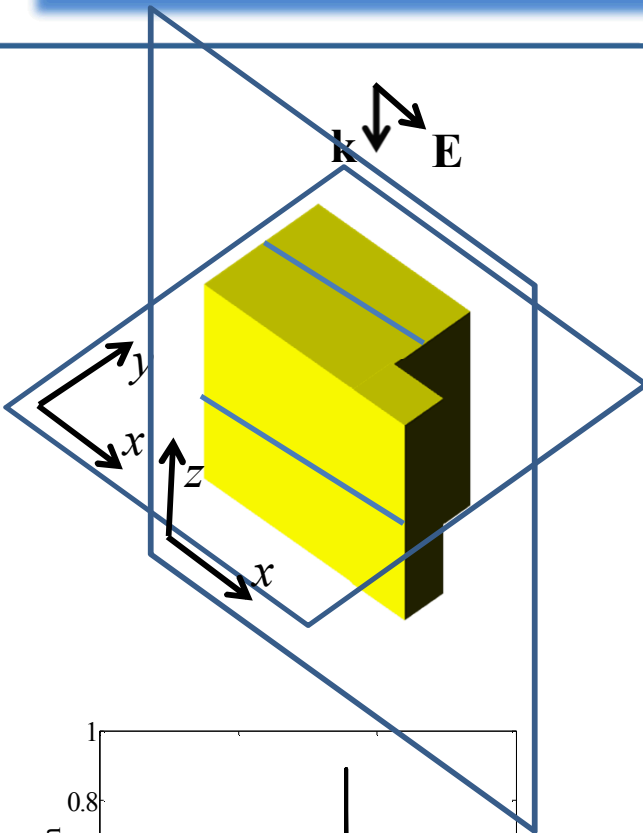
At Fano resonance:

- both multipoles are excited
- radiated fields cancel in forward and backward directions!!

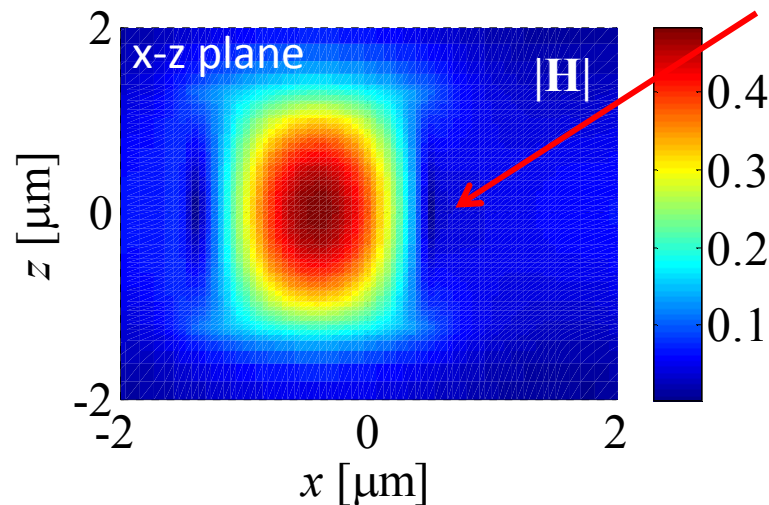
Residual imbalance of scattering by p_y and M_{zx}



Resonant mode field profiles

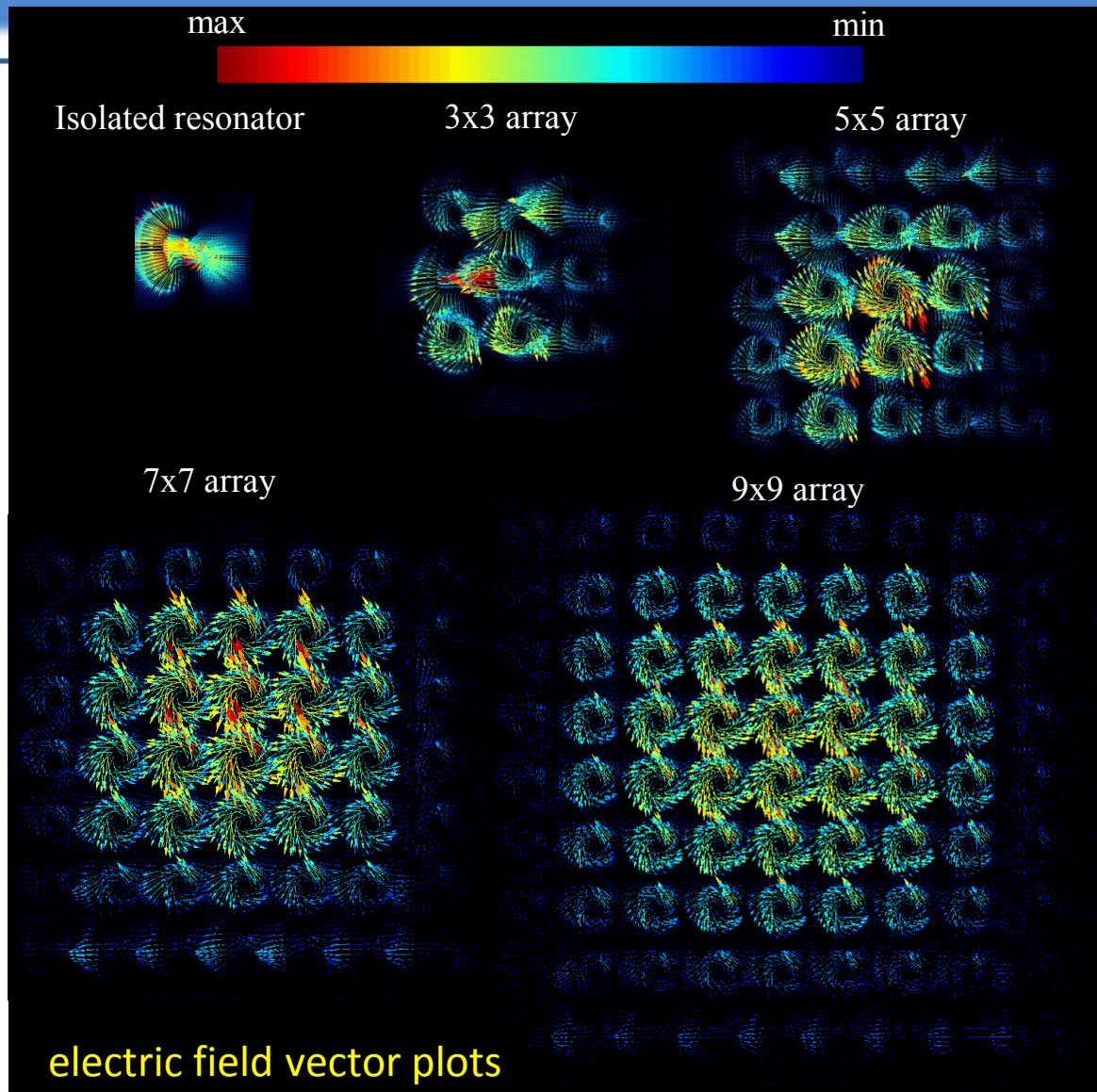


z-directed magnetic dipole



FDTD simulations at the $\lambda = 10.8 \mu\text{m}$ resonance

Array effect on the magnetic dipole

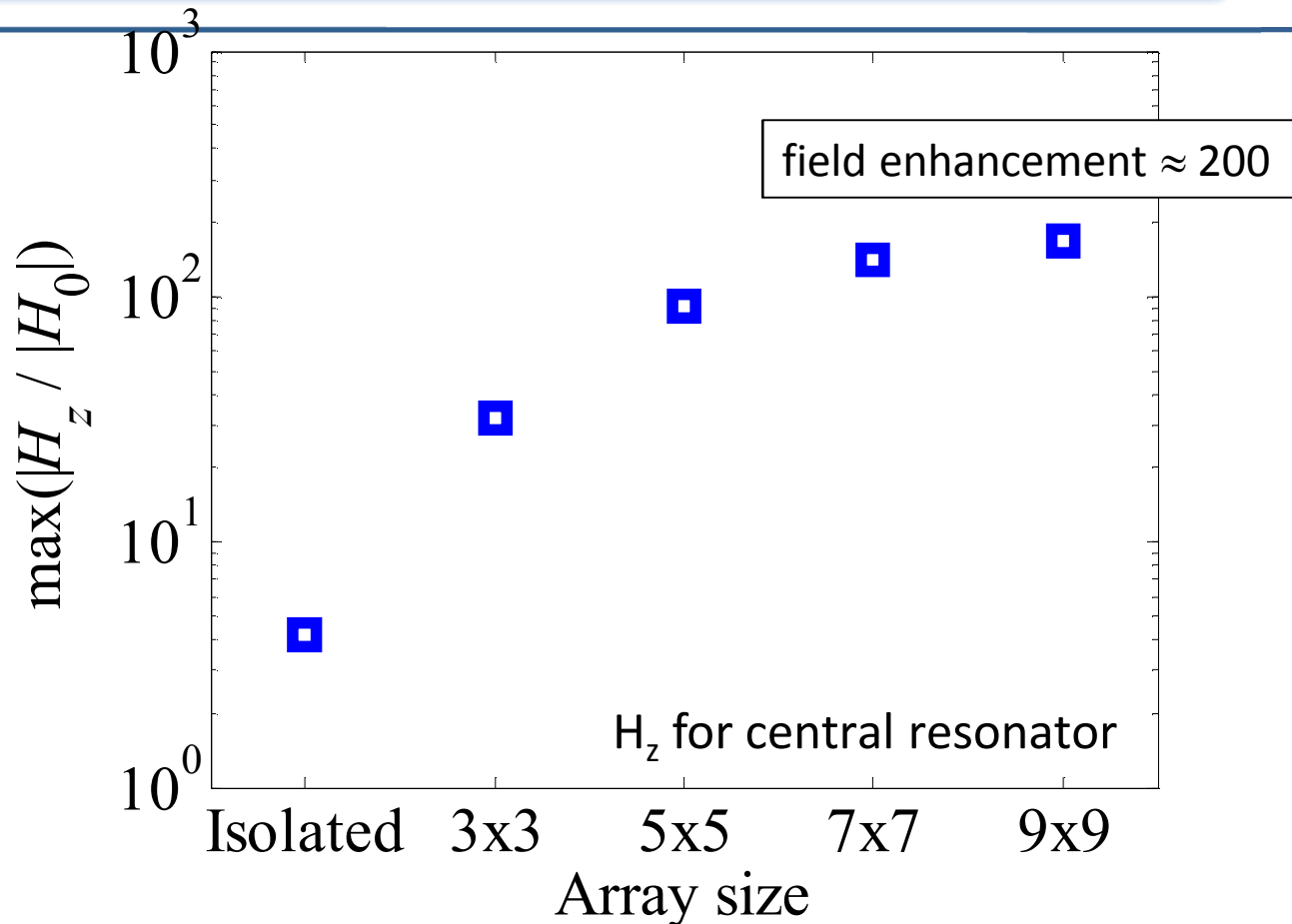


- m_z can't radiate broadside (no forward lobe)
- near center: local fields inhibit radiative decay of m_z
- near edges: m_z can radiate laterally
- quality factor increases towards center
- quality factor increases with array size

Field enhancement vs. array size

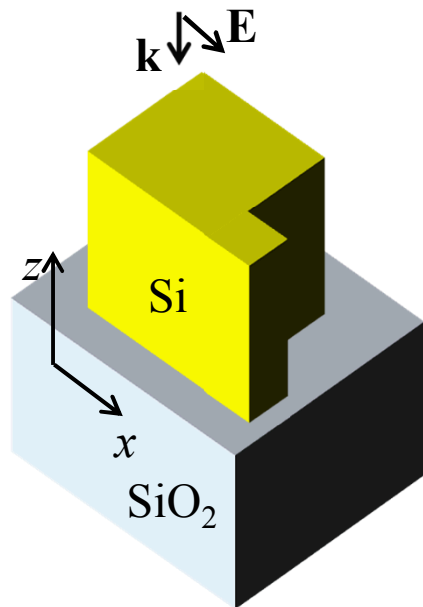


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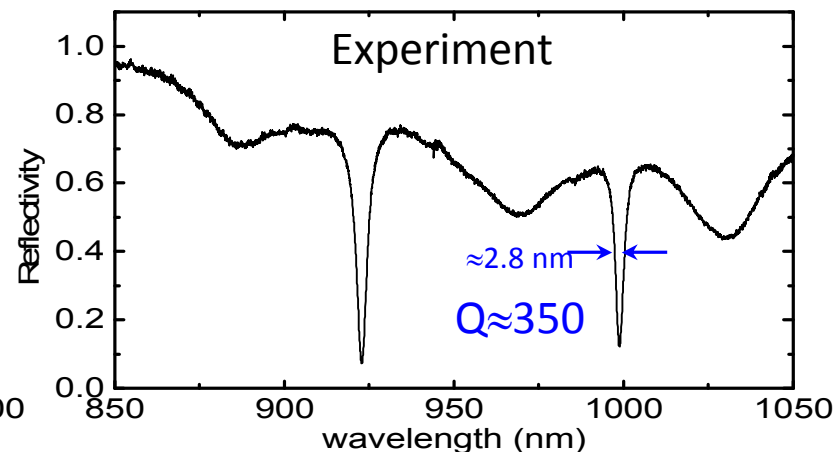
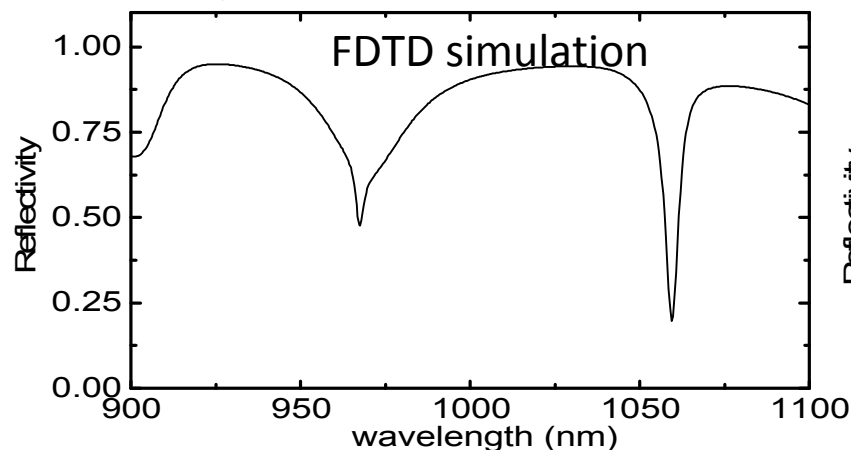
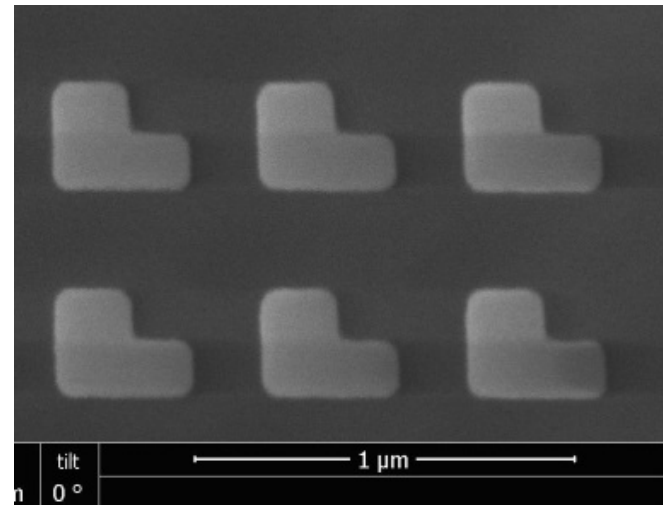


- beginning to saturate for 9x9 array
- effect arises from nearby resonators

Experimental verification: Silicon-on-insulator Fano resonators



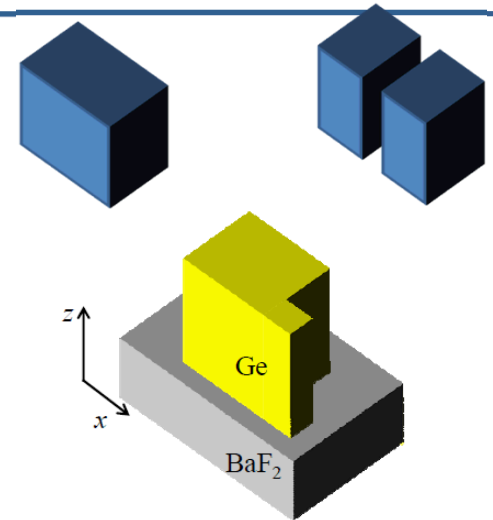
Thickness = 250 nm
Side length $\approx$ 280 nm
Array pitch = 550 nm



Conclusion

- The introduction of perturbations of high symmetry geometries

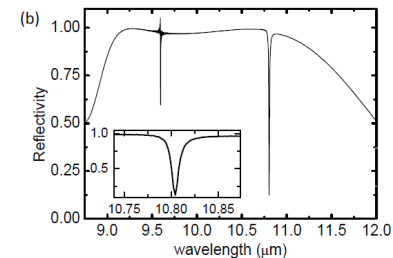
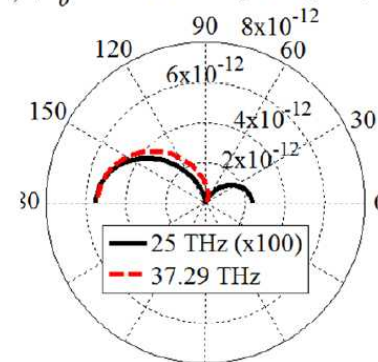
Campione et al., Opt. Express **23**, 2293-2307 (2015)



- Enables directional scattering, Huygens' metasurfaces, and high-quality factor Fano resonances

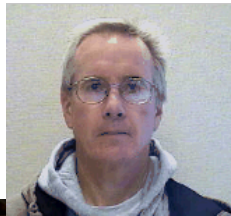
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a) $|E_\theta|^2$ versus θ on xz plane ($\phi = 0$)

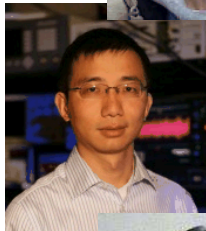


Acknowledgments

- **Michael B. Sinclair**



- **Sheng Liu**



- **Lorena I. Basilio**



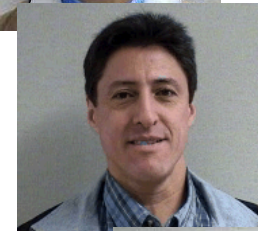
- **Larry K. Warne**



- **Ting S. Luk**



- **Igal Brener**



- **Joel R. Wendt**



- **William L. Langston**



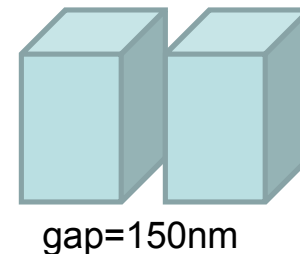
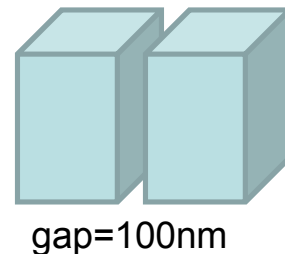
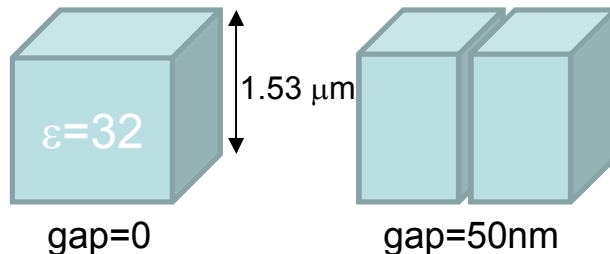
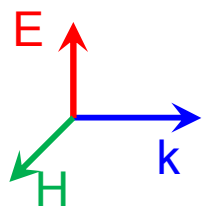
Back-up slides



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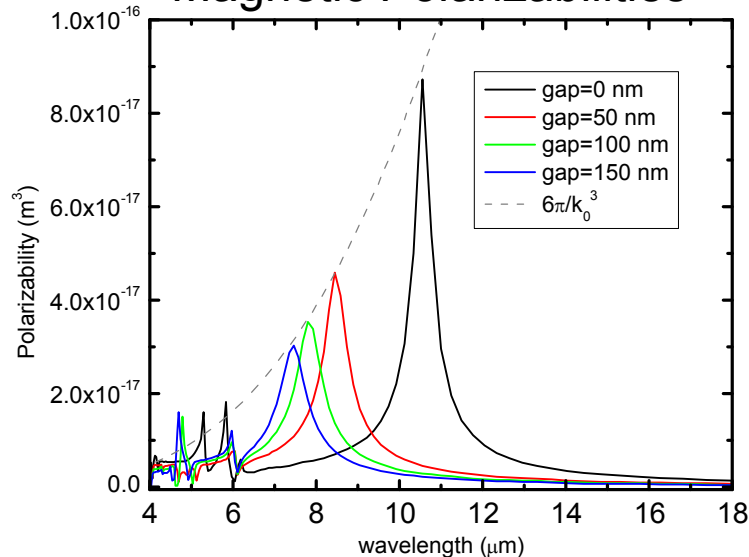
Aligning the dipole resonances: split-cubes



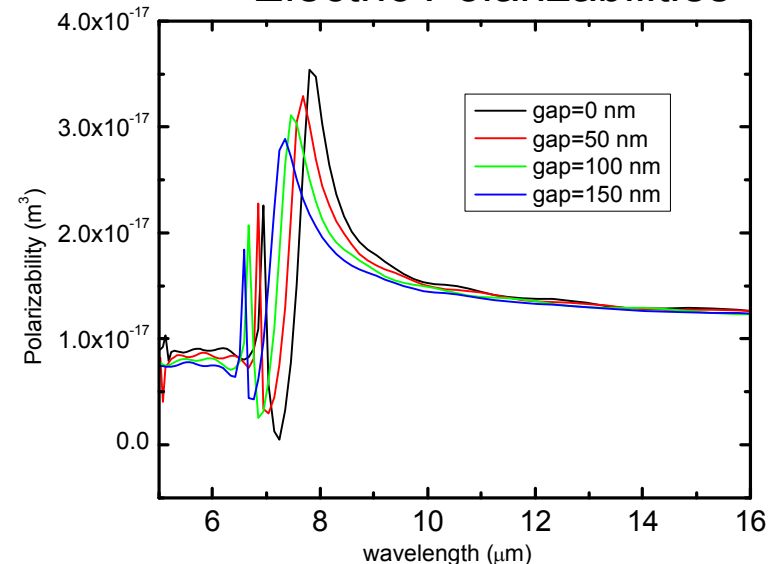
$$\mathbf{m} = \underline{\alpha}_{\text{mm}} \cdot \mathbf{H}_{\text{loc}}$$

$$\mathbf{p} = \underline{\alpha}_{\text{ee}} \cdot \mathbf{E}_{\text{loc}}$$

Magnetic Polarizabilities



Electric Polarizabilities



Warne et al., PIER B **44**, 1-29 (2012); IEEE Trans. Antennas Propagat. **61**, 2130-2141 (2013)

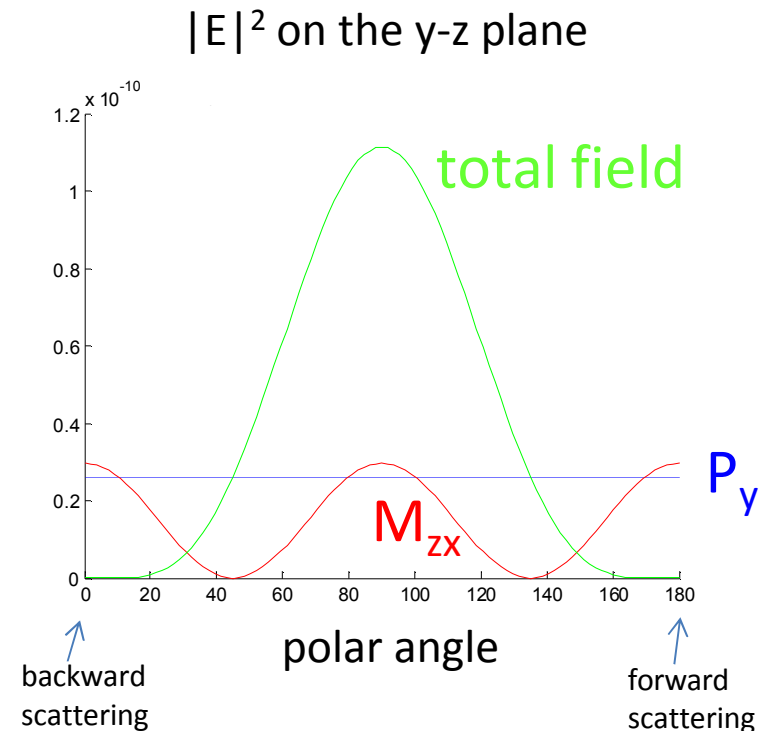
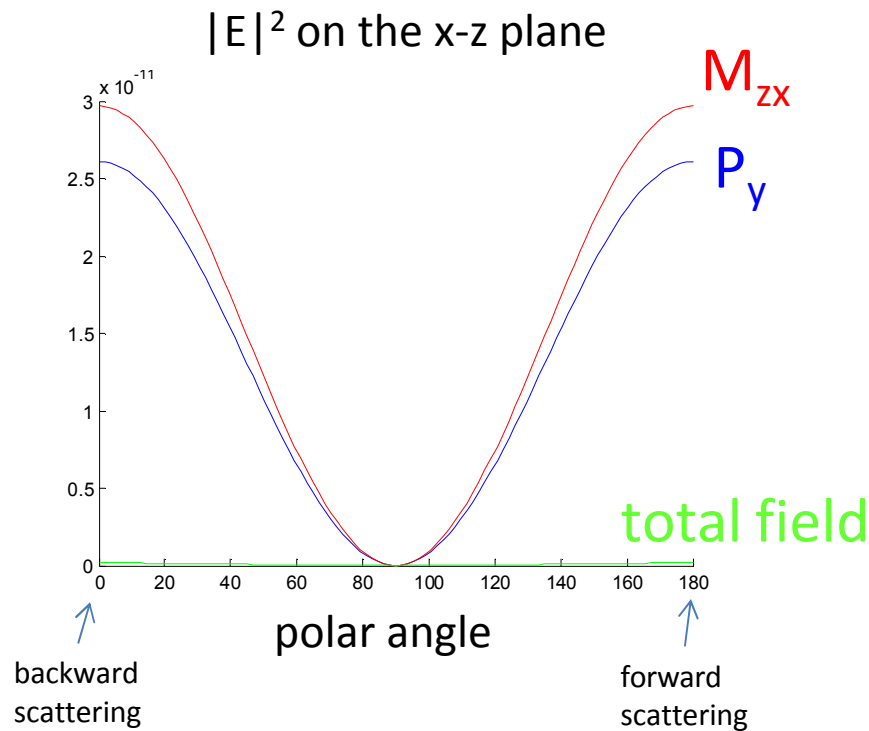
Campione et al., Opt. Express **23**, 2293-2307 (2015)



What about p_y and M_{zx} ?

At Fano resonance:

- both multipoles are excited
- radiated fields cancel in forward and backward directions!!





Array suppression of scattering

$$p = \alpha E^{loc}$$

$$\alpha \sim \frac{1}{\omega^2 - \omega_0^2 + i \frac{k^3}{6\pi}}$$

Radiation damping term

$$E^{loc} = E^{inc} + \beta p$$

Interaction constant

$$\beta = Re(\beta) + i \frac{k^3}{6\pi}$$

Tretyakov and Viitanen
Sipe and Van Kranendonk
Simovski

$$p \left(\frac{1}{\alpha} - i \frac{k^3}{6\pi} - Re(\beta) \right) = E^{inc} \quad \text{but} \quad \frac{1}{\alpha} = Re \left(\frac{1}{\alpha} \right) + i \frac{k^3}{6\pi}$$

$$p \left(Re \left(\frac{1}{\alpha} \right) + \underbrace{i \frac{k^3}{6\pi}}_{\text{radiation term}} - \underbrace{i \frac{k^3}{6\pi}}_{\text{local field term}} - Re(\beta) \right) = E^{inc}$$

$$p = \frac{E^{inc}}{(\omega^2 - \omega_0^2 - Re(\beta))}$$

non-radiating dipole



Multipolar expansion

The total far field can be decomposed to multipolar components as

$$\mathbf{E} = \mathbf{E}_{ED} + \mathbf{E}_{MD} + \mathbf{E}_{EQ} + \mathbf{E}_{MQ} + \mathbf{E}_{EO} + \mathbf{E}_{MO} + \dots$$

$$\mathbf{E}_{ED} = Z_0 \frac{ck^2}{4\pi} \frac{e^{ikr}}{r} \hat{\mathbf{r}} \times \mathbf{p} \times \hat{\mathbf{r}}$$

$$\mathbf{E}_{MD} = -Z_0 \frac{k^2}{4\pi} \frac{e^{ikr}}{r} \hat{\mathbf{r}} \times \mathbf{m}$$

$$\mathbf{E}_{EQ} = -Z_0 \frac{ick^3}{24\pi} \frac{e^{ikr}}{r} \hat{\mathbf{r}} \times \mathbf{Q}_{EQ} \times \hat{\mathbf{r}}$$

$$\mathbf{E}_{MQ} = Z_0 \frac{ik^3}{24\pi} \frac{e^{ikr}}{r} \hat{\mathbf{r}} \times \mathbf{Q}_{MQ}$$

$$\mathbf{E}_{EO} = -Z_0 \frac{ck^4}{24\pi} \frac{e^{ikr}}{r} \hat{\mathbf{r}} \times \mathbf{O}_{EO} \times \hat{\mathbf{r}}$$

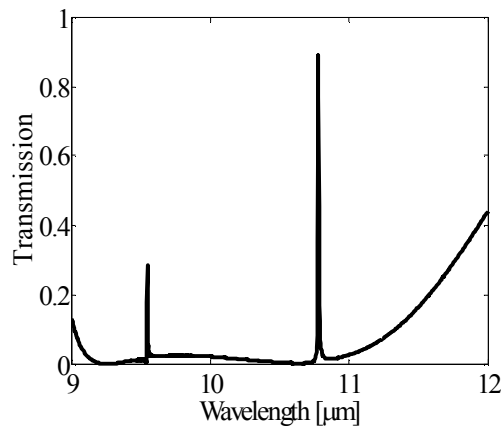
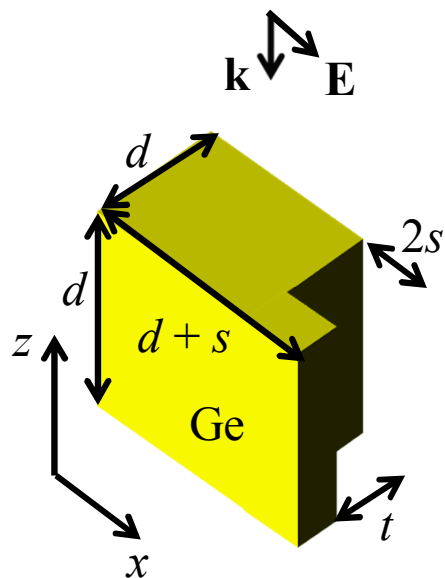
$$\mathbf{E}_{MO} = Z_0 \frac{k^4}{24\pi} \frac{e^{ikr}}{r} \hat{\mathbf{r}} \times \mathbf{O}_{MO}$$

$$Weight = \frac{\int_0^{2\pi} \int_0^\pi \left(E_\theta^{FF} E_\theta^{multipole} + E_\phi^{FF} E_\phi^{multipole} \right) \sin \theta d\theta d\phi}{Normalization\ factor * Coefficient\ in\ front\ of\ multipole\ above}$$

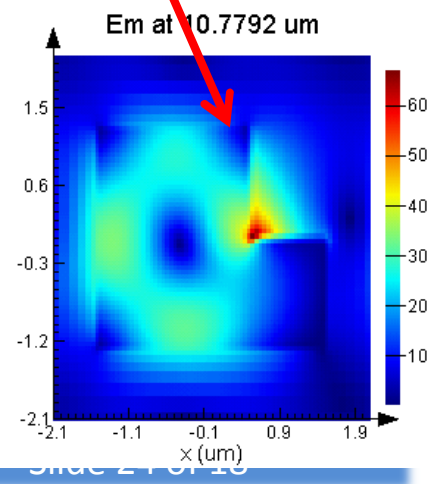
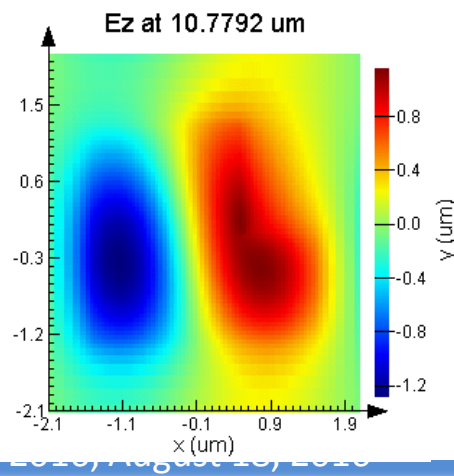
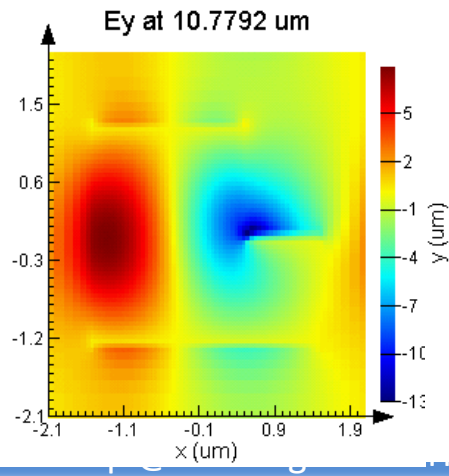
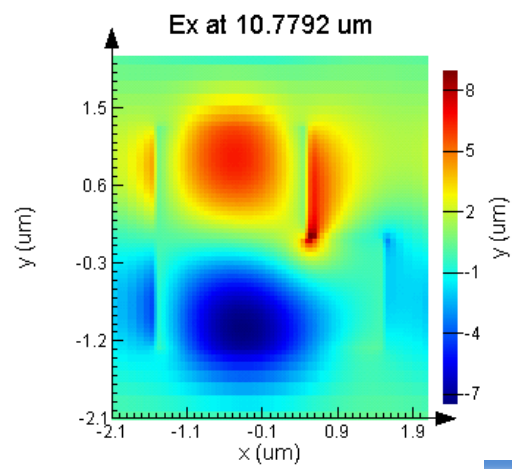
$$P_i = \frac{1}{2Z_0} |E_i|^2 * Normalization\ factor$$

Expansion up to quadrupolar terms will be enough...

Top-L-cube – Electric field maps at Fano resonance 10.77 μm x-y plane

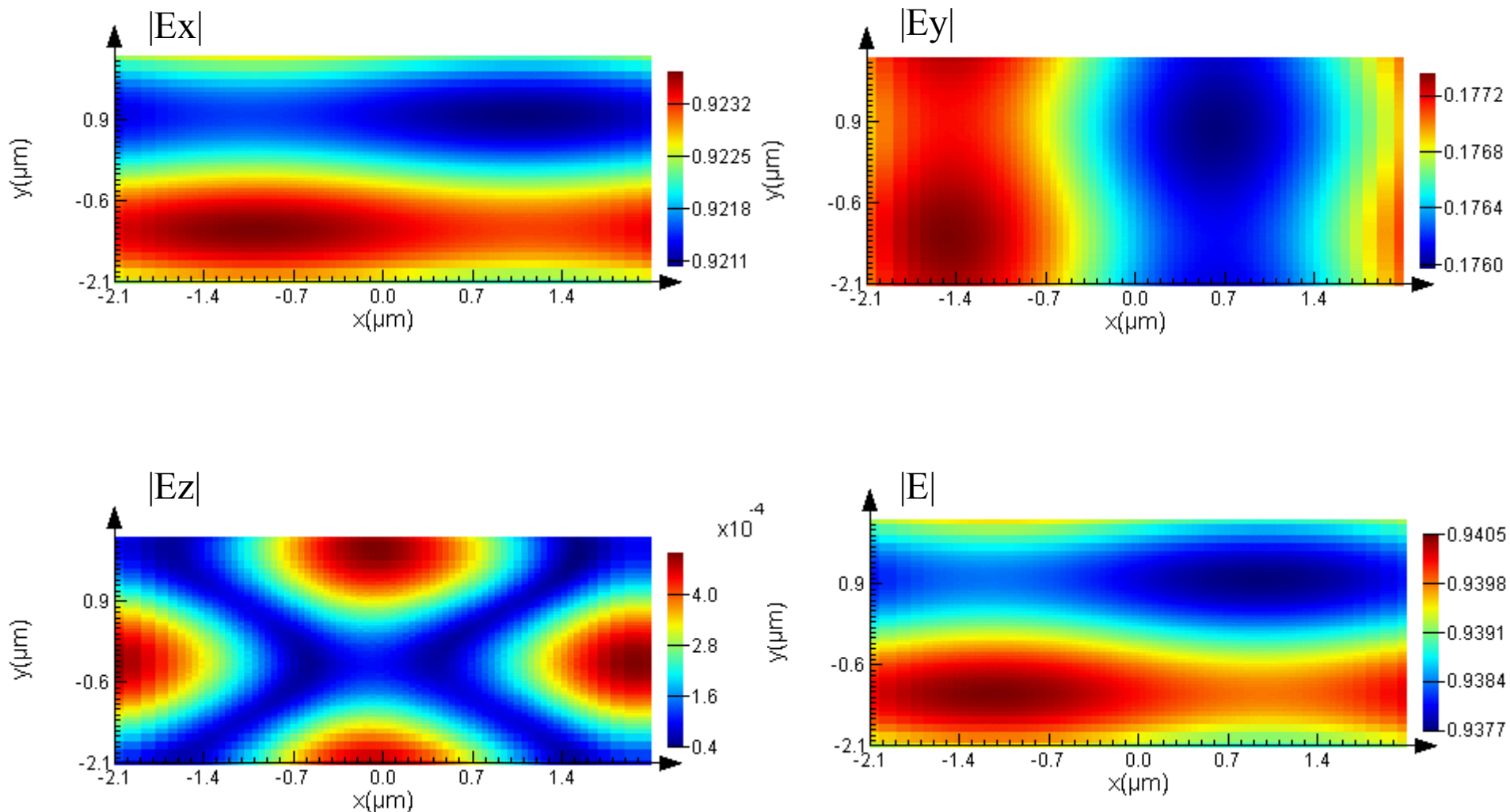


Magnetic dipole along z





Top-L-shape – Polarization at Fano resonance – E field at T monitor at 27.8314THz



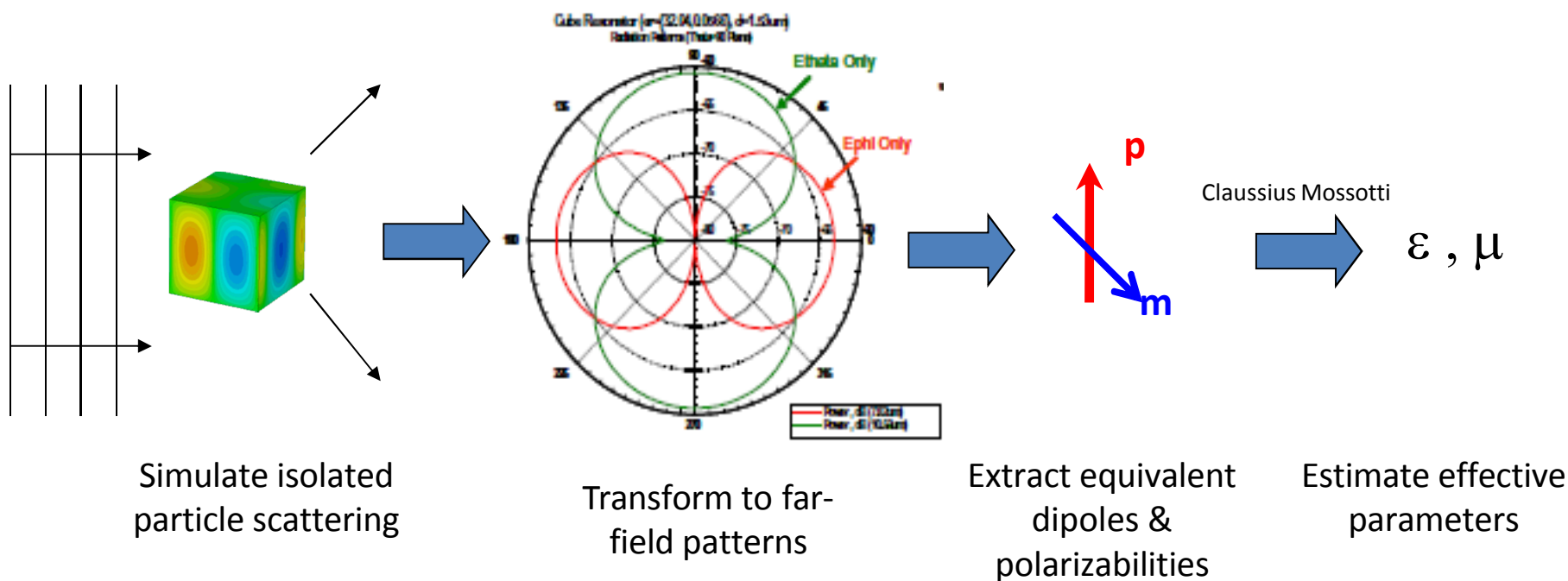


Extracting Resonator Polarizabilities: Isolated Particle Simulation and Moments (IPSaM)



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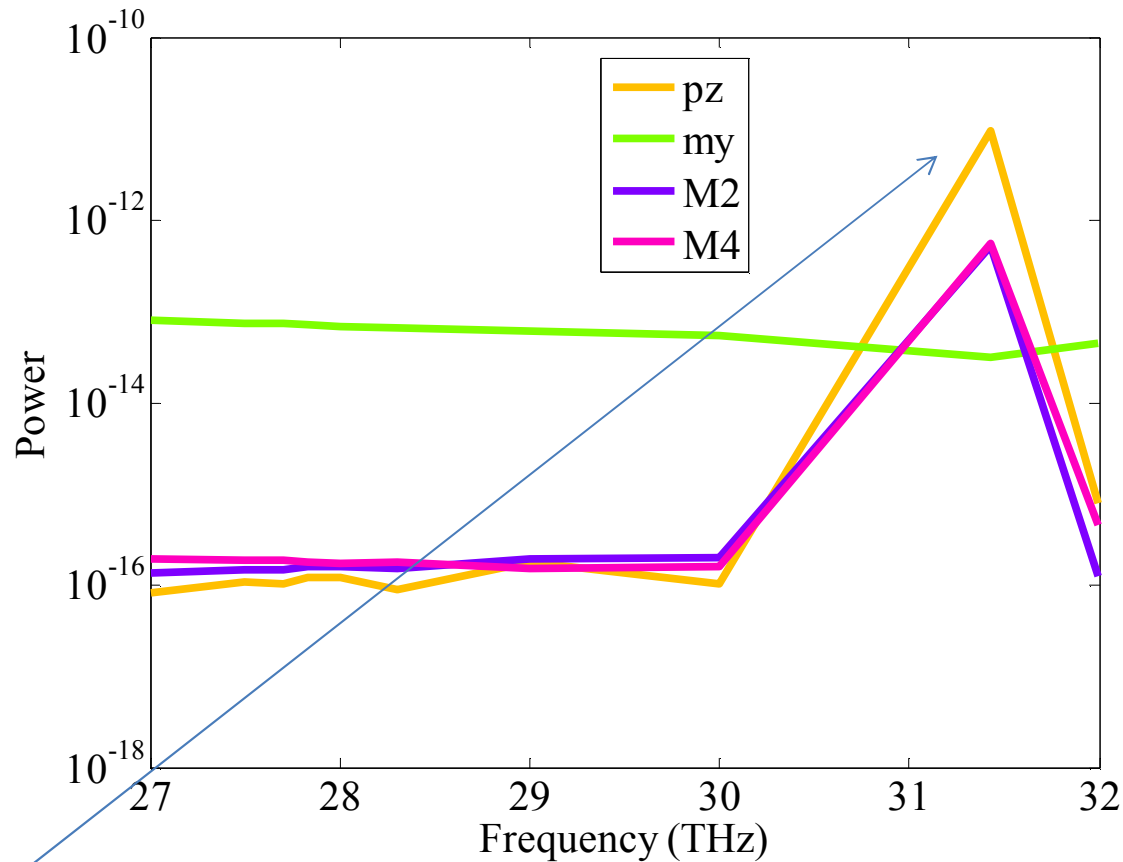
L. I. Bilio, et al., IEEE Antennas and Wireless Propagation Letters, 10, 1567, 2011
Rockstuhl, et al., PRB 83, 245119 (2011)



- fast, efficient, compatible with optimization
- general shapes: SRRs, dipoles, metals, DRs

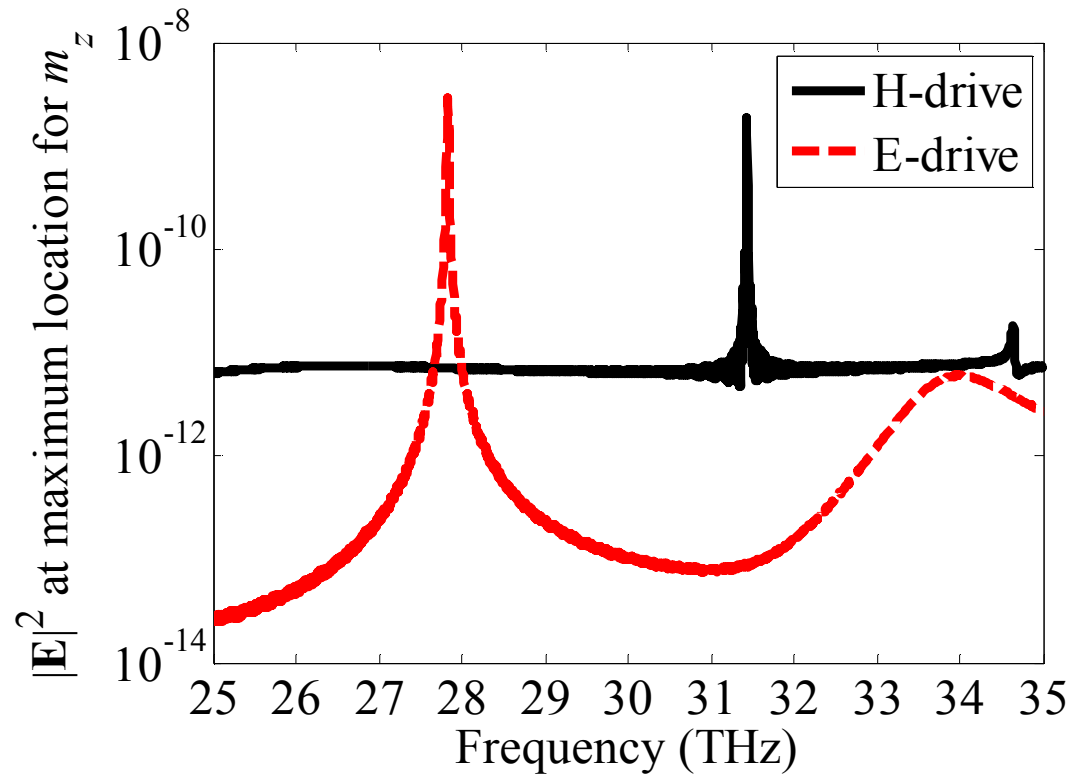


Multipole decomposition H-Drive 2



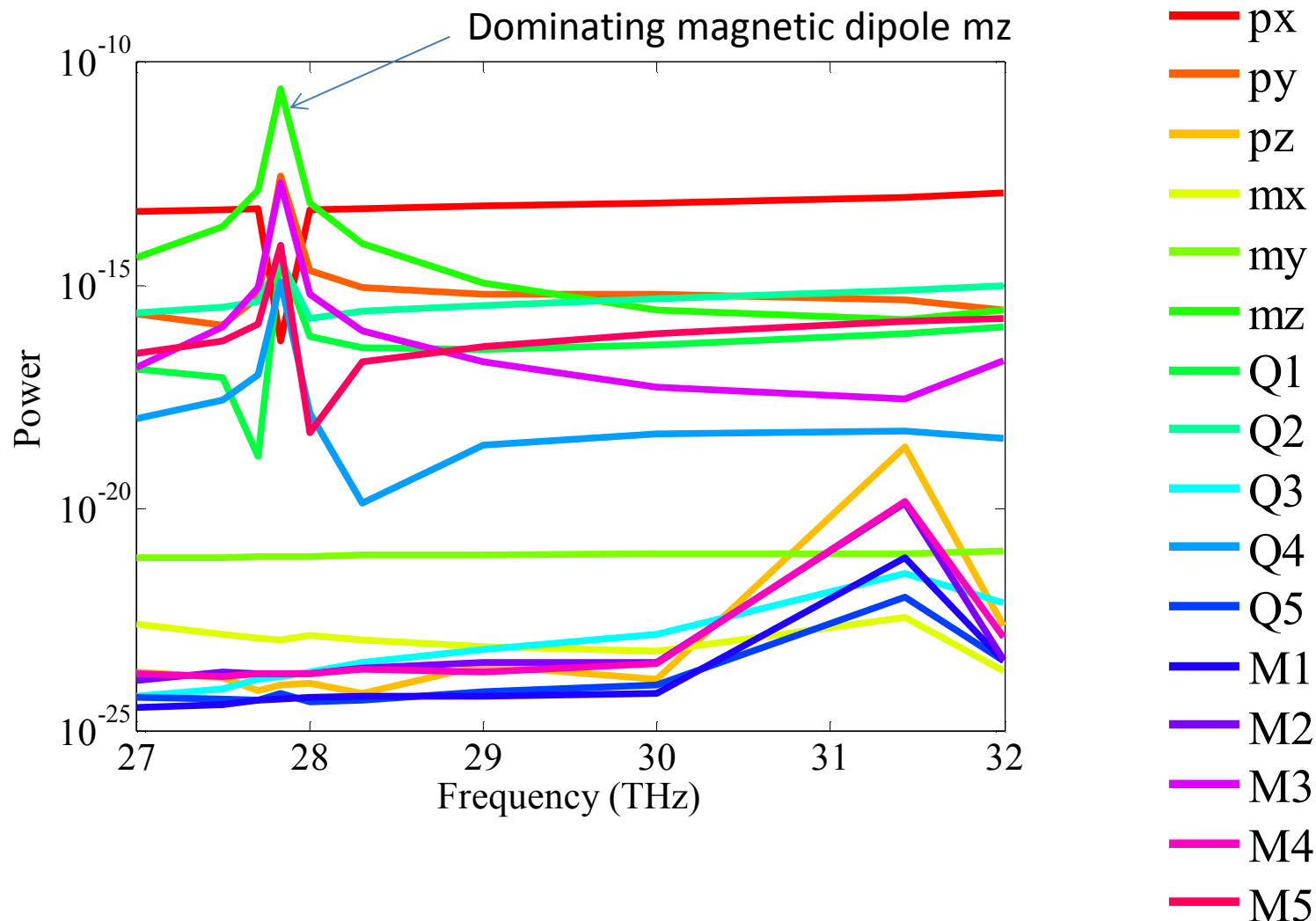
- Dominating electric dipole pz

E-Drive and H-Drive incidence

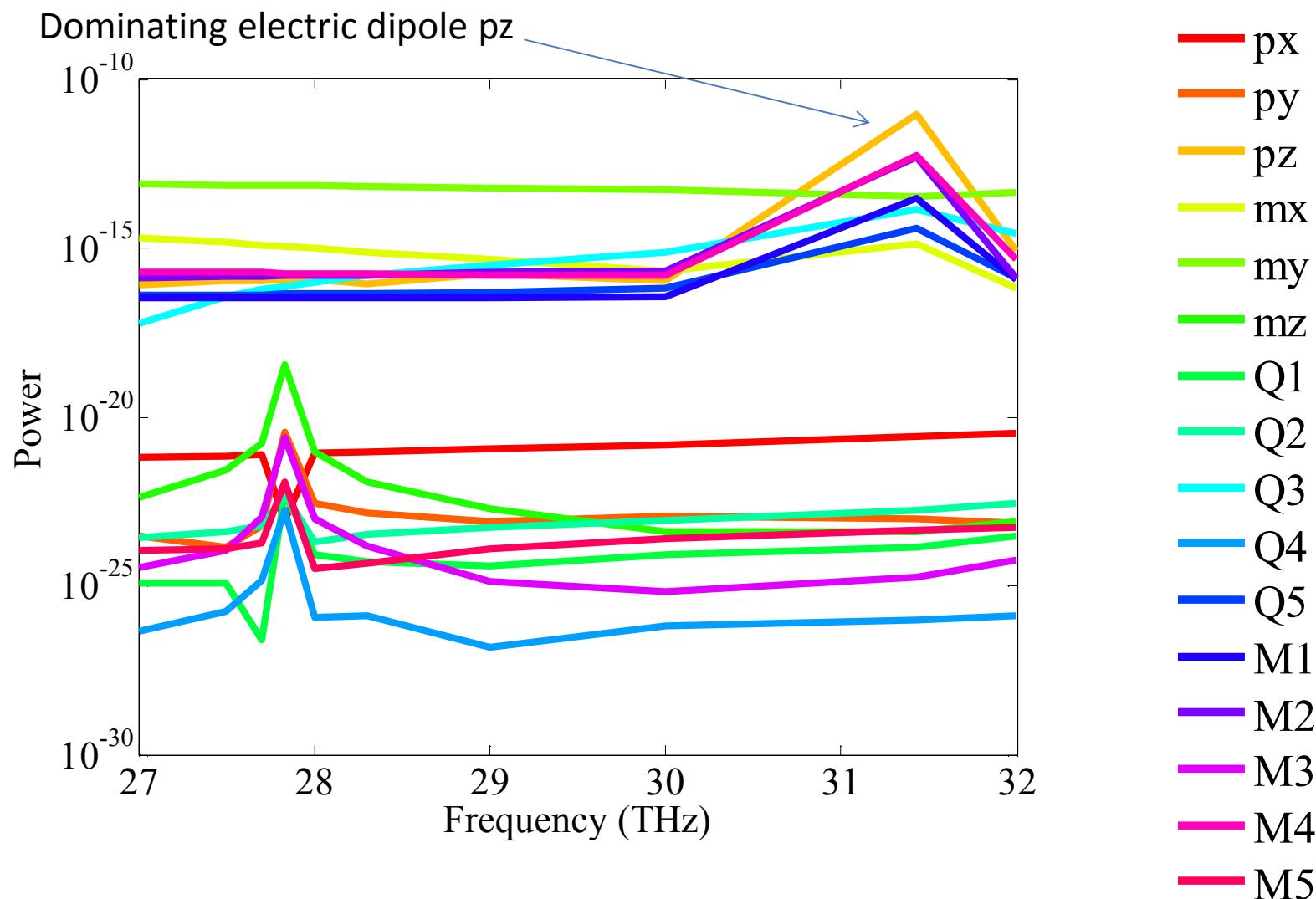


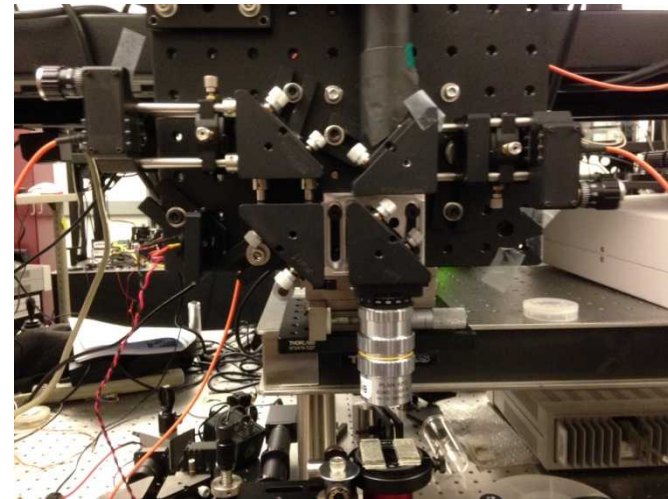
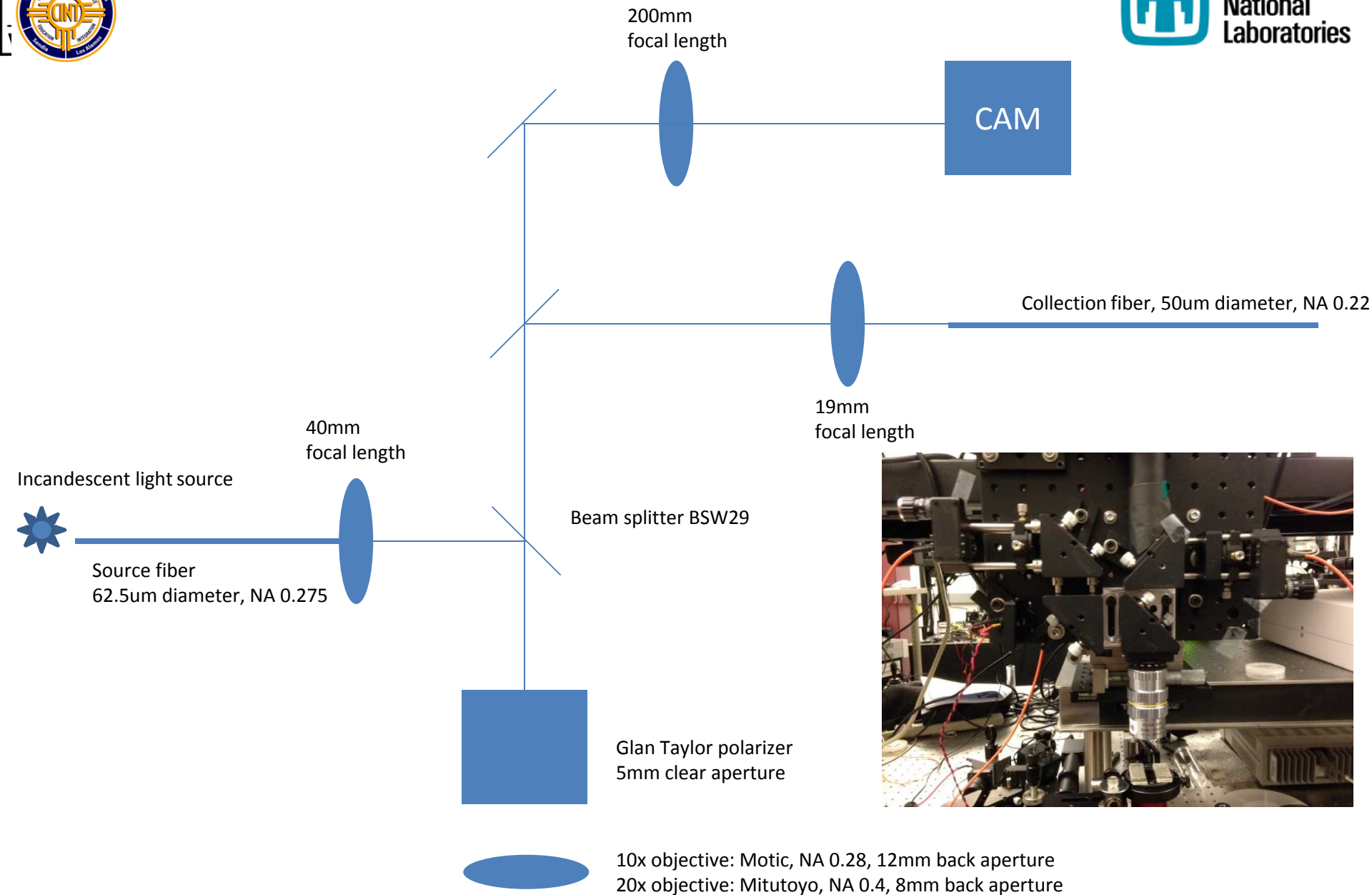
- Electric drive shows signature of the Fano resonance at 27.8314 THz only
- Magnetic drive shows signature of the Fano resonance at 31.43 THz only
- This agrees with our predictions so far

Multipole decomposition E-Drive 1



Multipole decomposition H-Drive 1

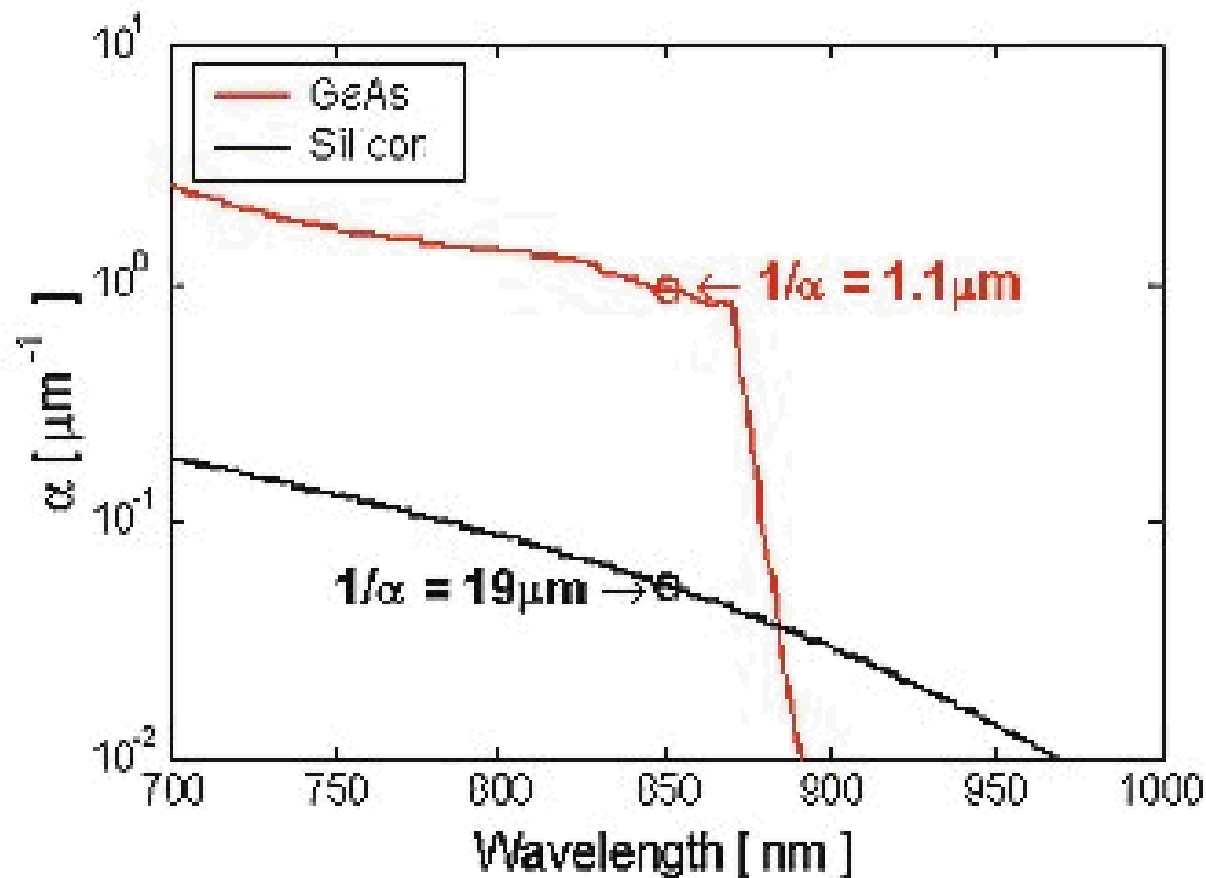






Comparison of Si and GaAs absorption

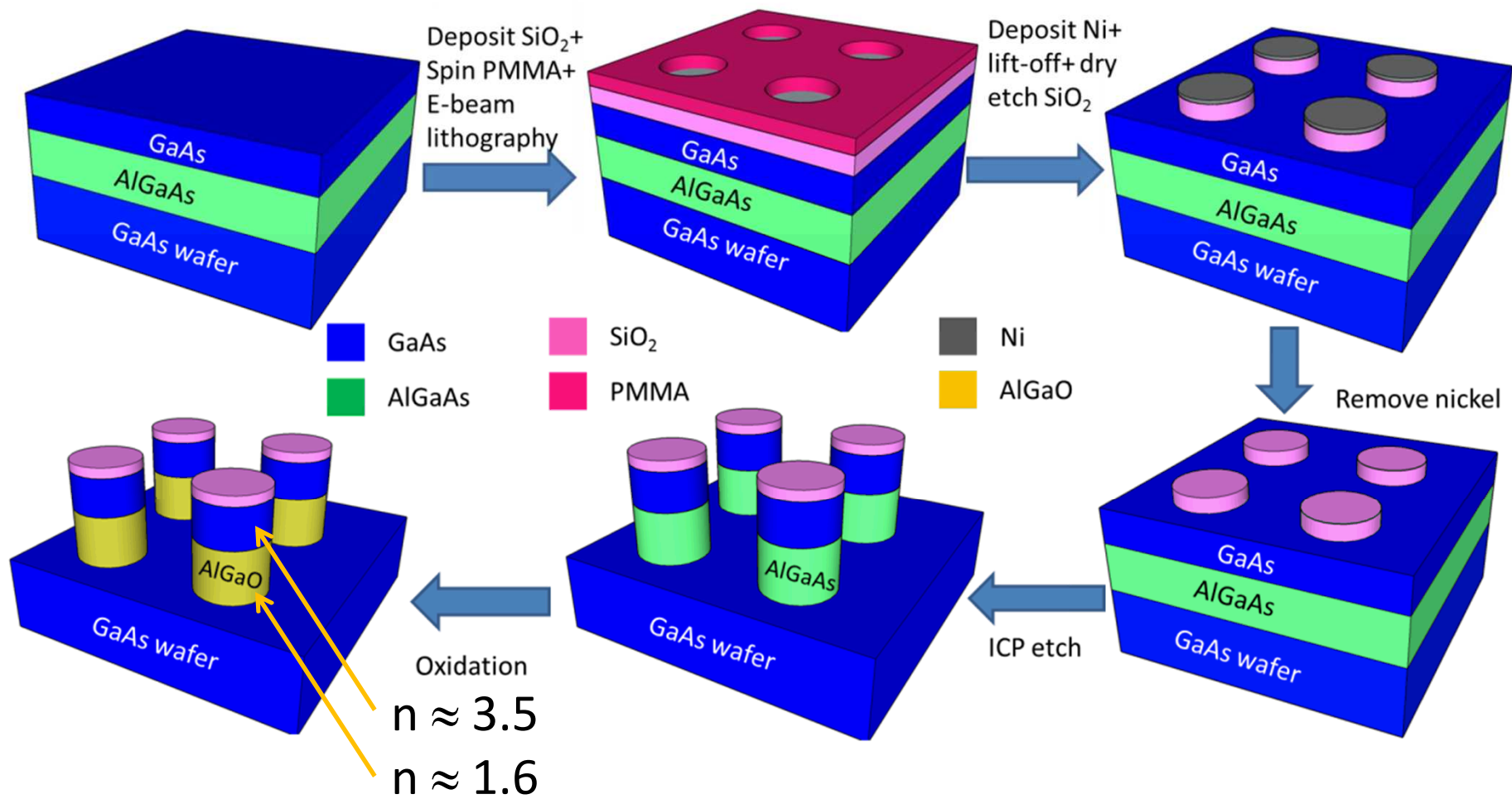
- Extremely low loss below bandgap
- Crystalline





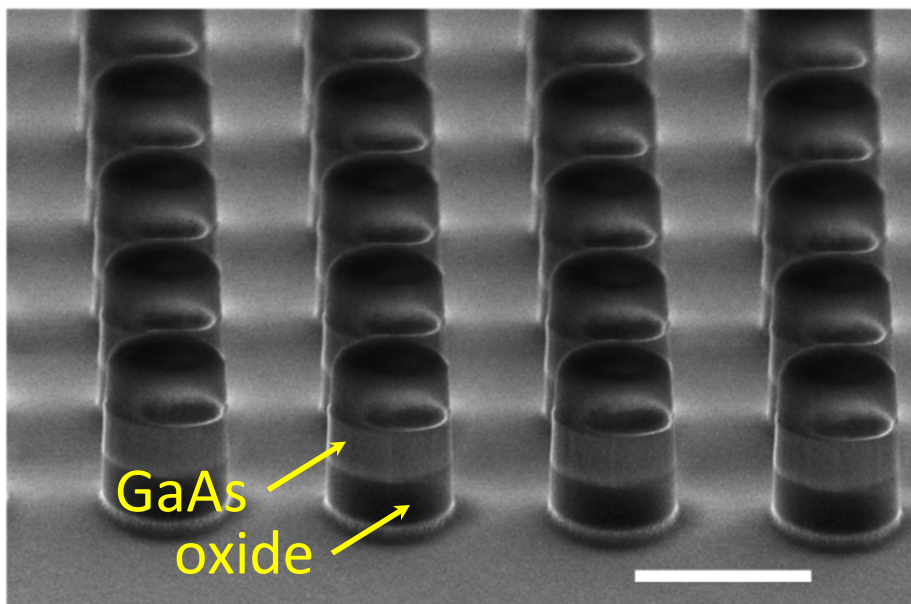
DRs are now possible in III-V semiconductors

New process for (Al)GaAs resonators

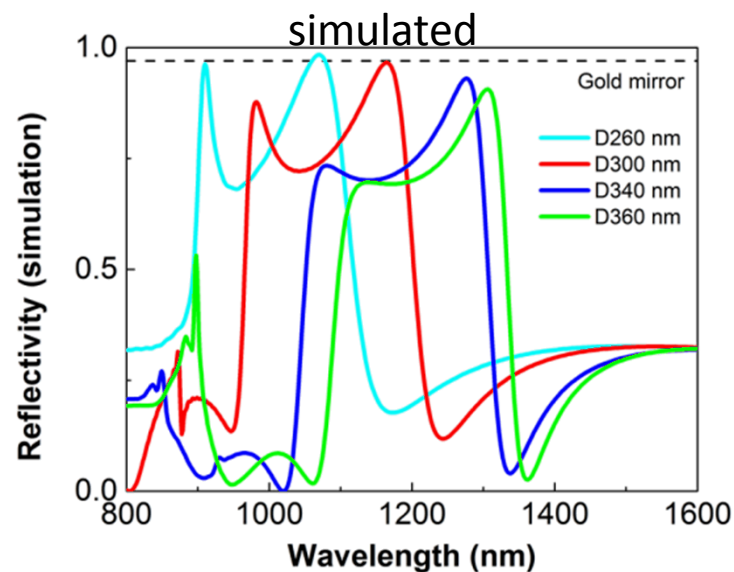
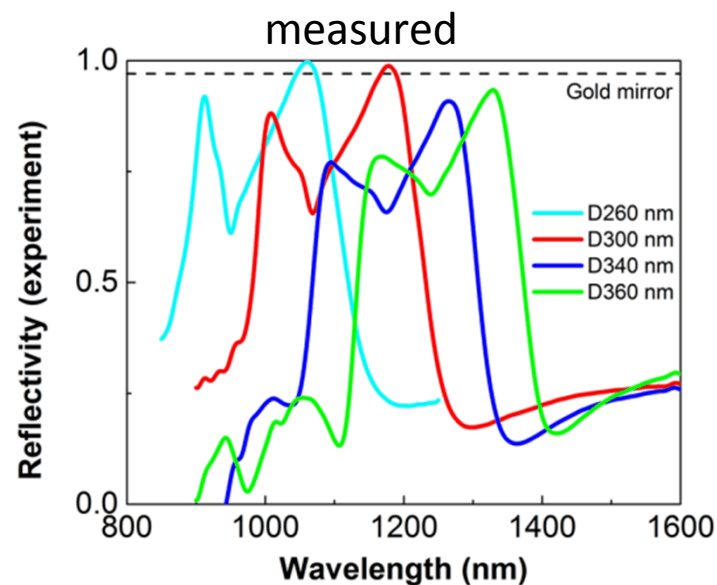


GaAs Cylindrical Resonators

- height = 300 nm
- diameter varies near 300 nm

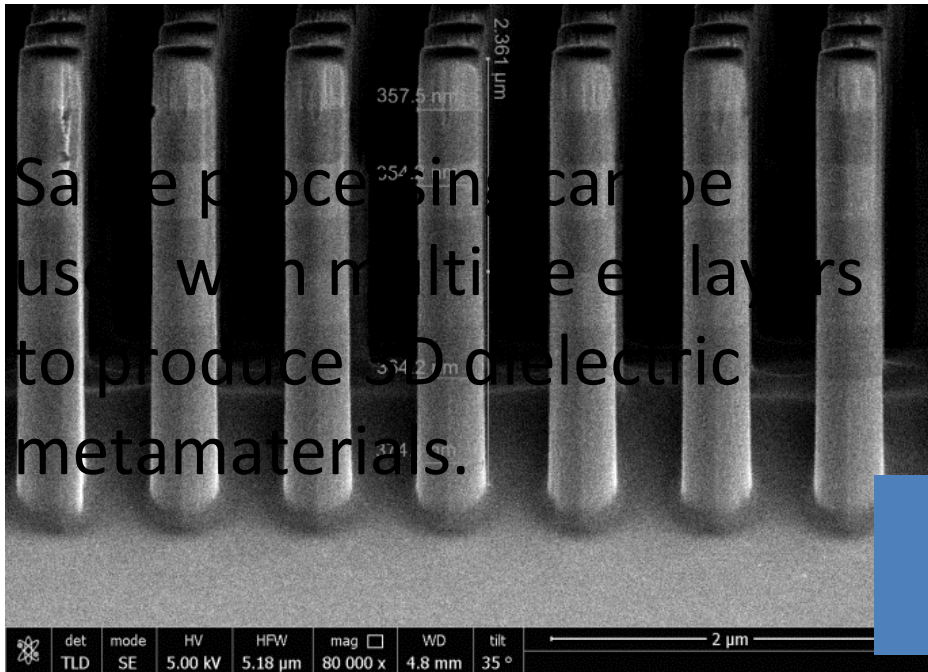


reflectivity exceeds gold at several wavelengths





Multilayer III-V Dielectric Metamaterials

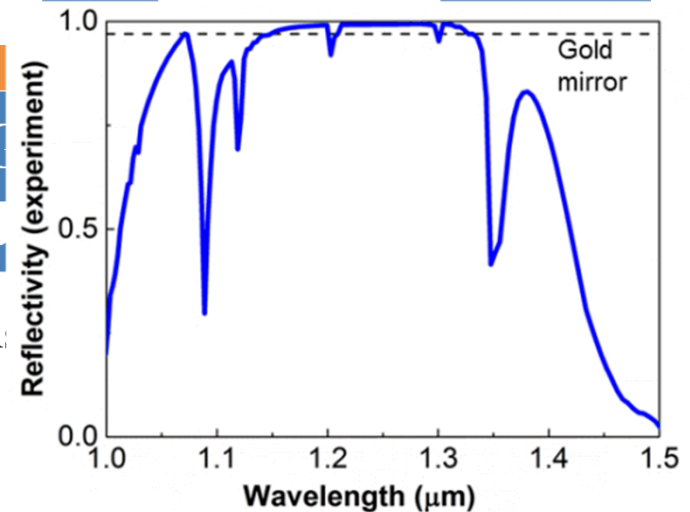
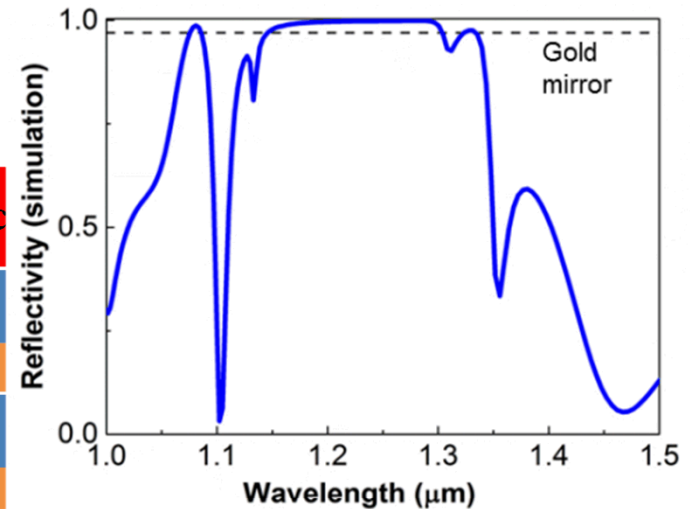


Same processing can be used with multiple layers to produce 3D dielectric metamaterials.

Etc

Su

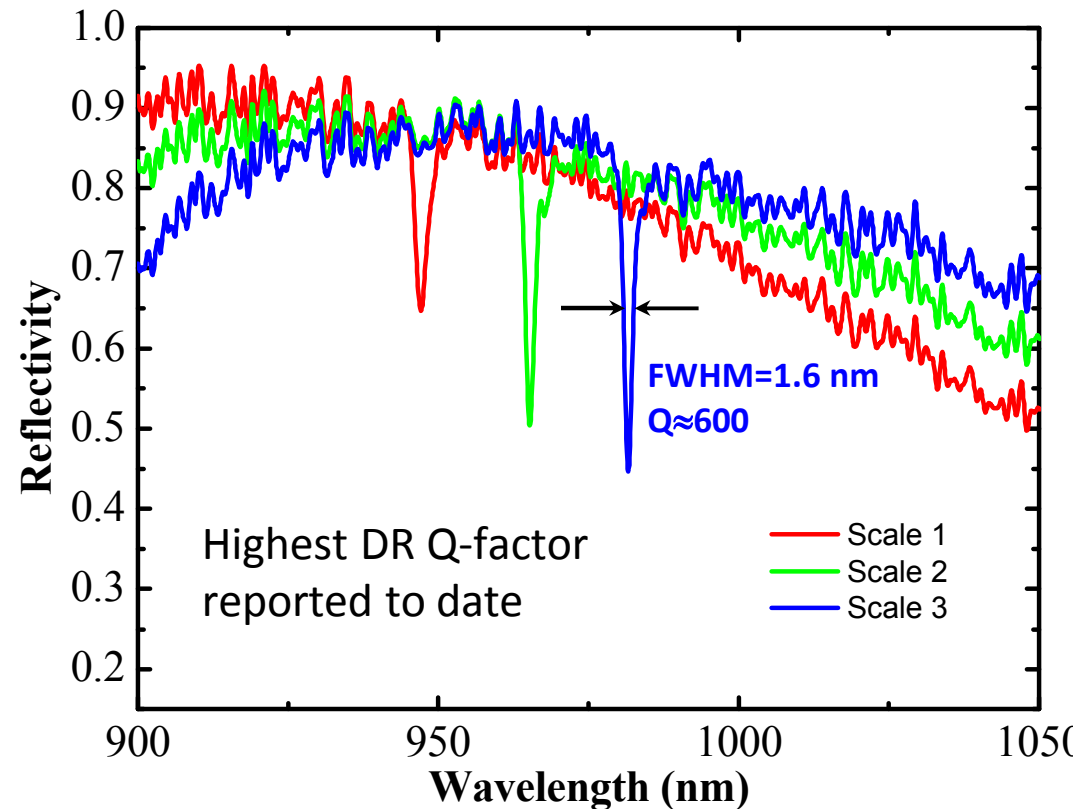
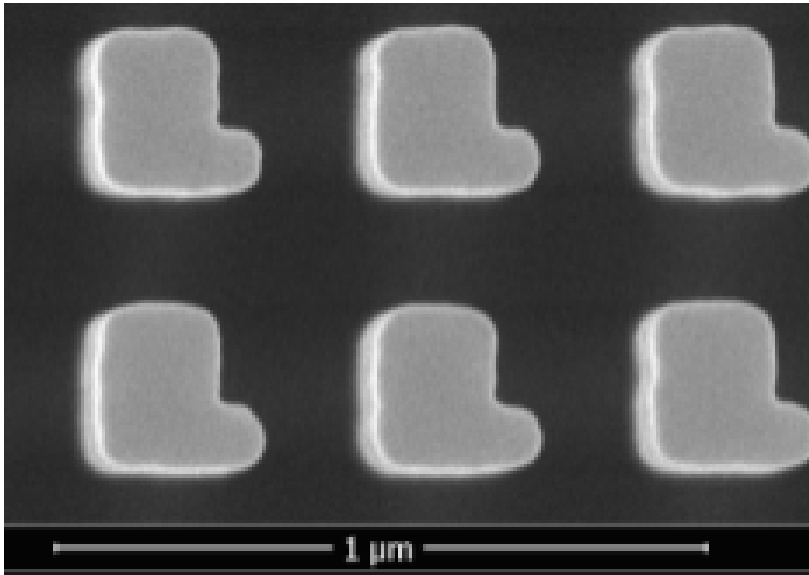
GaA



Broad spectral bands of near perfect reflectivity!

GaAs Fano Resonators: $Q \approx 600$!

SEM of GaAs Fano resonators



- GaAs is direct bandgap $\rightarrow$ lower absorption losses
- GaAs has a large $\chi^{(2)}$ $\rightarrow$ nonlinear devices (SHG, down-conversion, etc.)
- Can incorporate InGaAs quantum wells for gain and photon detection