

# Waveform Correlation Detection: Putting Theory into Practice

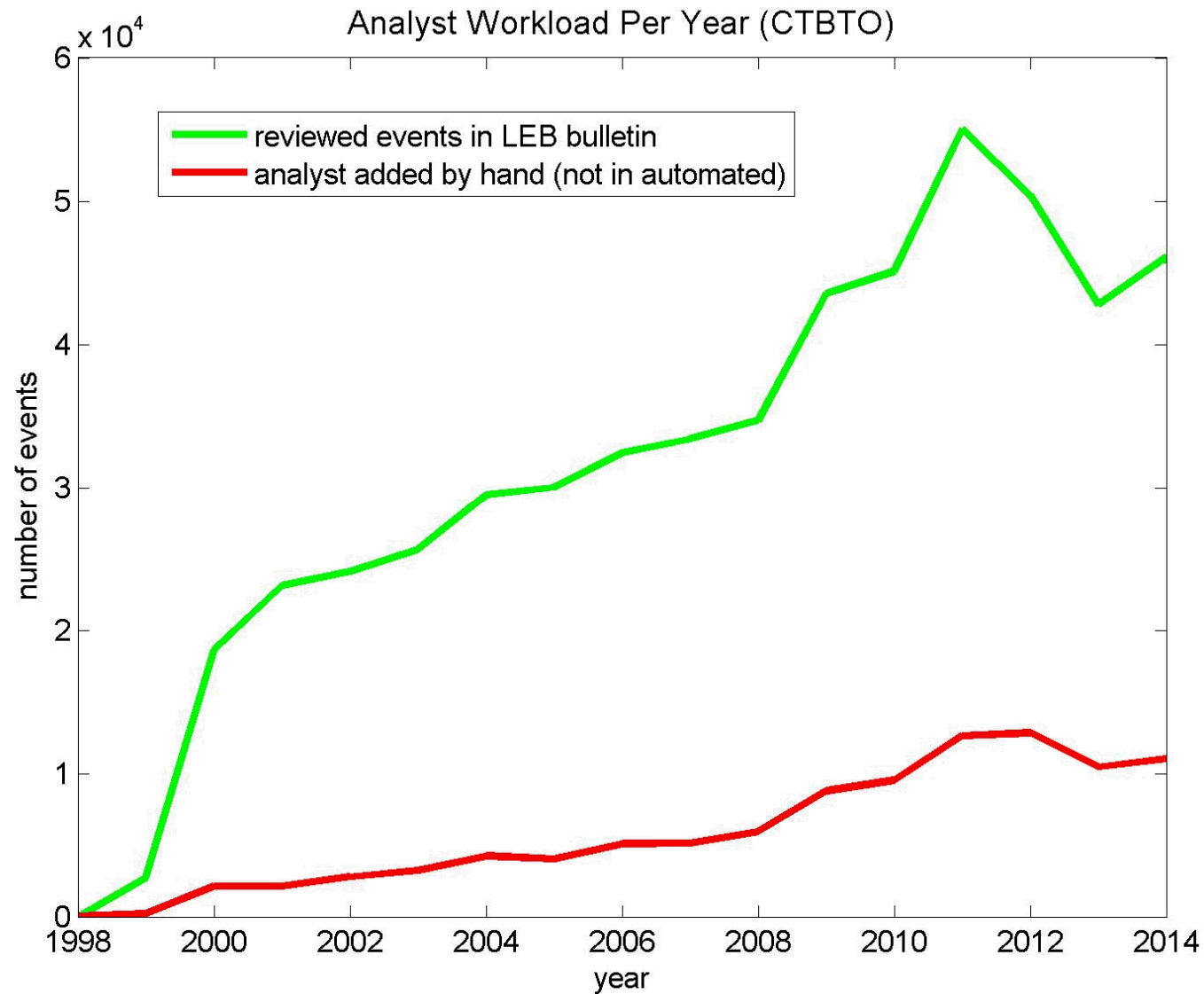
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Sandia National Laboratories

Paul Richards, David Schaff

Lamont Doherty Earth Observatory

# Motivation



# Benefits

## **Benefits of Waveform Correlation**

- Only need **1 station**
  - Better detection in noise (**~1 Mag unit lower**)
  - Initial **location** and **magnitude** values
  - More consistent phase picks (leads to more **accurate relative locations**)
  - **Real time tracking** of repeating events
- 
- Use these benefits to improve use of **analyst resources**

# Objective

Use waveform correlation's advantages in detecting repeated seismicity to improve monitoring efficiency...

While improving detection capability, and location and magnitude estimates.

## Questions we focused on

How many events would global waveform correlation detection provide to the monitoring agencies?

- Events in current bulletins, low magnitude events

How will large-scale waveform correlation detection research be accomplished?

- Process *continuous* waveform data across a network
- Developed computational infrastructure to operate at research speed

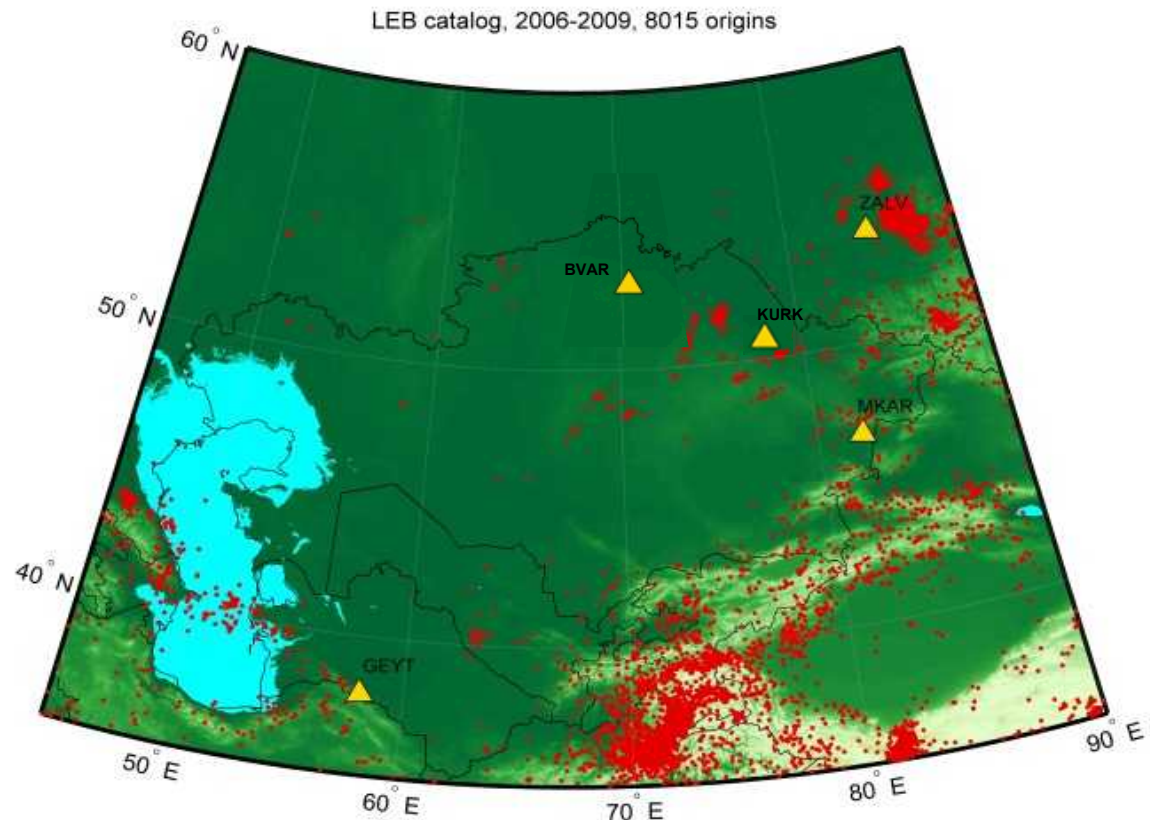
How would an automated system work?

- Need automated processes for selecting templates, setting thresholds, validating results.
- Practical, yet robust.

- **Template approaches – selecting templates, setting thresholds**
- Validating detections
- Our computational infrastructure
- Datasets and results
  - Central Asia – broad-scale monitoring
  - Wenchuan – replicating local performance with regional stations

# Scenario #1

- Monitoring a region
- Want templates that span it
- One station



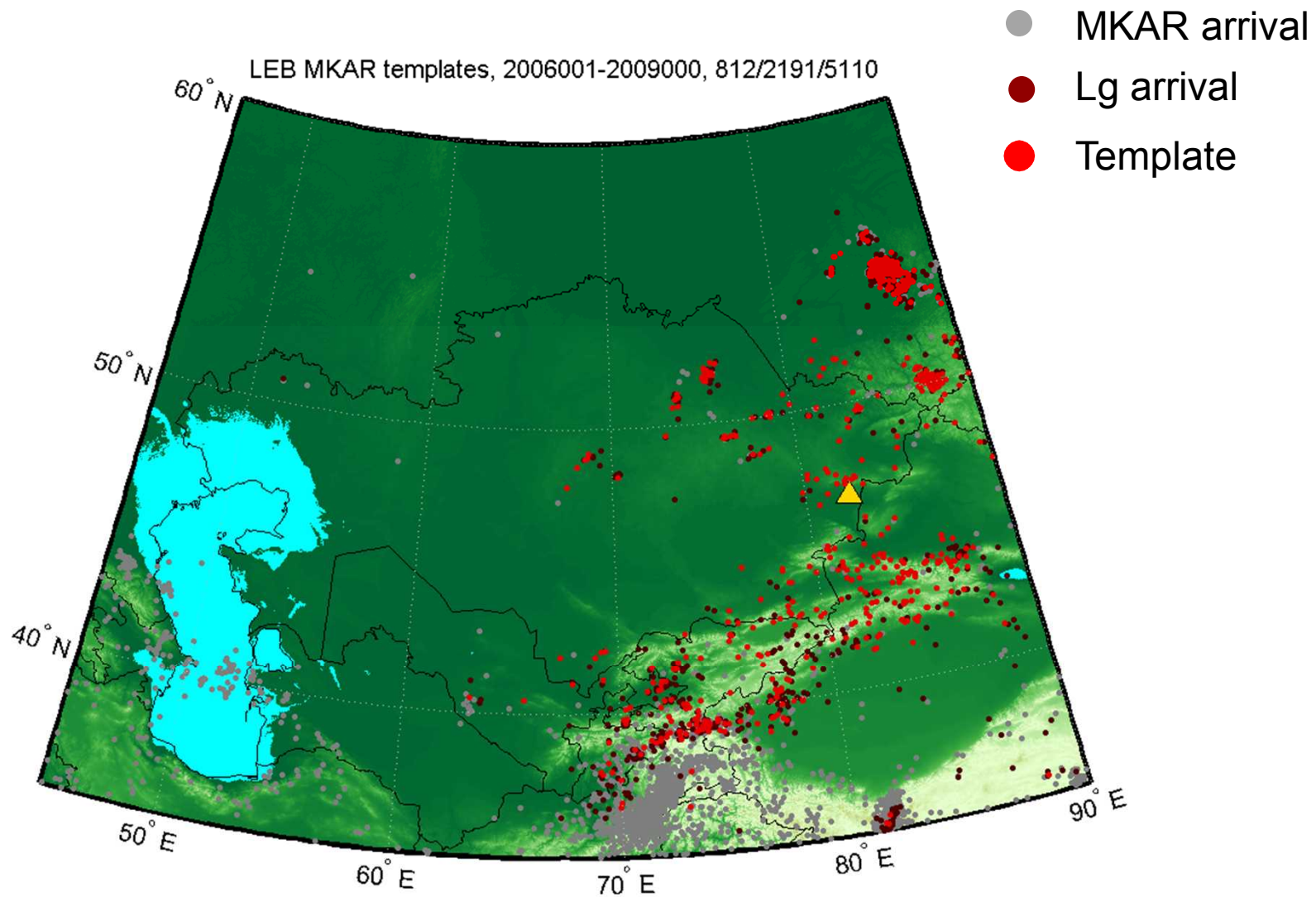
Slinkard, Megan, David Schaff, Natalya Mikhailova, Stephen Heck, Christopher Young, and Paul G. Richards. "Multistation validation of waveform correlation techniques as applied to broad regional monitoring." *Bulletin of the Seismological Society of America* 104, no. 6 (2014): 2768-2781.

# Template selection

- Template options:
  - Use historical archive
  - Add events in (near) real time
- For this dataset:
  - Templates from LEB events in 3 year period (2006-2008)
  - Used Lg arrivals
  - Clustered template candidates to get one representative waveform for each mine, etc

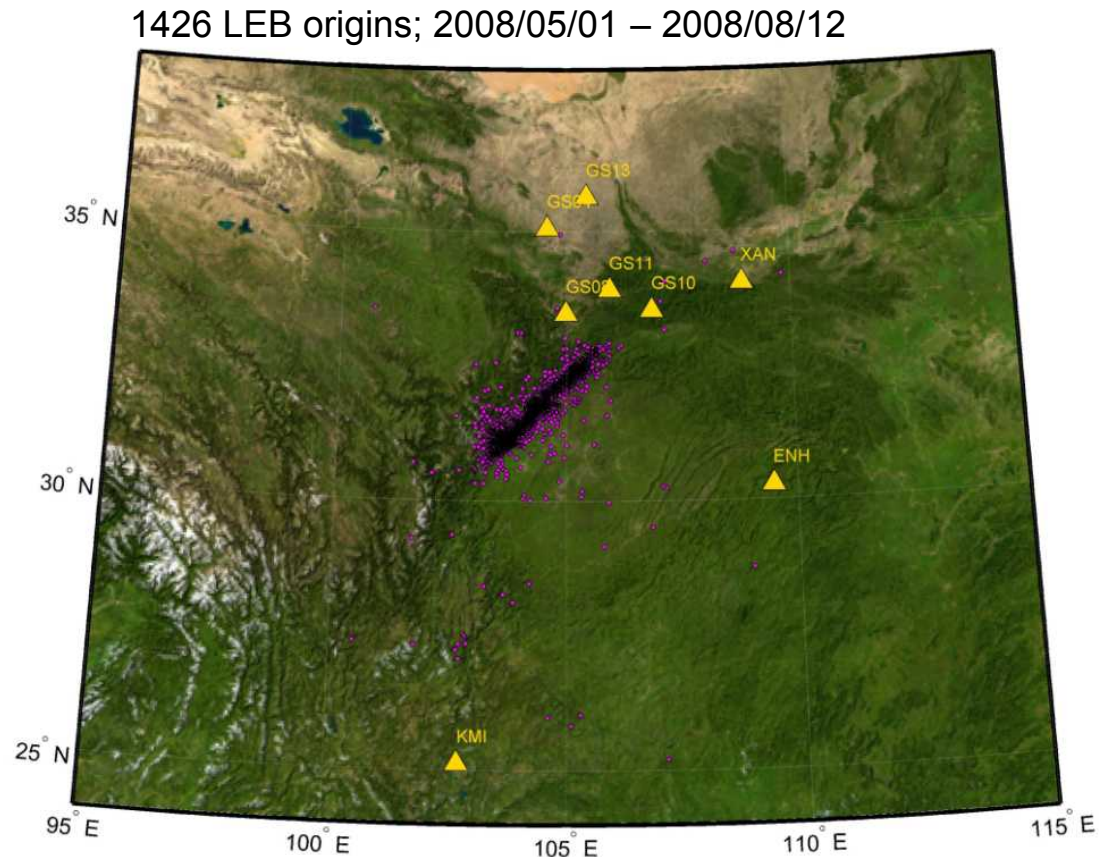


# Template selection



## Scenario #2

- Focused study of a region
- Stations used are not in IMS network

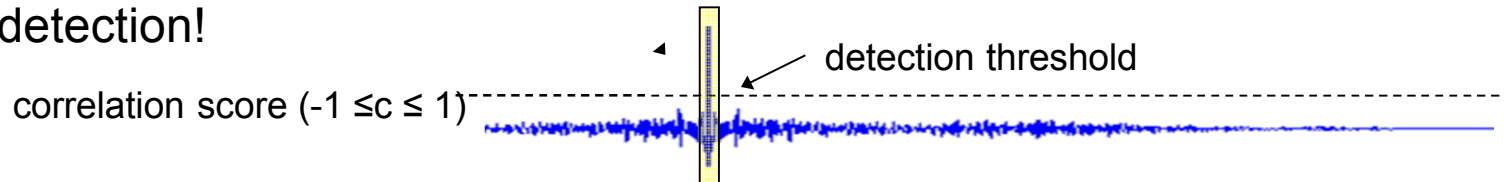


# Template selection

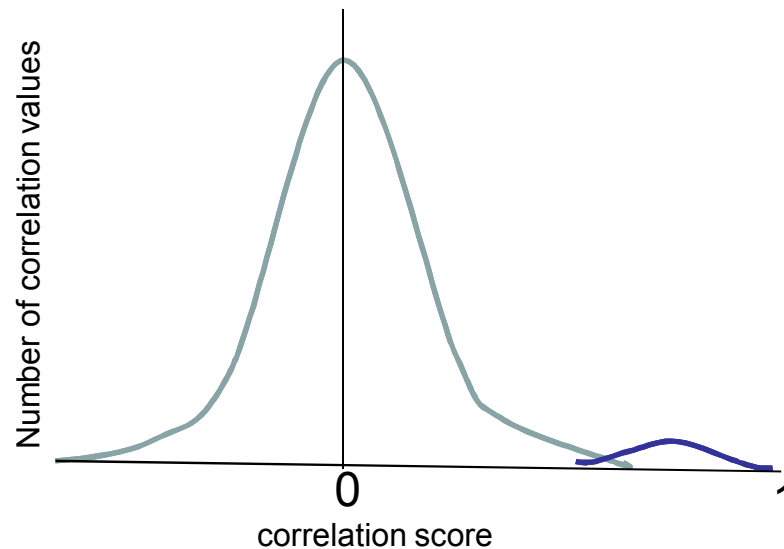
- For this dataset:
  - Templates from all LEB events in 3 month period
  - Because the LEB was not based on any of the stations we processed, we had *no arrival pick information*
  - Used expected Lg arrivals
  - Did no screening or clustering on templates
  - Assumed that each event had a useful template at each station, and, that our threshold setting method would minimize detections from poor templates

# Setting Template Correlation Thresholds

- Want a robust, automated, method, based on desired probability of false detection!



- Distribution of correlation values can be thought of as the sum of 2 distributions: Noise + Events



- Knowing distribution of the contribution from noise windows only would allow us to figure out how many false detections to expect at a given correlation threshold.

# Example

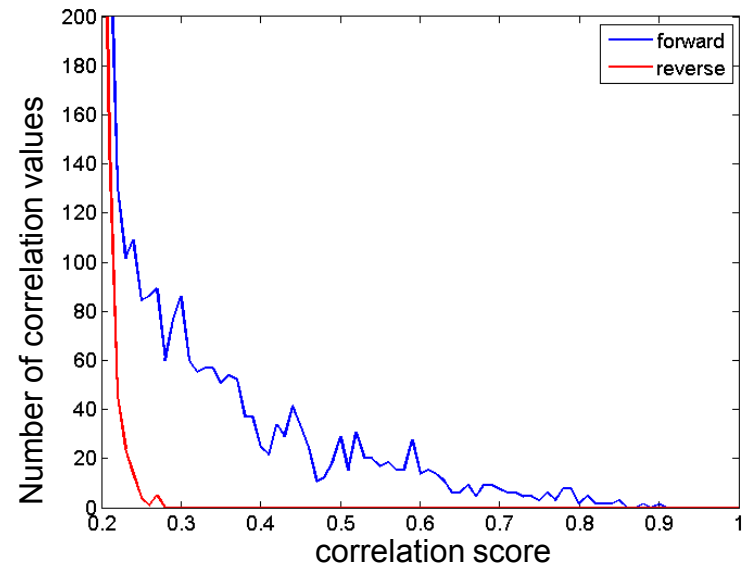
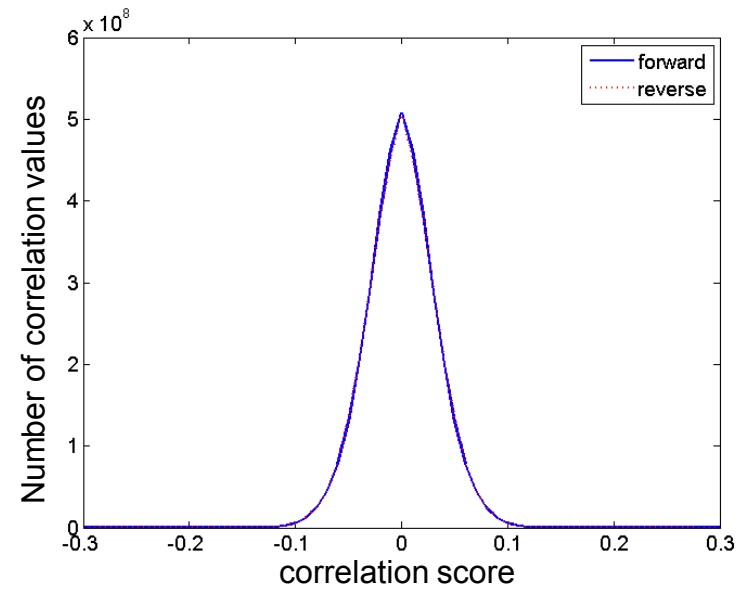
Orid: 3548148 Sensor: MK01 Channel: SHZ (1-10)

Forward  
template

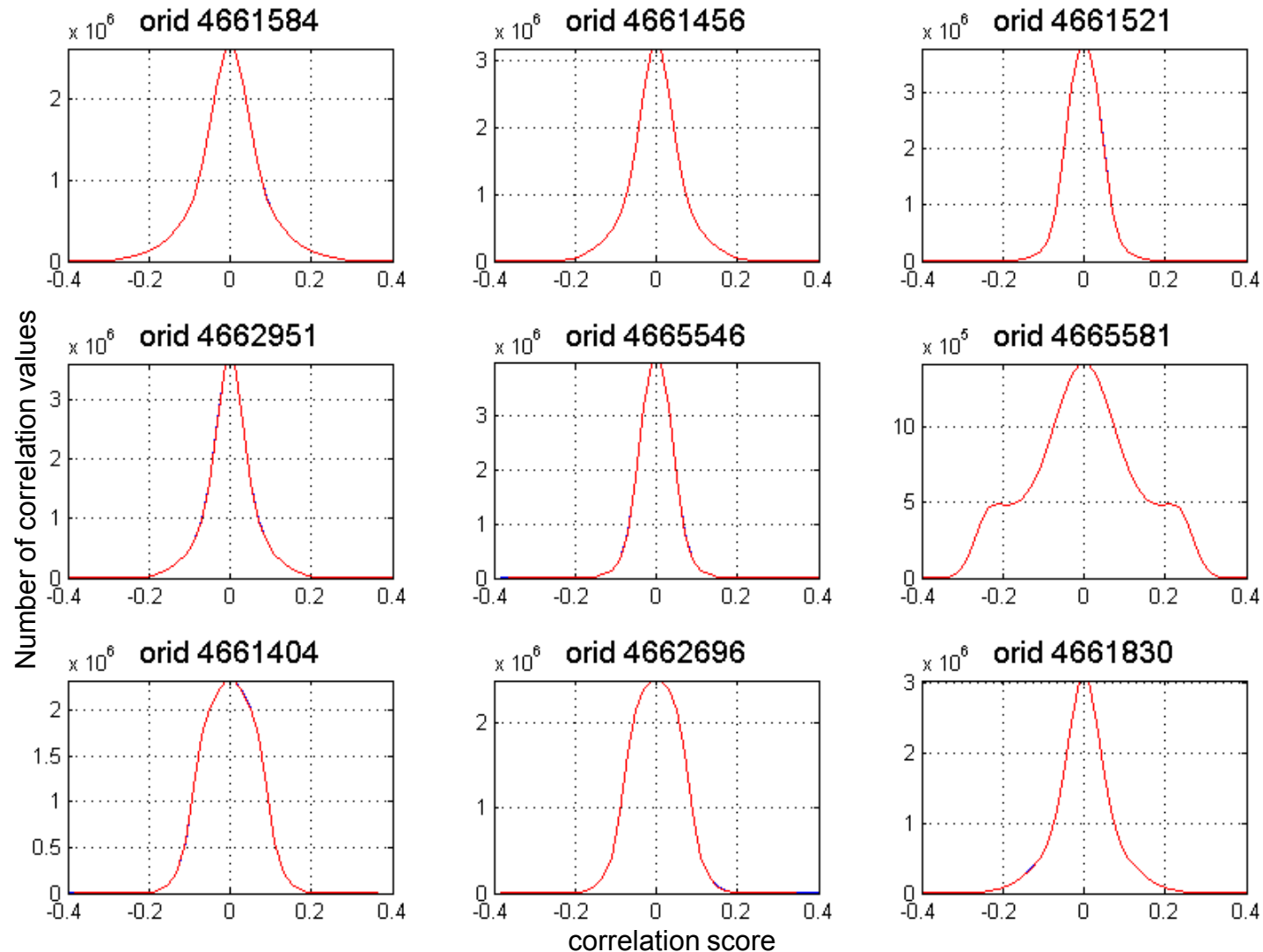
Lg

Reverse  
template

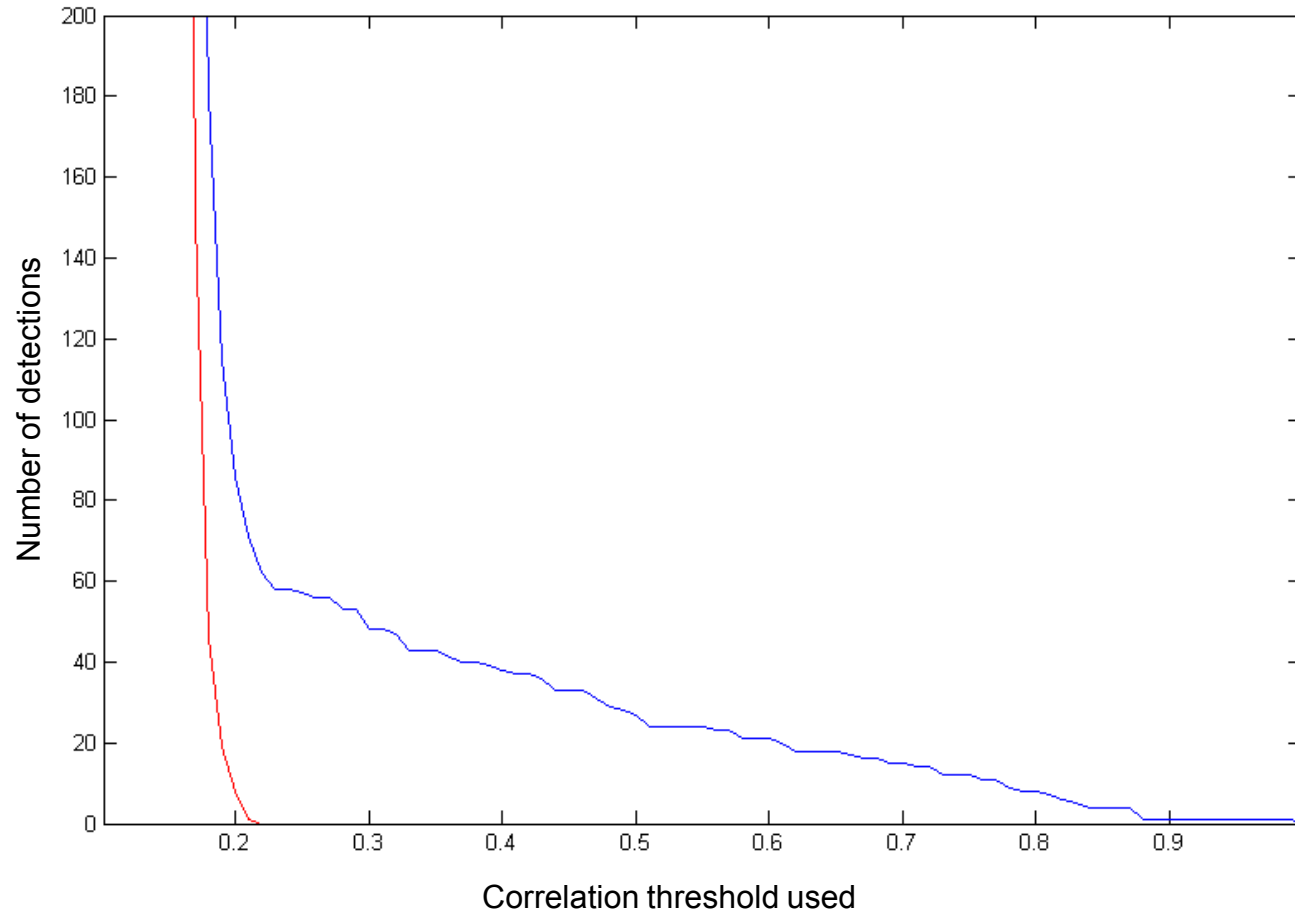
Lg



# Examples of Distributions of Correlation Values from Forward (blue) and Reversed (red) templates



# Number of *detections* from Forward and Reverse templates



We can see that the detections from noise start when the correlation threshold has a value of about .22

# Using the Time-Reversed Method to set Thresholds

- Use time-reversed template on 3 years of data
- Find the correlation value for which it would have had 3 detections.
- This becomes our threshold, with an expected noise detection rate of 1/yr.

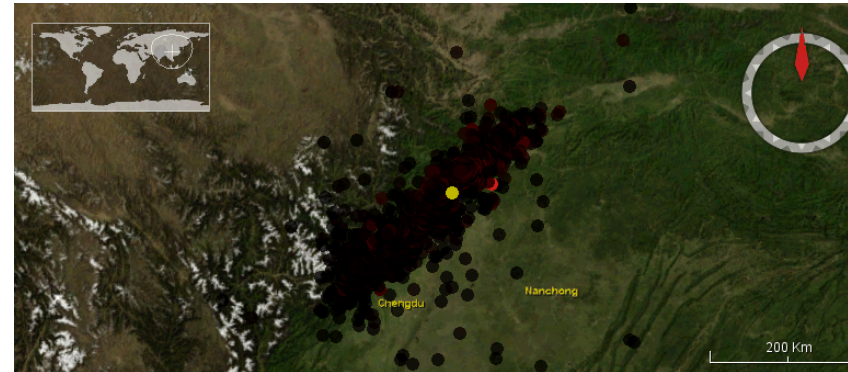
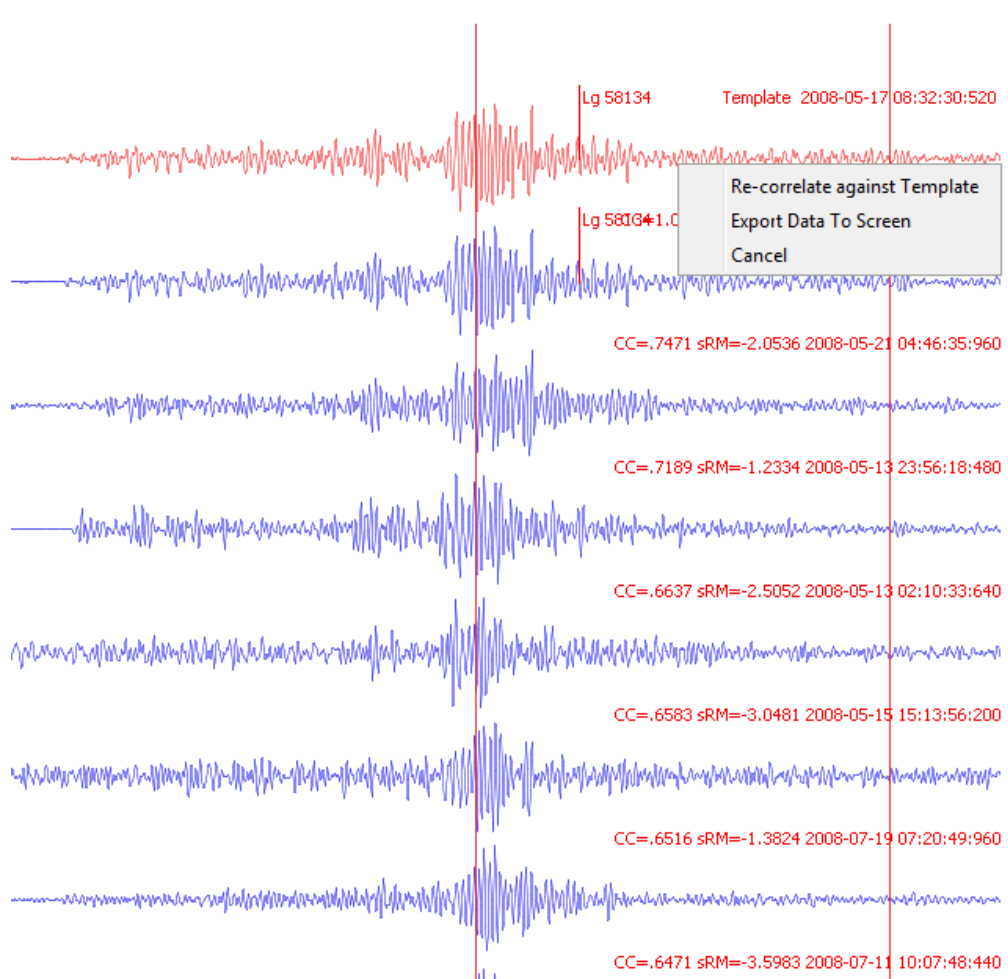


## Benefits of setting thresholds this way

- No assumptions about distribution of noise (Gaussian, etc)
- Can easily make all templates for a station have consistent FAR
- Noisy templates (narrow-band noise, spikes) will be assigned a high threshold -> not a problem
- For templates at MKAR monitoring central Asia, thresholds varied from .16 to .63.
- For templates at GS08 monitoring Wenchuan, thresholds varied from .27 to .58

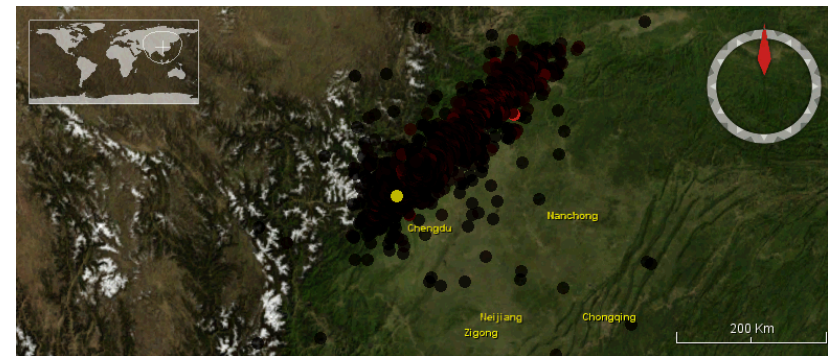
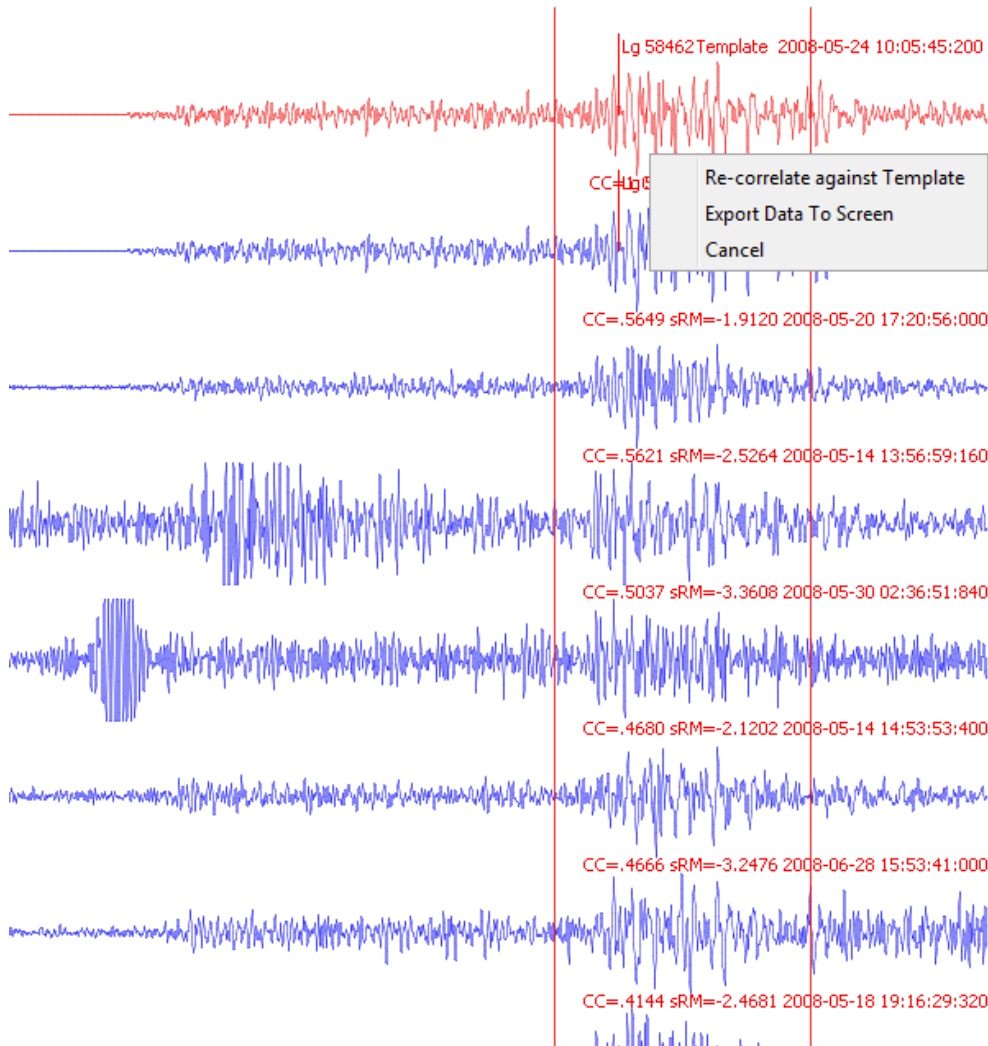
# High threshold (.58)

- Window not centered on Lg arrival...



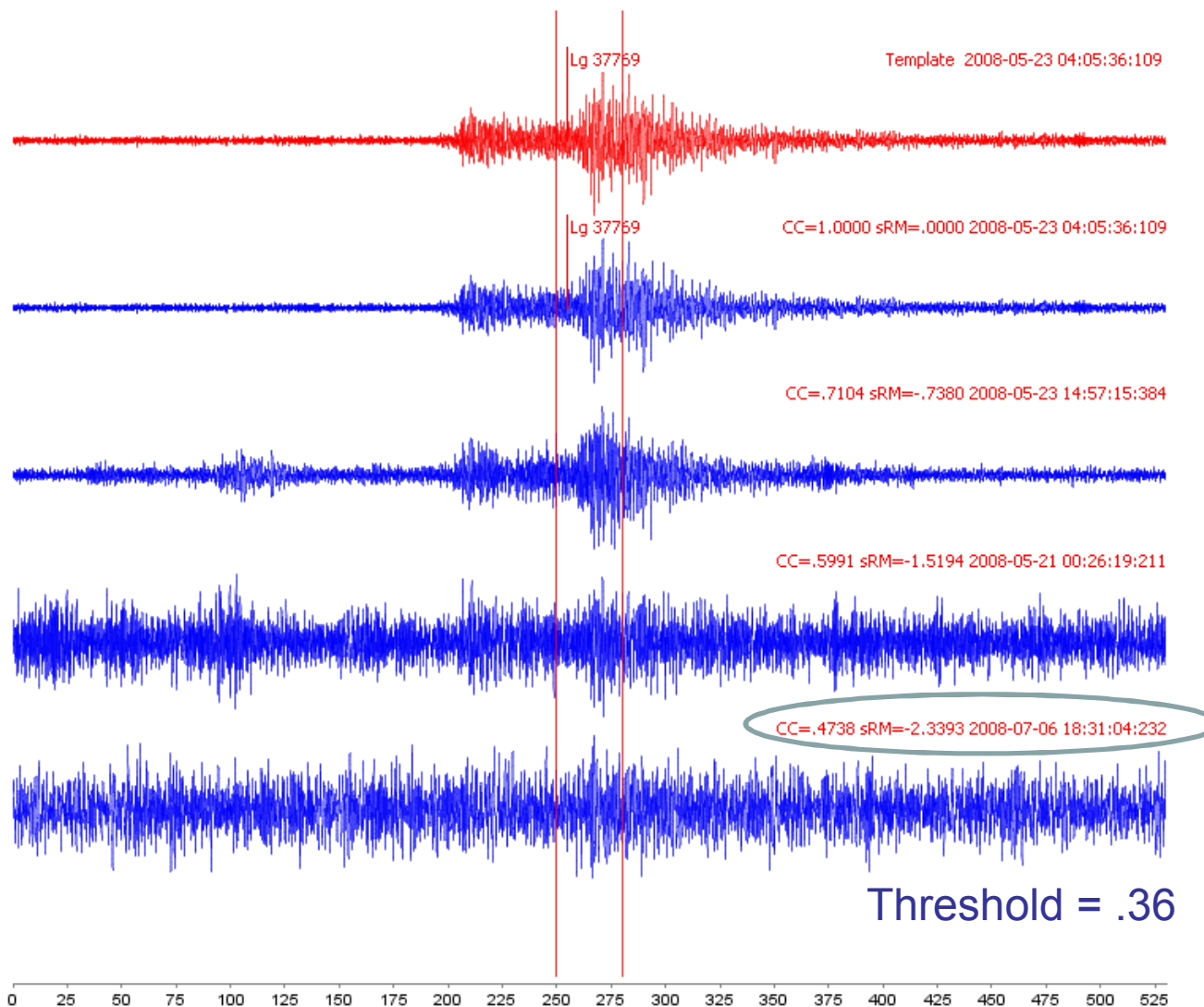
# Low threshold (.28)

- Found 17 detections



# Detection family at ENH

Orid: 4761588 Sensor: ENH Channel: BHZ10 (1-10)



- Template approaches – selecting templates, setting thresholds
- **Validating detections**
- Our computational infrastructure
- Datasets and results
  - Central Asia – broad-scale monitoring
  - Wenchuan – replicating local performance with regional stations

## Challenges of processing continuous waveform data

- Have 20 (or 40, or 100) opportunities a second to make a false detection!
- $1000 \text{ templates} * 1 \text{ FA/yr} = 1000 \text{ FA year}$
- False detections can be on a scale equal to true seismicity
- Need approaches to validate detections

## Validation using Multi-Station Confirmation

- Probability of more than one station detecting a false event at the same time is very small.
- Use calculated origin times. Require calculated origin times within 4 sec.
- If you have 1000 templates: ~1 false 3 station confirmation every 62,000 years.

# Require detecting templates to be geographically co-located

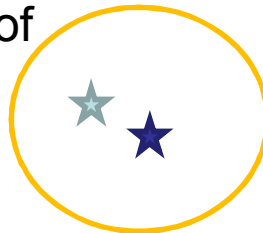
KURK



MKAR



Locations of  
template  
events



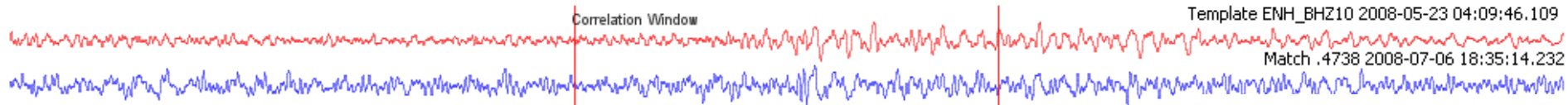


## How did this work?

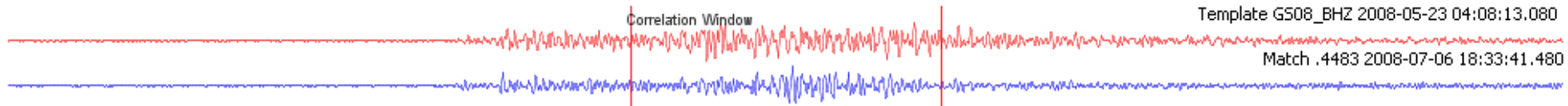
- Central Asia: Between 57% and 71% of the detections at each station were validated by another station
  - Increased to between 66% and 75% validated when include KNDC, LEB, and high correlation as validating means

# Detection, as seen at 4 stations

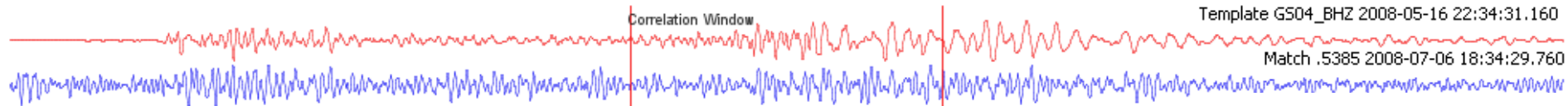
ENH Orid: 4761588 Score: .474



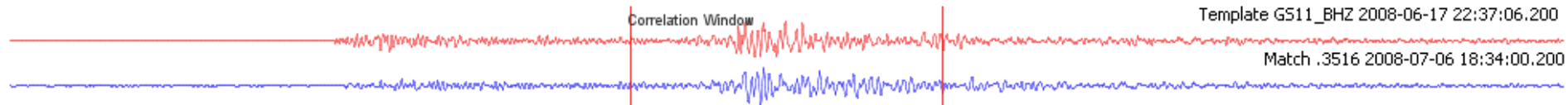
GS08 Orid: 4761588 Score: .448



GS04 Orid: 4752964 Score: .538



GS11 Orid: 4803650 Score: .352



Time (sec)

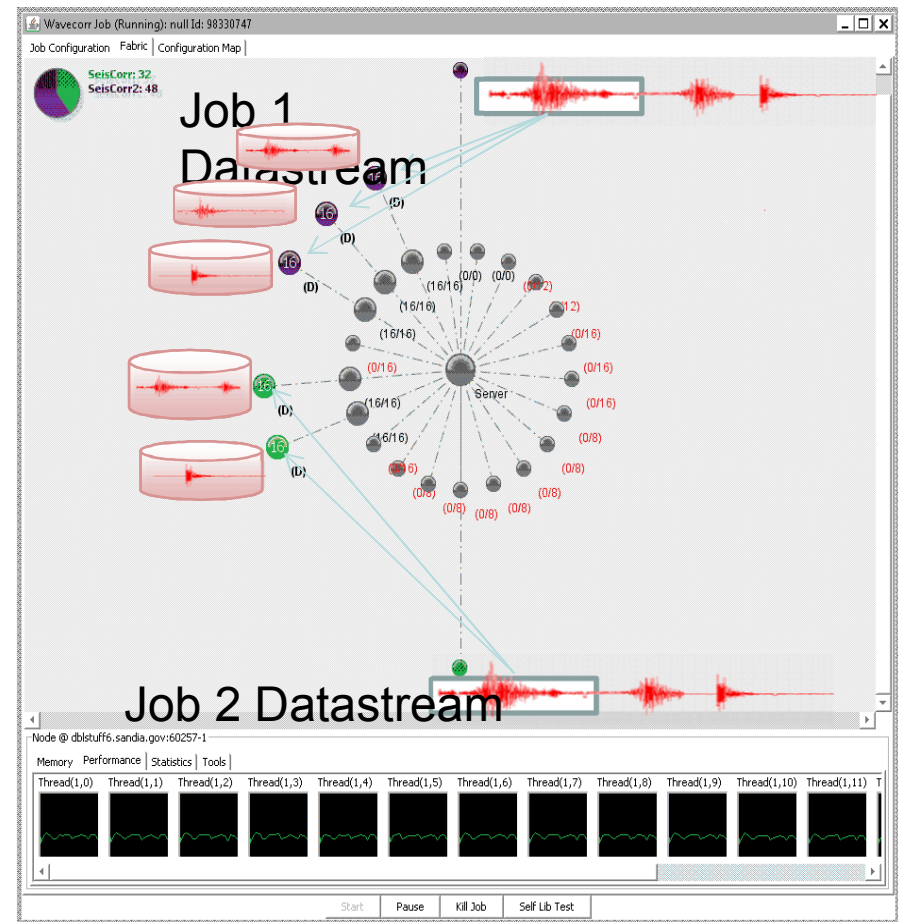
- Template approaches – selecting templates, setting thresholds
- Validating detections
- **Our computational infrastructure**
- Datasets and results
  - Central Asia – broad-scale monitoring
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# Seiscorr

- Builds template libraries, processes data, validates results
- Distributed parallel system
  - Uses **Fabric**
  - Access to 528 cores
- Computationally efficient algorithms
  - Normalized Cross- Correlation done in frequency domain
- Reads and write to database
- ~400x faster than real time

# Seiscorr processing

- Each station processed as its own job
- *Templates* distributed across processing cores
- Raw waveform data processed in ~12 minute chunks; sent to cores for correlation with template group.
- Each core reports back highest correlation score from best template match
- Results integrated
- Find correlation peaks
- Declare detections (template and correlation score)



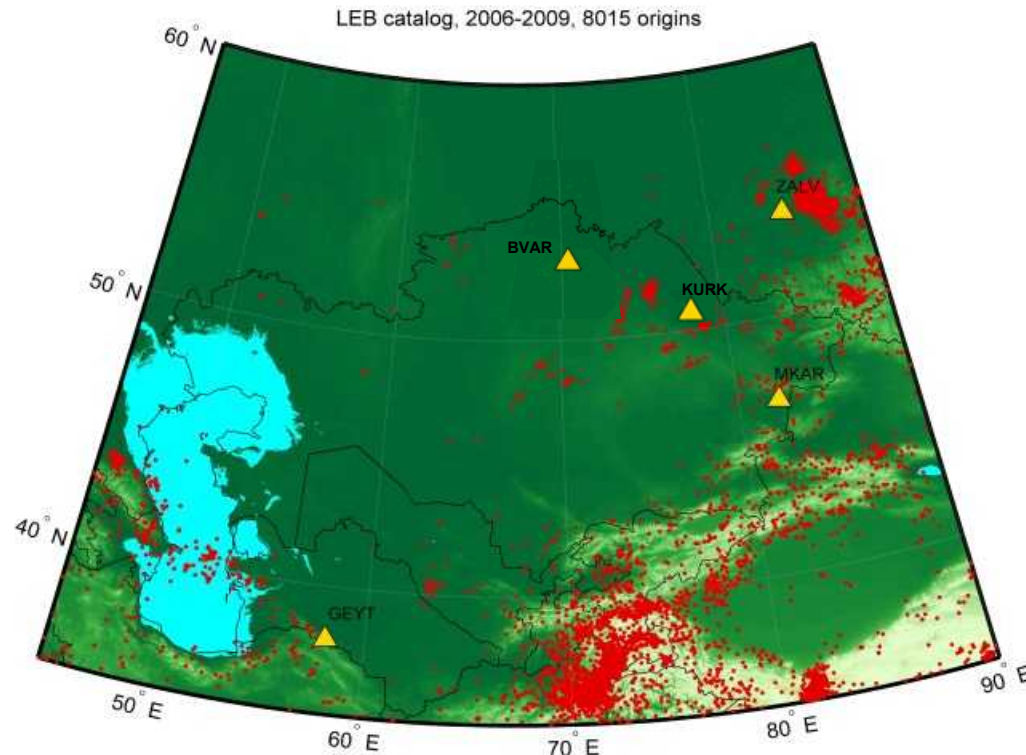
## SeisCorr Usage

- Due to overhead of transmitting waveforms, more cores isn't always better. For this work: ~48 cores is optimal.
- Multiple jobs can process at the same time
- 3 stations, 3 years, ~1000 templates a station => 2.5 days

- Template approaches – selecting templates, setting thresholds
- Validating detections
- Our computational infrastructure
- **Datasets and results**
  - Central Asia – broad-scale monitoring
  - Wenchuan – replicating local performance with regional stations

# Broad Regional Monitoring

- 3 stations (MKAR, BVAR, KURK)
- Central Asia – chose templates from lat:  $35^{\circ}$ - $60^{\circ}$ , lon:  $45^{\circ}$ - $90^{\circ}$
- Lg templates were screened (high STA/LTA) and clustered
- 3 years (2006-2009) of continuous waveform data

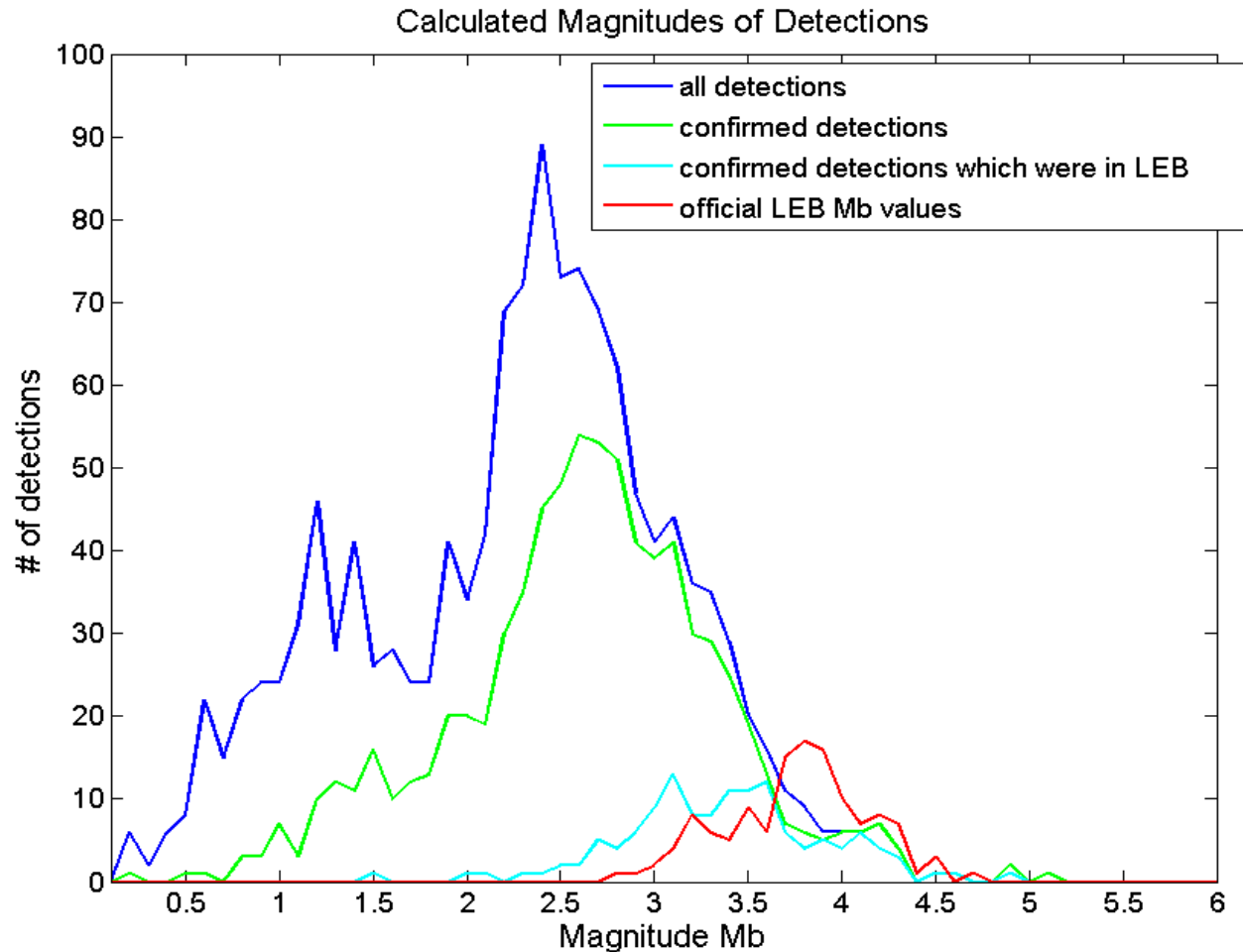




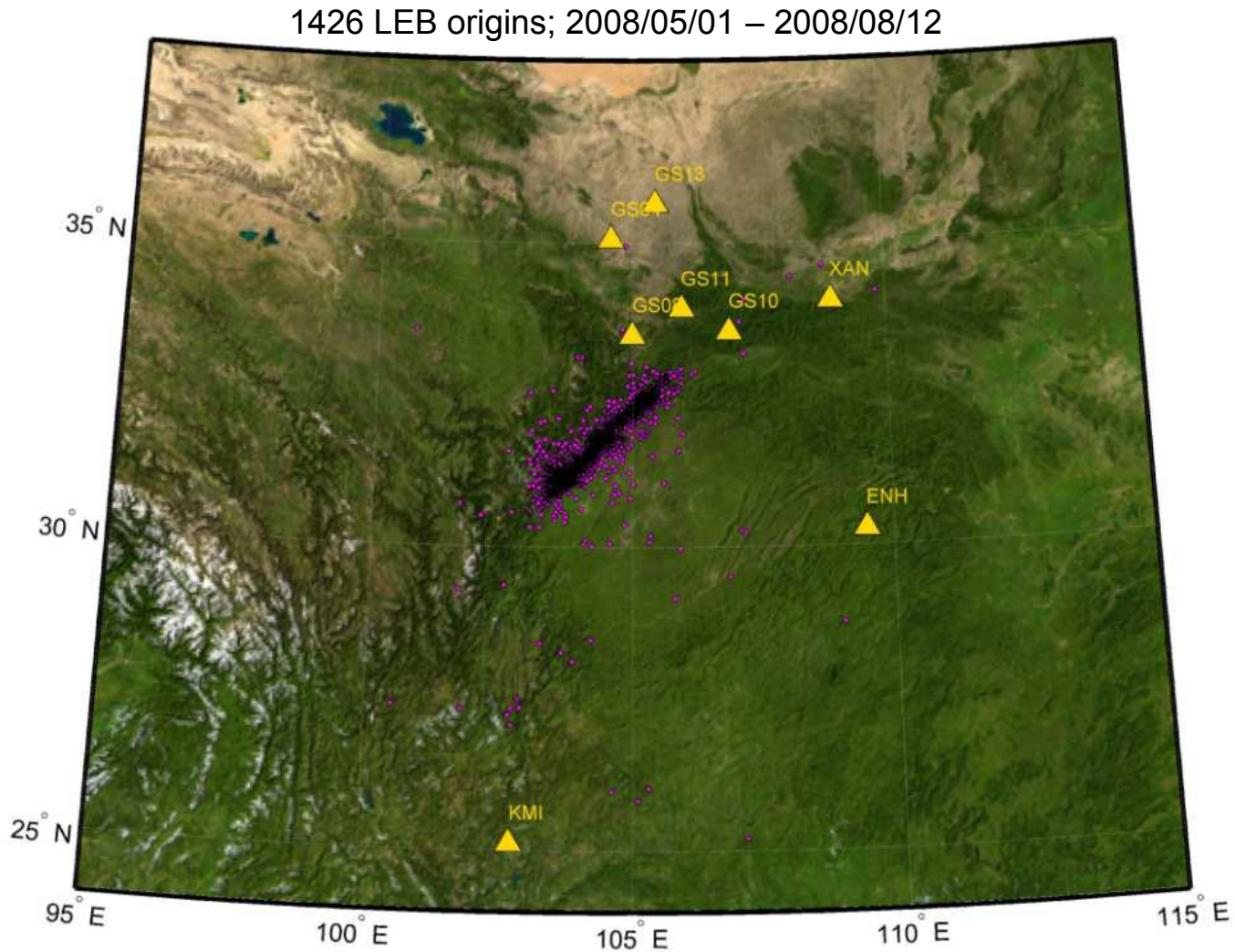
# Results

- Detected and confirmed 6563 unique events
  - 2+ stations, or in KNDC or LEB bulletin
  - 1481 3 station confirmations
- Detected ~20% of the LEB bulletin
  - 976 detections were in the LEB bulletin
    - Templates were drawn from that same time period...
  - 4886 events were NOT in the bulletin

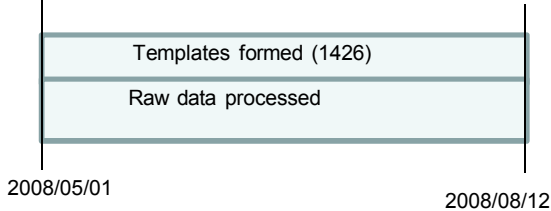
# Magnitude of Detections



# Wenchuan study

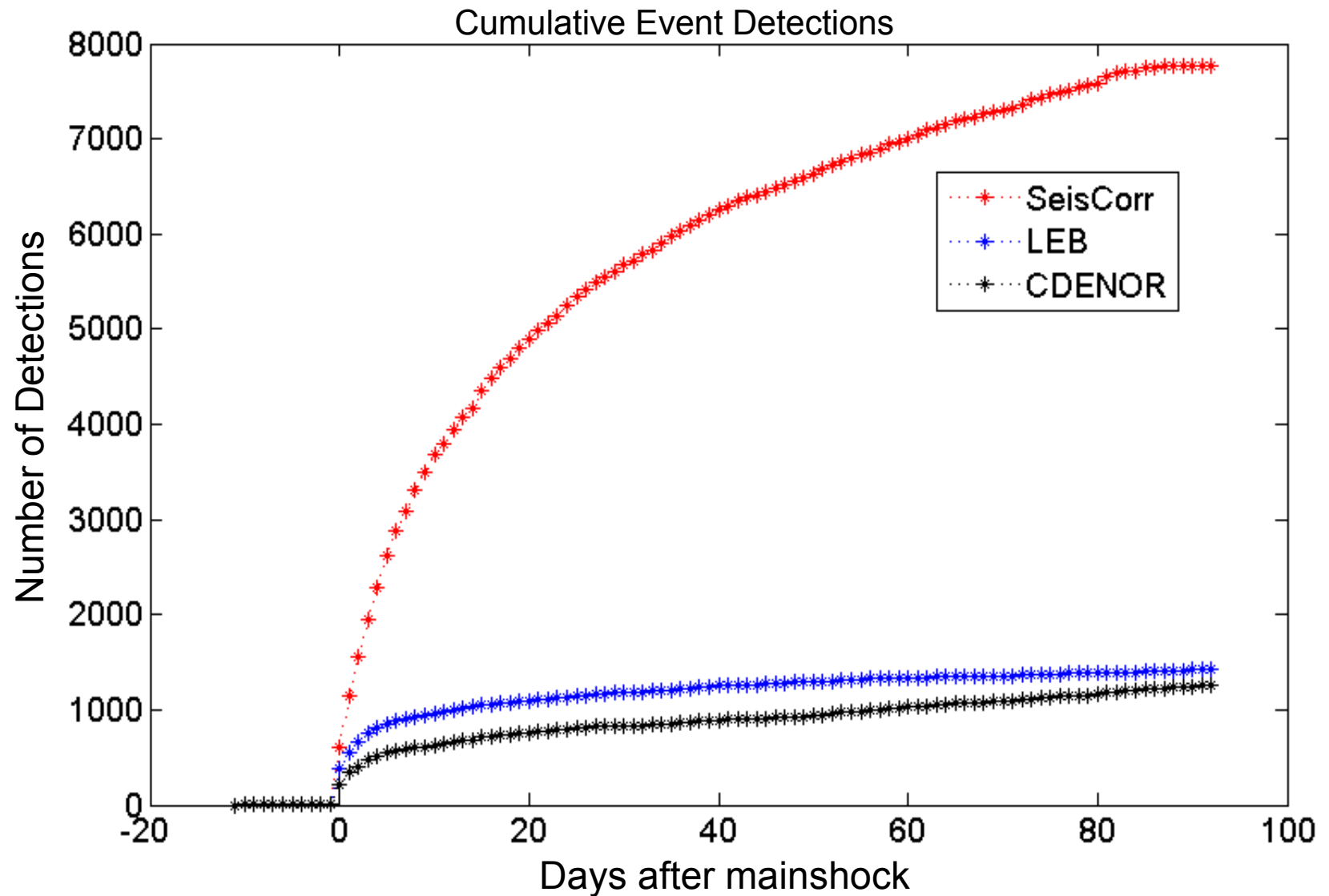


# Experiment Setup

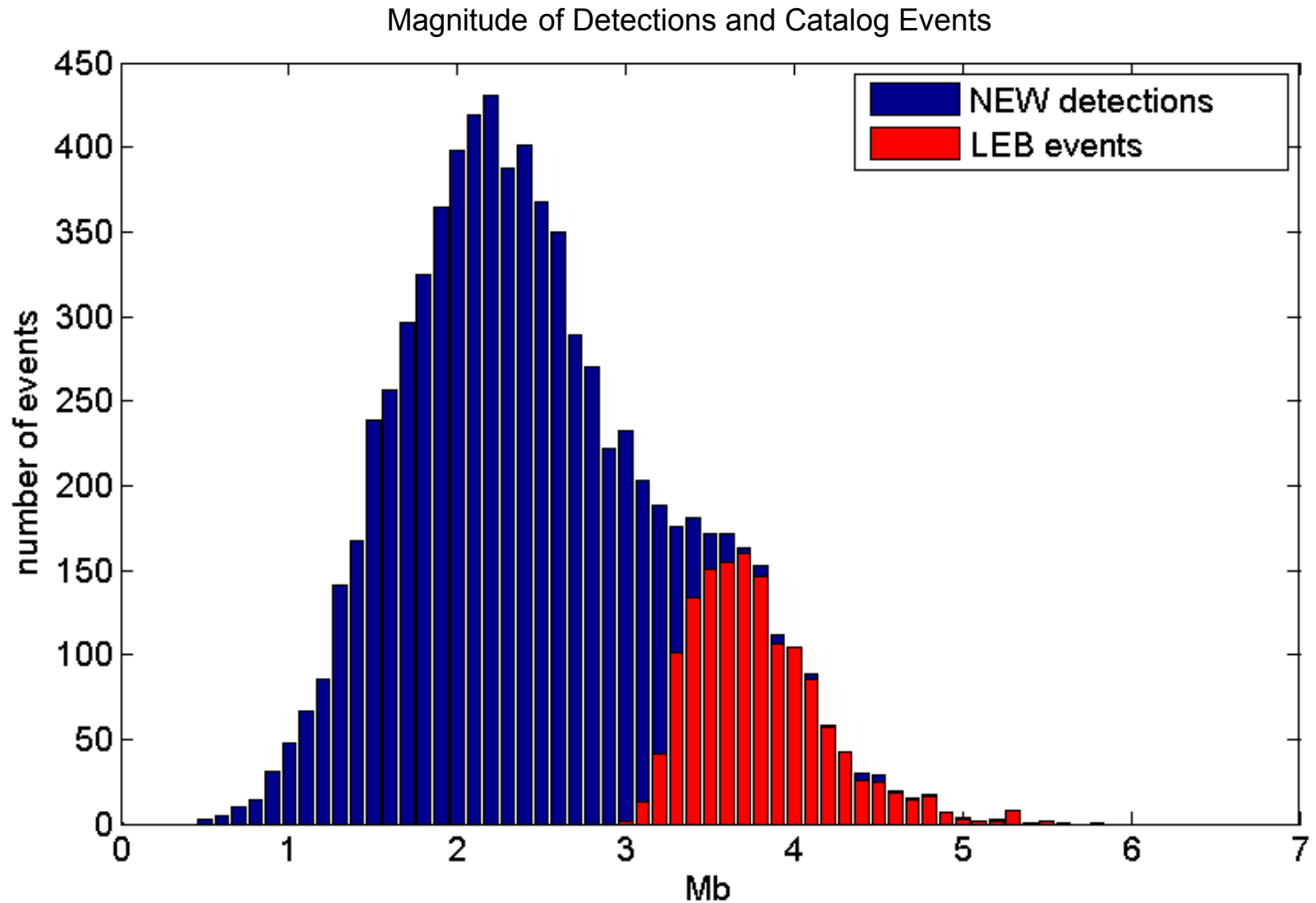
<b>Stations used</b>	XAN, EHN, KMI (IRIS) GS04, GS08, GS10, GS11, GS13 (ASCENT)
<b>Components used</b>	3 (BHZ, BHN, BHE)
<b>Templates: Lat/Lon Box</b>	Lat: 25N – 35N; Lon: 95E – 110E
<b>Template Events: reference bulletin</b>	IDC LEB
<b>Template waveforms</b>	20 sec showing Lg arrivals .5-5Hz bandpass filtering
<b>Dates SeisCorr Ran</b> 	All events in the LEB bulletin between 5/1 and 8/12 were used as template events.

- Because the LEB was not based on any of the stations we processed, we had no arrival pick information
- Assumed that each event had a useful template at each station

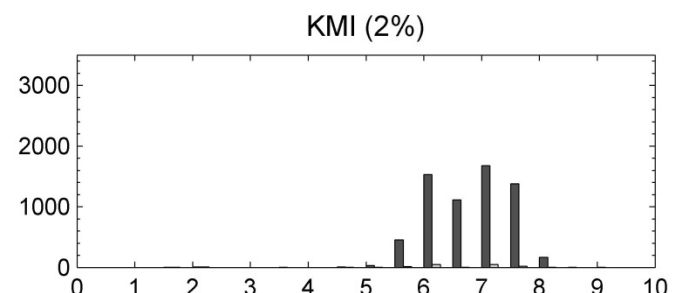
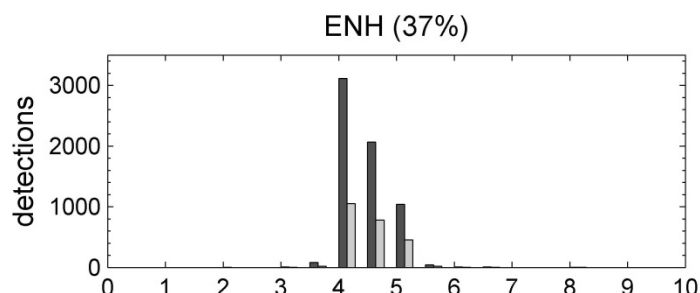
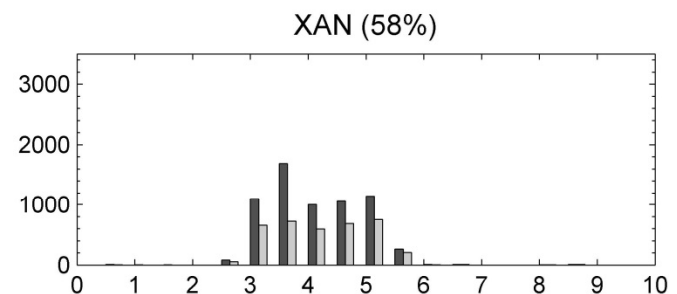
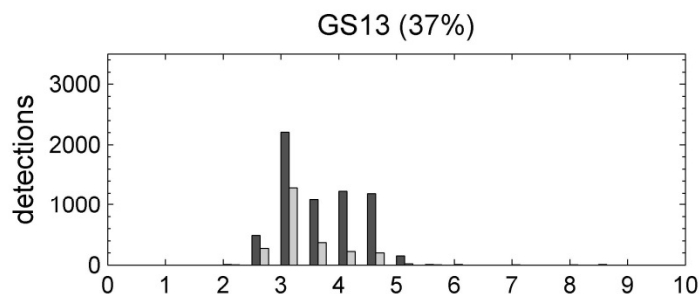
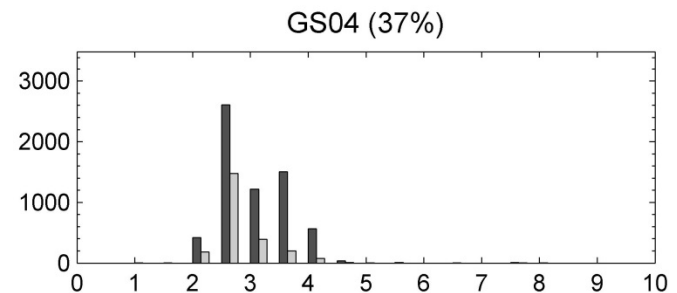
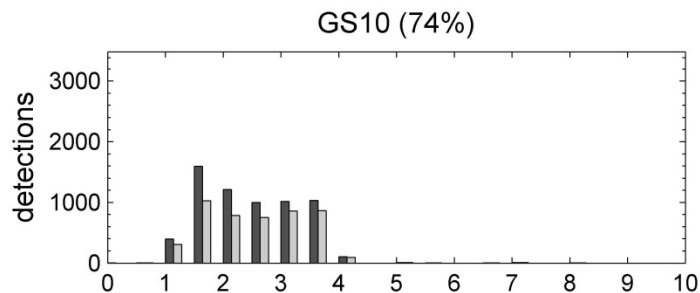
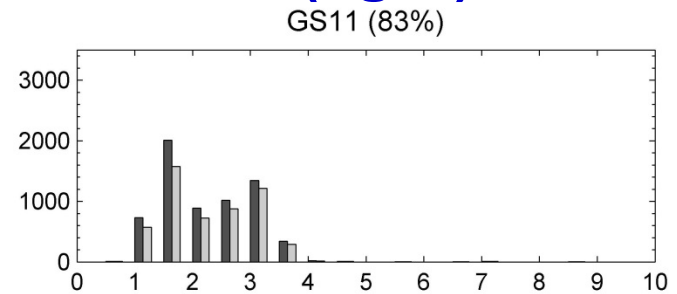
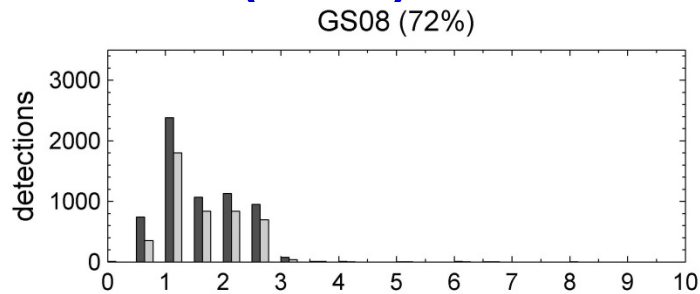
# Detections of Wenchuan Aftershocks



# Magnitude of New Detections



# Contribution of each station: Network (dark), Validated detections (light)





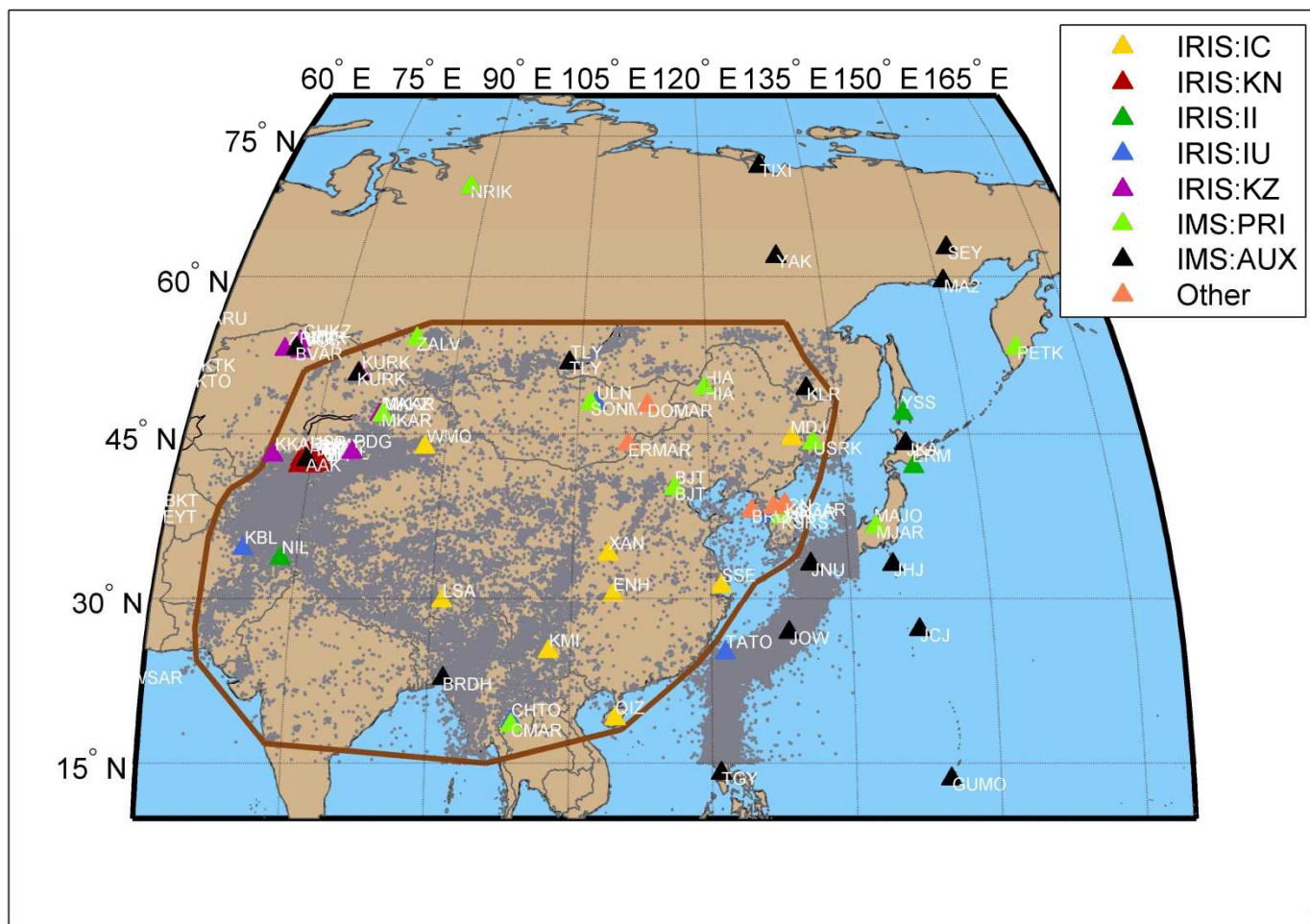
## Summary

- Motivation: aid analysts as we search for ever smaller events
- Moving from focused studies to automated processing of continuous waveform data
- Method for setting thresholds which gives consistent FAR across all templates at a station
- SeisCorr allows rapid processing at research speed
- Central Asia work shows feasibility of broad-scale monitoring
  - Detected 20% of LEB
  - Confirmed detections would double size of bulletin
- Wenchuan work shows effectiveness of monitoring using regional stations



- Monitoring of eastern China using templates going back to time of station install

ISC 1986-2012 155023 events





# Backup slides

- text

## Other questions to ask

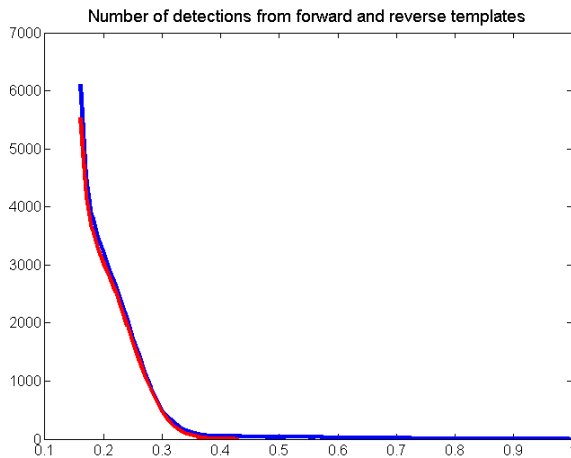
- What percent of the events that make up the current bulletin can you detect using WC?
  - Probably 15-20% during “normal” times, 40-80% during aftershock sequences (Slinkard 2011, 2014), Dodge (2012, 2016)
- What about the red line (analyst added events)?
  - A greater percentage than the blue line! Probably ~50% (Slinkard SnT2015)

# Results Summary

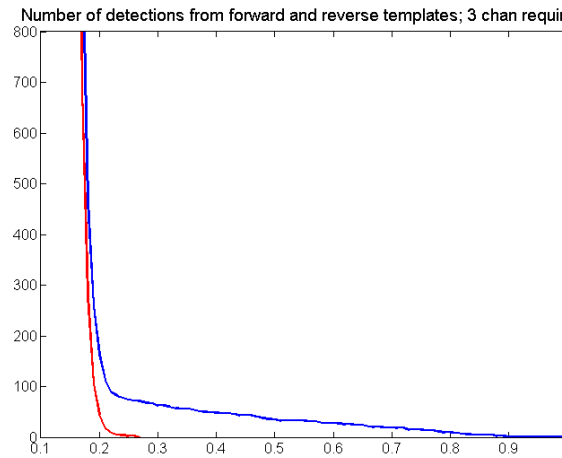
	Number of Detections	Detections confirmed in the LEB	Detections confirmed in the KNDC Catalog	Detections confirmed in either catalog	Detections confirmed by another station  (1409 seen by all 3 stations)	Detections confirmed by high correlation (>0.7)	Confirmed in some manner
MKAR (array)	7426 (from 506/812 templates)	526 (10.8% of catalog)	553	927	4740 (64%)  (4226 not in LEB)	1309  (183 not in LEB or another station)	5136 (69%)  (4610 not in LEB)
BVAR (array)	3096 (from 193 /537 templates)	154 (3% of catalog)	67	180	2199 (71%)  (2054 not in LEB)	383  (17 not in LEB or another station)	2307 (75%)  (2153 not in LEB)
KURK (3C)	8526 (from 837/1515 templates)	693 (14% of catalog)	705	1179	4895 (57%)  (4327 not in LEB)	2031  (376 not in LEB or another station)	5648 (66%)  (4955 not in LEB)

# Number of detections from Forward and Reverse templates (all of 2006)

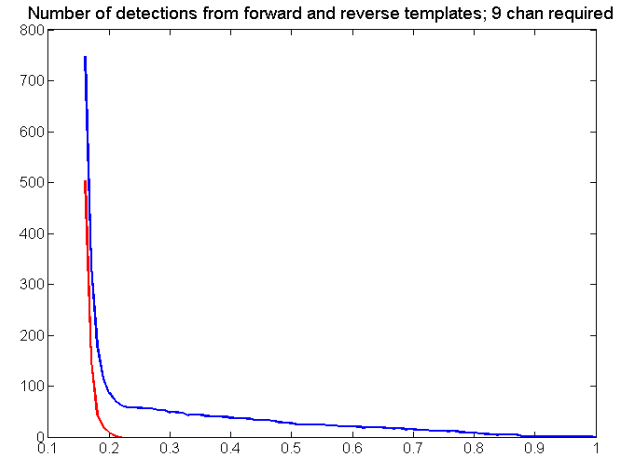
We limit detections in times of data dropouts by requiring at least 3 channels to have contributed to the correlation. This impacts the calculated Correlation Threshold significantly.



1 good channel  
required



3 good channels  
required



9 good channels  
required

# Questions that need answering before the problem is solved:

**How many events can we detect using correlation?**

**How do we best use templates to detect nuisance repeated events?**

How do we best use templates to find interesting repeated events?

How specific are templates? Should we use subspace or EMFD to make them more general?

**What are the differences between running this on small scale vs large scale datasets?**

**How do you set detection thresholds? How do you validate detections?**

**Can this run in real time? Fast enough for research?**

**How should we apply waveform correlation across a network?**

How do you get this working smoothly in an operational system?