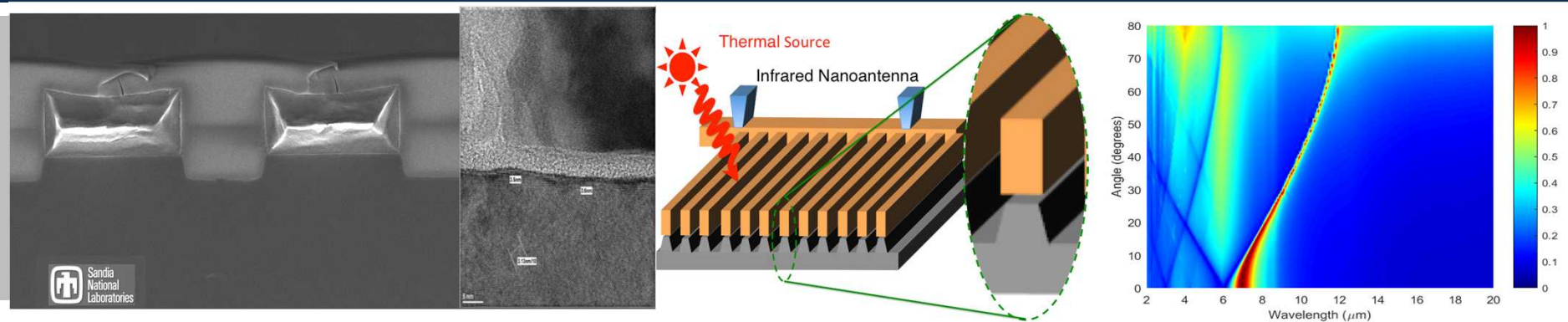


*Exceptional service in the national interest*



# Tunneling rectification in an infrared nanoantenna coupled MOS diode

Paul Davids, Emil Kadlec, Steve Howell, David Peters

***A new thermoelectric conversion mechanism (heat to electrical power) based on direct conversion of infrared radiation from a thermal source into electrical power.***

- ***Large area infrared antenna coupled metal-oxide-semiconductor (MOS) tunnel diode rectifier.***
- ***Strong Photon-Phonon coupling gives large transverse field enhancement in nanometer scale tunnel gaps.***
- Advantages of new **Rectenna** device technology:
  - Uses well established mature Si manufacturing technology for large area devices.
  - Radiative approach: non-contacting of thermal source; needs only view of thermal source to generated power.

# Thermoelectric Generation

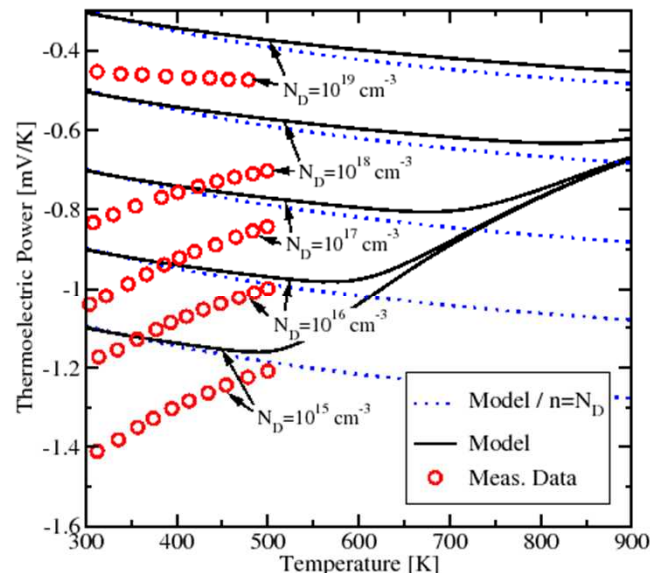
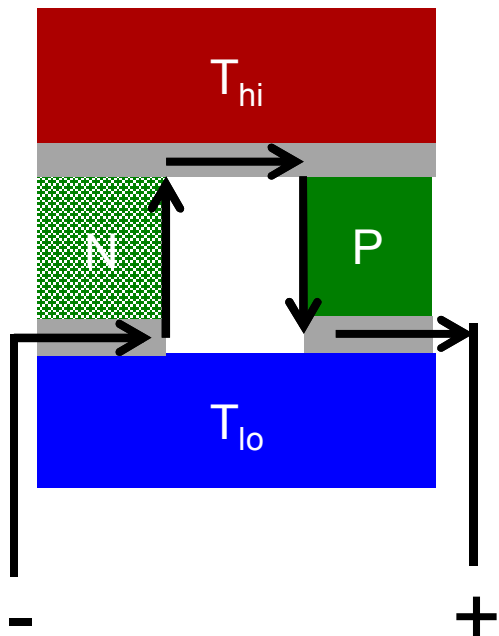


Figure 3.8: Seebeck coefficients for differently doped n-type silicon samples.

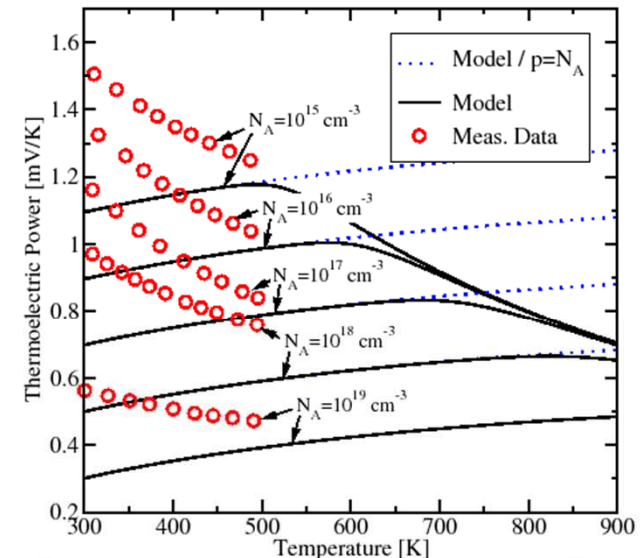
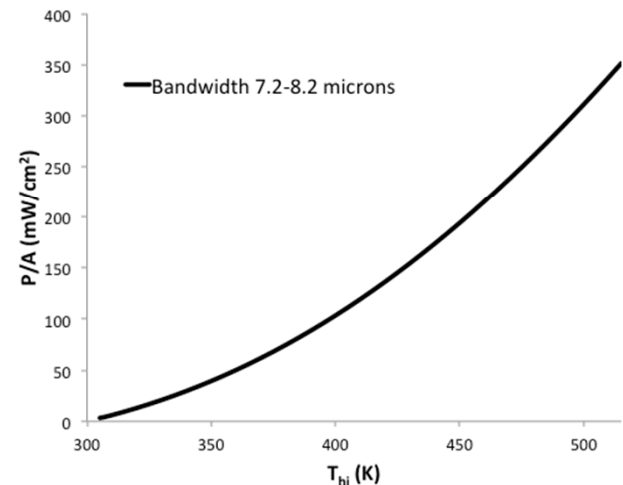
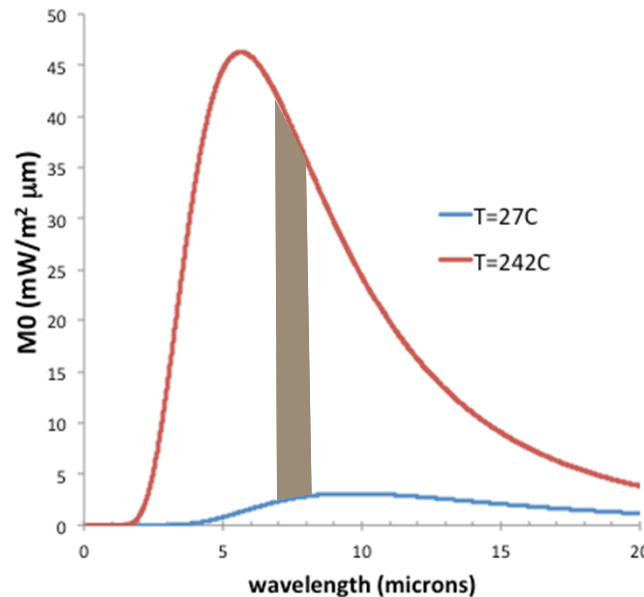
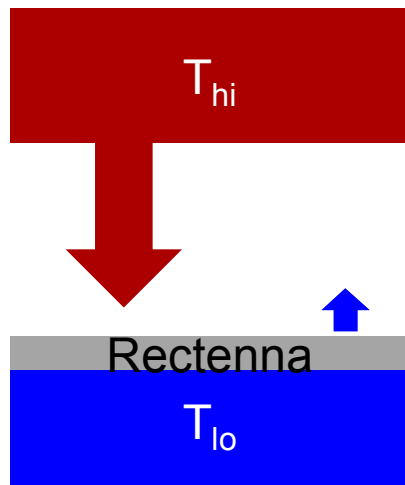


Figure 3.7: Seebeck coefficients for differently doped p-type silicon samples. Solid lines depict the theoretical models, whereby the decrease for elevated temperatures results from the increased hole concentration in the intrinsic range.

- **Seebeck effect:** Thermal induced EMF due to temperature difference.
- Load Resistor: Current flow
- Thermoelectric figure of merit:  $ZT$ 
  - Maintain Temperature difference – low thermal conductivity
  - High electrical conductivity

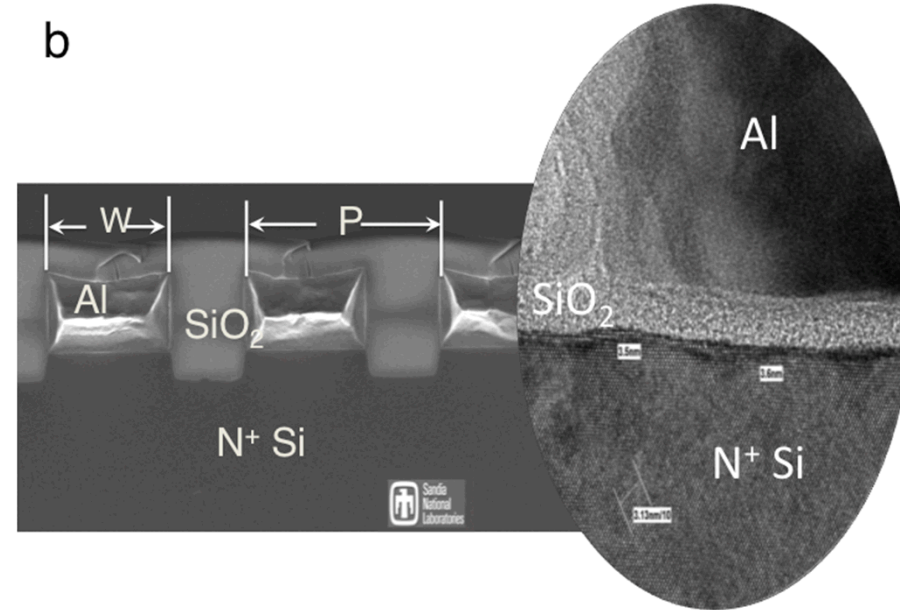
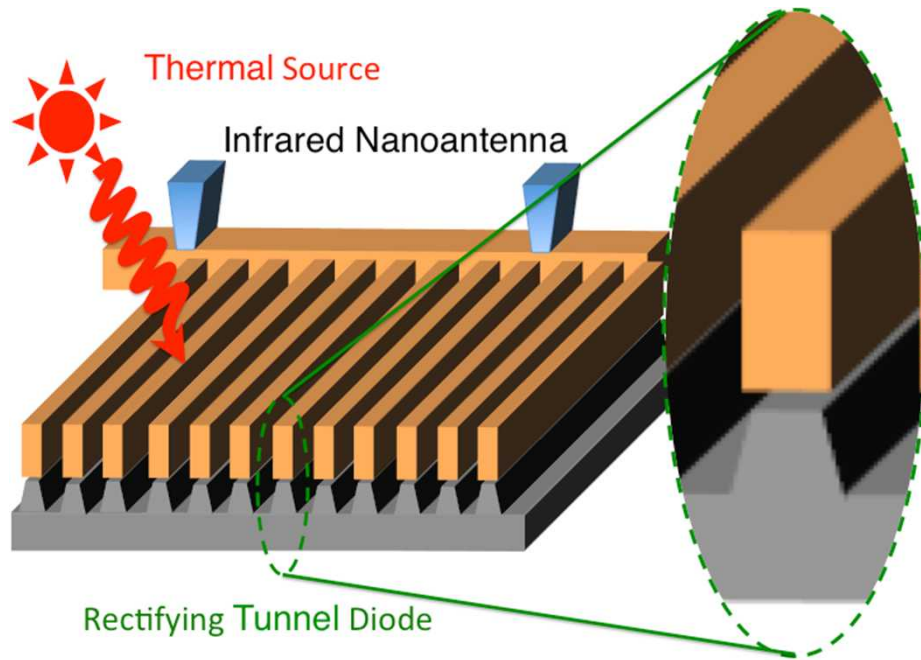
# New Radiative Thermoelectric Generation



**Rectenna**: New device that directly converts broadband incident infrared radiation into dc electrical current.

- Antenna coupled coupled metal oxide semiconductor tunnel diode.
- Key insight
  - Optical phonon polariton creates enhanced field in tunnel barrier.
  - Large field in tunnel diode gives large DC rectified current.

# Infrared Rectenna



1D large area grating coupled MOS tunnel diode.

4mm x 4 mm square

Grating Pitch  $P = 3.0$  microns

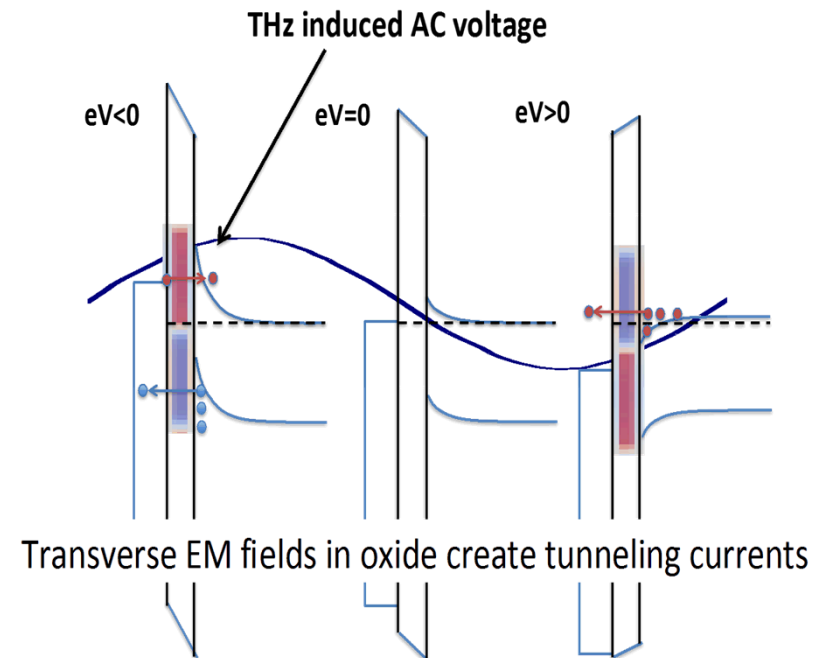
Width 1.8 microns

Tunnel Oxide thickness  $\sim 3.5$  nm

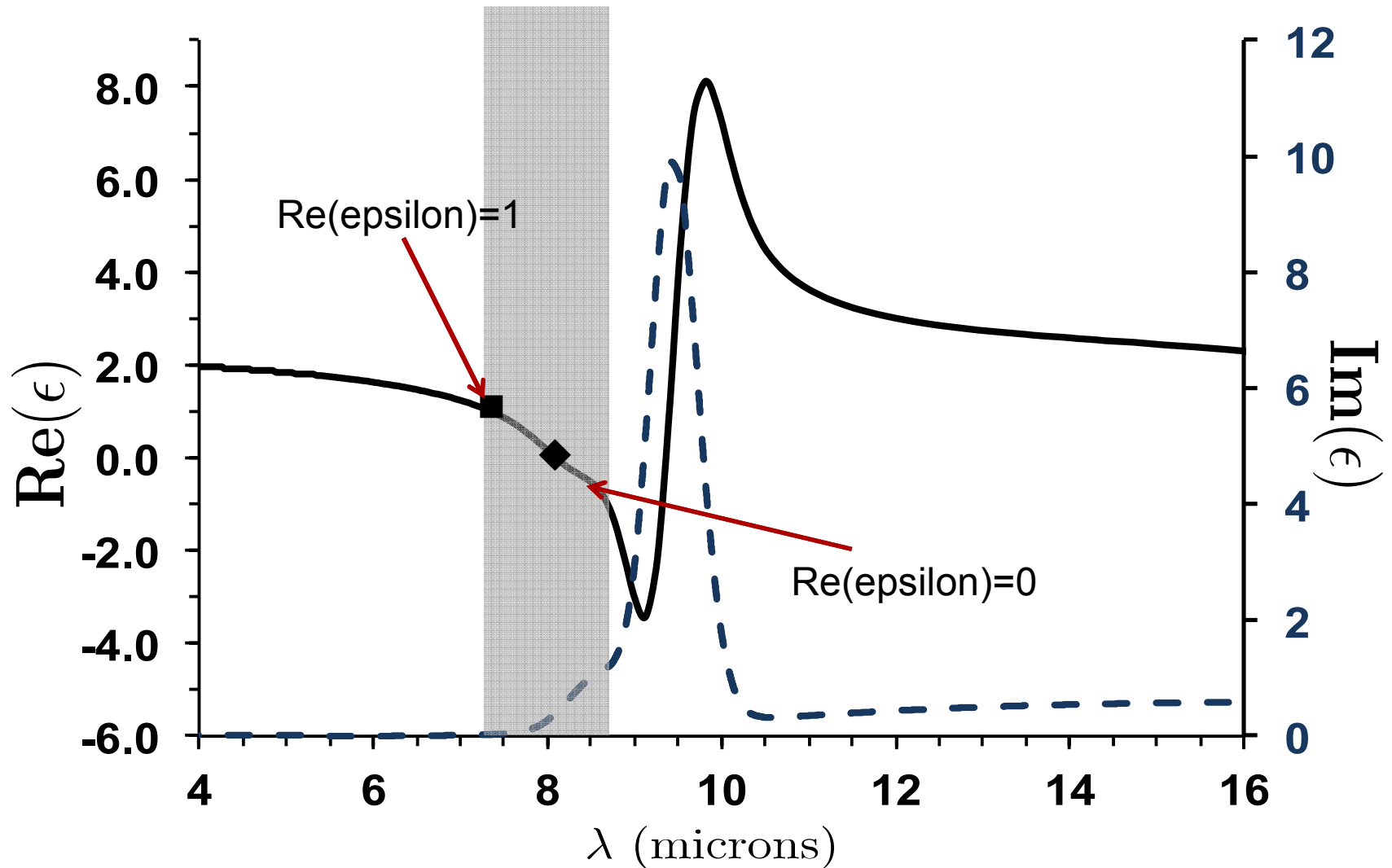
- [1] P. S. Davids, R. L. Jarecki, A. Starbuck, D. B. Burckel, E. A. Kadlec, T. Ribaud, E. A. Shaner, and D. W. Peters. Infrared rectification in a nanoantenna-coupled metal-oxide-semiconductor tunnel diode. *Nature nanotechnology*, 10(12):1033–1038, 2015.
- [2] J. C. Ginn, R. L. Jarecki, E. A. Shaner, and P. S. Davids. Infrared plasmons on heavily-doped silicon. *Journal of Applied Physics*, 110(4):043110, 2011.

# How it works

- Large area antenna coupled MOS diode.
- Coupled Material and Photonic Resonances
  - Polar oxide Reststrahlen peak.
  - Photonic surface mode resonances.
- Enhanced transverse field confinement in tunnel barrier.
- Tunneling rectification.
  - Direct tunneling

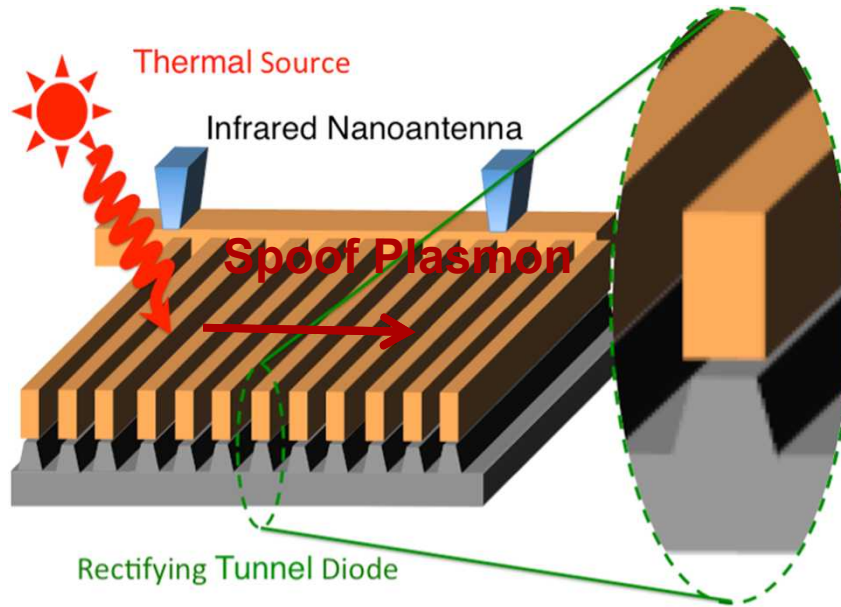


# Material Dispersion: Oxide Optical Phonons



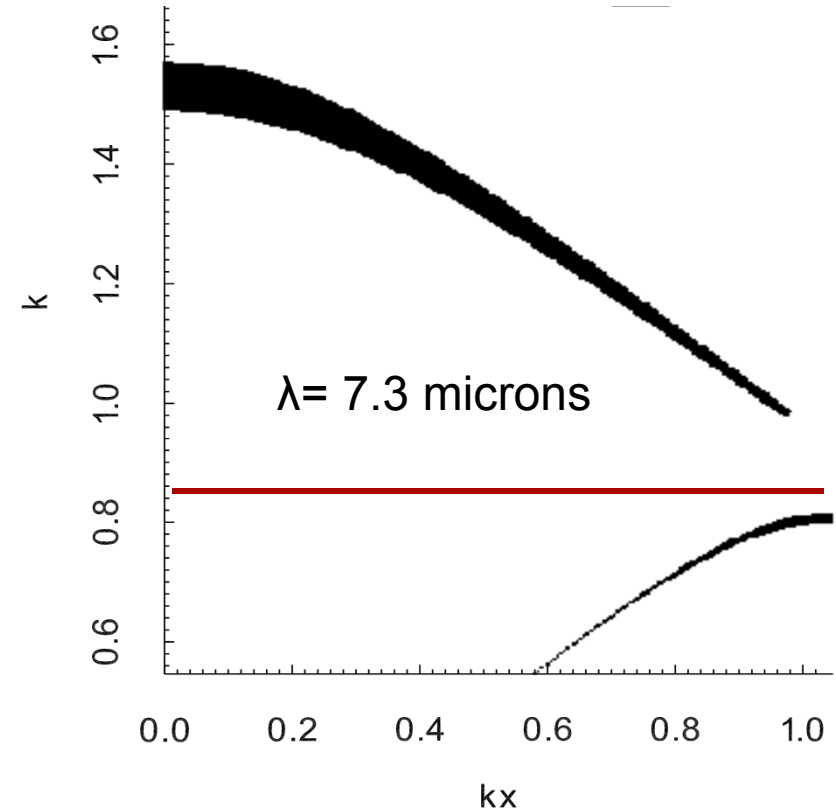


# Photonic Surface Mode



## Spoof Plasmon Mode in 1D grating

$$\frac{w}{L_x} s_0^2 \tan(kh) = \frac{\sqrt{k_x^2 - k^2}}{k},$$

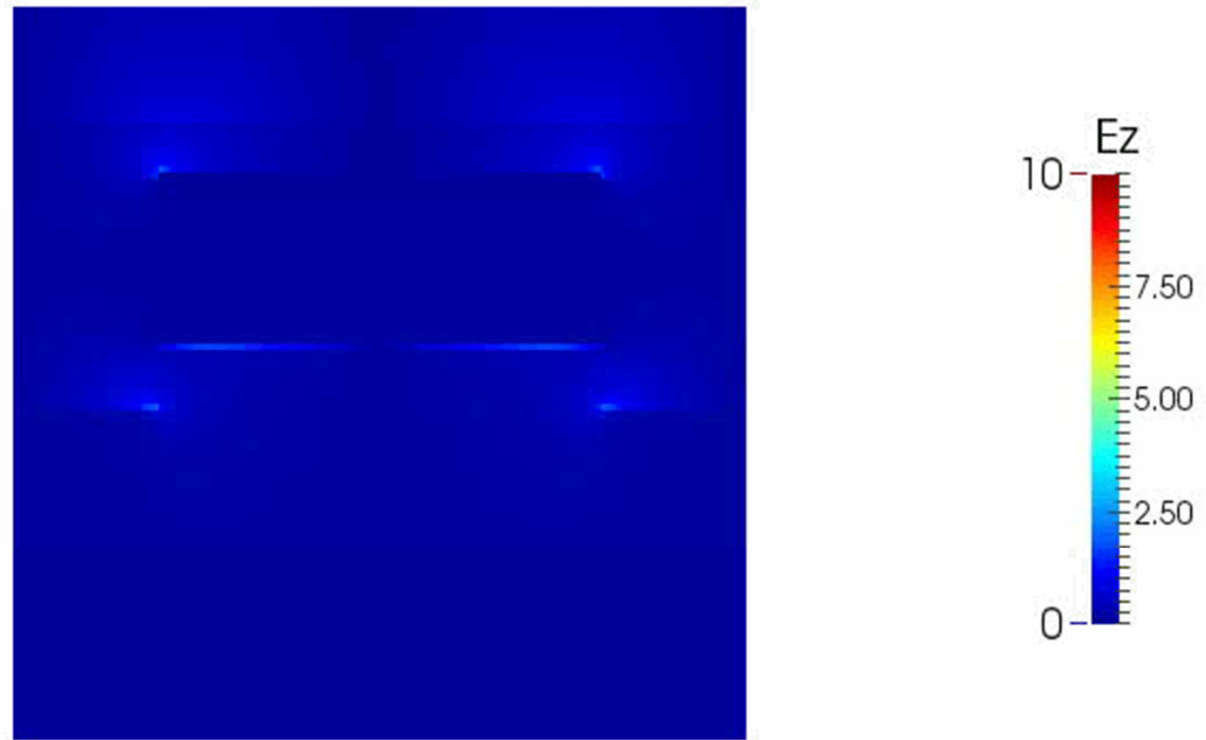


[1] P. Davids, F. Intravaia, and D. Dalvit. Spoof polariton enhanced modal density of states in planar nanostructured metallic cavities. *Optics Express*, 22(10):12424–12437, 2014.

[2] F. J. Garcia-Vidal, L. Martín-Moreno, and J. B. Pendry. Surfaces with holes in them: new plasmonic metamaterials. *Journal of Optics A: Pure and Applied Optics*, 7(2):S97–S101, Feb. 2005.

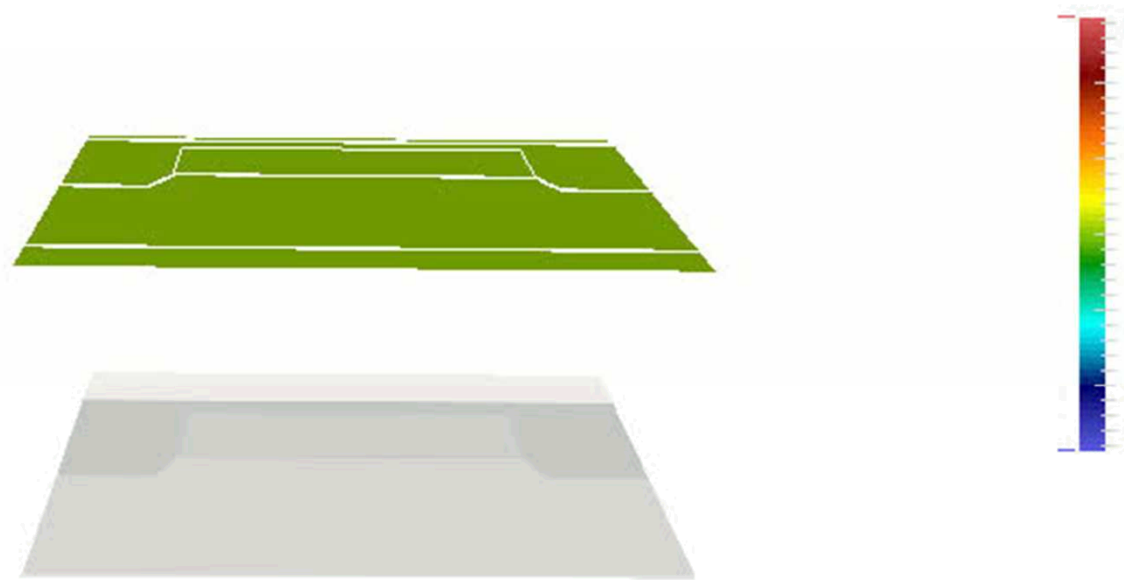


# Field Enhancement in Tunnel Gap



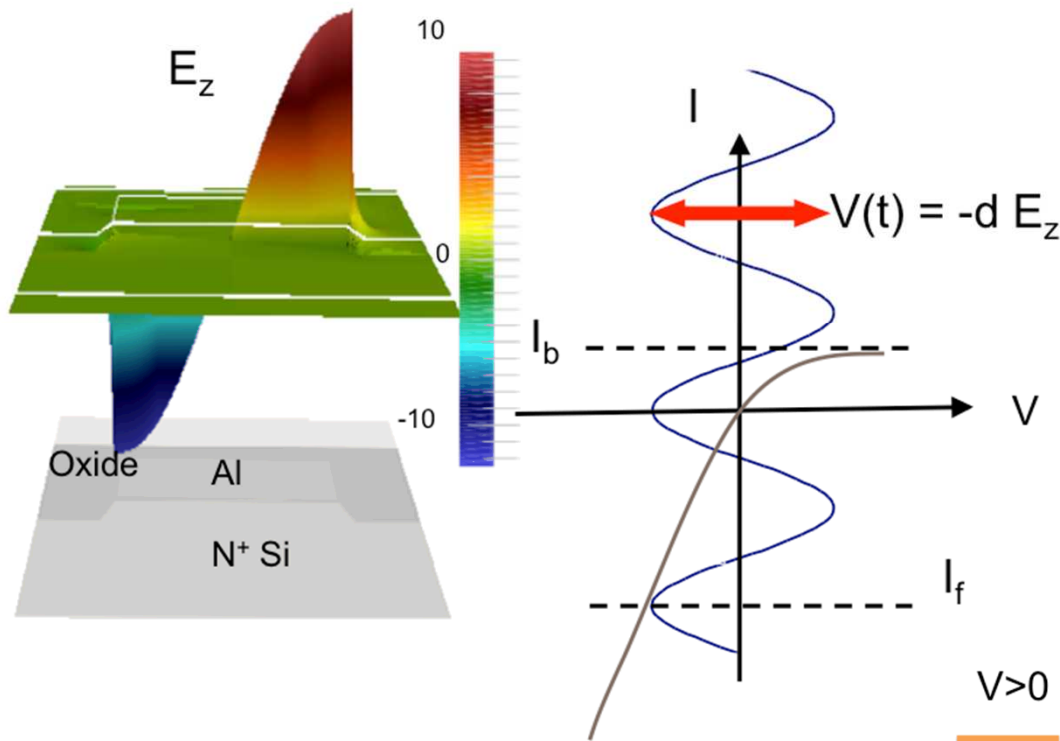
$E_z$  versus wavelength (6 -12 microns)

# Field Enhancement in Tunnel Gap



$E_z$  at 7.3 microns  $\text{Re}(\epsilon) = 1$  Peak field enhancement

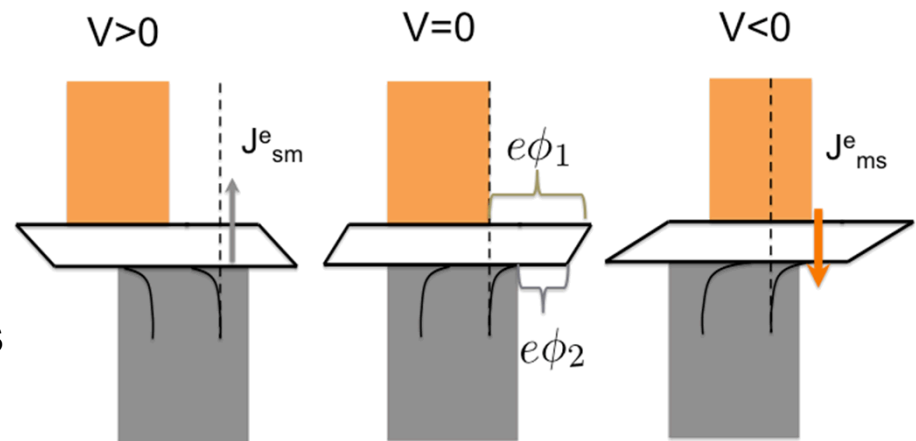
# Tunneling Rectification



Distributed Current in MOS  
Tunnel Diode

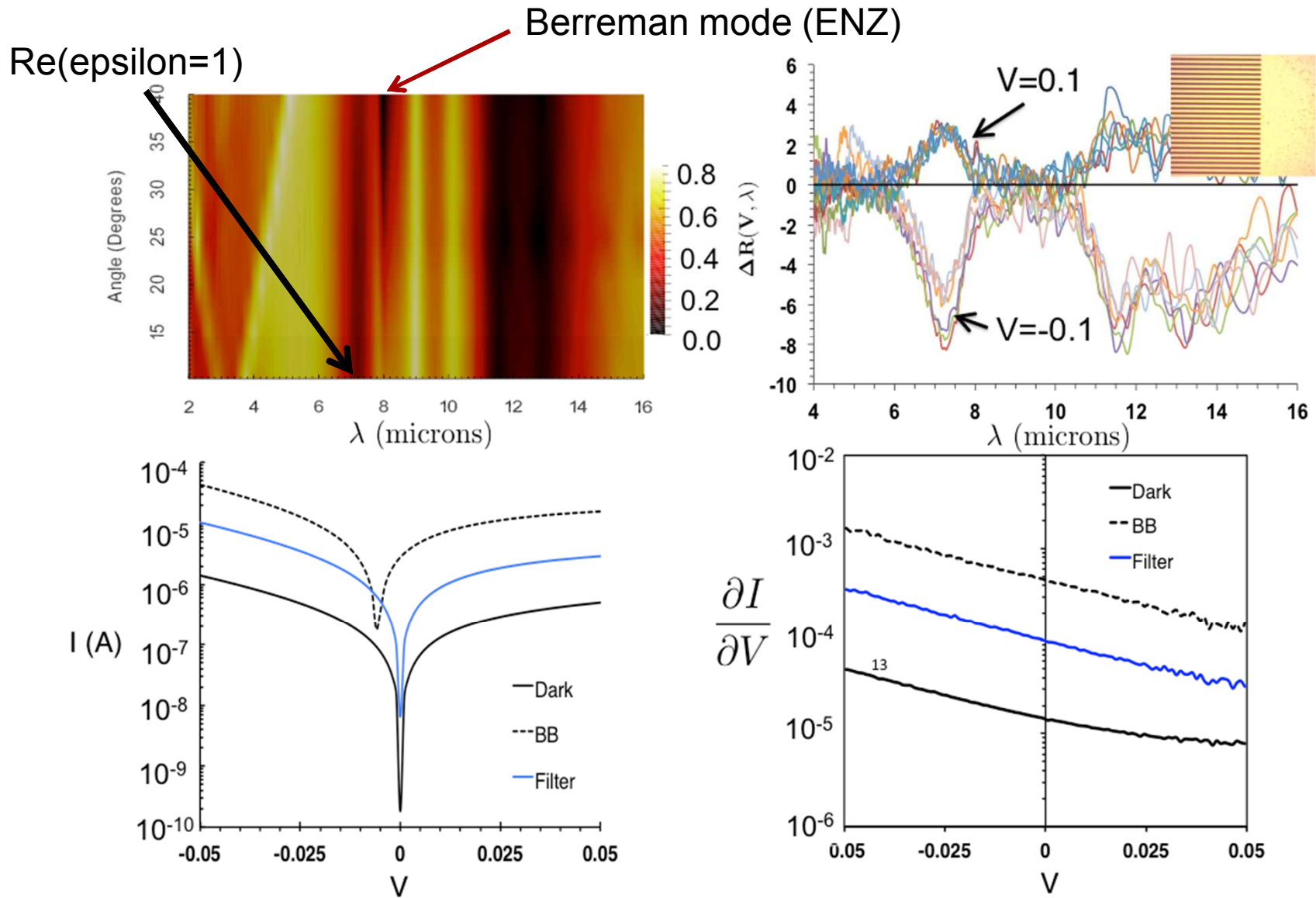
Half-wave DC current

$$I_{DC} = I_f - I_b$$

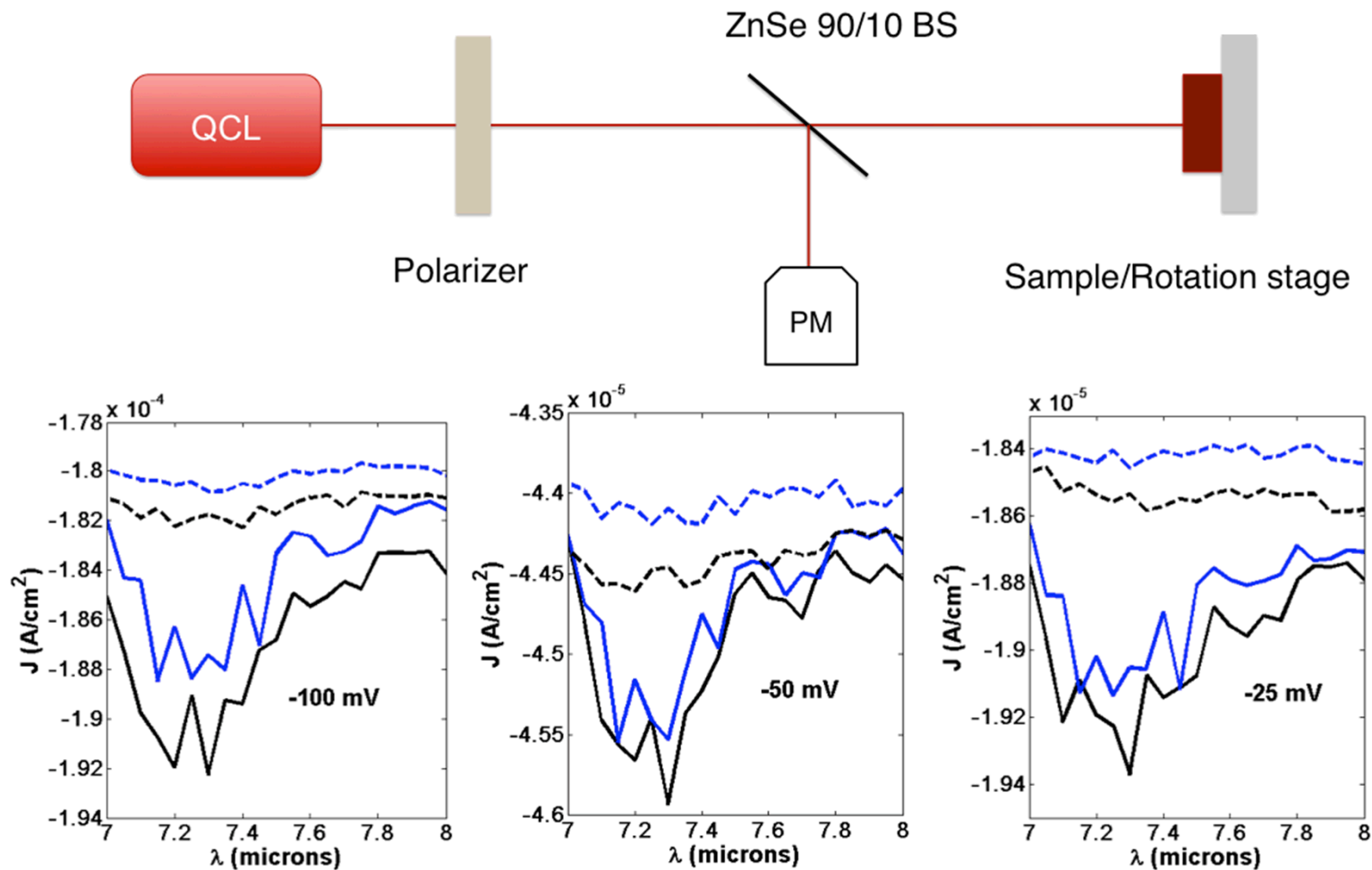


Tunneling current in MOS diode is  
Asymmetric due to different density of states

# Experimental Data



# QCL Illuminated Sample



Photoresponse of large area antenna coupled diode

# 1D Tunneling Rectification

- Large transverse field confinement in tunnel gap
  - ***Maximum field at  $Re(\epsilon)=1$***
- Engineered Spoof Surface Plasmon Resonance overlap with  $SiO_2$  optical phonon resonances.
- Photocurrent seen under broad-band (black-body) illumination with infrared only light.
- QCL photoresponse signal in photon-phonon enhanced tunneling regime.
- 1D TM polarization only coupling into tunnel gap.

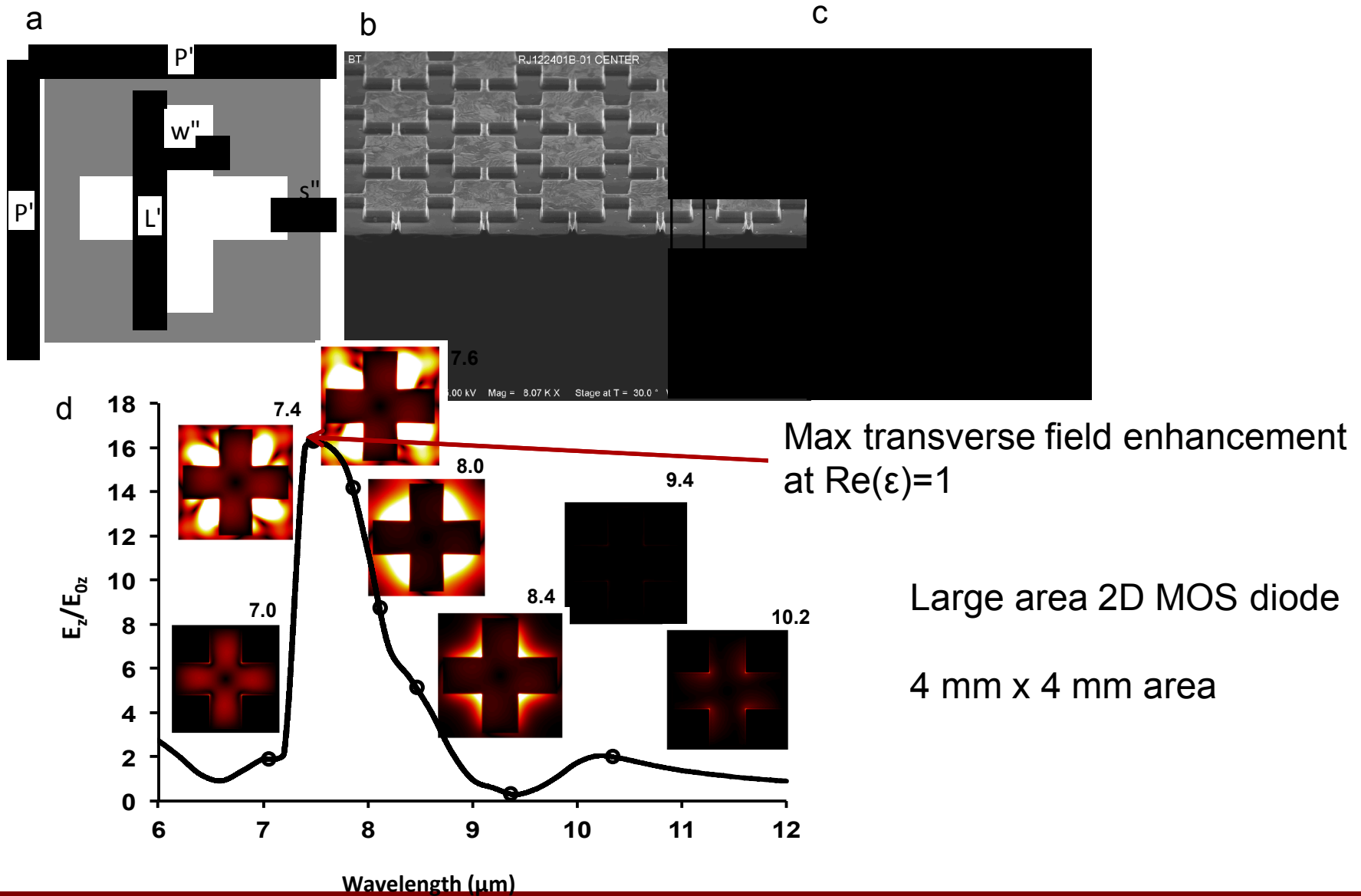
Details in reference

# 2D Tunneling Rectification

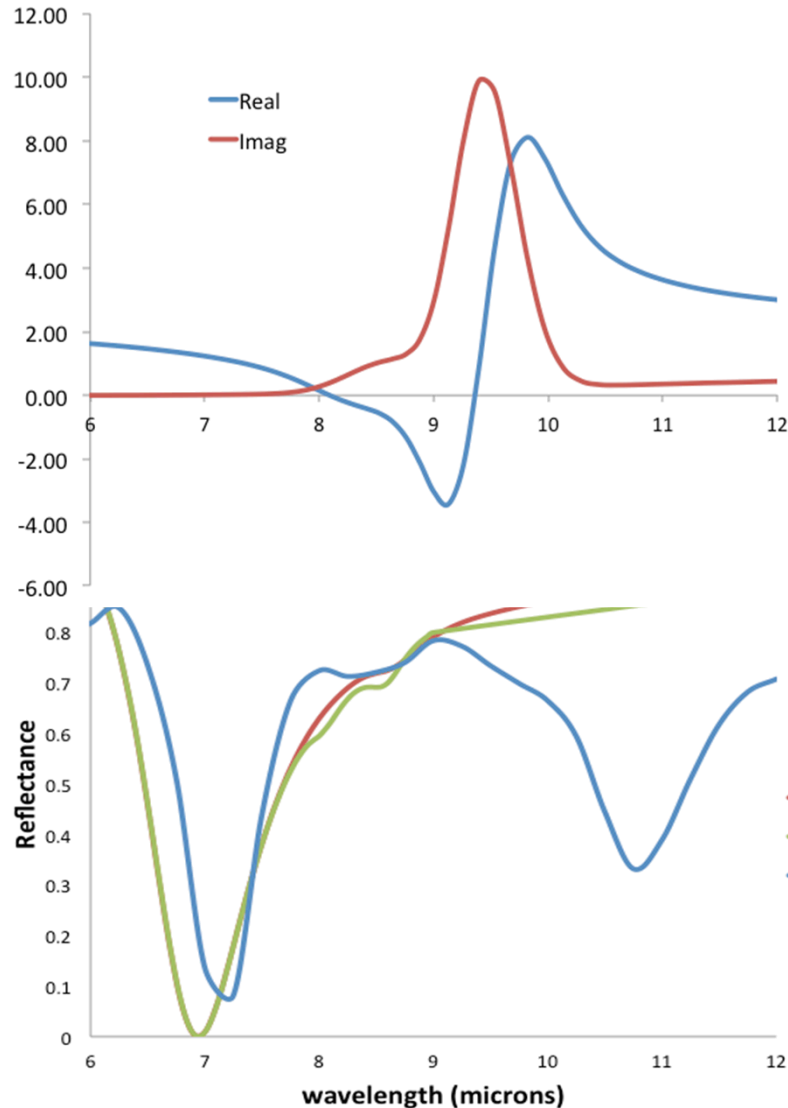
- Drawbacks of 1D Antenna design
  - Polarization sensitive (TM couples but not TE)
  - Spoof surface mode to couple into epsilon near unity
  - Difficult to optimize field confinement
- 2D Antenna structure
  - Polarization and angular independence ( cone of incidence)
  - Higher field confinement in larger area
  - Use Surface diffracted mode to couple into epsilon near unity
- Challenges:
  - Fabrication
  - Yield
  - Testing



# 2D Antenna coupled tunnel diode



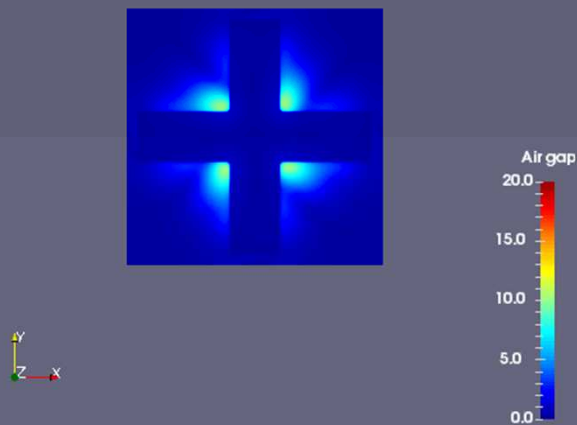
# $\text{Re}(\epsilon) \approx 1$ : Resonance matching



- Goal: Overlap photonic resonance with  $\text{Re}(\epsilon)=1$
- Examine Transverse field concentration in gap for
  - Oxide filled structure (experiment)
  - Oxide in tunnel gap only
  - Vacuum/Air in tunnel gap and filled structure

# $\text{Re}(\epsilon) \approx 1$ : Field Concentration

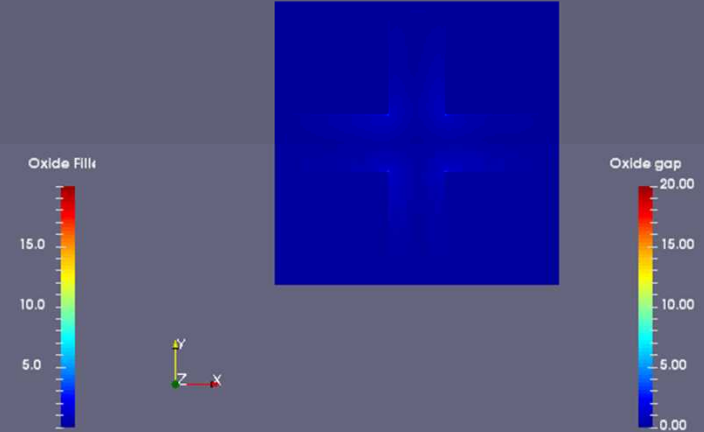
Air replacing oxide



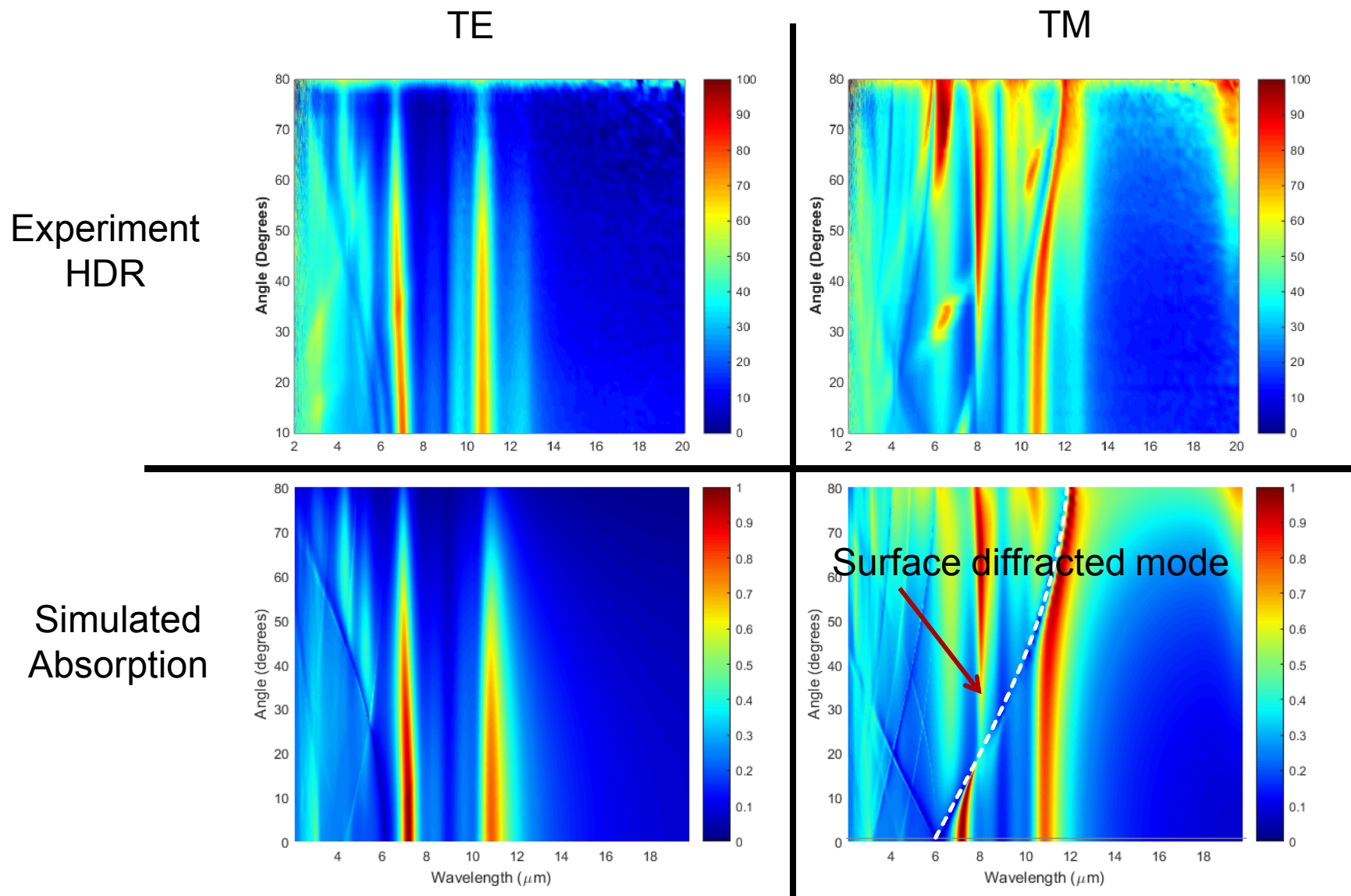
Oxide Filled



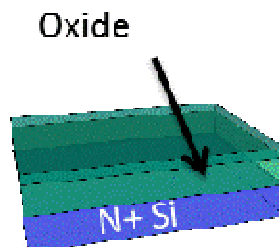
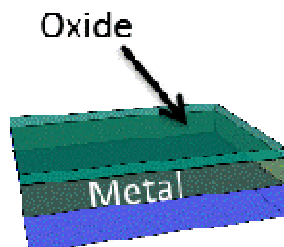
Oxide in Tunnel Barrier  
only



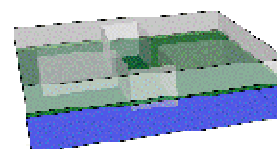
# 2D Angular Absorption Spectra



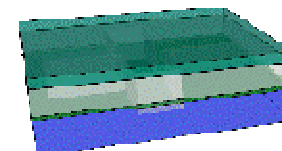
# 2D Photonic Modes



2D Cross-dipole  
in air



2D Cross-dipole  
oxide fill



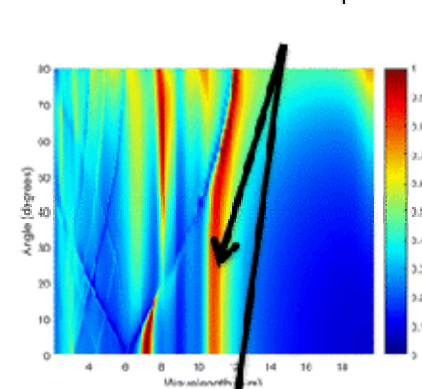
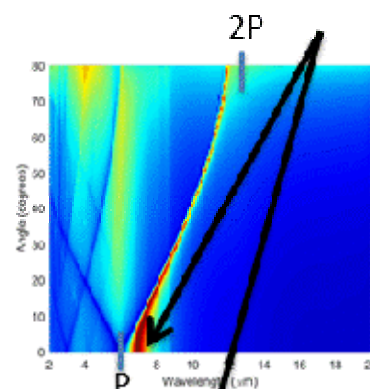
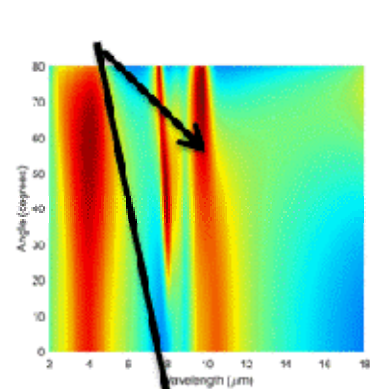
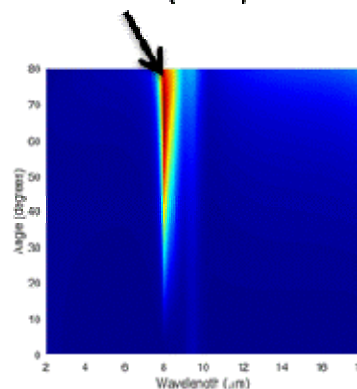
Bereman (ENZ) mode

Oxide Thin film modes

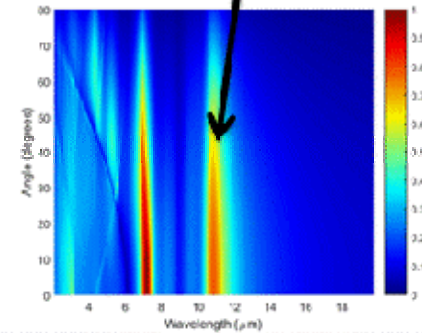
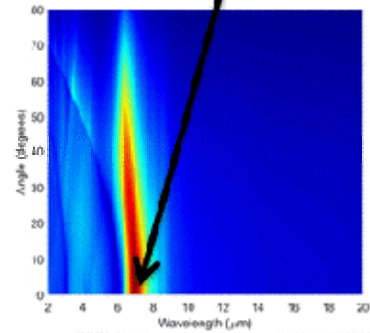
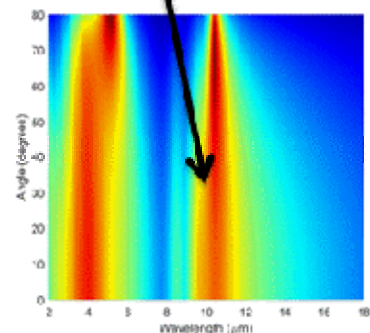
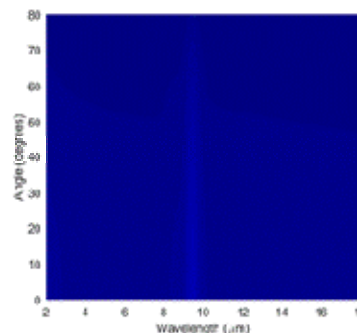
Structure modes

Oxide Absorption

TM



TE

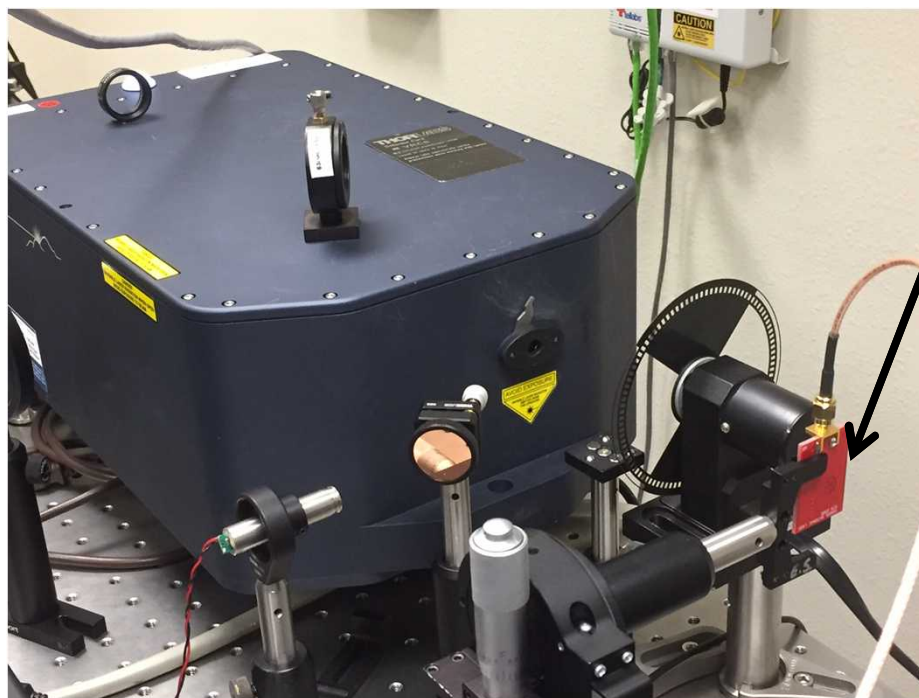


# Photocurrent spectrum

## 2D Rectenna Angular Photocurrent Spectrum at $V=0$

QCL wavelengths 7-11 microns

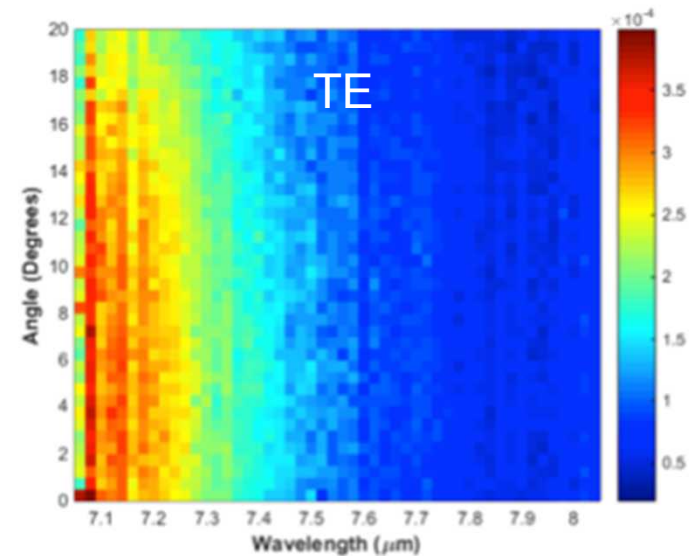
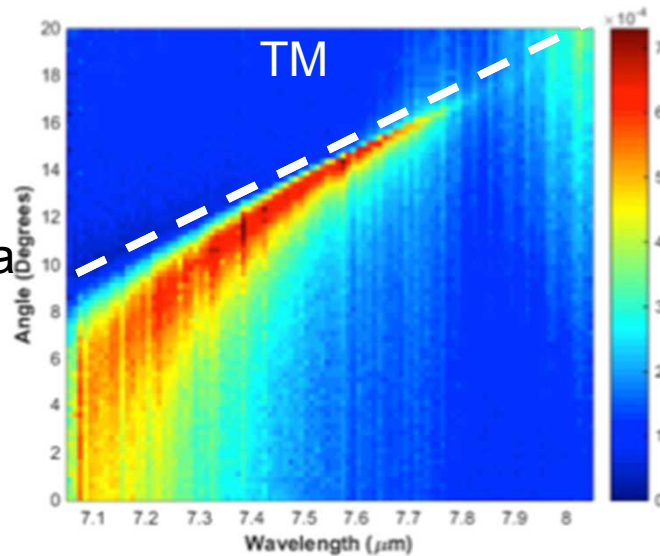
Rectenna mounted



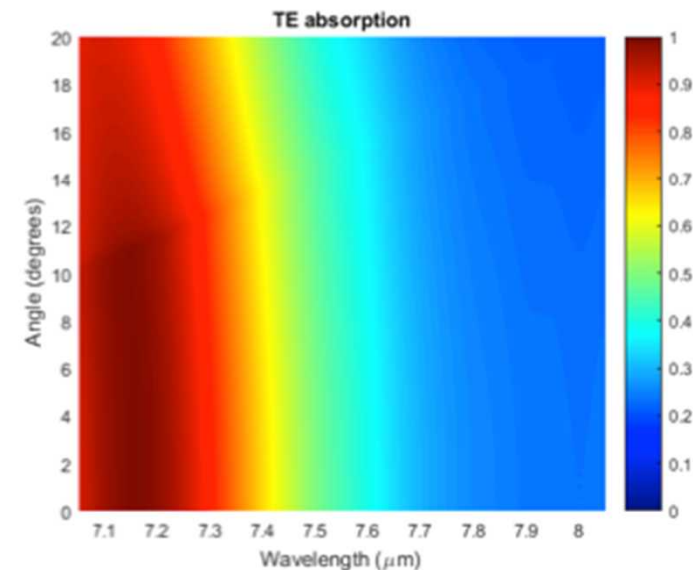
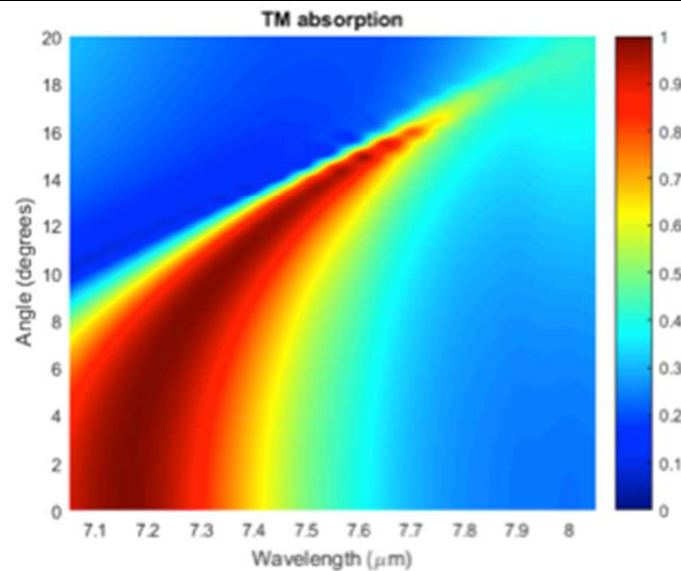


# Photocurrent spectrum

Measured Angular  
Photocurrent Spectra  
at  $V=0$



Simulated  
Absorption





- ***SiO<sub>2</sub> optical phonons give epsilon near unity  $Re(\epsilon) \cong 1$  (ENU) result in large transverse field enhancement in tunnel barrier.***
- Photonic surface modes couple thru ENU dispersion to create large field in device.
  - 1D -- Spoof surface plasmon mode (  $2P < \lambda$  )
  - 2D – Surface diffracted mode (  $P \cong \lambda$  )
- Leads to enhanced IR tunneling photocurrent in ENU region.
- Potentially new large area heat to electrical current (Thermoelectric) conversion method.

# Oxide Optical Phonons

$$\epsilon(\omega) = \epsilon_{\infty} \left( 1 - \frac{\omega_{pl}^2}{\omega^2 - \omega_T^2 + i\gamma\omega} \right),$$

$$\omega_{pl}^2 = 4\pi e^2 n / m_e \epsilon_{\infty}$$

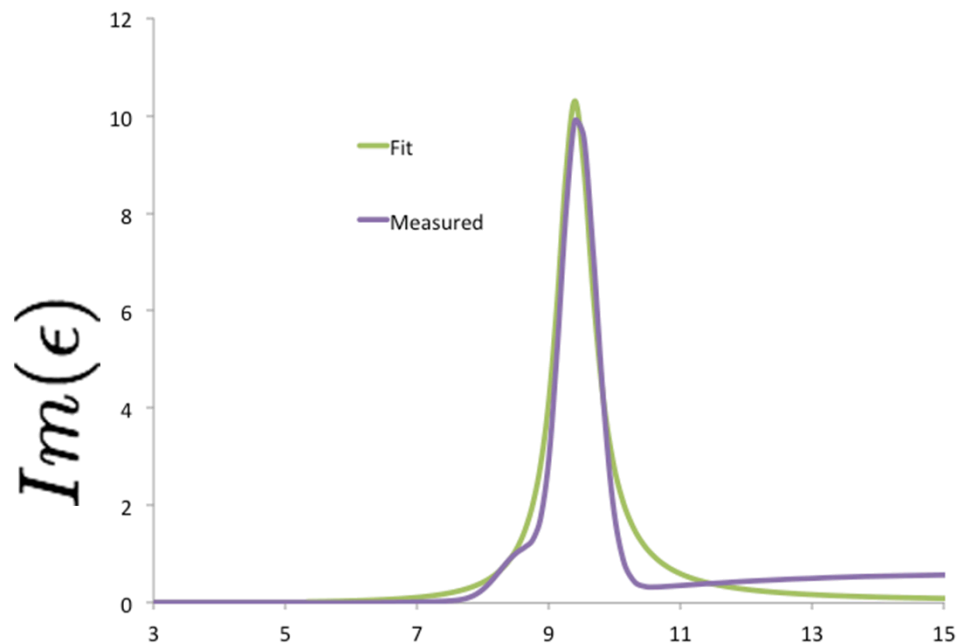
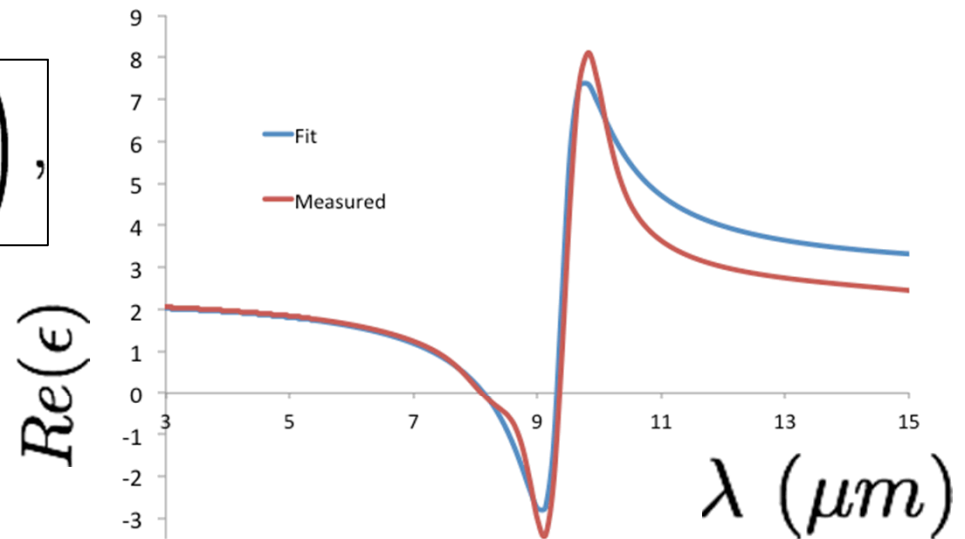
$$\epsilon_{\infty} = 2.1$$

$$\omega_T = 1/9.38 \text{ } (\mu m^{-1})$$

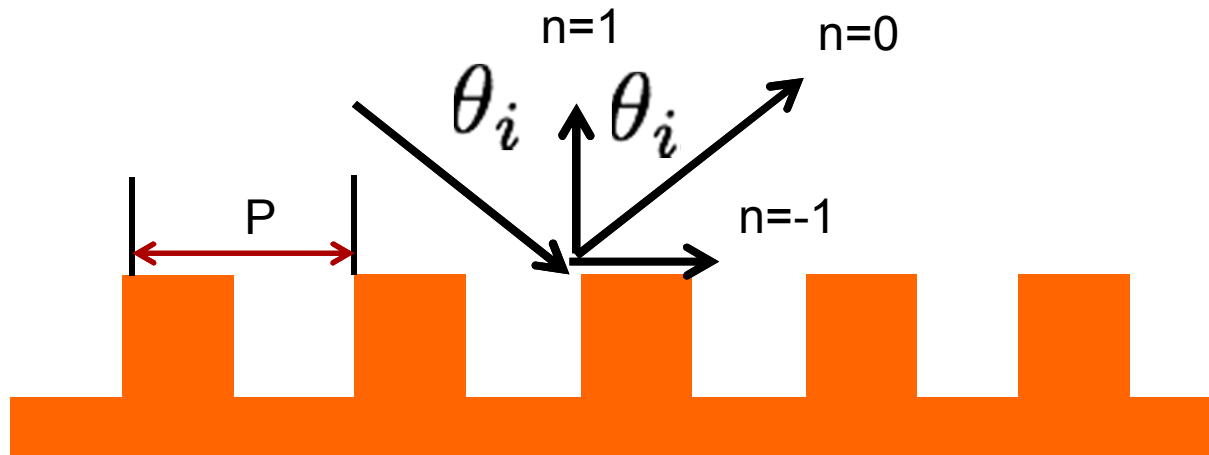
$$\omega_{LO} = 1/7.96 \text{ } (\mu m^{-1})$$

$$\omega_{pl} = 1/15.77 \text{ } (\mu m^{-1})$$

$$\gamma = 0.0077 \text{ } (\mu m^{-1})$$



# Surface diffraction mode



$$k(\sin(\theta_i) - \sin(\theta_r^n)) = \frac{2\pi}{P}n,$$

$$\sin(\theta_r^{-1}) = 1$$

$$\theta_i = \sin^{-1}(1 - \lambda/P)$$

$$P \leq \lambda < 2P$$

