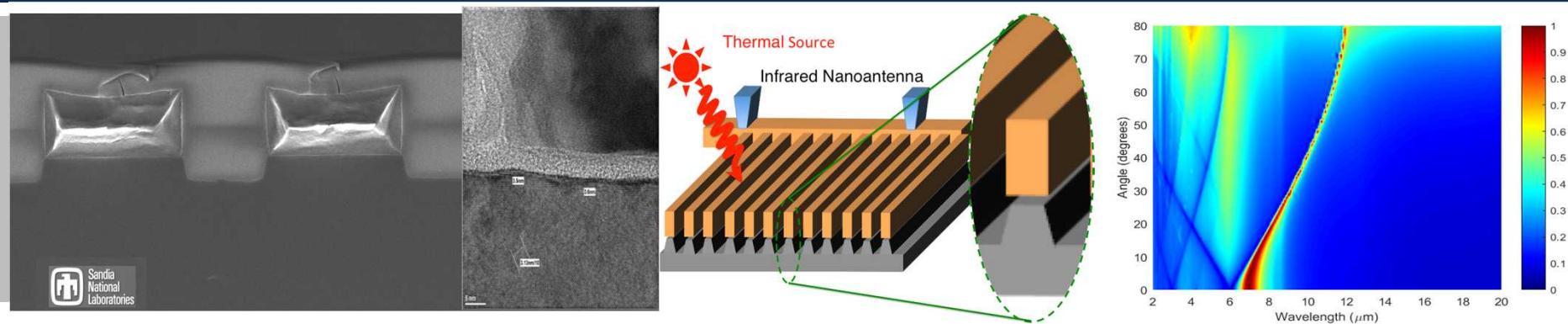


Exceptional service in the national interest



Tunneling rectification in an infrared nanoantenna coupled MOS diode

Paul Davids, Emil Kadlec, Steve Howell, David Peters

Motivation

A new thermoelectric conversion mechanism (heat to electrical power) based on direct conversion of infrared radiation from a thermal source into electrical power.

- *Large area infrared antenna coupled metal-oxide-semiconductor (MOS) tunnel diode rectifier.*
- *Strong Photon-Phonon coupling gives large transverse field enhancement in nanometer scale tunnel gaps.*
- Advantages of new **Rectenna** device technology:
 - Uses well established mature Si manufacturing technology for large area devices.
 - Radiative approach: non-contacting of thermal source; needs only view of thermal source to generated power.

Thermoelectric Generation

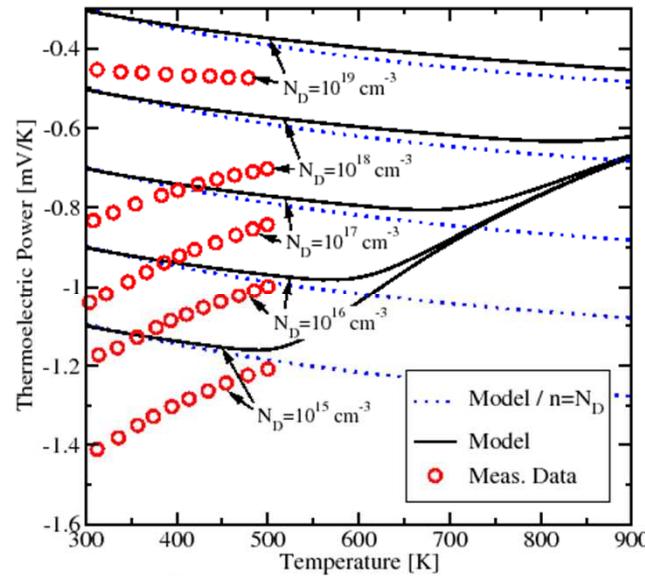
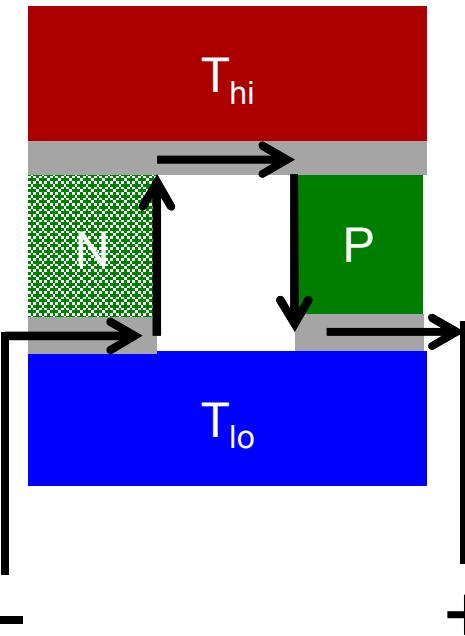


Figure 3.8: Seebeck coefficients for differently doped n-type silicon samples.

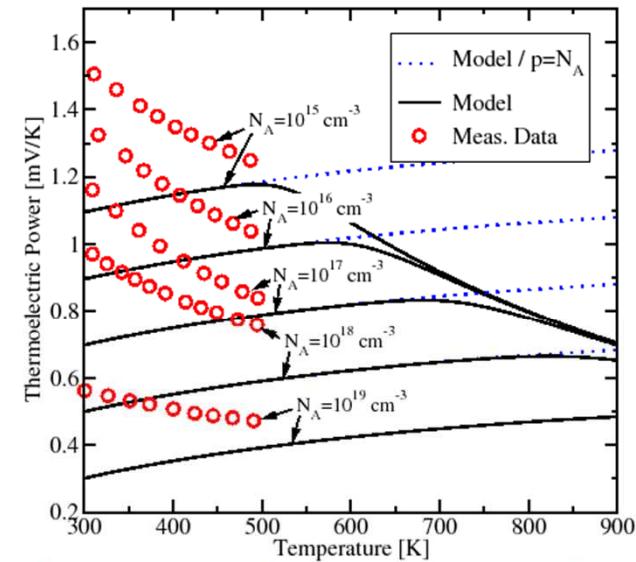
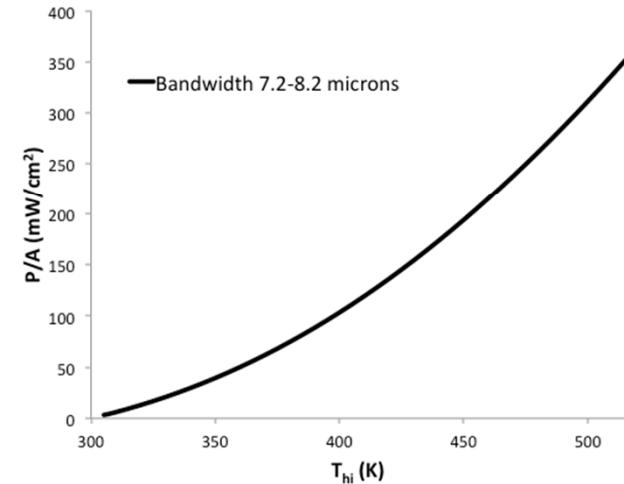
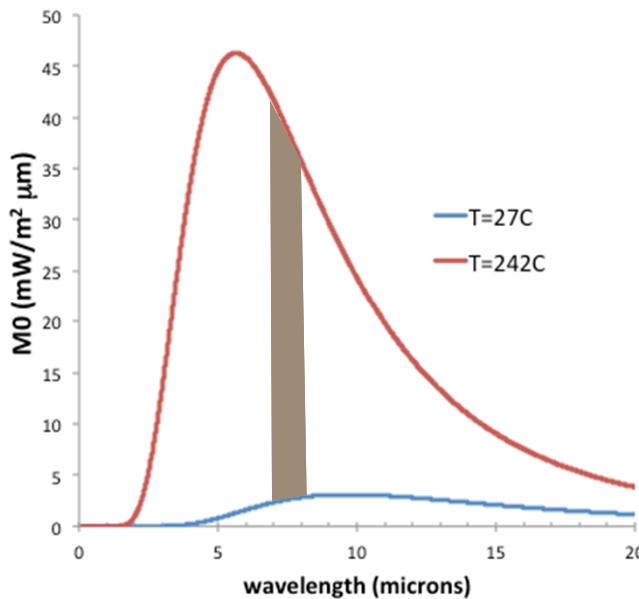
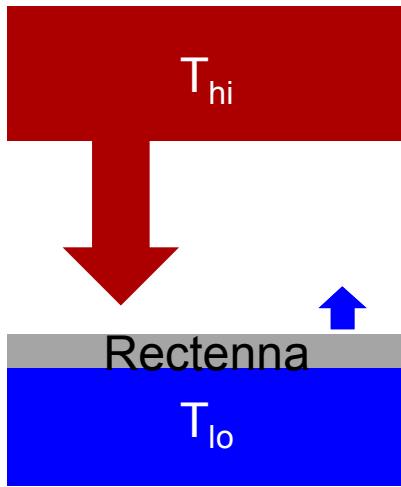


Figure 3.7: Seebeck coefficients for differently doped p-type silicon samples. Solid lines depict the theoretical models, whereby the decrease for elevated temperatures results from the increased hole concentration in the intrinsic range.

- **Seebeck effect:** Thermal induced EMF due to temperature difference.
- Load Resistor: Current flow
- Thermoelectric figure of merit: ZT
 - Maintain Temperature difference – low thermal conductivity
 - High electrical conductivity

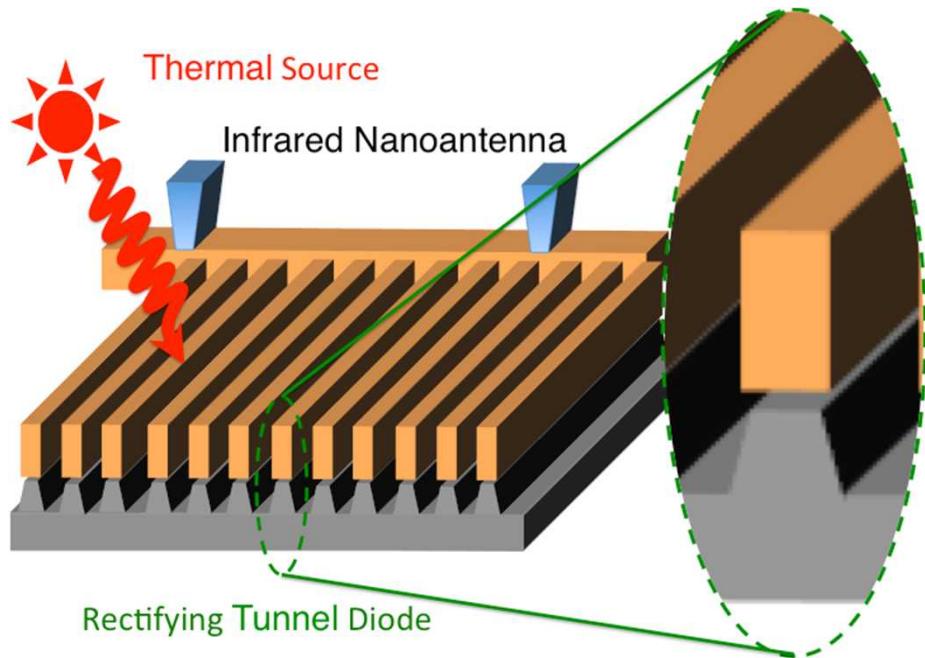
New Radiative Thermoelectric Generation



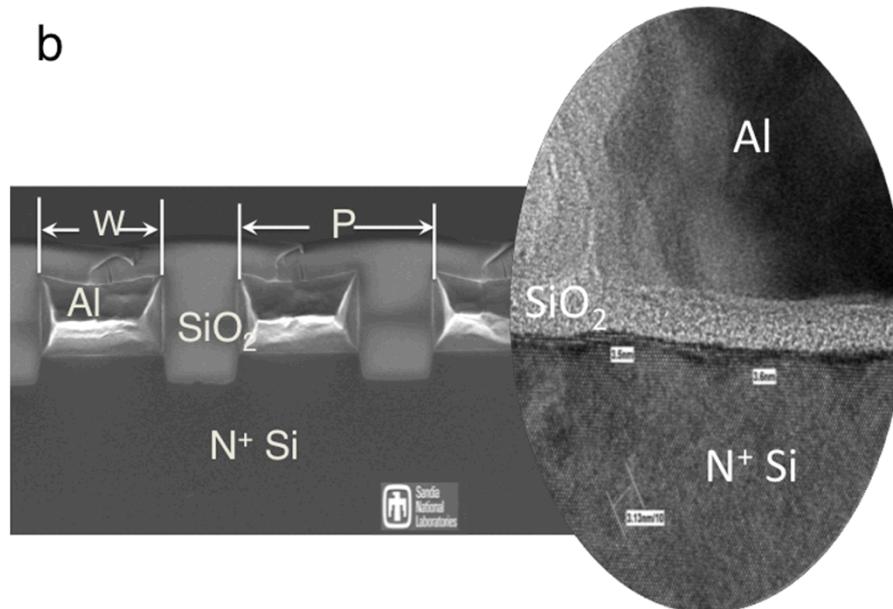
Rectenna: New device that directly converts broadband incident infrared radiation into dc electrical current.

- Antenna coupled coupled metal oxide semiconductor tunnel diode.
- Key insight
 - Optical phonon polariton creates enhanced field in tunnel barrier.
 - Large field in tunnel diode gives large DC rectified current.

Infrared Rectenna



b

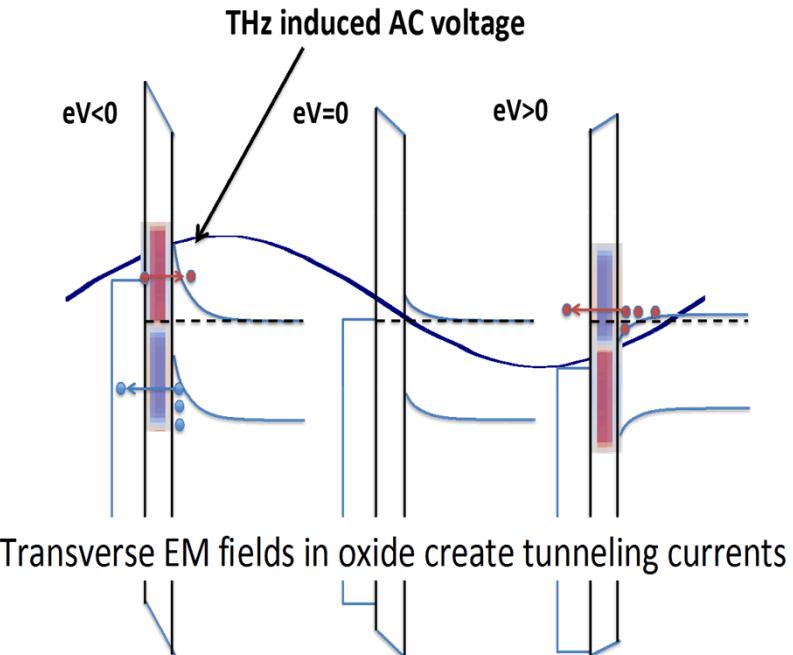


1D large area grating coupled MOS tunnel diode.
4mm x 4 mm square
Grating Pitch $P = 3.0$ microns
Width 1.8 microns
Tunnel Oxide thickness ~ 3.5 nm

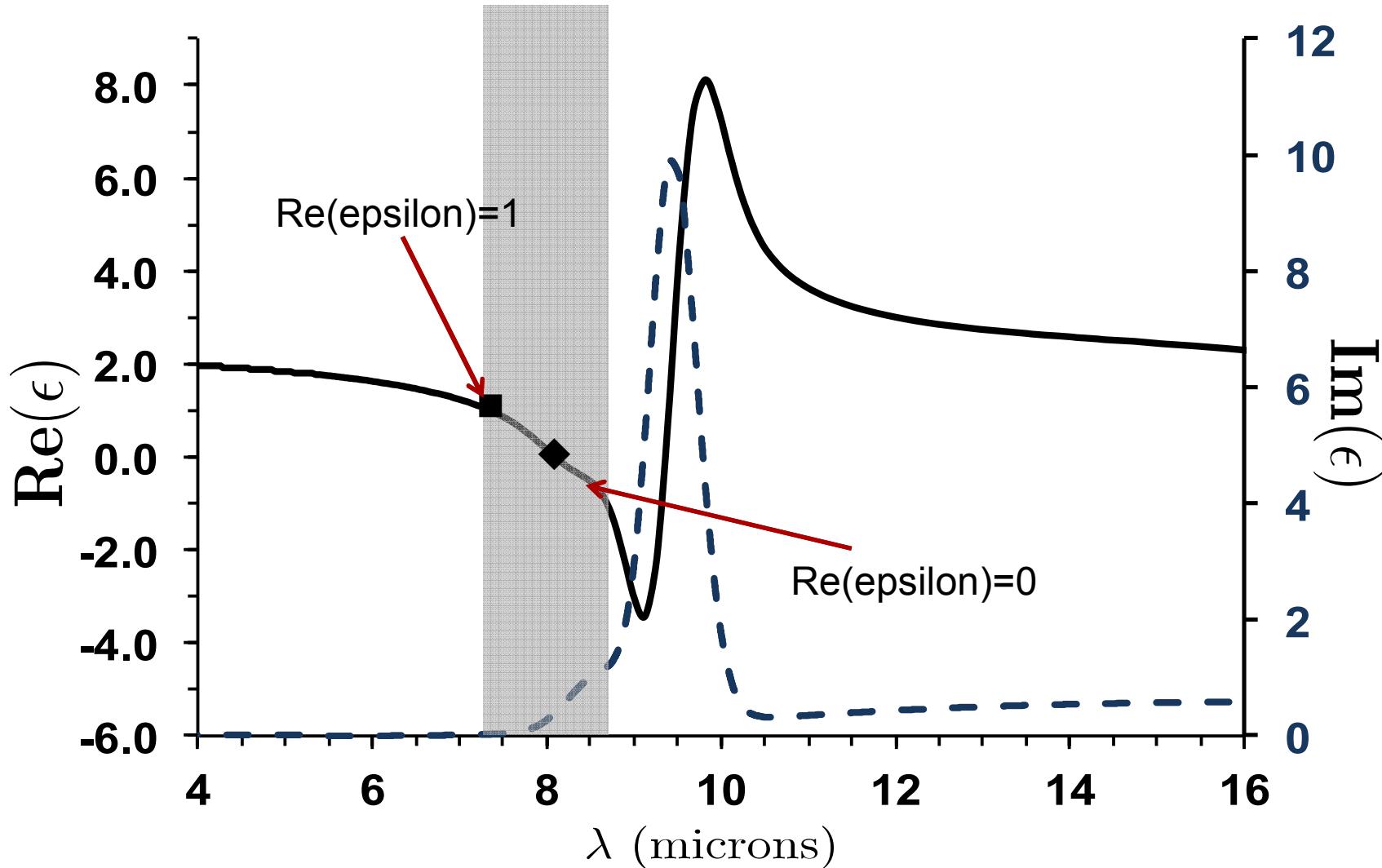
- [1] P. S. Davids, R. L. Jarecki, A. Starbuck, D. B. Burckel, E. A. Kadlec, T. Ribaudo, E. A. Shaner, and D. W. Peters. Infrared rectification in a nanoantenna-coupled metal-oxide-semiconductor tunnel diode. *Nature nanotechnology*, 10(12):1033–1038, 2015.
- [2] J. C. Ginn, R. L. Jarecki, E. A. Shaner, and P. S. Davids. Infrared plasmons on heavily-doped silicon. *Journal of Applied Physics*, 110(4):043110, 2011.

How it works

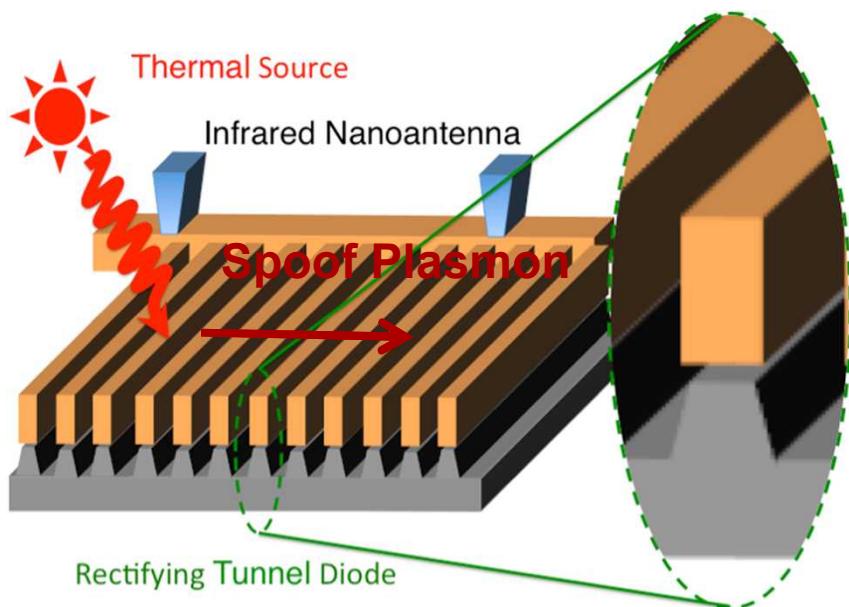
- Large area antenna coupled MOS diode.
- Coupled Material and Photonic Resonances
 - Polar oxide Restrahlen peak.
 - Photonic surface mode resonances.
- Enhanced transverse field confinement in tunnel barrier.
- Tunneling rectification.
 - Direct tunneling



Material Dispersion: Oxide Optical Phonons

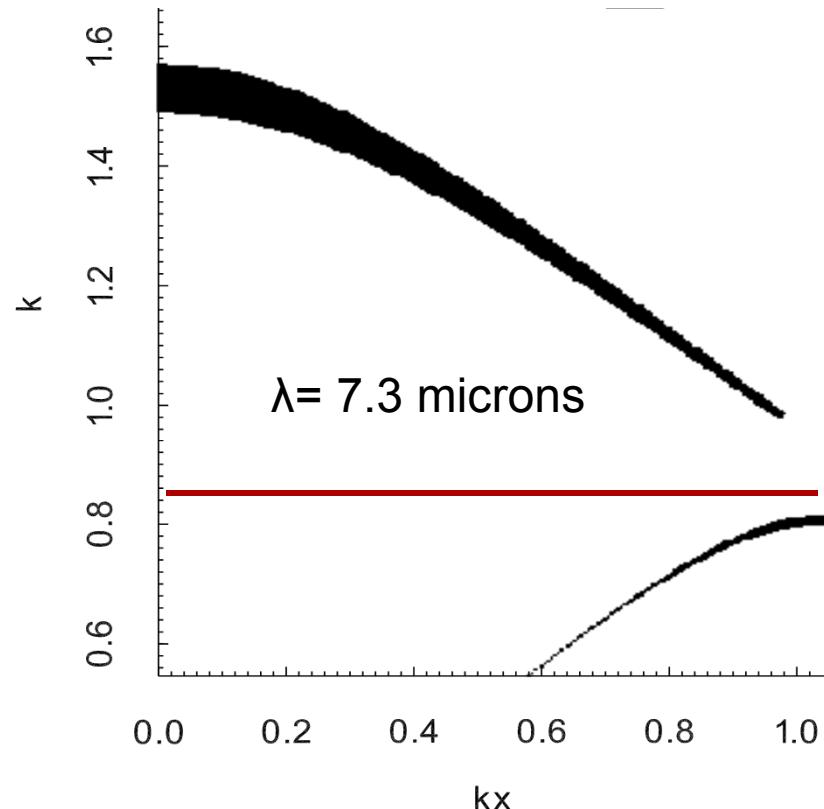


Photonic Surface Mode



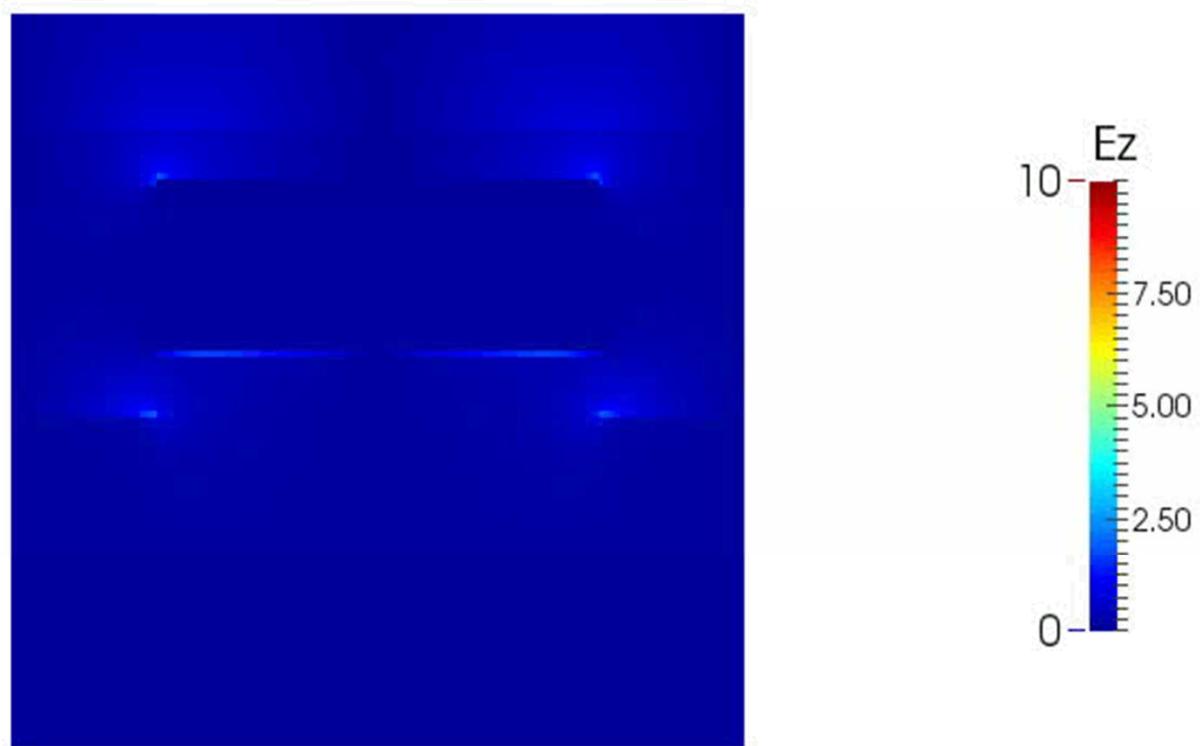
Spoof Plasmon Mode in 1D grating

$$\frac{w}{L_x} s_0^2 \tan(kh) = \frac{\sqrt{k_x^2 - k^2}}{k},$$



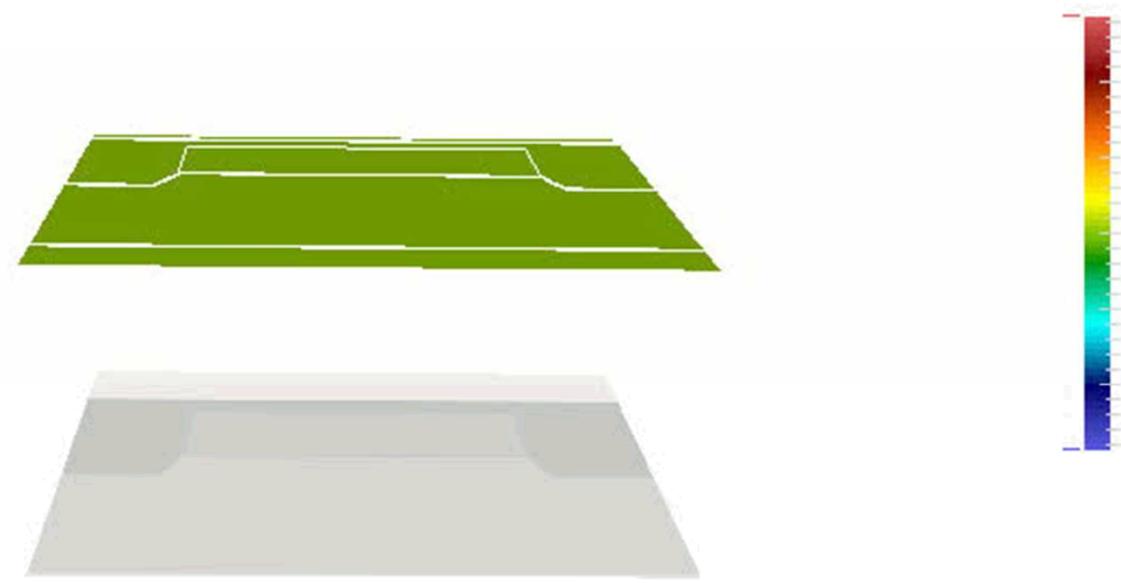
- [1] P. Davids, F. Intravaia, and D. Dalvit. Spoof polariton enhanced modal density of states in planar nanostructured metallic cavities. *Optics Express*, 22(10):12424–12437, 2014.
 [2] F. J. Garcia-Vidal, L. Martín-Moreno, and J. B. Pendry. Surfaces with holes in them: new plasmonic metamaterials. *Journal of Optics A: Pure and Applied Optics*, 7(2):S97–S101, Feb. 2005.

Field Enhancement in Tunnel Gap



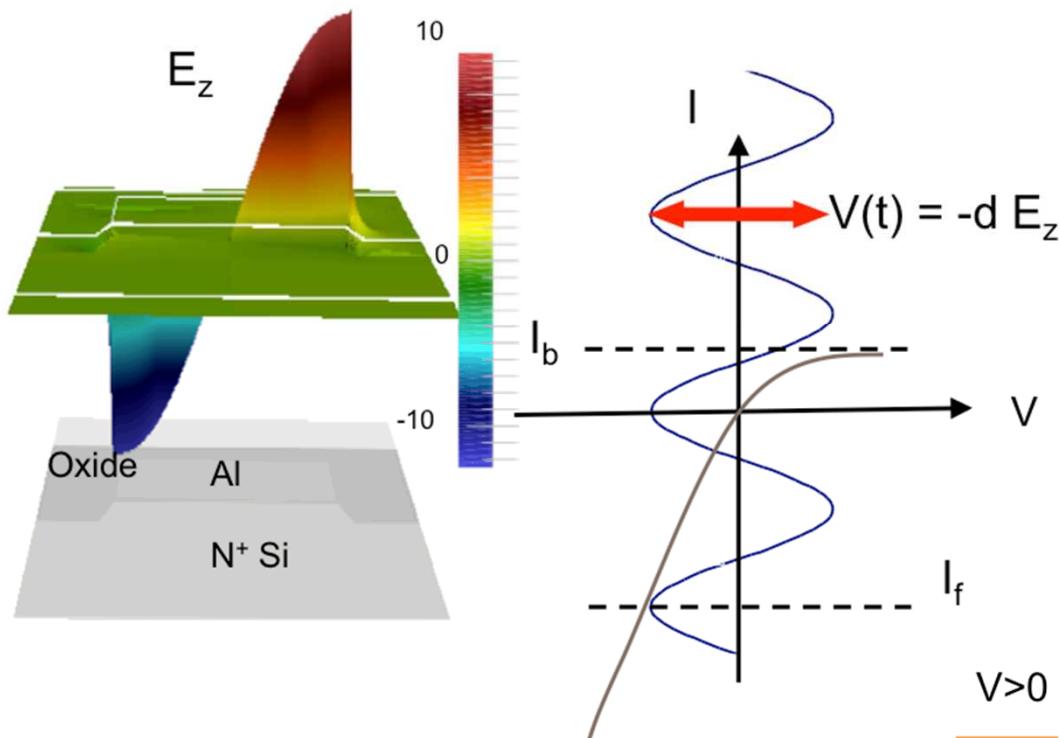
E_z versus wavelength (6 - 12 microns)

Field Enhancement in Tunnel Gap



Ez at 7.3 microns $\text{Re}(\epsilon) = 1$ Peak field enhancement

Tunneling Rectification

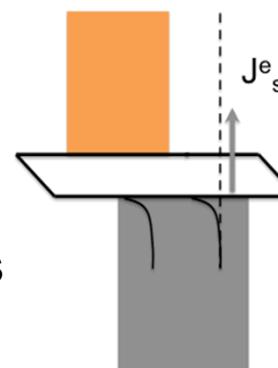


Distributed Current in MOS Tunnel Diode

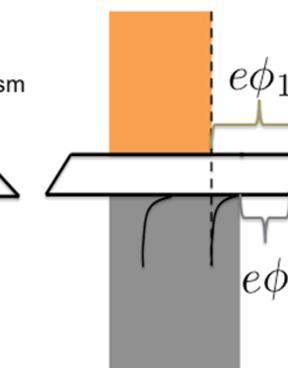
Half-wave DC current

$$I_{DC} = I_f - I_b$$

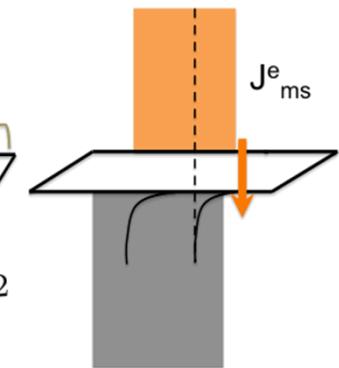
$V > 0$



$V = 0$

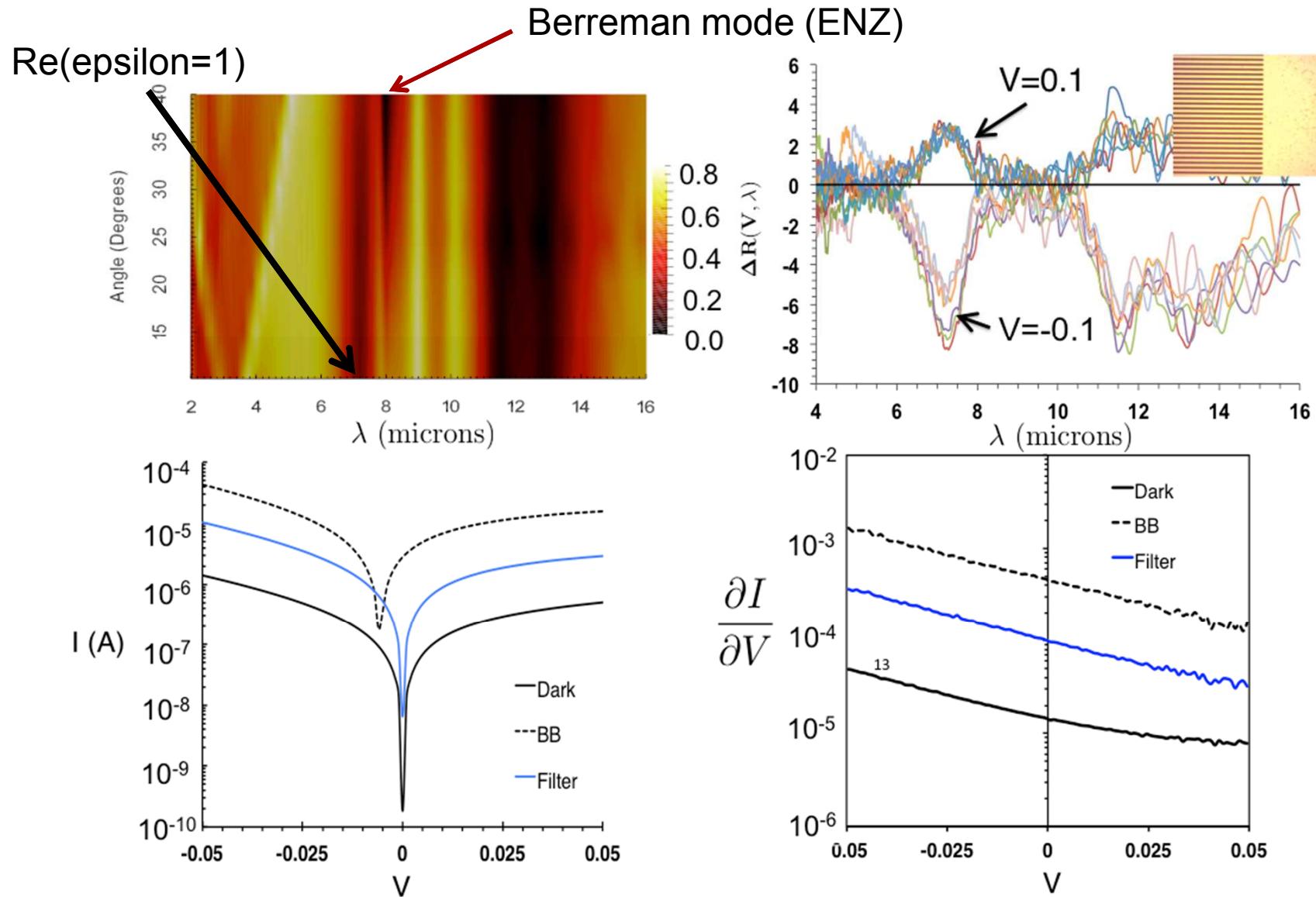


$V < 0$

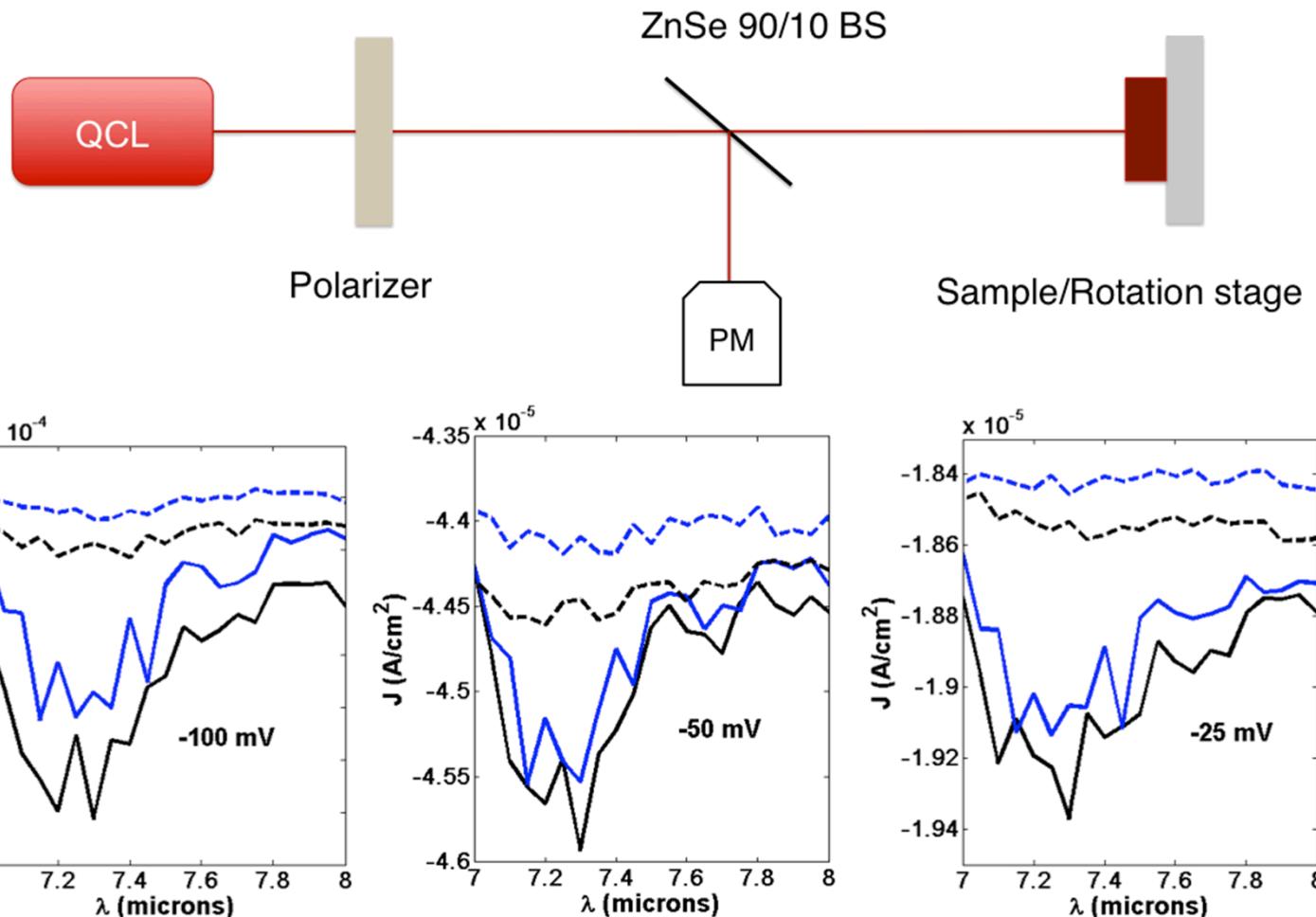


Tunneling current in MOS diode is Asymmetric due to different density of states

Experimental Data



QCL Illuminated Sample



Photoresponse of large area antenna coupled diode

1D Tunneling Rectification

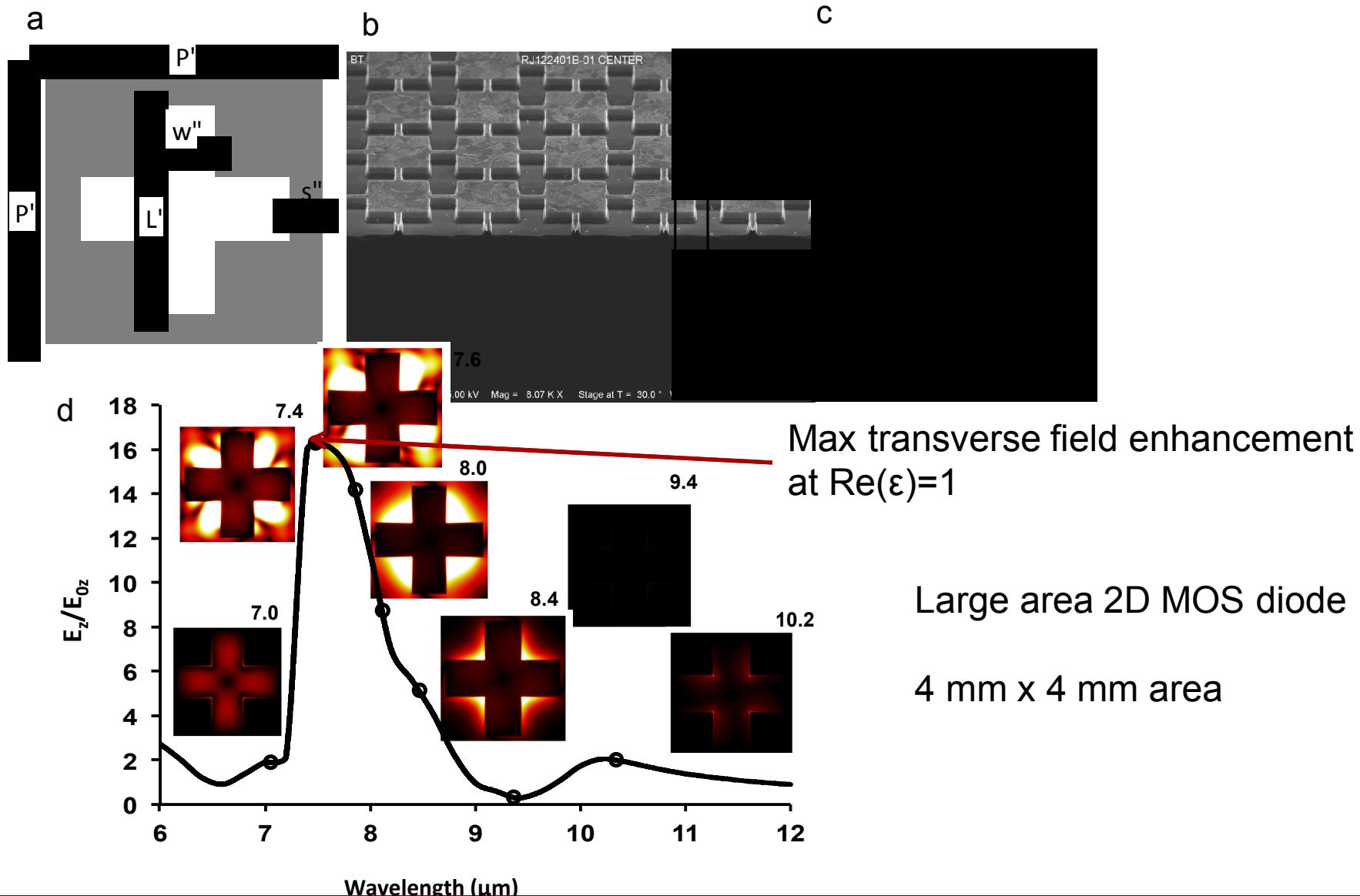
- Large transverse field confinement in tunnel gap
 - *Maximum field at $Re(\epsilon)=1$*
- Engineered Spoof Surface Rlasmon Resonance overlap with SiO_2 optical phonon resonances.
- Photocurrent seen under broad-band (black-body) illumination with infrared only light.
- QCL photoresponse signal in photon-phonon enhancemed tunneling regime.
- 1D TM polarization only coupling into tunnel gap.

Details in reference

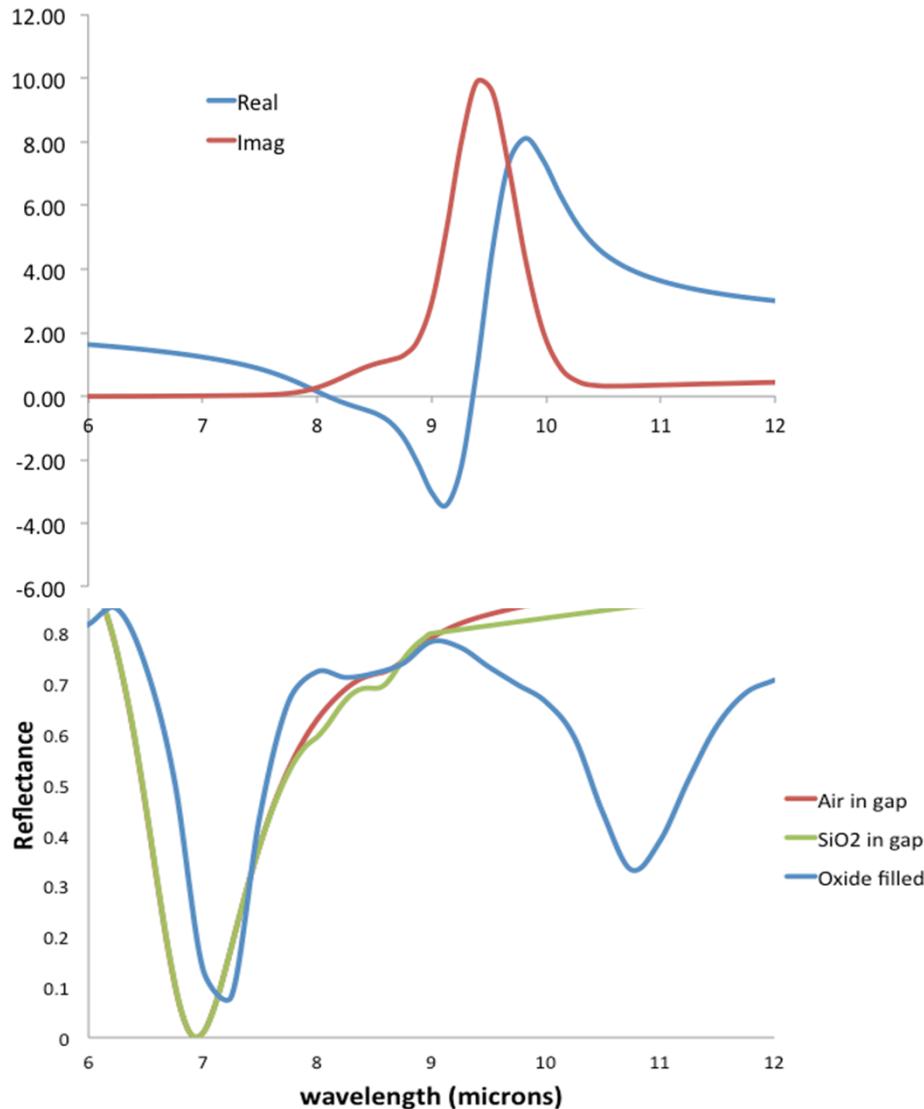
2D Tunneling Rectification

- Drawbacks of 1D Antenna design
 - Polarization sensitive (TM couples but not TE)
 - Spoof surface mode to couple into epsilon near unity
 - Difficult to optimize field confinement
- 2D Antenna structure
 - Polarization and angular independence (cone of incidence)
 - Higher field confinement in larger area
 - Use Surface diffracted mode to couple into epsilon near unity
- Challenges:
 - Fabrication
 - Yield
 - Testing

2D Antenna coupled tunnel diode



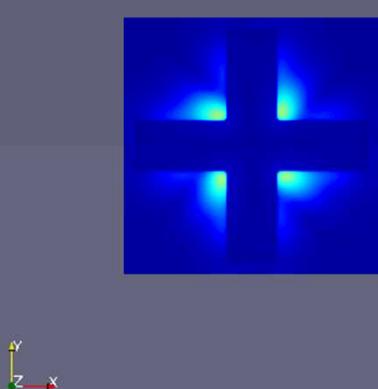
$\text{Re}(\epsilon) \approx 1$: Resonance matching



- Goal: Overlap photonic resonance with $\text{Re}(\epsilon)=1$
- Examine Transverse field concentration in gap for
 - Oxide filled structure (experiment)
 - Oxide in tunnel gap only
 - Vacuum/Air in tunnel gap and filled structure

$\text{Re}(\epsilon) \approx 1$: Field Concentration

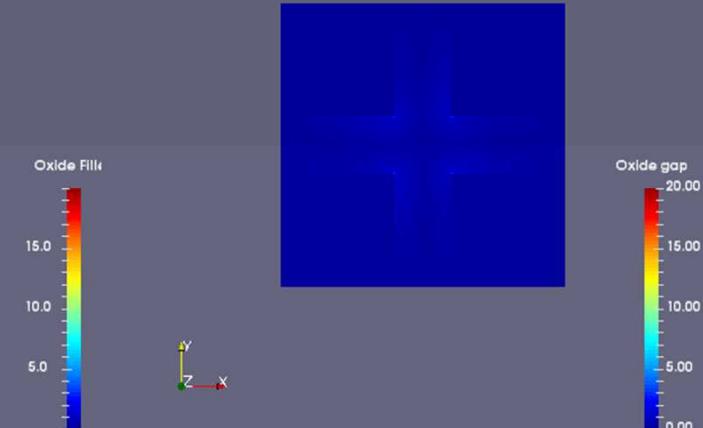
Air replacing oxide



Oxide Filled



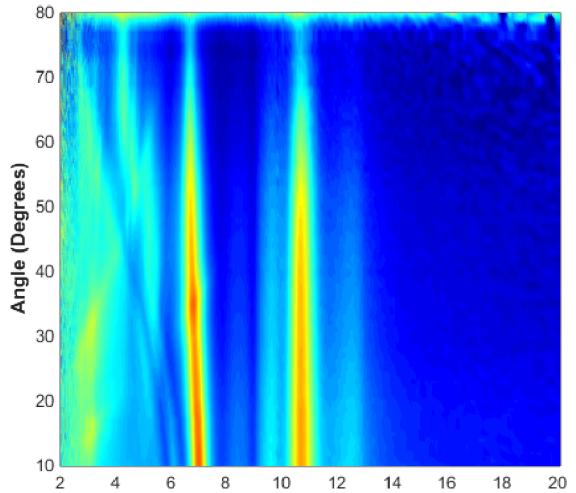
Oxide in Tunnel Barrier
only



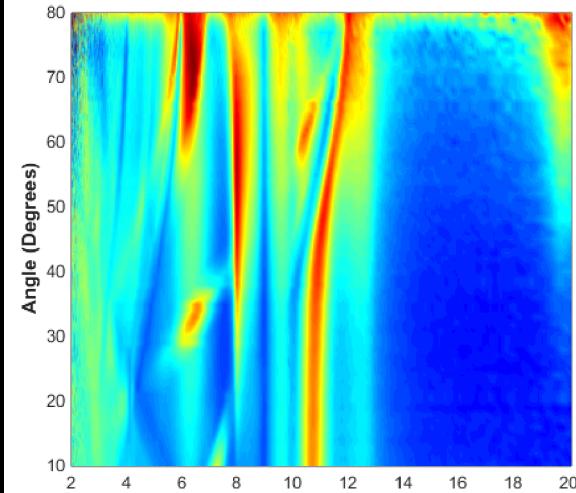
2D Angular Absorption Spectra

Experiment
HDR

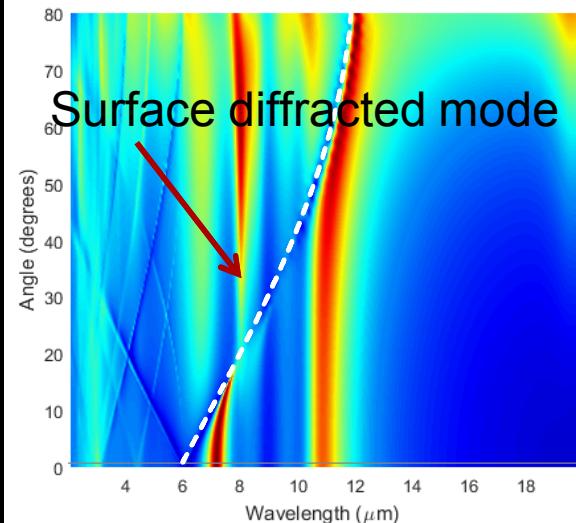
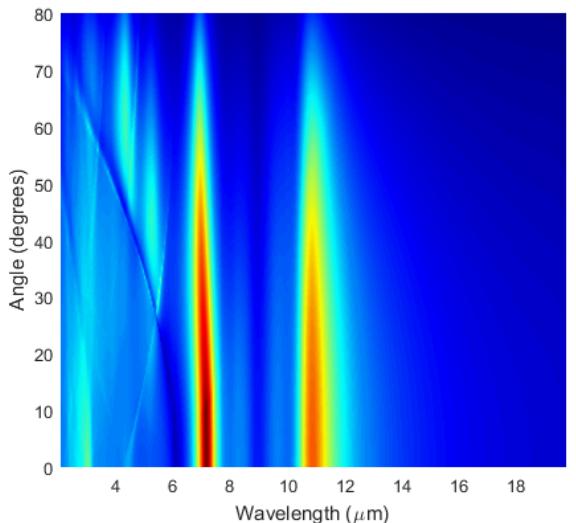
TE



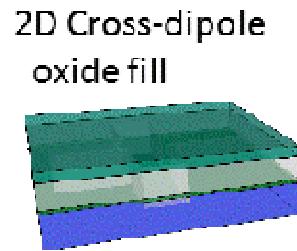
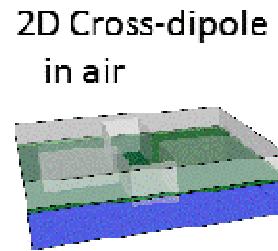
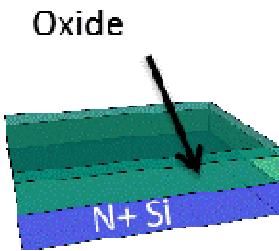
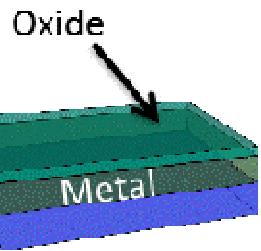
TM



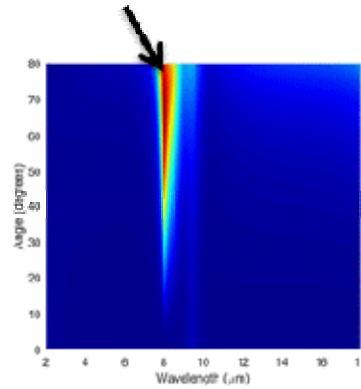
Simulated
Absorption



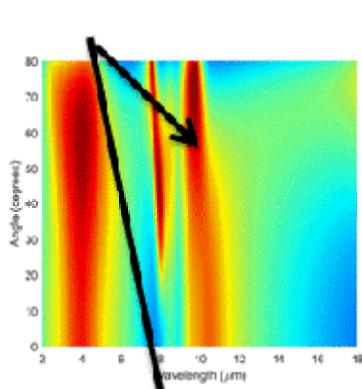
2D Photonic Modes



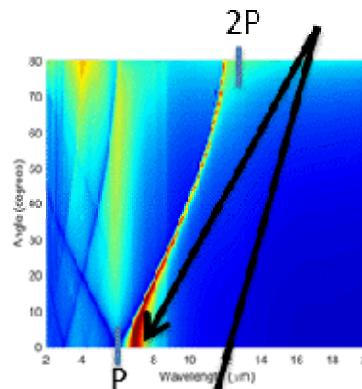
Bereman (ENZ) mode



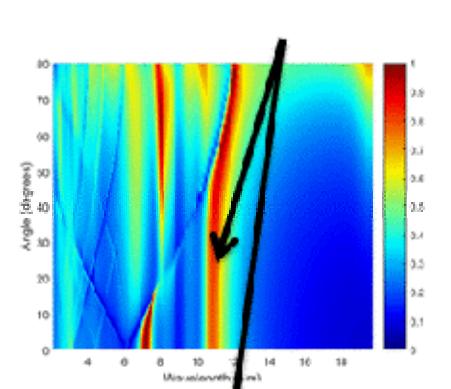
Oxide Thin film modes



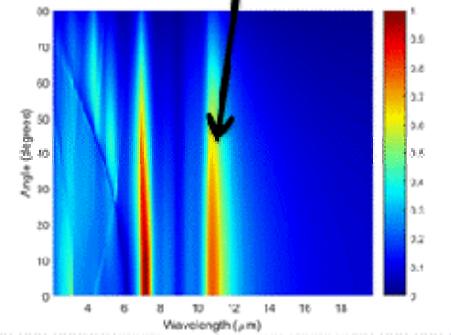
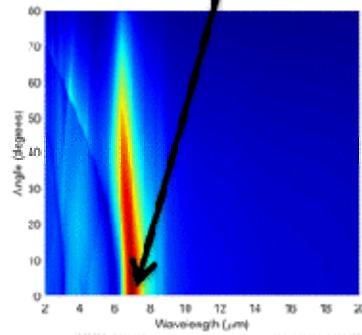
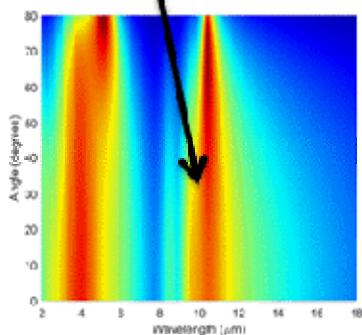
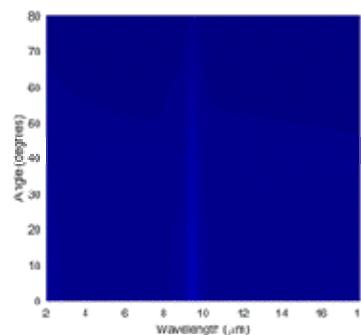
Structure modes



Oxide Absorption



TM

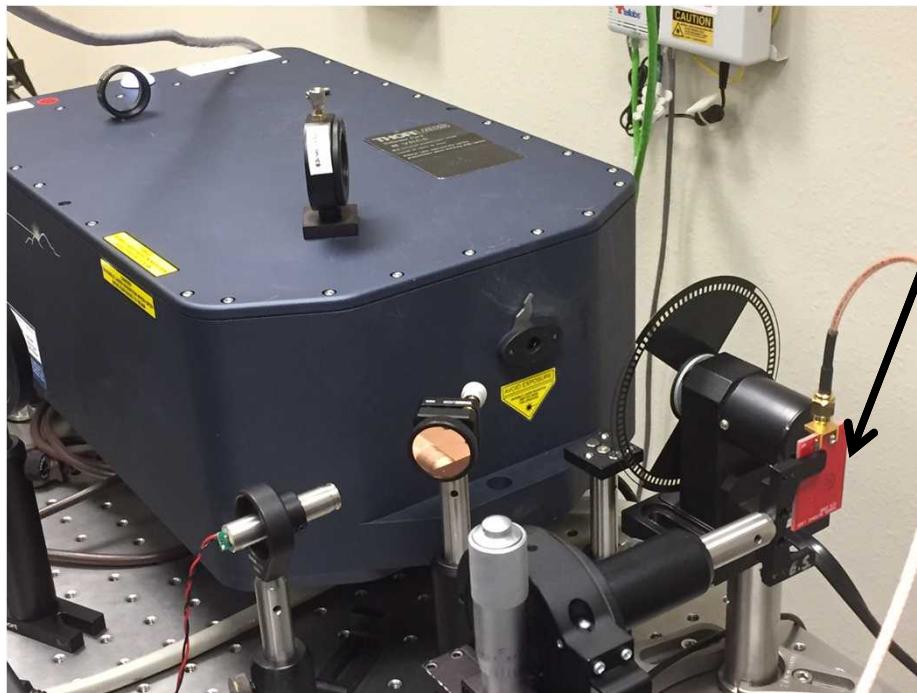


Photocurrent spectrum

2D Rectenna Angular Photocurrent Spectrum at V=0

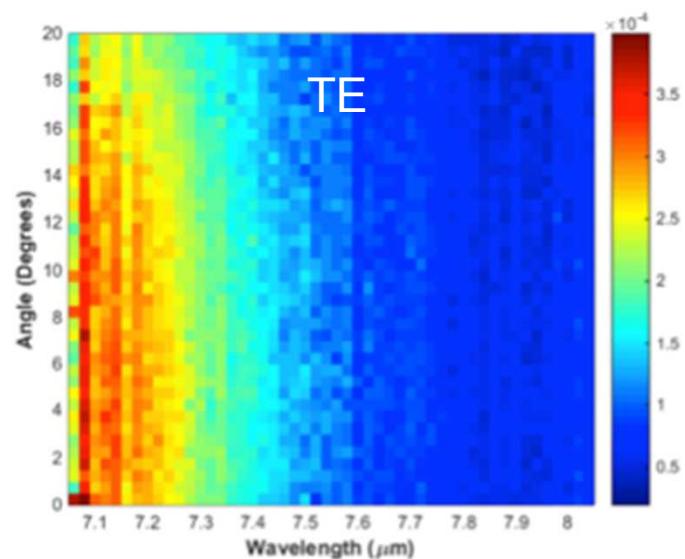
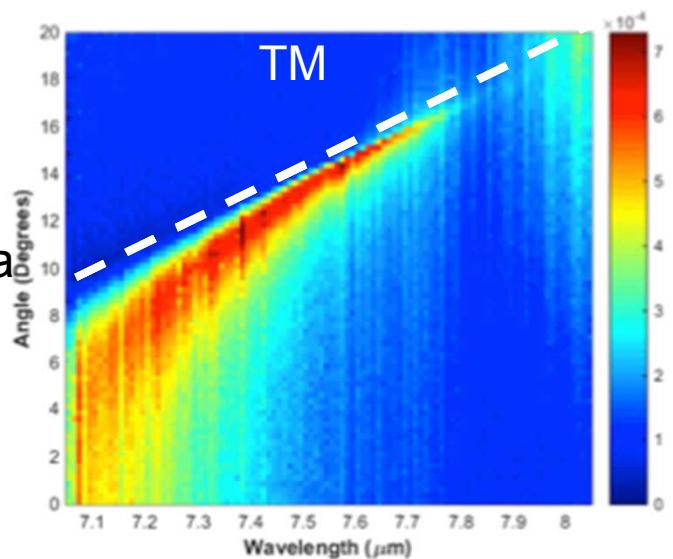
QCL wavelengths 7-11 microns

Rectenna mounted

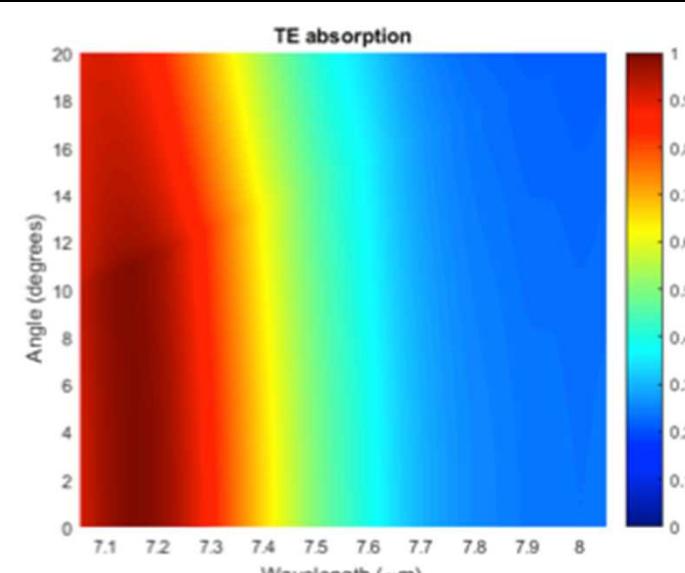
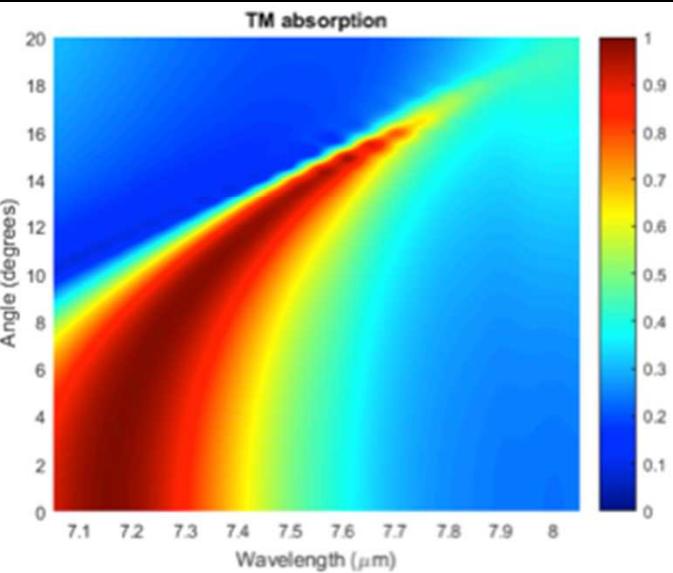


Photocurrent spectrum

Measured Angular
Photocurrent Spectra
at V=0



Simulated
Absorption



Summary

- *SiO_2 optical phonons give epsilon near unity $Re(\epsilon) \approx 1$ (ENU) result in large transverse field enhancement in tunnel barrier.*
- Photonic surface modes couple thru ENU dispersion to create large field in device.
 - 1D -- Spoof surface plasmon mode ($2P < \lambda$)
 - 2D – Surface diffracted mode ($P \approx \lambda$)
- Leads to enhanced IR tunneling photocurrent in ENU region.
- Potentially new large area heat to electrical current (Thermoelectric) conversion method.

Oxide Optical Phonons

$$\epsilon(\omega) = \epsilon_\infty \left(1 - \frac{\omega_{pl}^2}{\omega^2 - \omega_T^2 + i\gamma\omega} \right),$$

$$\omega_{pl}^2 = 4\pi e^2 n / m_e \epsilon_\infty$$

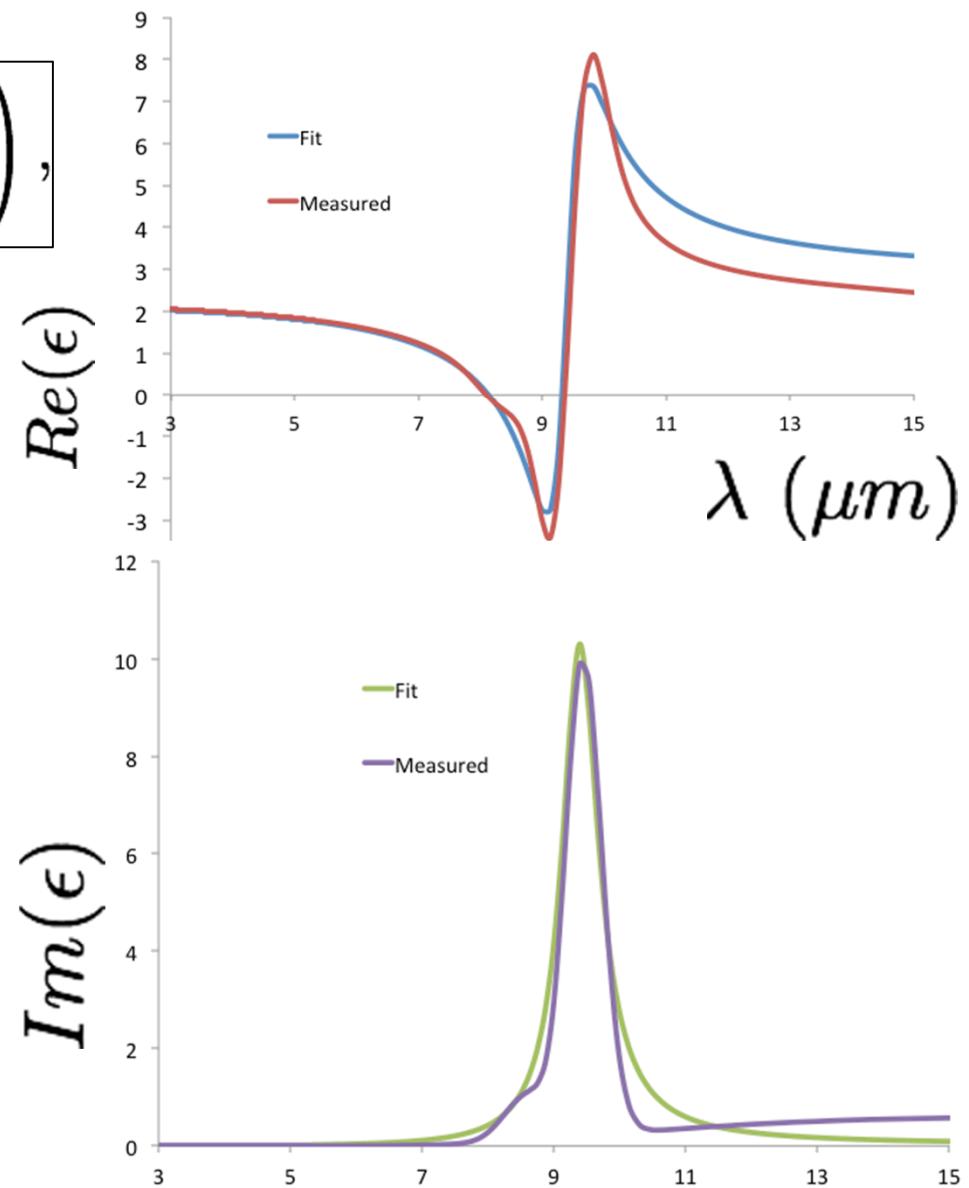
$$\epsilon_\infty = 2.1$$

$$\omega_T = 1/9.38 \text{ } (\mu m^{-1})$$

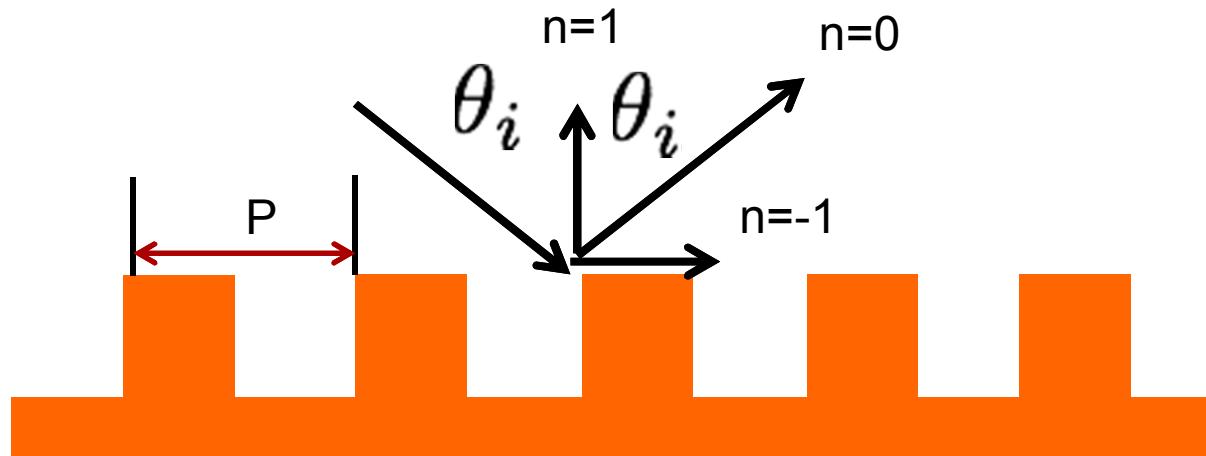
$$\omega_{LO} = 1/7.96 \text{ } (\mu m^{-1})$$

$$\omega_{pl} = 1/15.77 \text{ } (\mu m^{-1})$$

$$\gamma = 0.0077 \text{ } (\mu m^{-1})$$



Surface diffraction mode



$$k(\sin(\theta_i) - \sin(\theta_r^n)) = \frac{2\pi}{P}n,$$

$$\sin(\theta_r^{-1}) = 1$$

$$\theta_i = \sin^{-1}(1 - \lambda/P)$$

$$P \leq \lambda < 2P$$

