

*Exceptional service in the national interest*



# Nanostructure Stability and Wear of Binary Nanocrystalline Alloys

Blythe G. Clark, Sandia National Laboratories

July 11, 2016 • Gordon 2016 • Hong Kong



Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000. SAND NO. 2011-XXXXI



# Co-Authors for this work

- **Nic Argibay**, Sandia National Labs
- **Tim Furnish**, Sandia National Labs
- **Mike Dugger**, Sandia National Labs
- **Brad Boyce**, Sandia National Labs
- **Mike Chandross**, Sandia National Labs
- **Ping Lu**, Sandia National Labs
- **Stephen Foiles**, Sandia National Labs
- **Fadi Abdeljawad**, Sandia National Labs
- **Christopher O'Brien**, Sandia National Labs
- **Chris Schuh**, MIT

# The Land of Enchantment



*Sandia Mountains at Sunset*



*Balloon Fiesta*



*Green Chile*



# Sandia National Labs



# Outline

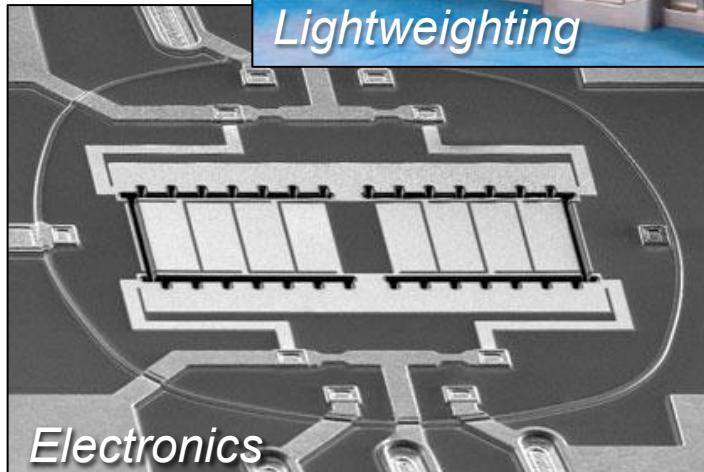
- Intro
- Prospects for Nanocrystalline (NC) Metals
- Challenges to Application of NC Metals
- Solute Segregation for Stabilization of NC Metal Alloys
- Stability under Wear in NC Ni-W
- Recent Stability Results in NC Pt-Au
- Future Outlook

# Aren't We “Done” with Metals?

# Metals are Integral to Innovation



*Lightweighting*



*Electronics*



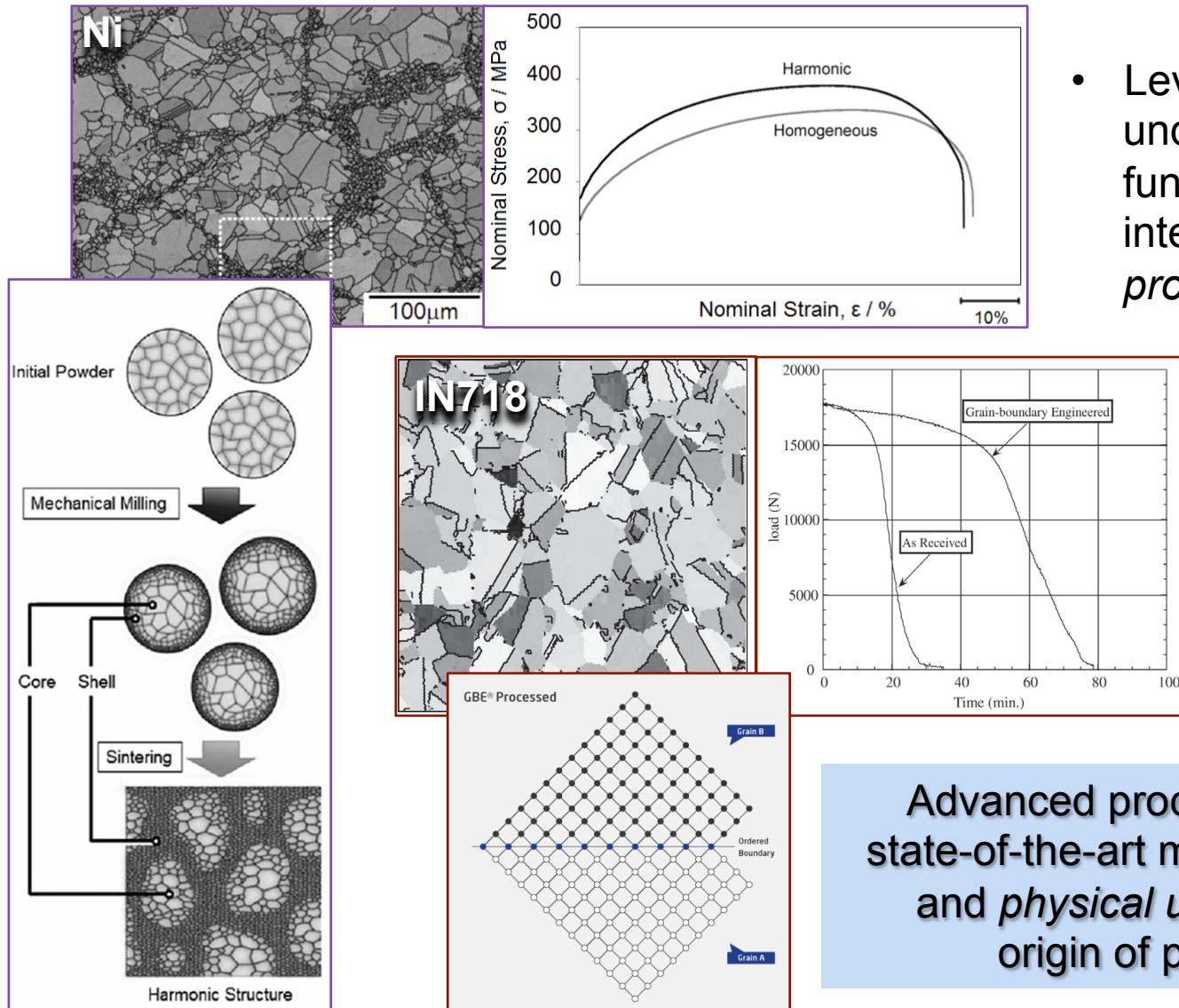
*Alternative energy & storage*

Strong demand to:

- Maximize performance
- Extend lifetimes
- Engineer properties 'by design'

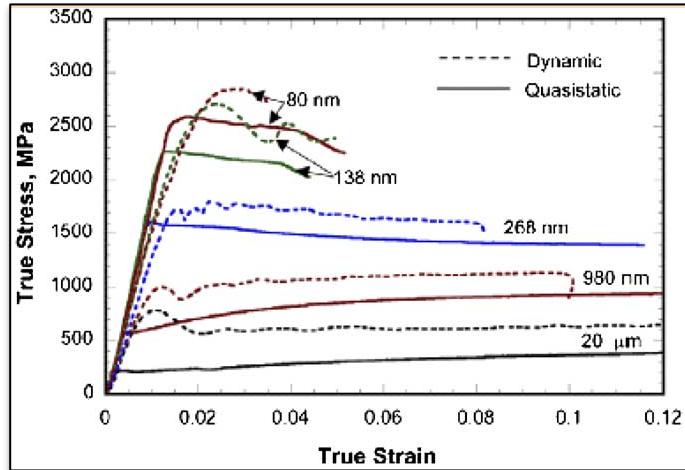
**Advanced experimental and computational methods are enabling *re-exploration* of decades-old questions and new *understanding* of complex phenomena in metals**

# Achieving Properties 'By Design'



- Leveraging our physical understanding of fundamental interrelationships → *properties 'by design'*

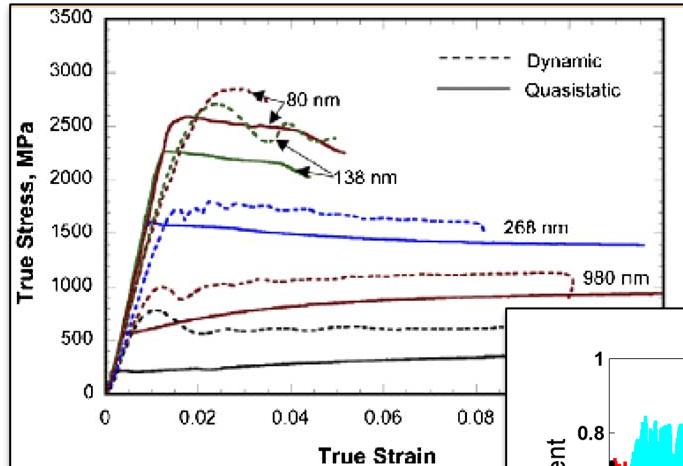
# Nanocrystalline Advantages



- Nanocrystalline (NC) metals have many advantages...

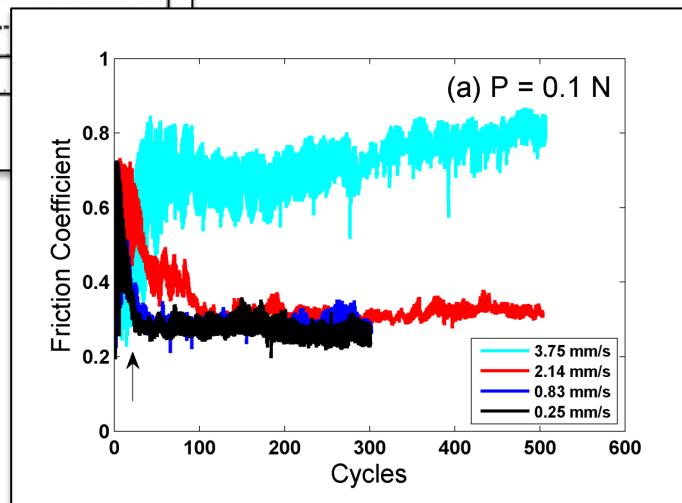
Increased  
strength

# Nanocrystalline Advantages



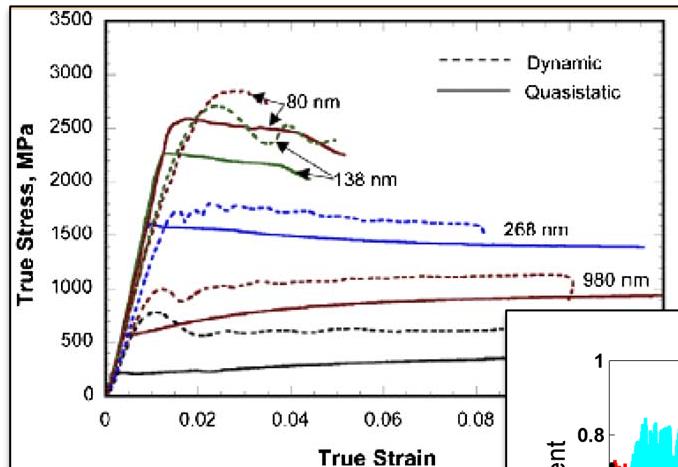
Increased strength

- Nanocrystalline (NC) metals have many advantages...

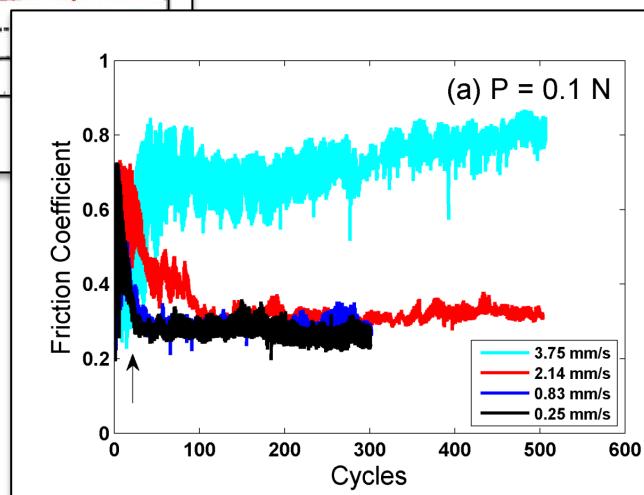


Lower friction coefficient

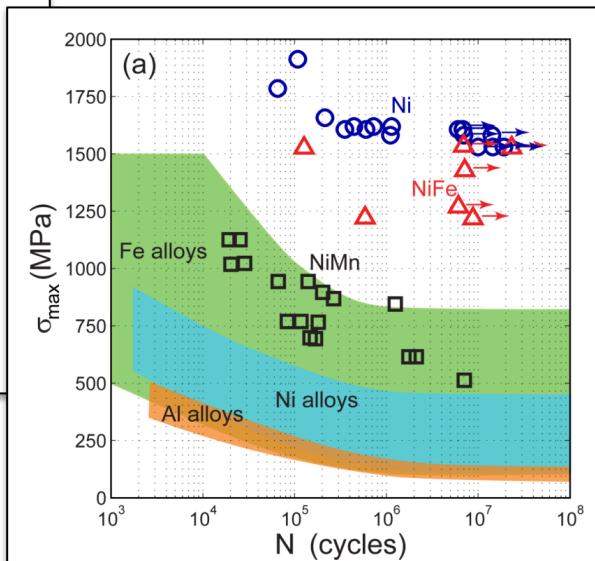
# Nanocrystalline Advantages



Increased strength

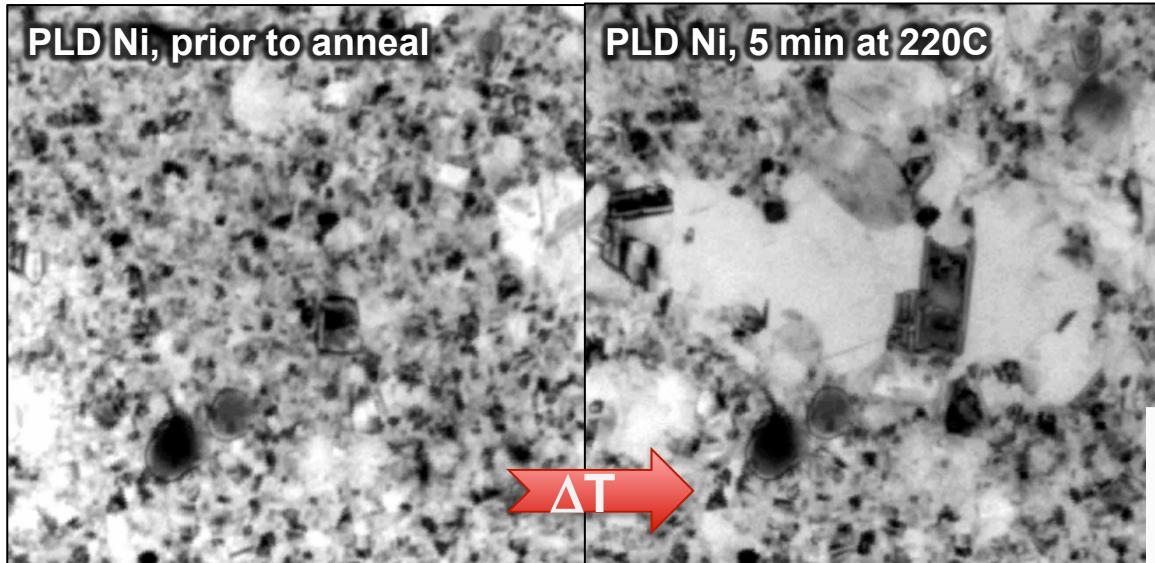


Lower friction coefficient



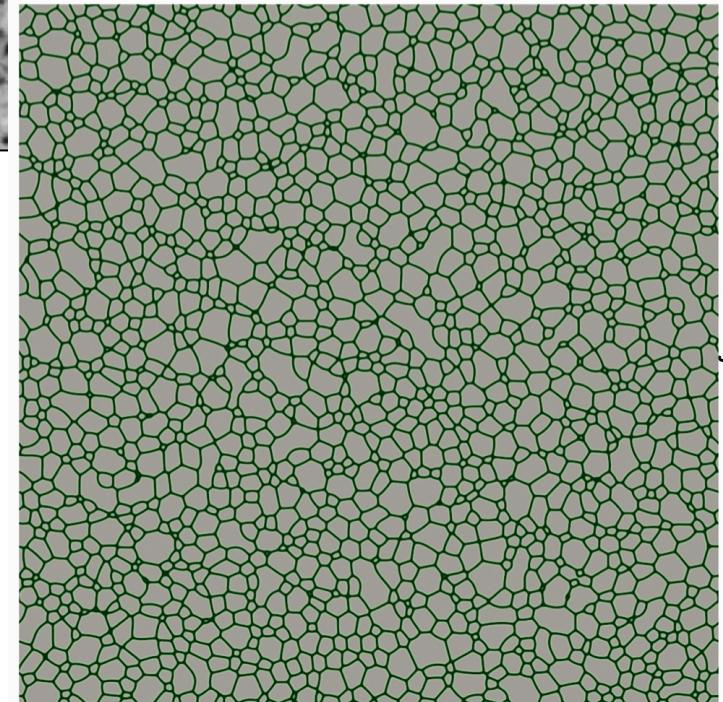
Enhanced fatigue performance

# Challenge of Instability



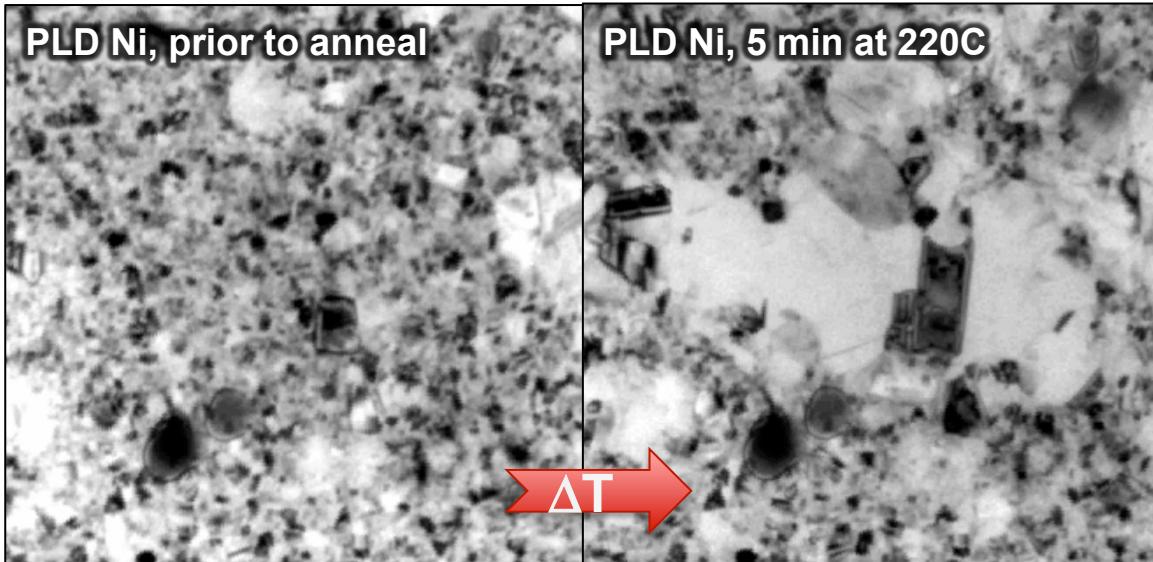
NC Metals are driven  
to grain growth

*Thermally...*



Video credit: Fadi Abdeljawad

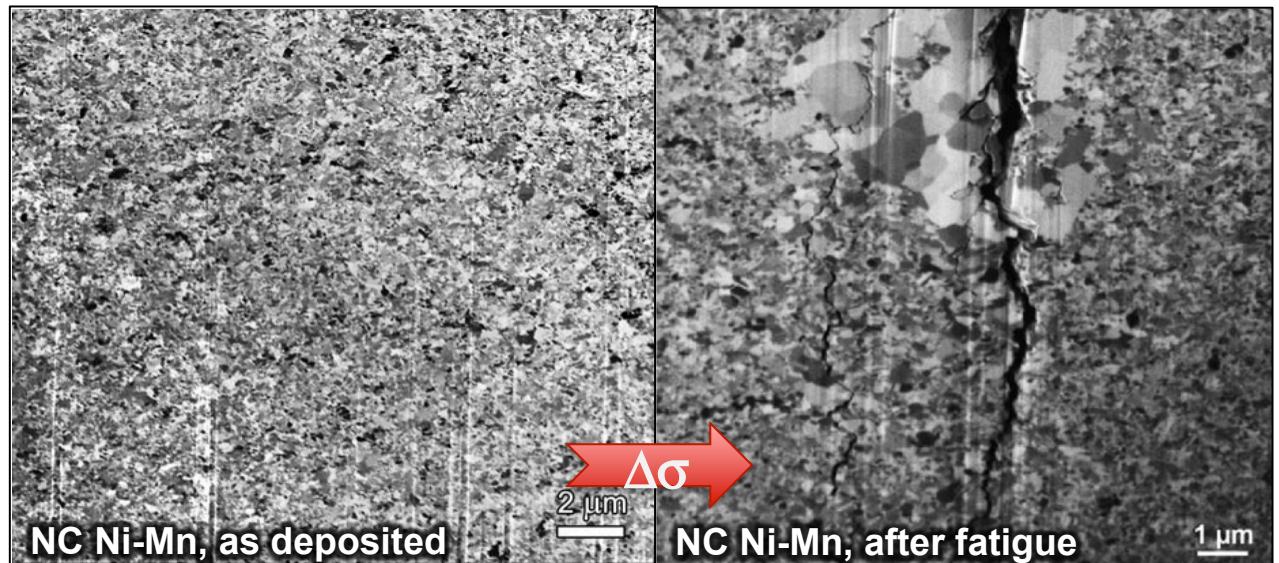
# Challenge of Instability



NC Metals are driven to grain growth

*Thermally...*

*...and Mechanically*



# Two Routes to Stability

ref: Simoes et al., *Nanotech.* (2010)

Grain growth driven by boundary velocity, related to boundary mobility and applied stress

$$v = M \cdot P = M_o \exp\left(-\frac{Q_m}{kT}\right) \cdot \frac{2\gamma_o}{r}$$

$M$  = grain boundary mobility

$P$  = pressure on grain boundary

$\gamma_o$  = interfacial energy per unit area

$r$  = mean grain radius

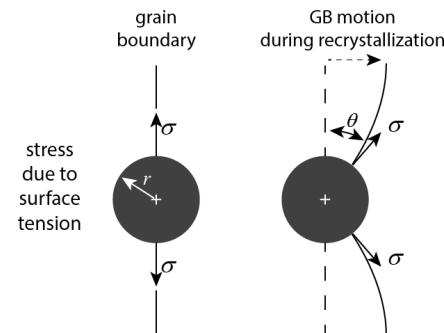
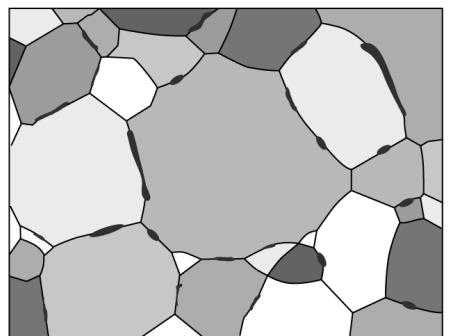
# Two Routes to Stability

ref: Simoes et al., Nanotech. (2010)

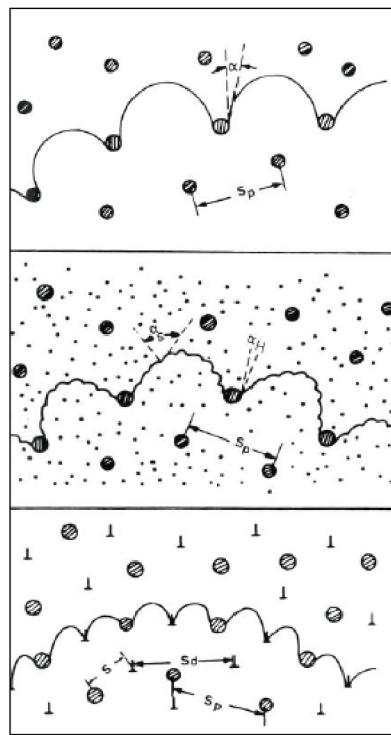
$$v = \boxed{M} \cdot \boxed{P} = M_o \exp\left(-\frac{Q_m}{kT}\right) \cdot \boxed{\frac{2\gamma_o}{r}}$$

Limit the *kinetics* of recrystallization (traditional quasi-stability)

e.g. Zener pinning, solute drag, porosity



$$\text{drag force: } f_D = 2\pi r \sigma \cos \theta \sin \theta$$



$M$  = grain boundary mobility

$P$  = pressure on grain boundary

$\gamma_o$  = interfacial energy per unit area

$r$  = mean grain radius

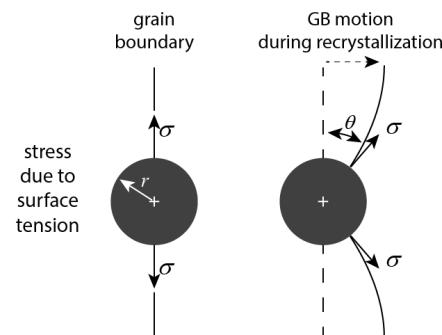
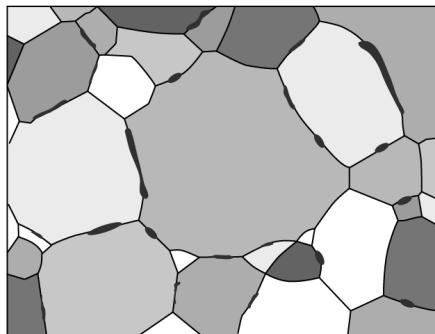
# Two Routes to Stability

ref: Simoes et al., Nanotech. (2010)

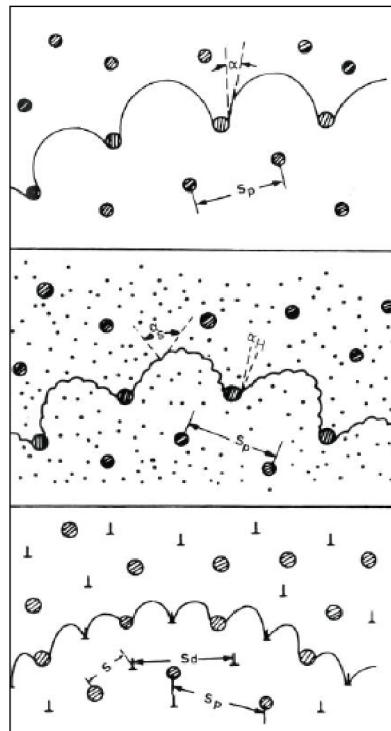
$$v = M \cdot P = M_o \exp\left(-\frac{Q_m}{kT}\right) \cdot \frac{2\gamma_o}{r}$$

Limit the *kinetics* of recrystallization (traditional quasi-stability)

e.g. Zener pinning, solute drag, porosity



$$\text{drag force: } f_D = 2\pi r\sigma \cos\theta \sin\theta$$



$M$  = grain boundary mobility

$P$  = pressure on grain boundary

$\gamma_o$  = interfacial energy per unit area

$r$  = mean grain radius

Weissmüller (1993), Kirchheim (2002), and Schuh (2012) have made significant contributions toward understanding and achieving *thermodynamic* stability by lowering grain boundary energy through solute segregation

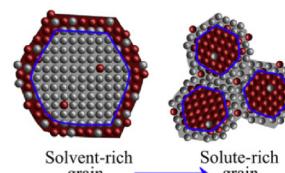
Regular Nanocrystalline Solution (RNS) Model:

ref: Chookajorn et al., Science, 2012

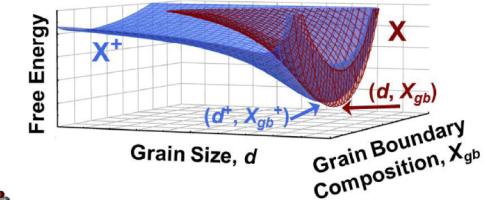
$$\Delta G^{\text{mix}} = (1 - f_{\text{gb}})\Delta G_{\text{c}}^{\text{mix}} + f_{\text{gb}}\Delta G_{\text{gb}}^{\text{mix}} + zv f_{\text{gb}}(X_{\text{gb}} - X_{\text{c}}) \left[ (2X_{\text{gb}} - 1)\omega_{\text{gb}} - \frac{1}{zt}(\Omega^{\text{B}}\gamma^{\text{B}} - \Omega^{\text{A}}\gamma^{\text{A}}) \right]$$

$$dG = \left[ \gamma - \frac{N_{\beta}}{A} \Delta G_{\text{seg}} \right] dA$$

Grain structure model:  
segregated 2-phase metal system

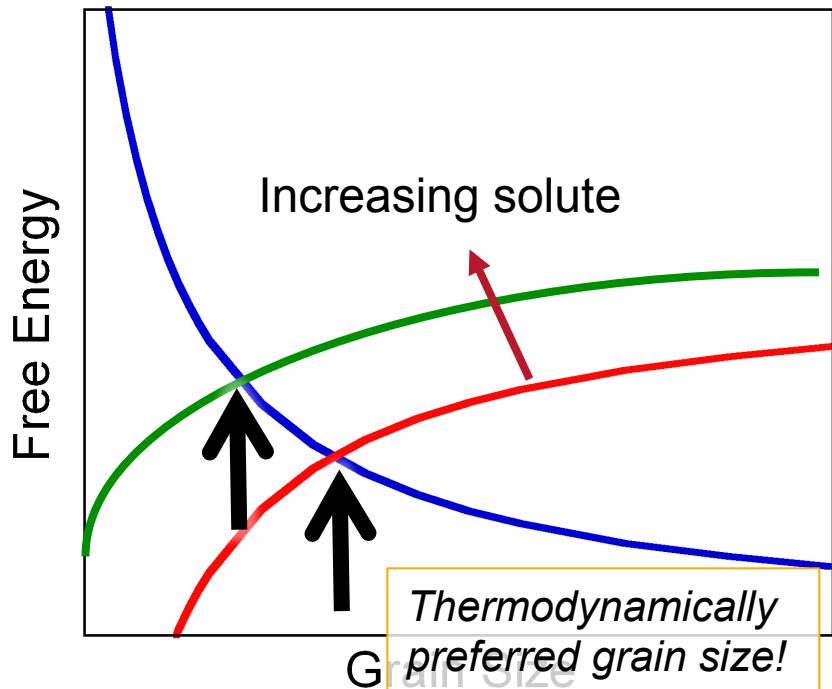


ref: Murdoch et al., Acta Mat. (2013)

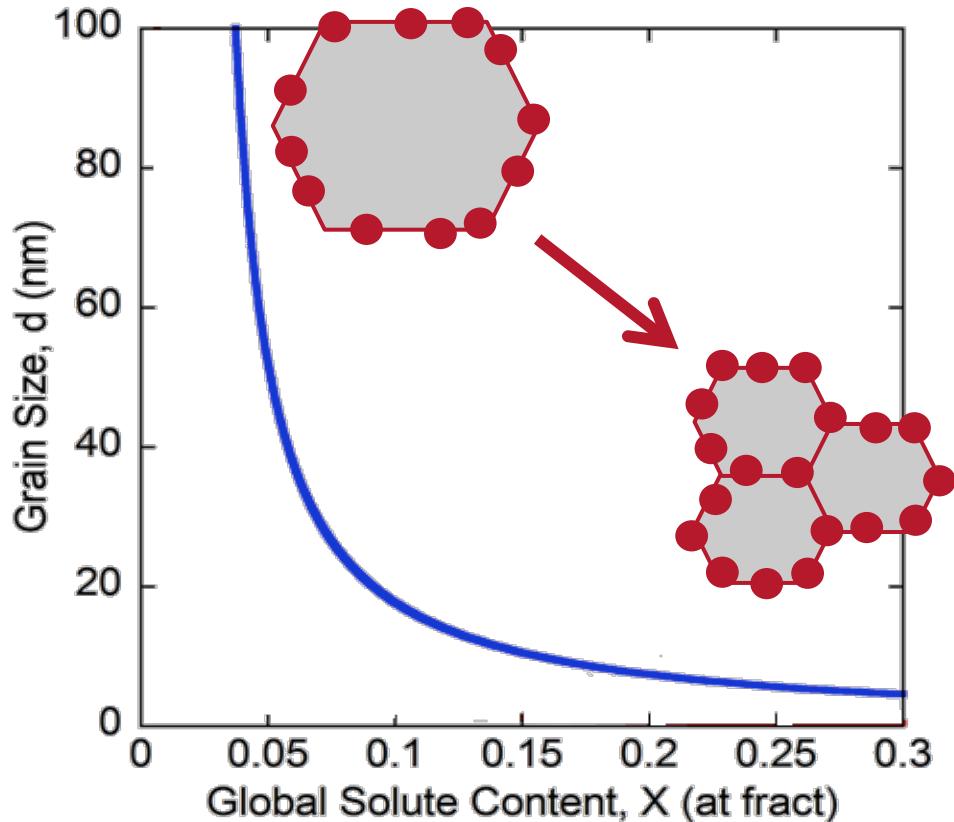


ref: Murdoch et al., Acta Mat. (2013)

# Solute Segregation for Stabilization

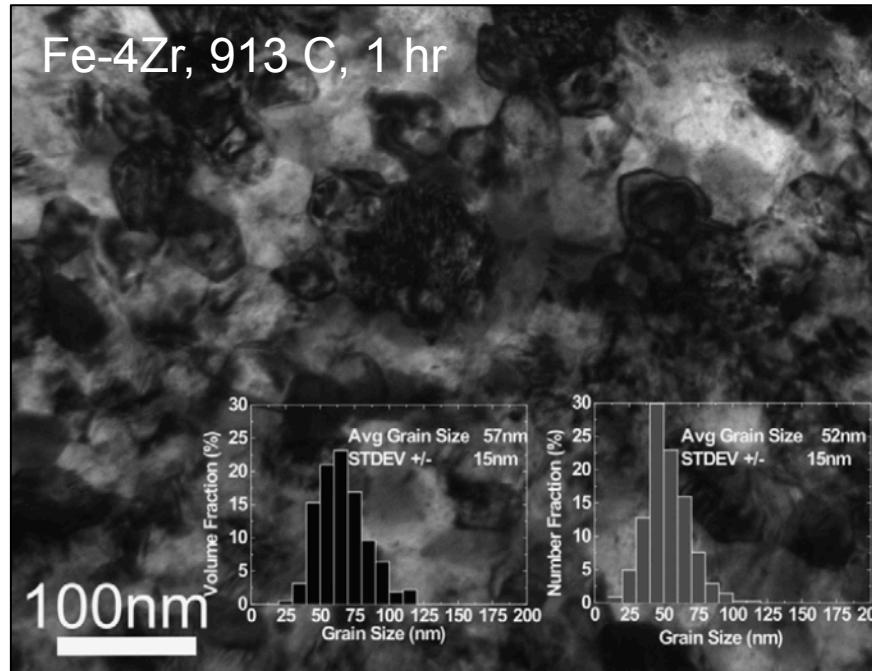


$$dG = \left[ \gamma - \frac{N_\beta}{A} \Delta G_{seg} \right] dA$$

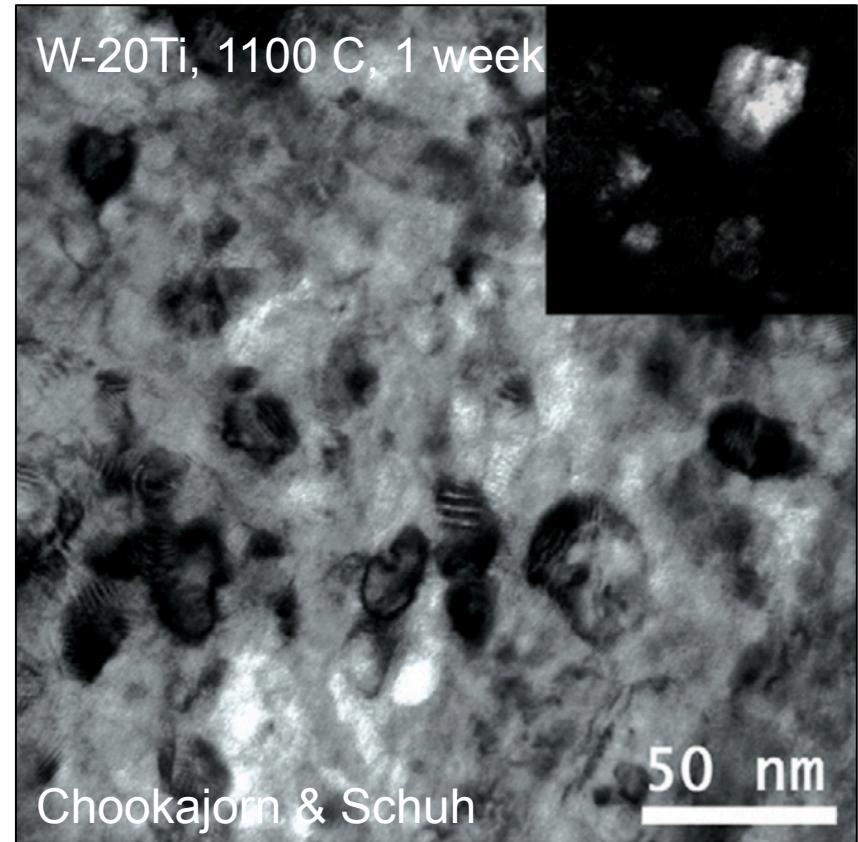


Increasing solute lowers the grain boundary energy and reduces the grain size

# Binary NC Alloys with Improved Thermal Stability

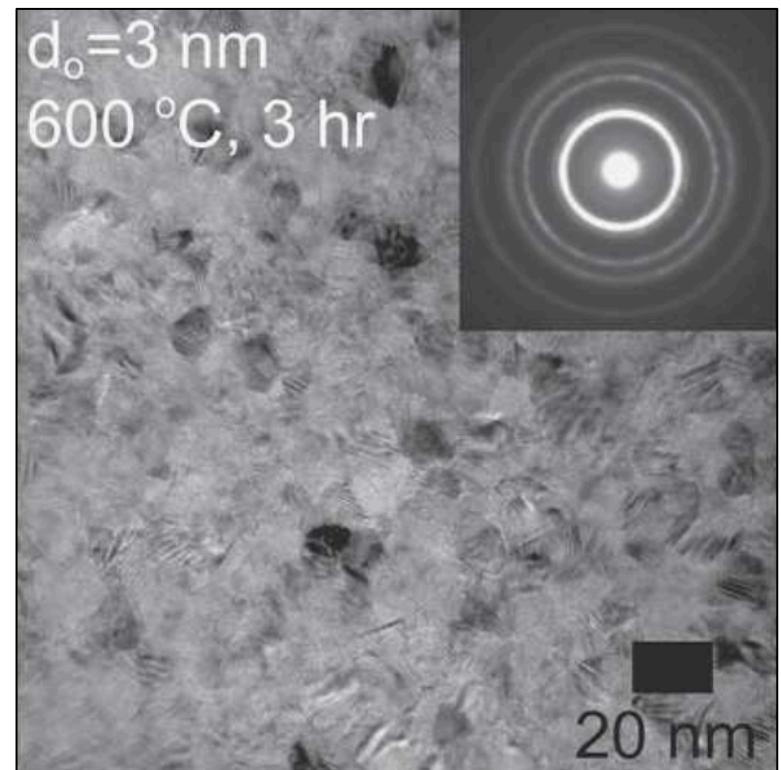
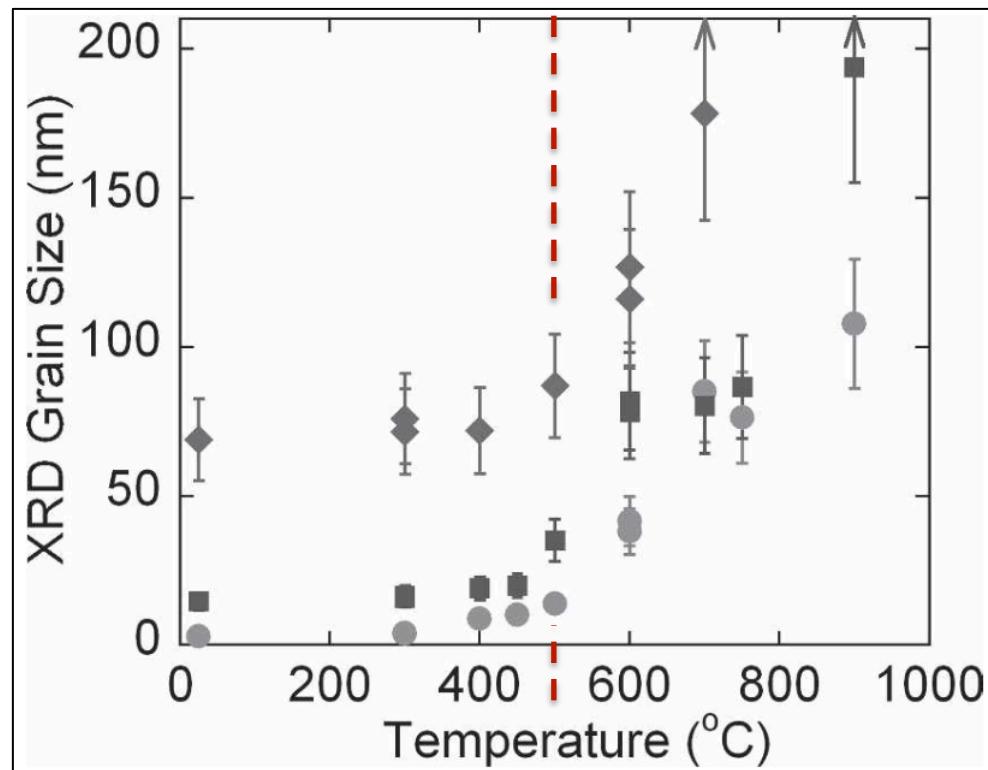


Darling et al.



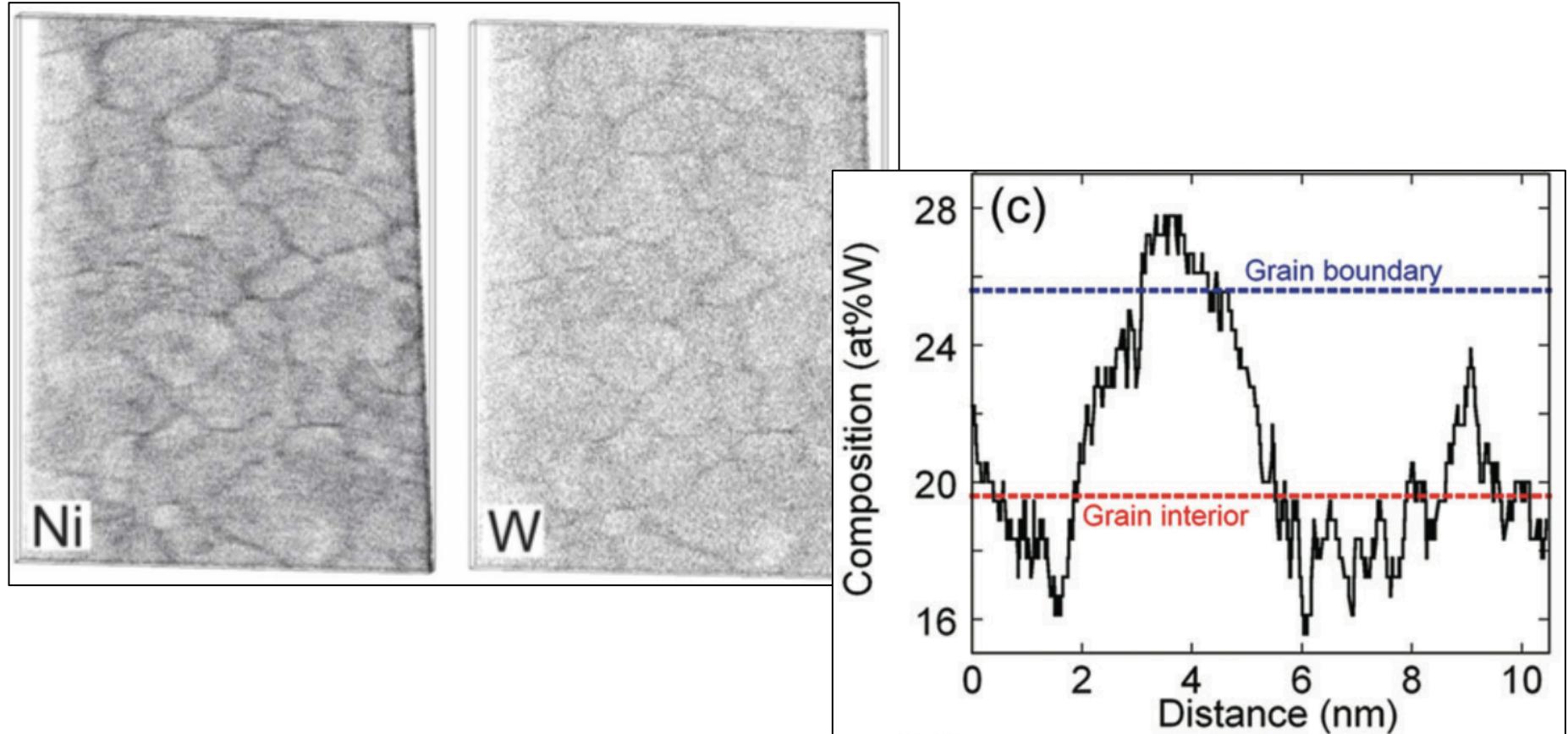
...plus examples of improved thermal stability in other NC alloys:  
Ni-P, Cu-Ta, Ti-Cu, Pd-Zr, Fe-Mg, among others.

# Ni-W: Improved Thermal Stability



**Grain size remains stable during 24 h anneals up to 500 °C**

# Ni-W: Solute Segregation



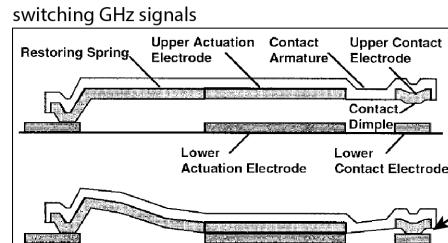
**Atom probe tomography shows W enriched at grain boundaries in Ni-W**

Ni-W demonstrated improved thermal stability...what about mechanical?

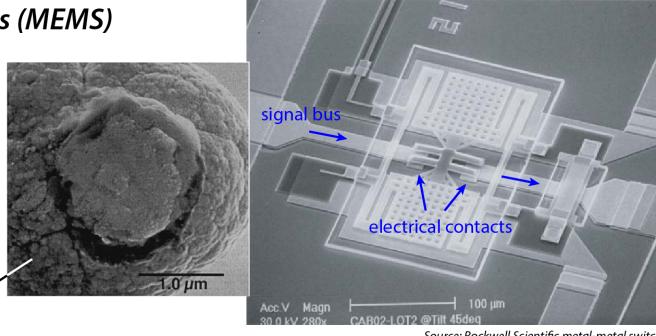
Does thermal stability correlate with stability under wear?

# Motivation for Stability under Wear

## RF Micro Electromechanical Systems (MEMS)



Source: D. Hyman and M. Mehregany, IEEE Trans. & Pack. Tech. 22-3, 1999



Source: Rockwell Scientific metal-metal switch

**Electronics (e.g. PCB blade connectors):**  
200 - 500 nm thick electroless hard gold



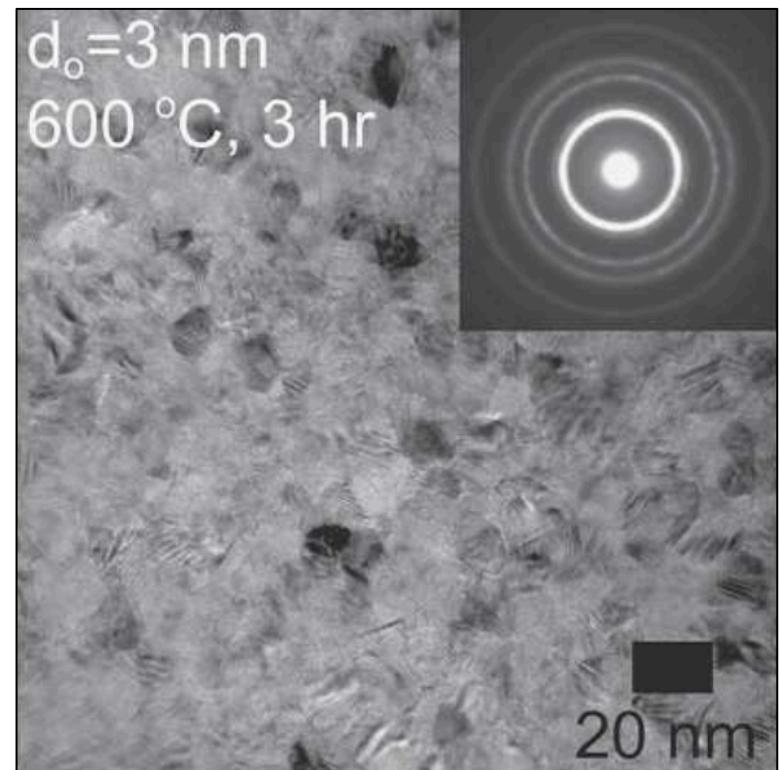
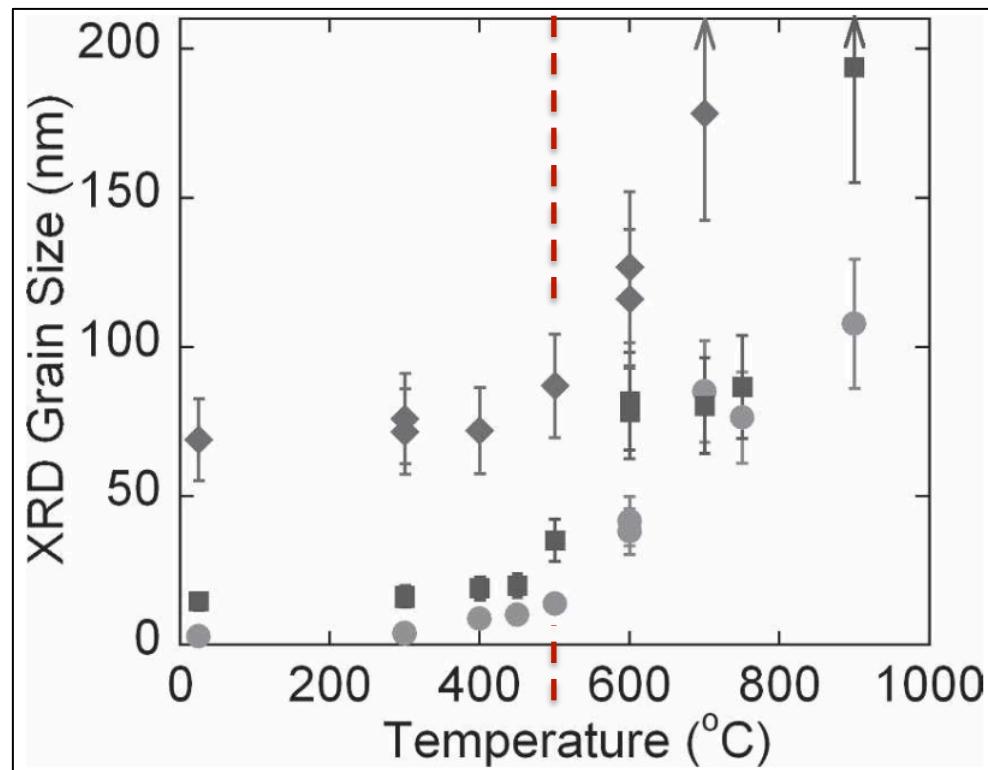
## Aerospace and Energy



- Metals are widely used tribological materials
- Particularly for electrical contacts

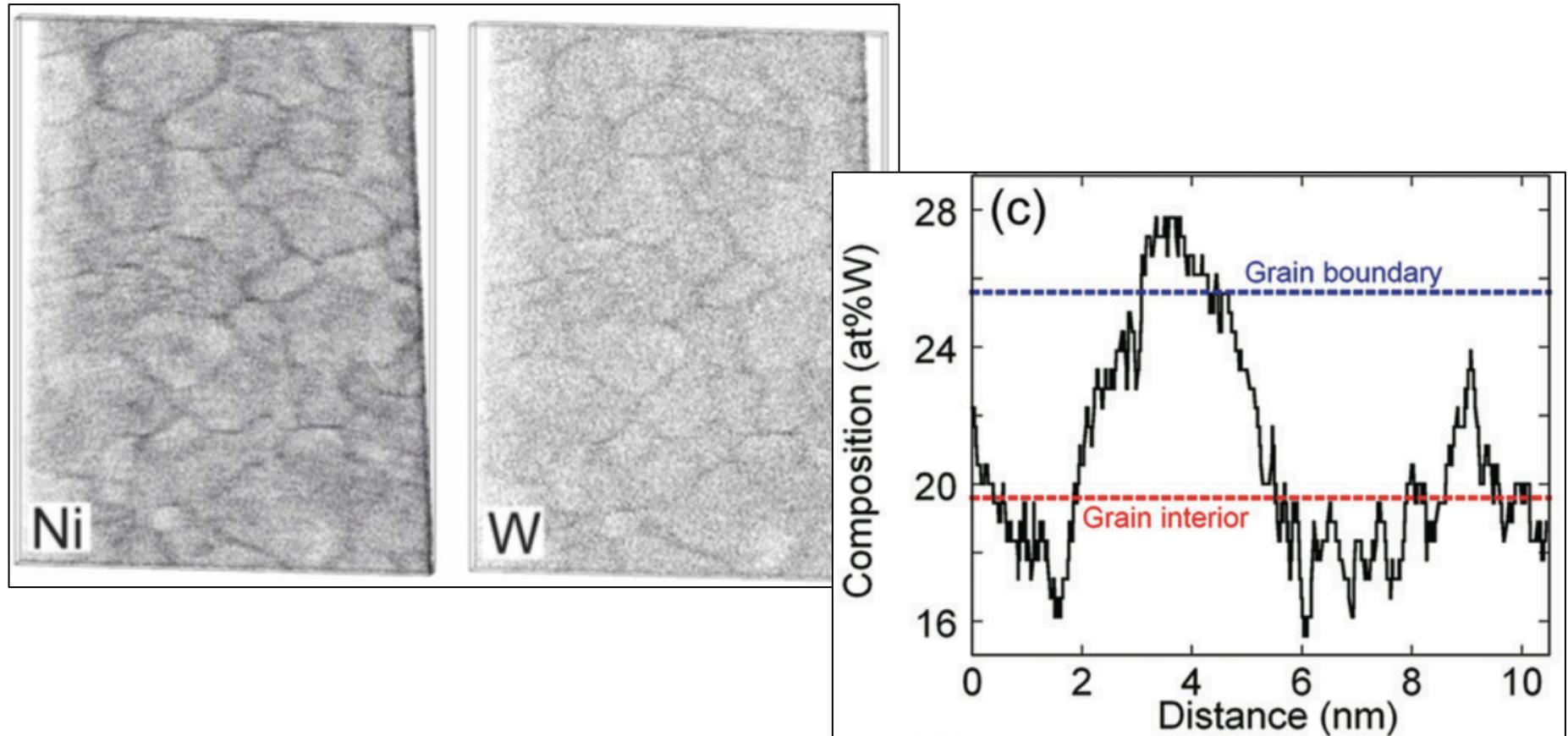
**Nanocrystalline metals well-suited for electrical contacts due to high hardness and thin film form  
→ wear properties very important!**

# Ni-W: Improved Thermal Stability



**Grain size remains stable during 24 h anneals up to  $500^{\circ}\text{C}$**

# Ni-W: Solute Segregation



**Atom probe tomography shows W enriched at grain boundaries in Ni-W**

Ni-W demonstrated improved thermal stability...what about mechanical?

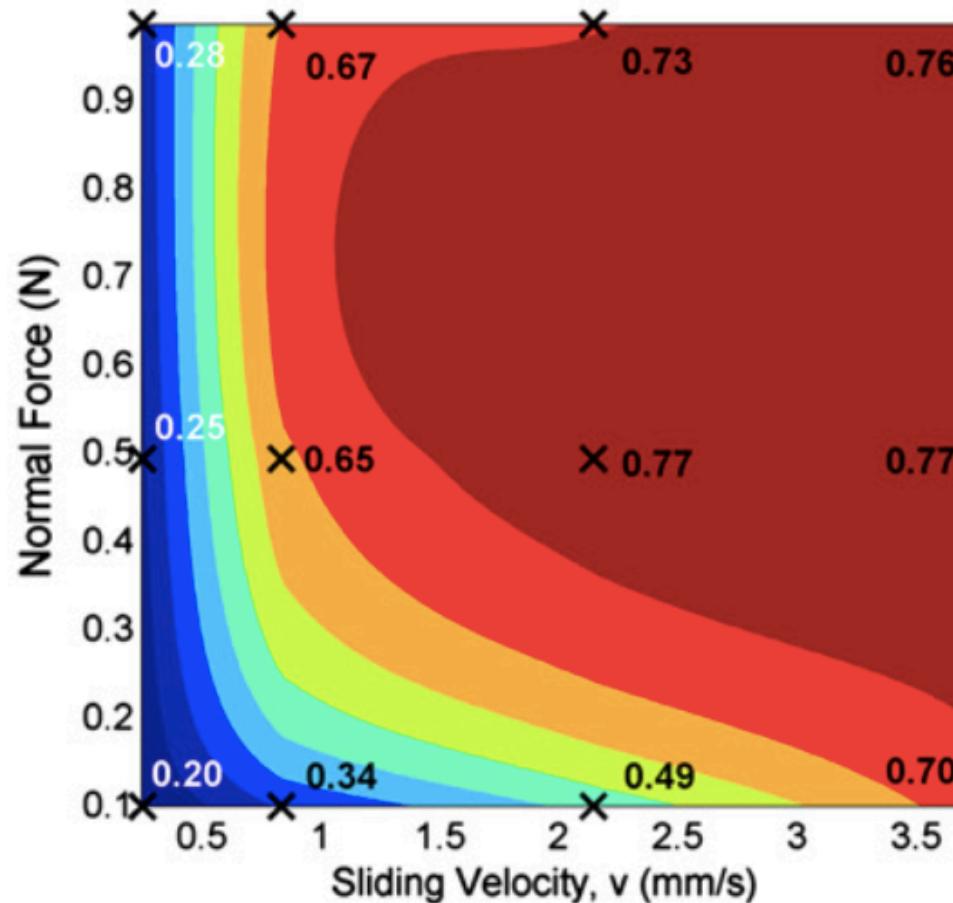
# Ni-W: Previous Wear Study

Microstructural and mechanical properties of Ni–W electrodeposits.

W content (at.%)	Average XRD grain size (nm)	Average TEM grain size (nm)	Hardness (GPa)	Wear volume ( $\mu\text{m}^3$ )	Friction coefficient
3.0	47		4.0	$9.49 \times 10^6$	0.63
6.0	30		5.6	$7.40 \times 10^6$	0.67
8.2	26	25	5.9	$5.88 \times 10^6$	0.61
12.5	15		6.6	$5.12 \times 10^6$	0.65
15.7	9		6.8	$5.06 \times 10^6$	0.66
18.2	6	6	6.9	$4.03 \times 10^6$	0.65
22.9	5		7.1	$3.79 \times 10^6$	0.60
27.9	3	3	7.1	$2.77 \times 10^6$	0.66

5N applied load, 150 mm/s → High friction measured across range of initial compositions and hardness values

# Friction Transition in Ni-20Fe



Data collected *via*  
unidirectional sliding  
in inert environment

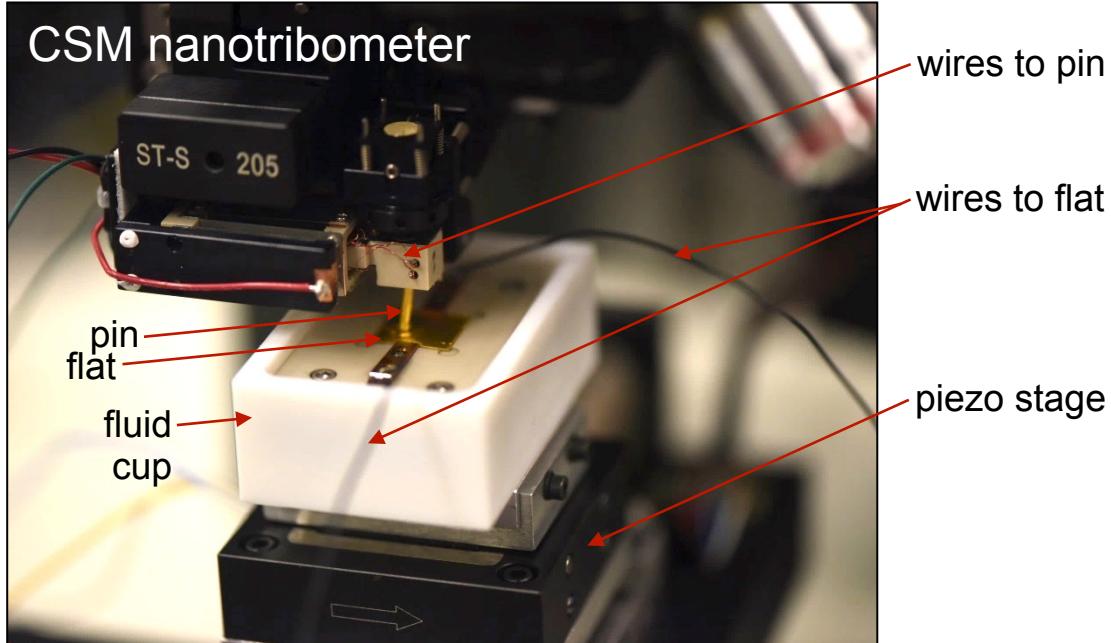
Friction coefficients  
measured plotted as  
X's

Contours by cubic  
interpolation

**Low to high friction transition observed for  
increased normal force and sliding velocity**

Is there a window of microstructural  
stability under wear for Ni-W?

# Experimental Method



**Goal to study microstructural stability under wear over a range of loads and cycles**

## Test parameters:

- 1 mm/s sliding speed
- Bidirectional sliding (to/from = 1 cycle)
- 1.6 mm **sapphire** ball (chosen to match  $H=9\text{GPa}$ )
- 2 mm track
- In air (10% humidity)

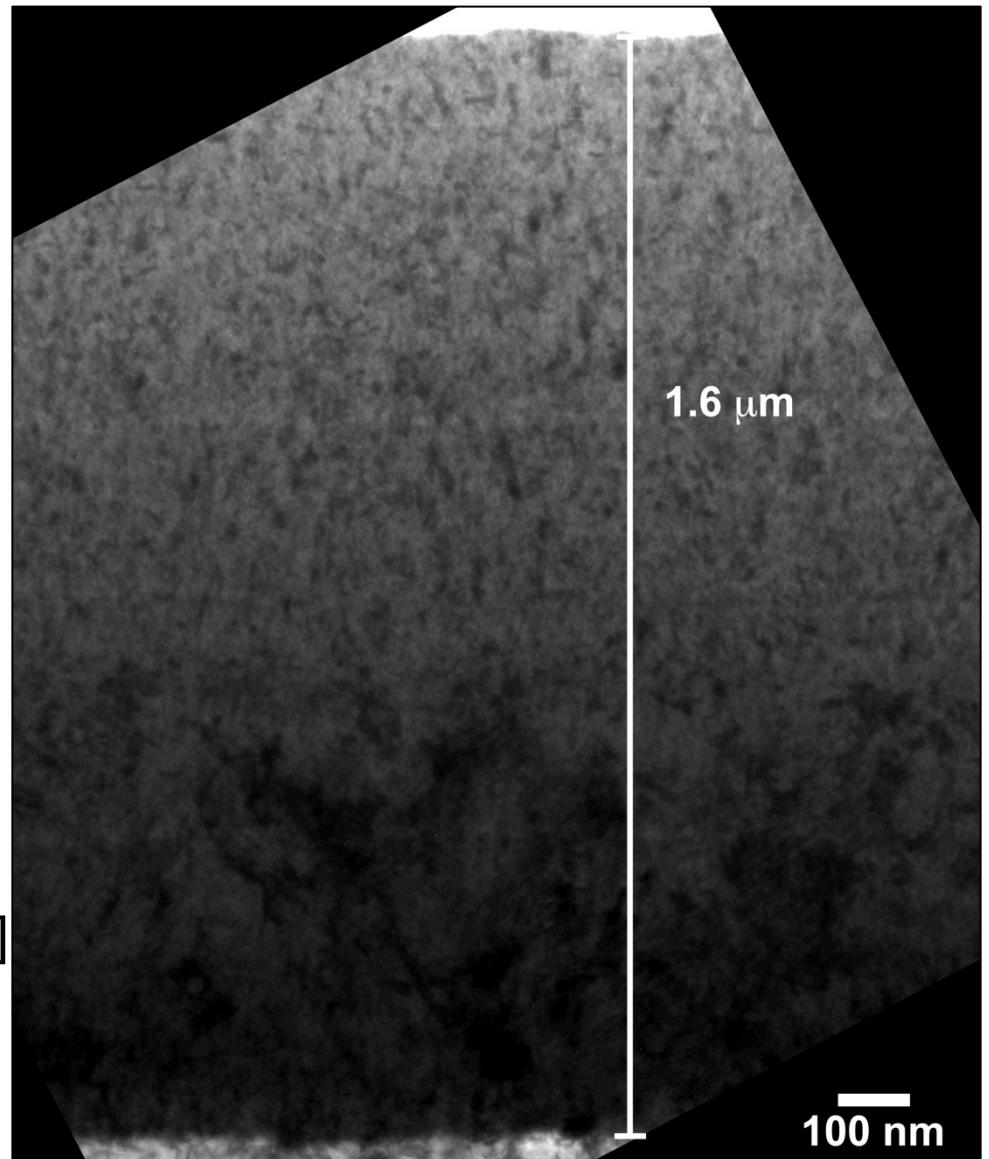
## Three contact forces:

- 1 mN
- 10 mN
- 1000 mN

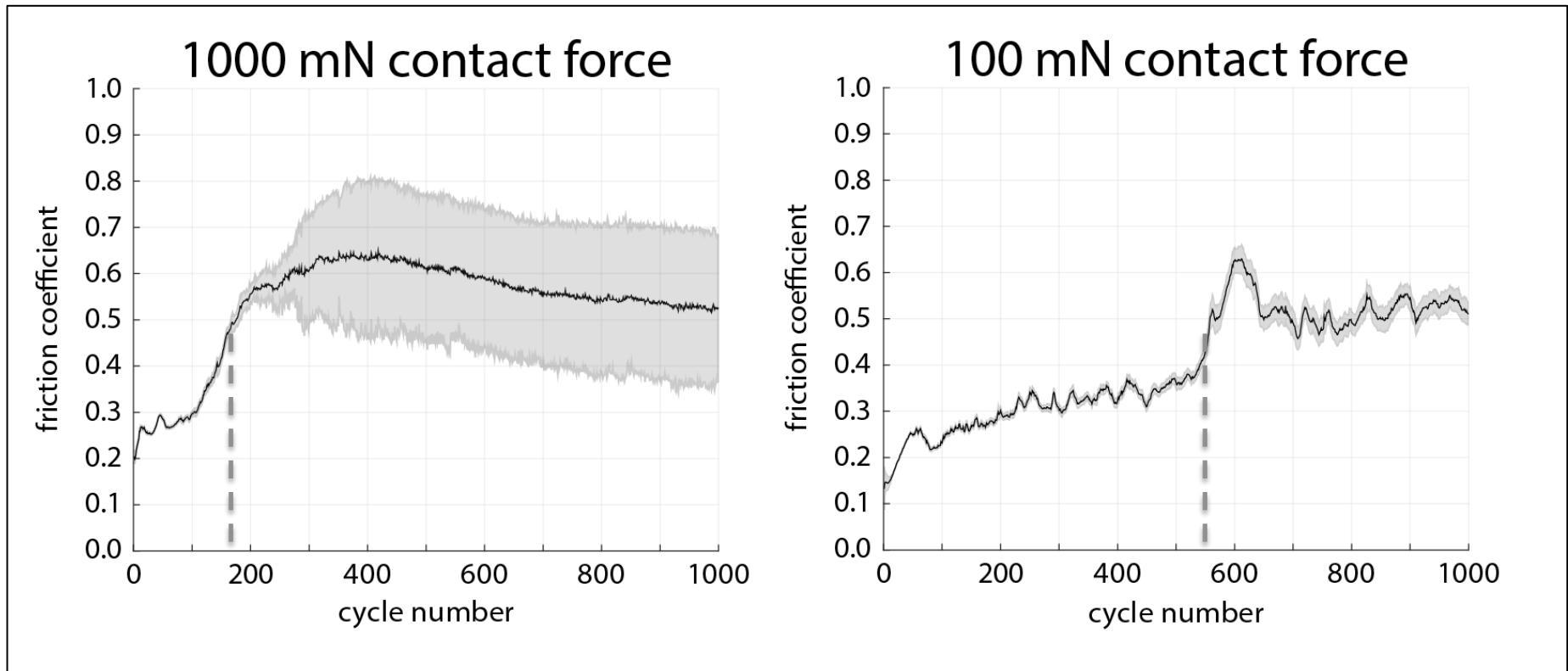
Cross-sectional samples for each wear track prepared for TEM via FIB milling

# Initial Microstructure: Ni-40 wt.% W

- Electrodeposited Ni-40W, on brass substrate
- Film thickness of 1.6  $\mu\text{m}$
- Starting grain size of  $5.2 \pm 0.2 \text{ nm}$ , via XRD
- Demonstrated stability of grain size over 24 hours at up to 500 °C [Detor & Schuh]

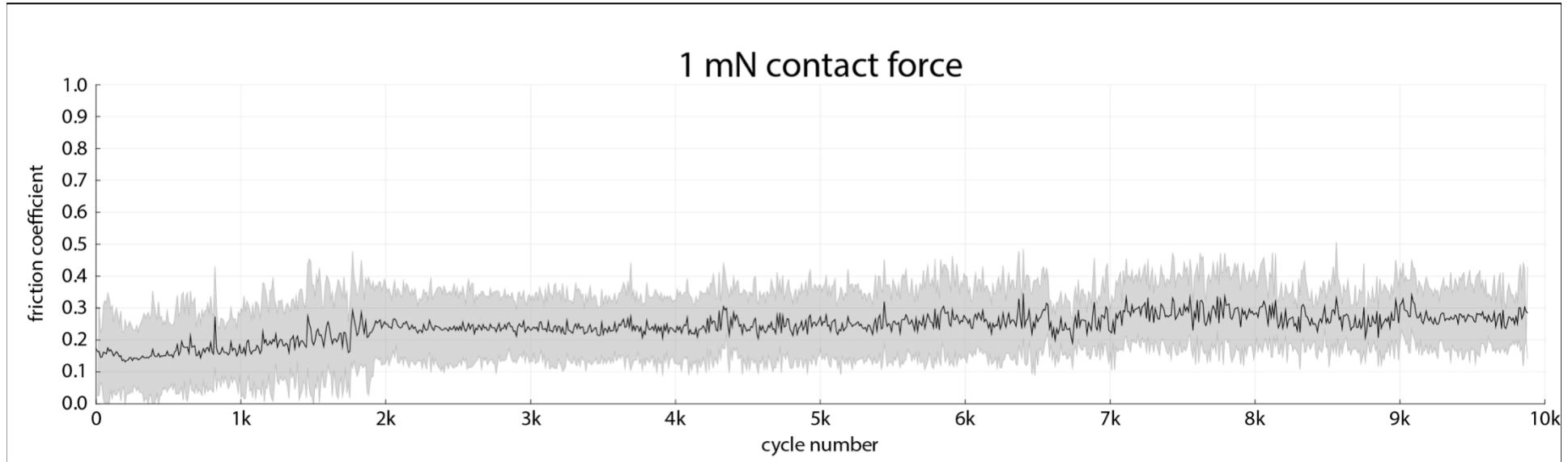


# Higher Contact Force Results



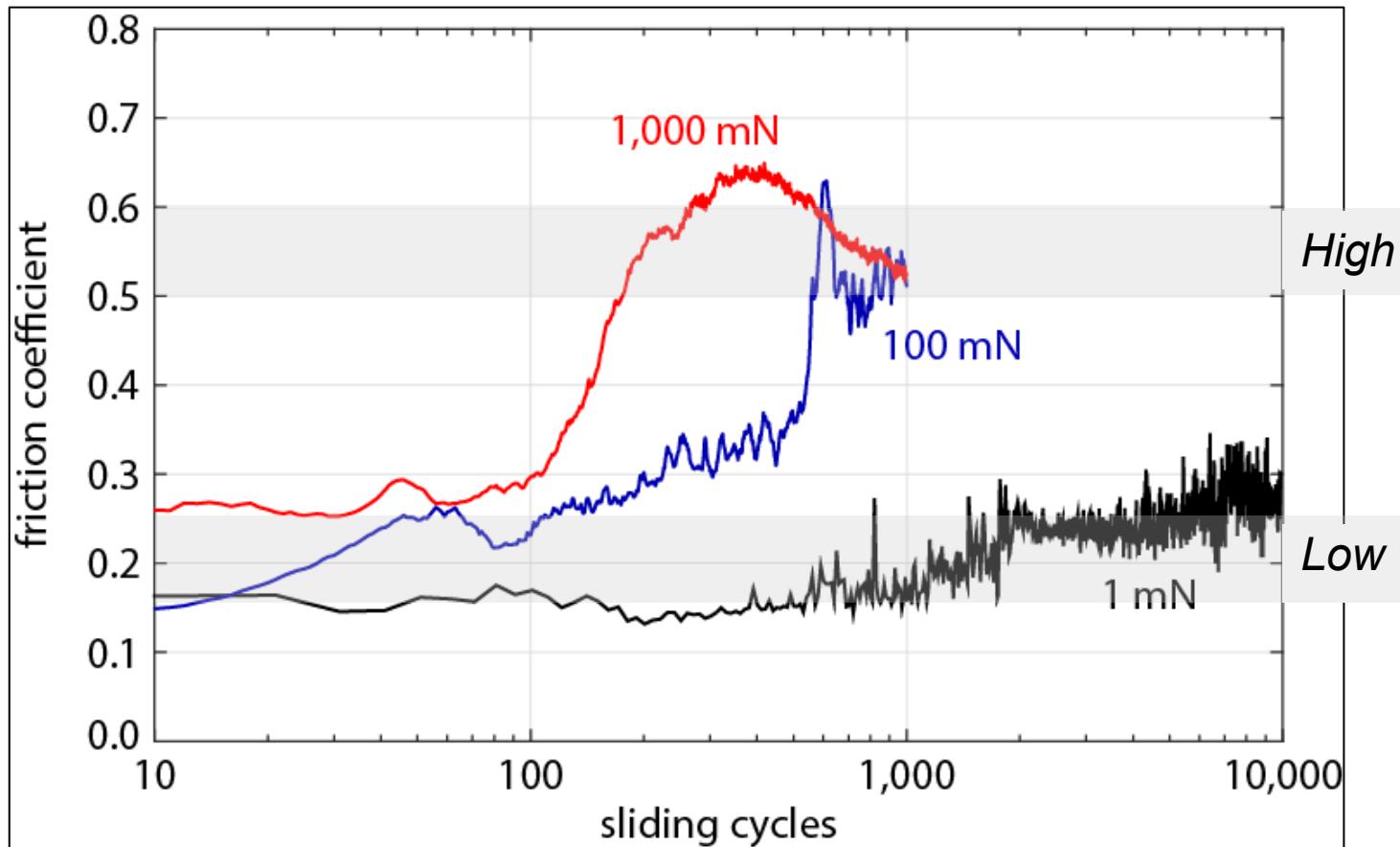
**For higher applied contact forces,  
see transition from low COF (0.15-0.25) to high COF (0.5-0.6)  
within hundreds of cycles**

# Low Contact Force Results



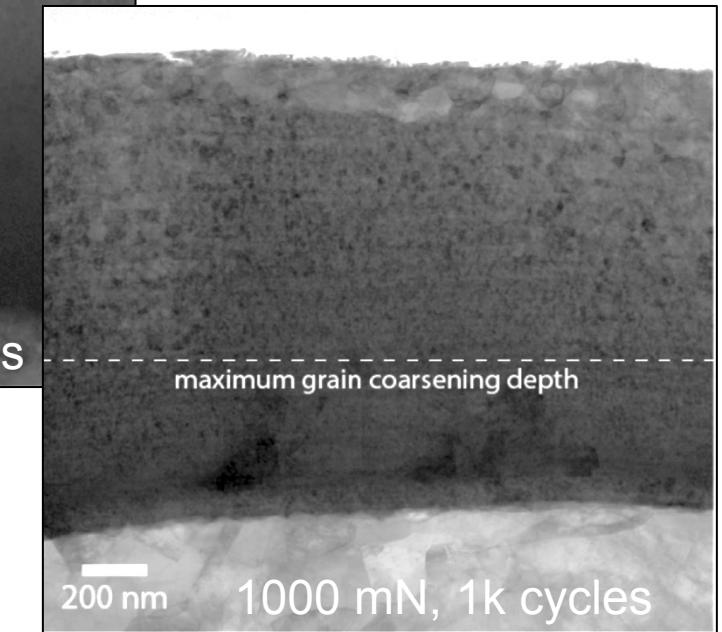
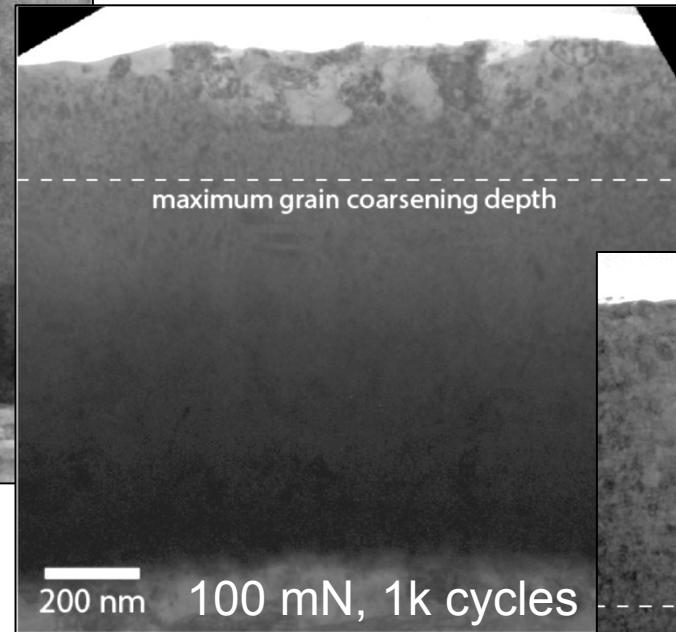
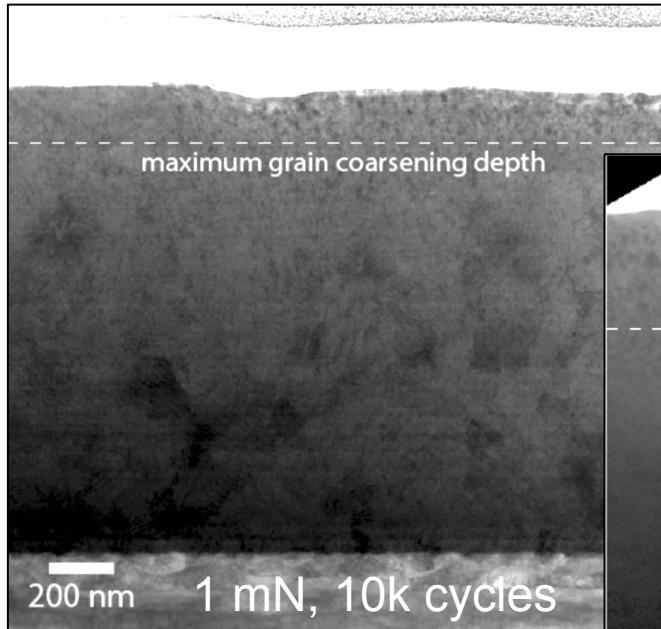
**For the lowest applied contact force case,  
friction coefficient remains low (0.15-0.25) for test duration  
over 10,000 cycles**

# Friction Response as a Function of Contact Force for Ni-40W



- Friction coefficient low, 0.15-0.25, for first 100 cycles for all
- Transition to high friction, 0.5-0.6, for all but the 1 mN case

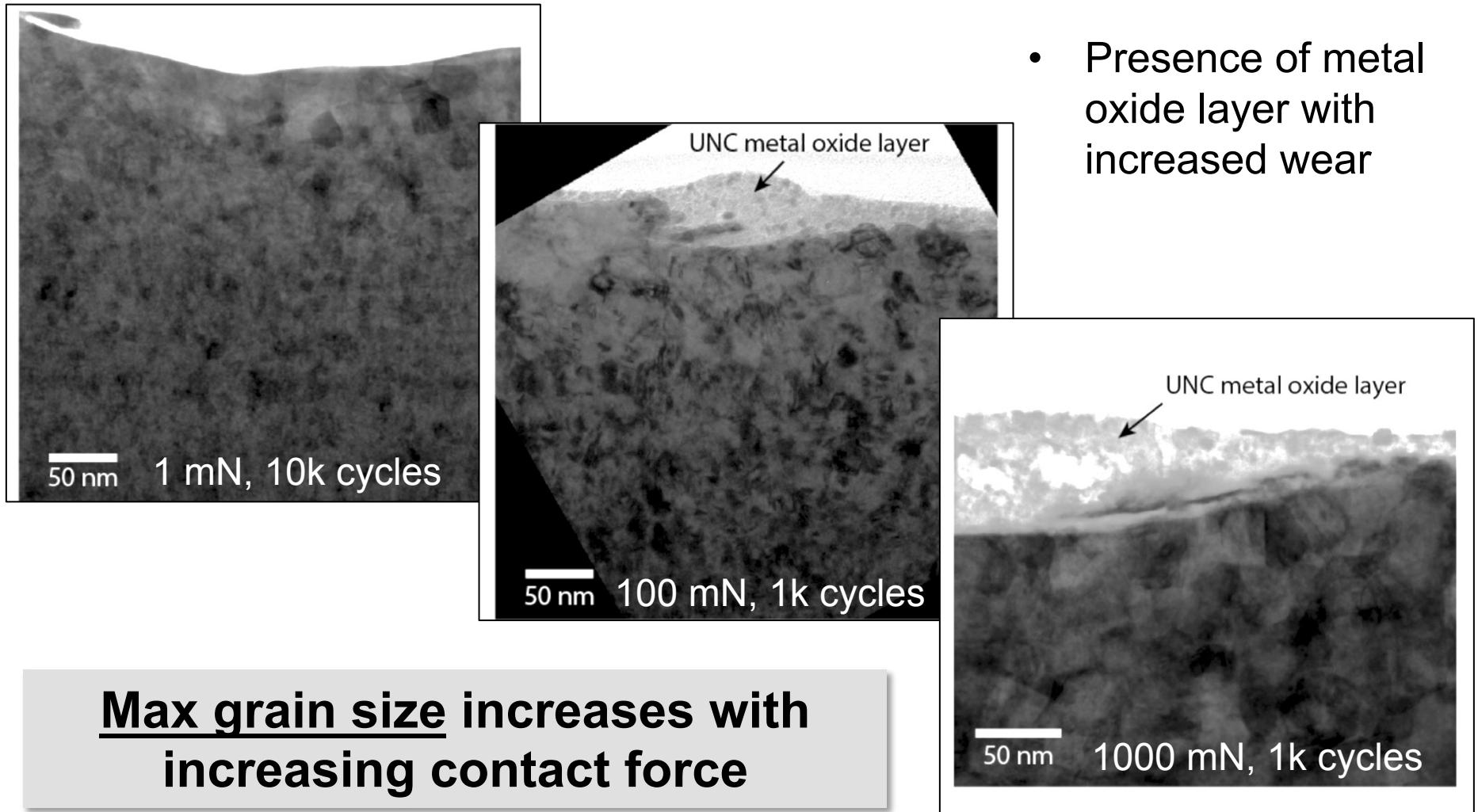
# Microstructure in Ni-W Wear Tracks



- Cross-sectional TEM shows microstructural evolution in each wear track

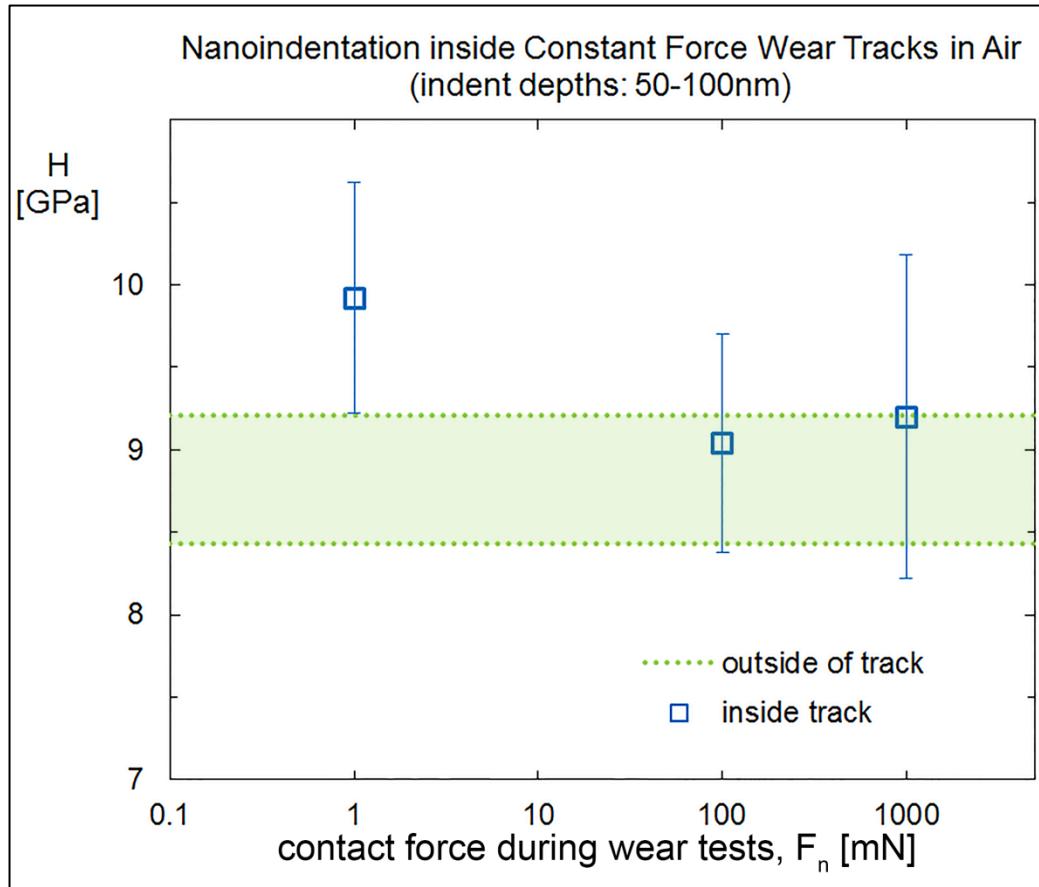
**Grain coarsening depth increases with increasing contact force**

# Microstructure in Ni-W Wear Tracks



# Is the low friction hardness driven?

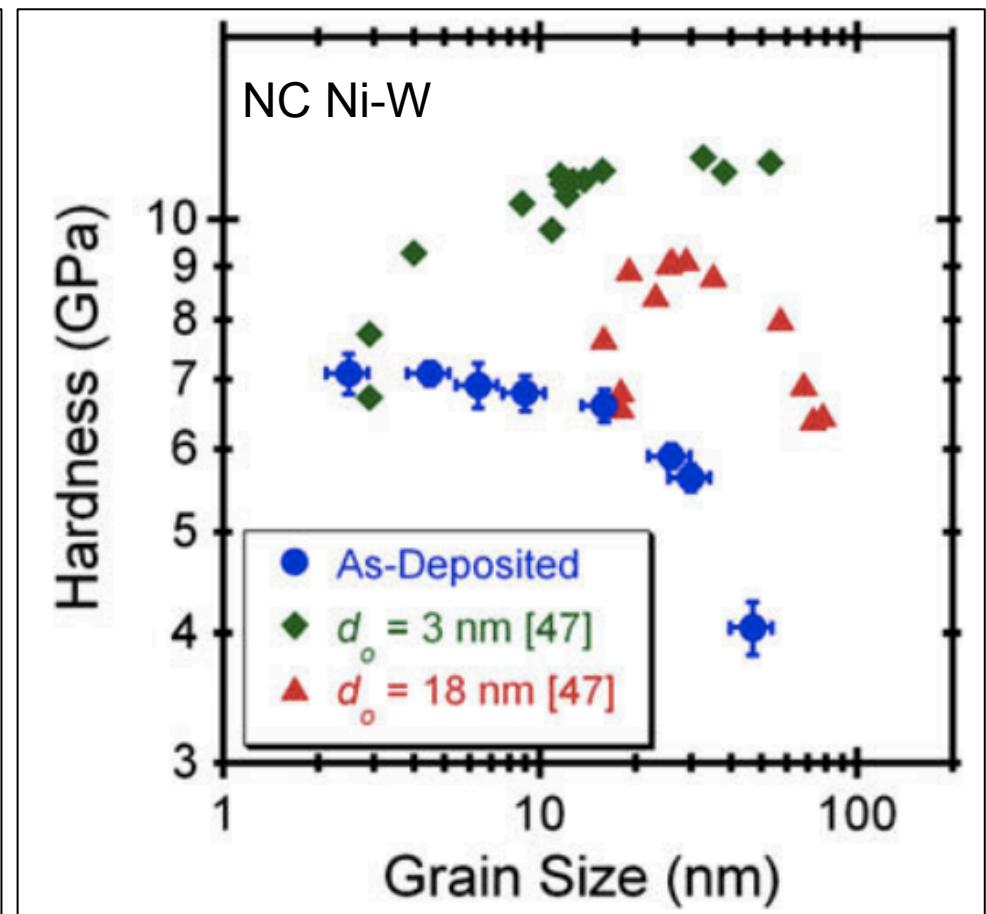
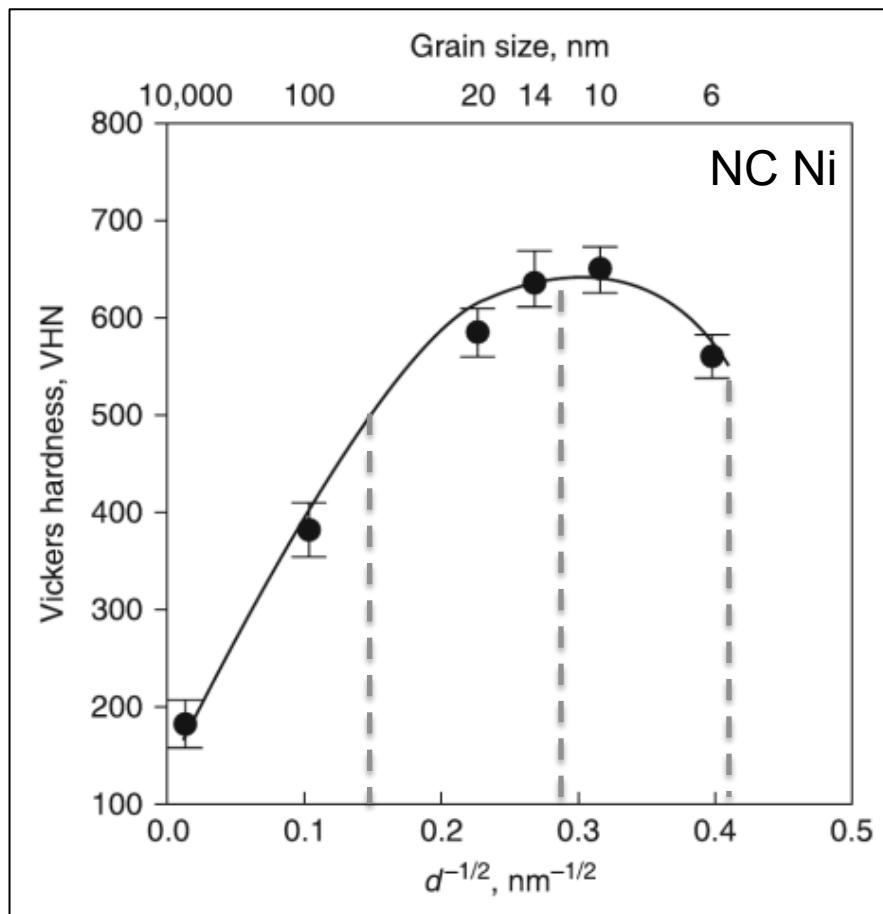
# Increase in Hardness for 1mN Track



- Increase in hardness (13%) for 1 mN wear track
  - In comparison to higher force tests *and* to parent material
- Parent material
  - $\sim 5\text{nm}$  grains
- 100 and 1000 mN track
  - $\sim 50\text{-}100\text{ nm}$  grains
- 1 mN track
  - $\sim 10\text{-}20\text{ nm}$  grains

**Higher hardness in 1mN track consistent with low coefficient of friction – but why harder than as-dep'd?**

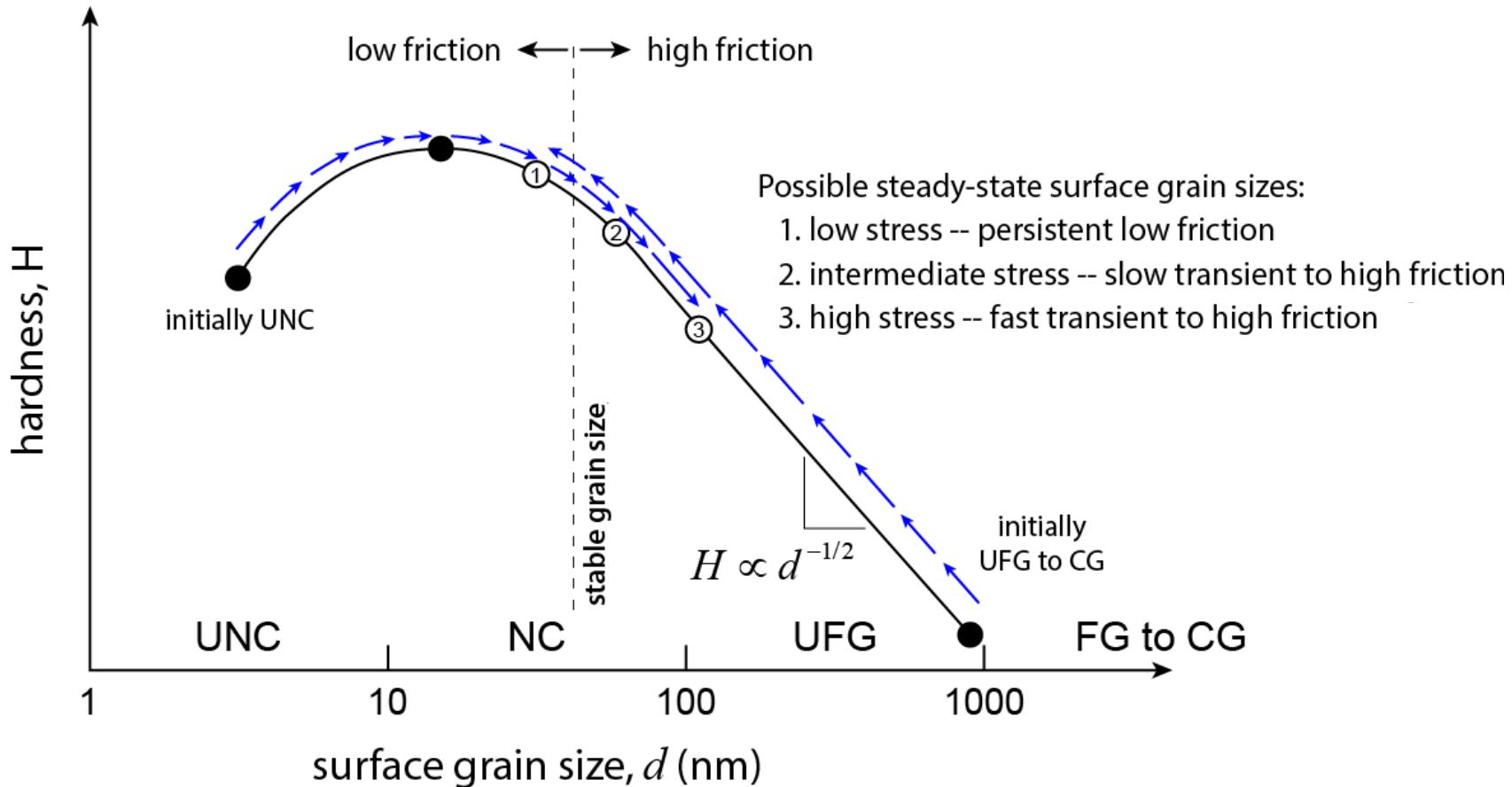
# Hardness for 1mN Track > As-Dep'd



**Higher hardness of evolved microstructure, compared to as-deposited microstructure, consistent with previous data**

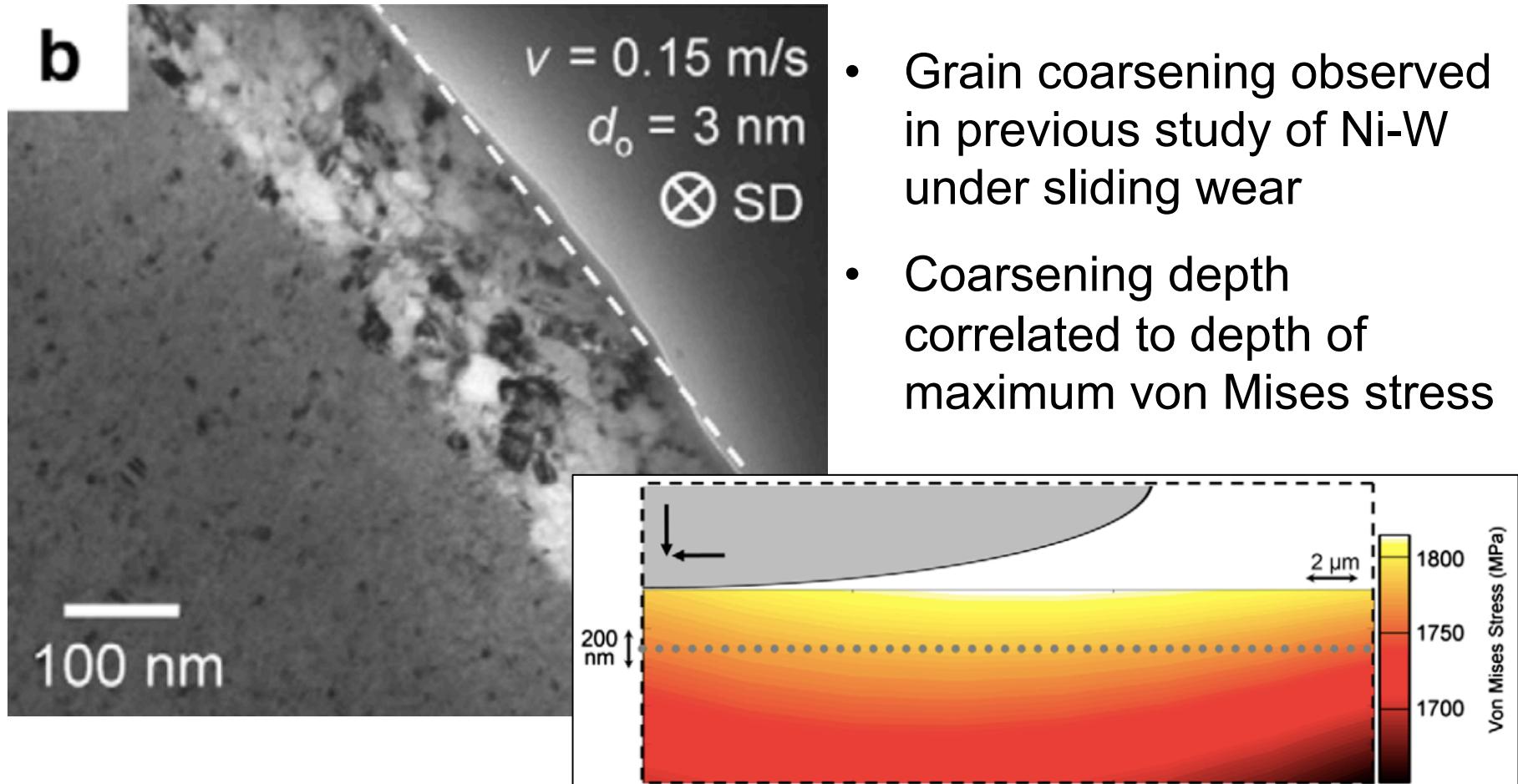
# How to define a friction transition?

# Stress-Dependent Stability?



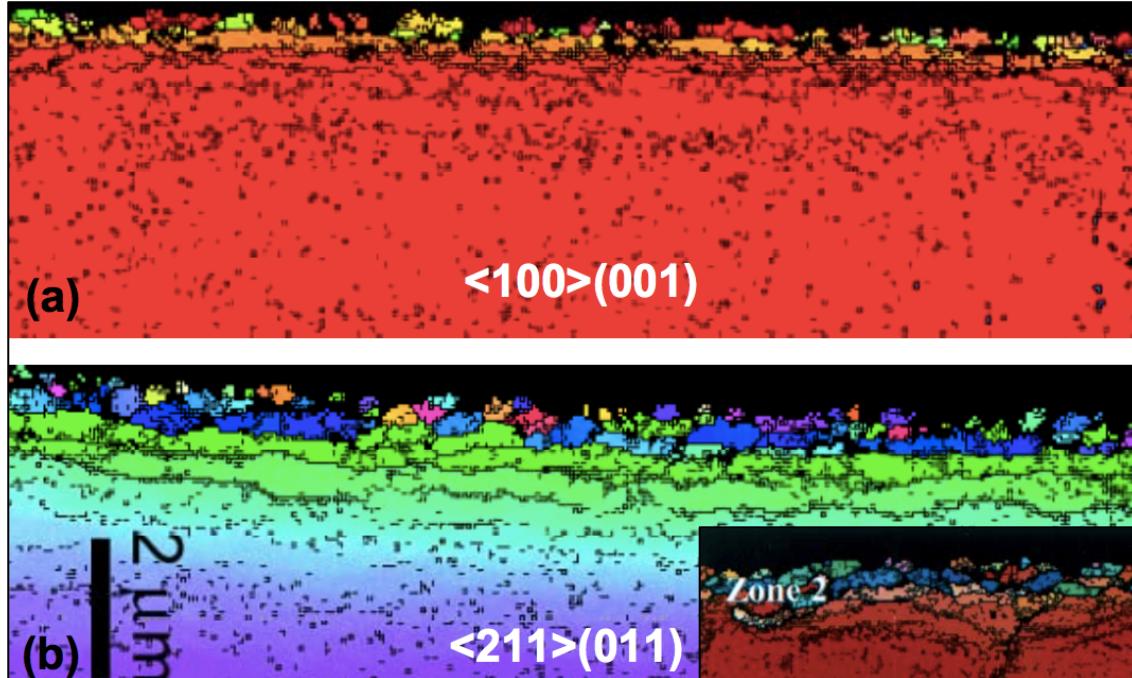
***If true, then at the 'right' stress, you would drive ultra-NC material to be NC...and coarse grains to be NC!***

# Evidence for Grain Coarsening under Wear

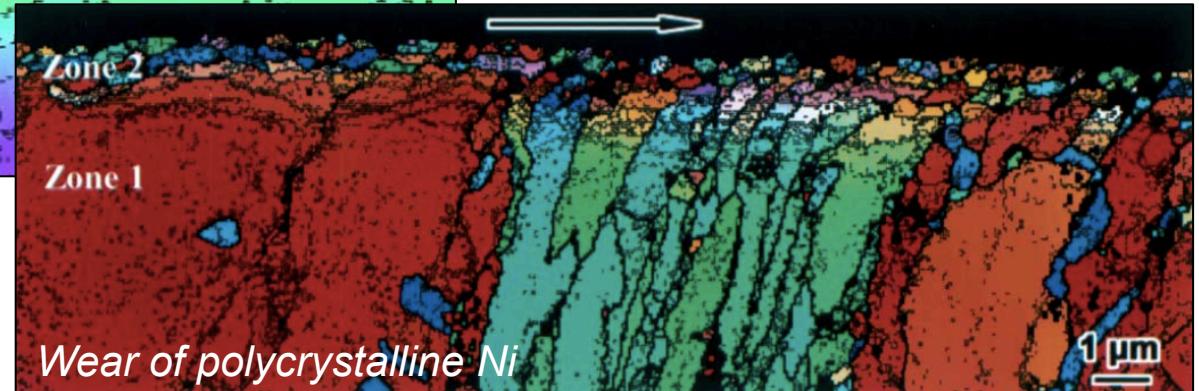


Stress-driven grain coarsening seems intuitive, but is grain *refinement* possible?

# Evidence for Grain Refinement under Wear



*Wear of single crystal Ni*



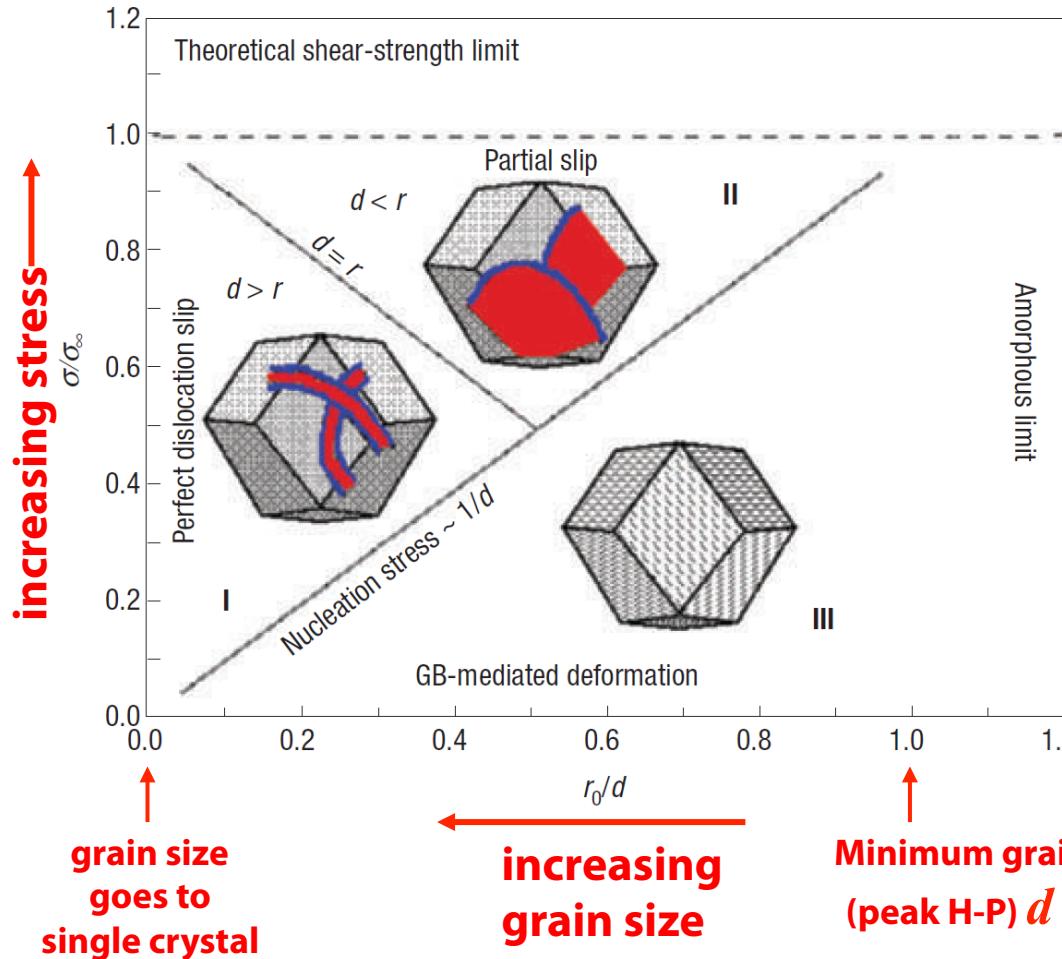
*Wear of polycrystalline Ni*

- Electron backscatter diffraction data of wear track cross-sections in Ni
- Grain refinement observed at wear track surface in both cases

**Formation of ultrafine grains observed at wear track surfaces in single crystal and polycrystalline Ni**

# Can we Define a Stress-Dependent, Stable Grain Size under Wear?

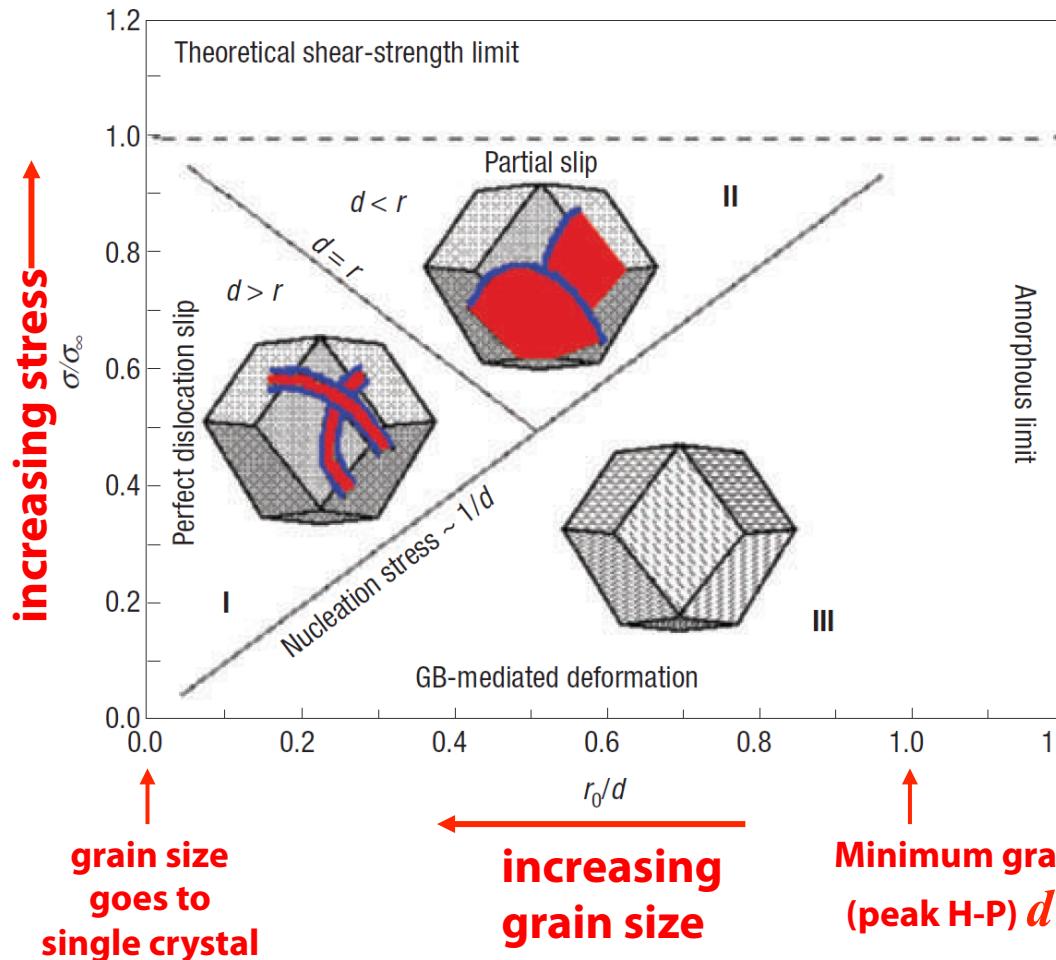
# Polycrystalline Plasticity Mechanisms



Hypothesize that low friction stability occurs when microstructure supports grain boundary mediated deformation processes

**Dominant plasticity mechanism linked to grain size AND stress**

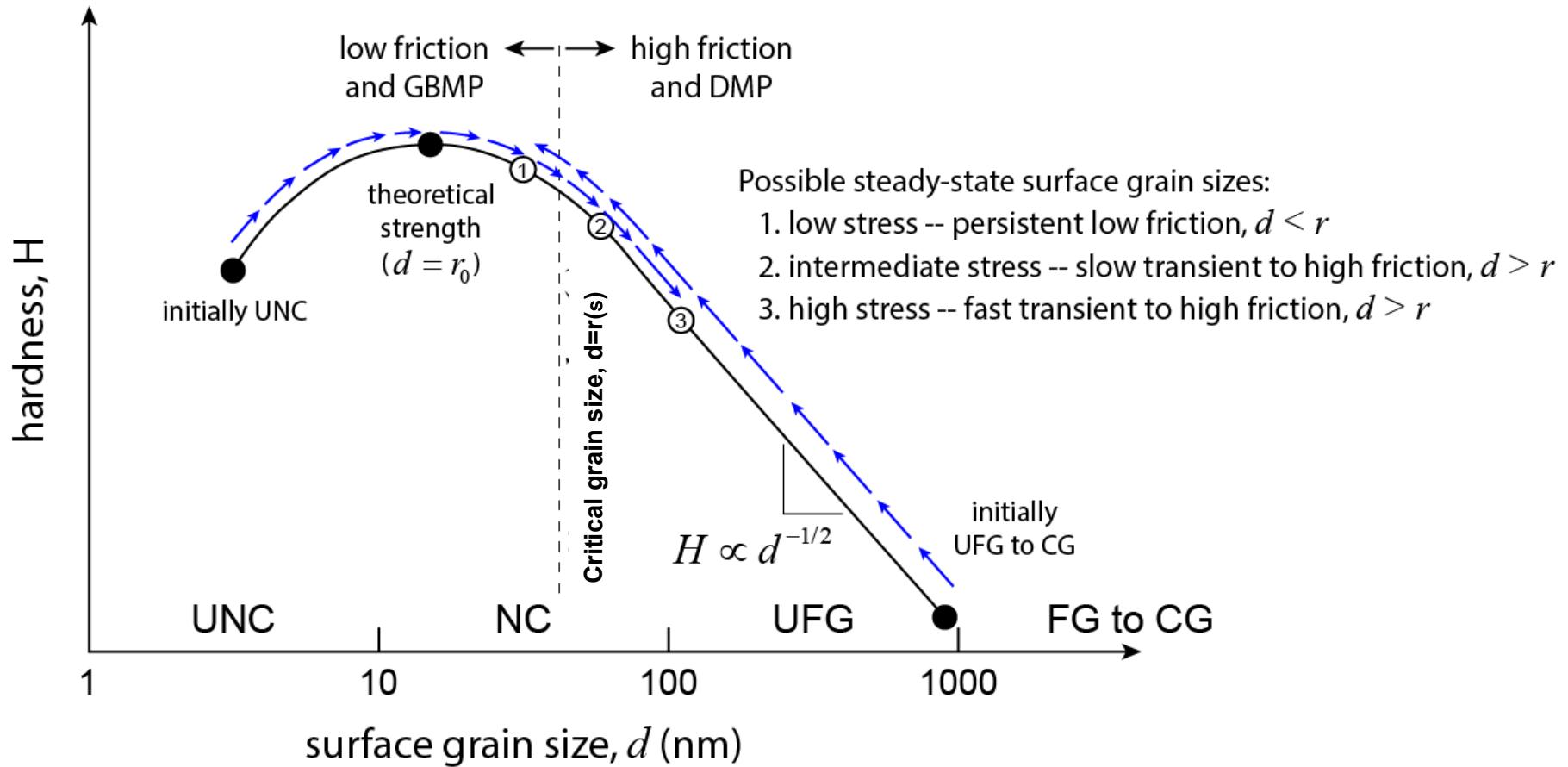
# Polycrystalline Plasticity Mechanisms



Hypothesize that low friction stability occurs when microstructure supports grain boundary mediated deformation processes

**Dominant plasticity mechanism linked to grain size AND stress**

# Stress-Dependent Stable Grain Size



**Critical grain size hypothesized to be equal to the stress-dependent dislocation splitting distance**

# Stress-Dependent Splitting Distance

Stress-dependent splitting distance:

$$r = \frac{r_0}{1 - \sigma_a / \sigma_\infty}$$

Equilibrium (zero stress) dislocation splitting distance:

$$r_0 = \frac{(2 + \nu) G b^2}{4\pi (1 - \nu) \gamma_{sf}}$$

Theoretical strength (grain size where Hall-Petch reaches max):

$$\sigma_\infty = \frac{2\gamma_{sf}}{b}$$

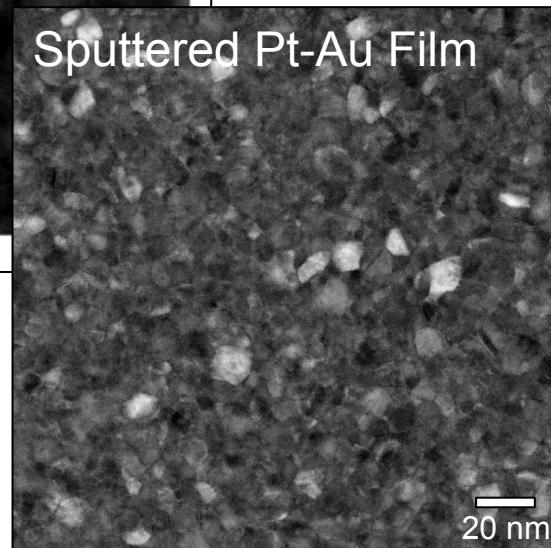
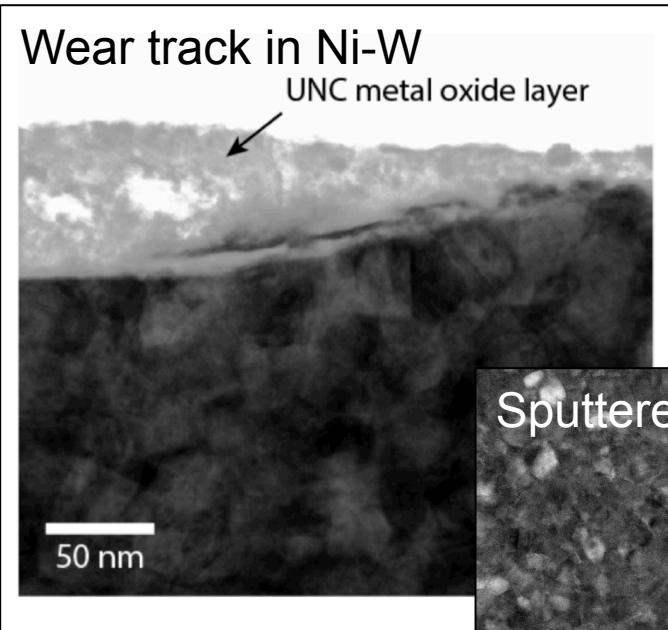
- Function of zero-stress, equilibrium splitting distance, and the ratio of applied stress ( to theoretical strength)
- Aside from applied stress, all other parameters are materials dependent (G, b,  $\nu$ , SFE)

Hamiltonian applied stress (von Mises surface stress):

$$\sigma_\infty = \frac{3F_n}{2\pi a^2} \left[ \frac{1 - 2\nu}{3} + \frac{(4 + \nu)}{8} \pi \mu \right]$$

**Normal forces during wear above 5-10 mN would drive evolution beyond critical 37 nm grain size in Ni-W, consistent with our data**

# Future Work: Isolate Solute Effects

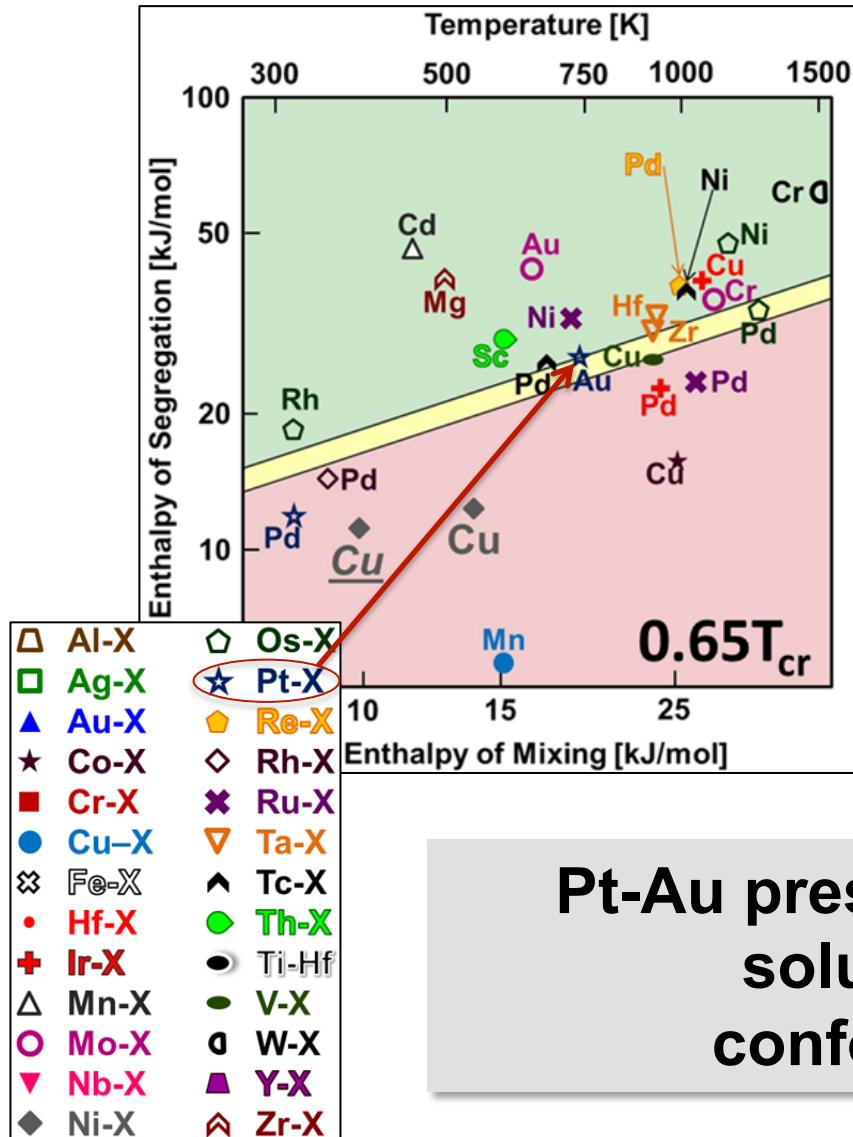


- Recall the surface oxide layer...
- Interested in better isolating the contribution of solute segregation on mechanical stability of NC alloys

- Pt-Au synthesis routes optimized
- As-deposited grain size of 5-6 nm

**Studies in the noble metal binary system of Pt-Au are underway for thermal & mechanical stability**

# Selection of Pt-Au

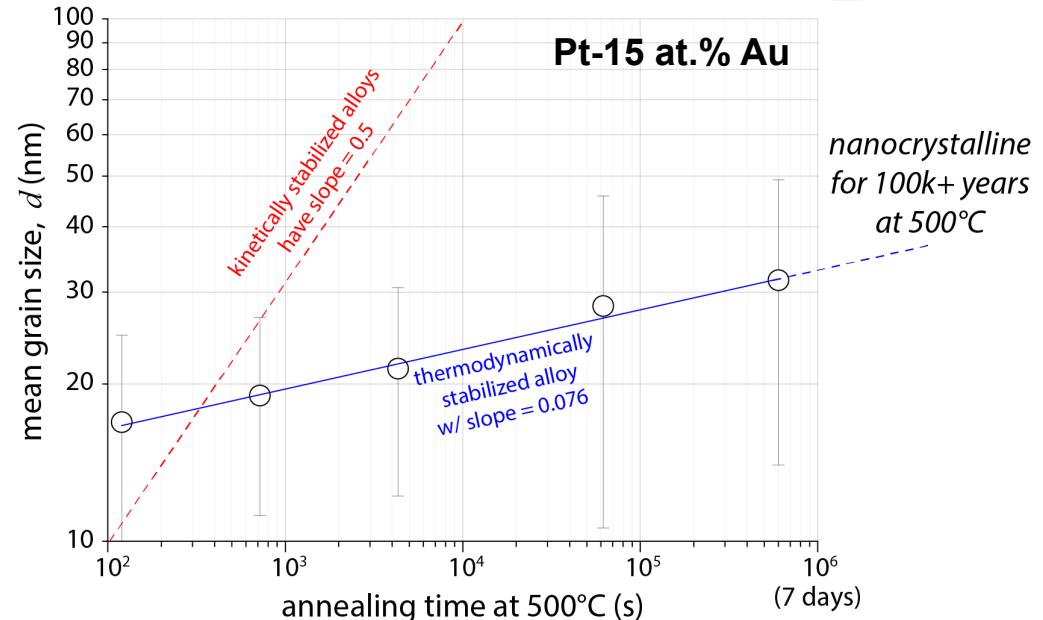


- Alloy selection driven by predictions of Murdoch & Schuh
- Wanted to avoid oxidation:
  - No oxidation in air
  - Chemically inert elements
- Pt-Au predicted to fall just at boundary of thermally-stable, solute stabilized region

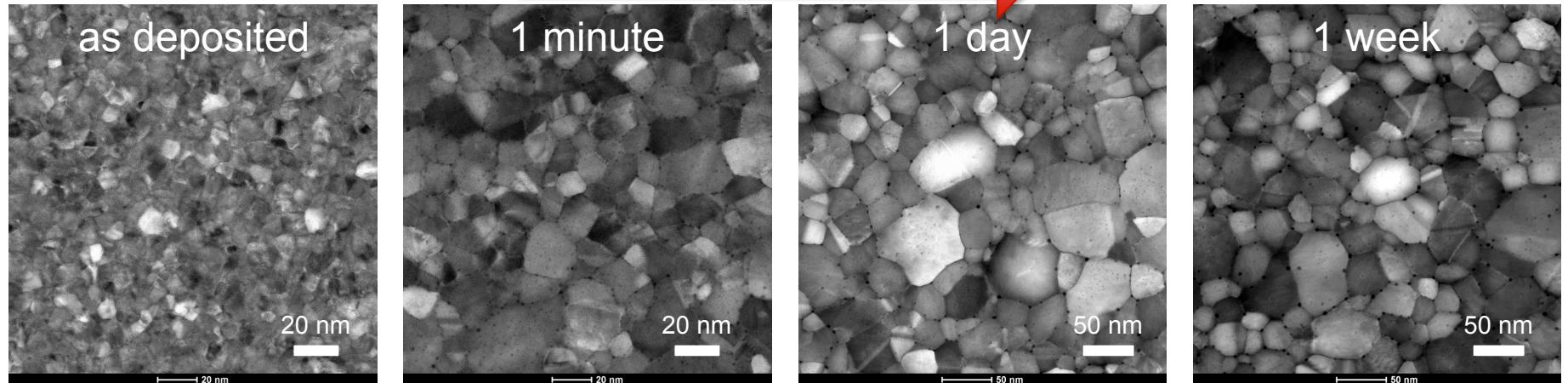
# Evidence of Thermodynamic Stability



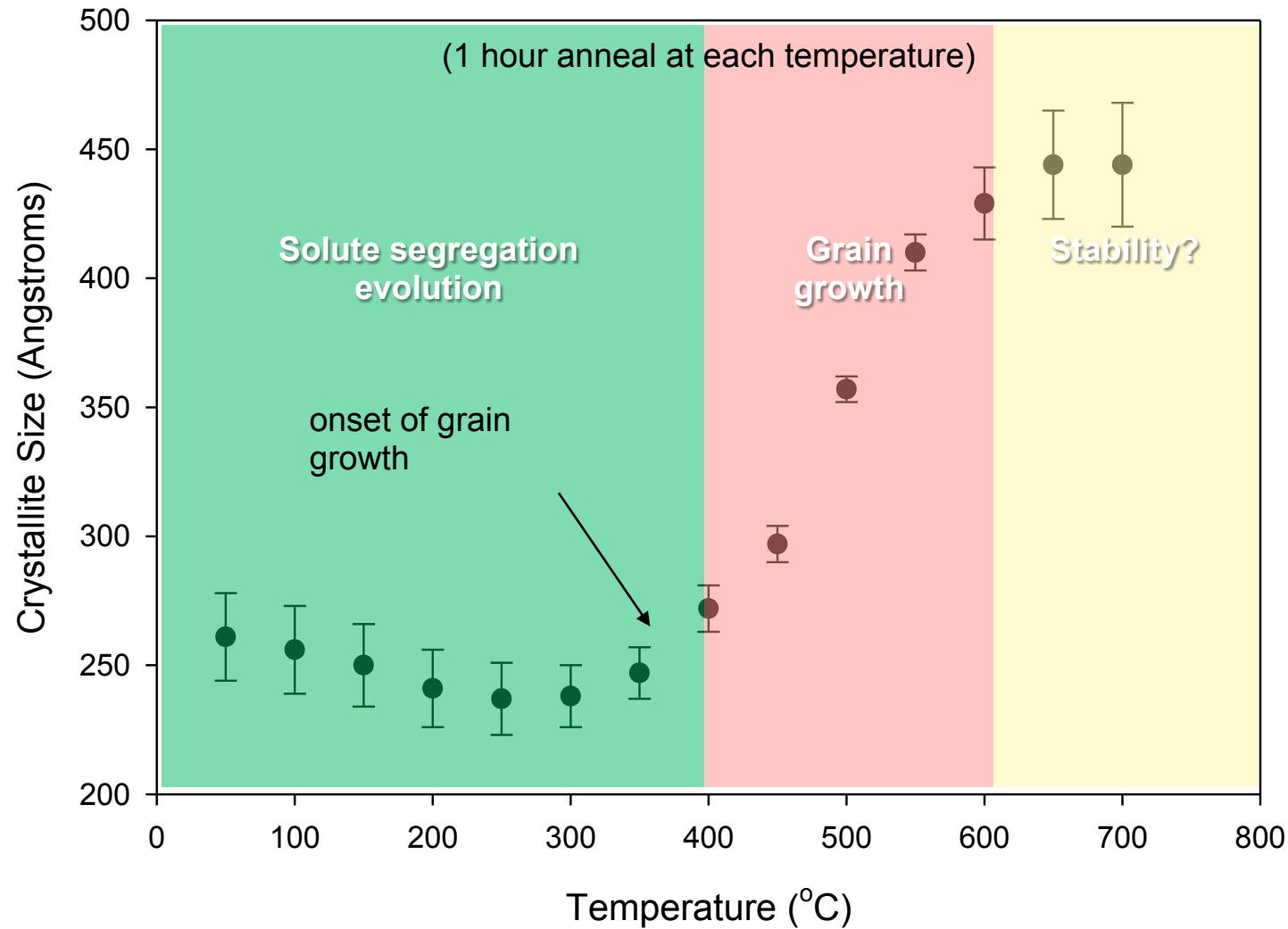
- Constant temperature interrupted TEM annealing at 500°C, free-standing sputtered **Pt -15 at.% Au** films lifted from salt crystals
- Abnormal grain growth observed
- Grain growth trend does not follow  $\text{time}^{1/2}$  trend (characteristic of kinetic stabilized alloys)



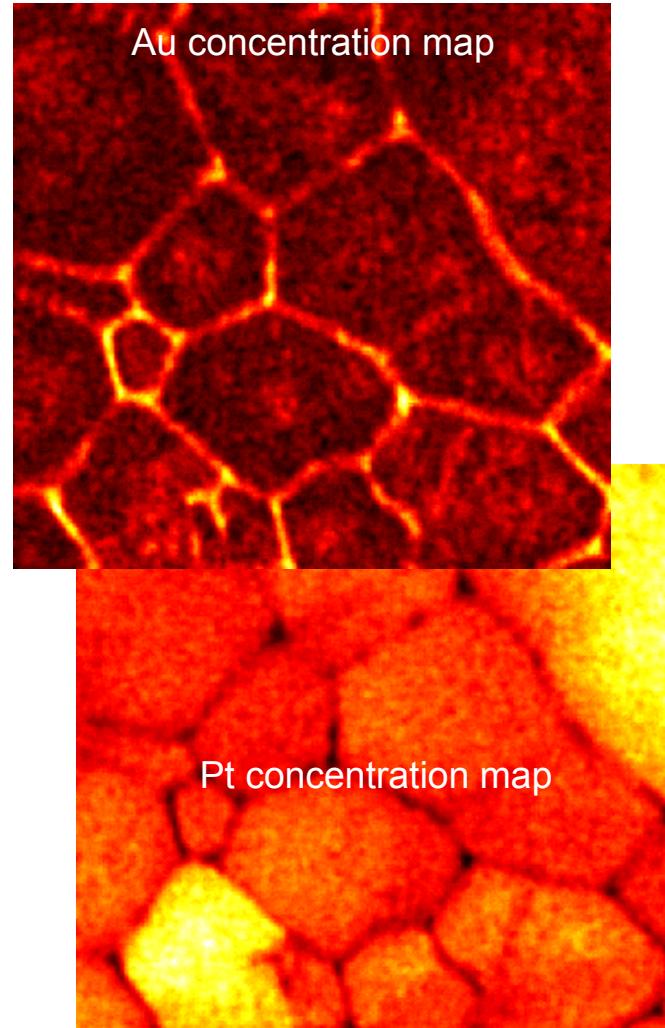
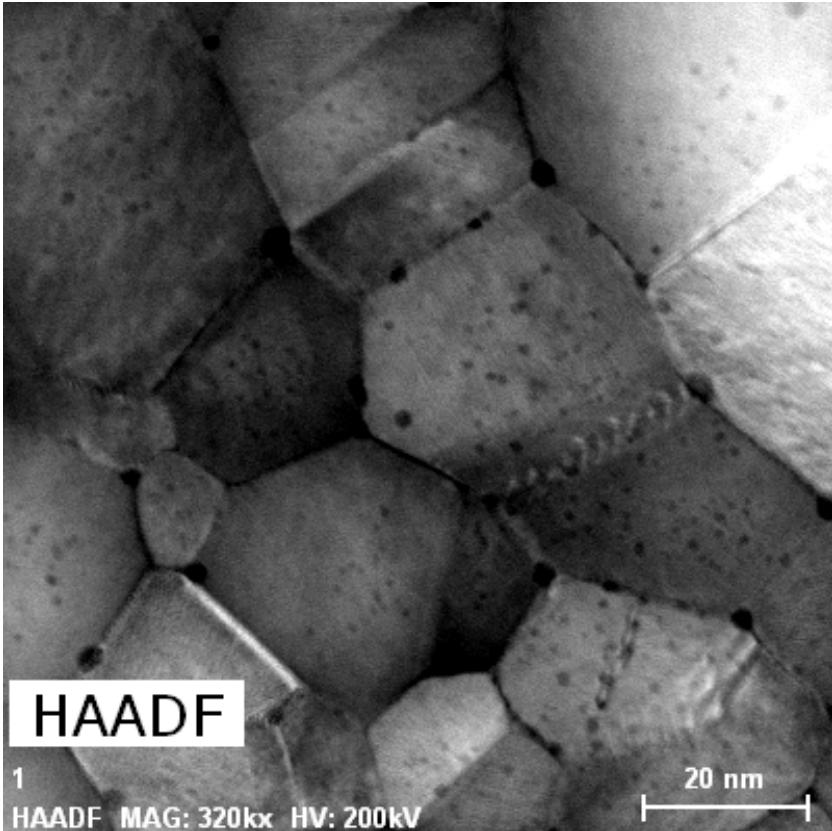
500°C anneal ( $T/T_m \sim 0.5$ )



# Evolution of 10 um thick Pt-Au Films



# Preferential Segregation of Au at Triple Junctions

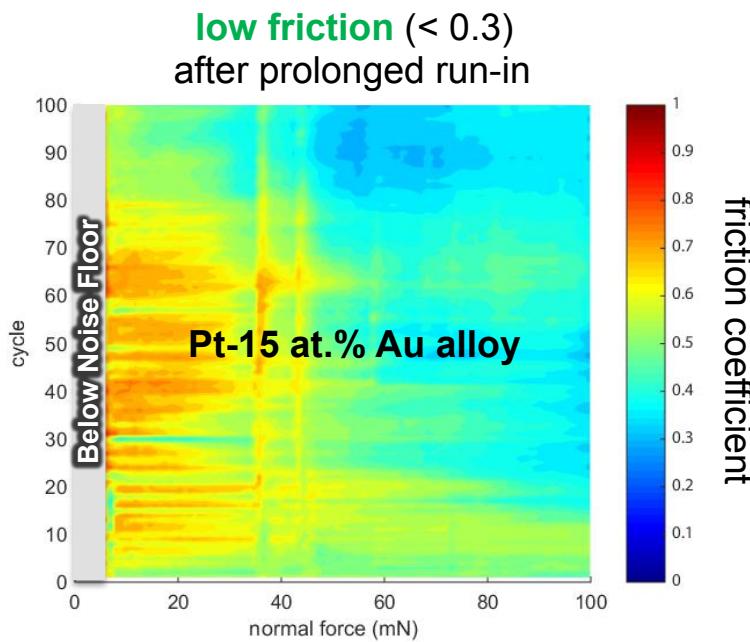
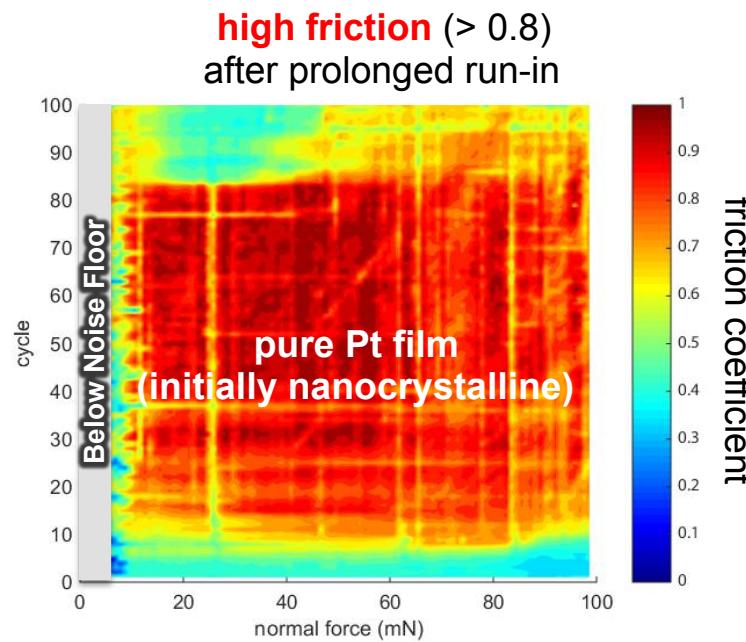
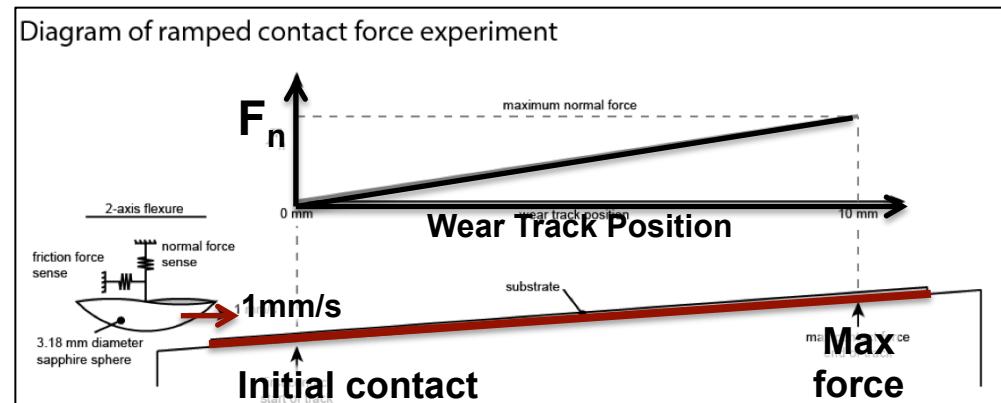


# Tribological Testing – Evidence of Mech. Stability



(Right) Contact force is ramped along the length of the wear track with continuous friction measurement:

(Below) Using a Au-alloy tip rastered on pure and alloyed Pt we measure the following friction data:

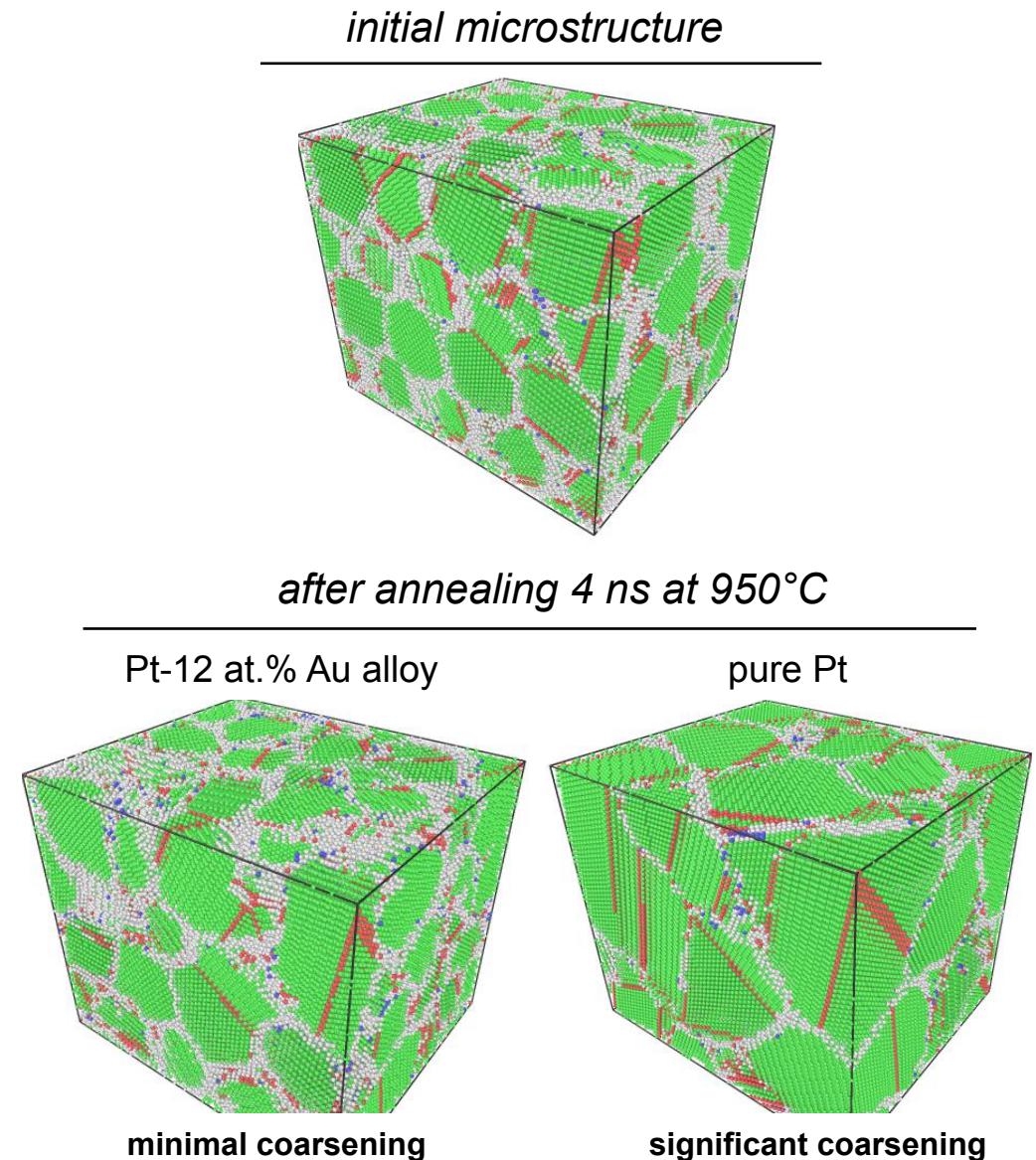


Pt-Au films showed low friction & negligible wear

# MD Supports Shows High Thermal Stability



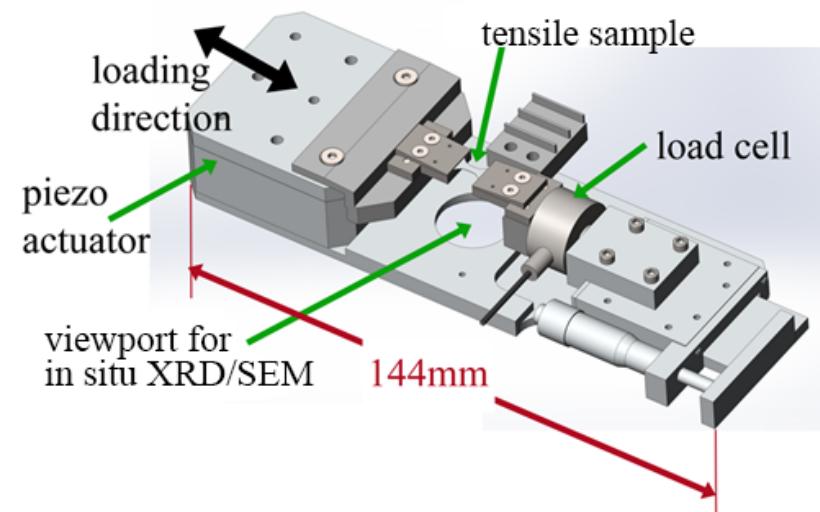
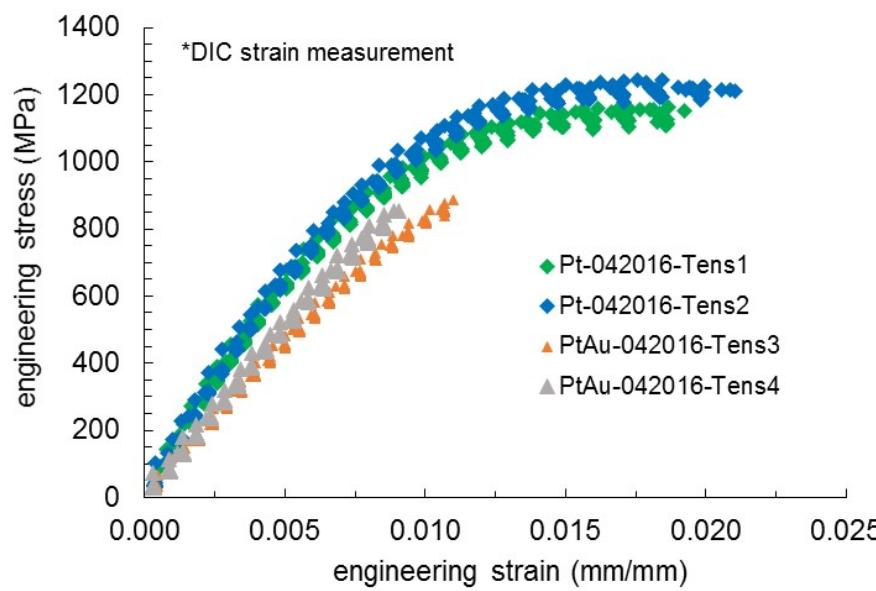
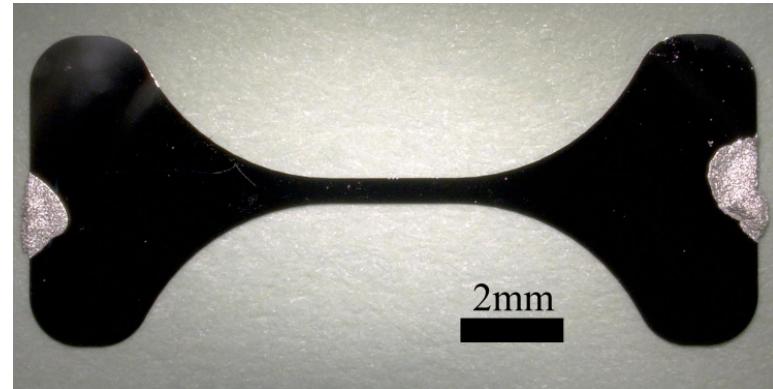
- Potentials for Pt-Au completed and vetted, results shown here
  - Pt-Au is highly stable, little change in microstructure
- Pt-Pd potentials completed, no preliminary data yet
- Pure Pt shows significant grain coarsening
- Capability to investigate stress-induced grain growth via MD has been developed



# Tensile Testing Underway – Preliminary Data Here



- Developed method for fabricating free-standing “thin film dogbones” (10  $\mu\text{m}$  thick) via laser cutting of sputtered films
- Micro-tensile fatigue tests underway



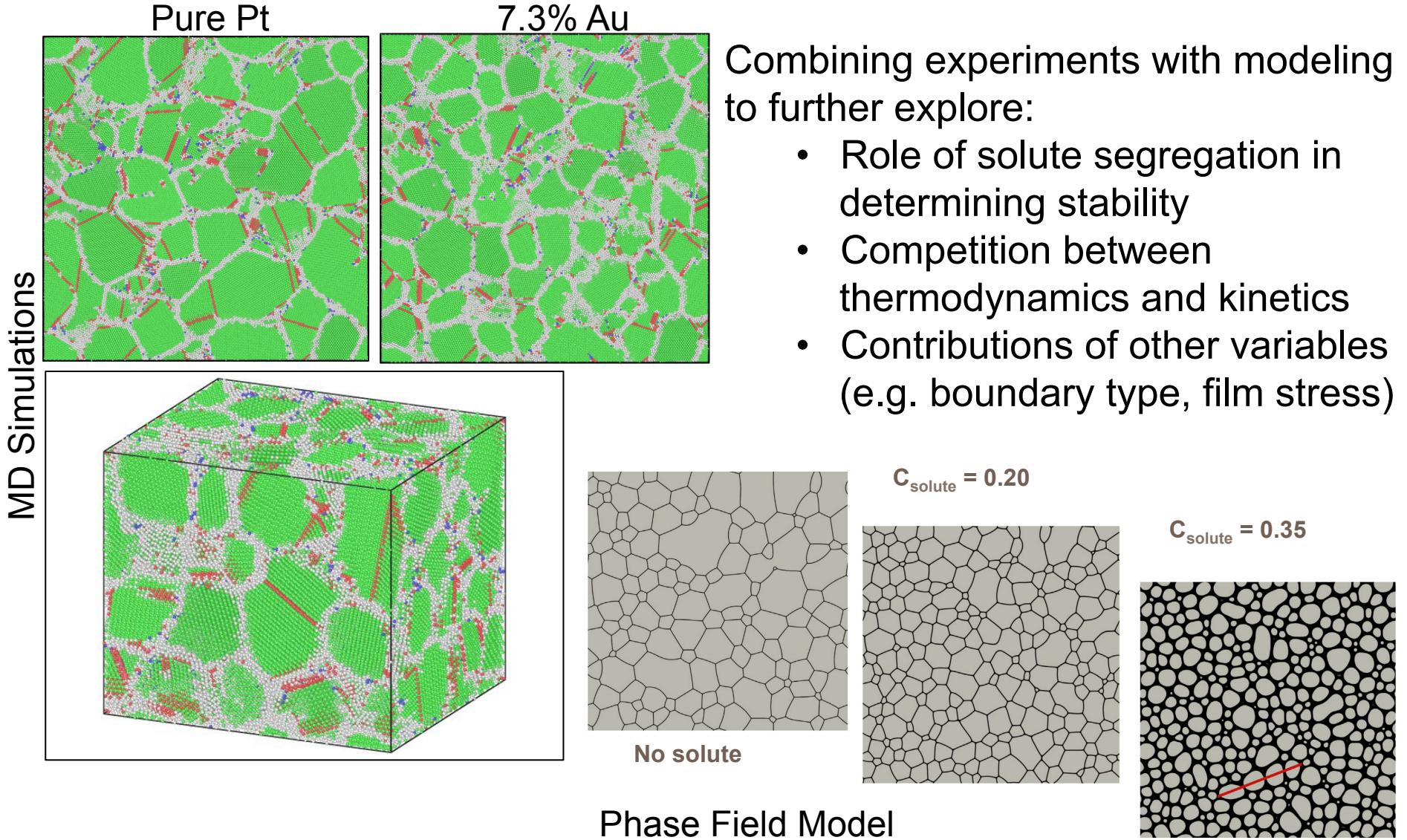
# Summary

- Microstructural stability of Ni-W studied under sliding wear over a range of contact forces and cycles
  - Significant grain coarsening observed for 100 and 1000 mN, transition to high friction in 100s of cycles
  - Minimal grain coarsening for 1 mN, measured hardness increase and no transition to high friction to 10000 cycles
- Proposed presence of a stress-dependent stable grain size in nanocrystalline alloys under wear
  - Hypothesize low friction, stable microstructure in grain-boundary mediated plasticity regime
  - Potentially related to dislocation splitting distance
- Future work underway to:
  - Isolate solute segregation effects
  - Develop predictive framework for mechanical stability

# Does Thermal Stability Lead to Stability under Wear?

# What Do We Know about Stability of Nanograins under Wear Anyway?

# Future Work: Pair with Modeling



# Consider Stability

