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# Channeled Spectropolarimetry Using Iterative Reconstruction

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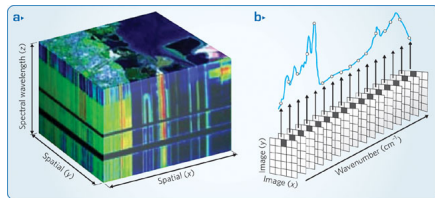
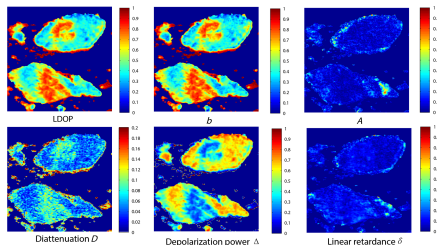
# Outline

- 1 Overview of CSP
- 2 Review of Fourier reconstruction (FR)
- 3 Introduce new iterative reconstruction (IR) technique
- 4 Compare FR and IR techniques
- 5 Summary

## 1. Overview of CSP

# CSP combines polarimetry and spectroscopy

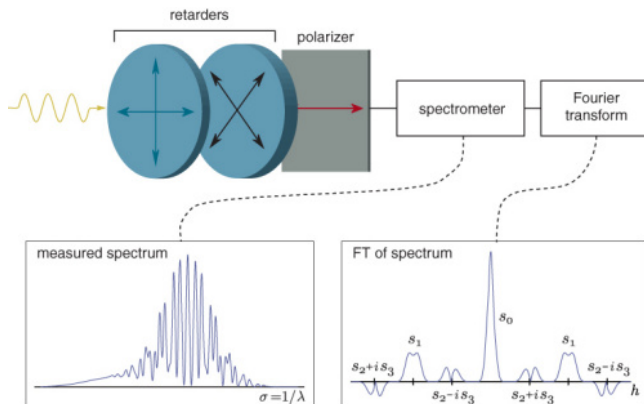
- Polarimetry helps distinguish targets from background, evaluate stress birefringence, characterize biological tissues
- Spectroscopy provides insights in biomedical imaging and remote sensing
- CSP combines these capabilities to study the polarimetric and ellipsometric properties of dispersive materials



Du, et. al., *J. Biomed. Opt.* 19(7) (2014)  
Bannon, N. *Photonics* 3, 627-629 (2009).

## Fourier processing recovers the Stokes parameters

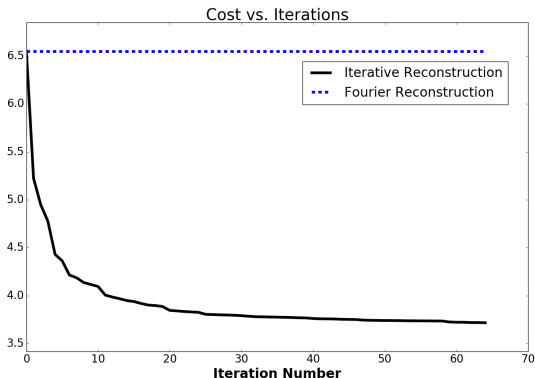
- Fourier transform the CSP spectrum, then filter the channels—easy procedure but it has drawbacks
- It suffers from noise due to vibration, thermal fluctuations, imperfect sampling
- It assumes the channels are band-limited; cross-talk is bad
- Fourier transform requires uniformly spaced samples



# Iterative reconstruction (IR) improves on Fourier reconstruction (FR)

## Advantages of IR:

- Reduce noise from vibrations and thermal fluctuations
- Reconstruct signals with more bandwidth, a significant advancement over FR
- Process measurements that are non-uniformly spaced in wavenumber
- Quantify reconstruction improvement through minimization of a cost function



## The CSP system measures a spectrum

- Let's consider a system with a QWP, 45° retarder, analyzer, and spectrometer
- Mathematically,

$$\mathbf{S}_{\text{out}}(\sigma) = \mathbf{M}_{\text{pol}} \mathbf{M}_{45^\circ}(\sigma) \mathbf{M}_{\text{QWP}} \mathbf{S}_{\text{in}}(\sigma),$$

where

$$\mathbf{M}_{\text{pol}} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix},$$

$$\mathbf{M}_{45^\circ}(\sigma) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos(\phi) & 0 & -\sin(\phi) \\ 0 & 0 & 1 & 0 \\ 0 & \sin(\phi) & 0 & \cos(\phi) \end{bmatrix},$$

$$\mathbf{M}_{\text{QWP}} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

- After some manipulation, the measured intensity is

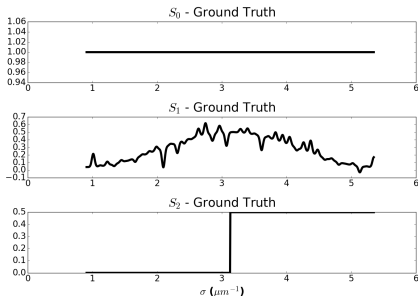
$$I(\sigma) = \frac{1}{2} \{ S_0(\sigma) + S_1(\sigma)\cos[\phi(\sigma)] + S_2(\sigma)\sin[\phi(\sigma)] \}$$

## 2. Review of Fourier reconstruction (FR)

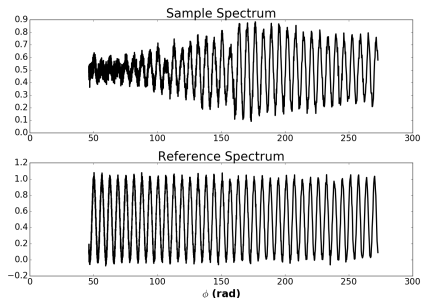


# Simulate a spectrum using truth Stokes parameters

- For simulation, we set values for the Stokes parameters



- Simulate the spectrum from these parameters



- Add noise to spectrum:

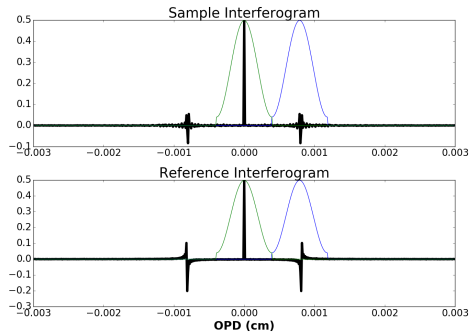
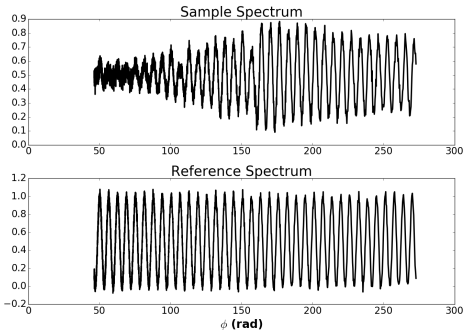
$$I(\sigma) = I_{\text{model}}(\sigma) + n.$$

where

$$n \sim \mathcal{N}(\mu, \sigma_n^2),$$

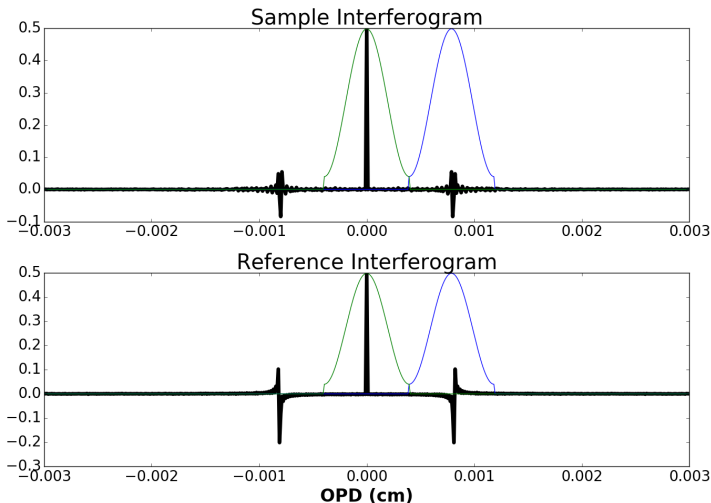
## Step 1: Inverse FT the spectra to get interferograms

- First measure the sample and reference spectra.
- Inverse FT the spectra to get interferograms.



## Step 2: Separate the interferogram into channels

- From the sample interferogram, extract  $C_0(d)$  and  $C_1(d)$  (sample channels 0 and 1) by applying Hamming filters
- From the reference interferogram, extract  $\tilde{C}_0(d)$  and  $\tilde{C}_1(d)$  (reference channels 0 and 1)



## Step 3: Fourier transform each channel

- Calculate  $S_0$  from channel 0:

$$S_0(\sigma) = |\mathcal{F}[C_0(d)](\sigma)|.$$

- Calculate phase correction from the reference channel:

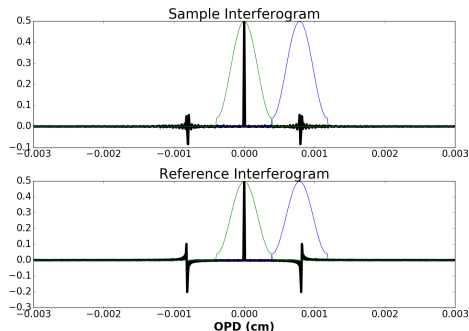
$$\tilde{\phi}_1(\sigma) = \arg \left\{ \mathcal{F}[\tilde{C}_1(d)](\sigma) \right\}.$$

- Calculate  $S_1$  from channel 1 with phase correction:

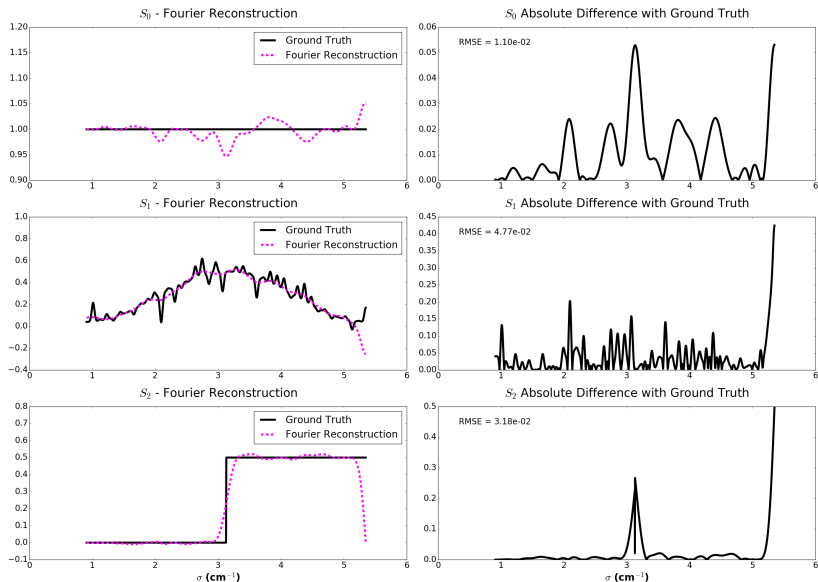
$$S_1(\sigma) = \operatorname{Re} \left\{ \mathcal{F}[C_1(d)](\sigma) \cdot e^{-i\tilde{\phi}_1(\sigma)} \right\}$$

- Calculate  $S_2$  from channel 2 with phase correction:

$$S_2(\sigma) = \operatorname{Im} \left\{ \mathcal{F}[C_1(d)](\sigma) \cdot e^{-i\tilde{\phi}_1(\sigma)} \right\}.$$



# Compare ground truth and Fourier reconstruction



### 3. Introduce new iterative reconstruction (IR) technique

## Model the measured spectrum

- Model the measurements as

$$I_{\text{model}}(\sigma) = \frac{1}{2} \{ S_0(\sigma) + S_1(\sigma)\cos[\phi(\sigma)] + S_2(\sigma)\sin[\phi(\sigma)] \}$$

- Actual measurements have noise:

$$I(\sigma) = I_{\text{model}}(\sigma) + n.$$

- Minimize the difference between model and measurements:

$$L(S_0, S_1, S_2) = \frac{1}{2} \int_{\mathbb{R}} \left[ \frac{1}{2} \{ S_0(\sigma) + S_1(\sigma)\cos[\phi(\sigma)] + S_2(\sigma)\sin[\phi(\sigma)] \} - I(\sigma) \right]^2 d\sigma$$

## Minimize a cost function to find the Stokes parameters

- Derivatives represent how much the signal changes:

$$R_0(\mathbf{S}_0) = \int_{\mathbb{R}} \sqrt{|\nabla S_0(\sigma)|^2 + \epsilon} d\sigma,$$

- Cost combines the likelihood and regularizer functions:

$$c(\mathbf{S}_0, \mathbf{S}_1, \mathbf{S}_2) = L(\mathbf{S}_0, \mathbf{S}_1, \mathbf{S}_2) + \beta_0 R_0(\mathbf{S}_0) + \beta_1 R_1(\mathbf{S}_1) + \beta_2 R_2(\mathbf{S}_2)$$

- Find Stokes parameters by minimizing cost:

$$\left( \hat{\mathbf{S}}_0, \hat{\mathbf{S}}_1, \hat{\mathbf{S}}_2 \right) = \underset{\mathbf{S}_0, \mathbf{S}_1, \mathbf{S}_2 \in \mathbb{R}^N}{\operatorname{argmin}} c(\mathbf{S}_0, \mathbf{S}_1, \mathbf{S}_2).$$

- Minimize each parameter successively:

$$\mathbf{S}_0^{(n+1)} = \underset{\mathbf{S}_0 \in \mathbb{R}^N}{\operatorname{argmin}} c\left(\mathbf{S}_0, \mathbf{S}_1^{(n)}, \mathbf{S}_2^{(n)}\right),$$

$$\mathbf{S}_1^{(n+1)} = \underset{\mathbf{S}_1 \in \mathbb{R}^N}{\operatorname{argmin}} c\left(\mathbf{S}_0^{(n+1)}, \mathbf{S}_1, \mathbf{S}_2^{(n)}\right),$$

$$\mathbf{S}_2^{(n+1)} = \underset{\mathbf{S}_2 \in \mathbb{R}^N}{\operatorname{argmin}} c\left(\mathbf{S}_0^{(n+1)}, \mathbf{S}_1^{(n+1)}, \mathbf{S}_2\right).$$



## Find $\mathbf{S}_0$ using gradient descent

- Update  $\mathbf{S}_0$  by minimizing cost:

$$\mathbf{S}_0 = \underset{\mathbf{S}_0 \in \mathbb{R}^N}{\operatorname{argmin}} c(\mathbf{S}_0, \mathbf{S}_1, \mathbf{S}_2)$$

- Updates for  $\mathbf{S}_1$  and  $\mathbf{S}_2$  follow a similar procedure

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```
1: function UPDATE_S0 (  $\mathbf{S}_0^{\text{in}}, \mathbf{S}_1, \mathbf{S}_2$  )  
  
2:    $\mathbf{S}_0^{(0)} \leftarrow \mathbf{S}_0^{\text{in}}$   
3:    $i \leftarrow 0$   
4:   while  $c(\mathbf{S}_0^{(i)}, \mathbf{S}_1, \mathbf{S}_2) > \epsilon$  do  
5:      $\mathbf{d} \leftarrow -\nabla_{\mathbf{S}_0} c(\mathbf{S}_0, \mathbf{S}_1, \mathbf{S}_2) \Big|_{\mathbf{S}_0=\mathbf{S}_0^{(i)}}$   
         $= -\nabla_{\mathbf{S}_0} L(\mathbf{S}_0, \mathbf{S}_1, \mathbf{S}_2) \Big|_{\mathbf{S}_0=\mathbf{S}_0^{(i)}} - \beta_0 \nabla_{\mathbf{S}_0} R_0(\mathbf{S}_0) \Big|_{\mathbf{S}_0=\mathbf{S}_0^{(i)}}$   
6:      $\alpha^* \leftarrow \underset{\alpha \in \mathbb{R}}{\operatorname{argmin}} c(\mathbf{S}_0^{(i)} + \alpha \mathbf{d}, \mathbf{S}_1, \mathbf{S}_2)$   
7:      $\mathbf{S}_0^{(i+1)} \leftarrow \mathbf{S}_0^{(i)} + \alpha^* \mathbf{d}$   
8:      $i \leftarrow i + 1$   
9:   end while  
  
10:   $\mathbf{S}_0^{\text{out}} \leftarrow \mathbf{S}_0^{(i)}$   
11:  return  $\mathbf{S}_0^{\text{out}}$   
12: end function
```

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## Optimize for $S_0$ , $S_1$ , and $S_2$

- Minimize each parameter successively:

$$\mathbf{s}_0^{(n+1)} = \operatorname{argmin}_{\mathbf{s}_0 \in \mathbb{R}^N} c \left( \mathbf{s}_0, \mathbf{s}_1^{(n)}, \mathbf{s}_2^{(n)} \right),$$

$$\mathbf{s}_1^{(n+1)} = \operatorname{argmin}_{\mathbf{s}_1 \in \mathbb{R}^N} c \left( \mathbf{s}_0^{(n+1)}, \mathbf{s}_1, \mathbf{s}_2^{(n)} \right),$$

$$\mathbf{s}_2^{(n+1)} = \operatorname{argmin}_{\mathbf{s}_2 \in \mathbb{R}^N} c \left( \mathbf{s}_0^{(n+1)}, \mathbf{s}_1^{(n+1)}, \mathbf{s}_2 \right).$$

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1: Initialize  $\mathbf{s}_0^{(0)}$ ,  $\mathbf{s}_1^{(0)}$ ,  $\mathbf{s}_2^{(0)}$  by Fourier reconstruction.

2:  $n \leftarrow 0$

3: **while**  $c \left( \mathbf{s}_0^{(n)}, \mathbf{s}_1^{(n)}, \mathbf{s}_2^{(n)} \right) > \epsilon$  **do**

4:    $\mathbf{s}_0^{(n+1)} \leftarrow \text{UPDATE\_}S_0 \left( \mathbf{s}_0^{(n)}, \mathbf{s}_1^{(n)}, \mathbf{s}_2^{(n)} \right)$

5:    $\mathbf{s}_1^{(n+1)} \leftarrow \text{UPDATE\_}S_1 \left( \mathbf{s}_0^{(n+1)}, \mathbf{s}_1^{(n)}, \mathbf{s}_2^{(n)} \right)$

6:    $\mathbf{s}_2^{(n+1)} \leftarrow \text{UPDATE\_}S_2 \left( \mathbf{s}_0^{(n+1)}, \mathbf{s}_1^{(n+1)}, \mathbf{s}_2^{(n)} \right)$

7:    $n \leftarrow n + 1$

8: **end while**

9:  $\mathbf{S}_0 \leftarrow \mathbf{s}_0^{(n)}$

10:  $\mathbf{S}_1 \leftarrow \mathbf{s}_1^{(n)}$

11:  $\mathbf{S}_2 \leftarrow \mathbf{s}_2^{(n)}$

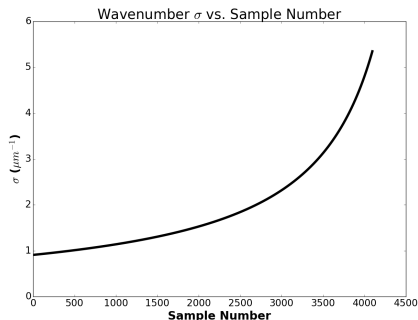
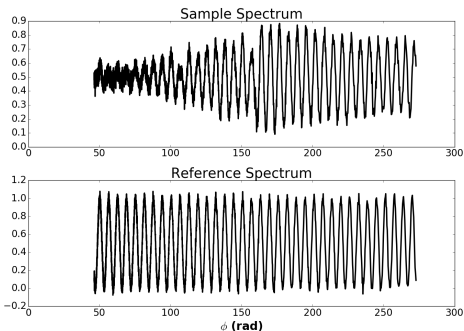
12: **return**  $\mathbf{S}_0, \mathbf{S}_1, \mathbf{S}_2$

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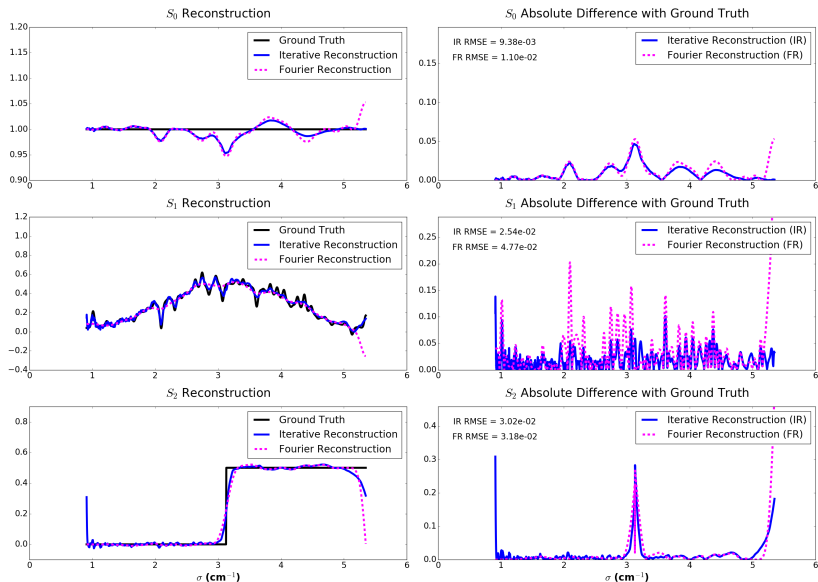
#### 4. Compare FR and IR techniques

# Input simulated spectrum into the IR algorithm

- Calculate  $S_0$ ,  $S_1$ ,  $S_2$  from the simulated spectrum
- Input spectrum is non-uniformly spaced in wavenumber

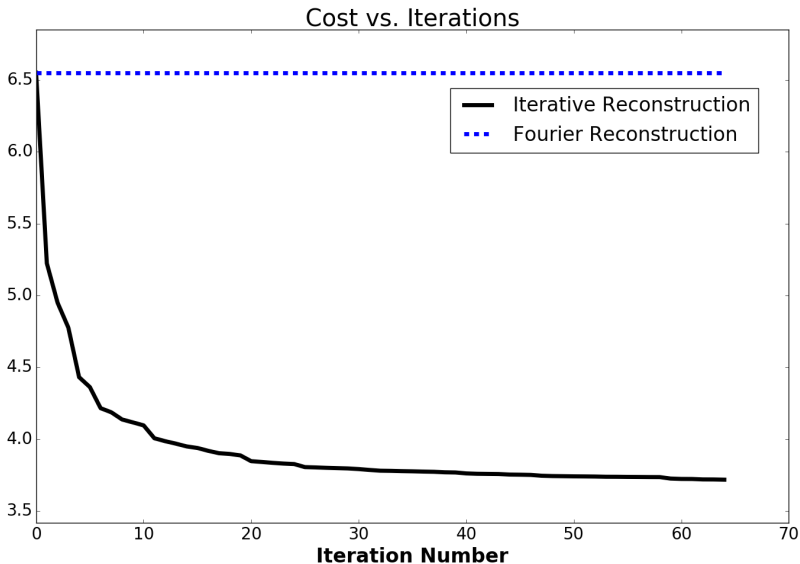


# IR is closer to ground truth



## Cost shows convergence

- Measure cost after each iteration

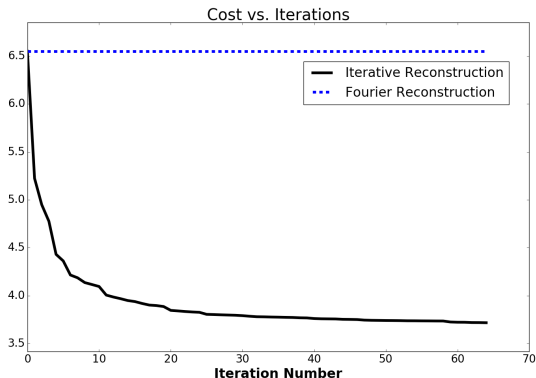


## 5. Summary

# Iterative reconstruction offers many advantages

## Advantages:

- Reconstruct signals with more bandwidth
- Reduce errors from vibration noise and thermal fluctuations
- Process samples that are non-uniformly spaced in wavenumber
- Track progress through minimization of a cost function





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