

1 **Biospheric feedback effects in a synchronously coupled model of Earth and human systems**

2 Authors: Peter E. Thornton*, Katherine Calvin, Andrew D. Jones, Alan V. Di Vittorio, Ben Bond-Lamberty, Louise
3 Chini, Xiaoying Shi, Jiafu Mao, William D. Collins, Jae Edmonds, Allison Thomson, John Truesdale, Anthony
4 Craig, Marcia L. Branstetter, George Hurtt [†]

5 **Fossil fuel combustion and land-use change are the two largest contributors to industrial-**
6 **era increases in atmospheric CO₂ concentration¹. Projections of these are thus fundamental**
7 **inputs for coupled Earth system models (ESMs) used to estimate the physical and**
8 **biological consequences of future climate system forcing^{2,3}. While historical datasets are**
9 **available to inform past and current climate analyses^{4,5}, assessments of future climate**
10 **change have relied on projections of energy and land use from energy economic models,**
11 **constrained by assumptions about future policy, land-use patterns, and socio-economic**
12 **development trajectories⁶. Here we show that the climatic impacts on land ecosystems**
13 **drives significant feedbacks in energy, agriculture, land-use, and carbon cycle projections**
14 **for the 21st century. We find that exposure of human appropriated land ecosystem**
15 **productivity to biospheric change results in reductions of land area used for crops;**
16 **increases in managed forest area and carbon stocks; decreases in global crop prices; and**
17 **reduction in fossil fuel emissions for a low-mid range forcing scenario⁷. The feedbacks**
18 **between climate-induced biospheric change and human system forcings to the climate**
19 **system – demonstrated here – are handled inconsistently, or excluded altogether, in the**
20 **one-way asynchronous coupling of energy economic models to ESMs used to date^{1, 8-9}.**

[†] Present addresses: P.E.T., X.S., and J.M., Oak Ridge National Laboratory, Environmental Sciences Division/Climate Change Science Institute; K.C., B.B.-L., and J.E., Joint Global Change Research Institute, Pacific Northwest National Laboratory; A.D.J., A.V.D., and W.D.C., Lawrence Berkeley National Laboratory; L.C. and G.H., University of Maryland; J.T. and A.C., independent contractors with Lawrence Berkeley National Laboratory; M.L.B., Oak Ridge National Laboratory, Computer Science and Mathematics Division/Climate Change Science Institute; A.T., Field to Market: The Alliance for Sustainable Agriculture, 777 N Capitol St NE, Washington, DC 20002. * Corresponding author, contact information: thorntonpe@ornl.gov.

21 Current projections of future climate are based on ESMs that include sophisticated
22 representations of biotic and abiotic processes in the Earth system, but which represent human
23 systems through static, unidirectional, asynchronous coupling¹⁰ (black arrows in Figure 1a). We
24 explore here the difference between asynchronous coupling, in which human system models are
25 executed in advance to generate complete time series outputs later passed to an ESM, and
26 synchronous coupling, in which the human system model and ESM are executed simultaneously,
27 with opportunity for interaction between these two components that can change the simulation
28 trajectory of both. In the traditional asynchronous approach, human system information required
29 as forcing for climate prediction is generated in advance by economic integrated assessment
30 models (IAMs) that include both energy and agricultural sectors. As summarized in the Fifth
31 Assessment Report of the Intergovernmental Panel on Climate Change (AR5), several IAMs
32 have been used to generate standard climate forcing inputs to ESMs covering a range of policy
33 assumptions from aggressive mitigation to business-as-usual^{1,11}. These inputs include
34 harmonized forcings sharing a common historical baseline and a common set of definitions and
35 analyses for 21st century long-lived¹² and short-lived¹³ greenhouse gas (GHG) emissions and
36 land-use change⁵.

37 IAM projections of future GHG and air pollutant emissions and land-use and land-cover change
38 (LULCC) are constrained by assumptions regarding human demography, economic development
39 trajectories, and policy. Estimates of ecosystem productivity and crop yields (including biomass
40 energy crops for some scenarios) are based on historical data. These estimates change over time,
41 following assumptions about the influence of technological change on yield and endogenous
42 estimates of crop location and area (Figure 1a). IAMs do not typically consider the influence of
43 future biospheric change, defined here as the integrated effects of climatic, ecological, and

44 biogeochemical processes, although recent work has evaluated the economic and carbon stock
45 impacts of changing temperature, precipitation, and atmospheric carbon dioxide concentration
46 ($\text{CO}_{2,\text{atm}}$) in crop and land-use models^{14,15}.

47 The use of asynchronous coupling in climate projections for AR5 excludes the influence of
48 multiple biospheric factors known to influence managed ecosystems, including short-term
49 weather variation¹⁶, long-term climate trends¹⁷, changes in $\text{CO}_{2,\text{atm}}$ ^{18,19}, changes in atmospheric
50 deposition of reactive nitrogen on land²⁰, and the complex interactions among these factors^{21,22}.

51 One IAM used in AR5, the IMAGE model, does have the capability to examine the dynamic
52 influence of climate change factors on ecosystem productivity using its own internal, reduced-
53 form climate model²³, but its scenarios for use by ESMs are still based on one-way coupling and
54 result in inconsistent representation of biospheric change between the IAM and ESM. Two-way
55 coupling of IMAGE to a general circulation model (GCM) was used to examine changes in land
56 use²⁴, but the feedback in that case was limited by passing only 30-year mean monthly
57 temperature and precipitation changes from the GCM to IMAGE. In that study, simulation of
58 carbon cycle and ecosystem processes was performed within IMAGE, a simple and highly
59 parameterized land model which ignores the tight integration of biophysical and biogeochemical
60 processes, driven by sub-daily variations in temperature, precipitation, humidity, and short and
61 long-wave radiation. Mechanistic coupling of biological and physical processes at the land
62 surface-atmosphere interface is a defining feature of the current generation of ESMs¹.

63 Here we investigate the influence of biospheric change on human systems and associated
64 feedbacks to the biosphere as introduced in a synchronous two-way coupling approach. We
65 accomplish two-way coupling by passing biospheric change information from an ESM to the
66 ecosystem productivity and crop yield components of an IAM at five-year intervals, as

67 radiatively-forced climate change unfolds over the course of a 90-year simulation (2005-2094).
68 We examine the consequences of realistic two-way feedback between the human and Earth
69 system components for crop price, fossil fuel emissions, LULCC, and transfers of carbon
70 between land, ocean, and atmosphere (Figure 1b). The IAM component used here is the Global
71 Change Assessment Model (GCAM 3.0)²⁵ and the ESM is the Community Earth System Model
72 (CESM 1.1)²⁶. We refer to the two-way coupled system as the integrated Earth system model
73 (iESM)²⁷. Our investigation uses the same demographic and policy assumptions as the 4.5 W m^{-2}
74 radiative forcing reference concentration pathway (RCP4.5) scenario of AR5⁷, which was
75 originally generated by GCAM. The passing of LULCC signals from IAM to ESM is based on
76 the land-use harmonization approach used in AR5⁵, with modifications to improve signal
77 integrity⁸. To help assess the generality of our results, we also performed a pair of simulation
78 experiments based on the AR5 RCP 8.5 scenario.

79 [insert Figure 1 here]

80 Coupling from ESM to IAM is accomplished by passing an integrated biospheric change signal
81 to each of the IAM spatial units and land types at five-year intervals. This signal is based on
82 departures from a present-day baseline (average over period 2000-2004) of net primary
83 production and heterotrophic respiration generated by the ESM land model component, which
84 includes a fully prognostic treatment of energy, water, carbon, and nitrogen cycles for multiple
85 vegetation types in each ESM land grid cell. This signal captures the desired change factors with
86 minimal bias and a linear response, while minimizing signal interference from LULCC²⁸.

87 The global average of the productivity and yield component of this signal is similar in magnitude
88 and time course among the major vegetated land types, increasing by about 10% by 2094 (Figure

89 2), with regional variation reflecting patterns of changed ecosystem productivity in the ESM
90 (Supplemental Figure 2). In CESM, land productivity tends to increase under climate change
91 scenarios, driven primarily by increasing atmospheric CO₂ concentration and anthropogenic
92 nitrogen deposition associated with fossil fuel combustion, overlain with spatially and temporally
93 varying effects due to increasing temperature and changing precipitation patterns. Even though
94 CESM, with its inclusion of carbon-nitrogen cycle coupling, generates one of the lowest CO₂
95 fertilization effects in the CMIP5 collection of ESMs, the CO₂ fertilization effect still dominates
96 the varying climate feedbacks to produce global-scale patterns of increasing land productivity
97 under all tested scenarios¹. Nothing we have added to the iESM system alters these ESM-centric
98 aspects of the ecosystem-climate feedbacks, and the increasing productivity obtained in our
99 iESM experiments is qualitatively and quantitatively consistent with the well-characterized
100 behavior of CESM in this regard. The unique aspect of our study is that this increased
101 productivity is communicated synchronously to the human system component to influence
102 LULCC (and other energy economic factors such as crop price and fossil fuel emissions). Our
103 estimate of 10% increase in ecosystem productivity and crop yield over present-day is consistent
104 with estimates from free-air CO₂ enrichment (FACE) studies for crop yield¹⁸. CO_{2,atm} prognosed
105 in the ESM rises to approximately 590 parts per million by volume by 2094 in the two-way
106 coupled simulation (Supplemental Figure 3), similar to the enriched levels typical of FACE
107 experiments, although a direct comparison of model and experimental results in this case suffers
108 from differences in the time scale of changed forcing and the integration in our simulations of
109 additional factors such as changing climate and changing rates of nutrient inputs and
110 mineralization. Our finding of increased productivity under future climate change contrasts with
111 recent results reported for a comparison of agricultural models, but that study excluded the

112 possibility of CO₂ fertilization¹⁴. Other recent work has stressed the importance of modeled
113 nutrient dynamics in estimating CO₂ fertilization for global cropland²², a factor included in our
114 ESM.

115 [insert Figure 2 here]

116 We quantify the influence of coupling approaches by differencing two simulations, one with
117 two-way synchronous coupling and the other with traditional one-way asynchronous coupling. A
118 common trajectory for fossil fuel emissions is used in both simulations (discussed below). Global
119 crop prices increase through 2080 for both coupling approaches under RCP4.5, driven by a
120 mitigation policy that applies a cost to carbon emissions²⁵ (Supplemental Figure 4), but the
121 increase in price is 12-25% smaller in the synchronously coupled system (Figure 3a), with
122 similar magnitude and trajectory for major crop types. The decline in prices under the
123 experimental simulation is due to higher productivity (Supplemental Figure 5) that reduces
124 cropland requirements and lessens competition for land. Higher productivity with biospheric
125 feedback drives a 10% decrease in total global crop area, as the same amount of food and feed
126 can be produced on smaller amounts of land. The decrease in total global crop area is
127 accompanied by an increase in area of noncommercial forest (Figure 3b).

128 [insert Figure 3 here]

129 These changes drive carbon cycle responses in the land model component of the ESM, resulting
130 in altered CO_{2,atm}. Atmospheric change drives additional response in the ocean carbon cycle
131 through physical and biological feedbacks with CO_{2,atm} (Figure 1b, pathways labeled 3, 4, and
132 5). Specifically, land ecosystems accumulate 5-10 Pg of additional carbon with two-way
133 coupling, driving a decrease in CO_{2,atm} that in turn reduces the amount of carbon transferred from

134 the atmosphere to the ocean by ~3 Pg C (Figure 4). Variability in this feedback flux on
135 interannual to decadal timescales is suggested by the two ensemble members, superimposed on a
136 coupling signal with peak increase in land carbon storage around 2060. This peak and
137 subsequent decline corresponds in time with a reduced rate of increase in non-commercial forest
138 area (Figure 3b). An important caveat for our study is that the ESM component of our coupled
139 system does not include a detailed crop model, and treats crops as grassland types.

140 [insert Figure 4 here]

141 Increases in ecosystem productivity and crop yield, combined with decreases in the global land
142 area required for food, feed, and fiber crops drive increases in bioenergy potential and
143 corresponding decreases in the price of bioenergy. The decline in bioenergy cost results in an
144 increase in demand, an increase in land area dedicated to biomass energy production (Figure 3b),
145 and a decline in the demand of other energy carriers (e.g., gas and coal). The decrease in carbon-
146 intensive energy production leads to a 17% reduction in projected fossil fuel emissions by the
147 end of the 21st century (Supplemental Figure 6). The changes in global carbon stocks shown in
148 Figure 4 do not reflect the lower fossil fuel emissions generated by the biospheric feedback, as
149 we held these emissions constant for the two simulations to provide the least complicated
150 feedback demonstration. We expect that a more complete coupling, in which the updated fossil
151 fuel emissions are passed to the ESM, would result in lower atmospheric concentrations, less
152 land carbon storage via CO₂ fertilization in the ESM land model, and a decreased rate of ocean
153 carbon uptake.

154 We obtain qualitatively similar results when comparing asynchronous one-way coupling and
155 synchronous two-way coupling under a higher radiative forcing scenario (RCP 8.5). Biospheric

156 change caused increases in crop yield of 15-22% for RCP 8.5, compared to 11-17% increase for
157 RCP 4.5 (Supplemental Figure 7). Two-way coupling causes a decrease in crop prices of 6-17%
158 for RCP 8.5, compared to 12-25% decrease for RCP 4.5. Changes in yield and price drive shifts
159 in LULCC that are somewhat larger for RCP 8.5 than for RCP 4.5, while acting through similar
160 mechanisms. The land ecosystem accumulates an additional 5-10 PgC due to two-way coupling
161 by the final decades of RCP 8.5, comparable to the additional accumulation for RCP 4.5.

162 We conclude that biospheric feedbacks to human systems can significantly alter primary
163 anthropogenic climate forcing by driving changes in land use and energy activities which
164 propagate to changes in land, atmosphere, and ocean carbon stocks as well as changes in fossil
165 fuel emissions trajectories: truly comprehensive climate change assessment efforts must
166 therefore consider these feedbacks. The approach demonstrated here removes a major
167 inconsistency in the practice of coupled Earth system modeling as identified in AR5¹, thereby
168 improving the policy relevance of climate and Earth system model projections^{29,30}. Our study
169 does not seek to provide a comprehensive assessment of uncertainty associated with a particular
170 scenario. Indeed, a synchronously coupled system that includes an ESM component can never
171 replace the traditional use of stand-alone IAMs as tools for deep exploration of uncertainty.
172 Instead, we argue that the synchronously coupled system is a new tool that allows us to explore a
173 previously dark region of the uncertainty space: each time an ESM is run without synchronous
174 coupling we miss an opportunity to better understand and quantify this uncertainty.

175

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276 **Author Contributions**

277 W.D.C., J.E., A.T., B.B-L., A.D.J., and P.E.T. conceived the study. All authors contributed to
278 development of algorithms. J.T. and A.C. led the software engineering development, X.S.
279 configured and executed simulations, and M.L.B., J.M., K.C., L.C., B.B-L., and A.V.D.

280 performed diagnostics. All authors contributed to analysis of results. P.E.T., B.B.-L., A.D.J.,
281 A.V.D., K.C., L.C., X.S., and W.D.C. wrote the text, with comments and edits from all authors.

282 **Competing Financial Interests**

283 The authors declare no competing financial interests.

284 **Figure Legends**

285 Figure 1. Interactions between human and Earth systems using one-way (black) and two-way
286 (black + red) coupling. a) Technological change factors for crop yield are included in the
287 generation of IAMs used for AR5, but biospheric change factors are not. Demographic
288 constraints and policy assumptions are necessary IAM inputs, with important influence on
289 projected crop price, GHG emissions, and LULCC. Ecosystem productivity, including crop
290 yield, has been considered as a static input to IAMs in AR5. Red arrows indicate the new
291 feedback connections in our study, passing biospheric change information from the ESM back to
292 the IAM through its influence on ecosystem productivity and crop yield. b) For AR5,
293 connections across the dotted line are asynchronous and one-way (from IAM to ESM).
294 Synchronous two-way coupling described here is accomplished by passing biospheric
295 information, as filtered by the ESM land model component, to the IAM on a 5-year time step
296 (red arrows, pathway labeled 1). This new information drives LULCC changes that are passed
297 back to the land system (pathway labeled 2), resulting in a coupled feedback (green arrow). T, P,
298 q, and rad indicate temperature, precipitation, humidity, and radiation components of physical
299 climate.

300 Figure 2. Integrated biospheric change for the 21st century, as communicated from ESM to IAM.
301 The scalar used to inform ecosystem productivity and crop yield changes in the IAM includes a
302 vegetation component (shown here) based on change in net primary production relative to
303 conditions in 1990 and a below ground component based on changes in net primary production
304 and heterotrophic respiration (Supplemental Figure 1). Category “Other” includes urban, lake,
305 land ice, and bare ground. The signal communicated to the IAM is specific to each agro-
306 ecological zone and vegetation type within zone, with the plot showing an area-weighted global
307 mean signal. For each aggregated land type the solid colored line shows the mean of two
308 ensemble simulations, while the shaded region of matching color shows the range of values from
309 the two ensemble members.

310 Figure 3. Changes in crop price and land-use area resulting from biospheric feedback. a)
311 Percentage change in global average crop price, relative to the asynchronous one-way coupling
312 (control) simulation, for each major crop type. b) Global total change in land cover summarized
313 by major land-use/land-cover types, relative to the asynchronous one-way coupling simulation.
314 For each aggregated crop type or land cover type the solid colored line shows the mean of two
315 ensemble simulations, while the shaded region of matching color shows the range of values from
316 the two ensemble members.

317 Figure 4. Change in global carbon stocks caused by biospheric feedback to human systems.
318 Difference in total carbon stocks on land (Lnd), in the atmosphere (Atm), and in the oceans
319 (Ocn), between two-way and one-way coupling simulations, as predicted within the ESM
320 component of the coupled system. Solid colored line shows the mean of two ensemble members,
321 while the shaded region of matching color shows range of values from the two ensemble
322 members.

323 **Online-Only Methods**

324 **Technical description of the two-way coupled system**

325 A complete technical description for our two-way coupling framework (iESM) is published²⁷,

326 including the model formulation, requirements, implementation, testing, and functionality.

327 **Data availability**

328 The complete iESM source code used to generate results for this study is available online at

329 <https://github.com/ACME-Climate>. All model input data used in the simulations for this study,

330 and all model output data used to generate the results reported here are available by request from

331 the corresponding author.

332 **Experimental design**

333 Our simulation experiments are initiated with radiative forcing conditions estimated circa 1850

334 AD. The 1850 initial conditions for the ESM component (land, atmosphere, ocean, and sea ice

335 state variables) are drawn from a long preindustrial control simulation (PC), in which the carbon

336 cycle on land and in the atmosphere and oceans is fully prognostic. This PC simulation is over

337 1000 years long, with predicted atmospheric CO₂ concentration varying between 281 and 287

338 ppm. Experimental simulations used in this study were performed for two time segments: a

339 historical transient (HT) segment covering the period 1850-2004, and a future scenario (FS)

340 segment covering the period 2005 to 2094.

341 During HT segments only the ESM (in our case the Community Earth System Model, CESM) is

342 active. Model inputs during HT segments, including fossil fuel emissions and land use and land

343 cover change (LULCC)⁵ are identical to those used for historical simulations in the Climate
344 Model Intercomparison Project (CMIP5).

345 Both ESM and IAM components are active for FS segments. We performed two types of
346 simulation in FS segments, differing only in the coupling method between ESM and IAM. One
347 method used asynchronous 1-way coupling (A1), in which the IAM is run in stand-alone mode
348 for the entire segment, followed by a stand-alone run of the ESM that receives LULCC and
349 emissions information saved from the IAM simulation. This is the traditional coupling approach
350 used for all CMIP5 future scenario simulations, and represented by the black arrows in Figure 1
351 (main text). The second method used synchronous 2-way coupling (S2) between the IAM and
352 ESM, corresponding to the black and red arrows in Figure 1 (main text). The S2 coupling
353 method is implemented exactly as described in the iESM technical description²⁷, except that our
354 study used a 5-year coupling time step between IAM and ESM instead of the 15-year timestep
355 described previously.

356 To ensure that the S2 coupling influence is restricted only to the passing of climate change
357 information into the crop yield and carbon stock calculations of the IAM, we use identical
358 anthropogenic fossil fuel and industrial emissions and other externally imposed radiative forcing
359 agents as input to all FS segments. The inputs used were those generated by the GCAM model
360 for the Reference Concentration Pathway (RCP) 4.5 as used in CMIP5⁶. To further constrain the
361 two-way coupled experiment, we used the GCAM carbon price pathway generated in stand-
362 alone mode (A1 type coupling) as a specified carbon price pathway for all FS segments. This
363 allows us to interpret any differences between S2 and A1 coupling methods as arising from the
364 direct influence of climate change on crop yields and carbon stocks in GCAM and the

365 subsequent influence of those changes on land-use and land-cover change predictions, without
366 needing to consider potential interactions with changing carbon price paths.

367 Our general approach to quantifying the influence of S2 vs. A1 coupling is to examine the
368 difference between two FS simulation segments, one generated using the A1 approach (FS_A1)
369 and another generated using the S2 approach (FS_S2). We refer to the difference between two
370 such FS segments as our experimental result ($ER = FS_S2 - FS_A1$).

371 Each ER includes spatio-temporal variation generated by the difference in coupling methods and
372 additional spatio-temporal variation generated by different realizations of the internal variability
373 in the ESM. By generating multiple ensemble members of ER, we can evaluate the relative
374 contributions of forced variation (the signal of interest in our analysis) and internal variation.

375 For this study we generated two ER ensemble members by initiating two separate HT segments
376 from different time points, ten years apart, in the PC simulation (HTa and HTb). We then
377 generated two FS segments starting from the endpoint of HTa, one using A1 coupling (FSa_A1)
378 and the other using S2 coupling (FSa_S2). We generated a third FS segment from the endpoint
379 of HTb, using S2 coupling (FSb_S2). The two ER ensemble members were then generated as
380 $ER1 = FSa_S2 - FSa_A1$, and $ER2 = FSb_S2 - FSa_A1$.

381 Crop yields and bioenergy production in our coupled system are calculated in the IAM
382 component. Crop yields in GCAM are calibrated against global crop data for years 1990 and
383 2005^{31, 32}. As the S2 segments progress these yields are modified by climate change information
384 passed back from the ESM. Evaluation of predicted yield by region and crop for years outside
385 the calibration period shows reasonable model performance for present-day conditions
386 [Supplemental Figure 8].

387 The influences of spatially and temporally evolving climate change factors on crop yields and
388 bioenergy production are estimated within the ESM component of our coupled system and
389 passed as scalars (multipliers) applied to yields in the IAM component. This coupling
390 arrangement is outlined in Figure 1 (main text) and described in detail in the iESM technical
391 documentation²⁷. The ESM serves as an integrator of multiple climate change factors, but it is
392 also of interest to isolate and assess contributions from individual factors. Given the uncertain
393 magnitude of CO₂ fertilization effects on crop yields¹⁸, it is of special interest to examine this
394 factor in isolation and compare to experimental estimates as possible.

395 Our study concludes that synchronous two-way coupling generates significant changes in crop
396 yields which propagate to influence crop prices, land use patterns, energy production, and fossil
397 fuel emissions. Since these diagnosed changes are due to overall increases in crop yield and
398 bioenergy production, it is possible that an overestimation of the CO₂ fertilization effect in crops
399 by the ESM could lead to an overstatement of the significance of two-way coupling effects. As
400 pointed out in the main text, our ESM component is one of a small number of such models that
401 includes the limiting influence of mineral nutrient availability on land ecosystem processes.
402 Coupling between the model representations of carbon and nutrient (nitrogen) cycles is directly
403 responsible for a significant reduction in the CO₂ fertilization effect predicted at a given CO₂
404 concentration when compared to the same model with nutrient limitation switched off³³, and
405 when compared to other models that lack nutrient limitation¹⁰. We can assert on this basis that of
406 all the existing ESMs that might be evaluated in a two-way coupling context, CESM is among
407 the two or three least likely to generate this type of overstatement of coupling effects due to high
408 bias in CO₂ fertilization.

409 Even though CESM has a CO₂ fertilization effect 2.5 times smaller than the mean of the non-
410 nutrient limited models¹⁰, it is still possible that it overestimates the influence of CO₂ fertilization
411 on crop yield compared to free-air concentration enrichment (FACE) experiments as summarized
412 for example by Long et al.¹⁸ To help further quantify this analysis, we refer to previously
413 published results from a series of single factor experiments²⁸ which included the influence of
414 historical changes in CO₂ concentration as one of the isolated factors. These results are based on
415 simulations with CESM in which the land component is forced with a multi-year repeating cycle
416 of surface weather data, while other factors such as CO₂ concentration, nitrogen deposition, or
417 land use are allowed to vary (one at a time) according to their observed historical trajectories
418 over the years 1850-2010.

419 In those simulations a gradual rise in CO₂ concentration of 110 ppmv (from 280 ppmv in year
420 1850 to 390 ppmv in year 2010) produced a ~7% increase in gross primary production
421 (photosynthesis) and in net primary production (NPP, or vegetation growth). That simulation
422 result is not directly comparable to the FACE experimental regime, since the model result is
423 based on a gradual increase in CO₂ while the FACE experiments involve a step-change. Also, the
424 FACE experiments started from modern CO₂ concentrations and increased concentration by
425 about 200 ppmv, arriving at values around 550 ppmv. Chamber studies suggest that crop yield
426 responses to CO₂ concentrations between 380 and 600 ppmv are approximately linear, and our
427 offline model results are linear over the range 280 to 390 ppmv. It is reasonable to estimate,
428 based on simple linear scaling, that the ~7% increase in NPP for the increase in atmospheric CO₂
429 from 280 to 390 ppmv would correspond to an increase in NPP of 12% for an increase in CO₂
430 similar to the FACE experiments. We are not able to quantify the potential influence of gradual
431 vs. step change in CO₂ concentration from the available results.

432 Since NPP from CESM is passed to the IAM in our synchronously coupled system as a scalar
433 (multiplier) on crop yields, a useful comparison with FACE results is from a synthesis for CO₂
434 enrichment effects on crop yields¹⁸, which summarized the FACE results for rice, wheat and
435 soybean yields as 12%, 13%, and 14% increase, respectively. The major difference between our
436 model results and the FACE crop synthesis¹⁸ is for C₄ crops. CESM includes a C₄ grass type, and
437 although the underlying physiology model does not predict a significant response to CO₂
438 fertilization in this type through an influence on leaf-scale photosynthetic rate, effects of CO₂
439 concentration on stomatal conductance are included for C₄ types, and NPP increases for C₄ types
440 in the single-factor experiment are similar to increases for C₃ types due to indirect effects on soil
441 water status. This is in contrast to the FACE synthesis, which found no effect of enriched CO₂
442 concentration on C₄ crop yield (based on one year of data from one study).

443 In follow-on work, we are improving the representation of multiple crop types directly within the
444 ESM component, so that information can be passed with less aggregation between the ESM and
445 IAM components in future coupling simulations.

446 We include a single pair of simulation experiments for the RCP 8.5 scenario, as a preliminary
447 test of the generality of our RCP 4.5 results. The RCP 8.5 simulations start from the same HT
448 endpoint as described above for RCP 4.5, and follow a common simulation protocol. Only one
449 A1 and one S2 simulation was performed for RCP 8.5, so the results described in the main text
450 and illustrated in Supplemental Figure 8 reflect only a single ensemble member.

451 **Additional References for Online-Only Methods**

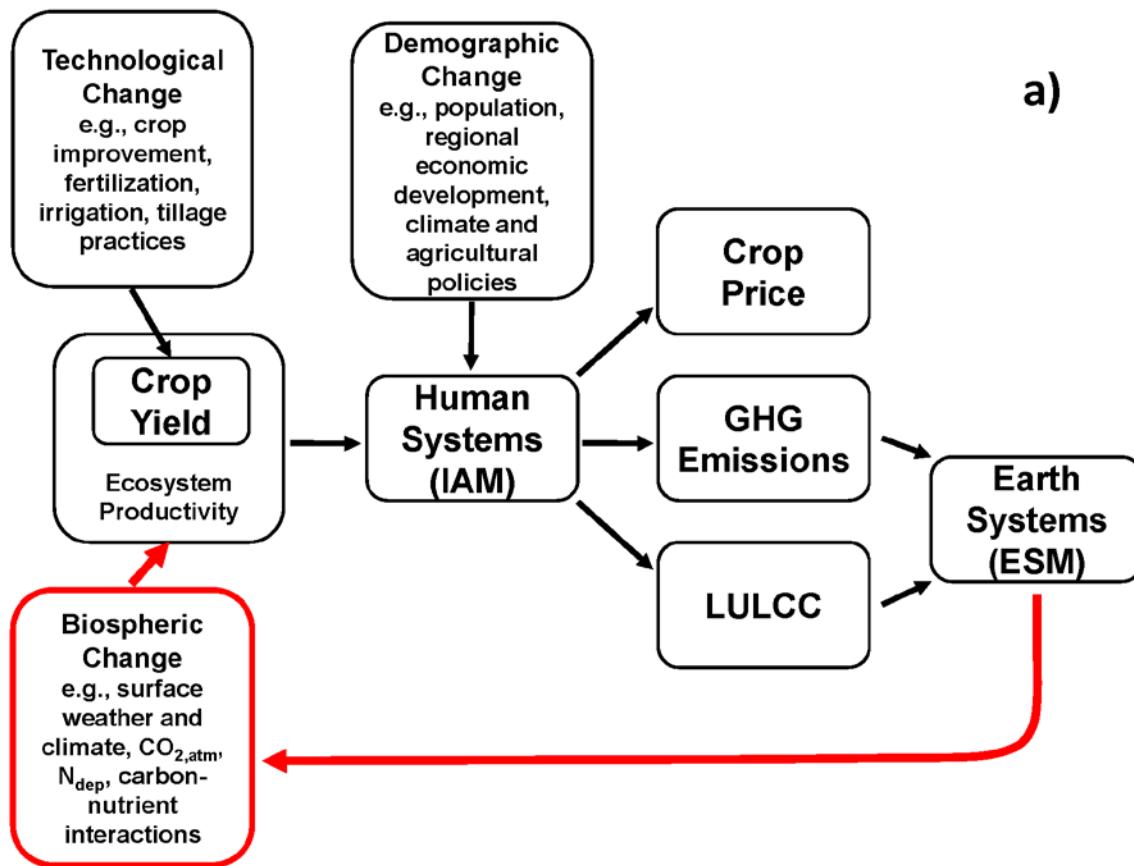
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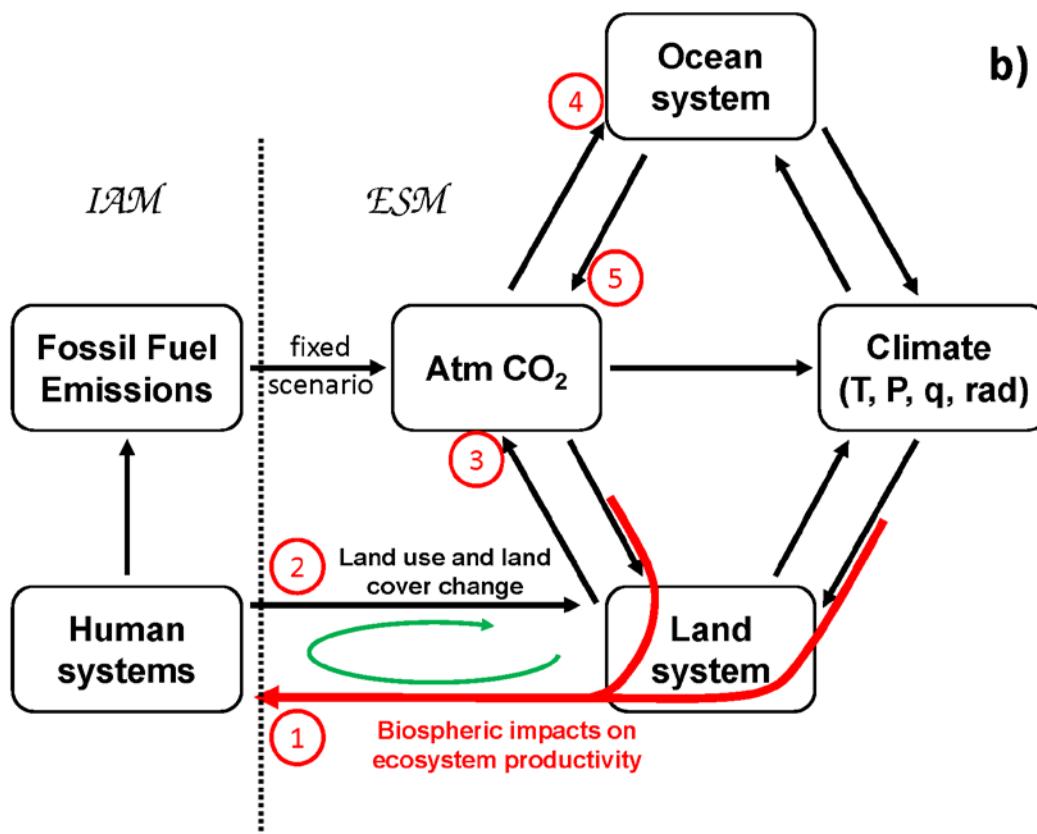
460 Figure 1a



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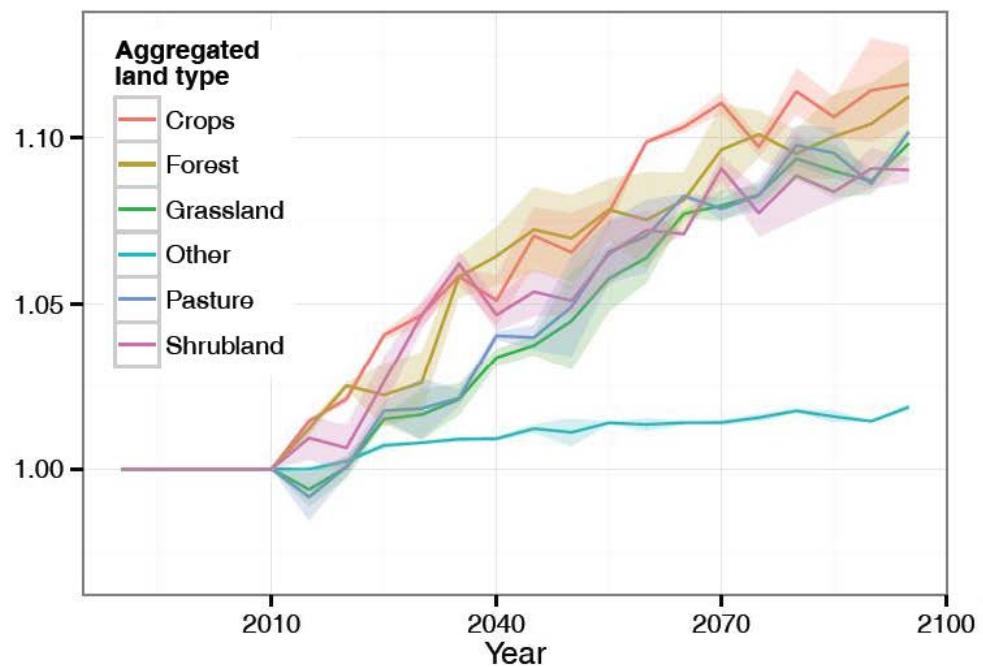
463 Figure 1b



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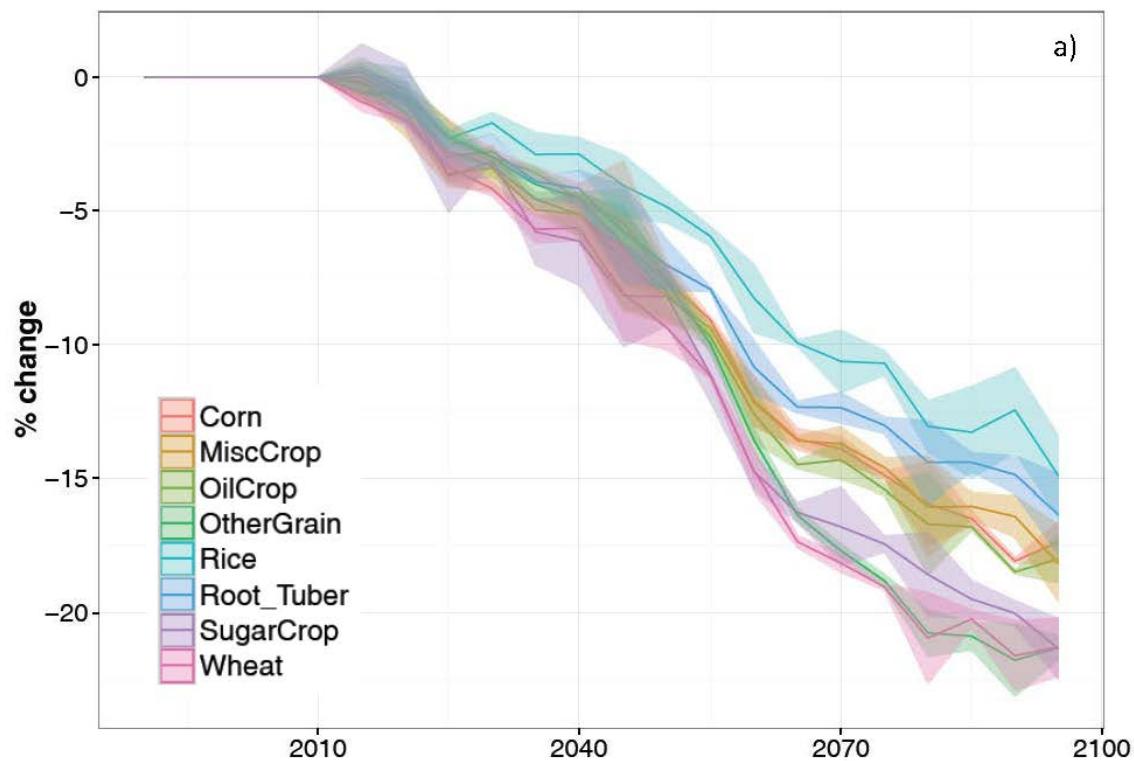
466 Figure 2



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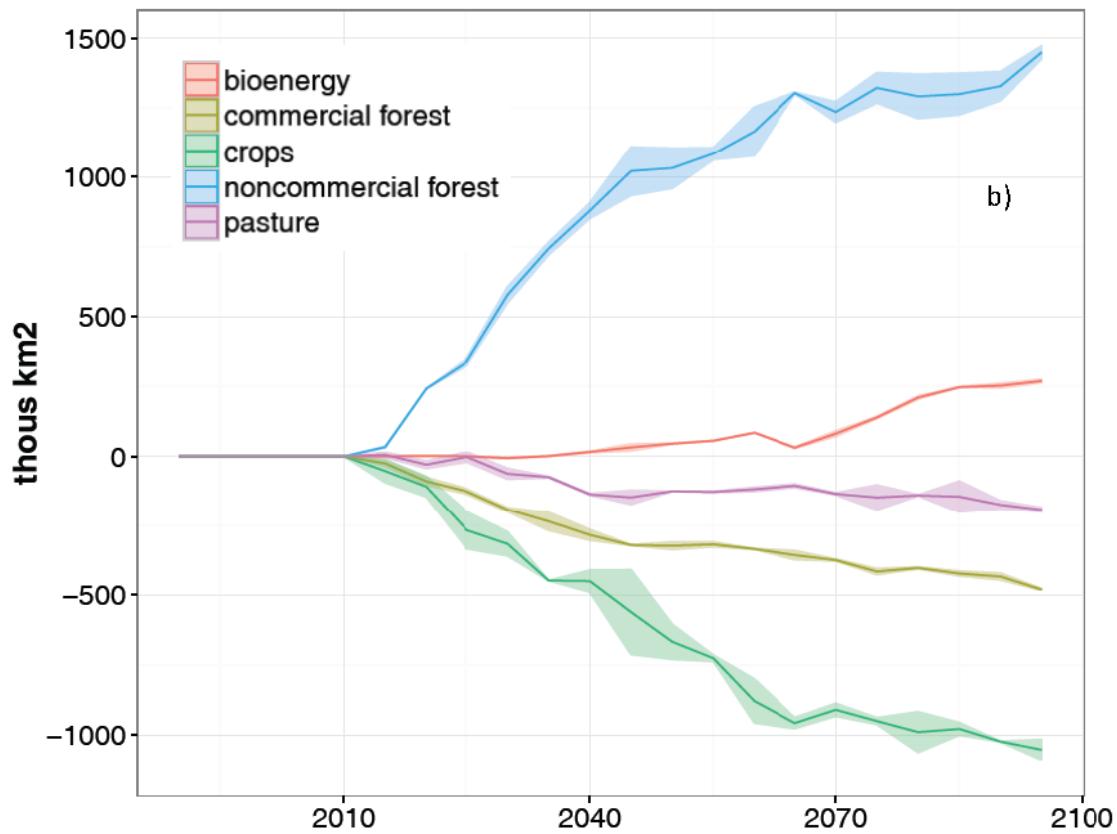
469 Figure 3a



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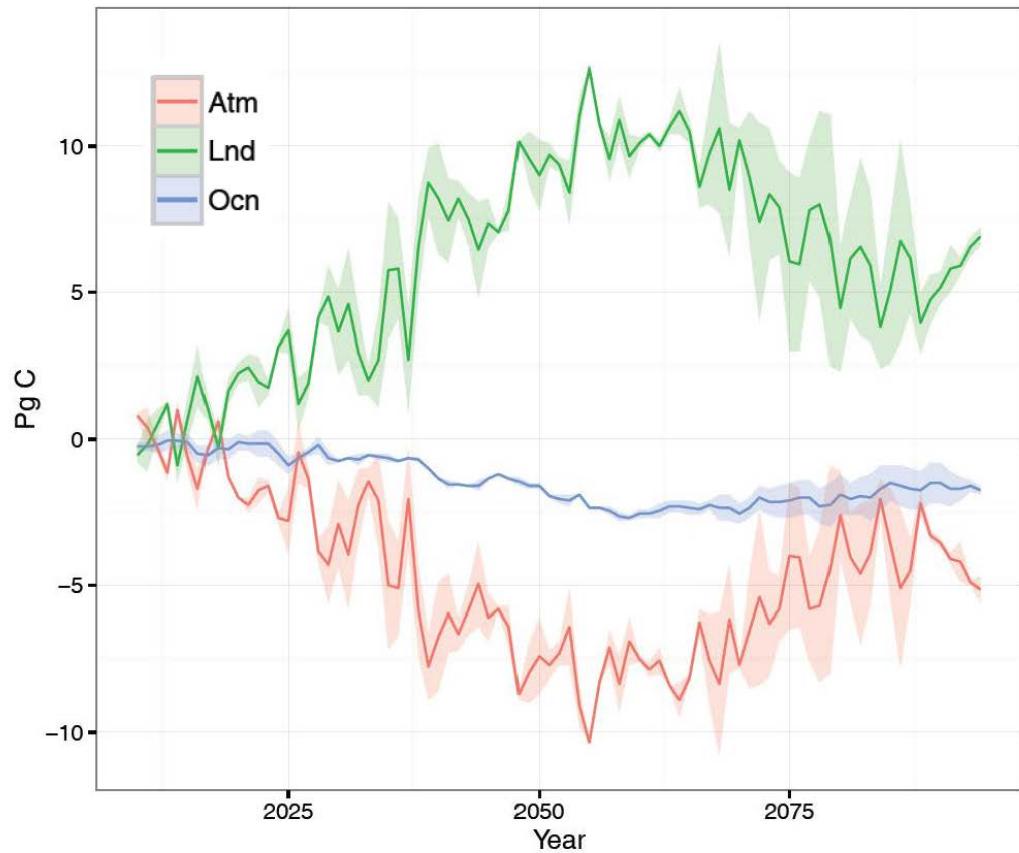
472 Figure 3b



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475 Figure 4



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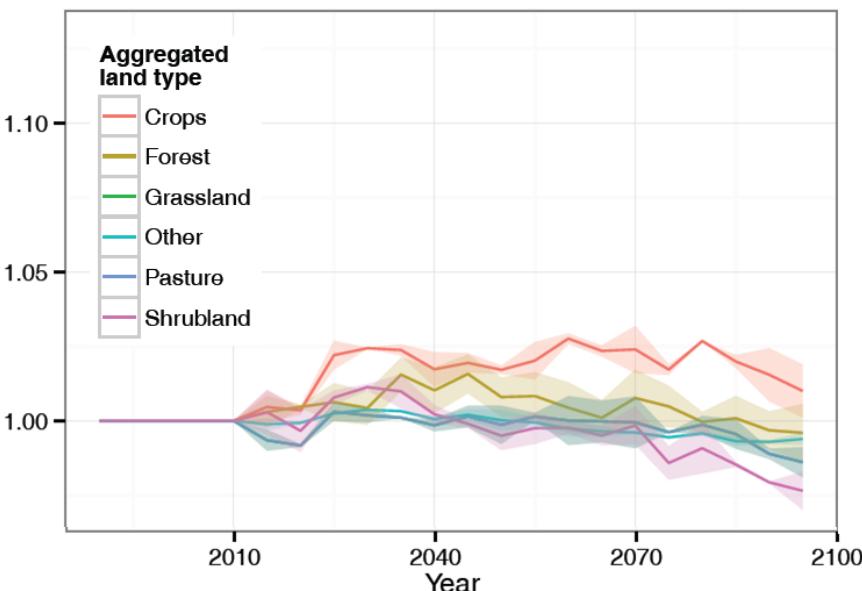
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478 **Biospheric feedback effects in a synchronously coupled model of Earth and human systems**

479 **Supplementary Information**

480 Supplementary Information for this study consists of eight figures and their captions.

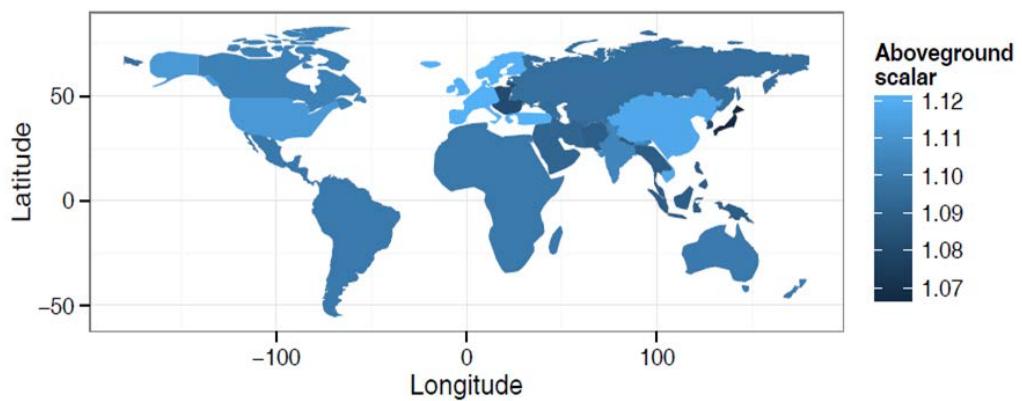
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482

483 **Supplemental Figure 1.** Soil component of the integrated biospheric change signal passed from
484 ESM to IAM, based on changes in belowground net primary production and heterotrophic
485 respiration in the ESM relative to conditions in 1990. Signal communicated to IAM is specific to
486 each agro-ecological zone and vegetation type within zone, with the plot showing an area-
487 weighted global mean signal. For each aggregated land type the solid colored line shows the
488 mean of two ensemble simulations, while the shaded region of matching color shows the range
489 of values from the two ensemble members.

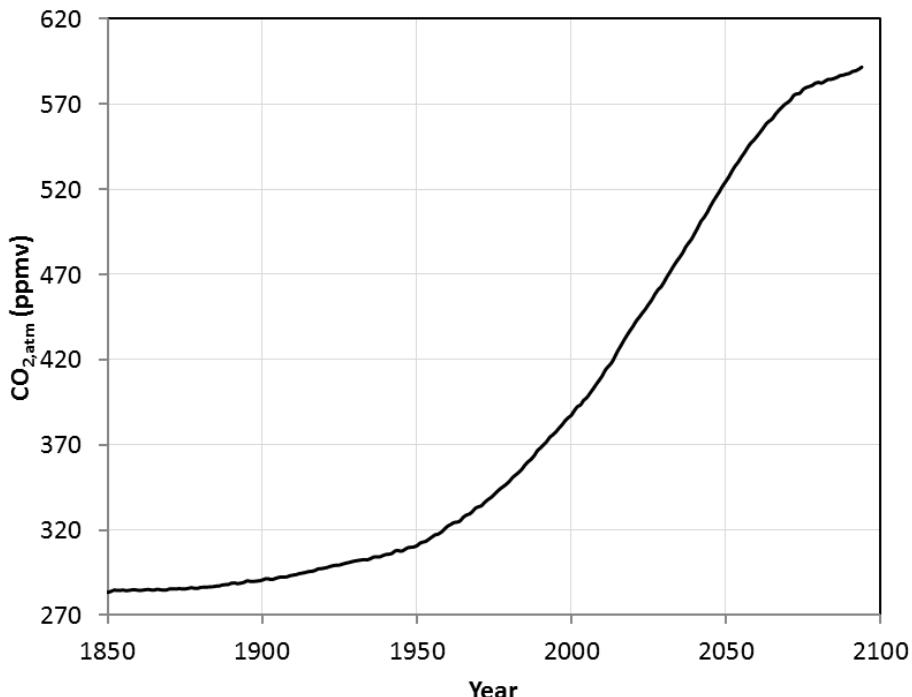
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492 **Supplemental Figure 2.** Regional means for the aboveground component of integrated
493 biospheric change signal in simulation year 2094.

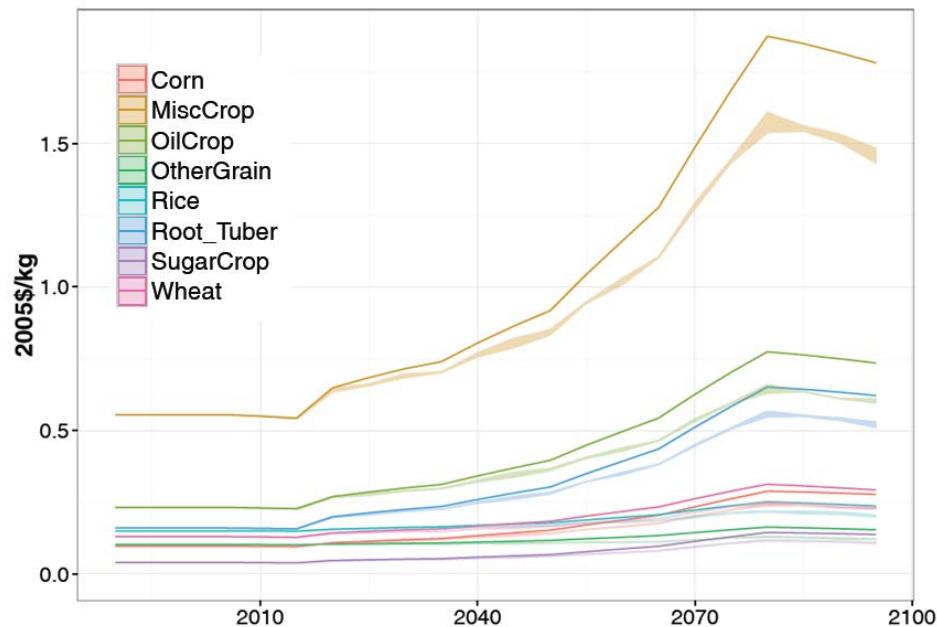
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496 **Supplemental Figure 3.** Global mean near-surface atmospheric CO₂ from the historical transient
497 simulation (1850-2004) and a two-way synchronous coupling experiment (2005-2094).

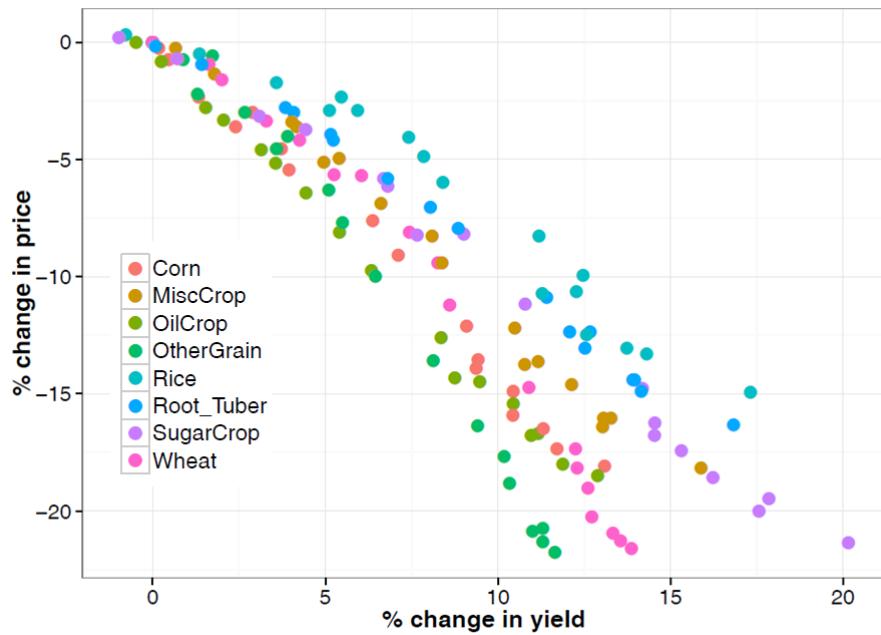
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500 **Supplemental Figure 4.** Crop prices (in 2005\$/kg) for two-way coupled (shaded regions) and
 501 one-way coupled (solid lines) simulations for several major crop types. For each crop type the
 502 shaded region shows the range of values from the two ensemble members.

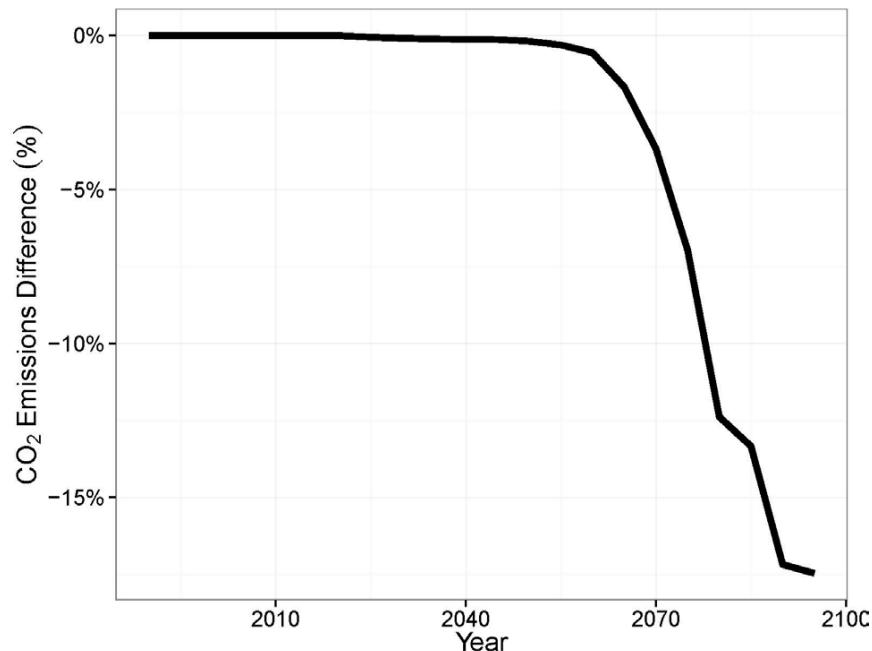
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505 **Supplemental Figure 5.** Change in price for major crop types shown as a function of change in
 506 yield for each crop type. Each point represents a single five-year time period (2005-2094) from
 507 one ensemble simulation for a single crop, with changes shown as percent difference between
 508 two-way synchronous coupled and one-way asynchronous coupled simulations. The plot
 509 includes points from both ensemble simulations.

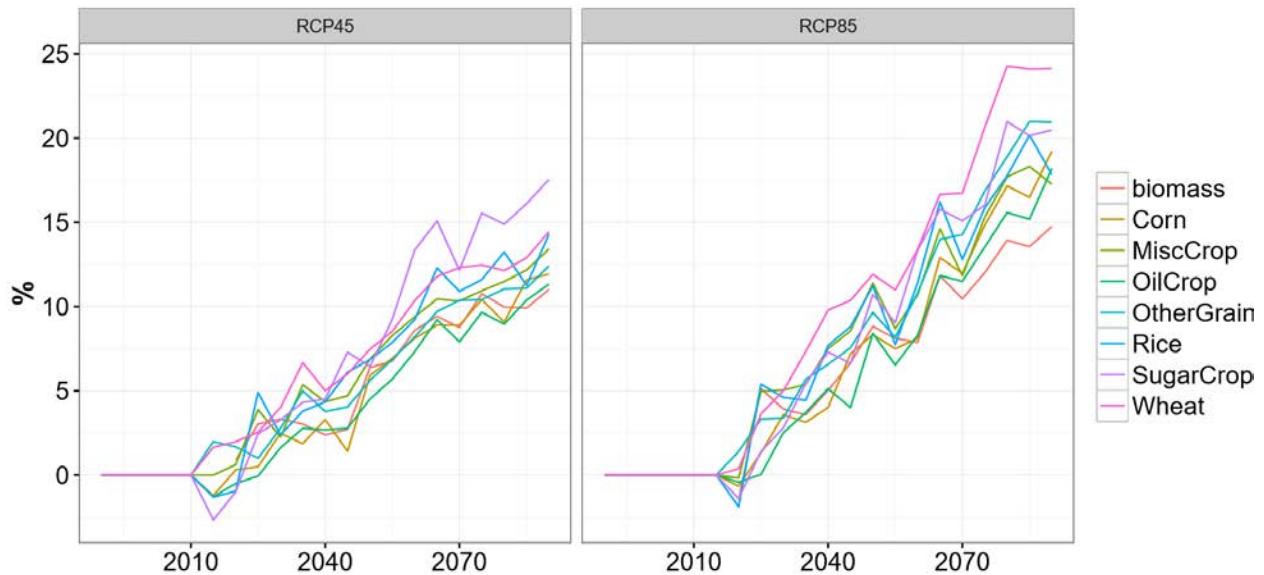
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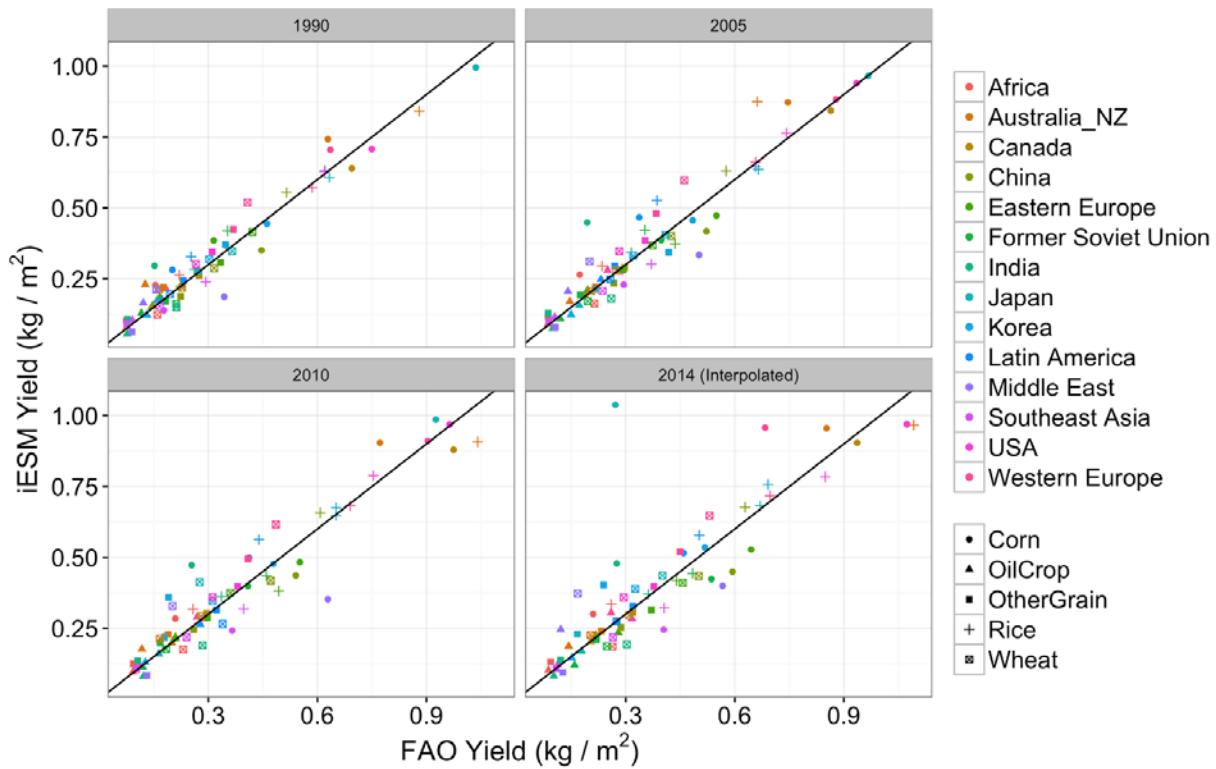
512 **Supplemental Figure 6.** Difference in fossil fuel CO₂ emissions as a result of biospheric change
513 feedback, shown as a percentage change between the two-way synchronous coupling and one-
514 way asynchronous coupling simulations.

515



516

517 **Supplemental Figure 7.** Percent change in global mean yield for multiple crop types in the
518 synchronous two-way coupling experiment compared to the asynchronous one-way coupling
519 experiment, showing results for RCP 4.5 (left) and RCP 8.5 (right). Although RCP 8.5 has
520 significantly higher CO_{2,atm} at the end of century than RCP 4.5, crop yields are only modestly
521 higher due to the offsetting influence of more extreme radiatively-forced climate changes under
522 RCP 8.5.



523

524 **Supplemental Figure 8.** Model-predicted vs. observed yield for five crops over multiple
 525 regions, for two calibration years (1990 and 2005), and two additional years (2010 and 2014).
 526 Model results for 2014 are interpolated from the actual model outputs in 2010 and 2015, to allow
 527 comparison with the most recent year for which FAO crop yield observations are available.

528