

Project Title: **Investigation of the Origin of Catalytic Activity in Oxide-Supported Nanoparticle Gold**

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#### **A. Abstract**

Since Haruta's discovery in 1987 of the surprising catalytic activity of supported Au nanoparticles, we have seen a very large number of experimental and theoretical efforts to explain this activity and to fully understand the nature of the behavior of the responsible active sites. In 2011, we discovered that a **dual catalytic site** at the perimeter of ~3nm diameter Au particles supported on TiO<sub>2</sub> is responsible for oxidative catalytic activity. O<sub>2</sub> molecules bind with Au atoms and Ti<sup>4+</sup> ions in the TiO<sub>2</sub> support and the weakened O-O bond dissociates at low temperatures, proceeding to produce O atoms which act as oxidizing agents for the test molecule, CO. The papers supported by DOE have built on this finding and have been concerned with two aspects of the behavior of Au/TiO<sub>2</sub> catalysts: (1). Mechanistic behavior of dual catalytic sites in the oxidation of organic molecules such as ethylene and acetic acid; (2). Studies of the electronic properties of the TiO<sub>2</sub>(110) single crystal in relation to its participation in charge transfer at the occupied dual catalytic site. A total of 20 papers have been produced through DOE support of this work. The papers combine IR spectroscopic investigations of Au/TiO<sub>2</sub> catalysts with surface science on the TiO<sub>2</sub>(110) and TiO<sub>2</sub> nanoparticle surfaces with modern density functional modeling. The primary goals of the work were to investigate the behavior of the dual Au/Ti<sup>4+</sup> site for the partial oxidation of alcohols to acids, the hydrogenation of aldehydes and ketones to alcohols, and the condensation of oxygenate intermediates- all processes related to the utilization of biomass in the production of useful chemical energy sources.

## B. Specific Objectives and Results

Abstracts of papers are listed below:

### **Vibrational Spectroscopic Observation of Weakly Bound Adsorbed Molecular Oxygen on Powdered Titanium Dioxide**

*(Published in J. Phys. Chem. in 2010)*

The adsorption of O<sub>2</sub> from air is an essential step in the use of TiO<sub>2</sub> as a photo-oxidation catalyst. This work explores the properties of a weakly bound O<sub>2</sub> species which is probably the precursor to “active” O<sub>2</sub> needed for the photo-oxidation of molecules by TiO<sub>2</sub>. Adsorbed molecular oxygen was observed via FTIR for the first time on nanosized TiO<sub>2</sub> particles. The observed O-O stretching mode frequency at 1550 cm<sup>-1</sup> is identical to the Raman displacement of O<sub>2</sub> gas, showing that the interaction between the IR active O<sub>2</sub> molecules and TiO<sub>2</sub> is relatively weak. It is noteworthy that weak adsorption of O<sub>2</sub> on TiO<sub>2</sub> is accompanied by the development of a weak IR absorption band due to the production of a small dipole in the molecule. In addition, the constant  $v_{O_2}$  observed for increasing coverage indicates that the O<sub>2</sub> molecules exhibit only small interactions with each other in the adsorbed layer. The adsorption enthalpy of O<sub>2</sub> on TiO<sub>2</sub> is measured to be between -16 to -21 kJ mol<sup>-1</sup>. The O<sub>2</sub>- species formed by O<sub>2</sub> adsorbing on TiO<sub>2</sub> surface defect sites (likely to be the active O<sub>2</sub> in photochemistry), with a calculated vibrational frequency of 1097 cm<sup>-1</sup>, is not observed in this work. The influence of coadsorbed CO, CO<sub>2</sub>, and H<sub>2</sub>O with the adsorbed O<sub>2</sub> species was also investigated. It is found that when the adsorbed surface species, such as CO, CO<sub>2</sub>, and excess H<sub>2</sub>O, screen the TiO<sub>2</sub> surface electric field and TiO<sub>2</sub> surface dispersion forces, the IR active O<sub>2</sub> species are no longer observed.

### **Spectroscopic Observation of Dual Catalytic Sites During Oxidation of CO on a Au/TiO<sub>2</sub> Catalyst**

*(Published in Science in 2011)*

The prevailing view of CO oxidation on gold-titanium oxide (Au/TiO<sub>2</sub>) catalysts is that the reaction occurs on metal sites at the Au/TiO<sub>2</sub> interface. We observed dual catalytic sites at the perimeter of 3-nanometer Au particles supported on TiO<sub>2</sub> during CO oxidation. Infrared-kinetic measurements indicate that O-O bond scission is activated by the formation of a CO-O<sub>2</sub> complex at dual Ti-Au sites at the Au/TiO<sub>2</sub> interface. Density functional theory calculations, which provide the activation barriers for the formation and bond scission of the CO-O<sub>2</sub> complex, confirm this model as well as the measured apparent activation energy of 0.16 electron volt. The observation of sequential delivery and reaction of CO first from TiO<sub>2</sub> sites and then from Au sites indicates that catalytic activity occurs at the perimeter of Au nanoparticles.

### **Low-Temperature Catalytic H<sub>2</sub> Oxidation over Au Nanoparticle/TiO<sub>2</sub> Dual Perimeter Sites**

*(Published in Angew. Chem. Int. Ed. in 2011)*

To our best knowledge, there are no reported theoretical studies on the H<sub>2</sub> + O<sub>2</sub> reaction that have considered the influence or involvement of the TiO<sub>2</sub> perimeter sites at the Au-TiO<sub>2</sub> interface. Herein, we use kinetic analyses together with in situ infrared spectroscopic studies and density functional theory (DFT) calculations to examine the activity of the Au sites as well as the Au and TiO<sub>2</sub> perimeter sites at the Au-TiO<sub>2</sub> interface and elucidate a plausible reaction mechanism. (We

define a perimeter site as a Au or TiO<sub>2</sub> site at the external boundary between Au and TiO<sub>2</sub> surfaces. A dual perimeter site involves a Au perimeter site and a TiO<sub>2</sub> perimeter site that operate together during the catalytic reaction.) In summary, the active site for the H<sub>2</sub> + O<sub>2</sub> reaction over a Au/TiO<sub>2</sub> nanoparticle catalyst at low temperature was located at dual perimeter sites at the interface between Au and TiO<sub>2</sub>. AnO<sub>2</sub>-assisted H<sub>2</sub> dissociation through a Ti<sub>2</sub>OOH intermediate was proposed involving an early transition state. The calculated activation energies for sequential steps in the range 0.13–0.25 eV agree with the measured apparent activation energy of 0.22 eV.

### **Electric Charge of Single Au Atoms Adsorbed on TiO<sub>2</sub>(110) and Associated Band Bending** (Published in *J. Phys. Chem. C* in 2011)

The influence of charge transfer from single Au atoms to TiO<sub>2</sub>(110) on the photostimulated desorption (PSD) of <sup>18</sup>O<sub>2</sub> has been studied using a measurement of the rate of hole transport which mediates PSD. Band bending effects observed experimentally and theoretically by density functional theory indicate that Au<sup>δ+</sup> atoms are present. The presence of atomically dispersed Au on the TiO<sub>2</sub>(110) surface with a constant coverage of preadsorbed <sup>18</sup>O<sub>2</sub> depresses the photoinduced hole transport rate from the bulk to the TiO<sub>2</sub> surface and decreases the <sup>18</sup>O<sub>2</sub> PSD yield. This indicates that single Au atoms donate a fraction of an electron to the surface, causing downward band bending. DFT calculations show that ~0.2 electron transfers from single Au atoms to the O<sub>2</sub>/TiO<sub>2</sub>(110) surface and the valence and conduction bands bend ~0.6 eV downward. With increasing Au coverage, the positive charge per Au atom decreases due to the formation of small Au clusters.

### **Mechanistic Studies of Hydrogen Dissociation and Spillover on Au/TiO<sub>2</sub>: IR Spectroscopy of Coadsorbed CO and H-Donated Electrons**

(Published in *J. Phys. Chem. C* in 2011)

Transmission Fourier transform infrared spectroscopy, coupled with CO adsorption, was used to study how the oxidation state of Au surface sites change during H<sub>2</sub> dissociation, migration, and electronic excitation of TiO<sub>2</sub> in a nanoparticulate Au/TiO<sub>2</sub> catalyst. Data reveals that atomic H, produced by H<sub>2</sub> dissociation on Au particles, readily hydroxylates the Au-O-Ti linkages around the periphery of the gold particles. Following passivation of peripheral sites, the H atoms diffuse into the bulk of the titania where they protonate the semiconductor, while donating an electron to shallow trapped (ST) states just below the conduction band (CB). The donated electrons effectively n-dope the semiconductor. By simultaneously monitoring changes in the infrared absorbance due to transitions involving ST electrons, free CB electrons, and the fundamental stretching mode of CO(a), we have found that the most active sites for hydrogen dissociation are Au<sub>0</sub> sites at the free step edges or other defect sites in the Au nanoparticles that are located away from the Au-O-Ti interface.

### **Inhibition at Perimeter Sites of Au/TiO<sub>2</sub> Oxidation Catalyst by Reactant Oxygen**

(Published in *J. Am. Chem. Soc.* in 2012)

TiO<sub>2</sub>-supported gold nanoparticles exhibit surprising catalytic activity for oxidation reactions compared to noble bulk gold which is inactive. The catalytic activity is localized at the perimeter of the Au nanoparticles where Au atoms are atomically adjacent to the TiO<sub>2</sub> support. At these dual-catalytic sites an oxygen molecule is efficiently activated through chemical bonding to both

Au and  $Ti^{4+}$  sites. A significant inhibition by a factor of 22 in the CO oxidation reaction rate is observed at 120 K when the Au is preoxidized, caused by the oxygen-induced positive charge produced on the perimeter Au atoms. Theoretical calculations indicate that induced positive charge occurs in the Au atoms which are adjacent to chemisorbed oxygen atoms, almost doubling the activation energy for CO oxidation at the dual-catalytic sites in agreement with experiments. This is an example of self-inhibition in catalysis by a reactant species.

### **Localized Partial Oxidation of Acetic Acid at the Dual Perimeter Sites of the Au/TiO<sub>2</sub> Catalyst-Formation of Gold Ketenylidene**

*(Published in J. Am. Chem. Soc. in 2012)*

Chemisorbed acetate species derived from the adsorption of acetic acid have been oxidized on a nano-Au/TiO<sub>2</sub> (~3 nm diameter Au) catalyst at 400 K in the presence of O<sub>2</sub>(g). It was found that partial oxidation occurs to produce gold ketenylidene species, Au<sub>2</sub>=C=C=O. The reactive acetate intermediates are bound at the TiO<sub>2</sub> perimeter sites of the supported Au/TiO<sub>2</sub> catalyst. The ketenylidene species is identified by its measured characteristic stretching frequency  $\nu(CO) = 2040\text{ cm}^{-1}$  and by <sup>13</sup>C and <sup>18</sup>O isotopic substitution comparing to calculated frequencies found from density functional theory. The involvement of dual catalytic Ti<sup>4+</sup> and Au perimeter sites is postulated on the basis of the absence of reaction on a similar nano-Au/SiO<sub>2</sub> catalyst. This observation excludes low coordination number Au sites as being active alone in the reaction. Upon raising the temperature to 473 K, the production of CO<sub>2</sub> and H<sub>2</sub>O is observed as both acetate and ketenylidene species are further oxidized by O<sub>2</sub>(g). The results show that partial oxidation of adsorbed acetate to adsorbed ketenylidene can be cleanly carried out over Au/TiO<sub>2</sub> catalysts by control of temperature.

### **Band Bending in Semiconductors: Chemical and Physical Consequences at Surfaces and Interfaces**

*(Published in Chem. Rev. in 2012)*

In this review, we focus on the principles of band bending and its effect on photochemistry and photocatalysis. This may be helpful to chemists and material scientists in the understanding of the photoexcitation process and the development of highly efficient photoactive materials and processes. The review is divided into three parts dealing with (1) the physical principles of band bending in semiconductors, (2) the measurement of band bending, and (3) the effects of band bending on photochemistry. The band bending concept is of course well established in semiconductor physics and has become one of the central concepts in electrochemistry and gas sensors.

### **Electron stimulated desorption, DIET, and photochemistry at surfaces: A personal recollection**

*(Published in J. Chem. Phys. in 2012)*

A personal recollection of the beginning of the field of photochemistry on surfaces is given.

## **Direct Formation of Acetate from the Partial Oxidation of Ethylene on a Au/TiO<sub>2</sub> Catalyst**

*(Published in *Top. Catal.* in 2013)*

The partial oxidation of ethylene to form adsorbed acetate on a Au/TiO<sub>2</sub> catalyst at temperatures as low as 370 K is reported here using Fourier transform infrared (FTIR) spectroscopy, gas chromatography-mass spectrometry (GC-MS) and density functional theory (DFT) calculations. Ethylene reacts with oxygen on Au/TiO<sub>2</sub> to produce acetate on the TiO<sub>2</sub> support as determined by the comparison with a blank TiO<sub>2</sub> and Au/SiO<sub>2</sub> catalyst. As shown by DFT calculations, O<sub>2</sub> dissociation occurs at the dual-perimeter Au-Ti<sup>4+</sup> sites of Au/TiO<sub>2</sub> catalysts. Surprising, no ethylene oxide on the catalyst surface or in the gas phase is detected by either FTIR or GC-MS techniques at temperatures up to 673 K. The reaction pathway to ethylene oxide involves a higher barrier (~1.0–1.5 eV) than the pathway for acetate formation (~0.1–0.6 eV). The rate-limiting step to form adsorbed acetate was found to be the protonation of the H<sub>2</sub>C\*<sup>+</sup>C(OH)O\* intermediate to produce the bound acetic acid. The theoretical initial deuterium kinetic isotope effect is ~3 which is consistent with the experimental data.

## **Mechanistic insights into the partial oxidation of acetic acid by O<sub>2</sub> at the dual perimeter sites of a Au/TiO<sub>2</sub> catalyst**

*(Published in *Faraday Discuss.* in 2013)*

The unique interfacial sites of Au nanoparticles supported on TiO<sub>2</sub> are known to catalyze the activation of oxygen and its addition to small molecules including H<sub>2</sub>, CO, NO and propylene. Herein we extend these ideas and show that the unique Au-Ti dual perimeter sites that form at the Au/TiO<sub>2</sub> interface can also catalyze more demanding C-H and C-O bond activation reactions involved in the deoxygenation organic acids such as acetic acid. We have shown previously that acetic acid can be partially oxidized on a Au/TiO<sub>2</sub> catalyst to form a novel gold ketenylidene (Au<sub>2</sub>=C=C=O) intermediate. In the present work we use *in situ* infrared spectroscopy and first-principle density functional theory (DFT) to examine the mechanism and the kinetics by which this reaction proceeds. The reaction was found to be localized at the dual perimeter sites of the Au/TiO<sub>2</sub> catalyst, where O<sub>2</sub> was activated. In contrast to Au/TiO<sub>2</sub>, no ketenylidene formation was observed on a similar Au/SiO<sub>2</sub> catalyst or a TiO<sub>2</sub> blank sample. The reaction involves the activation of multiple C-H bonds as well as the C-O bond in the adsorbed CH<sub>3</sub>COO species. C-O bond scission is postulated to occur at the TiO<sub>2</sub> sites, while C-H bond scission occurs on Au sites, both near the active Au-Ti<sup>4+</sup> dual perimeter sites. <sup>18</sup>O<sub>2</sub> isotopic labeling indicated that the O moiety of the ketenylidene species originates from the acetic acid during the oxidation process involving molecular O<sub>2</sub>. The rate-limiting step was found to be the C-O bond scission resulting in an apparent overall activation energy of 1.72 eV as determined from DFT calculations. This is in very good agreement with the experimentally measured apparent activation energy of 1.7 ± 0.2 eV. A deuterium kinetic isotope effect of ~4 indicates that C-H bond activation is kinetically involved in the overall acetate oxidation reaction.

## **Defect-Electron Spreading on the TiO<sub>2</sub>(110) Semiconductor Surface by Water Adsorption**

*(Published in *J. Phys. Chem. Lett.* in 2013)*

The dissociative adsorption of water at oxygen-vacancy defect sites on the TiO<sub>2</sub>(110) surface spatially redistributes the defect electron density originally present at subsurface sites near the defect sites. This redistribution of defect-electrons makes them more accessible to Ti<sup>4+</sup> ions

surrounding the defects. The redistribution of electron density decreases the  $O^+$  desorption yield from surface lattice  $O_2^-$  ions in  $TiO_2$ , as excited by electron-stimulated desorption (ESD). A model in which OH formation on defect sites redistributes defect electrons to neighboring  $Ti^{4+}$  sites is proposed. This switches off the Knotek–Feibelman mechanism for ESD of  $O^+$  ions from lattice sites. Conversely, enhanced  $O^+$  reneutralization could also be induced by redistribution of defect electrons. The redistribution of surface electrons by adsorption is further verified by the use of donor and acceptor molecules that add or remove electron density.

### Insights into Catalytic Oxidation at the Au/ $TiO_2$ Dual Perimeter Sites

(Published in *Acc. Chem. Res.* 2014)

Gold (Au) nanoparticles supported on reducible oxides such as  $TiO_2$  demonstrate exceptional catalytic activity for a wide range of gas phase oxidation reactions such as CO oxidation, olefin epoxidation, and water gas shift catalysis. Scientists have recently shifted their hypotheses on the origin of the reactivity of these materials from the unique electronic properties and under-coordinated Au sites on nanometer-sized particles to bifunctional sites at the Au–support interface.

In this Account, we summarize our recent experimental and theoretical results to provide insights into the active sites and pathways that control oxidation over Au/ $TiO_2$  catalysts. We provide transmission IR spectroscopic data that show the direct involvement of the Au– $Ti^{4+}$  dual perimeter sites, and density functional theory results that connect the electronic properties at these sites to their reactivity and to plausible reaction mechanisms. We also show the importance of interfacial Au– $Ti^{4+}$  sites in adsorbing and activating  $O_2$  as a result of charge transfer from the Au into antibonding states on  $O_2$  causing di- $\sigma$  interactions with interfacial Au– $Ti^{4+}$  sites. This results in apparent activation energies for  $O_2$  activation of 0.16–0.60 eV thus allowing these materials to operate over a wide range of temperatures (110–420 K) and offering the ability also to control H–H, C–H, and C–O bond scission. At low temperatures (100–130 K), adsorbed  $O_2$  directly reacts with co-adsorbed CO or  $H_2$ .

In addition, we observe the specific consumption of CO adsorbed on  $TiO_2$ . The more strongly held CO/Au species do not react at ~120 K due to high diffusion barriers that prevent them from reaching active interfacial sites. At higher temperatures,  $O_2$  directly dissociates to form active oxygen adatoms ( $O^*$ ) on Au and  $TiO_2$ . These readily react with bound hydrocarbon intermediates via base-catalyzed nucleophilic attack on unsaturated C=O and C=C bonds or via activation of weakly acidic C–H or O–H bonds. We demonstrate that when the active Au– $Ti^{4+}$  sites are pre-occupied by  $O^*$ , the low temperature CO oxidation rate is reduced by a factor 22. We observe similar site blocking for  $H_2$  oxidation by  $O_2$ , where the reaction at 210 K is quenched by ice formation. At higher temperatures (400–420 K), the  $O^*$  generated at the perimeter sites is able to diffuse onto the Au particles, which then activate weakly acidic C–H bonds and assist in C–O bond scission. These sites allow for active conversion of adsorbed acetate intermediates on  $TiO_2$  ( $CH_3COO/TiO_2$ ) to a gold ketenylidene species ( $Au_2=C=C=O$ ).

The consecutive C–H bond scission steps appear to proceed by the reaction with basic  $O^*$  or  $OH^*$  on the Au sites and C–O bond activation occurs at the Au– $Ti^{4+}$  dual perimeter sites. There is a bound-intermediate transfer from the  $TiO_2$  support to the Au sites during the course of reaction as the reactant ( $CH_3COO/TiO_2$ ) and the product ( $Au_2=C=C=O$ ) are bound to different sites. We demonstrate that IR spectroscopy is a powerful tool to follow surface catalytic reactions and provide kinetic information, while theory provides atomic scale insights into the mechanisms and the active sites that control catalytic oxidation.

## Selective Catalytic Oxidative-Dehydrogenation of Carboxylic Acids—Acrylate and Crotonate Formation at the Au/TiO<sub>2</sub> Interface

(Published in JACS 2014)

The oxidative-dehydrogenation of carboxylic acids to selectively produce unsaturated acids at the second and third carbons regardless of alkyl chain length was found to occur on a Au/TiO<sub>2</sub> catalyst. Using transmission infrared spectroscopy (IR) and density functional theory (DFT), unsaturated acrylate (H<sub>2</sub>C=CHCOO) and crotonate (CH<sub>3</sub>CH=CHCOO) were observed to form from propionic acid (H<sub>3</sub>CCH<sub>2</sub>COOH) and butyric acid (H<sub>3</sub>CCH<sub>2</sub>CH<sub>2</sub>COOH), respectively, on a catalyst with ~3 nm diameter Au particles on TiO<sub>2</sub> at 400 K. Desorption experiments also show gas phase acrylic acid is produced. Isotopically labeled <sup>13</sup>C and <sup>12</sup>C propionic acid experiments along with DFT calculated frequency shifts confirm the formation of acrylate and crotonate. Experiments on pure TiO<sub>2</sub> confirmed that the unsaturated acids were not produced on the TiO<sub>2</sub> support alone, providing evidence that the sites for catalytic activity are at the dual Au–Ti<sup>4+</sup> sites at the nanometer Au particles' perimeter. The DFT calculated energy barriers between 0.3 and 0.5 eV for the reaction pathway are consistent with the reaction occurring at 400 K on Au/TiO<sub>2</sub>.

## Electric Field Changes on Au Nanoparticles on Semiconductor Supports - The Molecular Voltmeter and Other Methods to Observe Adsorbate-Induced Charge-Transfer Effects in Au/TiO<sub>2</sub> Nanocatalysts

(Published in JACS 2015)

Infrared (IR) studies of Au/TiO<sub>2</sub> catalyst particles indicate that charge transfer from van der Waals bound donor or acceptor molecules on TiO<sub>2</sub> to or from Au occurs via transport of charge carriers in the semiconductor TiO<sub>2</sub> support. The  $\Delta v_{CO}$  on Au is shown to be proportional to the polarizability of the TiO<sub>2</sub> support fully covered with donor or acceptor molecules, producing a proportional frequency shift in  $v_{CO}$ . Charge transfer through TiO<sub>2</sub> is associated with the population of electron trap sites in the bandgap of TiO<sub>2</sub> and can be independently followed by changes in photoluminescence intensity and by shifts in the broad IR absorbance region for electron trap sites, which is also proportional to the polarizability of donors by IR excitation. Density functional theory calculations show that electron transfer from the donor molecules to TiO<sub>2</sub> and to supported Au particles produces a negative charge on the Au, whereas the transfer from the Au particles to the TiO<sub>2</sub> support into acceptor molecules results in a positive charge on the Au. These changes along with the magnitudes of the shifts are consistent with the Stark effect. A number of experiments show that the ~3 nm Au particles act as “molecular voltmeters” in influencing  $\Delta v_{CO}$ . Insulator particles, such as SiO<sub>2</sub>, do not display electron-transfer effects to Au particles on their surface. These studies are preliminary to doping studies of semiconductor-oxide particles by metal ions which modify Lewis acid/base oxide properties and possibly strongly modify the electron-transfer and catalytic activity of supported metal catalyst particles.

## Mechanistic Insights in the Catalytic Oxidation of Carboxylic Acids on Au/TiO<sub>2</sub> – Partial Oxidation of Propionic and Butyric Acid to Gold Ketenylidene through Unsaturated Acids

(Published in ACS Catalysis 2015)

The oxidative-dehydrogenation of model C<sub>2</sub>-C<sub>4</sub> (acetic, propionic and butyric) carboxylic acids on Au/TiO<sub>2</sub> catalysts comprised of Au particles ~3 nm in size was investigated using transmission infrared (IR) spectroscopy and density functional theory (DFT). All three acids readily deprotonate to form their carboxylate analogs on the TiO<sub>2</sub> support which subsequently

react to form a gold ketenylidene,  $\text{Au}_2\text{C}=\text{C}=\text{O}$ , intermediate along the way to their full oxidation. Hence the IR measurement of the kinetics of  $\text{Au}_2\text{C}=\text{C}=\text{O}$  formation provides a surface spectroscopic method that kinetically probes alkyl group activation in the carboxylate intermediates that form. The reaction proceeds via the dissociative adsorption of the acid onto  $\text{TiO}_2$ , the adsorption and activation of  $\text{O}_2$  at the dual perimeter sites on the Au particles (Au-O-O-Ti) and the subsequent activation of the  $\square$  and  $\square\text{C-H}$  bonds of the bound propionate and butyrate species by the weakly-bound and basic oxygen species on the Au to form acrylate and crotonate intermediates, respectively. These unsaturated carboxylates can readily oxidize via a nucleophilic attack of the  $\text{C}=\text{C}$  bond by a basic O species on Au thus promoting the activation of the  $\text{C}\square\text{C}\square$  bond and subsequent activation of the C-O bond of the carboxylate group. This occurs with an overall activation energy of  $1.5\text{--}1.7 \pm 0.2$  eV, eventually producing the  $\text{Au}_2\text{C}=\text{C}=\text{O}$  species for all three carboxylates. The results suggest that the decrease in the rate in moving from acetic to propionic to butyric acid is due to an increase in the free energy of activation for the formation of the  $\text{Au}_2\text{C}=\text{C}=\text{O}$  species on  $\text{Au}/\text{TiO}_2$  with an increasing size of the alkyl substituent.

The formation of  $\text{Au}_2\text{C}=\text{C}=\text{O}$  proceeds for carboxylic acids that are longer than  $\text{C}_2$  without a deuterium kinetic isotope effect, demonstrating that C-H bond scission is not involved in the rate-determining step; the rate instead appears to be controlled by C-O bond scission. The adsorbed  $\text{Au}_2\text{C}=\text{C}=\text{O}$  intermediate species can be hydrogenated to produce ketene,  $\text{H}_2\text{C}=\text{C}=\text{O}(\text{g})$ , with an activation energy of  $0.21 \pm 0.05$  eV. These studies show that selective oxidative-dehydrogenation of the alkyl side chains of fatty acids can be catalyzed by nanoparticle  $\text{Au}/\text{TiO}_2$  at temperatures near 400 K.

### **Defects on $\text{TiO}_2$ – Key Pathways to Important Surface Processes**

*(Published book chapter in Springer Series in Surface Sciences: Defects on Oxide Surfaces, 2015)*

Defects govern most of the applications of  $\text{TiO}_2$  in the fields of catalysis, photocatalysis and photoelectronics. In this chapter we present a general introduction to defects in solids. We then present surface science studies of point defects in  $\text{TiO}_2$  and their influence on the chemistry and photochemistry behavior of  $\text{TiO}_2$ . The principles illustrated for  $\text{TiO}_2$  apply also to other oxide-semiconductors and insulators.

### **Formation, Migration, and Reactivity of Au-CO Complexes on Gold Surfaces**

*(Published in J. Am. Chem. Soc. 2016)*

We report experimental as well as theoretical evidence that suggests Au-CO complex formation upon the exposure of CO to active sites (step edges and threading dislocations) on a Au(111) surface. Room-temperature scanning tunneling microscopy (STM), X-ray photoelectron spectroscopy, transmission infrared spectroscopy, and density functional theory calculations point to Au-CO complex formation and migration. Room-temperature STM of the Au(111) surface at CO pressures in the range from  $10^{-8}$  to  $10^{-4}$  Torr (dosage up to  $10^6$  langmuir) indicates Au atom extraction from dislocation sites of the herringbone reconstruction, mobile Au-CO complex formation and diffusion, and Au adatom cluster formation on both elbows and step edges on the Au surface. The formation and mobility of the Au-CO complex result from the reduced Au-Au bonding at elbows and step edges leading to stronger Au-CO bonding and to the formation of a more positively charged CO ( $\text{CO}^{\delta+}$ ) on Au. Our studies indicate that the mobile Au-CO complex is involved in the Au nanoparticle formation and reactivity, and that the

positive charge on CO increases due to the stronger adsorption of CO at Au sites with lower coordination numbers.

### **A new form of chemisorbed photo- and electro-active atomic H species on the TiO<sub>2</sub>(110) surface**

*(Published in Surf. Sci. 2016)*

Hydrogen adsorption on TiO<sub>2</sub> is of importance in chemical and photochemical reduction processes. Using several surface science methods, we clearly distinguish two kinds of H species on the surface of rutile TiO<sub>2</sub>(110)-1 × 1. In contrast with the well-studied bridge-bonded OH species ( $\alpha$ -H) originating from H<sub>2</sub>O dissociation on the surface oxygen vacancy site on TiO<sub>2</sub>(110), atomic H adsorption on the TiO<sub>2</sub>(110) (denoted as  $\beta$ -H) exhibits special high sensitivity to the electronic excitation of the TiO<sub>2</sub>(110) by either electrons or UV photons. The formation of molecular H<sub>2</sub> gas by photoexcitation of  $\beta$ -H/TiO<sub>2</sub>(110) surfaces has been observed, which may shed light on the basic understanding of the processes of photocatalytic H<sub>2</sub> production by splitting water.

### **Anatase-Selective Photoluminescence Spectroscopy of P25 TiO<sub>2</sub> Nanoparticles: Different Effects of Oxygen Adsorption on the Band Bending of Anatase**

*(Published in J. Phys. Chem. C 2017)*

Photoluminescence (PL) spectroscopy was used to infer that oxygen adsorption changes the band bending of the anatase phase of TiO<sub>2</sub> within P25 nanopowder in different ways. On the one hand, oxygen can adsorb through irreversible reaction with defects which reduces the intrinsic upward band bending at the TiO<sub>2</sub> surface and results in increased PL emission. On the other hand, oxygen exposure also leads to molecular chemisorption that yields an outermost negative charge at the surface which increases the upward band bending of TiO<sub>2</sub> and decreases the PL emission. Since band bending plays an active role in directing charge carrier migration to the surface, the finding that oxygen adsorption can have two different, and quite opposite, effects on the band bending of TiO<sub>2</sub> provides a new perspective on how oxygen may influence photocatalytic reaction efficiencies.

### **C. Special Recognitions of PI Yates**

1. Associate Editor- Chemical Reviews (2013).
2. Gerhard Ertl Lecturer- Berlin (2013).

### **D. Human Resource Outcomes/ Current Affiliations**

Zhen Zhang/ Asst Professor , Harbin Institute of Technology, China

Isabel Green/ Asst Professor, Dept. of Chemistry, Illinois State University, Normal, IL

Monica McEntee/ ORISE Postdoctoral Fellow, Edgewood Chemical Biological Center, MD

Ana Stevanovic/ Postdoc with Cynthia Friend, Dept. of Chemistry, Harvard University, MA

## E. DOE Funded Publications

1. X. Green, and J. T. Yates, "Vibrational Spectroscopic Observation of Weakly Bound Adsorbed Molecular Oxygen on Powdered Titanium Dioxide", *J. Phys. Chem. C* **114**, 11924-11930 (2010)
2. X. Green, W. J. Tang, M. Neurock, and J. T. Yates, "Spectroscopic Observation of Dual Catalytic Sites During Oxidation of CO on a Au/TiO<sub>2</sub> Catalyst", *Science* **333**, 736-739 (2011)
3. I. X. Green, W. J. Tang, M. Neurock, and J. T. Yates, "Low-Temperature Catalytic H<sub>2</sub> Oxidation over Au Nanoparticle/TiO<sub>2</sub> Dual Perimeter Sites", *Angew. Chem. Int. Ed.* **50**, 10186-10189 (2011)
4. Z. Zhang, W. J. Tang, M. Neurock, and J. T. Yates, "Electric Charge of Single Au Atoms Adsorbed on TiO<sub>2</sub>(110) and Associated Band Bending", *J. Phys. Chem. C* **115**, 23848-23853 (2011)
5. D. A. Panayotov, S. P. Burrows, J. T. Yates, and J. R. Morris, "Mechanistic Studies of Hydrogen Dissociation and Spillover on Au/TiO<sub>2</sub>: IR Spectroscopy of Co-adsorbed CO and H-Donated Electrons", *J. Phys. Chem. C* **115**, 22400-22408 (2011)
6. X. Green, W. J. Tang, M. McEntee, M. Neurock, and J. T. Yates, "Inhibition at Perimeter Sites of Au/TiO<sub>2</sub> Oxidation Catalyst by Reactant Oxygen", *J. Am. Chem. Soc.* **134**, 12717-12723 (2012)
7. X. Green, W. J. Tang, M. Neurock, and J. T. Yates, "Localized Partial Oxidation of Acetic Acid at the Dual Perimeter Sites of the Au/TiO<sub>2</sub> Catalyst-Formation of Gold Ketenylidene", *J. Am. Chem. Soc.* **134**, 13569-13572 (2012)
8. Z. Zhang, and J. T. Yates, "Band Bending in Semiconductors: Chemical and Physical Consequences at Surfaces and Interfaces", *Chem. Rev.* **112**, 5520-5551 (2012)
9. J. T. Yates, "Electron stimulated desorption, DIET, and photochemistry at surfaces: A personal recollection", *J. Chem. Phys.* **137** (2012)
10. X. Green, M. McEntee, W. J. Tang, M. Neurock, and J. T. Yates, "Direct Formation of Acetate from the Partial Oxidation of Ethylene on a Au/TiO<sub>2</sub> Catalyst", *Top. Catal.* **56**, 1512-1524 (2013)
11. X. Green, W. J. Tang, M. Neurock, and J. T. Yates, "Mechanistic insights into the partial oxidation of acetic acid by O<sub>2</sub> at the dual perimeter sites of a Au/TiO<sub>2</sub> catalyst", *Faraday Discuss.* **162**, 247-265 (2013)
12. Z. Zhang, K. Cao, and J. T. Yates, "Defect-Electron Spreading on the TiO<sub>2</sub>(110) Semiconductor Surface by Water Adsorption", *J. Phys. Chem. Lett.* **4**, 674-679 (2013)

13. X. Green, W. J. Tang, M. Neurock, and J. T. Yates, "Insights into Catalytic Oxidation at the Au/TiO<sub>2</sub> Dual Perimeter Sites", *Acc. Chem. Res.* **47**, 805-815 (2014)
14. M. McEntee, W. J. Tang, M. Neurock, and J. T. Yates, "Selective Catalytic Oxidative-Dehydrogenation of Carboxylic Acids-Acrylate and Crotonate Formation at the Au/TiO<sub>2</sub> Interface", *J. Am. Chem. Soc.* **136**, 5116-5120 (2014)
15. M. McEntee, A. Stevanovic, W. J. Tang, M. Neurock, and J. T. Yates, "Electric Field Changes on Au Nanoparticles on Semiconductor Supports - The Molecular Voltmeter and Other Methods to Observe Adsorbate-Induced Charge-Transfer Effects in Au/TiO<sub>2</sub> Nanocatalysts", *J. Am. Chem. Soc.* **137**, 1972-1982 (2015)
16. M. McEntee, W. Tang, M. Neurock, and J. T. Yates, "Mechanistic Insights into the Catalytic Oxidation of Carboxylic Acids on Au/TiO<sub>2</sub>: Partial Oxidation of Propionic and Butyric Acid to Gold Ketenylidene through Unsaturated Acids", *ACS Catalysis* **5**, 744-753 (2015)
17. J. T. Yates, and Z. Zhang, "Defects on TiO<sub>2</sub> - Key Pathways to Important Surface Processes" in *Springer Series in Surface Sciences: Defects on Oxide Surfaces*, eds. J. Jupille, and G. Thornton (Springer, Berlin, 2015)
18. J. Wang, M. McEntee, W. J. Tang, M. Neurock, A. P. Baddorf, P. Maksymovych, and J. T. Yates, "Formation, Migration, and Reactivity of Au-CO Complexes on Gold Surfaces", *J. Am. Chem. Soc.* **138**, 1518-1526 (2016)
19. Z. Zhang, and J. T. Yates, "A new form of chemisorbed photo- and electro-active atomic H species on the TiO<sub>2</sub>(110) surface", *Surf. Sci.* **652**, 195-199 (2016)
20. S. L. Ma, M. E. Reish, Z. Zhang, I. Harrison, and J. T. Yates, "Anatase-Selective Photoluminescence Spectroscopy of P25 TiO<sub>2</sub> Nanoparticles: Different Effects of Oxygen Adsorption on the Band Bending of Anatase", *J. Phys. Chem. C* **121**, 1263-1271 (2017)