

1       **Separation of chemical groups from bio-oil aqueous phase via sequential**  
2       **organic solvent extraction**

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18       **Abstract:** The chemical complexity of bio-oil aqueous phase limits its efficient utilization. To  
19       improve the efficiency of the bio-oil biorefinery, this study focused on the separation of chemical  
20       groups from the bio-oil aqueous phase via sequential organic solvent extractions. Due to their  
21       high recoverability and low solubility in water, four solvents (hexane, petroleum ether,  
22       chloroform, and ethyl acetate) with different polarities were evaluated, and the optimum process  
23       conditions for chemical extraction were determined. Chloroform had high extraction efficiency  
24       for furans, phenolics, and ketones. In addition to these classes of chemical, ethyl acetate had a  
25       high extraction efficiency for organic acids. The sequential extraction using chloroform followed  
26       by ethyl acetate resulted in 62.2 wt.% of original furans, ketones, alcohols, and phenolics being  
27       extracted into chloroform, while 62 wt.% acetic acid was extracted into ethyl acetate, leaving  
28       behind a high concentration of levoglucosan (~53.0 wt.%) in the final aqueous phase. Chemicals  
29       separated via the sequential extraction could be used as feedstocks in a biorefinery using

30 processes such as catalytic upgrading of furans and phenolics to hydrocarbons, fermentation of  
31 levoglucosan to produce alcohols and diols, and hydrogen production from organic acids via  
32 microbial electrolysis.

33 **Keywords:** Bio-oil aqueous phase, Organic solvent, Solvent extraction, Chemical groups

34

### 35 **1. Introduction**

36 Bio-oil from biomass pyrolysis is a promising feedstock for the production of transportation  
37 fuels and value-added chemicals [1, 2]. However, some disadvantages make it difficult to  
38 directly use bio-oil as a transportation fuel. Bio-oil contains hundreds of chemicals that are  
39 classified according to their functional groups, including phenolics, furans, organic acids,  
40 ketones, aldehydes, esters, and anhydrosugars [3, 4]. Unlike petroleum oil, most chemicals in  
41 bio-oil are unstable oxygenated compounds, amounting to as high as 40 wt.% of oxygen [5]. Bio-  
42 oil also has a significant content of water generally ranging from 15–30 wt.%, depending on the  
43 different types of biomass and pyrolysis processes [5]. Furthermore, organic acids are the major  
44 contributors to the acidity of bio-oil, causing corrosion that requires special containers for the  
45 storage and transportation.

46 To recover and utilize the water-soluble chemicals, phase separation of bio-oil into an  
47 organic phase and an aqueous phase by adding water has been investigated [2, 6-9]. The  
48 extraction efficiency of chemicals in bio-oil by water is highly dependent on the polarity and  
49 solubility of the chemicals. Because of their high polarity and solubility in water, levoglucosan  
50 and organic acids, such as acetic acid, have high distribution coefficients in water, resulting in a  
51 high concentration in the aqueous phase. Furans, such as furfural and furanone, have a  
52 distribution coefficient similar to acetic acid [6]. Some phenolic compounds, such as syringol  
53 and guaiacol, have low polarity, but due to their low initial concentration in crude bio-oil, these

54 compounds also have a high distribution coefficient to water and a considerable fraction can also  
55 be extracted by water [6]. Thus, the bio-oil aqueous phase is a complex mixture requiring further  
56 separation to be efficiently utilized.

57 Due to the multitude of chemicals present in the aqueous phase, a variety of applications of  
58 bio-oil aqueous phase have been investigated, including aqueous phase reforming [10-12],  
59 extraction and recovery of value-added chemicals, such as acetic acid [13, 14], and ethanol  
60 production via hydrolysis and fermentation of levoglucosan [12, 15]. Recently, a novel  
61 application of the aqueous phase for hydrogen production has been reported via a microbial  
62 electrolysis (MEC) process [16]. Certain biological processes, such as microbial fermentation,  
63 are negatively affected by furans and phenolics [12, 16-18]. Therefore, the removal of non-  
64 desirable compounds from the bio-oil aqueous phase is required.

65 Several methods including distillation, solvent extraction, and column chromatography have  
66 been developed for separating and recovering the various components and to characterize bio-oil  
67 and the associated aqueous phase [7, 13, 19-22]. Among these methods, solvent extraction is  
68 considered cost-effective, as it can be operated at room temperature and atmospheric pressure  
69 [23]. Garcia-Perez *et al.* investigated different organic solvents to fractionate the chemicals for  
70 characterization [24]. Wei *et al.* found that chloroform had good performance in extracting  
71 phenolic compounds [7]. However, a considerable amount of furans, ketones, and alcohols were  
72 still detected by gas chromatography and mass spectrometry (GC/MS) in bio-oil aqueous phase  
73 after organic solvent extraction [7]. Moreover, organic acids and levoglucosan were not well  
74 separated by these organic solvents. Therefore, only using a single solvent might not sufficiently  
75 extract and separate these chemicals from the bio-oil aqueous phase.

76 Separating compounds in the bio-oil aqueous phase into different chemical groups is  
77 practically useful. It minimizes the effects of non-desirable chemicals on the application of  
78 specific chemical groups, develops strategies for the application of the bio-oil aqueous phase,  
79 and improves its application efficiency. Due to the very low concentration of individual  
80 compounds of furans, alcohols, ketones, and phenolics in the bio-oil aqueous phase, isolating  
81 these chemicals individually would be difficult and costly. Therefore, separating these chemicals  
82 as a group (Group 1) may be more practical. Due to the significant amount of organic acids  
83 (Group 2) and anhydrosugars (Group 3) in the bio-oil aqueous phase, separating these two  
84 chemical groups is feasible. Therefore, the purpose of this study was to develop a method to  
85 separate these three chemical groups from bio-oil aqueous phase using organic solvents. Four  
86 solvents including hexane, petroleum ether, chloroform, and ethyl acetate with different  
87 polarities were first evaluated individually for chemical extraction. Optimum conditions and  
88 extraction efficiency related to the different chemical groups were determined. According to  
89 these analyses, a sequential extraction using chloroform followed by ethyl acetate were further  
90 investigated for separating Group 1 (furans, alcohols, ketones, and phenolics), Group 2 (organic  
91 acids) and Group 3 (anhydrosugars).

92

## 93 **2. Materials and Methods**

### 94 **2.1 Materials**

95 Air-dried switchgrass (*Panicum virgatum L.*) obtained from a local producer in East  
96 Tennessee was used for the bio-oil production. The water content of the biomass was 7–8 wt.%.  
97 Before pyrolysis, the material was ground to less than a 2 mm particle size. The switchgrass is  
98 composed of 34.1 wt.% cellulose, 25.7 wt.% hemicellulose, 18.8 wt.% lignin, 14.2 wt.%  
99 extractives, and 2.7 wt.% ash [25].

100 Hexane (a mixture of isomers, purity > 98.5%), petroleum ether (ACS certified grade),  
101 chloroform (purity > 99.0%), and ethyl acetate (purity > 99.5%) purchased from Thermo Fisher  
102 Scientific (Waltham, MA) were used as organic solvents for chemical extraction from bio-oil  
103 aqueous phase (BOAP). All these chemicals were used as received. Fifteen external standards,  
104 all purchased from Sigma-Aldrich (St. Louis, MO), were used for quantifying compounds in the  
105 BOAP.

106 **2.2 Crude bio-oil production and bio-oil aqueous phase separation**

107 A schematic diagram of the experiment for the separation of chemical groups by a  
108 sequential extraction is shown in Fig. 1. The process includes bio-oil production, aqueous phase  
109 separation, and organic solvent extractions. The separated chemical groups can be integrated into  
110 a biorefinery for the production of fuels and chemicals (Fig. 1).

111 A semi-pilot scale auger pyrolysis system (Proton Power, Inc., Lenoir City, TN) was used to  
112 pyrolyze switchgrass for bio-oil production. A detailed description of the pyrolysis system was  
113 provided elsewhere [25]. The pyrolysis was conducted at 500 °C with a residence time of 72  
114 seconds.

115 The separation of the bio-oil aqueous phase was achieved by simply adding water to crude  
116 bio-oil [26]. First, the crude bio-oil was mixed with four times by weight of distilled water. Then,  
117 the mixture was shaken vigorously using a mini vortexer (Model MS1 S7, Fisher Scientific) until  
118 a homogeneous mixture was formed. The mixture was stored at 4 °C overnight, followed by  
119 centrifugation using an IEC Model 120 clinical centrifuge (International Equipment Company) at  
120 a relative centrifugal force (RCF) of 2,400 g for 30 minutes to accelerate phase separation. After  
121 centrifugation, bio-oil aqueous phase (BOAP I) on top was collected and analyzed, as described  
122 below.

123 Physical properties of crude bio-oil and BOAP I, including density, pH, viscosity, water  
124 content, solid content, ash content, and total acid number, were measured in triplicate. Density  
125 was measured according to the ASTM D1217 (2012) standard [27], and pH was measured with  
126 an Extech pH meter. A Schott TitroLine Karl Fischer volumetric titrator was used to measure  
127 water content according to ASTM D4377 (2011) [28]. Viscosity was measured at 40 °C with  
128 serialized Schott Ubbelohde capillary viscometers according to ASTM D445 (2012) [29]. Ash  
129 content was measured according to ASTM D482 (2013) at 575 °C [30]. The solid content was  
130 determined according to Boucher *et al.* [31]. Total acid number (TAN) was measured by  
131 titrating bio-oil (0.1 g) or BOAP I (0.2 g) in a solvent of water, isopropyl alcohol and toluene  
132 (volume ratio of water: isopropyl alcohol: toluene = 1: 99:100) with 0.1M KOH isopropyl  
133 alcohol solution to an end point of pH 11 according to ASTM D664 (2011) [32].

134 **2.3 Chemical extraction from bio-oil aqueous phase**

135 The separated BOAP I was used for extraction experiments. Four organic solvents, hexane,  
136 petroleum ether, chloroform, and ethyl acetate, were first individually investigated to separate  
137 chemicals from BOAP I. Four different volumetric ratios of solvent to BOAP I (0.5:1, 1:1, 2:1,  
138 and 3:1) were employed to determine the effect of solvent-to-feed ratio (S/F ratio) on the  
139 chemical extraction. A fixed volume of BOAP I at 20 mL was weighted and measured into a  
140 100 mL beaker. Then a predesignated volume of organic solvent was added into the beaker. The  
141 mixture of BOAP I and the organic solvent was magnetically stirred for 30 min. After stirring,  
142 the mixture was transferred to a separatory funnel and left undisturbed for 24 hours to allow  
143 phase separation. During the stirring and separation, the beaker and funnel were sealed to  
144 minimize solvent evaporation. After separation, the solvent phase and BOAP II were collected

145 and weighed. Chemicals extracted to the organic solvent were recovered by evaporating the  
146 organic solvent via a rotary evaporator at 40 °C.

147 First, distribution coefficient and extraction efficiency [33] were used to evaluate the four  
148 solvents in extracting BOAP I. The distribution coefficient ( $D_i$ ) is defined as the ratio of  
149 equilibrium mass concentration (g/L) of compounds in the solvent ( $i$  indicates six groups based  
150 on their functional groups: acids, furans, alcohols, ketones, phenolics, and levoglucosan, that is  
151 the only anhydrosugar detected) to their equilibrium mass concentration in BOAP I, according to  
152 Equation 1.

153

154 
$$D_i = \frac{\text{mass concentration of } i \text{ in solvent}}{\text{mass concentration of } i \text{ in BOAP I}} \quad (\text{Equation 1})$$

155

156 Extraction efficiency ( $X_j$ ) is defined as the mass percentage (wt.%) of 15 individual chemical  
157 compounds ( $j$ ) transferred from BOAP I to the organic solvent after the extraction process  
158 according to Equation 2.

159

160 
$$X_j = \frac{\text{mass of } j \text{ in BOAP I} - \text{mass of } j \text{ in BOAP II}}{\text{mass of } j \text{ in BOAP I}} \times 100\% \quad (\text{Equation 2})$$

161

162 According to the results evaluating the four solvents, chloroform and ethyl acetate were  
163 chosen for sequential extraction to separate the chemical groups from BOAP I, which was first  
164 extracted by chloroform to recover furans, ketones, and phenolics. After chloroform extraction,  
165 BOAP II and the solvent phase were collected and weighed. BOAP II was further extracted using  
166 ethyl acetate. The organics extracted to chloroform and ethyl acetate were recovered by

167 evaporating the organic solvents via a rotary evaporator at 40 °C. BOAP II and III were collected  
168 and analyzed. The experiment was performed in triplicate.

169 **2.4 Chemical identification and quantification by GC/MS, GC-FID and HPLC**

170 Chemical compounds in BOAP I were identified using gas chromatography/mass  
171 spectrometry (GC/MS). A Shimadzu GC/MS (QP2010S) with a Restek Rtx-5MS capillary  
172 column (30 m × 0.25 mm × 0.25 µm) was used. The column temperature was programmed at  
173 45 °C for 3 min and increased to 150 °C at 5 °C/min; then, it was further increased to 260 °C at  
174 10 °C/min and held for 7 min at the final temperature. The inlet was set at 240 °C, and sample  
175 injection was made in a split mode (1:20). The compounds were identified by comparing their  
176 mass spectra with those from the National Institute of Standards and Technology (NIST) mass  
177 spectral data library.

178 The acids, levoglucosan, hydroxymethylfurfural, furfural, phenol, and 1,2-benzenediol in  
179 BOAP I-III were quantified using a high pressure liquid chromatography system (HPLC, Jasco  
180 2000Plus, Jasco Analytical Instruments, Easton, MD) equipped with a MD-2018 plus photodiode  
181 array detector (PAD), a RI-2031 Plus intelligent RI detector, and an AS-2055 plus auto sampler  
182 [26]. The liquid chromatography was conducted at 50 °C using a Bio-Rad column HPX-87H  
183 (300 × 8 mm) The injected sample volume was 20 µL. The mobile phase was 5 mM H<sub>2</sub>SO<sub>4</sub> in  
184 deionized water with a flow rate of 0.6 mL/min.

185 The following compounds in BOAP I-III, which have been reported in switchgrass bio-oil  
186 analysis [34-37], were quantified using a gas chromatography-flame ionization detector (GC-FID)  
187 with a HP-5 column (30 m × 0.32 mm × 0.25 µm): 2(5H)-furanone, 1-hydroxy-2-butanone, 1,3-  
188 propanediol, 3-methyl-1,2-cyclopentanedione, guaiacol, creosol, 2,6-dimethoxyphenol, 3-  
189 ethylphenol . The same temperature program as that with GC/MS was used in the GC-FID. The

190 compounds extracted to organic solvent phase were also quantified by the GC-FID. Compounds  
191 were quantified using external standards in both the HPLC and GC-FID analysis.

192 **3. Results and Discussion**

193 **3.1 Characterization of bio-oil and BOAP I**

194 The physical properties of crude bio-oil and BOAP I are shown in Table 1. The crude bio-oil  
195 obtained from the pyrolysis was an even mixture containing about 41.1 wt.% water. After  
196 aqueous phase separation, BOAP I showed a light yellow color containing about 90.8 wt.%  
197 water. After the separation, most polar compounds were extracted by water to BOAP I. The  
198 oligomers derived from lignin remained in the black and viscous organic phase. Due to the  
199 dilution by water, the pH in BOAP I slightly increased compared with the crude bio-oil, while  
200 the density, ash content, viscosity, and TAN decreased.

201 GC/MS analysis showed that more than 50 compounds were detected in BOAP I. The  
202 relative peak areas in GC/MS chromatogram were considered a useful indication of the relative  
203 abundance of chemicals, which has been used in bio-oil analysis [38-41]. Among these  
204 compounds, levoglucosan, acetic acid, furfural, and phenol were the most abundant as detected  
205 by GC/MS spectrometry. According to their functional groups, the detected compounds were  
206 classified into anhydrosugars, acids, furans, alcohols, phenolics, aldehydes, ketones, esters, and  
207 others (nitrogen-containing compounds). The distribution of these chemicals in BOAP I  
208 according to their relative GC/MS peak area is shown in Fig. 2.

209 Previous study has pointed out that levoglucosan, organic acids, alcohols, furans such as  
210 furfural, and acetol have high distribution coefficient in water [6]. After the bio-oil aqueous  
211 phase separation, the majority of these compounds can be extracted to the aqueous phase.  
212 Although phenolic compounds have a lower polarity than acids and furans, their low initial

213 concentration in the crude bio-oil affords them a high distribution coefficient in water [6].  
214 GC/MS analysis detected a number of phenolic compounds with small peaks in BOAP I, but  
215 these small peaks added up to a total relative area of about 28 area%. A number of aldehydes,  
216 ketones, and esters with relatively small area percentage were also found in BOAP I.

217 Taking their high peak area percentages into account, 15 compounds were further quantified  
218 using GC-FID and HPLC, including two acids, three furans, two alcohols, six phenolics, one  
219 ketone, and one anhydrosugar (levoglucosan). These quantified chemicals accounted for about  
220 53.9 wt.% of total chemicals in BOAP I. The concentrations of these 15 compounds in BOAP I  
221 are presented in Table 2. Acids and anhydrosugars are the two major chemical groups extracted  
222 to BOAP I by water. Among the acids, acetic acid was detected at the highest concentration,  
223 which was about 16.54 g/L. The concentration of levoglucosan was about 18.99 g/L in BOAP I.  
224 These two compounds were the most abundant chemicals observed in BOAP I. The  
225 quantification of other chemicals in BOAP I showed that 1-hydroxy-2-butanone and  
226 hydroxymethylfurfural with concentration of 2.25 g/L and 1.37 g/L, respectively, are the major  
227 chemicals following levoglucosan, acetic acid, and propionic acid. The total quantified  
228 concentration of furans and alcohols were about 3.17 g/L and 2.6 g/L, respectively. While the  
229 individual phenolic compound had a low concentration in BOAP I, the total concentration of  
230 quantified phenolic compounds added up to 1.5 g/L. These 15 quantified compounds (6 groups)  
231 were used in the next step to evaluate the extraction efficiency of organic solvents from BOAP I.

232 **3.2 Effects of organic solvents on the extraction of total chemicals**

233 Fig. 3 shows the total mass percentages of the 15 chemicals extracted by the four different  
234 organic solvents. Among the four, hexane has the lowest affinity for chemicals in BOAP I,  
235 extracting less than 30 wt.%. Petroleum ether has slightly higher affinity for chemicals than

236 hexane, with the highest amount of chemicals extracted at 33.2 wt.% from BOAP I. Chloroform  
237 has a higher affinity for chemicals than hexane and petroleum ether, in agreement with a  
238 previous report [7]. Ethyl acetate has the highest affinity for chemicals in BOAP I, followed by  
239 chloroform. These two can extract over 50 wt.% of total chemicals in BOAP I, due to their high  
240 polarity (polarity of chloroform at 4.1 and polarity of ethyl acetate at 4.4). In BOAP I, most  
241 chemicals present are oxygenated compounds, having high polarity and solubility in water [6].  
242 Therefore, hexane and petroleum ether with very low polarity and solubility in water limit the  
243 dispersion of the oxygenated compounds. These results suggest that to recover more chemicals  
244 from BOAP I, solvents with high polarity, such as ethyl acetate and chloroform would be more  
245 preferable than hexane and petroleum ether with low polarity.

246 The effect of different volumetric ratios of organic solvent to BOAP I (S/F ratio) was also  
247 investigated (Fig. 3). With a S/F ratio of 0.5, all the four solvents showed poor extraction  
248 performance for chemicals, with the maximum extracted amount being less than 22 wt.%.  
249 Increasing the S/F ratio to 1, the amount of chemicals extracted using hexane and petroleum  
250 ether did not change significantly. However, the amount of extracted chemicals was significantly  
251 increased using chloroform and ethyl acetate from 14.7 wt.% to 47.8 wt.% and 21.1 wt.% to 54.1  
252 wt.%, respectively. When the S/F ratio further increased to 2, the amount extracted by hexane,  
253 petroleum ether and ethyl acetate, except for chloroform (increased only 2 wt.%), significantly  
254 increased as compared to that at S/F ratio of 1. This result indicates that the S/F ratio of  
255 chloroform to BOAP I at 1 is desirable for chemical extraction from an economic viewpoint,  
256 consistent with a previous study [7]. When the S/F ratio further increased to 3, there were no  
257 significant changes in extracted chemicals for all the tested solvents. Therefore, the optimum S/F  
258 ratio were 2 for hexane, petroleum ether, or ethyl acetate and 1 for chloroform.

259 **3.3 Effects of solvents on the separation of 15 individual chemicals**

260 After organic solvent extraction of BOAP I, 15 chemicals in BOAP II were quantified using  
261 GC-FID and HPLC to determine the effects of different organic solvents and S/F ratios on the  
262 extraction of these chemicals. The extraction efficiency of individual chemical was calculated  
263 according to Equation 2. The results are presented in Fig. 4 for Group 2 (two organic acids) and  
264 Group 3 (one anhydrosugar) chemicals, and Figs. 5 and 6 for Group 1 (twelve chemicals of  
265 furans, alcohols, ketones, and phenolics).

266 As Fig. 4 shows, the extraction efficiencies for anhydrosugar (levoglucosan), and organic  
267 acids (acetic acid and propionic acid) in BOAP I by hexane and petroleum ether were less than  
268 10 wt.%. Increasing loading of the two solvents did not significantly increase the extraction  
269 efficiency. Chloroform had low extraction efficiencies for anhydrosugar (less than 8.8 wt.%) and  
270 organic acids (less than 14 wt.% for acetic acid and less than 3.9 wt.% for propionic acid) in  
271 BOAP I at low S/F ratios (volume ratio at 0.5 and 1); when the S/F ratio increased to 3, the  
272 extraction efficiencies for levoglucosan, acetic acid, and propionic acid increased to 11.1 wt.%,  
273 23.8 wt.%, and 34 wt.%, respectively. Ethyl acetate showed a performance similar to chloroform  
274 for the extraction of levoglucosan. The extraction efficiency for acetic acid was low using ethyl  
275 acetate when the S/F was at 0.5. However, when the S/F ratios were increased to 2 and 3, the  
276 extraction efficiency of acetic acid was significantly increased to 55 wt.% and 61.2 wt.%,  
277 respectively. This result suggests that the S/F ratio has great effect on acetic acid extraction.  
278 Because ethyl acetate can be used as a hydrogen bond acceptor in extraction [42], the increase in  
279 its loading provides more receptors for hydrogen bond, thus breaks the hydrogen bond between  
280 acetic acid and water.

281 Fig. 5 illustrates the extraction efficiencies for two alcohols (1-hydroxy-2-butanone, 1,3-  
282 Propanediol), one ketone (3-methyl-1,2-cyclopentanedione), and three furans  
283 (hydroxymethylfurfural, 2(5H)-furanone, furfural) in BOAP I using the four organic solvents.  
284 Both hexane and petroleum ether had poor performance, even with increased loading, for the  
285 extraction of alcohols and the ketone. The extraction efficiencies using these two solvents varied  
286 greatly for the different compounds of furans. Extraction efficiencies for hydroxymethylfurfural  
287 were observed at less than 8 wt.%. Hexane and petroleum ether had good extraction performance  
288 for 2(5H)-furanone with extraction efficiencies at about 58 wt.% and 59 wt.%, respectively.  
289 Extraction efficiencies for furfural using hexane and petroleum ether were 13.3 wt.% and 19.9  
290 wt.% at the S/F ratio of 0.5, respectively; when the S/F ratio was increased to 2, a significant  
291 increase in extraction efficiency for furfural was observed using both solvents. Further increasing  
292 the S/F ratio to 3 using hexane and petroleum ether increased the extraction efficiencies for  
293 furfural by about 7 and 10 wt.%, respectively. However, the highest extraction efficiencies using  
294 hexane and petroleum ether for furfural were achieved at 54.5 and 53.6 wt.%, respectively.  
295 Compared to hexane and petroleum ether, chloroform and ethyl acetate had a better extraction  
296 performance for these chemical groups (two alcohols, one ketone, and three furans). The  
297 extraction efficiencies using chloroform reached to about 29 wt.% for 1-hydroxy-2-butanone, 10  
298 wt.% for 1,3-Propanediol, 68 wt.% for 3-methyl-1,2-cyclopentanedione, 54 wt.% for  
299 hydroxymethylfurfural, 63 wt.% for 2(5H)-furanone, and 95 wt.% for furfural at the low S/F  
300 ratio of 0.5. When the S/F ratio increased to 1, the extraction efficiencies for 1-hydroxy-2-  
301 butanone, 1,3-Propanediol, 3-methyl-1,2-cyclopentanedione, and hydroxymethylfurfural greatly  
302 increased to 48.1 wt.%, 19 wt.%, 81 wt.%, and 69.6 wt.%, respectively. When the S/F ratio  
303 increased to 2, the extraction efficiencies for these four compounds further increased to 57 wt.%,

304 36 wt.%, 89 wt.% and 82 wt.%, respectively. Further increasing the S/F ratio to 3, the extraction  
305 efficiency for 1,3-Propanediol increased to 56 wt.% while only a slight increase was observed for  
306 the other three compounds. No significant change in the extraction efficiency was observed for  
307 2(5H)-furanone and furfural when the S/F ratio was increased from 0.5 to 3. The extraction  
308 efficiency for 2(5H)-furanone by ethyl acetate was higher than that by chloroform. However, the  
309 extraction efficiency for 1-hydroxy-2-butanone, 3-methyl-1,2-cyclopentanedione,  
310 hydroxymethylfurfural, and furfural by ethyl acetate was lower than that by chloroform,  
311 especially at low solvent loading (S/F ratio at 0.5 and 1).

312 Hexane and petroleum ether had good performance for the extraction of phenolic  
313 compounds (3-ethylphenol, guaiacol, 2,6-dimethoxyphenol, and creosol) as shown in Fig. 6.  
314 When the S/F ratio was increased to 2, all creosol in BOAP I was extracted to solvents. At this  
315 S/F ratio, extraction efficiencies for 3-ethylphenol, guaiacol, and 2,6-dimethoxyphenol were  
316 about 73.5 wt.%, 80.1 wt.%, and 36.8 wt.%, respectively, by hexane, and 68.5 wt.%, 74.4 wt.%  
317 and 51.2 wt.%, respectively, by petroleum ether. When the S/F ratio increased to 3, the changes  
318 of 3-ethylphenol, guaiacol, and 2,6-dimethoxyphenol were insignificant. Hexane showed a poor  
319 extraction performance for 1,2-benzenediol and phenol; the highest extraction efficiencies for  
320 these two chemicals were 7 wt.% and 20 wt.%, respectively, when the S/F ratio was increased to  
321 3. Petroleum ether had a slightly better performance for 1,2-benzenediol and phenol than hexane.  
322 But the highest extraction efficiency was observed at 12.6 wt.% for 1,2-benzenediol and 35.5 wt.%  
323 for phenol. Compared to hexane and petroleum ether, chloroform and ethyl acetate showed  
324 superior extraction efficiency for phenolic compounds (Fig. 6). All guaiacol, 2,6-  
325 dimethoxyphenol, and creosol were extracted to solvents even at the low S/F ratio of 0.5,  
326 suggesting that the two had very high affinity for the three compounds. Extraction efficiency for

327 phenol and 3-ethylphenol using chloroform was over 94 wt.% and 87 wt.% respectively, while  
328 the extraction efficiency for these two chemicals using ethyl acetate was at 100 wt.% and 90  
329 wt.%, respectively. Chloroform had low affinity for 1,2-benzenediol as indicated by the  
330 extraction efficiency of only about 36 wt.%. However, ethyl acetate showed a high affinity for  
331 1,2-benzenediol resulting in an extraction efficiency of over 96 wt.% at a S/F ratio of 2.

332 According to the above analyses, the extraction efficiency by the organic solvents for  
333 chemicals in BOAP I was not only affected by the different solvents and S/F ratios, but also  
334 subjective to the different chemical groups. Generally, chloroform had high extraction efficiency  
335 for furans, alcohols, and phenolics, while ethyl acetate had high extraction efficiency for organic  
336 acids in addition to furans and phenolics, indicating non-selective extraction by ethyl acetate.  
337 The optimum S/F ratio and extraction efficiency by the four solvents for the 15 quantified  
338 chemicals are summarized in Table 3.

339 To further understand the effects of solvent on the chemical extraction, the distribution  
340 coefficients of six chemical groups were summarized in Fig. 7. The distribution coefficient of  
341 furans, alcohols, or ketones in hexane and petroleum ether was less than 0.6, much lower than  
342 that in chloroform or ethyl acetate. Due to the large difference in polarity between the solvent  
343 and the chemicals to be extracted, the distribution coefficients of levoglucosan and acids in  
344 hexane and petroleum ether were very low, generally less than 0.1 (Fig. 7). These results indicate  
345 that hexane and petroleum ether cannot be efficiently used to extract furans, alcohols, ketones,  
346 anhydrosugars, and organic acids. The highest distribution coefficient of alcohols and furans in  
347 chloroform was observed at 0.79 and 4.49, respectively. Compared to those in chloroform, the  
348 distribution coefficients of alcohols and furans in ethyl acetate were lower. Therefore,  
349 chloroform would be the preferred choice for the extraction of alcohols and furans from the bio-

350 oil aqueous phase. Levoglucosan and organic acids such as acetic acid can form hydrogen-  
351 bonding with water in the bio-oil aqueous phase [6, 43]. Although chloroform has a high polarity,  
352 it barely breaks the hydrogen bond in extraction. However, in the case of ethyl acetate with a  
353 slightly higher polarity, when the S/F ratio was increased from 0.5 to 2, the hydrogen bond  
354 between acids and water could be broken, thereby increased the distribution coefficient of acids  
355 in ethyl acetate from 0.01 to 0.67 (Fig. 7). Therefore, ethyl acetate at an S/F ratio of 2 would be  
356 suitable for extracting acetic acid from BOAP I. The distribution coefficients of phenolics in  
357 chloroform were greater than 1.5 at the S/F ratios of 0.5 and 1, and they were greater than 10 at  
358 all the investigated S/F ratios in ethyl acetate (Fig. 7), much higher than those in hexane and  
359 petroleum ether, indicating that both chloroform and ethyl acetate are suitable for extracting  
360 phenolics from the bio-oil aqueous phase.

361 Based on the above analysis, we further developed a sequential extraction method to  
362 separate chemical groups (Fig. 1). First by using chloroform, we can separate furans, alcohols,  
363 ketones, and phenolics together (Group 1). Then by using ethyl acetate, we can separate organic  
364 acids (Groups 2). Finally, we can recover anhydrosugars (Group 3) from the final aqueous phase  
365 of BOAP III. This sequential extraction was investigated and the results are reported in the  
366 following section.

### 367 **3.4 Sequential extraction by chloroform and ethyl acetate**

368 After chloroform extraction, the number of chromatography peaks of BOAP II was reduced  
369 and major peaks observed were levoglucosan, acetic acid, propionic acid, and 1,2-benzenediol.  
370 After ethyl acetate extraction of BOAP II, the major peak in BOAP III was only levoglucosan.  
371 The chemical compositions of aqueous phase before and after extraction, and the extracted  
372 organics are presented in Table 2 and Fig. 8. In this study, about 53.9 wt.% of total chemicals in

373 BOAP I was quantified. A number of chemicals (46.1 wt.% of total chemicals) with very low  
374 concentration listed in alcohol, ketones, aldehydes, etc., in BOAP I were not quantified. About  
375 62.2 wt.% of the total of furans, ketones, alcohols, and phenolics and 85 wt.% unquantified  
376 chemicals in BOAP I were extracted to chloroform. After chloroform extraction, BOAP II was  
377 mainly composed of organic acids and levoglucosan, which accounted for about 43.4 wt.% and  
378 36.1 wt.%, respectively (Fig. 8). Other components were about 2.0 wt.% furans, 3.0 wt.%  
379 alcohols, 1.1 wt.% phenolics, 0.56 wt.% ketones, and 13.8 wt.% unquantified chemicals. The  
380 sequential extraction by chloroform followed by ethyl acetate well recovered furans, ketones,  
381 and phenolics from aqueous phase, leaving behind no ketones and only about 0.7 wt.% furans,  
382 and 0.04 wt.% phenolics in BOAP III after extraction (Fig. 8). The sequential extraction also  
383 concentrated levoglucosan resulting in about 53 wt.% levoglucosan in BOAP III. However, we  
384 also observed that BOAP III contained about 32.5 wt.% organic acids. In this study, BOAP II  
385 was extracted only once by ethyl acetate. Multiple extractions using ethyl acetate may be  
386 implemented to extract larger fraction of acids from BOAP II. The organics extracted by  
387 chloroform were mainly furans, ketones, alcohols, phenolics. Due to the very low concentration  
388 of these compounds, it will be difficult to further purify them individually. The preferred  
389 application of these compounds could be as a feedstock for the biorefinery process to generate  
390 hydrocarbons fuels. The organics extracted by ethyl acetate contains over 57.6 wt.% organic  
391 acids, in which acetic acid accounts for about 75 wt.%. It will be costly to produce glacial acetic  
392 acid from this stream. Therefore, these organics could serve as a source for hydrogen production  
393 using microbial electrolysis [16].

394

395 **4. Conclusions**

396 Four organic solvents were evaluated for chemical extraction from bio-oil aqueous phase.  
397 The amount of chemicals extracted by these four solvents from bio-oil aqueous phase was in the  
398 order of hexane < petroleum < chloroform < ether ethyl acetate at the same S/F ratio. Further,  
399 chloroform had similar extraction efficiency with ethyl acetate for furans, alcohols, ketones and  
400 phenolics. Ethyl acetate also had high extraction efficiency for acetic acid when the S/F ratio was  
401 at 2. According to the distribution coefficients and extraction efficiencies obtained for the  
402 different chemical groups, a sequential extraction using chloroform followed by ethyl acetate is  
403 recommended. The first step of extraction using chloroform separated most of the furans,  
404 ketones, alcohols, and phenolics from bio-oil aqueous phase. The second step of extraction  
405 employing ethyl acetate concentrated organic acids (over 62 wt.% acetic acid in BOAP I). In the  
406 final aqueous phase, levoglucosan was concentrated at 53 wt.%. These results suggest that  
407 sequential extraction with different solvents can be used to separate chemical groups in bio-oil  
408 aqueous phase and these extracted chemical groups could serve as feedstocks for the specific  
409 production of chemicals, hydrogen, or hydrocarbons.

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525

526 **Figure captions**

527 **Figure 1.** Experimental procedures (dotted box) with separation of chemical groups from bio-oil  
528 aqueous phase (BOAP I-III) by sequential extraction and their applications for the production of  
529 fuels and chemicals.

530 **Figure 2.** Chemical distribution (relative peak area percentage analyzed by GC/MS) in BOAP I.

531 **Figure 3.** The mass percentage of chemicals extracted by different organic solvents and S/F  
532 ratios.

533 **Figure 4.** Extraction efficiencies for levoglucosan, acetic acid, and propionic acid in BOAP I by  
534 different solvents and S/F ratios.

535 **Figure 5.** Extraction efficiencies for alcohols, ketones, and furans in BOAP I by different  
536 solvents and S/F ratios.

537 **Figure 6.** Extraction efficiencies for phenolics in BOAP I by different solvents and S/F ratios.

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539 Distribution coefficients reported are average numbers based on three replicates.

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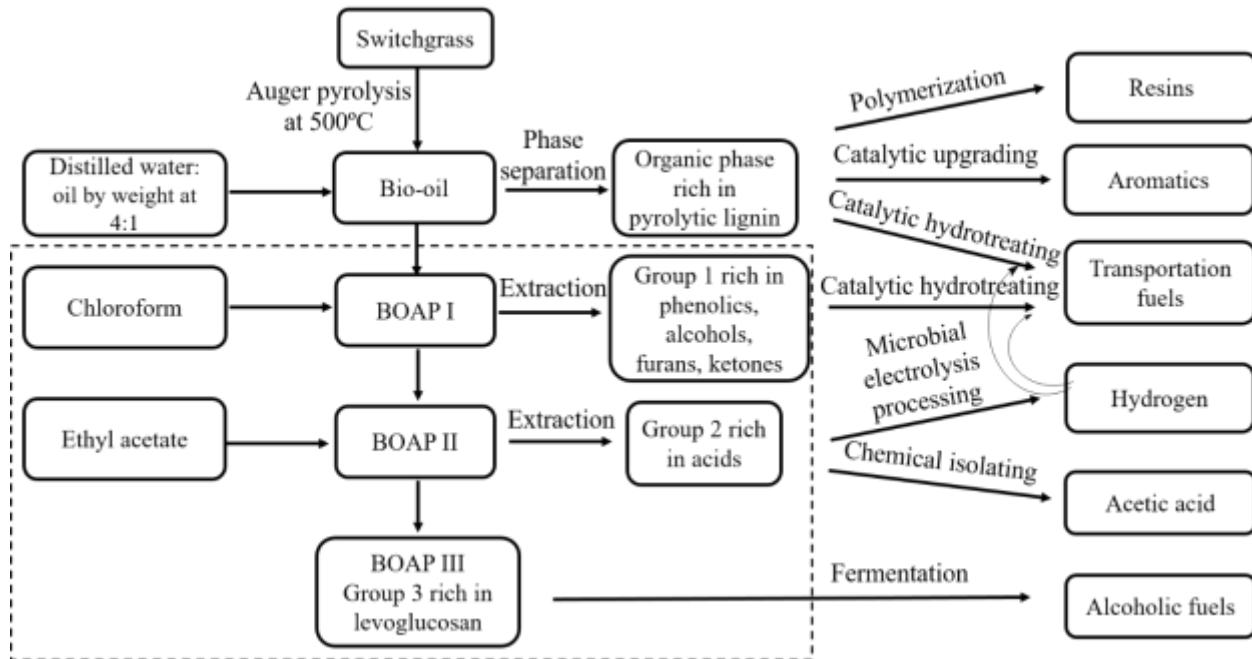
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554 fuels and chemicals

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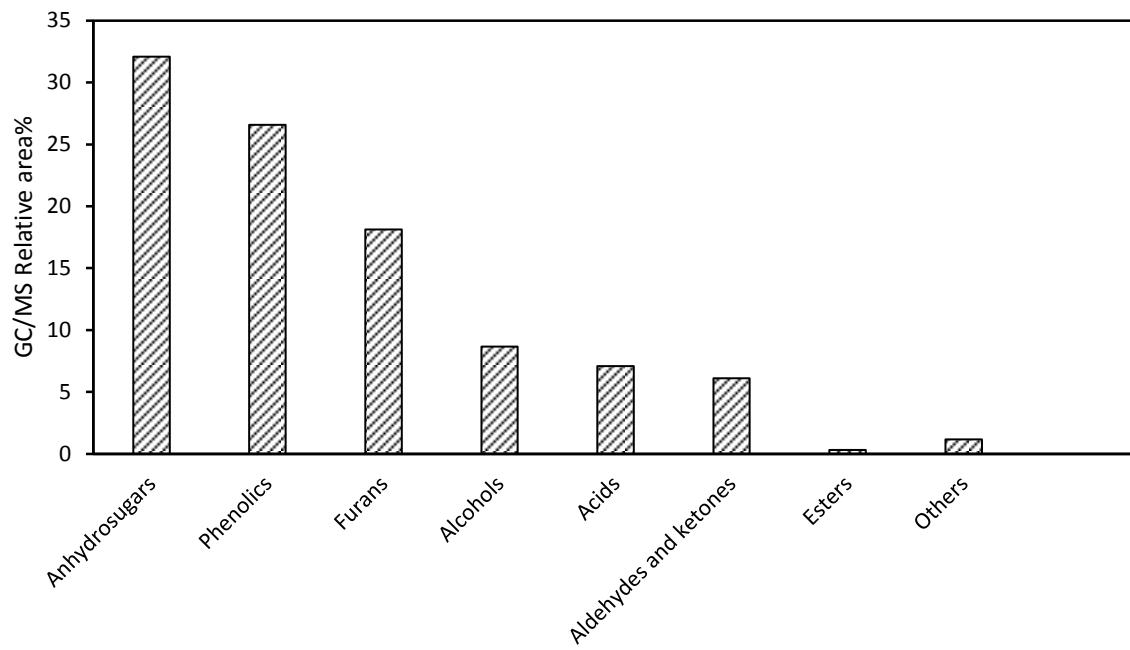
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570 **Figure 2.** Chemical distribution (relative peak area percentage analyzed by GC/MS) in BOAP I

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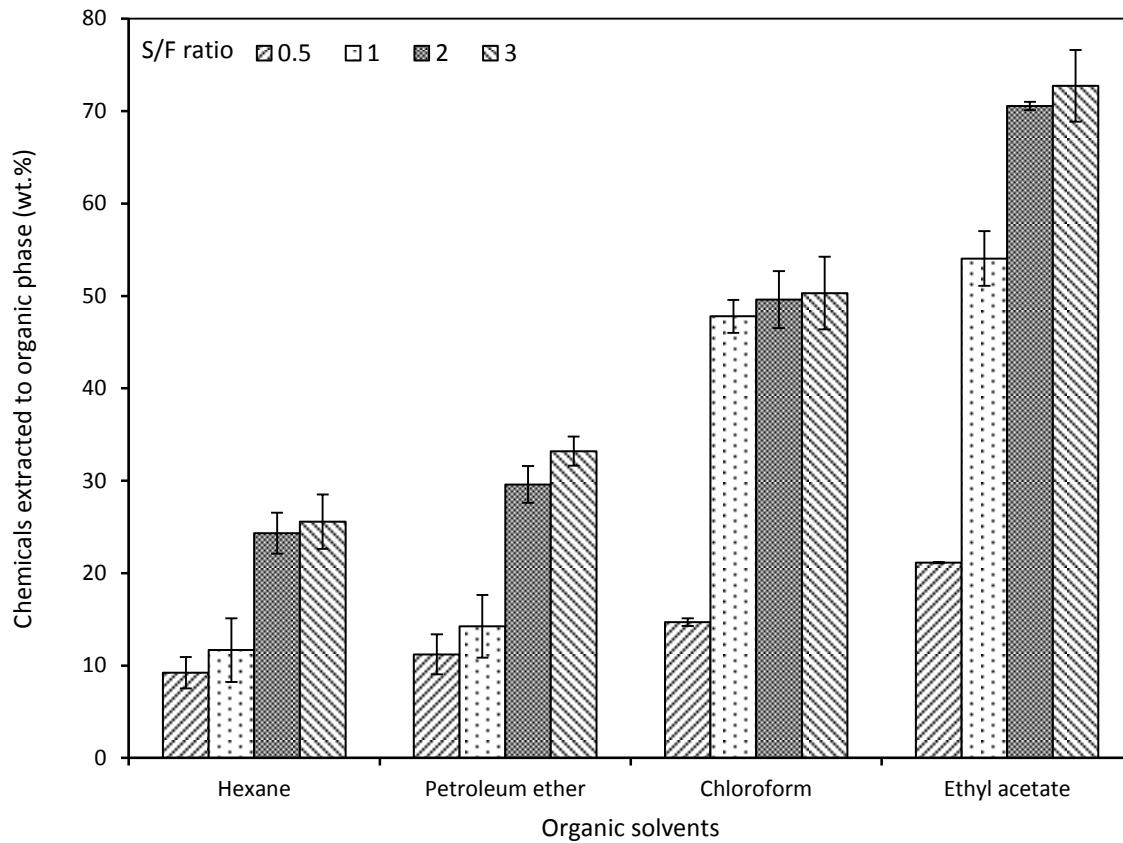
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583 **Figure 3.** The mass percentage of total chemicals extracted by different organic solvents and S/F  
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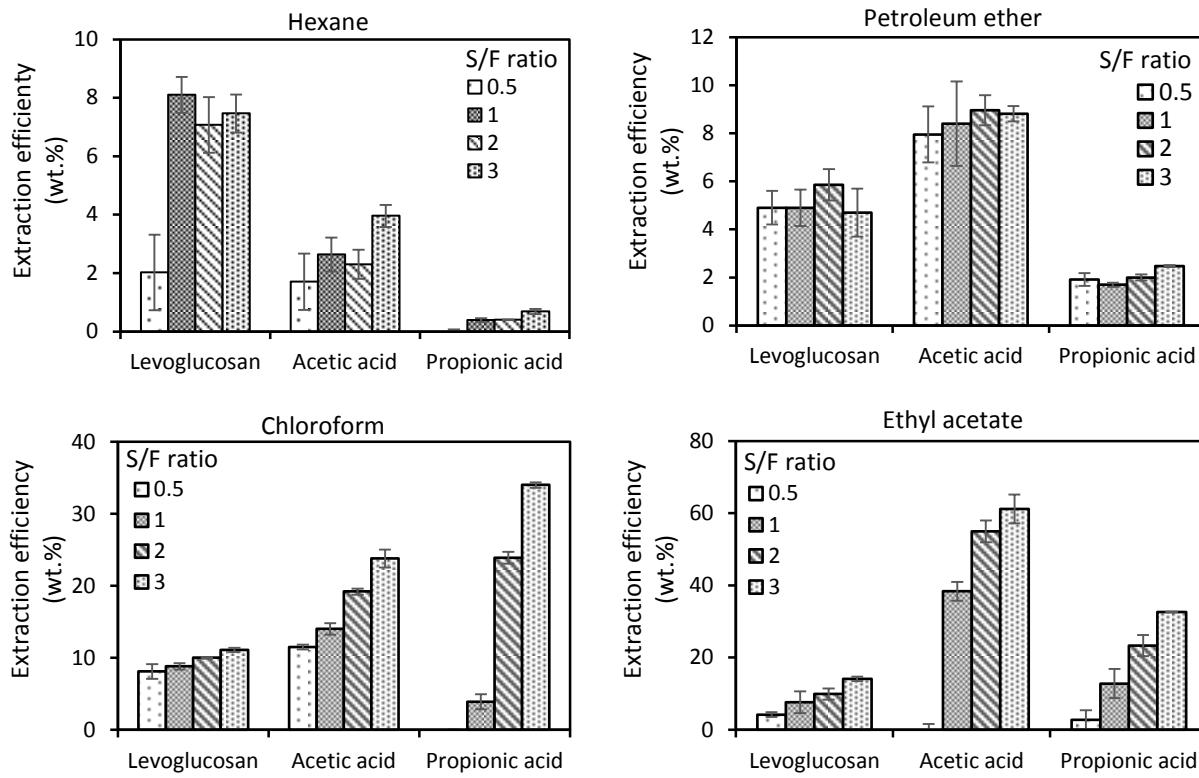
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596 **Figure 4.** Extraction efficiencies for levoglucosan, acetic acid, and propionic acid in BOAP I by  
597 different solvents and S/F ratios

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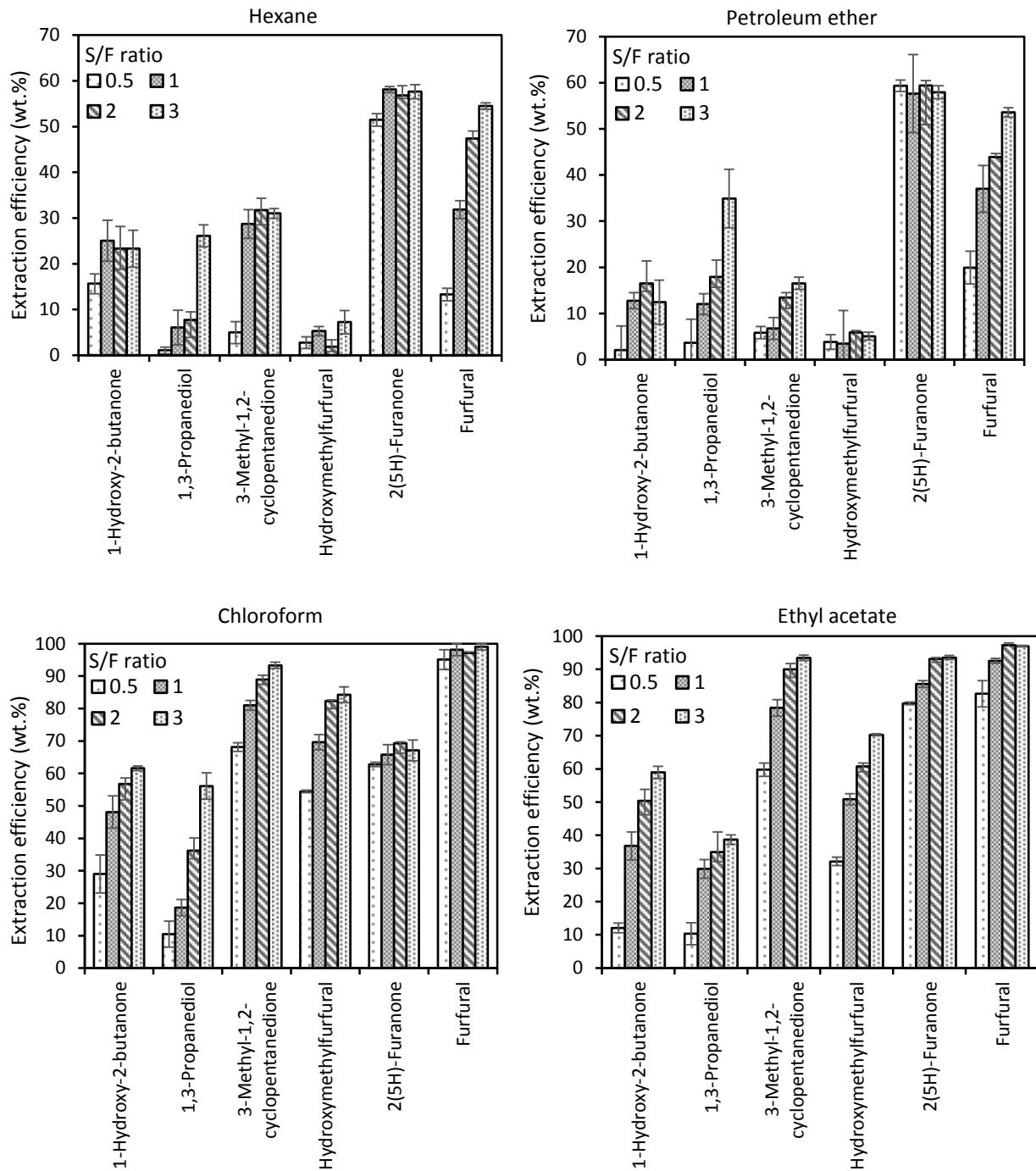
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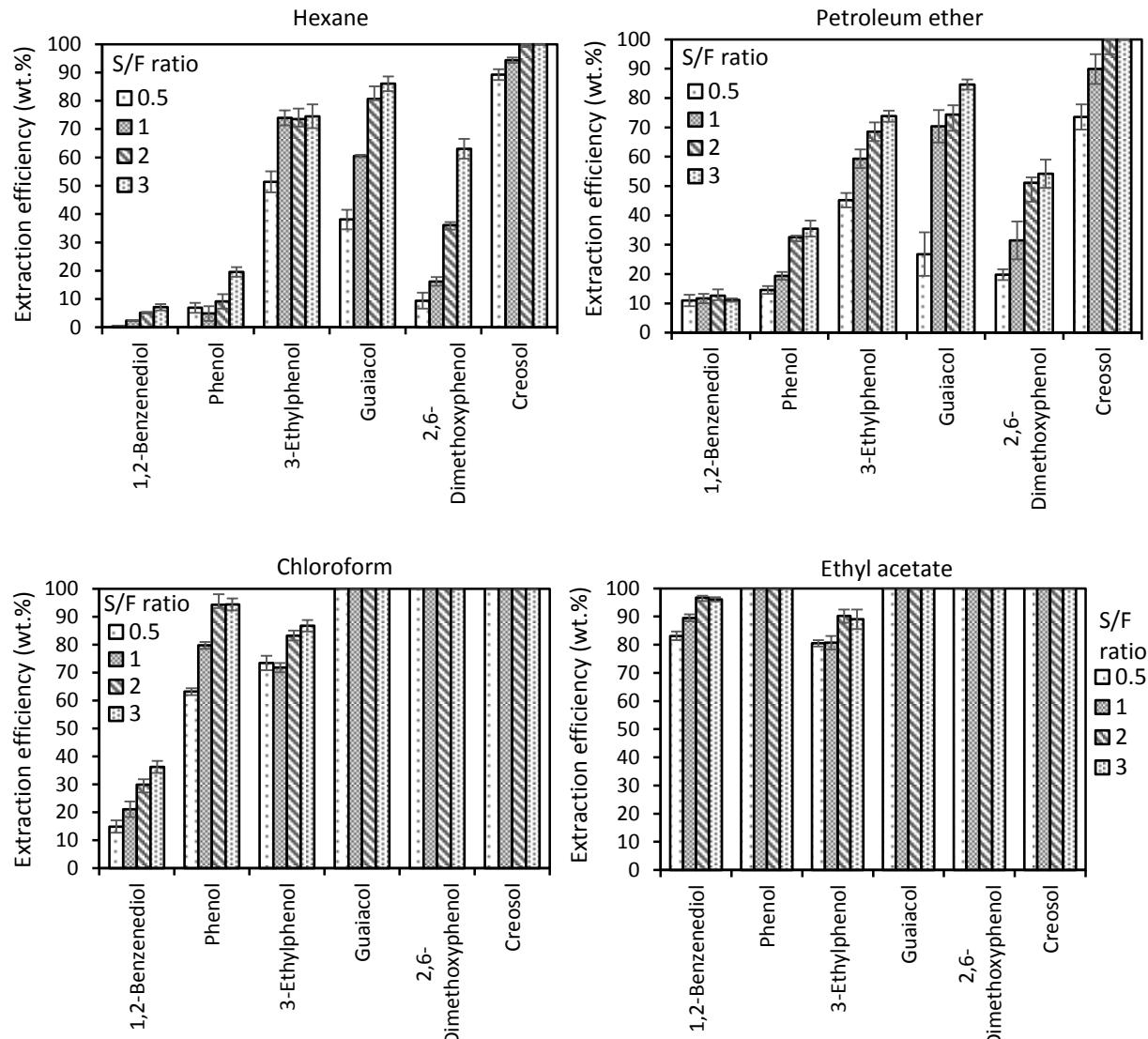
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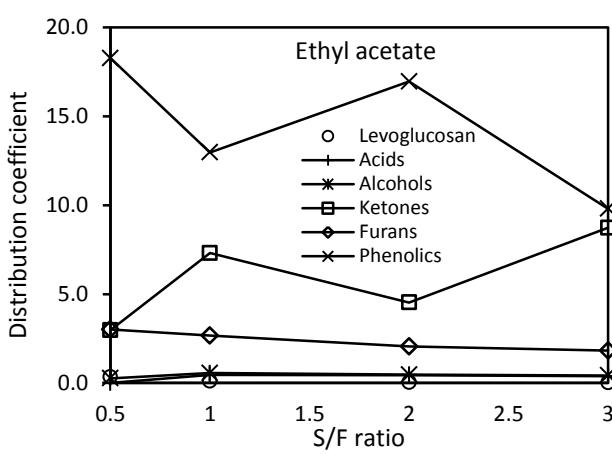
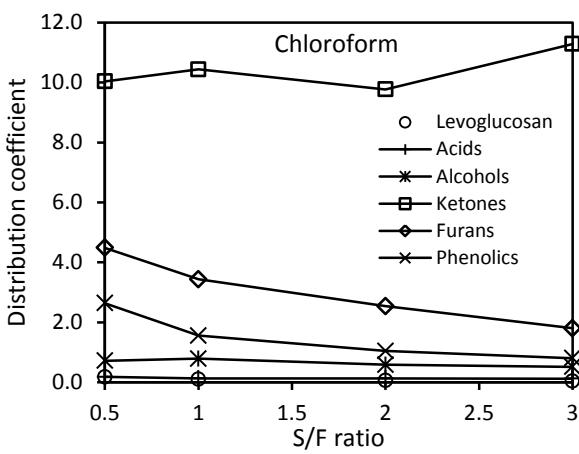
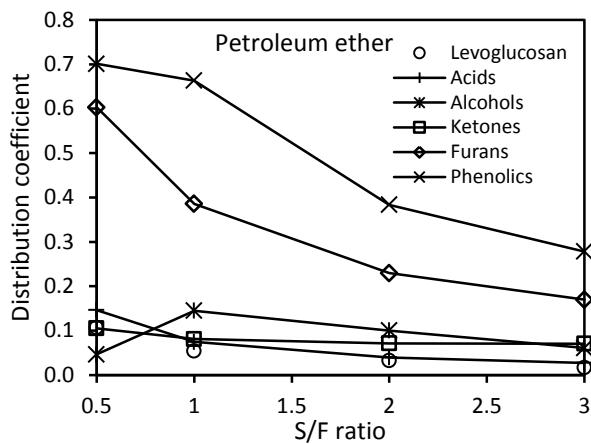
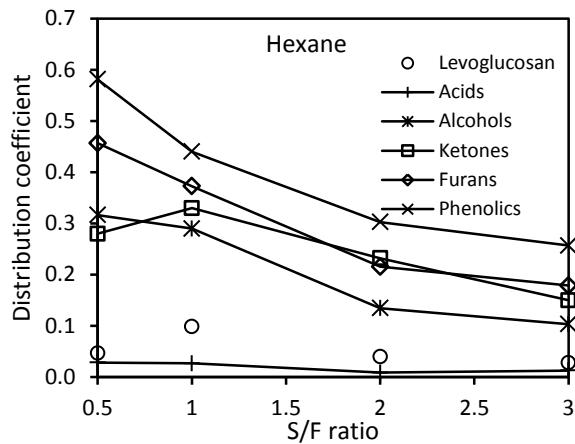
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614 **Figure 6.** Extraction efficiencies for phenolics in BOAP I by different solvents and S/F ratios



624 **Figure 7.** Distribution coefficients for chemical groups from BOAP I in different solvents.

625 Distribution coefficients reported are average numbers based on three replicates.

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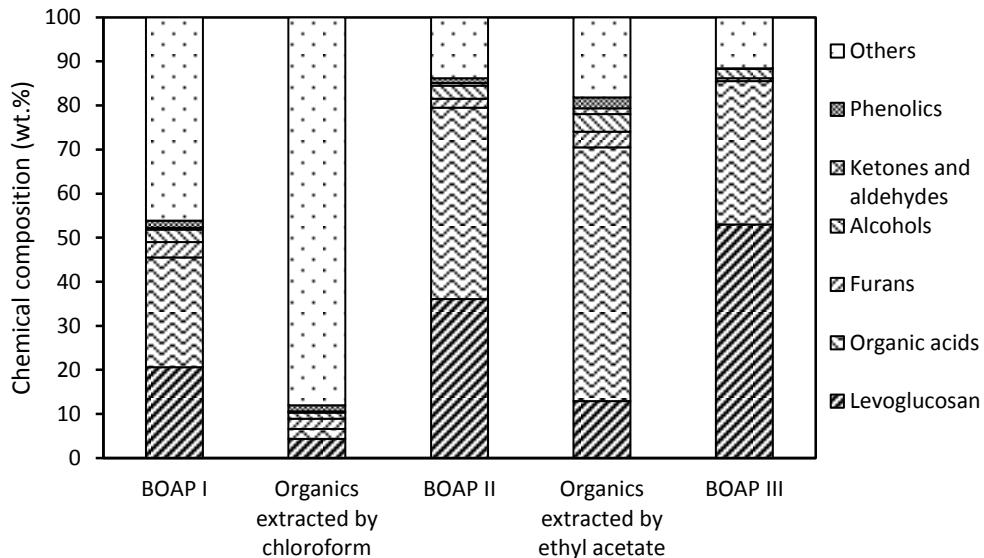
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635 **Figure 8.** Chemical compositions of aqueous phase before and after sequential extraction and the  
 636 extracted organics in solvents

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651 **Table 1.** Properties of crude bio-oil and BOAP I (Deionized water added at 4:1 by weight for  
652 BOAP I separation)

Properties	Crude bio-oil	BOAP I
Water content (wt%)	41.1±0.5	90.8±1.4
Total solid (wt%)	0.77±0.05	Not detected
pH value	2.4±0.1	2.6±0.1
Density (g/mL)	1.14±0.01	1.02±0.00
Ash (wt%)	0.09±0.01	0.02±0.01
Viscosity at 40 °C centistokes (cSt)	3.23±0.03	0.71±0.01
TAN, mg KOH/g	130.3±1.9	25.3±0.1

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**Table 2.** Concentrations of 15 compounds quantified in BOAP I, BOAP II (aqueous fraction produced post chloroform extraction), and BOAP III (aqueous fraction remaining after ethyl acetate extraction). Data reported are average numbers based on three replicates.

Classifications	Major compounds	Concentration in BOAP I (g/L)	Concentration in BOAP II (g/L)	Concentration in BOAP III (g/L)
Acids	Acetic acid	16.54	14.64	6.26
	Propionic acid	6.37	6.35	3.93
Anhydrosugars	Levoglucosan	18.99	17.46	16.62
Furans	Furfural	0.98	0.05	BDL
	2(5H)-Furanone	0.82	0.31	0.04
	Hydroxymethylfurfural	1.37	0.62	0.17
Alcohols	1-Hydroxy-2-butanone	2.25	1.17	0.51
	1,3-Propanediol	0.35	0.29	0.18
Ketones	3-Methyl-1,2-cyclopentanedione	0.40	0.27	BDL
Phenolics	1,2-Benzenediol	0.63	0.54	0.01
	Phenol	0.15	BDL	BDL
	Guaiacol	0.20	BDL	BDL
	Creosol	0.15	BDL	BDL
	2,6-Dimethoxyphenol	0.17	BDL	BDL
	3-Ethylphenol	0.20	BDL	BDL

686 BDL: The concentrations are below the detection limit.

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703**Table 3.** Extraction efficiency of different solvents for 15 quantified chemicals at the optimum conditions. Data reported are average numbers based on three replicates.

		Major compounds	Extraction efficiency (wt.%)			
			Hexane (2:1) <sup>a</sup>	Petroleum ether (2:1)	Chloroform (1:1)	Ethyl acetate (2:1)
Group 1	Furans	Furfural	56.8	43.9	98.1	97.3
		2(5H)-Furanone	47.3	59.4	65.8	93.1
		Hydroxymethylfurfural	1.8	5.9	69.6	60.8
Alcohols	1-Hydroxy-2-butanone	23.3	16.5	48.1	50.4	
	1,3-Propanediol	7.7	17.9	18.6	35.0	
Ketones	3-Methyl-1,2-cyclopentanedione	31.7	13.5	81.0	90.1	
	1,2-Benzenediol	5.1	12.6	21.1	96.7	
Phenolics	Phenol	9.1	32.6	79.8	100.0	
	3-Ethylphenol	73.5	68.5	71.8	90.3	
	Guaiacol	80.7	74.4	100.0	100.0	
	2,6-Dimethoxyphenol	36.1	51.2	100.0	100.0	
	Creosol	100.0	100.0	100.0	100.0	
Group 2	Acids	Acetic acid	1.3	9.0	14.0	55.0
		Propionic acid	0.4	1.2	3.9	23.3
Group 3	Anhydro-sugars	Levoglucosan	7.1	5.9	8.8	7.6

704 <sup>a</sup>: The optimum volume ratio of solvent-to-feed

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