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1       **A new vertical grid nesting capability in the Weather Research and**  
2                                   **Forecasting (WRF) model**

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## ABSTRACT

10 Mesoscale atmospheric models are increasingly used for high resolution (<  
11 3 km) simulations to better resolve smaller-scale flow details. Increased reso-  
12 lution is achieved using mesh refinement via grid nesting, a procedure where  
13 multiple computational domains are integrated either concurrently or in se-  
14 ries. A constraint in the concurrent nesting framework offered by the Weather  
15 Research and Forecasting (WRF) model, is that mesh refinement is restricted  
16 to the horizontal dimensions. This limitation prevents control of the grid as-  
17 pect ratio, leading to numerical errors due to poor grid quality and preventing  
18 grid optimization. Herein, a procedure permitting vertical nesting for con-  
19 current simulation is developed and validated through idealized cases. The  
20 benefits of vertical nesting are demonstrated using both mesoscale and large-  
21 eddy simulations (LES). Mesoscale simulations of the Terrain-Induced Rotor  
22 Experiment (T-REX) show that vertical grid nesting can alleviate numerical  
23 errors due to large aspect ratios on coarse grids, while allowing for higher  
24 vertical resolution on fine grids. Furthermore, the coarsening of the parent  
25 domain does not result in a significant loss of accuracy on the nested domain.  
26 LES of neutral boundary-layer flow shows that, by permitting optimal grid  
27 aspect ratios on both parent and nested domains, use of vertical nesting yields  
28 improved agreement with the theoretical logarithmic velocity profile on both  
29 domains. Vertical grid nesting in WRF opens the path forward for multiscale  
30 simulations, allowing more accurate simulations spanning a wider range of  
31 scales than previously possible.

## 32 1. Introduction

33 Advances in high-performance computing have made multiscale atmospheric simulations possi-  
34 ble, covering scales ranging from global to large-eddy simulations (LES). The Weather Research  
35 and Forecasting (WRF) model represents one such multiscale simulation framework including ef-  
36 ficient parallel computing routines, a suite of physical process parameterizations appropriate for a  
37 broad range of scales, and dynamic downscaling capabilities enabled via concurrent grid nesting,  
38 a procedure in which multiple computational domains of increasing resolution are integrated si-  
39 multaneously. With grid nesting, information from the coarse “parent” domain is interpolated and  
40 provided as lateral boundary conditions to the fine “child” domain (referred to as one-way nest-  
41 ing). Two-way nesting additionally aggregates information from the fine domain, and feeds the  
42 information back onto the coarse domain. While WRF has one and two-way concurrent grid nest-  
43 ing capabilities, resolution may only be increased in the horizontal dimension, with all domains  
44 using a common vertical grid.

45 It is well known in computational fluid mechanics that grid quality affects the accuracy of nu-  
46 merical solutions (Lee and Tsuei 1992; You et al. 2006), meaning that the lack of flexible gridding  
47 in WRF constrains the model’s ability to produce high-quality multiscale simulations. When as-  
48 sessing grid quality, properties such as aspect ratio, orthogonality of coordinate surfaces, and cell  
49 volume are commonly considered. Mesoscale models generally use terrain-following coordinates  
50 where the vertical grid lines are aligned with the gravity vector, with large aspect ratios ( $\Delta x/\Delta z$ )  
51 near the surface. As multiscale simulations are increasingly used, the high vertical resolution de-  
52 sired on the finest domain yields extremely large aspect ratios on coarser domains. Additionally,  
53 a high degree of non-orthogonality (skewness) is introduced in the vicinity of steep terrain slopes.  
54 Both grid skewness and aspect ratio have been shown to contribute to numerical errors in atmo-

spheric simulations using the WRF model (Lundquist et al. 2008, 2010; Mirocha et al. 2013), therefore, it is desirable to reduce these numerical errors by optimizing the grid independently for each domain.

Terrain-following coordinates used by most mesoscale models can be a large source of error for simulations over complex terrain. With terrain-following coordinates, a coordinate transformation is introduced which maps a domain with an irregular lower boundary onto a rectangular grid. While this simplifies the application of lower boundary conditions, it also introduces additional numerical errors (Gal-Chen and Somerville 1975). Inaccuracies from the coordinate transformation are present in each spatially discretized term of the governing equations, and arise from truncation errors due to the coordinate transformation (including grid stretching and skewness) as well as the numerical calculations of the additional metric terms. Numerical errors have been noted in the calculation of the horizontal pressure gradient (Janjic 1977, 1989; Klemp 2011; Zängl 2012), diffusion (Zängl 2003; Zängl et al. 2004), and horizontal advection (Schär et al. 2002) terms in the presence of sloping coordinate surfaces and steep topography.

Mahrer (1984) notes that numerical errors in the calculation of horizontal derivatives occur when large grid aspect ratios are used with sloping coordinates, and states that the minimum vertical grid spacing must be larger than the elevation difference over a grid cell. Mahrer explains that when this condition is not satisfied, accurate horizontal derivatives cannot be calculated based on a traditional numerical stencil using adjacent nodes, and suggests alternative (larger) stencils for the calculation which would increase accuracy. Most mesoscale models, including WRF, do not use the alternative stencil. Figure 1 illustrates the skewness of computational cells as a function of terrain slope and grid aspect ratio. The grey area on this plot indicates the parameter space which violates Mahrer's condition that the vertical grid spacing be larger than the elevation change over the horizontal span of the cell. For illustrative purposes, aspect ratios of 1/2 to 2 are used in this

79 figure, however, a mesoscale model would normally use much larger aspect ratios. For example, a  
80 horizontal resolution of 3 km and a vertical resolution of 30 m yields an aspect ratio of 100, and a  
81 terrain slope of just 0.6 degrees would violate the condition.

82 Grid aspect ratio has additionally been shown to affect the accuracy of LES results over flat  
83 terrain. Mirocha et al. (2010, 2013) conducted LES of a neutral boundary layer using WRF, and  
84 found that the near-surface grid aspect ratio impacted WRF's ability to accurately reproduce the  
85 theoretical logarithmic velocity profile. Nested simulations were constrained by the lack of vertical  
86 grid nesting, so that the optimal aspect ratio could be used on either the parent or the child domain,  
87 leading to errors on either the parent or the nested domain. Mirocha et al. (2013) hypothesized  
88 that vertical grid nesting would improve WRF's agreement with similarity theory by allowing the  
89 optimal grid aspect ratio to be used on each domain.

90 Many authors have investigated WRF as a multiscale modeling tool (Talbot et al. 2012; Munoz-  
91 Esparza et al. 2014; Marjanovic et al. 2014; Mirocha et al. 2014), which could span from meso-  
92 to LES scales, however, this is cumbersome without vertical grid nesting. For example, Lundquist  
93 et al. (2012) used the WRF model with 2 m horizontal and 1 m near-surface vertical resolution  
94 for LES over Oklahoma City. Vertical resolution on the order of 1 m generally requires the use of  
95 idealized lateral boundary conditions, as it is impractical to use the high-resolution vertical grid  
96 on all nested domains. Only with vertical nesting does it become practical to perform multiscale  
97 simulations with high-resolution nested domains receiving realistic lateral boundary conditions  
98 through nesting from mesoscale simulations and meteorological reanalysis data.

99 A stand-alone program called *ndown* processes WRF simulation output and writes initial and  
100 boundary condition files to allow for grid nesting of domains integrated in series. This program al-  
101 lows for both increased horizontal and vertical resolution by including interpolation routines for all  
102 dimensions, and provides linear interpolation between output times as is done in WRF when forc-

ing from an external file. The vertical interpolation routines in *ndown* use a high-order monotonic interpolant, and were developed in Moustauoui et al. (2009), where use of vertical nesting improved agreement with observations for simulations near Lompoc, California. Mahalov and Moustauoui (2009), Moustauoui et al. (2010) and Mahalov et al. (2011) coupled WRF to a microscale model using a procedure similar to that in *ndown*, and found increased vertical resolution was critical to resolving small-scale processes associated with regions of high shear and strong stratification created by mountain lee waves in the Terrain-Induced Rotor Experiment (T-REX). Vertical grid refinement using *ndown* within the WRF model was used in Shaffer et al. (2015), and showed an improvement in WRF’s ability to predict the near-surface structure of temperature inversions and low-level jet-like features through comparisons to ground-based observations of temperature and wind speed.

Our vertical nesting method follows from the work of Moustauoui et al. (2009); it utilizes the vertical interpolation method included in *ndown*, but has been integrated into the WRF framework for concurrent simulation, which has several advantages. First, when *ndown* is used, a zero gradient boundary condition is applied to vertical velocity, rather than passing vertical velocity between domains. Second, lateral boundary condition updates are limited to the frequency of output on the parent domain, with linear interpolation applied between output times. Both of these limitations make the use of *ndown* inappropriate for high-resolution simulations and simulations over complex terrain, where high frequency information and vertical velocity are critical. This is especially true in LES, where it is necessary to pass information on the timescale of turbulent fluctuations between domains. Mahalov and Moustauoui (2009) investigated the treatment of vertical velocity at lateral nested boundaries in their microscale model; they showed reduced errors when passing vertical velocity, as opposed to applying a zero gradient boundary condition, and also noted that a large savings in computational resources would be achieved if the frequent data input/output



127 required was eliminated. Michioka and Chow (2008) found that more frequent lateral updates im-  
128 proved predictions of passive scalar concentration fields when compared to observations of a tracer  
129 gas at Mount Tsukuba, Japan. Our method addresses these limitations by passing boundary data to  
130 nested domains, including vertical velocity, at each time step, while avoiding computational over-  
131 head from writing frequent output files, and facilitating the use of multiple nests. An additional  
132 benefit is that while *ndown* requires vertical resolution be increased with integer refinement (i.e.  
133 every  $N^{th}$  grid level is aligned), our method permits levels to be specified independently on each  
134 domain, allowing additional control of the grid.

135 This work details the implementation, validation, and use of our new one-way vertical grid  
136 nesting capability, which enhances WRF’s ability as a multiscale solver. Work presented here  
137 uses a modified version of WRFv3.6.1, however, vertical nesting is planned for inclusion in the  
138 WRFv3.8.0 release. Details of the WRF solver and the vertical nesting implementation are given  
139 in section 2. Vertical nesting is then used in three types of simulations: idealized, mesoscale, and  
140 large-eddy. The idealized simulations in section 3 validate our implementation of vertical nesting  
141 and allow for quantification of errors associated with the nesting procedure. Mesoscale simulations  
142 with vertical nesting are presented in section 4 for the T-REX field campaign and comparisons are  
143 made to observations. These simulations demonstrate the use of vertical grid nesting in mesoscale  
144 mode with a full suite of atmospheric physics. In section 5, LES of a neutral boundary layer is  
145 performed to investigate the effects of vertical nesting and grid aspect ratio on WRF’s ability to  
146 reproduce the theoretical logarithmic velocity profile. Finally, conclusions and further work are  
147 discussed in section 6.

## 2. Implementation of vertical nesting in the WRF solver

### a. Governing equations and treatment at lateral boundaries

WRF is a conservative finite-difference model that solves the non-hydrostatic compressible Navier-Stokes equations (Skamarock et al. 2008). Model equations are cast in an isobaric terrain-following coordinate  $\eta$ , which is defined as  $\eta = (p_{hs} - p_{hs\_top})/\mu_d$ , where  $p_{hs}$  is the dry hydrostatic pressure and  $\mu_d(x, y) = p_{hs\_surface} - p_{hs\_top}$  is the dry column mass of fluid per unit area. In WRF, the moist Euler equations are transformed into the isobaric terrain-following coordinate, while additional terms such as Coriolis, diffusion, and parameterized physics (represented by  $F$ ) are computed in physical space. A velocity  $\hat{\eta}$ , defined as the contravariant velocity of the vertical coordinate, is introduced in the coordinate transformation, necessitating the solution of an additional equation. Perturbation variables are introduced to reduce numerical errors, and are defined as the deviation from a time invariant hydrostatically balanced reference state. Pressure  $p$ , inverse density  $\alpha$ , geopotential  $\phi = gz$ , and dry column mass  $\mu_d$  are cast as mean and perturbation values as  $\phi = \bar{\phi} + \phi'$ , where  $\phi$  represents a generic variable and the overbar indicates the hydrostatic base state. The subscripts  $d$  and  $m$  represent dry and moist variable states. The transformed equations for conservation of mass and momentum are given in equation (1).

$$\partial_t \mu_d' + \nabla_\eta \cdot (\mu_d \mathbf{V}) = 0 \quad (1a)$$

$$\begin{aligned} \partial_t (\mu_d \mathbf{V}_H) + \nabla_\eta \cdot (\mu_d \mathbf{V}_H \otimes \mathbf{V}) + \mu_d (\alpha_m \nabla_\eta p' + \alpha_m' \nabla_\eta \bar{p}) \\ + \frac{\alpha_m}{\alpha_d} (\mu_d \nabla_\eta \phi' + (\nabla_\eta \phi) (\partial_\eta p' - \mu_d')) = \mathbf{F} \end{aligned} \quad (1b)$$

$$\partial_t (\mu_d w) + \nabla_\eta \cdot (\mu_d \mathbf{V} w) - g \left( \frac{\alpha_m}{\alpha_d} \partial_\eta p' + \frac{\alpha_m - \alpha_d}{\alpha_d} \bar{\mu}_d - \mu_d' \right) = F \quad (1c)$$

$$\partial_t \phi' + \mathbf{V} \cdot \nabla_\eta \phi - gw = 0 \quad (1d)$$

164 In the above equations the velocity vector is  $\mathbf{V} = (u, v, \dot{\eta})$ ,  $\mathbf{V}_H$  includes only the horizontal ve-  
 165 locity components  $u$  and  $v$ , and  $\nabla_\eta = (\partial_x, \partial_y, \partial_\eta)$  operates on coordinate surfaces. Furthermore, a  
 166 conservation equation (2) is solved for each additional scalar quantity, such as potential tempera-  
 167 ture  $\theta$ , water vapor  $q_v$ , and passive scalars.

$$\partial_t(\mu_d \phi) + \nabla_\eta \cdot (\mu_d \mathbf{V} \phi) = F_\phi \quad (2)$$

168 In addition to solving the prognostic equations above, diagnostic relationships are used to solve  
 169 for thermodynamic variables. The hydrostatic relationship is used to diagnose perturbations to the  
 170 dry inverse density  $\alpha'_d$ , which in the transformed coordinate is given as

$$\alpha'_d = (1/\mu_d)(\partial_\eta \phi' - \mu'_d \bar{\alpha}_d). \quad (3)$$

171 Pressure is diagnosed from the equation of state below, where  $\gamma_d$  is the ratio of heat capacities of  
 172 dry air  $C_p/C_v$ ,  $p_o$  is a reference pressure, and  $R_d$  is the universal gas constant.

$$p = p_o \left( \frac{R_d \theta}{p_o \alpha_d} \right)^{\gamma_d} \quad (4)$$

173 Several options for lateral boundary conditions exist in the WRF model, of which the ‘specified’  
 174 and ‘nested’ options are relevant here. Specified boundary conditions are used when boundary  
 175 conditions are being supplied by an external forcing file, such as from a forecast, analysis, or  
 176 the program *ndown*. With specified boundary conditions, variables are temporally interpolated  
 177 between times in the external forcing file, which are often an hour or more apart. Nested boundary  
 178 conditions are used on the child domain when multiple domains are integrated simultaneously,  
 179 and are the option for which we have developed vertical grid nesting. In this case, variables  
 180 are passed from the parent domain to the child domain, and are temporally interpolated over the  
 181 parent time step, which is generally on the timescale of seconds. Specified boundary conditions  
 182 apply to  $\mathbf{V}_H$ ,  $\theta$ ,  $\phi'$ ,  $\mu'_d$ , and  $q_v$ . Nested boundary conditions apply to each variable for which a

183 prognostic equation is solved (Equations 1 and 2), which additionally includes vertical velocity  
184 and other scalars ranging from microphysical variables (i.e. moisture constituents such as ice)  
185 to passive tracers. Specified and nested boundary conditions utilize a ‘specified’ zone, where  
186 the variable values are directly imposed, and a ‘relaxation’ zone, which uses a forcing term to  
187 nudge the solution on the child domain towards the boundary condition value. The width of these  
188 zones is run-time configurable; here, the default width of one point for the specified zone and four  
189 additional points for the relaxation zone is used.

#### 190 *b. Vertical interpolation algorithm*

191 The interpolation algorithm used here follows the implementation in *ndown* (Moustaoui et al.  
192 2009), but has been integrated into the WRF framework for one-way concurrent nesting. The  
193 interpolation employs an intermediate vertical coordinate based on log-pressure height, which  
194 yields more accurate results than direct use of the  $\eta$  coordinate, according to Moustaoui et al.  
195 (2009). A cubic monotonic polynomial described in Steffen (1990) is used, in which a piecewise  
196 polynomial is constructed which satisfies the variable value and derivative at given interpolation  
197 points (Hermite-type interpolation). One known problem of cubic polynomials is producing over-  
198 shoot/undershoot in interpolation regions between given values. Steffen (1990) solves this prob-  
199 lem by adjusting the derivative at interpolation points, if the resulting polynomial will produce  
200 local extrema. This method is appropriate for arbitrary data sets, and behaves monotonically on  
201 each data interval, by not permitting minima/maxima to occur between known data points. Fields  
202 defined at half levels require extrapolation to the model top and surface of the coarse domain, as  
203 the new fine grid levels may lie in this region. This is accomplished using the standard Lagrange  
204 polynomial, which WRF uses elsewhere for the purpose of extrapolating variables on half-levels  
205 to the model top and surface.

206 The interpolation in *ndown* requires integer refinement, which requires that the number of ver-  
 207 tical levels follow Equation 5 where  $N_r$  and  $N_c$  are the number of levels on the refined and coarse  
 208 grids, and  $C_r$  is the integer refinement factor.

$$N_r = (N_c - 1)C_r + 1 \quad (5)$$

209 Integer refinement can be used in the vertical nesting implementation developed here, however,  
 210 additional capability is developed here to use independent  $\eta$  levels on each domain. Independent  
 211 vertical grid levels may be set by specifying  $\eta$  levels for each domain in the namelist, or using  
 212 default  $\eta$  levels as calculated by WRF. When more than two domains are used, the user must  
 213 choose either integer refinement or independent  $\eta$  levels, and cannot use a mix of the two methods.  
 214 It is possible however, to vertically refine some domains, while other domains are not vertically  
 215 refined. Test cases presented here use two domains, and only basic testing has been completed for  
 216 setups with three or more domains.

### 217 *c. Integration of vertical interpolation into the WRF framework for one-way concurrent nesting*

218 When variables are interpolated from a parent to a child domain, data from the parent grid is first  
 219 passed to an intermediate grid, as part of the parallel communications procedure. The intermediate  
 220 grid has the vertical resolution of the child, but the horizontal resolution of the parent domain. The  
 221 vertical interpolation procedure is called for each column of data on the intermediate grid, and  
 222 then the data is horizontally interpolated onto the nest using the existing routines in WRF.

223 Our first implementation of vertical nesting followed the method used for concurrent simulations  
 224 with horizontal nesting, by interpolating the base state and perturbation values from the parent to  
 225 the child domain at instantiation of the nest, and then passing values at lateral boundaries for  
 226 all of the prognostic equations during integration. This procedure left the reference state for the

child domain out of hydrostatic balance, causing errors, as was also noted in Moustauoui et al. (2009), where reference fields were rebalanced to minimize the transients introduced by these errors. Moustauoui et al. (2009) additionally recalculated  $\phi'$  from the coordinate definition, which is followed here. Therefore as part of the vertical grid nesting implementation, rebalancing routines are added to the nest instantiation procedure, and during integration, values for the prognostic variable  $\phi'$  (Equation 1d) are recalculated at lateral boundaries, rather than passed directly from the parent to the child domain. The additional routines added for initialization and integration recalculate the reference state, as well as  $\phi'$  on the vertically nested grid using the set of Equations 6a - 6d, which are also the equations used at initialization in the WRF model (see Skamarock et al. (2008) for details).

$$\partial_{\eta} p' = \mu'_d (1 + \bar{q}_v^{\eta}) + \bar{q}_v^{\eta} \bar{\mu}_d \quad (6a)$$

$$\alpha_d = \frac{R_d}{p_o} \theta \left( 1 + \frac{R_v}{R_d} q_v \right) \left( \frac{p'_d + \bar{p}_d}{p_o} \right)^{-C_v/C_p} \quad (6b)$$

$$\alpha'_d = \alpha_d - \bar{\alpha}_d \quad (6c)$$

$$\partial_{\eta} \phi' = -\mu_d \alpha'_d - \mu'_d \bar{\alpha}_d \quad (6d)$$

Interpolated values of  $\mu'_d$ ,  $q_v$  and  $\theta$  from the parent domain are used in Equation 6 to calculate the value of  $\phi'$  on the vertically nested grid. An alternative formulation of equation 6d based on the hypsometric equation can also be used in WRF, and may be used with vertical nesting as well.

#### d. Activation of vertical nesting

Vertical grid nesting is planned for inclusion in WRF v3.8.0 for real cases and the ideal LES case. A namelist variable, *vert\_refine\_method*, activates vertical nesting and determines which vertical refinement method to use. The default value of 0 indicates no vertical nesting, 1 is used for integer refinement, and 2 is used for arbitrary  $\eta$  levels. If option 2 is selected, the user may

specify  $\eta$  levels in the namelist for each domain using *eta\_levels*. If  $\eta$  levels are not specified with option 2, default WRF levels will be calculated. A sample namelist for three domains is shown in Table 1, where vertical levels are set arbitrarily for each domain. The variable *e\_vert* should be set independently for each domain, and feedback (i.e. two-way nesting) must be turned off. If setting arbitrary  $\eta$  levels, the variable *eta\_levels* must be a concatenated vector of values ranging from 1 to 0 for each domain. If integer refinement is used, then *e\_vert* should be set so that the number of levels results in the desired nesting ratio according to Equation 5, and *vert\_refine\_method* should be set to 1.

#### *e. Atmospheric physics*

The vertical nesting code was tested with a variety of atmospheric physics parameterizations. Our vertical nesting modifications were found to be compatible with most of the parameterizations without modification. Parameterizations that have been successfully used with vertical nesting without modification include the Yonsei University (YSU) and Mellor-Yamada Nakanishi and Niino Level 2.5 (MYNN2) planetary boundary layer, thermal diffusion and Noah land-surface, WRF Single-Moment 3 and 5-class microphysics, Dudhia and Goddard shortwave radiation, slope dependent radiation, topographic shading, Kain-Fritsch cumulus, and Smagorinsky LES schemes (see Skamarock et al. (2008) for references). Initially, the Rapid Radiative Transfer Model (RRTM), Community Atmosphere Model (CAM), and RRTM for General Circulation Models, GCMs (RRTMG) longwave radiation schemes all failed, as they were written with the expectation that concurrently run domains use a common number of vertical grid levels. This limitation is removed from the RRTM scheme by recalculating the number of levels for the scheme, i.e. by passing the number of WRF levels for each domain to RRTM, and adding required supple-

267 mental levels for integration to 0 mb. Also, calculation of the number of levels for RRTM is done  
268 at each call to the scheme, rather than once at initialization.

### 269 **3. Idealized simulations**

#### 270 *a. Model setup*

271 Idealized nested simulations were carried out over flat terrain to validate the newly implemented  
272 vertical nesting method, and quantify errors associated with nesting. The two test cases included  
273 here use a two domain setup with periodic lateral boundary conditions on the parent domain, and  
274 nested boundary conditions on the child domain. Common soundings of potential temperature and  
275 moisture are used for initialization of both cases, however, velocity differs. For all cases, initial  
276 conditions are horizontally homogeneous. The first test case is initialized as quiescent and the  
277 simulation includes no forcing terms, so that velocities should remain zero and errors are easier to  
278 observe. We will refer to this case as the ‘quiescent case’. The second test case is initialized with  
279 a constant velocity profile of  $U = 10 \text{ m s}^{-1}$  and includes forcing through a horizontal pressure  
280 gradient and surface drag. This case allows for validation with the additional complexity of flow  
281 through the lateral boundaries at the parent-child interface, and is referred to as the ‘forced case’.  
282 These cases were chosen to validate our modified nest instantiation and treatment of geopotential,  
283 assess the method’s ability to accurately interpolate vertical profiles, and examine the effects of  
284 surface forcing where the height of the first grid point, and therefore the reference height used in  
285 the similarity theory applied at the first grid level, is discontinuous across the nest interface.

286 Three grid nesting scenarios which differ only in the vertical grid are presented for each case,  
287 shown in Figure 2, which we label: ‘Vert. Coarse’, ‘Vert. Nested’, and ‘Vert. Fine’. Grid param-  
288 eters are summarized in Table 2. All three grid setups use the same horizontal grid, with  $\Delta x = 99 \text{ m}$



289 on the parent domain (d01) and  $\Delta x = 33$  m on the child domain (d02). Vert. Coarse and Vert. Fine  
 290 are control cases which do not use vertical grid nesting, and have 40 and 118 vertical levels, respec-  
 291 tively. Vert. Nested has coarse vertical resolution on d01 (40 levels), and fine vertical resolution  
 292 on d02 (118 levels). Integer refinement is used in the vertical, so that collocated points exist on  
 293 the coarse and fine grids, enabling direct comparisons between simulations. The number of verti-  
 294 cal levels was chosen to satisfy Equation 5 with  $N_r = 118$ ,  $N_c = 40$ , and refinement ratio  $C_r = 3$ .  
 295 The coarse grid has  $\Delta z^1 = 47.3$  m for the first full grid level, and the fine grid has  $\Delta z^1 = 15.8$  m.  
 296 Superscript 1 denotes the first grid point above the surface here and throughout. The top of the  
 297 domain is 4000 m, to allow for a realistic scale for the vertical profiles of potential temperature  
 298 and moisture, assuming a boundary layer height of  $\sim 1000$  m.

299 Test cases are initialized with the potential temperature ( $\theta$ ) and specific humidity ( $q_v$ ) profiles  
 300 shown in Figure 3 at  $t = 0$ . Potential temperature is neutral to 1000 m, and then stable above with  
 301 a lapse rate of  $10 \text{ K km}^{-1}$ . Similarly, specific humidity is held constant at  $5 \text{ g kg}^{-1}$  for the first  
 302 1000 m, then decreases linearly over 500 m to a value of  $0.05 \text{ g kg}^{-1}$  and is held constant at that  
 303 value to the domain top. These soundings of temperature and moisture were chosen, in part, for  
 304 the profiles they yielded in geopotential ( $\phi$ , determined by Equation 6d), which is additionally  
 305 shown in Figure 3. In contrast, using a neutral temperature profile in a dry atmosphere leads to  
 306 zero perturbation geopotential, and therefore did not test our treatment of this variable. In tests  
 307 performed with a neutral, dry atmosphere, errors were so small that they were indistinguishable  
 308 from errors in simulations with horizontal nesting alone. The cases shown here challenge the  
 309 vertical interpolant as they include sharp vertical gradients, which are inherently represented less  
 310 accurately on the coarse vertical grid than the fine, creating a discontinuity across the nest interface.  
 311 The aim of these tests is to demonstrate that our vertical nesting implementation is accurate and

errors introduced at the interface are sufficiently small (i.e. are of the same magnitude as errors introduced by horizontal interpolation alone).

Simulations are run for a total of 48 hours with a time step of 1 second on the parent and 0.3 seconds on the nested domain. This lengthy simulation run time is chosen to rigorously quantify the error growth within the nested domains. All simulations are carried out with a constant eddy viscosity of  $\nu_t = 1 \text{ m}^2 \text{ s}^{-1}$ , which contributes to the highly idealized nature of this simulation, and avoids the added complication of allowing the eddy viscosity to change discontinuously across the nest interface. A Rayleigh damping layer is used in the top 500 m of the domain which damps toward the initial sounding. The surface roughness coefficient is specified as  $z_0 = 0.1 \text{ m}$ , and a standard parameterization of the surface stresses  $\tau_{i3}^s$ ,  $i = 1, 2$  is used, according to the following relation:

$$\tau_{i3}^s = -C_D W_{spd}^1 u_i^1 \quad (7)$$

where drag coefficient  $C_D = \kappa^2 \{\ln[z^1/z_0]\}^{-2}$ ,  $\kappa = 0.4$ ,  $W_{spd}^1$  is the horizontal wind speed, and  $u_i^1$  is a component of the horizontal wind vector.

## *b. Results and discussion*

Profile comparisons for  $\theta$ ,  $q_v$ ,  $U$ , and  $\phi'$  from the quiescent case result in the same conclusions as profile comparisons for the forced case. We therefore present and discuss only profiles from the forced case here, so that the development of the forced  $U$  profile can be examined. Profiles of  $\theta$ ,  $q_v$ ,  $U$ , and  $\phi'$  are shown at initialization and after 24 and 48 hours of simulation at the center of the nested domain for the forced test case in Figure 3. Differences between Vert. Coarse, Vert. Nested, and Vert. Fine are nearly imperceptible, indicating that the vertical nesting implementation is correct. With further investigation, however, the effects of vertical nesting become evident. Figure 4 shows  $\theta$ ,  $q_v$ ,  $U$ , and  $p$  in the specified zone of d02, along with the collocated profile from d01 for

all three sets of simulations at initialization and after 1 hour. These profiles focus on regions of strong gradients to show how the solution differs between Vert. Coarse and Vert. Fine, and also how the interpolator represents the vertical profile on Vert. Nested. It can clearly be seen in profiles of  $\theta$  and  $q_v$  that the Hermite-type polynomial matches the value and slope at given points, and behaves monotonically on interpolation intervals. Profiles of  $U$  show that variables defined on half levels are extrapolated onto lower points on the fine grid. Note that while  $\theta$ ,  $q_v$ , and  $U$  are interpolated from d01 to d02 at initialization and during integration, pressure is determined from a diagnostic relationship, and therefore does not match exactly at collocated points. Also for the vertically nested case, geopotential is diagnosed from the interpolated variables on d02, rather than being interpolated from the coarse domain as in the cases without vertical nesting. We have not included profiles of geopotential in Figure 4 because differences between solutions on the three vertical grids are nearly imperceptible given the mild gradient of this variable.

Next, errors arising from nesting are quantified for the quiescent case, for which in a perfect simulation with no numerical errors, velocities would remain zero. In this case,  $U$  and  $V$  remain identically zero, and vertical velocity component  $W$  is small throughout d01. While the solution on d01 remains constant, errors arising from both horizontal and vertical nesting appear on d02. Due to the different grid resolution on d01 and d02, the solutions evolve in slightly different ways. This creates small horizontal gradients between the specified zone where the solution is given by d01, and the interior of d02 where the governing equations are solved on the finer grid. Flows result from these differences, and are quantified in Figure 5, which shows the maximum value of each velocity component within the nested domain as a function of time. Errors due to horizontal grid nesting are seen in all three simulations; additional errors induced by the vertical nesting procedure are included in the maximum error for Vert. Nested. Peak maximum error values of  $0.008 \text{ m s}^{-1}$  in the horizontal components of velocity occur in Vert. Nested 30 minutes after initialization,

and in  $W$  ( $0.011 \text{ m s}^{-1}$ ) 1 hour after initialization. The velocity fields subsequently adjust to the vertical nest configuration, likely as the sharp vertical gradients become smoother, but small errors ( $\sim 5 \times 10^{-3} \text{ m s}^{-1}$ ) continue to be observed over the course of 48 hours. Although errors in  $U$  and  $V$  in Vert. Nested are roughly twice those of the simulations without vertical nesting, these errors are still small in magnitude, and do not grow in time. Vertical nesting errors are approximately the same order of magnitude of those introduced by horizontal nesting.

Contours of velocity components for the quiescent case are shown in Figure 6 at 1 hour after initialization, corresponding to the peak errors in  $W$  observed in Figure 5. The maximum errors indicated in the time series in Figure 5 do not appear in Figure 6, because these values occurred near the corners of the domain, in the relaxation zone where nudging to boundary values from two intersecting lateral boundaries is effectively added together. Small horizontal gradients between the specified zone (along the lateral boundaries) and the interior of d02 cause weak flows, which are strongest at the edges of the relaxation zone, near the height with the greatest change in vertical gradients ( $\sim 1000 \text{ m}$ ), evident in  $U$  and  $W$  (panels b and h). These errors appear just after initialization and move downward to the surface over the course of the simulation. Contours of  $V$  (panels d, e, and f) show errors an order of magnitude smaller than those in  $U$  and  $W$ , and largest in the simulation with the coarse vertical grid. Contours of  $V$  in a  $y$ - $z$  slice would appear with errors of the same magnitude as those shown here for  $U$  in the  $x$ - $z$  slice. Horizontal “stripes” can be seen in  $U$  above 1500 m in all simulations. This numerical error is a result of the ‘ideal’ initialization procedure in WRF, which calculates inverse density and pressure via iteration of a set of coupled equations. Following iteration, inverse density is visibly not converged between the vertical intervals of the input sounding. This lack of convergence yields a jagged “shark tooth” pattern that affects the model solution throughout integration.

Figure 7 shows velocity contours as appearing in Figure 6, but at the end of the simulation period (48 hours). At this time, errors are closer in value between the three grid configurations, and remain small. The largest errors in the vertically nested case are seen near the surface, just inside of the relaxation zone. Errors at this location are also present in the cases without vertical nesting, but to a lesser degree. Here, again, the solution is equilibrating between the specified zone, and the domain interior where the prognostic equations are solved.

The forced case has the added complication of flow through the lateral boundaries, and the application of a surface drag model, resulting in errors near the surface at the inflow and outflow boundaries on d02. As seen in Figure 3, the differences between the three setups are almost imperceptible at the center of the domain, and this is also the case throughout the domain for the duration of the simulation. Figure 8 shows an x-transect of  $U$  and  $W$  at the center of d02 at the end of 48 hours. These values are taken from the first collocated point above the surface (for  $U$   $k=1$  for Vert. Coarse and  $k=2$  for Vert. Nested and Vert. Fine, for  $W$   $k=2$  for Vert. Coarse and  $k=4$  for Vert. Nested and Vert. Fine). The Vert. Coarse  $U$  value is slower than Vert. Fine, which also results in a slower velocity at the first grid point of Vert. Nested after extrapolation down from the coarse grid.  $U$  in Vert. Nested enters the domain with the value of the coarse solution, and though it increases slightly due to the finer vertical discretization, it is forced back toward the coarse solution at the outflow boundary. Values of  $W$  are consistent with changes in  $U$  on Vert. Nested. The surface boundary condition for momentum (defined in Equation 7) experiences a discontinuity across the nest interface in Vert. Nested due to the change in reference height, however, effects of this discontinuity are not obvious with constant eddy viscosity. We will see in the discussion of section 5 that with the LES turbulence closure, in which the eddy viscosity depends on the scales being resolved, the effect of the discontinuity in  $\tau_{ij}$  on the velocity profile becomes pronounced.

Additional simulations not presented herein were carried out with different grids (e.g. domain top extended to 20 km, varying resolutions), physics parameterizations, initialization and surface boundary condition options (e.g. surface heating, different initial temperature, humidity, velocity profiles). With the results of the simulations presented in this section, along with additional tests, we conclude that the interpolation procedure is working properly and that errors due to vertical interpolation are within an acceptable range.

#### 4. Mesoscale simulations

The T-REX field campaign took place in Owens Valley, California, a region of complex, mountainous terrain, between the Sierra Nevada and Inyo mountain ranges, shown in Figure 9. The Intense Observation Periods (IOPs) of T-REX focused on observing mountain waves and rotors under strong synoptic forcing, while Enhanced Observation Periods (EOPs) focused on valley flows and boundary layer development under weak synoptic forcing. We selected T-REX for our case study because a large observational dataset, including high-resolution soundings, is available for model validation. Additionally, the complexity of the topography coupled with the strength of the synoptic winds during the IOPs, allow us to investigate model errors over complex terrain and the effects of vertical grid nesting.

##### *a. Model setup*

A set of mesoscale simulations are carried out corresponding to IOP6 (24-26 March 2006), as well as EOP4 and EOP5 (28-30 April 2006) of T-REX. These simulations use a two domain nested setup for four different vertical grid configurations, with details summarized in Table 3. For all configurations, horizontal resolution is  $\Delta x = 3$  km on d01 and  $\Delta x = 1$  km on d02, and the model top is approximately 20 km above sea level (asl). We employ the same terminology as in the

previous section, where ‘Vert. Coarse’ uses 40 vertical levels on both domains without vertical nesting, ‘Vert. Nested’ uses 40 levels on the outer domain and 120 levels on the inner domain, and ‘Vert. Fine’ uses 120 vertical levels on both domains (no vertical nesting). This relatively large increase in the number of vertical grid points was chosen to provide a greater challenge to the interpolation method, with additional motivation following the work of Mahalov and Moustou (2009), Moustou et al. (2010) and Mahalov et al. (2011), who reported improvement in comparisons of simulations to observations at even higher vertical grid resolutions. Additionally,  $n_z = 120$  allows a factor of 3 increase in resolution in both the horizontal and vertical directions for our simulations. Grid stretching is used in the vertical dimension, and when vertical nesting is used, the vertical levels are defined independently on each domain. Additional simulations are performed for EOP4/5 using the stand-alone program *ndown* with vertical refinement, so that comparisons may be made to simulations using concurrent vertical grid nesting. In the simulations using *ndown*, 40 vertical levels are used on the larger domain, and 118 vertical levels are used on the subsequently run finer domain. Because *ndown* is limited to integer refinement, 118 vertical levels had to be used, according to Equation 5. History is output at 15 minute intervals for all simulations, and these output files are processed to create the lateral forcing files for the *ndown* nested simulations.

Initial and boundary conditions are obtained from the North American Mesoscale (NAM) model at 12 km horizontal grid resolution every 6 hours. Simulations are run for an 11-hour spin-up period before the start of the sounding observation comparison period. The Mellor-Yamada Nakanishi and Niino (MYNN) Level 2.5 Planetary Boundary Layer (PBL) scheme is employed, with MM5 surface layer physics and the Noah Land Surface Model. The RRTM and Dudhia radiation schemes are used, with slope-dependent radiation and topographic shading effects included, on all domains. We employ the WRF single-moment 5-class microphysics scheme. Rayleigh damping

450 is applied in the top 5,000 meters of the domain, with a coefficient of  $0.003 \text{ s}^{-1}$ , damping toward  
451 the base state. References for these schemes can be found in Skamarock et al. (2008). A time step  
452 of 12 seconds is used on d01, and 3 seconds on d02.

## 453 *b. Results and discussion*

454 Four nested simulations are performed for the duration of EOP4 and 5: Vert. Coarse,  
455 Vert. Nested, Vert. Fine, and a simulation using *ndown*. Figure 10 shows vertical (x-z) slices  
456 of  $W$  at the middle of d02 for all four simulations. In general, the three concurrent simulations  
457 appear similar, with the Vert. Nested solution more closely resembling the Vert. Fine than the  
458 Vert. Coarse solution due to the increased vertical resolution. Most noticeable are the general  
459 differences in structure and magnitude between the concurrent simulations and the simulation pro-  
460 duced using *ndown*. The *ndown* solution shows lower vertical velocity magnitudes of the mountain  
461 waves coming off the Sierra crest, as well as locations where the flow direction is opposite that in  
462 our concurrent simulations, namely the top of the mountain crest between 60 and 70 km on the  
463 x-axis. At this location in the concurrent simulations, there is a small patch of positive  $W$  sur-  
464 rounded by negative or near zero values, while the *ndown* simulation has a wide capping region of  
465 relatively strong positive  $W$  values at the top of the ridge. This is likely due to the different lateral  
466 boundary conditions in the *ndown* simulations, as they are the only significant difference from the  
467 concurrent simulations.

468 These simulations are compared to observational soundings, with data for the comparison ex-  
469 tracted from the center of the slices shown in Figure 10, at 50 km, the center of the domain,  
470 corresponding to the sounding release location at Independence, California. Horizontal position  
471 data was not available from the EOP soundings taken during T-REX. A total of thirty sounding  
472 observation profiles from T-REX during EOP4 and EOP5 are compared to simulated profiles at



473 times within 15 minutes after the recorded sounding release time. In general comparisons of wind  
 474 speed and direction, potential temperature, and specific humidity were as expected, based on our  
 475 previous modeling experience with T-REX (Daniels et al. 2006, 2008; Schmidli et al. 2009). These  
 476 sounding comparisons, however, indicate that the increase in vertical resolution seems to provide  
 477 little advantage in accuracy for our setup. Figure 11 shows an example comparison from the 18:04  
 478 UTC sounding on 29 April 2006, where all simulations give similar results, with the exception  
 479 of wind direction below ridge crest height. There are exceptions where increased vertical resolu-  
 480 tion improved the comparisons to observations, such as where abrupt changes in wind direction  
 481 near ridge crest height are partially captured by both the Vert. Fine and Vert. Nested simulations,  
 482 and completely missed by Vert. Coarse (not shown). The *ndown* simulation frequently diverged  
 483 from the concurrent simulations. Wind direction is the variable with the highest variability be-  
 484 tween simulations and observations as well as in comparing the sets of simulations to each other,  
 485 which is not surprising given the complex terrain of the simulation domain. As observed in Fig-  
 486 ure 11, Vert. Nested simulations produced results similar to Vert. Fine, despite receiving boundary  
 487 conditions from a simulation with less vertical resolution.

488 Figure 12 shows height and time averaged bias and root mean squared error (RMSE), given by  
 489 Equations 8 and 9, for 28 soundings from EOP4/5 (2 of the 30 original soundings had too few  
 490 observation points to be included in the averages).

$$bias = \frac{1}{N} \sum_{n=1}^N X_{sim} - X_{obs} \quad (8)$$

$$RMSE = \sqrt{\frac{1}{N} \sum_{n=1}^N (X_{sim} - X_{obs})^2} \quad (9)$$

492 Here  $X_{sim}$  is the predicted variable from the simulation interpolated to the observed sounding  
 493 heights and  $X_{obs}$  is the observed variable from the sounding observations.  $N$  is the total number of  
 494 observations recorded for each sounding, and  $n$  is an index referring to a particular time and height

495 where the comparison between simulations and observations is made. Special consideration was  
496 given to wind direction to calculate bias as the smallest angle between two wind vectors. RMSE  
497 is root mean square error. Bias and RMSE are calculated over each sounding and then averaged  
498 over all viable soundings (28) taken during the observation period to produce the values shown  
499 in Figure 12. In general, all four simulations show similar values of both RMSE and bias in  
500 wind magnitude and direction, potential temperature, and specific humidity, and increased vertical  
501 resolution did not yield better comparisons with observations, though simulations using vertical  
502 nesting performed similarly to those on the fine grid.

503 This set of simulations demonstrates that our new method for concurrent vertical nesting is cor-  
504 rectly implemented and able to perform predictions similarly to WRF without vertical nesting  
505 or WRF using *ndown*. Although previous researchers have shown improved agreement with in-  
506 creased vertical resolution, this was not seen in the T-REX simulations here. One advantage to  
507 using vertical nesting in these mesoscale simulations was to decrease the vertical resolution on  
508 outer grids without loss of accuracy on inner grids with finer horizontal resolution. This results in  
509 computational savings, but may also be used to reduce numerical errors associated with poor grid  
510 quality in simulations over complex terrain. For example, in our simulations of IOP6, which had  
511 stronger winds than in EOP4/5, d01 of the Vert. Fine simulation exhibited numerical errors not  
512 seen in the Vert. Coarse and Vert. Nested simulations. While Vert. Coarse and Vert. Nested run  
513 to completion for IOP6 and compare reasonably well with sounding observations, the Vert. Fine  
514 simulation for IOP6 “blows up”, as shown in Figure 13 where  $U$ - $W$  vectors are overlaid with ver-  
515 tical slices of  $V$  contours and spurious velocity values are apparent. Decreasing the time step, even  
516 to 1 s, did not reliably allow the simulation to run to completion, however, *decreasing* the verti-  
517 cal resolution did allow the simulation to run with results similar to the sounding observations.  
518 Lundquist et al. (2008) and Lundquist et al. (2010) noted in their investigations of errors arising

519 from terrain-following coordinates in WRF, that although errors due to the terrain-following coor-  
520 dinate began directly above steep topography, where grid skewness is at a maximum, these errors  
521 continued to grow as they advected downstream of the steep topography. Their results may point  
522 to why we see large unphysical velocity values downstream of the Sierra ridgeline. Given that the  
523 Vert. Nested and Vert. Coarse simulations (both with smaller aspect ratios on the parent grid than  
524 Vert. Fine) run to completion while Vert. Fine becomes numerically unstable, our IOP6 simulation  
525 results could be an indication that the ability to control grid aspect ratio with vertical grid nesting,  
526 and thus improve grid quality over steep terrain, may not only allow greater numerical stability,  
527 but could also save computational resources by allowing fewer grid points and a larger time step.

528 Additional vertically nested mesoscale simulations, while not described in detail herein, were  
529 performed for different grids, locations and time periods, including the January 2000 snow storm  
530 and Hurricane Katrina test cases from the WRF tutorial, and standard testing for inclusion in  
531 the WRF distribution, including simulations over 5 summer and 5 winter days. All simulations  
532 showed expected model behavior, and good agreement was found between vertically nested and  
533 non-vertically-nested solutions on the inner domain(s) when the number of grid points was the  
534 same (equivalent to our Vert. Nested to Vert. Fine comparisons).

## 535 **5. Large-eddy simulations**

### 536 *a. Model setup*

537 LES of neutral boundary layer flow are performed with the goal of examining how grid aspect  
538 ratio affects model accuracy in achieving the theoretical solution of a mean logarithmic velocity  
539 profile in the lowest region of the boundary layer. Mirocha et al. (2010) and Mirocha et al. (2013)  
540 demonstrated that the accuracy of LES in the logarithmic layer was dependent on grid aspect ratio,

and found that using the WRF model, an aspect ratio in the range of 2 to 4 gave the most accurate results. Following our convention in the previous sections, three nested simulations are performed here, and are referred to as ‘Vert. Coarse’, ‘Vert. Nested’, and ‘Vert. Fine’ in reference to the vertical grid resolution. The model setup is summarized in Table 4, where domain size, resolution, and aspect ratio follow Mirocha et al. (2013). Vert. Coarse has 46 vertical levels on both domains, Vert. Nested has 46 vertical levels on the outer domain, and 67 vertical levels on the inner domain, while Vert. Fine has 67 vertical levels on both domains. All simulations are horizontally nested, using the same horizontal grid, which has  $\Delta x = 33$  m on the parent domain and  $\Delta x = 11$  m on the child domain. Two additional stand-alone (i.e. no nesting) simulations with periodic boundary conditions are performed on grids at the same resolution as d02 in the nested setup as control simulations for comparison with the nested simulations. These stand-alone simulations will be referred to as ‘SA’. For all simulations, a time step of 0.25 seconds was used on the parent domain, and 0.083 seconds on the child domain (one third the parent time step).

In the nested setup, d01 uses periodic lateral boundary conditions, while d02 uses nested boundary conditions. Vertical grid spacing is prescribed similarly to Mirocha et al. (2013), with 5% grid stretching up to the model top of 1400 m. Vertical grid spacing at the first level is  $\Delta z^1 = 8.68$  m on the coarse grid, and  $\Delta z^1 = 2.88$  m on the fine vertical grid. Rayleigh damping is applied in the top 300 m of each domain, with a coefficient of  $0.003 \text{ s}^{-1}$ , damping toward the initial sounding. Standard parameterization of the surface stresses  $\tau_{i3}^s$ ,  $i = 1, 2$  is used, as defined in Equation 7. The simulations are forced with a geostrophic wind of  $U_g = 10 \text{ m s}^{-1}$  and have a Coriolis parameter  $f = 0.0001 \text{ s}^{-1}$  ( $\approx 45^\circ N$ ), as in many LES investigations (e.g. Andren et al. (1994); Chow et al. (2005); Mirocha et al. (2013)). Simulations are run for 24 hours of spin-up to allow damping of inertial oscillations. Time averaging in post-processing was performed over 4 hours following the spin-up period for each simulation.

The simulations presented here use the standard Smagorinsky turbulence closure model included in WRF. The Smagorinsky model is known to have difficulty in accurately reproducing the log-law (e.g. Andren et al. (1994); Porté-Agel et al. (2000); Chow et al. (2005)) compared to more sophisticated models, however its simplicity and known sensitivity to grid aspect ratio make it a desirable choice for this study.

## *b. Results and discussion*

Figure 14 shows instantaneous contours of  $U$  velocity after 28 hours of simulation (i.e. at the end of the averaging time) for d01 and d02 of the nested simulations, along with the stand-alone simulations. The effect of grid nesting can be seen in d02 (panels (c), (d), and (e)), where large-scale features from d01 pass into d02 and persist to the outlet, though small-scale features do appear within these larger features in the second half of d02 (after about 2000 m), also observed by Mirocha et al. (2013). In contrast, the SA simulations (panels (f) and (g)) display small-scale features throughout the horizontal and vertical extents of the domain. The snapshots in Figure 14 show qualitatively that the SA simulations exhibit more intricate structure than any of the nested simulations; all of the nested cases exhibit development of some smaller-scale features in addition to the main structures passed from the parent domain, and vertical nesting produces similar results to using both vertical and horizontal nesting.

Spatially and temporally averaged surface stresses are shown in Figure 15 along the x-direction for all simulations. Here it can be seen that SA simulations and d01 with common vertical grids have different  $\tau_w$  values, despite both having periodic boundary conditions, due to the different horizontal resolutions ( $\Delta x = 33$  on d01 and  $\Delta x = 11$  for the SA simulations). For d02 of Vert. Coarse and Vert. Fine,  $\tau_w$  takes the value from the outer domain at the inlet, dips, recovers, and then dips and recovers again just before the outlet. This behavior is consistent with results in

588 Mirocha et al. (2013), where it was shown that smaller scales responsible for the vertical trans-  
 589 port of momentum take time to develop as the flow enters and moves across the nested domain,  
 590 so that downward transport of momentum is reduced in this developing ‘transition zone’, which  
 591 creates a velocity deficit near the surface of the nested domain, and thus reduced surface stresses.  
 592 Vert. Nested follows this pattern of development along the streamwise direction, however,  $\tau_w$  is  
 593 much higher at the inlet and outlet than on the parent domain. This is because the velocity used to  
 594 calculate  $\tau_w$  on d02 at the inflow and outflow boundaries must be extrapolated from the first point  
 595 above the surface on the coarse vertical grid of d01, and this extrapolated value ends up being  
 596 larger ( $\sim 1 \text{ m s}^{-1}$ ) than the value on d02 of Vert. Fine. The SA simulations represent an ideal value  
 597 for d02 of the nested simulations, which the nested simulations should be ‘adjusting’ to. The spike  
 598 at the outlet of d02 for the nested simulations is due to readjustment leading up to and through the  
 599 relaxation zone to the conditions on d01.

600 Figure 16 shows a comparison of each of the three nested simulations on their respective inner  
 601 (d02) and outer (d01) domains, along with the SA simulations performed on the d02 grids. The  
 602 left three panels (a, c, and e) show mean velocity profiles along the streamwise direction, while  
 603 the right three panels (b, d, and f) show the same profiles normalized by  $u_*$  versus normalized  
 604 height. On the inner domain, spatial averages are performed at individual  $i$ -indices, and averaged  
 605 over  $[30 < j < 210]$  to capture the developing turbulent flow as it progresses across the domain.  
 606 On the outer domain, flow is fully developed throughout with periodic boundary conditions, so  
 607 spatial averages are performed over the entire domain. The time average is performed as a post-  
 608 processing step over 4 hours following the 24-hour spin-up, using data saved at one minute history  
 609 intervals.

610 Figure 16, panels (a), (c), and (e) show that the mean horizontal wind velocity on the inner  
 611 domain is heavily influenced by the lateral boundary conditions coming from the outer domain,

612 to a distance past 20% of the length of the inner domain ( $i=80$ ). Profiles from the inner and outer  
 613 domains are nearly identical in this region near the inflow boundary. In all cases, as the fully  
 614 developed flow passes  $i=320$  (within 15% of the outflow boundary), the mean wind speed profiles  
 615 closely match the SA simulations up to approximately 20 m above the surface. Above this height,  
 616 the flow adjusts to match the lateral boundary conditions (mean wind speed profile of the outer  
 617 domain). Horizontal nesting alone accounts for significant divergence of the inner domain solution  
 618 from the outer domain solution and from the SA solution (Figure 16 panels (a) and (e)). At just  
 619 below 120 m ( $k=23$  and  $k=11$  on the fine and coarse vertical grids respectively) all d01 and d02  
 620 Vert. Coarse and Vert. Fine profiles differ from their respective corresponding SA simulations by  
 621  $\sim 0.1 \text{ m s}^{-1}$ , while the Vert. Nested simulation differs from SA Fine by  $\sim 0.2 \text{ m s}^{-1}$ , approximately  
 622 double the difference in the other two simulations, however, these differences decrease with height  
 623 above 120 m in Vert. Nested and increase with height above 120 m in Vert. Coarse and Vert. Fine  
 624 (not shown).

625 These observations can also be made in Figure 16 panels (b), (d), and (f) where comparison is  
 626 made to the log law. In this column of profiles,  $H$  is the boundary layer height of  $\sim 1000$  m, the  
 627 approximate height above which stresses are attenuated. According to Mirocha et al. (2013), the  
 628 best comparisons with the log law, using a roughness length of 0.1 m, are achieved when the grid  
 629 aspect ratio is  $AR \approx 4$ . In their study, it was only possible to optimize  $AR$  on either the inner or the  
 630 outer domain, while with vertical nesting, we are able to control  $AR$  on each domain independently.  
 631 Thus in Figure 16b, the outer domain (d01) is optimized with  $AR \approx 4$ , while the inner domain (d02)  
 632 has  $AR \approx 1.3$ ; d01 shows the optimal comparison with the log law, while the lines corresponding  
 633 to Vert. Coarse d02 and SA Coarse (also on d02) are further from the theoretical log law line. We  
 634 see that in Figure 16f, d02 aligns with the log law, having  $AR \approx 4$ , while d01 with  $AR \approx 11.5$   
 635 does not compare as well. Mirocha et al. (2013) hypothesized that if  $AR \approx 4$  could be achieved

on both domains, then good agreement with the log law could also be achieved on both domains; our results shown in Figure 16d demonstrate that this is true in our simulations. The tradeoff is slightly slower equilibration within the nested domain due to inlet boundary conditions from a domain with a coarser vertical grid, and therefore fewer resolved small scale structures.

## 6. Summary and Conclusions

This paper describes our implementation of concurrent vertical grid nesting in WRF based on the program *ndown* created by Moustauoui et al. (2009). Vertical nesting is now fully integrated into the nesting framework of WRF so that lateral boundary condition updates for nested domains can take place at every parent grid time step. Thus vertically-nested simulations can now be run concurrently.

Results of idealized simulations and rigorous error analysis validate the implementation, and indicate that errors resulting from vertical nesting are bounded, i.e. reach small, constant values by 48 hours of simulation time. Mesoscale simulations of three case study days from T-REX show no measurable change in accuracy when employing vertical nesting (compared to WRF without vertical nesting), based on comparisons to sounding observations. Large-eddy simulation results validate the hypothesis of Mirocha et al. (2013), that the ability to control grid aspect ratio through vertical nesting allows better agreement of the log law on both the outer and the nested domains.

Our results indicate that it may be possible to decrease the vertical grid resolution on the outer domain without sacrificing accuracy on the inner, nested domain. This means that grid aspect ratio adjustment is possible in regions of complex terrain, so that errors over steep slopes may be reduced, and overall simulation accuracy may be increased even when vertical grid resolution is decreased. This result could also mean computational savings for mesoscale weather forecasting and for cutting-edge research in multiscale modeling.



659 In the interest of providing useful guidance and opening the way forward for more modeling  
660 studies with vertical nesting, we have intentionally exercised the model in ways that were likely  
661 to expose problems and errors. Vertical nesting is one step toward a robust, general multiscale  
662 modeling framework, but there remains an array of possible improvements and open questions to  
663 be explored. Ongoing and future work therefore includes: exploring effects of using more sophis-  
664 ticated turbulence closure models with vertical nesting, improving the surface boundary condition  
665 by modifying the extrapolation function used near the surface with the goal of eliminating the dis-  
666 continuity in surface stress across the lateral boundary, further exploring errors due to grid aspect  
667 ratio especially over complex terrain, implementation of vertical nesting with two-way nesting,  
668 and modifications to use vertical nesting with additional radiation models and physical parameter-  
669 izations.

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**LIST OF TABLES**

**Table 1.** Sample namelist with relevant variables for vertical nesting. The variable *e\_vert* determines the number of vertical levels for each domain. There are 3 domains defined by *grid\_id*, in this case d01, d02, and d03. Variable *parent\_id* defines within which domain each domain defined by *grid\_id* is nested. Thus in this case, d02 is nested within d01 and d03 is nested within d02. Variable *vert\_refine\_method* = 2 means that arbitrary vertical nesting has been chosen, and *eta\_levels* defines those arbitrary levels in terms of the variable  $\eta$ , ranging from 1 to 0 for each domain in a concatenated vector. Refer to section 2d for full details. . . . . 38

**Table 2.** Idealized simulations: Grid setup for nested idealized simulations, where d01 is the outer domain, d02 is the inner domain. Horizontal grid spacing and height of the first full vertical grid level above the surface are given by  $\Delta x$  and  $\Delta z^1$  respectively.  $L_x$ ,  $L_y$ , and  $L_z$  represent the domain size in physical space,  $nx$ ,  $ny$ , and  $nz$  are the numbers of computational grid points in each direction. . . . . 39

**Table 3.** Mesoscale simulations: Physical and computational dimensions of simulation domains. All quantities are as defined in Table 2. . . . . 40

**Table 4.** LES: Physical and computational dimensions of simulation domains. All quantities are as defined in Table 2. Grid aspect ratio ( $\Delta x/\Delta z$ ) is represented by AR. 41

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800 vertical nesting has been chosen, and  $eta\_levels$  defines those arbitrary levels in terms of the variable  $\eta$ , ranging  
801 from 1 to 0 for each domain in a concatenated vector. Refer to section 2d for full details.

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&domains				
e_vert	=	40,	80,	120,
grid_id	=	1,	2,	3,
parent_id	=	0,	1,	2,
vert_refine_method	=	0,	2,	2,
feedback	=	0,		
eta_levels	=	1, ... , 0,		
		1, ... , 0,		
		1, ... , 0		

---



802 TABLE 2. Idealized simulations: Grid setup for nested idealized simulations, where d01 is the outer domain,  
803 d02 is the inner domain. Horizontal grid spacing and height of the first full vertical grid level above the surface  
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805 are the numbers of computational grid points in each direction.

Vertical Grid		$\Delta x$ (m)	$\Delta z^1$ (m)	$L_x$ (m)	$L_y$ (m)	$L_z$ (m)	$nx$	$ny$	$nz$
Coarse	d01	99	47.3	3960	3960	4000	40	40	40
	d02	33	47.3	1980	1980	4000	61	61	40
Nested	d01	99	47.3	3960	3960	4000	40	40	40
	d02	33	15.8	1980	1980	4000	61	61	118
Fine	d01	99	15.8	3960	3960	4000	40	40	118
	d02	33	15.8	1980	1980	4000	61	61	118

806 TABLE 3. Mesoscale simulations: Physical and computational dimensions of simulation domains. All quan-  
807 tities are as defined in Table 2.

Vertical Grid		$\Delta x$ (km)	$L_x$ (km)	$L_y$ (km)	$L_z$ (km)	$nx$	$ny$	$nz$
Coarse	d01	3	300	300	20.7	100	100	40
	d02	1	100	100	20.7	100	100	40
Nested	d01	3	300	300	20.7	100	100	40
	d02	1	100	100	20.7	100	100	120
Fine	d01	3	300	300	20.7	100	100	120
	d02	1	100	100	20.7	100	100	120
<i>ndown</i>	d01	3	300	300	20.7	100	100	40
	d02	1	100	100	20.7	100	100	118

808 TABLE 4. LES: Physical and computational dimensions of simulation domains. All quantities are as defined  
809 in Table 2. Grid aspect ratio ( $\Delta x/\Delta z$ ) is represented by AR.

Vertical Grid		$AR$	$\Delta x$ (m)	$\Delta z^1$ (m)	$L_x$ (m)	$L_y$ (m)	$L_z$ (m)	$nx$	$ny$	$nz$
Coarse	d01	4	33	8.68	4950	3300	1400	151	101	46
	d02	1.3	11	8.68	3960	2640	1400	361	241	46
Nested	d01	4	33	8.68	4950	3300	1400	151	101	46
	d02	4	11	2.88	3960	2640	1400	361	241	67
Fine	d01	11.5	33	2.88	4950	3300	1400	151	101	67
	d02	4	11	2.88	3960	2640	1400	361	241	67
Coarse SA		1.3	11	8.68	3960	2640	1400	361	241	46
Fine SA		4	11	2.88	3960	2640	1400	361	241	67

## LIST OF FIGURES

<b>Fig. 1.</b>	Example computational cells are shown at a variety of terrain slopes and aspect ratios. The shaded region denotes the parameter space where the use of terrain-following coordinates is questionable, as the resulting computational cell violates the condition that the change in height over two horizontally adjacent points be less than the vertical grid spacing $\Delta z$ .	44
<b>Fig. 2.</b>	Computational grids for the 3 idealized simulations up to 1000 m (domain top is at 4000 m), with grids for the child domain shown nested within the parent domain. Vert. Coarse uses 40 vertical levels on both domains (top), Vert. Nested uses 40 levels on the parent domain and 118 on the child domain (middle), Vert. Fine uses 118 levels on both domains (bottom). X-axis labels correspond to the parent domain x-coordinate.	45
<b>Fig. 3.</b>	Forced idealized simulations: Profiles from the center of d02 for the idealized simulation forced with a pressure gradient and drag coefficient. $t=0$ is initialization, $t=24$ and $t=48$ are at 24 and 48 hours of simulation respectively.	46
<b>Fig. 4.</b>	Forced idealized simulations: 300-meter sections at the heights of steepest gradients in variable profiles from the edge of d02 at $(i = 1, j = 31)$ , along with the collocated point on d01. $t=0$ is initialization, $t=1$ is after 1 hour of simulation.	47
<b>Fig. 5.</b>	Quiescent idealized simulations: Shown are the maximum velocity values in d02 as a function of time, which for the quiescent case is equal to the maximum error in the domain at each time.	48
<b>Fig. 6.</b>	Quiescent idealized simulations: top row shows x-z slice contours of $U$ , middle row $V$ , and bottom row $W$ at 1 hour after initialization, at the center of the nested domain (d02). The left column is from Vert. Coarse, middle column Vert. Nested, and right column Vert. Fine.	49
<b>Fig. 7.</b>	Quiescent idealized simulations: Panels are the same as in Figure 6, but at 48 hours after initialization.	50
<b>Fig. 8.</b>	Forced idealized simulations: x-transects of $U$ and $W$ [ $\text{m s}^{-1}$ ] at the first collocated point above the surface on d02 at $j = 31$ (center) for the three different vertical grid configurations at 48 hours.	51
<b>Fig. 9.</b>	Mesoscale simulations: (a) Map of surrounding geographical area with green box indicating location of simulation domain shown in panel (b). (b) Contours of terrain height in meters above sea level (asl). The outer domain (d01), centered at Independence, California, has 3 km horizontal resolution. The dashed line outlines the extent of the inner domain (d02), which has 1 km horizontal resolution. The floor of Owens Valley is around 1000 m asl, with the peaks of the Sierra Nevada mountains to the west above 3000 m asl, and the peaks of the White-Inyo mountains to the east above 2500 m asl.	52
<b>Fig. 10.</b>	Contours of the vertical velocity $W$ [ $\text{m s}^{-1}$ ] in an x-z slice at $j = 50$ (the center of the domain), on d02 at 1815 UTC (1015 Pacific Daylight Savings Time (PDST)) on 29 April 2006 for a) Vert. Coarse, b) Vert. Nested, c) Vert. Fine, and d) $ndown$ simulations.	53
<b>Fig. 11.</b>	Profiles from the center of d02 compared to observations from rawinsondes released at Independence Airport. The sonde was released at 1804 UTC (1004 PDST) on 29 April 2006. The simulated profiles are from 1815 UTC on the inner domain (d02).	54

850	<b>Fig. 12.</b> Average bias (top row) and RMSE (bottom row) between simulations and the sounding	
851	observations averaged over 28 soundings from T-REX EOP4 and 5 (28-30 April 2006).	55
852	<b>Fig. 13.</b> Filled grid-cell pixels of $V$ [ $\text{m s}^{-1}$ ] (positive into the page), with $U$ - $W$ vectors overlaid.	
853	45 km section of $x$ - $z$ slice from d01 (full domain is 300 km across) at $j = 35$ (this slice across	
854	d01 coincidentally coincides with the southern lateral boundary of d02), at 12 seconds after	
855	0006 UTC on 26 March 2006 (1606 Pacific Daylight Savings Time (PDST) on the previous	
856	day) for Vert. Fine simulation.	56
857	<b>Fig. 14.</b> Large-eddy simulations: Instantaneous contours of $U$ [ $\text{m s}^{-1}$ ] in a vertical $x$ - $z$ slice from the	
858	center of d02 at the end of 28 hours of simulation. Panels (a) and (b) are parent domains	
859	d01 from Vert. Coarse and Vert. Fine respectively, with (c) and (d) corresponding to d02 of	
860	Vert. Coarse and Vert. Fine. Panel (e) is d02 for Vert. Nested, which has an identical d01	
861	to Vert. Coarse shown in (a). Panels (f) and (g) are stand-alone simulations with periodic	
862	boundary conditions performed on the same grid as d02 in the nested simulations for: coarse	
863	vertical grid spacing (f) and fine vertical grid spacing (g). Mean flow is along the slice from	
864	left to right, corresponding to the imposed geostrophic flow. Panels (c), (d), and (e), (child	
865	domains) are positioned on the page to show where the child is nested relative to the parent	
866	domain (panels (a) and (b)). Panels (f) and (g) for the SA simulations are aligned with the	
867	child domains above them only because they have the same grid dimensions as the child	
868	domains.	57
869	<b>Fig. 15.</b> Large-eddy simulations: Average surface stress boundary condition along the $x$ -direction	
870	of the domain. $\tau_w$ has been averaged temporally over 4 hours and over all points in the $y$ -	
871	direction for d01 and the stand-alone (SA) simulations. Nested domains are averaged over	
872	$[30 < j < 210]$ to exclude influences from the nested boundary. $\tau_w$ differs greatly at the	
873	inlet (left) and outlet (right) for d02 of Vert. Nested (red line) because at these locations, the	
874	velocity used to calculate $\tau_w$ must be extrapolated from the first point above the surface on	
875	the coarse vertical grid of d01.	58
876	<b>Fig. 16.</b> Large-eddy simulations: Panels (a), (c), and (e): time-averaged profiles of mean wind speed	
877	( $U_{mean} = \sqrt{U^2 + V^2}$ ) at the $i$ -indices indicated, averaged over $[30 < j < 210]$ . Panels (b),	
878	(d), and (f): non-dimensional wind ( $U_{mean}$ normalized by the friction velocity, $u_*$ ), versus	
879	non-dimensional height (physical height above the surface $z$ normalized by boundary layer	
880	height, $H$ ). Time averages were performed over 4 hours following a 24 hour spin-up period.	59

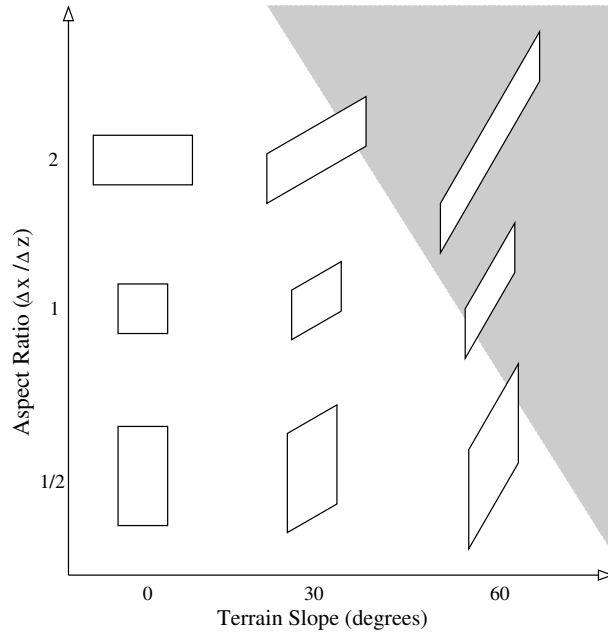


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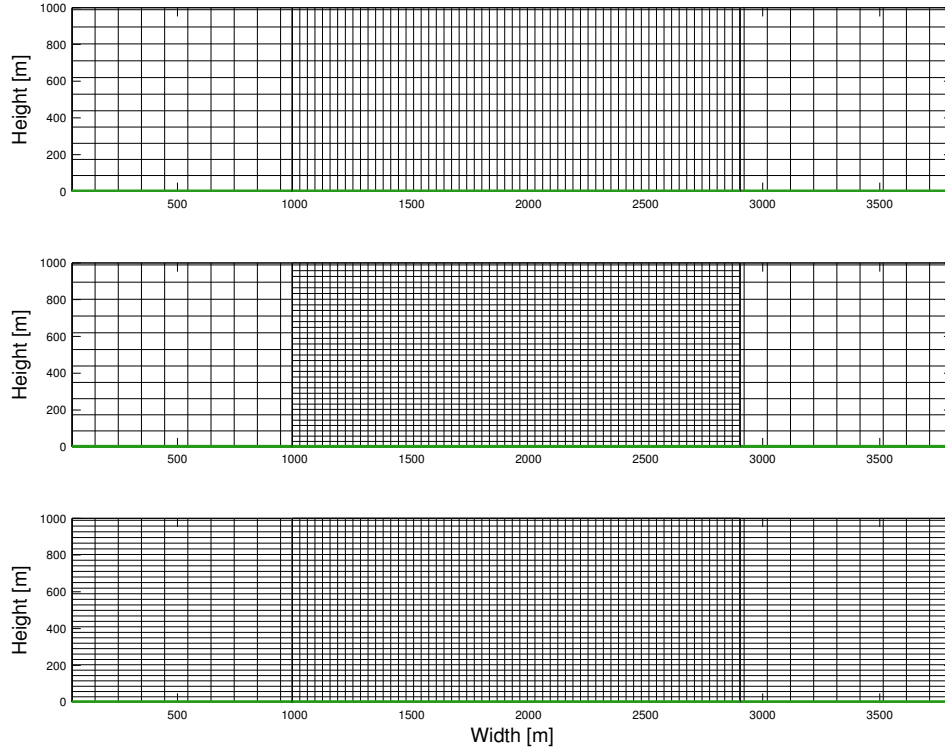
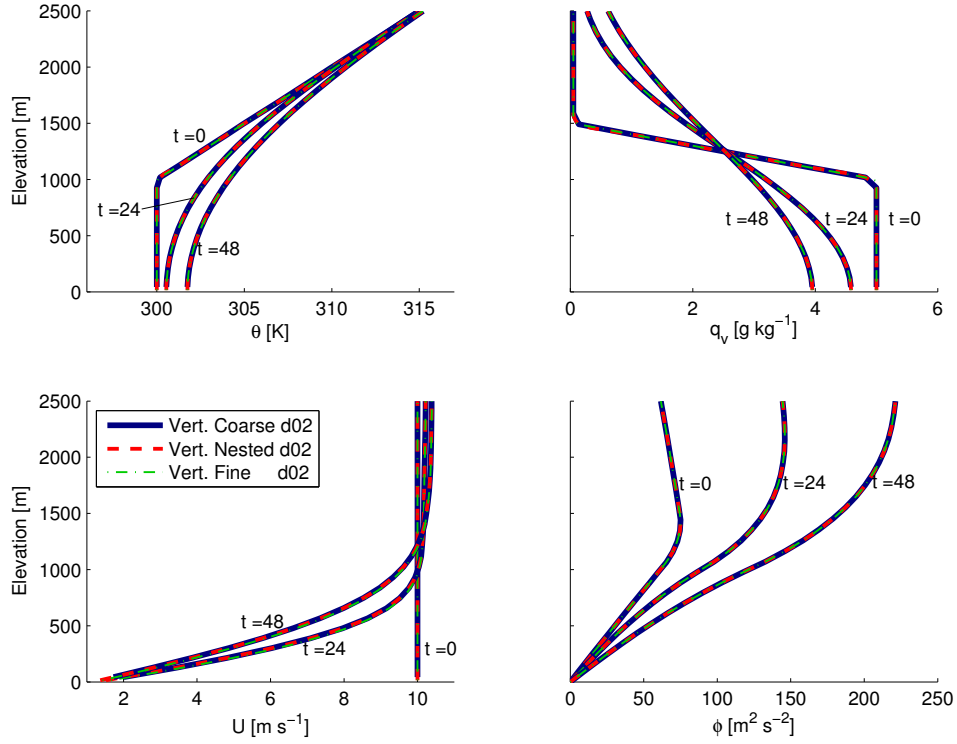


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 891 respectively.



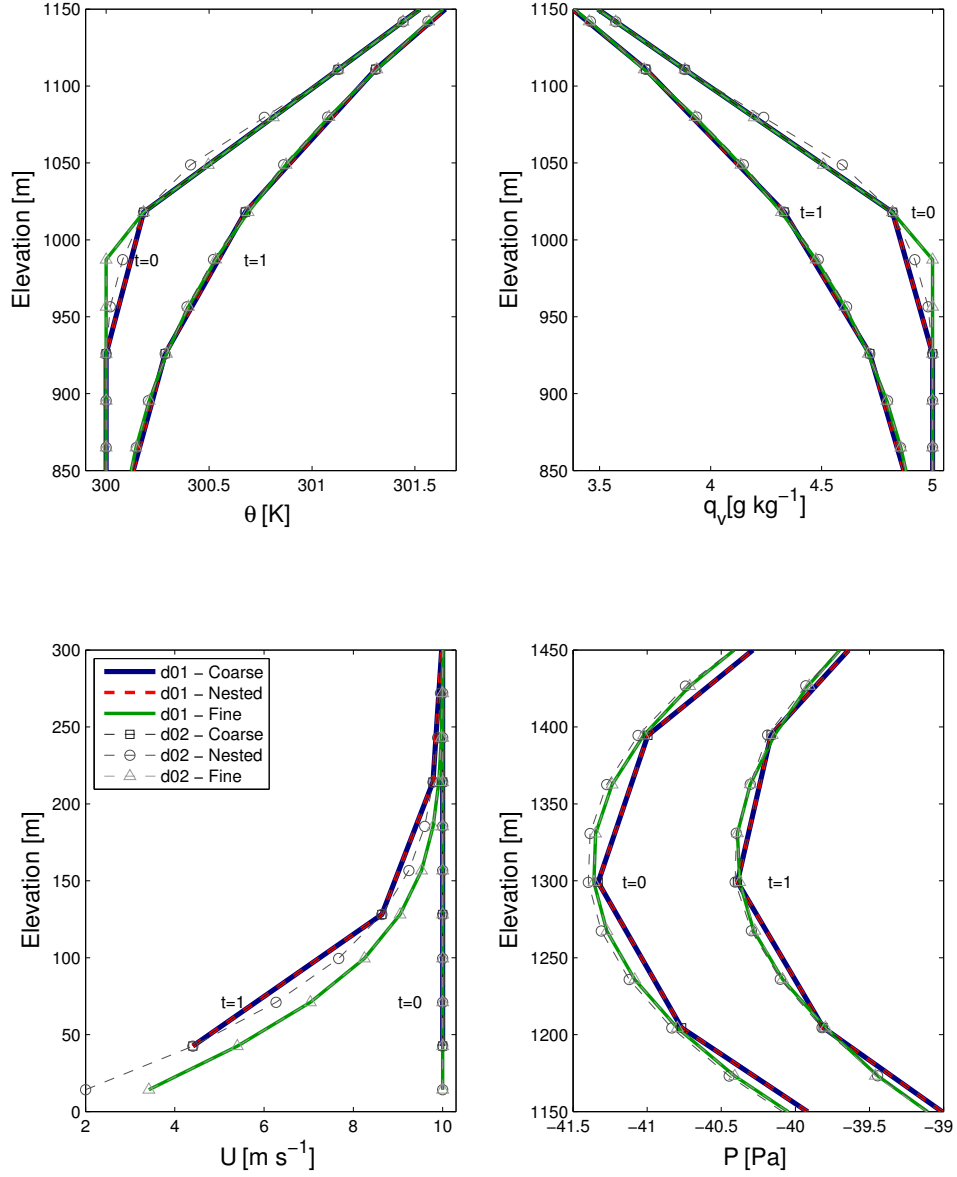
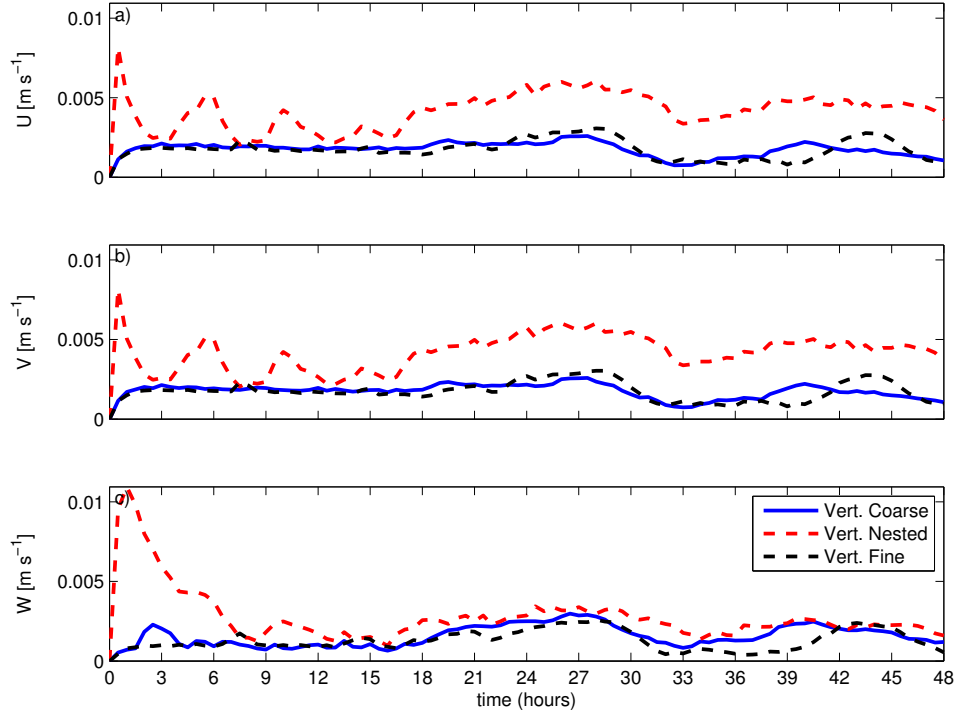
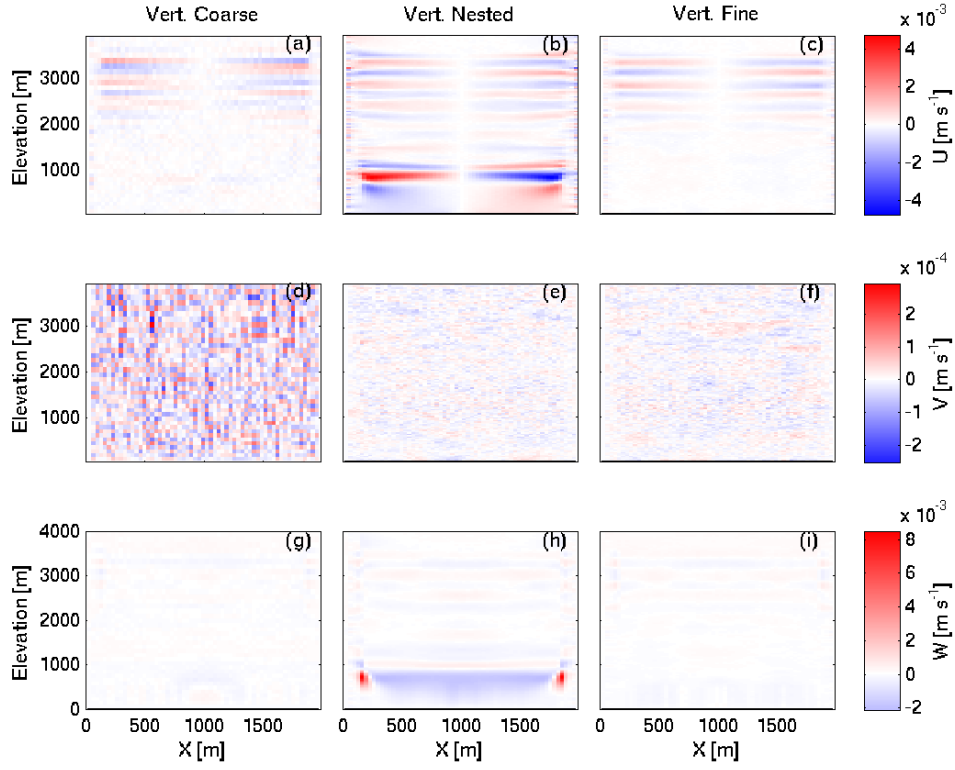


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895 FIG. 5. Quiescent idealized simulations: Shown are the maximum velocity values in  $\text{d02}$  as a function of  
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897 FIG. 6. Quiescent idealized simulations: top row shows x-z slice contours of  $U$ , middle row  $V$ , and bottom row  
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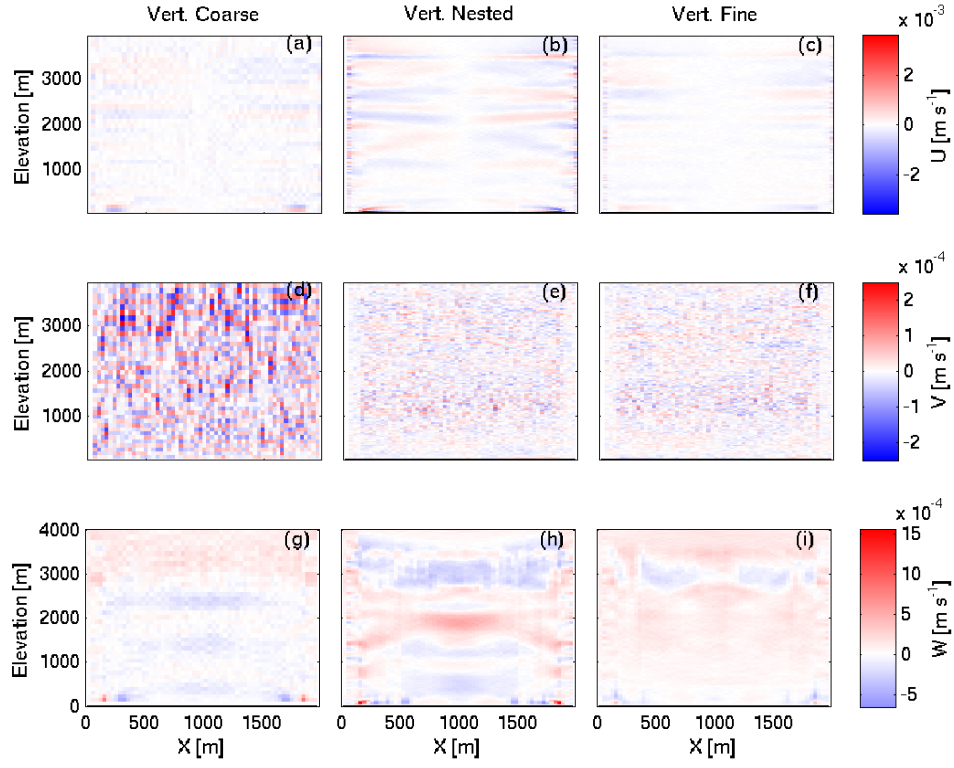


FIG. 7. Quiescent idealized simulations: Panels are the same as in Figure 6, but at 48 hours after initialization.

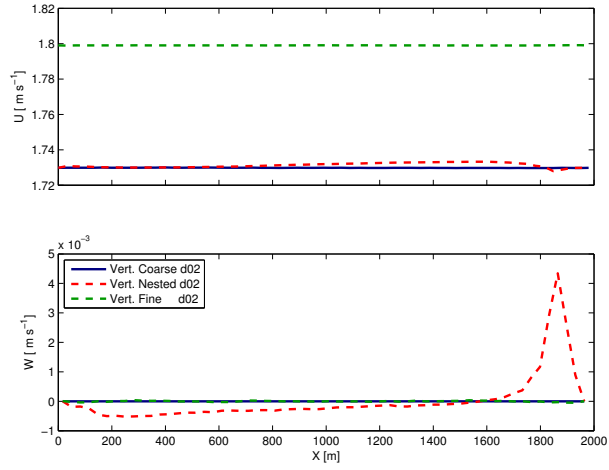
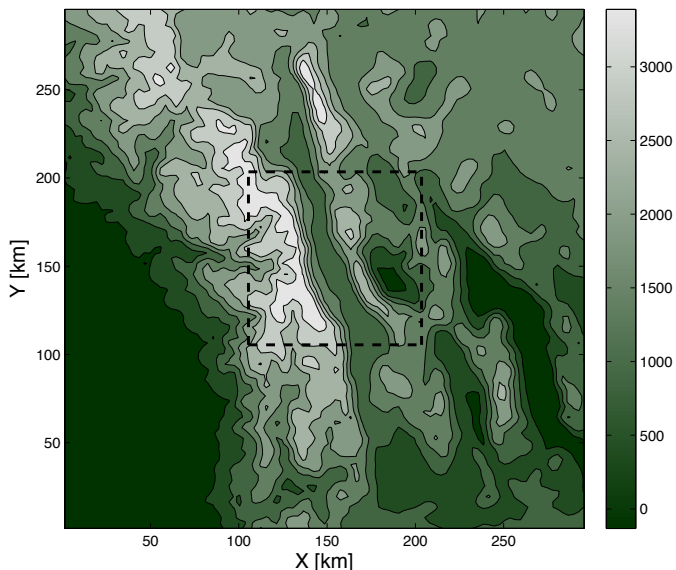


FIG. 8. Forced idealized simulations: x-transects of  $U$  and  $W$  [ $\text{m s}^{-1}$ ] at the first collocated point above the surface on d02 at  $j = 31$  (center) for the three different vertical grid configurations at 48 hours.



(a)



(b)

FIG. 9. Mesoscale simulations: (a) Map of surrounding geographical area with green box indicating location of simulation domain shown in panel (b). (b) Contours of terrain height in meters above sea level (asl). The outer domain (d01), centered at Independence, California, has 3 km horizontal resolution. The dashed line outlines the extent of the inner domain (d02), which has 1 km horizontal resolution. The floor of Owens Valley is around 1000 m asl, with the peaks of the Sierra Nevada mountains to the west above 3000 m asl, and the peaks of the White-Inyo mountains to the east above 2500 m asl.

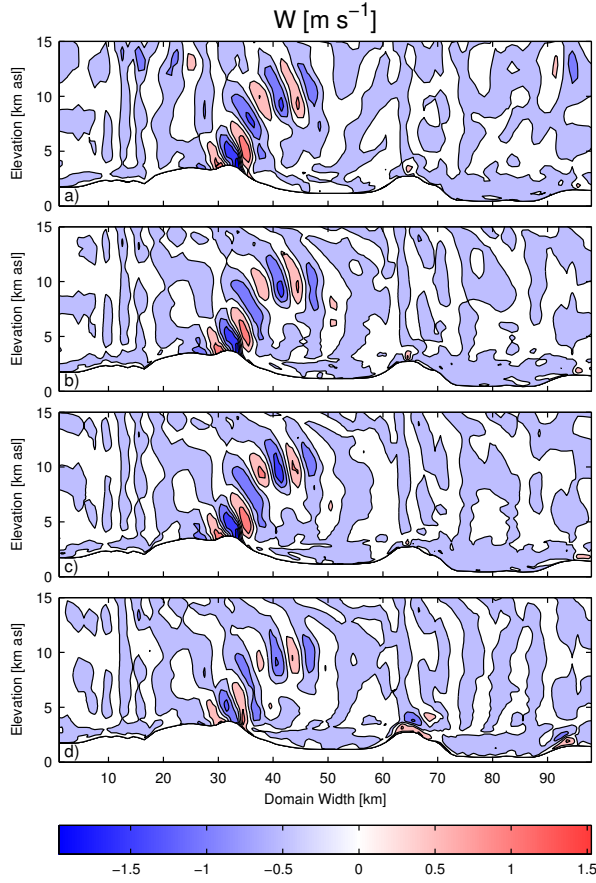


FIG. 10. Contours of the vertical velocity  $W$  [ $\text{m s}^{-1}$ ] in an  $x$ - $z$  slice at  $j = 50$  (the center of the domain),  
on d02 at 1815 UTC (1015 Pacific Daylight Savings Time (PDST)) on 29 April 2006 for a) Vert. Coarse, b)  
Vert. Nested, c) Vert. Fine, and d)  $n_{\text{down}}$  simulations.

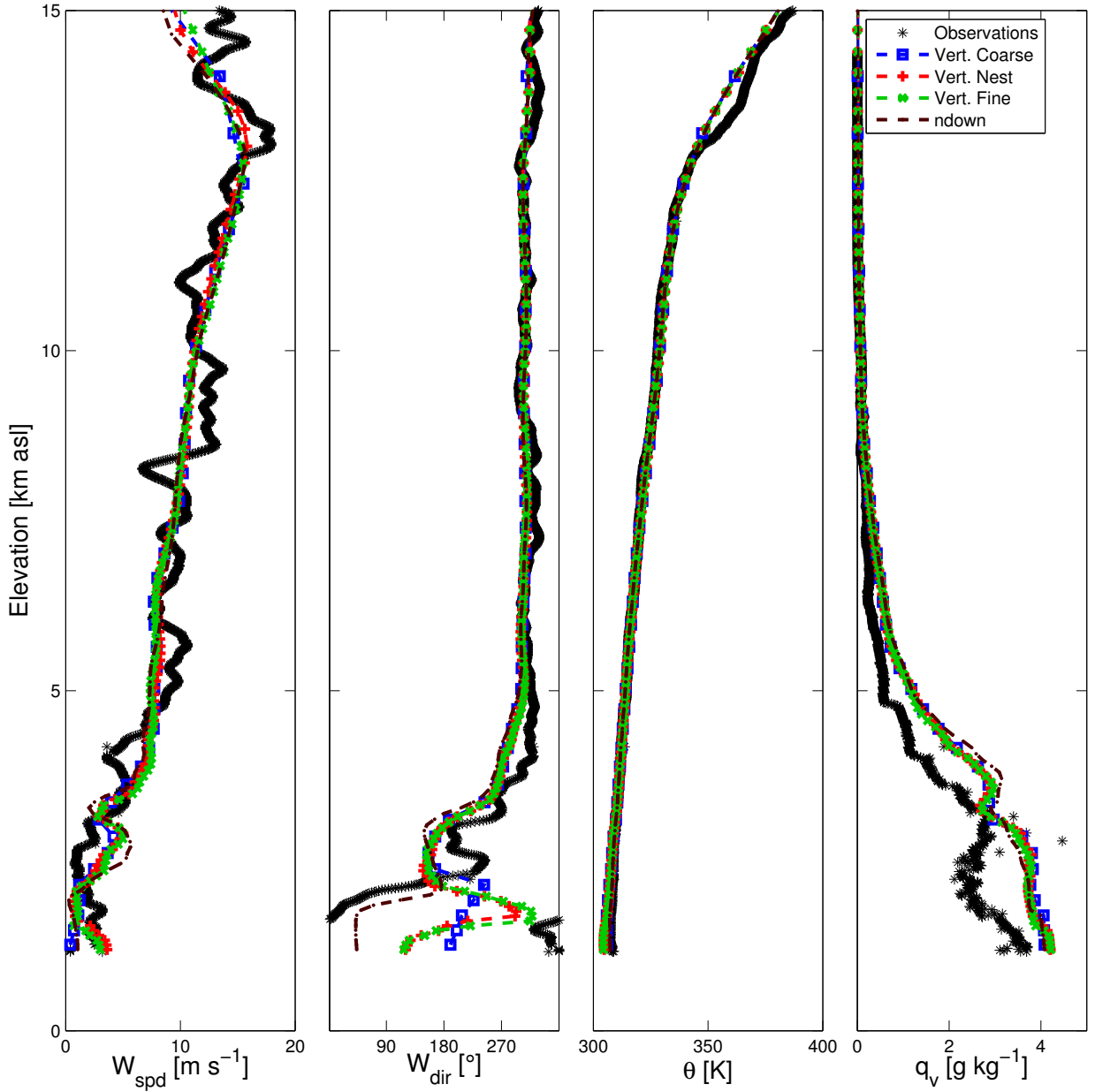


FIG. 11. Profiles from the center of d02 compared to observations from rawinsondes released at Independence Airport. The sonde was released at 1804 UTC (1004 PDST) on 29 April 2006. The simulated profiles are from 1815 UTC on the inner domain (d02).



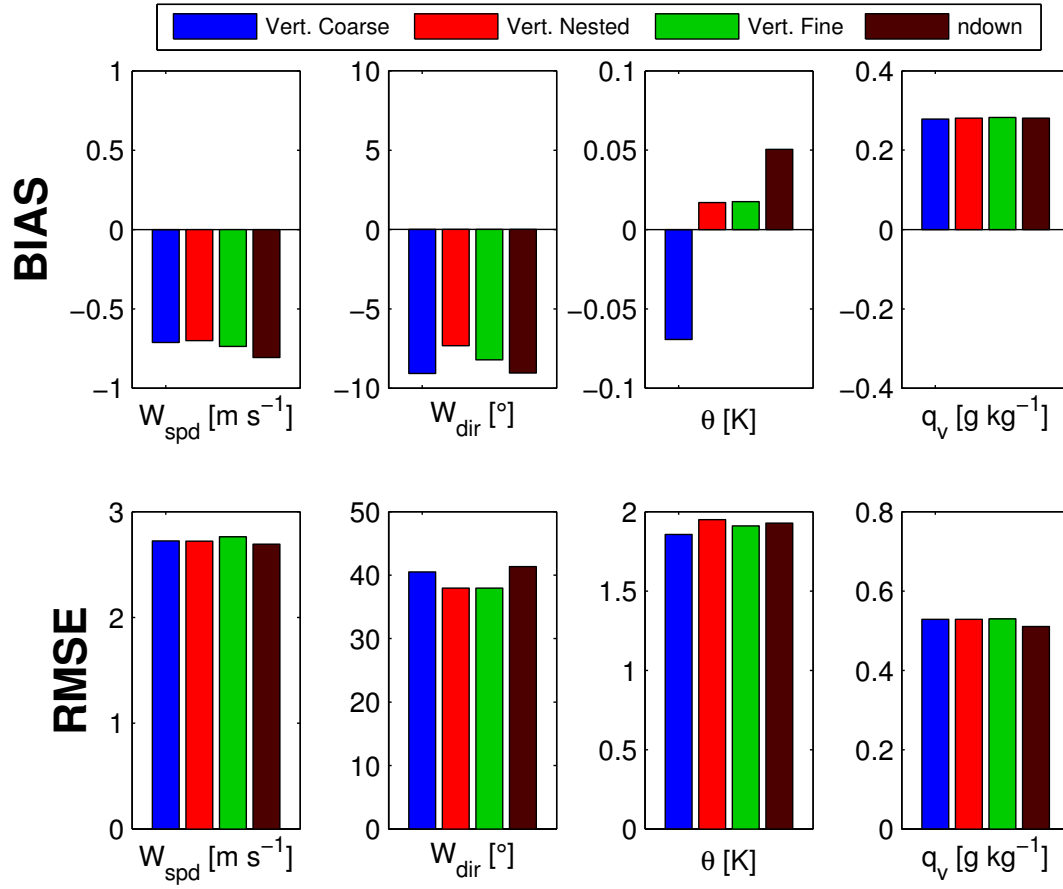
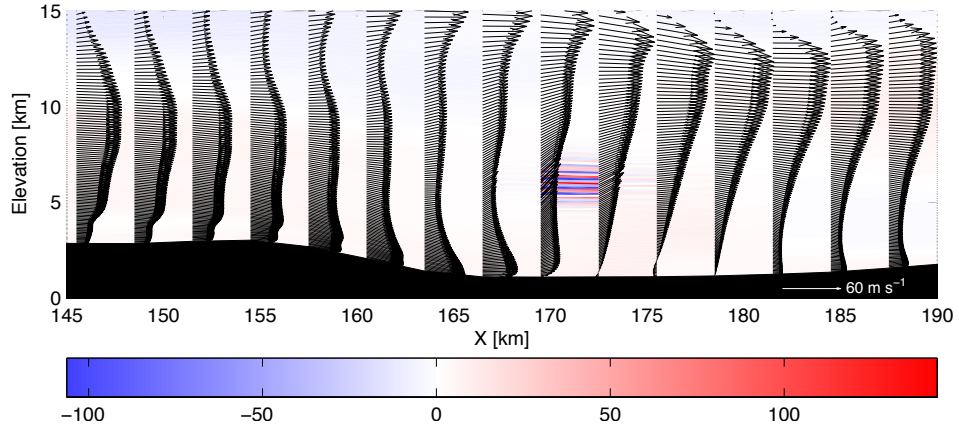


FIG. 12. Average bias (top row) and RMSE (bottom row) between simulations and the sounding observations averaged over 28 soundings from T-REX EOP4 and 5 (28-30 April 2006).



916 FIG. 13. Filled grid-cell pixels of  $V$  [ $\text{m s}^{-1}$ ] (positive into the page), with  $U$ - $W$  vectors overlaid. 45 km section  
 917 of  $x$ - $z$  slice from d01 (full domain is 300 km across) at  $j = 35$  (this slice across d01 coincidentally coincides with  
 918 the southern lateral boundary of d02), at 12 seconds after 0006 UTC on 26 March 2006 (1606 Pacific Daylight  
 919 Savings Time (PDST) on the previous day) for Vert. Fine simulation.

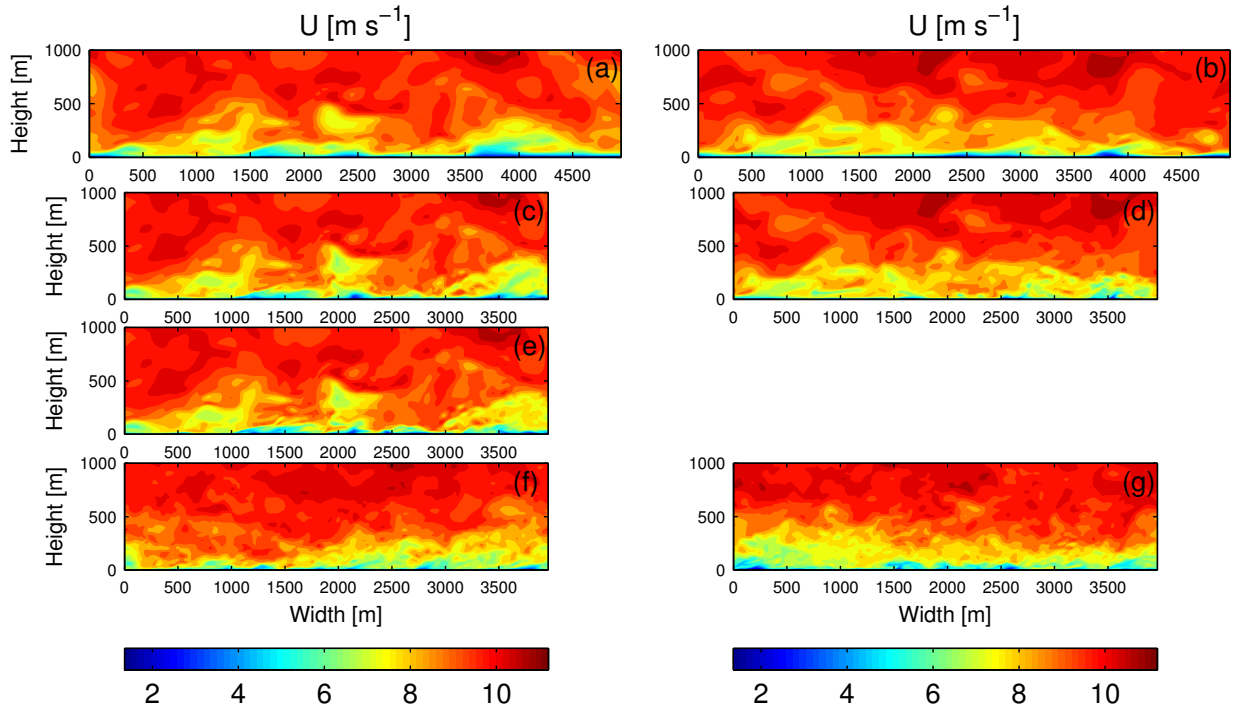
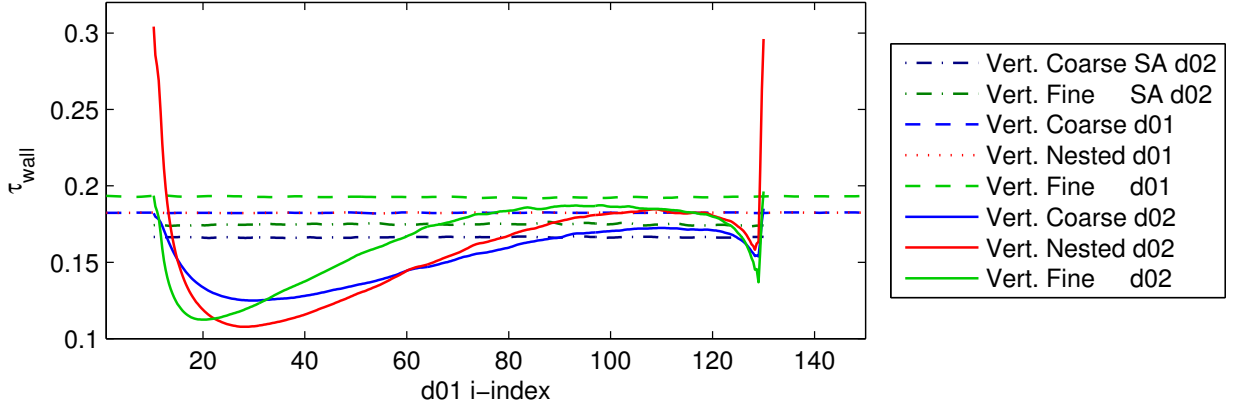


FIG. 14. Large-eddy simulations: Instantaneous contours of  $U$  [ $\text{m s}^{-1}$ ] in a vertical x-z slice from the center  
 of d02 at the end of 28 hours of simulation. Panels (a) and (b) are parent domains d01 from Vert. Coarse and  
 Vert. Fine respectively, with (c) and (d) corresponding to d02 of Vert. Coarse and Vert. Fine. Panel (e) is d02  
 for Vert. Nested, which has an identical d01 to Vert. Coarse shown in (a). Panels (f) and (g) are stand-alone  
 simulations with periodic boundary conditions performed on the same grid as d02 in the nested simulations for:  
 coarse vertical grid spacing (f) and fine vertical grid spacing (g). Mean flow is along the slice from left to right,  
 corresponding to the imposed geostrophic flow. Panels (c), (d), and (e), (child domains) are positioned on the  
 page to show where the child is nested relative to the parent domain (panels (a) and (b)). Panels (f) and (g)  
 for the SA simulations are aligned with the child domains above them only because they have the same grid  
 dimensions as the child domains.



930 FIG. 15. Large-eddy simulations: Average surface stress boundary condition along the x-direction of the  
 931 domain.  $\tau_w$  has been averaged temporally over 4 hours and over all points in the y-direction for d01 and the  
 932 stand-alone (SA) simulations. Nested domains are averaged over  $[30 < j < 210]$  to exclude influences from the  
 933 nested boundary.  $\tau_w$  differs greatly at the inlet (left) and outlet (right) for d02 of Vert. Nested (red line) because  
 934 at these locations, the velocity used to calculate  $\tau_w$  must be extrapolated from the first point above the surface  
 935 on the coarse vertical grid of d01.

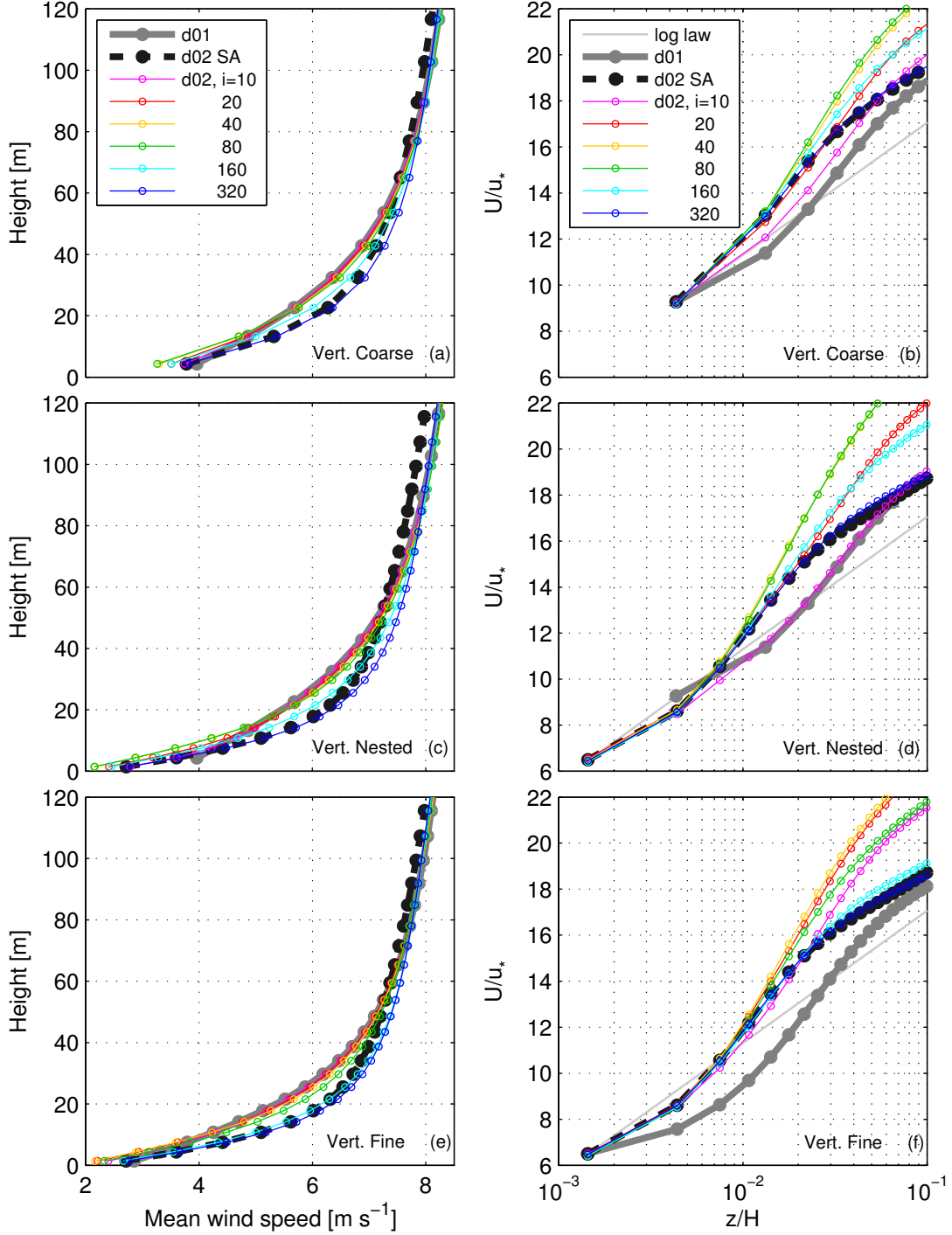


FIG. 16. Large-eddy simulations: Panels (a), (c), and (e): time-averaged profiles of mean wind speed ( $U_{mean} = \sqrt{U^2 + V^2}$ ) at the  $i$ -indices indicated, averaged over  $[30 < j < 210]$ . Panels (b), (d), and (f): non-dimensional wind ( $U_{mean}$  normalized by the friction velocity,  $u_*$ ), versus non-dimensional height (physical height above the surface  $z$  normalized by boundary layer height,  $H$ ). Time averages were performed over 4 hours following a 24 hour spin-up period.