

NEES: Interfaces, Modeling, Synergistic Experiments, and Electrochemistry

SAND2015-9043C

Kevin Leung, Sandia National Laboratories

NEES PI coauthors (alph.): C.T. Harris, Katherine Jungjohann, Sang Bok Lee, Andrew Leenheer, Malachi Noked, **Yue Qi**, Janice Reutt-Robey, Gary Rubloff, YuHuang Wang, Kevin Zavadil.

Acknowledgement

Steve Harris, Anne Dillon, Ilja Shkrob, Shirley Meng, Kristin Persson, Jun Cheng, Michiel Sprik, Marialore Sulpizi, Marie-Pierre Gaigeot

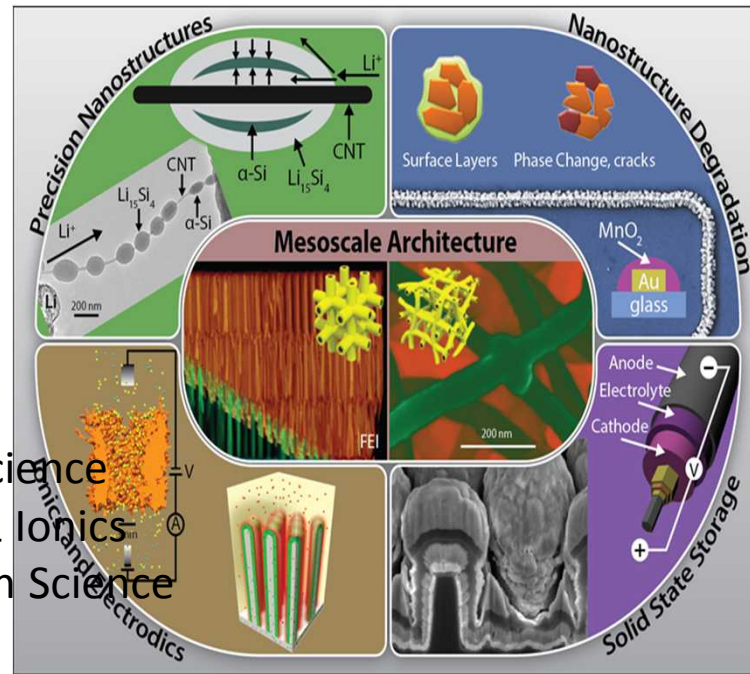
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Nanostructures for Electrical Energy Storage

A DOE Energy Frontier Research Center

NEES major research areas

- ☐ Nanostructure Interface Science
- ☐ Mesoscale Architectures & Ionics
- ☐ Nanostructure Degradation Science
- ☐ Solid State Energy Storage



This work was supported as part of the Nanostructures for Electrical Energy Storage (NEES), an Energy Frontier Research Center funded by the U.S. Department of Energy, Office of Science.

TEM postdoctoral position available with Katherine Jung (SNL)



2016 **MRS**[®]
SPRING MEETING & EXHIBIT
March 28–April 1, 2016 | **Phoenix, Arizona**

CALL FOR PAPERS

Abstract Deadline: October 15, 2015

REMINDER: In fairness to all potential authors, late abstracts will not be accepted.

www.mrs.org/spring2016

Symposium EE6: Research Frontiers on Liquid-Solid Interfaces in Electrochemical Energy Storage and Conversion Systems

In batteries, fuel cells, water splitting/oxygen reduction, and other electrochemical energy storage and conversion systems, effectiveness and stability of the solid-liquid interface is directly correlated to the performance and lifetime of an electrochemical device. A mechanistic understanding of the interfacial reactions and processes occurring at the solid and liquid interface is needed to provide the scientific underpinning for the design of next-generation electrochemical energy storage and conversion devices. This requires technique development for characterization of local structures and non-equilibrium dynamics at interfaces at high spatial resolution (down to *nm* scale), time resolution (millisecond or lower) and high chemical sensitivity (spanning from light element H, Li, O to heavy metals). Moreover, the direct comparison of experimental results with modeling of the interfacial chemistry under realistic conditions is a key to the basic understanding of complex interfaces.

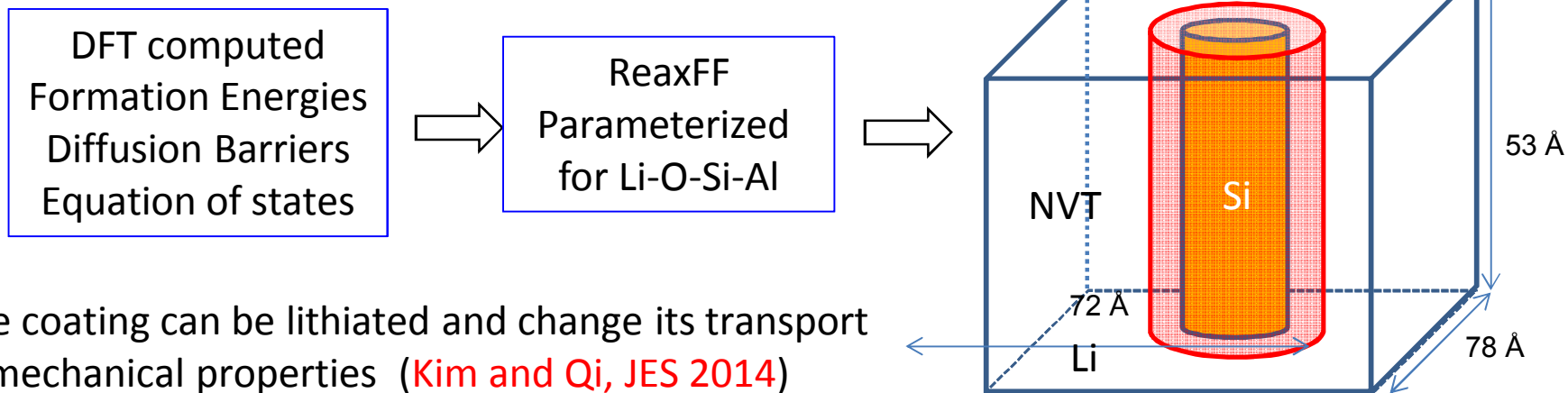
The goal of this symposium is to create a forum for fundamental understanding about the charge transfer, ionic transport and heterogeneous reactions at these interfaces and the effect of nano-scale, crystal orientations and other various factors on these phenomena. Similarities and differences between batteries, fuel cells, and other electrochemical systems will be highlighted. Abstracts are particularly encouraged on the development of new sample environment and model electrodes of defined orientations and surface structures that allow the imaging of fundamental phenomena and processes occurring at liquid/solid interfaces *in operando* and permit direct correlation with atomistic modeling.

Topics will include:

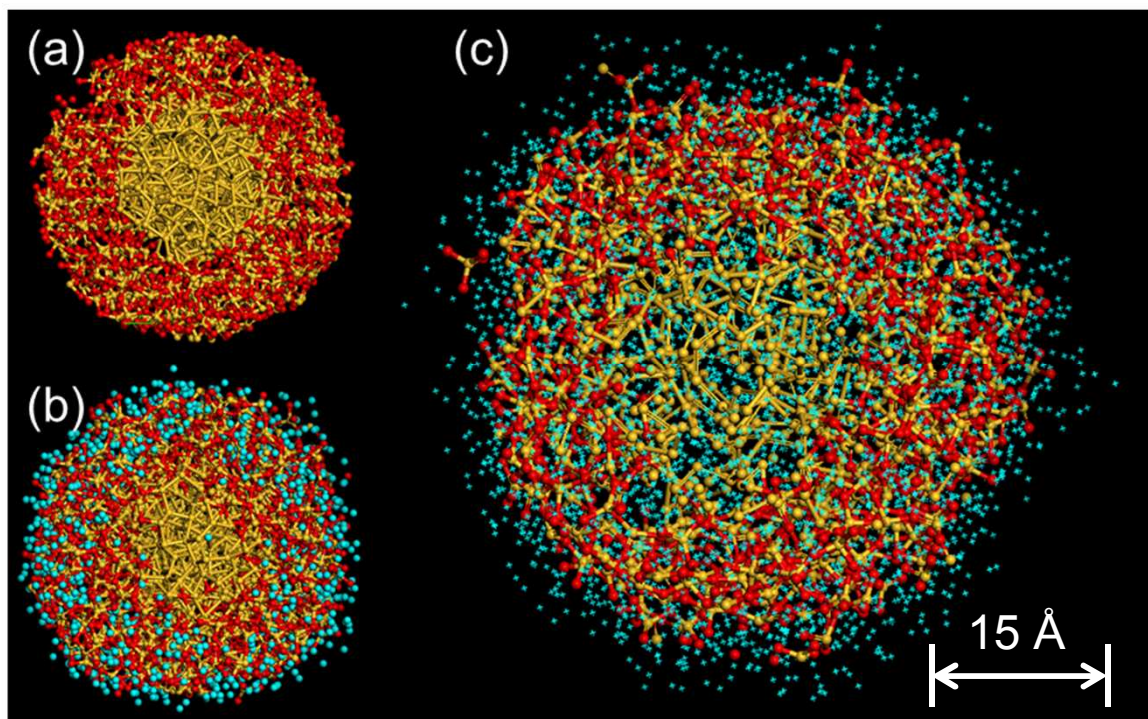
- *In-situ/operando* and other advanced characterization techniques for probing liquid-solid interfaces with high spatial, time resolution and/or chemical sensitivity
- New computational techniques for modeling liquid-solid interfaces
- Fundamental investigation of electrode/electrolyte interfaces in batteries, fuel cells and other electrochemical systems
- Discovery and control of interfacial phenomena, such as ion (de) solvation, transport across liquid-solid interfaces/interphases, and electrodeposition in batteries and other electrochemical systems
- New design of organic/inorganic electrolytes, ionic liquids and liquid-solid interfaces for batteries, fuel cells and other electrochemical systems

Joint sessions are being considered with EE5 - Next-Generation Electrical Energy Storage Chemistries.

NEES2 focus: (nano)structures, mechanisms, smart interfaces, degradation, ionics, solid electrolyte



Lithiation of
 SiO_2 and
 Al_2O_3
covered Si
nanowires



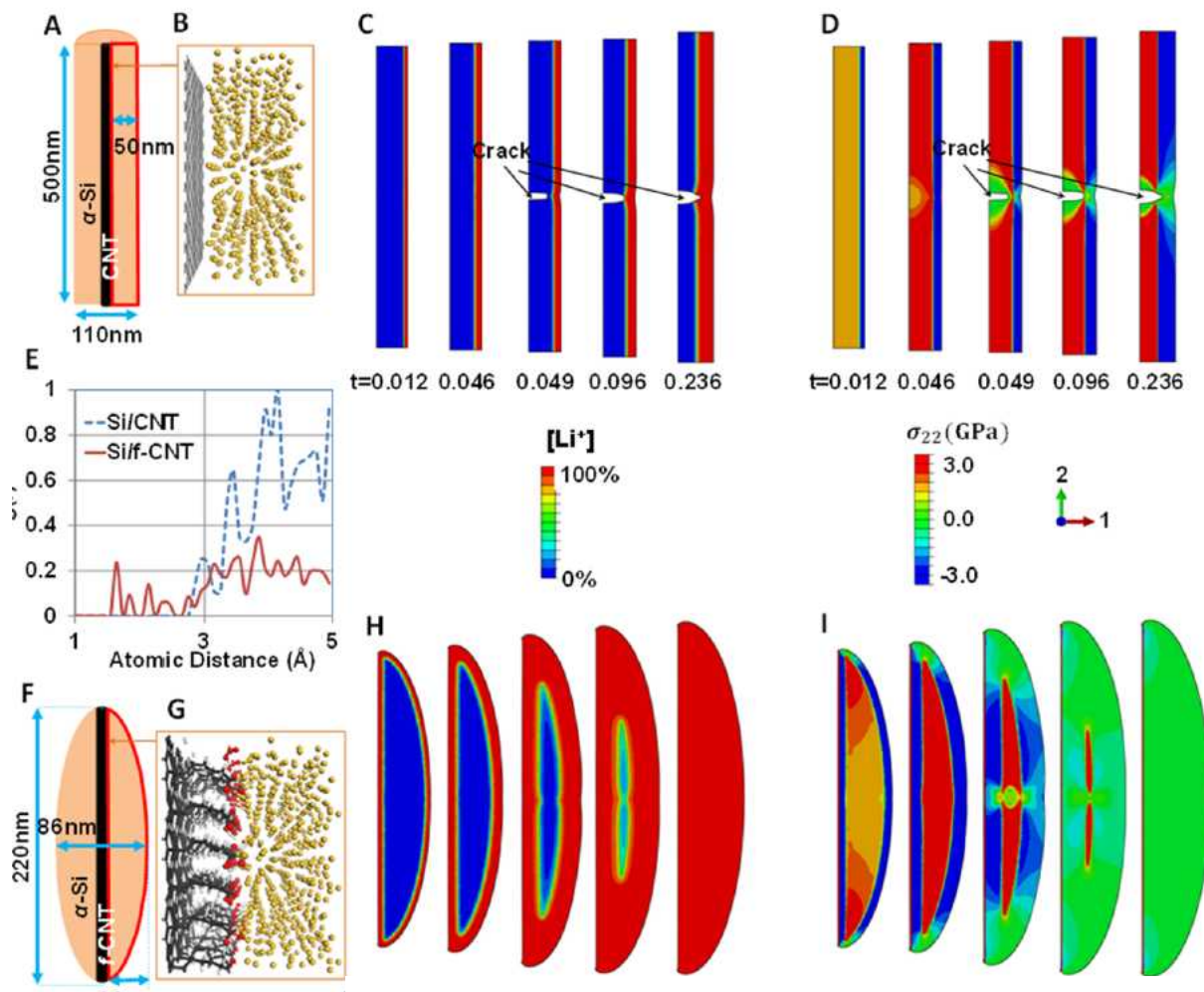
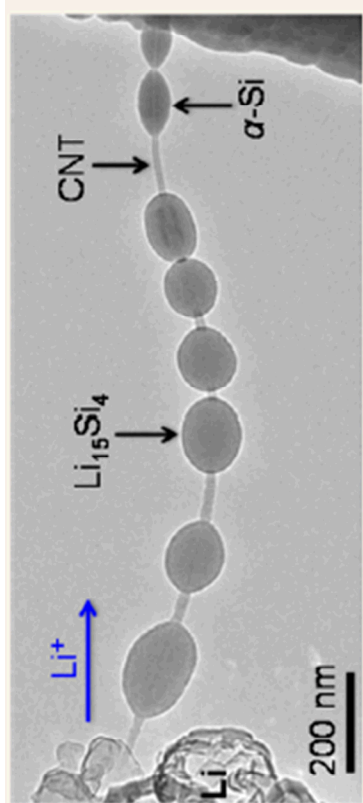
Compare
different shell
thickness
2.5 Å
4.5 Å
7.5 Å

Nanostructures

A Beaded-String Silicon Anode

Chuan-Fu Sun,^{†,‡} Khim Karki,^{‡,‡} Zheng Jia,^{§,‡} Hongwei Liao,[†] Yin Zhang,^{†,⊥} Teng Li,^{§,*} Yue Qi,^{†,*}
John Cumings,^{‡,*} Gary W. Rubloff,[‡] and YuHuang Wang^{†,*}

VOL. 7 ■ NO. 3 ■ 2717–2724 ■ 2013 **ACS NANO**

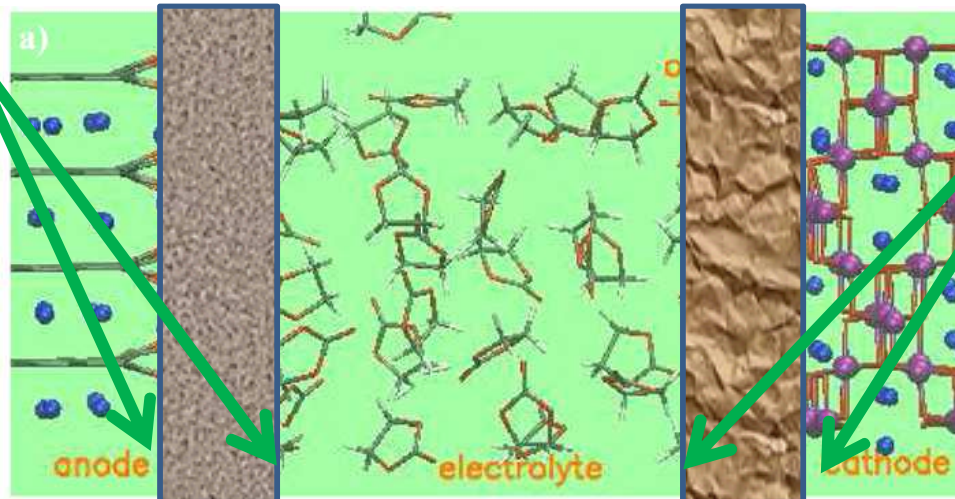


Mechanisms, smart interfaces, degradation, solid electrolyte

**Directing Matter and Energy:
Five Challenges for Science and the Imagination**

*Grand Challenge: How Do We Control
Materials Processes at the Level of Electrons?*

Li⁺ transfer (and
degradaton) at
any of 4 interfaces

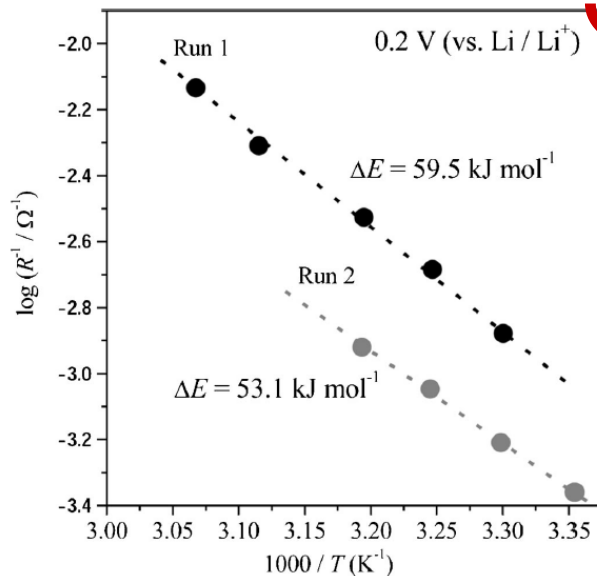


Li⁺ transfer (and
degradaton) at
any of 4 interfaces

Li⁺ rate?

Leung, JPCC (2013)

Li⁺ rate?



- experiments: interfacial charge transfer rate as function of temp., electrolyte, engineered electrode surface ...

Solvated Li-Ion Transfer at Interface Between Graphite and Electrolyte JECS 151:A1120 (2004)

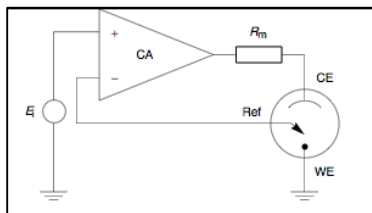
Takeshi Abe,^{*,z} Hideo Fukuda, Yasutoshi Iriyama, and Zempachi Ogumi^{**}

- atomic modeling – not there yet

Reasons

1. thin film, SEI
2. voltage control
3. electrolyte reacts
4. liquid ...

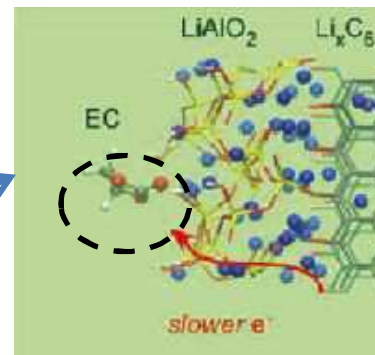
Outline



challenges

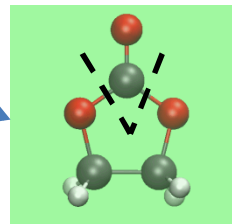
1. thin film, SEI
2. voltage control
3. electrolyte reacts

(A) Atomic layer deposition (ALD)
modified electrode surfaces

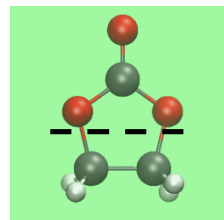


(B) Controlling voltage

(C) Electrolyte stability
(from liquid to solid)



vs.



Main themes

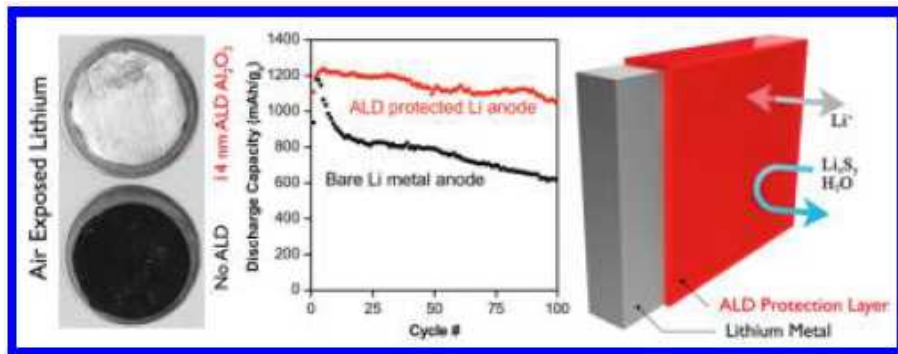
- modeling of solid-liquid and solid-solid interfaces
- fundamental computational electrochemistry
(e.g., Marcus Theory, potential of zero charge)
- unify different branches of computational electrochemistry

1. Atomic layer deposition (experiments)

conform films with well-controlled uniform thickness, chemistry

Next-Generation Lithium Metal Anode Engineering *via* Atomic Layer Deposition

Alexander C. Kozen,^{†,‡} Chuan-Fu Lin,^{†,‡} Alexander J. Pearce,^{†,‡} Marshall A. Schroeder,^{†,‡} Xiaogang Han,[†] Liangbing Hu,[†] Sang-Bok Lee,[§] Gary W. Rubloff,^{†,‡} and Malachi Noked^{*,†,‡,§}

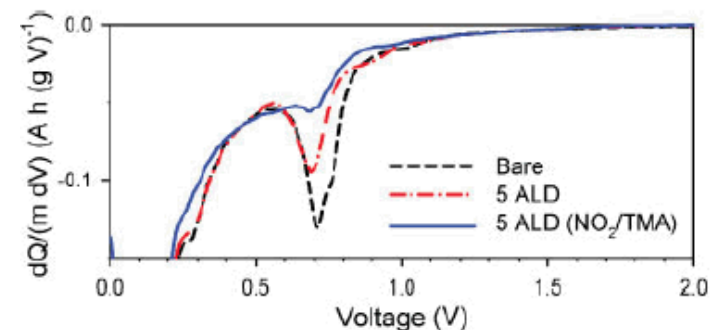
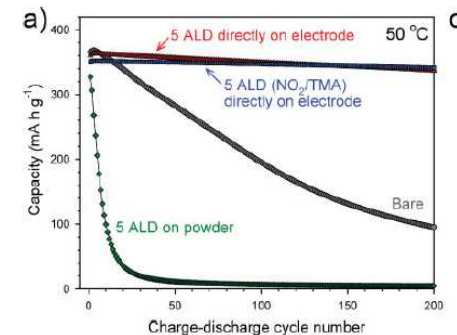
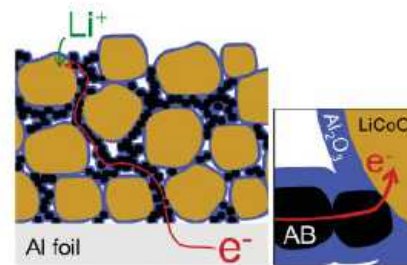


See also Peter Bruce,

Ultrathin Direct Atomic Layer Deposition on Composite Electrodes for Highly Durable and Safe Li-Ion Batteries

By Yoon Seok Jung, Andrew S. Cavanagh, Leah A. Riley, Sun-Ho Kang, Anne C. Dillon, Markus D. Groner, Steven M. George, and Se-Hee Lee*

Direct ALD on electrode



Modeling e^- transfer to EC from LiC_6 through ALD film to EC molecule

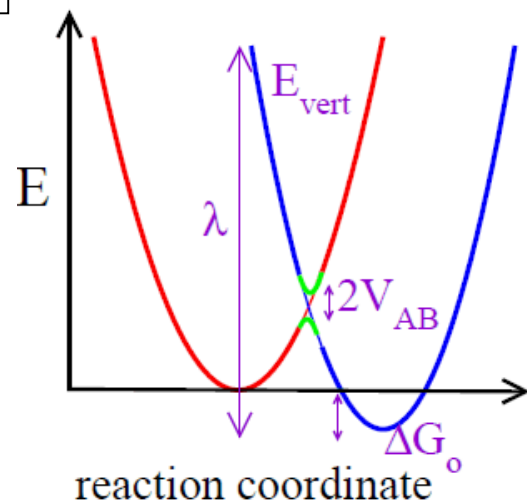
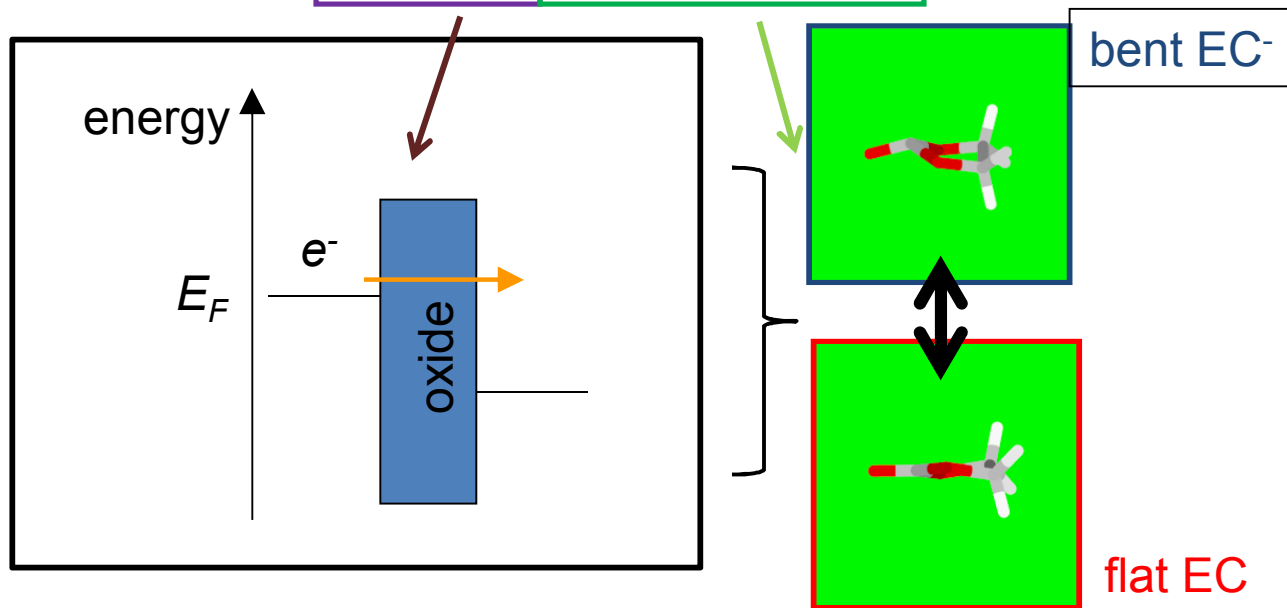
Marcus theory, (non-adiabatic) e^- transfer

λ : reorganization (free) energy

V_{AB} : tunneling matrix element

ΔG_o : include overpotential

$$k_{\text{et}} = \frac{2\pi |V_{AB}|^2}{\hbar \sqrt{4\pi \lambda k_B T}} \exp \left[-\frac{(\Delta G_o + \lambda)^2}{4\lambda k_B T} \right]$$



•control charge on EC:

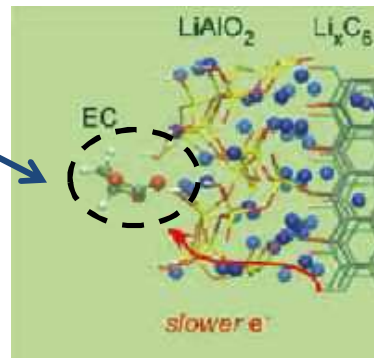
$$W(\mathbf{r}) = V_o[1 - \Pi_i f_i(\mathbf{r})],$$

$$f_i(\mathbf{r}) = 1 - \tanh(\kappa(|\mathbf{r} - \mathbf{r}_i| - w_i)),$$

• V_{AB} involves $\langle \Phi_A | \Phi_B \rangle$ and $\langle \Phi_A | \sum_e W(r_e) | \Phi_B \rangle$ EC : +0.2|e|

use cDFT from photovoltaics

EC $^-$: -0.6|e|



Predictions consistent with microgravimetric expt.

Leung, Qi, Zavadil, Yung, Cavanagh, Dillon, Lee, George, JACS (2010)

Citation here

$$k_{et} = \frac{2\pi}{\hbar} |V_{AB}|^2 \frac{1}{\sqrt{4\pi\lambda k_B T}} \exp \left[- \frac{(\Delta G^o + \lambda)^2}{4\lambda k_B T} \right]$$

set to 0 eV, no over potential

overall

cDFT predictions:

10^{12} /s

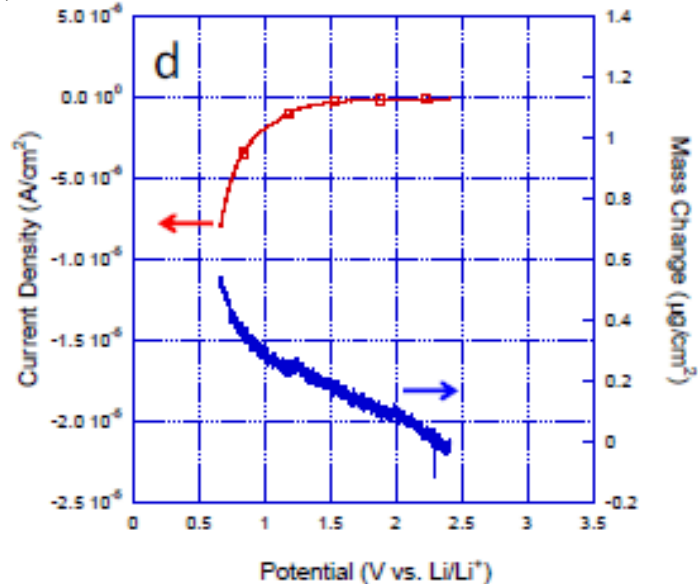
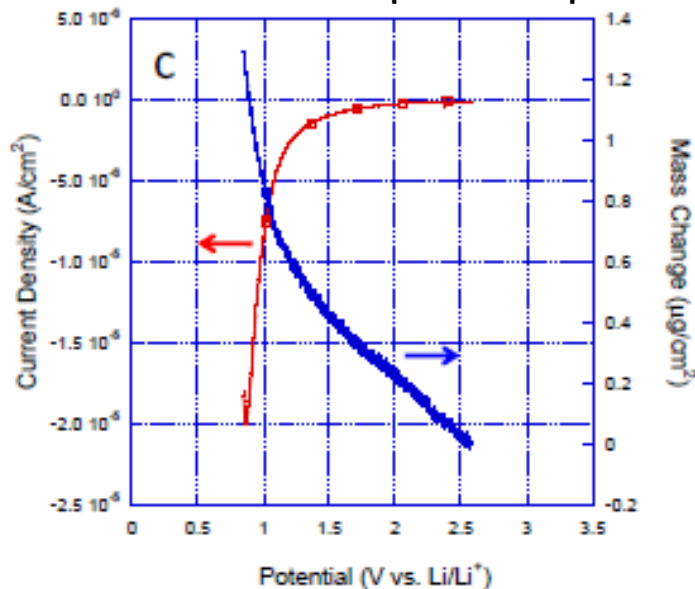
10^{-9}

$\sim 6 \times 10^3$ /s

(could be overestimated)

Zavadil: some decomposition products; less as oxide thickness increases

5.5 Å thick alumina film



11.0 Å thick alumina film

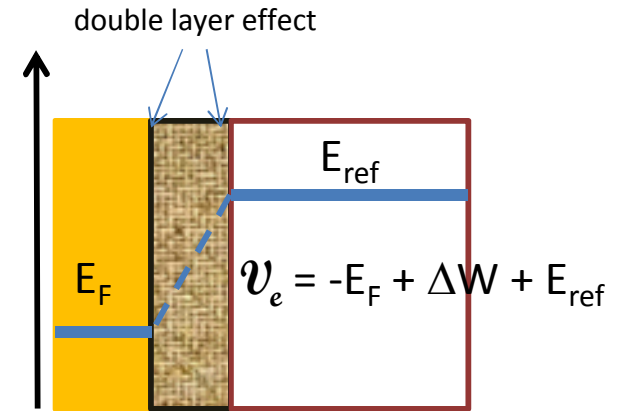
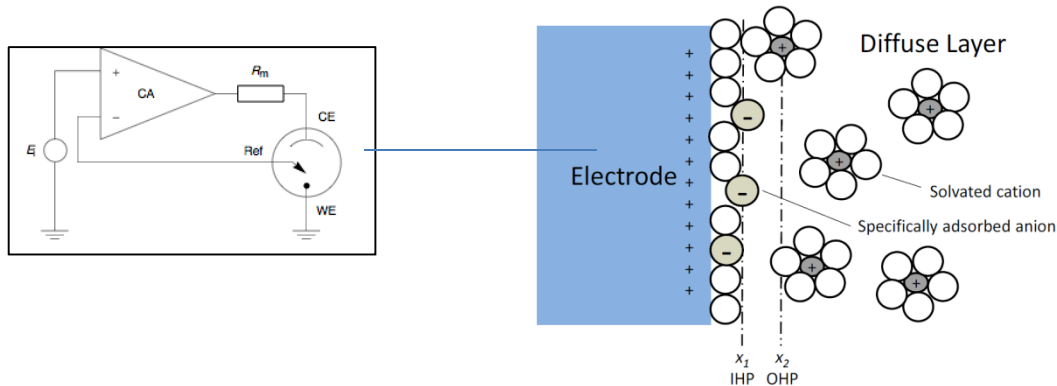
(Yue Qi – WKB estimate of effects of thicker oxide)

Spawned our later work on SEI kinetic phase diagram, Miller/Borodin et al.

2. What is “voltage”? (at atomic lengthscale)

Expt.: whatever the potentiostat says!
(c.f. Kevin Zavadil's nano-electrochemistry)

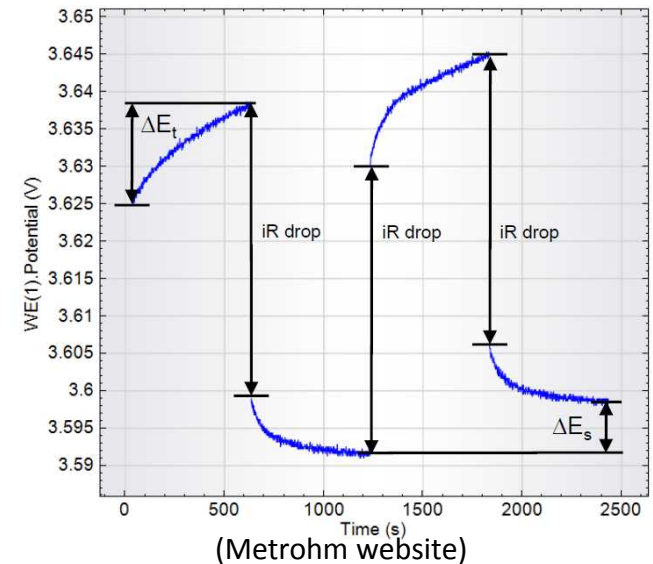
DFT theorist: voltage “=” Fermi level
+ electric double layer (EDL)



potentiostat directly controls electrons
(fast); Li^+ motion follows, slowly.
true, instantaneous “voltage” is \mathcal{V}_e

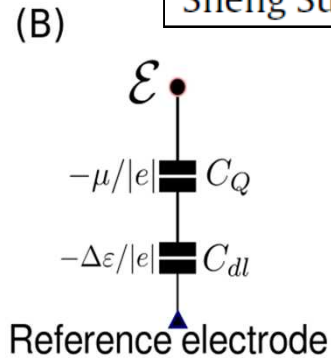
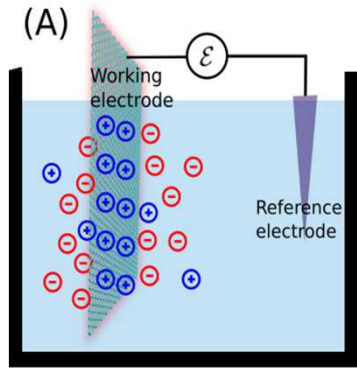
Galvanostatic Intermittent Titration Technique

The GITT procedure consists of a series of current pulses, each followed by a relaxation time, in which no current passes through the cell. The current is positive during charge and negative during discharge.



v_e widely-accepted in supercapacitors, catalysis, photovoltaics

e.g., Dissecting graphene capacitance in electrochemical cell --- A Joint DFT calculation

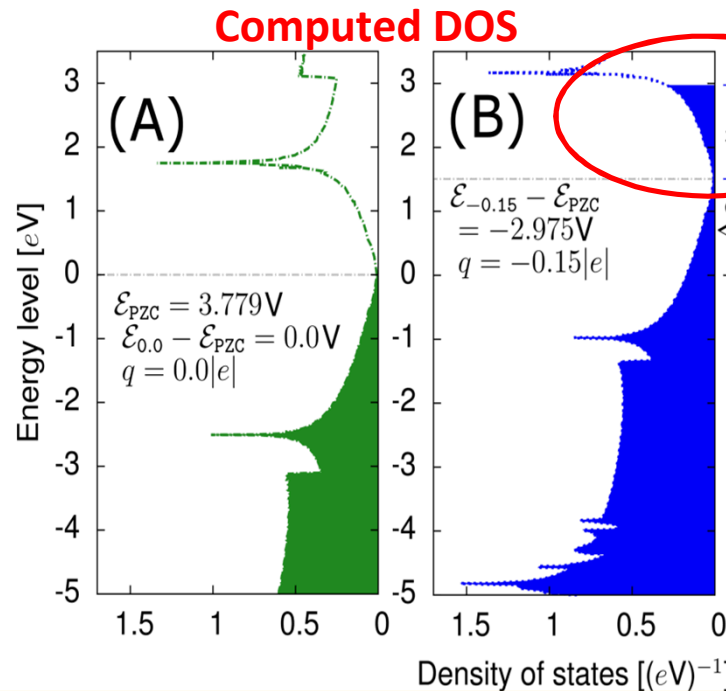
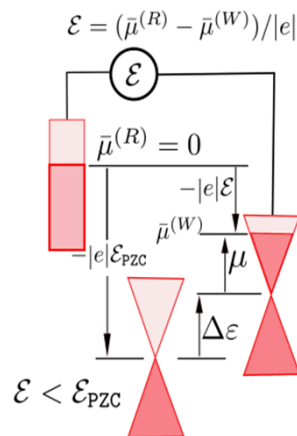
Sheng Sun^{a,b}, Yue Qi^c, Tong-Yi Zhang^{a,*} | *Electrochimica Acta* 163 (2015) 296–302

$$\frac{1}{C_{total}} = \frac{1}{C_{dl}} + \frac{1}{C_Q}$$

due to electric
double layer

quantum capacitance due to
the limited states available
near the Dirac Point

When measuring with a reference electrode, the electron chemical potential reaches equilibrium



Excess charge
causing the
double layers

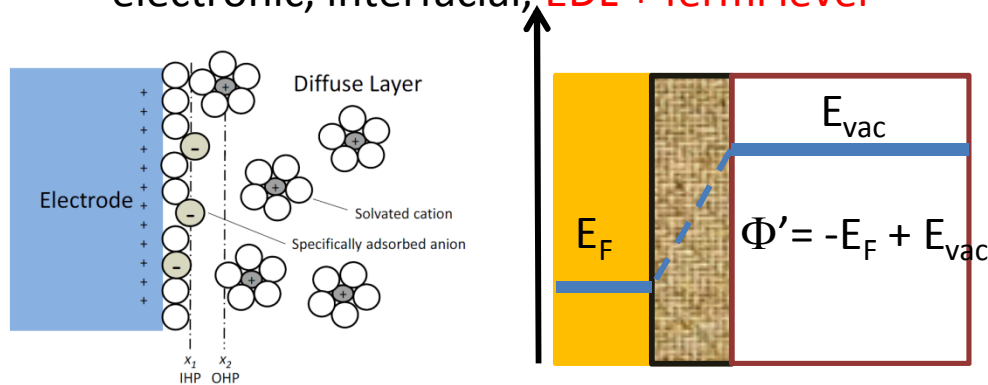
Double layer shifts
all electronic
energy levels

Reaches equilibrium, when
electrons stop flowing.

Interfacial, E_F + EDL expressed by Galli, Otani (this p.m.), Jun Cheng, Michiel Sprk

LIB: Two voltage definitions: electronic vs. “ionic” voltage

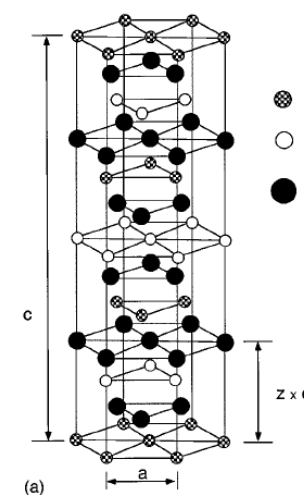
fuel cell, PV, supercapacitors: “voltage” is electronic, interfacial, **EDL + fermi level**



$$\mathcal{V}_e = \Phi' / |e| - 1.37 \text{ V}$$

true instantaneous voltage (“potentiostat”)

Battery modeling: voltage is bulk-like, ionic



$$\mathcal{V}_i = - \frac{\mu_{Li}^{cathode}(x) - \mu_{Li}^{anode}}{zF}$$

\mathcal{V}_e is underfined!

implicitly assume an interface that supports

$$\mathcal{V}_i = \mathcal{V}_e$$

and system is at equilibrium

$$\mathcal{V}_i = \mathcal{V}_e \quad \text{at equilibrium}$$

Li content slowly responds to \mathcal{V}_e

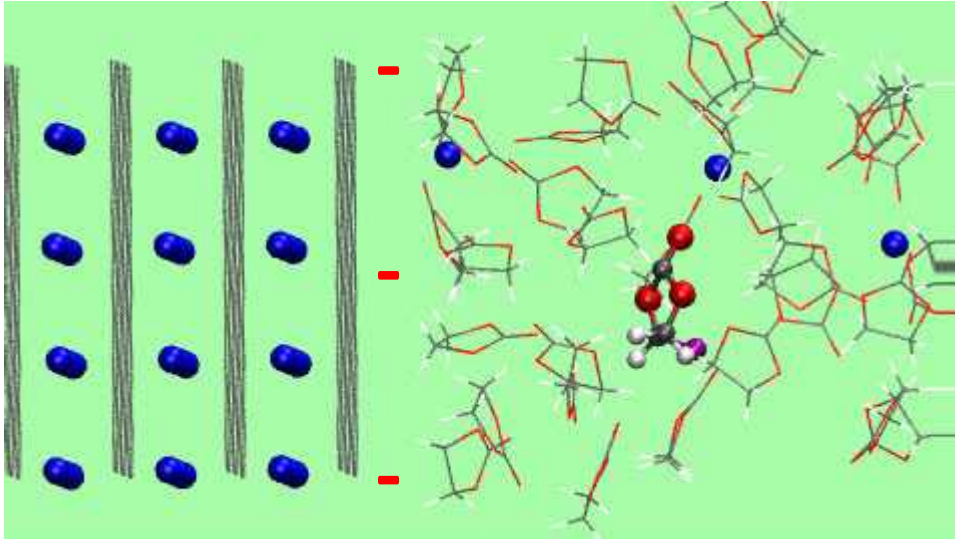
$$\mathcal{V}_i > \mathcal{V}_e \quad \text{more Li enters electrode if possible*, lowers } \mathcal{V}_i$$

$$\mathcal{V}_i < \mathcal{V}_e \quad \text{some Li leaves electrode if possible, raises } \mathcal{V}_i$$

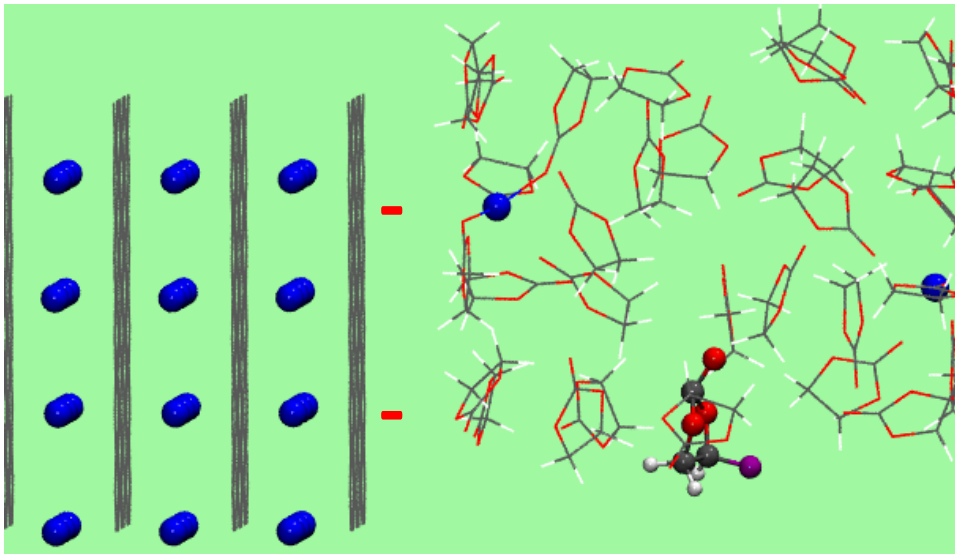
Our voltage-calibrated interface AIMD simulations of FEC reduction shows it is critical to control \mathcal{V}_e via surface electronic charge

potential of zero charge of LiC_6 is not at 0.1 V vs. $\text{Li}^+/\text{Li(s)}$!

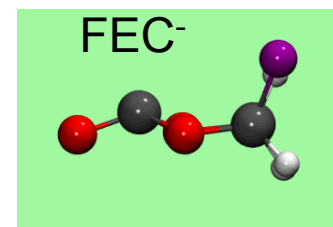
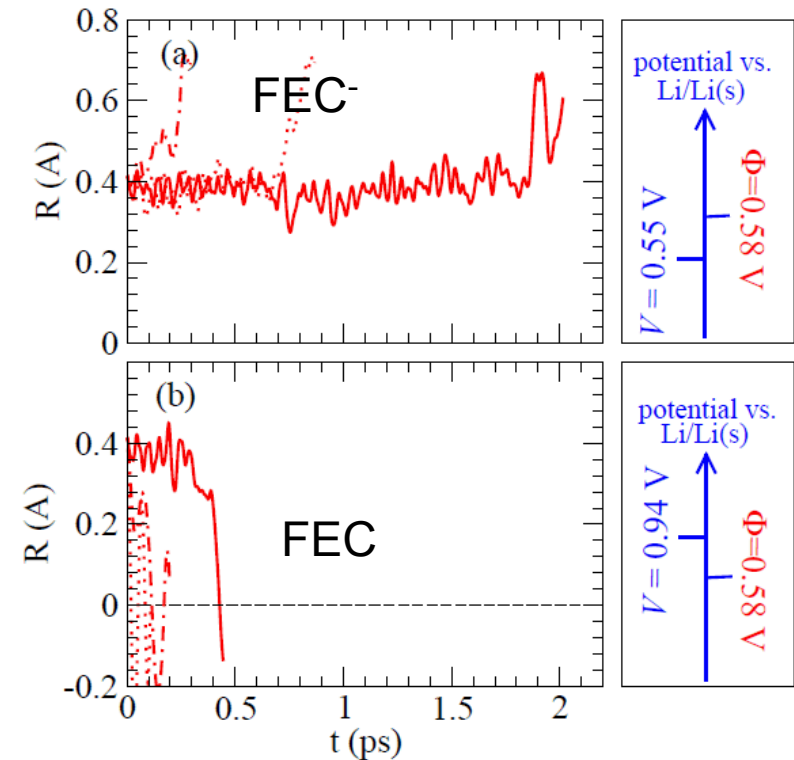
Interface: 0.51 V



Interface: 0.91 V



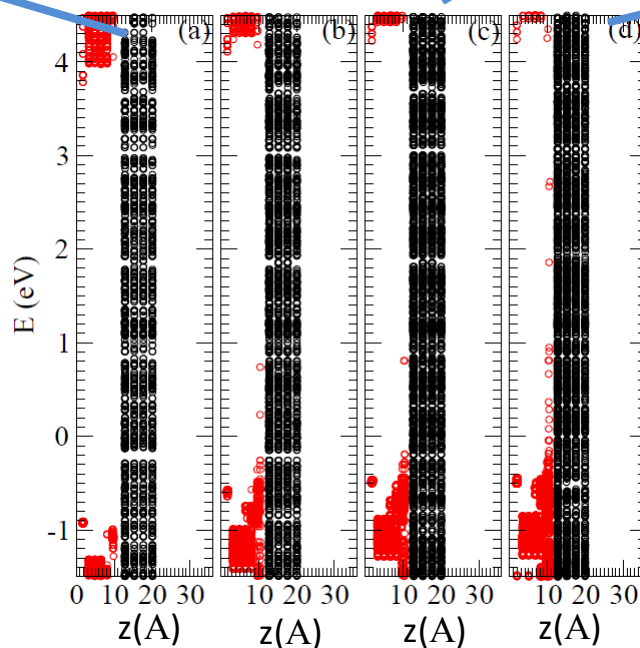
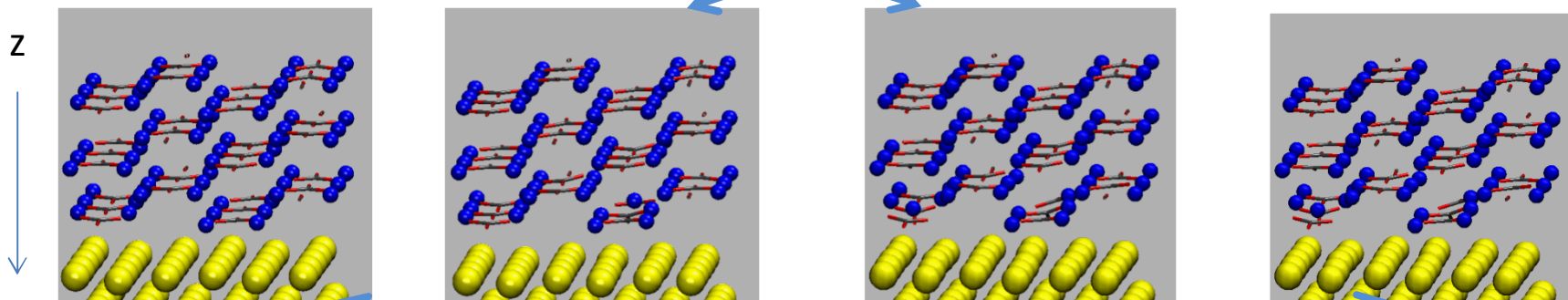
G09 “bulk” calculation: 0.58 V threshold



\mathcal{V}_e issue also relevant to solid electrolyte interfaces

$\Delta N(\text{Li})$	0	-1	-2	-3	-4	-6
\mathcal{V}_e	3.56	3.87	4.03	4.03	4.05	4.41
\mathcal{V}_i	3.53	3.58	3.26	3.55	NA	

Li_2CO_3 basal plane
on Au(111) under
UHV conditions



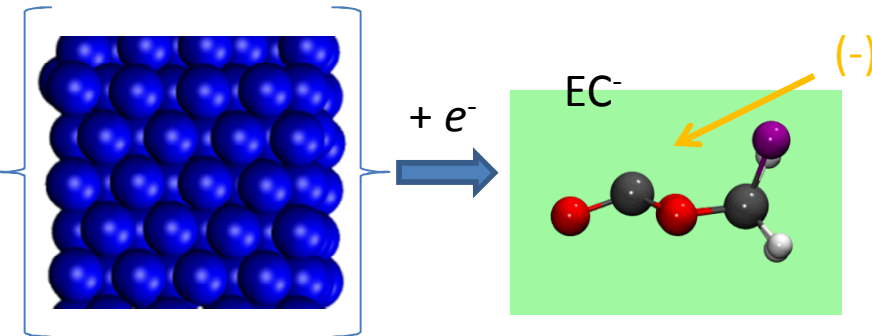
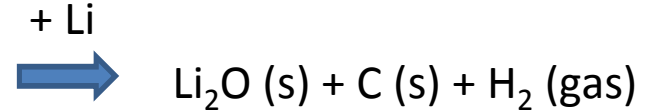
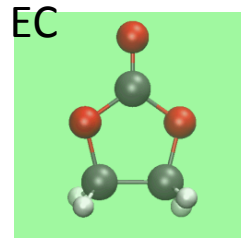
where is voltage drop?
cn lead to new SEI concept

- to "oxidize" Li_2CO_3 ,
remove (e^- , Li^+)
- $|\text{Au}^+|V_{\text{Li}}^-|$ surface
dipole \rightarrow raise \mathcal{V}_e

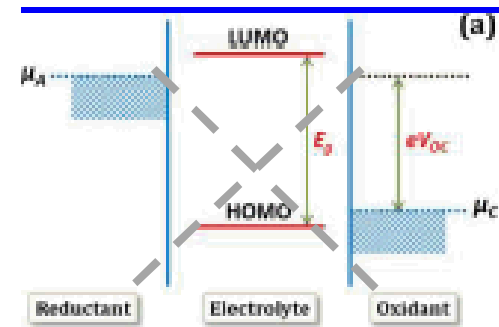
3. Electrolyte stability, decomposition mechanisms

Three possible definitions of instability

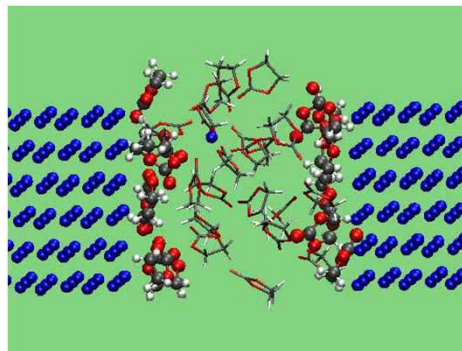
1. Thermodynamic
which phase is most stable?
(ignores kinetics)



2. Intrinsic electrochemical
kinetics of e^- transfer
(break only weak bonds?)

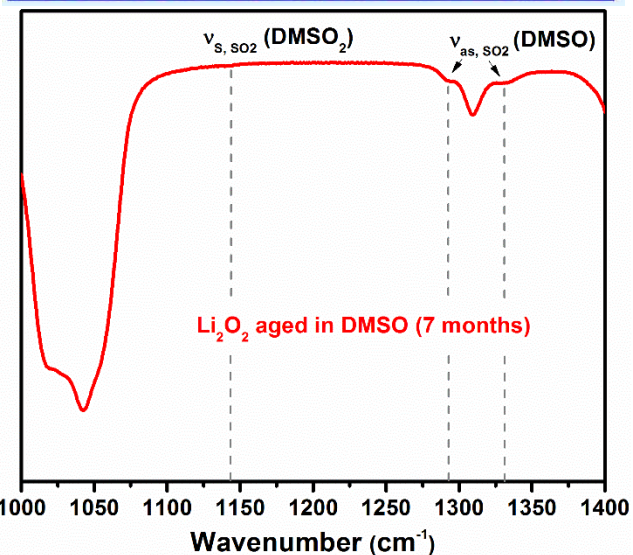
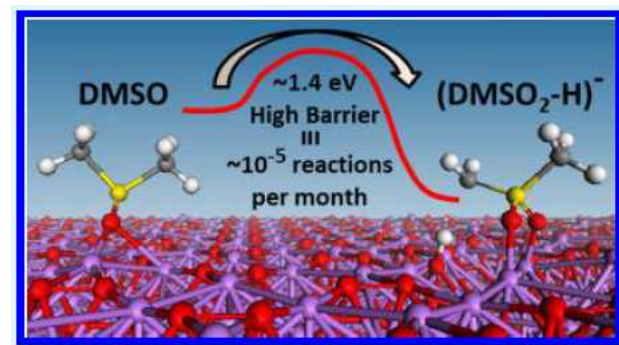
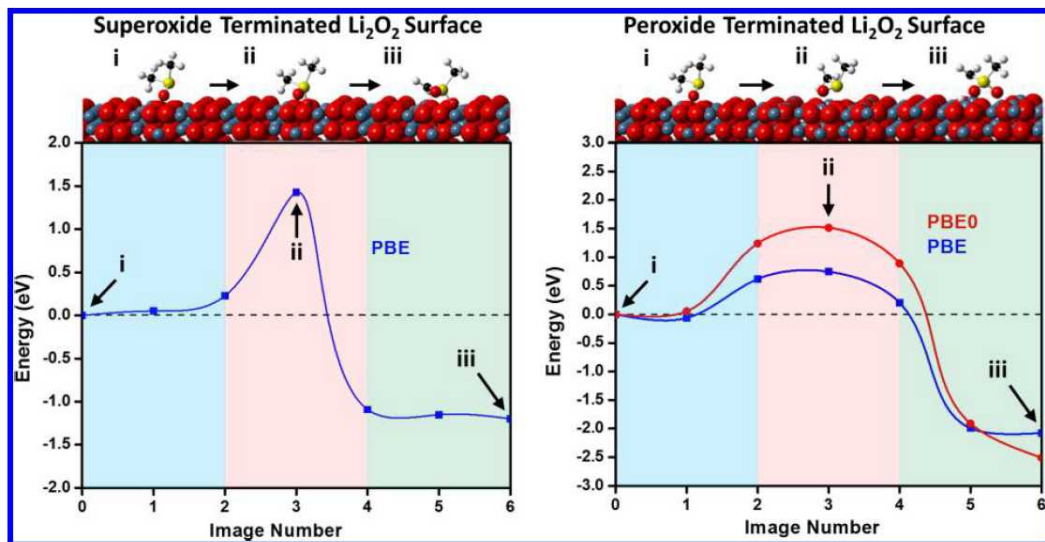


3. Interfacial
explicitly depends
on kinetics
can violate 1 & 2

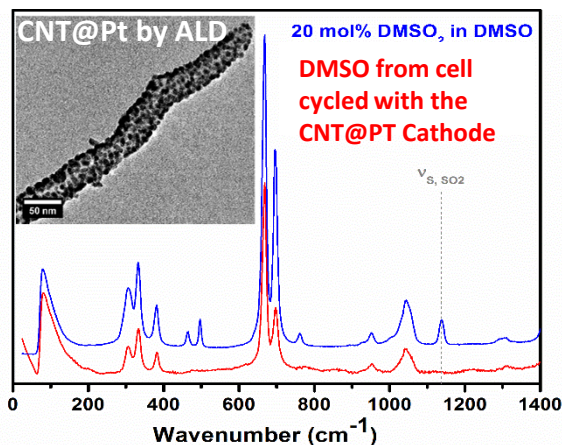
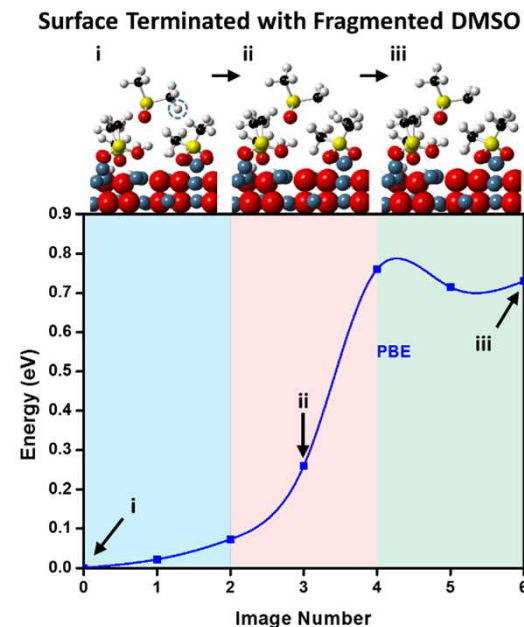


DMSO–Li₂O₂ Interface in the Rechargeable Li–O₂ Battery Cathode: Theoretical and Experimental Perspectives on Stability

Marshall A. Schroeder,[†] Nitin Kumar,^{§,||} Alexander J. Pearse,[†] Chanyuan Liu,[†] Sang Bok Lee,[‡] Gary W. Rubloff,[†] Kevin Leung,[§] and Malachi Noked^{*,‡}



FTIR spectrum of DMSO aged with Li₂O₂, showing no detectable levels of DMSO₂



Raman spectrum of electrolyte sample from cycled cell showing no detectable levels of DMSO₂

ACS Appl. Mater. Interfaces, **2015**, 7 (21), 11402–11411

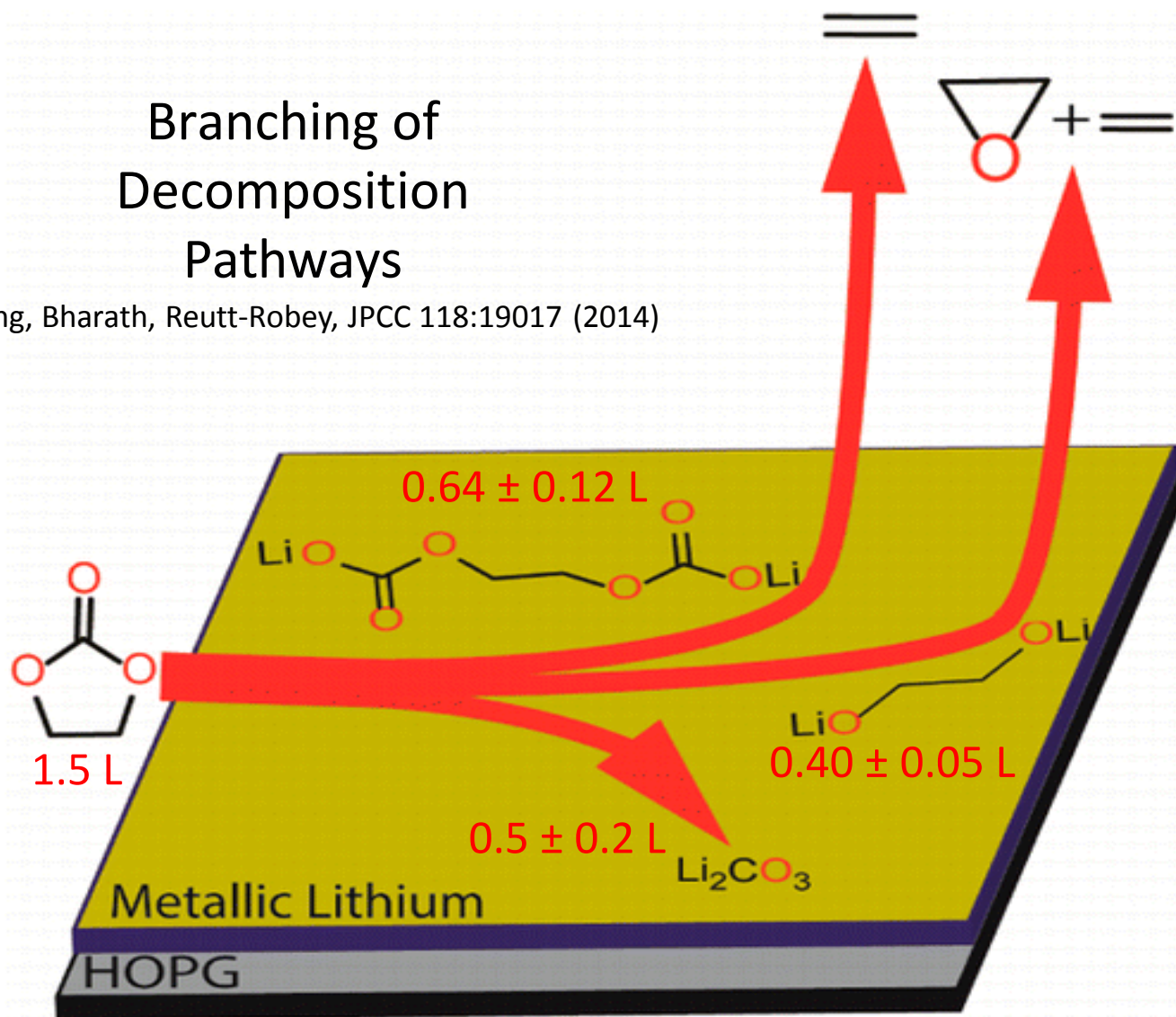
DOI: 10.1021/acsami.5b01969

More details in: Thursday, October 15, 2015: 09:00
101-A (Phoenix Convention Center)

EC Reduction and Decomposition Pathways on Metallic Li

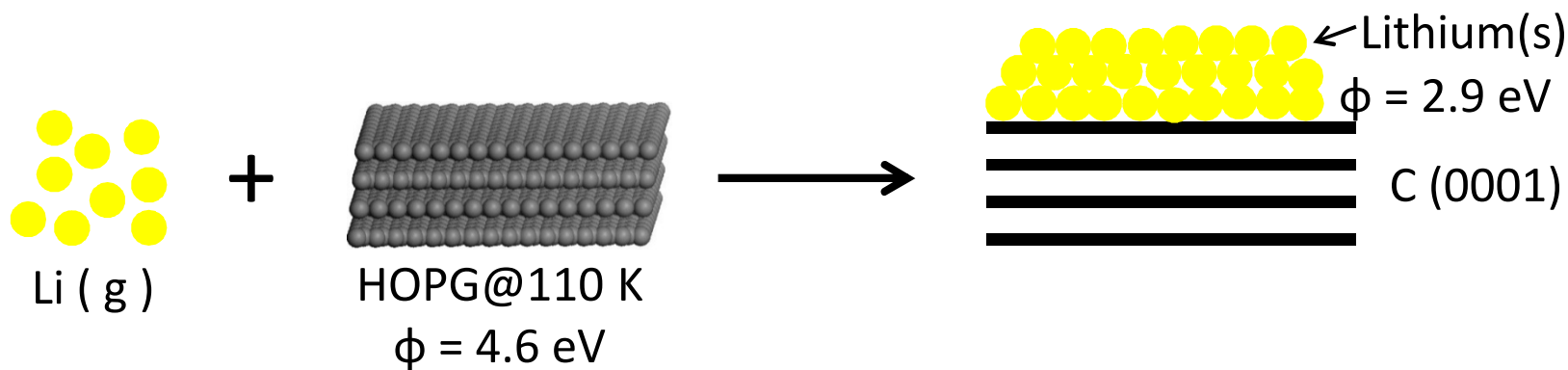
Branching of Decomposition Pathways

Song, Bharath, Reutt-Robey, JPCC 118:19017 (2014)

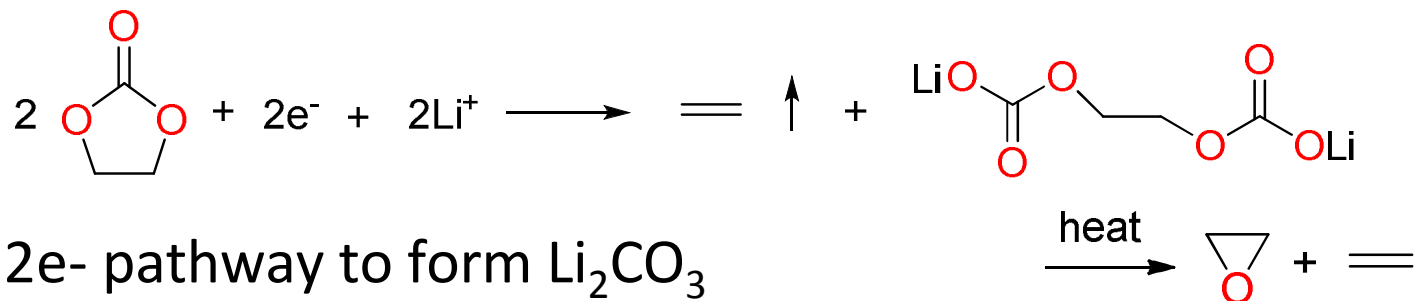


organolithium product : inorganic lithium product $\approx 2 : 1$

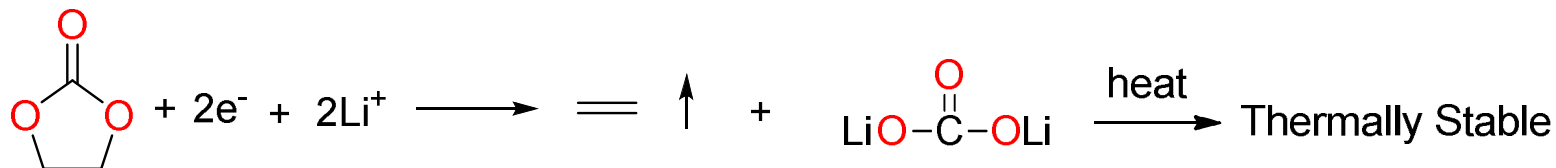
EC Reduction and Decomposition Pathways on Metallic Li



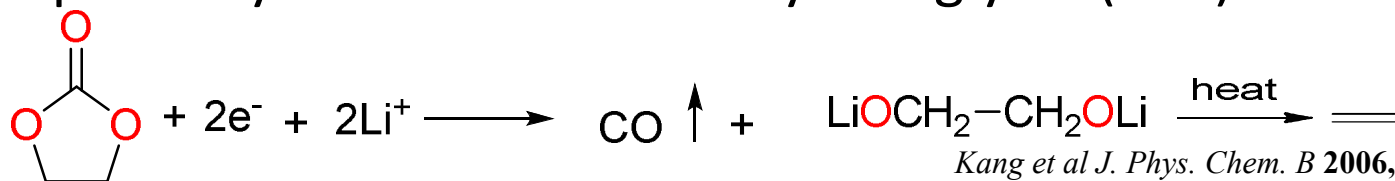
1e⁻ pathway to form lithium ethylene dicarbonate (LEDC)



2e⁻ pathway to form Li₂CO₃



2e⁻ pathway to form lithium ethylene glycol (LEG)

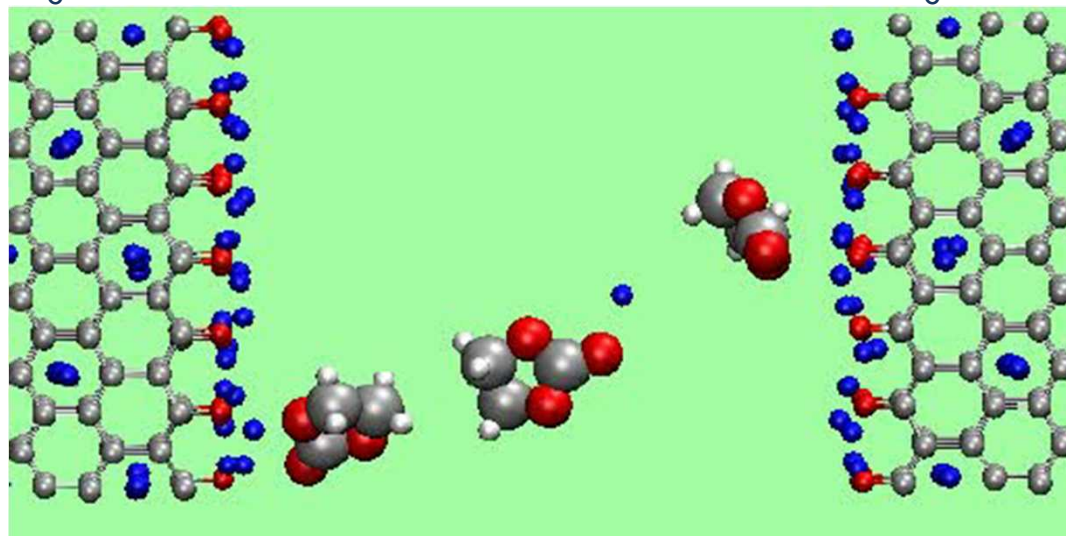


Kang et al J. Phys. Chem. B **2006**, 110, 7708-7719

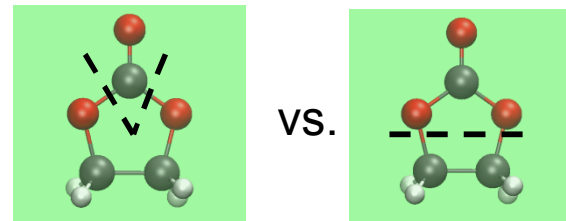
Kevin Private Communication

AIMD modeling: ethylene glycol, CO forms via 2 e⁻ pathway

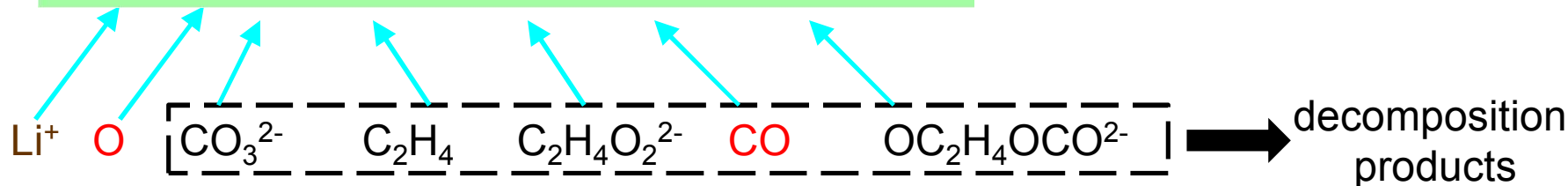
LiC₆ anode electrolyte LiC₆ anode



7 ps AIMD trajectory



Leung, Budzien., PCCP 12:6583 (2010)



Experimentally, CO, C₂H₄ in 1:3 ratio, but the CO-route routinely ignored

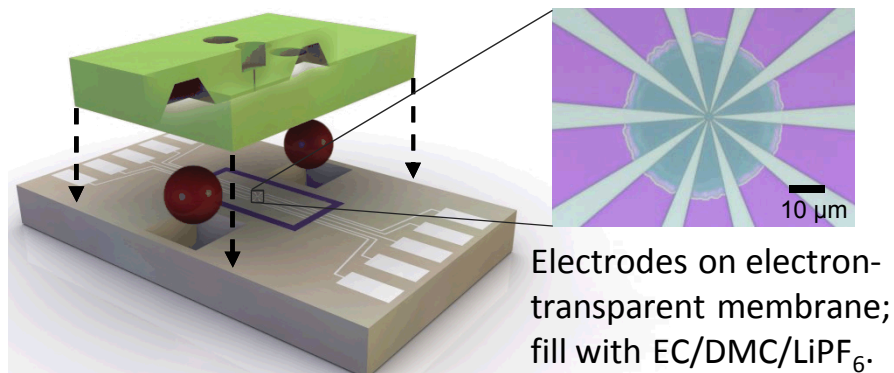
*Onuki et al., JECS 155:A794 (2008)

In this early work the voltage is not well controlled, but only rates, not mechanisms, are affected

A direct view of battery interfaces using *in-situ* TEM

Andrew Leenheer, Tom Harris, and Katherine Jungjohann

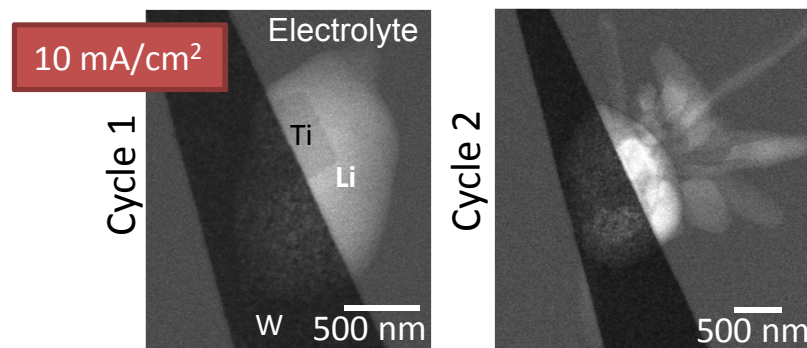
Sandia-fabricated TEM liquid cell



Leenheer et al., *J. Microelectromech. Syst.* **2015**. DOI 10.1109/JMEMS.

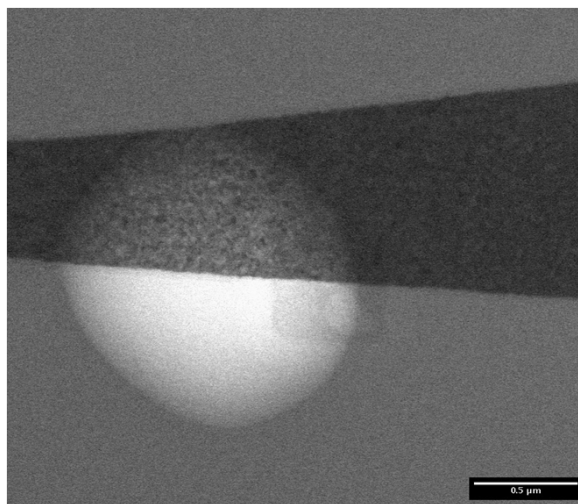
Example application: Li dendrite initiation

Brightfield scanning transmission electron microscopy:



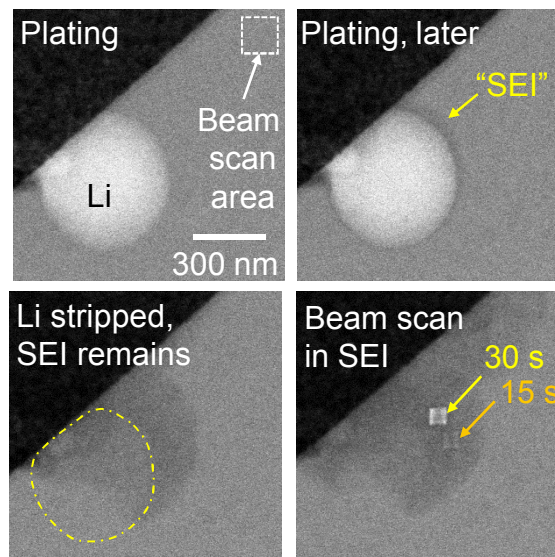
Dendrites formed at high current density and later cycle #.

Operando Li plating and stripping



SEI causes a unique dissolution progression: Li dissolves from isolated defects in SEI.

Electron beam as a probe: create, decompose SEI



Electron beam exposure in the electrolyte accelerates breakdown, forms SEI.

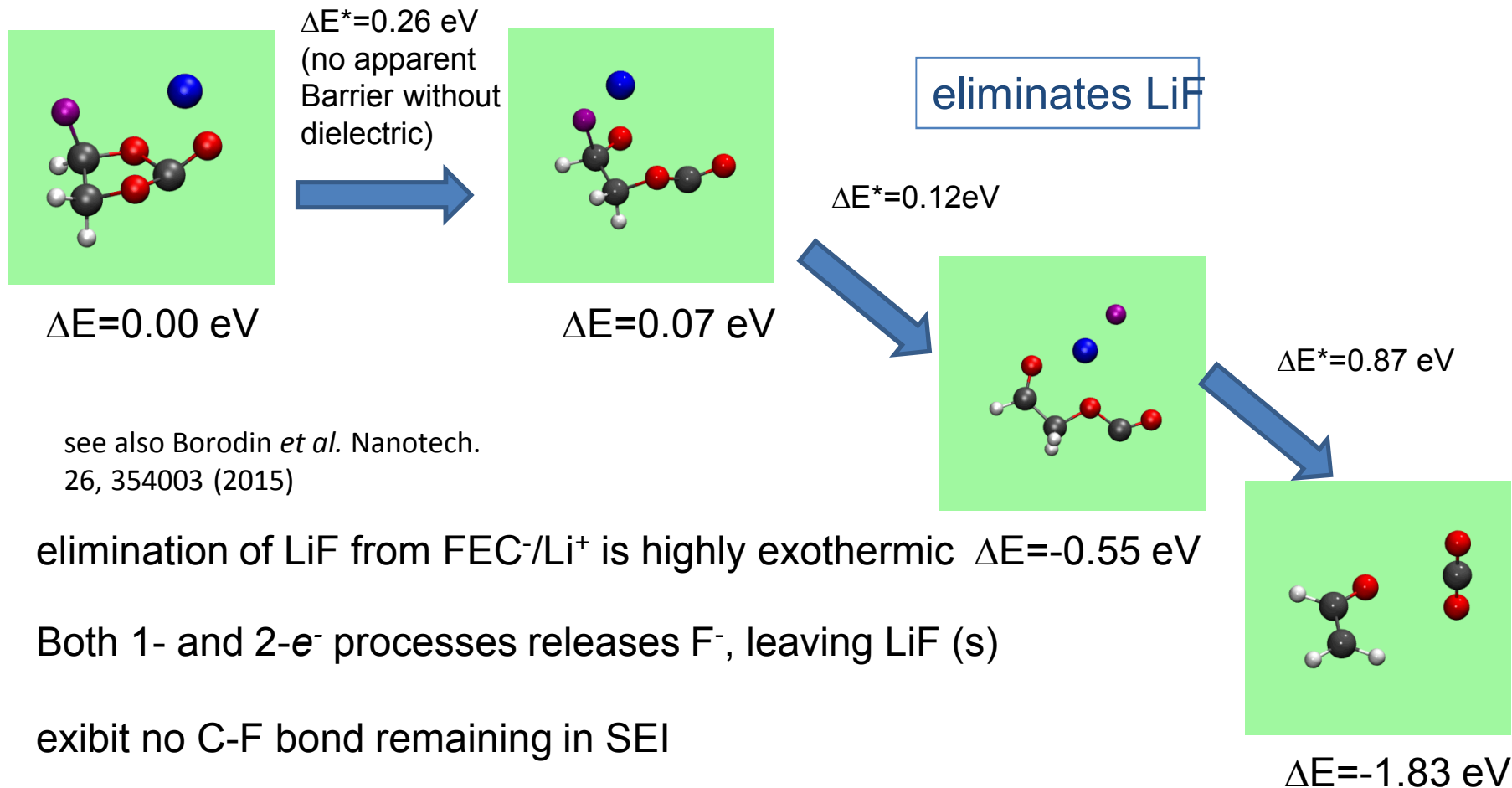
SEI remains after Li stripping.

Beam scanned in SEI reveals light-contrast Li: SEI consisted of Li-containing compounds.

Leenheer et al., *ACS Nano*. **2015**. DOI 10.1021/acsnano.5b00876

Decomposition of FEC-/Li⁺

fluorethylene carbonate (FEC) a much discussed additive for Si-anode



- elimination of LiF from FEC-/Li⁺ is highly exothermic $\Delta E = -0.55 \text{ eV}$
- Both 1- and 2-e⁻ processes releases F⁻, leaving LiF (s)
- exhibit no C-F bond remaining in SEI

What Makes Fluoroethylene Carbonate Different?

confirmed by:

Ilya A. Shkrob,^{*,†} James F. Wishart,[‡] and Daniel P. Abraham

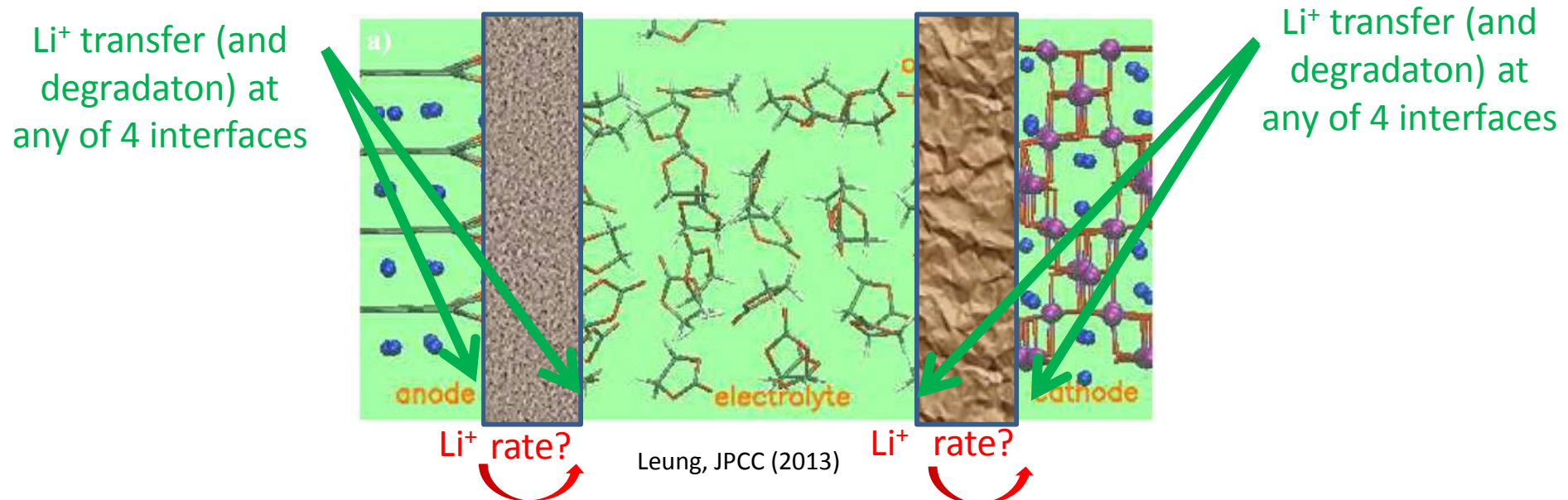
DOI: 10.1021/acs.jpcc.5b03591
J. Phys. Chem. C 2015, 119, 14954–14964

Conclusions + Outlook

- Some accomplishments and challenges
- Computational electrochemistry needs lots of development (this afternoon)
- LIB interfaces: both electrons and Li^+ can move, not pristine electrodes

**Directing Matter and Energy:
Five Challenges for Science and the Imagination**

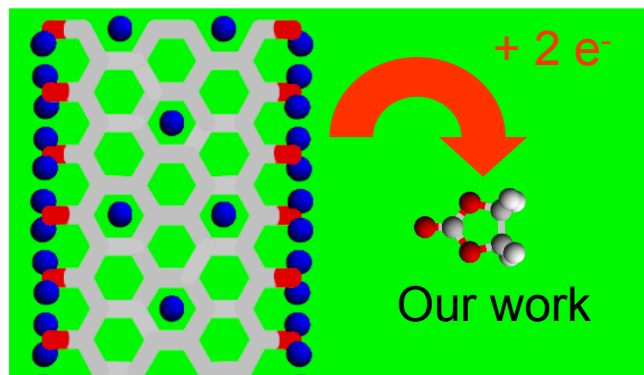
*Grand Challenge: How Do We Control
Materials Processes at the Level of Electrons?*



Please ignore supporting slides after this one

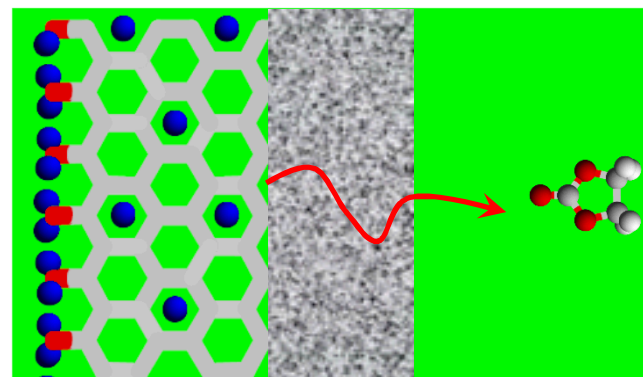
Predictions of anode SEI formation: 2 regimes

No SEI coating



- prediction of two fast mechanisms
- adiabatic (fast electron motion)
- both CO and CO_3^{2-} product channels are barrierless

thin SEI layer (intermediate SEI growth?)



- first “DFT” e^- tunneling rate estimate
- non-adiabatic (slow electron tunneling)
- DFT/PBE overestimates rate, use cDFT

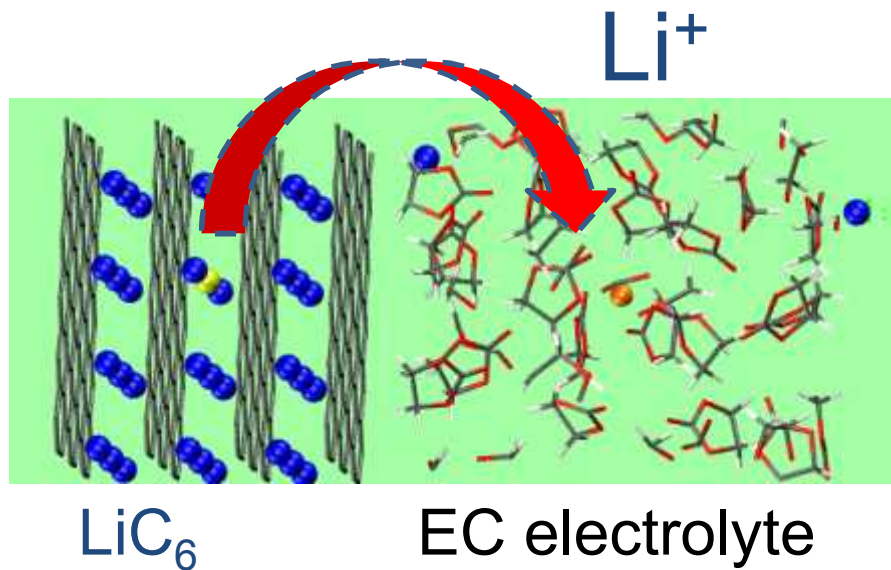
Leung, Qi, Zavadil, Dillon et al., JACS 133:14741 (2011)

Integrate large scale AIMD simulation predictions of 2- e^- reactions into original cluster-based frame work used by Perla Balbuena *et al.* for 1- e^- reactions [Wang, Yamura, Ue, Balbuena, JACS 123:11708 (2001)]

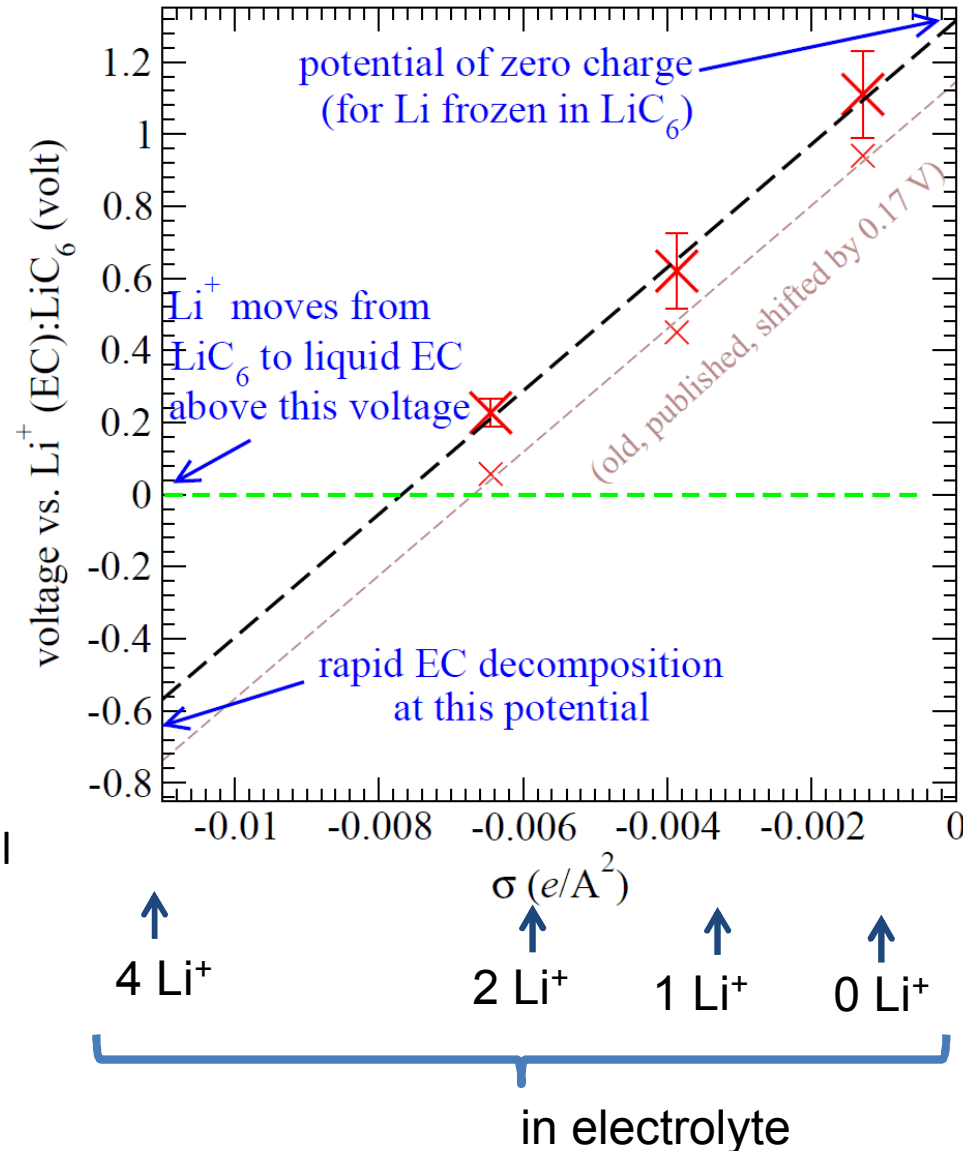
Slide 25

- E1** Changed title font for consistency.
Ernie, 2/10/2012
- E2** Added footer text.
Ernie, 2/10/2012
- E3** Changed slide number style for consistency.
Ernie, 2/10/2012

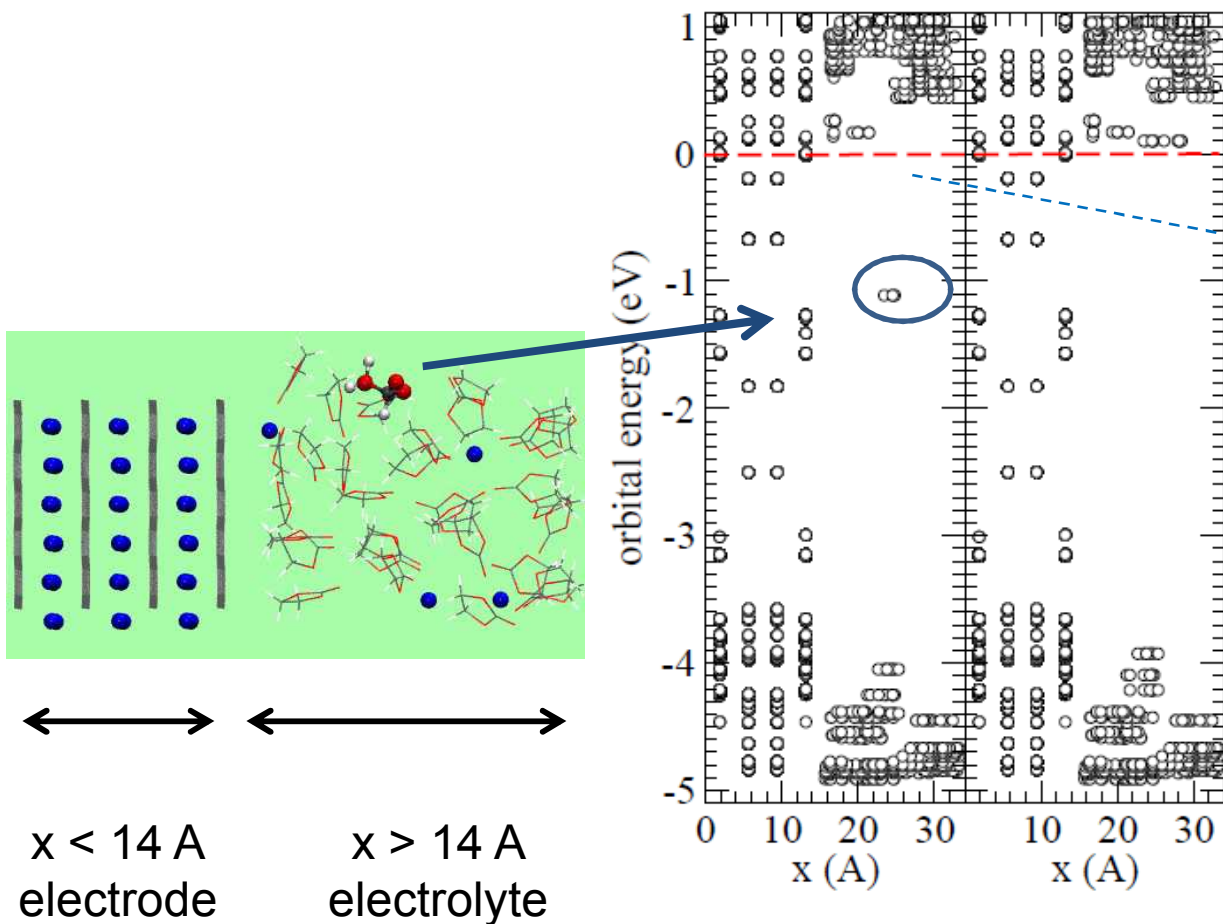
“Anode potential” at basal plane/electrolyte interfaces



- 0 V vs LiC_6 (y-axis) is ~ 0.1 V vs $\text{Li}^+/\text{Li(s)}$
- Net $-ve$ surface charge (σ) exists on basal plane during Li^+ intercalation
- Small cell size $\rightarrow \sigma$ changes during integration ($\Delta G_{\text{hyd}} = \int_0^1 d\lambda \left\langle \frac{dH(\lambda)}{d\lambda} \right\rangle_\lambda$). take halfway point for σ value.



EC⁻ and FEC⁻ form “polaronic” state; *e⁻* does *not* reside in electrolyte LUMO/conduction band



In the literature you often see:

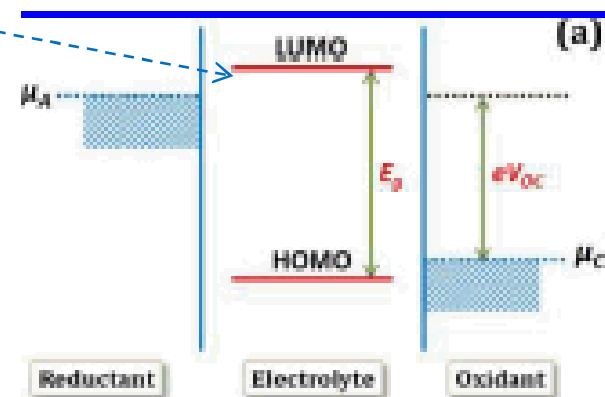


Figure 2. Relative energies of the electrolyte window E_g and the electrode electrochemical potentials μ_A and μ_C with no electrode/electrolyte reaction: (a) liquid electrolyte with solid electrodes; (b) solid

Goodenough & Park,
JACS 135:1167 (2013)

This is wrong!