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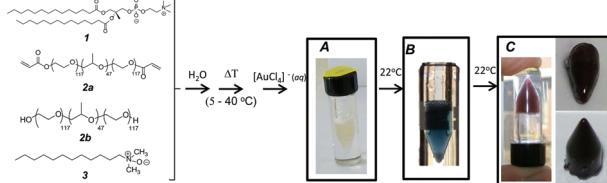
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Cascade synthesis of a gold nanoparticle–network polymer composite†

Simonida Grubjesic,^a Bryan S. Ringstrand,^b Katherine L. Jungjohann,^c Scott M. Brombosz,^a Sönke Seifert^a and Millicent A. Firestone*^b

The multi-step, cascade synthesis of a self-supporting, hierarchically-structured gold nanoparticle hydrogel composite is described. The composite is spontaneously prepared from a non-covalent, lamellar lyotropic mesophase composed of amphiphiles that support the reactive constituents, a mixture of hydroxyl- and acrylate-end-derivatized PEO₁₁₇-PPO₄₇-PEO₁₁₇ and [AuCl₄]⁻. The reaction sequence begins with the auto-reduction of aqueous [AuCl₄]⁻ by PEO₁₁₇-PPO₄₇-PEO₁₁₇ which leads to both the production of Au NPs and the free radical initiated polymerization and crosslinking of the acrylate end-derivatized PEO₁₁₇-PPO₄₇-PEO₁₁₇ to yield a network polymer. Optical spectroscopy and TEM monitored the reduction of [AuCl₄]⁻, formation of large aggregated Au NPs and oxidative etching into a final state of dispersed, spherical Au NPs. ATR/FT-IR spectroscopy and thermal analysis confirms acrylate crosslinking to yield the polymer network. X-ray scattering (SAXS and WAXS) monitored the evolution of the multi-lamellar structured mesophase and revealed the presence of semi-crystalline PEO confined within the water layers. The hydrogel could be reversibly swollen without loss of the well-trained Au NPs with full recovery of composite structure. Optical spectroscopy shows a notable red shift ($\Delta\lambda \sim 45$ nm) in the surface plasmon resonance between swollen and contracted states, demonstrating solvent-mediated modulation of the internal NP packing arrangement.

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Introduction

Organic-transformations executed through cascade synthesis combine multiple reactants and/or catalysts in a single “pot” with multiple sequential or tandem reaction steps generating a complex target molecule.^{1–4} While the application of cascade concepts has received significant interest in natural products chemistry, fewer reports applying this methodology for the production of materials have been described.^{5,6} Recent reports have also detailed the use of structured materials as a means to carry out cascade reactions.^{7–12} These studies have primarily examined the spatial localization/compartmentalization of disparate components to address solubility issues and elimination of mutual inactivation of reactants and intermediates. For example, pre-synthesized structured materials has been used to execute multiple enzymatic cascades as well as explore

approaches for combining the catalytic activity of organometallics and metal nanoparticles with enzymes.^{2,13,14} In these studies, the structured media serves exclusively as a support for the arrangement of the reactants and therefore requires a final step of product isolation from the matrix.^{7,9} To date, the formation of monolithic materials through cascade synthesis that transforms not only the reactants but also the supporting scaffold into a functionally integrated nanostructure remain largely unexplored.

The pursuit for functional nanocomposites that can impact a wide range of technologies, ranging from information processing to energy storage/transducing devices has resulted in significant interest in the preparation of hybrid materials combining, for example, nanoparticles (NPs) with polymers. The preparation of NP–polymer composites and materials exhibiting synergistic and emergent properties has been recently reviewed.¹⁵ The construction of dynamic NP–polymer composites that allow for active modulation of the particle-packing arrangement for functional modulation is an area of increasing activity.^{15–17} Hydrogels are crosslinked (physical or chemical) three-dimensional polymer networks that can be reversibly swollen in water. The formation of composites employing a solvent-responsive matrix offers the possibility of modulating the packing arrangement of the encapsulated nanoparticles (NPs), thereby producing a dynamic or adaptive composite

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1 with tunable physicochemical properties. For example, several
5 groups have explored the use of thermoresponsive poly(*N*-isopropylacrylamide), PNIPAM, as the basis for construction of a
10 biocompatible hydrogel with embedded Au or Ag NPs.^{18,19} Gold NP-hydrogels displaying switchable electrical properties
15 have also been prepared from crosslinked polyacrylamide²⁰ and PNIPAM.^{21,22} The successful marriage of colloidal
20 inorganic metal NPs and polymeric hydrogels commonly involves simple doping of pre-synthesized NPs into a hydrogel
25 or hydrogel precursor followed by gelation. Doping of the
30 pre-formed NPs within a hydrogel provides a straightforward
35 way of introducing well-characterized NPs of known
40 morphology.¹⁵ This strategy, however, often suffers from
45 uncontrolled (irreversible) aggregation/agglomeration of the
50 NPs, localized phase segregation arising from deleterious
55 interactions between the NP surface coating and the matrix,
and the inability to attain a high NP loading level.^{15,23}

Alternatively, *in situ* generation of NPs from metal ions dispersed within a gel followed by subsequent reduction to the metal NP has also been reported.²⁴ The *in situ* generation route offers higher NP number densities. In addition, *in situ* synthesis eliminates deleterious ligand–matrix interactions that arise from doping *ex situ* synthesized NPs coated with protective ligands and can in principle produce tighter particle–particle coupling. Unfortunately, the latter approach is often more synthetically demanding, requiring multiple steps. Production of *in situ* generated NPs within a structured gel matrix defines one area of opportunity for the development and application of cascade synthetic strategies.

Previously we described the preparation of a chemically-crosslinked hydrogel prepared from a quaternary mixture of a saturated phospholipid (dimyristoylphosphatidylcholine, DMPC), a nonionic macromer, diacrylate-end derivatized PEO₁₁₇-PPO₄₇-PEO₁₁₇ and a zwitterionic co-surfactant (e.g., lauryldimethylamine-*N*-oxide, LDAO) dispersed in water.²⁵ The organic amphiphiles self-assemble when dispersed in water, forming a non-covalent, lyotropic mesophase that is optically uniform, transparent and undergoes a thermo-reversible phase transition at 18 °C. Above the phase transition temperature the mixture is an optically birefringent, lamellar structured physical gel (L_{αg}). The lamellar gel phase architecture mimics natural cellular environments (*i.e.*, lipid bilayer, fully hydrated with compositions prepared with 70–90 (w/w) % water) and possesses lattice dimensions on the order of tens of nanometers (15–22 nm). Formation of the mesophase, followed by addition of a crosslinker, polyethylene glycol diacrylate, PEGDA, and a photoinitiator (Darocur-1173) into the aqueous phase, and UV irradiation ($\lambda = 254$ nm) produced a chemically crosslinked multilamellar structured hydrogel. Herein, we report the spontaneous *in situ* synthesis of Au NPs within the aqueous domains of the lamellar lyotropic mesophase without the addition of an exogenous reducing agent. The Au NP redox chemistry also initiates, in tandem, the chemical crosslinking of the macromer, leading to the cascade synthesis of a monolithic Au NP-nanostructured polymer composite.

Experimental

Materials and methods

Lyophilized dimyristoyl-*sn*-glycero-3-phosphocholine (DMPC) was purchased from Avanti Polar Lipids (Alabaster, AL) and used as received. Lauryldimethylamine-*N*-oxide (LDAO) was purchased from Calbiochem-Novabiochem Corp. (LaJolla, CA). PEO₁₁₇-PPO₄₇-PEO₁₁₇ (Pluronic F-98) was obtained from BASF Corporation (Mount Olive, NJ). All other chemicals were purchased from Sigma Aldrich (Milwaukee, WI). Milli-Q (18 MΩ) water was used for sample preparation.

Macromer synthesis and degree of acrylation

The acylation of the triblock copolymer was carried out using a modification of a previously described procedure.²⁵ A solution of Pluronic F-98 (6.00 g, 0.44 mmol) in anhydrous dichloromethane (20 mL) was cooled to –20 °C under an Ar atmosphere. The solution was treated with anhydrous triethylamine (122 μL, 0.88 mmol) followed by slow dropwise addition (*ca.* 1 drop per 5 min) of freshly distilled acryloyl chloride (71 μL, 0.88 mmol). The reaction was transferred to a freezer at –20 °C and stirred overnight. The homogeneous and colorless reaction mixture was poured into diethyl ether (150 mL) yielding a white precipitate. The precipitate was collected by filtration, washed with diethyl ether, and dried *in vacuo*. Product purification was achieved in two steps. First, the crude product was solubilized in warm (40 °C) ethyl acetate. Upon cooling to room temperature and centrifugation a fine white precipitate formed and was separated. The supernatant was added to hexane (200 mL), and the product was recovered after filtration and drying. In the second step, the isolated product was recrystallized from warm (40 °C) isopropanol. The second step was repeated until no evidence of triethylammonium chloride was detectable by ¹H NMR. Typical mass recovery was 80–90% of the starting material. Proton NMR spectroscopy was used to determine the degree of acrylation.²⁵

Complex fluid

A quaternary composition consisting of 0.735 ± 0.01 weight fraction (Φ_w) of water, $\Phi_s = 0.0112 \pm 0.0005$ LDAO co-surfactant, and $\Phi_1 = 0.0949 \pm 0.005$ DMPC lipid, and $\Phi_p = 0.159 \pm 0.001$ F98(Acr)₂. Hydration of the solid components in water was accomplished by repeated cycles of gentle heating (45 °C), vortexing, and cooling on an ice bath until a uniform transparent sample was formed.

Physical methods

¹H NMR spectroscopy was performed on a Bruker model DMX 500 NMR spectrometer (11.7 T) equipped with a three-channel, 5 mm inverse detection, three-axis gradient variable temperature probe with ²H lock at 76.773 MHz. ATR/FT-IR spectroscopy was performed using a Bruker Vertex 70 spectrometer. Data was collected from 4000–600 cm^{–1} with a resolution of 4 cm^{–1} and averaged over 256 scans. UV-Visible-NIR spectroscopy was carried out using a Cary 5G UV/Vis/NIR spectrophotometer. Diffuse reflectance spectroscopy was per-

formed using a DT-1000 CE UV/Vis light source equipped with SD2000 charge-coupled (CCD) detector under OOI Base32 Software (Ocean Optics, Dunedin, FL). Thermogravimetric analysis (TGA) was carried out on a TA instruments Q50 instrument by heating a known amount of sample (2–5 mg) from 25 °C to a final temperature of 500 °C at a rate of 5 °C min⁻¹ under N₂ flow. Differential scanning calorimetry (DSC) was performed on a TA instruments Q100 that was interfaced with a refrigerated cooling system. Instrument calibration was performed using an indium standard. Weighed amounts (5–10 mg) of the polymer and composite were sealed in aluminum pans and equilibrated at -75 °C for 5 min prior to starting the heating/cooling cycle collected at 2 °C min⁻¹. Small-angle X-ray scattering (SAXS) measurements were made using the pin-hole set-up at the undulator beamline 12ID-C (12 keV) at the Advanced Photon Source (APS) at Argonne National Laboratory as previously described. All measurements were made at 25 °C (±1 °C).

Conventional transmission electron microscopy (TEM) imaging was performed at 300 kV on a FEI Tecnai F30. Thin samples were prepared on holey carbon coated Cu-grids by converting the solid sample to liquid form by chilling, then wicking away excess solvent. Energy dispersive X-ray spectroscopy (EDX) was performed on the Au NPs using an EDAX ECON 30 mm² SiLi detector with a Super Ultra Thin Window (SUTW).

Results and discussion

A gold nanoparticle (Au NP)-polymer network composite was prepared by combining a saturated phospholipid DMPC (**1**) a diacrylate end-functionalized non-ionic triblock copolymer, Acr-PEO₁₁₇-PPO₄₇-PEO₁₁₇-Acr (referred to as F98(Acr)₂ macromer **2a**), the hydroxyl-terminated triblock copolymer (referred to as F98, **2b**) and a co-surfactant, LDAO (**3**) in an aqueous solution (2 μM) of [AuCl₄]⁻ (Fig. 1). The diacrylate end-derivatized F98 serves as a reactive macromer and was obtained by the esterification of the terminal hydroxyl groups of F98 with

acryloyl chloride following a modified procedure that was previously described.²⁵ ¹H NMR confirms macromer formation and was used to determine the degree of acrylation which was typically between 75–100%. Temperature cycling of the multi-component amphiphilic mixture between 5 and 45 °C produces a uniform optically transparent pale yellow physical gel at room temperature (Fig. 1A) that reversibly converts to a low viscosity fluid upon cooling below 18 °C. The physical gel has been previously determined to arise from the temperature-driven entanglement and physical crosslinks between adjacent PEO blocks.²⁶ Upon storage (~1 h) of the physical gel at room temperature, the yellow color diminishes and a pale blue-grey color emerges. Continued storage at room temperature results in the formation of a brilliant blue colored weak physical gel (Fig. 1B) which quickly transitions into a burgundy gel (Fig. 1C, left panel). The distinct color changes are consistent with the reduction of [AuCl₄]⁻ to Au⁰, formation of Au NPs, and evolution in particle aggregation state/size.²⁷ During the initial color changes the sample retains the reversible thermo-inverted phase transition (*i.e.*, a physical gel at a higher temperature than the liquid phase). Samples prepared using 75% acrylate end-derivatized F98 (25% remaining hydroxyl-terminated F98) transition into a purple waxy, solid material that is self-supporting and retains the shape of the vial from which it was prepared (Fig. 1C, right panel) upon extended storage (12 h). The loss of the thermo-inverted phase transition and conversion into a mechanically durable solid suggests chemical crosslinking of the terminally-appended acrylate groups on the triblock copolymer. Samples prepared using 100% acrylate end-derivatized F98 proceeds through the same color transitions but fail to yield a solid.

Chemical gel formation

Successful crosslinking of the F98 macromer and formation of a chemical (polymer network) gel can be confirmed, in part, by vibrational spectroscopy on samples prepared with a degree of acrylation at 75%. The ATR/FT-IR spectrum collected on the

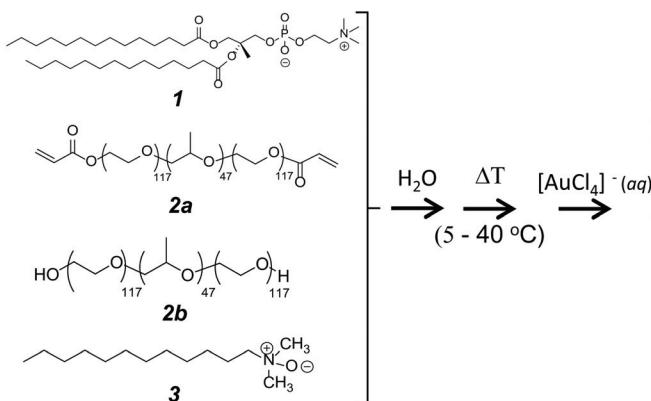


Fig. 1 Synthetic scheme for preparation of a Au NP composite. Photographs of lipid-polymer based mesophase as it spontaneously progresses from an initial pale yellow mixture; to a deep blue physical gel; to a burgundy physical gel; and finally to a durable, self-supporting chemical gel that takes on the shape of the vial in which it is prepared.

self-supporting composite is shown in Fig. S1† (purple curve) and is compared to a spectrum collected on a chemical gel prepared by photo-initiated crosslinking employing a F98(Acr)₂-based complex fluid mixture with a water soluble crosslinker, PEGDA, (Fig. S1,† black curve).²⁵ Both spectra show a diminished mode assigned to the acrylate carbon–carbon double bond at 1643 cm⁻¹. Concomitant appearance of a mode in the region 1730–1740 cm⁻¹ is observed, signaling the production of unconjugated acryloyl carbonyls (C=O stretching region). The position of this mode in the Au NP composite is located at a slightly higher wavenumber (1740 cm⁻¹) than observed for the photo-crosslinked hydrogel (1731 cm⁻¹). To better visualize changes in the vibrational spectrum collected on the Au NP composite the difference spectrum (Au NP composite – a photo-initiated chemical gel) is presented in Fig. 2. The 9 cm⁻¹ shift in the position of the carbonyl mode in the composite may arise from interactions with the Au NPs.²⁸

Vibrational modes that provide information on the state of the F98 triblock copolymer within the composite are located in the spectral range between 1400 and 800 cm⁻¹ (Fig. 2B). The IR spectra of both the Au–NP composite and the photochemically crosslinked gel display modes at 1104 cm⁻¹ (F98 C–O–C stretch), 1060 cm⁻¹ (F98 C–O–C stretch and CH₂ rock), and 843 cm⁻¹ (crystalline PEO CH₂ bend). The modes at 1466 cm⁻¹ (F98 CH₂ bending), 1342 cm⁻¹ (crystalline PEO CH₂ wag), 1279 cm⁻¹ (crystalline PEO CH₂ twist), 1148 cm⁻¹ (crystalline PEO C–C stretch & F98 C–O–C stretch), and 962 cm⁻¹ (crystalline PEO CH₂ rock) have shifted to lower wavenumbers compared to the photochemically crosslinked gel.²⁹ Lastly, modes uniquely observed in the Au NP–polymer composite (red markers highlight these modes in Fig. 2B) occur at 1417 cm⁻¹ (carboxylate C–O stretching),³⁰ 1361 cm⁻¹ (crystalline PEO CH₂ wagging & C–C stretch), 1180 cm⁻¹ (PEO metal salt), 927 cm⁻¹ (PEO metal salt), 826 cm⁻¹ (crystalline PEO).²⁹ These observed differences are consistent with the composite being composed of a higher crystalline content of PEO than the photocross-linked gel. This observation is consistent with the work of

others which have shown that addition of salt to aqueous solution of Pluronics induces a greater degree of PEO segment crystallinity.³¹ Here, the introduction of the [AuCl₄]⁻ and/or production of the Au NPs appears to induce a higher PEO crystalline content.

Lastly, vibrational modes characteristic of the phospholipid (DMPC)³² are found, including the symmetric and asymmetric alkyl methylene carbon vibrational modes at 2850 cm⁻¹ and 2920 cm⁻¹, respectively. The position of the methylene carbon modes indicate the lipid alkyl chains are well-ordered, adopting predominately an all-trans conformational state.³³ The symmetric and asymmetric PO₂⁻ stretching modes at 1086 cm⁻¹ (found as a weak shoulder on the stronger C–O stretch) and 1205 cm⁻¹, all providing evidence to support the retention of the lipid after composite formation.²⁵

Au NP formation

The deep burgundy color of the final product suggests the reduction of [AuCl₄]⁻ to Au⁰ and formation of Au NPs. Transmission electron microscopy (TEM) provides direct confirmation of Au NPs and determination of particle morphology. A representative TEM image collected on a thin film of the chemical gel supported on a holey carbon grid is shown in Fig. 3A. The image reveals a collection of well-dispersed, spherical particles, ranging in size from 5–20 nm and an average diameter of 12.4 ± 2.3 nm (averaged over 197 particles) (Fig. 3B). High resolution TEM was not possible due to persistent charging of the sample. Energy-dispersive X-ray (EDX) spectroscopy (Fig. 3C) carried out on isolated particles proves the primary particles are gold, with a background signal of C and Cu from the supporting grid.

In order to gain further insights into the formation of the Au NPs, optical spectra were recorded at selected time points as the sample visually transitioned through the color changes and formed a chemical gel. The initial optical spectrum recorded on a freshly prepared [AuCl₄]⁻ doped lyotropic mesophase shows the expected single absorption maximum at

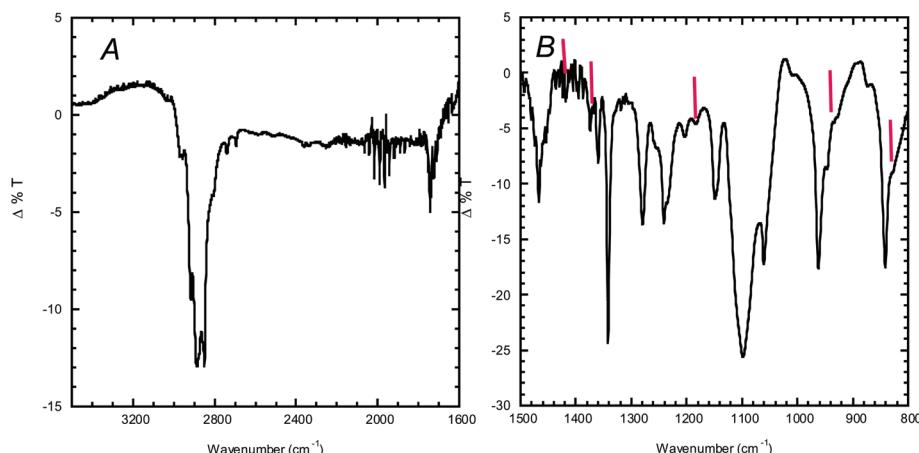


Fig. 2 Difference ATR/FTIR spectra, $\Delta\%T - \%T$ Au–NP composite – $\%T$ photo-initiated crosslinked F98(Acr)₂-based complex fluid. (A) High frequency region and (B) low frequency region.

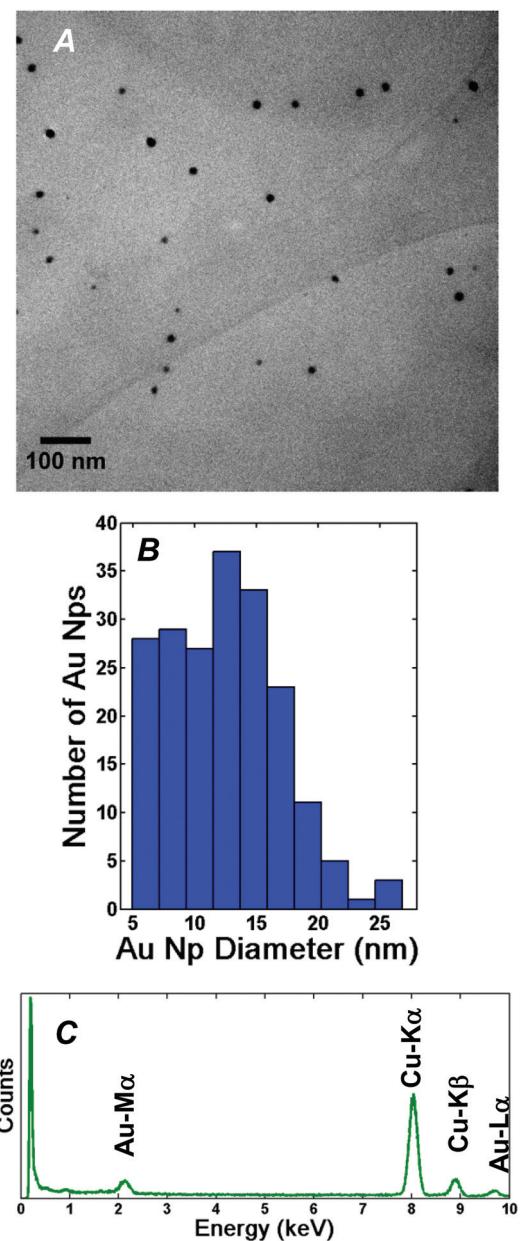


Fig. 3 (A) Representative bright-field TEM image collected on a grid cast Au NP-composite. (B) Histogram of nanoparticle size distribution. (C) EDS spectra from a gold nanoparticle within the polymer matrix on a holey carbon Cu support grid.

321 nm, arising from the $[\text{AuCl}_4]^-$ ligand-to-metal charge transfer (LMCT) band (Fig. 4A; $t = 0$).³⁴ After ~ 45 minutes, the physical gel becomes blue in color (Fig. 1B) and the 321 nm absorption band is lost, consistent with Au^{3+} reduction. Further noted is the appearance of a broad extinction peak (absorption + scattering), spanning the spectral range from 480 and 1100 nm, and approximately centered around 790 nm (Fig. 4B). According to Mie theory, the size of the particles, their inter-particle distance, and the surrounding medium dielectric constant can all affect the optical properties of nanoparticle gold.²⁷ More specifically, larger particles or decreased

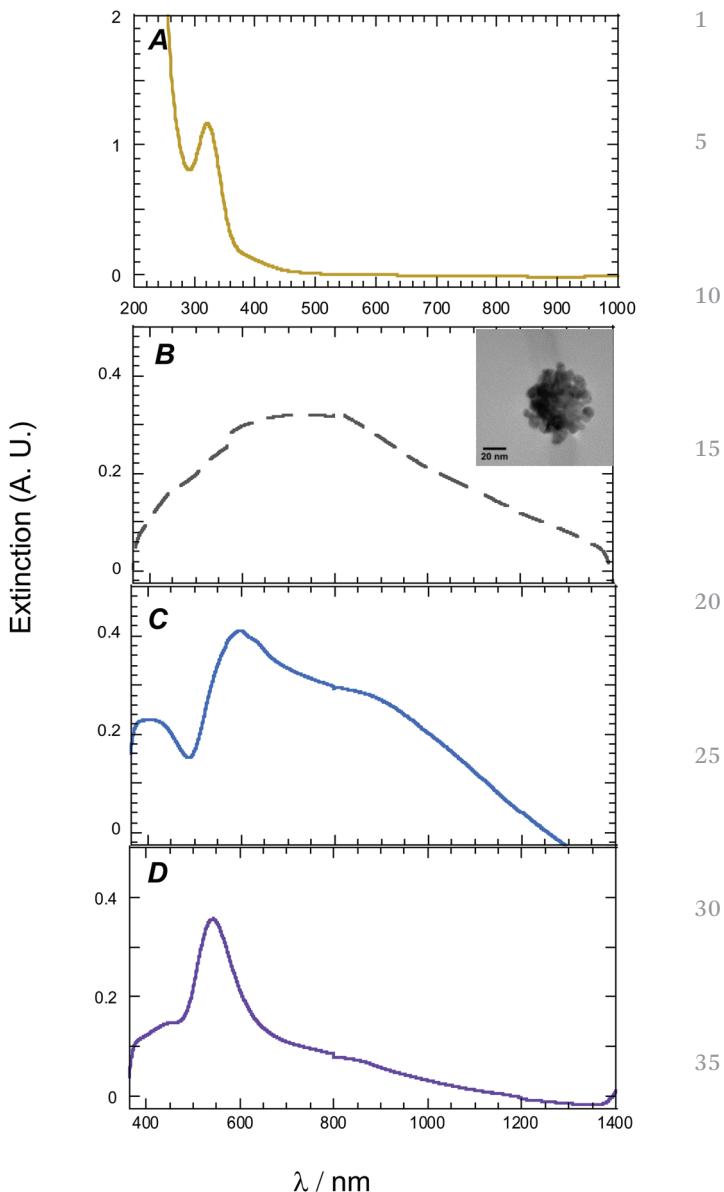


Fig. 4 Optical spectra collected at selected time points during preparation of Au NP composite. (A) UV-Vis-NIR spectrum collected on mesophase prior to reaction initiation ($t = 0$). (B) Vis-NIR spectrum of physical gel at 45 min., inset shows a representative bright-field TEM image collected at this time point. (C) Vis-NIR spectrum of physical gel at 120 min. (D) Vis-NIR spectrum of physical gel at 160 min.

inter-particle spacing, such as that produced during particle agglomeration will red shift the surface plasmon resonance (SPR). Thus, the absorbance at *ca.* 790 nm may originate from the self-aggregation of individual NPs to form large clusters or aggregates. The breadth of the absorption feature suggests extreme dispersity in NP size/aggregate states which often occurs in uncontrolled growth of nanoparticles. The presence of a collection of NP aggregates is supported by transmission electron micrographs collected on the sample during the blue phase (Fig. 4B, inset). At 120 minutes, when the sample has converted to a red-purple color (Fig. 1C); the Vis-NIR spectrum

bifurcates into two broad bands approximately positioned at 598 nm and 900 nm (Fig. 4C). Shortly thereafter, at 160 min, the spectrum collected contains a single narrower peak at 540 nm (Fig. 4D). The blue shift in the SPR is consistent with the formation of well-defined independent (de-aggregated) Au NPs.

The temporal changes in the optical spectra can be explained by formation and evolution of Au NP size/aggregation state. Upon addition of HAuCl₄, [AuCl₄]⁻ becomes weakly complexed with the PEO blocks, which act as flexible crown ether units, generating the ligand-to-metal charge transfer band at 321 nm (Fig. 4A).³⁴ PEO is a mild reducing agent³⁵⁻³⁷ which therefore initiates the reduction of [AuCl₄]⁻ → [AuCl₂]⁻, a rate determining step followed by the much faster reduction of [AuCl₂]⁻ → Au⁰.¹⁹ The Au⁰ clusters form within the confines of the PEO blocks. It has been previously established that the rate of reduction of the gold ions by PEO is controlled by both the polymer molecular weight and local pH.³⁷⁻³⁹

During the initial stages of HAuCl₄ dissolution and complexation protons are generated, leading to a local acidic environment. Under acidic conditions the interaction between [AuCl₄]⁻ and PEO is weakened, causing a slowing in the reduction rate.³⁹ Furthermore, the destabilization of the protective PEO coating around the forming Au NPs causes agglomeration of the particles and large aggregates which is optically detected as a red-shift of the SPR (Fig. 4B).⁴⁰ The reduction of the gold in turn causes oxidation of the PEO.⁴¹ The redox chemistry generates a strongly oxidizing couple, O₂/Cl⁻ that etches and/or chemically functionalizes the surface of the forming Au NPs.^{35,42} Rodriguez-Fernandez *et al.* showed that highly oxidizing environments can lead to the formation of carbonato complexes (Au-OCO₂⁻ and Au-OCO₂H) on the Au NP surfaces.⁴³ In addition, oxidative etching, employing O₂/halide pairs, has been demonstrated as a means for NP morphology “tuning”.^{41,44} Thus, the oxidative environment leads to particle size reduction and de-aggregation (discrete particles) as evidenced by the observed blue shifting and sharpening of the SPR (Fig. 4C and D). The minor variation in SPR amplitude observed during de-aggregation may arise from a combination of both changes in matrix (complex fluid) scattering and the noted sensitivity of the Au SPR amplitude to changes in local environment (dielectric constant/refractive index of the medium).⁴⁵ As the reaction proceeds, the local solution pH becomes basic, which strengthens the association between the Au NPs and PEO, thereby stabilizing the particles and accelerating the redox rate.⁴⁶ The interplay between the redox chemistry of the gold and PEO also contributes to the formation of the network structure.

Composite thermal characteristics

The thermal properties of an air dried Au NP composite were investigated by thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC). The TGA carried out using fast scan (10 °C min⁻¹) studies under N₂ show a complex, multistep degradation profile, attributed to the multiple constituents used in preparation of the starting materials and products

formed during the cascade reaction (Fig. 5A). The first region of weight loss reflects removal of water from the hydrogel (i). The loss of water within the hydrogel (to 17 wt% from 73.5 wt%) compared to the “as prepared” starting material prior to reaction initiation indicates the gel undergoes significant dehydration during crosslinking and Au NP formation. A second region of thermal decomposition is detected between 103–215 °C (ii) and is assigned to the thermal decomposition of the co-surfactant, LDAO based upon prior studies conducted on the photo-initiated free radical crosslinking of a complex

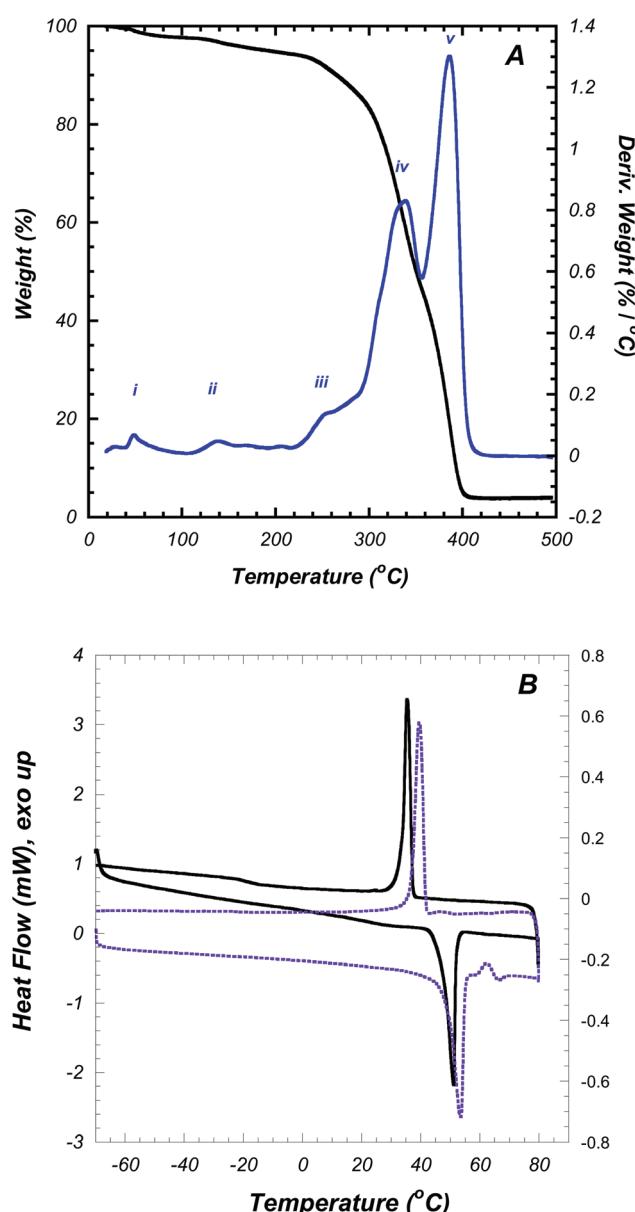


Fig. 5 (A) Fast scan (10 °C min⁻¹) TGA collected on the Au NP composite under a N₂ atmosphere, weight% (black) and derivative weight loss, DTG, (blue). (B) The first heating and cooling scans (2 °C min⁻¹) collected on the Au NP-composite (purple curve) and the photocrosslinked diacrylate end functionalized triblock copolymer complex fluid (black curve).

fluid lacking the gold.²⁵ A third component at 337 °C (iv) is attributed to the decomposition of the lipid, DMPC.²⁵ Two additional thermal transitions are observed and arise as a consequence of acrylate crosslinking. The transition at 253 °C (iii) is from crosslinking-induced acrylate bond scission.⁴⁷ The thermal transition at 384 °C (Fig. 5A, region v) is from the thermal degradation of the crosslinked F98(Acr)₂.⁴⁷ The position of the two aforementioned decomposition transitions occur at lower temperatures than those reported previously for the photocrosslinked hydrogel prepared without gold (T_d at 257 and 392 °C)²⁵ and a simple photocrosslinked aqueous dispersion of F98(Acr)₂ (T_d at 260 and 399 °C).²⁵ A reduction in the decomposition temperature for the acrylate network produced here suggests that it is weakened compared to the other formed networks. It is possible the network is weaker due to an increase in polymer (acrylate/PEO/PPO) chain scissions that might occur under the highly oxidative environment created in the cascade process.^{48,49} Alternatively, the presence of the Au NPs may act to lower the transition temperatures. Studies on Au NP-PMPP (poly(methylphenylphosphazene)) composite show a lowering in the polymer decomposition temperature which was found to scale with Au NP content.⁵⁰ Lastly, unlike the network lacking the Au NPs, the TGA profile collected on the composite contains a residual of 3 wt% at 500 °C which most likely reflects the mass of Au NPs within the matrix.

The DSC heating scans collected for both the Au NP composite (Fig. 5B, purple curve) and the photocrosslinked F98(Acr)₂ based complex fluid (Fig. 5B, black curve) contain an order-disorder (crystalline to amorphous/molten PEO state) transition positioned at 53.5 °C and 51 °C, respectively. The ability of EO chains to pack to form crystalline regions in a crosslinked network structure has been noted previously and can occur during dehydration of the polymer.^{51,52} The transition temperature is slightly higher in the Au NP composite than in the photocrosslinked material. Prior work has noted that the presence of nanofillers within polymers can cause shifting of the crystallization temperature to higher temperatures.⁵³ More specifically, it is believed that the heterogeneous nucleating effect of the NPs raises the crystallization temperature. In addition, only the Au NP composite thermogram shows a small secondary endothermic transition at 65.5 °C. The small, secondary endotherm may reflect changes in the transition induced by Au NP association. For example, two separate thermally-induced phase transitions in PNIPAM was also reported and shown to depend on the Au NP content within the polymer.⁵⁴ Lastly, both thermograms contain broad weak endothermic transitions at *ca.* 26 °C, associated with the main hydrocarbon chain melting transition of the lipid component, providing additional evidence for retention of the phospholipid.

Evaluation of crosslinking mechanism

Elucidation of the mechanism has proven difficult due to the inability to accurately characterize the reactive species produced during polymerization through solution phase NMR or mass spectrometry, techniques which can't be readily applied to the highly viscous starting mixture and formed chemical

gel. Further complicating mechanistic determination is the interleaved synthetic pathways (*i.e.*, NP formation and polymerization/crosslinking). A more thorough investigation regarding the mechanism for network formation (gelation) will be reserved for future work. Within the scope of this report, several experiments were performed that indicate the predominance of a free radical mechanism. First, the addition of a free radical scavenger, DPPH, diphenylpicryl-hydrazyl, acted to visibly inhibit gelation. In addition, DPPH, a stable free radical, afforded a simple colorimetric method to measure free radical activity. In the radical state, DPPH is purple and shows a strong absorption maximum at 527 nm (Fig. 6, inset, purple curve). When the unpaired electron pairs with another radical species, it becomes pale yellow, shifting the absorption maximum to 419 nm (Fig. 6, inset, orange curve). The scavenging effect of DPPH was determined by adding 20 μ L of a 0.112 M DPPH ethanolic solution to 0.25 g of the reaction mixture and the decay of the absorbance at 527 nm was recorded at 20 °C for 24 h. The absorption spectrum collected immediately upon addition of DPPH to the complex fluid mixture is compared to one collected after 24 h is presented in Fig. 6, inset. Upon addition of the DPPH the primary absorbance is observed at 527 nm signaling predominately DPPH in the oxidized state. The spectrum recorded on the mixture after 24 h clearly shows diminution of the 527 nm band and growth of the reduced form at 419 nm. (The residual absorption located within the 500 to 700 nm region arises from the SPR of the formed Au NPs, the production of which are not inhibited by the addition of DPPH).⁵⁵ The percent inhibition of DPPH is essentially complete within a 24 h time period (Fig. 6). Thus, the observed inhibition of gelation by DPPH suggests that a

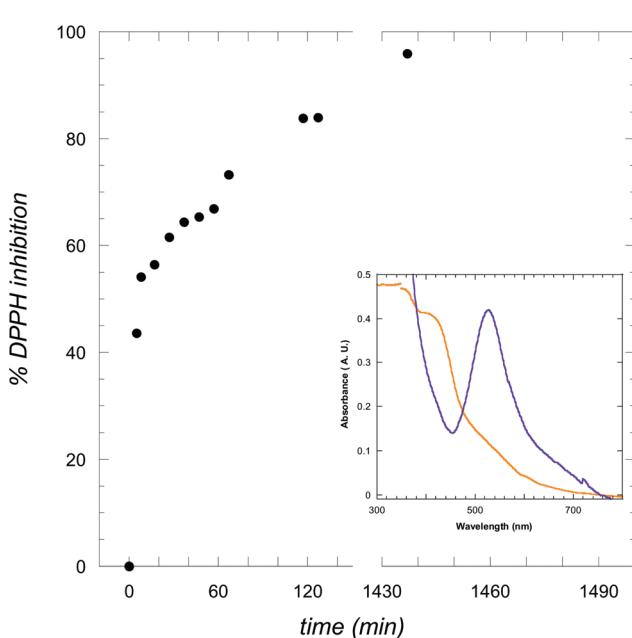


Fig. 6 Time-dependent inhibition of DPPH upon addition to complex fluid. Inset shows $t = 0$ optical spectrum (purple curve) and $t = 24$ h optical spectrum (orange curve).

free radical mechanism is more likely than an ionic polymerization. Moreover, anionic polymerizations of acrylates are typically conducted under an inert atmosphere and in aprotic solvents, owing to termination of the propagating ester-enolate by proton transfer from water.⁵⁶ Here, we emphasize that this reaction proceeds under aqueous conditions and air.

Further noted, is the gelation is sensitive to the presence of triethylammonium impurities which arise during the synthesis of F98(Acr)₂. That is, when triethylammonium chloride was not sufficiently removed from the F98(Acr)₂, polymerization and crosslinking were suppressed, but Au NPs were still formed. It is possible that the triethylammonium ion provides a source of ionizable H⁺ which acts to quench the propagating free radicals. This phenomenon was confirmed further by carrying out the reaction in aqueous buffered solutions where gelation was suppressed under acidic conditions (20 mM NaOAc, pH 5 and 10 mM NaPi, pH 6.8) but progressed to form a self-supporting gel under basic conditions (10 mM KPi, pH 10). Au NPs were still formed in both acidic and basic buffers.

Composite nanostructure

The Au NP composite structure was determined by using a combination of both small- and wide-angle X-ray scattering

(Fig. 7). The SAXS profile collected on the as-synthesized composite at 22 °C (Fig. 7A) shows a pattern featuring four sharp diffraction peaks at $q = 0.11$ (001), 0.22 (002), 0.33 (003), 0.44 (004) Å⁻¹, consistent with formation of a well-ordered multi-lamellar structure with a repeat distance, $d = 57$ Å. The inter-lamellar spacing is significantly reduced compared to the corresponding as-synthesized photochemical gel lacking Au NPs (d -spacing of 117 Å).²⁵ The reduction in d -spacing for the composite may be attributed to the reaction proceeding at a lower temperature than the gel produced by photo-irradiated crosslinking (22 °C vs. 37 °C). The self-assembled precursor solution, lacking the [AuCl₄]⁻ collected at 37 °C (Fig. S2,† red curve) shows four diffraction peaks positioned at $q = 0.044$, 0.088, 0.13, 0.176 Å⁻¹, indicative of an expanded lamellar structured with a d -spacing of 142.8 Å. Upon lowering the precursor solution temperature to 22 °C, the SAXS pattern (Fig. S2,† black curve) reversibly converts to one showing only two, broad diffraction peaks at $q = 0.10$ and 0.20 Å⁻¹, signaling a reduction in the d -spacing to 62.9 Å. The collapse of the lamellar structure (*i.e.*, the interstitial water channel thickness) arises from temperature-induced weakening (partial removal) of F98 interaction with the bilayer driven by the increased water solubility of the PPO block.⁵⁷

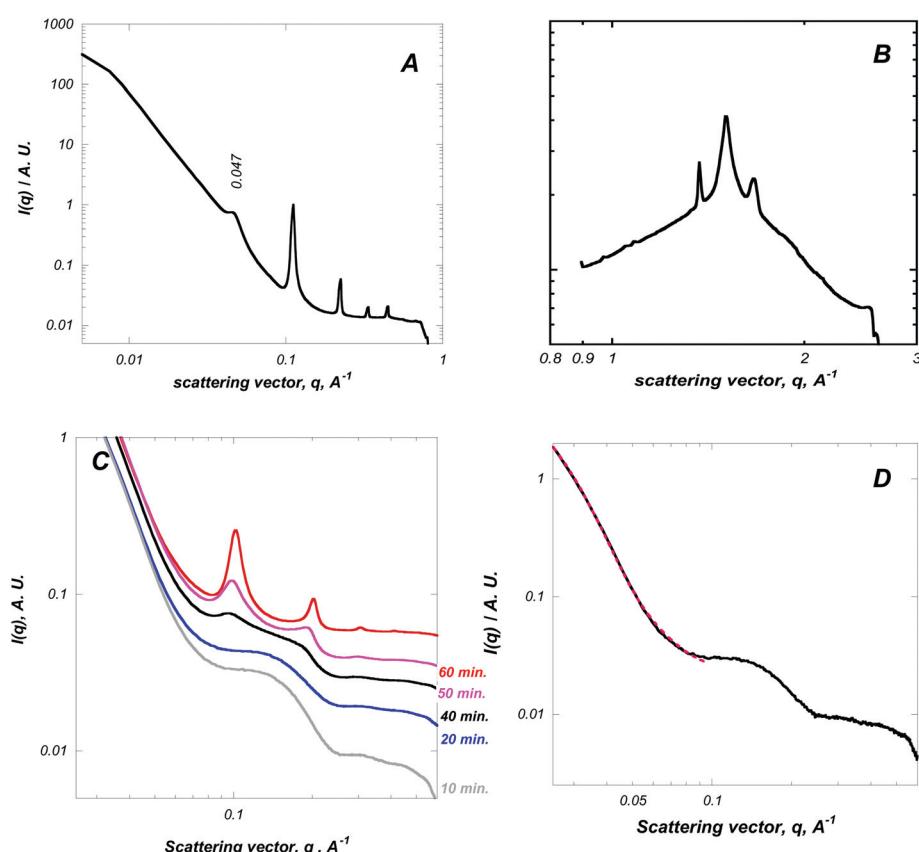


Fig. 7 Representative (A) small-angle X-ray scattering (SAXS) and (B) WAXS azimuthally averaged data collected on the Au NP-composite. (C) Time evolution of SAXS pattern collected during dehydration under ambient conditions of a fully water swollen composite. (D) Fully water swollen SAXS pattern with fit to spherical form factor.

In addition, the composite SAXS pattern shows a broad, smaller amplitude peak at $q = 0.0475 \text{ \AA}^{-1}$ (Fig. 7A) which signals the presence of crystallized EO chains confined within the inter-lamellar water channels.⁵⁸ This correlation peak is attributed to a metastable crystalline state of non-integrally folded (NIF) EO chains with a folding length of 134 \AA .⁵⁸ Here, under strong confinement, imposed by the contracted lamellar repeat distance, the crystalline EO stems orient parallel to the membrane surface. The role of confinement (weak *vs.* strong) on regulating the orientation of crystalline EO chains has been recently discussed in clay composites.⁵⁹ The presence of crystalline EO was further supported by the WAXS pattern collected on the Au NP-polymer composite (Fig. 7B). The WAXS pattern shows two diffraction peaks at $q = 1.36$ (*d*-spacing of 4.6 \AA) and 1.67 \AA^{-1} (*d*-spacing of 3.8 \AA), confirming the crystalline EO.⁶⁰ Thus, the PEO associated with the Au NPs appears semi-crystalline. The temperature-driven crystallization of surface-grafted PEO has recently been used to reversibly assemble Au NP ethanol-water mixtures.⁶¹ Finally, the sharp peak at $q = 1.50 \text{ \AA}^{-1}$ (4.17 \AA) in the wide angle pattern (Fig. 7B) is assigned to the ordered amphiphilic hydrocarbon chains within the alkyl bilayer (*i.e.*, phospholipids).⁶² The chain-chain correlation distance of 4.17 \AA is consistent with the supporting amphiphile bilayer adopting an untitled L_β phase.⁶³ Collectively, the X-ray scattering data indicate the composite is multilamellar with Au NPs confined within the semi-crystalline PEO chains localized in the compressed inter-lamellar water layers (Fig. 9).

Reversible composite swelling

The composite was found to swell reversibly in water and ethanol. The equilibrium swelling ratio, was determined according to the following, $r = m_t/m_0$ where m_0 was the mass of the dry network and m_t the mass at time interval t during swelling. The maximum equilibrium mass swelling ratio was attained within 3 h and determined to be 5.11 ± 0.1 in water and 1.44 ± 0.31 in ethanol. The effect of solvent exposure on the integrity of the Au NP composite was examined by periodic sampling of the water/ethanol and optical spectroscopic characterization to determine if the Au NPs were leached out of the matrix. The Au NPs appear to be well entrained within the composite with no evidence, within the detection limit of optical spectroscopy, of the particles leaching out after long term incubation in either water or ethanol. Thus, the reactions which concurrently initiate the reduction of the gold ions and subsequently promotes the crosslinking of the macromer assures the robust entrapment of the Au NPs, suggesting the Au NPs maybe involved in the crosslinking chemistry.

Structural evolution of the water-induced swelling behavior of the crosslinked complex fluid was monitored by SAXS (Fig. 7C). The scattering profile for the water swollen gel displays a very broad feature approximately positioned between $q \sim 0.08\text{--}0.25 \text{ \AA}^{-1}$, which is the expected form factor scattering for a multilayer lipid bilayers where the water layer thickness is so expanded large vesicles of irregular size are formed (Fig. 7C, grey curve).²⁵ Analysis of the SAXS pattern collected on the

fully swollen composite the low q region can yield information on the average size of the encapsulated Au NPs. That is, fitting of the low q region to a spherical particle form factor function yielded an average particle radius of $67.6 \text{ \AA} \pm 0.098 \text{ \AA}$ (Fig. 7D), a value in agreement with the TEM results.

After 40 min of de-swelling two broad diffraction peaks emerge in the SAXS profile at $q = 0.096, 0.192 \text{ \AA}^{-1}$ (Fig. 7C, black curve). At 50 min. an additional diffraction peak emerges at $q = 0.293 \text{ \AA}^{-1}$ (Fig. 7C, purple curve), consistent with re-formation of a lamellar structure. At 60 min de-swelling, the three diffraction peaks ($q = 0.10, 0.20, 0.30 \text{ \AA}^{-1}$) become narrower, signaling increased ordering of the lamellar structure. It is noted that the PEO crystallization peak does not immediately re-appear during the de-swelling. That is the timeframe for PEO re-crystallization lags behind re-formation of a well-ordered lamellar structure.

The influence of water-induced expansion and contraction of the hydrogel on the collective optical properties of the encapsulated Au NPs was evaluated by Vis-NIR spectroscopy (Fig. 8). In the water-swollen state the composite SPR maximum is located at 536 nm. The SPR is observed to broaden and red shift in the contracted composite (dried, non-water swollen) displaying maximum absorbance at 582 nm and a broad shoulder spanning between 648 to 821 nm. It is noted that neither state produces an optical spectrum consistent with isolated, well-dispersed spherical NPs in the size

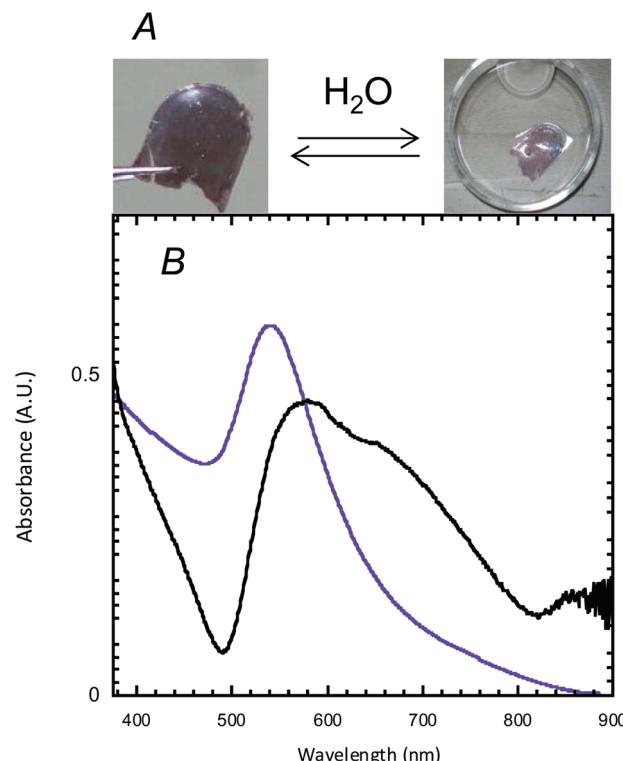
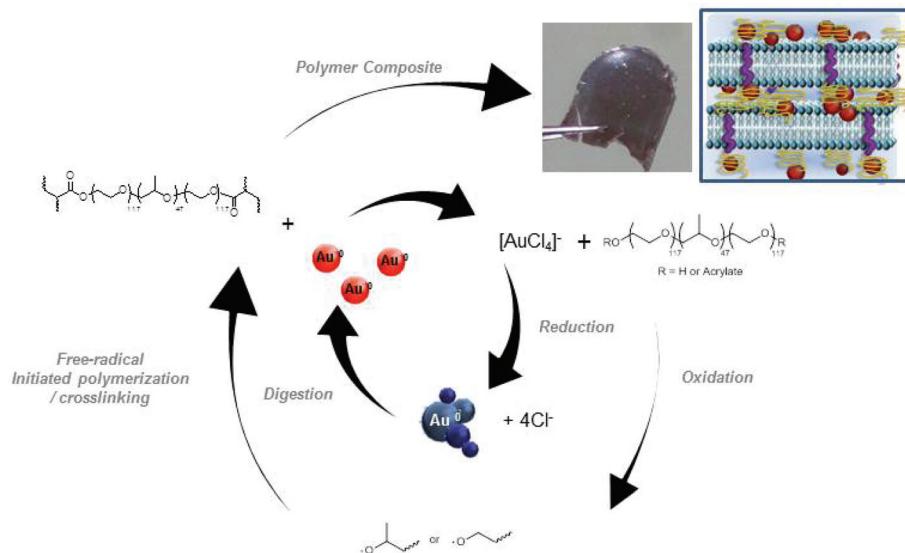


Fig. 8 (A) Photographs collected on as-prepared Au NP composite (left image) and fully water swollen (right image). (B) Vis-NIR collected on the sample shown in the water swollen and contracted (dried) states.

Fig. 9 Schematic illustration of the cascade reaction that generates the Au–NP composite composed of a multilamellar structure with the formed Au NPs embedded in the PEO chains.



range 3–20 nm which would be expected to occur at *ca.* 527 nm. The red shifting of the SPR upon de-swelling, however, suggests matrix assisted changes in the NP packing arrangement (*i.e.*, closer inter-particle coupling). The broadening of the SPR during drying most likely reflects several populations of the Au NPs adopting a variety of closer packed arrangements. Although more dramatic shifts in SPR with solvent conditions have been reported for Au NP–polymer composites,⁶⁴ the modulation observed here may prove sufficient for creating local “hot spots” (close plasmonic particle contacts) for a tunable surface-enhanced Raman response.

4. Conclusions

A durable, Au NP–polymer composite has been prepared through a “single-pot” cascade synthesis. The composite is spontaneously formed from a lamellar structured lyotropic mesophase that serves to spatially organize the reactants. Self-assembled stacks of amphiphilic bilayers formed from phospholipid (DMPC) and co-surfactant (LDAO) support a mixture of hydroxyl- and acrylate end-derivatized PEO₁₁₇-PPO₄₇-PEO₁₁₇. As previously determined through SAXS derived electron density maps generated on similar compositions, the PPO block inserts into the bilayers projecting the two constrained PEO blocks into the interstitial water channels.⁶⁵ The water-solubilized PEO blocks adopt pseudo-crown ether cavities that complex the metal precursor, [AuCl₄]⁻. Upon [AuCl₄]⁻ complexation with PEO, metal reduction is initiated with concomitant oxidation of the PEO/PPO segments. The rate of Au NP formation and aggregation within the PEO is regulated by local pH. Initial local acidic conditions lead to an accelerated rate of reduction producing agglomerated Au NPs as evidenced

by optical spectroscopy and TEM. The gold/polymer redox chemistry generates a strongly oxidizing pair which etches the Au NP surface leading to de-aggregation and production of dispersed particles. Vibrational spectroscopy, thermal analysis, and X-ray scattering confirm a high crystalline PEO content in the composite suggesting that the nucleation and growth of the Au NPs within the confines of the PEO promotes crystallization. The polymerization/crosslinking reaction is believed to occur through a radical mechanism as indicated through the observed suppression of a chemical gel upon the addition of a radical scavenger (DPPH). Thus, tandem reaction schemes, a redox cycle between gold and polymer interleaved with the free radical polymerization of the macromer (Fig. 9) occurs within the structured mesophase producing a nanostructured polymer network containing Au NPs that can be reversibly swollen in water (hydrogel). Internal fine structure adjustments, NP–NP packing interactions/local organization, are made by controlling the amount of water in the hydrogel, leading to modulation the composite SPR. Additional work remains to further optimize the internal packing density and arrangement of the metal nanoparticles. For example, optimized Au NP number density and packing may lead to an electronically conductive composite. Lastly, a more complete understanding of the mechanism for initiation and propagation of the free-radical polymerization is clearly important for further development and tuning of these composite materials and will be pursued in the future.

The work described herein offers a facile, inexpensive path forward to overcome limitations in harnessing the properties of individual inorganic nanoparticles by combining the principles of “bottom-up” self-assembly to create a bio-inspired architecture (biomembrane) which acts to spatially-localize multiple reactants/catalysts that promote the spontaneous

initiation of tangential reaction schemes that efficiently yields Au NPs confined within the crosslinked scaffolding. Reaction step economy and the elimination of isolation and purification of intermediates has been a preeminent goal for contemporary organic synthesis. Here, we have applied these principles but also extended this approach to include reactive incorporation of the supporting matrix to form a functionally integrated nanostructure further eliminating the need for separation and isolation of the products from the “one-pot” in which the cascade reaction proceeds. From this first example, it is anticipated that in the future the bio-inspired synthesis of heterogeneous NP composite can also be made.

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