

Large Third-Order Nonlinearity in a Fano-Resonant Silicon Metasurface

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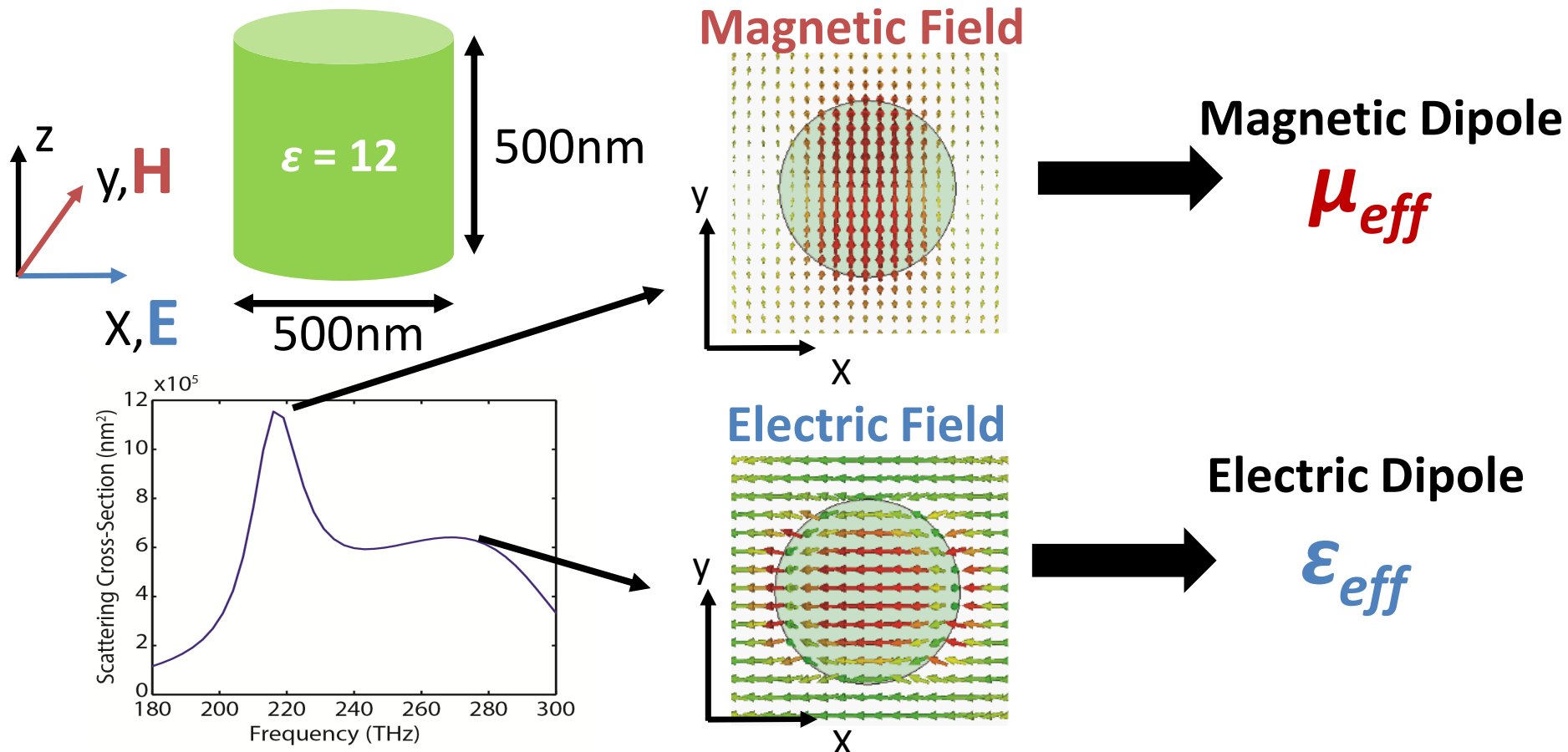


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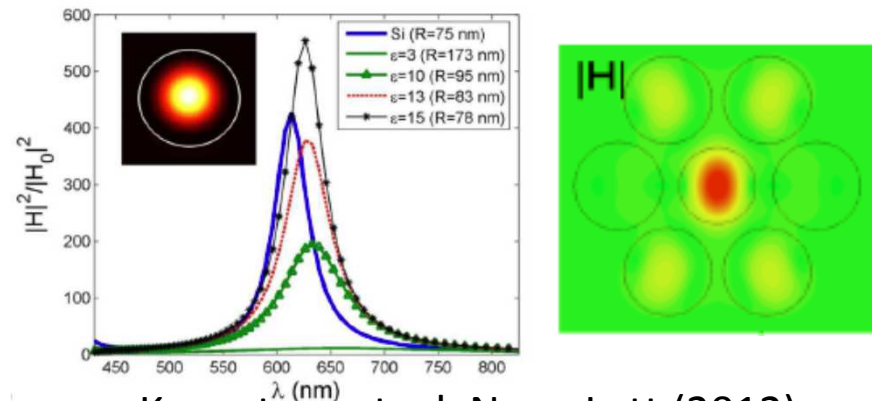
Dielectric / Semiconductor Metamaterials

- First theorized by Lewin in 1947
 - Proc. Inst. Elec. Eng. **94** 65 (1947)
- Dielectric particles exhibit Mie resonances



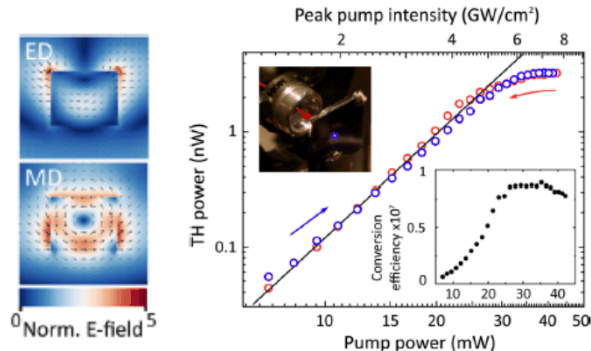
Optical Dielectric Metamaterials

Particle Resonances



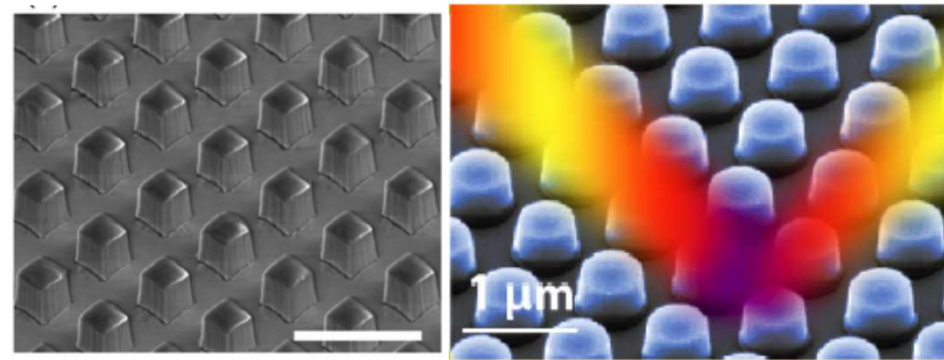
Kuznetsov et. al. Nano Lett (2012),
Evlyukhin et. al. Nano Lett (2012),
Kivshar et. al. Nano Lett (2013)

Nonlinear Enhancement



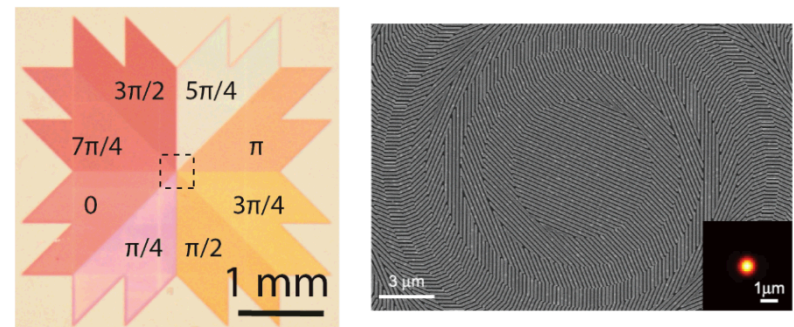
Kivshar, Neshev et. al. Nano Lett (2014),
ACS Phot. (2015)

Perfect / Magnetic Reflectors



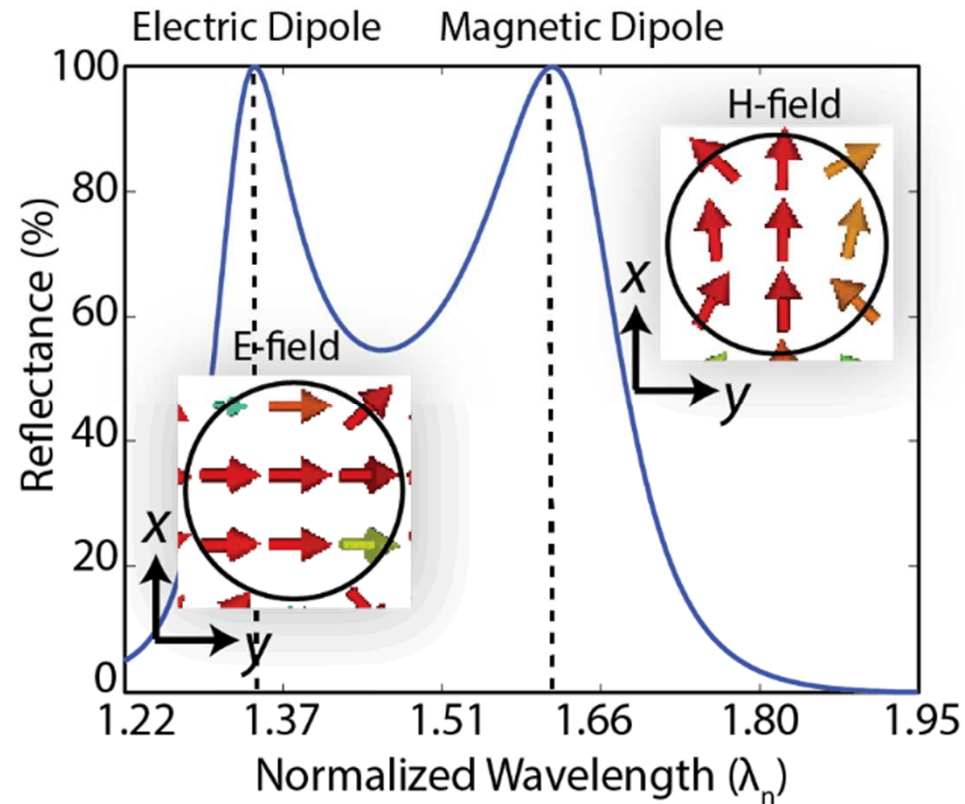
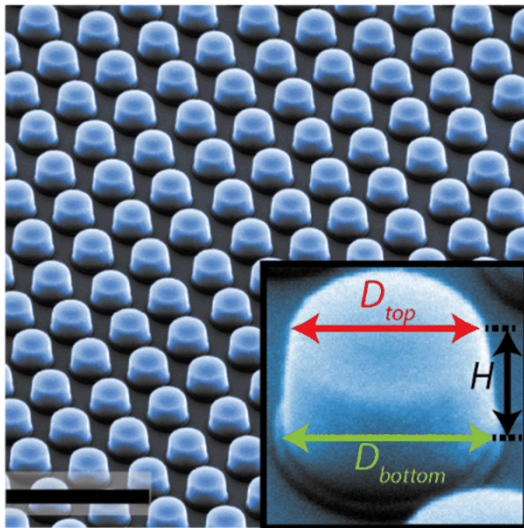
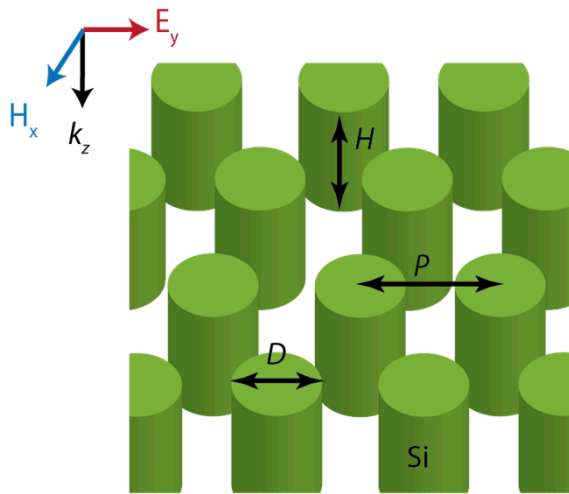
Brener et. al. Optica (2014), Moitra et. al.
ACS Phot. (2015)

Metasurfaces



Yang et. al. Nano Lett (2014),
Brongersma, et. al. Science (2014)

Spectral Response



**Bandwidth Limited By
Radiative Damping**

Moitra et. al. ACS Photonics, **2**, 692–698 (2015)

Fano-Resonant Metamaterials

High-Q Requirements

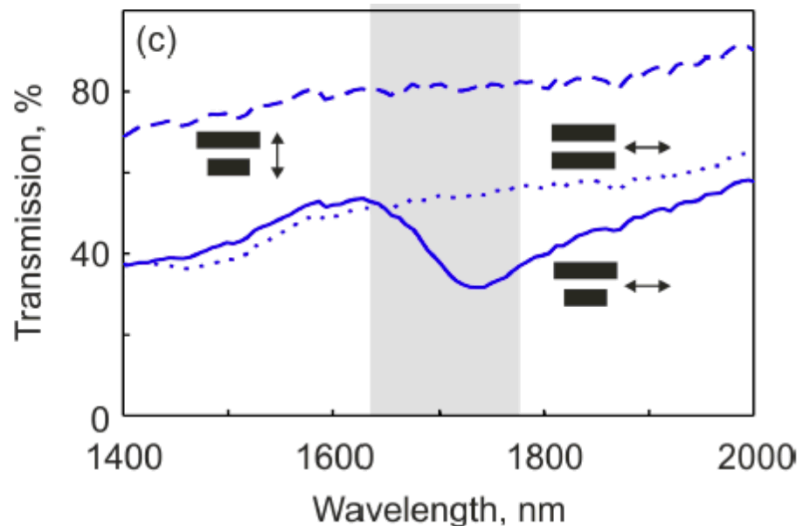
1. Minimize non-radiative damping (γ_{NR})
2. Minimize radiative damping (γ_R)

Plasmonic Fano-resonant Metamaterials:

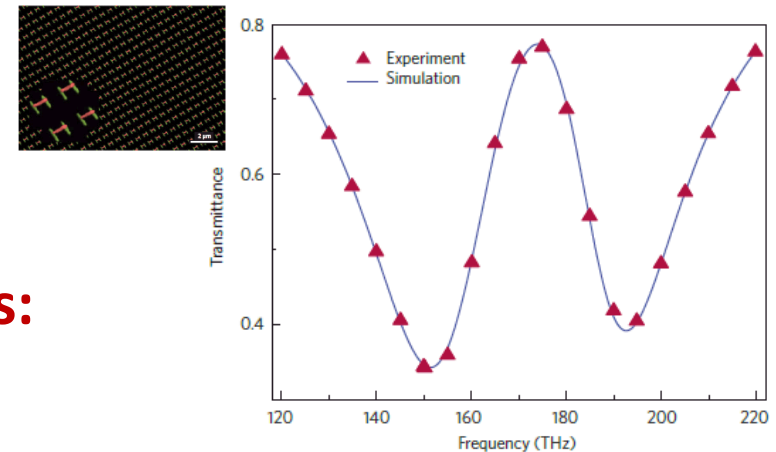
- Dominated by γ_{NR} , **Q-factors ~ 10**

Dielectric Fano-resonant Metamaterials:

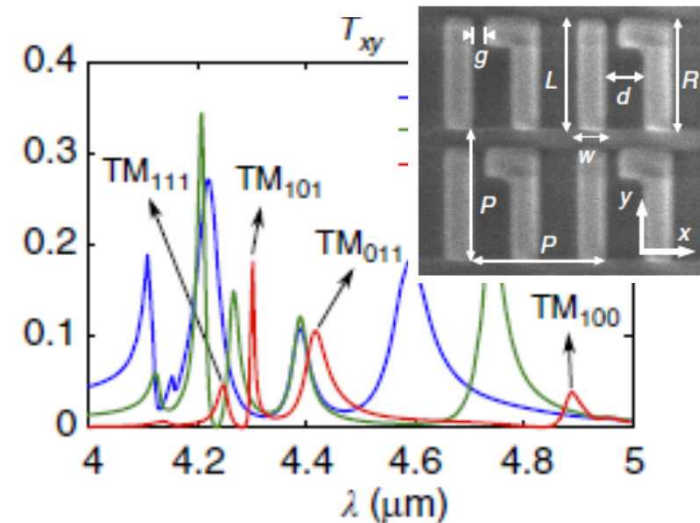
- Reduced γ_{NR} , **Q-factors ~ 100**



Zheludev et al, Opt. Expr., 2013

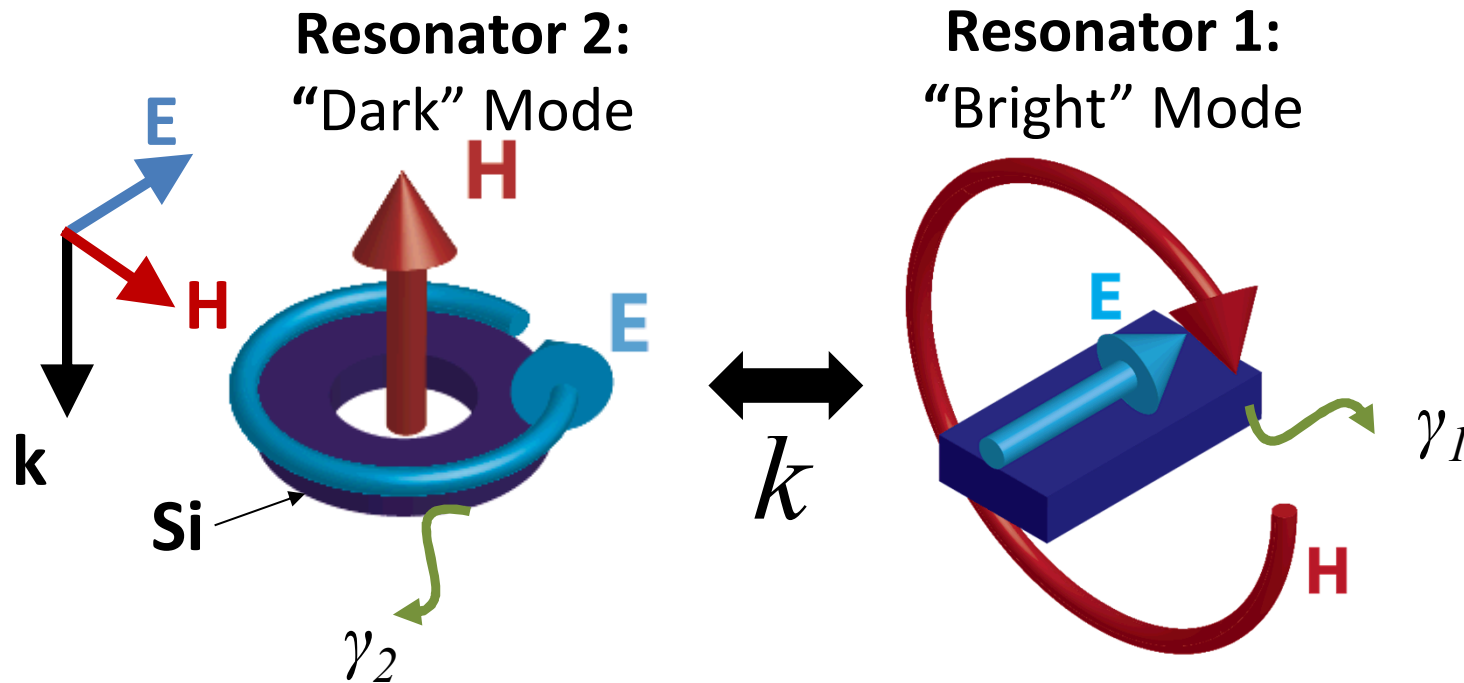


Giessen et al, Nat. Mat., 2010



Shvets et al Nat Comm., 2014

Dark and Bright Collective Modes

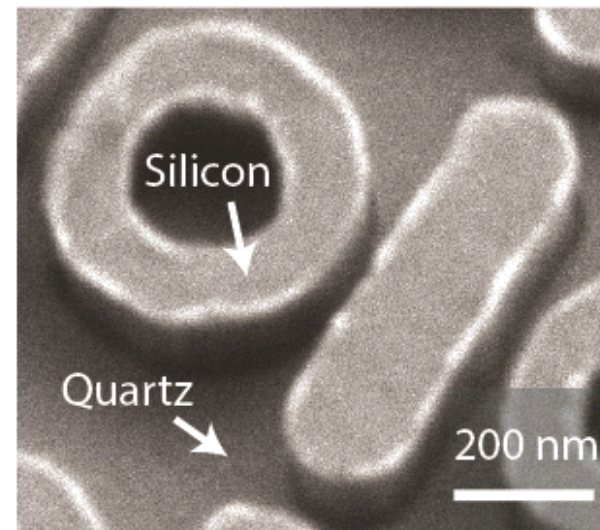
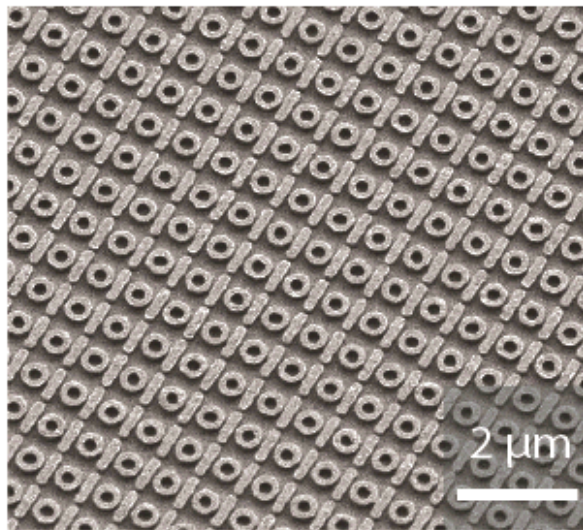
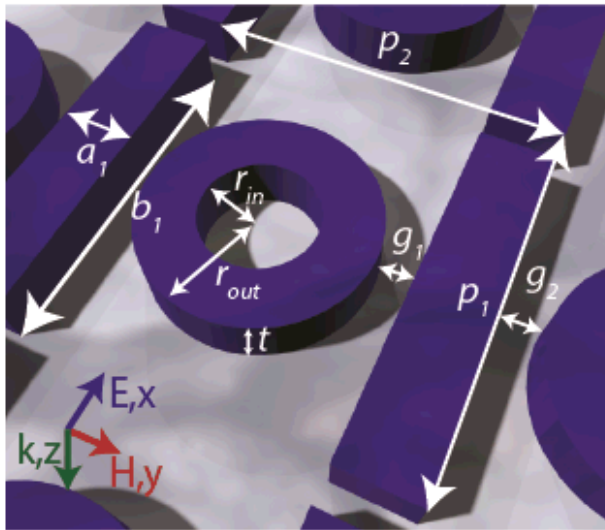


Key Points:

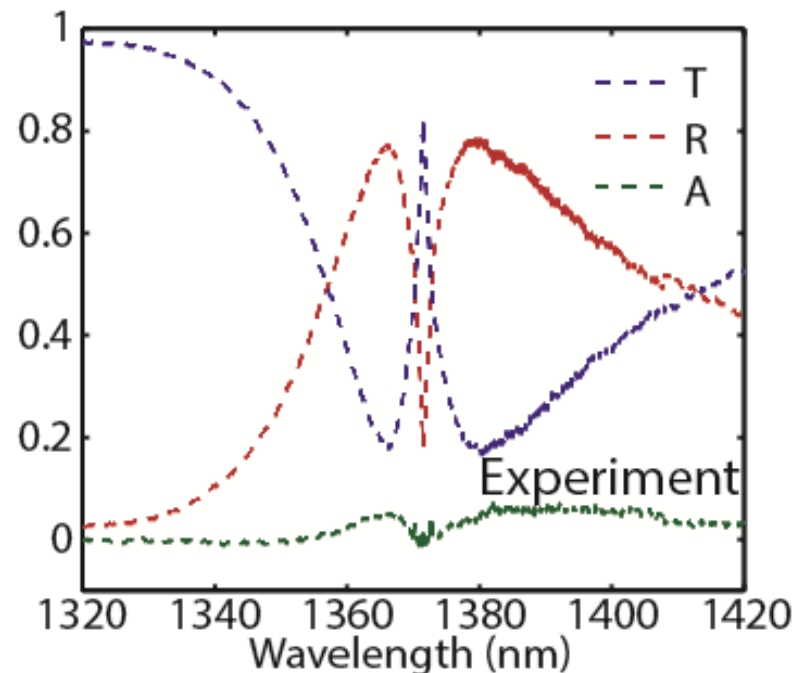
1. Reduction of γ_2 increases the Q-factor $\longrightarrow$ minimize γ_2
2. In the limit of $\gamma_2 \rightarrow 0$, $dT/d\omega \propto \kappa^{-1}$ $\longrightarrow$ minimize κ

Yang, Y. et. al. *Nature Communications* 5, 5753 (2014)

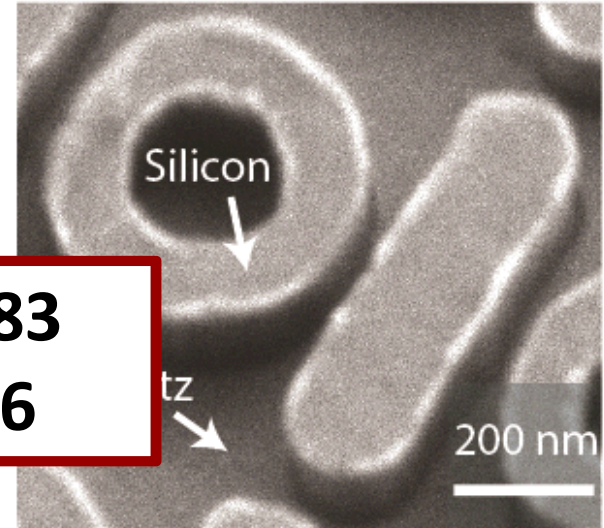
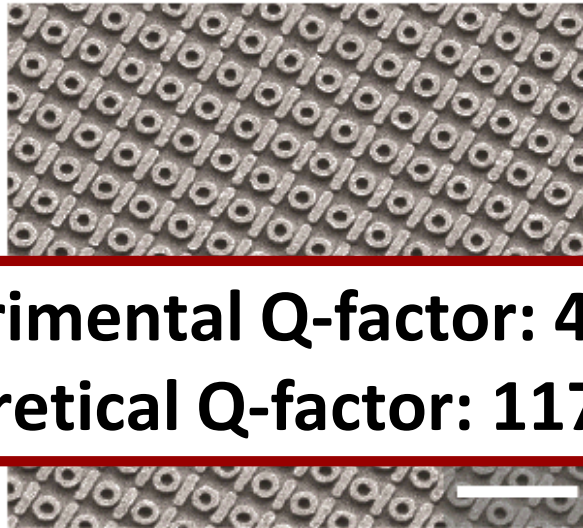
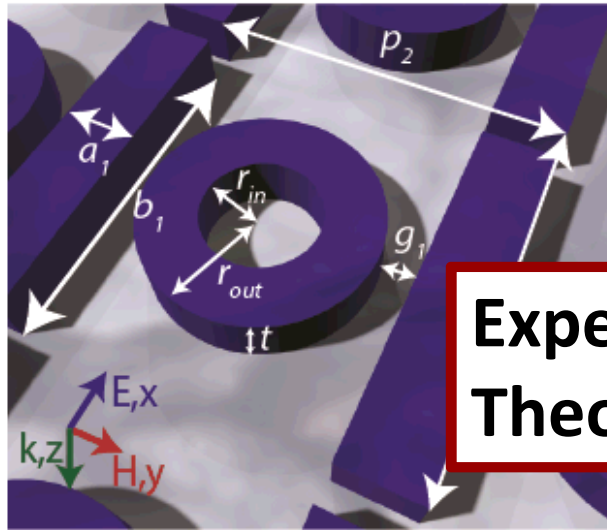
Implementation in Silicon



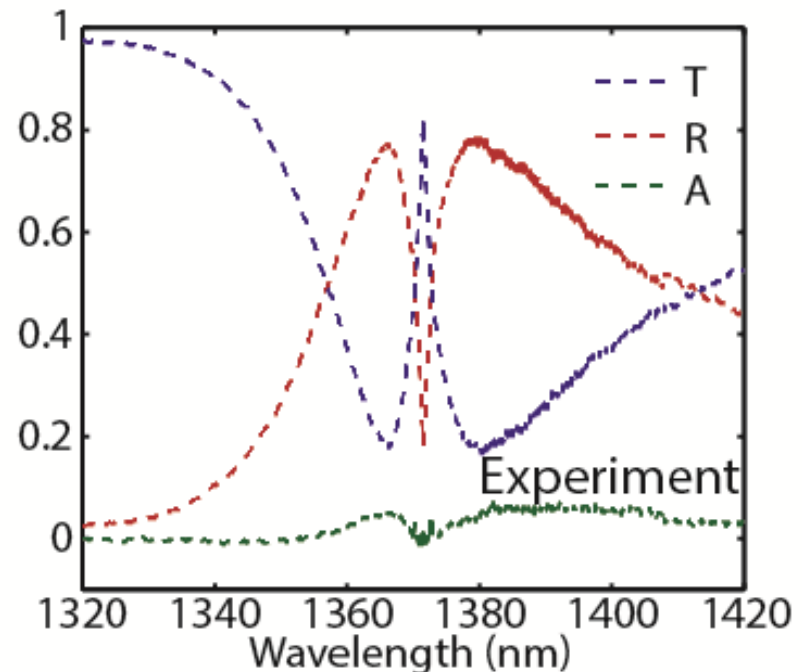
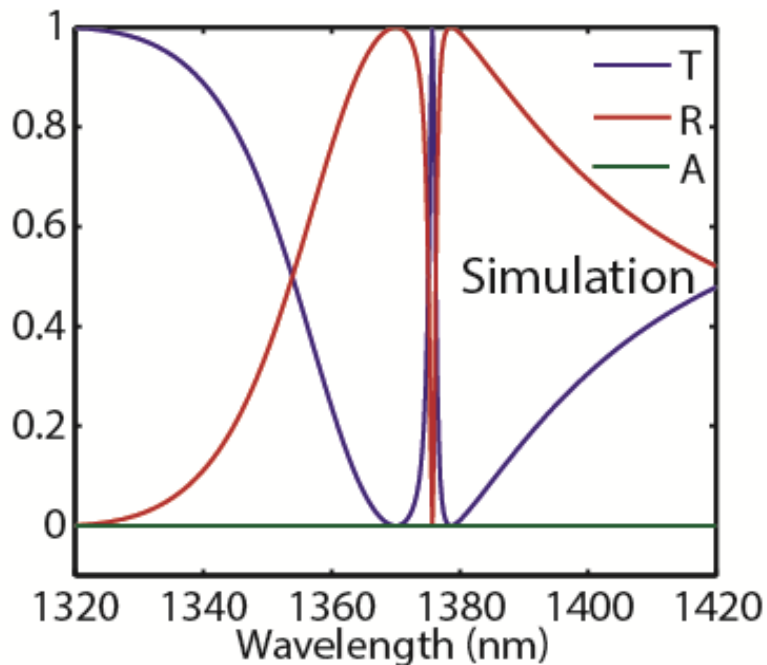
- $r_{out} = 225\text{nm}$
- $g_1 = 70\text{nm}$
- $g_2 = 80\text{nm}$
- $t = 110\text{nm}$
- $p_1 = 750\text{nm}$
- $p_2 = 750\text{nm}$



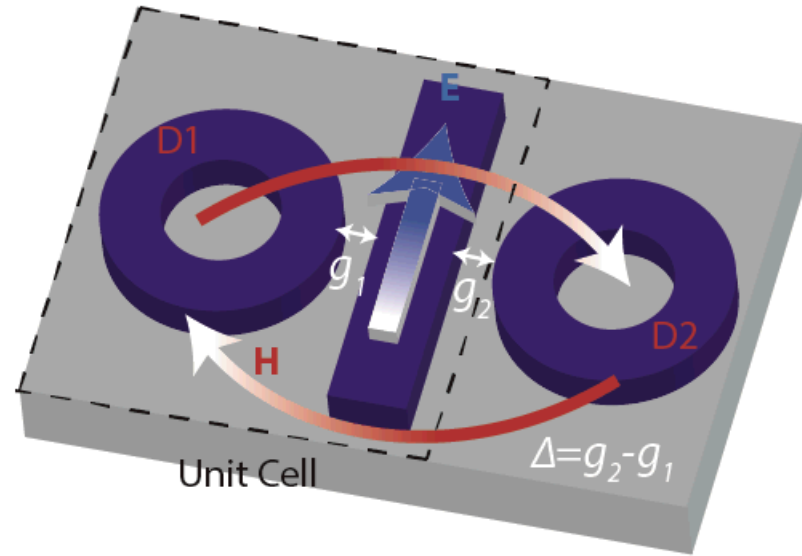
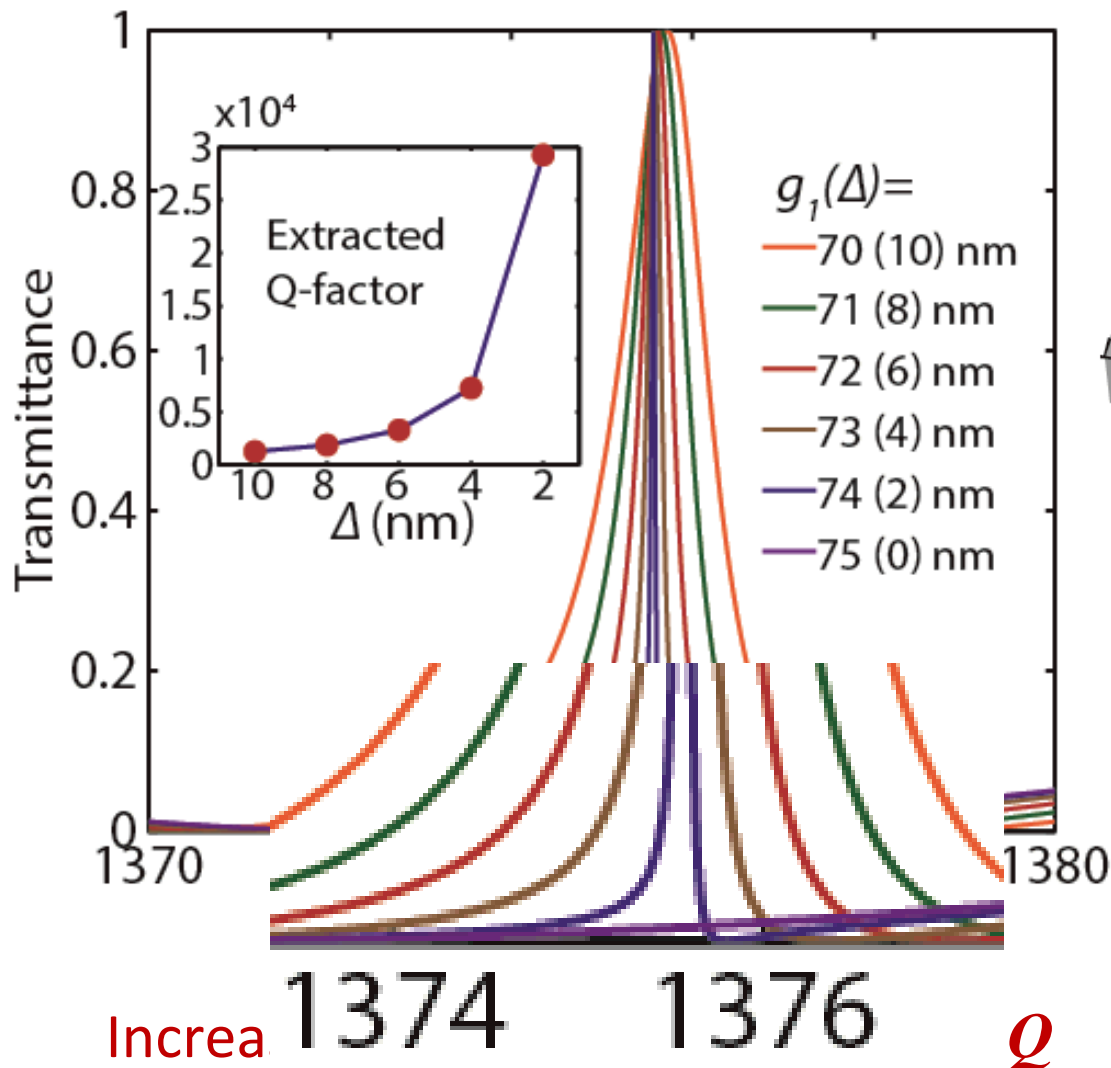
Implementation in Silicon



Experimental Q-factor: 483
Theoretical Q-factor: 1176



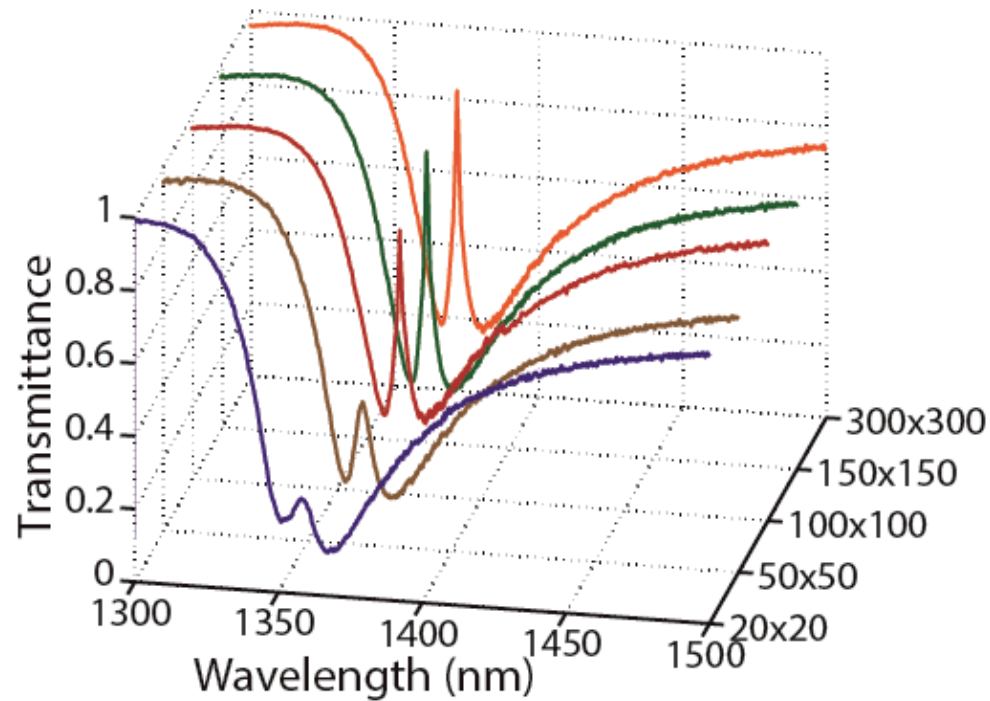
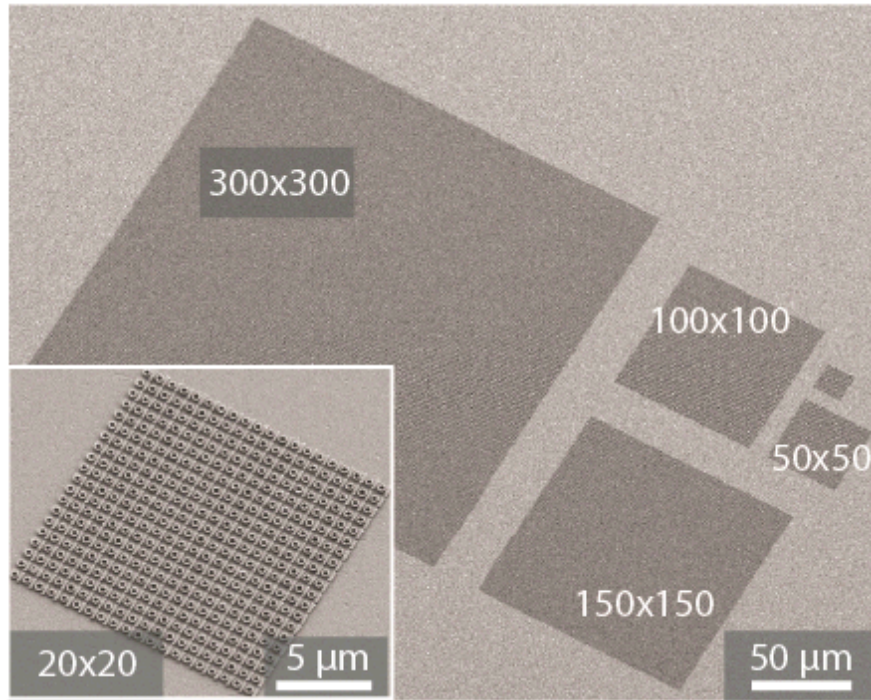
Role of Coupling Coefficient



$$\Delta = g_2 - g_1$$

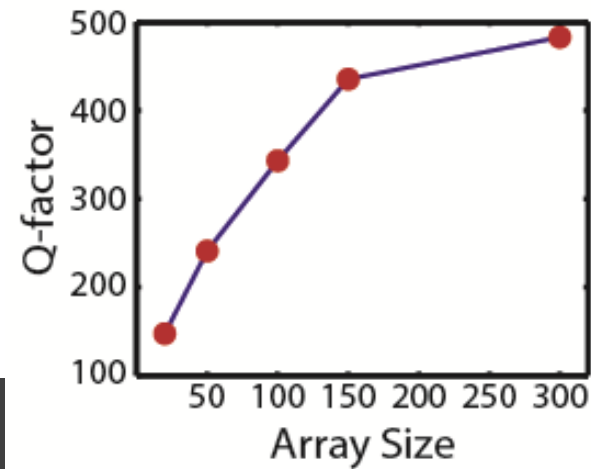
Q-factor = 30,000 @
 $g_1 = 74$ nm ($\Delta = 2$ nm)

Mesoscale Interactions - Experimental

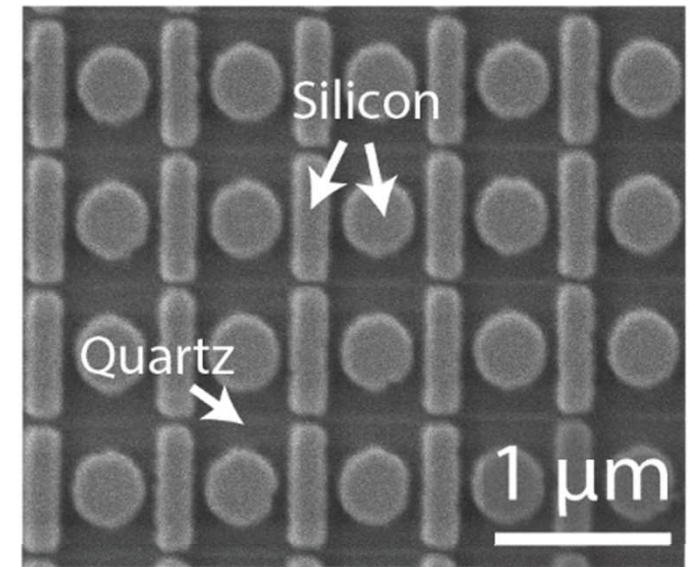
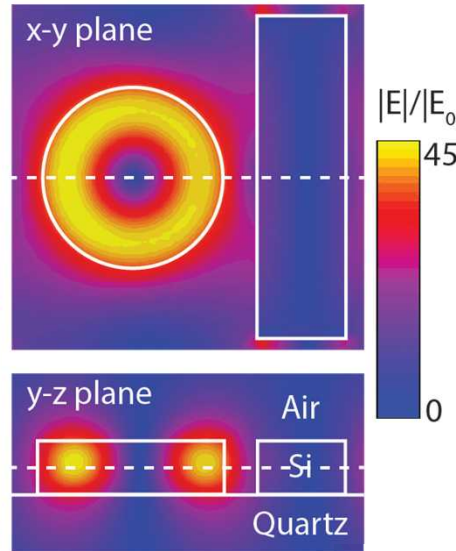
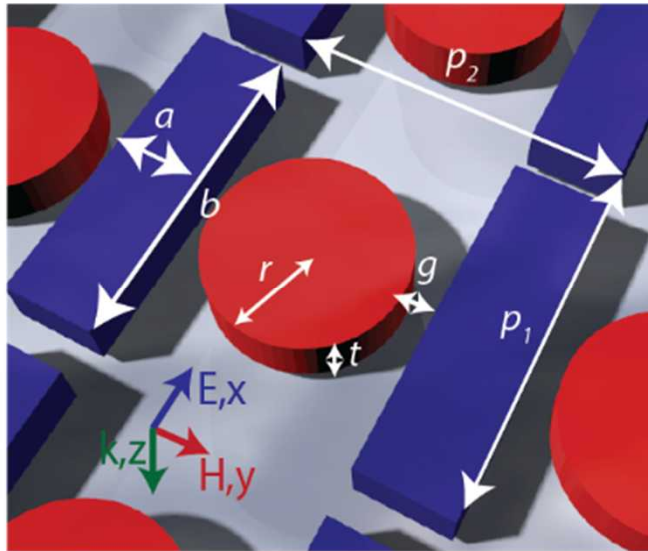


- Q-factor is strong function of # of resonators
- Q-factor remains limited by radiative and non-radiative loss

Yang, Y. et. al. *Nature Communications* 5, 5753 (2014)



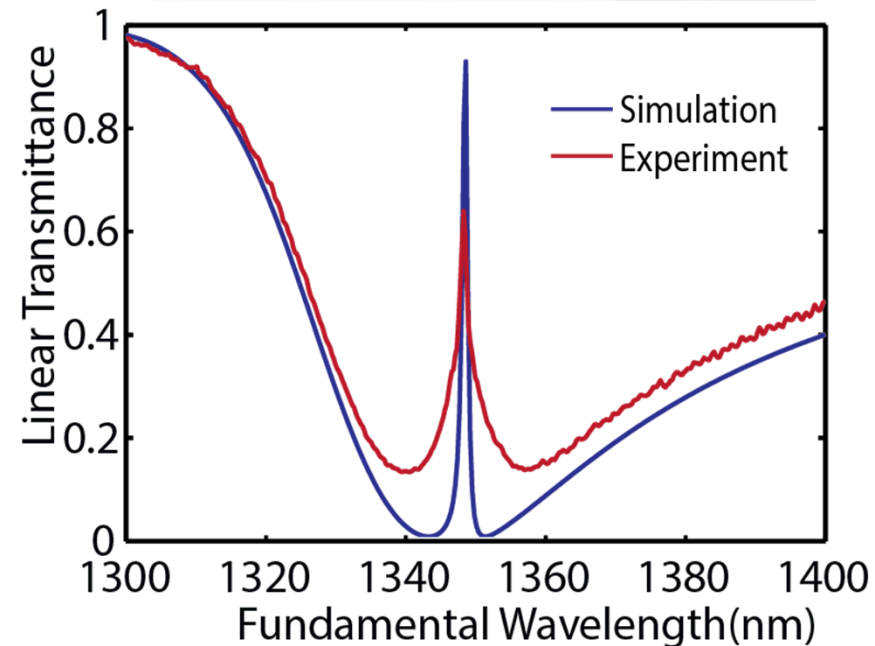
Third Harmonic Configuration



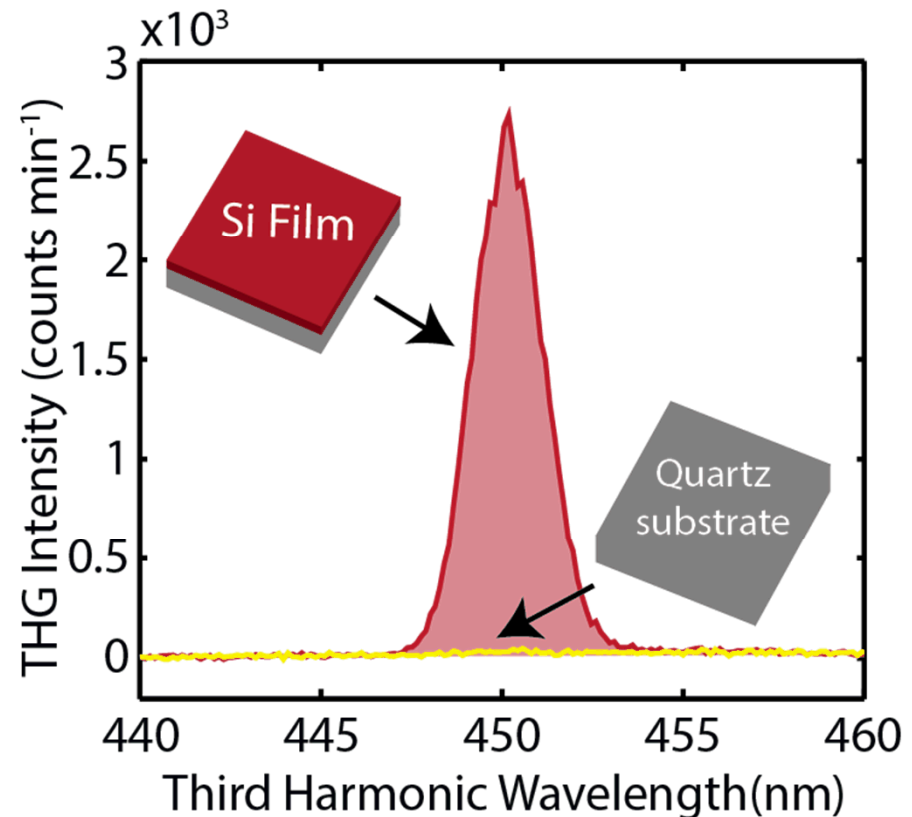
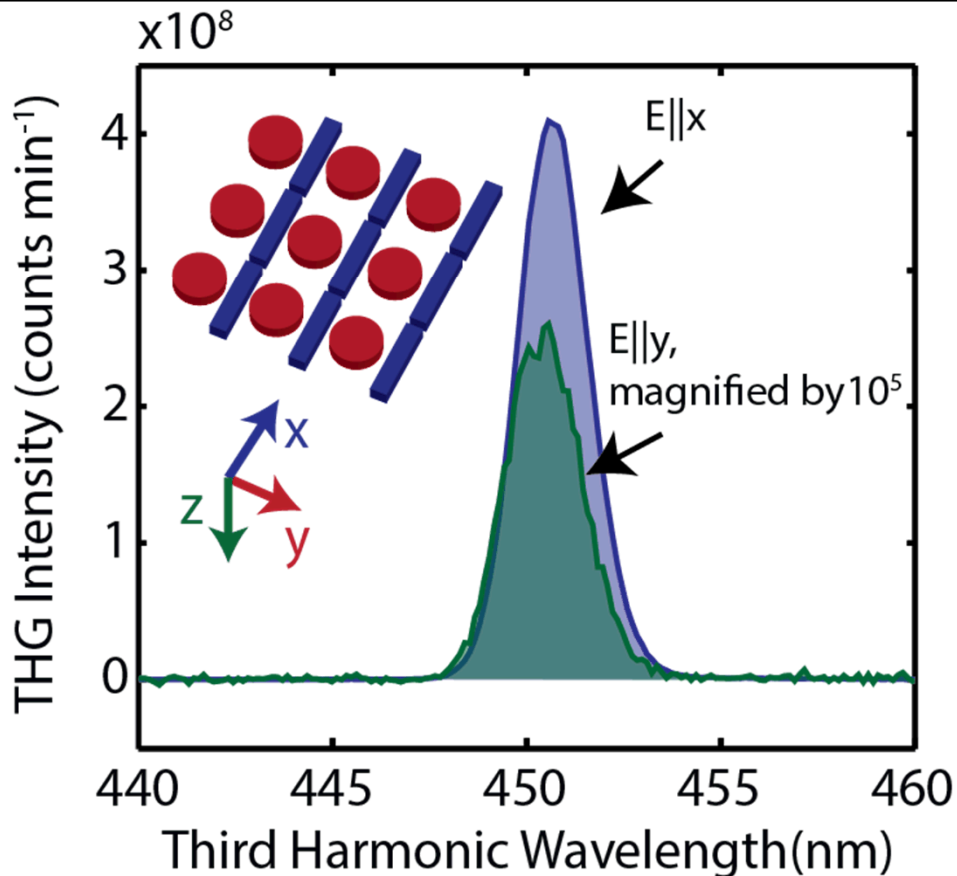
- **Polycrystalline silicon on quartz**
- $\chi_{Si}^{(3)} \sim 2.79 \times 10^{-18} \text{ m}^2 \text{ V}^{-2}$
- $r = 210 \text{ nm}$
- $g = 60 \text{ nm}$
- $t = 120 \text{ nm}$

Theoretical Q-factor: 1498

Experimental Q-factor: 466



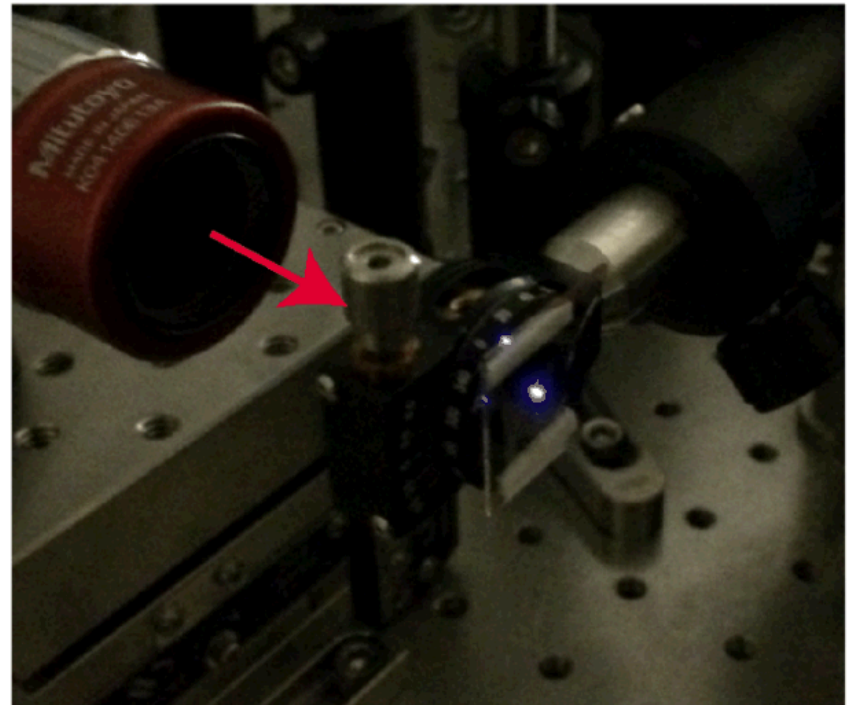
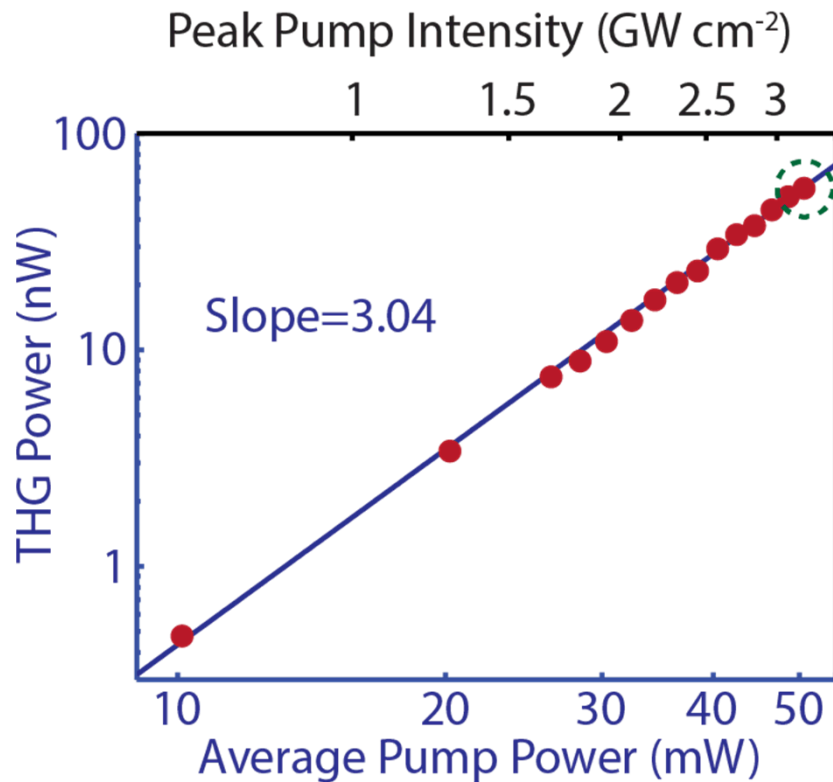
Third Harmonic Generation



- **THG Enhancement Factor of 1.5×10^5**
- Pump at 1350 nm, average power: 25mW
- Peak Intensity: 1.6 GW/cm²

$$EF = \frac{P_{3w,MM}}{P_{3w,Si\,Film}}$$

Absolute Conversion Efficiency

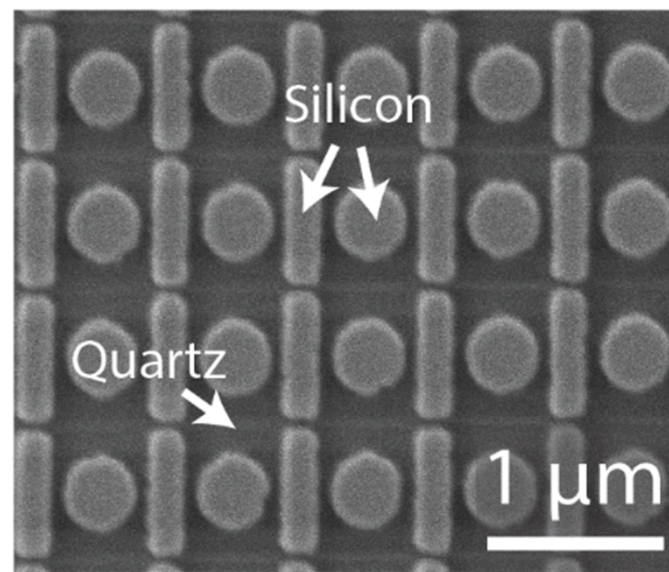
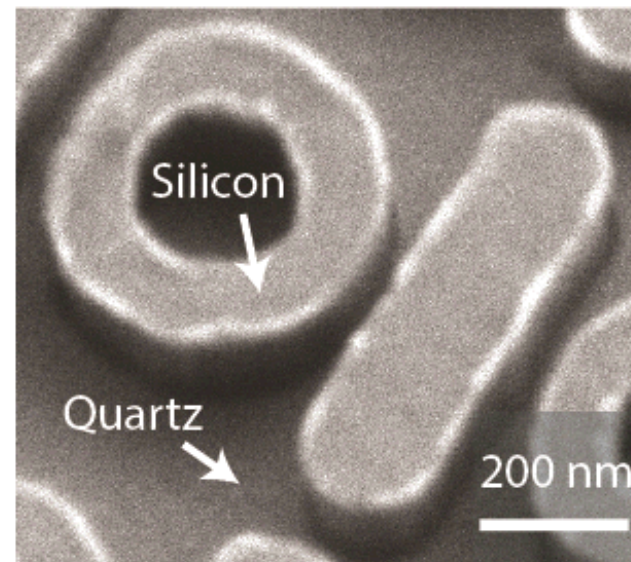


- **Peak efficiency = 1.2×10^{-6} (theory = 10^{-4})**
- Limit not yet clear (most likely TPA limited at higher power)
- Discrepancy with theory (1) lower Q-factor, (2) angle of incidence dispersion

Conclusion

❖ Si-Based Fano Metamaterials

- Low-loss, high efficiency
- Offer real advantages over metal implementations (higher Q, damage threshold)
- Potential nonlinear applications: all optical modulation and self-adjusting optics



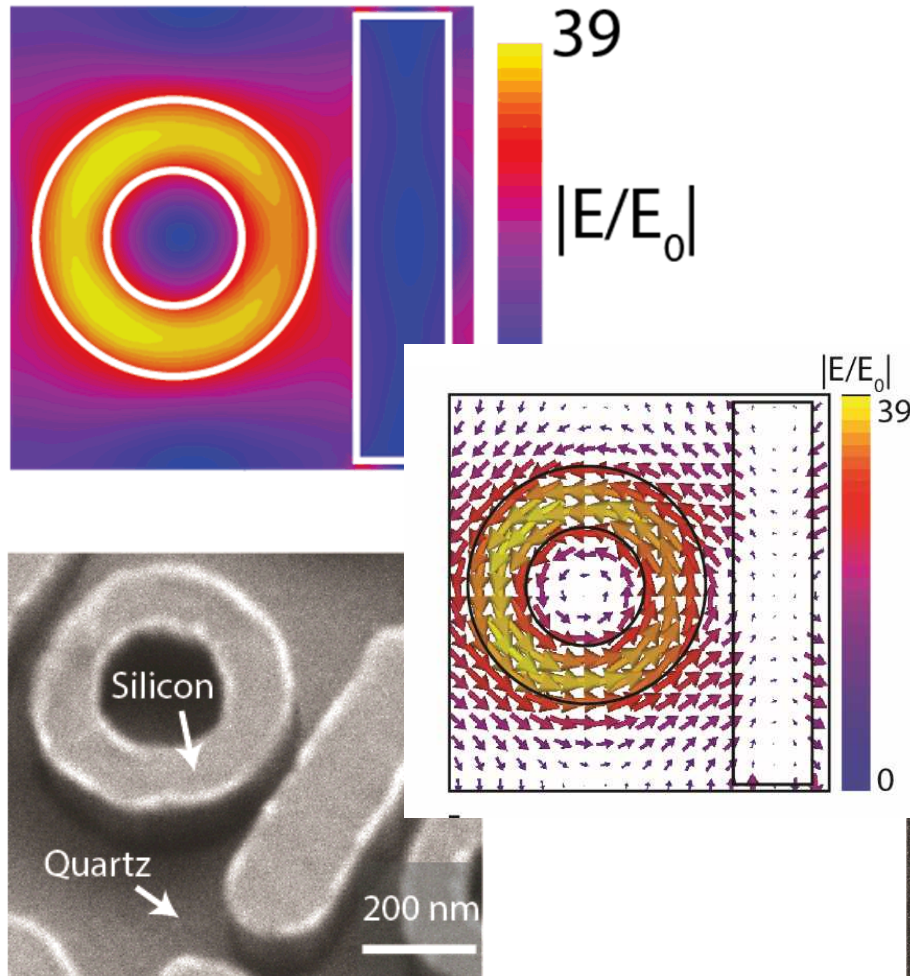
Thanks!

- Vanderbilt
 - Prof. Jason Valentine
 - Wenyi Wang
- CNMS @ ORNL
 - Abdelaziz Boulesbaa
 - Dayrl Briggs
 - Ivan Kravchenko
 - Alexander Puretzky
 - David Geohegan

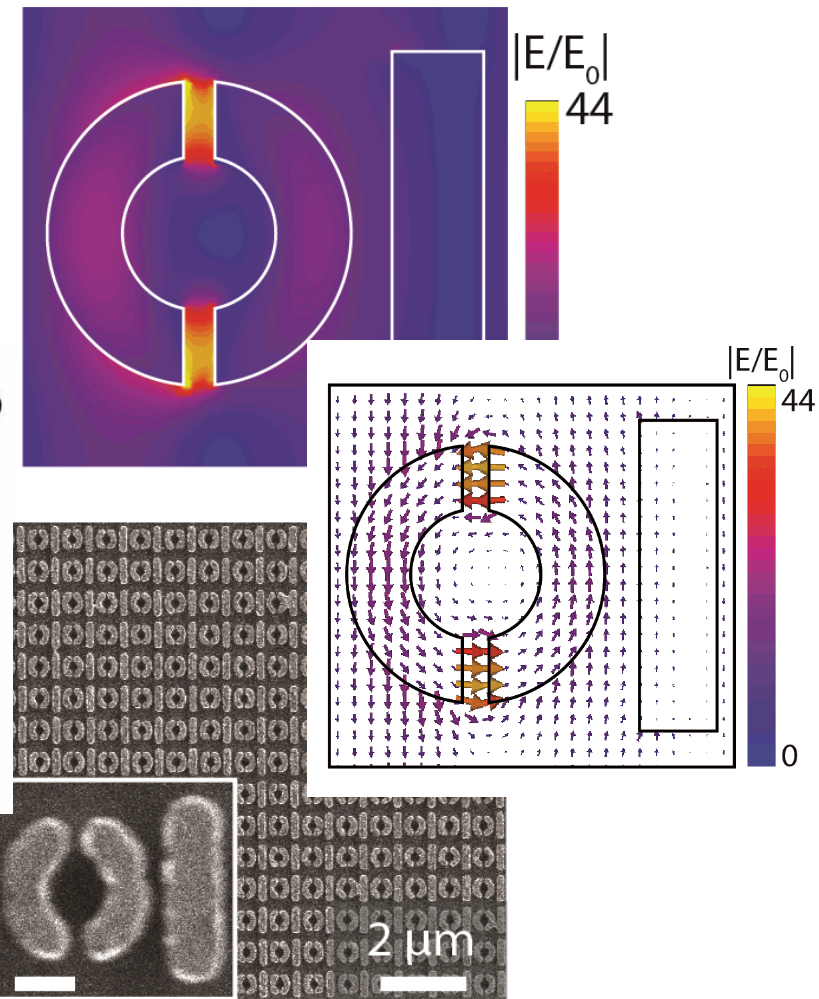


Strong Field Enhancement

Ring Resonator ($Q = 1176$)

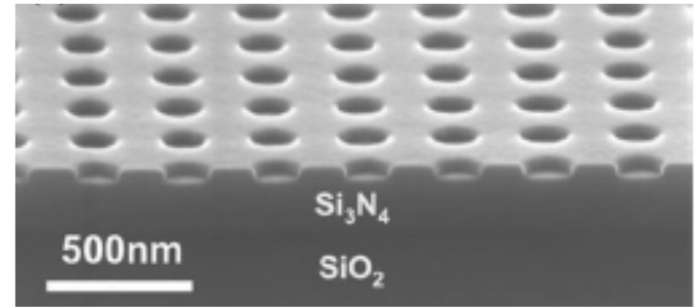


Gap Resonator ($Q = 374$)

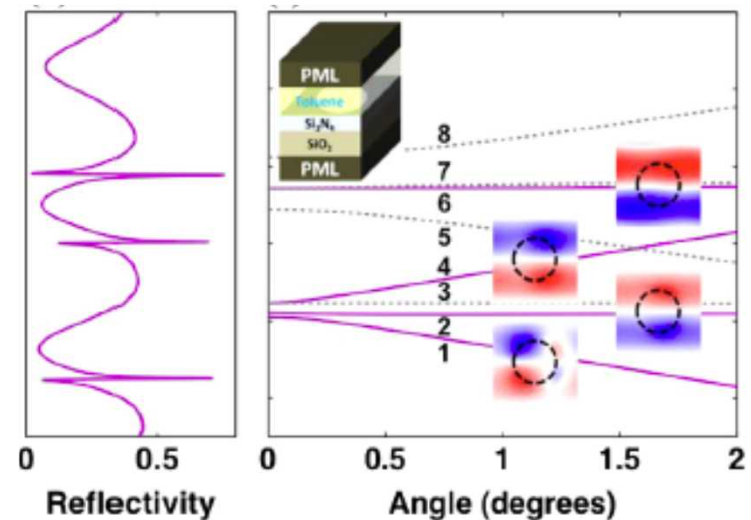
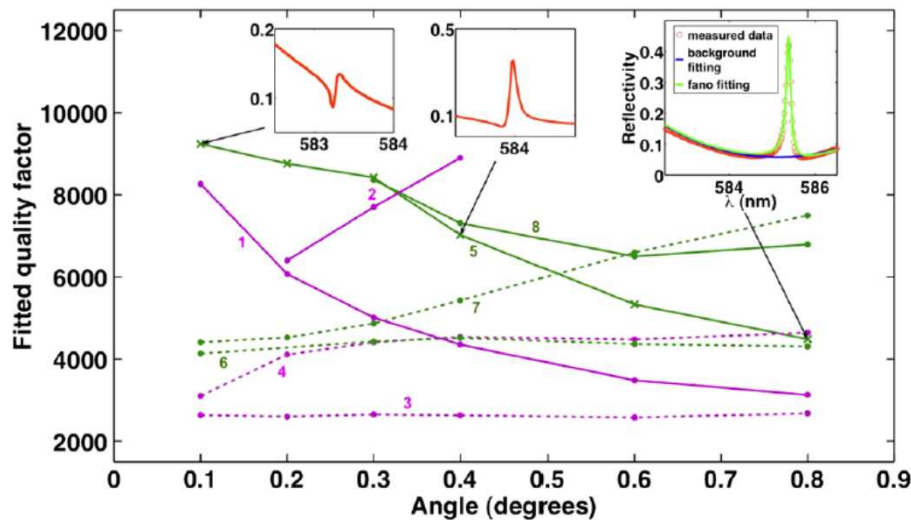


Comparison to PC Slabs

- **Advantages** of MM Approach
 - Ability to control Q-factor
 - **Field localization**
- **Disadvantages** of MM Approach
 - Complex structure = lower Q-factor

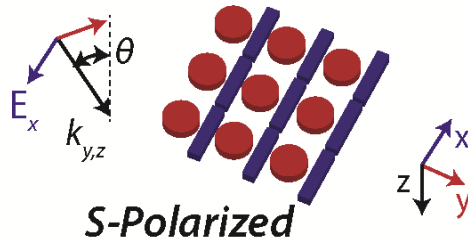


Lee et. al. PRL 109, 067401 (2012)

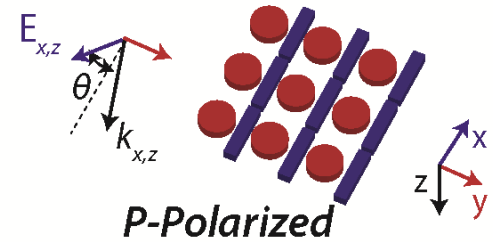
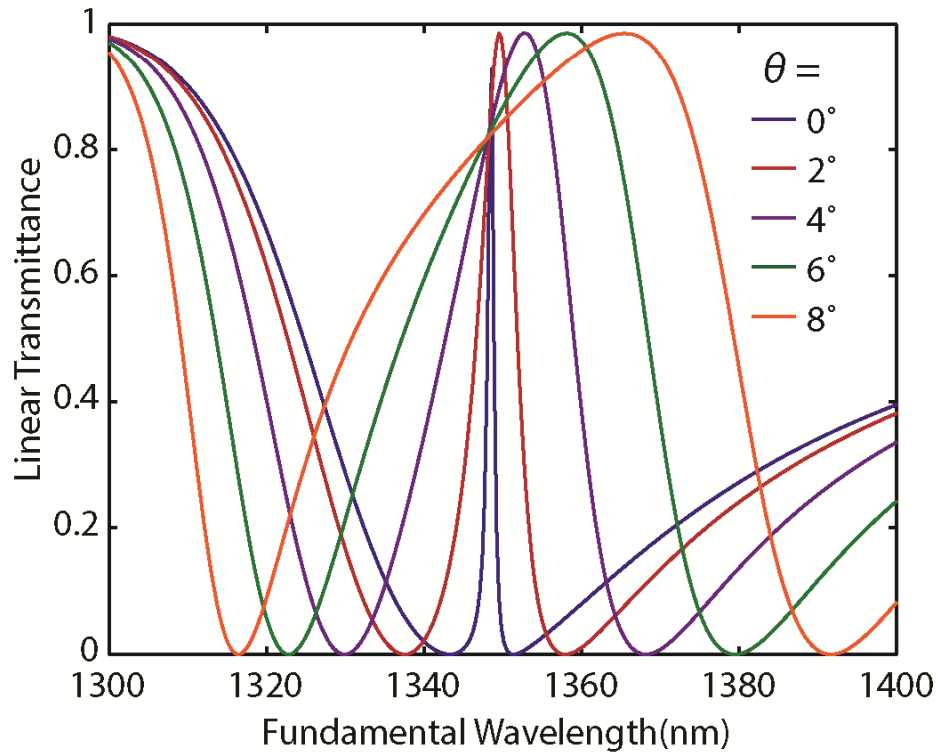


See also: Paddon et. al. PRB (2000), Ochiai et. al. PRB (2001), Fan et. al. PRB (2002)

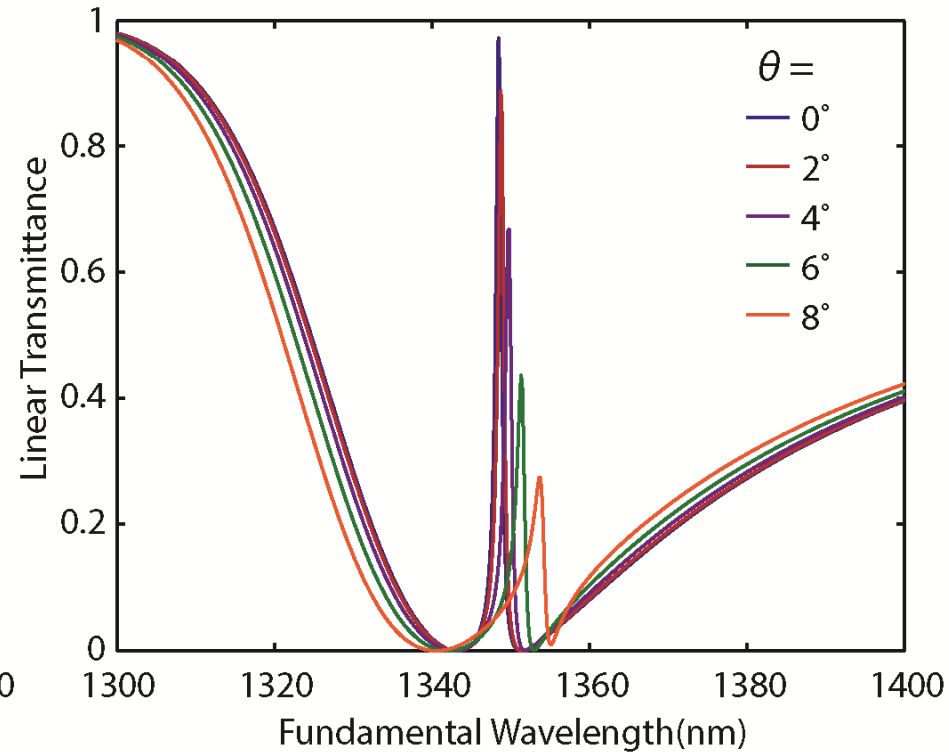
Dispersion with Angle of Incidence



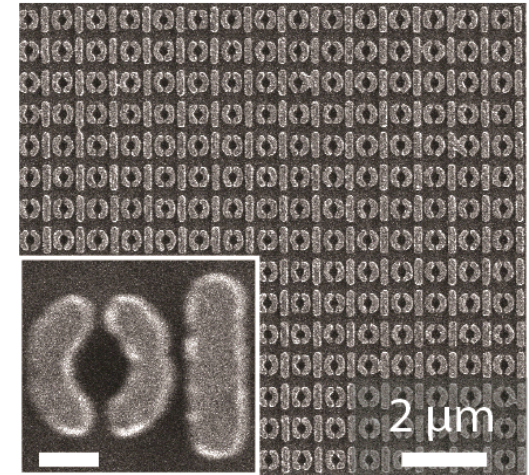
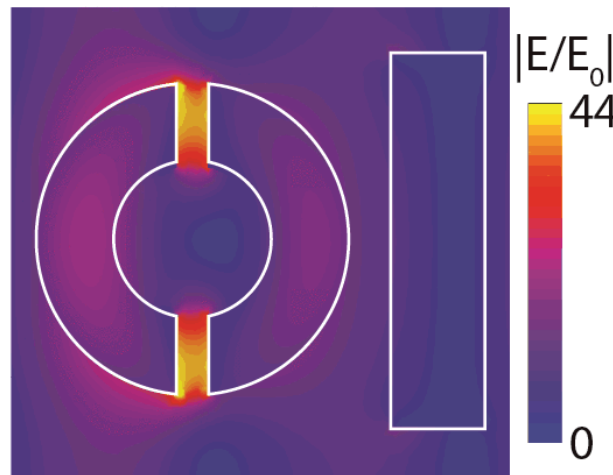
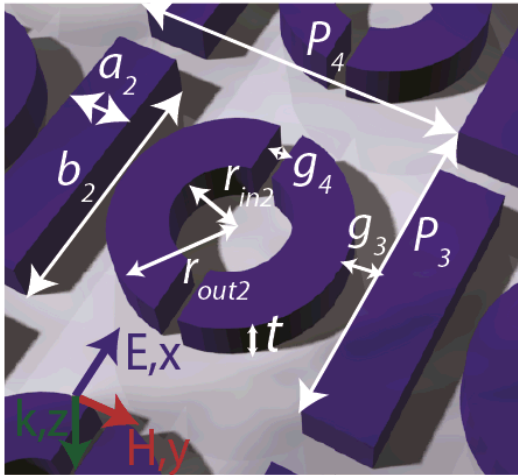
S-Polarized



P-Polarized



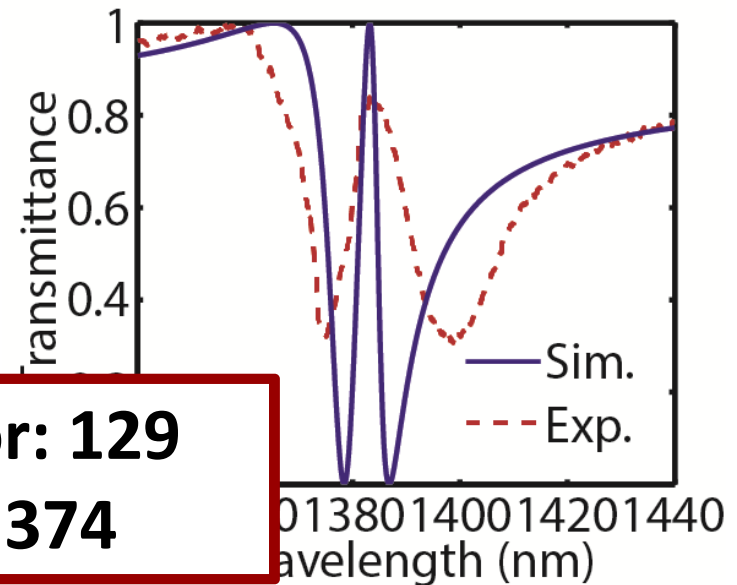
Focusing the Field



- $r_{out} = 225\text{nm}$
- $g_3 = 70\text{nm}$
- $g_4 = 50\text{nm}$
- $t = 110\text{nm}$
- $p_1 = 750\text{nm}$
- $p_2 = 800\text{nm}$

Experimental Q-factor: 129

Theoretical Q-factor: 374



Nonlinear Measurements

