

High-Q All-Dielectric Metasurfaces

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METAMATERIALS 2015

August 7th, 2015

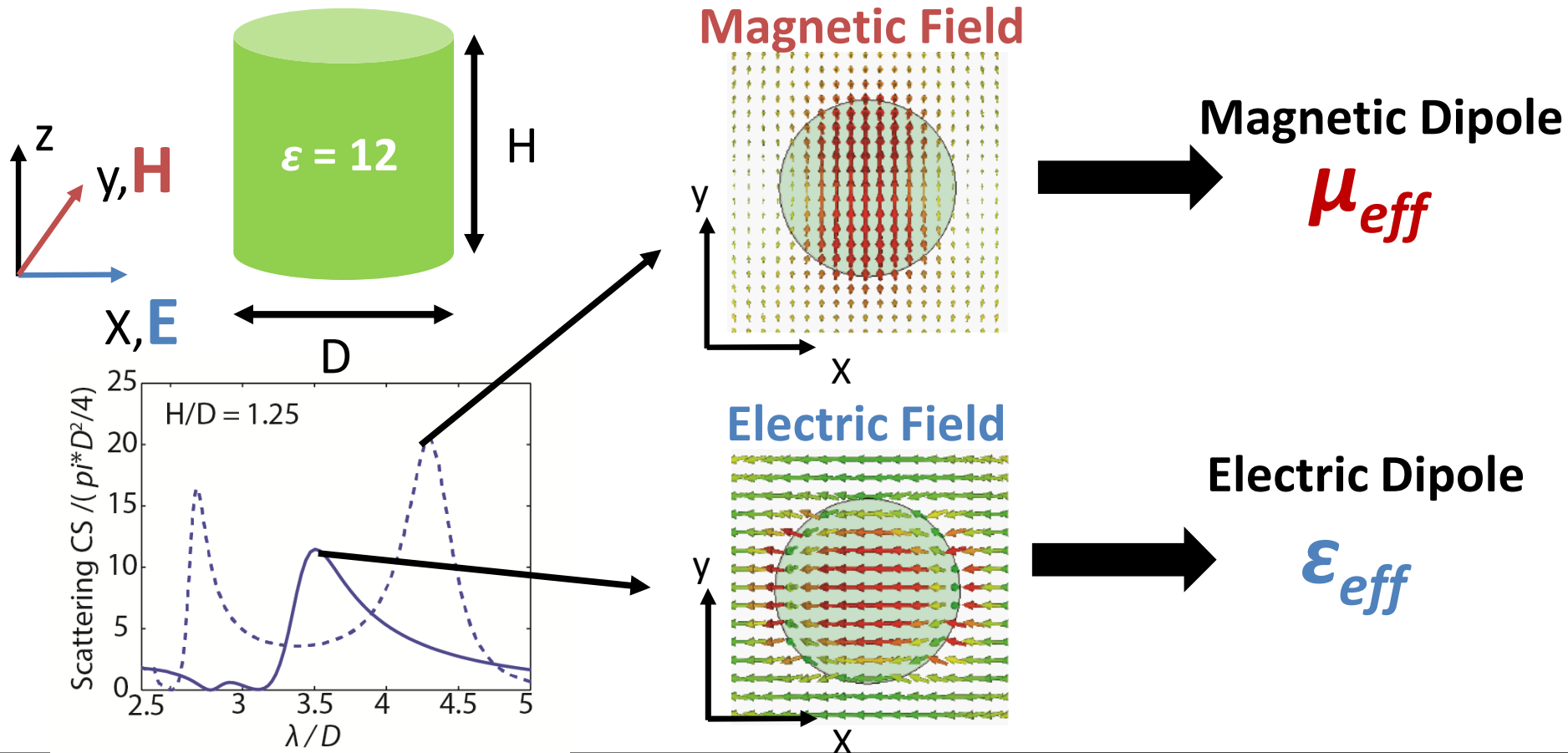


VANDERBILT
UNIVERSITY



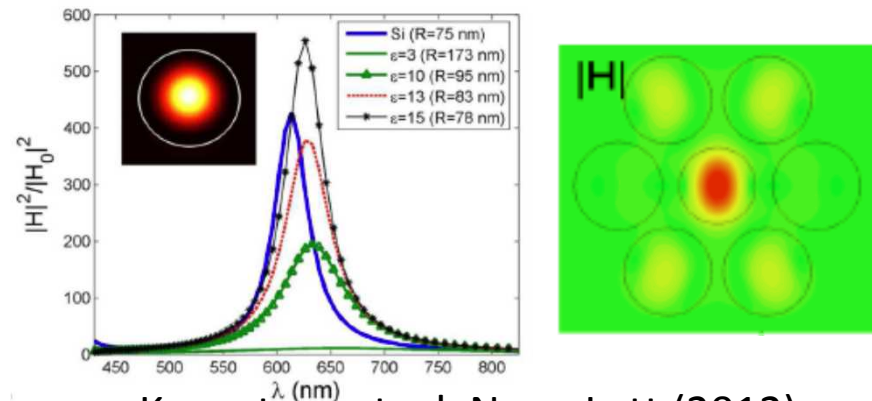
Dielectric / Semiconductor Metamaterials

- First theorized by Lewin in 1947
 - Proc. Inst. Elec. Eng. **94** 65 (1947)
- Utilize Mie resonances in dielectric particles



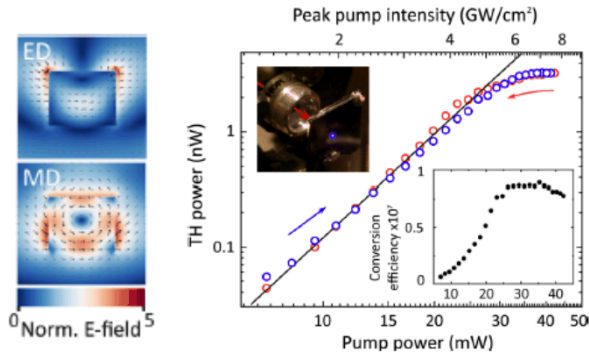
Optical Dielectric Metamaterials

Particle Resonances



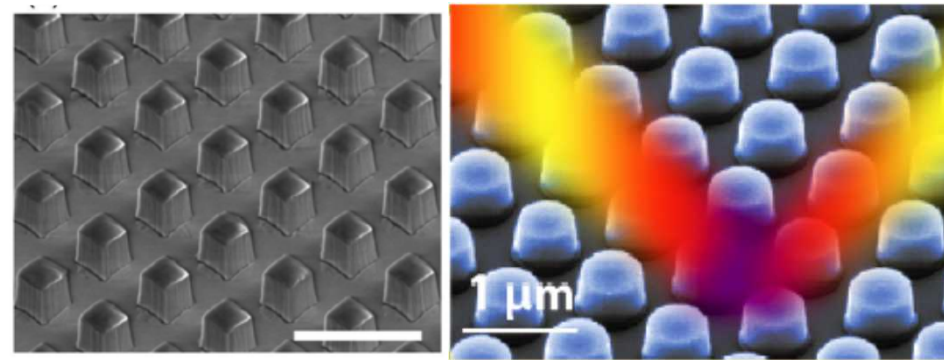
Kuznetsov et. al. Nano Lett (2012),
Evlyukhin et. al. Nano Lett (2012),
Kivshar et. al. Nano Lett (2013)

Nonlinear Enhancement



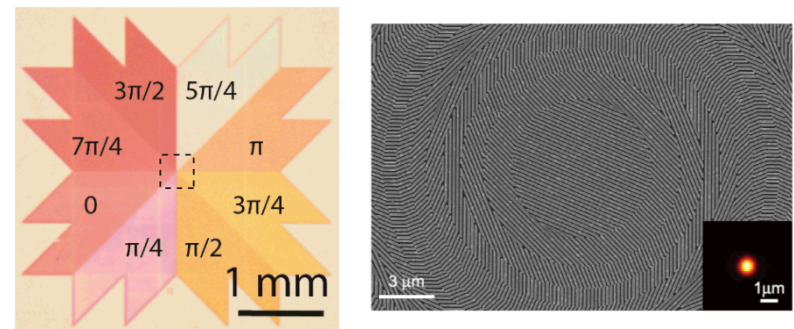
Kivshar, Neshev et. al. Nano Lett (2014),
ACS Phot. (2015)

Perfect / Magnetic Reflectors



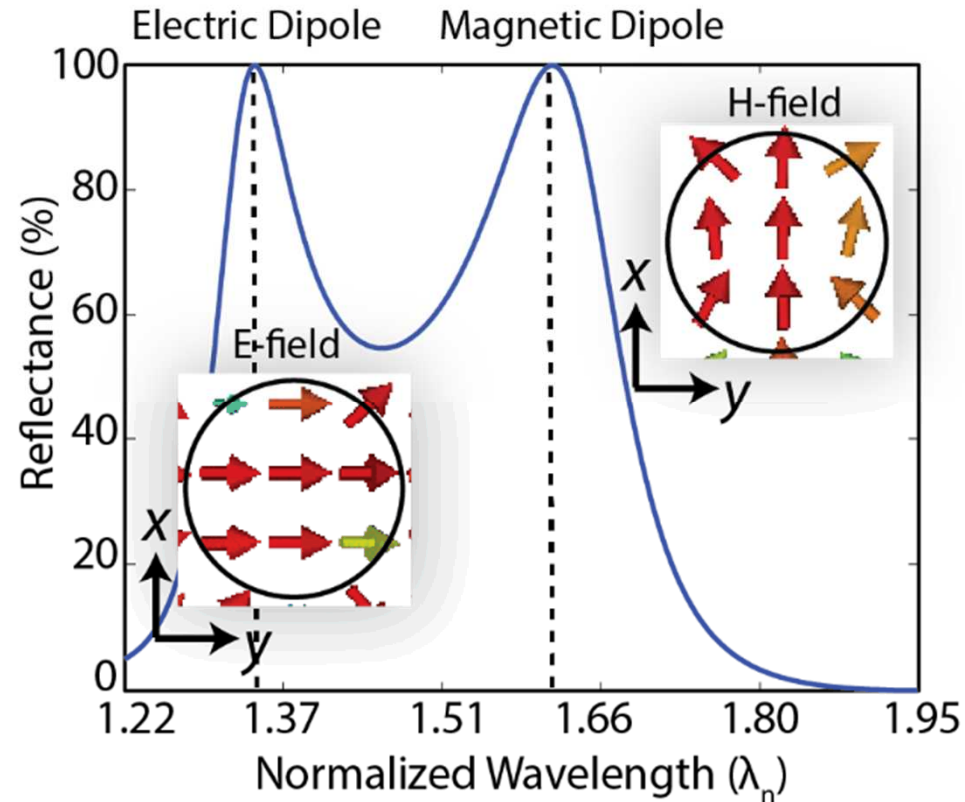
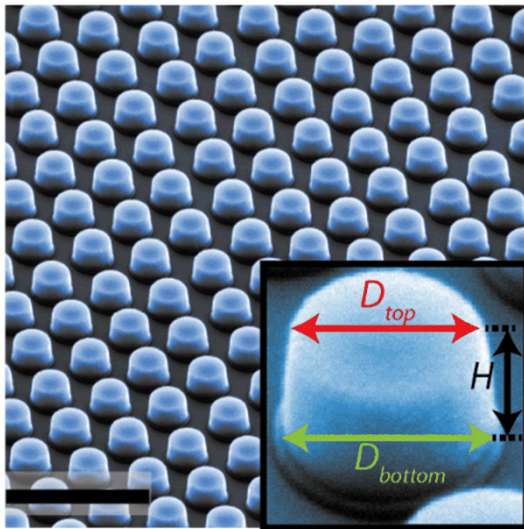
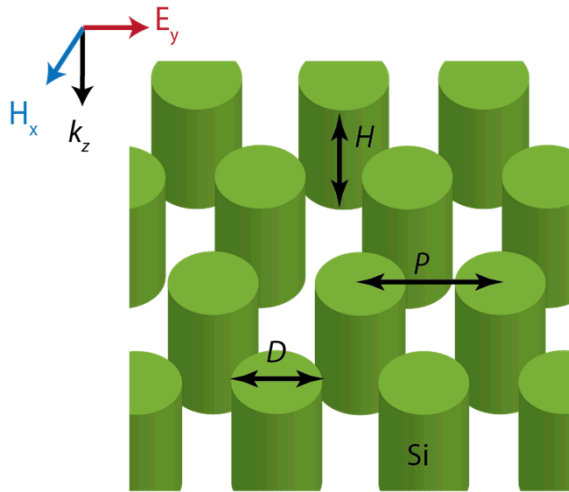
Brener et. al. Optica (2014), Valentine et. al. ACS Phot. (2015)

Metasurfaces



Valentine, Nano Lett (2014),
Brongersma, Science (2014)

Spectral Response



**Bandwidth Limited By
Radiative Damping**

Moitra et. al. ACS Photonics, **2**, 692–698 (2015)

Fano-Resonant Metamaterials

High-Q Requirements

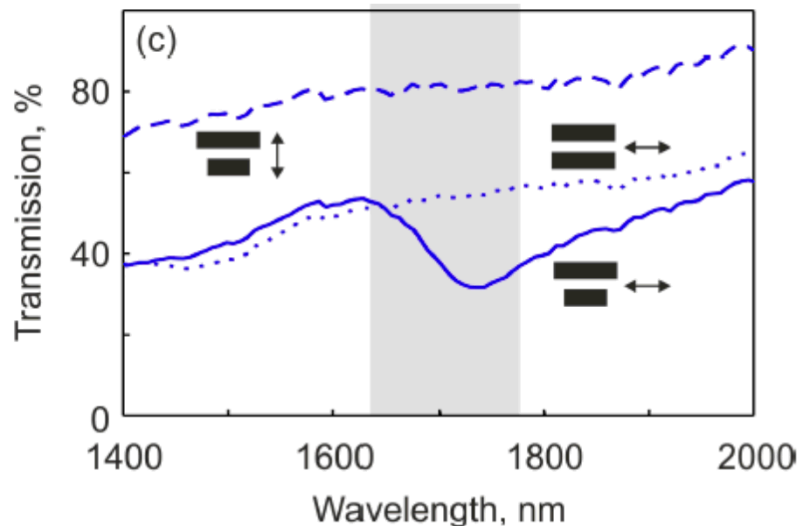
1. Minimize non-radiative damping (γ_{NR})
2. Minimize radiative damping (γ_R)

Plasmonic Fano-resonant Metamaterials:

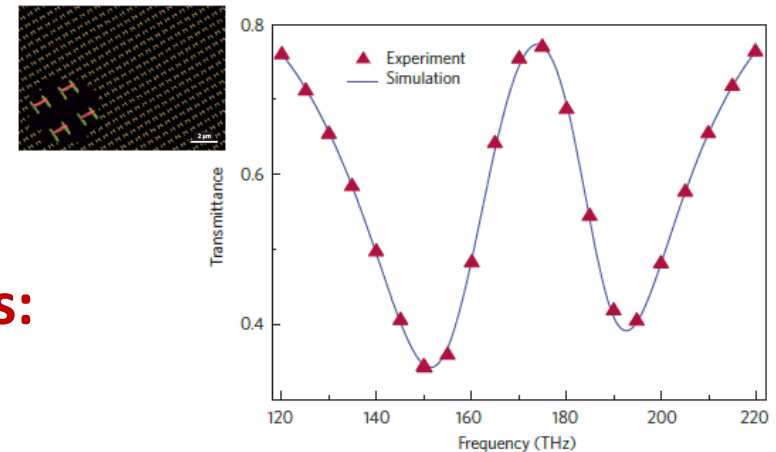
- Dominated by γ_{NR} , **Q-factors ~ 10**

Dielectric Fano-resonant Metamaterials:

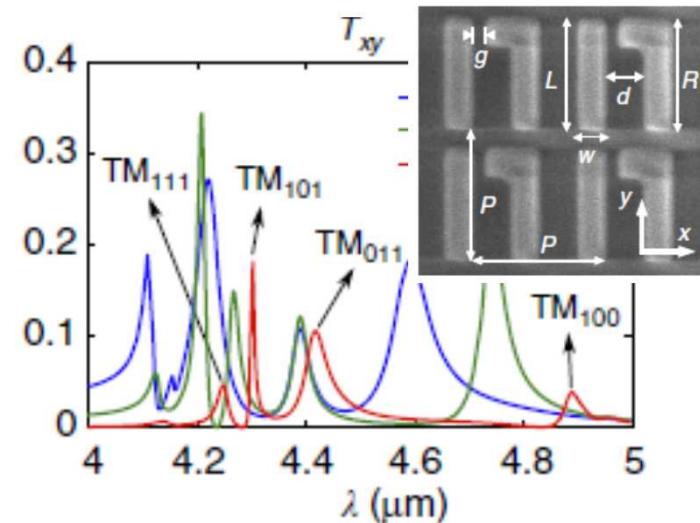
- Reduced γ_{NR} , **Q-factors ~ 100**



Zheludev et al, Opt. Expr., 2013

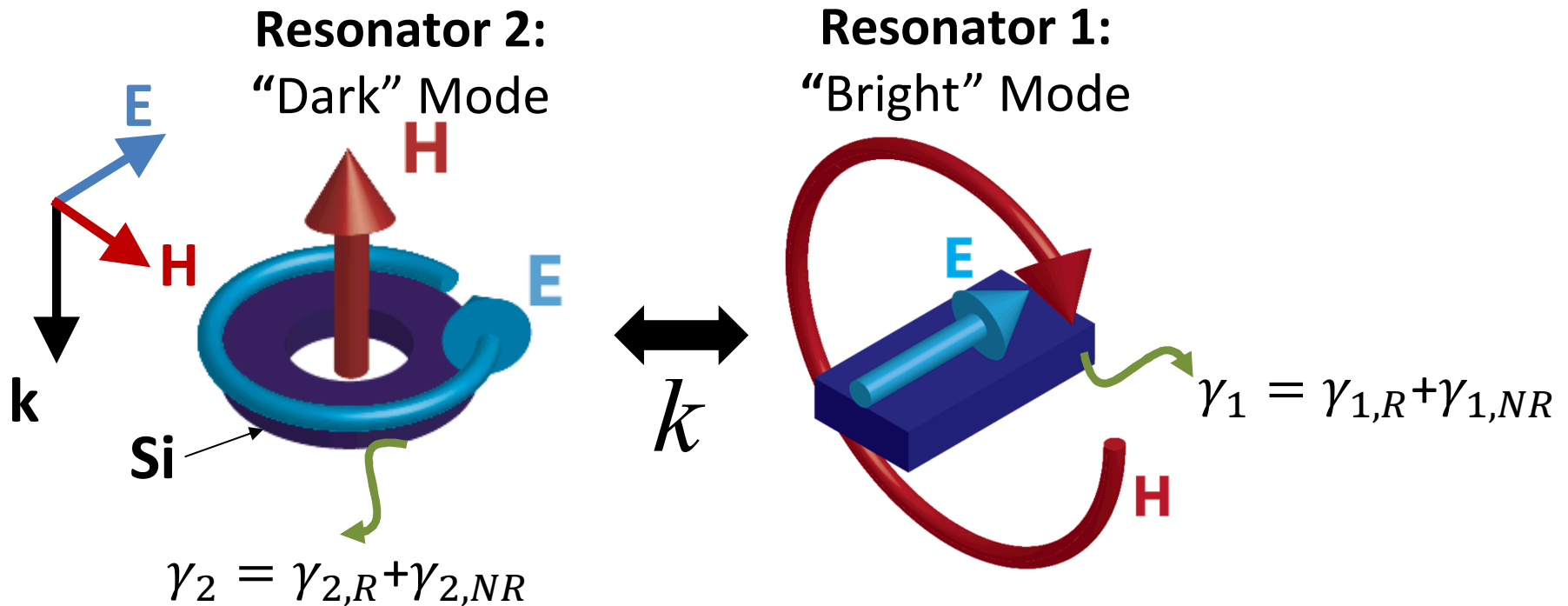


Giessen et al, Nat. Mat., 2010



Shvets et al Nat Comm., 2014

Dark and Bright Collective Modes



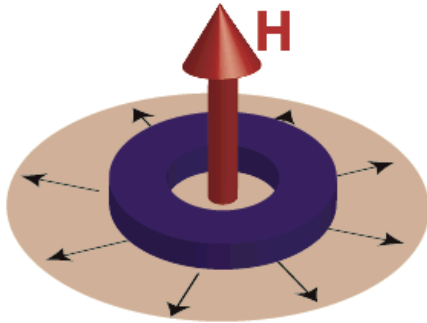
Key Points:

1. Reduction of γ_2 increases the Q-factor $\longrightarrow$ minimize γ_2
2. In the limit of $\gamma_2 \rightarrow 0$, $dT/d\omega \propto \kappa^{-1}$ $\longrightarrow$ minimize κ

Yang, Y. et. al. *Nature Communications* 5, 5753 (2014)

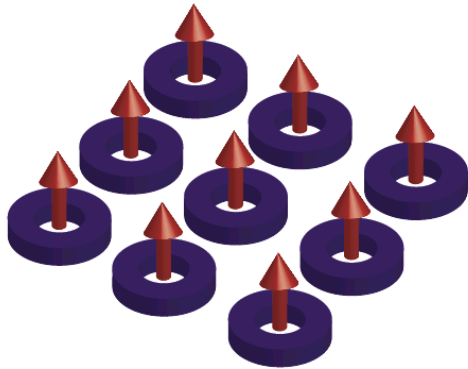
Reducing Radiative Losses

Isolated Resonator



Radiative Losses
(Large γ_R)

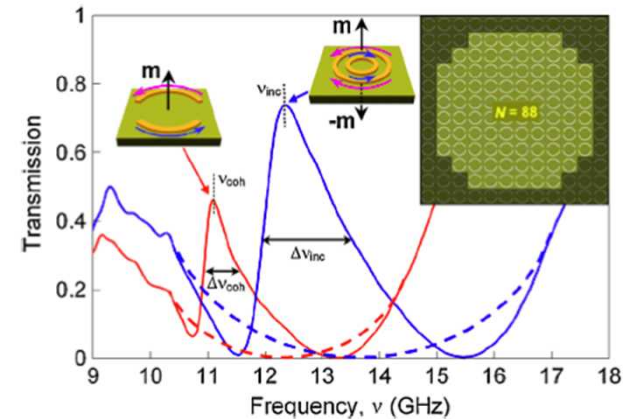
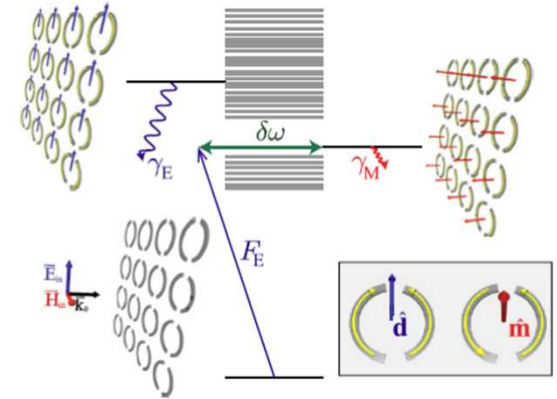
Interacting Resonators



Near-field Coupling

Minimize Radiative Losses

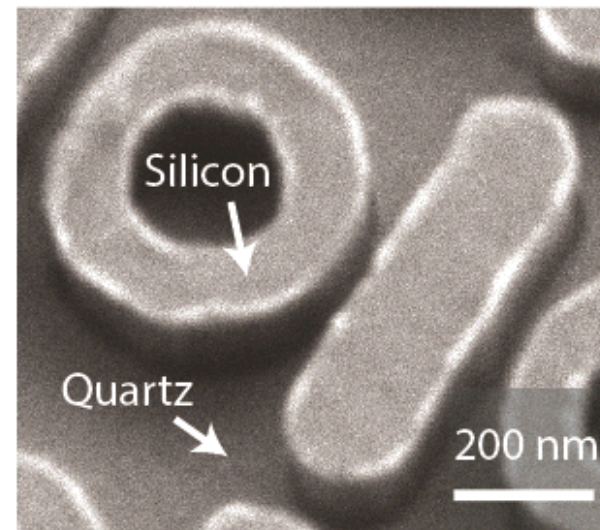
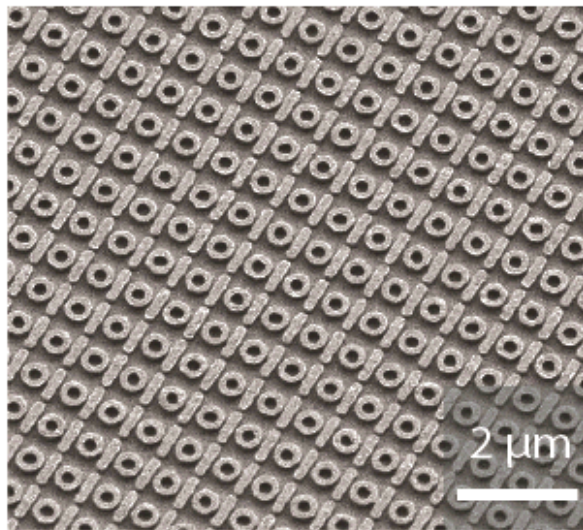
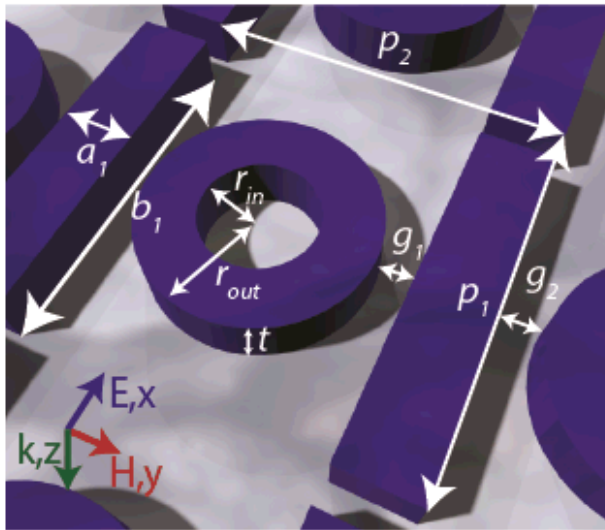
Coherent Metamaterials



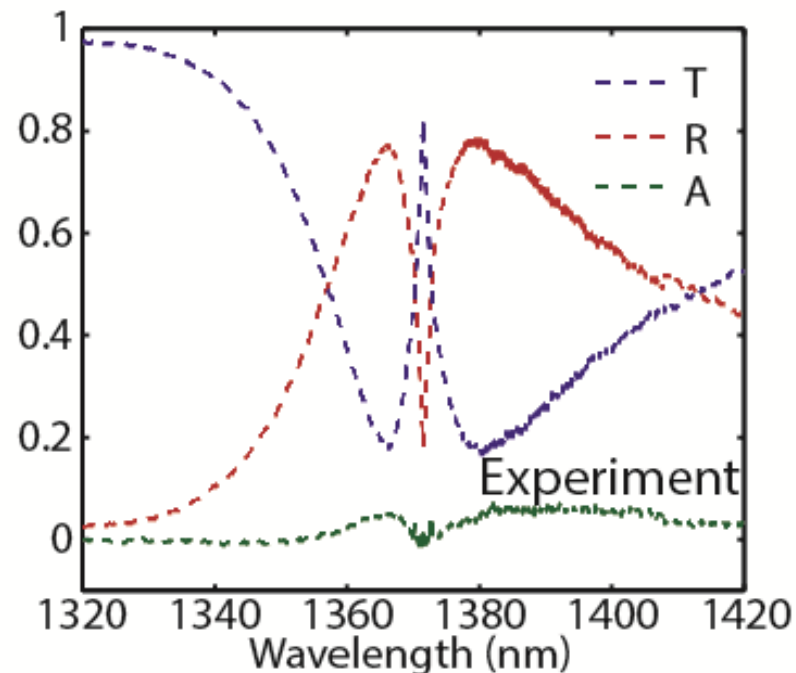
Fedotov et al, PRL (2010)

Jenkins et al, PRL (2013)

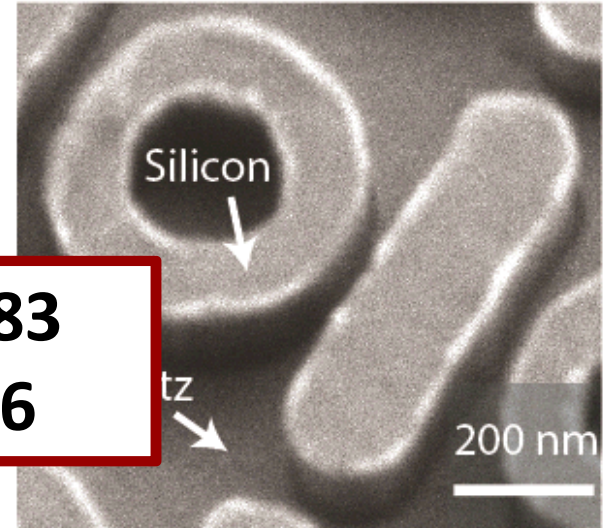
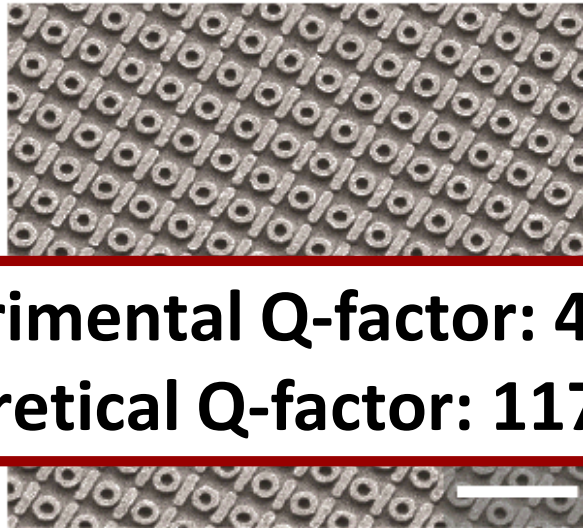
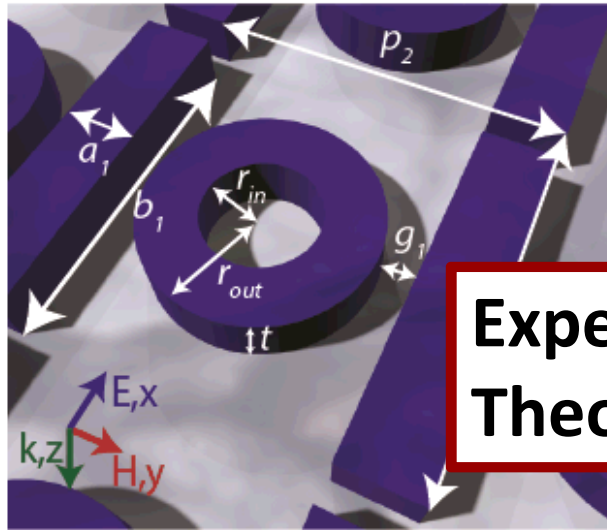
Implementation in Silicon



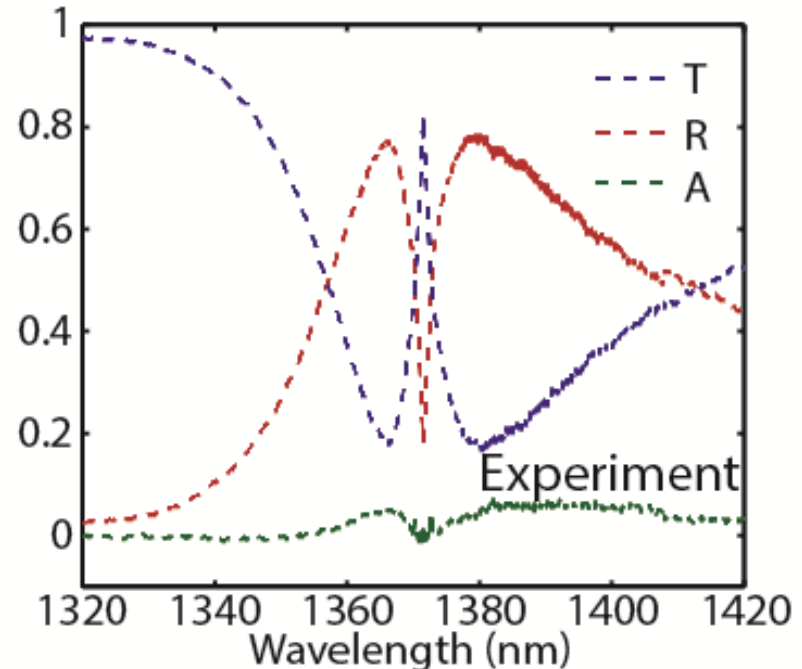
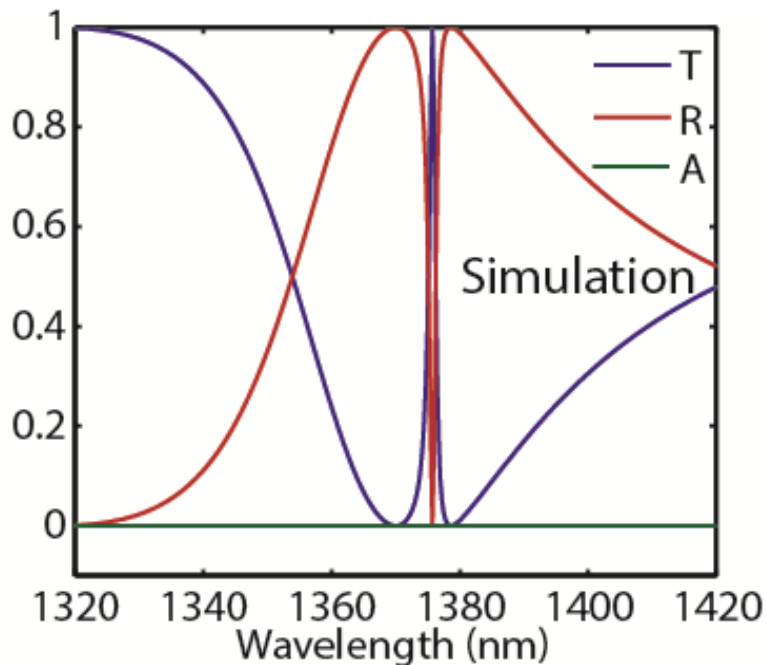
- $r_{out} = 225\text{nm}$
- $g_1 = 70\text{nm}$
- $g_2 = 80\text{nm}$
- $t = 110\text{nm}$
- $p_1 = 750\text{nm}$
- $p_2 = 750\text{nm}$



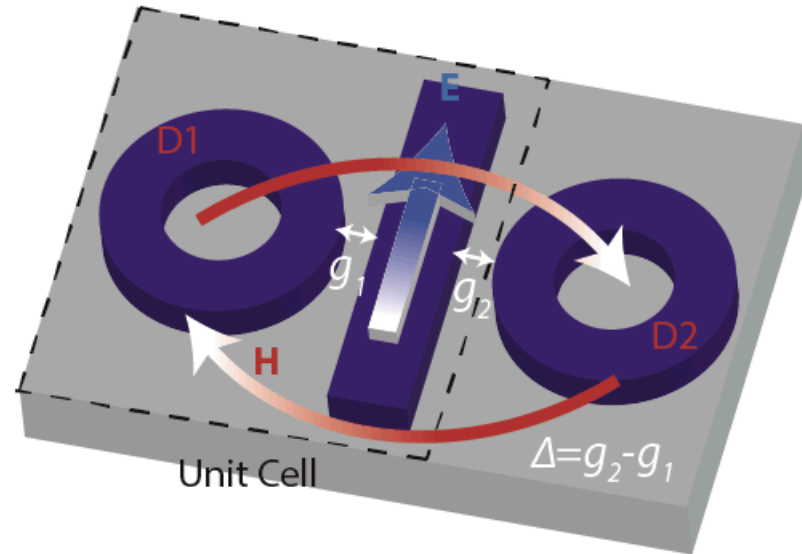
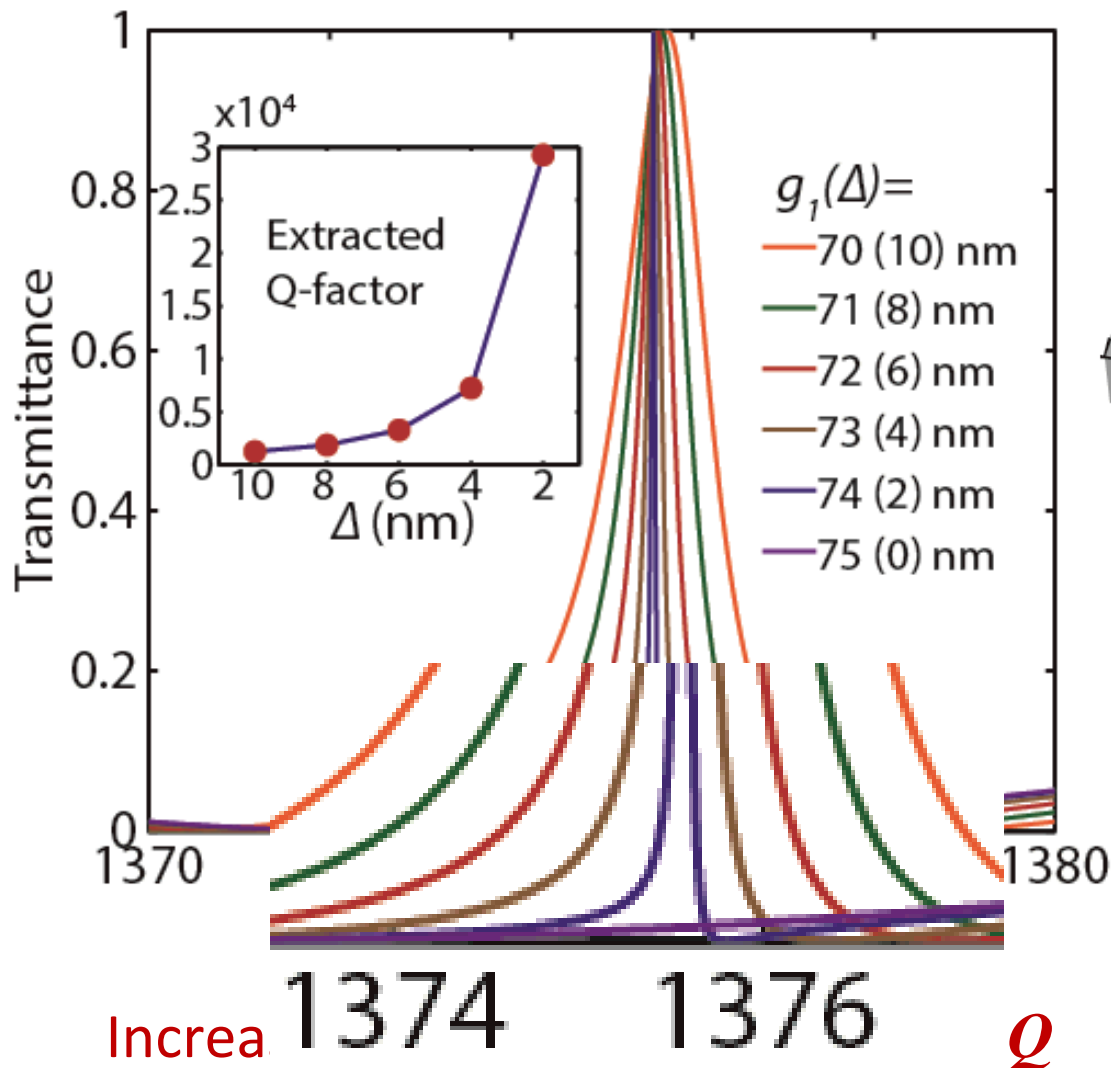
Implementation in Silicon



Experimental Q-factor: 483
Theoretical Q-factor: 1176



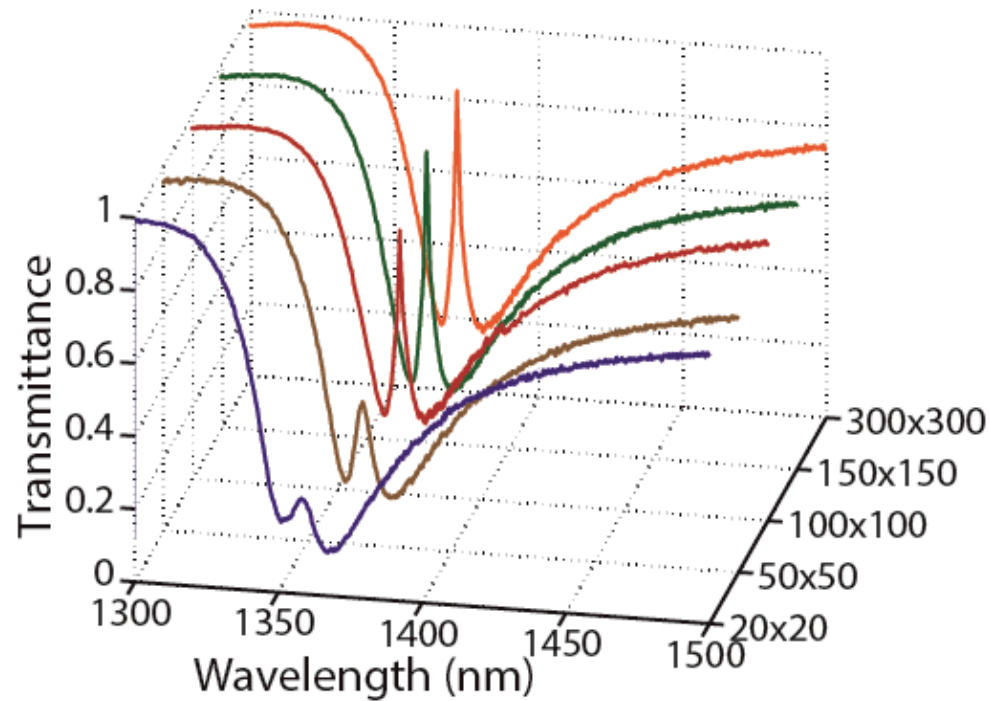
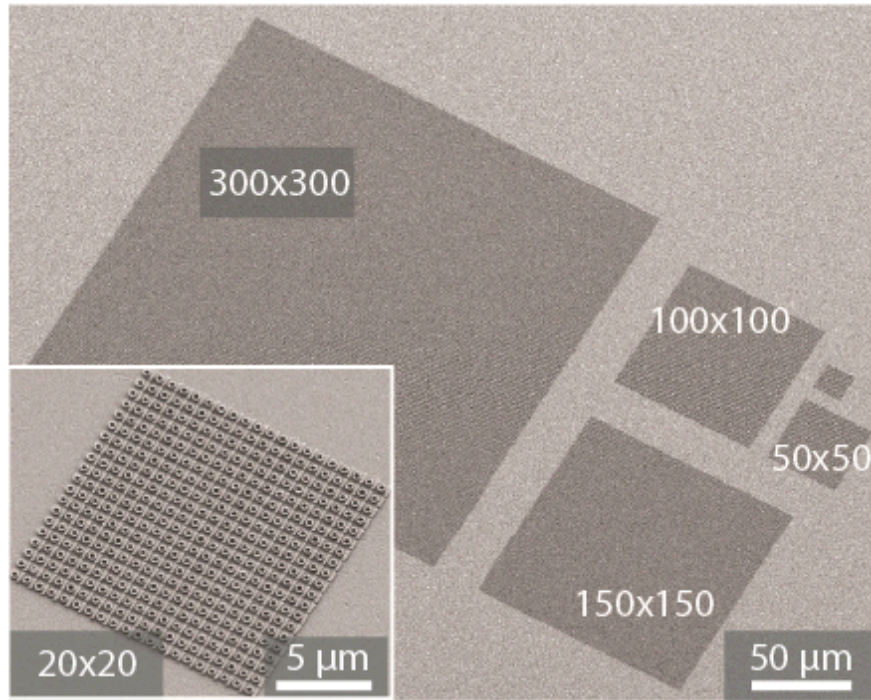
Role of Coupling Coefficient



$$\Delta = g_2 - g_1$$

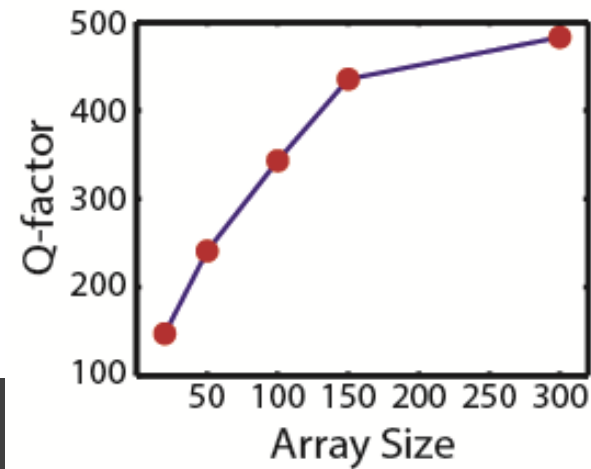
Q-factor = 30,000 @
 $g_1 = 74$ nm ($\Delta = 2$ nm)

Mesoscale Interactions - Experimental



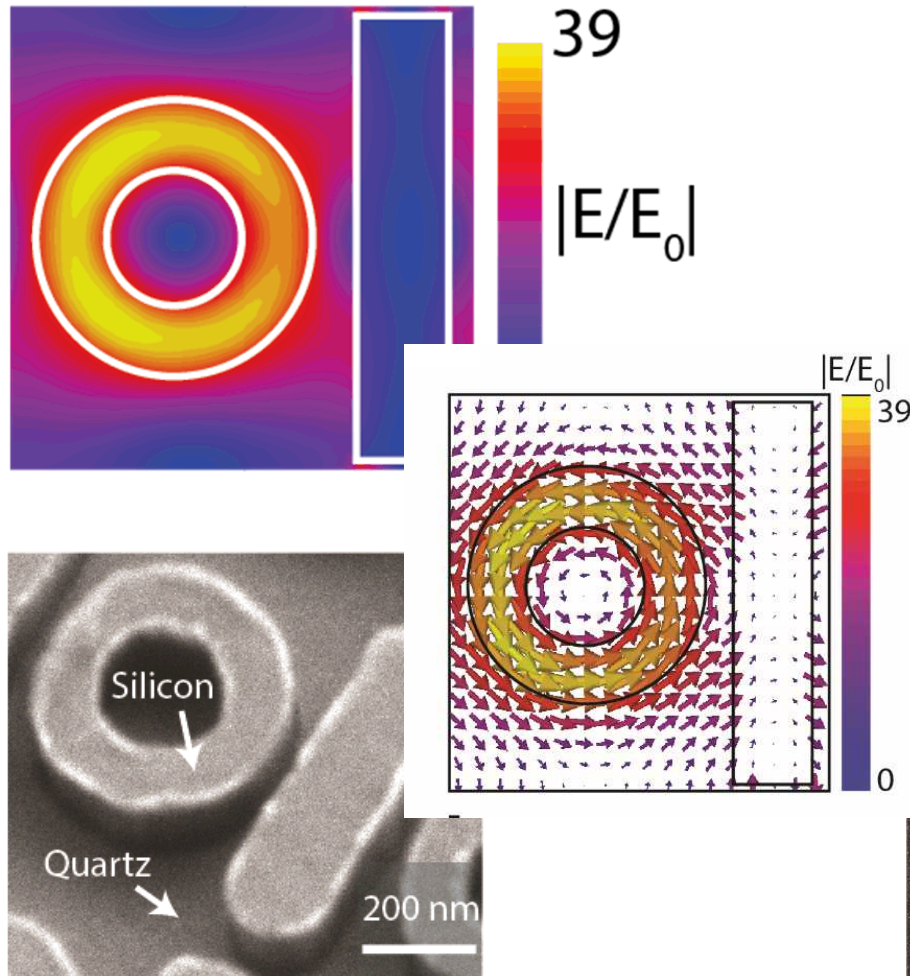
- Q-factor is strong function of # of resonators
- Q-factor remains limited by radiative and non-radiative loss

Yang, Y. et. al. *Nature Communications* 5, 5753 (2014)

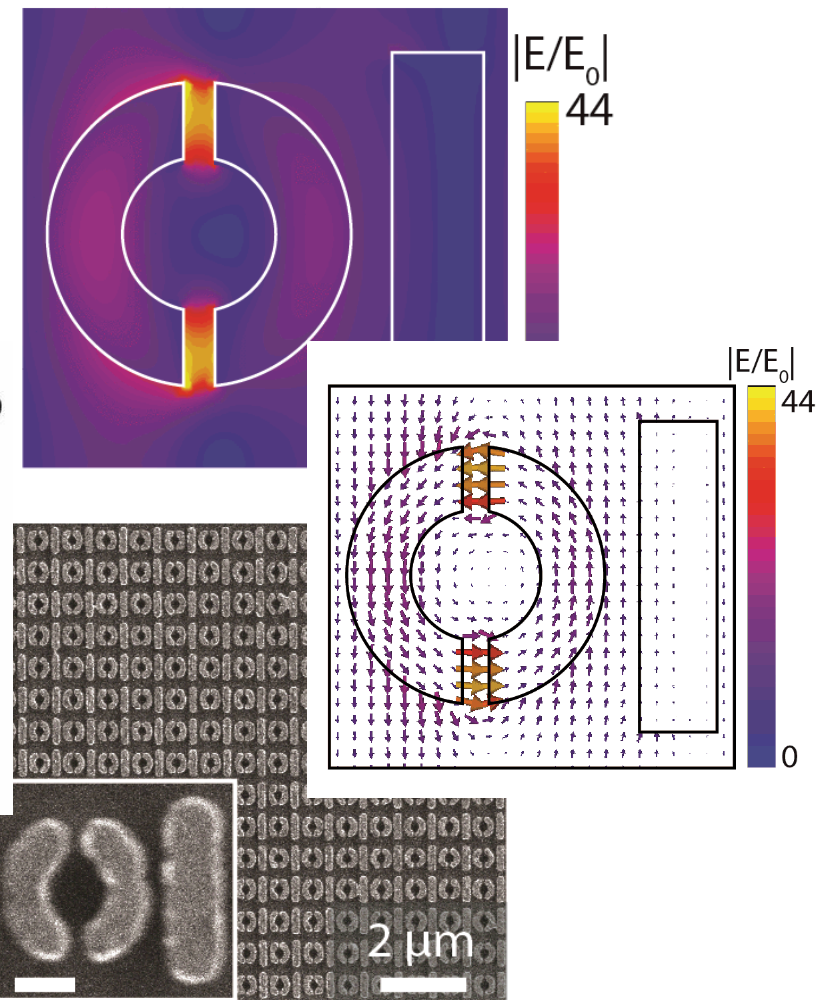


Strong Field Enhancement

Ring Resonator ($Q = 1176$)

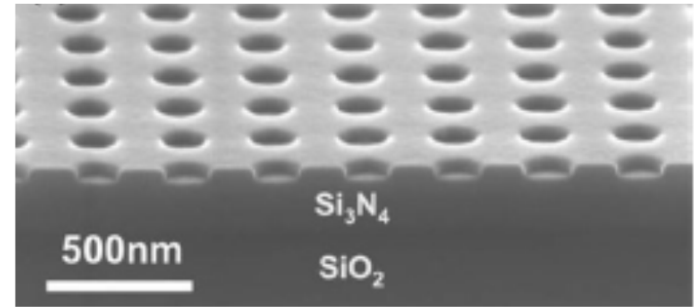


Gap Resonator ($Q = 374$)

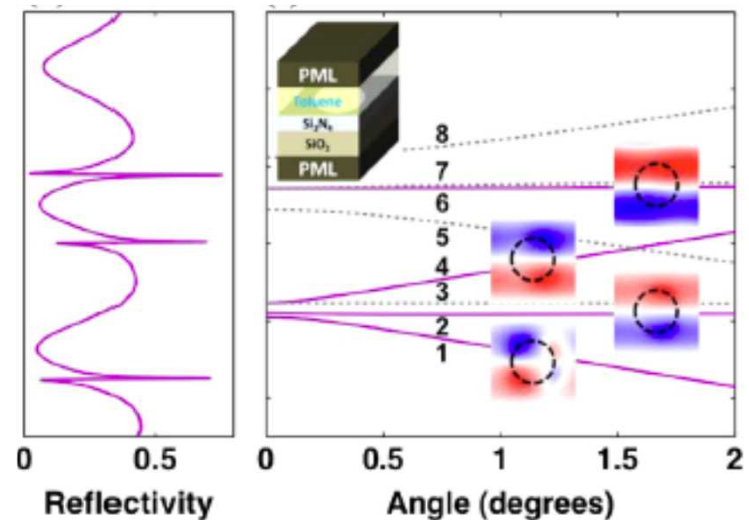
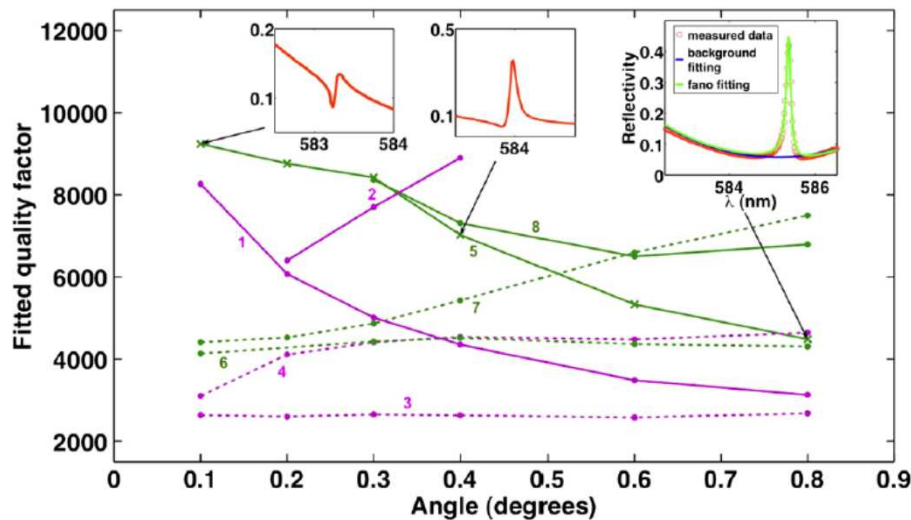


Comparison to PC Slabs

- **Advantages** of MM Approach
 - Ability to control Q-factor
 - **Field localization**
- **Disadvantages** of MM Approach
 - Complex structure = lower Q-factor



Lee et. al. PRL 109, 067401 (2012)



See also: Paddon et. al. PRB (2000), Ochiai et. al. PRB (2001), Fan et. al. PRB (2002)

Refractive Index Sensing

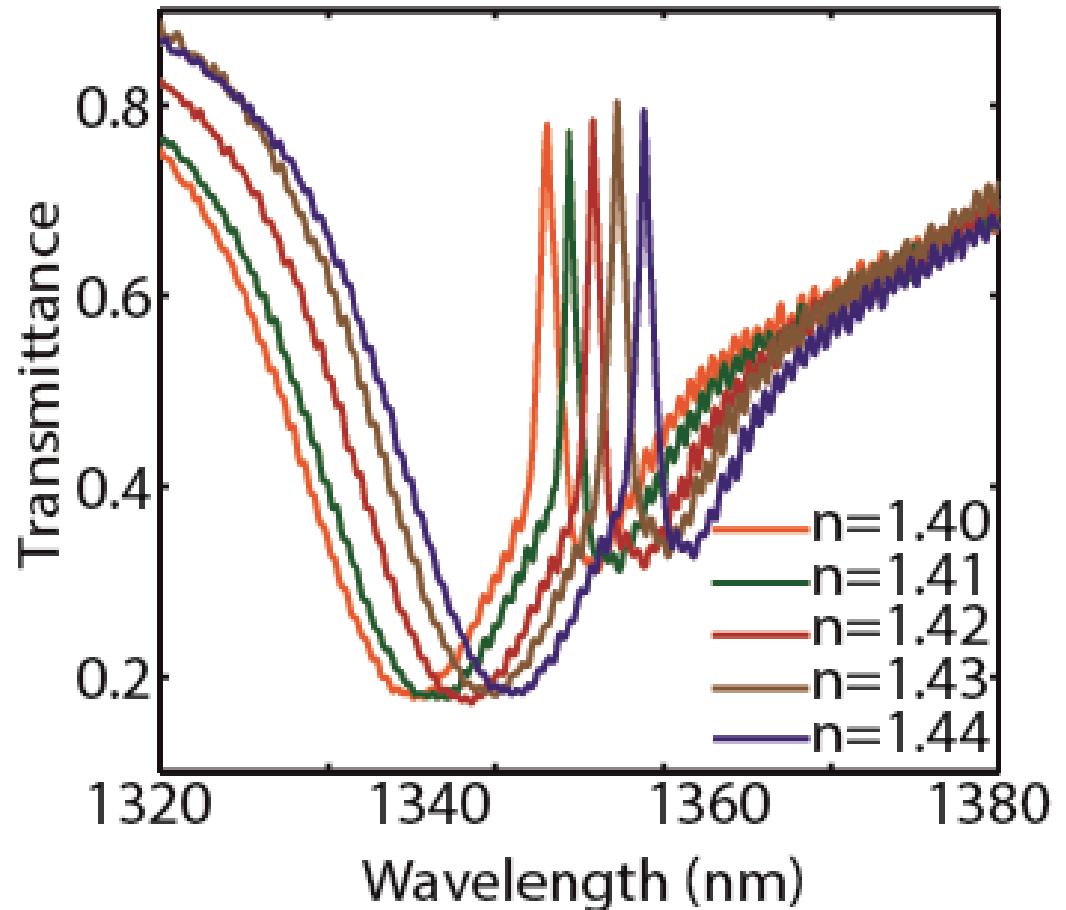
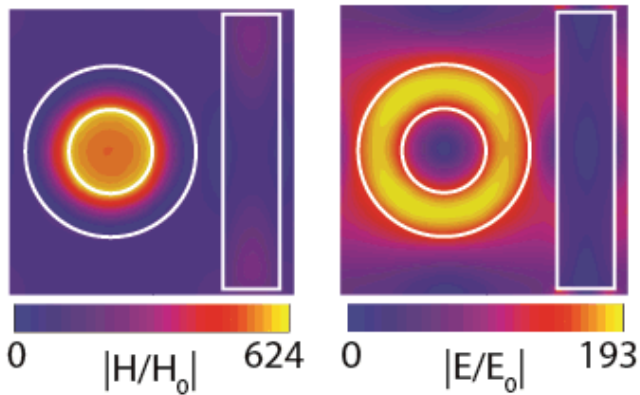
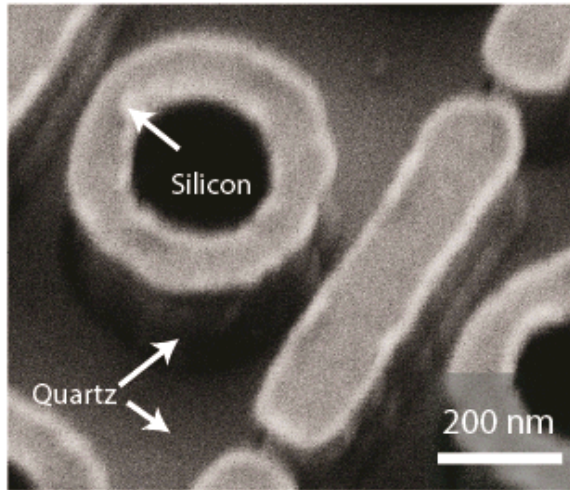
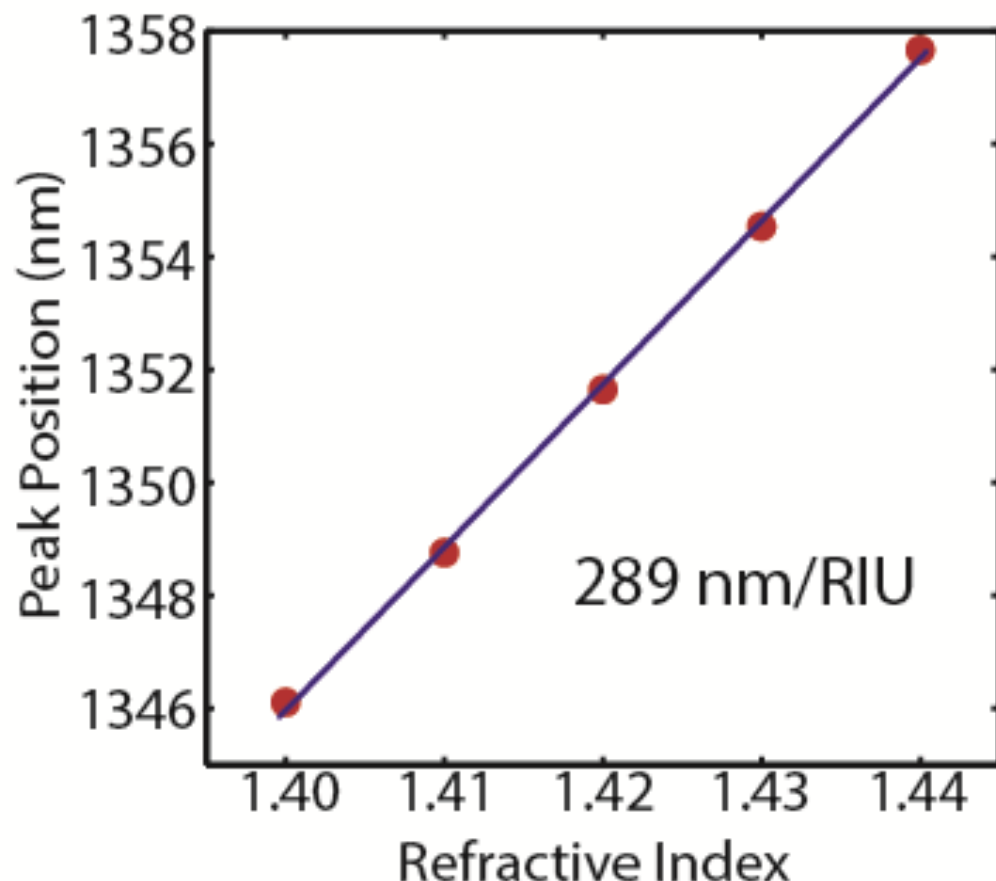


Figure of Merit

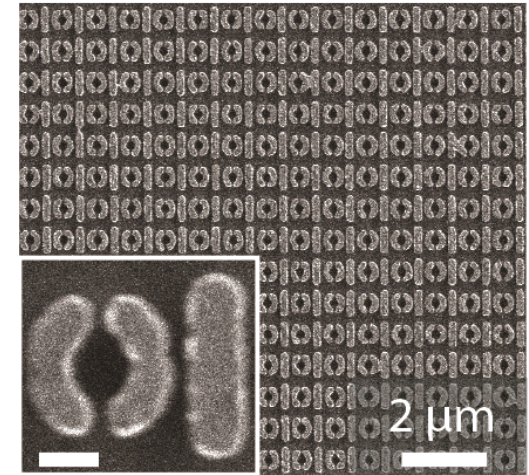
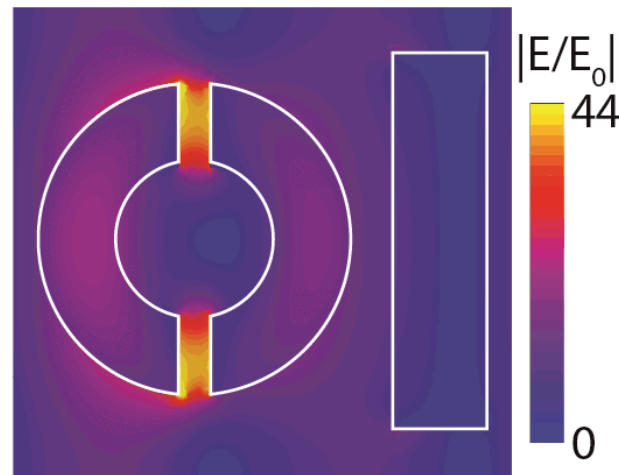
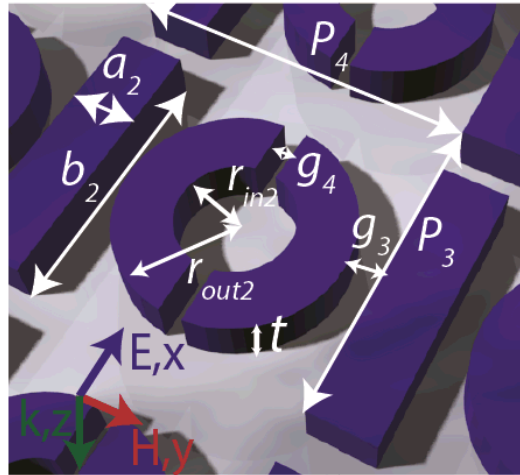
Sensitivity



- Figure of Merit
 - $FOM = S/\Delta\lambda$
 - $S = 289 \text{ nm} / \text{RIU}$
 - $\Delta\lambda = 2.8 \text{ nm}$
- **FOM = 103**
- LSPR Sensors (Fano)¹
 - FOM up to ~20
- SPP Sensors (weak localization)²
 - FOM up to ~300

¹Zhang et. al. Nano Lett (2011), ²Kabashin et. al. Nat Mat. (2009)

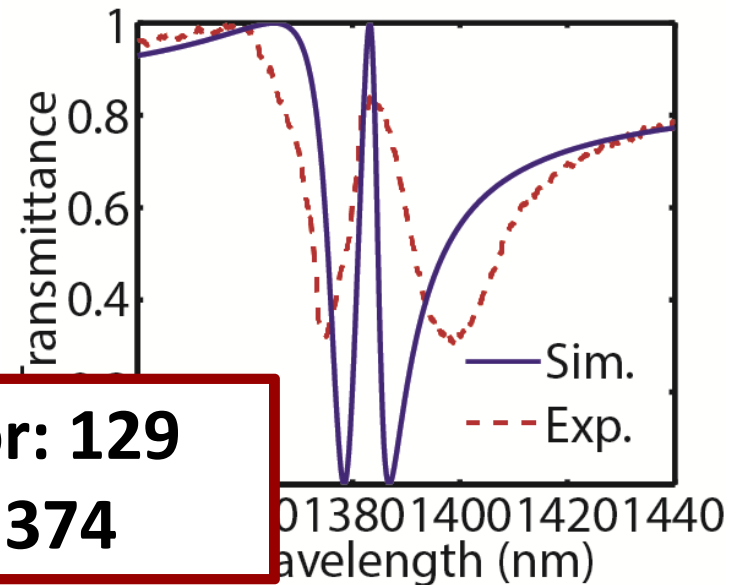
Focusing the Field



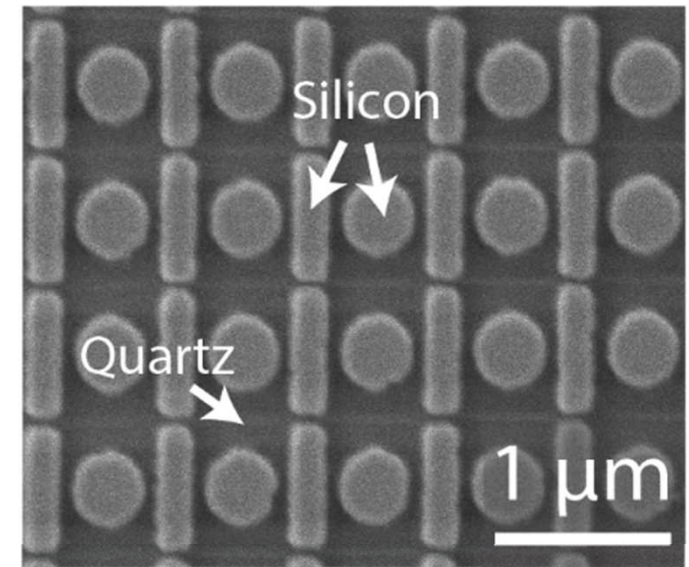
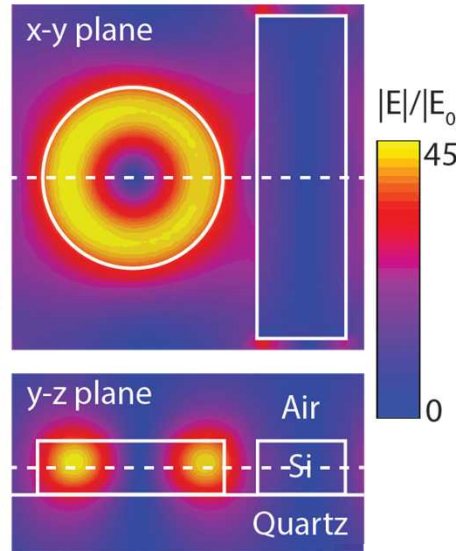
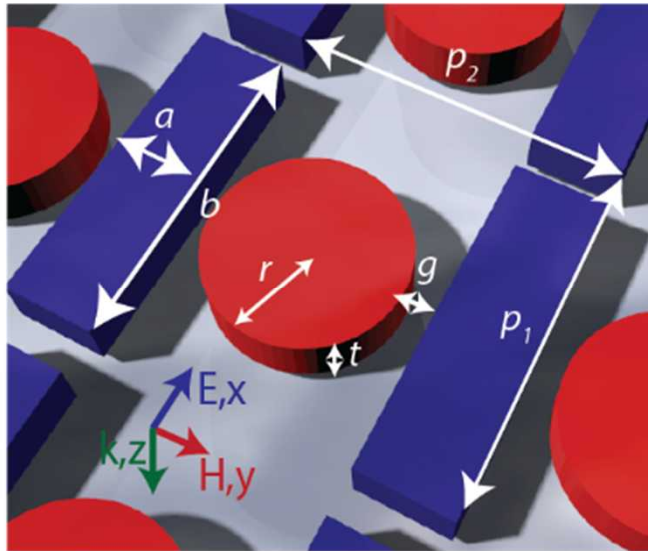
- $r_{out} = 225\text{nm}$
- $g_3 = 70\text{nm}$
- $g_4 = 50\text{nm}$
- $t = 110\text{nm}$
- $p_1 = 750\text{nm}$
- $p_2 = 800\text{nm}$

Experimental Q-factor: 129

Theoretical Q-factor: 374



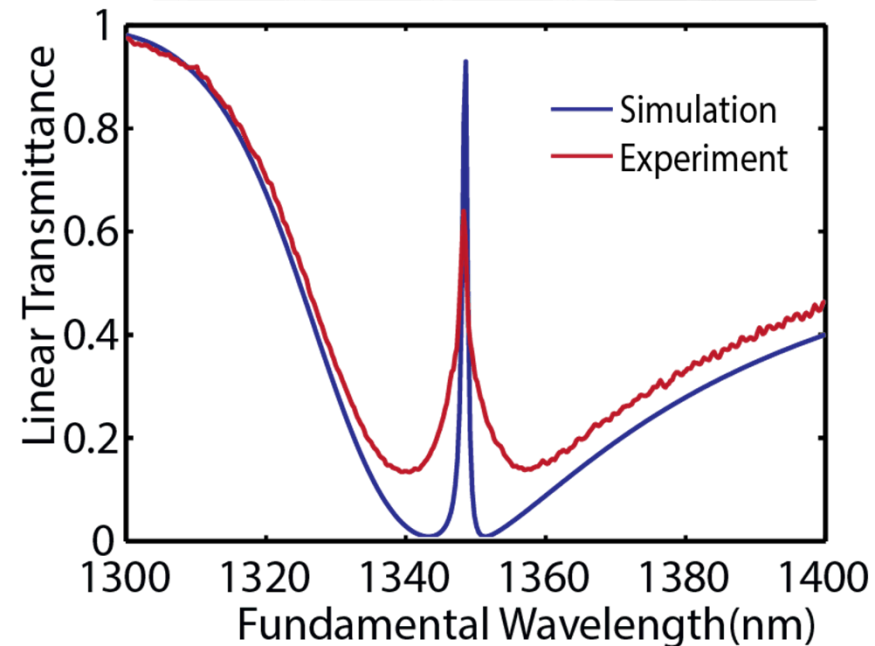
Third Harmonic Configuration



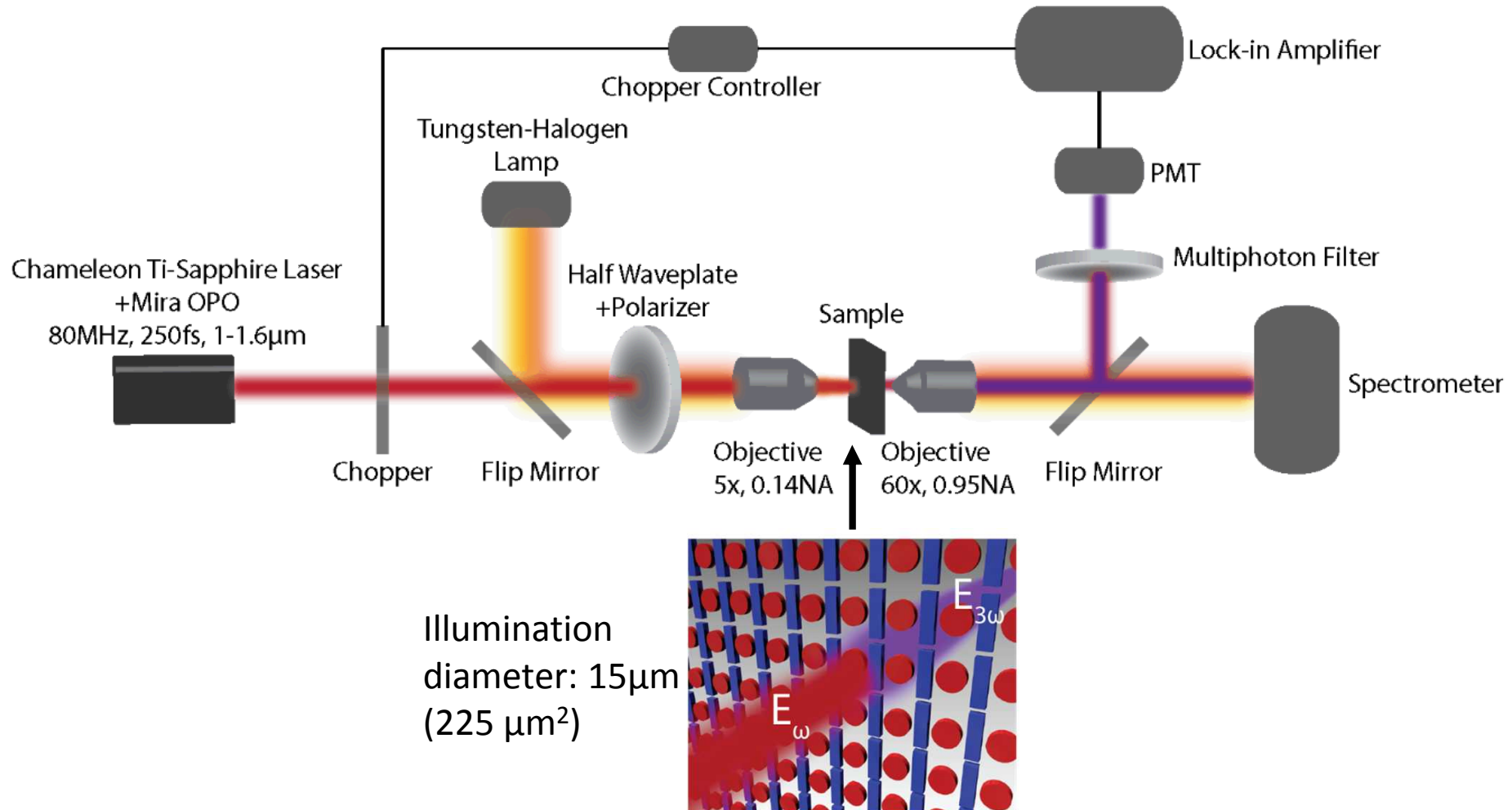
- **Polycrystalline silicon on quartz**
- $\chi_{Si}^{(3)} \sim 2.79 \times 10^{-18} \text{ m}^2 \text{ V}^{-2}$
- $r = 210 \text{ nm}$
- $g = 60 \text{ nm}$
- $t = 120 \text{ nm}$

Theoretical Q-factor: 1498

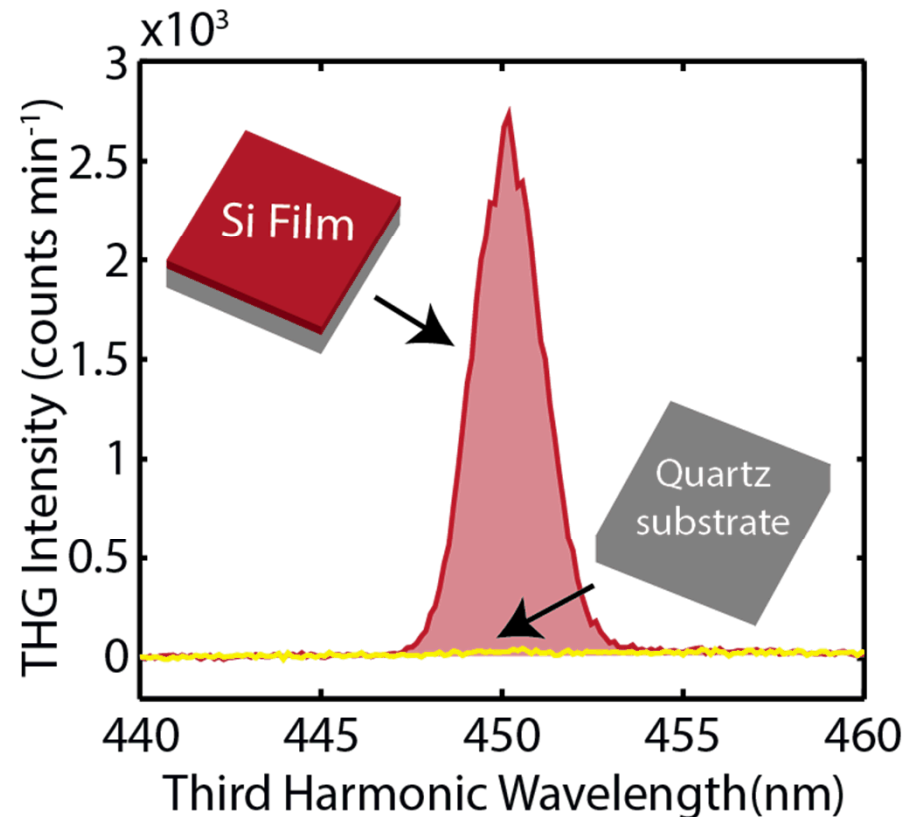
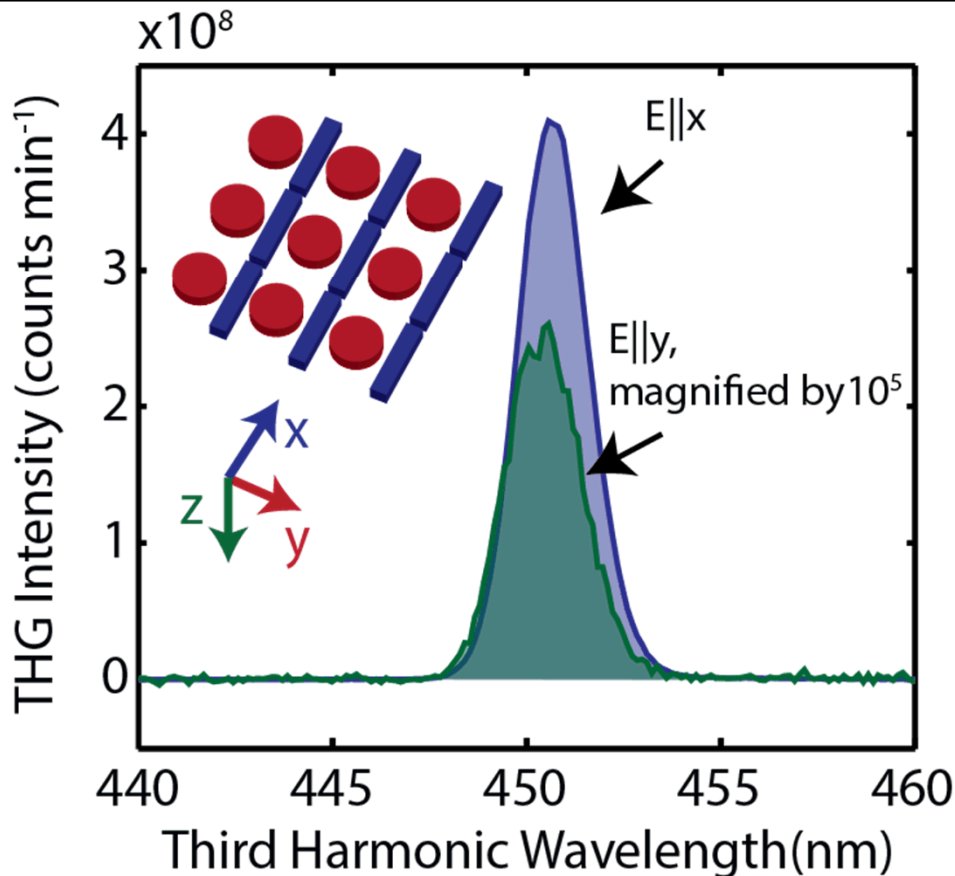
Experimental Q-factor: 466



Nonlinear Measurements



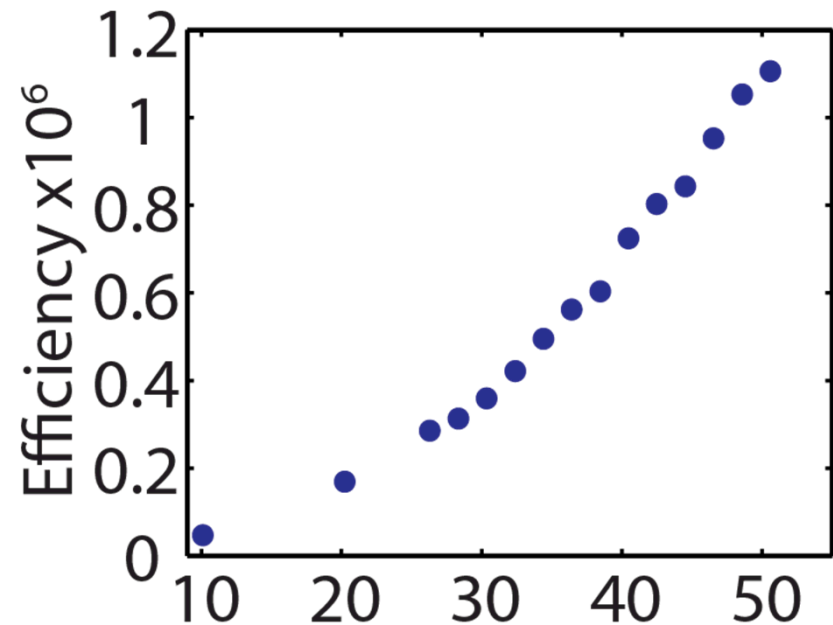
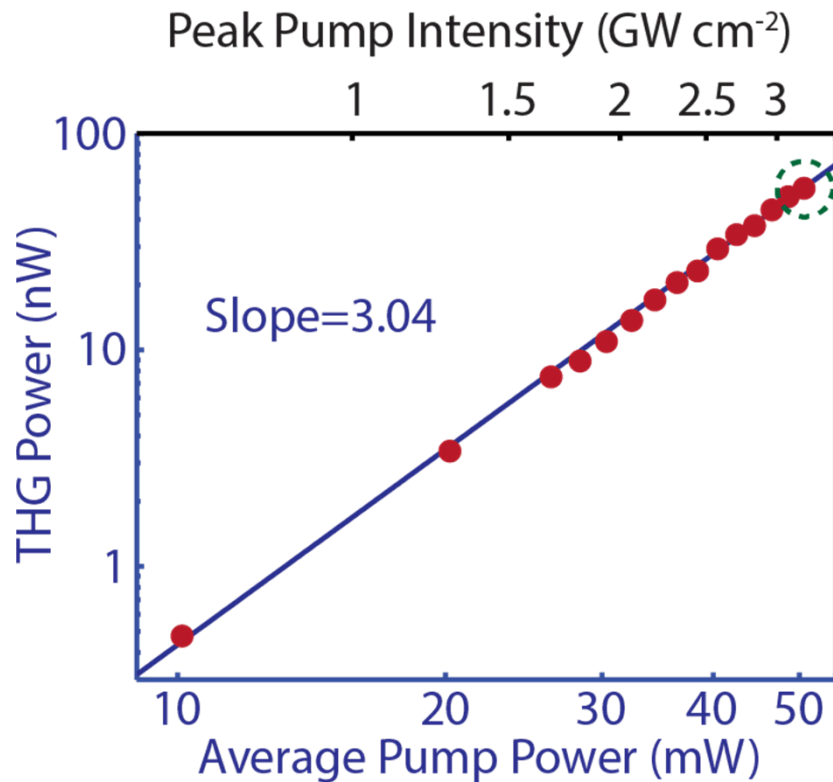
Third Harmonic Generation



- **THG Enhancement Factor of 1.5×10^5**
- Pump at 1350 nm, average power: 25mW
- Peak Intensity: 1.6 GW/cm²

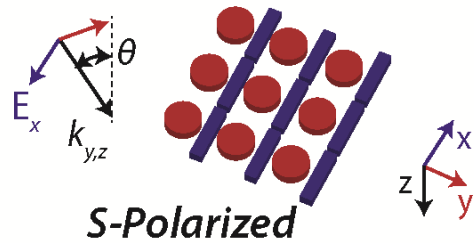
$$EF = \frac{P_{3w,MM}}{P_{3w,Si\,Film}}$$

Absolute Conversion Efficiency

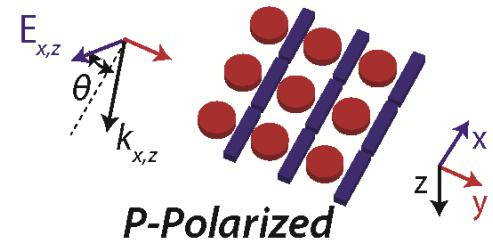
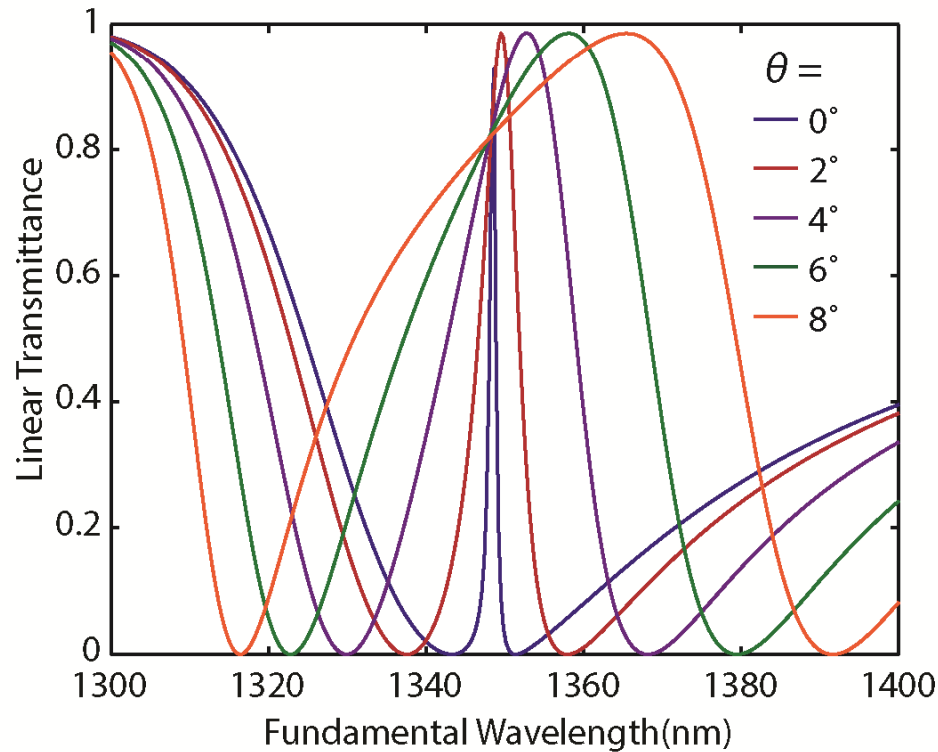


- **Peak efficiency = 1.2×10^{-6} (theory = 10^{-4})**
- Limit not yet clear (most likely TPA limited at higher power)
- Discrepancy with theory (1) lower Q-factor, (2) angle of incidence dispersion

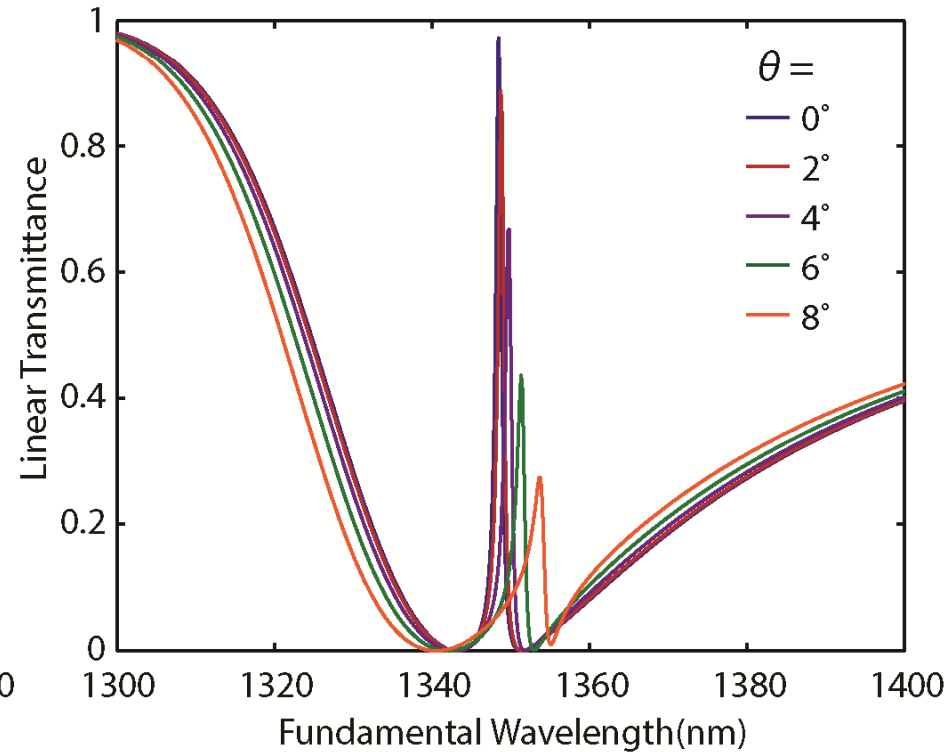
Dispersion with Angle of Incidence



S-Polarized



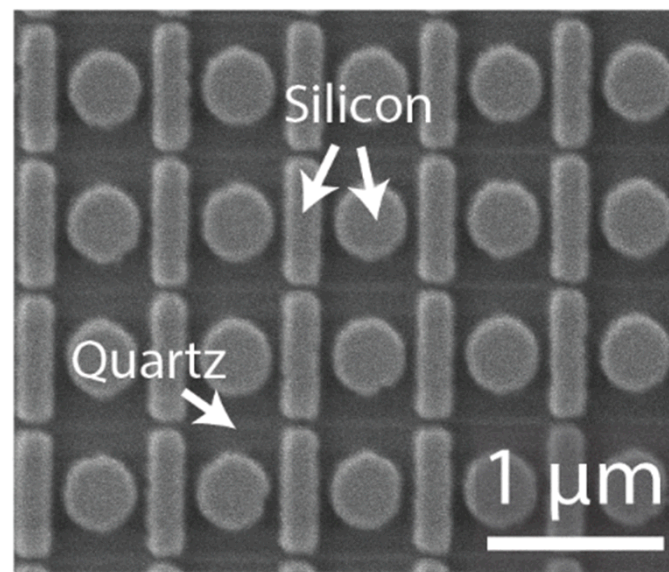
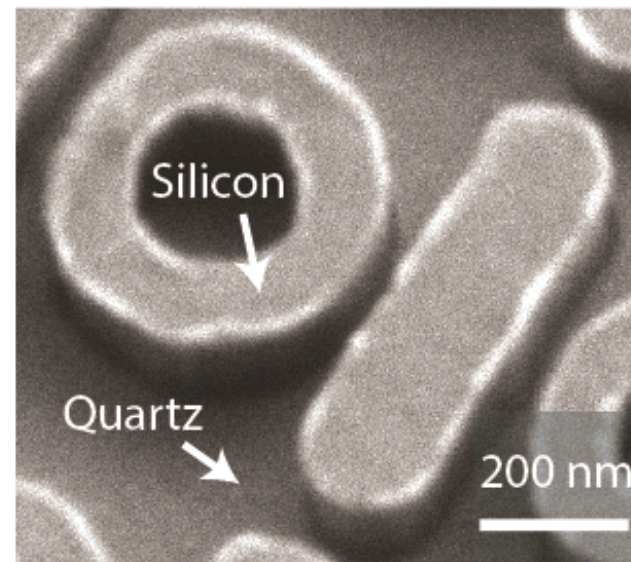
P-Polarized



Conclusion

❖ Si-Based Fano Metamaterials

- Low-loss, high efficiency
- Offer real advantages over metal implementations (higher Q, damage threshold)
- Potential nonlinear applications: all optical modulation and self-adjusting optics



Thanks!

- Advisor
 - Prof. Jason Valentine
- CNMS @ ORNL
 - Ivan Kravchenko
 - Abdelaziz Boulesbaa
 - Dayrl Briggs
 - Alexander Puretzky
 - David Geohegan



Intensity Dependent Index

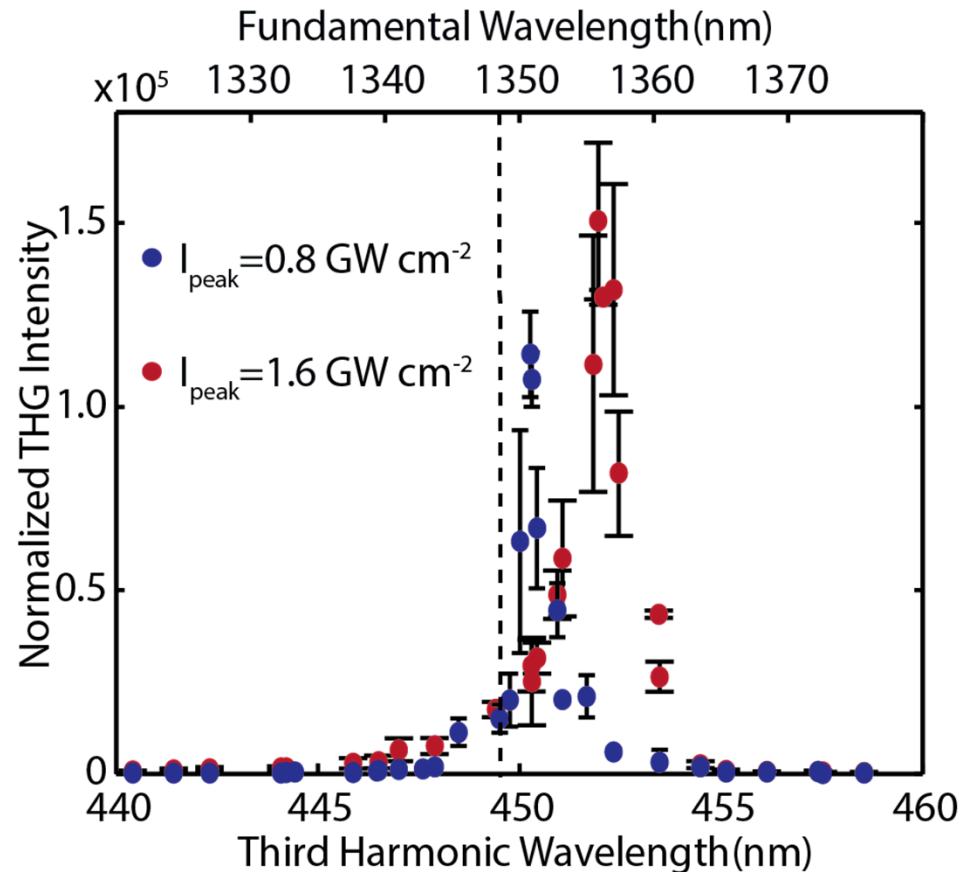
- @0.8 GW/cm²
 - 0.93 nm shift in THG (2.79 nm at fundamental)

- 1st order approx:

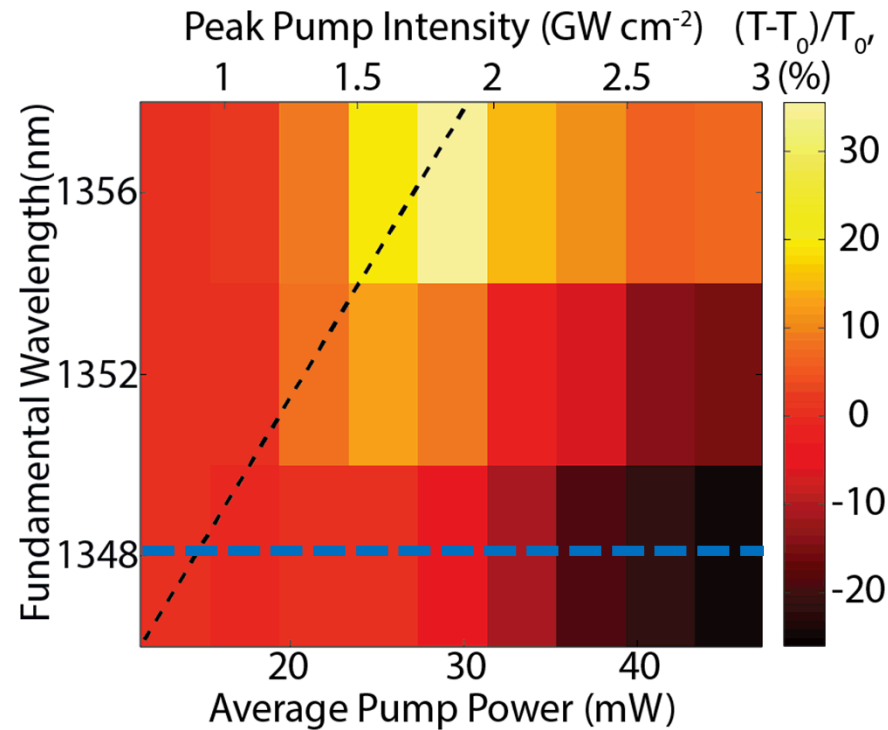
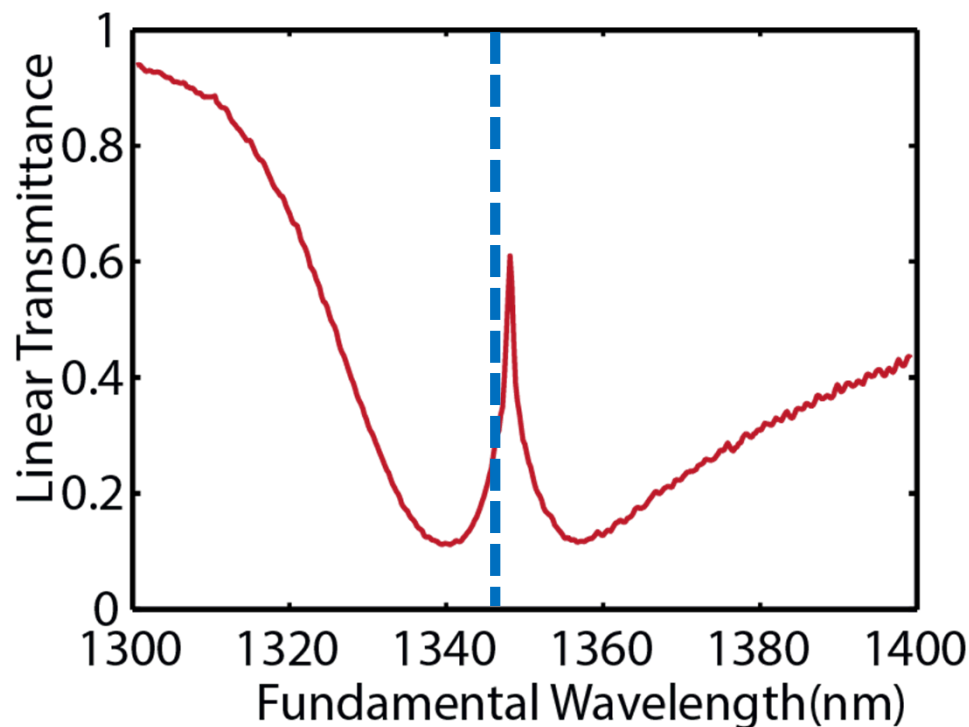
$$\Delta n = n_{2(Si)} I_0 \frac{\int_{V_{Si}} |E|^2 / |E_0|^2 dV}{V_{Si}}$$

- Based on field,
 $n_2 = 2.7 \times 10^{-18} \text{ m}^2 \text{ W}^{-1}$:

- $\Delta n \sim 0.011$
- $\Delta \lambda \sim 1.17 \text{ nm}$ in THG (3.5 nm at fundamental)



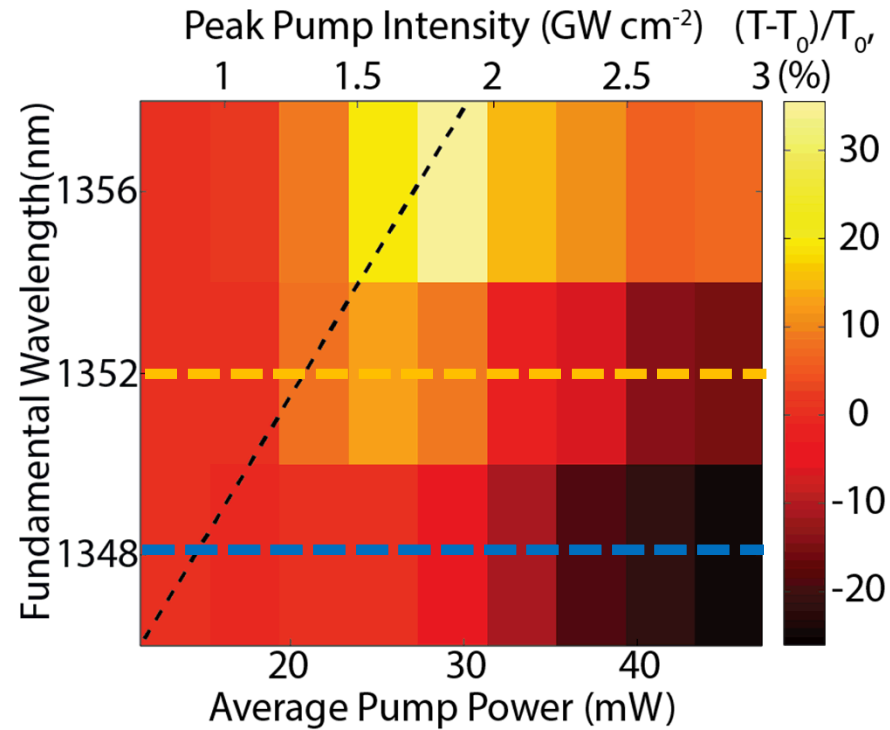
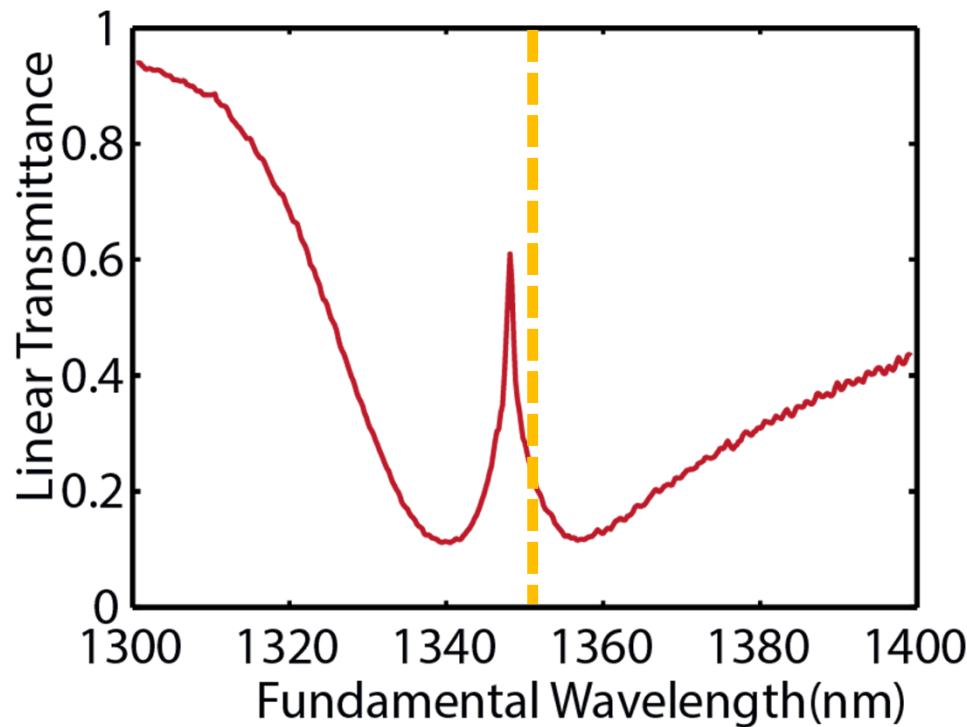
Exploring the Kerr Effect



- T – transmittance @ λ
- T_0 – linear (low power) transmittance @ λ
- Max modulation depth: 36%

unpublished

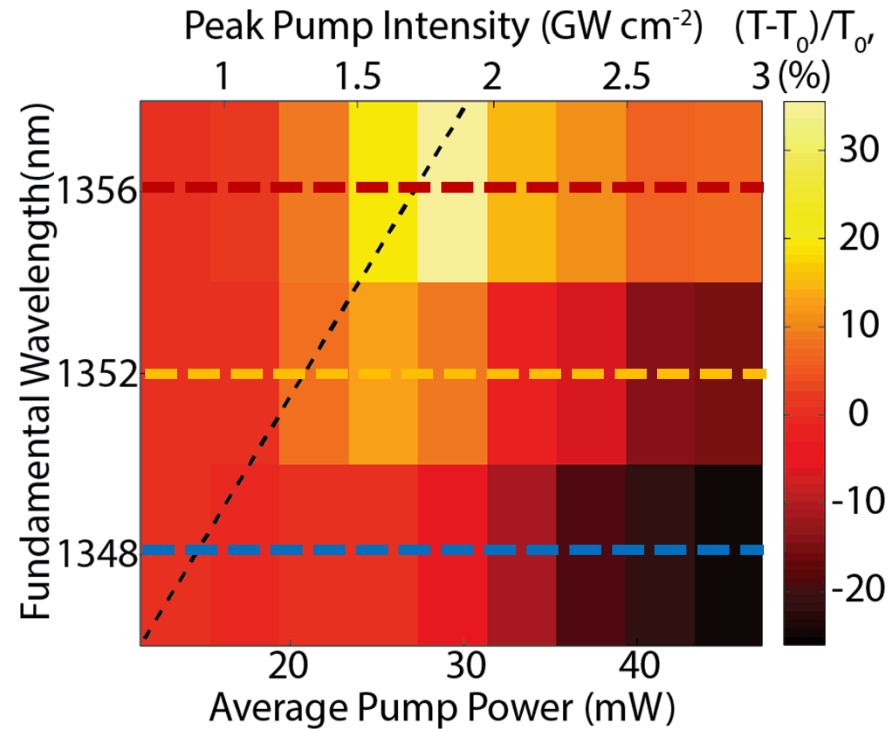
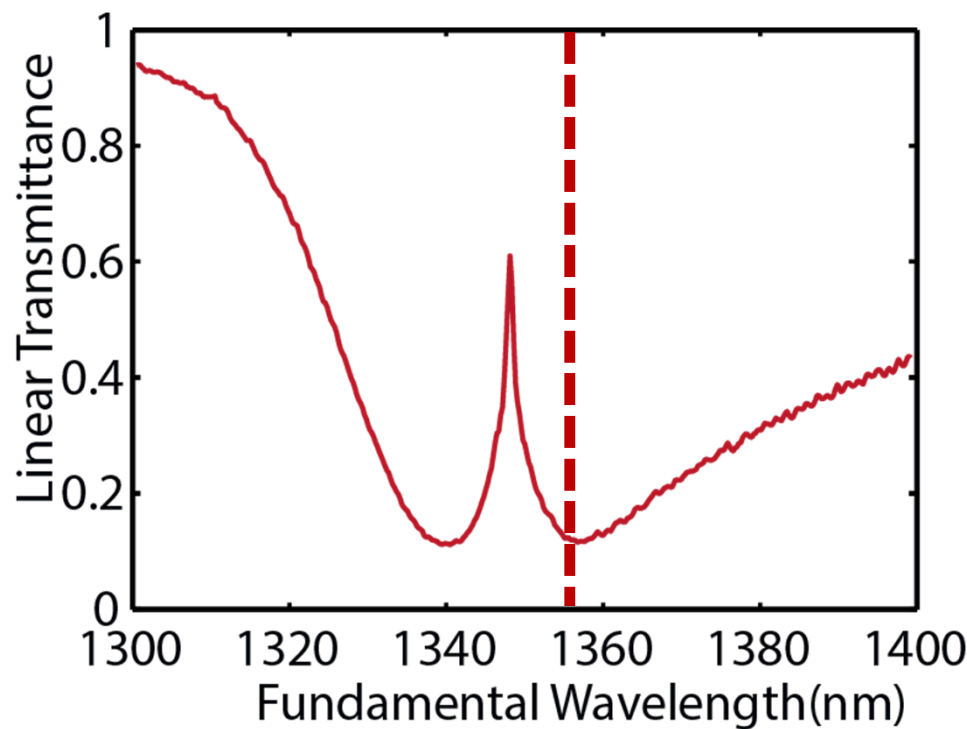
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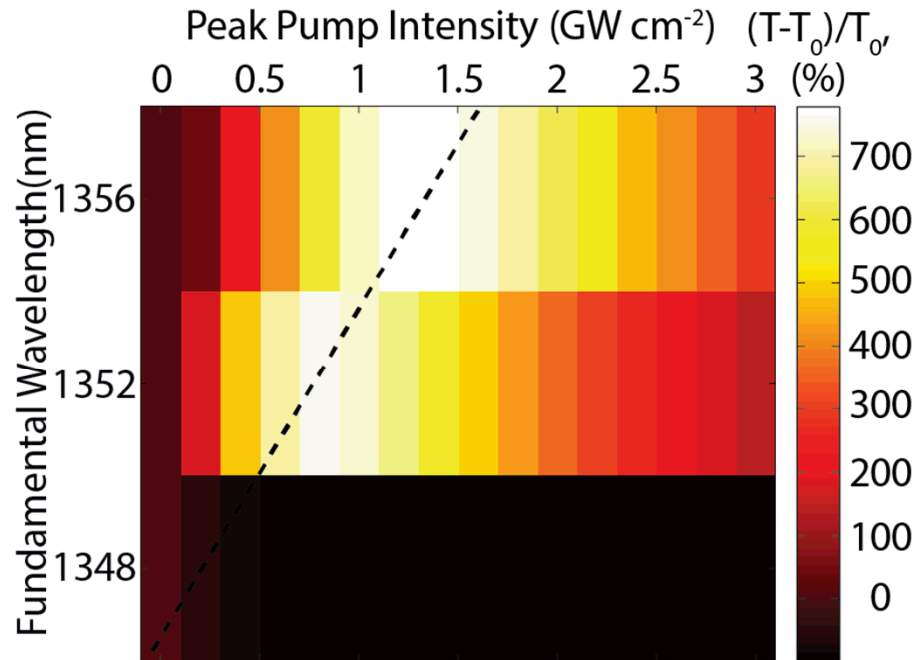


- T – transmittance @ λ
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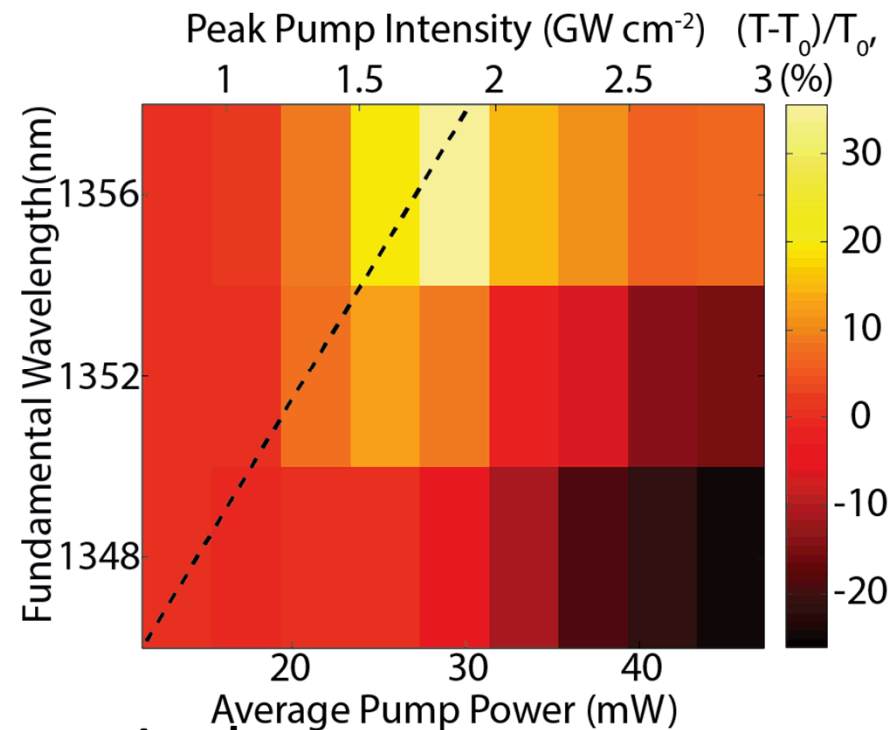
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Comparison to Theory

Simulation



Experiment

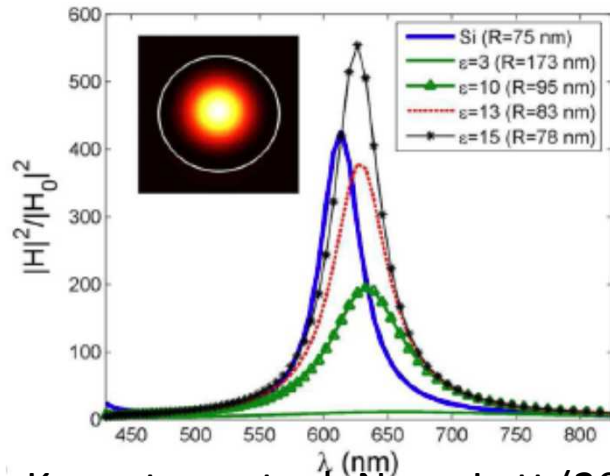


- Nonlinear simulations in Lumerical
- Silicon props: $\chi_{Si}^{(3)} = 2.79 \times 10^{-18} \text{ m}^2 \text{ V}^{-2}$, $\beta = 5 \times 10^{-12} \text{ mW}^{-1}$
- Discrepancy: (1) Lower Q-factor, (2) finite angle of incidence, (3) free carrier absorption

unpublished

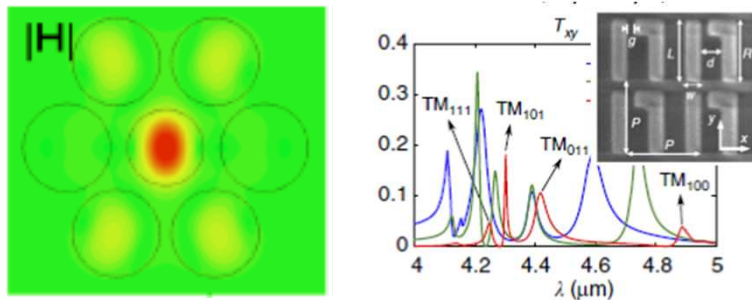
Optical Dielectric Metamaterials

Magnetic Resonances



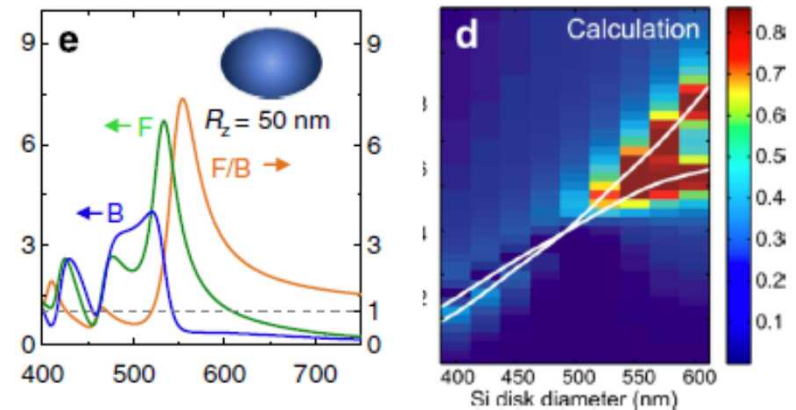
Kuznetsov et. al. Nano Lett (2012),
Evlyukhin et. al. Nano Lett (2012)

Fano Resonances



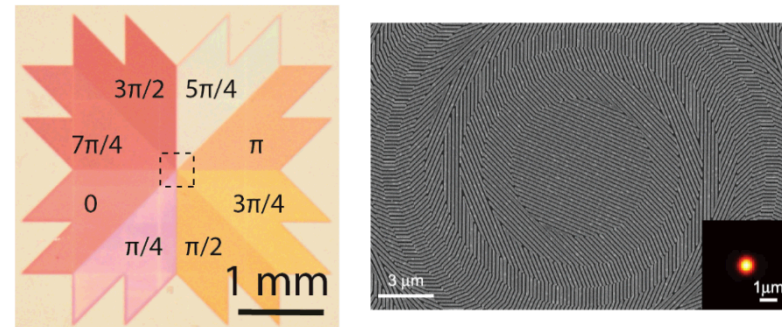
Kivshar et. al. Nano Lett (2013), Shvets,
Nat. Comm (2014)

Overlapping Resonances



Kivshar et. al. ACS Nano (2013)
Luk'yanchuk et. al. Nat. Comm (2013)

Metasurfaces



Valentine, Nano Lett (2014),
Brongersma, Science (2014)