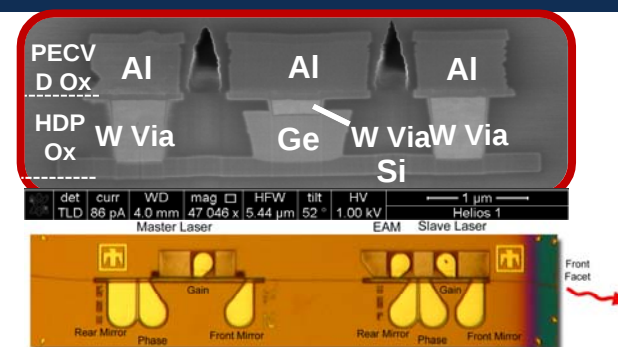
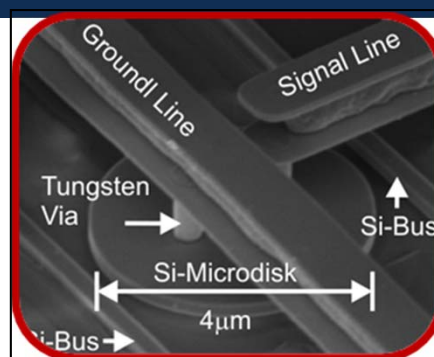


Exceptional service in the national interest



Sandia National Laboratories and the Integrated Photonics Innovative Manufacturing Institute (IP-IMI)

F. B. (Rick) McCormick, Ph.D.

Sr. Manager: Microsystems Process Science & Technology Group

Microsystems Science, Technology & Components Center

fbmccor@sandia.gov 505.284.1209

<http://www.sandia.gov/mstc/IPIMI>

Andrew Pomerene

Silicon Photonic Integration

R&D Science and Engineering

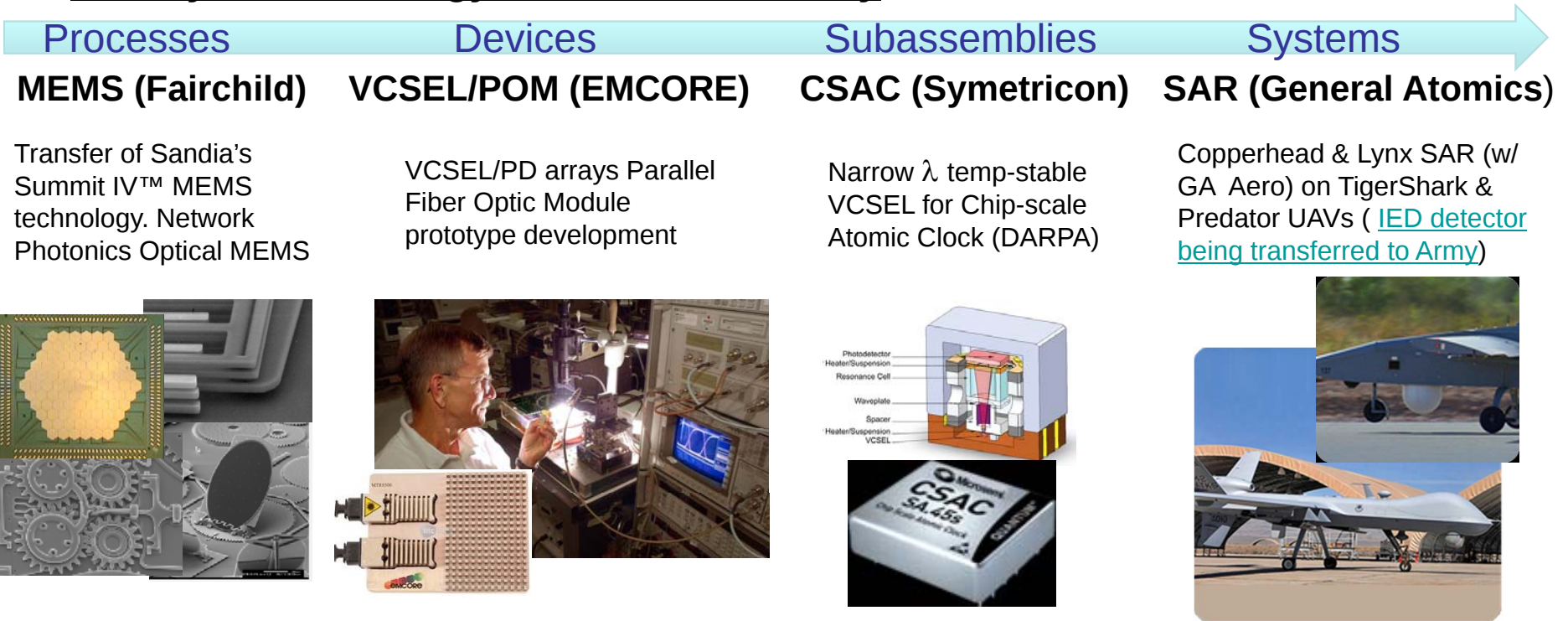
atpomer@sandia.gov 505.844.4788



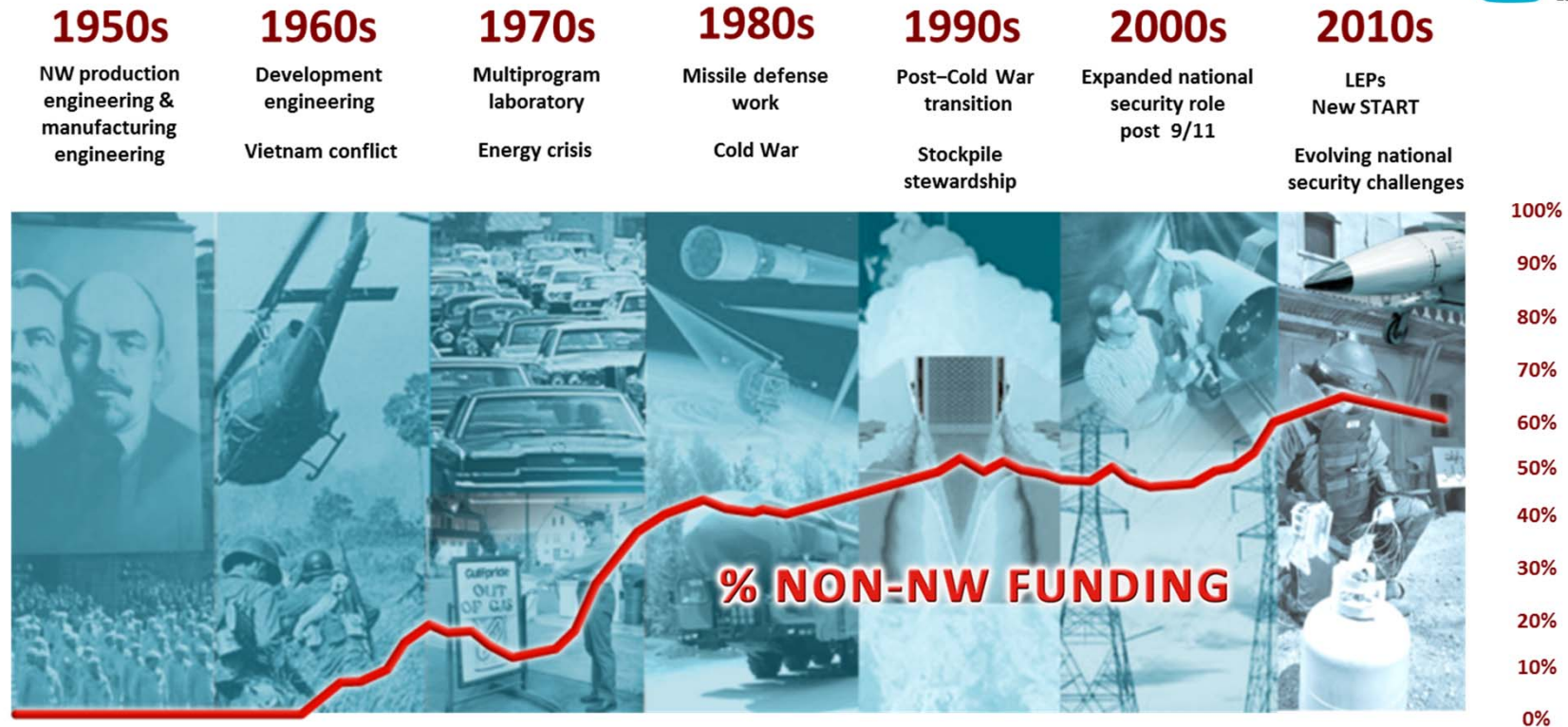
Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000. SAND2011-0439P

Why Sandia and the IP-IMI?

- **Expertise:** >20 years in III-V & Silicon Photonics R&D:
 - Toolboxes for internal and contract R&D
- **Capability:** Large flexible Si & III-V R&D Fab, Production rigor:
 - 65kft² fab, 10 epi reactors, >60 photonics staff, (60% Ph.D.)
 - Here today, here tomorrow...(NW IC deliveries)
 - Secure environment & staff, robust info-control (TRUST)
- **History of Technology Transfer to Industry:**

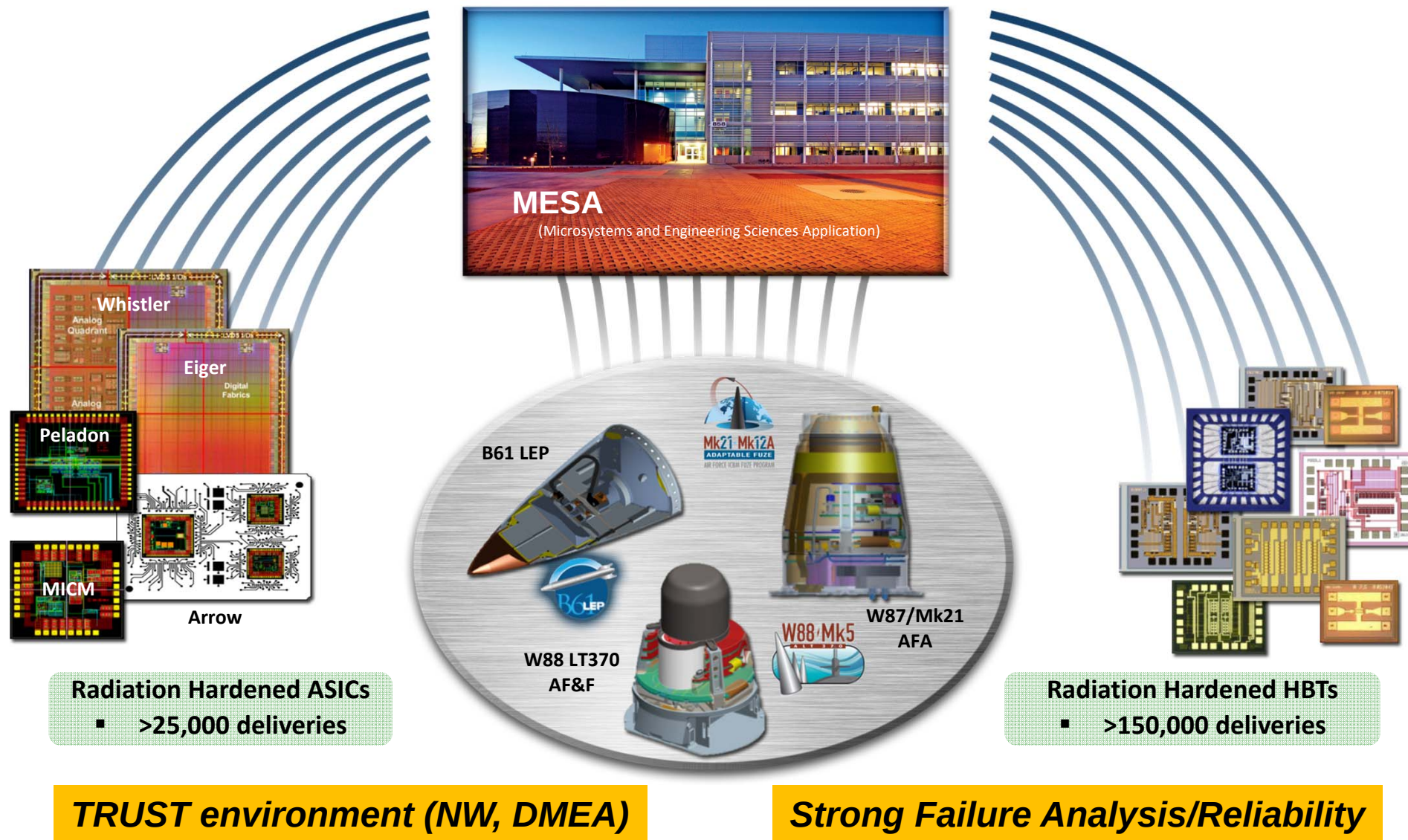


What is Sandia National Lab?



- DOE FFRDC: Initially Z-division of Manhattan Project (Non-nuclear Components, Weaponization of NEP)
- **National security tech transition:** Gov't Agencies (&/or Academia) → SNL → Industry
- Our Big 3: Non-compete with Industry, Fairness of Opportunity, No Organizational Conflict of Interest
- Managed by LMC, but see OCI above
- **CRADAs with many Defense Contractors, Many STTRs & SBIRs, BAA response teaming**
- **Experience handling sensitive & proprietary information**
- DOE supports our "Strategic Partnership Projects" (with Industry & other gov't agencies (OGAs))

MESA manufactures strategic radiation-hardened trusted components for Nuclear Weapons



Microsystems and Engineering Sciences Applications (MESA): 400,000 Sq-ft Complex with >650 Employees in Secure Facility



- Trusted Digital, Analog, Mixed Signal & RF Integrated Circuits Design & Fabrication
- Custom IC Design
 - Secure microcontrollers
 - Sensor Readout ICs
 - Analog/Digital/RF
 - IBM Trusted Foundry
 - Tamper Resistant
- Micromachining
- RAD Effects and Assurance
- Failure Analysis, Reliability Physics
- Test & Validation
- 3-D Integration Features

- Advanced Computation
- Modeling & Simulation
- COTS Qualification
- Advanced Packaging
- Custom Electronic Components
- System Design & Test

Silicon Fabrication

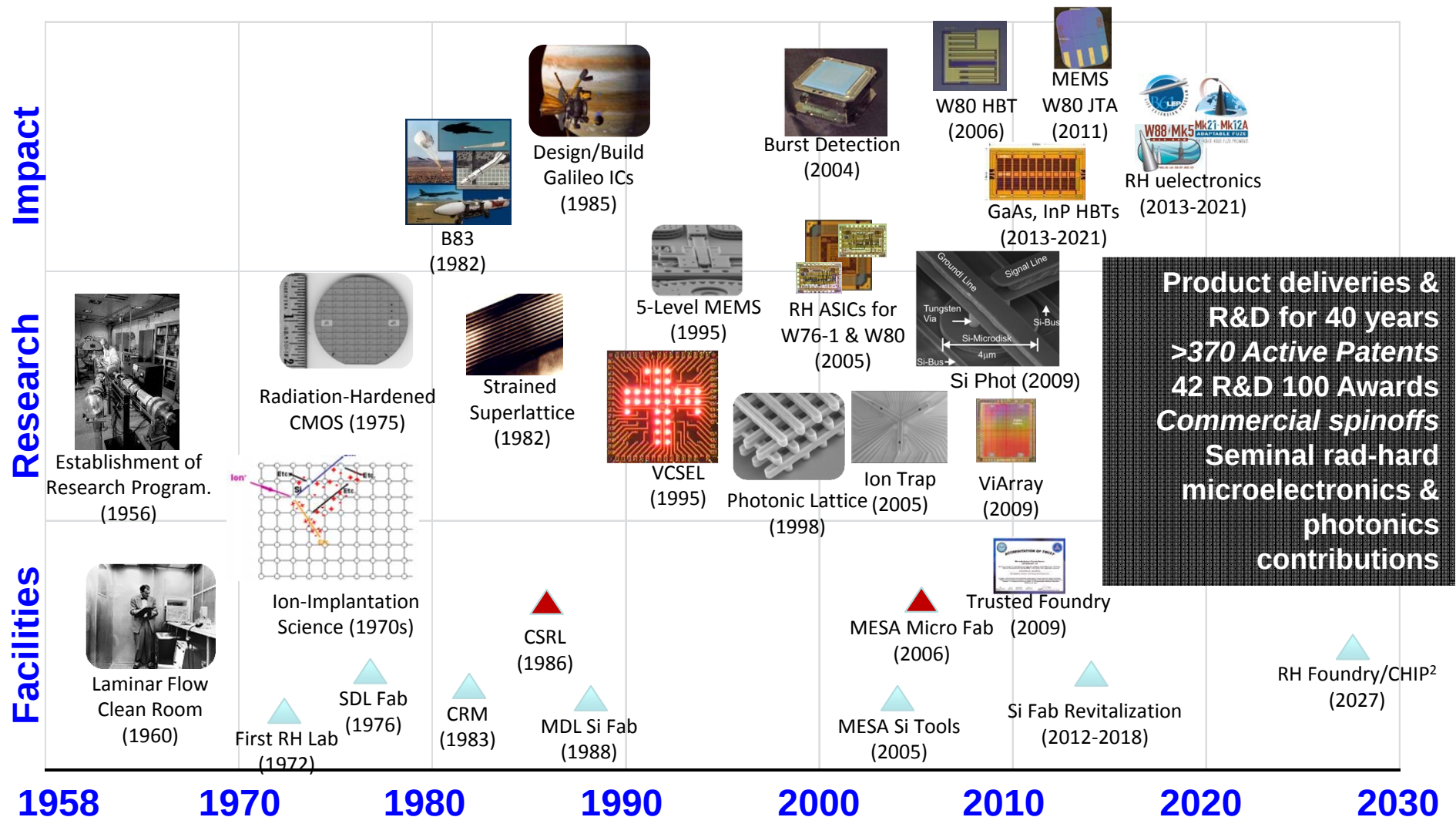
Compound Semiconductor Fabrication

Materials Research

- Compound Semiconductor Epitaxial Growth (UV-THz)
- Photonics: Si & III-V
- MEMS, VCSELs, Plasmonics
- Specialized Sensors, FPAs
- Materials Science, Graphene
- Nanotechnology, Chem/Bio
- Heterogeneous-Technology Integration & Processing
- III-V Semiconductor Devices
 - Neutron-Immune HBT
 - Rad-hard Optical Links
 - Solid-State RF Devices
 - GaN Power Electronics

MESA is an FFRDC-based development and production facility for any microsystem component or technology that cannot or should not be obtained commercially.

R&D enables and sustains Sandia's Radiation-Hardened Microelectronics/Microsystems Capability

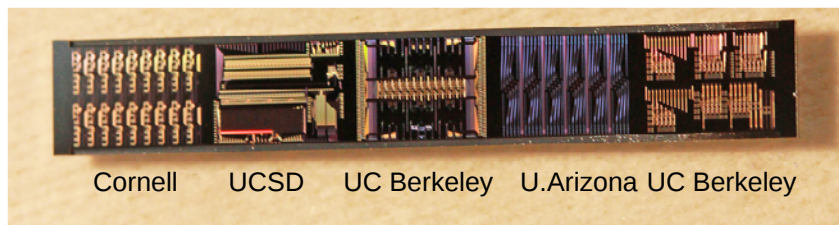


MISSION: Invent and mature integrated circuit and microsystems technologies that provide differentiation and impact for NW and other national security missions

Sandia and MPW Fabrication



- **SUMMIT V: 5 layer polysilicon MEMS process**
 - Developed design manual, DRC, many MPWs over the last decade
- **CMOS7 Electronics: Rad-hard, mixed-signal ASIC/ViaArray: 0.35um, 3.3V core, 3.3V I/O, Cadence, MPWs since 2009**
- **SPP1 Silicon Photonics Process:**
 - 250nm Si/3000nm BOx
 - fJ/bit mods, 45 GHz dets, filters, etc.
 - SiN 2-layer guides/xovers
 - Design manual, initial DRC, pilot MPW runs



4. TECHNOLOGY OVERVIEW



onics (SNL) has developed a Microsystems and Engineering Sciences facility located in a limited classified area. Trusted custom fabrication of silicon and technologies for digital, analog and mixed signal ICs is currently available. It offers production micro-electronics components to support special DOE and ESA Complex is designed to integrate the numerous scientific disciplines: chemical, robust, integrated microsystems and represents the center of SNL's research, development, and prototyping activities. This suite of facilities occupy 400,000 square feet and includes cleanroom facilities, laboratories and

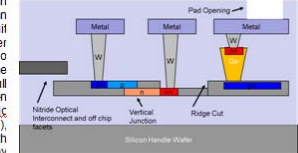
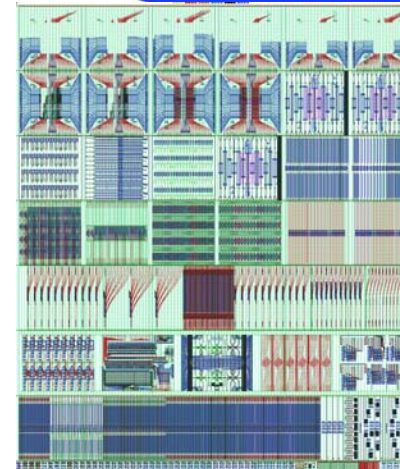
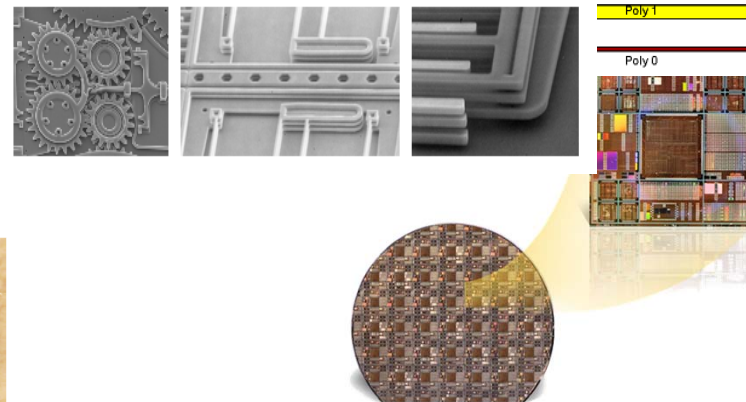
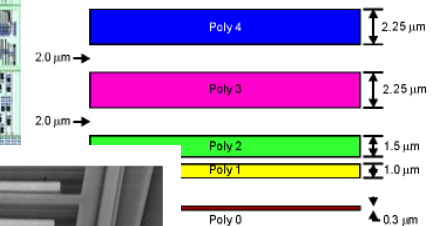


Figure 4-1 A cartoon illustration of the cross-section of Sandia's SPP1 process with a subset of the possible implant configurations

rice demonstrations, including ultra low energy optical modulators [4], high photodiodes [5], high speed optical modulators [6] and the resonant frequency or filters [7] and modulators [8].

LESS DETAILS

cess flow of are described in Table 5-1. The base process can be broken



Trusted Advanced Pathfinder Products: Si Photonics

2014

balanced homodyne resonant wavelength stabilization > 55C

2013

Si Photonics MPW (CIAN NSF ERC)

2012

24 GHz Si TW MZM

2011

45 GHz Ge Detector

2010

3 fJ/bit resonator modulator, 1V-cm MZM

2009

wavelength tunable rings over 35 nm

2008

2.4 ns Wavelength selective switch

2007

MicroDisk resonator infrared detector

2005

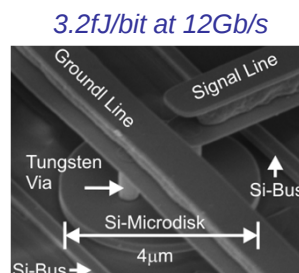
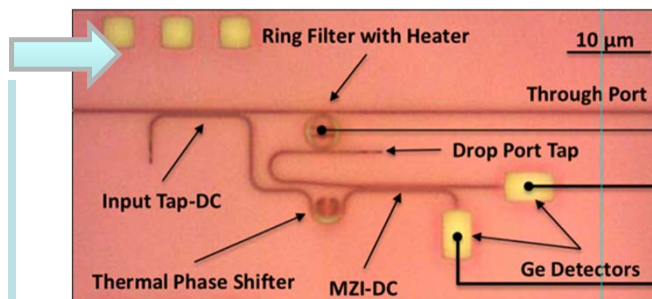
Si₃N₄ low-loss waveguides

2000

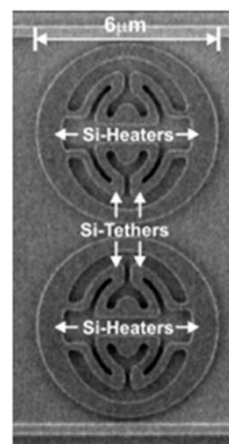
SiON / SiO₂ (Clarendon Photonics)

1990s

Si PhC & Optical MEMS

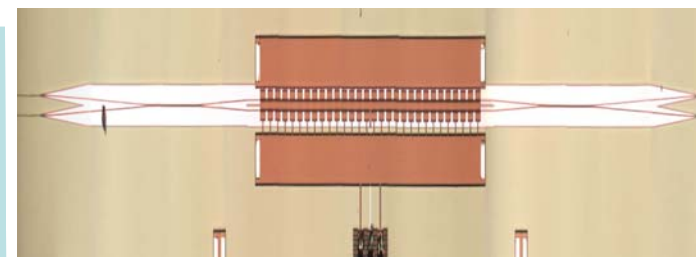


3.2fJ/bit at 12Gb/s
Resonant Optical Modulator/Filter

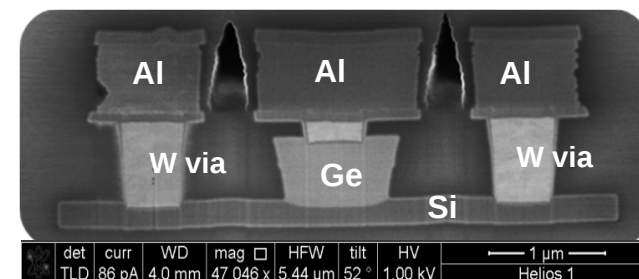


Tunable Resonant Filter

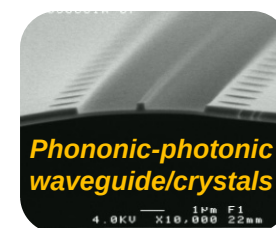
MEMS process for additional capability



24 GHz 0.7V-cm Travelling Wave MZI Modulator



45 GHz High-speed Ge Detector on Si

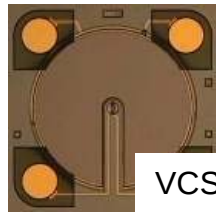


Trusted Advanced Pathfinder Products: III-V Photonics

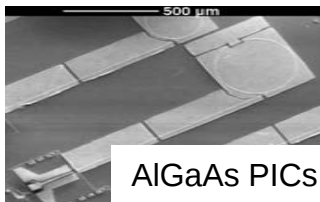
2010s



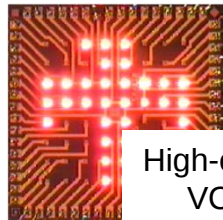
InGaAsP PICs



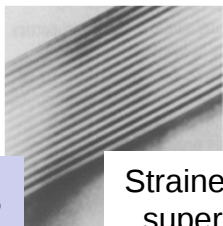
VCSEL+ PD



AlGaAs PICs



High-efficiency
VCSELs

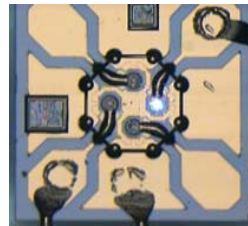


Strained-layer
superlattices

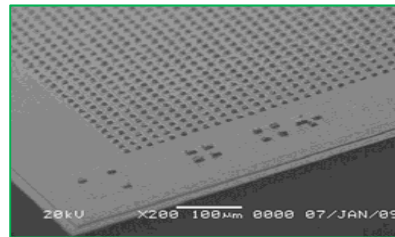
1980s



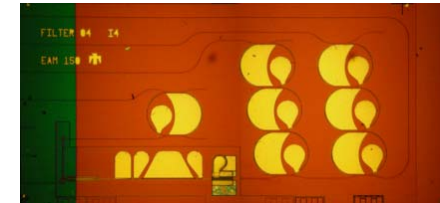
On-Chip Injection Locking
Enhanced Modulation > 50 GHz, C-Band



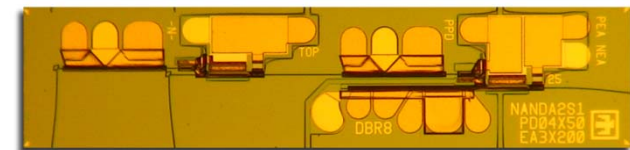
Single-Frequency Tunable VCSELs,
For atomic spectroscopy and sensors



nBn FPAs in the SWIR, MWIR and LWIR,
leveraging novel III-P and III-Sb materials



RF-Optical Channelizing Filter
1-20 GHz RF on C-Band Light

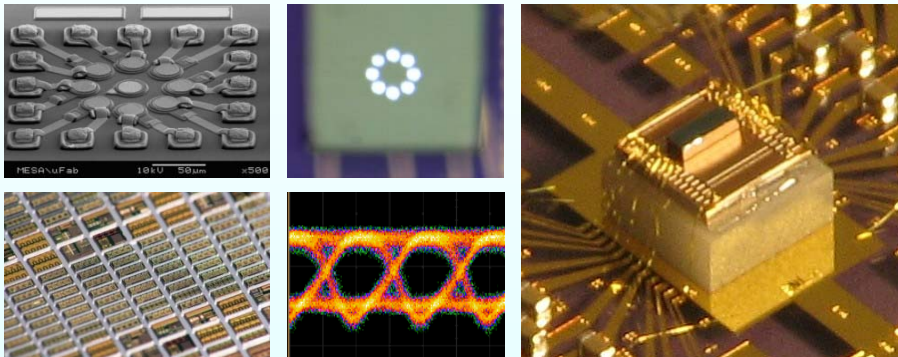


All-Optical Logic at >40 Gb/s, C-Band

- **Foundational Capabilities**
 - III-V compound semiconductor epitaxy, microfabrication, integration
 - Device physics, modeling, simulation
 - Microelectronics/optoelectronics, and complex mono/hetero-circuits
- **Prove, Advance Technology Readiness Level, Productize**
 - TRL1-6+: create, develop, prototype
 - NNSA QMS/QC-1-10; trusted
- **Trusted, low-volume, high-reliability products for harsh environments**

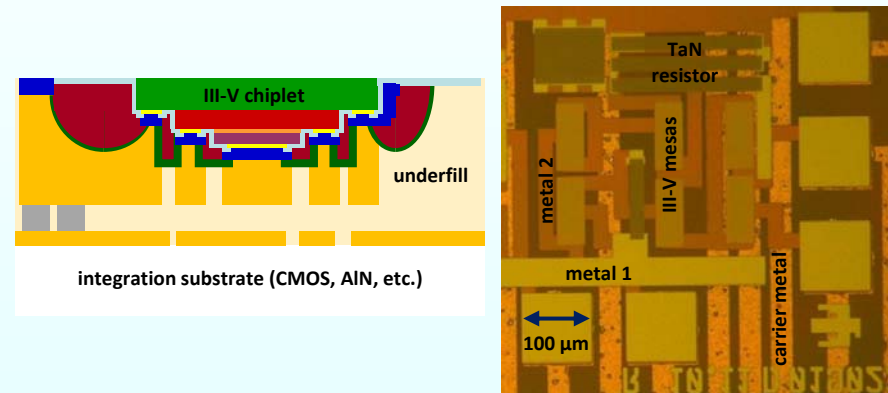
Trusted Advanced Pathfinder Products: Heterogeneous Integration

Optical Data Communications



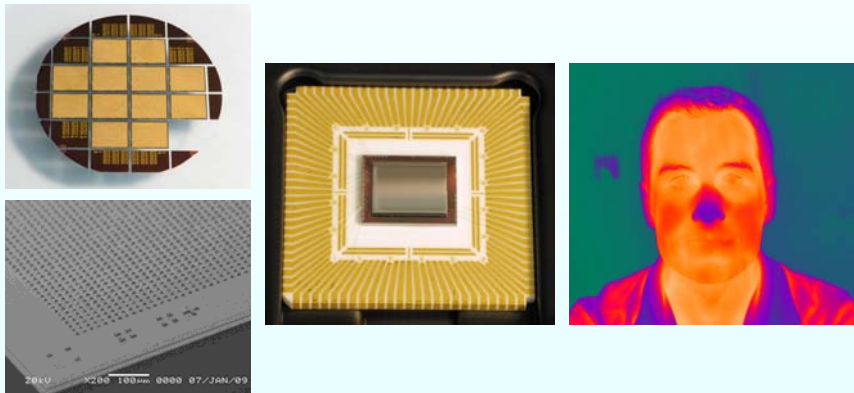
- GaAs- and InP-based VCSELs, modulators, photodiodes
- dense integration onto 32-nm and 45-nm CMOS

Heterogeneous III-V/CMOS Microelectronics



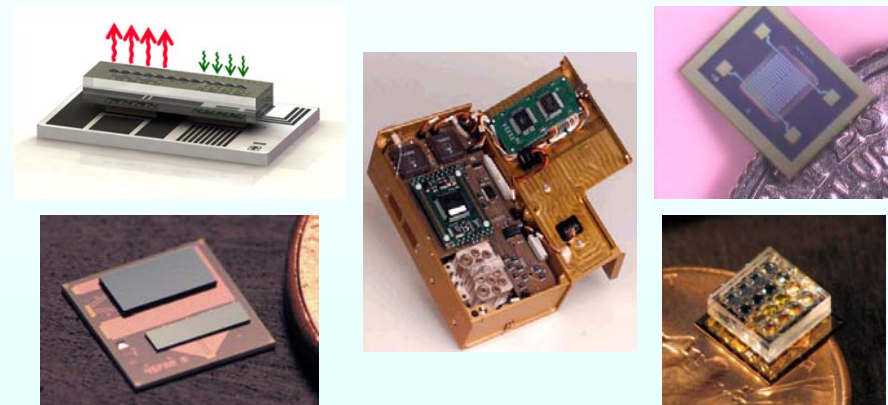
- complementary integration of GaAs and InP microelectronics
- III-V microelectronics circuitry on CMOS ASICs

IR Imagers for Remote Sensing



- nBn InAs/GaSb MWIR/LWIR detector arrays for large-format FPAs
- 10μm indium bump bonding, underfill, thinning, AR coating
- hybridization to silicon ROICs with >99.99% interconnect yield

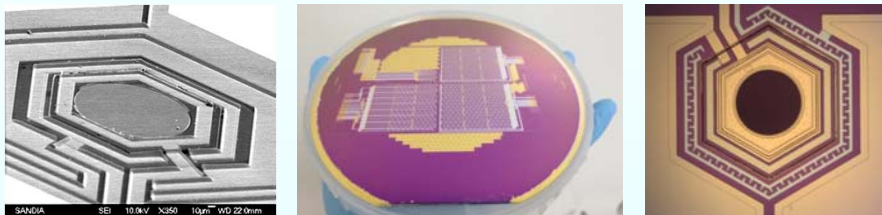
Optical and MEMS-based Microsensors



- chemical and bio sensors using MEMS and SAW devices
- g-hard optical microsensors with in-house photonics
- hybrid device integration with custom micro-optics

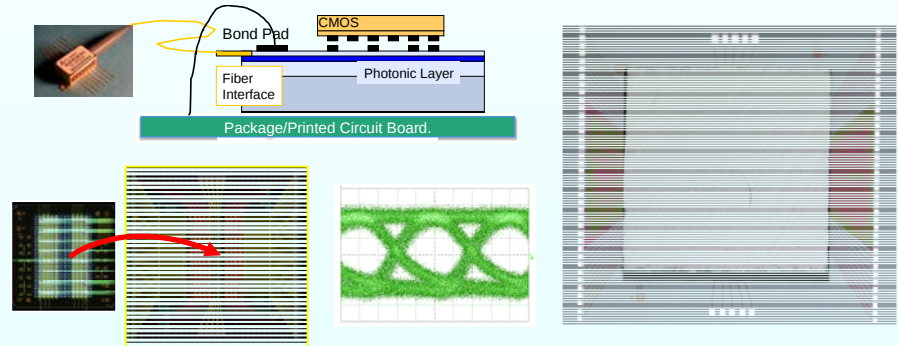
Trusted Advanced Pathfinder Product: Heterogeneous Integration

Microsystem-Enabled Photovoltaics



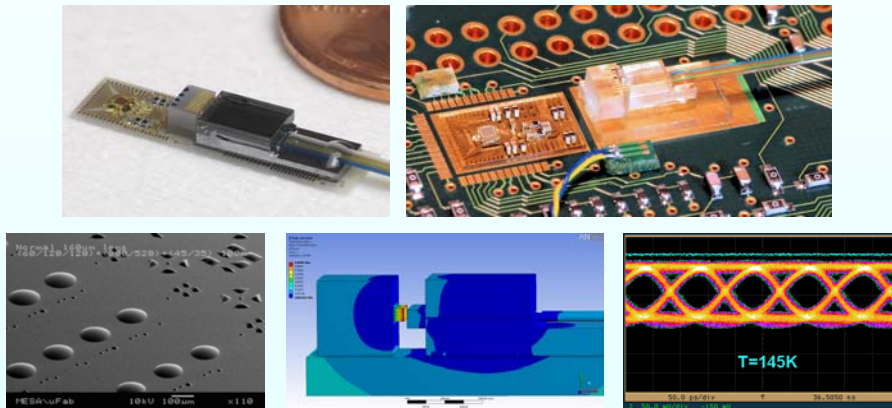
- wafer-level bonding for multi-junction solar cells
- InGaAsP/InP and InGaP/GaAs devices on silicon
- dielectric interfaces with III-V substrate removal
- integration with collection optics

High Performance Computing



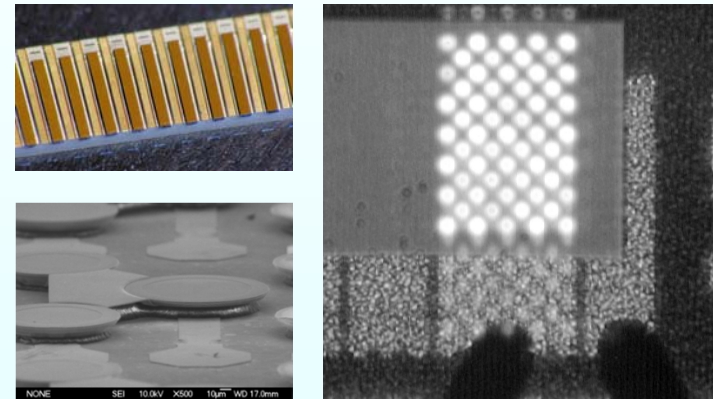
- silicon photonics on high-speed silicon ASIC
- independent optimization of electronics & photonics

Extreme Environment Applications



- custom photonics, optics, electronics for cryogenic interconnects
- advanced optoelectronics and integration for radiation hardness

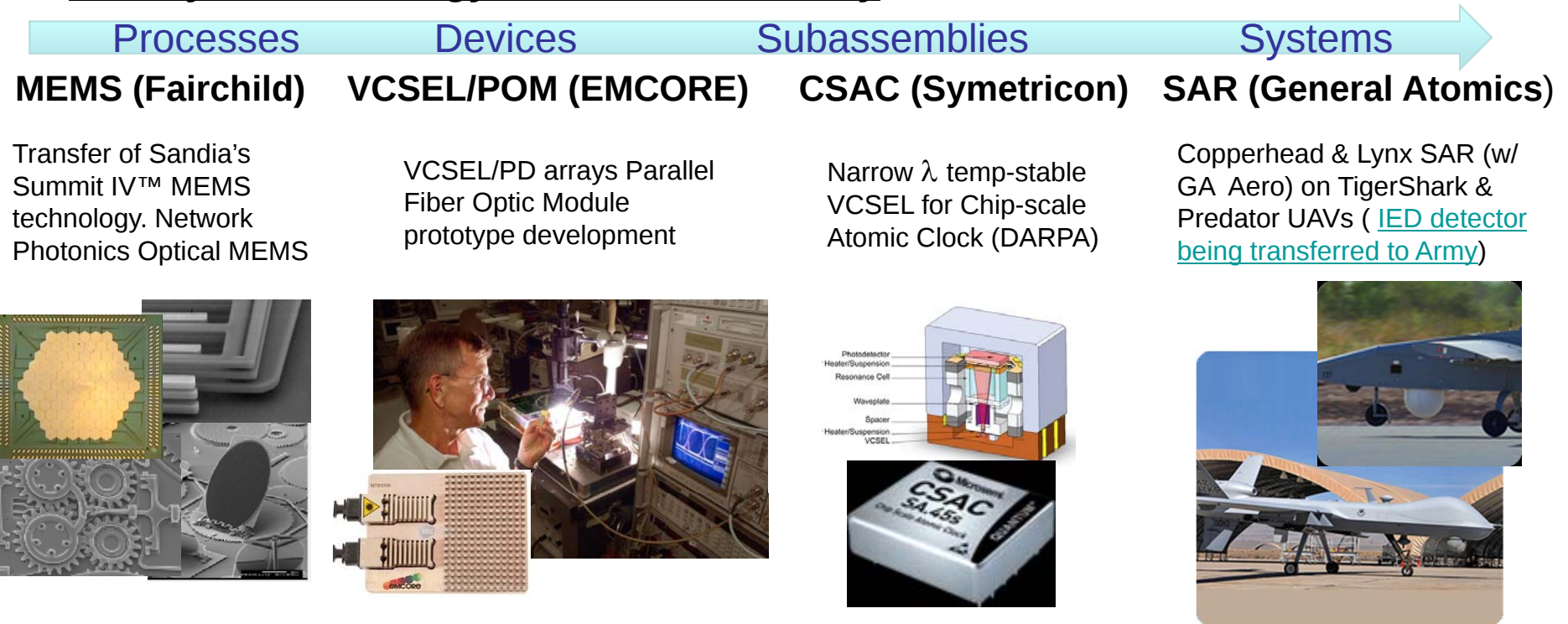
High Performance Photonics



- high-power emitters on AlN and diamond
- RF packaging for high-speed test and measurement

Why Sandia and the IP-IMI?

- **Expertise:** >20 years in III-V & Silicon Photonics R&D:
 - Toolboxes for internal and contract R&D
- **Capability:** Large flexible Si & III-V R&D Fab, Production rigor:
 - 65kft² fab, 10 epi reactors, >60 photonics staff, (60% Ph.D.)
 - Here today, here tomorrow...(NW IC deliveries)
 - Secure environment & staff, robust info-control (TRUST)
- **History of Technology Transfer to Industry:**



Partnerships in Photonics



Industry Partners



University Partners



III-V Photonics References

■ Infrared Focal Plane Array Technology:

- J. Kim et al., “MBE and Material Characteristics of T2SL for IR Photodetection,” International Conference on Molecular Beam Epitaxy, September, 2014. (invited)
- J. Kim et al., “Design and Performance of 2-Color SWIR-MWIR nBn Photodetectors,” Military Sensing Symposium, 2014.
- J. F. Klem, S. D. Hawkins, J. K. Kim, D. Leonhardt, E. A. Shaner, T. R. Fortune, and G. A. Keeler, [“GaSb-based infrared nBn detectors utilizing InAsPSb absorbers,”](#) *Journal of Vacuum Science & Technology B: Microelectronics and Nanometer Structures* (31)3, 03C115 - 03C115-7 (2013).
- D. W. Peters, P. S. Davis, J. K. Kim, D. Leonhardt, J. R. Wendt, and C. M. Reinke, [“Plasmonics and Nanoantennas for Infrared Detectors,”](#) in Proc. IEEE Photonics, September 8-12, 2013. (invited)

■ Surface Normal Optoelectronics:

- G. A. Keeler et al., “Plasmonic Modulators Using Quantum Well Electroabsorption,” in preparation.
- D. K. Serkland, K. M. Geib, G. M. Peake, G. A. Keeler, and A. Y. Hsu, [“850-nm VCSELs optimized for cryogenic data transmission,”](#) in Proc. SPIE, (8276), 82760S-7, February 7, 2012.
- D. K. Serkland, G. A. Keeler, K. M. Geib, and G. M. Peake, [“Narrow Linewidth VCSELs for High-Resolution Spectroscopy,”](#) in Proc. SPIE, (7229), 722907, February 7, 2009.
- G. A. Keeler, D. K. Serkland, A. Y. Hsu, K. M. Geib, M. E. Overberg, and J. F. Klem, [“High-Speed Switching of a 1.55-um Symmetric SEED,”](#) Conf. Lasers Electro-optics (CLEO), paper CTuH5, May 4-9, San Jose, CA, 2008. (invited)

■ InP Photonic Integrated Circuits:

- A. Tauke-Pedretti, G. A. Vawter, G. Whaley, E. Skogen, M. Overberg, G. Peake, C. Alford, D. Torres, J. R. Wendt, and F. Cajas, [“Photonic Integrated Circuit for Channelizing RF Signals,”](#) Conf. Lasers and Electro-optics (CLEO), paper CTu3G.5, San Jose, CA, June 9-14, 2013.
- E. J. Skogen, G. A. Vawter, A. Tauke-Pedretti, C. R. Alford, M. E. Overberg, and C. T. Sullivan, [“Integrated Guided-Wave Photodiode Using Through-Absorber Quantum-Well-Intermixing,”](#) *IEEE Photonics Technology Letters* 25(17), 1684-1686 (2013).
- A. Tauke-Pedretti, G. A. Vawter, E. J. Skogen, G. Peake, M. Overberg, C. Alford, W. W. Chow, Z. S. Wang, D. Torres, and F. Cajas, [“Mutual Injection Locking of Monolithically Integrated Coupled-Cavity DBR Lasers,”](#) *IEEE Photonics Technology Letters* 23(13), 908-910 (2011).
- E. J. Skogen, G. A. Vawter, A. Tauke-Pedretti, G. M. Peake, M. E. Overberg, C. R. Alford, D. L. Torres, C. T. Sullivan, [“Optical AND and NOT Gates at 40 Gbps Using Electro-Absorption Modulator/Photodiode Pairs,”](#) IEEE Photonics Society Meeting, 52-53, Denver, CO, November 7-11, 2010.

Si Photonics References

Selected Silicon Photonics Journal papers:

- J. A. Cox, A. L. Lentine, D. C. Trotter, and A. L. Starbuck, "[Control of integrated micro-resonator wavelength via balanced homodyne locking](#)," *Optics Express* 22(9), 11279-11289 (2014).
- R. Aguinaldo, A. Forencich, C. T. DeRose, A. L. Lentine, D. C. Trotter, Y. Fainman, G. Porter, G. Papen, and S. Mookherjea, "[Wideband silicon-photonics thermo-optic switch in a wavelength-division multiplexed ring network](#)," *Optics Express* 22(7), 8205-8218 (2014).
- A. M. Jones, C. T. DeRose, A. L. Lentine, D. C. Trotter, A. L. Starbuck, and R. A. Norwood, "[Ultra-low crosstalk, CMOS compatible waveguide crossings for densely integrated photonic interconnection networks](#)," *Optics Express* 21(10), 12002-12013 (2013).
- R. D. Kekatpure, A. Lentine, "[The suitability of SiGe multiple quantum well modulators for short reach DWDM optical interconnects](#)," *Optics Express* 21(5), 5318-5331 (2013).
- W. Zortman, A. Lentine, D. Trotter, and M. Watts, "[Bit error rate monitoring for active wavelength control of silicon microphotonic resonant modulators](#)," *IEEE Micro* 33(1), 42-52 (2013).
- M. R. Watts, J. Sun, C. DeRose, D. C. Trotter, R. W. Young, and G. N. Nielson, "[Adiabatic thermo-optic Mach-Zehnder switch](#)," *Optics Letters* 38 (5), 733-735 (2013).
- W. A. Zortman, A. L. Lentine, D. C. Trotter, and M. R. Watts, "[Low-voltage differentially-signaled modulators](#)," *Optics Express* 19(27), 26017-26026 (2012).
- W. A. Zortman, D. C. Trotter, A. L. Lentine, G. Robertson, A. Hsia, and M. R. Watts, "[Monolithic and two-dimensional integration of silicon photonic micro-disks with microelectronics](#)," *IEEE Photonics Journal* 4(1), 242-249 (2012).
- A. Biberman, E. Timurdogan, W. A. Zortman, D. C. Trotter, and M. R. Watts, "[Adiabatic microring modulators](#)," *Optics Express* 20(28), 29223-29236 (2012).
- C. T. DeRose, D. C. Trotter, W. A. Zortman, A. L. Starbuck, M. Fisher, M. R. Watts, and P. S. Davids, "[Ultra compact 45 GHz CMOS compatible Germanium waveguide photodiode with low dark current](#)," *Optics Express* 19(25), 24897-24904 (2011).
- M. R. Watts, W. A. Zortman, D. C. Trotter, R. W. Young, and A. L. Lentine, "[Vertical junction silicon microdisk modulators and switches](#)," *Optics Express* 19(2), 21989-22003 (2011).
- W. A. Zortman, A. L. Lentine, D. C. Trotter, and M. R. Watts, "[Long-distance demonstration and modeling of low-power silicon microdisk modulators](#)," *IEEE Photonics Technology Letters* 23(12), 819-821 (2011).
- M. R. Watts, W. A. Zortman, D. C. Trotter, R. W. Young, and A. L. Lentine, "[Low-voltage, compact, depletion-mode, silicon Mach-Zehnder modulator](#)," *IEEE Journal of Selected Topics in Quantum Electronics* 16(1), 159-164 (2010).
- W. A. Zortman, D. C. Trotter, M. R. Watts, "[Silicon photonics manufacturing](#)," *Optics Express* 18(23), 23598-213607 (2010).

2014 Si Photonics Conference Presentations:

- A. L. Lentine, "Wavelength Stabilization of Integrated Photonics with Coherent Optical Feedback Loops and 3D Integration," IEEE Avionics Fiber-Optics And Photonics Conference, WB1, Atlanta, Georgia, November, 2014. (invited)
- P. Davids, C. T. DeRose, J. A. Cox, A. Starbuck, A. Pomerene, and A. L. Lentine, "CMOS Si Photonics for Exascale Computing," European Material Research Society (E-MRC) Fall Meeting, Warsaw, Poland, Sept 18, 2014. (invited)
- J. A. Cox, A. L. Lentine, D. J. Savignon, R. D. Miller, D. C. Trotter, and A. L. Starbuck, "[Very Large Scale Integrated Optical Interconnects: Coherent Optical Control Systems with 3D Integration](#)," in *Advanced Photonics for Communications*, OSA Technical Digest (online) (Optical Society of America, 2014), paper IM2A.1, Integrated Photonics Research, Silicon, and Nanophotonics (IPR), San Diego, CA, July 2014. (invited)
- C. DeRose, "[Integrated RF Silicon Photonics from High Power Photodiodes to Linear Modulators](#)," in *Advanced Photonics for Communications*, OSA Technical Digest (online) (Optical Society of America, 2014), paper IW2A.1, IPR, San Diego, CA, July 2014. (invited)
- A. L. Lentine, J. A. Cox, W. A. Zortman, and D. J. Savignon, "[Electronic Interfaces to Silicon Photonics](#)," Proc. SPIE 8989, Smart Photonic and Optoelectronic Integrated Circuits XVI, 89890F, San Francisco, CA, February 2014. (invited)
- D. A. Bender, C. T. DeRose, A. Starbuck, J. C. Verley, and M. W. Jenkins, "[Precision laser annealing of silicon devices for enhanced electro-optic performance](#)," Proc. SPIE 8967, Laser Applications in Microelectronic and Optoelectronic Manufacturing (LAMOM) XIX, 89670S, San Francisco, CA, February 2014.
- J. A. Cox, A. Lentine, D. Savignon, D. Trotter, and A. Starbuck, "[Wavelength Control of Resonant Photonic Modulators via Balanced Homodyne Locking](#)," in Proc. IEEE Interconnects Conference, 7-8, San Diego, CA, April 2014.
- C. T. DeRose, R. Kekatpure, A. Starbuck, A. Pomerene, and A. L. Lentine, "[A CMOS compatible external heater-modulator](#)," in Proc. IEEE Optical Interconnects Conference, 17-18, San Diego, CA, April 2014.
- T. A. Latchu, M. C. Pochet, N. G. Usechak, C. DeRose, A. L. Lentine, D. C. Trotter and W. A. Zortman, "[Power-Penalty Comparison of Push-Pull and Traveling-Wave Electrode Silicon Mach-Zehnder Modulators](#)," in Proc. IEEE Interconnects Conference, 25-26, San Diego, CA, April 2014.
- R. Aguinaldo, A. Forencich, C. DeRose, A. Lentine, D. C. Trotter, Y. Fainman, G. Porter, G. Papen, and S. Mookherjea, "[Characterization of a silicon-photonics wideband switch in UCSD's MORDIA ring network](#)," in Proc. IEEE Interconnects Conference, 102-103, San Diego, CA, April 2014.
- C. T. DeRose, N. J. Martinez, R. D. Kekatpure, W. A. Zortman, A. L. Starbuck, A. T. Pomerene, and A. L. Lentine, "[Thermal crosstalk limits for silicon photonic DWDM interconnects](#)," in Proc. IEEE Optical Interconnects Conference, 125-126, San Diego, CA, April 2014.
- R. Aguinaldo, P. O. Weigel, H. Grant, C. DeRose, A. Lentine, A. Pomerene, A. Starbuck, D. C. Trotter and S. Mookherjea, "[Characterization of a silicon-photonics multi-wavelength power monitor](#)," in Proc. IEEE Interconnects Conference, 139-140, San Diego, CA, April 2014.
- J. A. Cox, A. L. Lentine, D. J. Savignon, D. Trotter, and A. Starbuck, "[Wavelength Control of Resonant Photonic Modulators with Balanced Homodyne Locking](#)," in *CLEO: 2014*, OSA Technical Digest (online) (Optical Society of America, 2014), paper STh4M.7, San Jose, CA, June 2014.
- E. Douglas, A. Starbuck and C. T. DeRose, "Low Hydrogen Silicon Nitride Films Deposited by Plasma Enhanced Chemical," Paper TF-ThP20, AVS 61st Int. Symposim & Exh., TF-ThP20, Baltimore, MD, Nov 2014.