

Deterministic Placement of Fabricated InGaN Quantum Dots in Photonic Structures

Authors: Arthur J. Fischer, Xiaoyin Xiao, Ping Lu, Ganesh Subramania, Daniel D. Koleske, Jeffrey Y. Tsao, Michael E. Coltrin, and George T. Wang

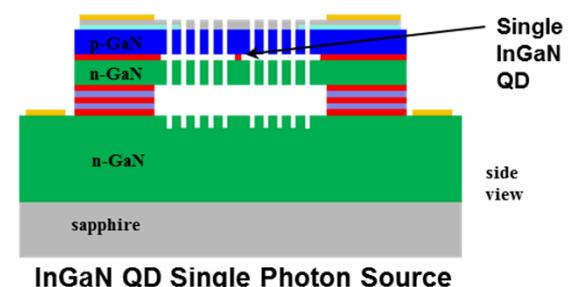
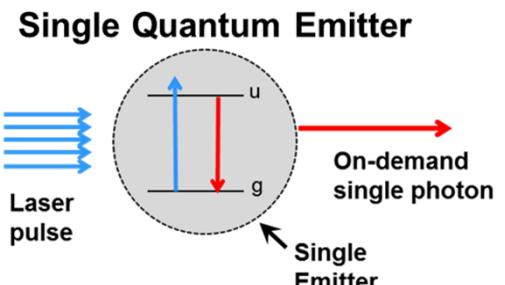
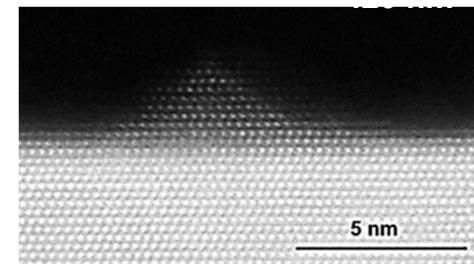
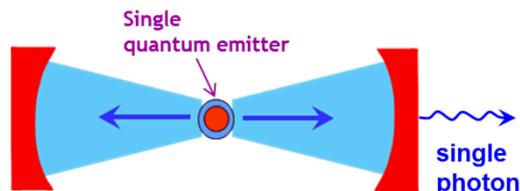
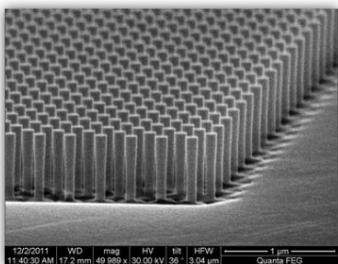
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Outline:

1. Motivation: InGaN Quantum Dots
2. InGaN QD fabrication- ensembles
 - Quantum size controlled PEC etching
 - AFM/TEM analysis
 - Photoluminescence
3. Deterministic placement of InGaN QDs
 - Design of InGaN single photon source
 - FDTD calculations
4. InGaN post samples
 - Microphotoluminescence
 - g2 measurements
5. Summary/Conclusions



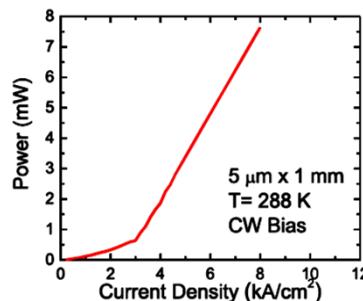
Motivation for InGaN Quantum Dot (QD) Emitters

- **Long wavelength visible emitters:**
 - Nanostructure (NWs, QDs) can incorporate more indium
 - High efficiency yellow, orange, and red emission
 - RGB and RYGB emitters require high efficiency yellow to red emitters
- **Visible QD diode lasers:**
 - Lasers for lighting is gaining momentum
 - Low threshold, high efficiency, better temperature performance
 - Monodisperse QDs

InGaN QD laser:

- University of Michigan
- Electrically injected
- 630 nm
- $T_o = 236K$

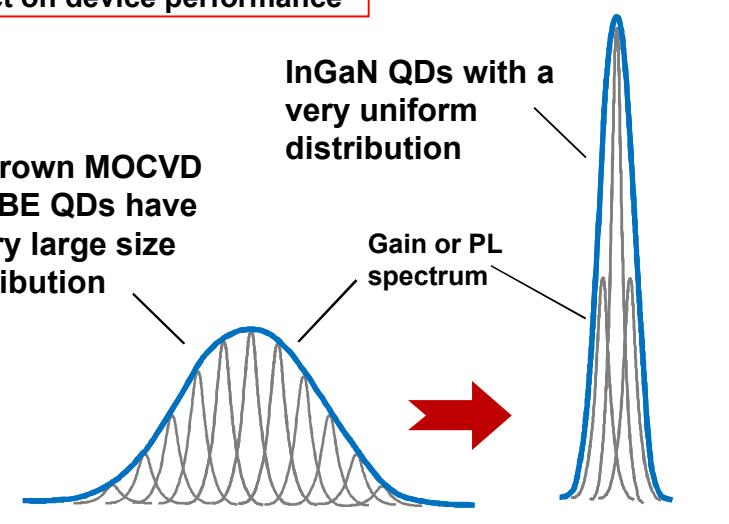
Frost et al., IEEE JQE, 49, 923 (2013).



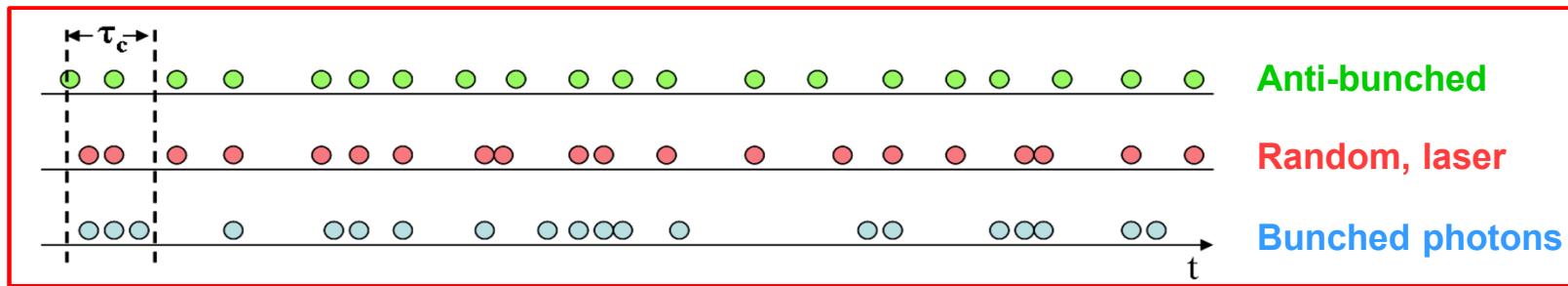
Monodisperse QD Distributions

Impact on device performance

As grown MOCVD or MBE QDs have a very large size distribution



Single Photon Source: Photon Statistics



source: J.S. Lundeen

Classical light sources: $g(2)(t = 0) \geq 1$

- Bunched or random photons (Laser, LED, thermal source)

Quantum light source: $g(2)(t = 0) < 1$

- Anti-bunched photons (Quantum optics theoretical treatment)

Applications:

- Quantum key distribution
 - Attenuated laser can be used
 - SPS is the gold standard for QKD
 - Future QKD involving quantum repeaters
- Quantum metrology
- Quantum computing with photons
- True random number generation

Single Photon Sources in the Literature

Faint Laser

- Not a quantum light source ($g^{(2)}(0) = 1$)

On-Demand Single Photon Sources:

- Single atoms/ions/molecules
 - $g^{(2)}(0) = 0.015$, cryogenic temperatures
- Color centers (nitrogen vacancy)
 - $g^{(2)}(0) = 0.07$, 300K
- InAs quantum dots
 - $g^{(2)}(0) = 0.02$, 5K, electrically injected
- CdSe/ZnS quantum dots
 - $g^{(2)}(0) = 0.004$, 300K, 5% extraction
- **GaN-based SPSs**
 - $g^{(2)}(0) = 0.4$, 200K
 - $g^{(2)}(0) = 0.16$, 10K, **Electrically injected**
 - $g^{(2)}(0) = 0.13$, 300K

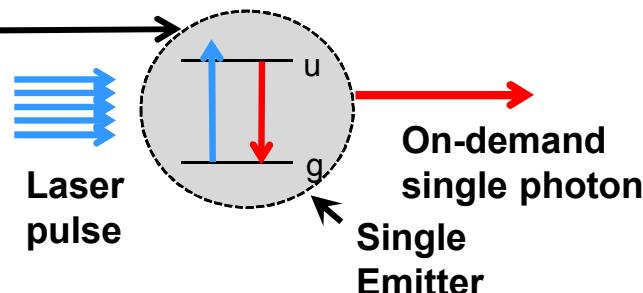
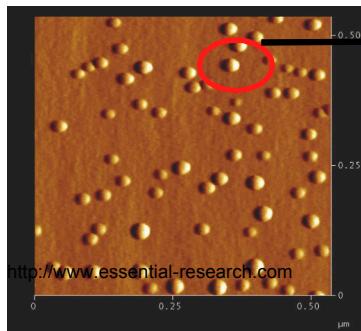
Heralded Single Photon Sources:

- Parametric down conversion
 - $g^{(2)}(0) < 0.01$, 300K, pulsed laser
- FWM in optical fibers
 - $g^{(2)}(0) \sim 0.01$, 300K, pulsed laser

*M.D. Eisaman, et al. Rev. Sci. Instrum. **82**, 071101 (2011)

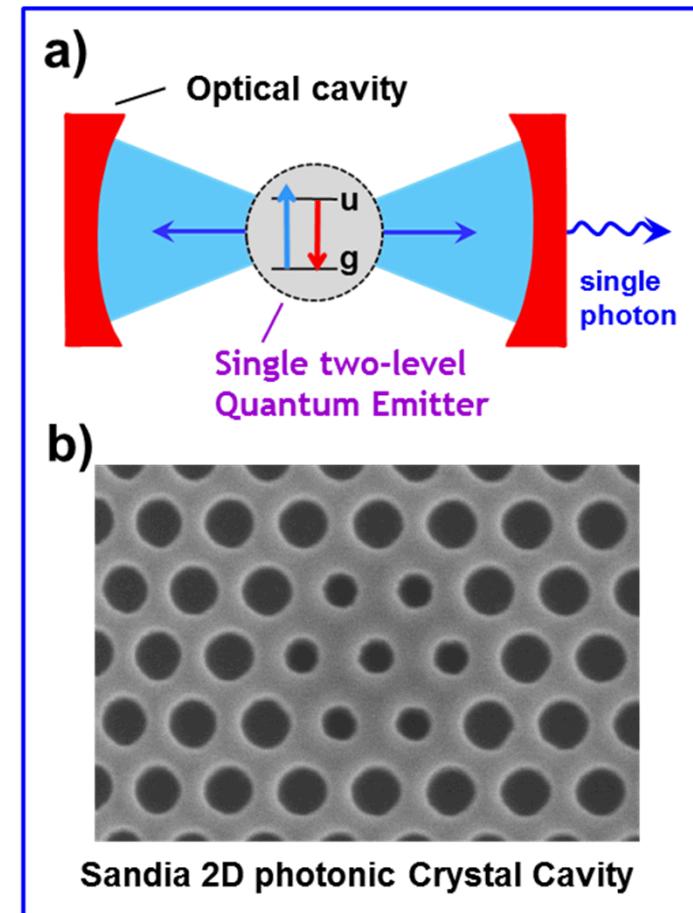
Single Photon Source based on Quantum Dots

AFM image of InAs QDs



QD Single Photon Source:

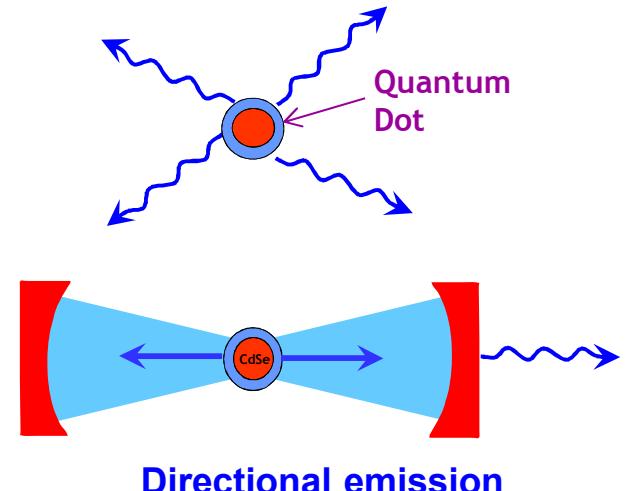
- Isolate emission from a single quantum dot
- Only one photon can be emitted
 - Absorption of one photon saturates transition
 - Can be excited using many photons
 - **Deterministic Source: on demand**
- Triggered emission within radiative lifetime
 - Short emitter lifetime (~ 1 ns) \rightarrow Fast rep. rate
- **Path to electrical-injection/chip-scale integration**



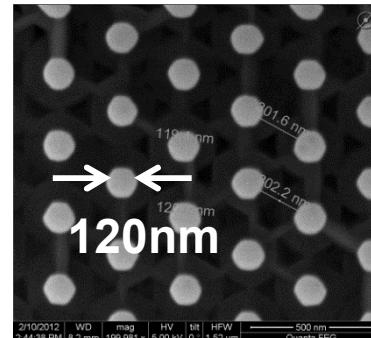
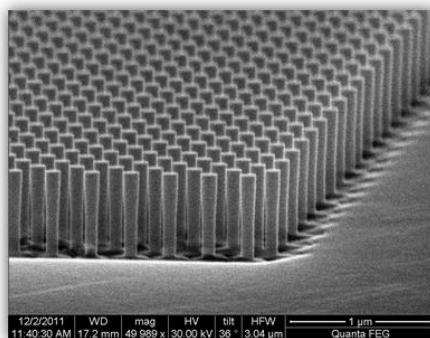
Single Emitters Inside and Optical Cavity

Why do we need an optical cavity?

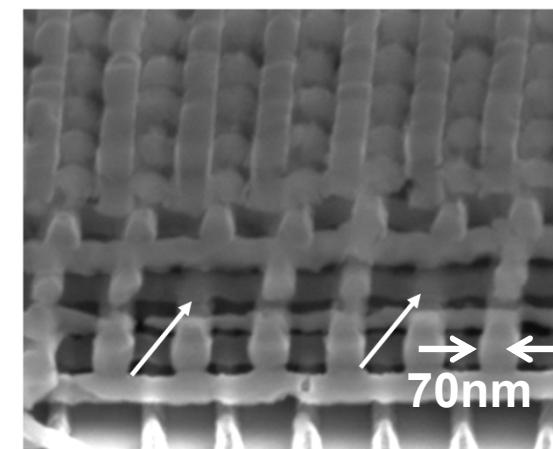
- Improve photon collection efficiency
- Light collection: emission into specific spatial modes
- Limit spectral content → indistinguishable photons
- Generate photons with a specific polarization
- Increase radiative rate, efficiency via Purcell effect
- Theoretically study QD strong coupling physics
 - Coherent and incoherent interaction with phonon bath



Sandia III-Nitride Nanostructure Fabrication



Scientific Reports 3, 2982 (2013)

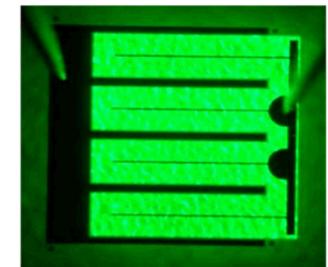


Nanoletters 11, 4591 (2011)

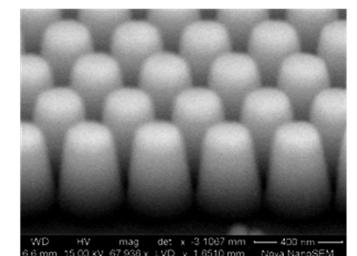
InGaN Quantum Dot SPS Development

- **Goal:** Room temperature, electrically-injected, chip-scale single photon source
- Our approach:
 - MOCVD-grown InGaN QWs → PEC etched InGaN QDs
 - Design a PhC cavity for InGaN QD SPSs
- Advantages to this approach
 - InGaN QDs can operate at high temperatures
 - Si detectors work well in the blue/green
 - Free space data links: Reduce the size of optical telescopes
 - Plastic optical fiber at blue/green wavelengths
 - Deterministic placement of InGaN QDs
 - Leverage expertise in III-nitrides and photonic crystals

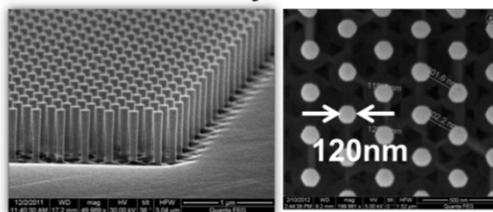
Green InGaN LEDs



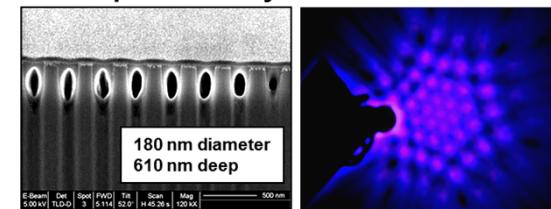
InGaN nano-LED array



GaN nanowire arrays



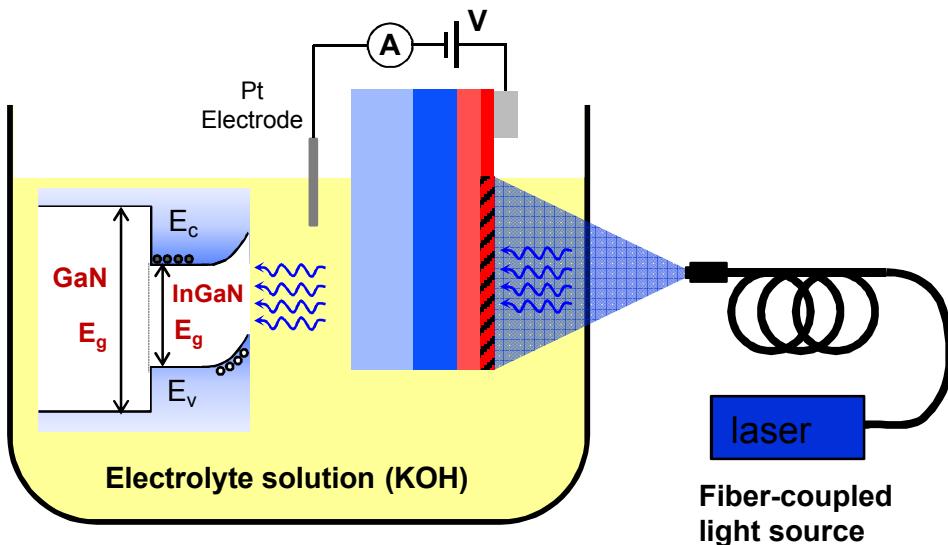
InGaN photonic crystal LEDs



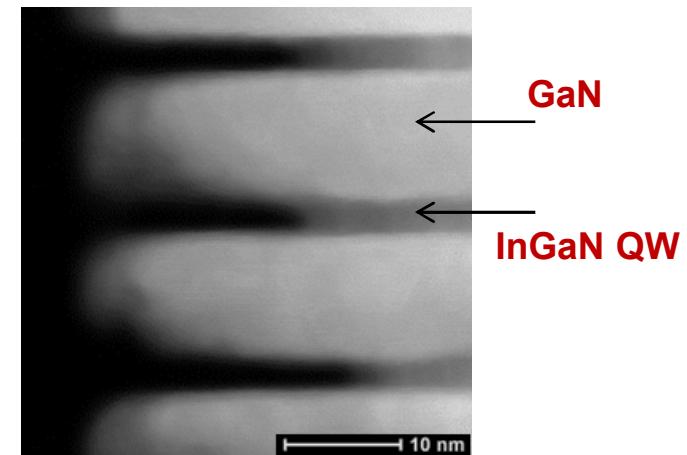
Introduction to InGaN PEC Etching

Photoelectrochemical (PEC) Etching:

- Very few wet etches work for III-nitrides
- Band gap selective (Etch InGaN over GaN)
- Dopant selective, light intensity dependent, etch current can be monitored
- Laser or lamp excitation (Xe arc lamp, tunable ps Ti:S)
- KOH (~0.1M) typically used as electrolyte



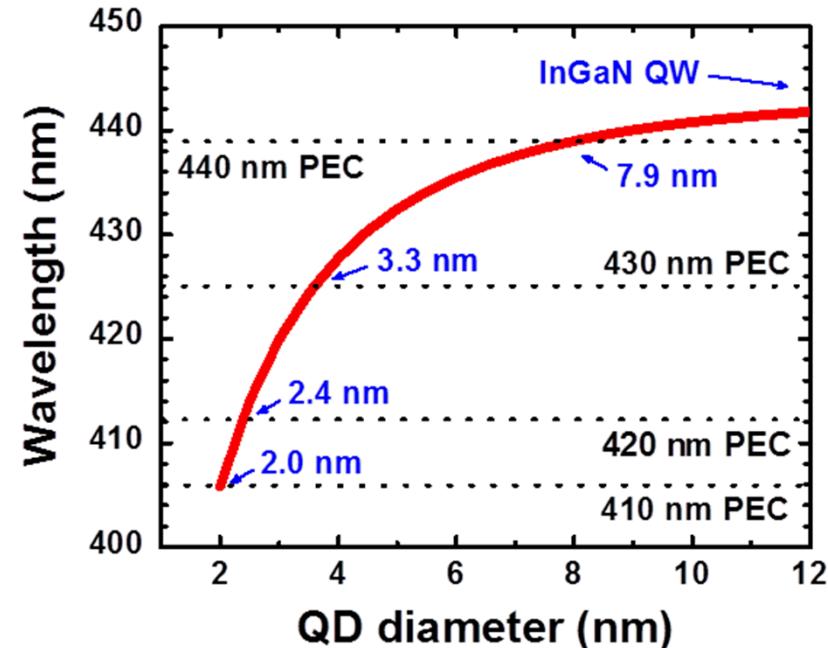
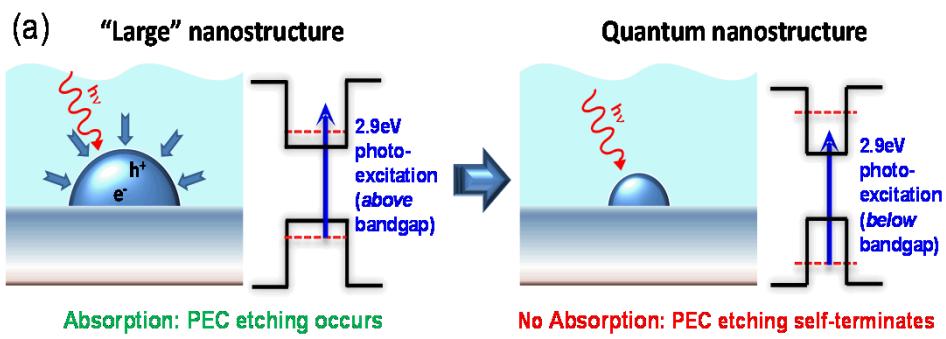
PEC etched InGaN/GaN QWS



Fabrication of InGaN QDs via PEC etching

Quantum Size Control: Use size quantization to control QD size

Self-limiting PEC etch process:



- For QDs, band gap depends on size
- As etch proceeds,
 - QD size gets smaller, band gap goes up
 - Etch terminated for $E_g > E_{\text{photon pump}}$
- Self-terminating etch process
- QD size depends on PEC wavelength
- Monodisperse QD distributions ??
- Prof. Torimoto- colloidal QDs

G. Pellegrini, et al., Journal of Applied Physics 97, 073706 (2005).

MOCVD-grown InGaN samples

Uncapped single InGaN QW



- Grown by MOCVD on sapphire substrates
 - 5 - 20 nm thick InGaN layer
- No InGaN underlayer is used in this sample
- Uncapped single QW sample (14% In):
 - Amenable to surface characterization of QDs
 - TEM, AFM characterization
 - Luminescence weaker than capped sample

Capped single InGaN QW



- Grown by MOCVD on sapphire substrates
 - 3 nm InGaN QW, 10 nm GaN cap
- InGaN underlayer (~2% In) used in this sample
- Capped single QW sample (14% In):
 - AFM is not useful for capped samples
 - Luminescence brighter than uncapped samples
- Etch is thought to proceed via pits, dislocations

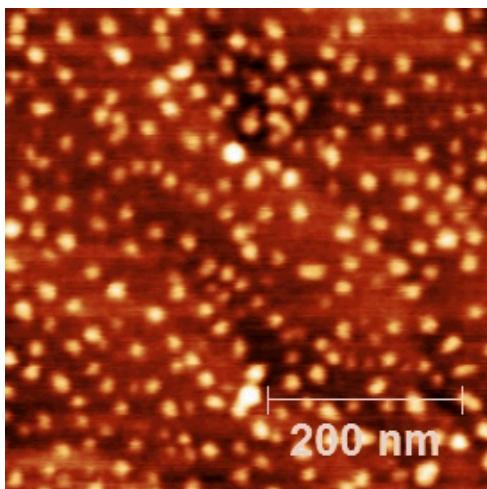
Atomic Force Microscope (AFM) Measurements

Uncapped InGaN QW

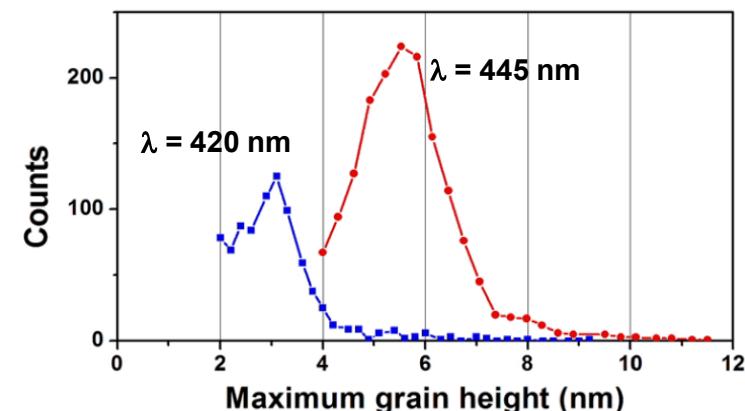
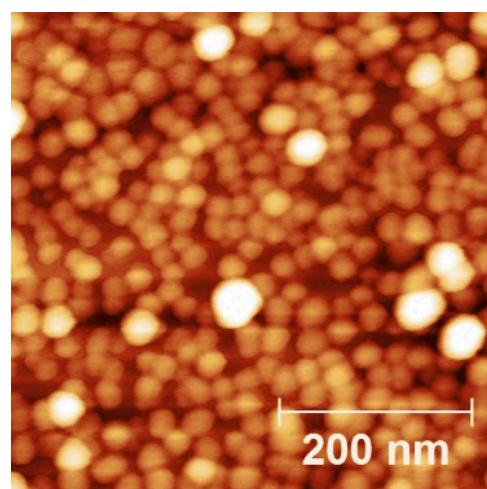


- Samples etched for two hours at 420 nm and 445 nm
 - Laser power density: $\sim 3 \text{ mW/cm}^2$
- High dot density: $10^{11}/\text{cm}^2$
- Some big dots (10-20 nm) remain: due to dislocations?
- QD size depends on PEC etch wavelength

PEC etch $\rightarrow \lambda = 420 \text{ nm}$



PEC etch $\rightarrow \lambda = 445 \text{ nm}$

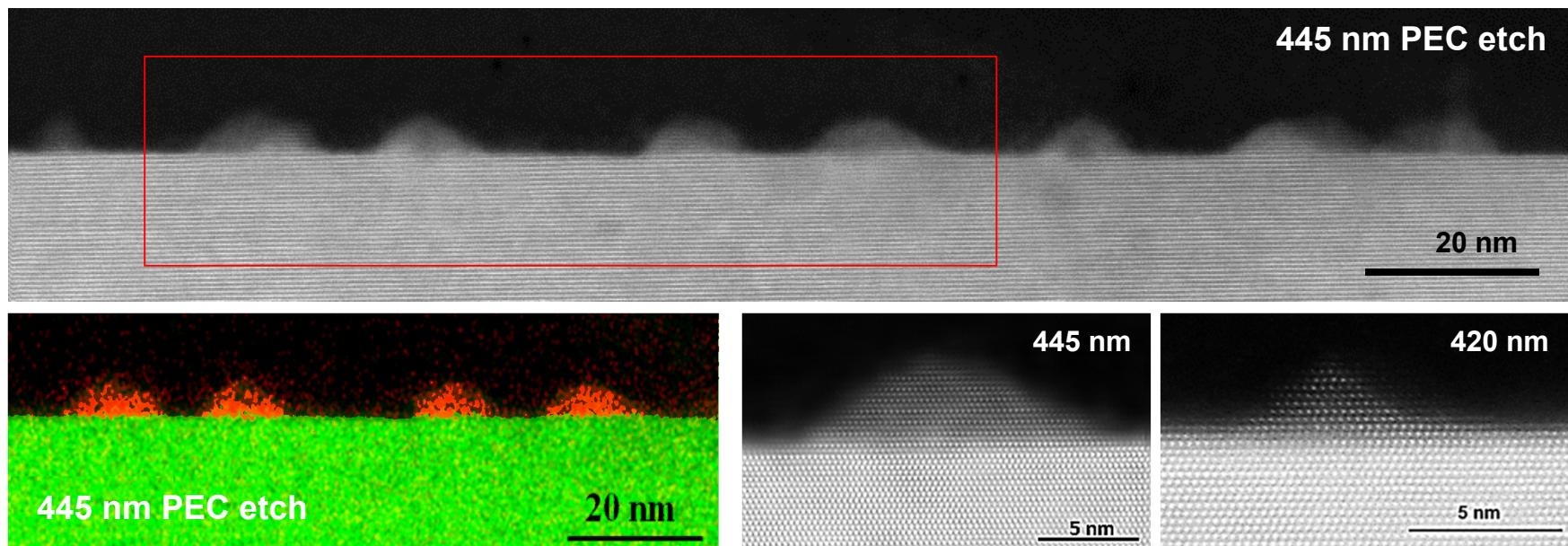


Transmission Electron Microscope (TEM) Images

Uncapped InGaN QW



- High-angle annular dark-field (HAADF) TEM images
- Samples etched at 420 nm and 445 nm
- Energy dispersive x-ray mapping
 - QDs on surface are InGaN
 - Red = indium, green=gallium
- InGaN QDs are epitaxial to the underlying GaN
- No underlayer, no cap → PL is not very bright



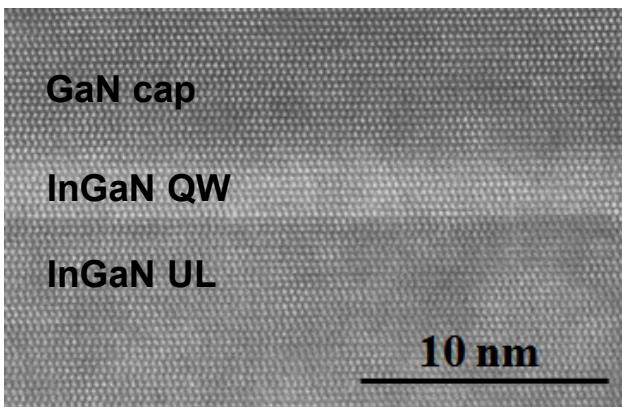
Transmission Electron Microscope Images

Capped InGaN QW

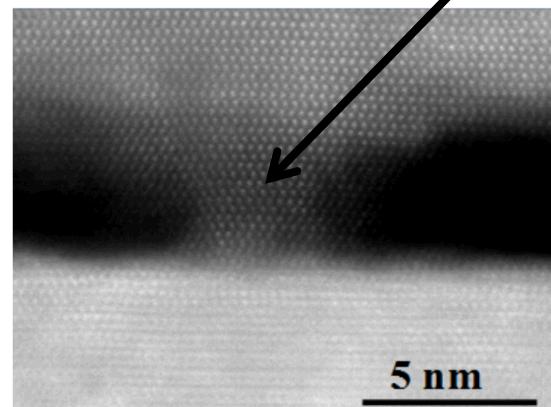


- High-angle annular dark-field (HAADF) TEM images
- Sample etched at 420 nm
- EDX mapping shows that dots are InGaN
- InGaN QDs are epitaxial to the underlying GaN
- 2% InGaN underlayer + GaN cap → PL is much brighter
- GaN cap provides partial passivation

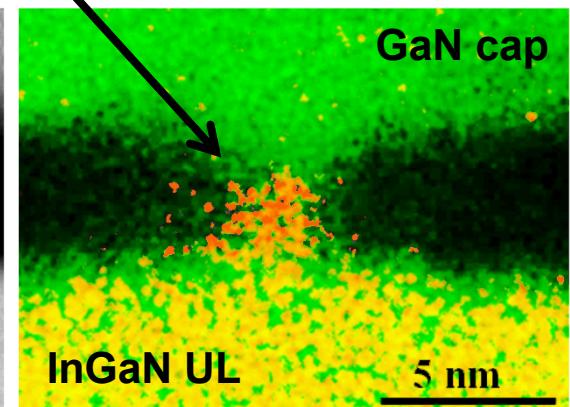
before PEC etch



after PEC etch



InGaN QD



after PEC etch

Photoluminescence from fabricated InGaN QDs

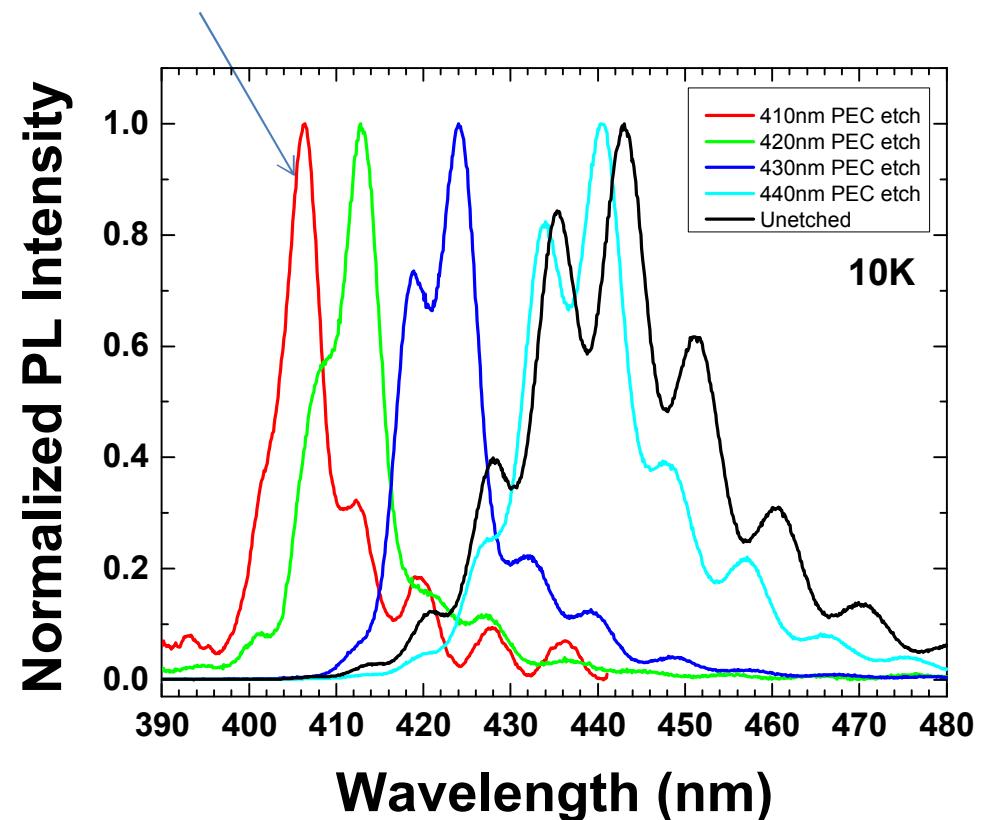
Capped InGaN QW



Photoluminescence (PL) data:

- 375 nm pump (ps pulsed)
- 10K PL data
- PL wavelength determined by PEC etch wavelength
- PL linewidth: 24 nm → 6 nm
- Quantum size-controlled PEC etching works!

As narrow as **6 nm** FWHM is consistent with a narrowing of the QD size distribution

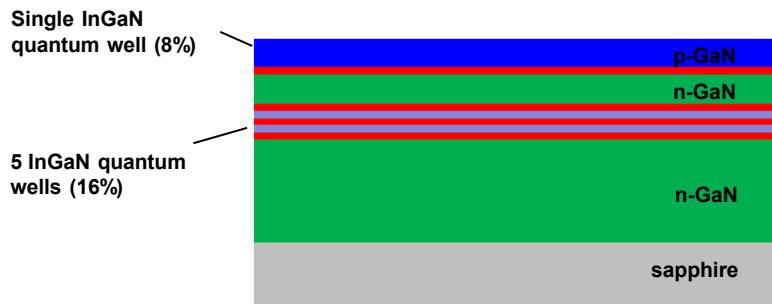


Nanoletters 14, 5616 (2014)

Deterministically positioned InGaN QDs

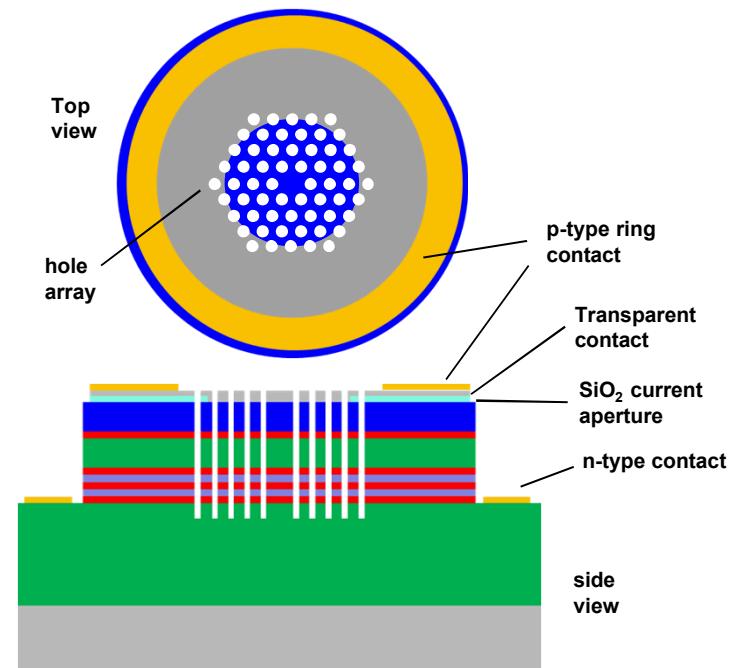
Standard III-nitride device fabrication

1.) Epitaxial layer structure



- Specially design epitaxial structure for PEC etching
- InGaN QWs with 8% and 16% indium

2.) Fabrication of device plus PhC etch

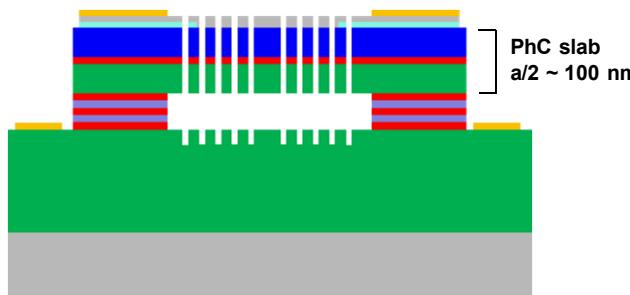


- Standard LED fabrication
- Etch PhC triangular array
- defect cavity at the center
- Requires current aperture
- Use transparent contact

Deterministically positioned InGaN QDs

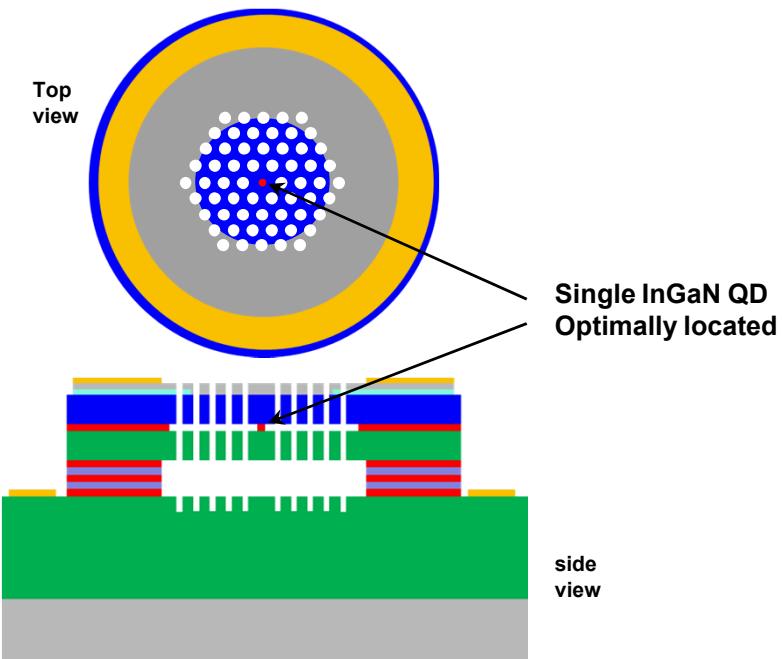
PEC etch of InGaN QWs

3.) First photoelectrochemical etch



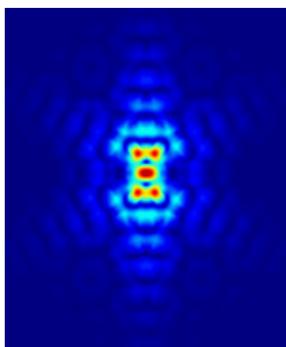
- Use 450 nm laser for PEC etch
- Area under contacts will not etch
- 8% InGaN QW will not etch

4.) Second photoelectrochemical etch

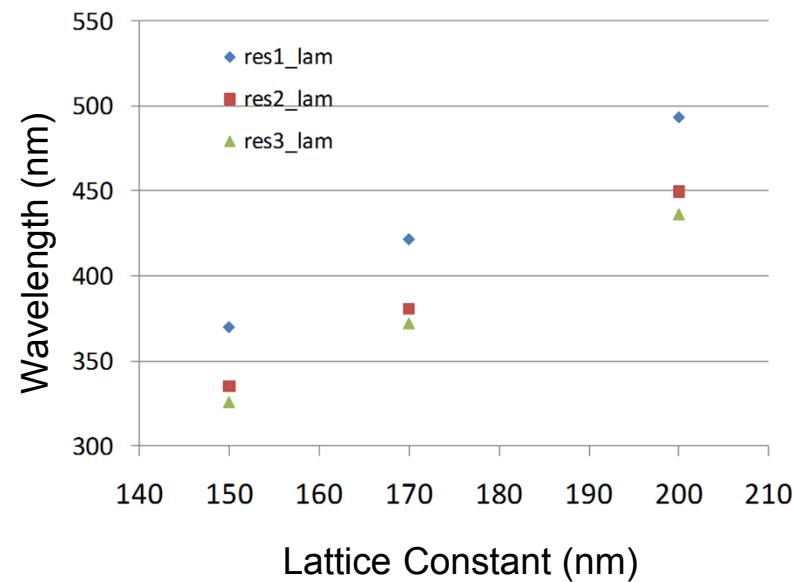
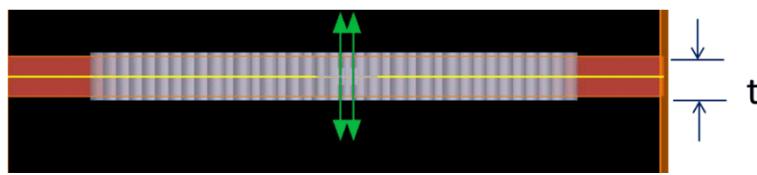
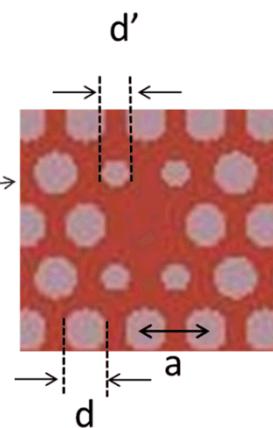
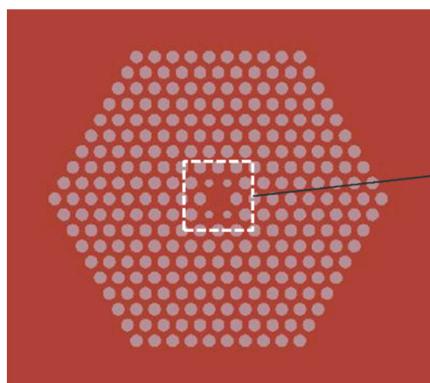


- Use 405 nm laser for PEC etch
- Self limiting PEC etch
- Size quantization in QD will raise energy level above 405 nm

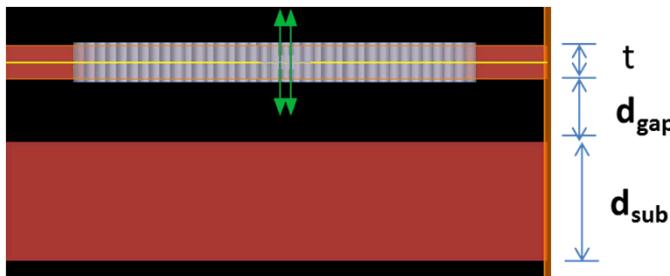
FDTD Photonic Crystal Modeling



- Calculations done using Lumerical
- Need resonance with anti-node at center (res1)
- No Substrate: $Q = 941$ for this design
 - $a=170$ nm, $d=0.7a$, $d'=0.45a$, $t=0.5a$
- Design is not fully optimized (higher Qs are possible)
- Need lattice constant in the range from 170 – 200 nm

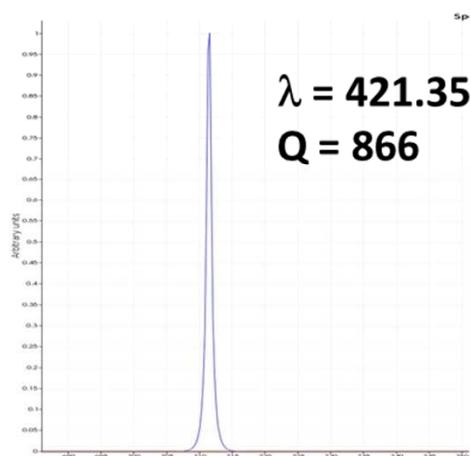


FDTD Modeling: Spacer Layer Thickness

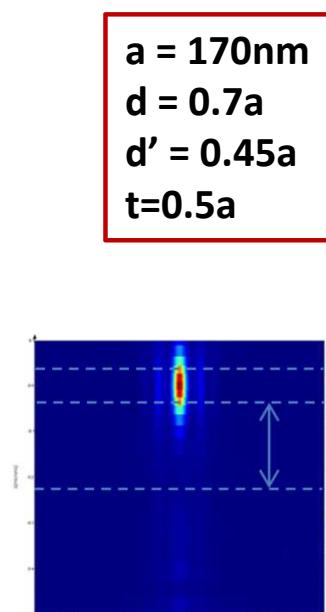


- Determine thickness for air gap
- Calculations done using Lumerical
- For $d(\text{gap}) < a$ mode leaks out into substrate
- 10 or more sacrificial InGaN QWs required
- Air gap should be at least one lattice constant in thickness

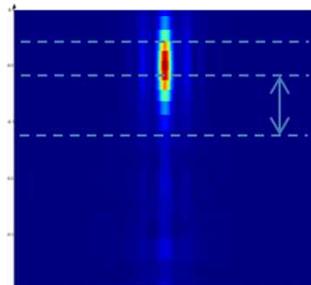
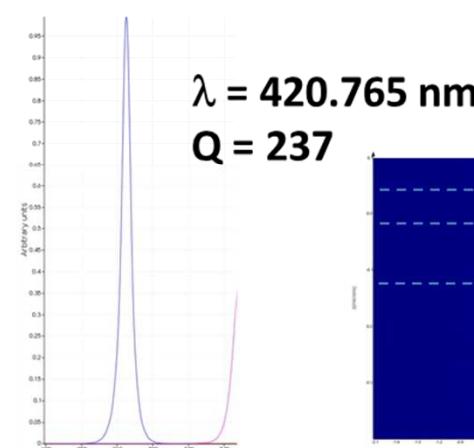
$$d_{\text{gap}} = 0.95a$$



$$a = 170\text{nm}$$
$$d = 0.7a$$
$$d' = 0.45a$$
$$t=0.5a$$



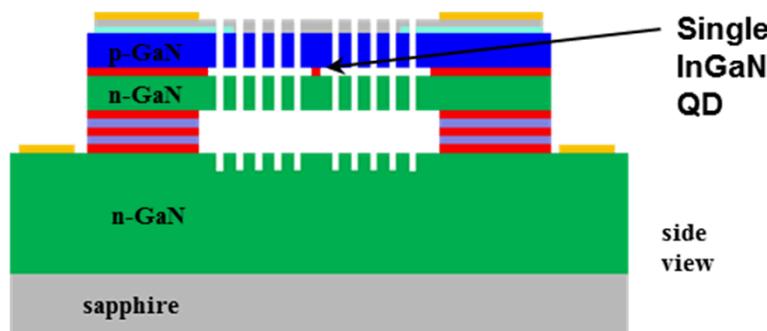
$$d_{\text{gap}} = 0.6a$$



Calculated far-field patterns for InGaN SPS

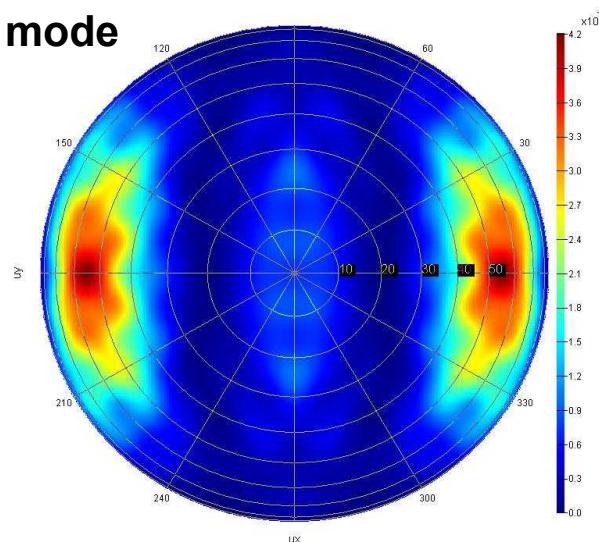
- Performed FDTD calculation for different cavities
- Calculations show that the highest Q cavities tend to have a resonance with a node at the center
- Cavities for PEC-etched InGaN QDs should have a field maximum at the center of the cavity
- FDTD calculation show that light extraction can be enhanced (~ 5X) by collecting at large angles relative to surface normal

Design for InGaN SPS:



InGaN QD Single Photon Source

Far-field mode
Profile:



PL from single InGaN QDs

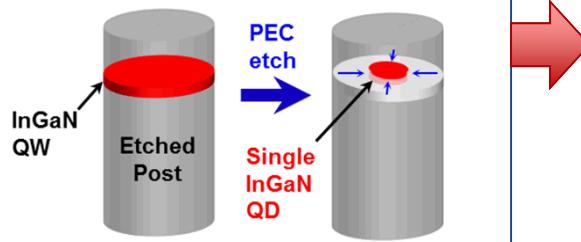
Capped InGaN QW



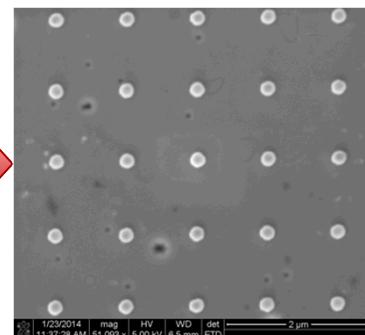
- Posts (150 – 200 nm) patterned with e-beam lithography
- Thicker GaN capping layer (~ 30 nm)
- Narrow PL emission (<1 nm FWHM) observed
- Better ratio of single QD mission to background
- May be pumping more than one post (2 micron pitch)

Submicron Post Samples

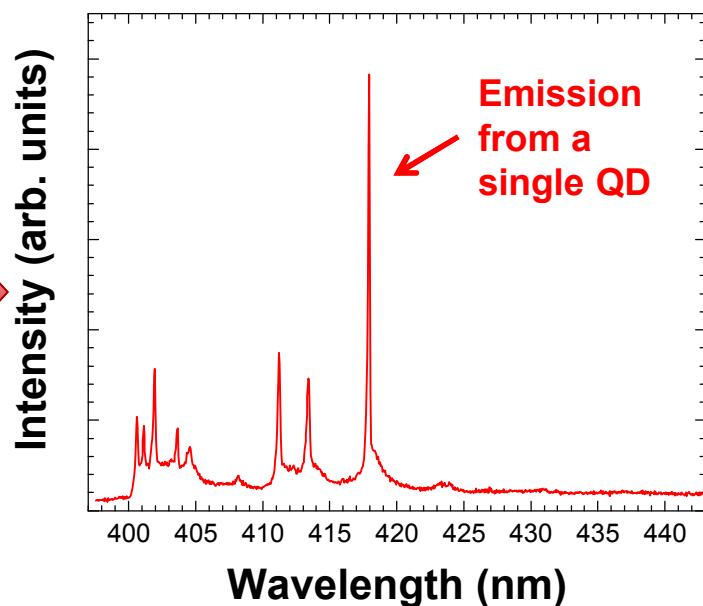
- Intermediate test structure
- Test PEC etching, demonstrate single QDs.



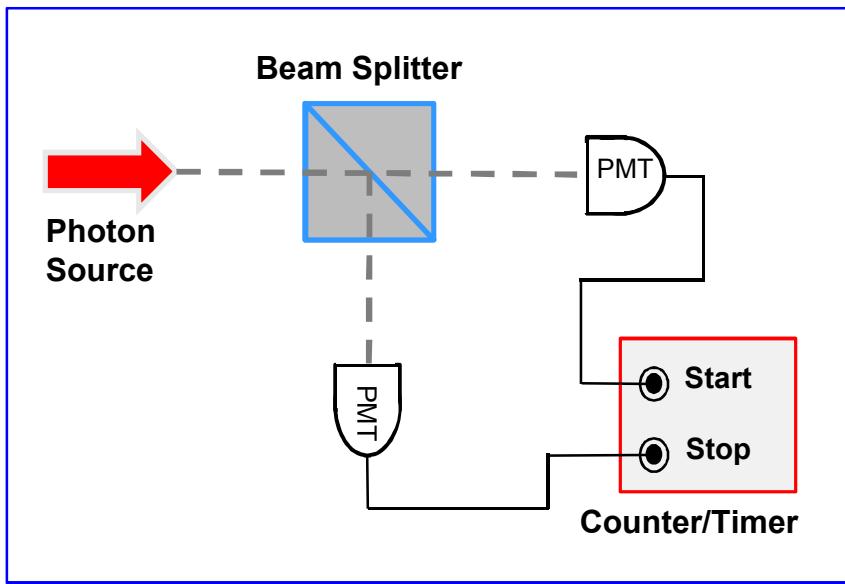
SEM image of posts (top view)



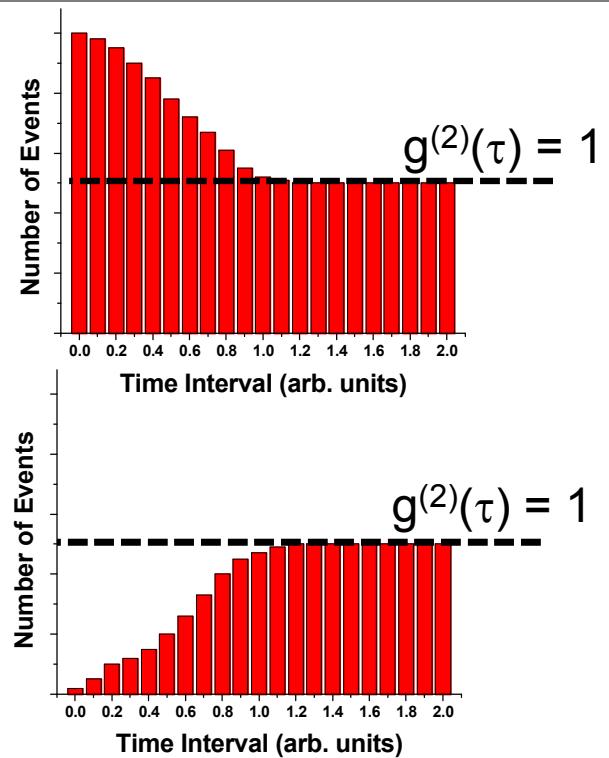
InGaN QD emission:



Single Photon Measurement: HBT experiment



Thermal
Source
 $g^{(2)}(0) > 1$

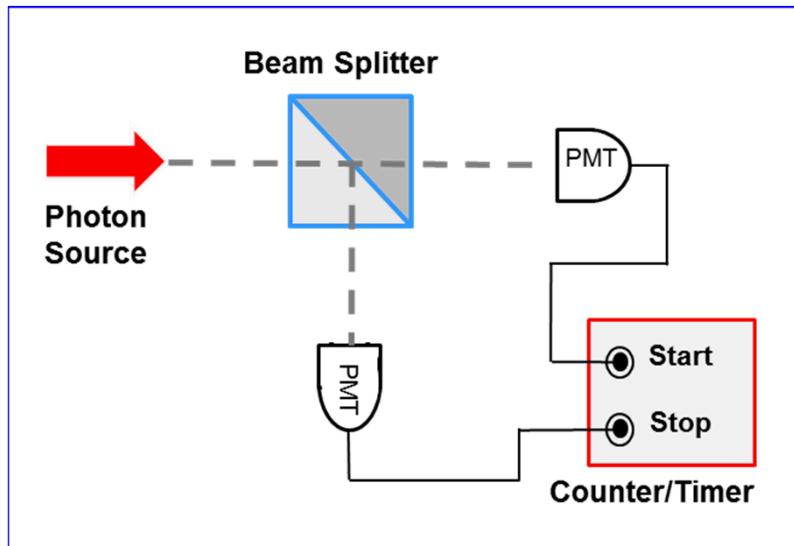


- Non-polarizing beamsplitter to split intensity between detectors
- Single photon counting required
 - Use PMTs or avalanche photodiodes
 - Single photon counting modules
- Measure correlation between detected photons
- Detectors: low QE, speed, and dark counts

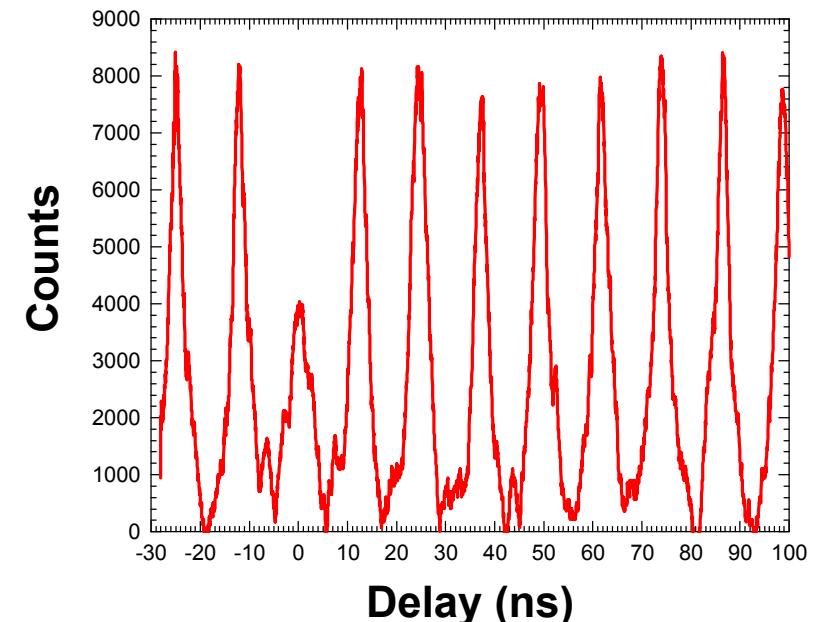
Single Photon Measurement: HBT experiment

- Set up a Hanbury-Brown and Twiss measurement
- Demonstrated a $g_2 \sim 0.5$ for PEC-etched InGaN QDs
 - Signal-to-noise ratio could be better
 - Expect improvements with recent changes to g_2 set-up
- Improved light collection will help measurement

HBT Experimental Set-up

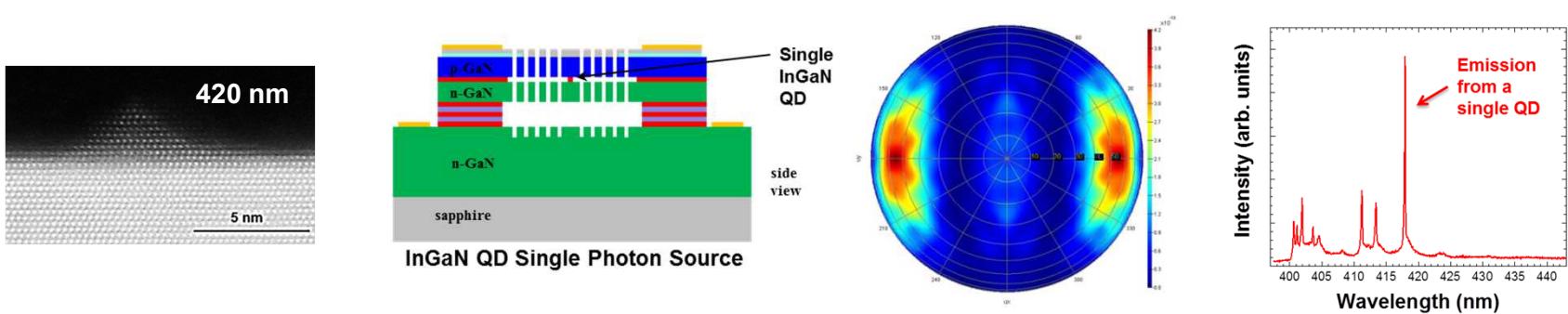


Pulsed g_2 data



Summary/Conclusions

- **Quantum size controlled PEC etching**
 - TEM EDX mapping shows we have InGaN QDs
 - Quantum wells are etched to make QDs
 - QD size and emission λ determined by PEC wavelength
- **Design for InGaN QD single photon source**
 - Deterministic placement of a single InGaN QD in a photonic crystal cavity
 - FDTD modeling: cavity resonances and far field patterns
- **Emission from single InGaN QDs in post samples**
 - Narrow linewidth emission (<0.3 nm)
 - Pulsed g2 measurement showing $g2 \sim 0.5$

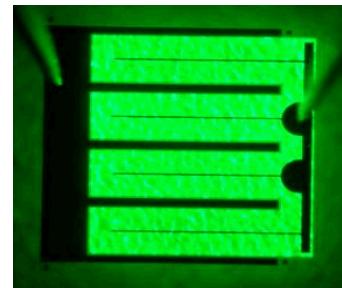


Extra slides →

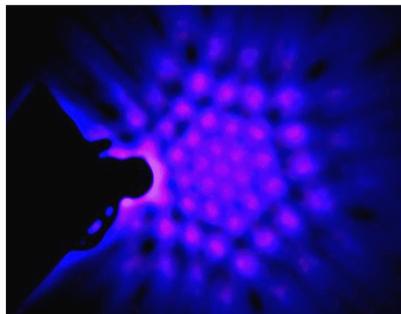
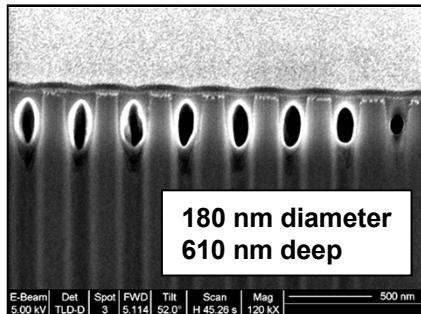
SNL III-Nitride Capabilities

- Leverage Work on Previous III-nitride Emitters
 - EFRC, Previous LDRDs, multiple EERE programs
- Three MOCVD reactors growing III-nitride materials
- Previous work in III-nitride photonic crystal structures

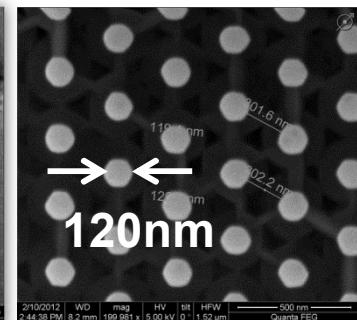
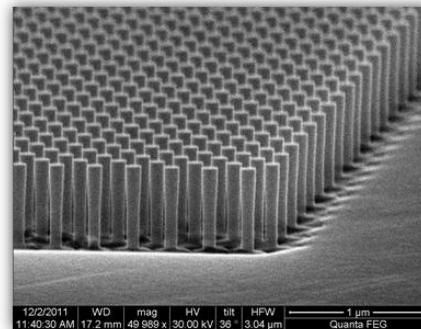
Green InGaN LEDs



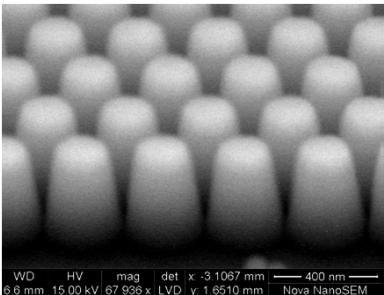
InGaN photonic crystal LEDs



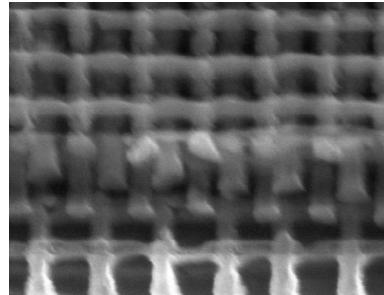
GaN nanowire arrays



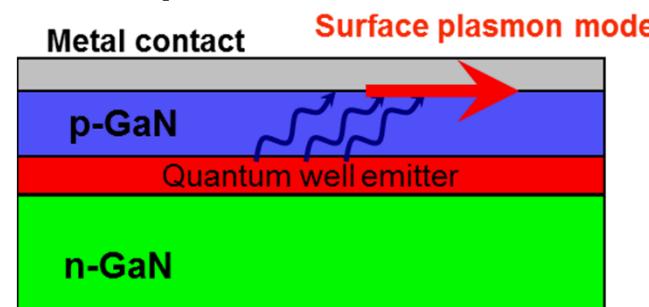
InGaN nano-LED array



GaN 3D logpile PhC



Surface plasmon enhanced LEDs

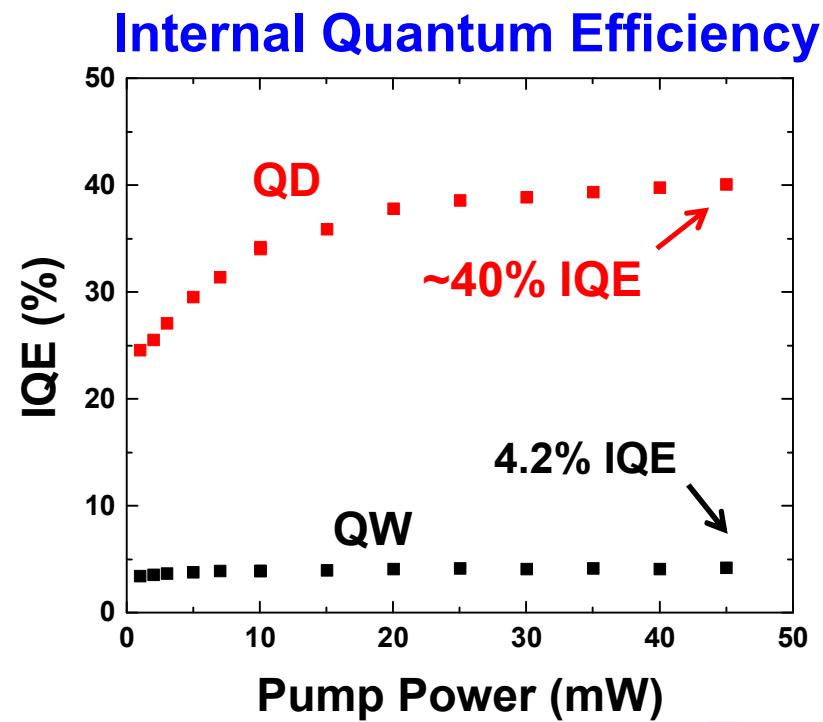
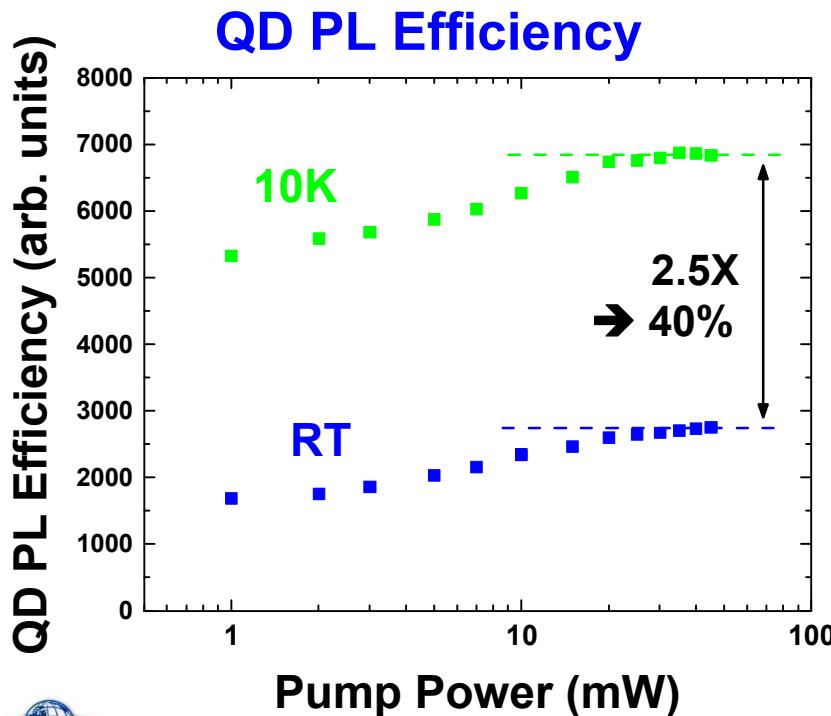


InGaN QD internal quantum efficiency

Capped InGaN QW

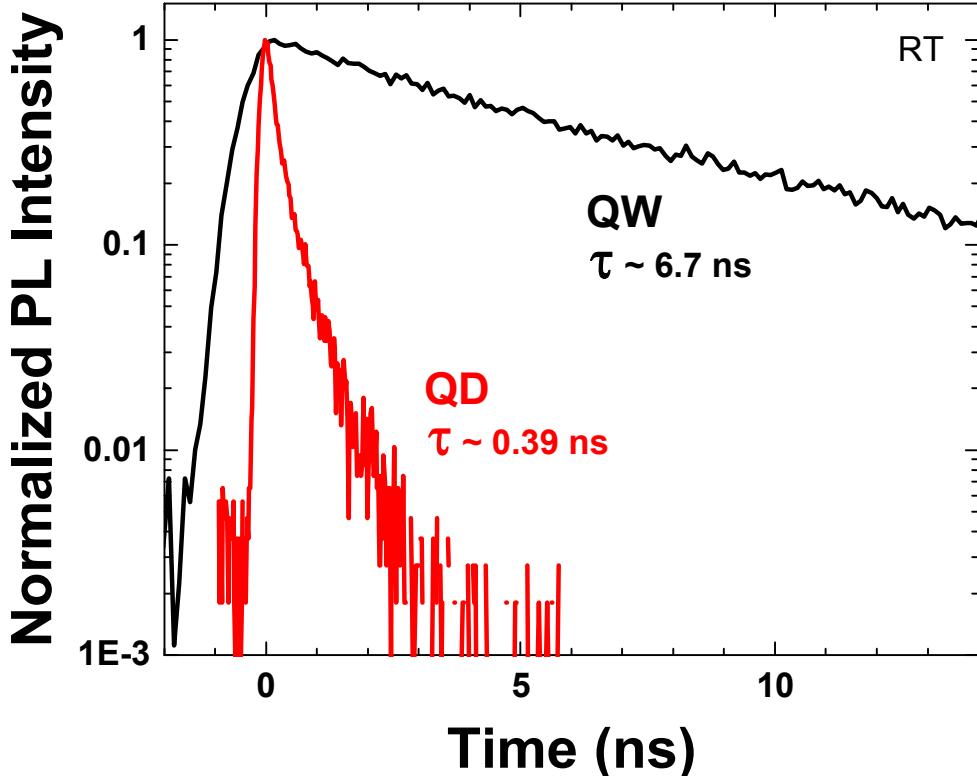


- Compare 10K and RT PL efficiency
- Assumes 10K PL is 100% efficient
- PL Intensity drops by >100X after QD etching
- IQE goes up by almost 10X after QD etching
- QDs are expected to have better IQE



Time-resolved PL data from InGaN QDs

Capped InGaN QW

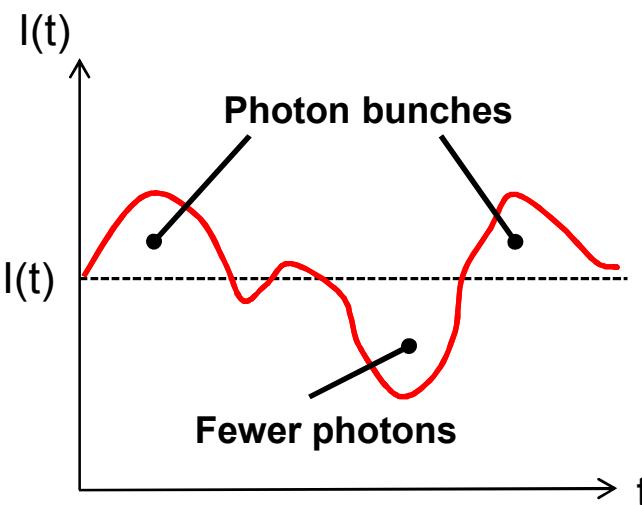


TRPL data:

- 405 nm pump (~ 2 ps, pulsed)
- Resonant pumping into InGaN
- Room temperature TRPL data
- Hamamatsu streak camera data
- 17X change in PL lifetime
- Lifetime is expected to be much shorter for QDs
- Shows that we have fundamentally changed the InGaN material
- $\text{QW} \rightarrow \text{QDs}$

Single Photons for Weak absorption Measurements

- Perfectly coherent light source has shot noise
 - Poissonian photon statistics (random)
- Single photon source can beat the photon shot limit
 - Sub-Poissonian photon statistics (anti-bunched)
 - Regular emission of single photons is very low noise
- Single photon sources deliver amplitude squeezed light
 - Uncertainty relationship between amplitude and phase
 - Field amplitude if precisely known, but phase is unknown
- Low noise source allows very precise absorption measurement
 - For large transmissions and good detectors



Adapted from "Quantum Optics: An Introduction" by Mark Fox

$$St. Dev(Coherent) = \sqrt{xMT}$$
$$St. Dev(Single photon) = \sqrt{xMT(1 - xT)}$$

M = number of incident photons

T = transmission coefficient

x = detector QE

$$\frac{Var T (SP)}{Var T (C)} = 1 - 2x \frac{T}{1 + T}$$

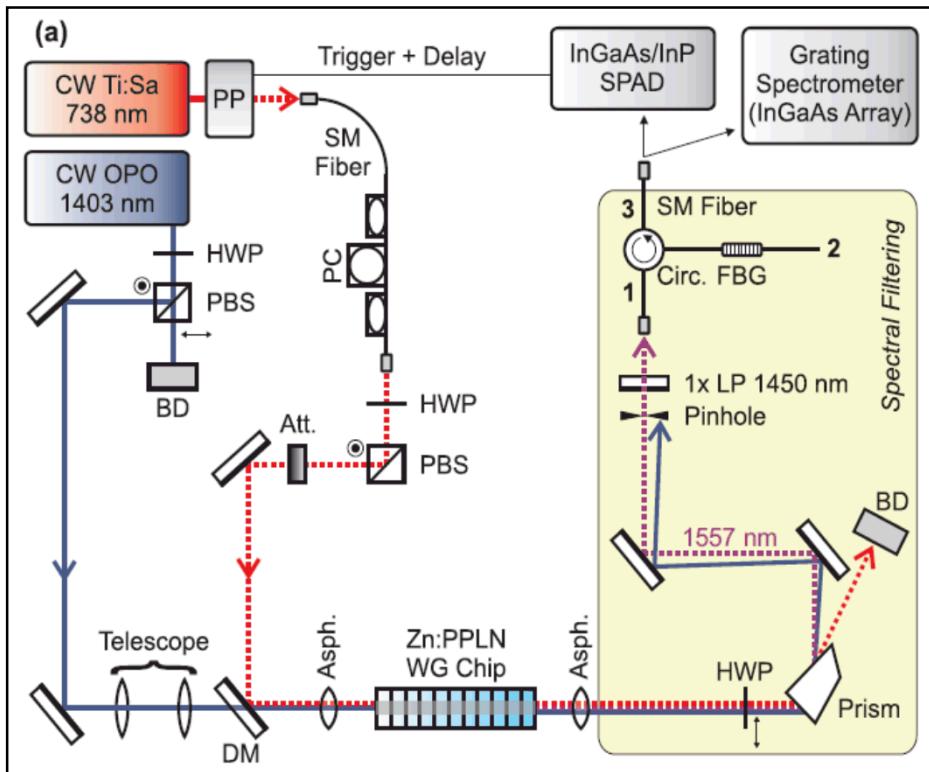
Analysis from B. Lounis et al., "Single Photon Sources," Rep. Prog. Phys. **68**, 1129 (2005).

Frequency Conversion: 480 nm to 1.5 μ m

Quantum Frequency Conversion:

738 nm to 1557 nm

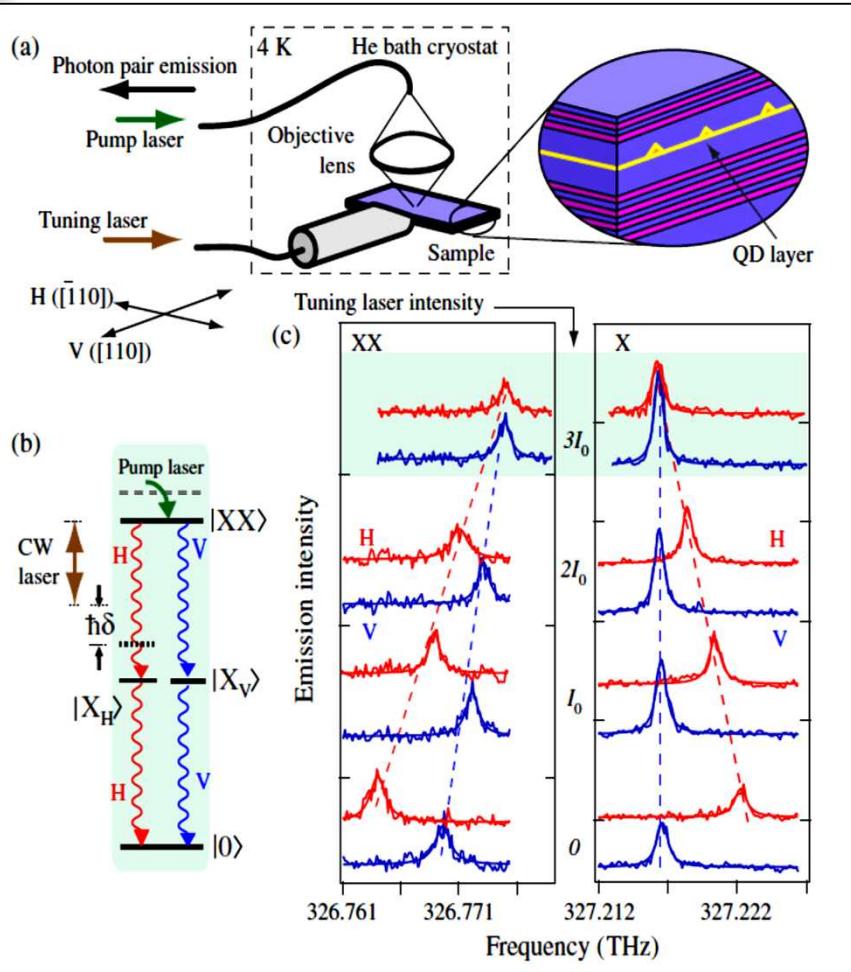
73% Quantum Efficiency



S. Zaske et al., Optics Express **19**, 12825 (2011)

- **Quantum frequency conversion is possible**
- 73% efficiency demonstrated
- Single photon statistics are preserved
- Conversion is desired since single photon detectors work best in the visible.
- Use periodically-poled lithium niobate
- Requires a high power pump laser
- QD-based SPS
 - 64% Quantum Efficiency
 - $711 \text{ nm} \rightarrow 1313 \text{ nm}$
 - S. Zaske et al., PRL **109**, 147404 (2012).
- Frequency conversion could be incorporated on chip

QD entangled photons: Biexciton cascade



A. Muller et al., PRL 103, 217402 (2009)

- **Biexciton cascade: two bound excitons decay radiatively**
- Can create a polarization entangled photon pair
- Exciton fine structure can destroy entanglement
- Use external perturbation to align levels
 - Applied Electric field
 - Applied Stress
 - Incident CW Laser
 - Strong coupling- Cavity QED
- Only demonstrated for InAs QDs at low temperature
- **Should be possible for GaN (RT?)**
- **Potential for chip-based entangled photons**