

# Tin Whisker Mitigation Methods

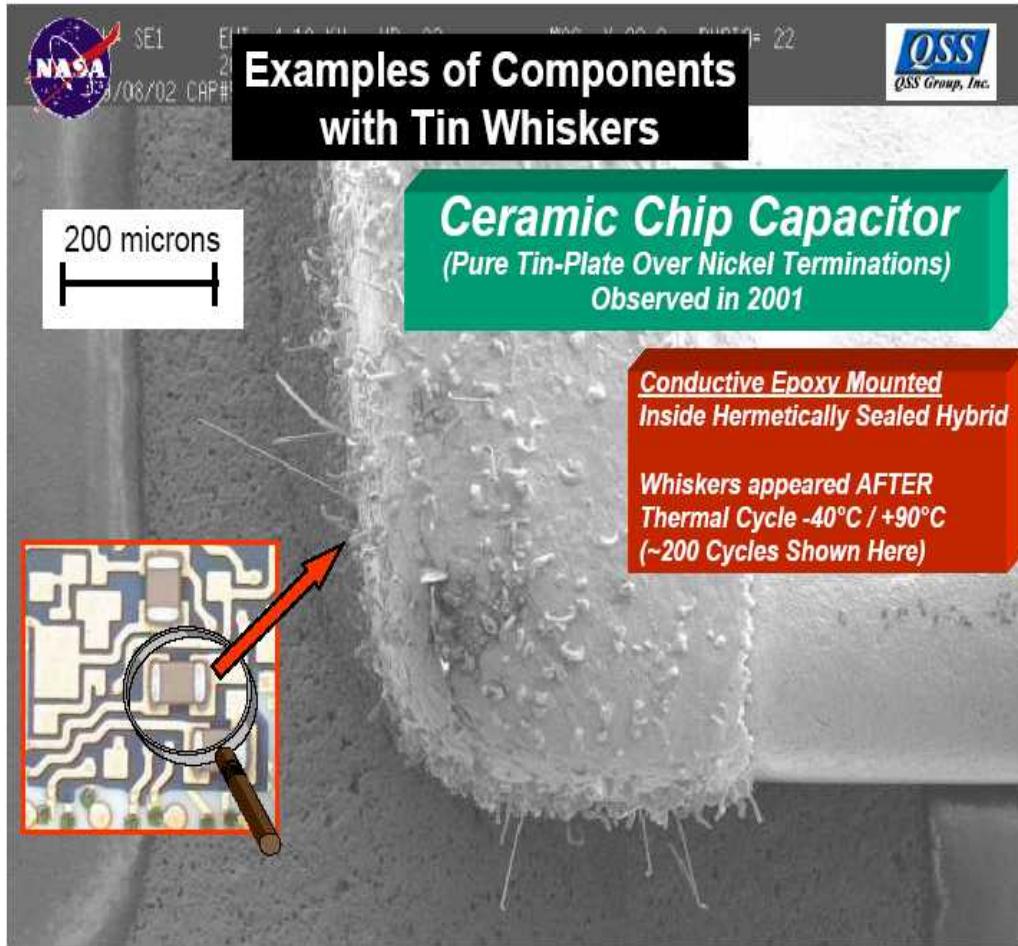
11<sup>th</sup> International  
**COMPONENTS for MILITARY and SPACE ELECTRONICS CONFERENCE**  
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**Acknowledgements: SEM photos from ASI (Analytical Solutions, Inc.), Albuquerque, NM and  
Mounted parts from NNSA's Kansas City Plant Operated by Honeywell FM&T**

# Introduction Tin Whiskers - Example



## What are Tin Whiskers?

Hair-like structures of tin that may grow spontaneously from tin finishes.

- The fundamental mechanisms of tin whisker growth are not fully understood.

Tin whiskers are highly suspected in the costly failure of a number of electronic systems: Military Aircraft, Missiles, Satellites, Heart Pacemakers, etc....

The drive to eliminate lead (Pb) from electronics has resulted in the use of pure tin (Sn) finishes as a lead-free plating option.



# Tin Whisker Mitigation Purpose of Experiments

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## Purpose

Component engineers receive components with pure tin plating. Whisker growth and mitigation studies are performed on these components. By evaluating proven mitigation measures, one can assess the risk of whisker propensity, growth rates and lengths to the given application. From the results of these experiments, recommendations on the mitigation methods and risk assessment for use “as-Is” on the parts are made.



# Methods used at SNL to evaluate Tin Whisker Mitigation

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- **SOLDER WICKING** – Normal reflow process for mounting parts on boards
- **FUSING** 230 C for one minute  
Heat treat in the range of 232 C where the tin fully melts
- **ANNEALING** 150 C for 1 hour  
Heat treat at a lower Temp than fusing but longer
- **Hot Solder Dip (HSD)**  
Dip termination ends into hot tin/lead solder bath
- **AEM Plating\***  
Plating with subsequent lead mixing process performed by AEM, Inc.,  
San Diego, CA

\* Reference to a commercial product implies neither an endorsement by Sandia National Laboratories nor a lack of suitable substitutions



# Conditions used in these Experiments to promote Whisker Growth

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## iNEMI Test Conditions

### Temperature Cycling (TC)

- 40C to 85C at 10 minute dwell time

Whisker inspections performed at intervals of 500, 1000 and 2000 cycles

### Temperature & Humidity (HAST)

60 C at 93% RH

Whisker inspections performed at 800 hrs and 1500 hrs.

### Storage

### Long Term Storage (LTS)

Parts stored in a container at continuous ambient rooftop conditions at SNL.

Ref: CMSE Paper “Long Term Dormant Storage Experiment...” by John Lopez

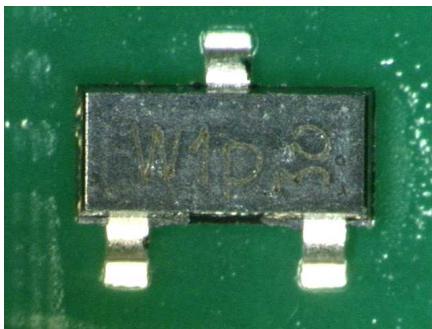
Whisker inspections performed at 4, 8, 12, 18 and 24 months

**Note: Parts not biased in tests**



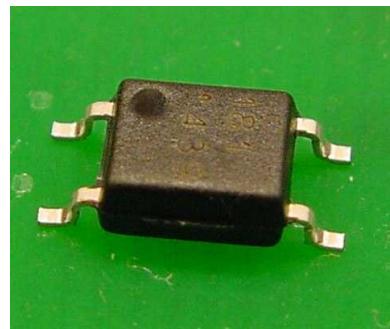
# Parts used in these Experiments

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Transistor

Package size (mm)  
= 3.0x 1.4w x 1.0h  
Case Type: SOT23  
Three leaded package  
Tin plated matte finish lead  
Fe60%, Ni40% base material  
Mitigation Methods  
Solder Wicking  
Fusing  
Annealing



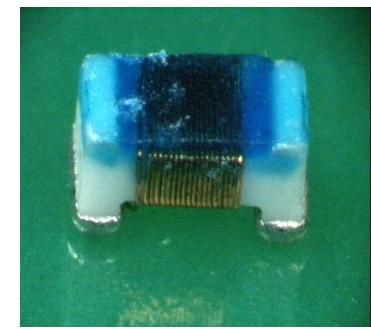
OPTO Coupler

Package size (mm)  
= 4.40L x 3.75w x 2.00h  
Case Type: SO4  
Four leaded package  
Tin Plated Matte Finish lead  
Cu97%, Fe3% base material  
Mitigation Methods  
Solder Wicking  
Fusing  
Annealing



Capacitor

Package size (mm)  
= 3.3L x 2.6W x 2.0H  
Chip Component with  
5-sided Tin plated  
Termination Ends with  
middle Ni barrier and an  
interior Cu termination  
Mitigation Methods  
Solder Wicking  
AEM Plating  
HSD

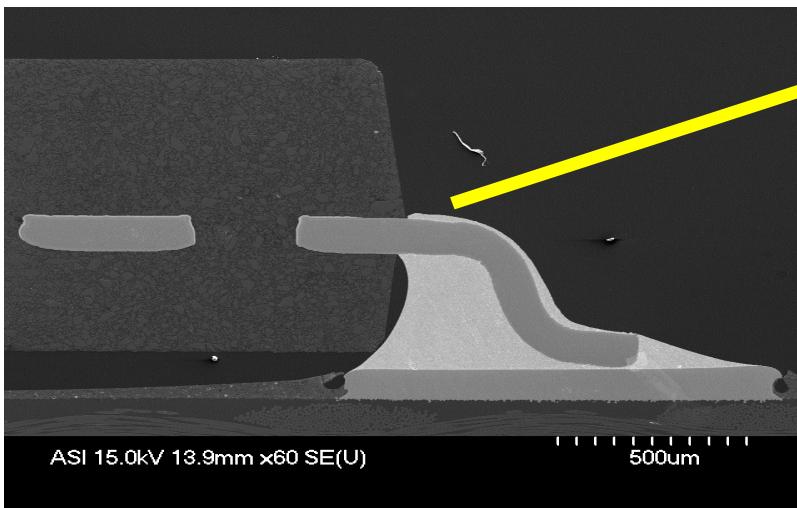


Coli Inductor

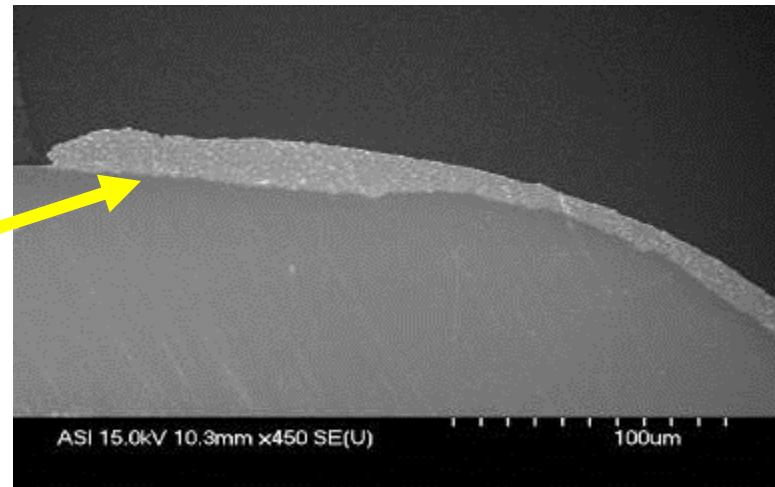
Package size (mm)  
= 1.6L x .80w x .80h  
Chip Component with  
5-sided Tin plated  
Termination Ends with  
middle Ni barrier and an  
interior Ag termination  
Mitigation Methods  
Solder Wicking  
AEM Plating  
Fusing

# Tin Whisker Mitigation – Solder Wicking SOT23 Transistor

Cross Section Shows Pb/Sn Solder Wicking Coverage to Package Entry



Wicking results in an average of 19% Pb in top region (27 part sample)



## Concerns:

May only be true for short, stubby leads like SOT23.

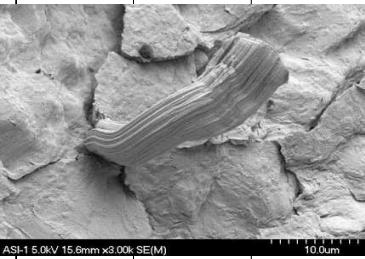
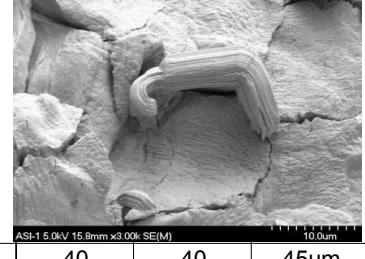
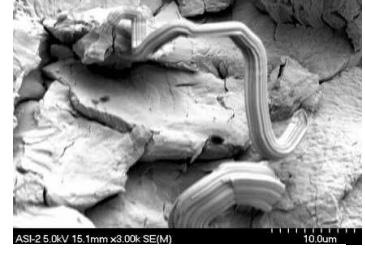
Is it consistent for all SOT23 parts?

Will this happen with other types of leads?

**3% Pb coverage suggested to eliminate tin whisker growth**

# Solder Wicking Results at 1000 Temperature Cycles

## SOT23 Transistor - Loose

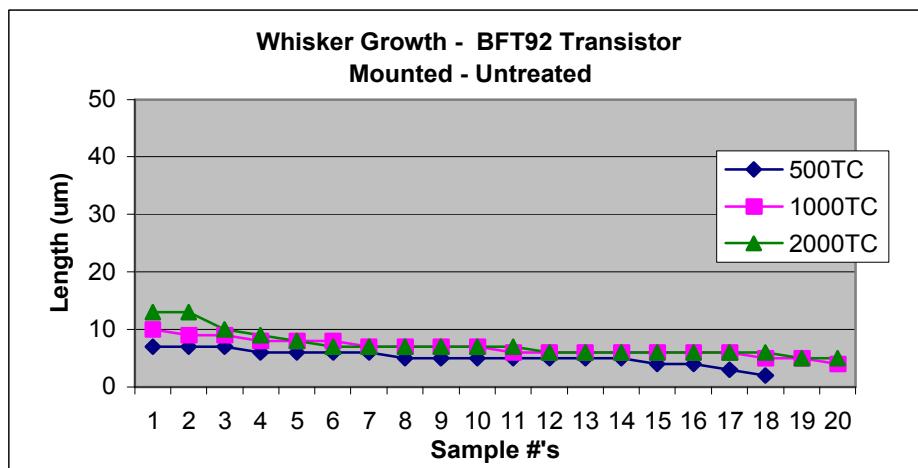
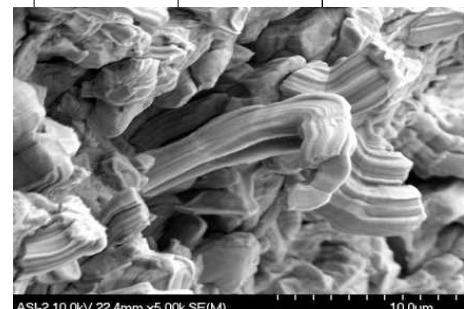
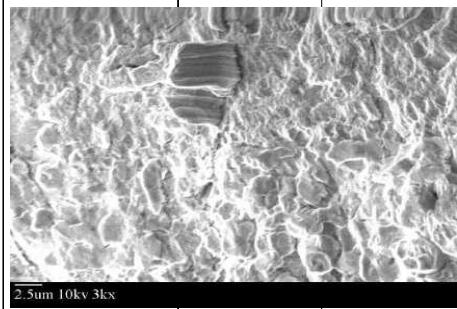
Status	Test	500 Temp Cycles			1000 Temp Cycles			Whisker lengths noted are “longest” found in group																																							
		# of leads inspected	# of leads with whiskers	Longest whisker	# of leads inspected	# of leads with whiskers	Longest whisker																																								
Loose	Fused	60	3	7um	40	40	25um	 <p>Whisker growth - BFT92 Transistor FUSED</p> <table border="1"> <caption>Whisker growth data for FUSED BFT92 Transistor</caption> <thead> <tr> <th>Sample #</th> <th>500TC (um)</th> <th>1000TC (um)</th> </tr> </thead> <tbody> <tr><td>1</td><td>7</td><td>25</td></tr> <tr><td>3</td><td>6</td><td>12</td></tr> <tr><td>5</td><td>6</td><td>10</td></tr> <tr><td>7</td><td>6</td><td>10</td></tr> <tr><td>9</td><td>6</td><td>10</td></tr> <tr><td>11</td><td>6</td><td>10</td></tr> <tr><td>13</td><td>6</td><td>10</td></tr> <tr><td>15</td><td>6</td><td>10</td></tr> <tr><td>17</td><td>6</td><td>10</td></tr> <tr><td>19</td><td>6</td><td>10</td></tr> </tbody> </table>	Sample #	500TC (um)	1000TC (um)	1	7	25	3	6	12	5	6	10	7	6	10	9	6	10	11	6	10	13	6	10	15	6	10	17	6	10	19	6	10						
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Loose	Annealed	40	5	8um	40	40	27um	 <p>Whisker Growth - BFT92 Transistor ANNEALED</p> <table border="1"> <caption>Whisker growth data for ANNEALED BFT92 Transistor</caption> <thead> <tr> <th>Sample #</th> <th>500TC (um)</th> <th>1000TC (um)</th> </tr> </thead> <tbody> <tr><td>1</td><td>8</td><td>22</td></tr> <tr><td>2</td><td>7</td><td>18</td></tr> <tr><td>3</td><td>6</td><td>15</td></tr> <tr><td>4</td><td>5</td><td>15</td></tr> <tr><td>5</td><td>4</td><td>15</td></tr> <tr><td>7</td><td>4</td><td>15</td></tr> <tr><td>9</td><td>4</td><td>15</td></tr> <tr><td>11</td><td>4</td><td>15</td></tr> <tr><td>13</td><td>4</td><td>10</td></tr> <tr><td>15</td><td>4</td><td>10</td></tr> <tr><td>17</td><td>4</td><td>10</td></tr> <tr><td>19</td><td>4</td><td>10</td></tr> </tbody> </table>	Sample #	500TC (um)	1000TC (um)	1	8	22	2	7	18	3	6	15	4	5	15	5	4	15	7	4	15	9	4	15	11	4	15	13	4	10	15	4	10	17	4	10	19	4	10
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Loose	Controls	40	40	22um	40	40	45um	 <p>Whisker Growth - BFT92 Transistor CONTROLS</p> <table border="1"> <caption>Whisker growth data for CONTROLS BFT92 Transistor</caption> <thead> <tr> <th>Sample #</th> <th>500TC (um)</th> <th>1000TC (um)</th> </tr> </thead> <tbody> <tr><td>1</td><td>22</td><td>48</td></tr> <tr><td>3</td><td>18</td><td>32</td></tr> <tr><td>5</td><td>12</td><td>25</td></tr> <tr><td>7</td><td>10</td><td>22</td></tr> <tr><td>9</td><td>8</td><td>18</td></tr> <tr><td>11</td><td>7</td><td>15</td></tr> <tr><td>13</td><td>6</td><td>12</td></tr> <tr><td>15</td><td>5</td><td>10</td></tr> <tr><td>17</td><td>4</td><td>10</td></tr> <tr><td>19</td><td>3</td><td>10</td></tr> <tr><td>21</td><td>2</td><td>5</td></tr> <tr><td>23</td><td>2</td><td>5</td></tr> </tbody> </table>	Sample #	500TC (um)	1000TC (um)	1	22	48	3	18	32	5	12	25	7	10	22	9	8	18	11	7	15	13	6	12	15	5	10	17	4	10	19	3	10	21	2	5	23	2	5
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Note: Inspections for whiskers performed on SEM

# Solder Wicking Results at 2000 Temperature Cycles

## SOT23 Transistor - Mounted

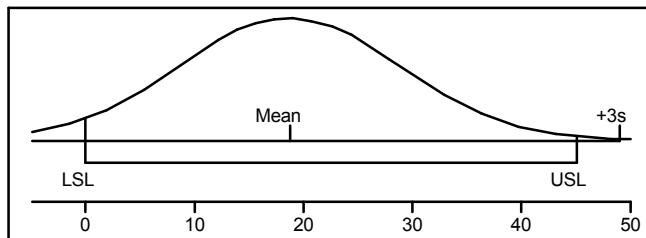
		500 Temp Cycles			1000 Temp Cycles			2000 Temp Cycles		
Status	Test	# of leads inspected	# of leads with whiskers	Longest whisker	# of leads inspected	# of leads with whiskers	Longest whisker	# of leads inspected	# of leads with whiskers	Longest whisker
Mounted	As is	20	20	7um	36	36	10um	30	30	13um



# Whisker Growth Summary for SOT23 Temperature Cycling

## Capability Analysis for different mitigation methods at 1000TC

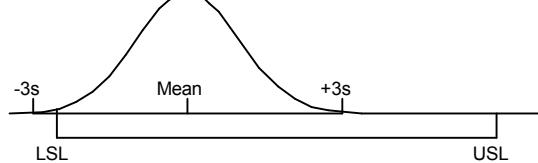
Controls- Loose 3s



Note: 45um used as upper limit. Per JESD201 acceptance criteria for maximum allowable whisker length for Temperature cycling.

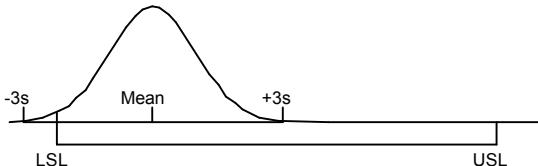
Mean	18.59
Std Dev	10.130
N	22

Annealed- Loose



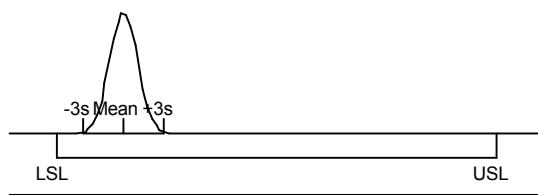
Mean	13.35
Std Dev	5.271
N	14

Fused- Loose



Mean	9.75
Std Dev	4.423
N	20

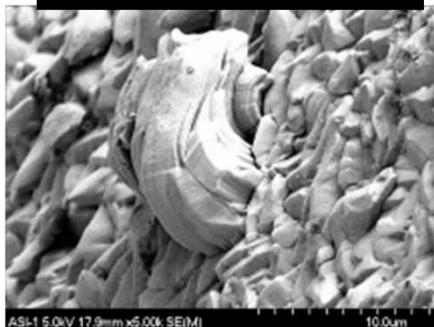
Solder Wicking  
As-is - Mounted



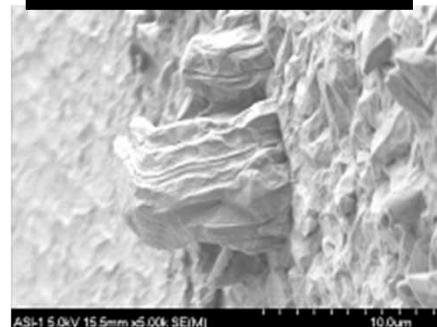
Mean	6.75
Std Dev	1.409
N	20

# HAST (Temp & Humidity) at 1500 hours SOT23 Transistor – Loose parts

Controls – 15um



Annealed – 10um

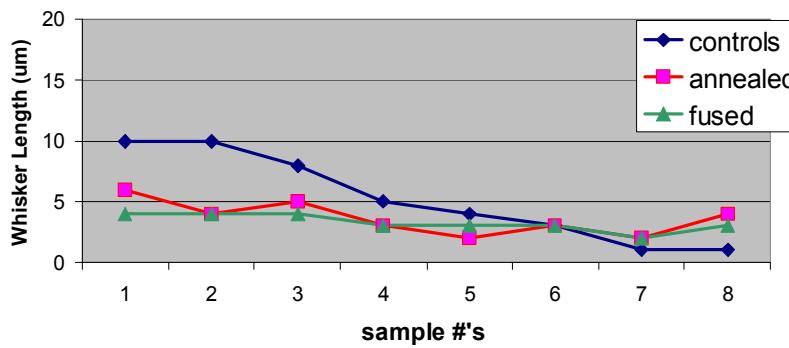


Fused – 9um

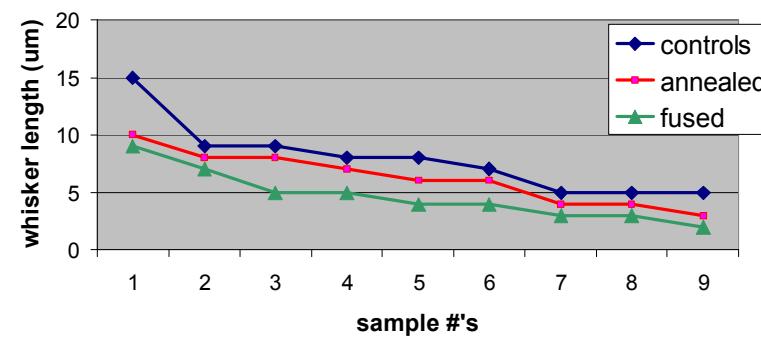


Photos taken at 1500 hrs.

SEM INspection - 700 Hrs. HAST  
SOT23 Transistors - Loose Parts



SEM Inspection - 1500 Hrs. HAST  
SOT23 Transistor - Loose Parts



Note: 1500 hrs. in process for mounted parts

# Tin Whisker Mitigation – SOT23 Transistors Fusing (Loose) / Long Term Storage - Results

Inspection at 2 and 4 months: **No whiskers**

Inspection at 8, 12, 18 and 24 months:

**220 C for 1 minute - WHISKERS! (5/5)**

**230 C for 1 minute - No Whiskers (0/5)**

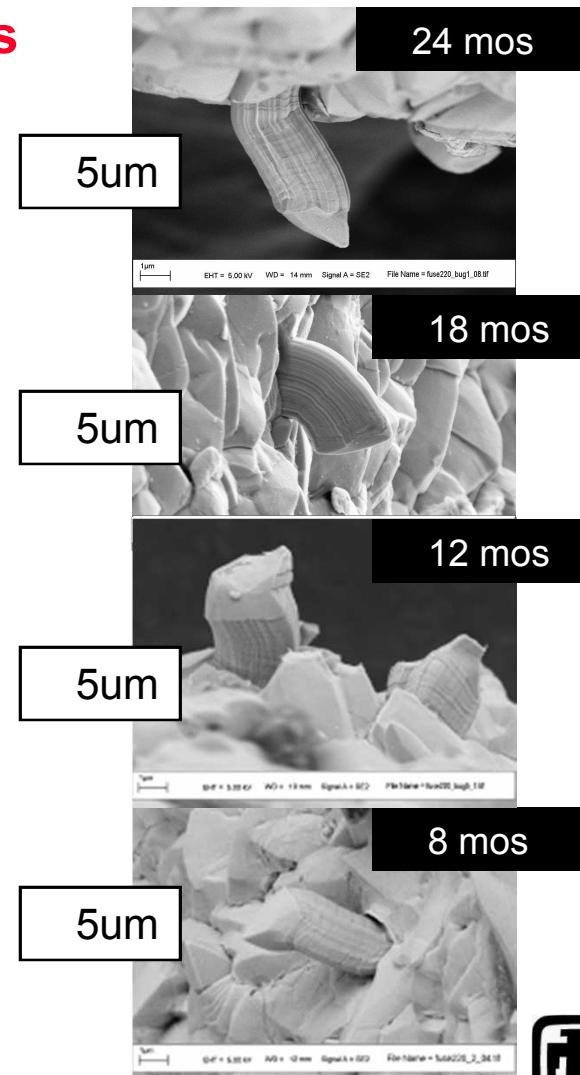
**240 C for 1 minute - No Whiskers (0/5)**

**240 C for 30 minutes - No whiskers (0/5)**

**No continuous growth (5um) noted on whiskers from 8 to 24 months for parts fused at 220 C – See photos**

**No whiskers found at 24 months on parts fused at 230 C or above.**

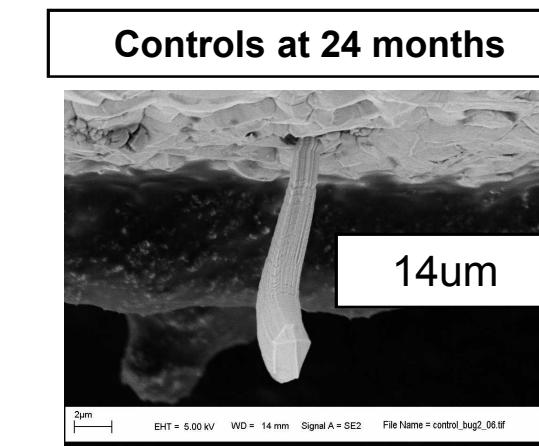
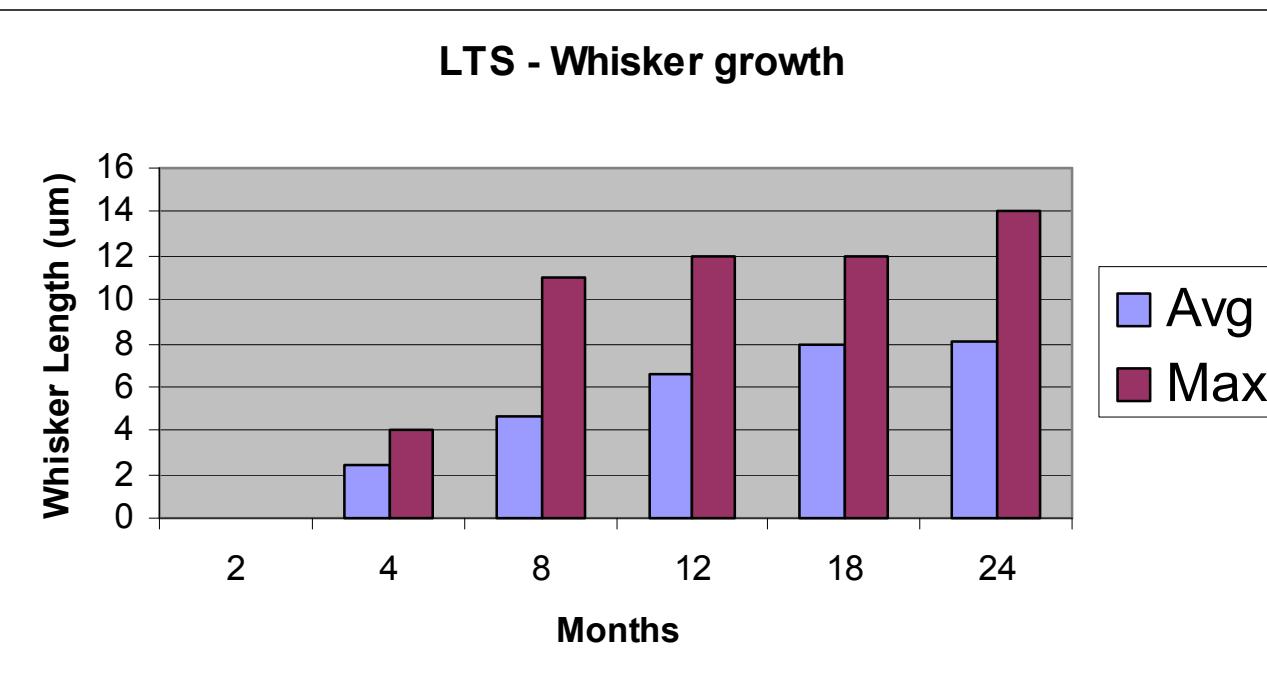
**Testing is on-going for Long Term Storage evaluation**



# Long Term Storage Whisker Growth results at 24 months – SOT 23 Transistor

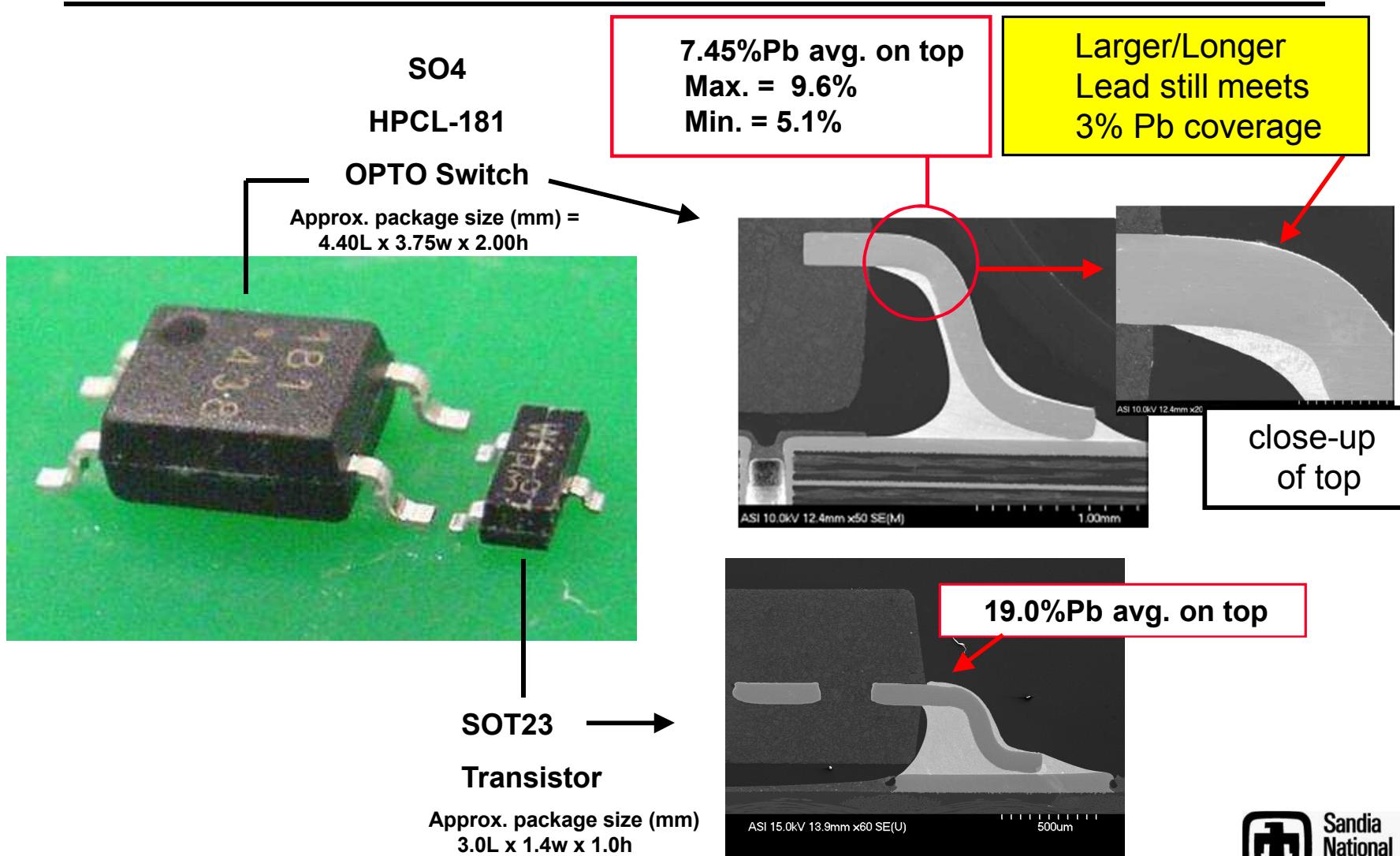
**SOT23 Transistor parts inspected for whisker growth  
at 2, 4, 8, 12, 18 and 24 months.**

**These are control parts – untreated /as-is loose parts.**

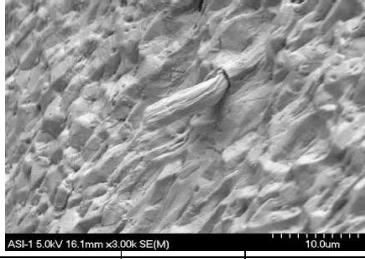
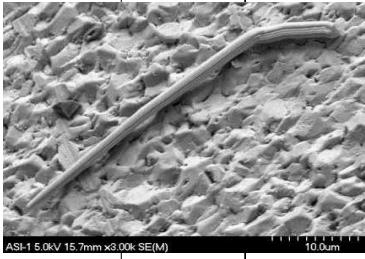


# Tin Whisker Mitigation - S04

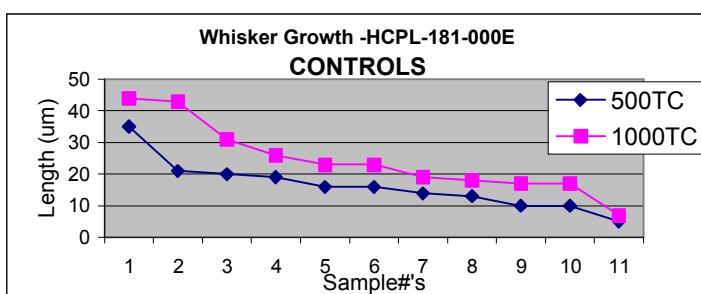
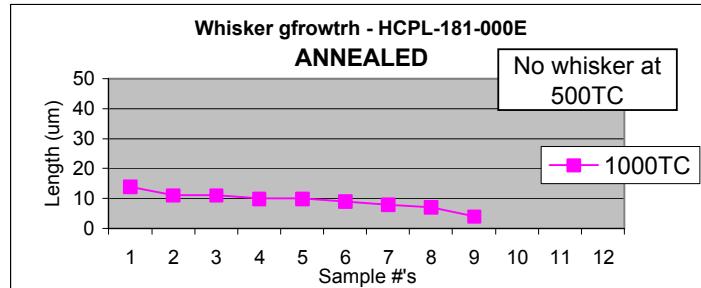
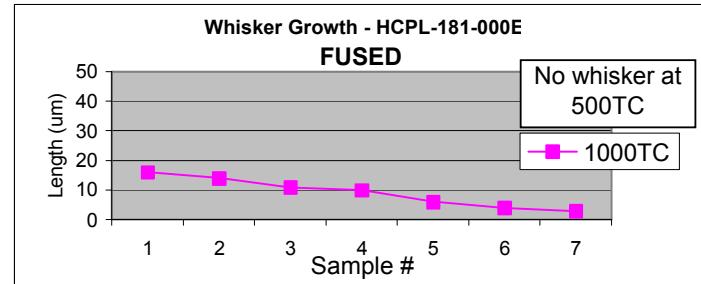
## Solder Wicking - Lead Comparison



# Heat Treat Results at 1000 Temperature Cycles SO4 OPTO Coupler - Loose

		500 Temp Cycles			1000 Temp Cycles				
Status	Test	# of Leads inspected	# of Leads with whiskers	Longest whisker	# of Leads inspected	# of Leads with whiskers	Longest whisker		
Loose	Fused	50	0	0	25	13	16um	 <p>ASL-2 5.0kV 14.4mm x5.00k SE(M) 10.0μm</p>	
Loose	Annealed	40	0	0	20	18	11um	 <p>ASL-1 5.0kV 16.1mm x3.00k SE(M) 10.0μm</p>	
Loose	Controls	40	40	35um	20	20	44um	 <p>ASL-1 5.0kV 15.7mm x3.00k SE(M) 10.0μm</p>	

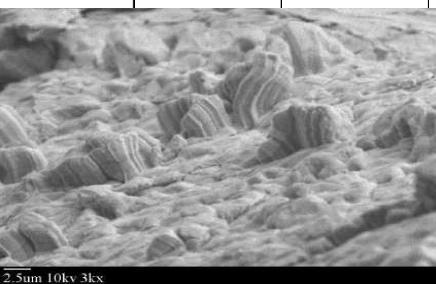
Note: For both leaded parts (SOT23 & SO4), no whisker growth or very minimal growth found at 500TC for the treated loose parts. Growth is more evident and defined at 1000TC. Will continue to 2000TC.



# Heat Treat Results at 2000 Temperature Cycles

## SO4 OPTO Coupler - Mounted

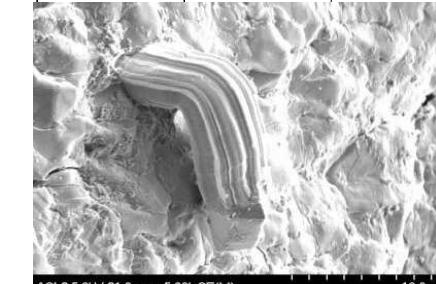
Status	Test	500 Temp Cycles			1000 Temp Cycles			2000 Temp Cycles		
		# of Leads inspected	# of Leads with whiskers	Longest whisker	# of Leads inspected	# of Leads with whiskers	Longest whisker	# of Leads inspected	# of Leads with whiskers	Longest whisker
Mounted	As is	50	50	7um	72	72	11um	40	40	14um



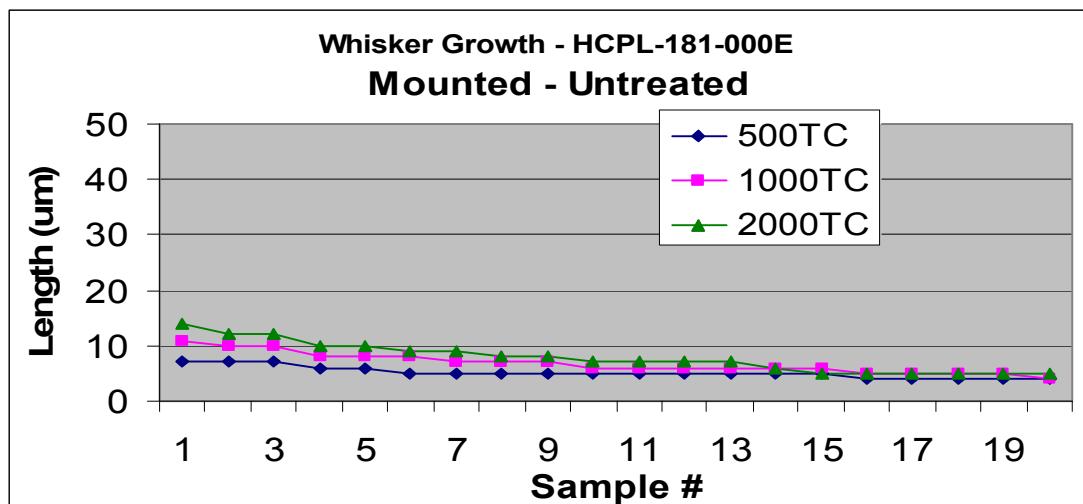
2.5um 10kv 3kx



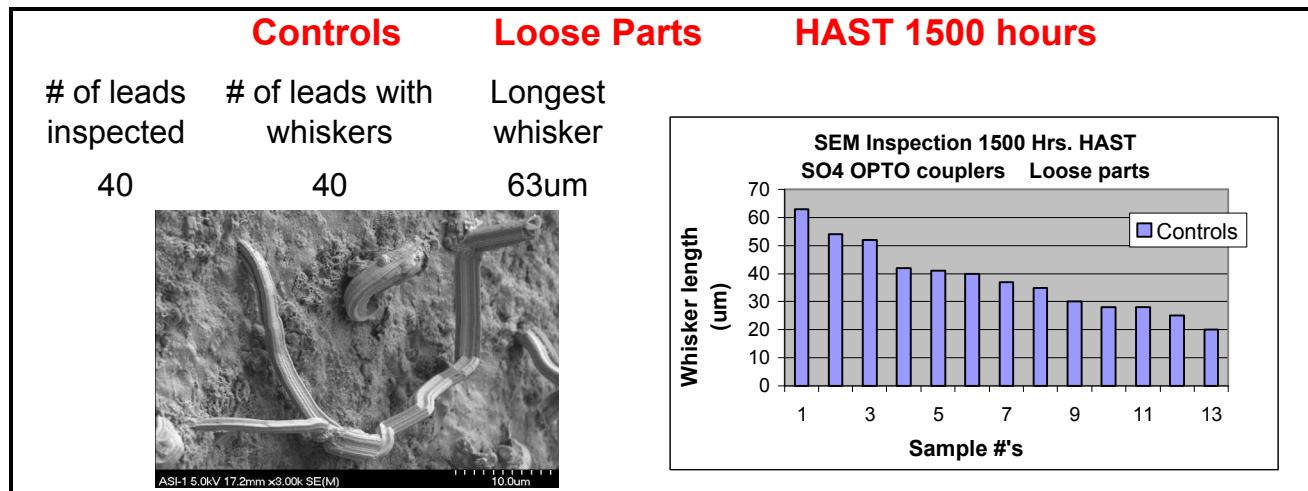
ASI-1 2.0kV 12.9mm x3.00k SE(M) 10.0um



ASI-2 5.0kV 21.9mm x5.00k SE(M) 10.0um



# HAST (Temp & Humidity) Results SO4 OPTO Coupler

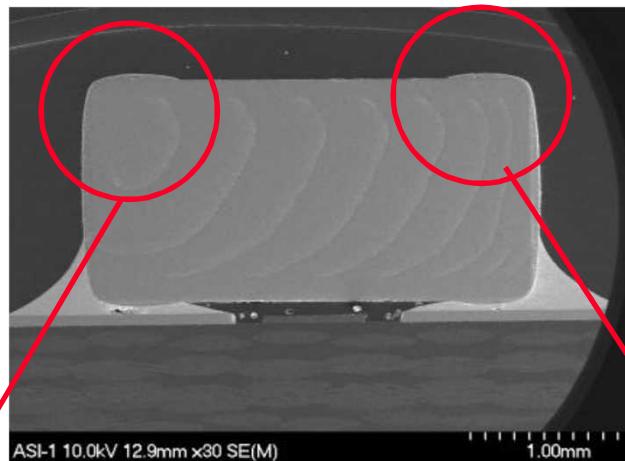


**Solder wicking appears to be effective in eliminating tin whisker growth for the SO4 package under iNEMI Temperature and Humidity recommended conditions at 800 hours. Will re-inspect at 1500 hrs.**

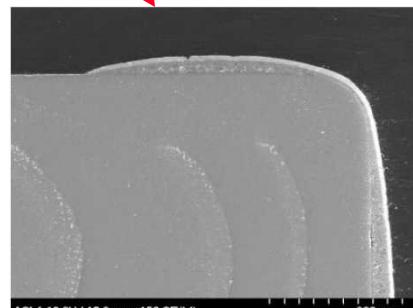
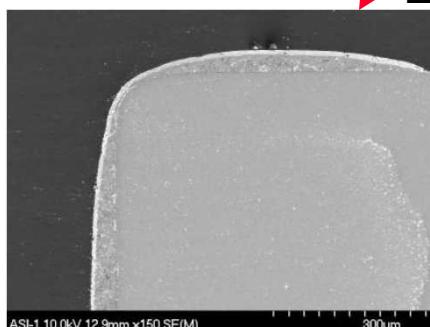
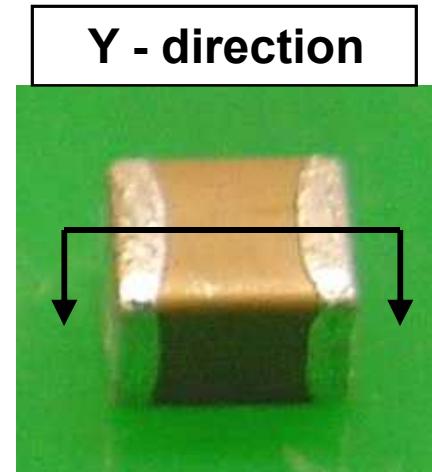


# Tin Whisker Mitigation – Capacitor Solder Wicking on Termination Ends

Cross sections were made on the end termination solder joints in the X and Y direction.



Y - direction



Further close-up view on questionable corners.

EDS revealed 100% Sn still on top after soldering



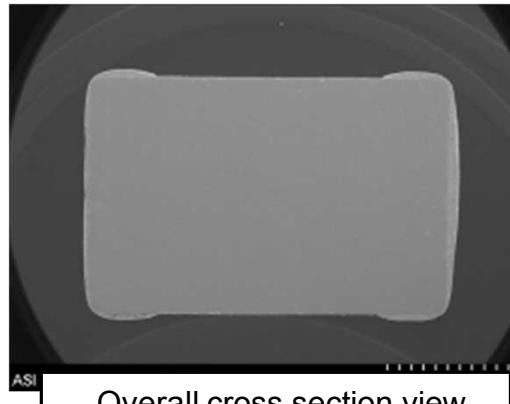
# Tin Whisker Mitigation - Capacitors

## AEM Plating

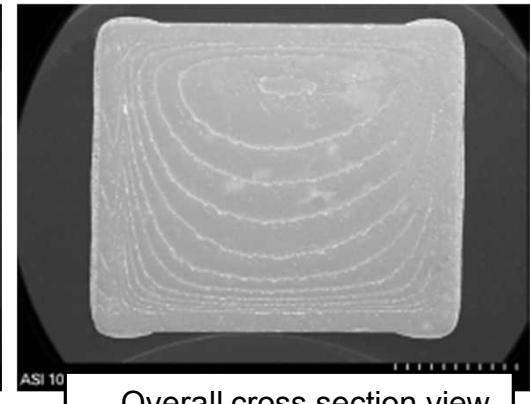


**Cross-sectioned in two directions to assure complete solder coverage**

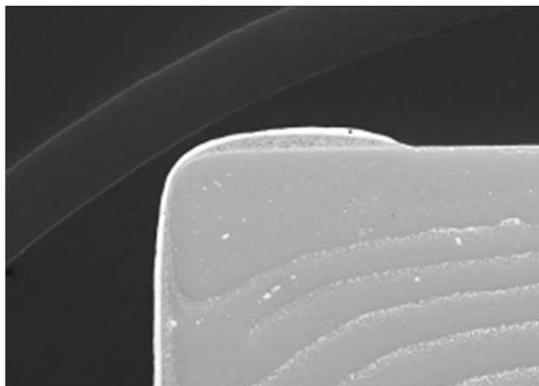
**Note: Electrical tests performed after plating to assure parts still functional**



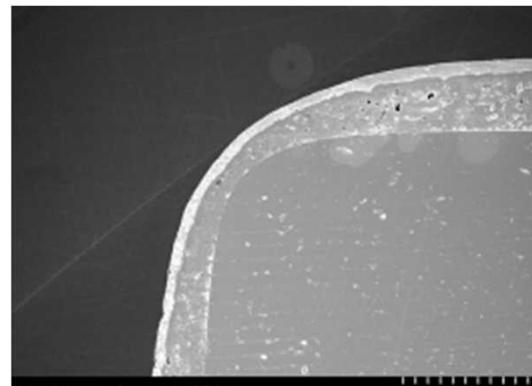
Overall cross section view in the Z-direction.



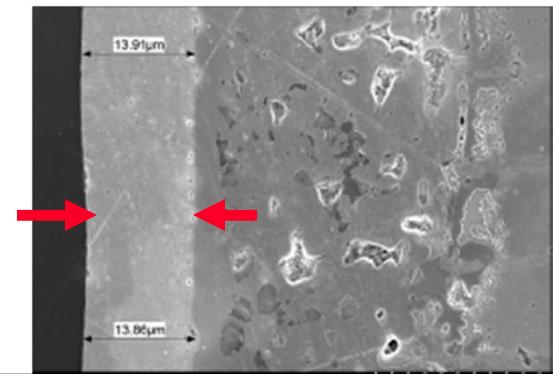
Overall cross section view in the Y-direction.



Close-up view showing the plating coverage beyond the tin surface



Detail view showing the plating coverage around the corner of the capacitor.

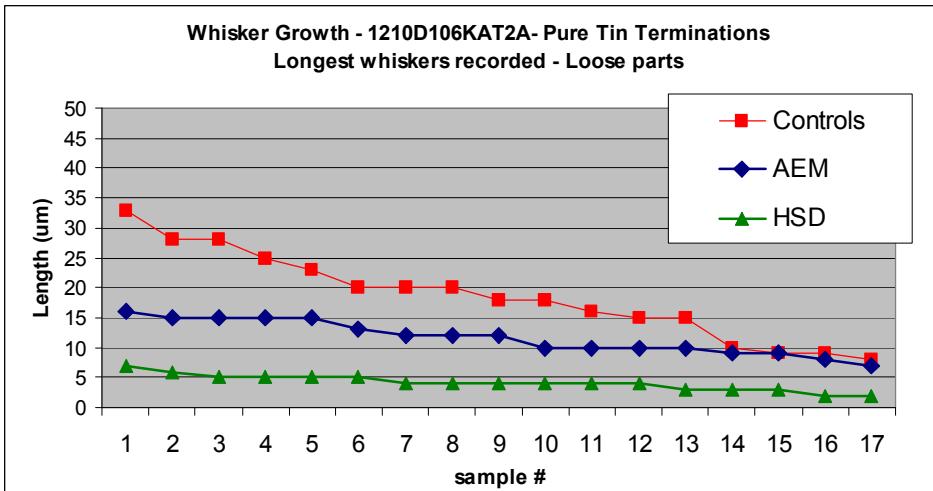
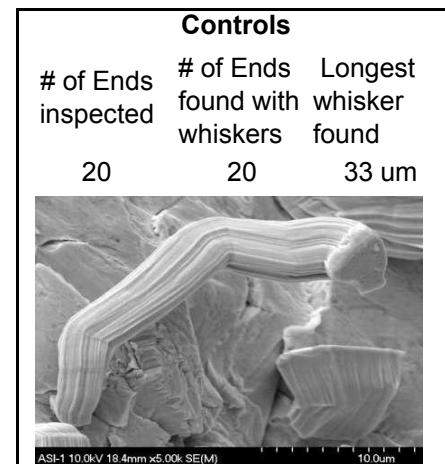
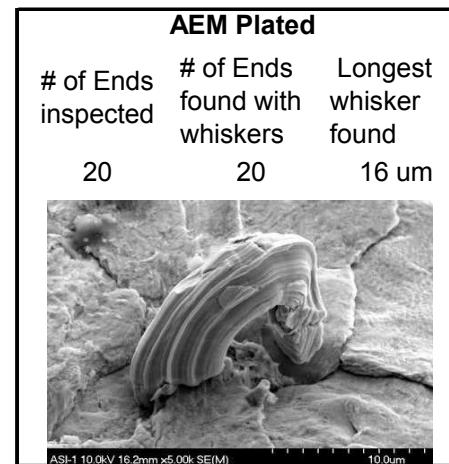
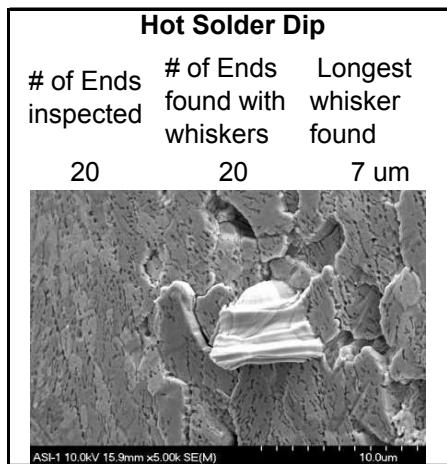


Typical close-up view showing the plating on the termination side.  
Thickness Approx. 13um

# Results

## Hot Solder Dip (HSD), AEM Plated and Controls Capacitors - Temperature Cycling – Loose parts

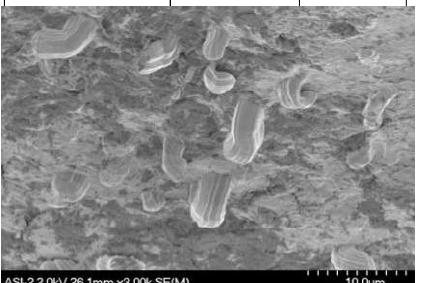
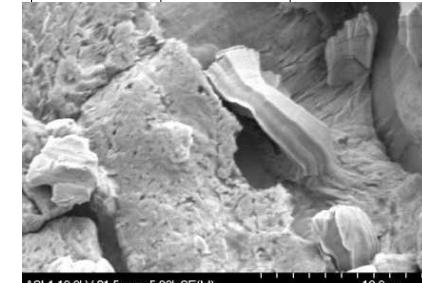
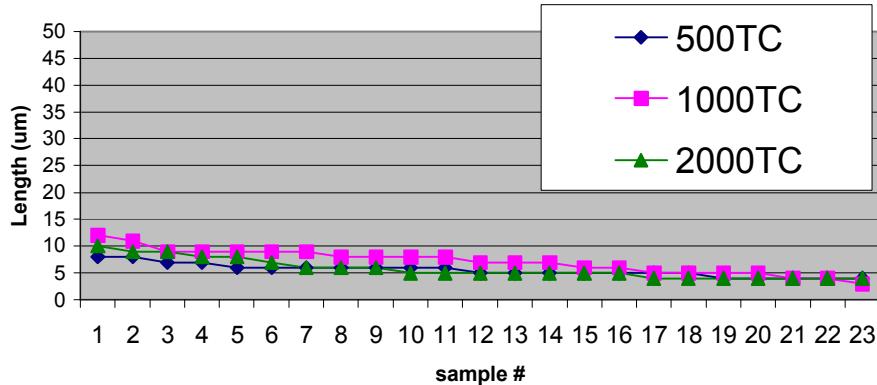
### Parts exposed to 1000 Temperature Cycles



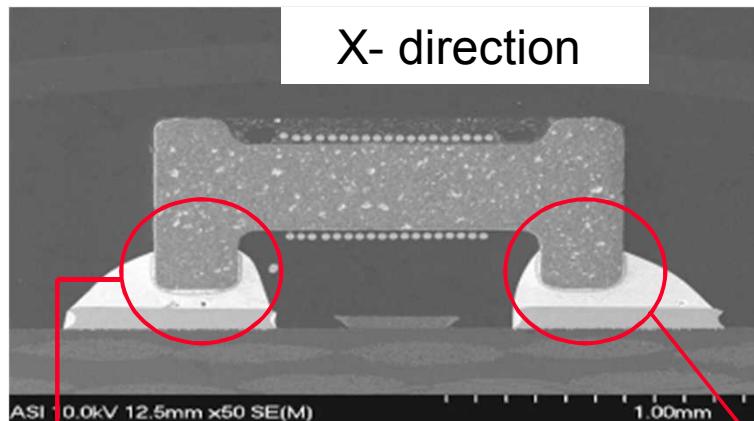
Plating and HSD seems to stunt the growth.  
Whiskers are short and not very well defined.  
Will continue to 2000TC and re-inspect.

# Results - AEM Plated Mounted Capacitors

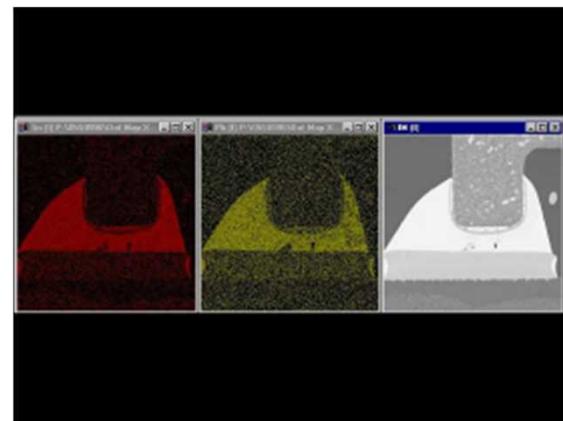
## Temperature Cycling

		500 Temp Cycles			1000 Temp Cycles			2000 Temp Cycles																																																																																																		
Status	Test	# of End Terminations inspected	# of Ends with whiskers	Longest whisker	# of End Terminations inspected	# of Ends with whiskers	Longest whisker	Terminations inspected	# of Ends with whiskers	Longest whisker																																																																																																
Mounted	12103D106 AEM Plated	30	30	8um	26	26	11um	20	20	10um																																																																																																
   <p>ASI-2 2.0kV 26.1mm x3.00k SE(M) 10.0um</p> <p>ASI-1 2.0kV 14.0mm x3.00k SE(M) 10.0um</p> <p>ASI-1 10.0kV 21.5mm x5.00k SE(M) 10.0um</p>																																																																																																										
<p><b>Whisker Growth - 1210D106KAT2A - AEM Plated</b></p> <p><b>Longest whiskers recorded - Mounted parts</b></p>  <table border="1"> <caption>Estimated data from Whisker Growth graph</caption> <thead> <tr> <th>sample #</th> <th>500TC (um)</th> <th>1000TC (um)</th> <th>2000TC (um)</th> </tr> </thead> <tbody> <tr><td>1</td><td>8</td><td>12</td><td>8</td></tr> <tr><td>2</td><td>8</td><td>10</td><td>8</td></tr> <tr><td>3</td><td>8</td><td>8</td><td>8</td></tr> <tr><td>4</td><td>7</td><td>8</td><td>8</td></tr> <tr><td>5</td><td>7</td><td>8</td><td>8</td></tr> <tr><td>6</td><td>6</td><td>8</td><td>7</td></tr> <tr><td>7</td><td>6</td><td>8</td><td>6</td></tr> <tr><td>8</td><td>6</td><td>8</td><td>6</td></tr> <tr><td>9</td><td>6</td><td>8</td><td>6</td></tr> <tr><td>10</td><td>6</td><td>8</td><td>6</td></tr> <tr><td>11</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>12</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>13</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>14</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>15</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>16</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>17</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>18</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>19</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>20</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>21</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>22</td><td>5</td><td>8</td><td>6</td></tr> <tr><td>23</td><td>5</td><td>8</td><td>6</td></tr> </tbody> </table>											sample #	500TC (um)	1000TC (um)	2000TC (um)	1	8	12	8	2	8	10	8	3	8	8	8	4	7	8	8	5	7	8	8	6	6	8	7	7	6	8	6	8	6	8	6	9	6	8	6	10	6	8	6	11	5	8	6	12	5	8	6	13	5	8	6	14	5	8	6	15	5	8	6	16	5	8	6	17	5	8	6	18	5	8	6	19	5	8	6	20	5	8	6	21	5	8	6	22	5	8	6	23	5	8	6
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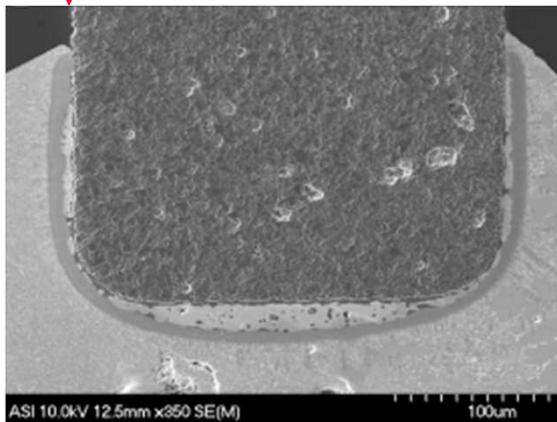
# Tin Whisker Mitigation – Coil Inductor Solder Wicking on Termination Ends



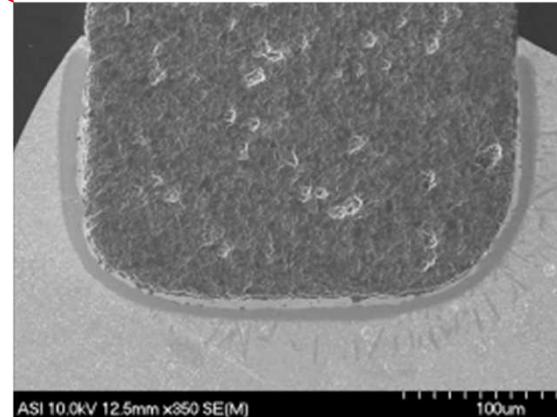
Overall cross section view showing typical inductor in the X-view.



X-ray dot map view showing typical cross sectioned solder connection; good intermixture between Sn and Pb was observed.



Detailed SEM view showing the **LEFT** end termination, covered entirely by the solder joint.



Detailed SEM view showing the **RIGHT** end termination, again covered entirely by the solder joint.

# Results at 1000 & 2000 Temperature Cycles Coil Inductor

		500 Temp Cycles			1000 Temp Cycles			2000 Temp Cycles (mounted only)			
Status	Test	# of End Terminations inspected	# of Ends with whiskers	Longest whisker	# of End Terminations inspected	# of Ends with whiskers	Longest whisker	# of End Terminations inspected	# of Ends with whiskers	Longest whisker	
Loose	Fused	20	20	12um		15	15	15	20	10um	<p>Very minimal growth regardless of test including controls.</p>
Loose	AEM Plated	20	20	10um		15	15	15	20	10um	<p>In all conditions, growth still in the stubble length stage.</p>
Loose	Controls	20	20	14um		15	15	15	20	9um	
Mounted	Un-treated Solder Wicking	36	36	9um		26	26	26	20	9um	



# HAST (Temp & Humidity) SEM Whisker Inspection Results of Chip Components Coil Inductor and Capacitor

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## Capacitor

### 1500 Hours HAST

Inspected 40 **loose** – AEM Plated parts - No whiskers found

### 800 Hours HAST

Inspected 10 **Mounted** – AEM Plated parts - No whiskers found

## Coil Inductor

### 1500 Hours HAST - All Loose parts

AEM Plated – 15 parts inspected - No whiskers found

Fused – 15 parts inspected - No whiskers found

Controls – 15 parts inspected - No whiskers found

Exposure to HAST conditions for 1500 hours produced no whisker growth on Chip Components with Termination Ends



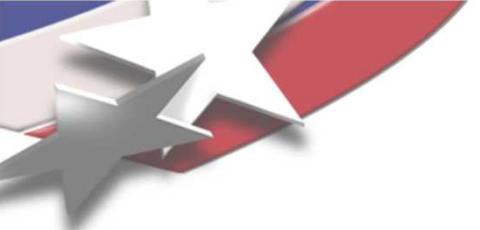
# Conclusion

The best mitigation practice from these experiments showed that solder wicking from reflow works for both parts with leads and end terminations. For all mounted part types, there was no significant whisker growth noted from 500TC to 2000TC. Whisker growth stayed in the stubble stage length exhibiting a low level of reliability risk

Per JESD201 acceptance criteria for maximum allowable tin whisker length is 45um for TC and 20um for HAST. SNL mitigation methods are all within for both the loose and mounted parts.

The best indicator for whisker growth is Temperature Cycling to 1000 cycles. Why?

- Temp. Cycling is more of an indicator of whisker growth than HAST. HAST showed no evidence of whisker growth on parts with termination ends including control parts.
- Advantage of TC vs. HAST: 1000TC (19 days) vs. 1500 hrs. (63 days).
- For both leaded parts, no whisker growth or very minimal growth found at 500TC for the treated loose parts. Growth was more evident and defined at 1000TC.



## Conclusion - continued

Solder wicking, though not 100% throughout the termination ends, combined with AEM plating proved to be an effective mitigation method on the capacitors.

On the coil Inductors whose termination contains a Ag interior layer, parts are not prone to significant growth regardless of test conditions. This includes control parts.

The potential risk of system failure from components plated with 100% tin varies from part to part and therefore each part must be tested individually.

Will proceed to 2000 Temp. cycles & 1500 HAST Hrs. for all parts (loose and mounted) and re-inspect.

Long Term Storage is continuous. A total of two years under field conditions have been completed.