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## What are hot and what are not in an urban landscape: quantifying and explaining the land surface temperature pattern in Beijing, China --Manuscript Draft--

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**What are hot and what are not in an urban landscape: quantifying and explaining the land surface temperature pattern in Beijing, China**

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## **Abstract**

Understanding how landscape components affect the urban heat island is crucial for urban ecological planning and sustainable development. This study was intended to quantify the spatial pattern of land surface temperatures (LSTs) and associated heat fluxes in relation to land-cover types in Beijing, China, using portable infrared thermometers, infrared thermal imagers, and Moderate Resolution Imaging Spectroradiometer. The spatial differences and the relationships between LST and the hierarchical landscape structure were analyzed with in situ observations of surface radiation and heat fluxes. Large LST differences were found among various land-use/land-cover types, urban structures, and building materials. Within the urban area, the mean LST of urban impervious surface area (UIS) was about 6–12 °C higher than that of the urban green space (UGS). LSTs of built-up areas were on average 3–6 °C higher than LSTs of rural areas. The surface radiation and heat fluxes observations indicated that the differences were caused by different fractions of sensible heat or latent heat flux in net radiation. Building materials and urban structure significantly influenced the spatial pattern of LST in the urban area. By contrast, elevation and vegetation cover are the major determinants of the LST pattern in the rural area. LST decreased with increasing elevation and Normalized Difference Vegetation Index. To alleviate urban heat island intensity in Beijing, urban planners and policy makers should pay special attention to selecting appropriate building materials, reasonably arranging urban structures, and designing rational landscape components.

## **Keywords**

Urban heat island, Urban structure, Land-use/land-cover, Heat fluxes, MODIS, Thermal

infrared image

## Introduction

25 The alteration of an urban microclimate is often characterized by an urban heat island (UHI), which is defined as the phenomenon where atmospheric and land surface temperatures (LST) are higher in urban areas than in surrounding rural areas (Oke 1995; Voogt and Oke 2003). The UHI was regarded as the most prominent environmental issue in urban climate and cities' sustainable development (Oke 1984; Grimm et al. 2008; Alberti 2009; Wu 2010, 2013; 30 Pickett et al. 2011). The UHI effect is mainly caused by the difference in surface physical properties, urban structure, land cover, land use, anthropogenic heat sources, air pollution, etc. (Oke 1982; Streutker 2003; Buyantuyev and Wu 2010). The land surface physical properties (e.g., albedo, emissivity, thermal capacity, thermal conductivity, thermal inertia) of various land-cover types (e.g., impervious surface, vegetation, water) are significantly different, 35 which could result in LST heterogeneity. Indeed, the LST difference among various intra-urban land surfaces may be as large as, or even larger than, the urban-rural difference (Buyantuyev and Wu 2010). Accordingly, the complex intra-urban land surface variations in LST largely result from the different physical properties, including urban structure, which refers to the spatial dimensions of buildings and street canyons; urban land-cover, including 40 impervious surfaces, green space, bare soil, and water; urban fabric, which refers to building materials; and urban metabolism, including anthropogenic sources of water, heat, and pollutants (Oke 2004). Understanding and quantifying UHI associated with a hierarchical landscape structure are important to improve the residential environment of urban dwellers (Wu 2010).

45 Urban landscape patterns, including landscape composition and configuration, is related

closely with LST (O'Neil et al. 1988; J. Li et al. 2011; Connors et al. 2013; Wu 2014).

Landscape composition identifies the abundance and variety of land-cover types without considering their spatial characteristics, for example, the impacts of vegetation fraction and impervious surface area on LST (W. Zhou, Qian et al. 2011; Song et al. 2014). Cities are

50 characterized by large fractions of impervious surfaces composed of concrete, asphalt, brick, pebbles or aggregates which absorb and store radiation during the daytime and slowly release heat during the night (Buyantuyev and Wu 2010). Furthermore, landscape composition influencing LST affects UHI directly by the modification of surface physical properties. The selections of building materials will greatly influence the land surface physical properties, 55 such as albedo (Oke 1984). For example, lighter-colored building materials generally have higher albedo and absorb less heat, while darker materials generally absorb more heat than lighter materials and result in higher LST. Landscape configuration represents the spatial arrangement or distribution of land-cover features within landscape heterogeneity, and plays an important role in UHI due to the effect of surface fluxes. Therefore, it is crucial to consider 60 both landscape composition and configuration used in urban design to mitigate the LST across urban areas (Wu 2010).

Currently, there are three major approaches for studying UHI: air temperature measurement from meteorological stations, LST retrieved from satellite remotely sensed images, and in situ observations of surface radiation temperature using portable infrared 65 thermometers or infrared thermal imagers. The LST obtained from meteorological stations can reflect differences in atmospheric temperature over long periods; however, the extrapolation of the station data to region level is often biased by the distribution of the

meteorological stations (Oke 1982; Chen and Pan 1997; Ouyang et al. 2007; Yang et al. 2011).

LST retrieved from remotely sensed products can be used to quantitatively represent spatial

70 characteristics of LST at various urban surfaces, but it is limited by low temporal resolution

and shorter data records (Dale and Jeffrey 1999; Ouyang et al. 2007) and difficulty in

validating the retrieved results using ground-based measurements at different spatial scales

(Mao et al. 2005; Ji et al. 2006; Y. Wang and Hu 2006). Ground-based measurements using

infrared thermal instruments at a specific time and location can provide timely and accurate

75 LST for specific land-use and land-cover types (X. Wang et al. 2011).

During the past two decades, a few studies addressed the phenomena of UHI or the

relationship between landscape patterns and LST in Beijing. Based on Landsat Thematic

Mapper (TM) images and a regression tree model, Xiao et al. (2007, 2008) estimated the

spatial distribution of LST in Beijing and explored its relationship with the proportion of

80 impervious surface. X. Li et al. (2012) revealed the relationship between the proportion of

green space and LST based on Landsat TM images, and indicated that 10% increase in green

space could result in 0.86 °C decrease in LST. Based on air temperature data from 20

weather stations during 41 years (1960–2000), Lin and Yu (2005) indicated that UHI

intensity in Beijing had increased significantly with the accelerated urbanization, and the

85 increasing trend of UHI intensity was 0.31 °C/decade. Ji et al. (2006) estimated the impact of

urban growth on UHI in Beijing based on MODIS LST products. In addition, the occurrence

frequency of extreme high-temperature events in Beijing showed a significant increasing

trend over the last decade (Chen and Pan 1997). The area of impervious surfaces is positively

correlated with UHI, as proved by the fact that LST has increased by approximately 9.18 °C



90 due to replacement of natural surfaces with impervious surfaces (Xiao et al. 2007). These studies suggest that LST in Beijing has significantly changed due to urbanization with increased impervious surfaces replacing natural vegetation.

Although several studies mentioned above addressed the spatial and temporal patterns of LST in Beijing, no studies have investigated the LST and surface energy fluxes for various landscape components. Through implementing the synchronized LST observations using 95 portable infrared thermometers, infrared thermal imagers, and improved MODIS remotely sensed products, our specific objectives were to (1) quantify the LST and energy fluxes over various land-use/land-cover types, as well as building materials based on ground-based observations, (2) improve MODIS retrieval parameters to retrieve and estimate regional LST 100 along an urban-rural gradient, and finally (3) assess the impacts of different land surface physical properties and urban structures on the magnitude and spatial distributions of LST.

## Methods

### Theories and measured scheme of LST

According to the surface energy budget equation, the net radiation ( $R_n$ ) absorbed by a land 105 surface (e.g., forest, crop, impervious surface area, water) is equal to the sum of incoming shortwave radiation ( $R_s$ ) and longwave radiation ( $L_{\downarrow}$ ) minus the reflected shortwave and emitted longwave radiation ( $L_{\uparrow}$ ) (Equation 1).

$$R_n = (1 - \alpha)R_s + L_{\downarrow} - L_{\uparrow}, \quad (1)$$

where,  $\alpha$  is the surface albedo.

110 According to Stefan-Boltzmann's law, the energy emitted by an object per unit time and per unit area is determined by the materials of the body, the conditions of the land surface,

and LST, which can be expressed by the following formula:

$$L_{\uparrow} = \varepsilon \sigma T_s^4, \quad (2)$$

where  $\varepsilon$  is the land surface emissivity (LSE),  $\sigma$  is the Stefan-Boltzmann constant ( $5.67 \times 10^{-8}$

115  $\text{W} \cdot \text{m}^{-2} \cdot \text{K}^{-4}$ ), and  $T_s$  is the radiometric LST (Z. Li et al. 2013). By integrating equations (1) and (2), the equation to calculate  $R_n$  is

$$R_n = (1 - \alpha)R_s + \varepsilon L_{\downarrow} - \varepsilon \sigma T_s^4. \quad (3)$$

LST and LSE jointly determine  $L_{\uparrow}$ , while albedo determines  $R_s$ .

The net radiation can be further decomposed to sensible heat flux (H), latent heat flux

120 (LE), and ground heat flux (G). The relationship can be expressed as

$$R_n = LE + H + G. \quad (4)$$

In a bulk formulation, sensible heat flux can be assumed to be calculated as

$$H = \rho C_p (T_s - T_a) / r_{ae}, \quad (5)$$

where  $\rho$  ( $\text{kg m}^{-3}$ ) and  $C_p$  ( $\text{J kg}^{-1} \text{K}^{-1}$ ) are the density and specific heat of the moist air at the

125 ground,  $T_s$  is the radiometric surface temperature (K),  $T_a$  is the air temperature at ground

level (K), and  $r_{ae}$  ( $\text{s m}^{-1}$ ) is the total resistance, given by the sum of the aerodynamic

resistance and the additional resistance term that links radiometric and aerodynamic

temperatures.

$$\lambda E = (\rho C_p / \gamma) (e_s - e_a) / r_{ae}, \quad (6)$$

130 where  $\gamma$  is the psychrometric constant,  $e_s$  is the saturated vapor pressure at a fictitious

surface corresponding to that seen by the radiometer ( $r_{ae}$ ) and  $e_a$  the vapour pressure of the

air above the canopy.

From equations 1–6, we can find that the physical properties of a land surface (e.g.,

materials, surface roughness, albedo, emissivity) and the surrounding environmental

conditions (e.g., canopy coverage, air temperature, elevation) could greatly influence the LST.

We aimed to explore LST of different land surfaces based on field observations and MODIS data. We adopted a synchronized approach by integrating remotely-sensed data with ground-based observations at local and regional scales. We measured LST of various land surfaces using the infrared thermal imager and portable infrared thermometer at multiple observation sites. With the ground-based observations, we calibrated the parameters of a MODIS LST algorithm and analyzed regional differences of LST for various land-use/land-cover types, urban building materials, and structures.

#### **Ground-based measurements of LST**

We used the portable infrared thermometer and infrared thermal imagers to measure LST. The portable infrared thermometer used in this study is the MAX4<sup>TM</sup> produced by Raytek Inc. (Germany). For this instrument, the range of temperature measurement is -30–900 °C, the measurement precision is  $\pm 0.75\%$  or 1 °C, the response time is 250 ms, the spectral response is 8–14  $\mu\text{m}$ , the optical resolution is 60:1, and the environmental temperature of operation is 0–50 °C (X. Wang et al. 2011). The infrared thermal imager used in this study is a Forward Looking Infrared Radiometer (FLIR) T425 model manufactured by FLIR Systems Inc. (USA). The field of view (FOV) of the device is  $25^\circ \times 19^\circ / 0.4 \text{ m}$ , the thermal sensitivity is 0.05 °C, the wavelength range is 7.5–13  $\mu\text{m}$ , the infrared image resolution is 320×240 pixels, the temperature range is -20–1200 °C, and the measurement precision is  $\pm 2 \%$ .

The portable infrared thermometer and infrared thermal imager were calibrated with a

standard calibration blackbody (BDB15, with a temperature precision of  $\pm 0.1\text{ }^{\circ}\text{C}$  and a resolution of  $0.1\text{ }^{\circ}\text{C}$ ) prior to the observations in order to eliminate the systematic error generated by the use of different instruments (X. Wang et al. 2011). To observe LST, the portable infrared thermometer MAX4<sup>TM</sup> was placed far away from the observers. This device was placed at a height of 0.5 m above the object surface to measure the surface radiation temperature. We began to record temperatures after the devices became stable for 1–3 s. Continuous observations were made at all sites in the morning (LCT, 11:00–11:30) and at noon (LCT, 12:30–13:00). Ten observations were collected at each site during the study period, and the average values were calculated as the final temperature for this object. The infrared thermal imager FLIR T425 was installed 100 m above the ground. At this height, it can effectively observe the spatial characteristics of surrounding land surfaces. To preserve the measurement precision, the tilting angle of the imagers was less than  $40^{\circ}$  and the maximum FOV distance was 2 km. Prior to measurement, the infrared thermal imager was calibrated using the LST measured by the portable infrared thermometers for the same objects. In the measured moment, the daily mean air temperature was 18–24  $^{\circ}\text{C}$ , with 3  $^{\circ}\text{C}$  higher temperature at noon than in the morning. The air humidity was close to the long-term mean of 30% for Beijing, and the wind speed was lower than 2 m/s.

We selected four representative land-use/land-cover types to implement the LST measured experiments for urban-rural landscape components including UIS, UGS, farmland, and water body (Figure 1). The first selected zone (Z1) represented the UIS and UGS in the city, which is located around the Institute of Geographical Sciences and Natural Resources Research, Chinese Academy of Sciences, in Chaoyang District of Beijing. On the roof of a

100-m tall building in the Breezy Woods Oasis residential area, we installed an infrared thermal imager and a high-resolution camera to acquire infrared images for the surrounding buildings, the impervious surface area of a plaza, and green space in Olympic Park.

Meanwhile, we also used the portable infrared thermometer to measure temperature at the ground surfaces of buildings, plaza, green space, and water bodies around this observation site. The second zone (Z2), which is located at the Beijing International Airport, was selected to represent a concrete land surface. Portable infrared thermometers were used to measure surface temperature of the airport tarmac. We selected the third zone (Z3) to represent farmland located in Xiaotangshan and the fourth zone (Z4) to represent the Miyun Reservoir water body.

#Figure 1 approximately here#

### **Ground-based measurements of surface radiation and heat fluxes**

We also observed surface radiation and heat flux components for UIS, UGS, and farmland. We established stations S1 and S2 to observe radiation components and heat fluxes at UIS and UGS, respectively; they are located in the same zone (Z1) as the instrument for LST observation (Figure 1). The observation instruments at S1 and S2 were installed at 2.5 m and 1.6 m above the ground, respectively. Within the 1-km buffer zones of the two observation sites, the major land-cover types are impervious surface and vegetation. The UIS of the station S1 account for 71% in the buffer zone, and the vegetation of station S2 account for 78% in the buffer zone. In addition, we also established station S3 to collect data for farmland in Daxing District (Figure 1) (S. Liu et al. 2013). The observation instrument is installed at 3.0 m above the ground at this site. The instruments for measuring radiation and surface heat

200 fluxes include a three-dimensional ultrasonic anemometer (CSAT3), a humidity and temperature sensor (HMP155), a 4-Component Net Radiation Sensor (HFP01), and a soil heat flux sensor. The observation variables include upwelling and downwelling short-wave/long-wave radiation, albedo, land surface net radiation, sensible heat flux, latent heat flux, soil heat flux, and radiation temperature. For analysis, we first selected all the observation data during daytime (i.e., net radiation flux > 0) and removed the hard spikes and soft spikes. The data were further corrected using time-lagging, ultrasonic virtual temperature and air density (WPL correction) methods (Webb et al. 1980). Finally, the sensible and latent fluxes were corrected using the Bowen ratio energy balance closure method (Twine et al. 2000). We obtained the land surface radiation and energy fluxes for impervious surface area, urban green space, and rural farmland during the time when the MODIS satellite passed over the observation sites between 11:00 and 11:30 AM (LCT).

### MODIS-based observations and parameterization

On September 20, 2011, the Terra MODIS satellite passed the observation sites at 11:10 AM (LCT) with a solar angle of 40.37°–41.84°. Two datasets from MODIS products were used to retrieve LST: (1) the 250-m spatial resolution MODIS 1B products and the 1-km resolution brightness data from the infrared thermal bands 31 (10.78–11.28 μm) and 32 (11.77–12.27 μm). The brightness can be calculated as

$$T_i = \frac{C_2}{\lambda \ln(1 + C_1 / \lambda_i^5 R_i)} , \quad (7)$$

where  $T_i$  is the brightness of bands 31 and 32,  $i$  is the band number (31 or 32),  $R_i$  is the radiance at the top atmosphere of band  $i$ ,  $\lambda_i$  is the effective wavelength,  $C_1$  and  $C_2$  are constants  $C_1 = 1.19104 \times 10^8 \text{ W} \cdot \mu\text{m}^4 \cdot \text{m}^{-2} \cdot \text{sr}^{-1}$  and  $C_2 = 1.43887 \times 10^4 \mu\text{m} \cdot \text{K}$  (J. Zhou et al. 2009).

The parameters (e.g.,  $C_1$  and  $C_2$ ) are derived from either empirical knowledge or regression analysis in terms of the natural land surfaces. Split-window algorithms were first introduced by Becker and Li (1995), and then Mao et al. (2005) and Wan et al. (2002) further developed two generalized split-window algorithms for LST retrieval based on MODIS data. Subsequent studies indicated that the Mao et al. (2005) retrieval algorithm was better than other algorithms for retrieving LST based on MODIS data (e.g., J. Zhou et al. 2009); therefore, we will apply the Mao et al. (2005) algorithm to retrieve LST in this study. We calculated the specific emissivity based on the 30 m  $\times$  30 m Landsat TM and Enhanced Thematic Mapper Plus (ETM+) land-use/land-cover information and 1-km resolution data for the fractions of impervious surface area and green space (J. Liu et al. 2010).

The Mao et al. (2005) retrieval algorithm is listed as follows:

$$T_S = \alpha (C_{32}(B_{31} + D_{31}) - C_{31}(D_{32} + B_{32})) / (C_{32}A_{31} - C_{31}A_{32}) + \beta, \quad (8)$$

where  $T_S$  is the LST and  $\alpha$  and  $\beta$  are the fitted regression parameters for surface temperature between ground-based and remote - sensing observations, which were set as 0.7554 and 7.5146, respectively, in this study.  $A_{31}$ ,  $A_{32}$ ,  $B_{31}$ ,  $B_{32}$ ,  $C_{31}$ ,  $C_{32}$ ,  $D_{31}$ , and  $D_{32}$  are the parameters determined by multiple factors such as atmospheric transmittance and surface albedo (Mao et al. 2005).

We used the mean LST measured from ground-based observations during 10 minutes between 11:00 and 11:20 AM (LCT) when the MODIS satellite passed over the observation sites to recalibrate the parameters for different land surfaces (both mixed and pure pixels). In the urban area, most of the land surfaces are impervious, green space, and water. By multiplying the LST and the fractions of each surface type within a MODIS pixel (i.e., 1 km

× 1 km), we calculated the mean LST of this mixed pixel. The LST for each land-surface type

in a pixel were derived from the infrared thermal imagers and portable infrared thermometers.

Totally, we obtained 15 samples (or MODIS pixels) for calibrating the parameters for the

Mao et al. (2005) algorithm. Finally, the parameters  $\alpha$  and  $\beta$  in Equation (8) were

determined as 0.7554 and 7.5146, respectively. Based on the calibrated parameters, the mean

standard error was less than 2 °C and the root-mean-square error was 1.42 °C between the

improved Mao et al. (2005) retrieval algorithm and observed LST (Figure 2).

#Figure 2 approximately here#

### Analysis methods

The analysis of the LST along an urban-rural gradient was organized at four levels (Figure 3):

observation objects (i.e., urban land, rural land), land-cover types, land-use types, and urban

structures and materials (Wu and David 2002). The analysis of LST for various land surfaces

was based on both ground-based observations and MODIS retrieval, whereas the regional

LST was based on the MODIS retrieval LST derived from the calibrated Mao et al. (2005)

algorithm.

#Figure 3 approximately here#

The LST difference among various land surfaces is calculated as:

$$\Delta T_{i,j,n} = \frac{T_{i,n}\varepsilon_{i,n}}{0.95} - \frac{T_{j,n}\varepsilon_{j,n}}{0.95}, \quad (9)$$

where  $\Delta T_{i,j}$  is the LST difference between  $i$  and  $j$  land surfaces,  $T_i$  and  $T_j$  are LSTs of  $i$

and  $j$  land surfaces, respectively,  $n$  is the observation time period, and  $\varepsilon_i$  and  $\varepsilon_j$  are the

specific emissivities for  $i$  and  $j$  land surfaces at the wavelength between 8 and 14 μm. For



different observation periods and different land surfaces, the specific emissivities are different (Table 1).

# Table 1 approximately here#

The statistical analyses were conducted using SPSS 16.0. We used one-way analysis of variance (ANOVA) and F-test to quantify the relationships between LST and land surfaces and identify the sources of errors. The LST differences among different land uses, land covers, and building materials were tested and considered significant at a confidence level of 95% ( $\alpha = 0.05$ ) unless specifically stated otherwise. The Pearson correlation was conducted to evaluate the correlation among the Normalized Difference Vegetation Index (NDVI), elevation (digital elevation model), and LST.

### **Auxiliary data**

Several auxiliary datasets were used to identify the causes of LST differences for various land surfaces at regional scale, including 2010 Landsat TM/ETM+ land-cover/land-use data (30-m spatial resolution) (J. Liu et al. 2010; Kuang et al. 2013), 2010 aerial photos of Beijing (spatial resolution 0.5 m), SRTM elevation data (spatial resolution 90 m), and MODIS NDVI data (spatial resolution 1 km).

## **Results and analysis**

### **Observed LST of various urban land surfaces**

Using portable infrared thermometers and infrared thermal imagers to obtain ground-based observation points ( $n = 2250$ ), we compared the LST for different land-use/land-cover types. In the morning (LCT, 11:00–11:30 am), the highest LST was measured on the building roof ( $36.80\text{ }^{\circ}\text{C}$ ), followed by the airport tarmac ( $30.65\text{ }^{\circ}\text{C}$ ), paved roads ( $30.03\text{ }^{\circ}\text{C}$ ), plaza

(28.30 °C), and green space (24.14 °C), and the lowest was recorded for the water body

(11.87 °C, Figure 4). The LSTs for various green-space types were ranked as roadside green

290 space (25.32 °C) > residential green space (24.76 °C) > park green space (22.34 °C).

Buildings, plaza, and paved roads showed strong “hot fields,” while the green spaces acted as

obvious “cold fields.” At daytime, the LSTs of impervious surface areas (including building

roof, airport tarmac, paved roads, and plaza) ranged 30–39 °C, while green spaces ranged

24–27 °C, with a difference of 6–12 °C between these two land-use types. The maximum

295 difference between the building roof and water body was 24.92 °C. In addition, we also

found large variations among the measurements for a specific land-use type. For example, the

LST difference was 29 °C between the maximum and minimum measurements for the

building roof. The variations among measurements for three green-space types ranged

6–14 °C, with lower variations in the park green space. The smallest variation occurred at the

300 water body (4 °C) due to its high thermal inertia and thermal capacity, and relatively low

thermal conductivity. Using infrared thermal imagers to obtain the radiative temperatures

distribution, we found the spatial heterogeneities of radiative temperatures were strong

(Figure 5). In the urban area, green spaces had very low radiative temperatures in contrast to

the roads and the roofs of buildings, and the roofs were the hottest surface in the morning.

305 The radiative temperatures were significantly different for the same types of buildings with

various materials and facades. For instance, the radiative temperatures of the roof and side

facade of a building were around 49 °C and 46 °C, respectively, although they were made of

the same materials.

#Figure 4 approximately here#

# Figure 5 approximately here#

### **LST difference between urban and rural areas**

Based on the MODIS-retrieved LST and land-use/land-cover maps, we analyzed the LST of various land-use/land-cover types in the urban and rural areas of Beijing. Various land-use components in the urban and rural areas exhibited significantly different LSTs, with a

descending order of the urban impervious surface area > rural residential area > cropland > grassland > forest > water body (Figure 6). The highest LST was distributed primarily in the urban and rural residential areas, where the major land-use component was construction, with a mean LST of 29.33 °C. The mean LST of the urban impervious surface area was about 2 °C higher than that of the farmland, which is primarily located around the residential areas in eastern and northern Beijing, such as Shunyi District, Tongzhou District, and Daxing District (Figure 1). LST of grassland (24.48 °C) was slightly higher than that of forest (22.85 °C). A lower LST (21.52 °C) was primarily located in the northern and western mountainous region, which was about 8 °C lower than LSTs in the urban and rural residential areas (Figure 2).

The water body had the lowest LST (16.35 °C). Thus, LST in the area surrounding Miyun

Reservoir was lower than the northern and western mountainous region. The LST patterns of different land-use types retrieved from improved MODIS algorithms were consistent with that from the ground-based observations using the portable infrared thermometer and infrared thermal imager.

#Figure 6 approximately here#

Overall, our results indicated that LST of the urban area was about 3–6 °C higher than that of the rural area. The LST generally descended with increasing distance from the center

of urban. The districts closer to the urban area, such as Dongcheng, Xicheng, Haidian, Chaoyang, Shijingshan, and Fengtai districts, generally had higher LST (29.51 °C) than those in the suburban area (28.79 °C), such as Daxing, Tongzhou, Fangshan, Mentougou, Changping and Shunyi districts. The rural areas, such as Huairou District, Pinggu District, Yanqing County, and Miyun County, had an even lower LST (28.07 °C). For grassland and forest, the LSTs in the urban were about 3 °C and 2 °C higher, respectively, than in the suburban area, and the differences between suburban area and rural area were smaller. For water bodies, the LST in the urban was about 3 °C and 6 °C higher than in the suburban area and rural area, respectively.

### **Land surface radiation and energy fluxes of different land-use types**

Except for the land surface physical properties and other climatic and atmospheric conditions, radiation and energy fluxes play a major role in the difference in LST for various land surfaces. Therefore, we specifically observed the net radiation, four radiation components, and the energy fluxes during 11:00–11:30 AM (LCT) and daytime (i.e., solar radiation > 0) on September 20, 2011, at the selected sites S1, S2, and S3 (Tables 2 and 3). The downwelling short-wave radiation was higher than 700 W/m<sup>2</sup> for all three observation sites during 11:00–11:30 AM (LCT) (Table 2). The upwelling long-wave radiation was higher than 400 W/m<sup>2</sup> during 11:00–11:30 AM (LCT), which was comparable with the daytime observations. Rural farmland had the highest net radiation, and the impervious surface had the lowest at 396.07 W/m<sup>2</sup>.

# Table 2 approximately here#

The land surface reflectance of different land-use types were ranked as impervious

surface area > urban green space > rural farmland (Table 3). The impervious surface area had the highest reflectance and the lowest net radiation. In contrast, urban green space and farmland had higher net radiations but lower reflectances. The fractions of sensible heat in net radiation ( $H/R_n$ ) ranked as impervious surface > urban green space > rural farmland, and the fractions of latent heat ( $LE/R_n$ ) showed an opposite pattern. The  $H/R_n$  ratio was about 0.63 and the  $LE/R_n$  ratio was 0.19 for the UIS area. The radiation energy at the impervious surface was primarily composed of sensible heat, with a Bowen ratio ( $H/LE$ ) of 4.03. For urban green space, latent heat fraction (0.58) was higher than sensible heat (0.32) because of vegetation transpiration. For rural farmland, the lowest reflectance (0.14) resulted in the highest net radiation. Similar to green space, the high plant transpiration in farmland caused higher latent heat fraction (0.63) than sensible heat fraction (0.30). Sensible heat flux dominated the energy balance at the impervious surface, resulting in higher LST; latent heat flux played the major role in the energy balance of green space and farmland, resulting in lower LST compared to impervious surface. From these analyses, we concluded that the difference in LST among various land-use types was primarily determined by the significantly different energy balance.

#Table 3 approximately here#

### **The effects of topography and vegetation coverage on LST**

LST is not only influenced by land-use/land-cover types, but also by elevation, vegetation coverage, local meteorological condition, urban land fraction, and human population density.

Therefore, even for the same land-use type, the mean LST may be different in different

locations along the urban-rural gradient. We overlaid the retrieved MODIS LST with

elevation and vegetation cover (represented by NDVI) to find the relationships between LST and elevation and vegetation cover (Figure 7). Beijing is located in northern North China Plain, with a mountainous terrain (the elevation between 1,000m and 1,500 m) in the northwest and a flat plain (the elevation between 0m and 100 m) in the southeast. The mean LST in the northwest was 19.65 °C, ranging between 14.14 °C and 24.08 °C; the mean LST in the southeast was 28.15 °C, ranging between 23.87 °C and 32.82 °C. We found that LST decreased significantly with increasing elevation (Figure 7;  $R^2 = 0.80$ ;  $p < 0.01$ ). The LST in the west, which has more vegetation cover, was lower than that in the east, which has less vegetation cover. Statistical analyses indicated that LST first increased with NDVI when NDVI  $\leq 2.0$ , then slightly decreased with NDVI when  $2.0 < \text{NDVI} < 4.5$ , and greatly decreased when NDVI  $\geq 4.5$  (Figure 7). This pattern reflected an urban-rural gradient of NDVI: low NDVI values in the inner city (mostly construction land), relatively high NDVI in the suburban area (mostly residential and farmland area), and the highest NDVI in the rural area (mostly forest).

#Figure 7 approximately here#

### **Effects of landscape components and building materials on LST**

Within the urban area, LSTs are closely related to the combination of multiple land-use types and building materials. The urban land surface primarily consists of three major landscape components: impervious surface, green space, and water. Based on the three observation approaches of direct measurements, infrared thermal imagers, and portable infrared thermometers, we found that the LSTs among these three land-cover types were significantly different, with a mean LST 6–12 °C higher at the impervious surface than that at the green

space. Therefore, the fractions of each land-cover component may determine the overall LST.

In addition, we found that building materials, shapes, colors, and shadows significantly

400 influenced the infrared images and thus LST. For example, the LST of a dark-gray roof was

about 4.8 °C higher than that of a white-gray roof due to the higher energy absorption

capacity of dark-gray materials. The LST of a concrete plaza in the shadow of tall buildings

was about 10 °C lower than that of a concrete plaza without shadow. LST of an asphalt road

was about 2 °C higher than that of a concrete road because the lighter color of the concrete

405 has a higher reflectance and lower absorption to solar radiation. The building roof was

directly exposed to solar radiation, so its LST was significantly higher than that of the lateral

building walls. Therefore, the building materials with higher heat adsorption capacity should

contribute more to the formation of UHIs. The different impacts of building materials were

primarily reflected in their differences in thermal conductivity, reflectance, and thermal

410 inertia. The buildings, roads, and plazas are generally made of bricks, tiles, concrete, and

asphalt. Although these materials have high reflectance to solar radiation, they also have

faster heat transfer and higher heat-trapping capacity. These characteristics make the built-up

land in the urban the highest LST compared to other land-cover types or locations along the

urban-rural gradient. The green space with grass and trees has high vegetation

415 evapotranspiration. Therefore, the thermal inertia and the resistance to rising surface

temperature were very large, resulting in significantly lower LST than the impervious

surface.

## Discussion

### Effects of urbanization on LST in Beijing

420 Urbanization, the process of converting natural vegetation and cropland to urban impervious surfaces, was a major force of land-use/land-cover change. The LST as an important parameter in studying UHI is primarily related to the altered landscape structure due to rapid urbanization. For instance, urban areas covered with impervious surfaces such as buildings, asphalt, concrete, etc. generally have higher absorption of solar radiation and larger thermal

425 conductivity and capacity, which may result in a relatively higher temperature compared to the surrounding rural areas. The land-cover type (such as vegetation or impervious materials) and spatial composition in the landscapes are also important in determining both internal and external urban LSTs (Rosenzweig et al. 2005; W. Zhou, Huang et al. 2011). Furthermore, the spatial heterogeneity of landscapes may represent the larger intra-urban differences in LST

430 (Buyantuyev and Wu 2010). Using the ground-based observations and the MODIS remotely-sensed products, we found that the LSTs of various land surfaces followed an order of UIS > UGS > water body. This implies that the higher fractions of impervious surface create higher LST in the urban. Notably, our study, based on in-situ measurements using infrared thermal imagers, quantitatively proved the spatial heterogeneities of LST in the urban area

435 were strong. Beijing has experienced fast urban expansion in the past three decades, with more than a threefold increase in built-up area since 1984 (Kuang 2012b), which resulted in an increase of UHI by 0.31 °C /decade from 1960 to 2000 (Lin and Yu 2005). By the end of 2010, 19.62 million people lived in the city, and the population density was 1,195 persons km<sup>-2</sup>. Its total area was 16,801 km<sup>2</sup>, with 1,582.24 km<sup>2</sup> of impervious surface covering 72.6%



of the built-up area (Kuang 2011, 2012a; Kuang et al. 2013). Yu et al. (2005) indicated the increasing UIS area in Beijing resulted in an increase of LST by 9.18°C, although the increasing air temperature partly contributed to this. Our analysis for the relationship between NDVI and LST also proved that LST significantly decreased with increasing NDVI (Figure 7). Increases of UGS fraction in the urban area could reduce the increasing trend of LST (Weng et al. 2004; Buyantuyev and Wu 2010; X. Li et al. 2012). We found the urban landscape cover components (e.g., impervious surface or green space) are the most important key factors related to LST. Therefore administrators of the Beijing megacity should appropriately consider increasing the proportion of green space in urban and suburb areas to mitigate the UHI.

#### **LST and land surface energy fluxes**

LST plays a key role in controlling the land surface energy budget (Weng et al. 2013). In turn, the surface energy balance is an essential element of the climate system, and the land surface energy budget can be used to explain the changes of LST. Bare soil and vegetated surfaces have a high evapotranspiration potential and can release a relatively large amount of their absorbed energy as latent heat, resulting in a low LST. In addition, soil and vegetated surfaces have higher thermal conductivity than impervious surfaces and tend to store more of the absorbed energy, thus releasing less energy to the air, resulting in a low LST (Hafner and Kidder 1999; Hawkins et al. 2004). In contrast, urban impervious surfaces have higher absorption of solar radiation and higher thermal conductivity and capacity, and thus tend to absorb more incident solar energy and release their absorbed energy as sensible heat to the air, especially during night time, resulting in higher surrounding temperature. So the green space

in an urban area is generally an “urban heat sink” (Carnahan and Larson 1990), whereas the impervious surfaces are “urban heat sources”. In this study, we found that the components of net radiation (i.e., latent heat, sensible heat, and conduction heat fluxes) over various

land-cover types were significantly different. These differences may explain the higher LST in the urban impervious surface areas than in the green space and farmland areas. Based on ASTER remotely-sensed data, Weng et al. (2013) found the net radiation of various land-cover types followed an order of farmland > UGS > UIS, which is consistent with our study (Table 2 and 3).

#### **LST and building materials**

Besides microclimatic conditions (e.g., air temperature, precipitation, and cloud cover), land use, urban structure, and anthropogenic heat sources (Oke 1982), the thermal dynamics in an urban area are also significantly influenced by building materials (Meyn and Oke 2009). The influences of building materials on LST are reflected primarily in differences of the physical

properties of materials (e.g., thermal conductivity, reflectance, albedo, and thermal capacity).

For example, lighter-colored building materials generally have higher albedo and absorb less heat, while darker materials generally absorb more heat, resulting in higher LST at the

darker-material surfaces. A rough land surface often absorbs more heat as the surface area is higher, thus larger, than smooth land surfaces (Doulos et al. 2004). In addition, the LST of

concrete pavements is generally lower than asphalt surfaces (Asaeda et al. 1996). An earlier report indicated that the building materials of old bricks and tiles within the second ring of Beijing had lower reflectance, creating an extremely high LST (W. Liu et al. 2011). X. Wang et al. (2003) found that the surface roughness of the inner city in Beijing is about an order of

magnitude higher than that of the suburban area due to many tall buildings, which resulted in significant changes in the thermal dynamics (Xu et al. 2009). In this study, we found that LSTs of dark-colored land surfaces and asphalt roads were significantly higher by 4.8 °C and 2 °C, respectively, than white-colored surfaces and concrete roads. The building roof had a higher LST than the lateral building walls, and the areas in building shadows had a lower LST by 9.9 °C than those without shadows. Therefore, we suggest that the city planners and designers should select building materials with higher albedo and low thermal capacity and rationally arrange land-use components in the urban areas.

## Conclusions

LST varied significantly along the urban-rural gradient, which is not only resulted from the differences in solar radiation but also from the differences in atmospheric environment and land surface characteristics. The integration of ground-based observations with remotely-sensed retrieval was proved effective to explore the spatial and temporal characteristics of LST along an urban-rural gradient from the perspectives of land-cover type, land-use types, urban structure, and building materials. The portable infrared thermometer and infrared thermal imagers can observe the LST difference at local scale for different building materials and provide parameterization and validation data for MODIS retrieval at medium scale. The improved MODIS retrieval algorithms reduced the mean error to 2 °C as compared to the observed LST.

The LST was significantly different between urban and rural land surfaces, with about 3–6 °C higher in the urban area than in the rural area. Large differences were also found among various intra-urban land-use types (i.e., impervious surface, green space, and water),

with about 6–12 °C higher at the impervious surface than at the green space. Our results indicate that the different LSTs in the urban area were primarily caused by the differences in land surface radiation and energy fluxes. Sensible heat flux is the major component of net radiation for an impervious surface and thus resulted in increased LST, whereas latent heat  
510 flux is the major component of net radiation for green space.

LST is also significantly influenced by urban structure and building materials in built-up areas, and elevation and vegetation cover in rural area. We found that LST decreased with increasing elevation and NDVI. The concrete land surfaces had lower LST than that of asphalt surfaces, and dark-gray buildings had higher LST than white-gray buildings. To  
515 alleviate UHI intensity in Beijing, the city planners and designers should pay special attention to selecting appropriate building materials, reasonably arranging urban structures, increasing green space fractions, and designing rational landscape components.

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## Figure captions

670

*Figure 1.* The study area and the selected observation sites for ground-based land surface temperature (LST) observations using portable infrared thermometers and infrared thermal imagers and for radiation and energy fluxes. Note: Z1 is the LST observation site for urban impervious surface and green space; Z2 is the observation site for concrete surface; Z3 is the observation site for rural farmland; Z4 is the observation site for water body. S1 is the radiation and energy flux observation site for impervious surface (UIS); S2 is the radiation and energy flux observation site for urban green space (UGS); S3 is the radiation and energy flux observation site for rural farmland. The aerial images around the selected observation sites for LST are shown at the right. The images for the portable infrared thermometers and infrared thermal imagers are shown at the top.

680

*Figure 2.* Spatial distribution of land surface temperature as retrieved from MODIS products based on the recalibrated Mao et al. (2005) retrieval algorithm.

*Figure 3.* The illustrations of four levels of analyses for comparing land surface temperatures of various land-cover types, land-use types, and building materials and components along the urban-rural gradient.

685

*Figure 4.* Mean, maximum, and minimum land surface temperatures for different land surfaces in two time periods (i.e., morning: 11:00–11:30 am; midday: 12:30–1:00.

690

*Figure 5.* The locations for observing land surface temperatures of various urban structures and landscape components (i.e., buildings, plaza, and park) in the Maple Oasis residential area (Z1 in Figure 1) and the corresponding infrared images using infrared thermal imagers.

695 (a) the observation range of the infrared thermal imagers (yellow boxes) and position (yellow circle); (b1), (b2), and (b3) landscape pictures of buildings, plaza, and green space, respectively. (c1)–(c9) the images obtained from infrared thermal imagers; c1, c2, and c3 show the thermal infrared images of b1, b2, and b3, respectively; c4, c5, and c6 represent impervious surfaces of buildings and plaza; c7, c8, and c9 represent the green space.

700

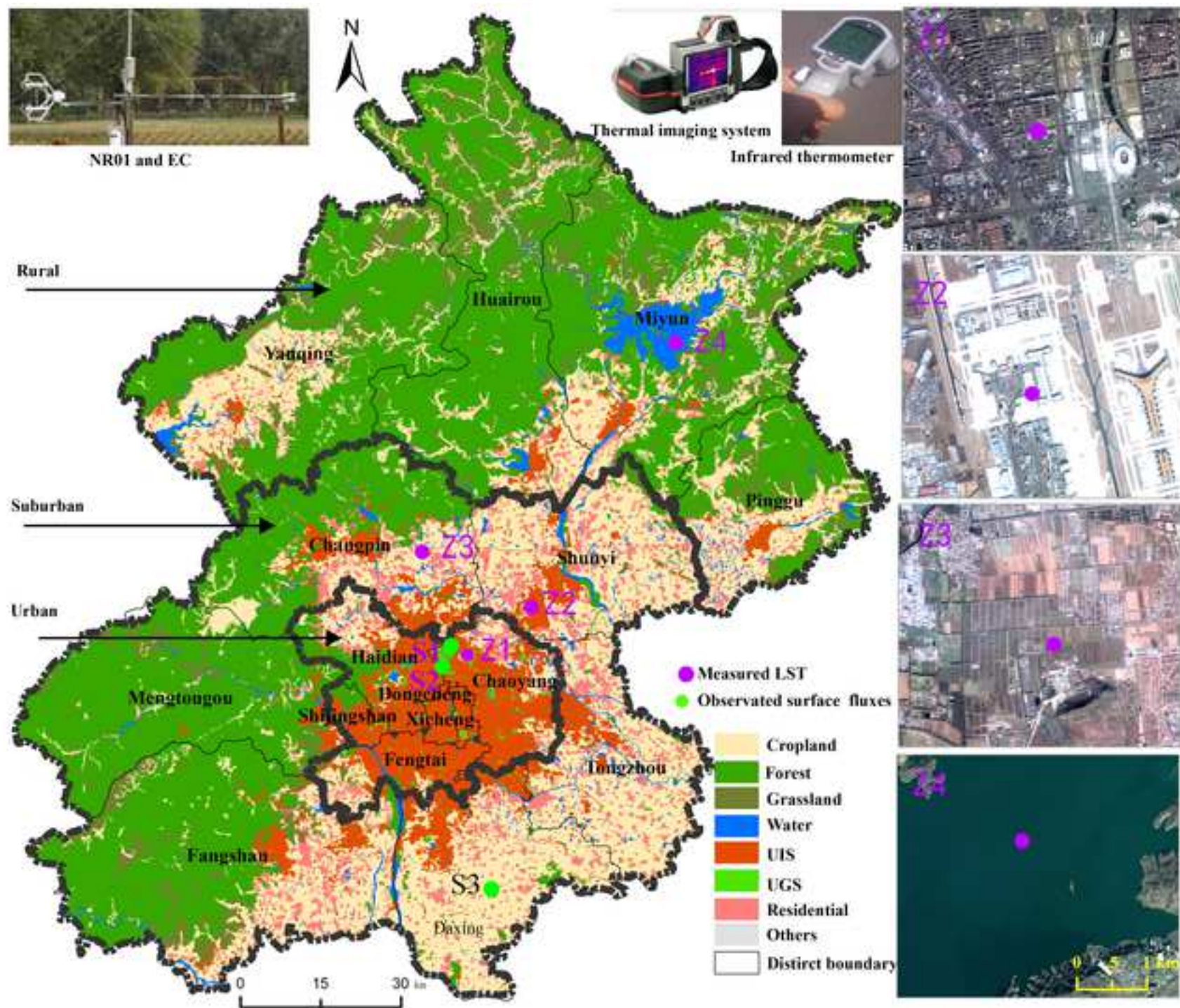
*Figure 6.* Land surface temperatures for different land-use types along the urban-rural gradient.

*Figure 7.* The relationship between land surface temperatures and elevations (top) and

705 Normalized Difference Vegetation Index (NDVI).

Figure

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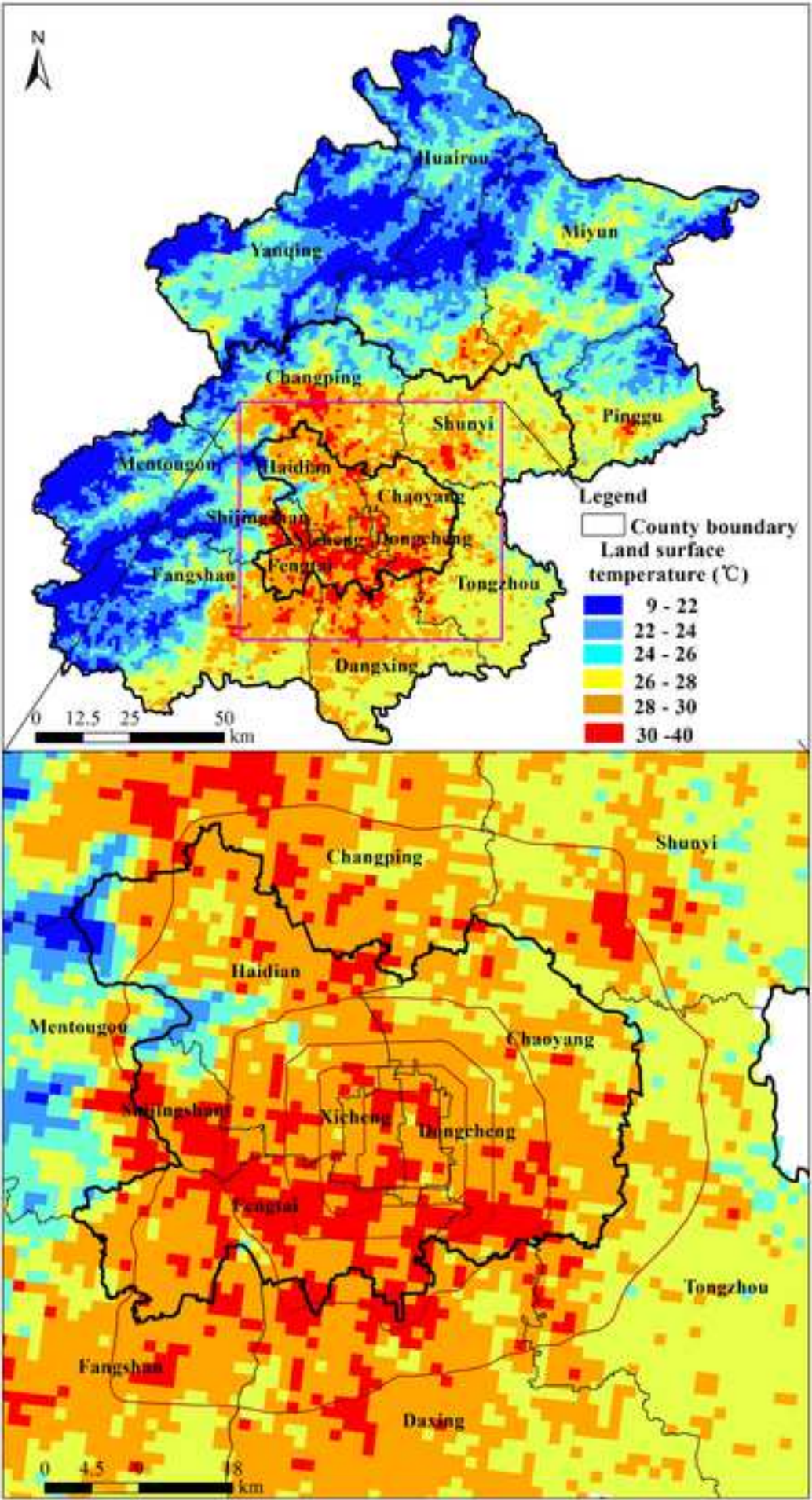


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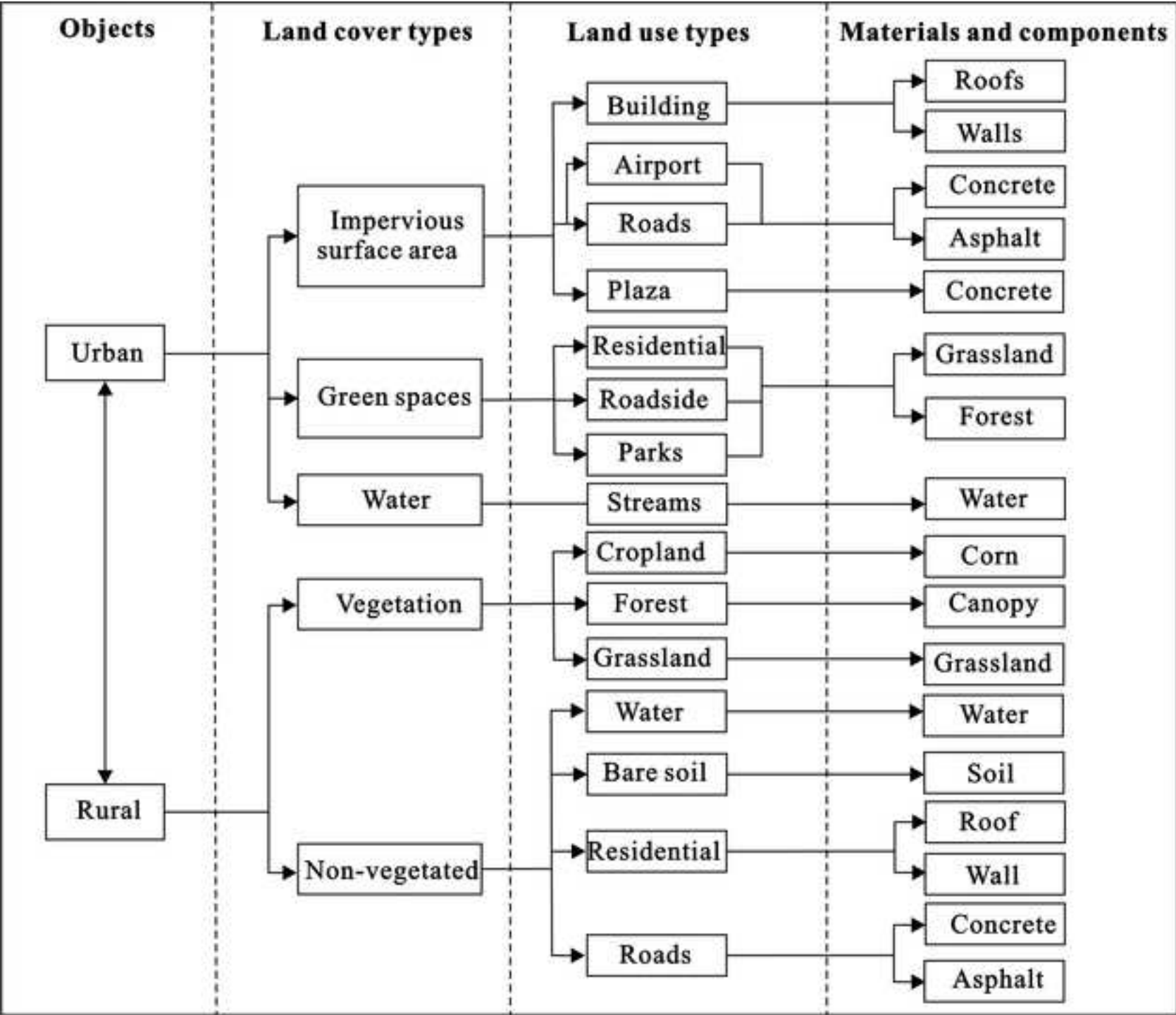




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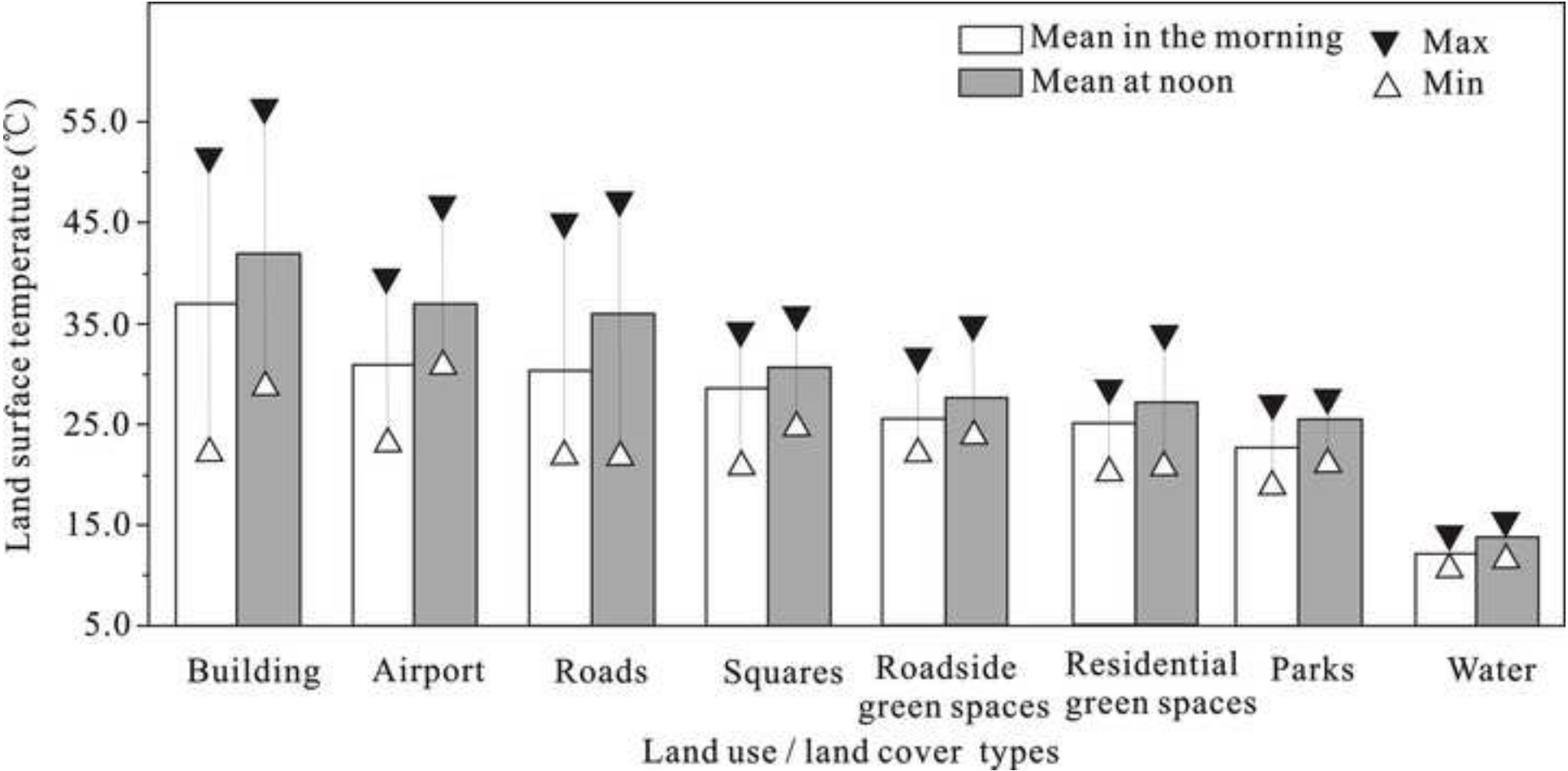


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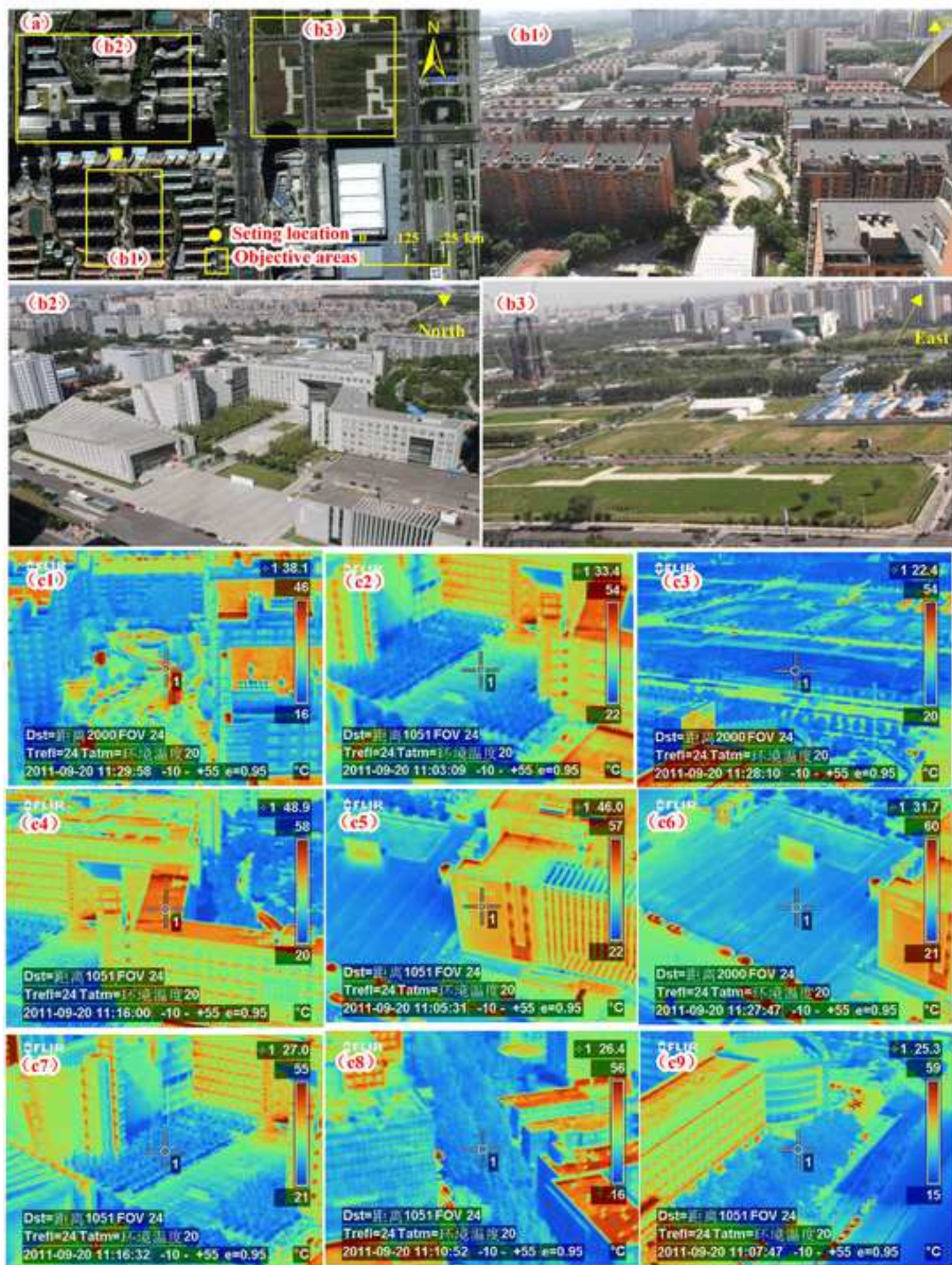
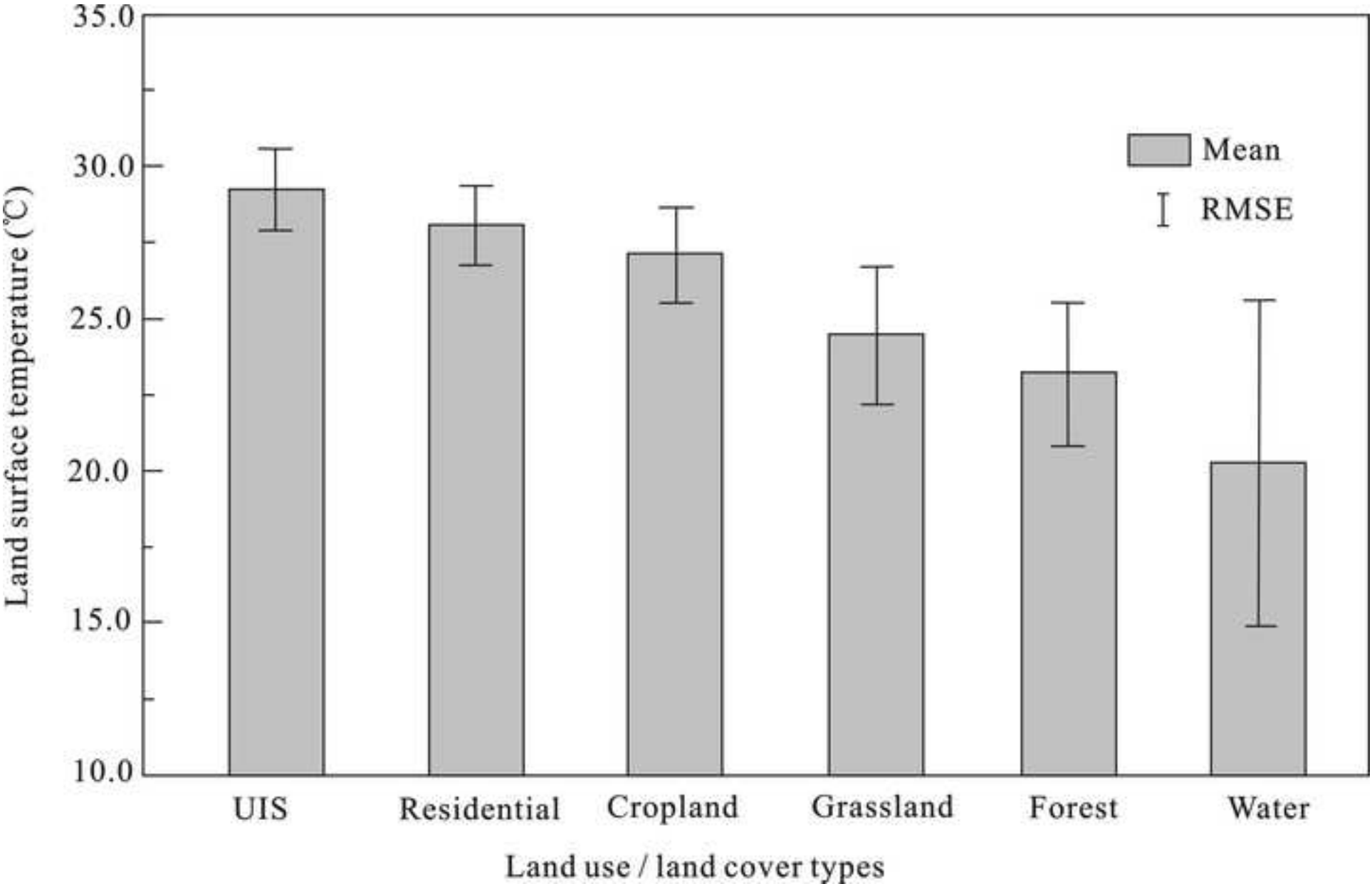




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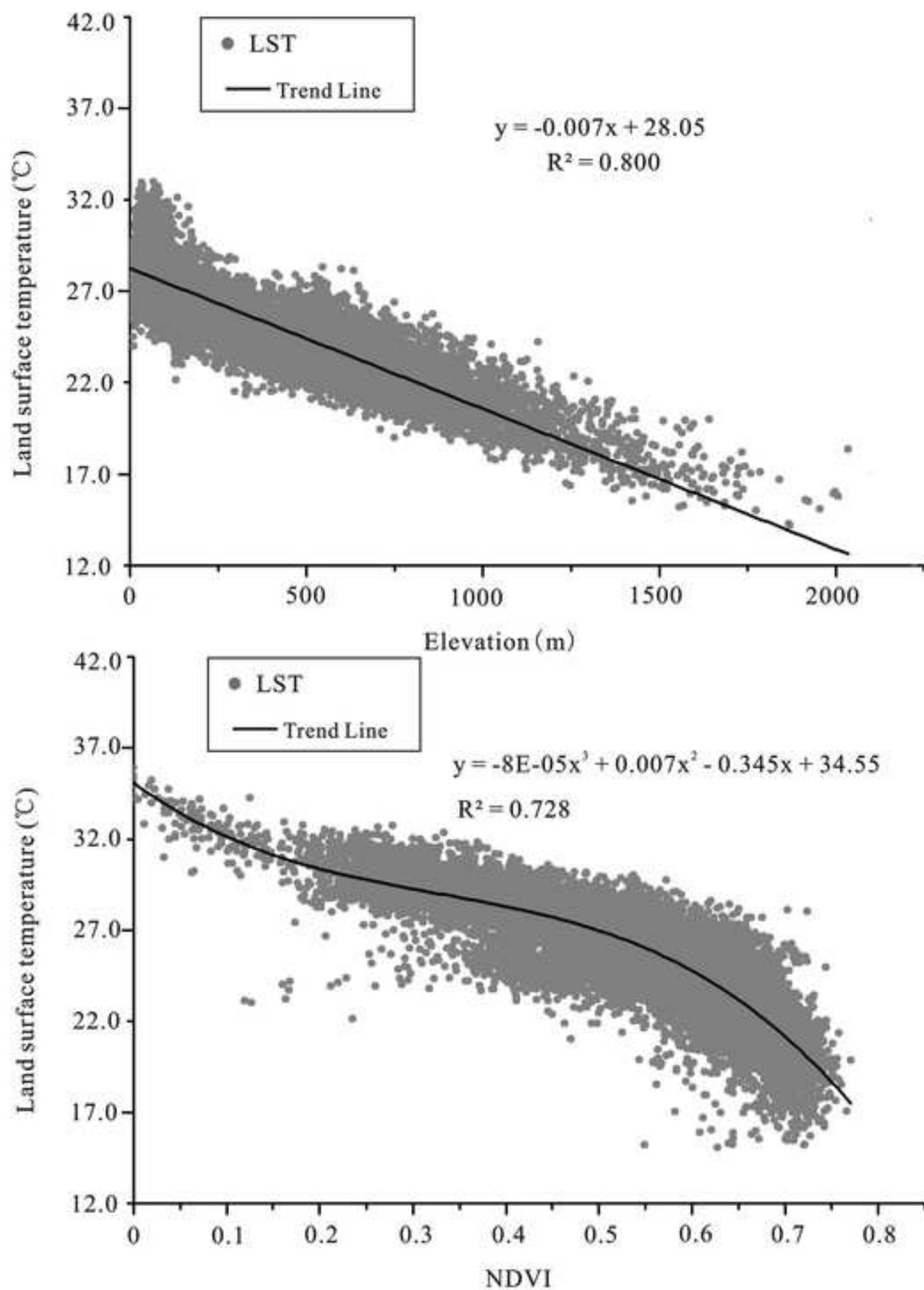


Table 1. Specific emissivity ( $\varepsilon_i$ ) of different land surfaces used in this study

Land surfaces	Specific emissivity	Reference(s)
Farmland	0.982	Qin et al ( <a href="#">2004</a> )
Forest	0.988	Qin et al ( <a href="#">2004</a> )
Shrubland	0.986	Humes et al ( <a href="#">1994</a> ); W. Liu et al ( <a href="#">2011</a> )
Grassland	0.982	Labed and Stoll ( <a href="#">1991</a> )
Water body	0.995	Zheng et al ( <a href="#">2010</a> )
Bare soil	0.972	Qin et al ( <a href="#">2004</a> )
Building	0.970	Qin et al ( <a href="#">2004</a> )
Green space	0.985	Humes et al ( <a href="#">1994</a> )
Asphalt and concrete	0.968	X. Wang et al ( <a href="#">2011</a> )

*Table 2.* Different components of solar radiation ( $\text{W/m}^2$ ) during the observation periods (i.e., 11:00–11:30 AM and daytime) on September 20, 2011.

Site (land surface)	Time	Downwelling	Upwelling	Downwelling	Upwelling	Net
		short-wave radiation	short-wave radiation	long-wave radiation	long-wave radiation	
S1 (UIS)	11:00–11:30					
	AM	759.29	219.47	310.79	454.54	396.07
	Daytime	409.55	119.29	303.96	443.96	262.47
S2 (UGS)	11:00–11:30					
	AM	777.37	142.85	330.42	472.02	492.92
	Daytime	451.85	83.12	325.79	428.73	351.87
S3 (Farmland)	11:00–11:30					
	AM	753.40	99.87	313.64	444.36	522.80
	Daytime	545.43	82.46	310.41	432.58	342.05

Note: UIS, urban impervious surface; UGS, urban green space.

*Table 3.* Land surface reflectance, net solar radiation ( $\text{W/m}^2$ ), Bowen ratio, and the ratios of different heat flux components to net radiation during the observations at selected sites on September 20, 2011.

Site (land surface)	Time	Reflectance	$R_n$ ( $\text{W/m}^2$ )	$LE/R_n$	$H/R_n$	$G/R_n$	Bowen
							Ratio ( $H/LE$ )
S1 (UIS)	11:00–11:30						
	AM	0.29	396.07	0.17	0.63	0.20	3.64
	Daytime	0.30	262.47	0.19	0.63	0.18	4.03
S2 (UGS)	11:00–11:30						
	AM	0.18	492.92	0.55	0.40	0.05	0.74
	Daytime	0.18	351.87	0.58	0.32	0.10	0.57
S3 (Farmland)	11:00–11:30						
	AM	0.13	522.80	0.59	0.35	0.06	0.60
	Daytime	0.14	342.05	0.63	0.30	0.07	0.46

Note: UIS, urban impervious surface; UGS, urban green space;  $R_n$ , net radiation;  $LE$ , latent heat flux;  $H$ , sensible heat flux;  $G$ , ground heat flux.