



# Optical testing of polycrystalline silicon flexure-type optical actuators

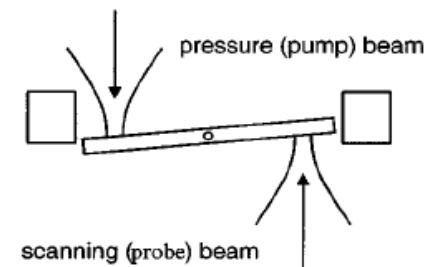
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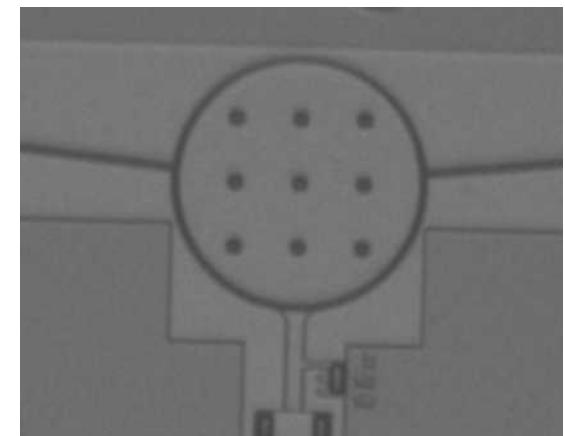
November 6<sup>th</sup>, 2006

# Optical actuation in MEMS

- Requires no electrical connections → intrinsic isolation
- Compatible with harsh environments (radiation, high temperature, etc.)
- Enabling technology for all-optical MEMS devices
- Different actuation schemes include radiation pressure, use of photorefractive materials and photothermal processes



Graebner, Pau, and Gammel, *Appl. Phys. Lett.*, **81**, 3531 (2002)



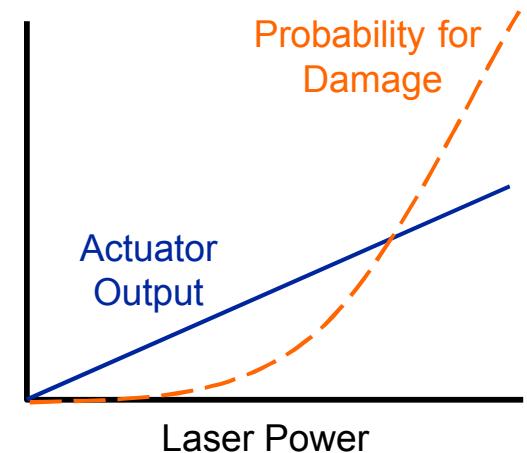
Serrano, Phinney, and Brooks, *Proc. InterPACK'05*, IPACK2005-73322 (2005)



# Photothermal Actuation

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- Can take advantage of electro-thermal actuation techniques and designs
- Uses laser-induced heating of device to generate motion/force
- Performance limited by damage to device
- Improved performance obtained by:
  - maximizing actuator output
  - minimizing damage risk



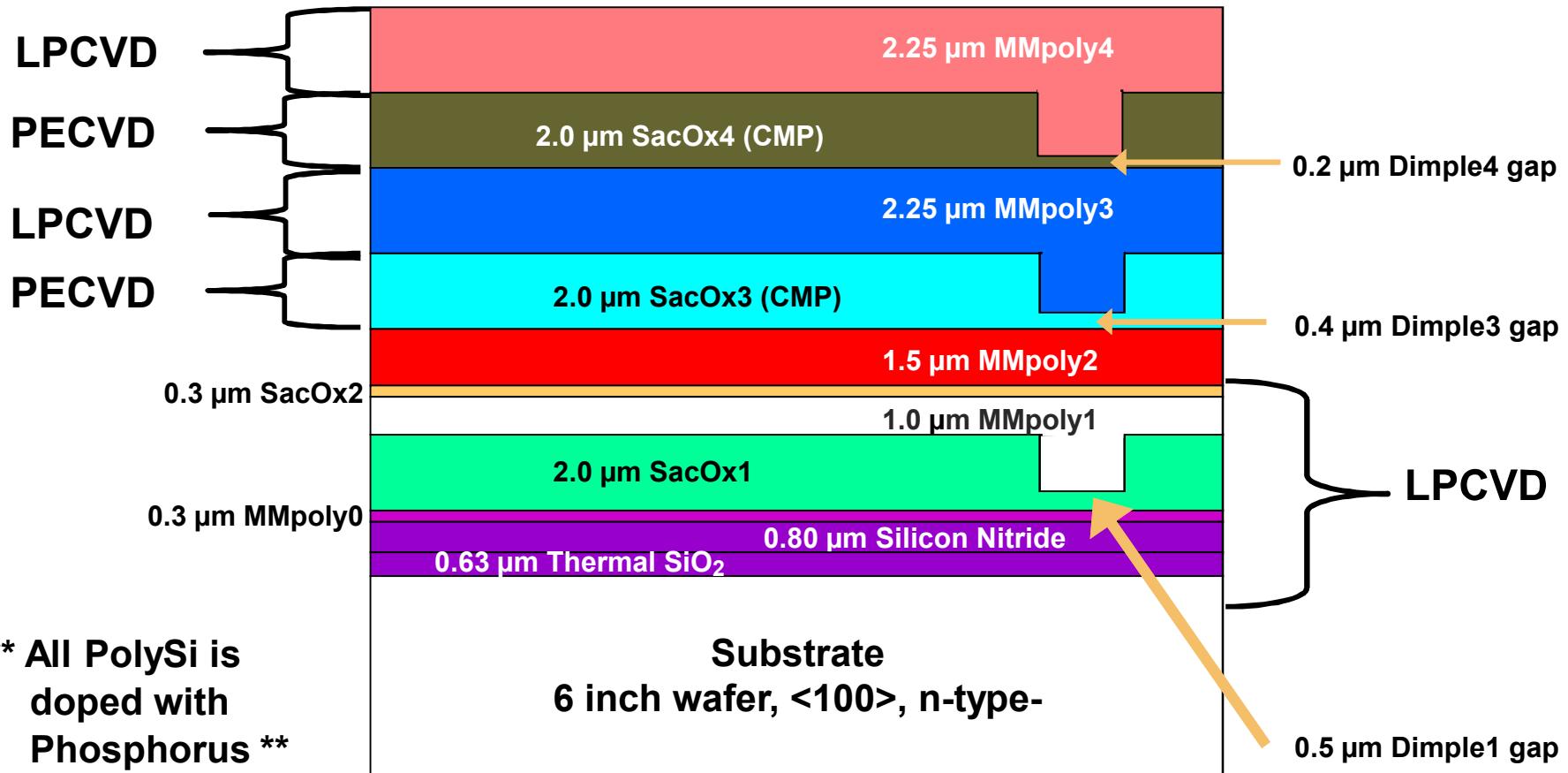
## Caveats:

Damage risk and output are linked through applied power

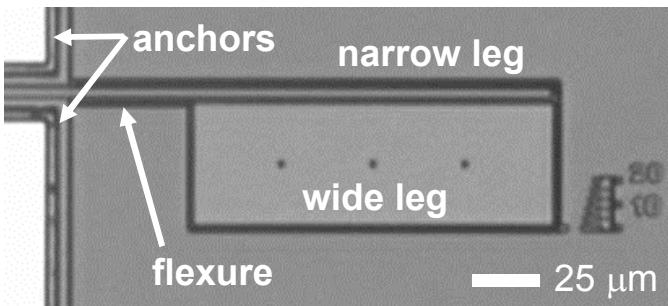
# SUMMiT™ V

## Sandia's Ultra-planar Multi-level MEMS Technology

### SUMMiT™ Layer Descriptions



# Flexure-type Optical Actuators



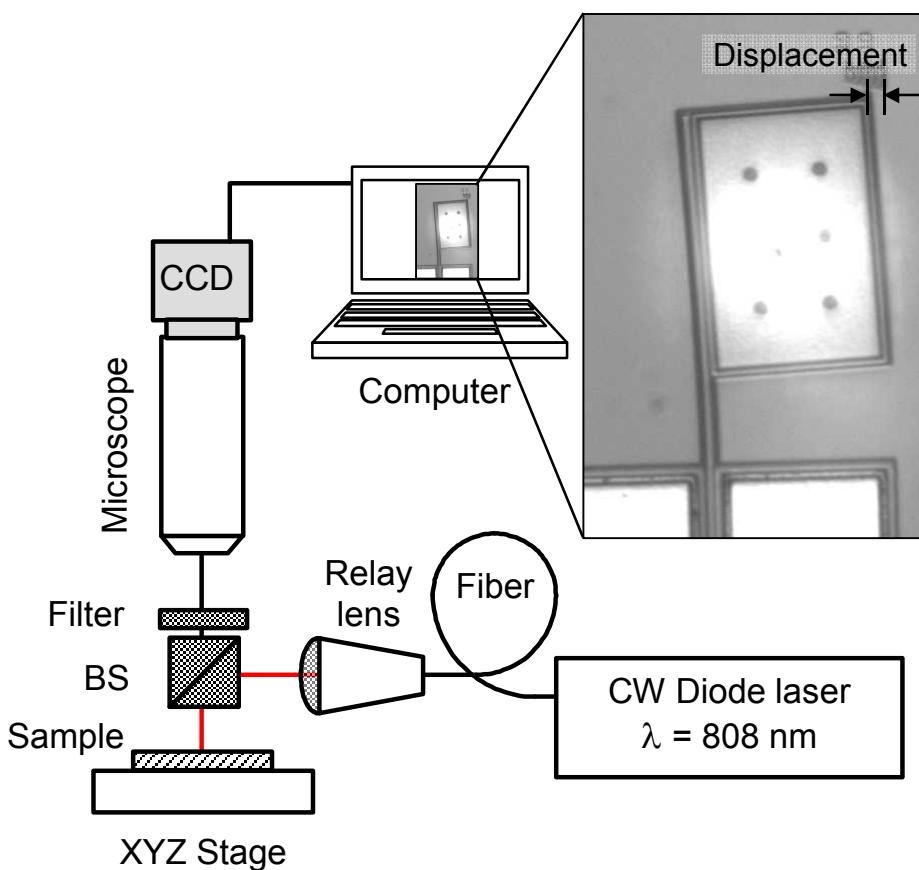
- **Actuator designs**
  - Composition: P4-only or P3-P4 laminate
  - Narrow leg:  $2.5 \times 200 \mu\text{m}$
  - Wide leg:  $2.5 \times (50/100) \mu\text{m}$
  - $50 \mu\text{m}$  flexure element
  - $2.5/5.0 \mu\text{m}$  leg-leg distance

- **Dissimilar thermal expansion between hot and cold sides used to generate motion**
- **Compared to ET flexure actuators**
  - **Larger wide leg which serves as target for laser**
  - **Wide leg is “hot” side of actuator**
  - **Motion is in the opposite direction**
  - **Different failure mechanism**



# Experimental Details

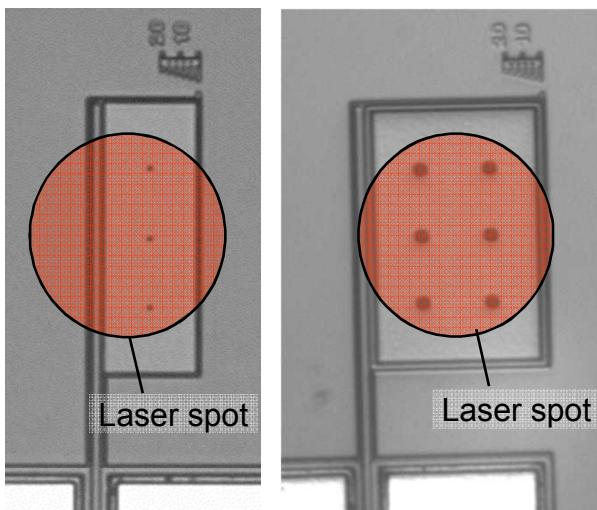
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- **Actuators irradiated with 808 nm, fiber-coupled, CW diode laser; 100  $\mu$ m diameter spot**
- **Laser power varied from 100-650 mW**
- **Images of actuators captured before, during and after irradiation**

# Experimental Details

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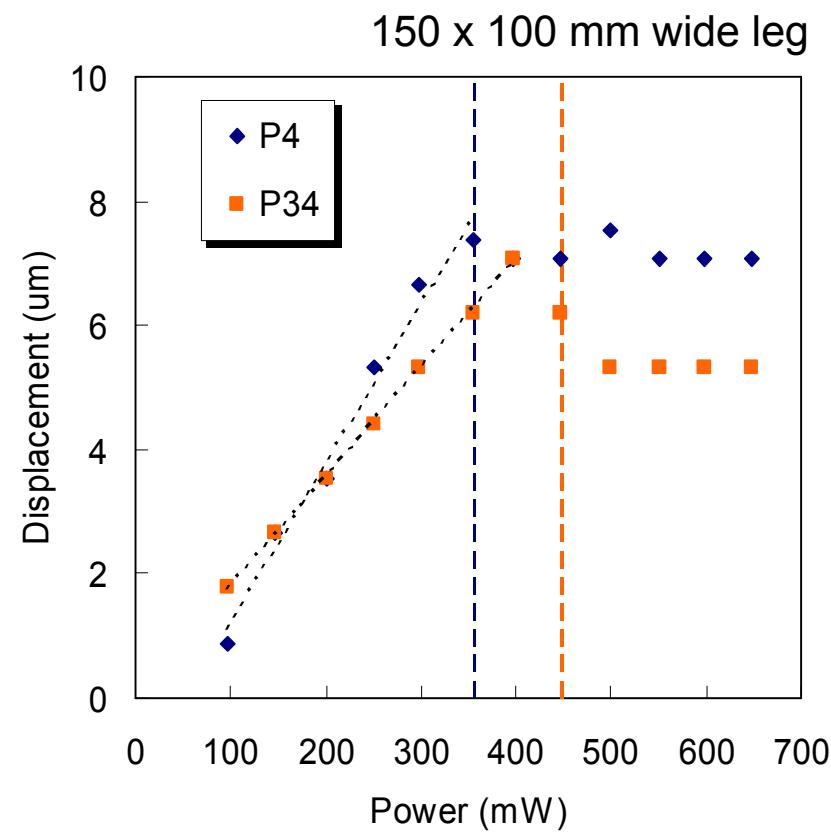


Laser spot centered on  
100  $\mu\text{m}$  wide leg; offset on  
50  $\mu\text{m}$  wide leg

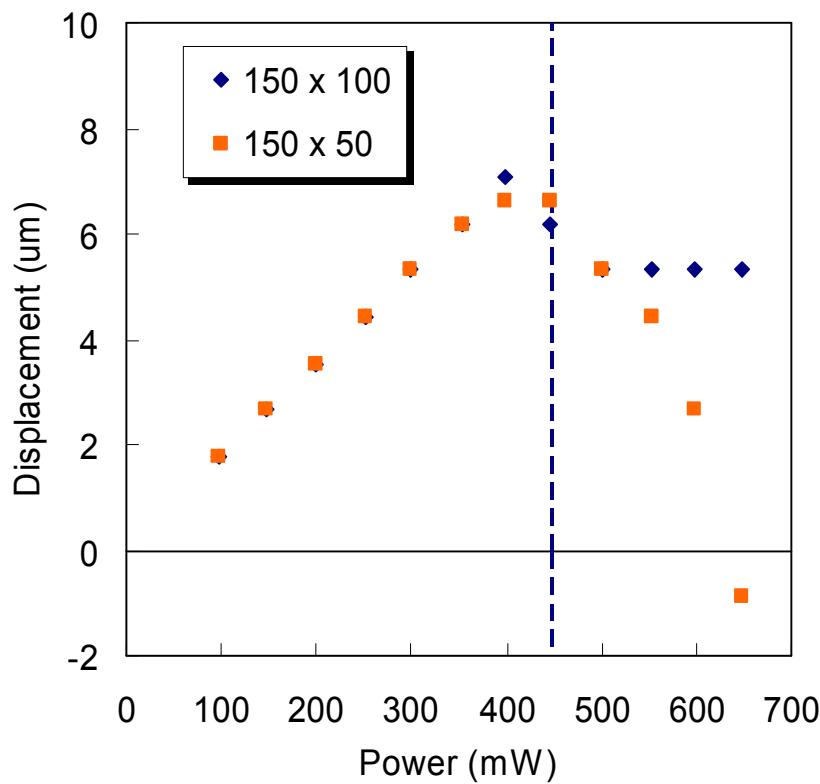
- Displacement measured at the top-right corner of device; determined with image analysis
- Displacement determined to  $\pm 1$  pixel (0.65  $\mu\text{m}$ )
- Irradiation schemes:
  - Power ramp: laser power always incident on actuator, increased slowly; images captured at regular power intervals
  - On/off irradiation: images captured, laser power increased with each on/off cycle
  - Prolonged exposure: laser incident on actuator for extended period; images captured at regular time intervals

# Target Composition

- Displacement is linear with power up to initiation of surface damage
- Maximum displacement similar for both compositions
- After damage, displacement recedes slightly (P34) or remains constant
- P4-only actuators significantly more robust than previous studies; possibly due to interference



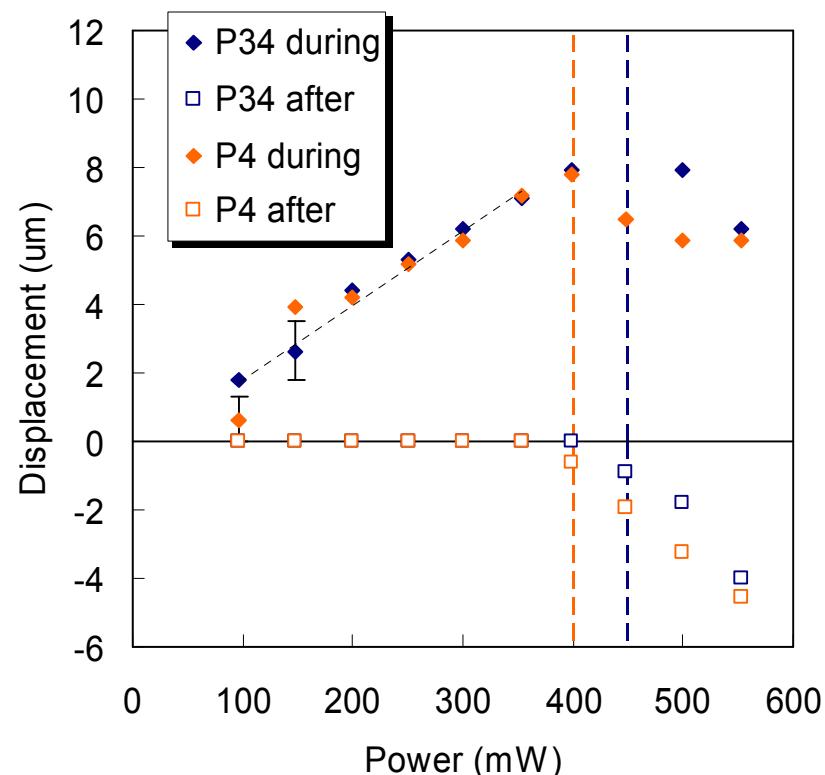
# Displacement Recession



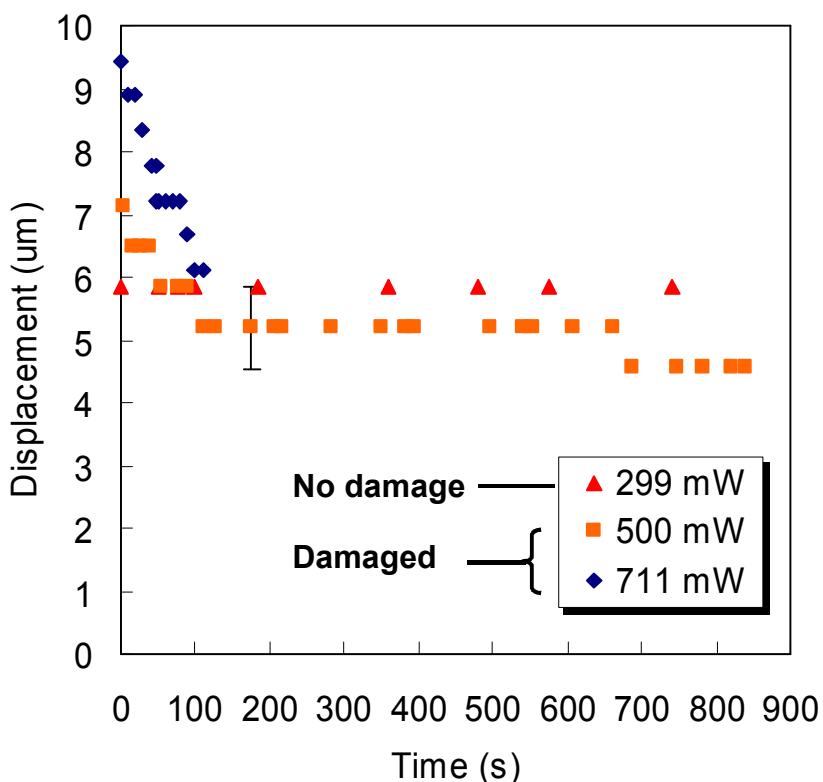
- Narrower actuator shows significant recession in displacement after damage regardless of composition
- Displacement loss due to softening of the poly at elevated temperatures responsible for damage
  - “Softening” compromises more of the actuator structure in the narrower device

# On/Off Cycling

- **Cycling laser power on/off results in repeatable displacement in undamaged surface**
- **After damage, displacement recession is clearly evident after irradiation**
  - initial position recedes with increasing laser power
  - relaxation during the damage event results in thermal contraction upon cooling



# Prolonged Exposure: More Recession



- At powers below damage, displacement holds constant
- If the surface is undamaged prior to irradiation, powers that produce damage:
  - Result in large displacement recession within first 200 s of irradiation;
  - Slight increase in surface damage size during first 60 s; minimal afterwards



## Summary and Conclusions

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- Polysilicon flexure type optical actuators were evaluated for performance and robustness to damage
- Because heating power is applied directly to members responsible for motion, displacement is linear with power for laser powers that do not produce damage ( $P < 400$  mW)
- Maximum displacement is 8-9  $\mu\text{m}$
- Increased incident power results in surface damage and recession in actuator displacement due to structural relaxation of the polysilicon layer
- Prolonged exposure to elevated laser powers further increases the recession in the displacement



## Acknowledgements

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- **Allen Gorby and Tom Grasser for experimental support**
- **Katie Francis and Rosemarie Renn for helping with the device design**
- **MDL Staff for the fabrication of the MEMS devices**