

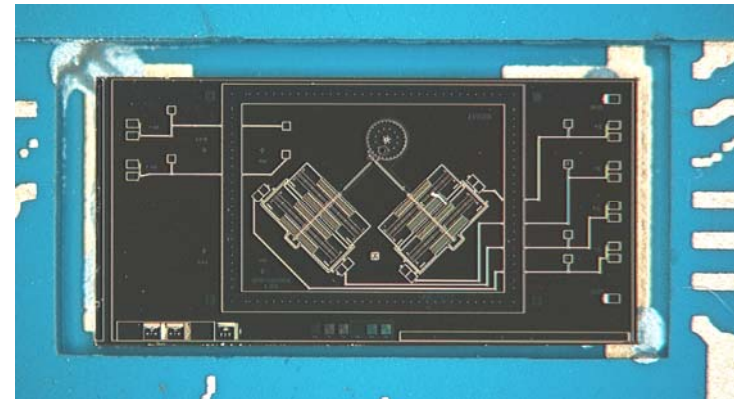
# MEMS Product Cycle and It's Connection to Reliability

**Ernest J. Garcia**  
**Electromechanical Engineering Dept.**  
**Sandia National Laboratories**  
**Albuquerque, New Mexico USA**

# Topics

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- Questions, Sandia Interests and Background
- Reliability Connections
- Elements of a Product Realization Sequence
- Why do things go wrong?
- Estimation and Planning
- Reliability Status
- X-based Engineering
- Where to from here



# Questions

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- How reliably will MNT-based systems operate in their actual environments?
- How do we determine reliability?
- How does the product realization cycle or process affect or permit us to obtain a certain level of reliability?
  - In fact many seemingly unrelated activities within the product realization process have a profound effect on the resulting product's reliability characteristics

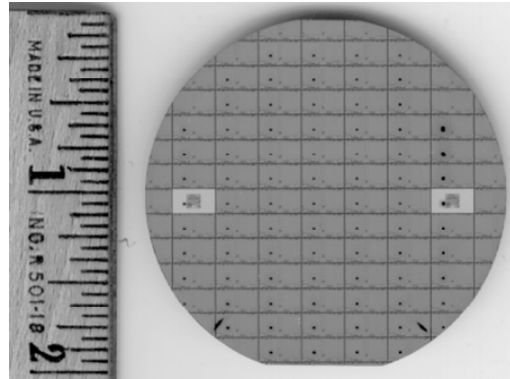


# Sandia Has Been Developing Innovative Microtechnologies for Over 40 Years

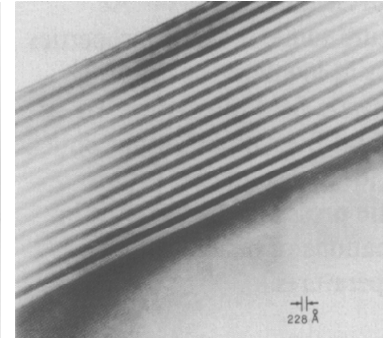
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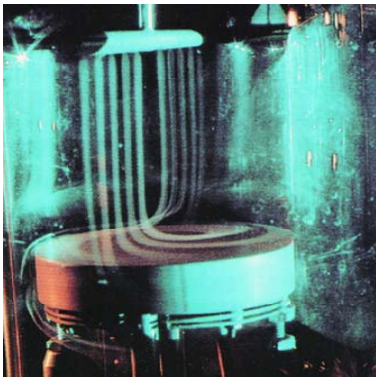
**Laminar Flow Cleanroom, 1960**



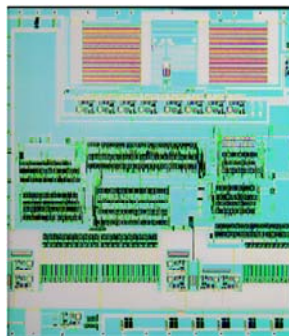
**Radiation-hardened CMOS,  
1975**



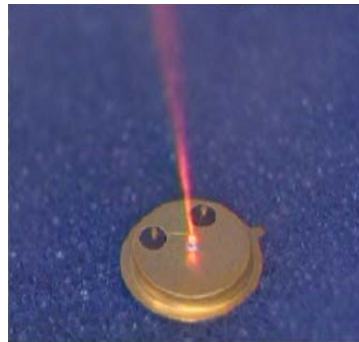
**Strained-layer  
Semiconductors, 1981**



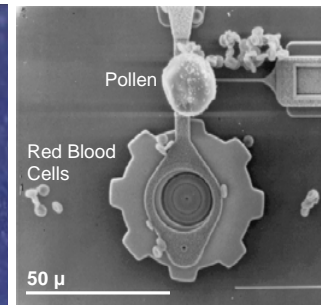
**Semiconductor  
equipment  
partnerships, 1989**



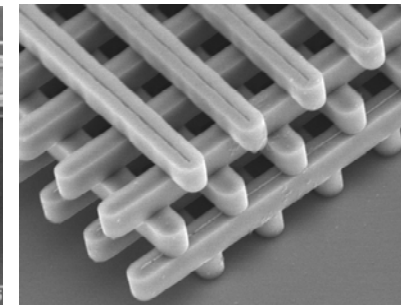
**Integrated  
sensor, 1993**



**High-efficiency  
VCSELs, 1995**



**Silicon surface  
micromachine,  
1995**



**Photonic  
Lattice,  
1998**



# Microsystems & Engineering Sciences Applications

12.000 m<sup>2</sup>

Microsystems  
Laboratory  
Building



15.000 m<sup>2</sup>

Work  
Integration  
Facility



Microsystems  
Fabrication  
Facility

9.000 m<sup>2</sup>



The MESA Project provides essential facilities and equipment to enable the RAPID design, integration, and qualification of microsystem-based components for the future.



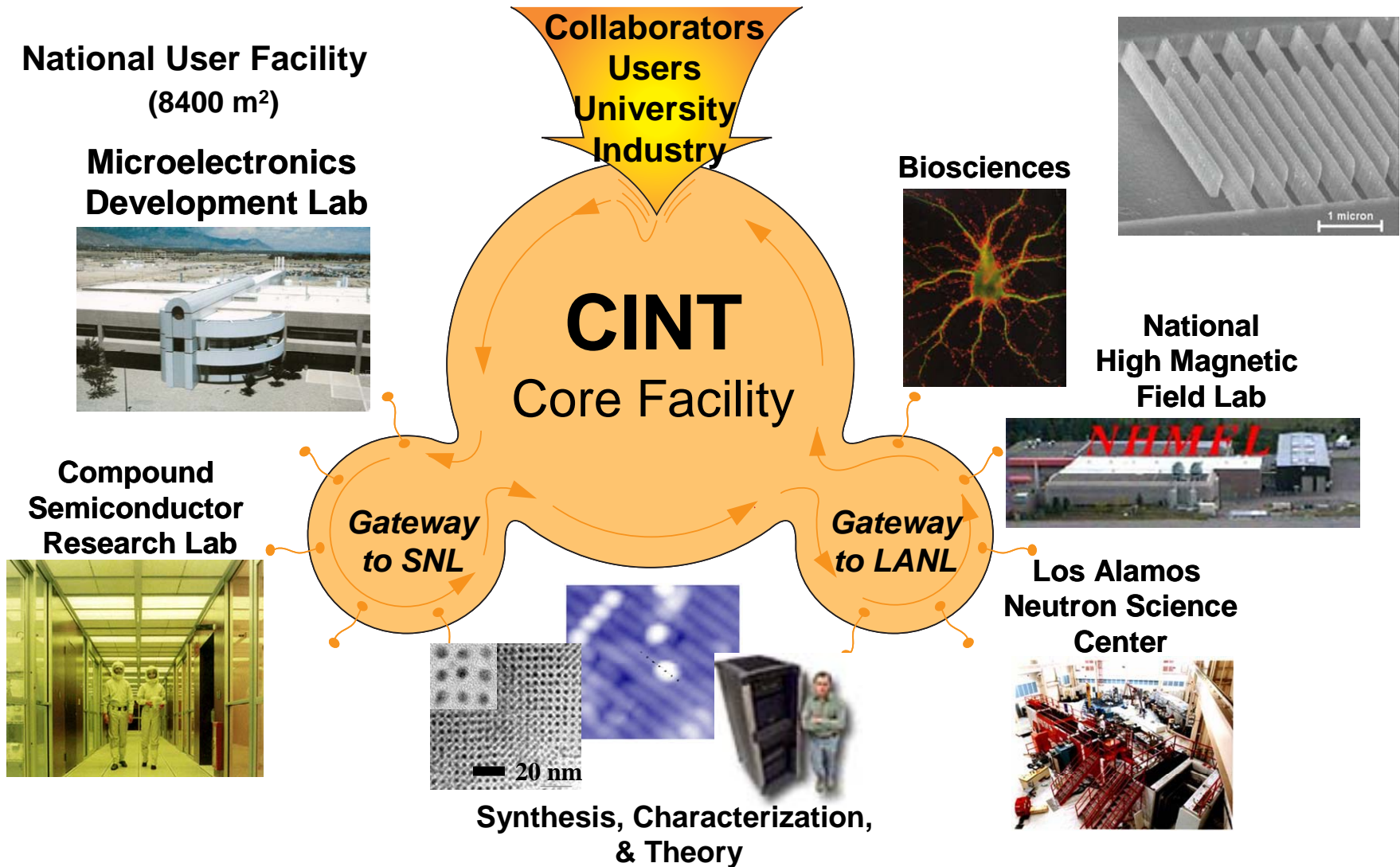
Sandia is a multiprogram laboratory operated by Sandia Corporation, a Lockheed Martin Company, for the United States Department of Energy's National Nuclear Security Administration under Contract DE-AC04-94AL85400.



# Center for Integrated Nanotechnologies (CINT)

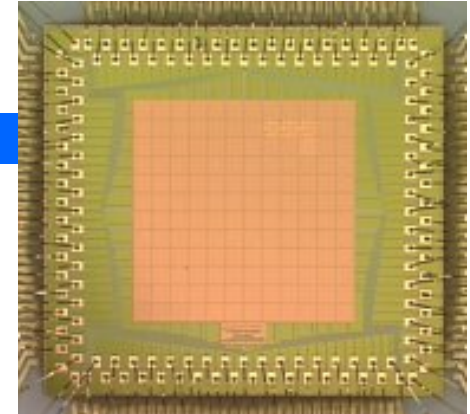
<http://cint.lanl.gov/>

Inauguration held on 23 August 2006



# Clearly We're Interested in Reliability

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- What is it?
- Different definitions
  - The quality or state of being reliable (Webster's)
  - Reliable- Fit to be relied on ! (Webster's)

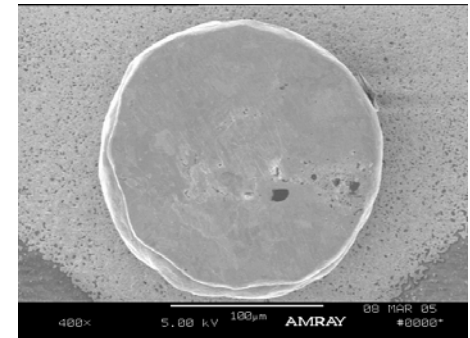
Dependability, Consistency,  
Steadfastness, Trustworthiness



# Reliability Connections

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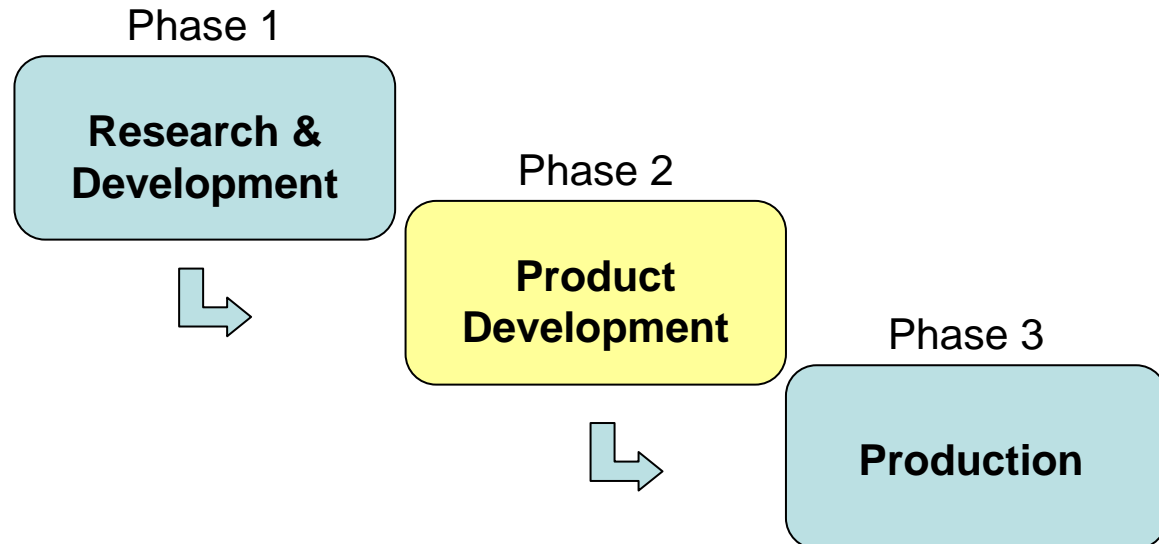
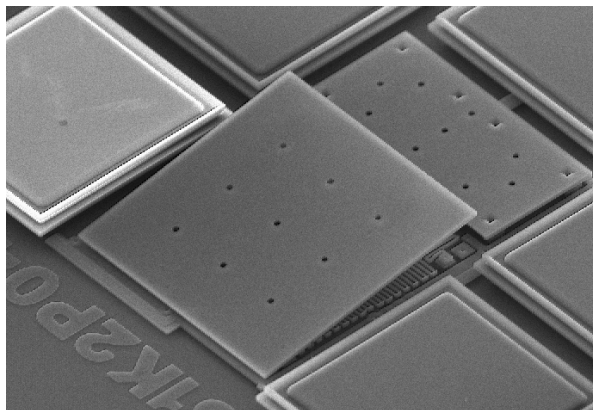
- The ability to determine reliability is one of the most critical elements in product development
- Some obvious and non obvious connections to reliability
  - How we operate within the product realization process
  - Why do things go wrong?
  - Estimation and project planning



# Elements of a Product Realization Sequence

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- Basic Elements
  - Research Phase
  - Productization or Product Development Phase (Thomas George-“Crossing the Valley of Death”)
  - Production Phase



# Fundamental Product Cycle (R&D)

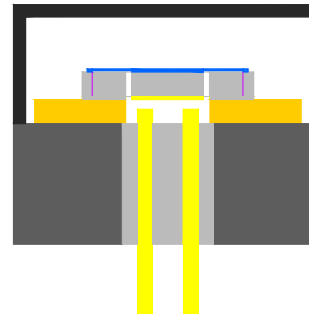
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Phase 1

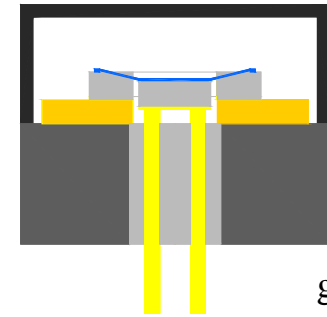
**Research &  
Development**

- **Synthesize Concept**
- Develop Fabrication Processes
- Fabricate Conceptual Design
- Integrate Design into Package
- Prototype Testing

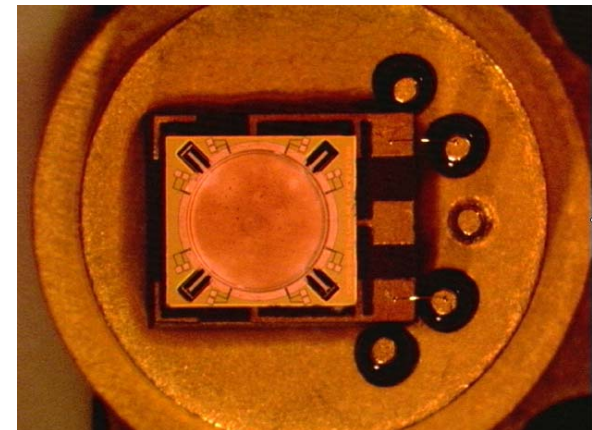
## MEMS Acceleration Switch



Initial State  
Switch Open



Actuated State  
Switch Closed

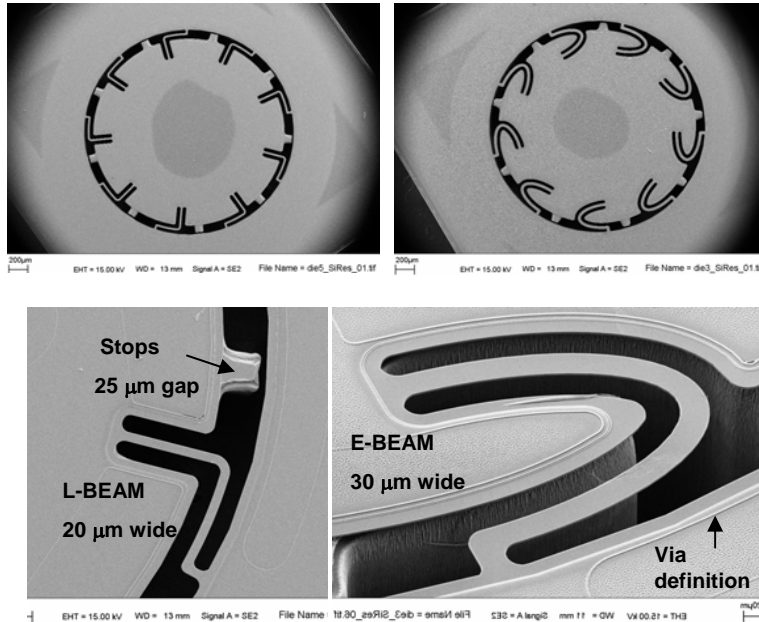


# Fundamental Product Cycle (Product Dev.)

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Phase 2

## Product Development



- Negotiate Requirements
- Establish Team
- **Mature Design & Fabrication Processes**
- Develop Process Controls
- Develop Tooling / Gages / Fixtures / Testers
- Verify Design Meets Requirements
- Qualify Manufacturing Processes



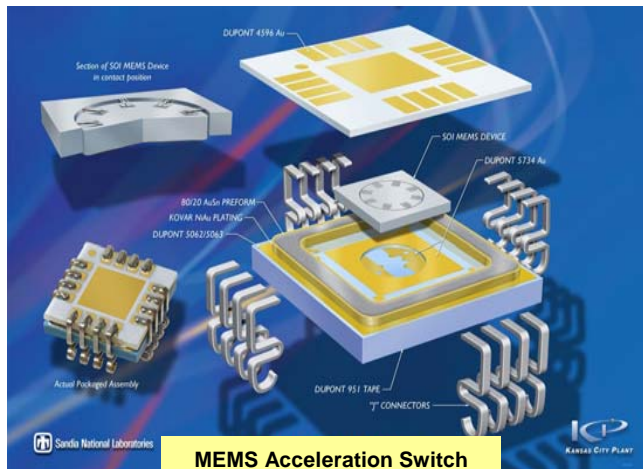
# Fundamental Product Cycle (Production)

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## Phase 3

### Production

- Fabricate Product
- Maintain Process Controls
- Manage Production Schedules
- Lot Sample Testing
- Ship Finished Product



**MEMS Acceleration Switch**



# Fundamental Product Cycle

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- So what is the issue here?
  - The *manner* in which we operate in each phase is **confused**
    - In the R&D Phase for example we should
      - Think out of the box
      - Look at revolutionary methods to solve problem, etc.
    - In the Product Development Phase we need to change our orientation and become more **disciplined**
      - We cannot continue to operate in the R&D mode; we set ourselves up for failure!
    - In both the Product Dev. & Production Phase **absolute attention** to non-glamorous details is mandated



# Why do things go wrong?

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- Failure to learn from history
  - This happens regularly----- **Learning without thought is labor lost -Confucius**
  - Many times there was a lesson that was learned in the past → **But Completely Forgotten !!!**
- Naive or Over Optimistic at the Start
  - This will be easy, it looks simple, ...
  - Need the right mix of confidence and humility
- Inability to estimate and plan



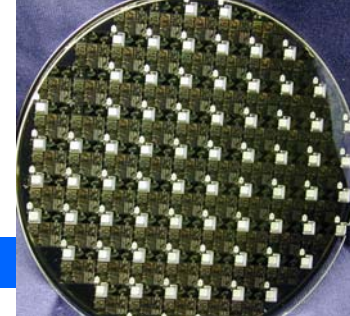
# Why do things go wrong?

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- Poorly defined requirements
  - Lack of knowledge of true environments
  - Design lifetime naiveté
  - Minimal effort is usually devoted to requirements determination
    - “The customer is supposed to know what he wants”
    - “That is for them to tell us”
    - It is our job to determine the requirements
      - Why do you think the requirements always end up changing? → We didn't do our job!



# *More wrong!*



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- We very often unconsciously set ourselves up to fail right from the start!
  - First we begin with a wrong or misstated goal
    - Again this is related to knowledge of requirements
  - We really fail to **plan** properly
    - Usually it's a marginal plan created in haste
    - Do we really know how to do a real plan?
    - We proceed, with an Inadequate PLAN!!
    - We've now done a great job settings ourselves up!



## *What Else?*

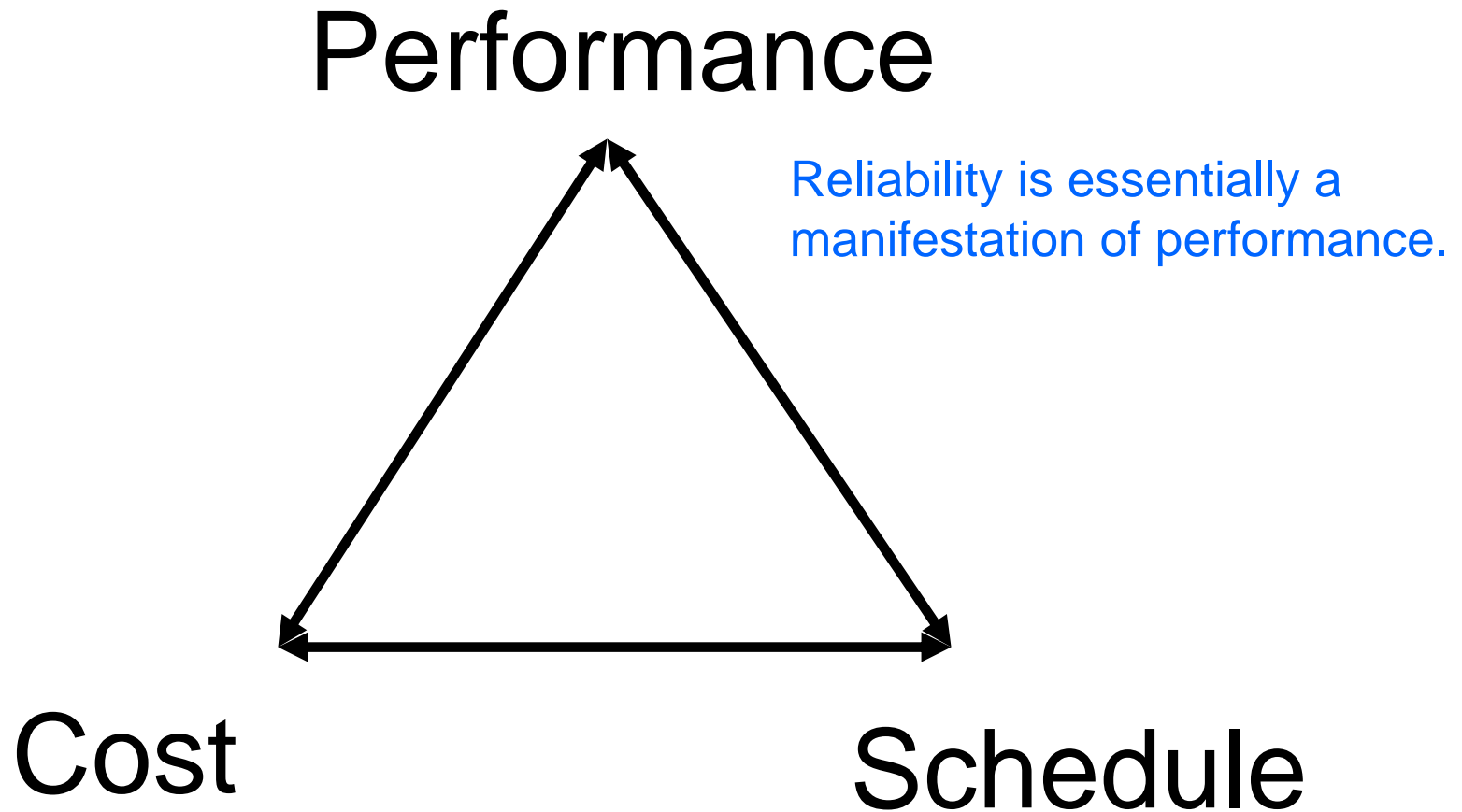
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- How about a feasibility assessment plan?
  - It could be the project is impossible by one or more elements of the triple constraint and we simply are unaware!
  - We usually don't have a plan to determine feasibility given real requirements
    - Again we've done an excellent job setting ourselves up for failure!
  - Important connection between
    - Requirements  $\leftrightarrow$  Feasibility

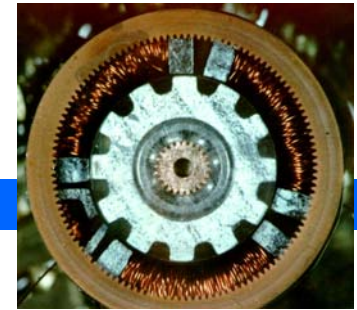


# The Triple Constraint

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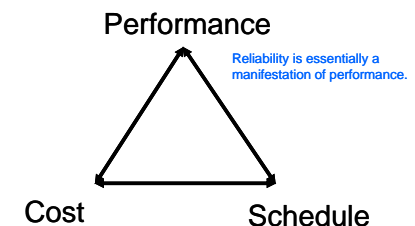


# Estimation and Project Planning



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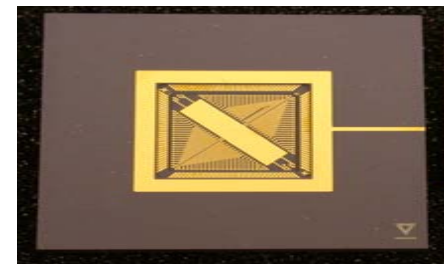
- To start
  - Most of us were never trained to plan and estimate (it's not easy)
    - This type of capability is a serious undertaking and not satisfied by a few “short courses”
    - True planning professionals already know this
      - We need their help and usually they're brought in as an afterthought
- What is it that we can't plan and estimate?
  - Cost, Schedule and Performance



# Estimation and Project Planning

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- But what is the real problem?
- Answer → We don't invest sufficiently in project planning and estimation
  - Again the real planning professionals say an investment of 25% of the budget is required!!
    - And we don't believe this (**at first**)
- Project planning and estimation is the single most important element of a successful product development



# Other Elements of Project Planning

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- A well thought out project plan also contains a Risk Management Plan
  - Lack of a formal risk management plan should be considered a red flag to a customer
- Does your project plan have provisions for Independent Peer Review?
  - Surprisingly many extremely large projects have no formal provision for true independent peer reviewing



# Where are we now?

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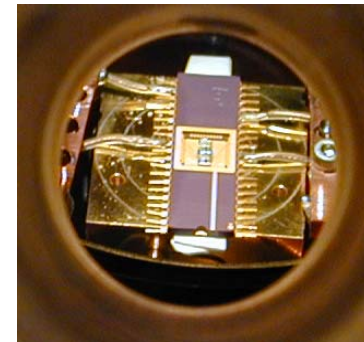
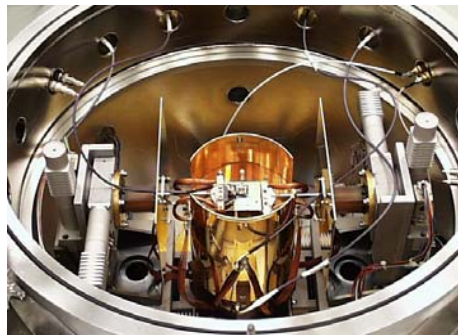
- Progress has slowed
- We should be farther along in the MEMS product development arena
  - Lots of reasons including:
    - Extremely poor product realization planning or lack of it
    - Modeling and simulation capabilities and tools that are insufficient (Our underestimation of the nonlinearity of physical systems and their interactions)
    - Misunderstandings about how we proceed in the various phases of the product cycle



# Reliability Significance

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- Reliability is effectively one of the key elements of the triple constraint
  - Reliability is a keystone characteristic of the performance and quality of a product
  - At present the only effective method to determine reliability is through **experiment**



Micromirrors (reliably?) operating at 12° K

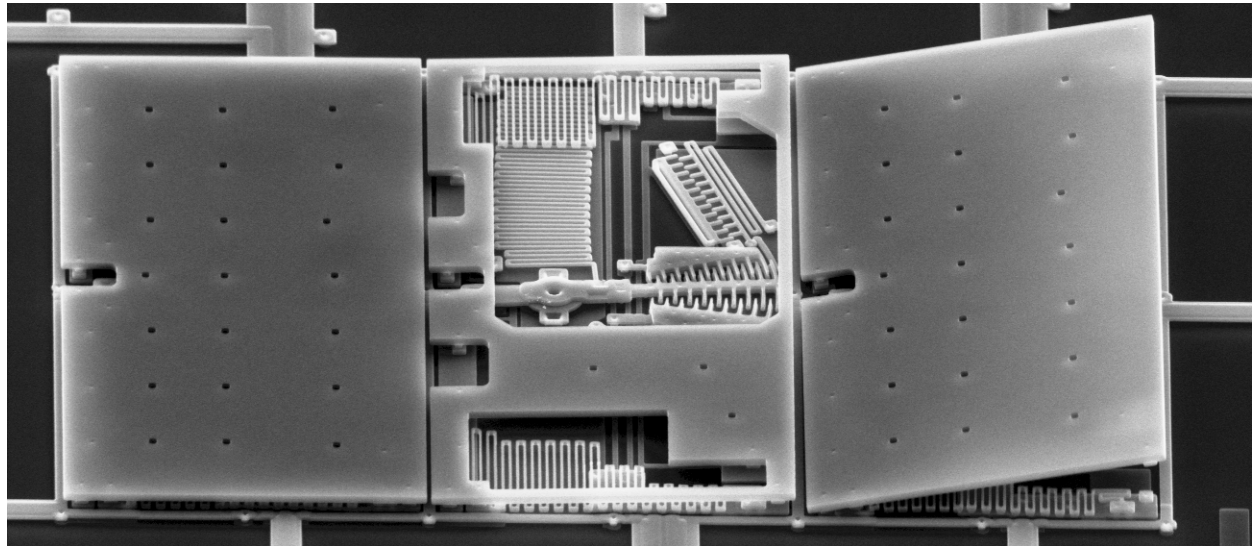


# Reliability Status

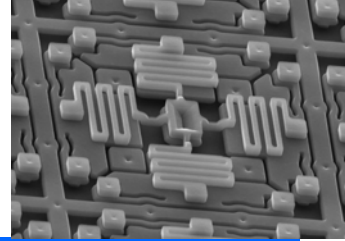
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- However, complex system configurations have now evolved such that our ability to determine reliability, based on experiment, is quickly becoming obsolete and cost prohibitive

Latching Micromirror Design



# Are We Arriving at an Impasse?



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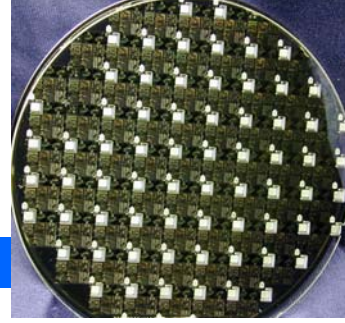
- We need to reexamine our design and development processes
  - Especially for MNT with it's scaling spectrum
  - Engineering development has stagnated as systems have become more complex
  - Recognize our existence in the empirical world
    - Product validation requires empirical evidence

The problem →

We still live in the empirical world!!!



# Where to from here?



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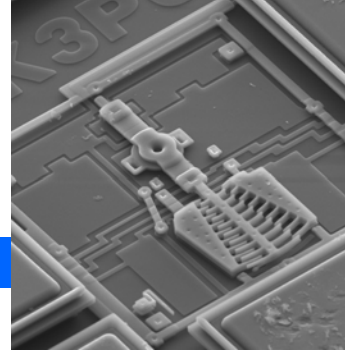
- At present we're on an incremental path
- Is there a path to revolutionary advances?
  - How? → Computational advancements **coupled** with a true understanding of engineering physics can revolutionize our capabilities
- It's called Science-Based Engineering

This idea has been around for a long time



# Science-Based Engineering

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- Science-Based Engineering integrates scientific understanding with experiment and validated modeling to create a responsive product realization process

– Emphasis is toward predictive capabilities to design and insure reliability requirements are satisfied over product environments and lifetimes



# Science-Based Engineering

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- Moves to take our empirically-based development methodologies to a science-based approach that relies on validated modeling and simulation

– Our Laboratory goal is to enable reliability assessments from an a priori knowledge of the system physics

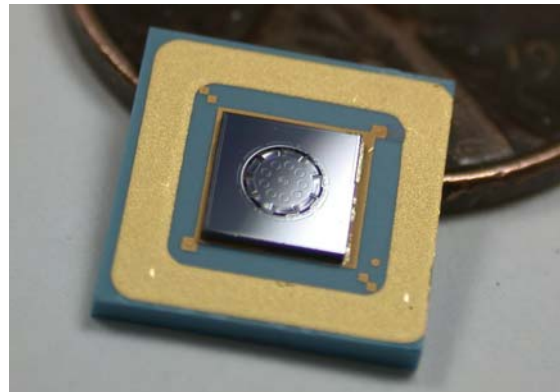
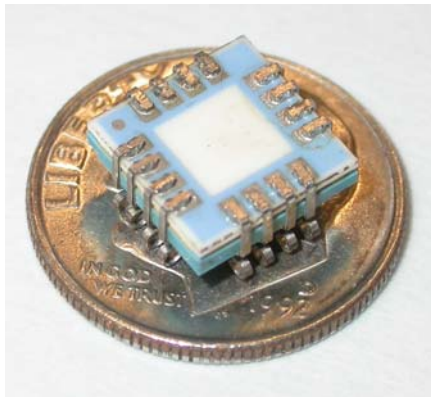
-It's not going to be easy-



# Where to from here?

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- Start on the road to Science-Based Engineering now
  - Investments to achieve capability are required
  - Recognize this is the path to competitiveness
- Re-Reinvent our *product realization process*
  - Such reinvention will require resolve



# What can we do right now?

(To Build a Reliable System)

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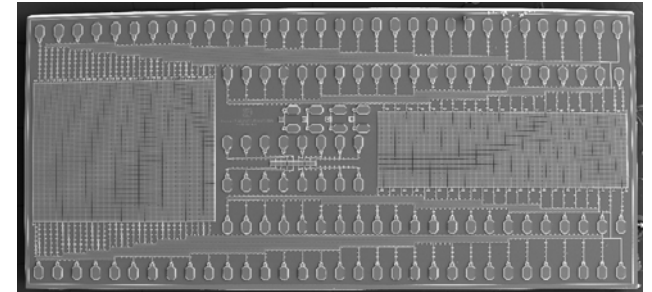
- A brief recipe:

- Decide on your priorities

- Allocate sufficient resources: staff, time, budget,  
PLANNING

- The design, build, test, ....., etc., process must be  
subject to strict discipline

- Ultimate goal: Develop an intrinsic and profound  
understanding of your system



Extensive testing is the only path today



# In Our Laboratory Future



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- We are pursuing a methodology to create
  - A predictive science-based engineering process to:
    - Produce believable characterizations (including reliability) of a product's behavior before the product is built and system tests are conducted.
- This methodology will be enabled by
  - Scientific Research
  - Modeling using high-performance computational capabilities
  - Advanced experimental and testing capabilities for phenomenological discovery and code validation



# Acknowledgements

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- Thanks to:
  - CANEUS Organizers: Milind Pimprikar and Thomas George
  - Many colleagues at Sandia especially
    - Mark Polosky, Gregory Bogart, Randy Shul, Andrew Oliver, Jeremy Walraven, Danelle Tanner, Ken Petersen, Steve Lott, Thomas Swiler

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# Micro-Nano-Technologies?

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Choose a job you love, and you will never  
have to work a day in your life.

Confucius

