

# Mechanical and Tribological Behavior of Polymer-Derived Ceramics Constituted from SiCxOyNz

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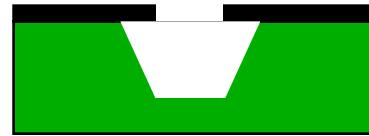


# A variety of fabrication methods can be used to construct microsystems

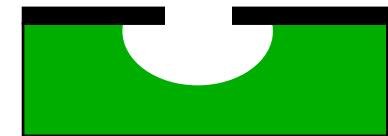
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## Bulk Micromachining

- subtractive process; pattern and etch
- well established for Si; also done in GaAs



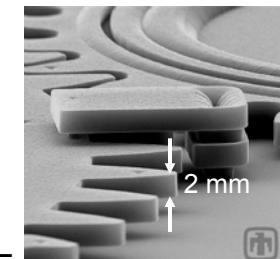
anisotropic



isotropic

## Surface Micromachining

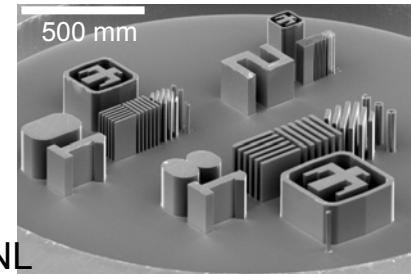
- additive process; structural (Si) and sacrificial ( $\text{SiO}_2$ ) layers
- dissolve sacrificial layers to free structures



SNL

## Lithographie, Galvanoformung und Abformung (LIGA)

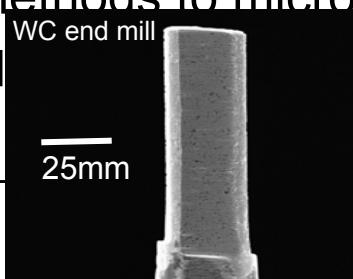
- plate or press into thick polymer mold
- micron to centimeter scale parts



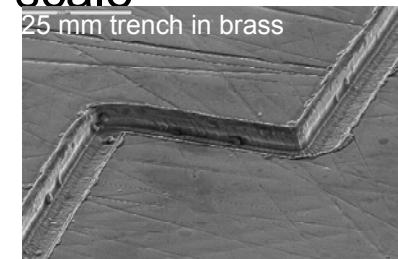
T. Christenson, SNL

## Meso-Machining

- subtractive process; push machining methods to micron scale
- micro-milling, micro-EDM, plunge EDM

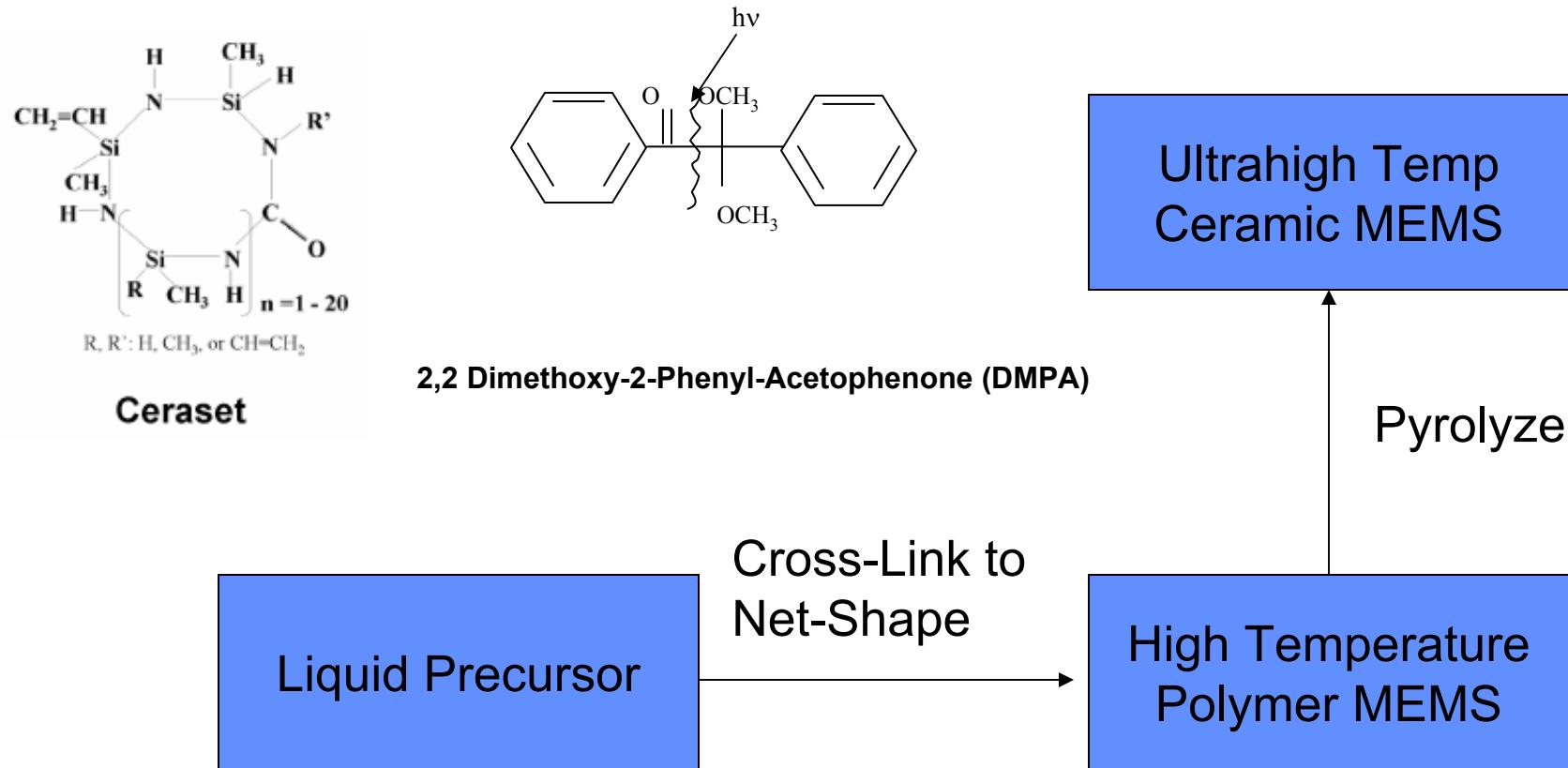


G. Benavides, SNL

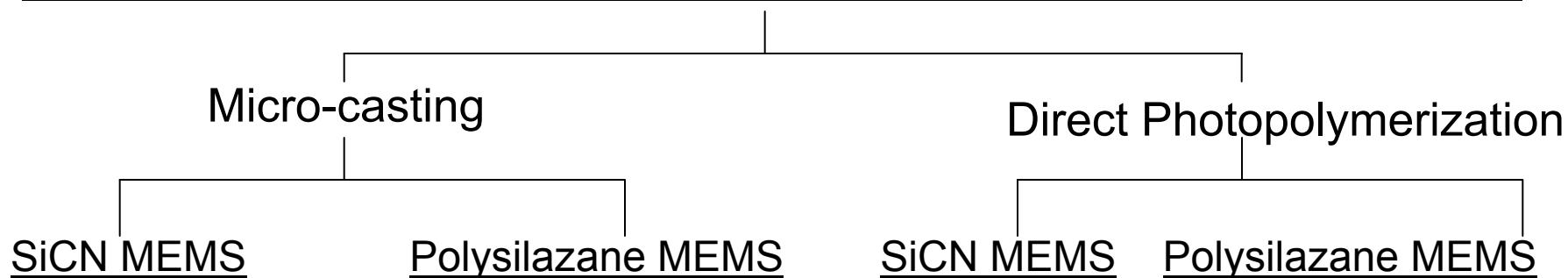


25 mm trench in brass

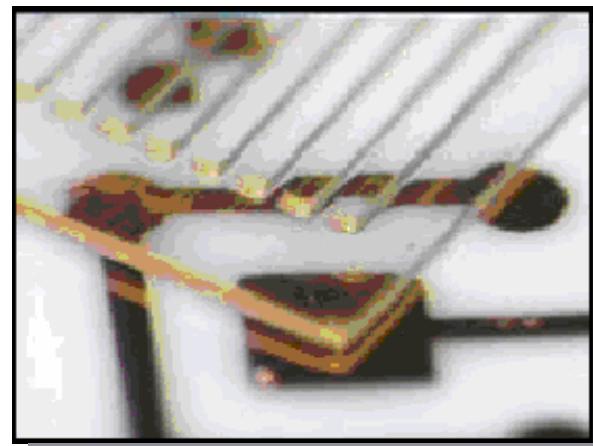
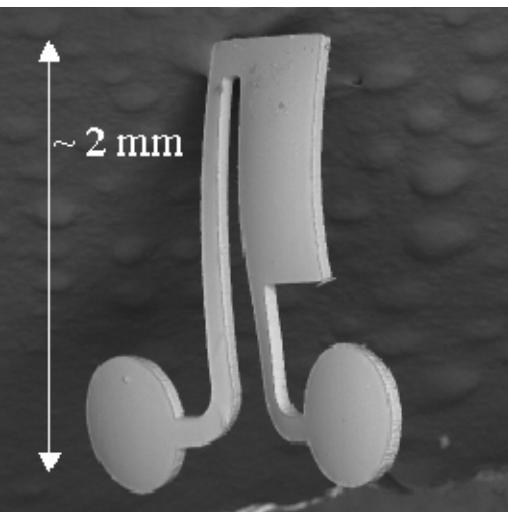
# Schematic illustration showing the fabrication route for polymer-derived ceramics



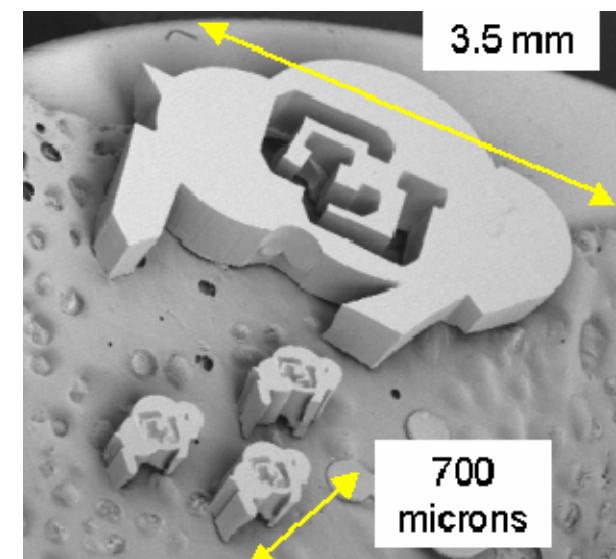
# Polymer Micromachining



Cast in SU8 molds, followed by de-molding



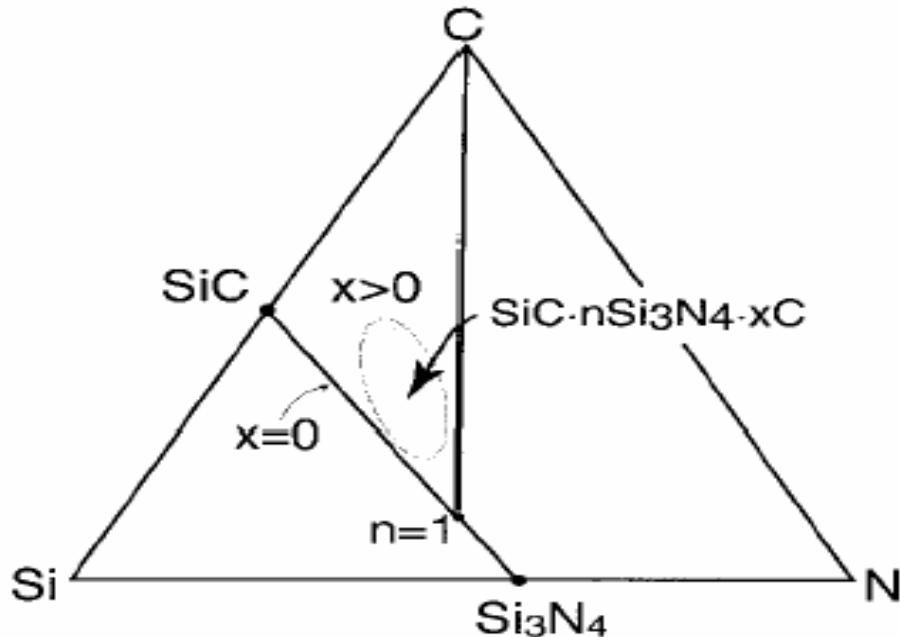
Treat precursor as a negative photoresist



Preceramic polymer buffaloes, 500 microns thick

# Composition diagram and the properties of SiCN PDC materials

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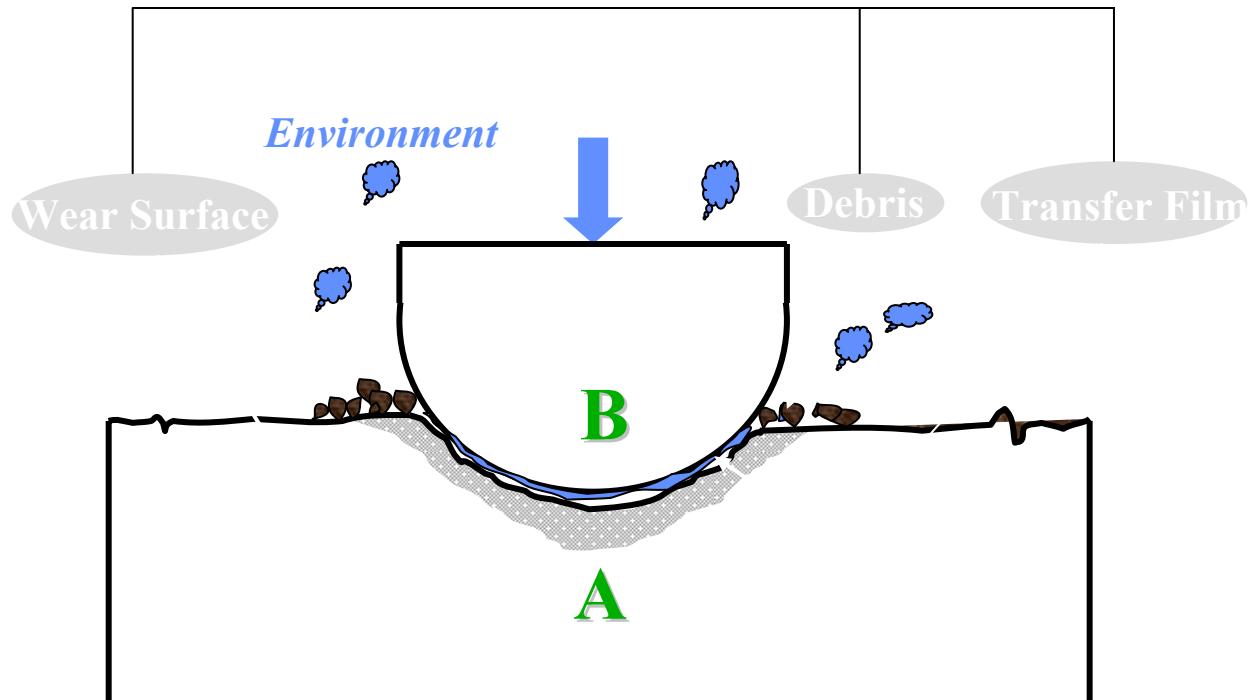
	<b>SiCN</b>	<b>SiC</b>	<b><math>\text{Si}_3\text{N}_4</math></b>
<b>Density (g/cm<sup>3</sup>)</b>	<b>2.35</b>	3.17	3.19
<b>E Modulus (GPa)</b>	<b>150</b>	405	314
<b>Poisson's Ratio</b>	<b>0.17</b>	0.14	0.24
<b>CTE (<math>\times 10^{-6}/\text{K}</math>)</b>	<b><math>\sim 3</math></b>	3.8	2.5
<b>Hardness (GPa)</b>	<b>25</b>	30	28
<b>Strength (MPa)</b>	<b>1100</b>	418	700
<b>Toughness (MPa.m<sup>1/2</sup>)</b>	<b>3.5</b>	4 - 6	5 - 8
<b>Thermal Shock FOM*</b>	<b>2300</b>	270	890
<b>Creep rate at 1350 °C (s<sup>-1</sup>)</b>	<b><math>&lt; 10^{-8}</math></b>	$\sim 10^{-9}$	$\sim 10^{-9}$
<b>Oxidation rate at 1350 °C (cm/hr<sup>1/2</sup>)</b>	<b><math>\sim 10^{-5}</math></b>	$\sim 10^{-5}$	$\sim 10^{-5}$

\* strength/(E-modulus.CTE)

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# Tribology @ Micro-level: a systems property

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**Mechanical Interlocking  
(Surface Morphology)**



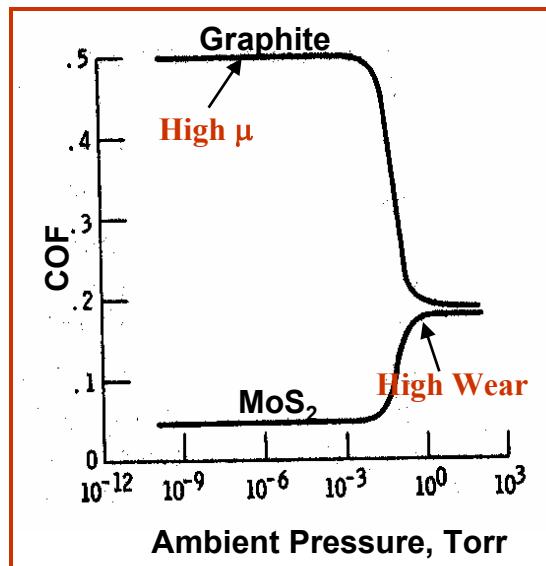
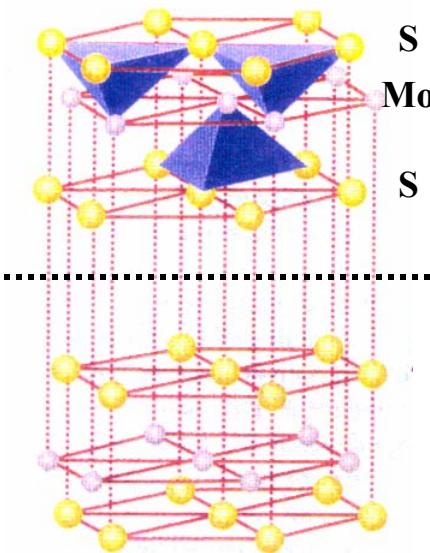
**Adhesion**



**Debris Entrapment**

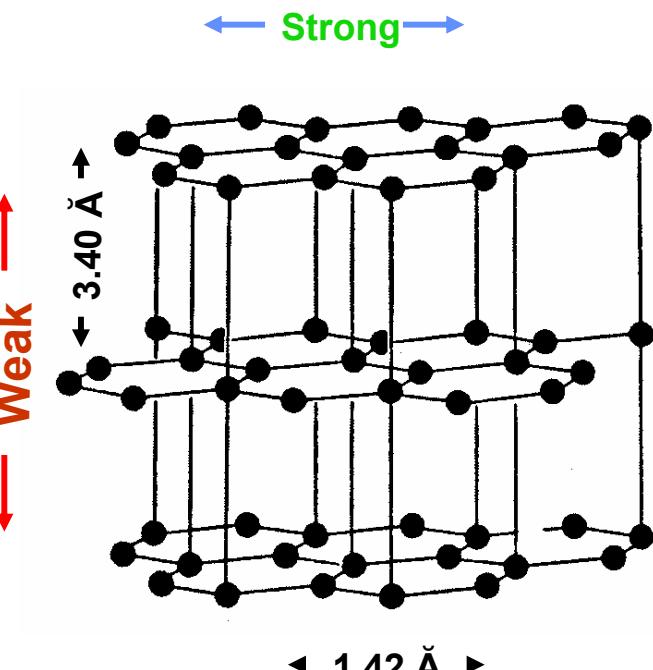
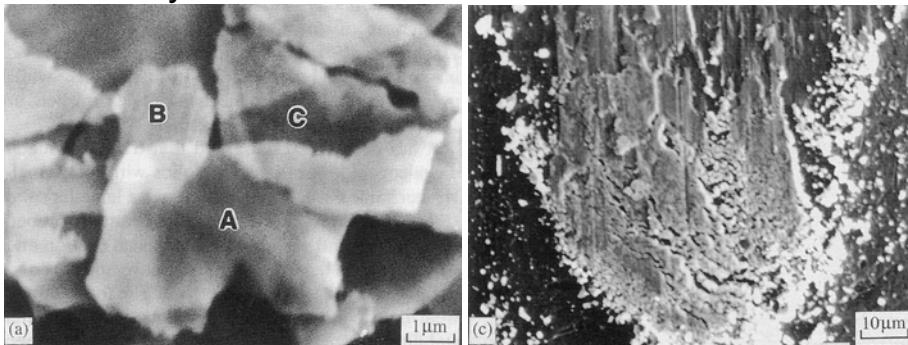
# No material can act as a solid lubricant in all environments and under all operating conditions

MoS<sub>2</sub>: Extremely low COF (0.01-0.05) and long wear life, **but only in dry environments**.



## Mo/W Disulfide

They form thin transfer films on the counterface

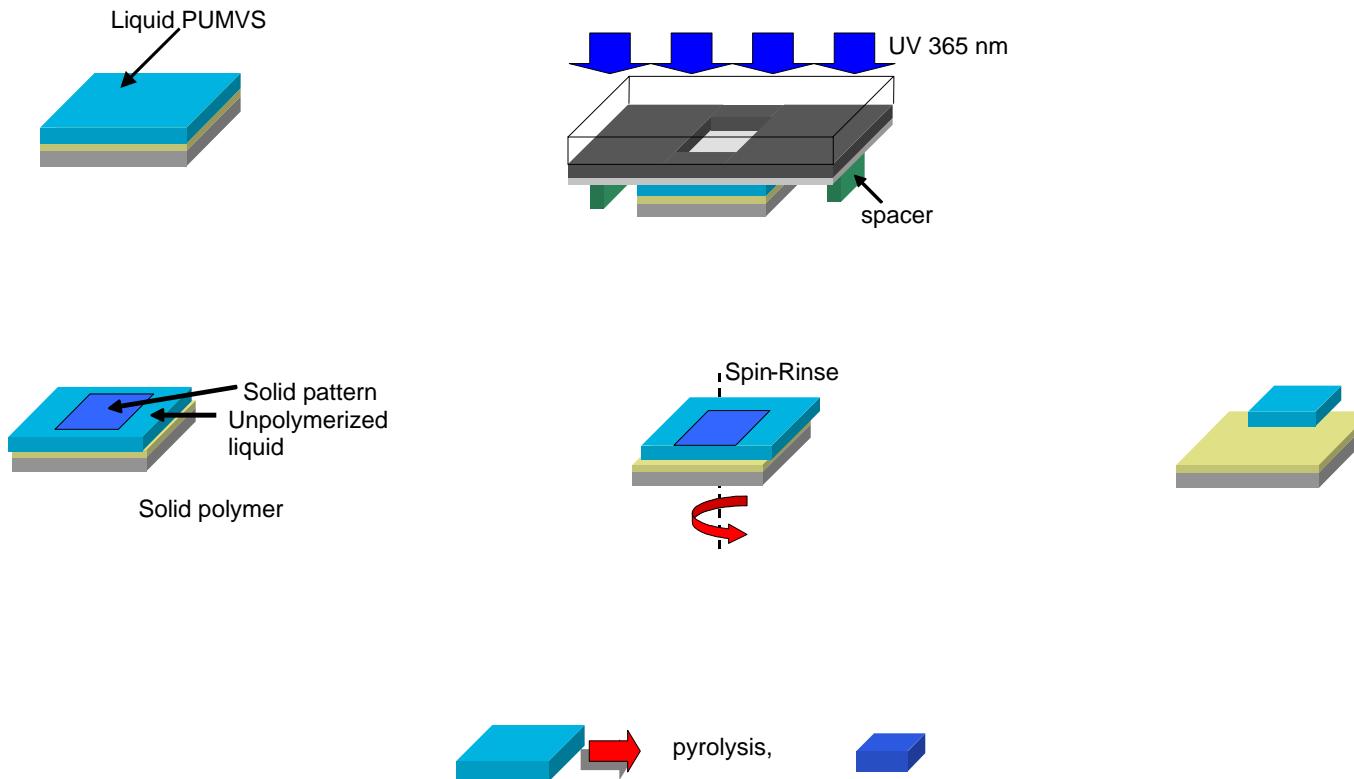


## Graphite

- Graphite needs moisture or adsorbed gases in the environment (>100 ppm) (they either act as intercalants, or passivate the dangling covalent bonds) to lubricate.
- In vacuum, graphite exhibits high friction and wear—a phenomenon known as “dusting”, first observed in the late 1930’s when graphite brushes in aircrafts experienced accelerated wear at high altitudes.

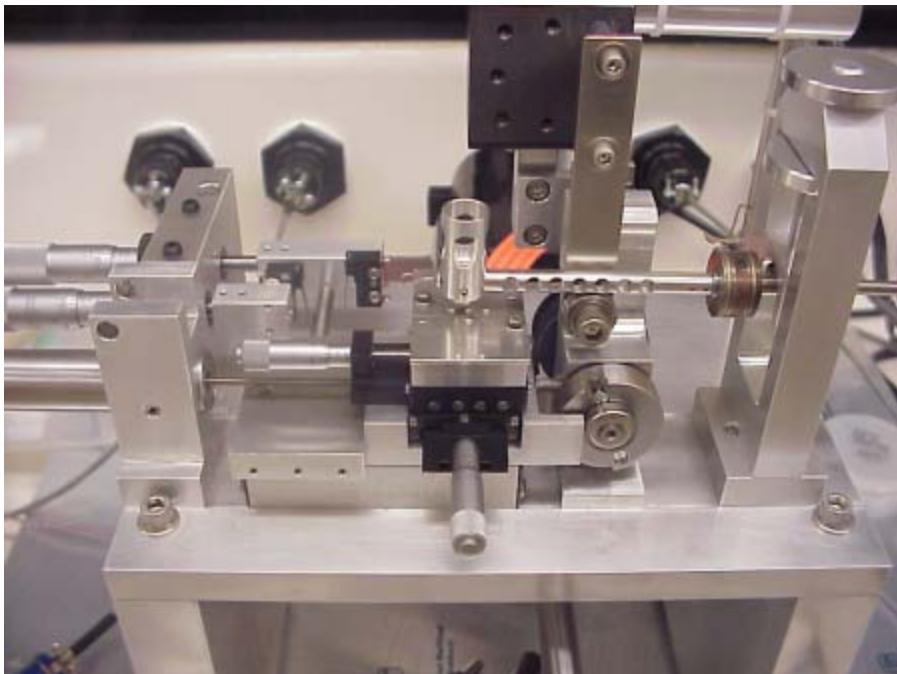
# A simplified process was used for making tribology test coupons (Courtesy of Sandeep Shah)

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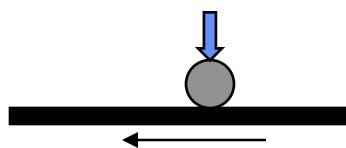


# Tribological measurements were made in controlled environments

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Linear Wear Tester  
(Ball-on-Flat configuration)



Counterfaces:  $\text{Si}_3\text{N}_4$  and 440C Steel Balls (3.175 mm dia)

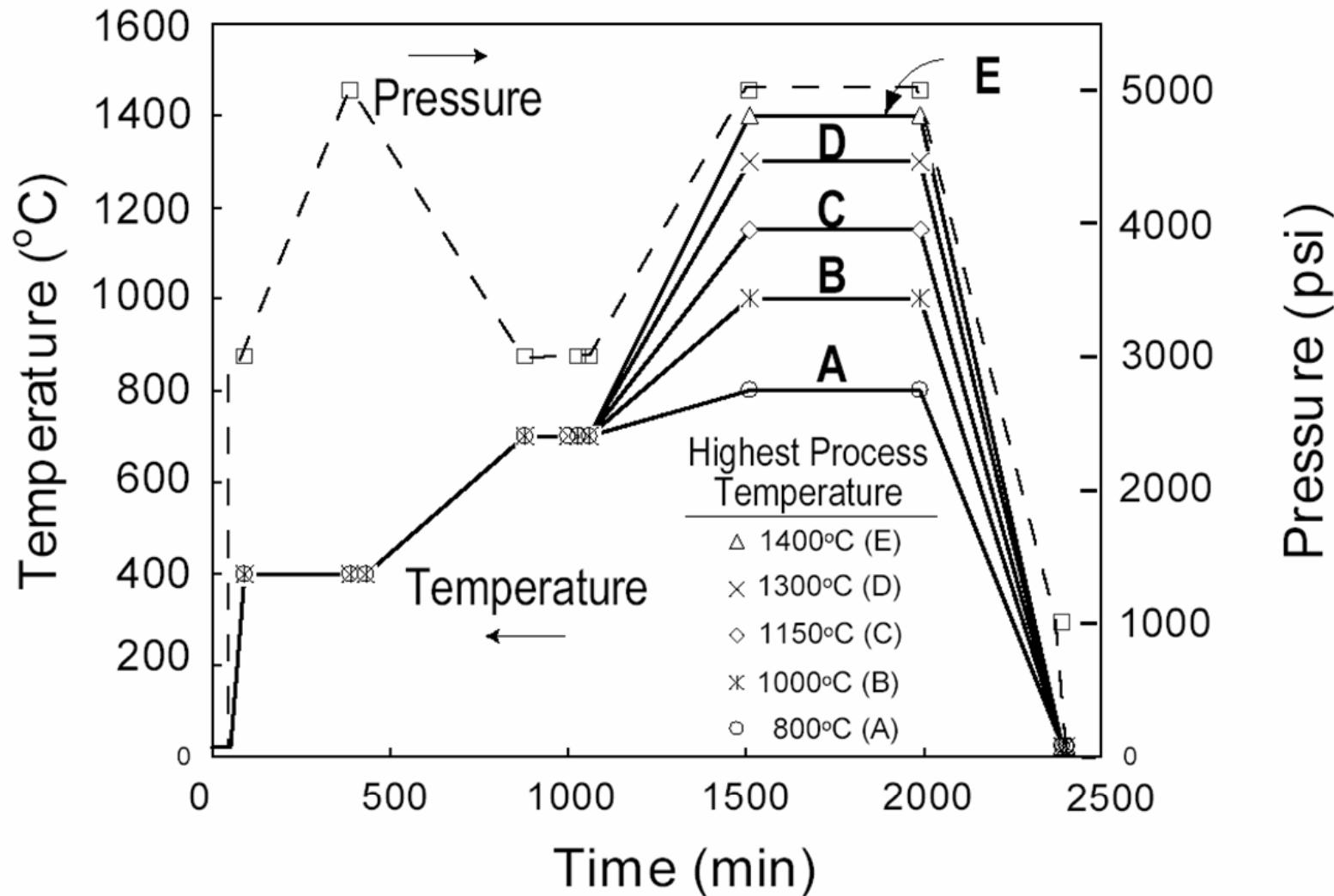
Normal Loads: 0.44 GPa, 0.75 GPa, 0.95 GPa

Environments: Dry Nitrogen, Dry Air, Air with 20, 40, 50 and 80% RH

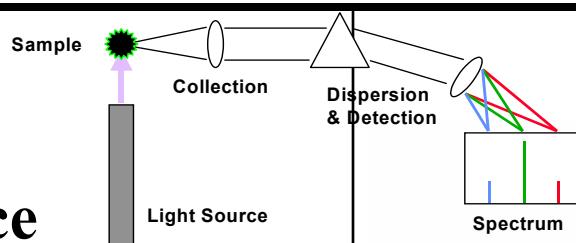


Environmental Control

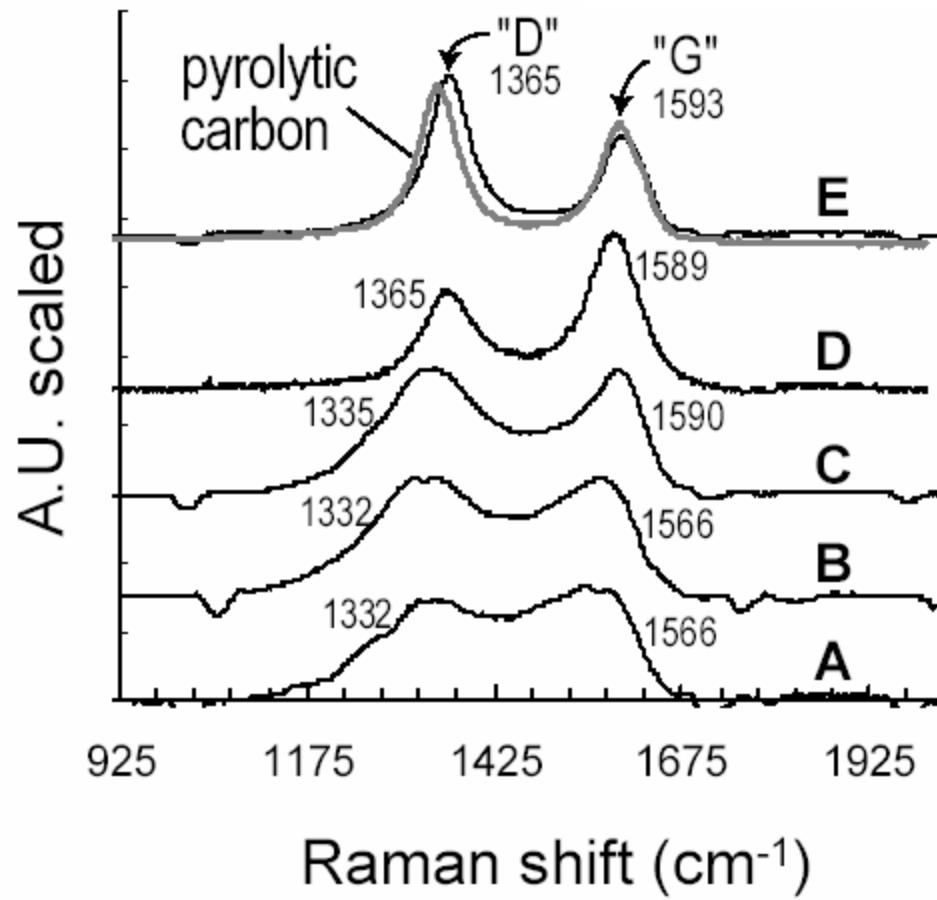
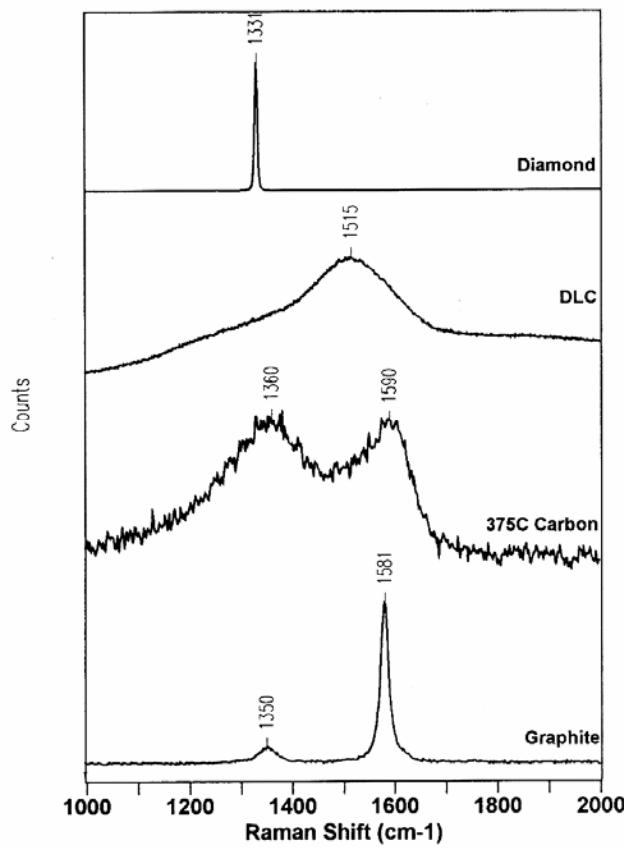
# Pyrolysis cycles



# Raman spectra (Argon laser: 458 nm wavelength, Spot Size: 1 $\mu\text{m}$ )

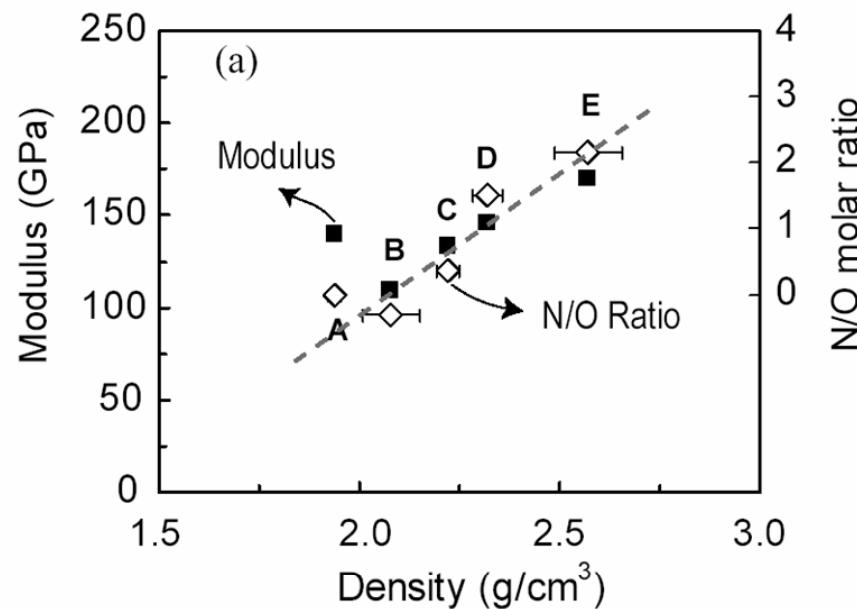
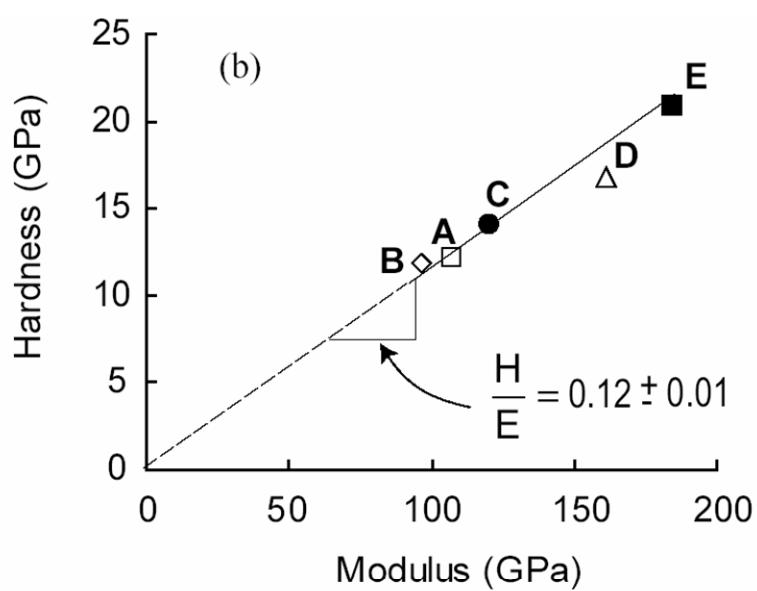


## Reference

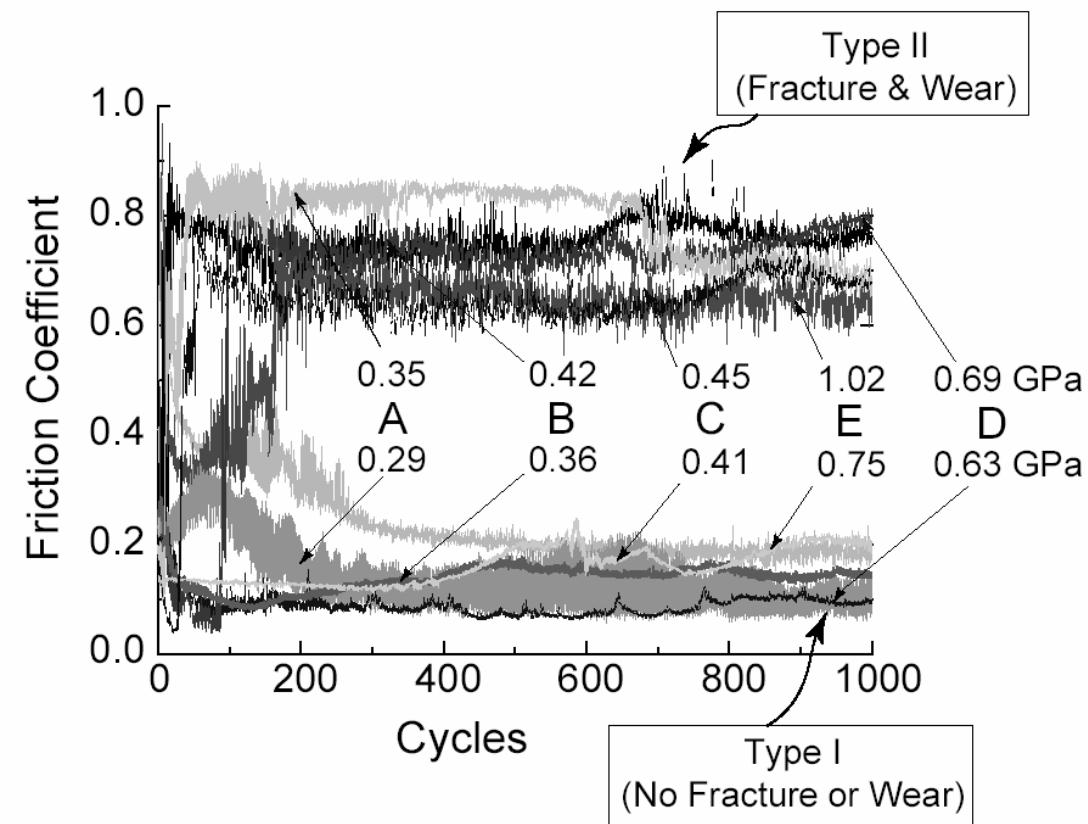


# Mechanical behavior and composition

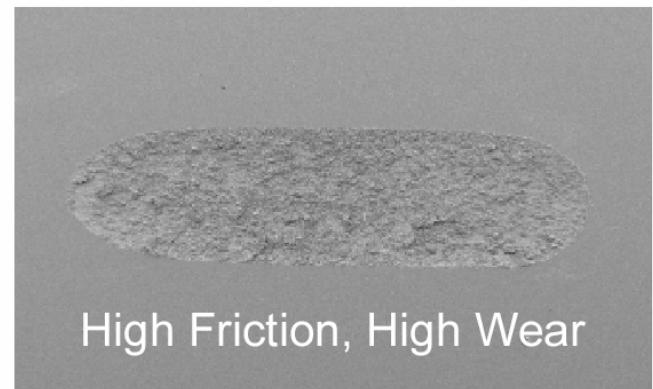
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# Friction behavior in dry nitrogen



Type II: 0.97 GPa,  $\mu \sim 0.7$



High Friction, High Wear

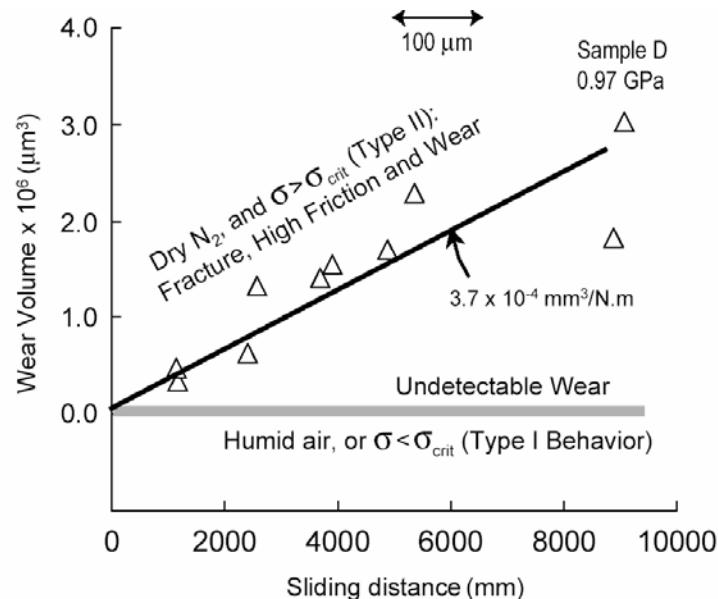
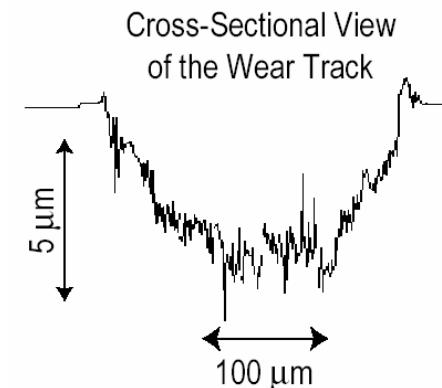
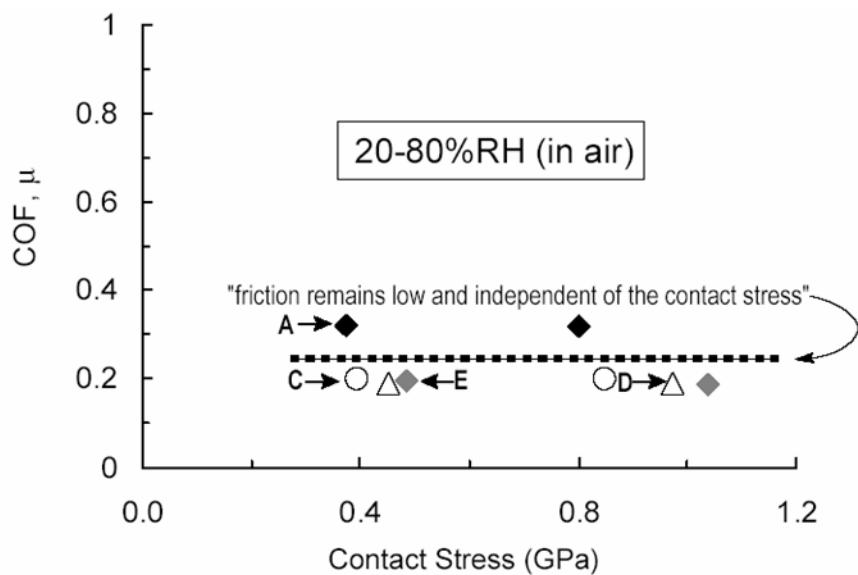
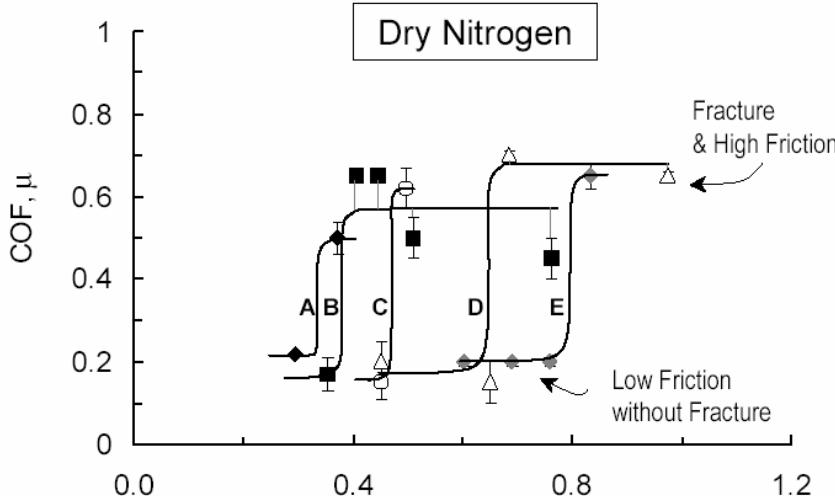
200  $\mu\text{m}$

Type I: 0.36 GPa,  $\mu \sim 0.2$



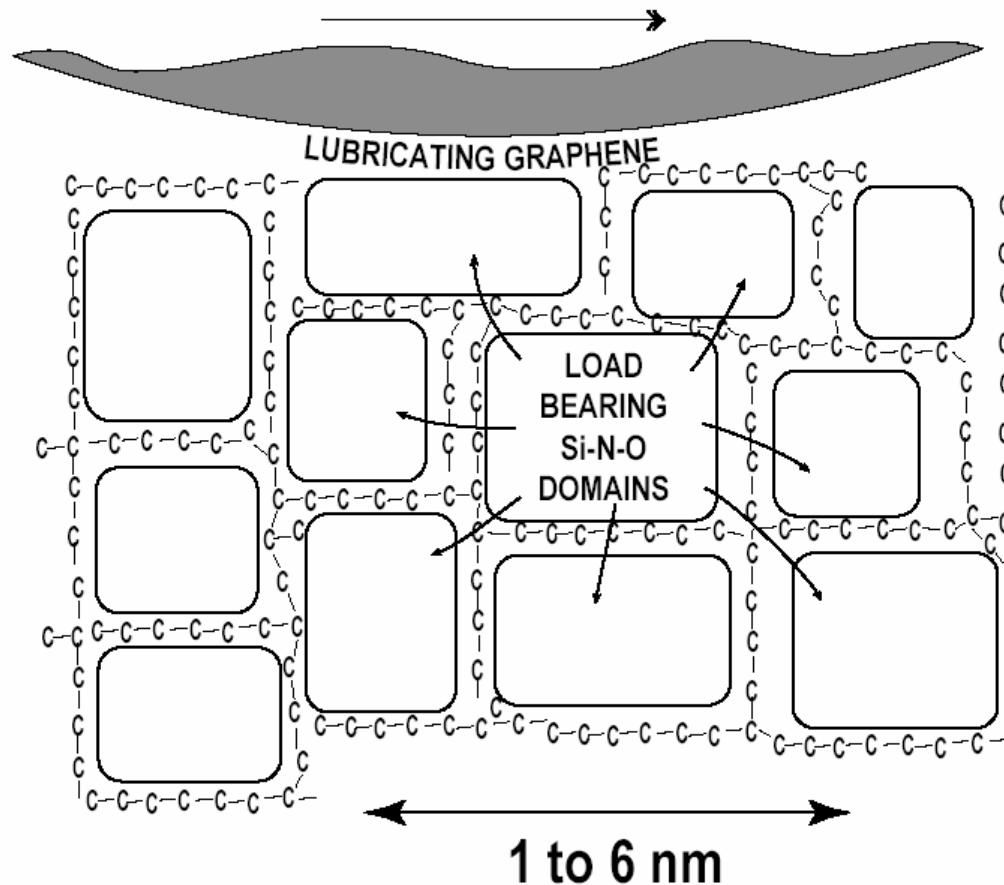
Low Friction, Low Wear

# Summary of tribological data showing environmental effects on friction and wear transitions



# Schematic depiction of dual-phase structure in SICNO

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# Conclusions Remarks

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- SiCNO showed two distinct regimes of tribological behavior: one identified by low  $\mu$ , and negligible wear, and the other by high friction and high wear.
- The **onset of fracture** under a critical value of the applied contact stress is believed to lead to the **transition** from low friction to high friction behavior. The transition apparently moved to contact stresses beyond the experimental regime in humid environments (where only the low friction behavior was seen).
- This result is thought to be related to an increase in the work of fracture of the PDC in the presence of water molecules at the crack tip when the crack propagation velocity is of the same order as the sliding friction velocity (that is, non-stress-corrosion type of fracture conditions).

# Acknowledgements

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