

1       **Chemical and anatomical changes in *Liquidambar styraciflua* L.**  
2               **xylem after long term exposure to elevated CO<sub>2</sub>**

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18 **Abstract**

19           The anatomical and chemical characteristics of sweetgum were studied after 11 years of  
20 elevated CO<sub>2</sub> (544ppm, ambient at 391ppm) exposure. Anatomically, branch xylem cells were  
21 larger for elevated CO<sub>2</sub> trees, and the cell wall thickness was thinner. Chemically, elevated CO<sub>2</sub>  
22 exposure did not impact the structural components of the stem wood, but non-structural  
23 components were significantly affected. Principal component analysis (PCA) was employed to  
24 detect differences between the CO<sub>2</sub> treatments by considering numerous structural and chemical  
25 variables, as well as tree size, and data from previously published sources (i.e., root biomass,  
26 production and turnover). The PCA results indicated a clear separation between trees exposed to  
27 ambient and elevated CO<sub>2</sub> conditions. Correlation loadings plots of the PCA revealed that stem  
28 structural components, ash, Ca, Mg, total phenolics, root biomass, production and turnover were  
29 the major responses that contribute to the separation between the elevated and ambient CO<sub>2</sub>  
30 treated trees.

31

32 **Capsule:** Elevated CO<sub>2</sub> at atmosphere did not impact the structural components yet altered some  
33 of non-structural components and anatomical properties after 11 years of exposure on sweetgum.

34

35 **Keywords:** Free Air CO<sub>2</sub> enrichment, sweetgum, chemical composition, hydraulic conductivity,  
36 PCA

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## 39 **1. Introduction**

40 Globally, the mean atmospheric carbon dioxide level has risen steadily since pre-industrial  
41 times, which is largely attributable to human activities such as increased emissions from fossil  
42 fuel burning and clearing of forests. Implications of elevated CO<sub>2</sub> on ecosystems have been  
43 largely limited to mechanistic models or to studies on seedling and small trees in growth  
44 chambers until the development of new technology extended experiments to intact ecosystems.  
45 Free Air CO<sub>2</sub> Enrichment (FACE) studies were designed to address long-term responses of  
46 ecosystems to elevated level of atmospheric CO<sub>2</sub> through targeted release of air enriched with  
47 CO<sub>2</sub> into the plant canopy.

48 Little is known about the potential impacts of elevated CO<sub>2</sub> on xylem structural properties,  
49 which complement stomatal dynamics to provide additional controls on water flux through  
50 plants. A change in xylem anatomy such as lumen diameter (Tyree et al., 1994) or scalariform  
51 perforation plate bar thickness (Schulte, 1999) would affect resistance to water flux and thereby  
52 hydraulic conductivity. In birch and oak seedlings, elevated CO<sub>2</sub> was found to reduce water flux  
53 and leaf specific conductivity (Eguchi et al., 2008) which directly suggests reduced stem  
54 hydraulic capacity as was exhibited in beech trees (Overdieck et al., 2007). Elevated CO<sub>2</sub> has  
55 been shown to affect xylem cell size, increasing cell size in *Larix decidua* (Handa et al., 2006)  
56 and dogwood (Domec et al., 2010), but reducing cell size in *Picea abies* (Kostiainen et al., 2004)  
57 and *Fagus sylvatica*, (Overdieck et al., 2007), illustrating the species specificity of response.  
58 Thicker cell walls have been seen in *Pinus sylvestris* exposed to elevated CO<sub>2</sub> (Kilpelainen et al.,  
59 2007), but thinner cells developed when elevated temperature and CO<sub>2</sub> treatments were applied  
60 together, illustrating the complexity of response.

61 Along with the atmospheric CO<sub>2</sub> concentration, soil nutrient status has also been shown to be  
62 a crucial factor to the plant growth (Norby et al., 2010; Oren et al., 2001). At the Oak Ridge  
63 National Laboratory's (ORNL) FACE site and other forest FACE sites, elevated CO<sub>2</sub> initially  
64 enhanced stem wood growth by ~25 % (Norby et al., 2005). However, with limited soil N  
65 availability on this site, net primary productivity (NPP) was reported to gradually decrease over  
66 the course of the study (Norby et al., 2010). Nutrient balance is also important for differentiation  
67 of photosynthate, yet several studies showed no significant differences in the amount of total  
68 extractives with elevated CO<sub>2</sub> treatment, although the concentration of proteins and mineral  
69 nutrients decreased and the lipid compositions were altered (DaMatta et al., 2010; Kilpelainen et  
70 al., 2005; Kilpelainen et al., 2003). Phenolic compounds of plants grown under elevated CO<sub>2</sub>  
71 condition have been also studied (Ghasemzadeh et al., 2010; Johnson and Pregitzer, 2007;  
72 Penuelas et al., 1996). Non-structural sugars and starch content of CO<sub>2</sub> enriched xylem from  
73 several forest FACE sites increased by 30-40 % compared to the control samples (Ainsworth and  
74 Long, 2005). Kaakinen et al. (2004) also reported that soluble sugars and starch concentration  
75 increased with 3 years of CO<sub>2</sub> treatment in the Aspen FACE site.

76 In general, the primary effects of elevated CO<sub>2</sub> concentration on trees include higher rates  
77 of photosynthesis, enhanced water use efficiency, enhanced productivity, and alteration of  
78 secondary metabolites. Such physiological changes may lead to changes in chemical composition  
79 of the different parts of trees including leaves, xylem (wood), phloem (bark), and roots.  
80 However, as it was pointed out earlier, most of the previous studies were conducted on samples  
81 from controlled environments (potted plants or open-top chamber) and some of the previous  
82 FACE research was conducted on juvenile wood. The findings could differ with the age of the  
83 trees since the anatomical, physical, and chemical properties of juvenile wood are significantly

84 different from that of mature wood (Haygreen and Bower, 1996), suggesting changes in wood  
85 chemistry due to elevated CO<sub>2</sub> could also be different between juvenile and mature wood. A  
86 recent study by Kostianen et al. indicated that short-term impact studies, conducted with young  
87 seedlings, may not give a realistic view of long-term tree responses (Kostianen et al., 2014). We  
88 expanded on the initial study of wood properties after 11 years of treatment to look at long term  
89 responses following the progressive reduction in NPP exhibited in later years at the site. The  
90 present study on sweetgum grown under long term exposure of elevated CO<sub>2</sub> at the ORNL  
91 FACE site could provide a better understanding of tree responses to higher atmospheric CO<sub>2</sub> in a  
92 natural environment.

93         The goal of this research was to investigate the effects of long-term (11-years)  
94 application of elevated CO<sub>2</sub> in the atmosphere on the anatomical and chemical changes of the  
95 xylem part of sweetgum (*Liquidambar styraciflua* L.) from the ORNL FACE site. The first  
96 objective was to compare anatomical differences of branch xylem growing under elevated and  
97 ambient CO<sub>2</sub>. Analyses included xylem anatomical measurements such as hydraulic mean  
98 diameter, double cell wall thickness, and area of largest cell – characteristics important for tree  
99 vigor under drought stress. The second objective was to compare chemical changes of the xylem  
100 growing under elevated and ambient CO<sub>2</sub>. Analyses included comparison of structural and non-  
101 structural chemical composition – characteristics important for wood quality and recalcitrance.  
102 The amount of cellulose, hemicellulose, and lignin, which are the main structural components of  
103 xylem tissues, was quantified. The non-structural components analyzed included ash, extractives,  
104 and macronutrients. Lastly, the sensitivity of the physical and chemical tree responses under  
105 elevated CO<sub>2</sub> was investigated using multivariate principal component analysis (PCA). Based on  
106 the results from short-term CO<sub>2</sub> experiments, or FACE experiments on young trees, we

107 hypothesized that the older sweetgum trees exposed to FACE treatments would show little  
108 change in wood composition. The contribution of this study may improve the understanding of a  
109 tree's anatomical and chemical responses under long term exposure of elevated CO<sub>2</sub>.

110

## 111 **2. Materials and Methods**

### 112 **2.1 Materials**

113 Sweetgum (*Liquidambar styraciflua* L.) branch and stem material was harvested from the  
114 Oak Ridge FACE site located in the Oak Ridge National Environmental Research Park in eastern  
115 Tennessee, USA (35°54'N; 84°20'W). The Oak Ridge FACE site consisted of five 25m-diameter  
116 plots (rings), with vertical PVC pipes releasing CO<sub>2</sub>-enriched or ambient air. Among those five  
117 rings, two were elevated CO<sub>2</sub> rings (targeting 550 ppm and measured 544 ppm) and three  
118 ambient CO<sub>2</sub> rings (measured at 391 ppm, two surrounded by the FACE structure and a third  
119 ambient CO<sub>2</sub> plot without structure). One-year old sweetgum trees were planted on the site in  
120 1988 and the CO<sub>2</sub> treatment was initiated 10 years later (in 1998). The CO<sub>2</sub> treatment was  
121 applied during daytime between April and November from 1998 to 2009. In July 2009, the CO<sub>2</sub>  
122 treatment stopped and trees were harvested for this study and for allometric analysis.

123 For anatomical experiments, fully sun-exposed two-year old upper branches were collected  
124 from six trees in each ring in early October, 2007. The apical ends of branches used earlier for  
125 xylem vulnerability to embolism curves (Warren et al., 2011) were radially sectioned (40 μm)  
126 using a microtome. For each branch, six sections were bleached (10:1 dilution of household  
127 bleach), stained with toluidine-blue and mounted on a single slide using a gelatin-glycerin  
128 medium.

129 Stem log samples from the harvested trees at 0.6-1.1m height from the ground were collected  
130 for the chemical analyses and stored at -20°C until processing. Eight trees from each treatment  
131 (elevated or control CO<sub>2</sub> rings) were selected for the experiments. A 25 mm thick disc was cut  
132 from the frozen logs, and the annual rings were examined and marked to only collect wood that  
133 was produced during the CO<sub>2</sub> treatment. The bark was removed and the CO<sub>2</sub> treated xylem  
134 sections (1998-2009) were freeze-dried and chipped with a chisel into small pieces. The chips  
135 were then ground with a Wiley Mill 4 (Thomas Scientific, Swedesboro, NJ, USA) equipped with  
136 a 40-mesh sieve.

## 137 **2.2 Methods**

138 For anatomical analyses, one high-level resolution (0.72 pixel  $\mu\text{m}^{-1}$ ) image of the entire radial  
139 branch section was taken at low magnification (15X) for analysis of xylem sapwood area using a  
140 Leica M165 stereomicroscope and digital camera. Stem diameter, one- and two-year-old  
141 sapwood area, and pith area was measured using Image J software (Rasband, 2012). Six to  
142 eleven images per branch that included one or two-year-old xylem tissue were taken at various  
143 radial directions at higher magnification (1.96 pixels  $\mu\text{m}^{-1}$ ; 200X) using a Leica M1000 light  
144 microscope system. Each image was fully analyzed for vessel area, vessel diameter, and vessel  
145 number using automated software (WinCELL 2001a; Régent Instruments Inc). Cell wall  
146 diameter was measured using Image J software. Branch hydraulic conductivity depends on the  
147 sum of the weighted mean cell diameters, or mean hydraulic diameter, which was calculated  
148 based on Sperry et al. (Sperry et al., 1994). Cells that were smaller than 100  $\mu\text{m}^2$  were not  
149 included in the analysis of xylem vessels. In total, 29236 xylem vessel cells were analyzed in 202  
150 different images. Results from each image were averaged by branch, and statistical analysis was  
151 performed at the branch level (n=10-11 per treatment).

152 For chemical analyses, approximately 5 g of the finely ground sweetgum samples were  
153 sequentially extracted with water and ethanol using an automated extraction system (ASE 350,  
154 Dionex Corp.) following the National Renewable Energy Laboratory protocol “Determination of  
155 extractives in biomass (NREL/TP 510-42619)”. Each extracted wood sample was dried in a low  
156 temperature oven (35 °C) until it reached a constant weight, and the extractives content was  
157 calculated on dry basis. The total phenolics content in the water and ethanol fractions was  
158 determined by the Folin-Ciocalteu method (Singleton and Rossi, 1965).

159 The dried and extracted sweetgum samples were used for structural chemical analyses  
160 following the protocol “Determination of structural carbohydrates and lignin in biomass  
161 (NREL/TP-510-42618)”. This protocol was used to measure cellulose, hemicellulose, acid  
162 soluble and acid insoluble lignin content by a two-stage acid hydrolysis. During the hydrolysis,  
163 the polymeric carbohydrates were hydrolyzed into monomeric sugars (glucose, xylose, mannose,  
164 arabinose, and galactose) and quantified using a High Pressure Liquid Chromatography (HPLC)  
165 (Perkin Elmer, Shelton, CT) equipped with a refractive index detector and an Aminex HPX-87P  
166 column (300 x 7.8 mm ID, 9 µm particle sizes) attached to a deashing guard column (Biorad,  
167 Hercules, CA). The HPCL’s oven temperature was set at 85 °C and the injection volume was  
168 20µL. Quantified glucose was calculated as % cellulose in the biomass and the other monomeric  
169 sugars were calculated as % hemicellulose. Acid insoluble lignin was measured gravimetrically,  
170 and acid soluble lignin was determined by UV/VIS spectrophotometer (Lambda 650, Perkin  
171 Elmer).

172 The gravimetric ash content was measured by combusting 0.7 g of biomass at 575 °C for 24  
173 hours. 0.5 g of raw biomass was microwave digested then analyzed for content of inorganic  
174 elements by inductively coupled plasma-optical emission spectroscopy using an Optima 7300

175 DV spectrometer (ICP-OES, Perkin Elmer). All of the experiments were performed in triplicate  
176 except total phenolics analysis which was conducted in duplicate for each sample.

177

### 178 **2.3 Data analysis**

179 To compare responses in structural and non-structural chemical components of sweetgum to  
180 CO<sub>2</sub> treatments, two types of statistical methods were employed. First, analysis of variance  
181 (ANOVA) methods were used to determine if differences existed between the means of sample  
182 groups from elevated and ambient CO<sub>2</sub> sites at a significance level of  $\alpha < 0.05$ . For the mean  
183 separation, 2-sided t-test was used for anatomical analysis and Fisher's Least Significant  
184 Differences (LSD) was employed for chemical analysis. The high cost of the FACE  
185 infrastructure limits true replication in these types of studies, and thus the analyses are often  
186 based on pseudoreplication (e.g., by tree instead of by ring), which increases the chance of type 1  
187 errors (Hurlbert, 1984). Thus results should be considered in this context.

188 To detect differences of physical and chemical tree responses to the CO<sub>2</sub> treatments and to  
189 determine the relative strength of CO<sub>2</sub> effects on physical and chemical tree responses under  
190 elevated CO<sub>2</sub>, principal component analysis (PCA) was employed. The basic assumption for the  
191 use of multivariate analysis is that all the data (tree responses in this research) carry information  
192 regarding the effects of elevated CO<sub>2</sub>. PCA was also used to visualize correlation structures  
193 among the tree responses. The scores plot of PCA shows the cluster of the sample categories and  
194 helps visualize any trends in the data sets in the new system of axes of principal components  
195 (PCs). Correlation loadings are useful in interpreting the correlation structure between the  
196 variables and the PCs by presenting significant levels when loadings plot cannot reveal the actual

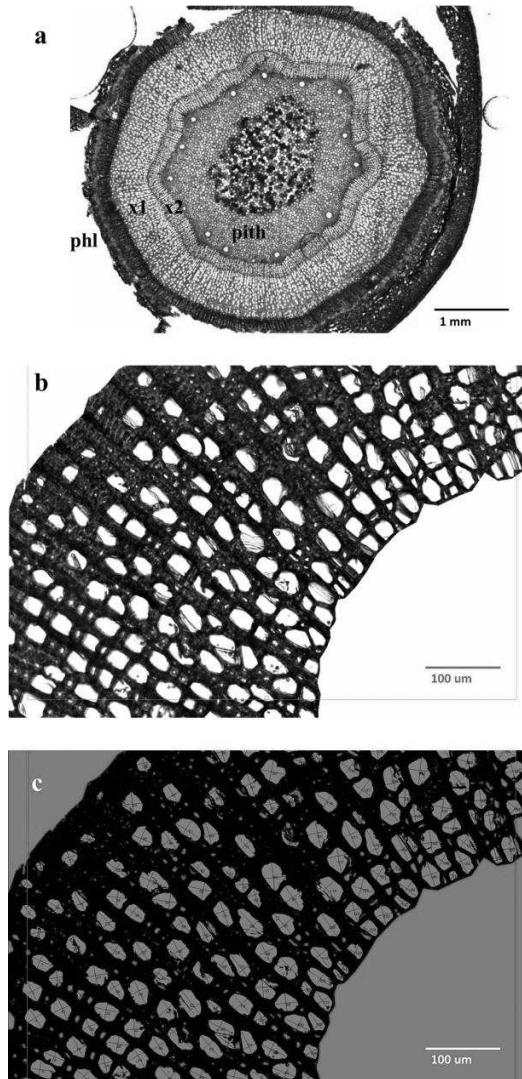
197 correlation structure. This statistical method offers a reliable separation between the sample  
198 categories (CO<sub>2</sub> treatments in this study) by using the responses of tree under the treatment  
199 (Esbensen, 2001). In the PCA, variables included cellulose, hemicellulose, lignin, Ca, Mg, K, P,  
200 S, total phenolics, extractives, and ash (tree responses to the CO<sub>2</sub> treatments in this study). Other  
201 variables from previously published results such as total root production (gm<sup>-2</sup>), root turnover  
202 (mortality) (gm<sup>-2</sup>), root peak standing crop (biomass) (gm<sup>-2</sup>), height and circumference of the  
203 sweetgum at harvest time were also included to address the relative importance of CO<sub>2</sub> impacts  
204 on tree responses on both above-ground and below-ground changes (Iversen, 2010; Ledford et  
205 al., 2008). The data were imported and standardized prior to PCA in the Unscrambler software  
206 (v.9.0, CAMO, Woodbridge, NJ).

207

### 208 **3. Results**

#### 209 **3.1 Anatomical changes**

210 Figure 1 is a typical light microscopic image of a two-year old sweetgum branch  
211 collected in 2007 from the upper canopy at the ORNL FACE research site. Ladder-like  
212 scalariform perforation plates are visible in several cells in Figure 1, b. The automated image  
213 analysis (c) indicates cell length and width.



214

215 **Fig. 1.** Typical light microscopy image of a two-year old sweetgum branch collected in 2007 at  
216 the ORNL FACE research site. (a) indicates that the pith, one-year old xylem (x1), two-year old  
217 xylem (x2) and outer phloem and bark (ph1) tissues; (b) two-year old xylem tissue at 200x  
218 magnification; (c) automated image analysis of (b)

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222 Hydraulic mean diameter was significantly larger for elevated CO<sub>2</sub> branch tissue grown in 2006,  
223 but not for the 2007 cells (Fig 2, a). There was also a larger maximum cell size for elevated CO<sub>2</sub>  
224 xylem in 2006, but not in 2007 (Fig 2, b). The double cell wall thickness, as measured from the  
225 lumen of one xylem cell to the lumen of the next cell, was thinner for elevated CO<sub>2</sub> branches in  
226 2007, but not during the previous year (Fig 2, c).

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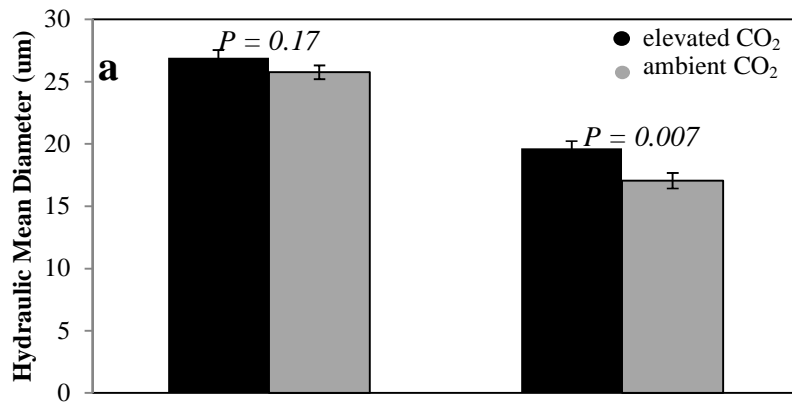
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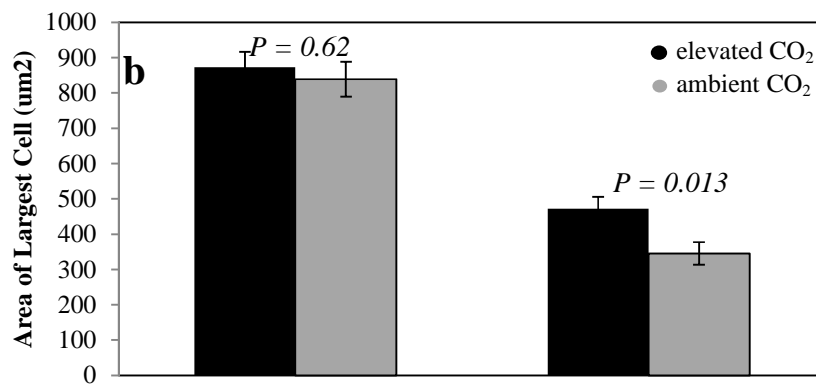
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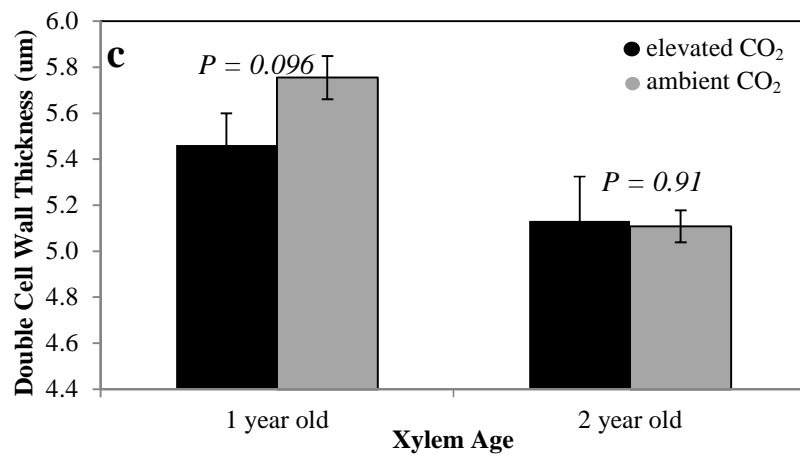
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240 **Fig. 2.** The hydraulic mean diameter (a), the area of largest cell (b), and double cell wall  
241 thickness of elevated and ambient CO<sub>2</sub> treated branch tissue of sweetgum. Significance level ( $p <$   
242 0.05) among control and CO<sub>2</sub> enriched samples are shown based on 2 sided t-test.

243

244         The anatomical results reveal differences in xylem development under elevated CO<sub>2</sub>, as  
245 well as an interaction with other environmental conditions. In 2006, the site experienced  
246 moderate weather conditions with 1184 mm of annual rainfall, and without significant drought or  
247 heat waves. In contrast, 2007 was a year of extremes, with a severe early-season frost, low  
248 annual rainfall (905 mm), extended 50-year summer drought, and record-breaking summer  
249 temperatures (Warren et al., 2011). During non-drought years, net C uptake and availability, and  
250 water use efficiency were greater for elevated CO<sub>2</sub> trees. Such conditions are conducive to  
251 greater rates of growth and cell expansion, consistent with the larger cell sizes found in elevated  
252 CO<sub>2</sub> branches in 2006. Larger cell size is also consistent with increased vulnerability to xylem  
253 embolism (Tyree et al., 1994), and *L. styraciflua* trees exposed to elevated CO<sub>2</sub> at this site  
254 (Warren et al., 2011) and at the Duke FACE site (Domec et al., 2009) do have increased  
255 vulnerability to embolism, which could lead to reduced competitive ability within future forest  
256 ecosystems if other species are not similarly affected. In 2007, the severe drought reduced  
257 stomatal conductance in elevated CO<sub>2</sub> branches to such an extent that C availability was  
258 substantially reduced as compared with ambient branches (Warren et al., 2011). Xylem cell size  
259 was not affected by the reduced C availability in elevated CO<sub>2</sub> branches, yet cell wall thickness  
260 was slightly reduced ( $P=0.096$ ). The differences in CO<sub>2</sub> treatments on tree growth and xylem  
261 development reflect both the CO<sub>2</sub> treatment, as well as the interaction between CO<sub>2</sub> and other  
262 limiting conditions, such as drought (Warren et al., 2011) or lack of nutrients (Norby et al.,  
263 2010). While the mild summer of 2006 allowed CO<sub>2</sub> treatments to enhance xylem cell size, the

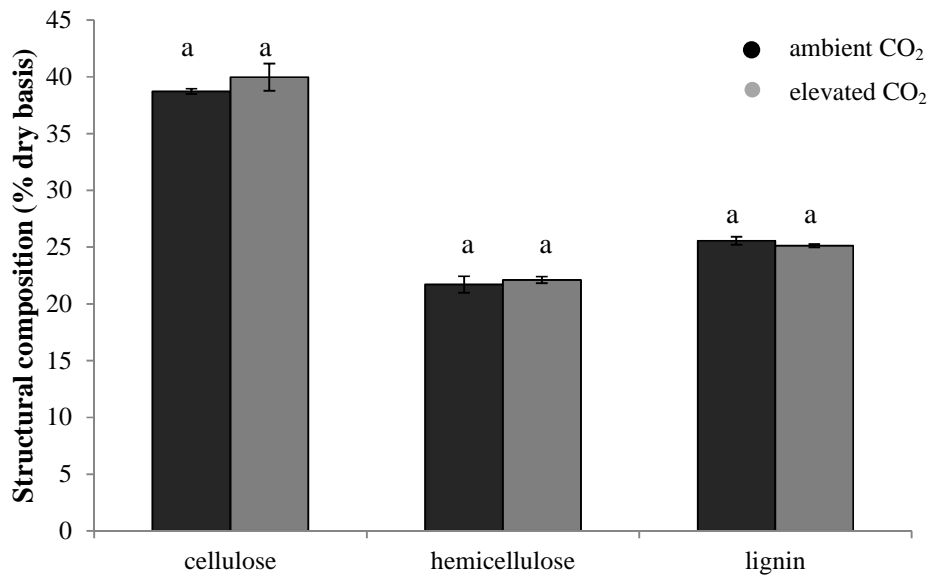
264 extreme conditions in 2007 limited any positive effect of elevated CO<sub>2</sub> on branch development.  
265 Hydraulic function depends on the characteristics of xylem cells developed across multiple  
266 years, thus subtle elevated CO<sub>2</sub> impacts to xylem structure can be amplified through time with  
267 implications to the whole plant.

268

## 269 **3.2 Chemical changes**

### 270 **3.2.1 Structural composition**

271 The structural composition of sweetgum exposed to elevated and ambient CO<sub>2</sub> for 11  
272 years is shown in figure 3. Sweetgum exposed to the ambient level of CO<sub>2</sub> had 38.73 %  
273 cellulose, 21.71 % hemicellulose, and 25.57 % lignin while sweetgum treated with elevated CO<sub>2</sub>  
274 had 39.98 % cellulose, 22.11 % hemicellulose, and 25.13 % lignin. The amount of cellulose and  
275 hemicellulose appeared slightly higher for the CO<sub>2</sub> enriched samples, and lignin tended to be  
276 lower with CO<sub>2</sub> enrichment. However, the results from LSD indicated that there were no  
277 significant differences in cellulose, hemicellulose, and lignin content between ambient and  
278 elevated CO<sub>2</sub> conditions for sweetgum ( $\alpha < 0.05$ ).



279

280 **Fig. 3.** The structural composition of elevated and ambient CO<sub>2</sub> exposed sweetgum stem wood.  
 281 Estimated mean values from three replicate measurements of each tree sample with standard  
 282 error on the bar. Same letters indicate no significant difference ( $\alpha < 0.05$ ) among control and  
 283 CO<sub>2</sub> enriched samples based on Least Significant Difference (LSD).

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286 These findings are in agreement with previous research (Atwell et al., 2003; Entry et al.,  
 287 1998; Kilpelainen et al., 2003; Olszyk et al., 2005; Tingey et al., 2003), which also indicated that  
 288 there were no significant changes in structural components of wood due to elevated CO<sub>2</sub>  
 289 treatments. It has been acknowledged that the increased CO<sub>2</sub> in the atmosphere would increase  
 290 photosynthesis of plant, but the allocation of photosynthate depends on the sink demand of  
 291 tissues within the plant. In other words, increased photosynthate by elevated CO<sub>2</sub> is most likely  
 292 transported to the tissues that can obtain the most limiting resources (Entry et al., 1998), in the  
 293 case of soil nutrients, this would be the root system. Indeed, many studies have pointed out that  
 294 upon increased CO<sub>2</sub> treatment, fine root growth also increased (Chapman et al., 2005; Iversen,  
 295 2010; King et al., 2001; Norby et al., 2004; Nosberger et al., 2006). This result suggests that  
 296 much of the available photosynthate could be transferred belowground (Nosberger et al., 2006)

297 to enhance the growth of roots rather than partitioned into stem xylem. According to Norby and  
298 co-workers, above-ground wood production was 35 % greater in CO<sub>2</sub> enriched rings during the  
299 first year of exposure, 15 % higher in the second year (Norby et al., 2002) and continued to  
300 decline through the course of the experiment (Norby et al., 2010). While the enhancement of  
301 above-ground wood production gradually declined with time, below-ground production  
302 increased continuously (Norby et al., 2005). The cause for the enhanced root growth rather than  
303 wood after CO<sub>2</sub> exposure can be explained with soil nutrition status. At the ORNL FACE site, N  
304 deficiency occurred after just a few years of elevated CO<sub>2</sub> treatment (Norby et al., 2010). It  
305 appears that effects of CO<sub>2</sub> in trees may be mostly observed in highly demanding tissues  
306 (Nosberger et al., 2006). Enhanced root growth may be a strategy to acquire limiting nutrients  
307 from the soil to offset N sequestration in larger CO<sub>2</sub> stimulated tree biomass. Indeed across CO<sub>2</sub>  
308 enrichment studies, root proliferation at depth is a common response (Iversen, 2010) presumably  
309 to mine for resources to sustain the greater biomass and supplement decreased N availability in  
310 the soil after prolonged elevated CO<sub>2</sub> treatment (Johnson et al., 2004).

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312

### 313 **3.2.2 Non-structural composition**

314 The estimated mean values of total extractives and total phenolics content are presented  
315 in Table 1 (LSD,  $\alpha < 0.05$ ). Total extractives from sweetgum stem wood were 3.86 % and  
316 3.93 % for ambient or elevated CO<sub>2</sub> trees, respectively. While no significant difference was  
317 observed in the total extractives content, the total phenolics concentration for enriched CO<sub>2</sub>  
318 sweetgum was 16 % higher than that of the control. The amount of total phenolics was 91.24 mg

319 GAE/g of extracts in the ambient sweetgum and 108.46 mg GAE/g of extracts in the elevated  
 320 CO<sub>2</sub> sweetgum, a difference that was statistically significant.

321 **Table 1.**

322 Total extractives, total phenolics, and protein in sweetgum stem wood.<sup>1</sup>

		Extractives (% dry basis)	Total phenolics <sup>2</sup> (mg GAE g <sup>-1</sup> extractives)
Sweetgum	ambient CO <sub>2</sub>	3.86 (0.25) a	91.24 (4.36) b
	elevated CO <sub>2</sub>	3.93 (0.10) a	108.46 (4.04) a

323  
 324 *1) Estimated mean values from statistical method (LSD,  $\alpha < 0.05$ ). Standard error values*  
 325 *are appeared in parentheses.*

326 *2) GAE = gallic acid equivalent*

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 328  
 329 Increased total phenolic levels under elevated CO<sub>2</sub> have been observed in many tree  
 330 species, including aspen, birch, oak, maple, eucalyptus, spruce, and pine (Lindroth, 2010;  
 331 Tuchman et al., 2003). Phenolics can potentially be influenced by changes in carbon inputs  
 332 (Johnson and Pregitzer, 2007), and elevated CO<sub>2</sub> may influence the chemical pathways that  
 333 regulate gene expression and synthesis of secondary compounds (Lindroth, 2010). The shikimic  
 334 acid pathway, known to produce phenolic compounds in trees, was found to be the most  
 335 influenced pathway by CO<sub>2</sub> treatment (Lindroth, 2010). The total phenolics concentration in  
 336 sweetgum confirms previous findings that trees exposed to higher CO<sub>2</sub> have higher level of  
 337 phenolics. Another possible explanation for these increased total phenolics could be the growth-  
 338 differentiation balance hypothesis of a tree. Growth refers to the production of new cells, while

339 differentiation refers to carbon flow into compounds that enhance the structure or function of  
340 existing cells (Stamp, 2003). Secondary metabolism is one of the examples of differentiation  
341 related processes (Herms and Mattson, 1992). The growth-differentiation balance hypothesis  
342 states that any environmental factor that slows growth of the tree can result in C allocation to  
343 differentiation-related products. When there is a limiting factor (N availability in the soil at  
344 ORNL FACE site) which slows down the tree growth rate, C can accumulate in the  
345 differentiation-related products (secondary metabolites and total phenolics in this study) with  
346 low cost to plant fitness (Stamp, 2003). Differentiation leads to changes in chemical quality,  
347 which has implications for herbivory and decomposition.

348

### 349 **3.2.3 Inorganic Composition**

350 The total ash content (%) and macronutrients in the xylem part of the sweetgum after  
351 CO<sub>2</sub> treatment are shown in Table 2. Statistical analysis (LSD,  $\alpha < 0.05$ ) revealed that total ash  
352 content of sweetgum stem wood grown under elevated CO<sub>2</sub> was significantly greater (+19.0 %)   
353 than trees grown under ambient CO<sub>2</sub>. The higher ash content in elevated CO<sub>2</sub> exposed sweetgum  
354 led to in-depth study of the inorganic nutrients in the biomass that contribute to the greater ash  
355 content.

356

357 **Table 2.**358 Elemental composition contained in sweetgum stem wood. <sup>1, 2, and 3</sup>

Elemental composition	Sweetgum	
	Ambient CO <sub>2</sub>	Elevated CO <sub>2</sub>
Ash (%)	0.44 (0.01) b	0.53 (0.01) a
Ca (mg/kg)	713.77 (14.72) b	787.58 (21.07) a
K (mg/kg)	690.90 (16.51) a	669.64 (23.55) a
Mg (mg/kg)	239.76 (12.74) b	283.50 (13.16) a
P (mg/kg)	77.52 (6.90) a	74.74 (4.17) a
S (mg/kg)	79.62 (0.92) a	80.74 (1.03) a

359

360 1) *Estimated mean values from statistical method (LSD,  $\alpha < 0.05$ ). Standard error values are*  
361 *appeared in parentheses.*362 *Values are % dry basis.*363 2) *“a” and “b” represent statistical significant difference.*364 3) *Concentrations were calculated on the basis of the dry weight of samples (mg/kg)*

365

366

367

368 General elements found in wood are Ca, K, Mg, P, Mn, Fe, Zn, S, Al, and Si. Among  
369 those major elements, the inorganic macronutrients such as Ca, K, Mg, and P and the  
370 micronutrient S in the biomass are shown in Table 2. The amount of Ca and Mg in the raw  
371 biomass significantly increased with CO<sub>2</sub> treatment (LSD,  $\alpha < 0.05$ ). Sweetgum grown under  
372 elevated CO<sub>2</sub> contained 10.0 % greater Ca and 18.0 % greater Mg than the trees grown under  
373 ambient CO<sub>2</sub>. No differences were found for K, P, and S.

374           The increased total ash content and some of the inorganic elements in the elevated CO<sub>2</sub>  
375 stem wood samples may be linked to the increase in fine root growth and subsequent increased  
376 access to large soil exchangeable pools of P, K, Ca, and Mg. We hypothesized that with the  
377 enlarged fine root biomass, uptake of cations was not necessarily related to demand. The tree  
378 may take up excess amounts of Ca, Mg, K, and P while ‘mining’ the soil for additional limiting  
379 elements, especially N. Similar published results on inorganic nutrients composition support our  
380 hypothesis (Hagedorn et al., 2002; Johnson et al., 2004; Luo et al., 2005). The inorganic content  
381 of tree biomass largely depends on tree species and soil type, which suggest that further study is  
382 needed to determine why only Ca and Mg increased among the other inorganic elements in  
383 sweetgum after 11 years of elevated CO<sub>2</sub> treatment.

384

### 385 **3.3 Effect of elevated CO<sub>2</sub> on multiple tree responses**

386           As described earlier, the structural components (cellulose, hemicelluloses and lignin)  
387 remained unchanged, while ash and inorganic components increased upon CO<sub>2</sub> enrichment.  
388 Altered tree responses under elevated CO<sub>2</sub> could be due to several environmental factors. For  
389 instance, physical tree growth largely depends on variables such as photosynthetic rate, soil N  
390 availability, water, inorganic nutrient availability, seasonal variations and duration of treatment  
391 (Kaakinen et al., 2004; Kilpelainen et al., 2007; Kilpelainen et al., 2005; Kostianen et al., 2009;  
392 Luo and Polle, 2009; Norby et al., 2010). The previously used statistical method (LSD), which is  
393 also known as a univariate method, dealt with one variable at a time and provided a direct  
394 comparison between the two levels of CO<sub>2</sub> treatments. Although univariate methods carry  
395 important information, they are insufficient for more complex data analyses (Esbensen, 2001).  
396 Multivariate analysis uses more than one independent variable and involves mathematical

397 treatment of the data to detect hidden differences or similarities (Esbensen, 2001). Therefore,  
398 principal component analysis (PCA), a type of multivariate approach, was selected to  
399 simultaneously study multiple tree responses to the elevated CO<sub>2</sub>. In order to compare several  
400 tree responses at the same time, published data from the ORNL FACE study (Ledford et al.,  
401 2008) was also used, which included data regarding fine roots, final year of tree height and  
402 circumference at 1.3 m from the ground. The results from PCA illustrate clear separation of  
403 responses to the treatments (Figure 4).

404

405

406

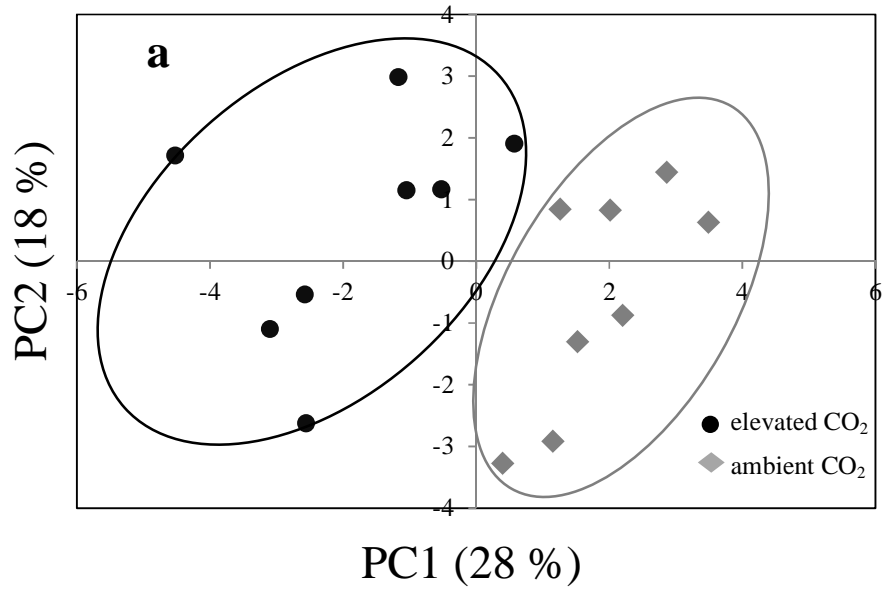
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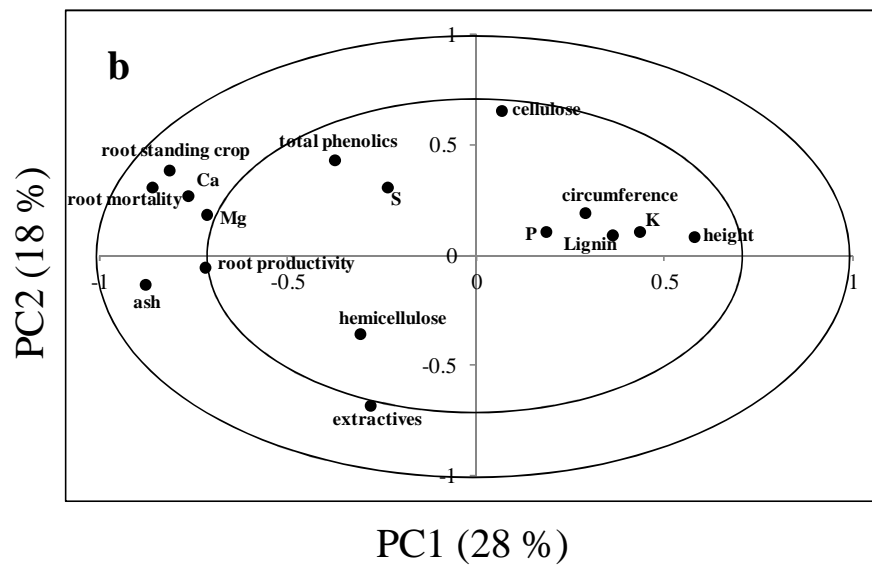
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414 **Fig. 4.** Scores (a) and correlation loadings (b) plots show the relationships between samples and  
 415 variables of sweetgum, respectively. Outer and inner circles in correlation loadings (b) plot  
 416 represent 100% and 50% additive explained variance, respectively.

417

418

419           The scores plot is a map of samples and shows a separation between the ambient and  
420 elevated CO<sub>2</sub> exposed sweetgum by PC1 and PC2. PC1 accounts for 28 % of the variance and  
421 PC2 for 18 %. The correlation loadings plot provides the relative importance of tree responses  
422 (variables) and the visualization of explained variance. Tree responses closer to the outer circle  
423 show a higher explained variance level than those in the inner circle. In this study, ash, Ca, Mg,  
424 root mortality, root standing crop, and root productivity, located on the negative PC1 close to the  
425 outer circle, show higher explained variance level to the elevated CO<sub>2</sub> treated sweetgum.

426           Most of the variables that show higher degrees of CO<sub>2</sub> effects after long term CO<sub>2</sub>  
427 exposure match those from the LSD results. Indeed, Ca, Mg, and ash content were higher in the  
428 elevated CO<sub>2</sub> treated sweetgum (Table 1, 2). Elevated CO<sub>2</sub> treated sweetgum had higher amounts  
429 of root production and shorter height in comparison to the control sweetgum.

#### 430 **4. Conclusion**

431           This study investigated the effects of free-air CO<sub>2</sub> enrichment on the wood chemistry of  
432 22-year old sweetgum (*Liquidambar styraciflua*) after 11 years of elevated CO<sub>2</sub> treatment. In  
433 conclusion, elevated CO<sub>2</sub> concentration did not have large effects on structural components after  
434 long term CO<sub>2</sub> exposure, while non-structural components, xylem cell sizes, and cell wall  
435 thickness were significantly impacted by higher CO<sub>2</sub> concentration. The xylem cell sizes from  
436 branches growing under elevated CO<sub>2</sub> were larger and cell wall thickness was thinner. Ash, Ca,  
437 Mg, and total phenolics content increased in the elevated CO<sub>2</sub> exposed sweetgum. PCA showed  
438 clusters by CO<sub>2</sub> treatment, and correlation loadings plot revealed the degree of CO<sub>2</sub> impact on  
439 tree responses in the model. According to the correlation loadings plot, ash, Ca, Mg, root  
440 mortality, root standing crop, and root productivity were higher in elevated CO<sub>2</sub> exposed  
441 sweetgum. In other words, ash, Ca, Mg, root mortality, root standing crop and root productivity

442 were more impacted by higher level of atmospheric CO<sub>2</sub> than the other tree responses such as  
443 content of cellulose, hemicellulose, lignin, total extractives, S, P, K, circumference, and height.  
444 Long term (11 years) application of elevated CO<sub>2</sub> was also found to affected branch anatomy.  
445 Upper branches of sweetgum trees are known to be sensitive to drought (Toole and Broadfoot,  
446 1959), and the elevated CO<sub>2</sub> changes in xylem hydraulic characteristics suggest enhanced  
447 potential vulnerability to hydraulic failure under drought. This could increase tree/branch  
448 dieback, especially by more intensive and frequent drought expected due to global climate  
449 change. The changes in chemical properties, especially ash, Ca, Mg could impact chemical and  
450 thermal utilization of xylem (wood).

451

452

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