

1 Ftplos  
2 The low noise limit in gene expression  
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5 Roy D. Dar<sup>1,2,3</sup>, Brandon S. Razooky<sup>4,5,6</sup>, Leor S. Weinberger<sup>1,7,8</sup>, Chris D. Cox<sup>9</sup>, and Michael L.  
6 Simpson<sup>4,5,10,\*</sup>  
7

8 <sup>1</sup>Gladstone Institute of Virology and Immunology, San Francisco, California, United States of  
9 America  
10

11 <sup>2</sup>Department of Bioengineering, University of Illinois at Urbana-Champaign, Urbana, Illinois,  
12 United States of America.  
13

14 <sup>3</sup>Institute for Genomic Biology, University of Illinois at Urbana-Champaign, Urbana, Illinois,  
15 United States of America  
16

17 <sup>4</sup>Center for Nanophase Materials Sciences, Oak Ridge National Laboratory, Oak Ridge,  
18 Tennessee, United States of America  
19

20 <sup>5</sup>Bredesen Center for Interdisciplinary Research and Graduate Education, University of  
21 Tennessee, Knoxville, Tennessee, United States of America  
22

23 <sup>6</sup>Laboratory of Immune Cell Epigenetics and Signaling, The Rockefeller University, New York,  
24 New York, USA  
25

26 <sup>7</sup>QB3: California Institute for Quantitative Biosciences, University of California San Francisco,  
27 San Francisco, California, United States of America  
28

29 <sup>8</sup>Department of Biochemistry and Biophysics, University of California San Francisco, San  
30 Francisco, California, United States of America  
31

32 <sup>9</sup>Department of Civil and Environmental Engineering, University of Tennessee, Knoxville,  
33 Tennessee, United States of America  
34

35  
36 <sup>10</sup>Department of Materials Science and Engineering, University of Tennessee, Knoxville,  
37 Tennessee, United States of America  
38

39 \*Address for correspondence:  
40 [simpsonML1@ornl.gov](mailto:simpsonML1@ornl.gov)  
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62 **Abstract**

63  
64 Protein noise measurements are increasingly used to elucidate biophysical parameters.  
65 Unfortunately noise analyses are often at odds with directly measured parameters. Here we show  
66 that these inconsistencies arise from two problematic analytical choices: (i) the assumption that  
67 protein translation rate is invariant for different proteins of different abundances, which has  
68 inadvertently led to (ii) the assumption that a large constitutive extrinsic noise sets the low noise  
69 limit in gene expression. While growing evidence suggests that transcriptional bursting may set  
70 the low noise limit, variability in translational bursting has been largely ignored. We show that  
71 genome-wide systematic variation in translational efficiency can – and in the case of *E. coli* does  
72 – control the low noise limit in gene expression. Therefore constitutive extrinsic noise is small  
73 and only plays a role in the absence of a systematic variation in translational efficiency. These  
74 results show the existence of two distinct expression noise patterns: (1) a global noise floor  
75 uniformly imposed on all genes by expression bursting; and (2) high noise distributed to only a  
76 select group of genes.

77

78

## 79 Introduction

80 In principle the structure of noise in protein populations can be used to infer the  
81 architecture and dynamics of the underlying gene circuits and networks [1, 2]. However,  
82 inference is indirect, requires trust in analytical models, and may require reliance on  
83 assumptions. Despite the indirect approach, these analytical models have demonstrated some  
84 *qualitative* successes, but undoubtedly suffer from *quantitative* problems. A particularly relevant  
85 example from contemporary research is transcriptional bursting (Fig 1A); a model of  
86 transcription where multiple mRNAs are produced in episodic bursts separated by prolonged  
87 periods of inactivity (Fig 1B). Burst dynamics have been inferred using analytical models from  
88 reporter protein noise measured in bacteria [33, 44], yeast [33, 55], and mammalian cells [33, 6-  
89 86-8]. Although the main purpose of their analysis was burst frequency saturation, Sanchez and  
90 Golding demonstrated the large discrepancies between mRNA burst sizes inferred from protein  
91 noise measurements and from those measured more directly [33]. As a result of such  
92 inconsistencies, many researchers choose to disregard expression patterns extracted from protein  
93 noise measurements.

94 **Fig. 1. Assumptions of extrinsic noise coupling reveal a disparity in inferred versus actual**  
95 **transcriptional burst size measurements.** (A) Transcriptional bursting (red dashed box) occurs  
96 when a promoter stochastically switches between an ‘OFF’,  $G_0$  state, and ‘ON’,  $G_1$  state, at rates  
97  $k_{OFF}$  and  $k_{ON}$ . In the  $G_1$  state mRNA, M, is transcribed at rate  $\alpha$ , and translated into protein, P, at  
98 rate  $k_p$ . mRNA and protein decay at rates  $\gamma_m$  and  $\gamma_p$  respectively. Constitutive expression (blue  
99 dashed box) is made of the processes of transcription from the  $G_1$  state, translation, and decay of  
100 M and P. Extrinsic noise, i.e. global fluctuations in shared resources, can potentially affect  
101 transcriptional bursting, constitutive expression, or both. (B) Schematic representation of

102 promoter transitioning as a square wave where the average timing between bursts,  $T_{OFF}$ , is  $1/k_{ON}$ .  
103 The average duration of a burst,  $T_{ON}$ , i.e. time in the ON,  $G_1$  state, is  $1/k_{OFF}$ . The average  
104 number of bursts over a length of time is termed the transcriptional burst frequency. (C)  
105 Measured transcriptional burst size by protein versus mRNA measurements. The inferred trend  
106 (dashed line) shows the discrepancy from the true values ( $y=x$ , cyan line). Calculated values  
107 based on the corrected and reported model agrees well with the true values (solid line).

108

109 These more direct measures of transcriptional bursting are performed by characterizing  
110 mRNA production dynamics. In an elegant example, individual mRNAs were directly imaged  
111 with single-molecule resolution in living bacteria [99]. The live-cell mRNA method has been  
112 successfully adapted in yeast [4010], social amoebae [4411] and mammalian cells [4212],  
113 allowing direct quantification of the number of mRNA produced during burst events. As  
114 implementation of a live-cell method can be difficult, noise in mRNA populations have also been  
115 measured using single-molecule fluorescence *in situ* hybridization [44, 4313, 4414]. Extensions  
116 of the single-molecule FISH approach are able to also calculate the ON and OFF time  
117 distributions of promoters through hybridization techniques [4313]. Unfortunately, again there  
118 are inconsistencies between inferences of bursting dynamics from protein noise measurements  
119 and these mRNA measurements: e.g. there is an approximate factor of 4 transcriptional burst size  
120 difference between inferred and more directly measured transcriptional burst dynamics in *E. coli*  
121 [33, 44, 4515] (Fig 1C).

122 One clear message is that the quantitative inference of transcriptional burst dynamics  
123 from protein noise measurements should be viewed with considerable skepticism. But perhaps  
124 the more important message is that the inability to infer transcriptional burst dynamics from

125 protein noise data is a stark illustration of an incomplete analytical understanding of the  
126 connection between transcriptional bursting and the fluctuations in the associated protein  
127 populations. That is, if transcriptional burst dynamics cannot be accurately predicted from the  
128 noise in the protein population, it is difficult to then argue that the protein noise can be accurately  
129 predicted from the measured transcriptional burst dynamics. This can be an issue of great  
130 significance as transcriptional bursting may be the dominant (or at least an important) noise  
131 source, but the consequences of this noise may be realized in the protein population. For  
132 example in the HIV LTR promoter, although the noise of transcriptional bursting may set the  
133 noise behavior of this gene circuit, it is the noise in the HIV regulator Tat protein that interacts  
134 with the positive feedback within this circuit and may play a pivotal role in the establishment of  
135 proviral latency [~~16-18~~16-18]. The understanding of this important gene circuit can only be  
136 complete when there is internal consistency in the analytical framework that connects  
137 transcriptional bursting and the protein noise behavior.

138 In addition to the caution needed when inferring transcriptional burst dynamics from  
139 protein noise measurements, theoretical analyses suggest that biophysical parameters cannot be  
140 inferred by static steady-state noise measurements (e.g. Flow cytometry, smFISH imaging, etc.)  
141 [~~49~~19]. Yet, many experimental studies have used static protein or mRNA measurements to  
142 estimate transcriptional burst parameters [33, 44, 77, ~~45~~15, 2020]. Recently we have shown that  
143 noise magnitude quantified for clonal T-cell populations expressing a destabilized GFP using  
144 flow cytometry and time-lapse fluorescence microscopy are directly correlated (Supplementary  
145 Information of [66]). This observation suggests that at least in some experimental settings static  
146 and dynamic noise measurements, at least for cases of transcriptional bursting and constitutive

147 gene expression, display some degree of ergodicity and enables inference of biophysical  
148 parameters from both static and dynamic measurements.

149 The analytical framework most often used in experimental studies to connect  
150 transcriptional bursting and protein population noise is the two-state (or random telegraph)  
151 model [2421, 2222]. This model has three transcriptional parameters described by rates of  
152 transition into ( $k_{ON}$ ) and out of ( $k_{OFF}$ ) activity, transcribed at rate  $\alpha$  (Fig 1A). Assuming that  
153  $k_{OFF} \gg k_{ON}$  [66, 2020] (Supporting InformationS1 File)

154

$$155 \quad CV_i^2 = \frac{b_i+1}{\langle P_i \rangle} (B_i) + E, \quad (1)$$

156

157 where  $B_i$  is the transcriptional burst size (average number of mRNA produced per transcriptional  
158 activity pulse),  $b_i$  is the translational burst size (average number of proteins produced per mRNA  
159 molecule), and  $i$  is used as an index associating each term with its respective gene. The first term  
160 on the right hand side of Eqn. 1 accounts for the noise associated with intrinsic constitutive  
161 expression and transcriptional and translational bursting (collectively referred to as burst noise).  
162 The  $E$  term represents noise that couples into the expression of all genes, even those that exhibit  
163 little (i.e.  $B_i \sim 1$ ) transcriptional bursting. This  $E$  term should not be confused with the extrinsic  
164 noise measured using the two-reporter approach [2323] as some portion of burst noise may be  
165 extrinsic as well (Fig 1A). To clearly differentiate the  $E$  term from the total extrinsic noise we  
166 will refer to it as the *constitutive* extrinsic noise (i.e. extrinsic noise *not* associated with the  
167 timing of expression bursting). This would include sources such as partitioning at cell division  
168 [2424], variations in growth rate [2525], mitochondria [2626], and RNA polymerase  
169 concentration [2727].

170 Eqn. 1 may be rearranged to solve for (i.e. infer) transcriptional burst sizes such that

171

$$172 \quad B_i = \frac{\langle P_i \rangle}{b_{i+1}} (CV_i^2 - E). \quad (2)$$

173

174 In this approach, the noise magnitude ( $CV_i^2$ ) and the protein population ( $\langle P_i \rangle$ ) are measured  
175 quantities. As noted above, theoretical analyses suggest that Eqn. 2 is more of a qualitative than a  
176 quantitative relationship [4919, 2828], and accordingly here we will not attempt to apply the  
177 relationship of Eqn. 2 to the detailed noise analysis of individual genes, but instead use it only to  
178 infer genome-wide patterns. Total extrinsic noise may be measured using the two-color method,  
179 but it is entirely unclear how much of this noise is constitutive extrinsic noise and how much of it  
180 is entangled in expression bursting. As a result, the selection of the E term has relied on one of  
181 two mutually exclusive assumptions. One group of investigators have apparently assumed  $E = 0$ .  
182 These investigators have focused mostly on measuring the noise from a limited number of  
183 promoters or in a reporter protein population [88, 1414, 29-3129-31]. Other investigators have  
184 focused on genome-wide noise measurements and have interpreted the data to indicate [44,  
185 3232] or have assumed [33] that E has a constant value large enough to dominate noise behavior  
186 for moderately and highly expressed proteins. Unfortunately these two assumptions lead to very  
187 different values for the inferred transcriptional burst sizes.

188 A second difficulty lies in the relationship between translational burst sizes and protein  
189 abundances. While much has been reported about the tendency for transcriptional burst sizes to  
190 increase as protein populations increase [66, 1212, 1515], similar studies linking translational  
191 burst sizes and protein abundances seem to be lacking. Certainly for many studies this lack of  
192 focus on translational bursting is simply a matter of experimental design. Studies that focus on

193 mRNA populations or those that look at reporter protein populations are obviously not set-up to  
194 observe the relationship between translational burst sizes and protein abundances. Instead, only  
195 genome-wide measurements of mRNA and protein abundances and lifetimes can shed light on  
196 this important relationship which connects transcriptional bursting to fluctuations at the protein  
197 level. Where the relationship between translational bursting and protein abundance has been  
198 considered at all, it appears that investigators have assumed that there was no connection  
199 between these two parameters [33, 44, 3333]. Indeed, this assumption is central both to the  
200 finding of a constitutive extrinsic noise floor in genome-wide noise measurements in *E. coli* [44]  
201 and in the inference of transcriptional burst sizes from measured protein noise [33].

202 Here we examine the relationship between translational burst sizes and protein  
203 abundances. We show that a genome-wide systematic variation in translational efficiency can –  
204 and in the case of *E. coli* does – play a significantly larger role than transcriptional burst size  
205 variation in controlling noise. Indeed, some of the inconsistencies in analytical models have been  
206 caused by misidentifying increased translational burst sizes as transcriptional burst size changes.  
207 Furthermore, the finding of a substantial constitutive extrinsic noise floor in *E. coli* – which was  
208 clearly feasible when this translational efficiency variation was not considered – can now clearly  
209 be ruled out. Instead we find that the noise floor in *E. coli* is indicative of transcriptional burst  
210 frequency saturation. In contrast, the noise in most yeast proteins continues to decline with  
211 increasing abundance down to a small extrinsic noise floor. High noise at high abundance in  
212 yeast is not a global feature, but instead is seen in a select group of proteins and is heavily  
213 dependent on promoter architecture [3030, 3434]. We show that this contrast with *E. coli*  
214 emerges first from the lack of a large systematic translational efficiency variation in yeast, and as  
215 suggested before [33] demonstrates that yeast shows no sign of burst frequency saturation.

216 However, promoter-controlled noise does not preclude burst frequency saturation as we show  
217 data from human T lymphocytes that demonstrate roles for both frequency saturation and  
218 promoter architecture in controlling the noise lower limit. The picture that emerges from across  
219 these cell types is one where constitutive extrinsic effects (fluctuations in global resources that  
220 couple into constitutive gene expression processes) are uniformly small. Conversely, systematic  
221 translational efficiency variations or burst frequency saturation may have much larger effects.  
222 These findings remove the need for flawed assumptions that have impeded genome-wide  
223 application of the two-state model and provide an analytical framework that may be trusted to  
224 accurately infer genome-wide expression patterns from protein noise measurements.

225

## 226 **Results**

227

### 228 **Global expression burst structure**

229 Transcriptional and translational bursting are serial processes with a translational burst  
230 amplifying the size of a transcriptional burst. To determine the protein specific (indexed by  
231 subscript  $i$ ) translational burst sizes ( $b_i$ ) in *E. Coli*, reported protein abundances,  $\langle P_i \rangle$  [3535],  
232 mRNA abundances,  $\langle M_i \rangle$  [3636], and decay/dilution rates ( $\gamma_{mi}$  and  $\gamma_{pi}$  [3636]) were used to  
233 estimate values of  $b_i$  using the relationship (see [MethodsS1 File](#))

234

$$235 \quad b_i = \frac{\gamma_{pi} \langle P_i \rangle}{\gamma_{mi} \langle M_i \rangle}, \quad (3)$$

236

237 and we found translational burst sizes that varied over three orders (from  $\sim 0.1$  –  $\sim 100$ ) of  
 238 magnitude (Fig 2A). The translational burst size is the product of two parameters – a rate  
 239 parameter ( $k_{p_i} = \gamma_{p_i} \frac{\langle P_i \rangle}{\langle M_i \rangle}$ , the rate of translation) and a duration parameter ( $\frac{1}{\gamma_{m_i}}$ ) such that

240

241

$$b_i \equiv \frac{k_{p_i}}{\gamma_{m_i}}$$

242

243 In *E. coli* the translational burst size is controlled primarily by translation efficiency rather than  
 244 burst lifetime (Figs 2B and 2C). This finding is in agreement with previous studies showing that  
 245 translational burst size heavily depends on mRNA structure and sequence [37-39], and  
 246 variability of translational burst sizes have even been implemented to control protein expression  
 247 and noise in a precise fashion [40-42]. Thus translational bursting would act to modulate  
 248 the intensity, and not the duration, of an expression burst.

249 **Fig. 2. Expression pulse duration is set by transcriptional bursting and pulse intensity is**  
 250 **set by translational bursting.** (A) Histogram of the number of genes with a given translational  
 251 burst size. (B) Plot of the relationship between translational burst size,  $b$ , and the mRNA half-  
 252 life,  $\tau_m$ , for 2077 mRNA in *E. coli*. (C) Plot of the relationship between translational burst size,  
 253  $b$ , and the translational burst rate,  $k_p$ , for 2077 mRNA in *E. coli*. (D) Plot of the relationship  
 254 between transcription rate,  $\alpha$  (red), and the rate of promoters transitioning into the OFF, G0  
 255 state,  $k_{OFF}$  (blue), versus the range of calculated  $B$  in Figure 3. Here transcription rate was  
 256 assumed near the maximal physiological limit [45] and  $k_{OFF}$  was calculated accordingly. (E)  
 257 Total expression burst is determined by the duration and amplitude of transcription and  
 258 translation. Transcription predominately sets duration while translation sets amplitude.

259

260 Similar to translational bursting, transcriptional burst sizes are determined by a rate  
261 parameter and a duration parameter:

262

$$B_i \equiv \alpha_i T_{ON_i}$$

263

264 | where  $\alpha_i$  is the rate of transcription during a burst and  $T_{ON_i}$ , inversely proportional to  $k_{OFF}$ , is  
265 | the average duration of a burst in the transcribing state (Fig 1B). As previous measurements have  
266 | shown  $\alpha_i$  to remain nearly constant over the entire expression range [4515], transcriptional  
267 | burst size is modulated primarily through  $T_{ON_i}$  (Fig 2D). Therefore,  $B_i$  is modulated through  
268 | changes in duration and not intensity. In further support of duration modulation is the finding  
269 | that the constant value of  $\alpha_i$  is near the maximum physiological rate of transcription [4515],  
270 | suggesting that during a burst the transcription rate is near the saturation rate. These data  
271 | establish the orthogonal roles of translation and transcription in bursty expression, whereby  
272 | translation controls the intensity and transcription controls the duration of expression bursts (Fig  
273 | 2E).

274

275

## 276 **Translational burst rate increases with increasing protein** 277 **abundance and initiates a noise floor**

278 | Protein abundance is driven by protein decay/dilution rate ( $\gamma_{Pi}$ ), the burst size (i.e.  $b_i$ ,  $B_i$ ,  
279 | or both) or the frequency ( $f_B$ ) of bursts, or

280

$$281 \quad \langle P_i \rangle = \frac{b_i B_i f_B}{\gamma_{P_i}} \quad (4)$$

282 Substituting Eqn (4) into Eqn (1) yields

283

$$284 \quad CV_i^2 = \frac{\gamma_{P_i}(b_i+1)}{b_i B_i f_B} (B_i) + E \approx \frac{\gamma_{P_i}}{f_B} + E,$$

285

286 where the approximate relationship holds for  $b_i \gg 1$ . For *E. coli* we may assume that protein  
287 decay/dilution is dominated by the cell cycle time and is constant for all proteins, in which case  
288 protein abundance is controlled primarily by either burst size or frequency. If increasing  
289 abundance is driven by larger burst frequencies (i.e.  $b_i$  and  $B_i$  remain constant for all genes), the  
290 intrinsic noise would follow the familiar  $1/\langle P \rangle$  relationship and the appearance of a noise floor  
291 would be indicative of a constitutive extrinsic noise (Fig 3A). Conversely, if increasing protein  
292 abundances are driven by increases in burst size (i.e. if the burst frequency saturates at a constant  
293 value),  $CV^2$  approaches a constant value (i.e. a floor) with increasing protein abundance. In light  
294 of recent experimental studies finding frequency saturation [33, 66], it seems likely that at least a  
295 portion of the noise floor is generated by burst noise (Fig 3B). While it has often been assumed  
296 that the dominant contribution to the noise floor has come from constitutive extrinsic noise, a  
297 careful accounting of the burst noise contribution is required to test this assumption.

298 **Fig. 3. Bursty expression increases with abundance and determines the noise structure**  
299 **observed throughout the *E. coli* genome. (A)** Traditionally, in a plot of  $CV^2$  versus abundance,  
300  $\langle P \rangle$ , noise in gene-expression is thought to scale as  $C/\langle P \rangle$  (dashed line) and extrinsic noise  
301 creates a floor (purple line) with height  $E$ . **(B)** Alternatively, the noise floor can be set by  
302 increasing burstiness in gene expression for increasing abundance. Extrinsic noise (purple

303 arrow) coupling into bursty expression would increase the level, but not set the noise floor. **(C)**  
304 Translational burst sizes versus abundance of the *E. coli* proteome (black circles and red squares)  
305 fit to power functions. Circles represent the calculated values from Eqn 3. Squares represent  
306 previously reported RNAseq measurements [44]. **(D)** Plot of  $CV^2$  and  $\langle P \rangle$  for proteomic *E. coli*  
307 data (black diamonds, [44]). The calculated translational burst noise (red line) is generated by  
308 holding B constant (=1) and only modulating b. Poisson model (blue line) and noise floor (purple  
309 line) are also shown. **(E)** Plot of transcriptional burst size ( $B_i$ ) from Eqn. 6 for 780 genes (open  
310 circles) compared to model of measured results from So *et al.* (filled red circles, [1515]). **(F)**  
311 Plot of measured noise from Taniguchi *et al.* [44], versus the calculated noise (Eqn. 8) based on  
312 fits from **(C)** and **(E)**.

313  
314 While it is clear that there is a large range of translational burst sizes (Fig 2A), the critical  
315 question is the relationship between protein abundance and translational burst size. The  
316 constitutive extrinsic noise hypothesis rests upon the assumption of translational burst sizes that  
317 are invariant with protein abundance. The analyses that have concluded [44] or assumed [33] that  
318 noise floors are generated by constitutive extrinsic fluctuations have used this assumption. In  
319 contrast, we find a strong correlation ( $R^2 > 0.6$ ) between translational burst size and protein  
320 abundance in *E. coli* (Fig 3C) such that

$$b_i = 0.126 \langle P_i \rangle^{0.915}, \langle P_i \rangle < 10 \tag{5}$$

$$b_i = 0.202 \langle P_i \rangle^{0.704}, \langle P_i \rangle \geq 10.$$

323

324 Here a two domain fit was used at  $\langle P \rangle = 10$ . However, this translational burst relationship relies  
325 on global measurements of RNA abundance determined using transcriptional shutoff. These  
326 measurements vary significantly from laboratory to laboratory, especially those experiments  
327 carried out using DNA microarrays in the early days of that technology. To verify this systematic  
328 variation in translational efficiency we looked at independent genome-wide measurements by  
329 Taniguchi *et al.* [44] for 558 genes for which both the number of proteins produced per mRNA  
330 were quantified using RNAseq and protein copy number were measured using calibrated single-  
331 cell fluorescence distributions for single molecule copy numbers. A power function fits the  
332 results well ( $R^2 = 0.53$ ) showing very similar systematic variation in translational efficiency to  
333 the database measurements (black versus red solid lines, Fig 3C).

334 Since translational burst size increases with increasing abundance, we then calculated the  
335 translational burst noise (first term on right hand side of Eqn. 1 with  $B_i$  set to 1) using  $b_i$  as given  
336 by Eqn. 5. The translational burst noise was found to account for almost all of the measured  
337 noise up to  $\langle P_i \rangle \sim 100$ , and importantly the initial deviation of  $CV^2$  from the Poissonian trend is  
338 entirely explained by an increasing translational burst rate (Fig 3D). Any contribution to the  $CV^2$   
339 from either transcriptional bursting or constitutive extrinsic sources must be small for these low  
340 and moderate protein populations. *It is important here to note that departure from the Poissonian*  
341 *scaling of noise and the initial flattening of the noise versus abundance curve in E. coil is caused*  
342 *by the measured relationship between the translational burst rate and protein abundance and*  
343 *not by constitutive extrinsic noise.* However, at higher protein populations, the measured  $CV^2$   
344 does diverge from the translational burst noise fit (Fig 3D). The testable theory put forth here is  
345 that transcriptional bursting in concert with translational bursting accounts for the continuation of  
346 the observed noise floor at the highest expression levels.

347

## 348 **Transcriptional bursting works in concert with translational** 349 **bursting to maintain the noise floor at highest expression levels**

350 The divergence of the measured  $CV^2$  from the translational burst noise at higher protein  
351 population levels could be due to transcriptional bursting, constitutive extrinsic noise, or a  
352 combination of the two. However, recent experiments have demonstrated that transcription of  
353 highly expressed genes occurs in stochastic bursts in bacteria [44, 99, 1515, 4343] and  
354 eukaryotic cells [66, 88], and a general mechanism for mediating this transcriptional bursting in  
355 *E. coli* was recently reported [4444]. To explore the source of the noise at the highest expression  
356 levels, we calculated the transcriptional burst sizes that would be needed to assign all of the  
357 remaining noise to transcriptional bursting. In this case (Fig 3E)

358

$$359 \quad B_i = \langle P_i \rangle \frac{cv_i^2}{(b_i+1)}. \quad (6)$$

360

361 These hypothetical transcriptional burst sizes were compared to those predicted by an  
362 equation derived from a fit to experimental measurements in *E. coli* for 20 different promoters  
363 (endogenous and phage) covering a wide range of expression levels [1515] (Fig 3E). For  
364 moderate values of  $\langle P \rangle$ , the B values predicted by Eqn. 6 are  $\sim 1$  and slightly lower than those  
365 predicted from the experimental measurements by So *et al.* [1515], suggesting that Poissonian  
366 expression of mRNA persists for  $\langle P \rangle$  approaching 100. Over the entire  $\langle P \rangle$  range, Eqn. 6 and  
367 the So *et al.* predictions are highly correlated (Figure S1-A in S1 File). At higher levels of  $\langle P \rangle$ ,  
368 Eqn. 6 predicts a slightly higher B (Eqn. 6 predicts a median B of 7 over the highest decade of

369  $\langle P \rangle$ , while the So *et al.* model predicts a median B of 6, Supporting Information). This slight  
370 difference aside, Eqn. 6 would seem to be a reasonable estimate of transcriptional bursting in *E.*  
371 *coli*, and is well described by (Fig 3E).

372

$$373 \quad B_i = 1, \langle P_i \rangle < 100$$

374

$$375 \quad B_i = 0.504 \langle P_i \rangle^{0.368}, \langle P_i \rangle > 100 \quad (7)$$

376

377 A careful look at expression bursting shows that the observed  $CV^2$  floor at high protein  
378 populations is at least partially the product of increased expression burstiness, and that by using  
379 transcriptional burst sizes consistent with measurements, *the entire noise floor can be attributed*  
380 *to bursty expression.*

381 To investigate further, we derived an analytical expression for  $CV^2$  from Eqn. 1 (using  
382 Eqns. 5 and 7 for translational and transcriptional bursting) and neglected constitutive extrinsic  
383 noise. The noise structure across the genome in *E. coli* may then be described by a three-region  
384 analytical expression for  $CV^2$ :

385

386 Region 1: Poissonian regime  $\langle P_i \rangle < 10$

387

$$388 \quad CV_i^2 \approx \frac{0.126 \langle P_i \rangle^{0.915} + 1}{\langle P_i \rangle} \approx \frac{1}{\langle P_i \rangle}$$

389

390 Region 2: Translational burst regime  $10 \leq \langle P_i \rangle < 100$

391

392

$$CV_i^2 \approx \frac{0.202\langle P_i \rangle^{0.704} + 1}{\langle P_i \rangle}$$

393

394

Region 3: Combined burst regime  $\langle P_i \rangle \geq 100$

395

396

$$CV_i^2 \approx (0.504 \langle P_i \rangle^{-0.632})(0.202\langle P_i \rangle^{0.704} + 1) \quad (8)$$

397

398 | Eqn. 8 provides a good fit ( $R^2=0.73$ ) to the measured [44]  $CV^2$  data (Fig 3F) and indicates at  
 399 | most a minor role for constitutive extrinsic noise in setting the noise floor. *Furthermore, our*  
 400 | *analysis based on the scaling of translational burst size to protein abundance is able to reconcile*  
 401 | *independently measured noise distributions at the mRNA and protein levels and provides for the*  
 402 | *first time an unambiguous methodology for inferring transcriptional burst dynamics from protein*  
 403 | *abundance distribution data.*

404

405 **Burst noise models explain measured results better than constitutive extrinsic noise models**

406 | Next, we attempted to create various gene expression models with realistic levels of  
 407 | bursting and non-negligible levels of constitutive extrinsic noise (Fig 4A). Various models were  
 408 | compared according to their ability to represent  $CV^2$  data with minimal loss of information as  
 409 | evaluated by the Akaike information criteria ([Supporting Information S1 File](#) and [4545]).  
 410 | Models were fit to the data via power law expressions, similar to Eqn. 7, relating transcriptional  
 411 | burst size  $B_i$  to the mean protein level  $\langle P_i \rangle$ . To make the models as flexible as possible in their  
 412 | ability to accommodate significant levels of extrinsic noise, we allowed complete flexibility in  
 413 | the parameters of the power law function. We found that information loss of the model  
 414 | represented in Eqn. 1 increases (i.e., the likelihood of the model being accurate decreases) with

415 increasing magnitude of the extrinsic noise floor E (Fig 4B). The presence of a low constitutive  
416 extrinsic noise floor of  $E \leq 0.05$  – a level consistent with partitioning [2424] and cell-cycle  
417 variation [2525] noise – could not be completely ruled out based on the relative likelihood of this  
418 model (0.3) compared to a noise floor of zero; however, models with levels of extrinsic noise  
419 close to the observed noise floor ( $E=0.07$  and  $0.10$ ) could be conclusively excluded based on  
420 excessive information loss. The effect of assuming an increased constitutive extrinsic noise was  
421 to decrease calculated transcriptional burst sizes (Fig 3E) to levels that were inconsistent with  
422 those observed in So *et al.* [4515] and Taniguchi *et al.* [44]. Most strikingly, similar results were  
423 obtained from evaluation of various values of E using the full two-state model (i.e. without the  
424 simplifying assumptions in Eqn. 1; [Supplemental Information S1 File](#)), demonstrating that these  
425 conclusions are not dependent on either model of gene expression. This analysis indicates that a  
426 burst-driven noise floor (region 3 in Eqn. 8) theory is a much more likely explanation for the  
427 observed noise behavior than the accepted constitutive noise floor model.

428 **Fig. 4. The noise floor is not determined by extrinsic noise acting alone; rather noise from**  
429 **bursty gene expression dominates.** (A) Illustration of noise floors resulting from various levels  
430 of extrinsic noise. (B) Relative likelihood of gene expression noise models with various levels of  
431 extrinsic noise as evaluated by the Akaike information criteria [4545]. The model with extrinsic  
432 noise  $E=0$  has the highest likelihood; models with  $E=0.07$  and  $E=0.1$  have extremely low  
433 likelihood. (C) Transcriptional burst size (B) corresponding to different levels of assumed  
434 extrinsic noise. Burst size corresponding to larger noise floors are incompatible with values  
435 calculated from the experimentally based model of So *et al.* (2011).

436

437

438 **Noise in other organisms is more targeted than in *E. coli***

439 The idea of a burst-driven floor is intriguing in *E. coli*, and since bursty expression has  
440 been observed across many domains of life, we hypothesized that the noise structure in other  
441 organisms may also be dominated by bursty expression. To check for this, we reanalyzed the  
442 noise behavior of *Saccharomyces cerevisiae* since noise has been measured for more than 1,000  
443 different proteins in the high abundance regime where a constitutive extrinsic noise floor would  
444 be found [3232, 3333]. Despite differences in reported noise level for a given protein abundance,  
445 both studies demonstrate that the noise in *S. cerevisiae* continues to decline with increasing  
446 protein abundance to levels significantly lower than found in *E. coli* (Fig 5A) [3232, 3333]. The  
447 contrast in noise structure likely arises from the non-systematic variation in translational burst  
448 size in *S. cerevisiae* over most of the protein abundance range (Fig 5B), unlike what is found in  
449 *E. coli* (Fig 3C). The constitutive extrinsic noise floor for *S. cerevisiae* was found to be  
450 approximately 0.01 using a two-reporter technique [3232, 4646], a level that is consistent with  
451 our finding of constitutive extrinsic noise  $\leq 0.05$  in *E. coli* and likelihood analysis (Fig 4). It  
452 appears that *E. coli* and *S. cerevisiae* achieve high levels of expression through different  
453 mechanisms. In *E. coli* high expression levels are achieved by increases in translational burst size  
454 at a saturated transcriptional burst frequency, while in *S. cerevisiae* translational burst size  
455 remains fairly constant while transcriptional burst frequency continues to increase. However,  
456 high noise – at a level around the more uniform noise floor in *E. coli* – is found for a select group  
457 of proteins in *S. cerevisiae* (Fig 5A). So for *S. cerevisiae* high noise at high abundance is  
458 promoter-specific [3030, 3434] and is most often found associated with stress response [3333].

459 **Fig. 5. Yeast shows less burstiness and no noise floor compared to *E. coli*.** (A) Reported noise  
460 magnitude measurements for 1467 genes of *S. cerevisiae* plotted along with genome-wide *E. coli*

461 | noise measurements from [Figure-Fig 3D](#). **(B)** Using calculated values for translational burst size  
462 | [\[41\]](#) based off of four separate databases [\[47-5047-50\]](#), in contrast to *E. coli*, the translational  
463 | burst size are invariant to protein abundance. A moving average of 20 genes was applied to the  
464 | trend.

465  
466 | To explore the promoter-specific role in distributing noise, we used recently reported data  
467 | utilizing a method for measuring the noise behavior of individual promoters across thousands of  
468 | integration sites in human T cells [\[66\]](#). This method allows measurement of expression noise of  
469 | the same promoter at many different expression levels (i.e. in different chromosomal integration  
470 | sites) while keeping most genetic circuit parameters (e.g. mRNA and protein lifetimes;  
471 | translational burst rate) constant. Data from Dar *et al.*, 2012 included the noise behavior of the  
472 | HIV long terminal repeat (LTR) promoter – which is known to exhibit significant transcriptional  
473 | bursting [\[66, 2020\]](#) – and two housekeeping promoters (Ef1A and UbC) (Fig 6). Both the bursty  
474 | LTR promoter and the more constitutive (less noisy) promoters appear to approach noise floors  
475 | at high expression levels, but much like the contrast between *E. coli* and *S. cerevisiae*, these  
476 | floors are separated by about an order of magnitude. Showing similarity to *E. coli*, burst size of  
477 | the LTR across diverse integration sites is dominated by changes in promoter activity duration  
478 | ( $k_{OFF}$ ) and not level ( $\alpha\epsilon$ ) (Fig 2D and [Figure S2-B](#) in S1 File). Importantly, the LTR, Ef1A, and  
479 | UbC noise behaviors reported here (Fig 6) are not likely related to constitutive extrinsic  
480 | fluctuations. Time-lapse fluorescent microscopy was used to measure both the magnitude and the  
481 | dynamics (i.e. frequency content) of the expression noise. Extrinsic noise is known to reside in a  
482 | lower frequency regime than the intrinsic noise in *E. coli* [\[51-5351-53\]](#) which is believed to be  
483 | due to the additional low-pass filtering extrinsic noise experiences as it is processed first through

484 its own molecular network and then subsequently through the intrinsic gene circuit. By analyzing  
485 only the higher frequency noise components [6](Dar et al., 2012), it is likely that the noise floors  
486 shown here can be ascribed to intrinsic fluctuations since the same double filtering of extrinsic  
487 noise takes place in mammalian cells.

488 **Fig. 6. Evidence of the noise floor at high abundance in mammalian cells**

489 Polyclonal populations of T cells infected with a viral HIV-LTR and housekeeping promoters,  
490 UbC and Ef1A, show an increase of noise at higher abundances. Time-lapse microscopy and  
491 signal processing of limited duration experiments filters extrinsic noise (High-frequency or HF-  
492 CV<sup>2</sup>, [66]) suggesting that burstiness drives the noise increase from a simple model line that is  
493 inversely proportional to mean GFP. Data adapted from Dar et al., 2012, [66].

494

495

496 **Discussion**

497

498 The analysis in ~~figures-Figs~~ 3 and 4 demonstrates that in *E. coli* the expression noise is  
499 dominated by translational and transcriptional burst noise, and the noise floor – CV<sup>2</sup> approaching  
500 a constant value at high expression levels – is primarily set by bursting (Fig 3B). Furthermore,  
501 although transcriptional bursting appears to be the focus of much contemporary research, it  
502 actually plays a fairly minor role in the genome-wide noise behavior. Instead, *translational*  
503 *efficiency (i.e. translational burst size) is the more potent force and the measured relationship*  
504 *between the translational burst size and protein abundance described here is enough – even in*  
505 *the absence of transcriptional bursting – to negate the hypothesis of a substantial constitutive*  
506 *extrinsic noise floor.* Instead of global fluctuations, the noise floor is indicative of burst

507 frequency saturation and the direct coupling between protein abundance and burst size (Figs 3  
508 and 4). This abundance-burst size coupling appears to be a uniform constraint that sets a global  
509 noise limitation on *E. coli*.

510 In contrast, the noise structure in *S. cerevisiae* is much less uniform. Instead noise for many  
511 proteins continues to decline, ultimately approaching a very low constitutive extrinsic noise  
512 floor. On the surface these results would seem to say that *E. coli* is burstier (i.e. has bigger  
513 expression bursts) than *S. cerevisiae*. However that idea may be quickly dismissed by noting that  
514 translational burst sizes in *S. cerevisiae* (Fig 5B), even for low abundance proteins, is larger than  
515 the combined (translational and transcriptional) burst sizes in *E. coli*. Instead, it seems that  
516 transcriptional burst frequency has not saturated in *S. cerevisiae*. Longer mRNA and protein  
517 lifetimes and perhaps longer duration of expression bursts in *S. cerevisiae* may also contribute to  
518 a smaller burst noise effect.

519 The T cell results show an interesting mix of behaviors that mimic some aspects of both *E.*  
520 *coli* and *S. cerevisiae*. Like *E. coli* all three promoters studied in T cells exhibited expression  
521 noise that approached noise floors that were not related to constitutive extrinsic noise (Fig 6).  
522 These floors are indicative of burst frequency saturation and of a switch from an increasing burst  
523 frequency to an increasing burst size (Figure S2-B in S1 File and [66]). Yet like *S. cerevisiae* the  
524 magnitudes of these noise floors are promoter-specific with a bursty promoter (LTR) having a  
525 significantly higher noise floor than more constitutive promoters (UbC, Ef1A). This implies that  
526 different promoters saturate at different transcriptional burst frequencies, and that promoters with  
527 larger burst sizes may saturate at lower burst frequencies than promoters with smaller burst sizes.

528 The significant fallout from these results is the elimination of many inconsistencies that have  
529 muddled the analytical framework that connects transcriptional processes and the noise observed

530 in the protein populations. Inferential methods did not fail because of any inherent shortcomings  
531 in the two-state model, but instead suffered from inaccurate assumptions about constitutive  
532 extrinsic noise and translational bursting. Correcting these assumptions leads to consistent  
533 results, for example showing agreement between burst sizes measured at the mRNA level and  
534 those inferred from protein noise measurements (Fig 1C).

535 The results presented here demonstrate that noise floors are indicative of burst frequency  
536 saturation, and not fluctuations in global resources, raising an intriguing question: is frequency  
537 saturation and the resultant noise floor a constraint (i.e. the unavoidable consequence of global  
538 gene expression in a shared resource environment) or can they be independently  
539 manipulated? This question cannot be explored by the manipulation of the fluctuations of  
540 individual genes [5454, 5555], but would instead require ways to manipulate the global structure  
541 of noise, and more specifically would require manipulation of the maximum burst frequency for  
542 groups of genes. To explore this question we propose to utilize advances in the bottom-up  
543 construction of synthetic systems that mimic cellular attributes of confinement (i.e. size),  
544 macromolecular crowding, and expression resource limitations [5656].

545 Recent investigations have reported cell-free expression systems confined in lipid  
546 vesicles [5757], porous media [5858], and microfluidic structures [5959]. Although  
547 transcriptional bursting has not been the focus of any of these studies, one recent investigation  
548 [6060] reported the measurement of noise in cell-free expression confined within 20 fL  
549 polydimethylsiloxane (PDMS) containers, and demonstrated key technological steps  
550 (reproducible fabrication of containment vessels, robust sealing of vessels, and time-lapse  
551 fluorescent microscopy over extended periods) that might enable the study of transcriptional  
552 bursting using noise analysis methods recently applied to cellular systems [33, 66, 4515]. In an

553 | additional study, a two-reporter method for quantifying correlated noise [2323] was used to  
554 | characterize stochasticity in gene expression in cell-sized vesicles, and found that measured  
555 | fluctuations were comparable to levels in *E. coli* and mostly an intrinsic property that can be  
556 | produced in a minimal cell-free system [6161]. Importantly, the author's findings are consistent  
557 | with the main conclusion of this study, and suggest a strategy for investigating if a noise floor is  
558 | a constraint or a feature. In such cell-free constructions it would be possible to study noise  
559 | behavior in systems where known sources of transcriptional bursting such as DNA supercoiling  
560 | mechanisms and moribund RNAP-promoter complexes [4444, 6262] can be precisely controlled,  
561 | eliminated or greatly reduced. If it is true that constitutive (i.e. non-bursty) expression can be  
562 | achieved in cell-like confined and crowded environments, it should be possible in these synthetic  
563 | constructs. Conversely, if transcriptional bursting and noise floors prevail even under such  
564 | favorable conditions, it seems likely that these behaviors arise from fundamental constraints of  
565 | relatively complex molecular interactions in confined, crowded, and resource limited  
566 | environments.

567 |         There are studies that have decoupled mean abundance changes from noise modulation in  
568 | individual genes to elucidate the advantageous role of noise in organism fitness [63-6563-65].  
569 | However, a remaining question is if similar advantages accrue at the global scale, i.e. might it be  
570 | advantageous for an organism to distribute high noise across a variety of genes? *E. coli* and *S.*  
571 | *cerevisiae* illustrate contrasting noise distributions that might be thought of as non-specific (high  
572 | noise indiscriminately distributed to all high abundance proteins, i.e. *E. coli*) and specific (high  
573 | noise distributed to a select group of high abundance proteins, i.e. *S. cerevisiae*). This select  
574 | group of noisy proteins in *S. cerevisiae* has been strongly associated with gene-specific promoter  
575 | and regulatory arrangements that couple together responsiveness and noise [6666, 6767] and

576 | stress responses [3333]. The distribution of noise to stress response genes may suggest a bet-  
577 | hedging strategy where populations mitigate environmental fluctuations through a noise-  
578 | mediated assignment of some cells into alternate phenotypes. At the single gene scale it has been  
579 | shown experimentally that frequency matching between environmental and gene expression  
580 | fluctuations provides a fitness advantage to populations [6565]. This frequency matching  
581 | behavior is especially intriguing in light of the finding here that noise floors are indicative of  
582 | burst frequency saturation. We hypothesize that the burst frequency saturation level and the  
583 | resultant noise floor may adapt to fluctuating environments, and we propose that adaptation  
584 | experiments would be well suited for elucidating if global noise structure may be a conserved  
585 | feature. By placing organisms in environments that stress multiple pathways (or ideally affect the  
586 | cell on a global scale), adaptation of the noise floor to different stress levels and fluctuation  
587 | frequencies would imply that global noise structure is a feature capable of improving fitness.  
588 | Such global noise modulating experiments will define the role of the conserved burst noise  
589 | structure across organisms and address whether modulating noise above and below the floor has  
590 | functional consequences.

591

592

## 593 **Materials and Methods**

594 **Calculations.** Eqn. 1 was derived assuming that  $k_{\text{OFF}} \gg k_{\text{ON}}$ , thereby allowing each expression  
595 burst (transcription and translation taken together) to be approximated as the product of 3  
596 uncorrelated random processes: Process A composed of a Poissonian pulse train of impulse  
597 functions of weight = 1 having an average value  $\bar{A}$  (transcriptional initiation, i.e. burst

598 frequency); Process B (transcriptional bursting) with a mean value of  $\bar{B}$ , and a variance of  $\sigma_B^2$ ;  
 599 and Process b (translational bursting) with a mean value of  $\bar{b}$ , and a variance of  $\sigma_b^2$ . The Fano  
 600 factor (FF) of this composite process – and therefore the FF expected in the protein population  
 601 ( $FF_{\langle P \rangle}$ ) – is (Supporting InformationS1 File):

$$FF_{\langle P \rangle} = (\bar{b} + FF_b)(\bar{B} + FF_B),$$

603 where  $FF_b$  is the Fano factor of the translational burst size and  $FF_B$  is the Fano factor of the  
 604 transcriptional burst size. In the absence of constitutive extrinsic noise,  $FF_b = 1$ , the value of  
 605  $FF_B$  is model dependent and may vary between  $\sim 0$  (for small B) and 1 (Supporting  
 606 InformationS1 File). Eqn. (1) uses the model that allows for a smooth transition from Poissonian  
 607 expression (i.e. constitutive transcription with no bursting) to bursty expression (Supporting  
 608 InformationS1 File). In this model, Poissonian expression is simply  $\bar{B} = 1$  and  $FF_B = 0$ .  
 609 Accordingly, Eqn. 1 uses the relationship (neglecting constitutive extrinsic noise)

$$FF_{\langle P \rangle} = \bar{B}(\bar{b} + 1).$$

611  
 612 The expression for translational burst rate was derived using the steady state equation for mean  
 613 protein abundance  $\langle P \rangle = (\alpha \cdot k_p) / (\gamma_m \cdot \gamma_p)$ , where  $\alpha$  and  $k_p$  are the transcription and  
 614 translation rates respectively, and  $\gamma_m$  and  $\gamma_p$  are the mRNA and protein degradation rates  
 615 respectively. Upon rearrangement and substitution of  $b = k_p / \gamma_m$  and  $\langle M \rangle = \alpha / \gamma_m$ , Eqn. 3 is  
 616 reached. Here the protein decay in *E. coli* was assumed to be dominated by dilution caused by  
 617 cell growth. A constant cell doubling time of 55 minutes was used.

618

619 For comparing calculated burst size values of Eqn. 6, an equation fit to experimental  
620 measurements on 20 *E. coli* promoters measured by So *et al.*, [4515] was used:  $B = 1 +$   
621  $1.5 * \langle M \rangle^{0.64}$ . The same literature values for  $\langle M_i \rangle$  used to calculate  $b_i$  were used in this  
622 calculation [3636]. Burst size values were then plotted against their database  $\langle P_i \rangle$  values for the  
623 comparison shown in Figure-Fig 3D.

624

625

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636

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639

640

641

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## 1041 Supporting Information

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1043 **S1 File. Supporting Information PDF file**

1044 **S1 Table. Supplementary Data Spreadsheet**

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