



SAND2015-2671C

Dielectric Resonator Metasurfaces: Optical Magnetism, Emission and Optical Devices

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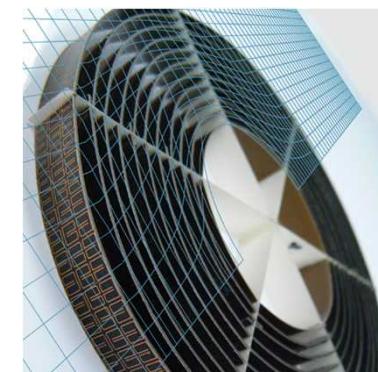
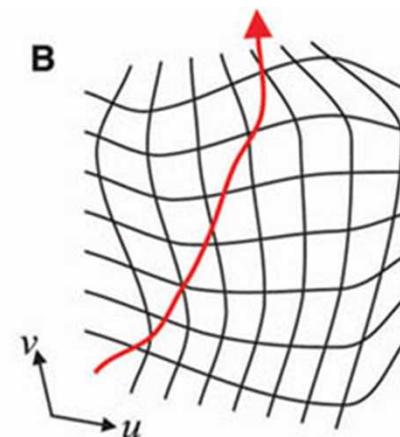
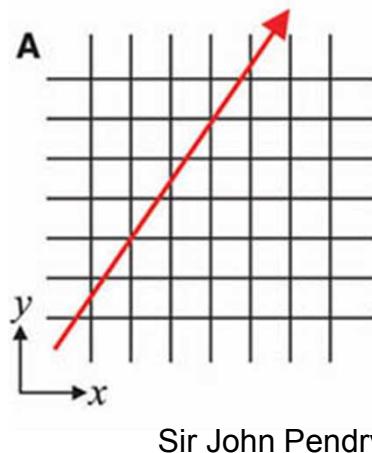
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Outline

- Dielectric Resonators as Metamaterials
- Optical Magnetism
- Directional Emission, Fano Resonances, and Third-Harmonic Generation

The Promise of 3D Metamaterials

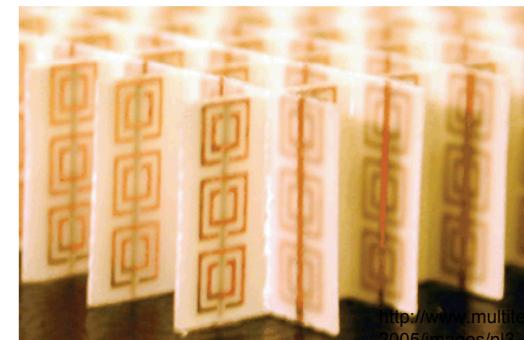
- Engineer ϵ and μ everywhere in space using deep subwavelength structures (usually resonators)
- Then light will do wonderful things



David Smith, Duke

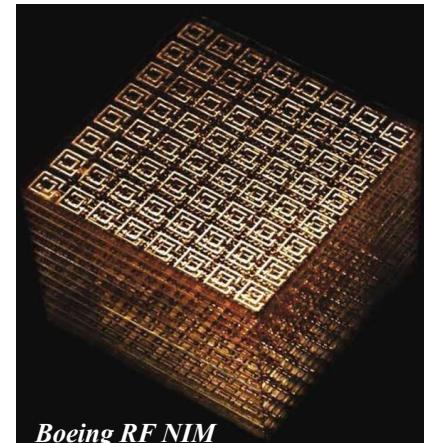
- For RF ($\sim 3\text{GHz}$), $\lambda \sim \text{cm}$
- We need to create sub-wavelength “inductors” and “capacitors”

$\sim \text{XXmm}$

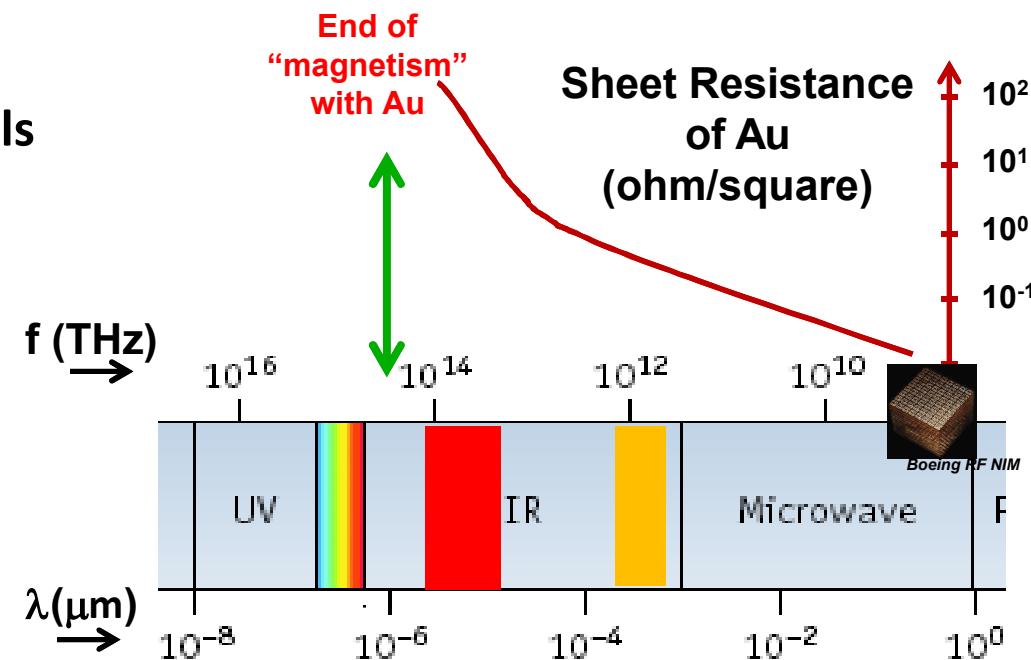


Metamaterials at Optical Frequencies?

Issue 1: Fabrication



Issue 2: Loss of metals

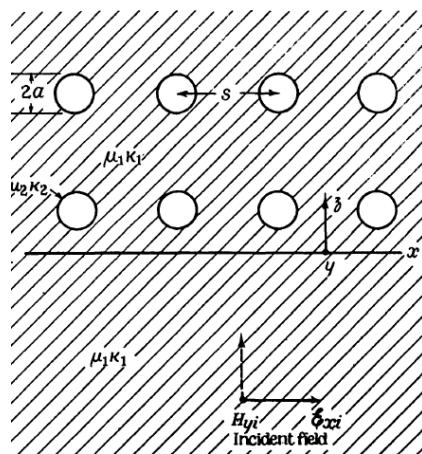


Dielectric Resonators for Metamaterials

THE ELECTRICAL CONSTANTS OF A MATERIAL LOADED WITH SPHERICAL PARTICLES*

By L. LEWIN.†

(The paper was first received 4th March, and in revised form 27th September, 1946.)



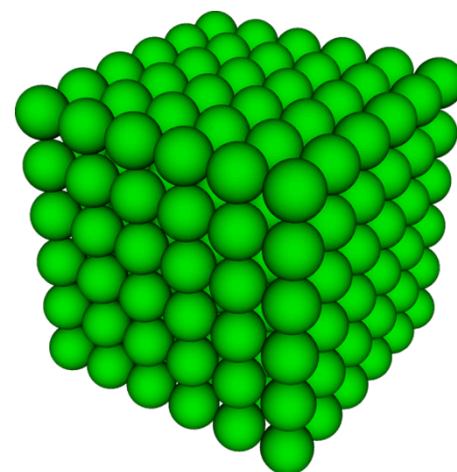
$$\mu'_{\text{re}} = \mu_{r1} \left(1 + \frac{3v_f}{\frac{F(\theta) + 2b_m}{F(\theta) - b_m} - v_f} \right)$$

$$\epsilon'_{\text{re}} = \epsilon_{r1} \left(1 + \frac{3v_f}{\frac{F(\theta) + 2b_e}{F(\theta) - b_e} - v_f} \right)$$

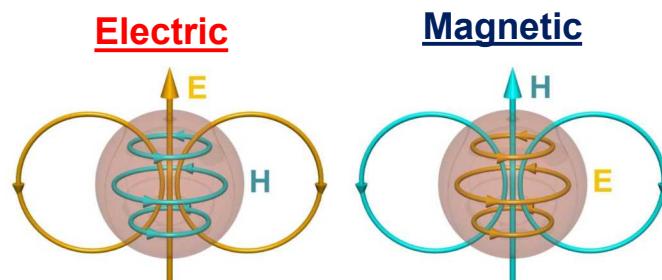
$$F(\theta) = \frac{2(\sin \theta - \theta \cos \theta)}{(\theta^2 - 1) \sin \theta + \theta \cos \theta}$$

$$\theta = k_0 a \sqrt{\epsilon'_{r2} \mu'_{r2}}$$

$$b_e = \frac{\epsilon_1}{\epsilon_2}, \quad b_m = \frac{\mu_1}{\mu_2}$$

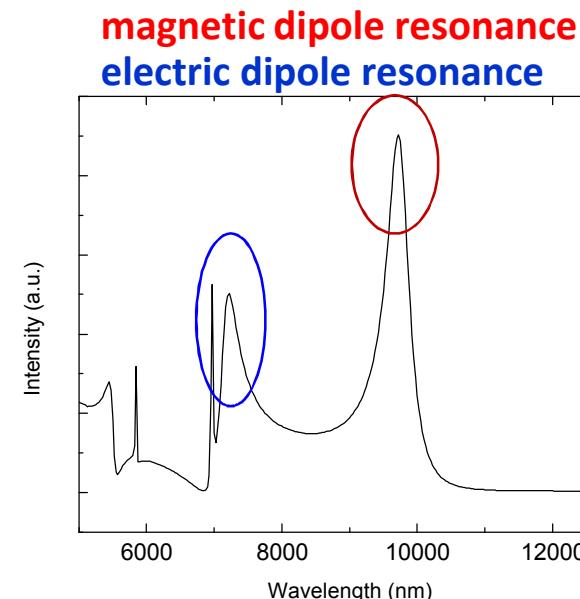


Dielectric Resonators

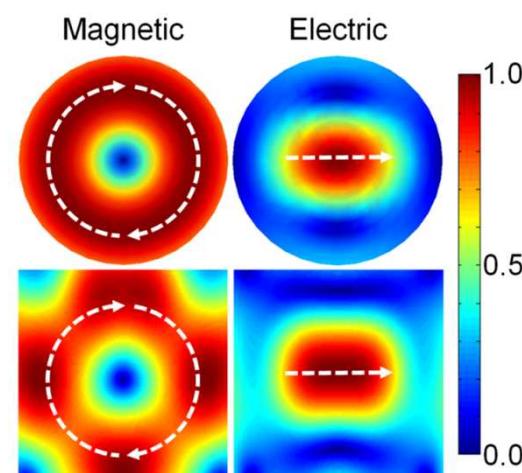


Images: A. Miroshnichenko

Magnetic dipole resonance: tailor μ
Electric dipole resonance: tailor ϵ

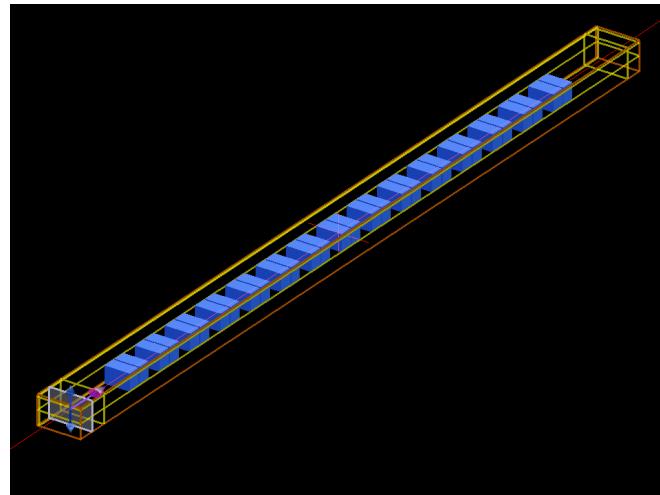


- Cubes work fine too
- Introducing “cuts” in cube can move relative positions of resonances

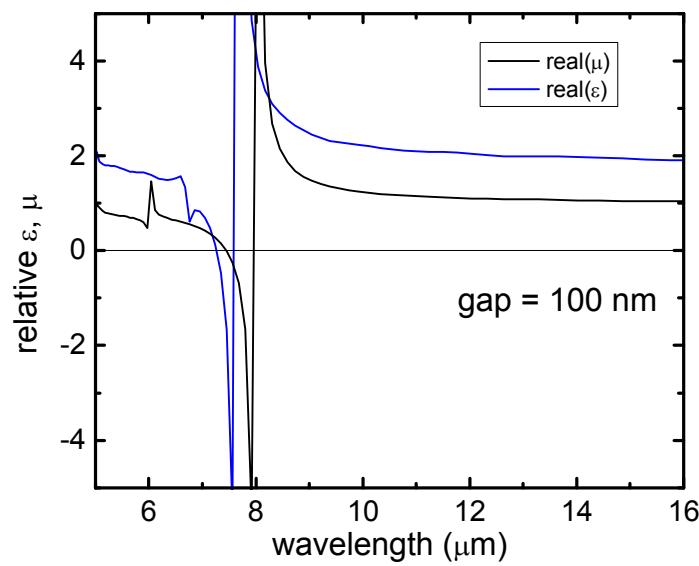
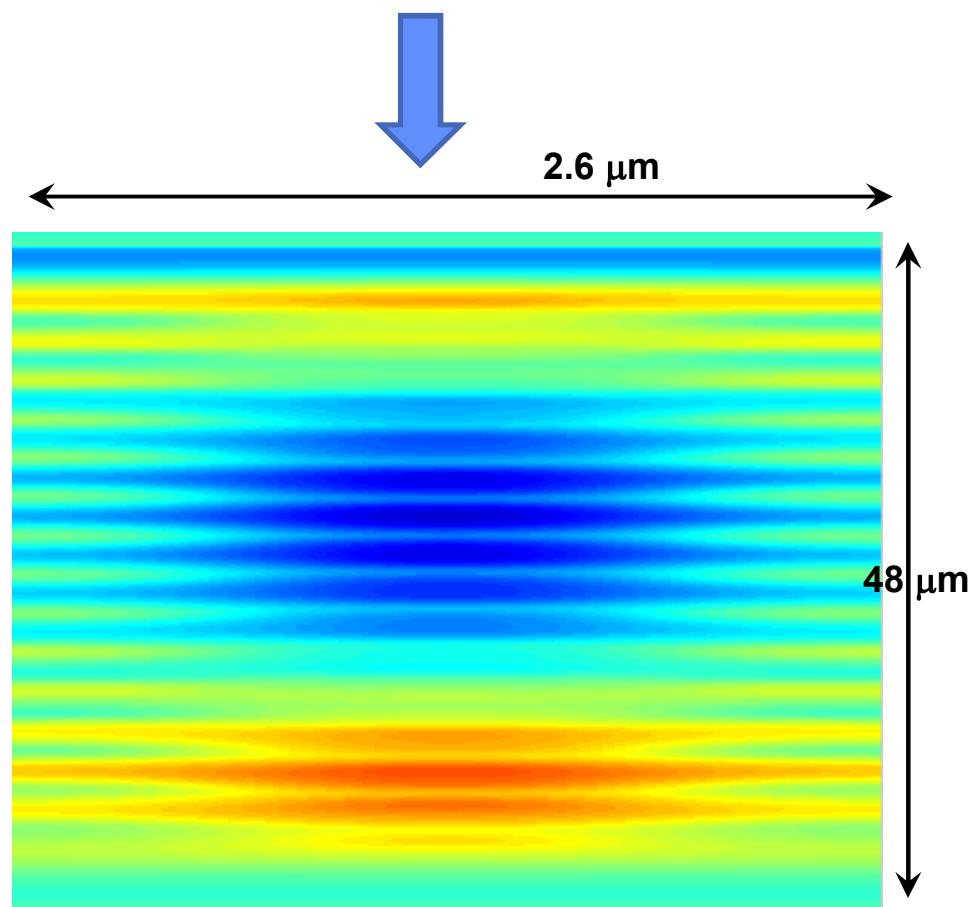


Full Wave Simulation of Propagation Through Split Cube Array

$\epsilon=32$, edge = 1.53 μm , gap = 100 nm



H_x at top of unit cell ($z=1.3 \mu\text{m}$)
Incident waves



What is a mirror?



“Regular” mirrors invert the phase of the reflected wave

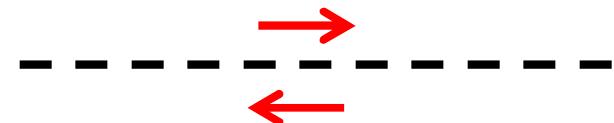
Dipoles Close to Surfaces

Electric dipole on top of a perfect **electric** conductor

(PEC)
→



IMAGE THEORY



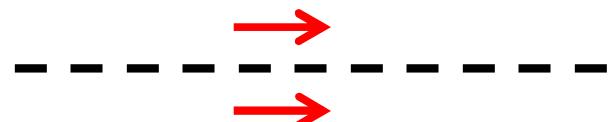
- Because of boundary condition of the PEC surface, the electric field at the dashed plane has to be zero
- This means that the radiation of an electric dipole close to PEC is quenched

Electric dipole on top of a perfect **magnetic** conductor

(PMC)
→



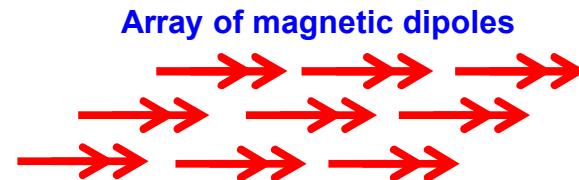
IMAGE THEORY



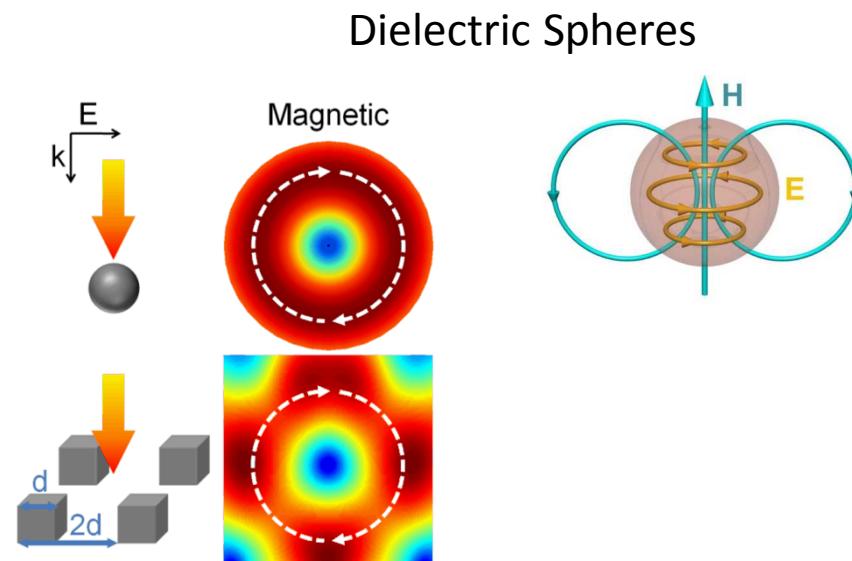
- This means that the radiation of an electric dipole on a PMC is enhanced

Magnetic Dipoles

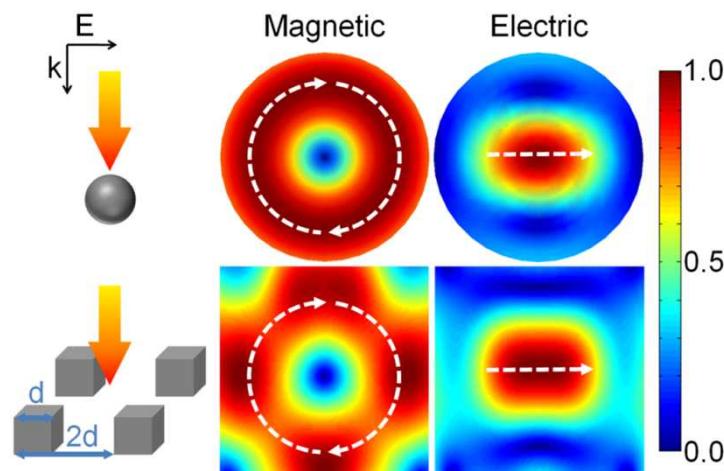
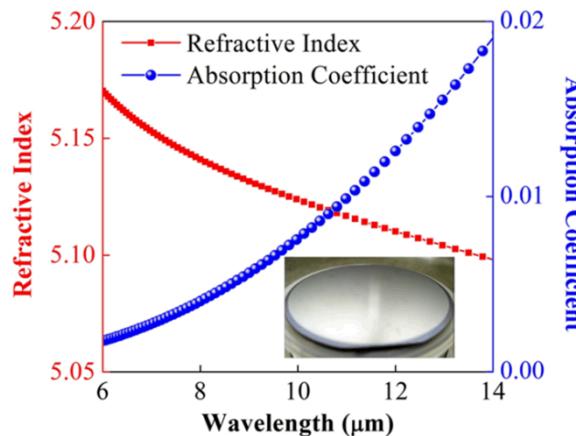
However, a perfect magnetic conductor does not exist in nature



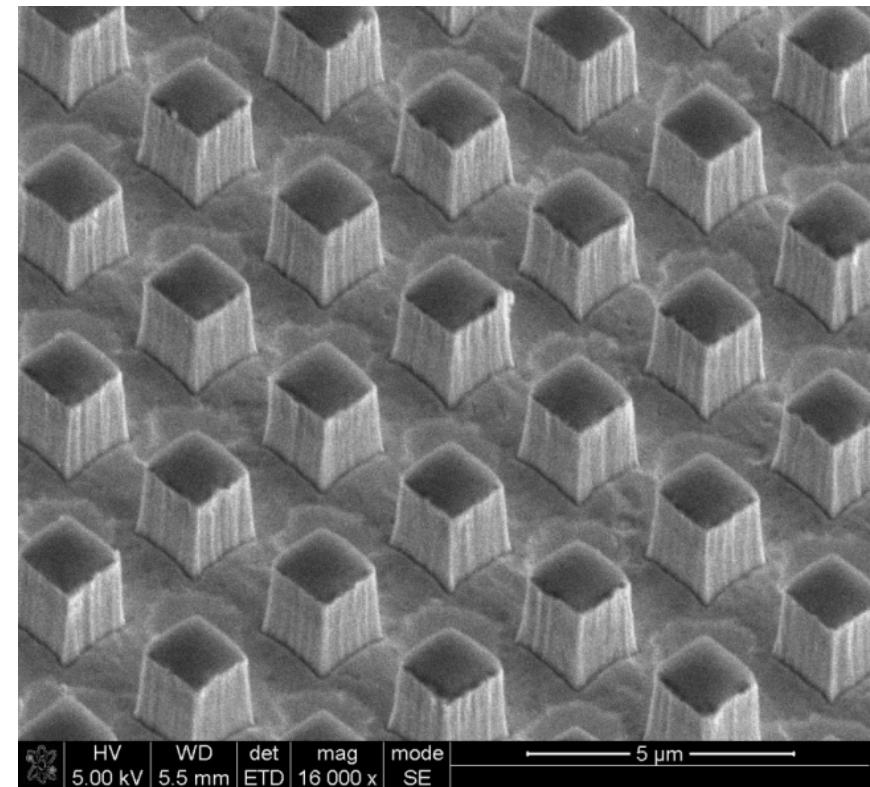
Because the magnetic dipole responds in phase with the electric field, this represents an **artificial magnetic conductor**



Dielectric Resonator IR Metasurface: Te/BaF_2

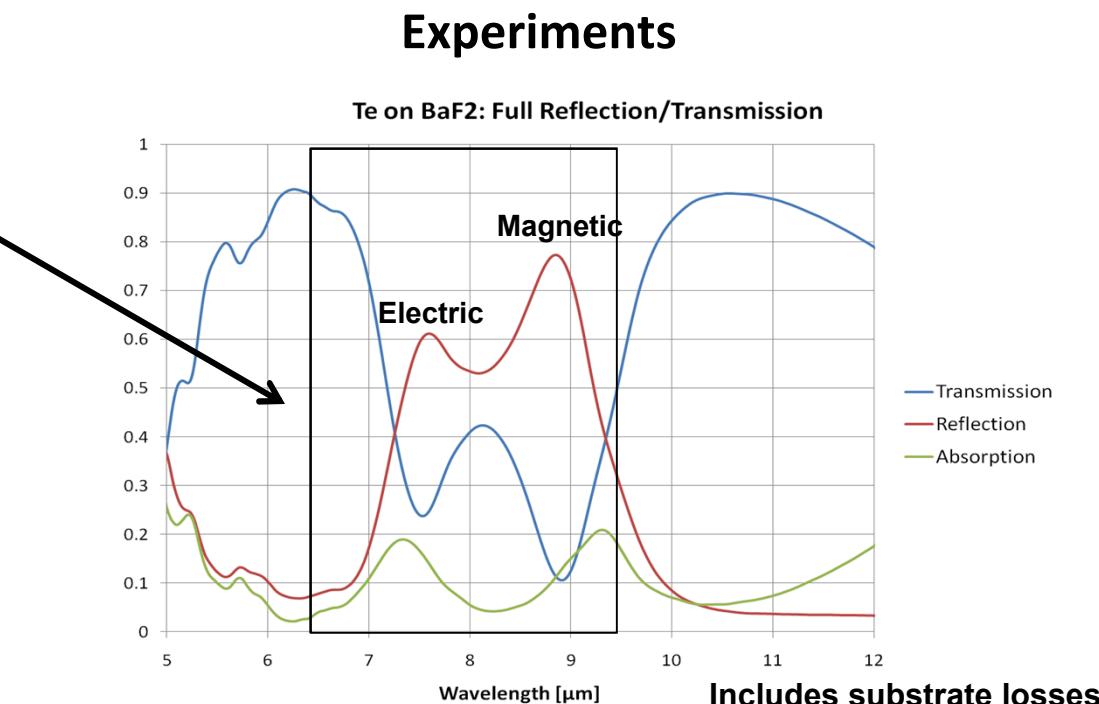
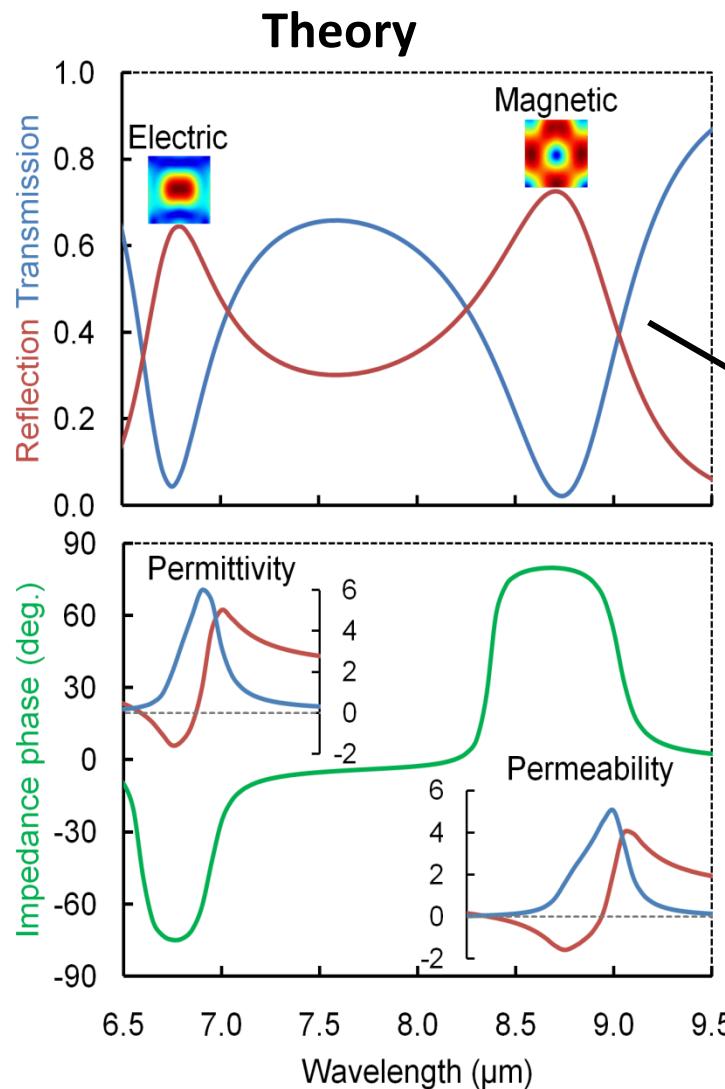


Mask + RIE etch



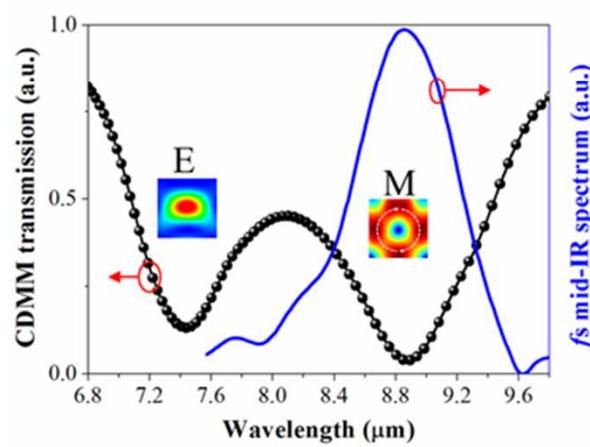
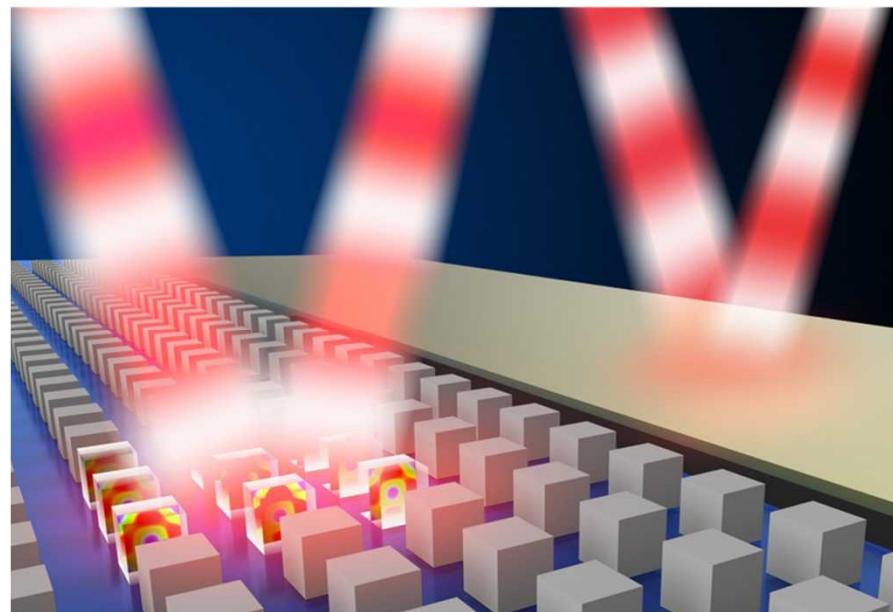
$1.53 \times 1.53 \times 1.7 \text{ mm}^3$
10 deg wall slope

Dielectric Resonator IR Metasurface: Te/BaF₂

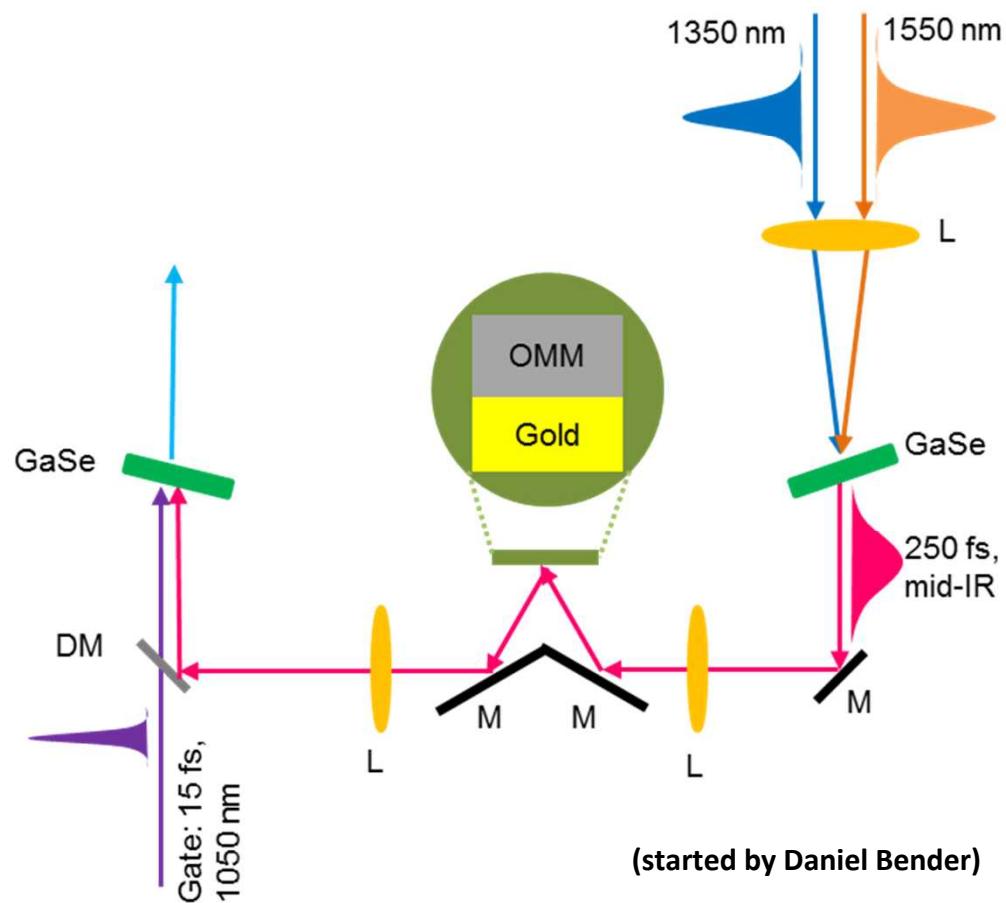


Proving Optical Magnetism: Measure Absolute Phase of Reflected Wave

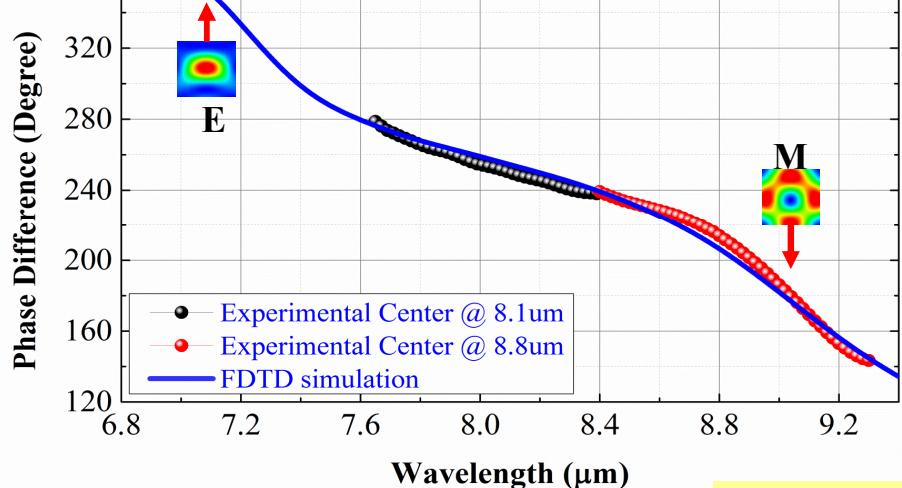
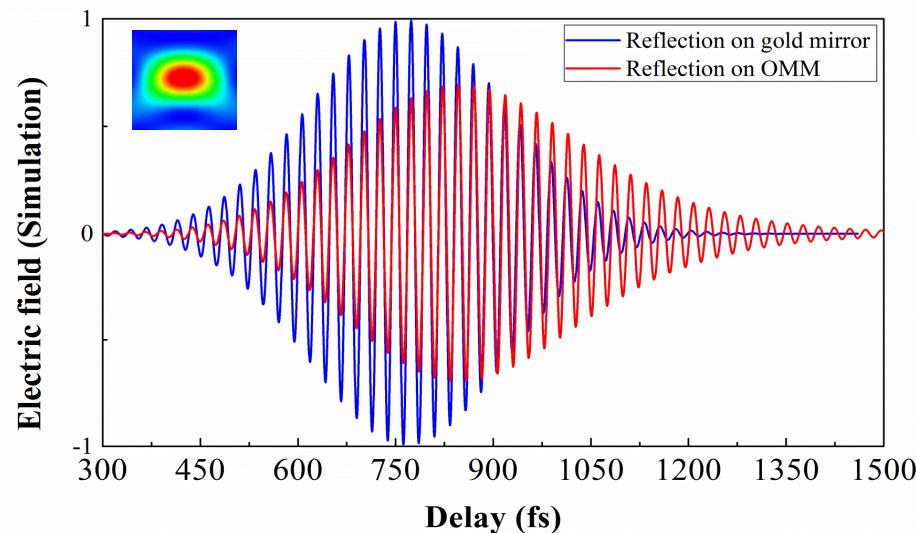
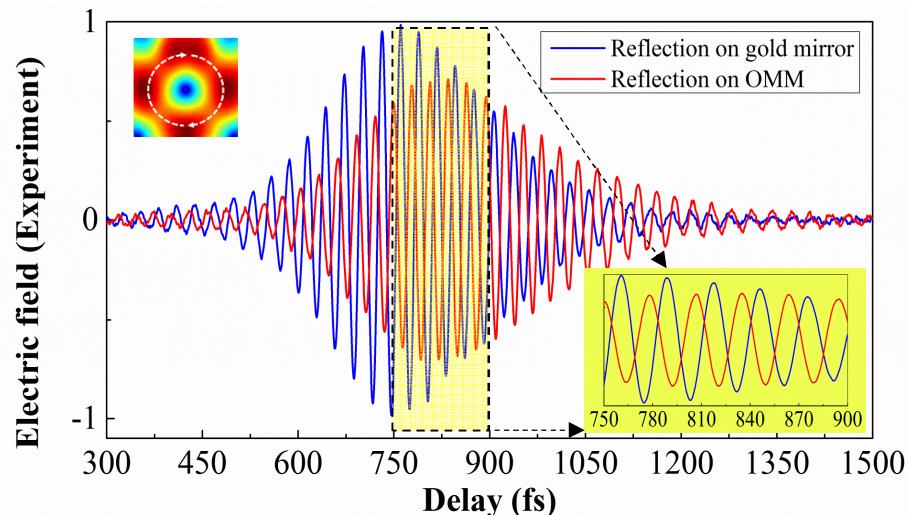
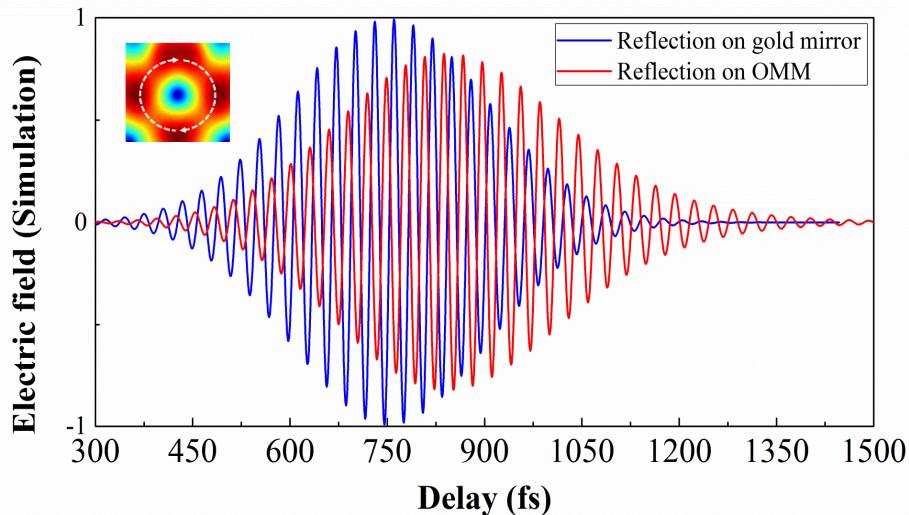
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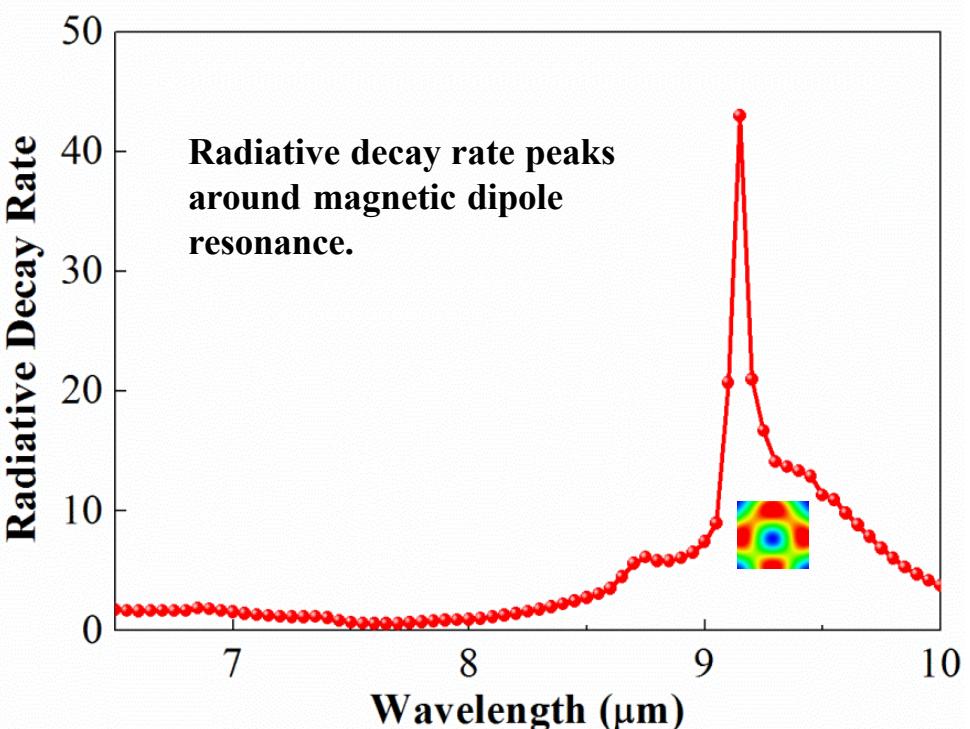
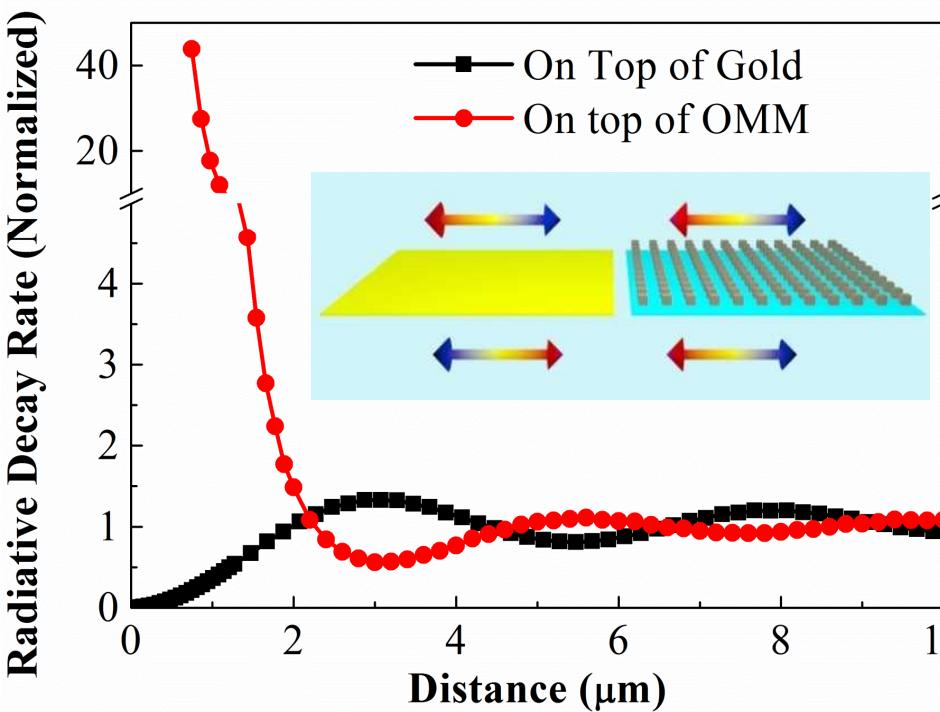
Phase-locked Time Domain Spectroscopy



Experimental Demonstration of “Optical Magnetism”

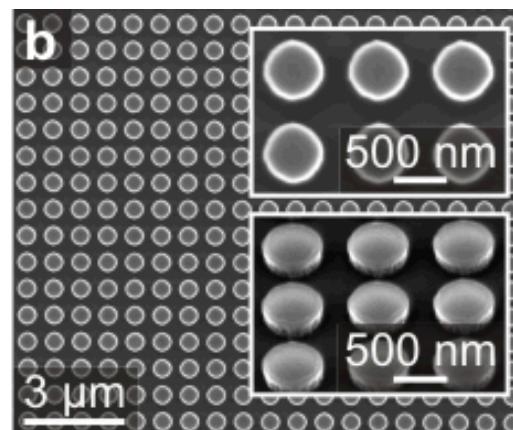
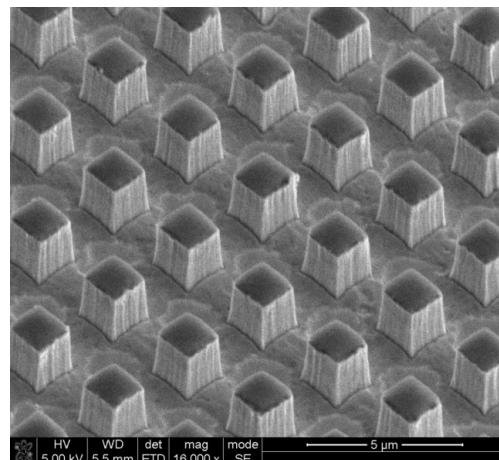


Radiative Decay Rate of a Transverse Electric Dipole Near Au and OMM Surfaces



- Oscillatory dependence on distance is shifted by about half a period
- Dipole emission near the magnetic mirror is enhanced even for very small distances

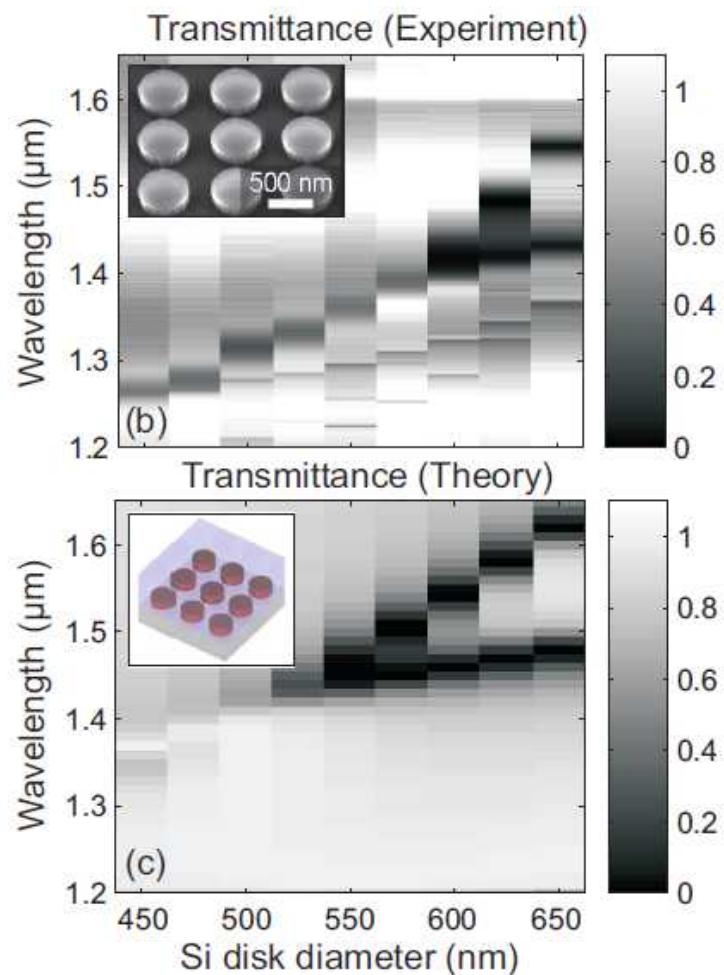
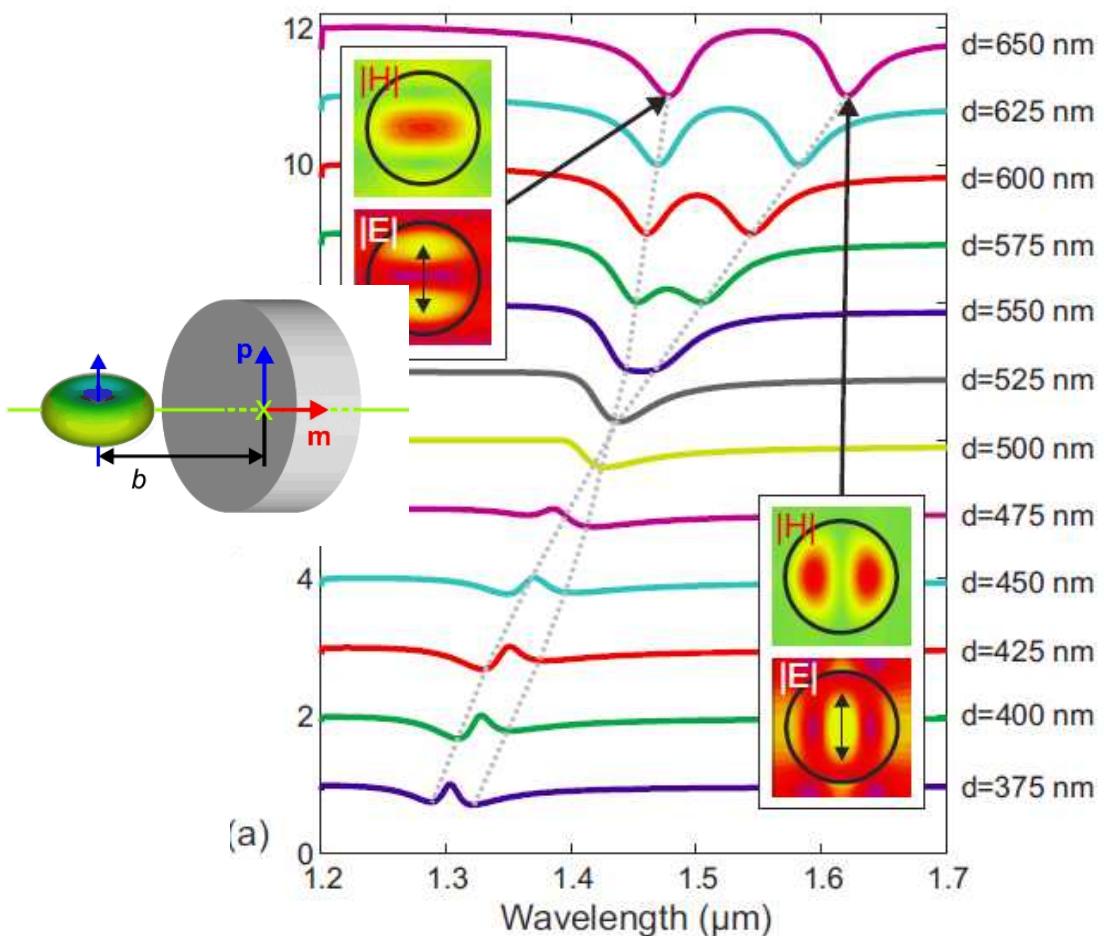
Scaling Dielectric Resonators to the Near IR: Silicon Nanostructures



**Tellurium: $n \sim 5$, Size $\sim 1.5 \mu\text{m}$
 $\lambda > 5 \mu\text{m}$**

**Silicon: $n \sim 3.5$, Size $< 200-500 \text{ nm}$
 $\lambda > 1 \mu\text{m}$**

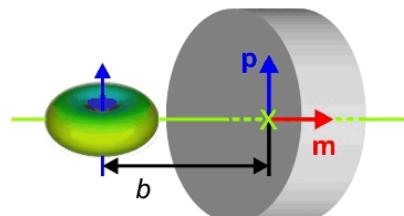
Scaling Dielectric Resonators to the Near IR: Silicon Cylinders (with I. Staude & Y. Kivshar, ANU)



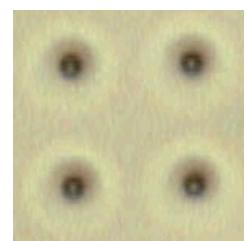
Changing the aspect ratio of the nanocylinder, changes relative position of E & H resonances

Integration with Quantum Dots

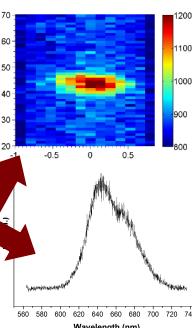
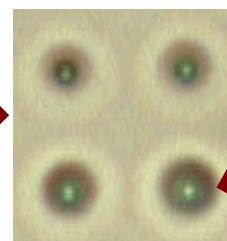
With J. Hollingsworth
and F. Darwood, LANL



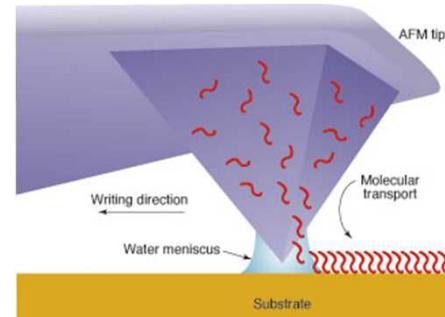
Many QDs



DPN



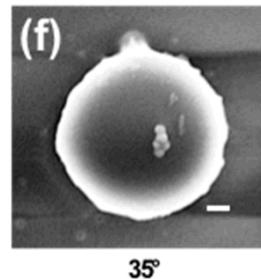
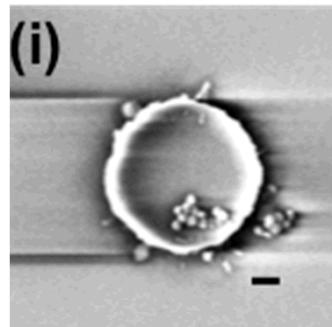
Dip-pen nanolithography



<http://str.llnl.gov>

QDs in protective polymer coating selectively deposited onto the tops of Si nanoresonators

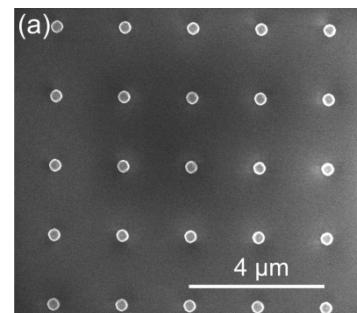
Towards Single QDs



(near-IR g-NQDs)
SEM: C. Sheehan, LANL

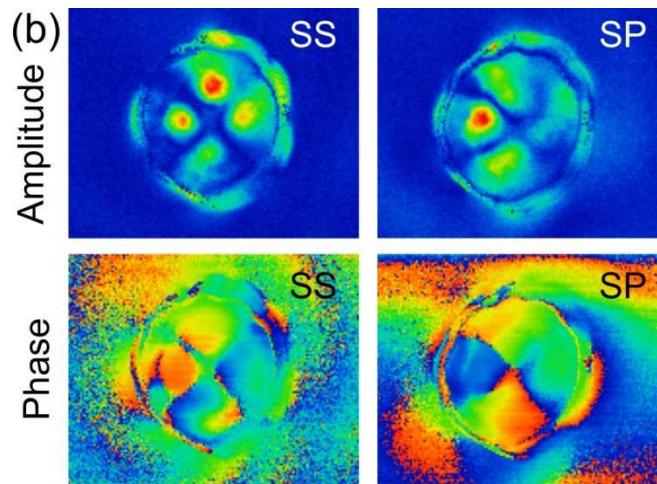
Near Field Imaging of Localized Modes

With Prof. Habteyes, UNM

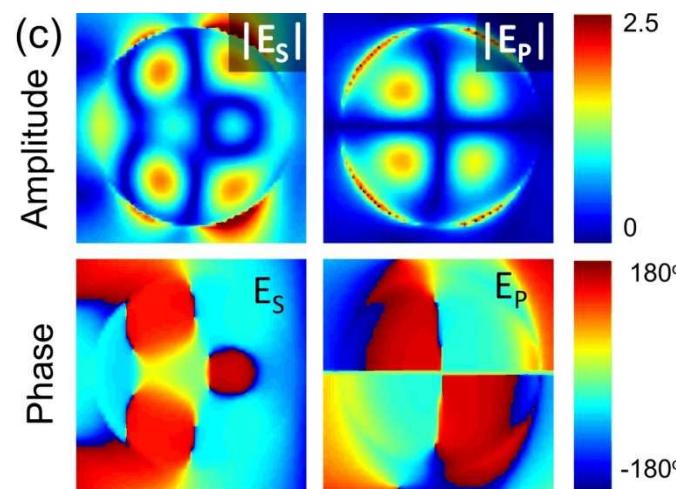


nanodisk diameter 412 nm,
nanodisk height 140 nm
 $\lambda=633\text{nm}$

Measurements



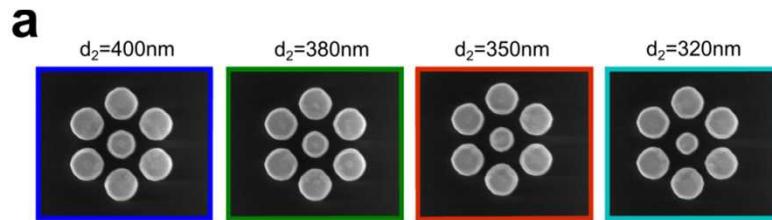
Calculated near-field amplitudes



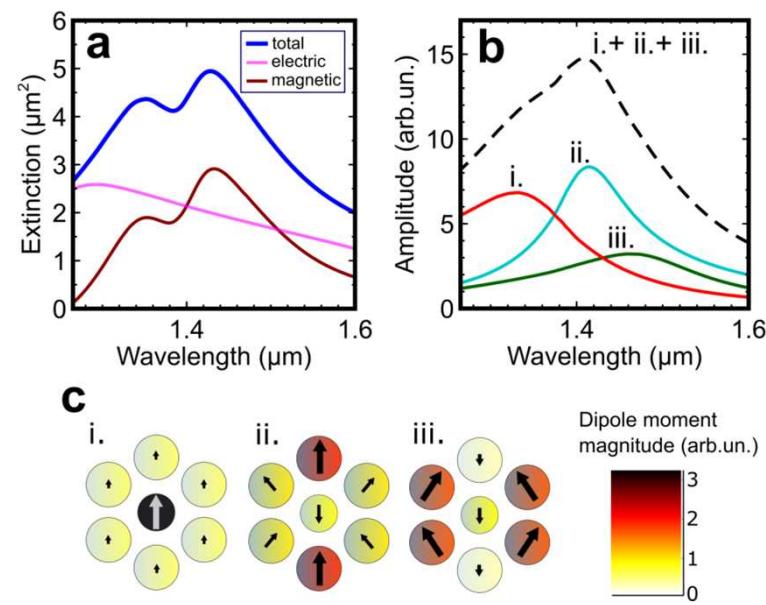
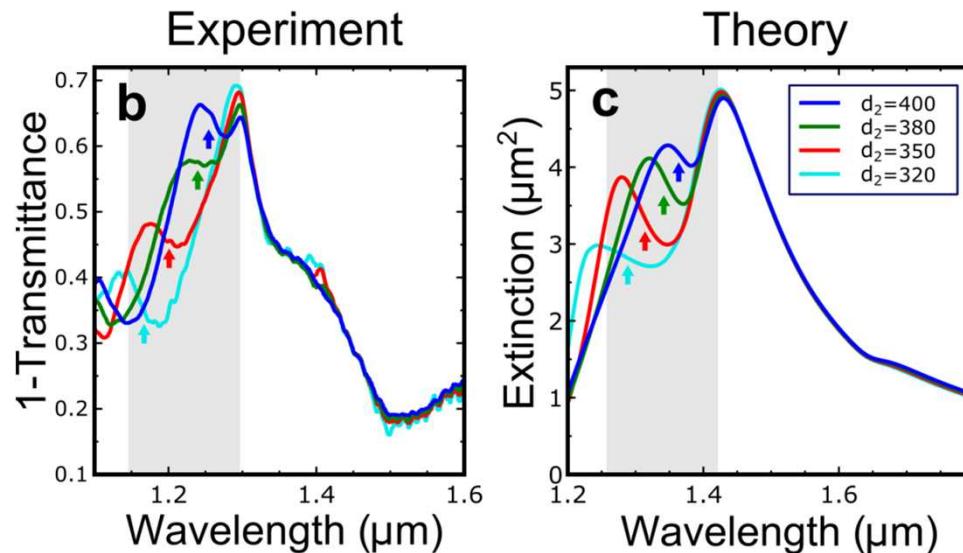
Mostly electric quadrupole

ACS Photonics (2014)

Fano Resonances in All-Dielectric Nanoparticle Oligomers

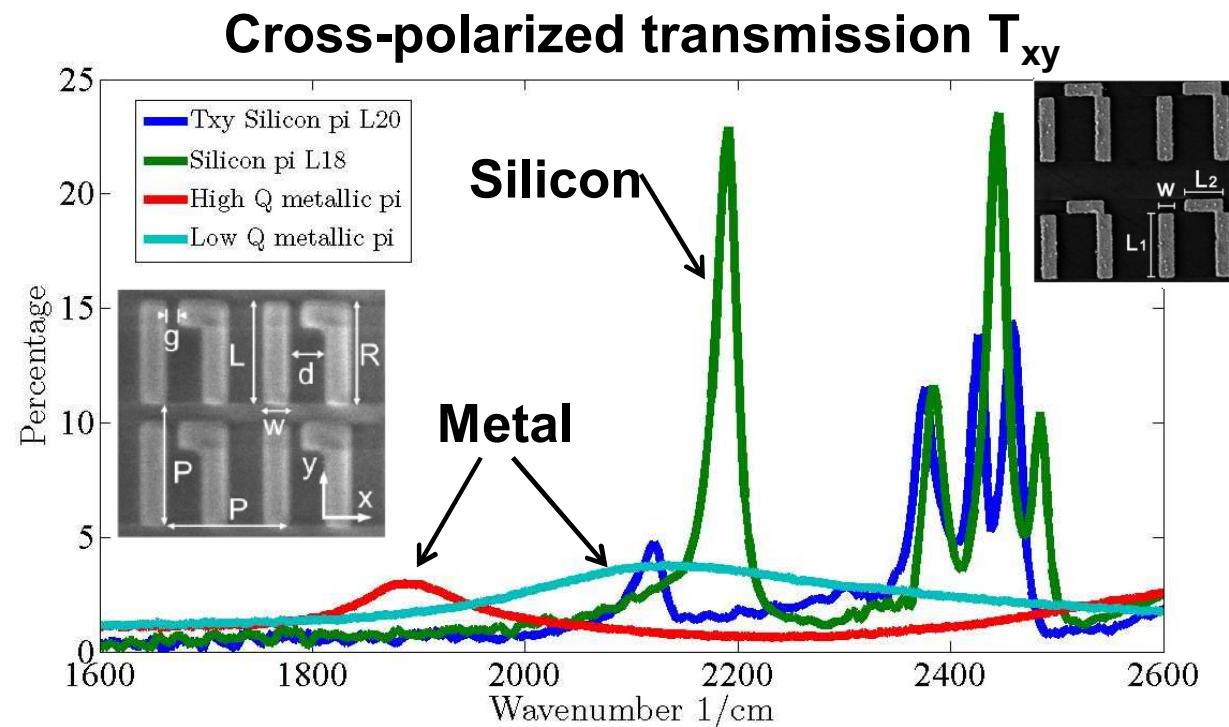
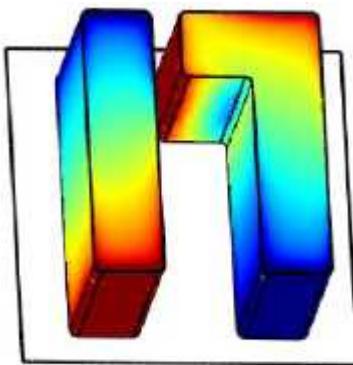
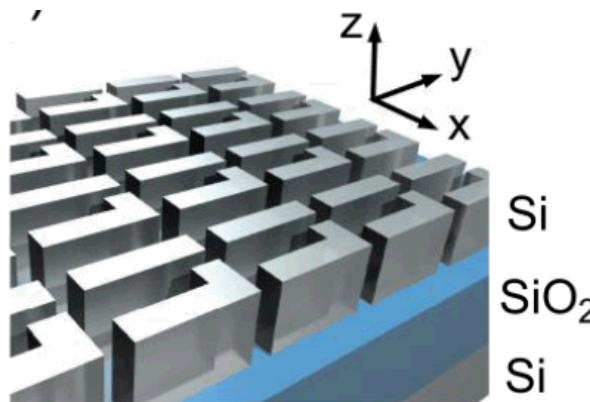


(with I. Staude & Y. Kivshar, ANU)

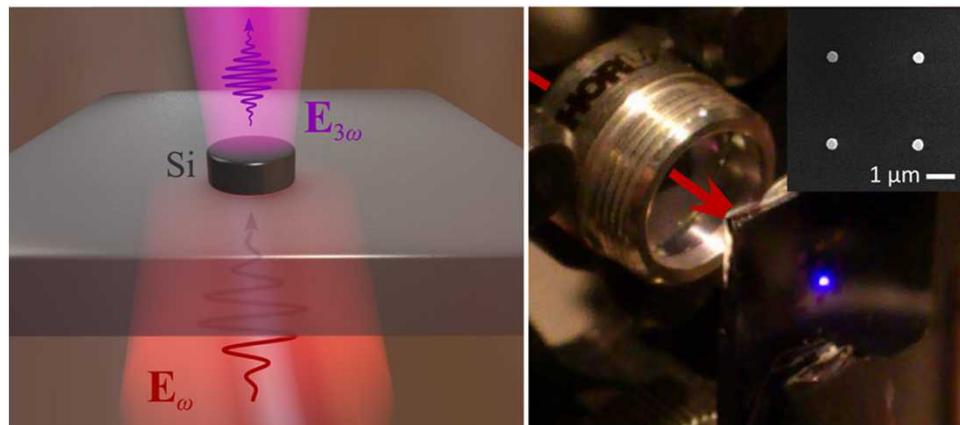


All-Dielectric Fano-Resonant 2D Chiral Metasurfaces:

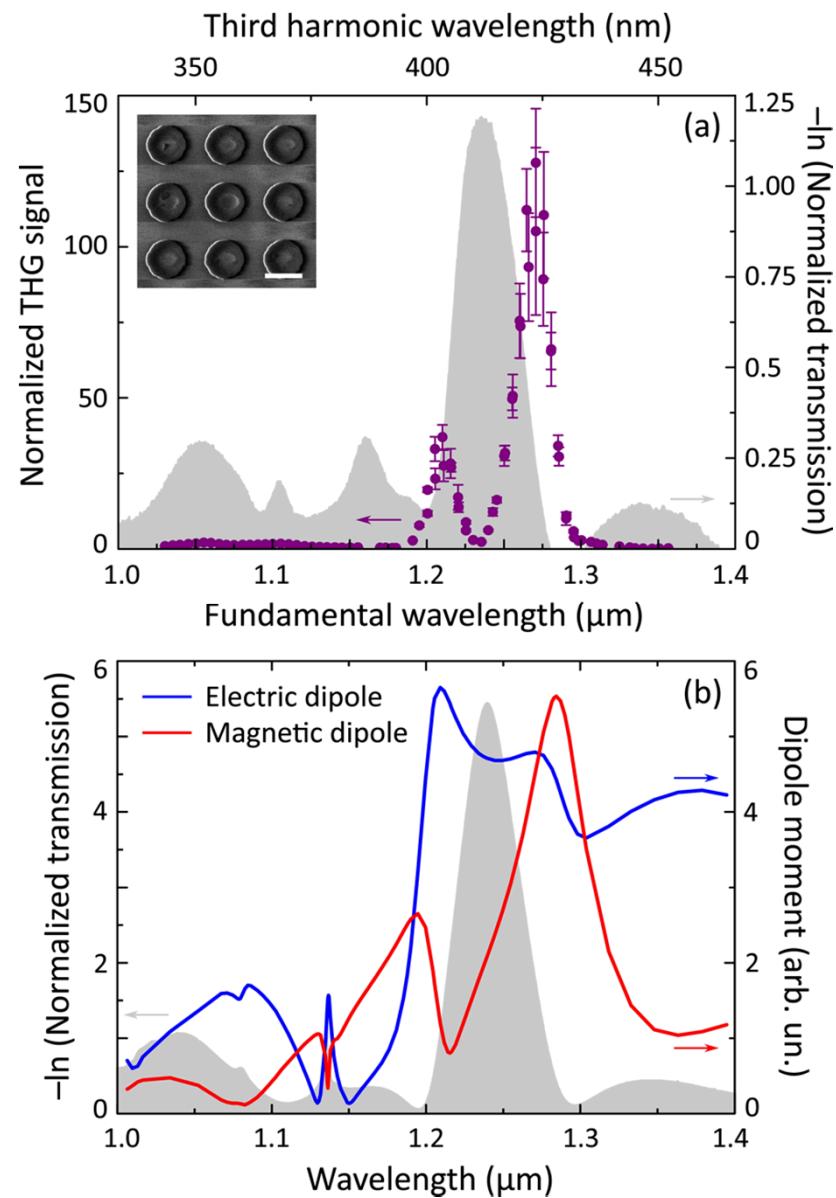
Order of Magnitude Higher Q Than Similar Metallic Metasurfaces (with G. Shvets, UT Austin)



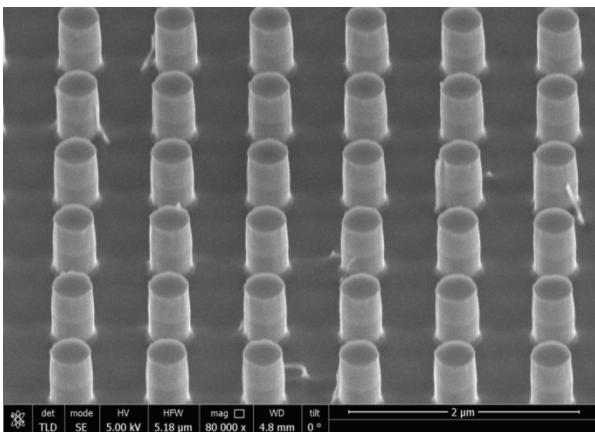
Third Harmonic Generation



(With ANU & Moscow State)

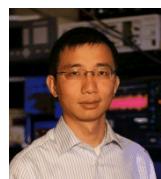
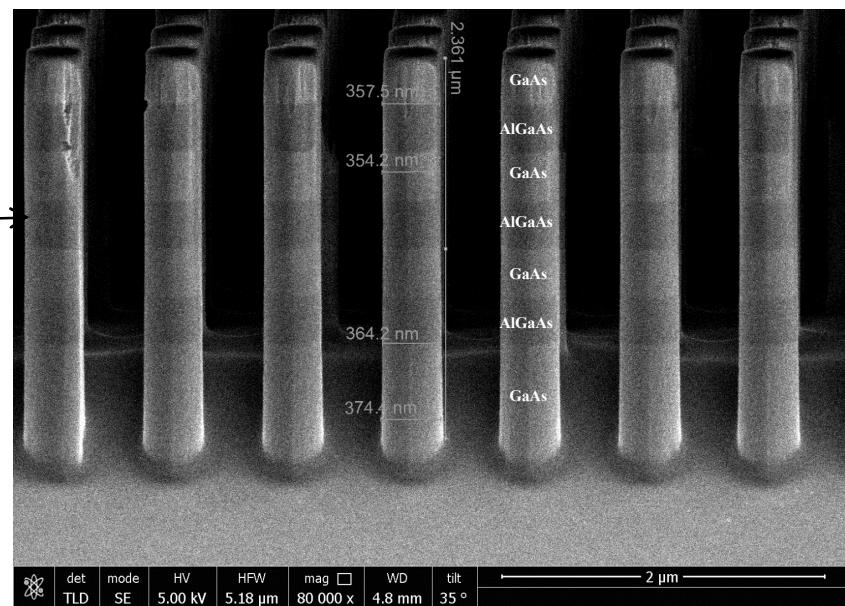


Dielectric Metamaterials with III-V's?



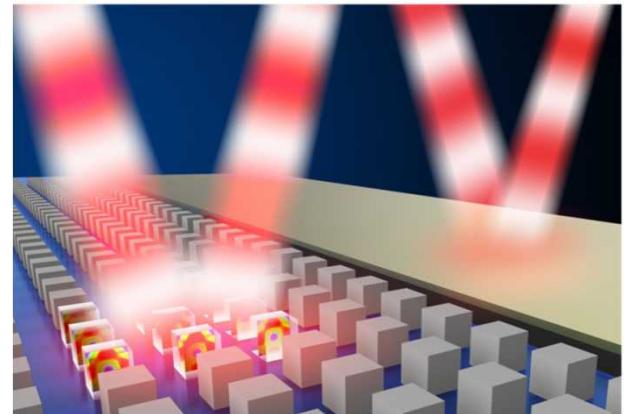
GaAs nanocylinders

Oxidized



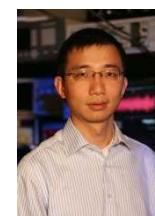
Summary

- Arrays of dielectric resonators were used to create an “optical magnetic mirror”.
- Proved magnetic mirror behavior using absolute optical phase measurements
- Through geometry and mode control, high index dielectric resonators offer a platform to create optical devices and metamaterial functionality.



ACKNOWLEDGMENTS:

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- **ANU:** Y. Kivshar, I. Staude, M. Decker, D. Neshev, ...
- **Lomonosov Moscow State:** M. Shcherbakov,...
- **UNM:** T. Habteyes
- **UT Austin:** G. Shvets

Center for Integrated Nanotechnologies: CINT



Center for Nanoscale
Materials
Argonne National Lab.

Molecular Foundry
Lawrence Berkeley National
Lab.



Center for Integrated
Nanotechnologies
Sandia National Labs.
Los Alamos National
Lab.

Center for Nanophase Materials
Sciences
Oak Ridge National Lab.



- one of five U.S. Dept. of Energy Nanoscience Centers
- Between 150 and 300 active user projects

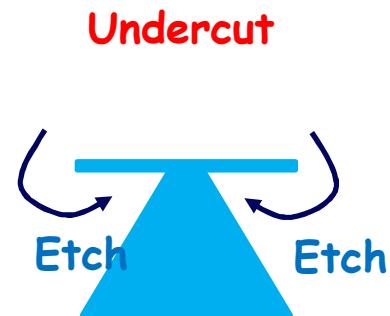
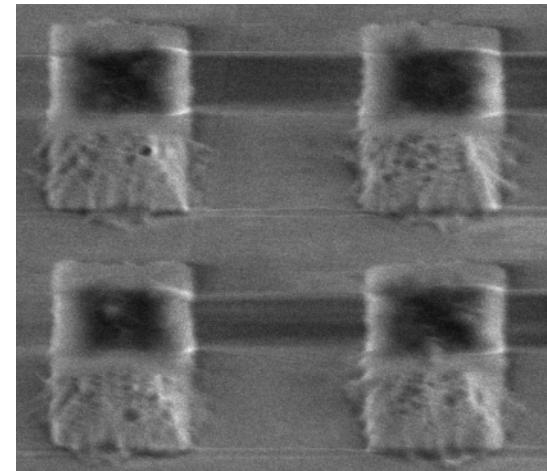
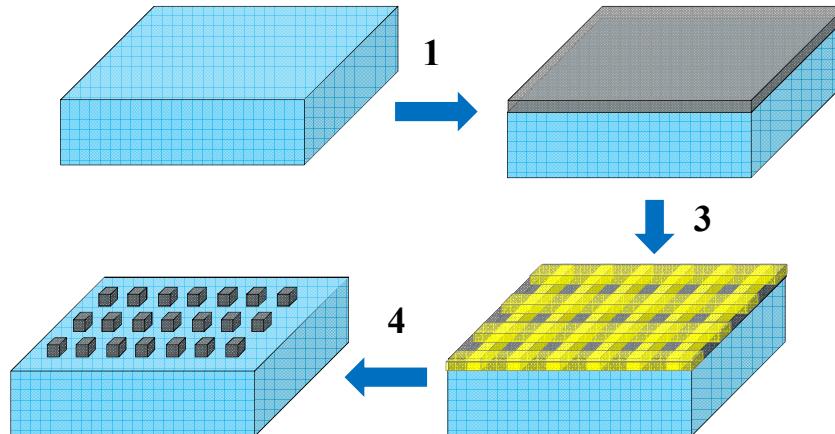
User facility: free to use

<http://cint.sandia.gov>

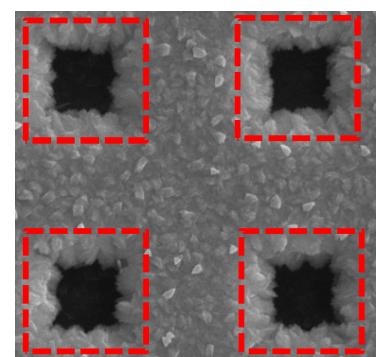
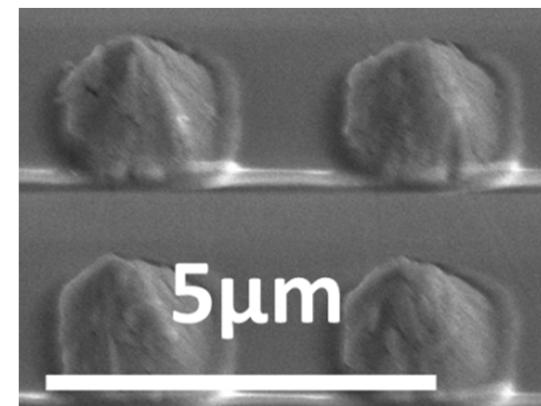
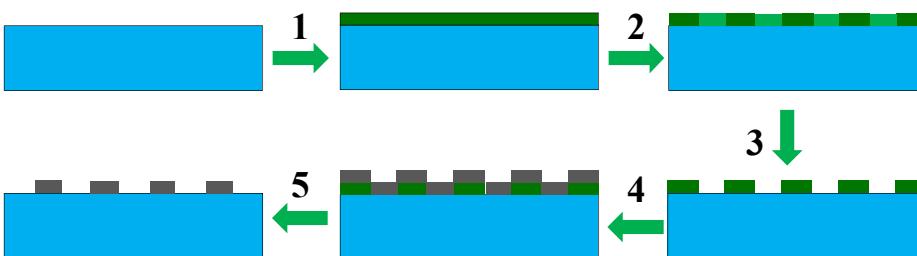
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Prior (Difficult) Fabrication

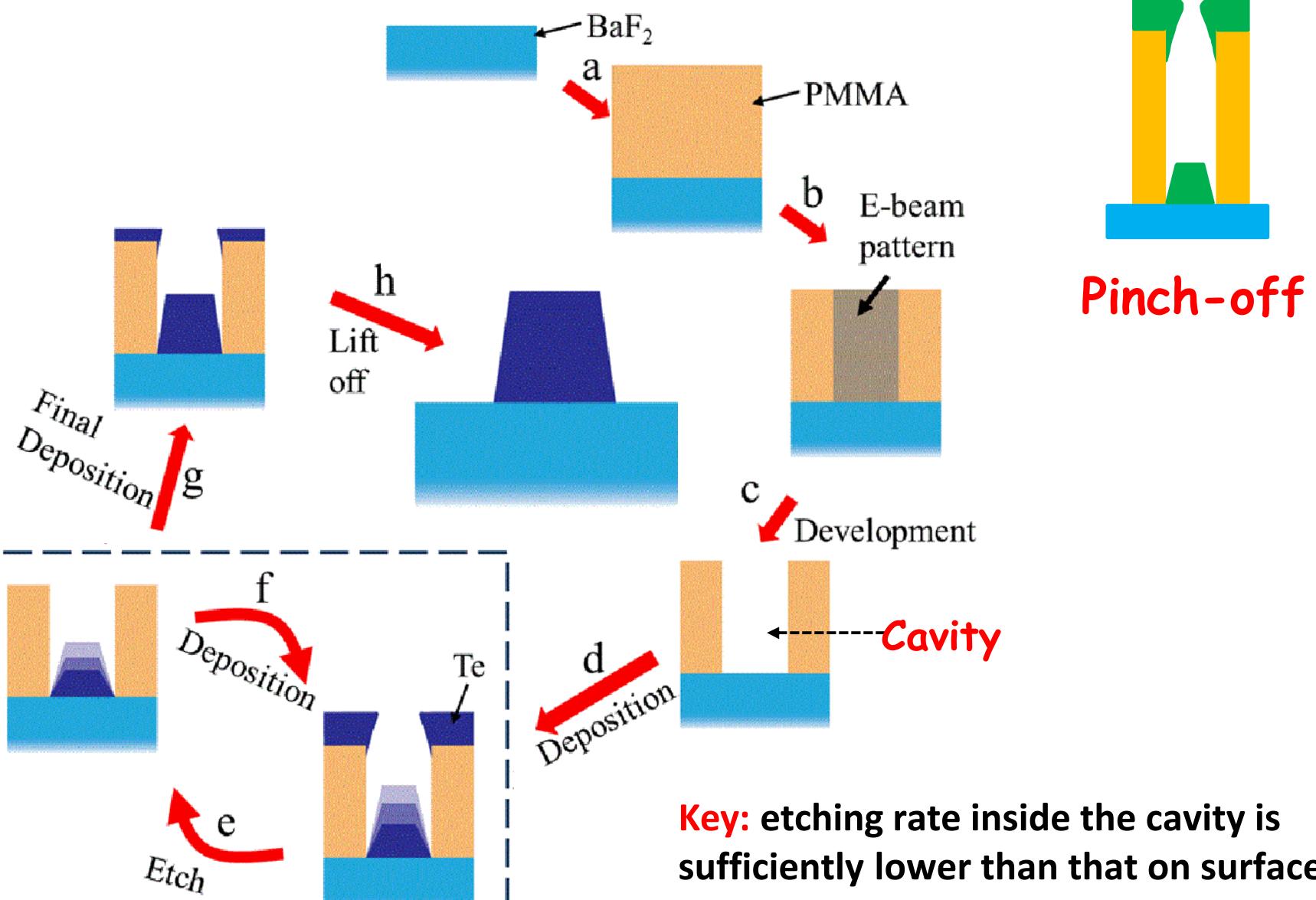
Deposition + Mask + Etching



Deposition + Liftoff

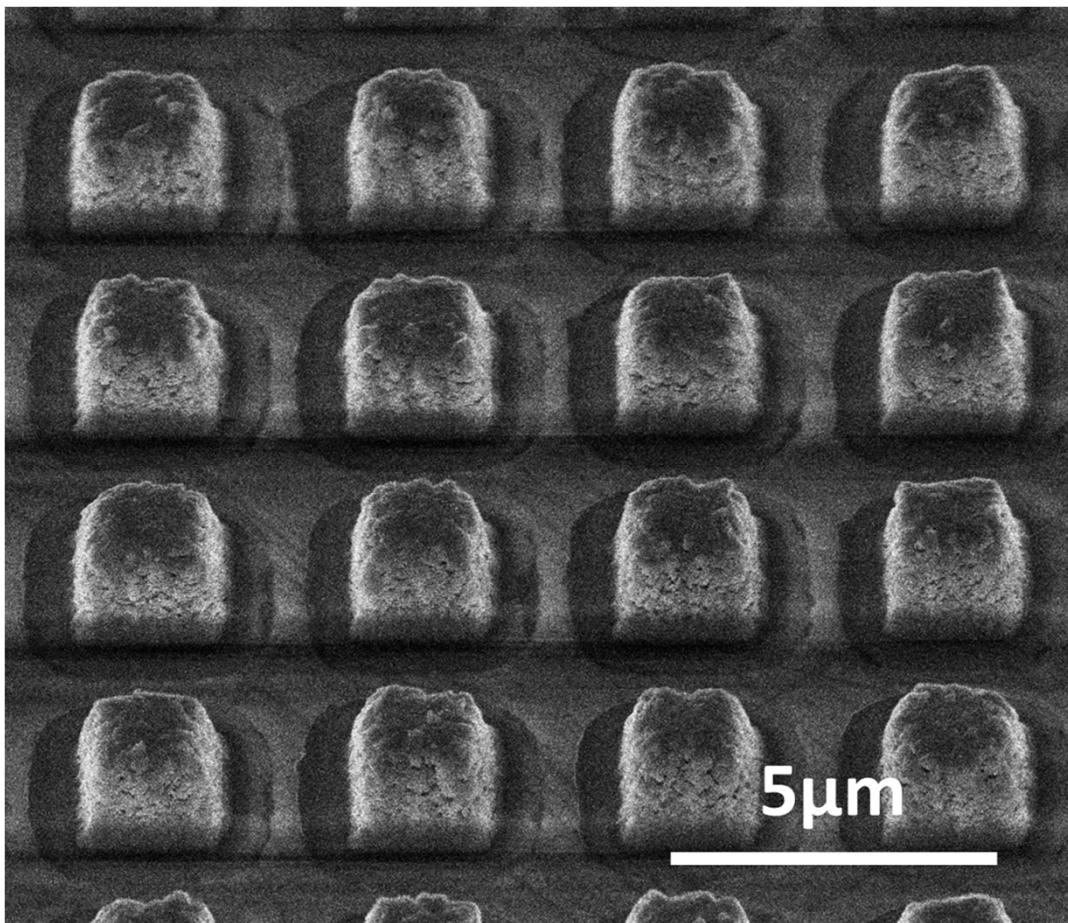


Multi-cycle Deposition-etch Process

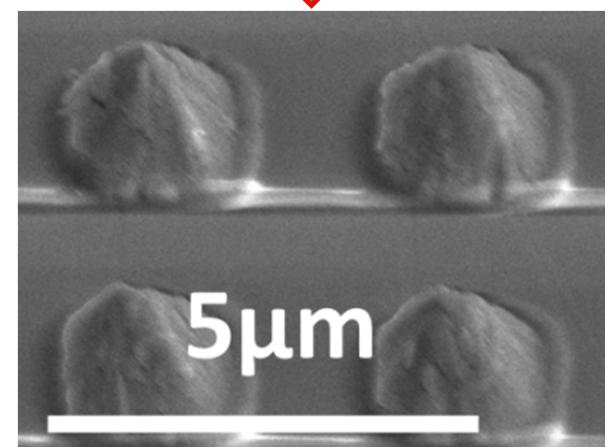


Multi-cycle Deposition-etch Process

2 Dep-etch
cycles

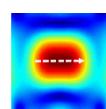
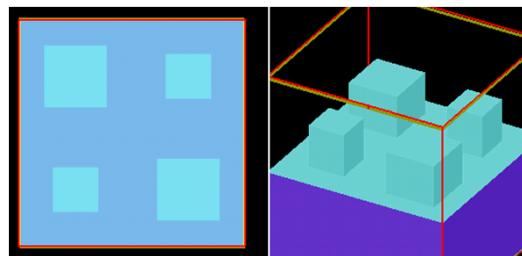


Single
deposition

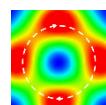


Transmission Spectra of Te metamaterial

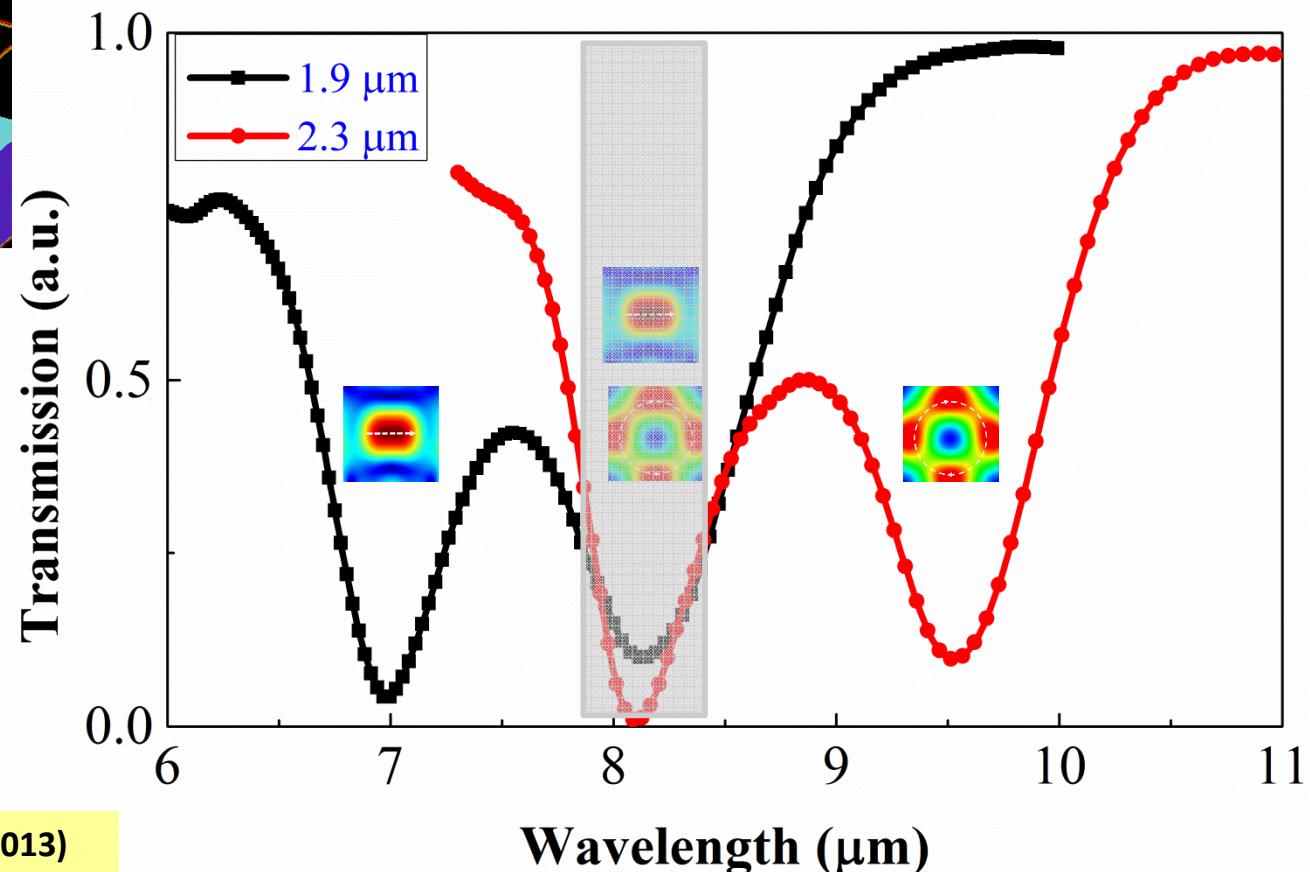
- Tuning of electric and magnetic resonances
- Overlap of electric and magnetic resonances (potential for **negative index material**)



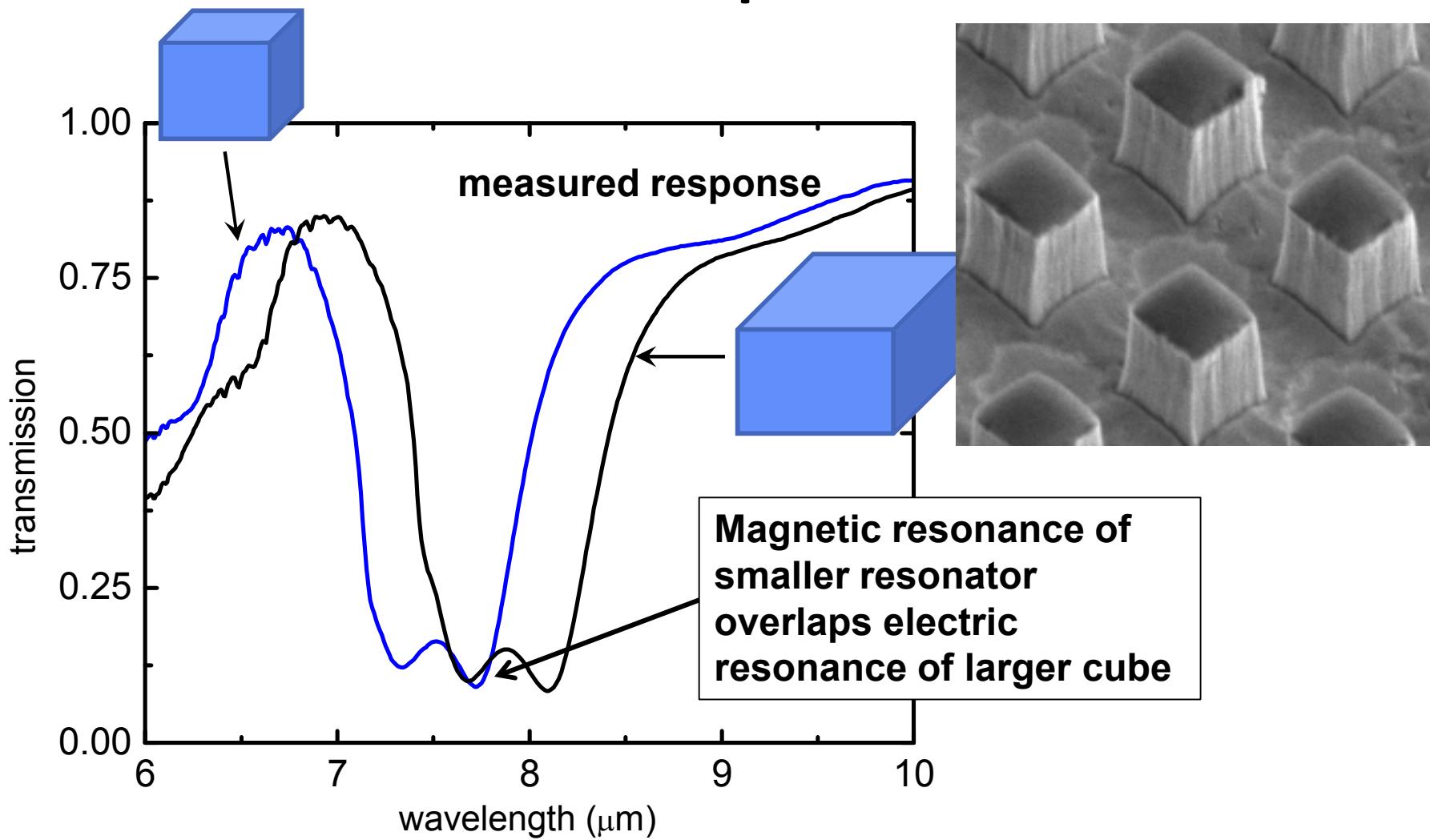
$$\varepsilon < 0$$



$$\mu < 0$$

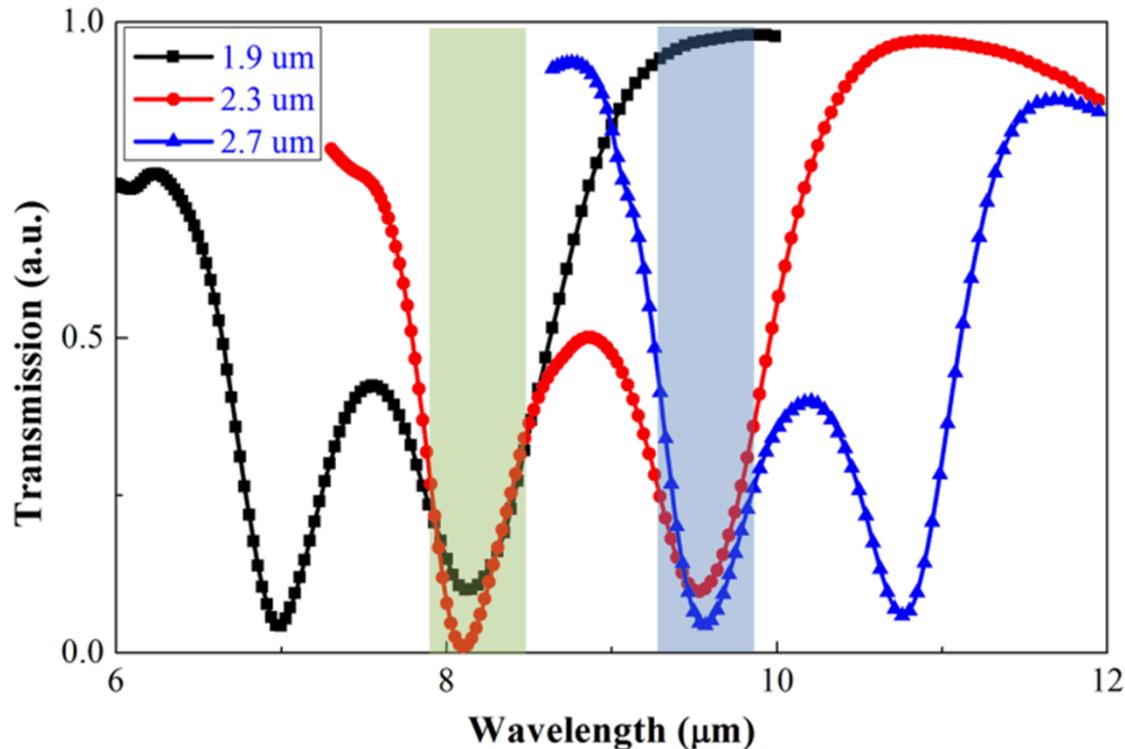
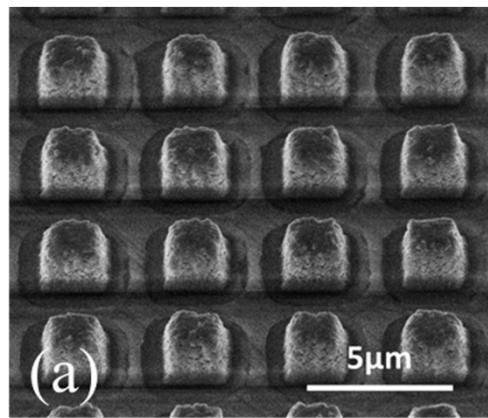


Te Resonators: Electric and Magnetic Mode Overlap



Magnetic and Electric resonances can overlap: different size cubes, or cubes with “cuts”

Te Resonators: Overlapping Electric and Magnetic Resonances



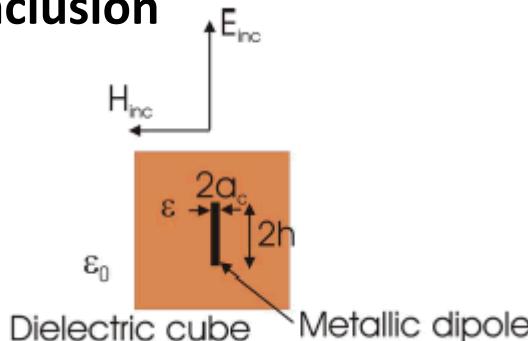
Te resonators using a single multiple deposition & liftoff process.

- Side: 1.7 μm , 2.3 μm and 2.7 μm with 50% duty cycle (height constant at $\sim 1.8 \mu\text{m}$).
- Shaded areas are the spectral regions where magnetic and electric resonances overlap.

Adjusting the Resonance Frequencies: Perturbation Approach

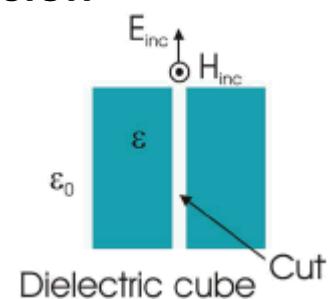
- One approach to alignment of resonances is to place perturbations within the resonator volume

High-permittivity inclusion



Frequency downshift of electric resonance

Low-permittivity inclusion



Frequency upshift of magnetic resonance

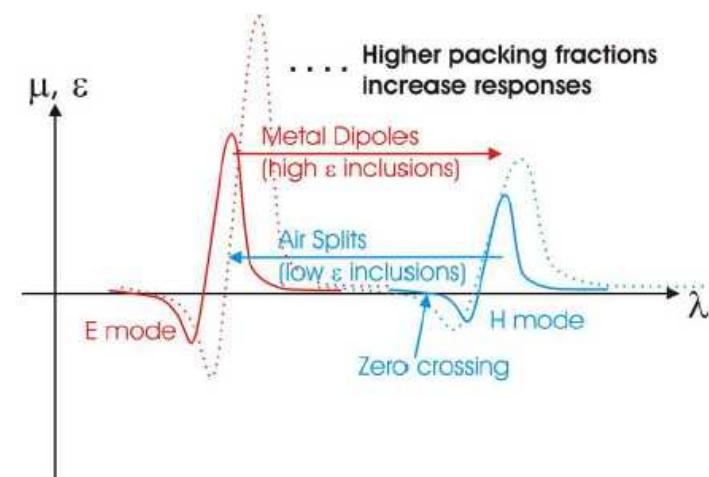


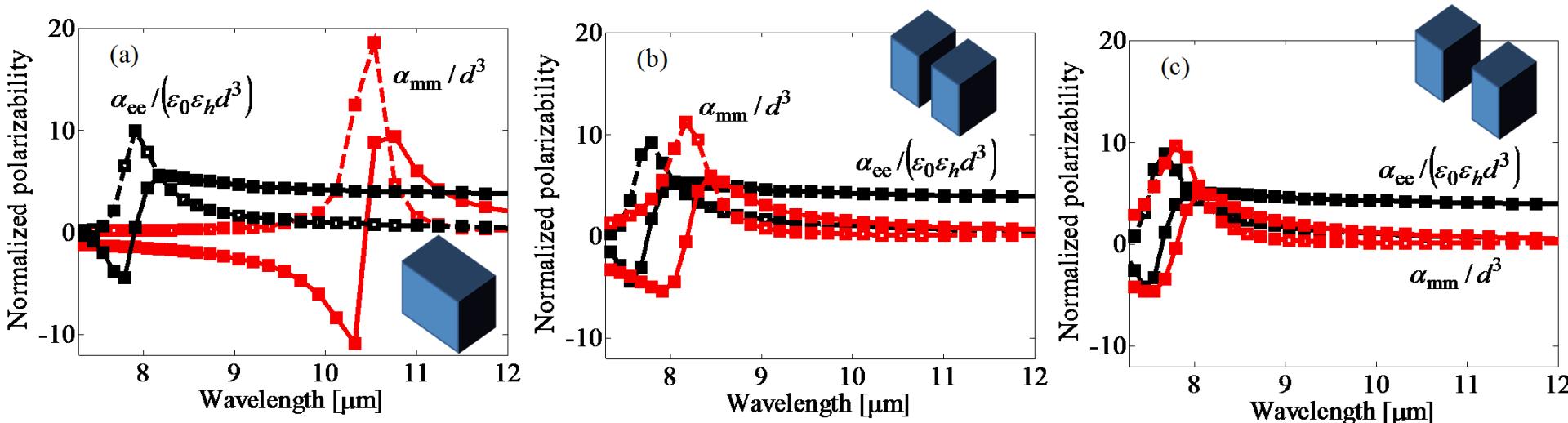
Illustration of resonance shifting using these methods

Perturbation theory to control relative location of electric and magnetic resonances

- Apply perturbation theory to a dielectric cube e.g. by introducing a split

Warne et al. *PIER B* 44, 1-29 (2012); *IEEE Trans. Antennas Propagat.* 61, 2130-2141 (2013)
- Retrieve electric and magnetic polarizabilities of single scatterers using full-wave simulations

Basilio et al. *IEEE Antennas Wireless Propag. Lett.* 10, 1567 (2011); Rockstuhl et al. *Phys. Rev. B* 83, 245119 (2011)

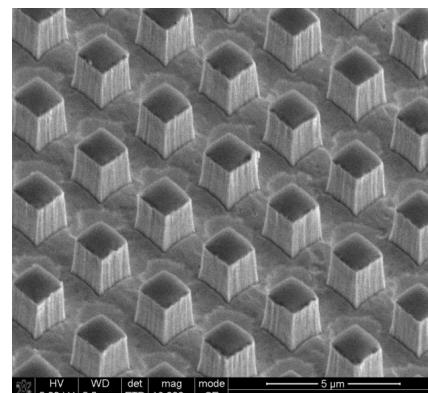
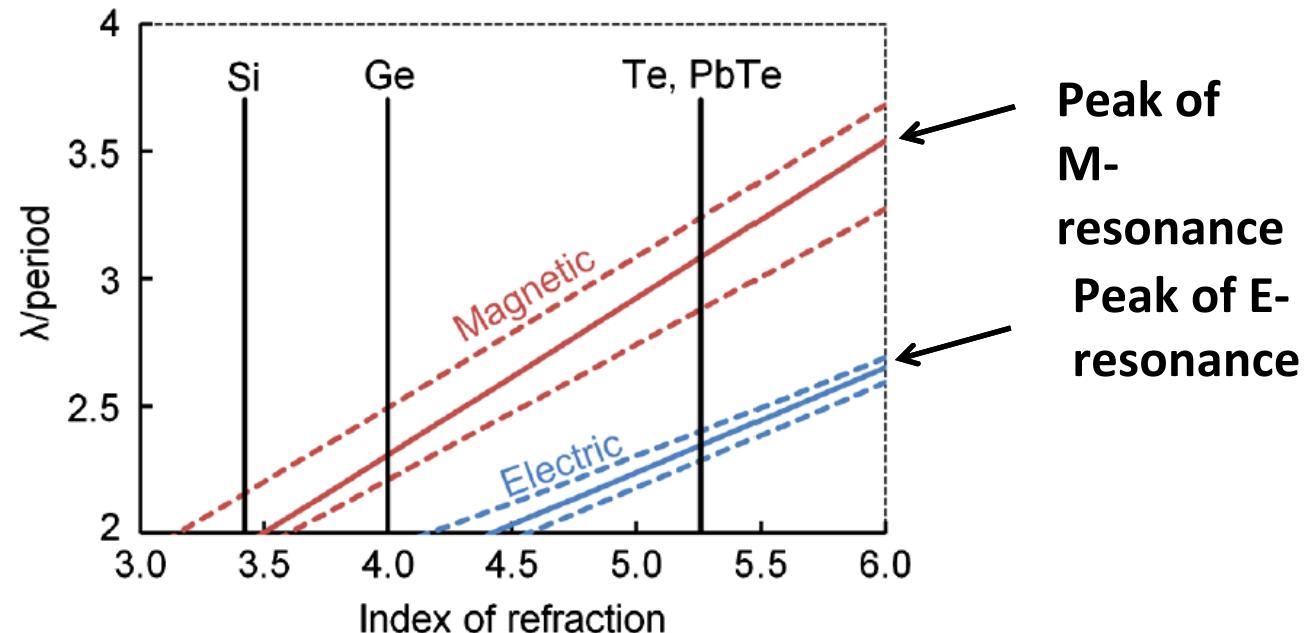


- Electric and magnetic polarizabilities can be easily engineered to overlap at a given frequency

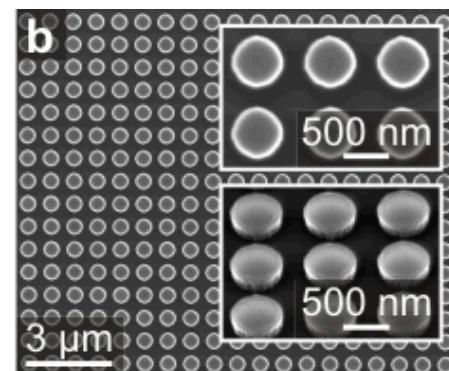
Scaling Dielectric Resonators to the Near IR

Design metric for
1:1 CDR
metamaterials

PRL 108, 097402 (2012)



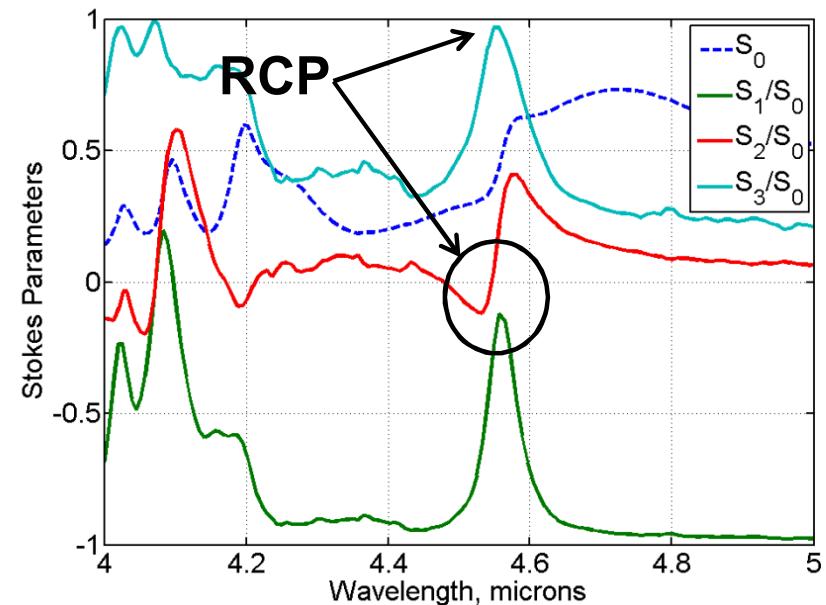
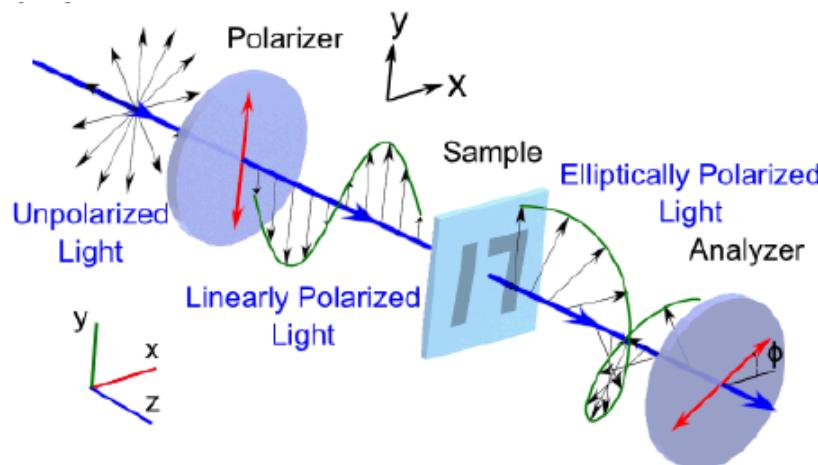
Tellurium: $n \sim 5$, Size $\sim 1.5 \mu\text{m}$
 $\lambda > 5 \mu\text{m}$



Silicon: $n \sim 3.5$, Size $< 200-500 \text{ nm}$
 $\lambda > 1 \mu\text{m}$

LP-CP Metasurface Conversion

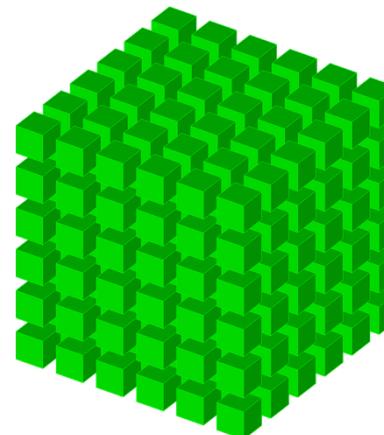
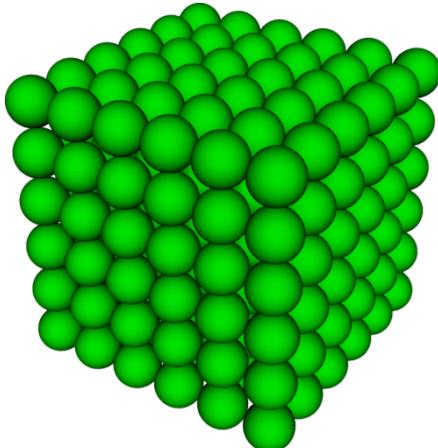
Rotating analyzer Stokes polarimetry



- LP \rightarrow CP conversion by 1 micron thick MS with high efficiency (50%) at normal incidence
- Experimentally measured quality factor
- Close to 100% Degree of Circular Polarization

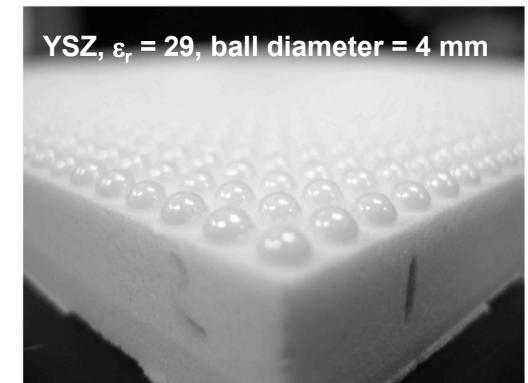
Could use a chiral metasurface for developing a CP thermal emitter

Arrays of High ϵ Resonators: Low Loss Metamaterials



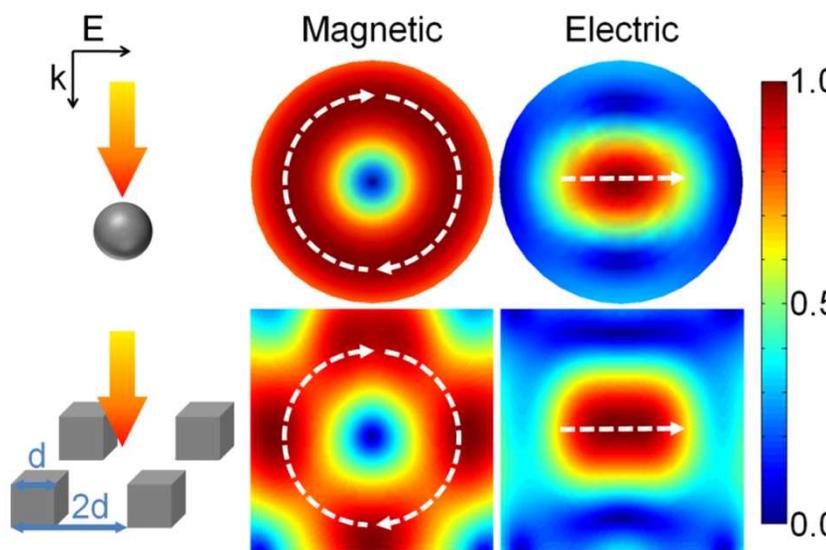
Works nice in the RF

YSZ, $\epsilon_r = 29$, ball diameter = 4 mm

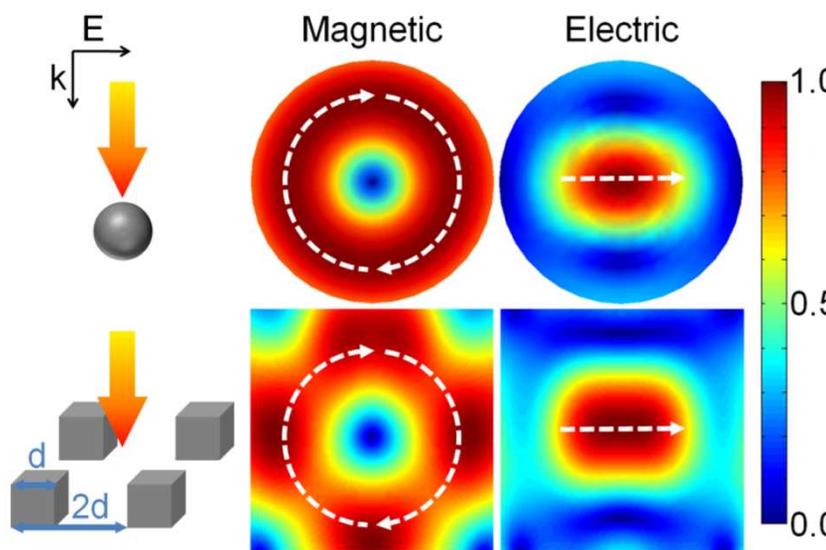


(negative ϵ , negative μ)

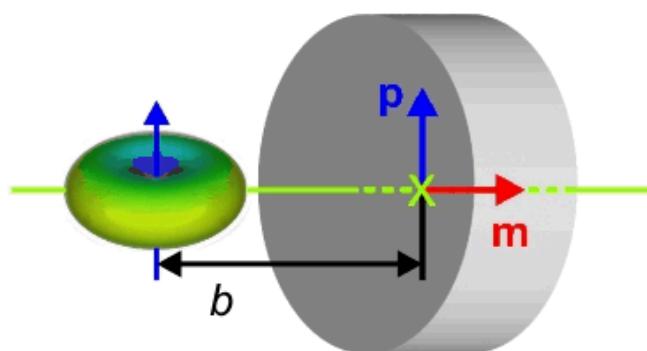
Spherical



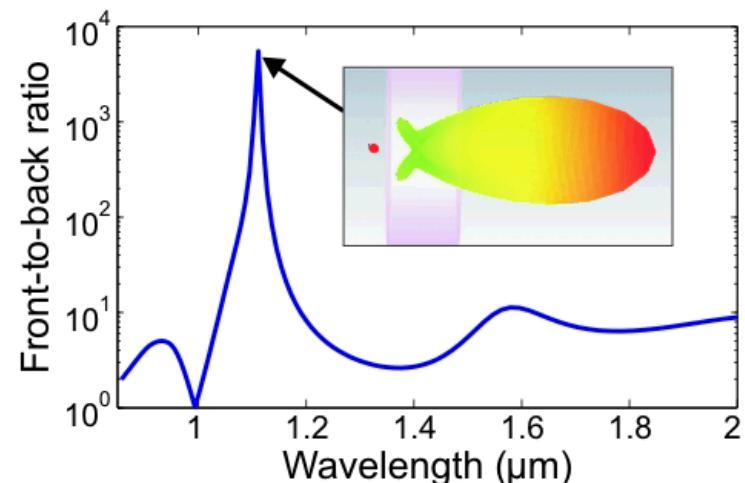
Cubic



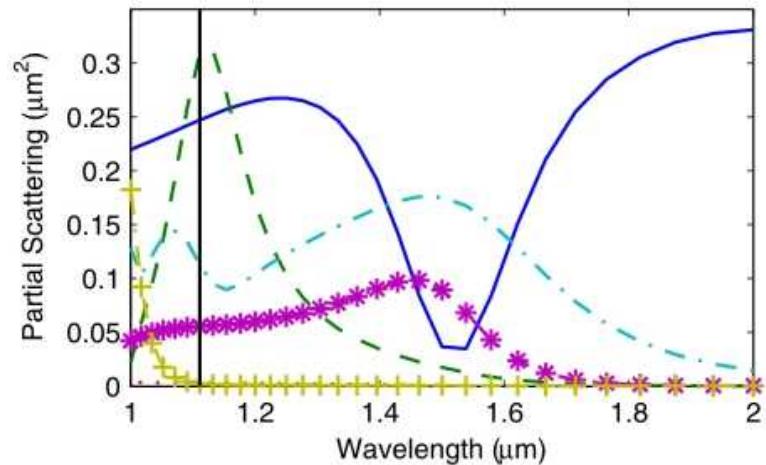
Importance of the Quadrupole Mode



- **Silicon nanodisks: highly directional nanoantennas with giant front-to-back ratio**
- **Electric quadrupole mode essential to achieve high directivity**



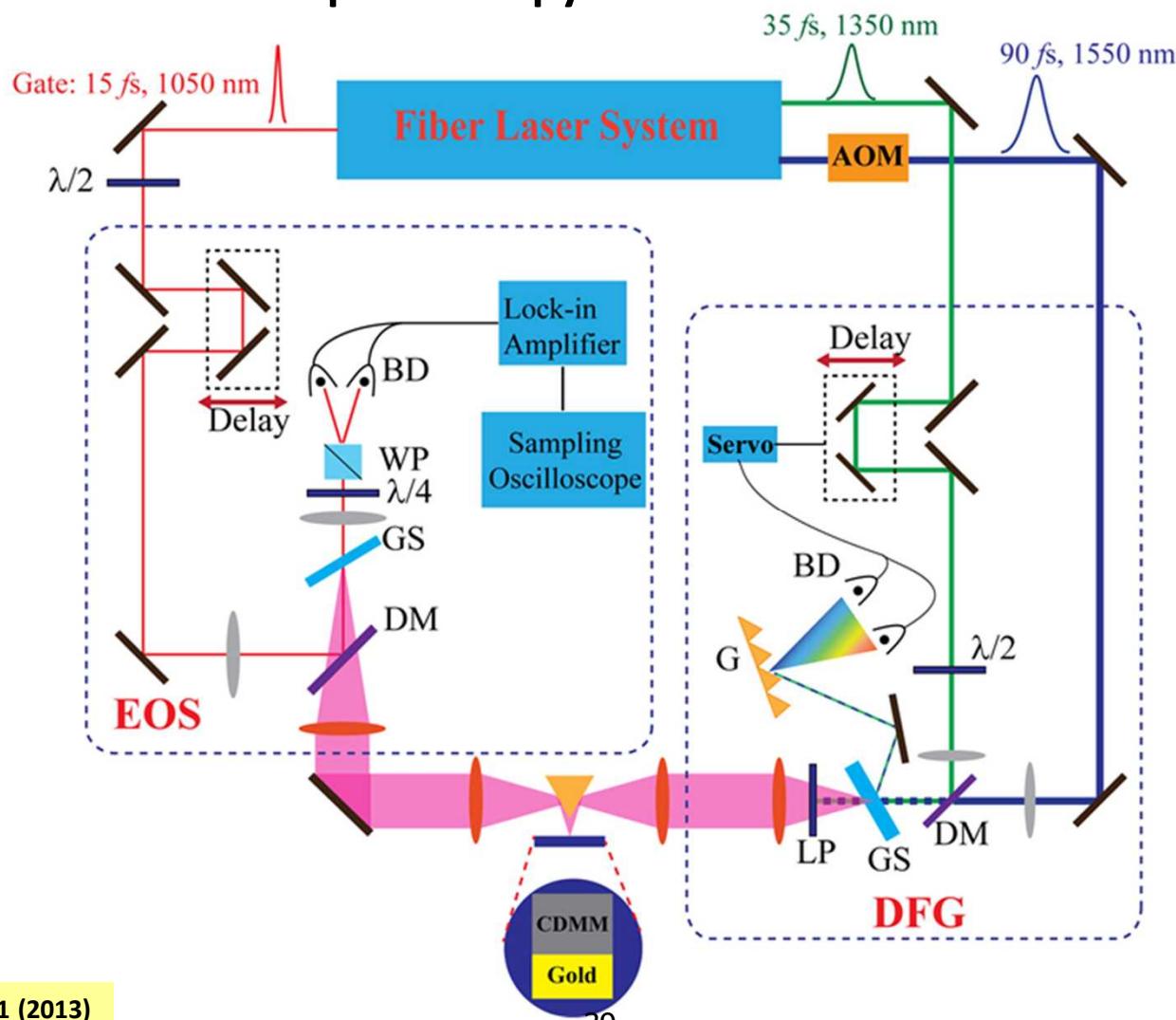
I. Staude *et al.*, *ACS Nano* 7, 7824, 2013.



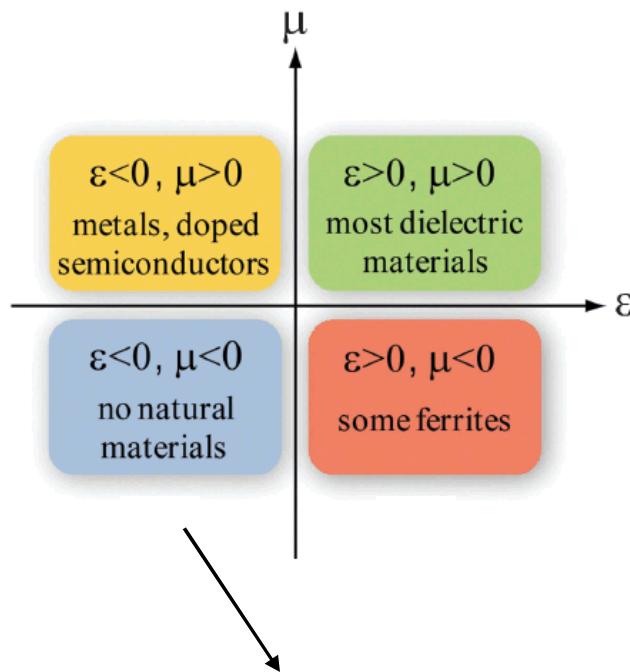
E. Rusak *et al.*, submitted (2014).

Proving Optical Magnetism: Measure Phase of Reflected Wave

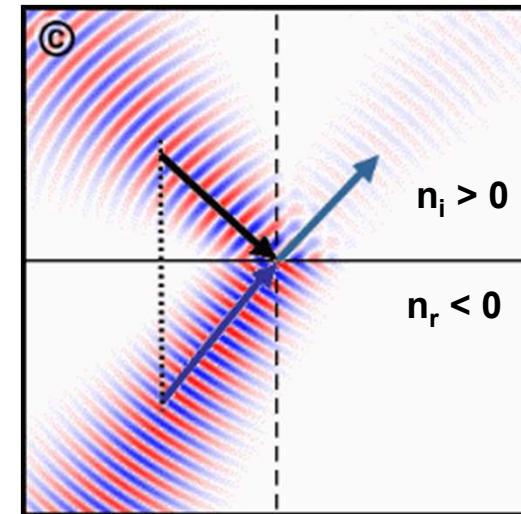
Phase-locked Time Domain Spectroscopy



Metamaterials: Exotic optical properties



$$n = -\sqrt{|\epsilon_r \parallel \mu_r|} \quad \xrightarrow{\text{(Causality)}} \quad \frac{\sin \theta_i}{\sin \theta_r} = \frac{n_r}{n_i} \quad \text{(Snell's Law)}$$

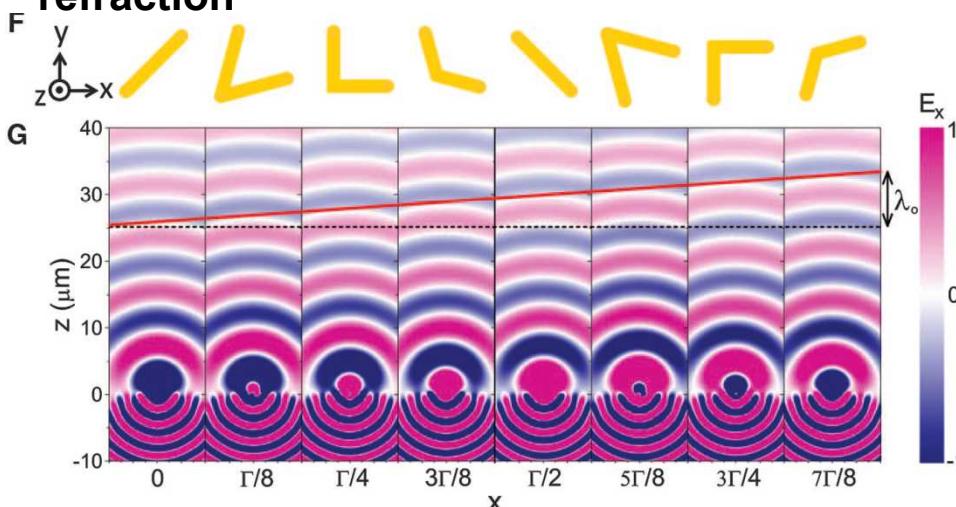


**Negative index,
Negative
refraction**

- Superlensing, Cloaking, Chirality/Optical activity, Perfect absorption
- Enhanced nonlinear interaction, Optical force manipulation, Light emission control

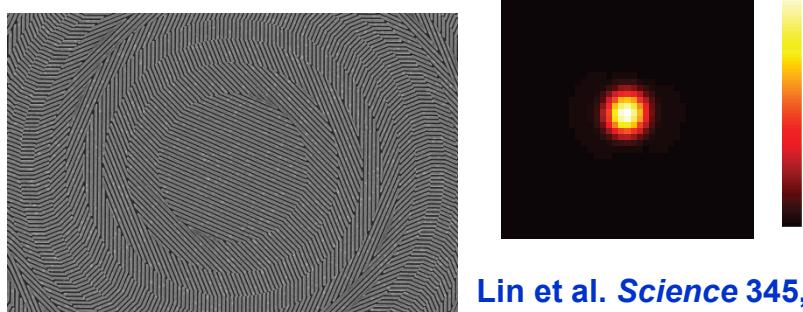
Beam Manipulation With Metasurfaces

Phase gradient to achieve anomalous refraction



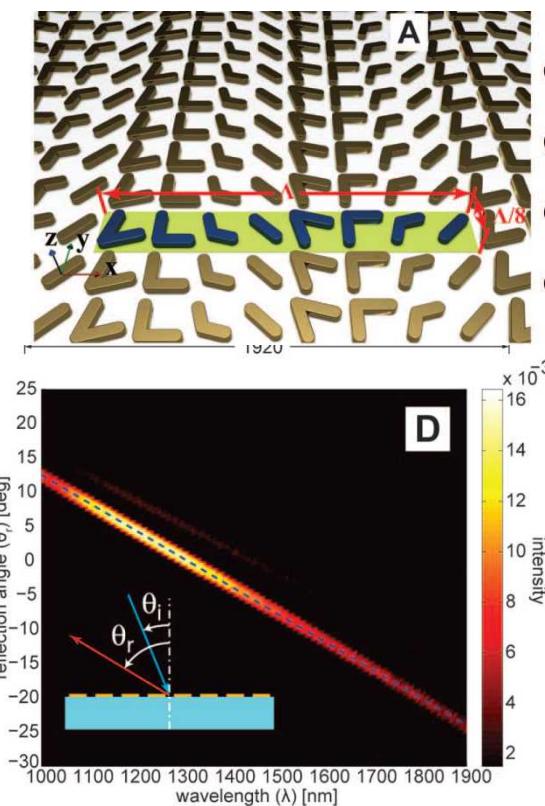
Yu et al. *Science* 334, 333 (2011)

Phase gradient to achieve lensing



Lin et al. *Science* 345, 298 (2014)

Phase gradient to achieve anomalous reflection



Ni et al. *Science* 335, 427 (2012)