

# Fault Tolerance in Adiabatic Quantum Computing

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# Fault Tolerance

- A computation is *fault tolerant* if its output is unchanged by errors along the way.



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# Fault Tolerance

- A computation is *fault tolerant* if [the relevant part of] its output is unchanged by errors along the way.
- Fault tolerance almost always involves *encoding* the computation into a *logical subsystem*.  
 $\{0, 1\} \rightarrow \{000, 111\}$       “0”<sub>logical</sub> = {000, 100, 010, 001}



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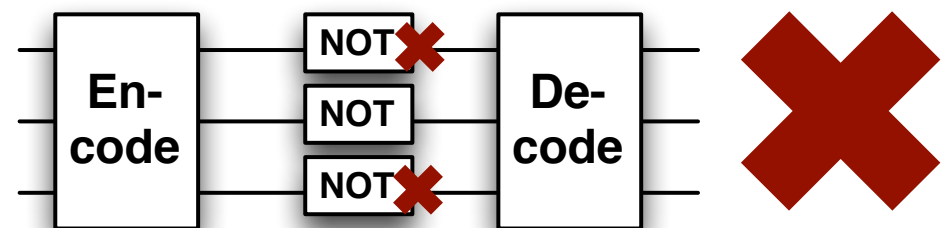
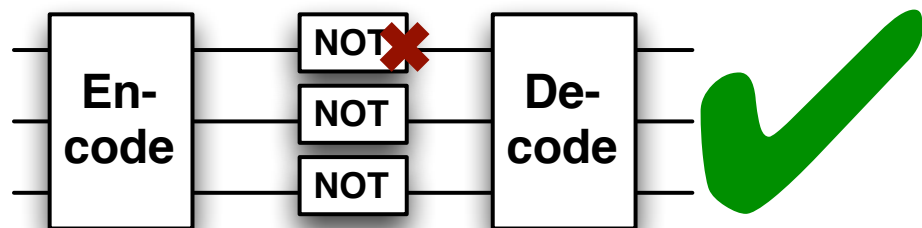


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# Fault Tolerance

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- Fault tolerance almost always involves *encoding* the computation into a *logical subsystem*.  
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- FT computations have a *threshold* for error density.

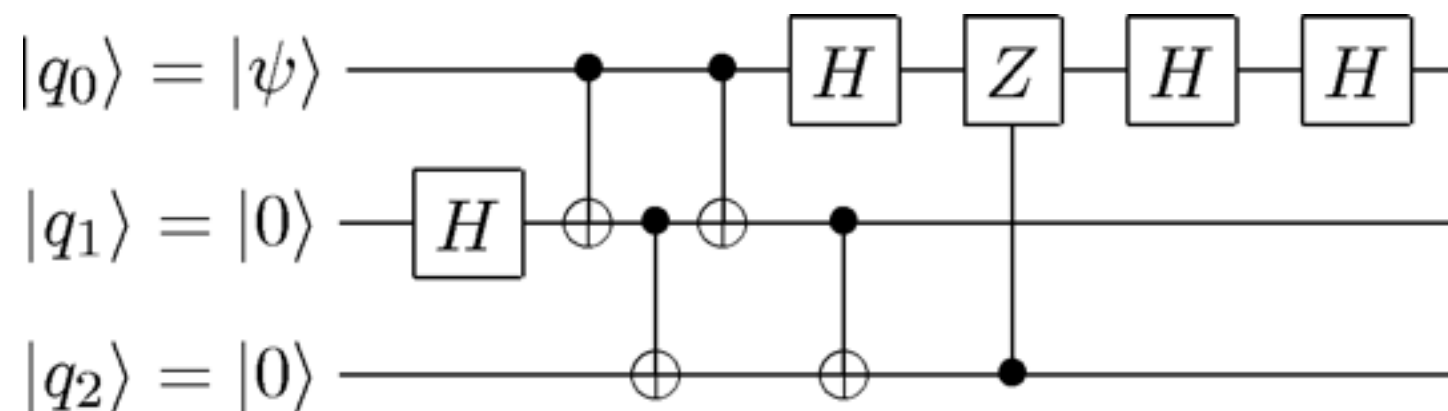




# FT in the circuit model

## 1. The standard error model

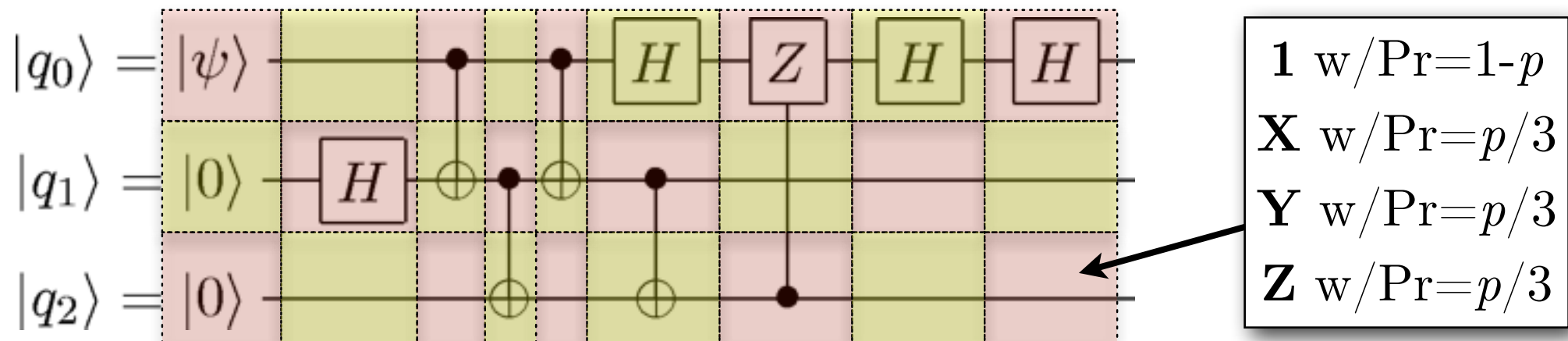
- Quantum noise = “what happens in the real world”  
= pretty subtle and complicated!
- Many models. Varying complexity / realism.
- But *most* of FT theory starts with  
**weak**, **local**, **stochastic**, **i.i.d.**, **depolarizing** errors.



# FT in the circuit model

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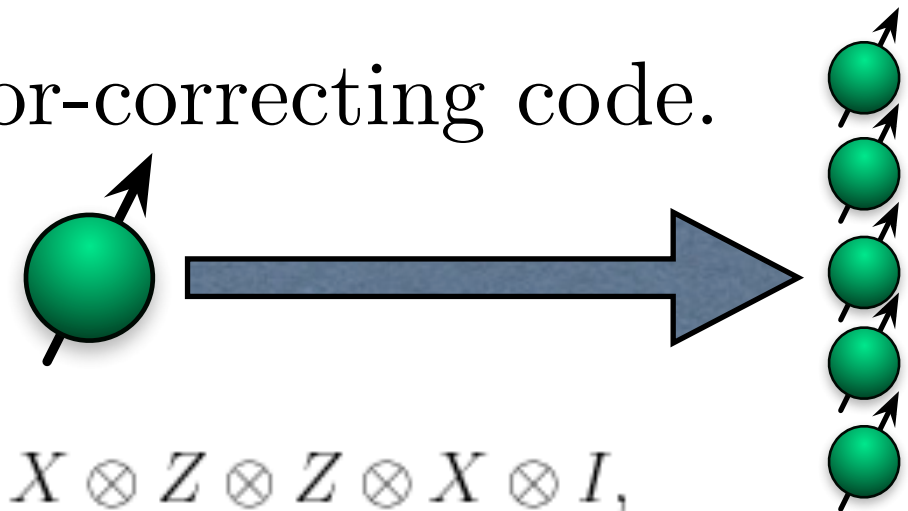
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# FT in the circuit model

## 2. Error correcting codes

- Ideal quantum circuits are *reversible*. So errors will propagate to the output and screw it up.
- So we *encode* in a quantum error-correcting code.
- Each 1 qubit  $\implies n$  qubits.
- Periodically measure  $n-1$  *parity checks* (“stabilizers”) to detect *low-weight* errors.
- Once detected, the error can be reversed -- *without* disturbing (or learning about!) the encoded qubit.



$$\begin{aligned} &X \otimes Z \otimes Z \otimes X \otimes I, \\ &I \otimes X \otimes Z \otimes Z \otimes X, \\ &X \otimes I \otimes X \otimes Z \otimes Z, \\ &Z \otimes X \otimes I \otimes X \otimes Z. \end{aligned}$$

# FT in the circuit model

## 3. Fault tolerant logic operations

- Encoding + active error correction  $\neq$  fault tolerance!
- Error correction only corrects *storage* errors.
  - (1) Encoded computation requires *logical operations*.
  - (2) We do EC using gates. What if *they* have faults?
- Fault tolerant logic possible, but really sophisticated!

### SOME PRINCIPLES:

1. Do EC/logical operations fast (*constant depth* circuits)
2. Minimize *error propagation* (avoid FANOUT-type stuff)
3. Always double-check measurements (time redundancy)
4. Never decode along the way (makes you vulnerable).



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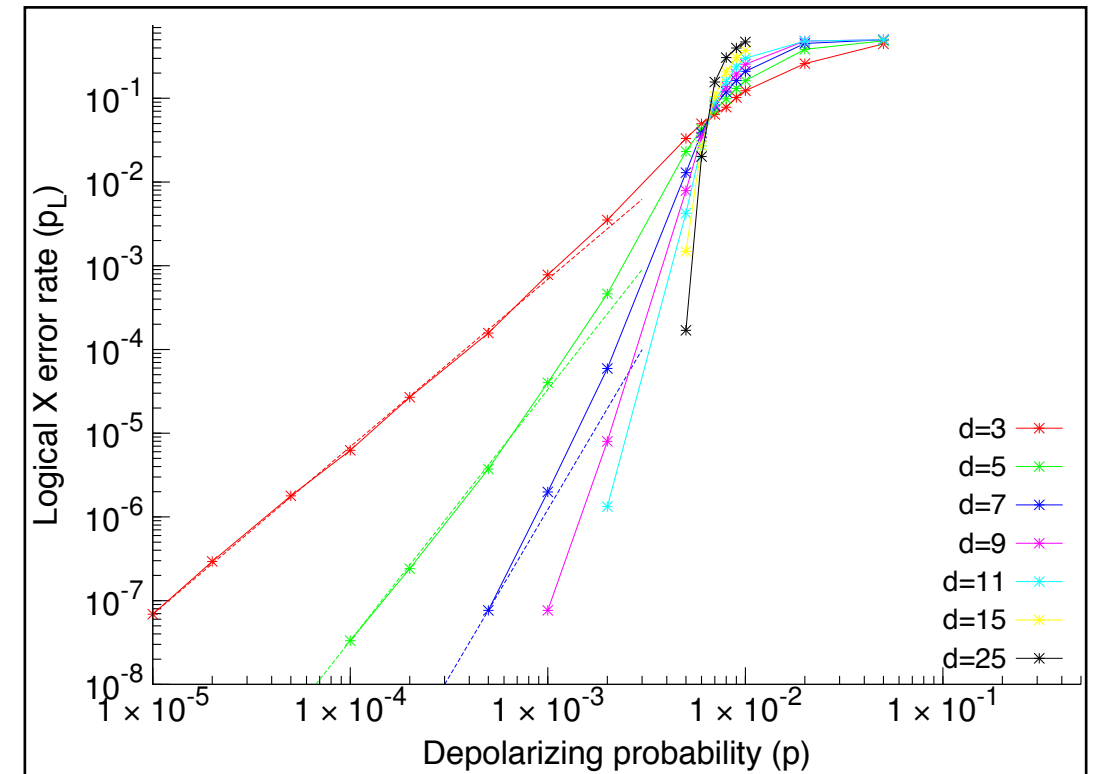
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# FT in the circuit model

## 4. Thresholds & overheads

- Fault tolerance *is* possible...  
(at least against the standard error model + a bit more)  
...but it is *hard* and *expensive*.



- Each scheme has a *threshold* error rate ( $10^{-2} - 10^{-4}$ ):
  - Error rate above threshold? **Computation fails!**
  - Error rate below threshold? **Computation works!**
    - ↳ Encoding requires lots of extra qubits (*overhead*).
    - ↳ Overhead gets very bad near the threshold.



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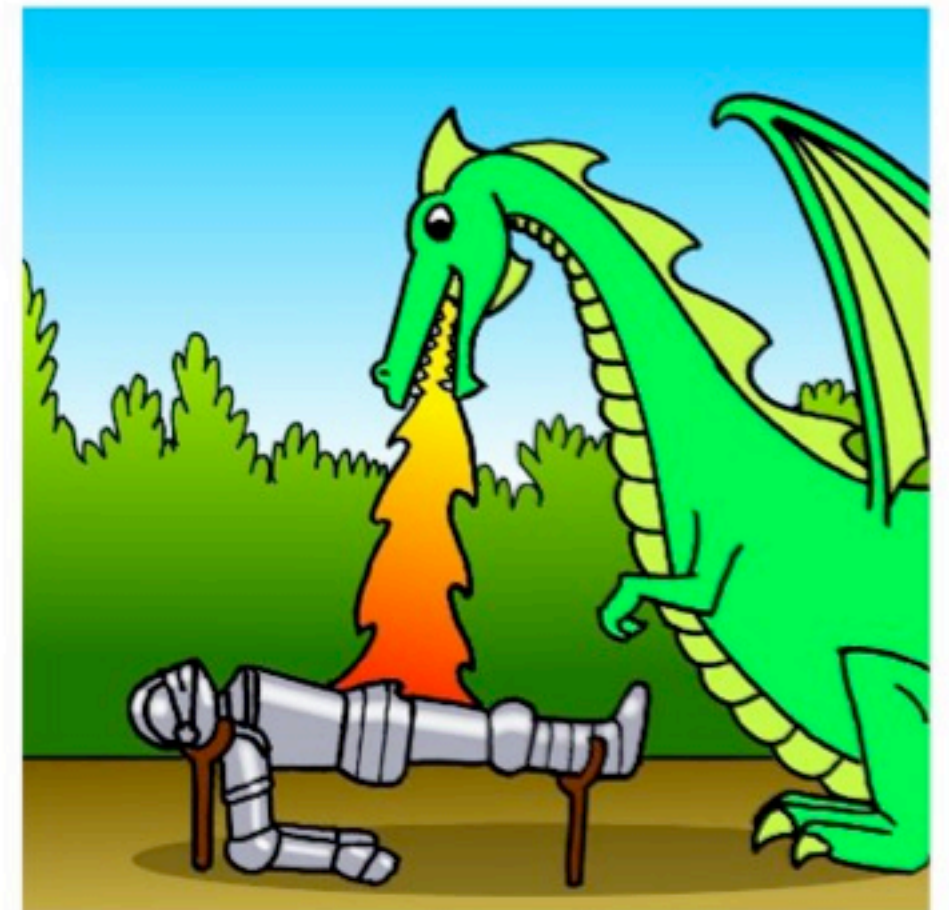


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# Is AQC intrinsically FT?

- Adiabatic QC is *both*:
  - (1) an algorithm
  - (2) an architecture (good for that algorithm)
- Viewed as an *architecture*, AQC looks like it ought to be resilient to many forms of error / noise.
- This doesn't mean that it's naturally FT, though!



Dragons knew that when roasting a knight, the armor really helped to seal in the juices.



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# Is AQC intrinsically FT?

## 1. “History state” AQC is fragile

- If you want to run an arbitrary quantum algorithm (Shor, Grover, etc) as an AQC, then you would use the “History State” construction (Kitaev, Aharonov et al).
- This proves equivalence -- with  $\text{poly}(N)$  overhead -- of the *noise-free* models (circuits  $\iff$  AQC).
- Unfortunately, it requires serializing the circuit...  
...which completely destroys any fault tolerance it might have (FT requires gates in parallel).
- History state AQC is horribly fragile to errors.



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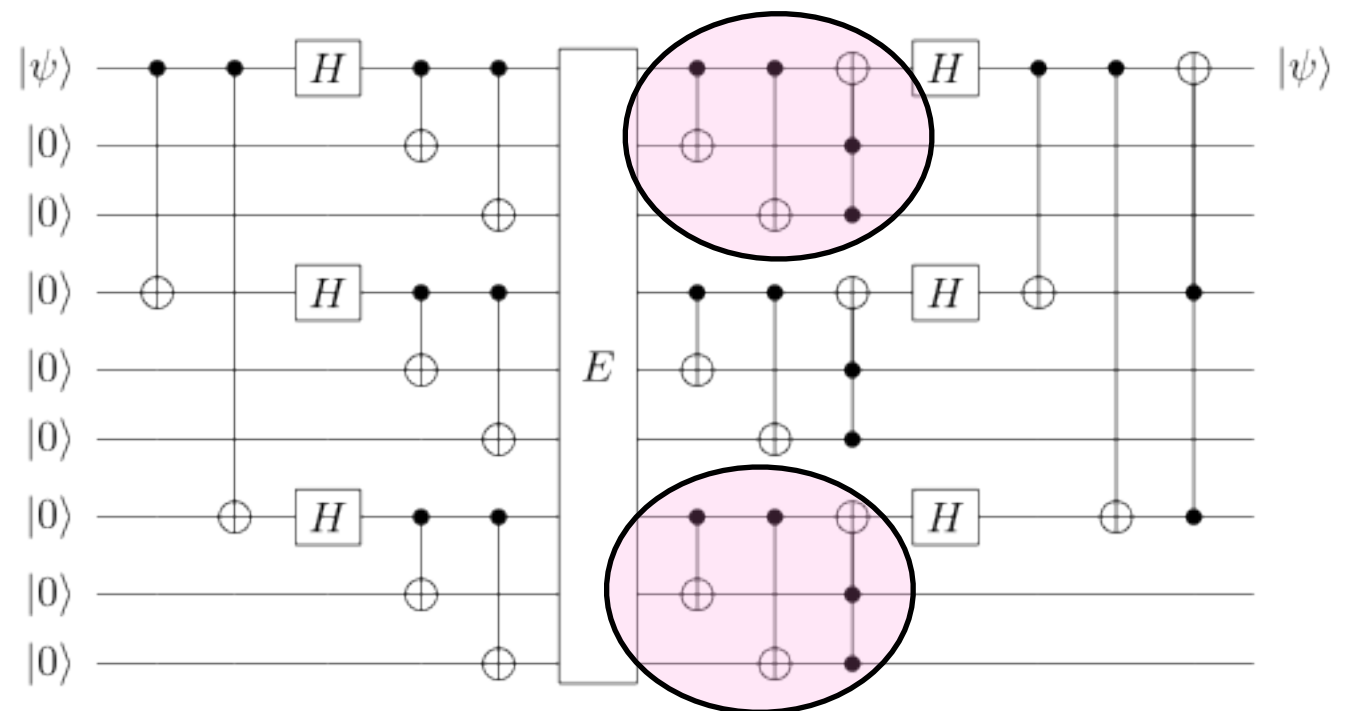
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# Is AQC intrinsically FT?

## 2. The enigma of ground state QC

- “Ground State Quantum Computing” is a proposal for mapping [FT] circuits to [FT] adiabatic computations (Ari Mizel, [arXiv:1403.7694](https://arxiv.org/abs/1403.7694)).
- So, is this a fault tolerant adiabatic architecture???
- Compelling counter-argument given by Hastings [1].



[1] <https://scirate.com/arxiv/1403.7694>



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# Is AQC intrinsically FT?

## 3. Challenges for AQO (annealing)

- Adiabatic quantum optimization seems the most likely to be robust.
- Immune to dephasing and path variations. Gap provides *some* protection against heating.
- Cooling can only help.
- However... as the computation scales up, gap typically gets small, and heating / bitflip errors will become fatal. **Not intrinsically FT.**



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# Fault Sources in AQC

- No computation can be tolerant to every *conceivable* kind of fault.
- FT constructions are designed against specific error models.
- We need to know what we are defending AQC against!
- This is not a simple question -- and it's probably not the same answer as for the circuit model.



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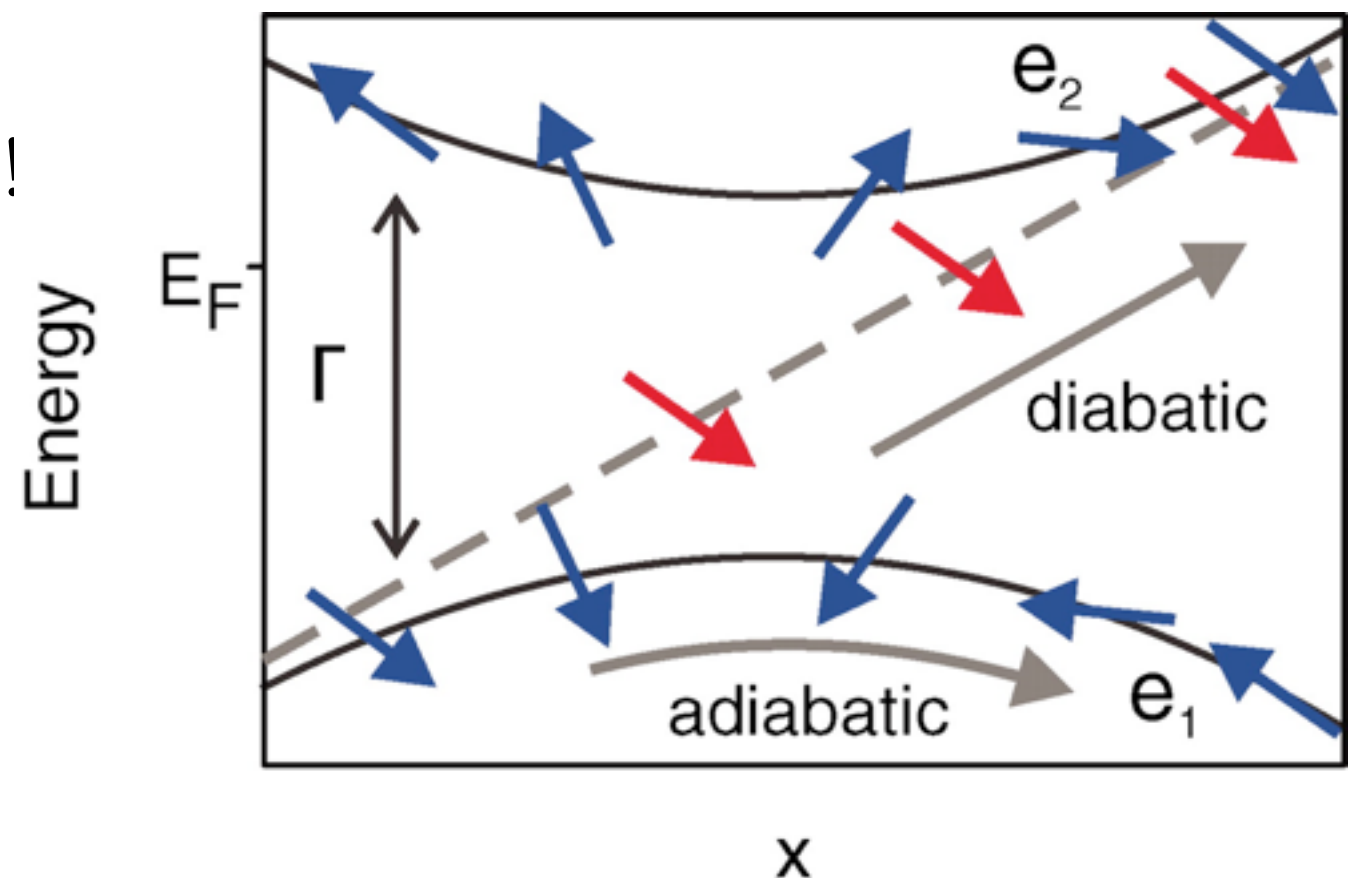
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# Fault Sources in AQC

## 1. Landau-Zener transitions

- The simplest “error” in AQC is a transition out of the ground state because you changed  $H$  too fast.
- Not really an error if you just ran the AQC too fast!
- Can be “error” if due to accidental jitter in  $H(t)$ . This appears as heating.
- **Note:** can (& will) jump to higher excited states.



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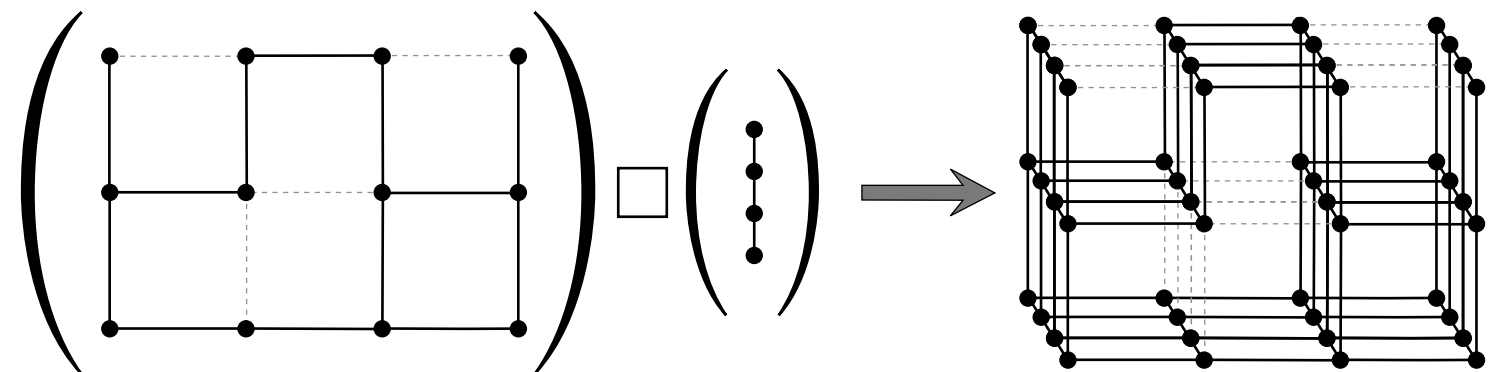
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# Fault Sources in AQC

## 2. Wrong problem Hamiltonian

- Small errors in the coefficients of the problem Hamiltonian  $\Rightarrow$  you successfully solve the wrong problem!
- This is a real potential problem with current hardware -- precision for each term is low.

- Can be solved by ferromagnetic repetition coding



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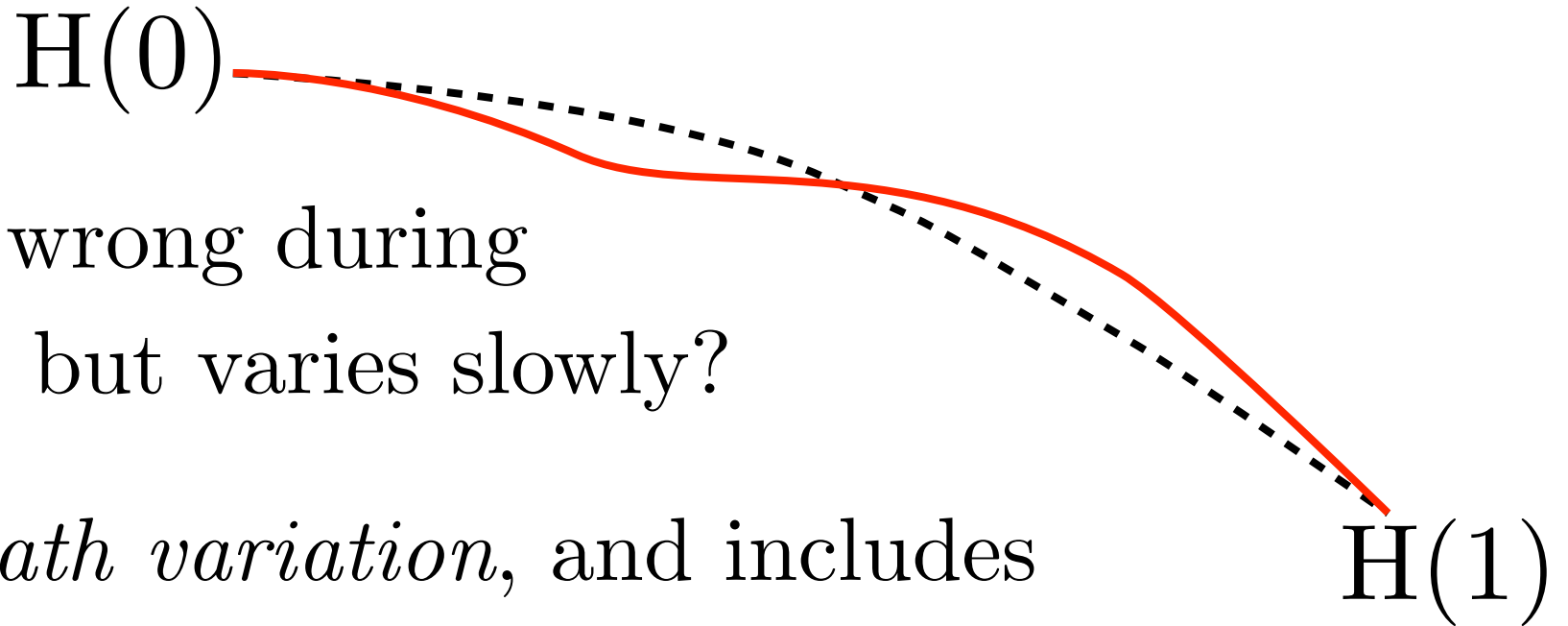
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# Fault Sources in AQC

## 3. Slow Hamiltonian error (path variation)

- What if the Hamiltonian is wrong during the evolution -- but varies slowly?
- This is called *path variation*, and includes all low-frequency Hamiltonian errors.
- In general, AQC is near-immune to path variation and can be made even more so using standard techniques.



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# Fault Sources in AQC

## 4. Realistic coupling to a bath

- The ultimate error model -- covers *everything*:

$$H(t) = H_{AQC}(t) + H_{S \leftrightarrow B} + H_{bath}$$

- Typically reduced to describing *spectral density* of the bath (i.e., bath fluctuates at various freqs).
- This talk: simplify further to a sum of:
  - (1) A  $\mathbf{H}_{err}(t)$  term that is *slow* (low frequency).
  - (2) Some amount of *white noise* (high frequency).
    - ↳ Produces *stochastic* errors (no time correlation)



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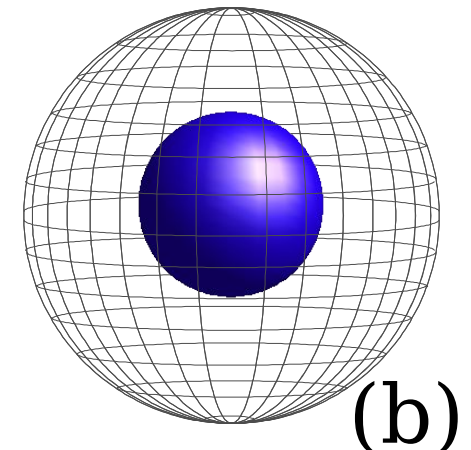
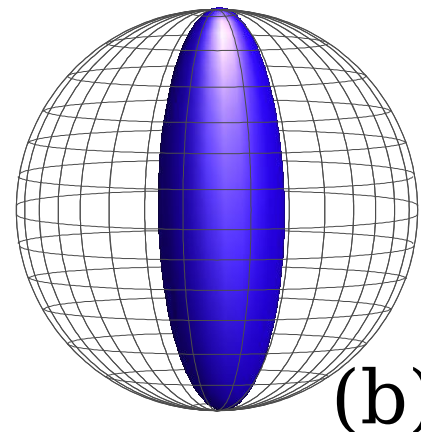
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# Fault Sources in AQC

## 5. Stochastic errors

- Hamiltonians generate *amplitudes* for “errors” (i.e., for being in the wrong quantum state).
- But if  $H_{\text{err}}(t)$  and  $H_{\text{err}}(t')$  are uncorrelated for  $t \neq t'$ , amplitudes can be replaced with *probabilities*.
- This leads to *stochastic errors* -- e.g., dephasing or depolarization -- just as in the circuit model.
- Dephasing is ok for AQC but energy jumps are not.



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# Tools to protect AQCs

- Protecting an AQC against noise (in control or from environment) requires *suppressing* or *correcting* transitions out of the  $|0\rangle$  state.

- Simplest: increase  $H$ . Unfortunately, not scalable.

- All other techniques involve:

- (1) *encoding* in an error-correcting code,
- (2) suppressing/correcting jumps out of code space
- (3) decoding (reading out logical bits) at the end.

$|000\rangle$

$|100\rangle$   $|010\rangle$   $|001\rangle$

$|101\rangle$   $|110\rangle$   $|011\rangle$

$|111\rangle$



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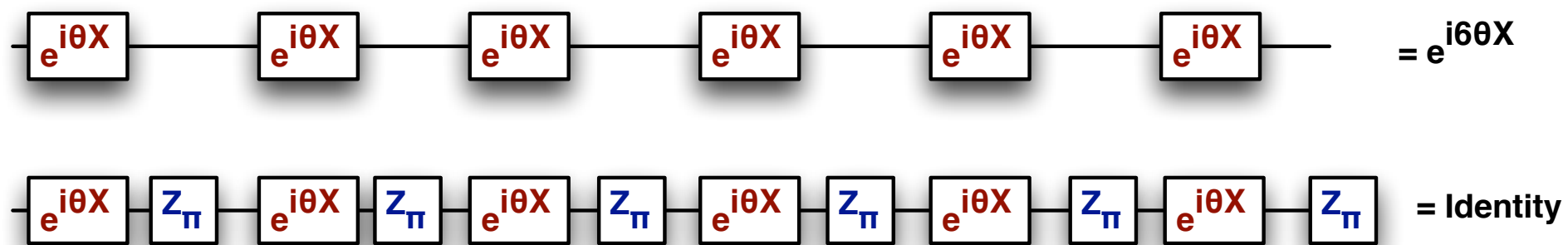
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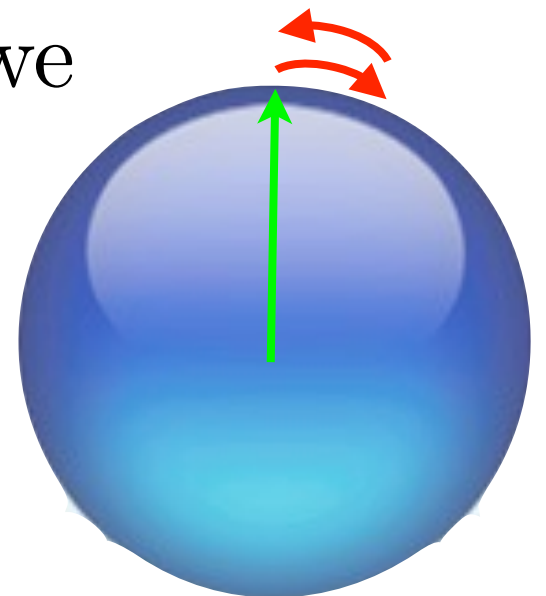
# Tools to protect AQC's

## 1. QECC + dynamical decoupling

- Dynamical decoupling: suppress unwanted Hamiltonian rotations by periodic  $\pi$  flips.



- Given a code whose stabilizers are  $\{S_k\}$ , we can *suppress* transitions out of the code space by periodically applying the  $S_k$  as DD operations. *Requires fast control.*



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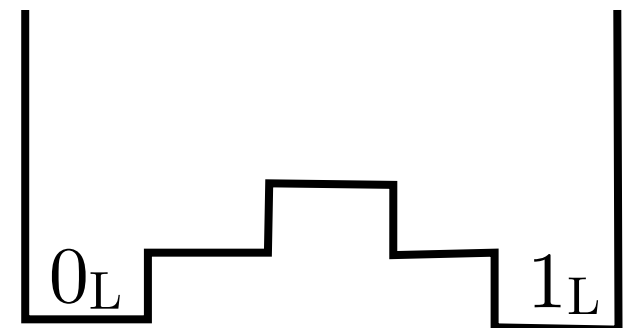
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# Tools to protect AQC's

## 2. QECC + penalty Hamiltonians

- We choose a QECC and encode in it:
  - ↳ Prepare each *logical* qubit in the *logical*  $|+\rangle$  state.
  - ↳ Evolve by  $H_{\text{logical}}$  = sum of *logical* X and Z operators.
- But how do we keep the system in the code subspace (i.e., suppress errors)?
- Add the code stabilizers  $\{S_k\}$  to H as a *penalty Hamiltonian*. Code states have 0 energy; error states have  $E = \#$  of errors.



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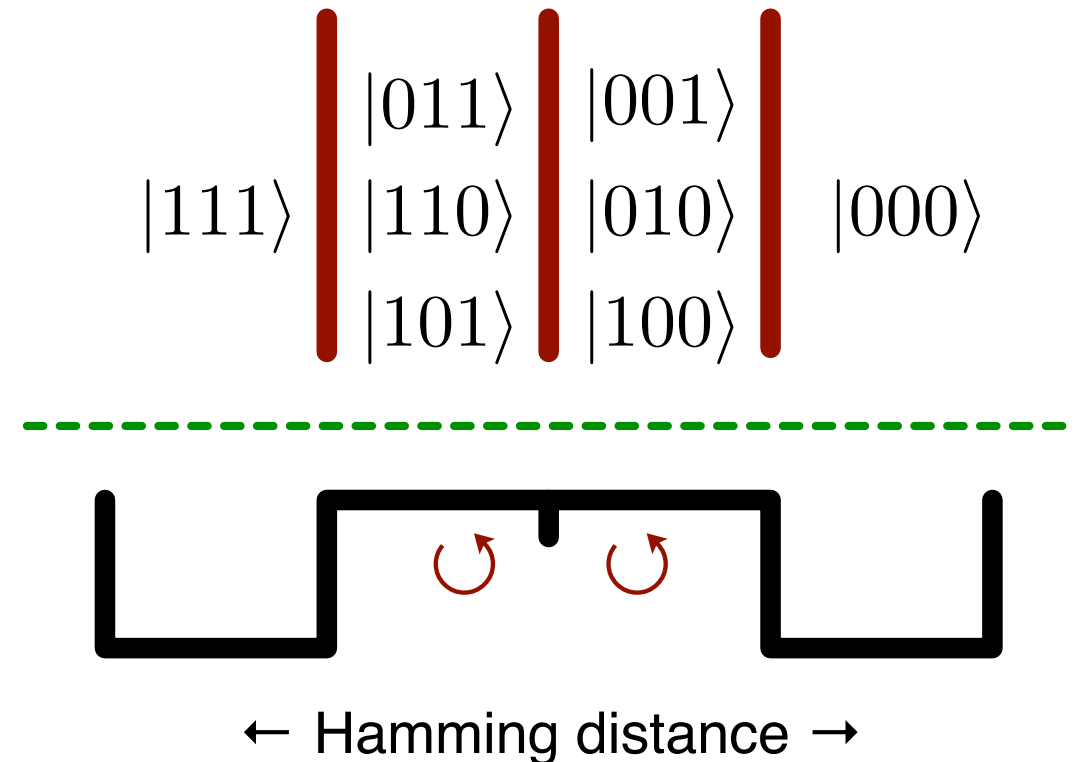
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# Tools to protect AQC's

## 3. How/why DD and penalties work

- DD and penalty Hamiltonians (“energy gap protection”) *suppress* transitions out of the code space. Instead of *correcting* errors, they prevent them from happening.
- These two tools turn out to be (more or less) the same!
- The phase on “error” states rotates in time as  $e^{i\omega t}$  or  $(-1)^t$ . Destructive interference stops  $H_{\text{err}}$  from driving transitions.



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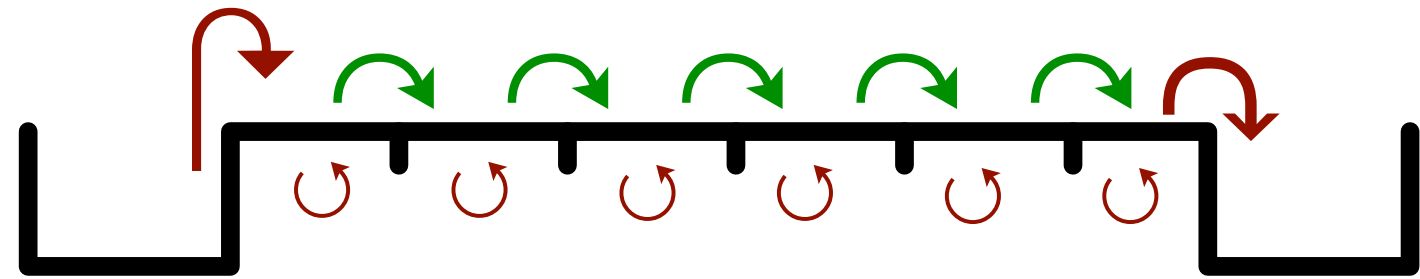
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# Tools to protect AQC's

## 4. Limitations of error suppression

- Suppression isn't perfect:
- (1) Hamiltonians that oscillate in *resonance* with the protection gap can drive transitions.
- (2) Once an single error happens, there's no protection against *more* errors (“domino” or “zipper” effect).
- The codes that would block this vulnerability would be *self-correcting quantum memories*.



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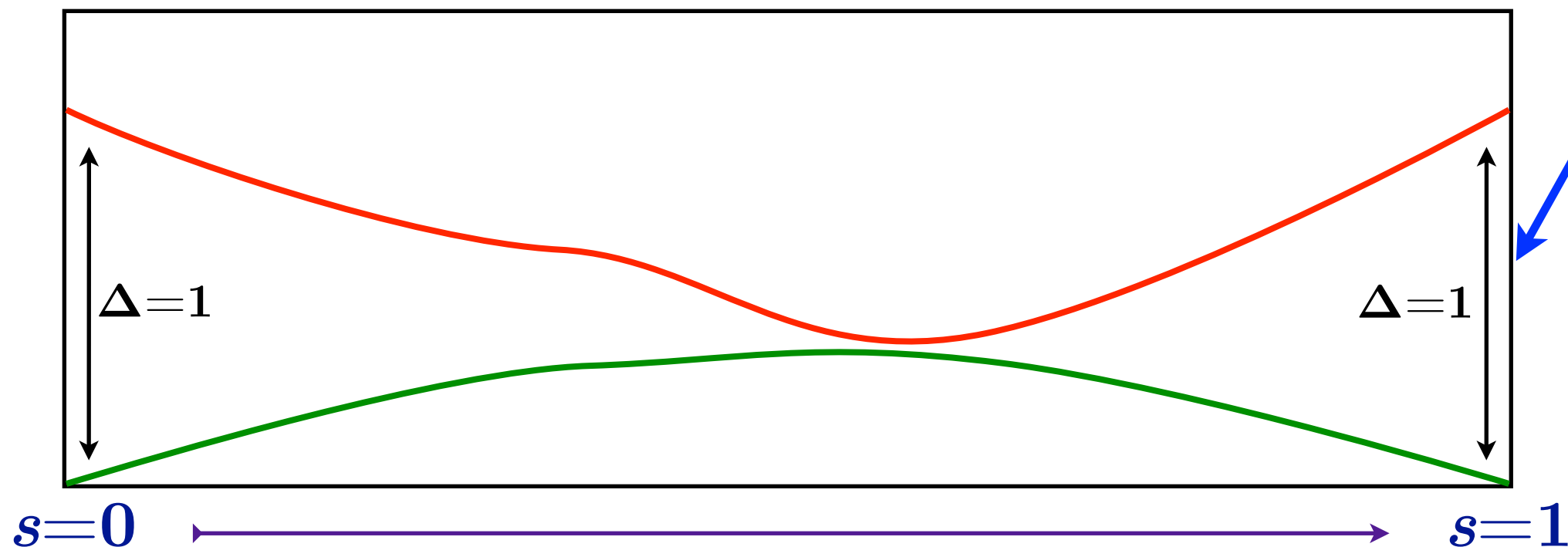
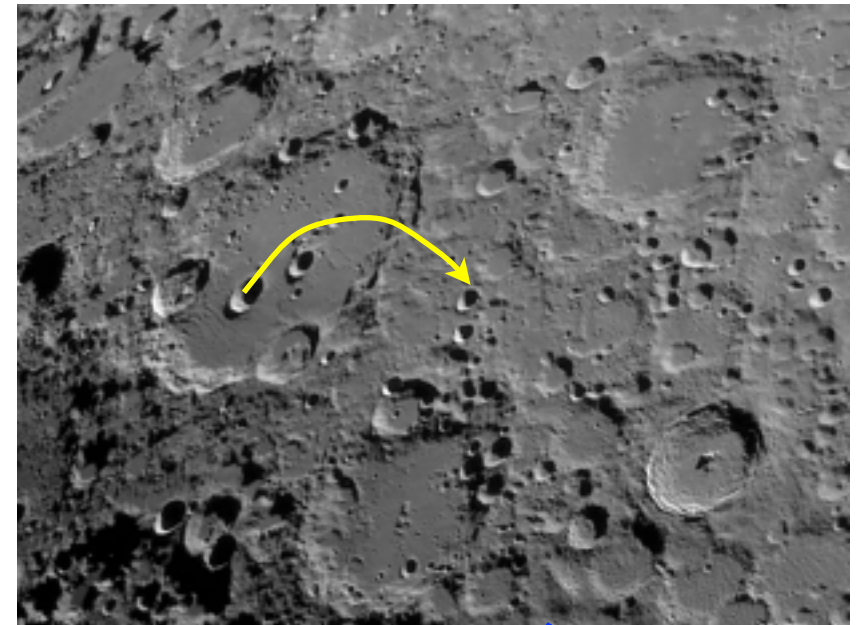
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# Tools to protect AQCs

## 5. Cooling

- Can just cool the AQC?
- Probably not. If these Hamiltonians could be cooled efficiently, why would we do AQC at all? Just use a fridge!



doesn't  
thermalize  
fast



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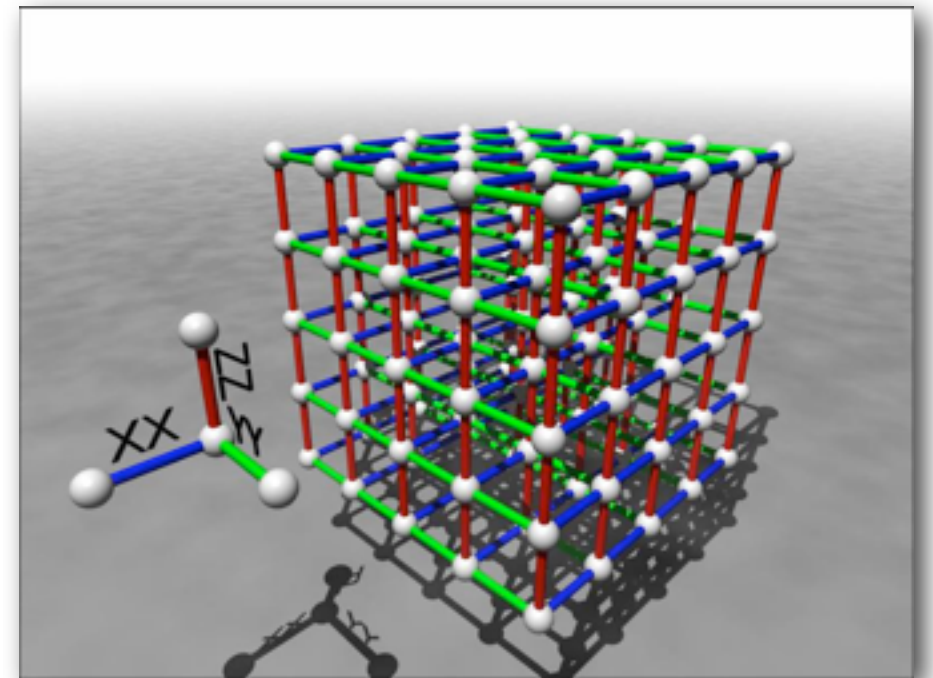
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# Tools to protect AQC's

## 6. QECC + Cooling

- What if we implement a QECC -- using penalty Hamiltonians -- and then put it in a fridge. Can we rely on cooling to *correct* errors?
- It depends on the code.
- Only if we invent a *quantum self-correcting memory*.
- Correcting errors in known codes requires clever nonlocal decoding. Natural cooling is dumb & local.



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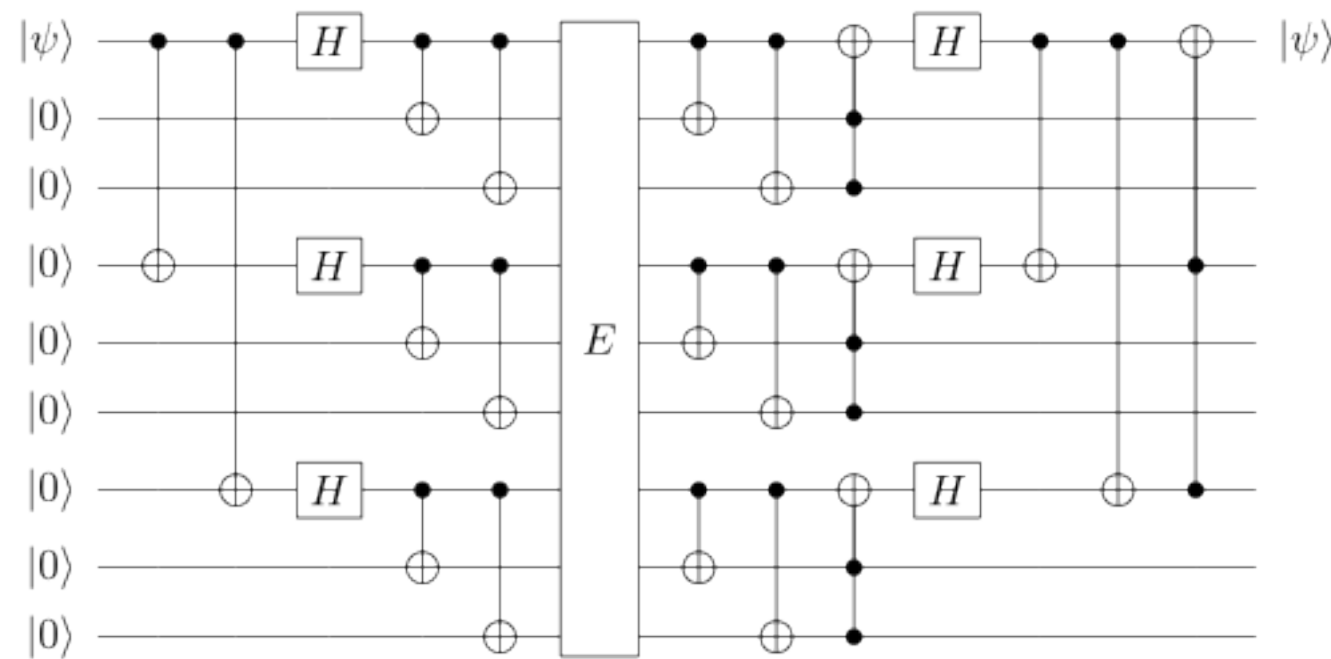


# Tools to protect AQC

## 6. QECC + Active correction

- What if we encoded our AQC in a QECC...  
...and then ran an active error correction protocol?

- Yes, this would protect.
- However, it requires all the same resources as the circuit model of QC!



- Actually *computing* adiabatically while actively correcting errors is surprisingly hard (impossible?)



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# Efficacy (theory)

## 1. Dynamical Decoupling

- Should work to suppress noise whose frequency is lower than the DD pulse rate.
- Requires some fast gates and precise control.
- Gets very unwieldy for useful codes: you have to pulse *all* the stabilizers.
- In practice, will probably be used together with penalty Hamiltonians (to apply high-weight stabilizers)

$$\begin{aligned} &X \otimes Z \otimes Z \otimes X \otimes I, \\ &I \otimes X \otimes Z \otimes Z \otimes X, \\ &X \otimes I \otimes X \otimes Z \otimes Z, \\ &Z \otimes X \otimes I \otimes X \otimes Z. \end{aligned}$$



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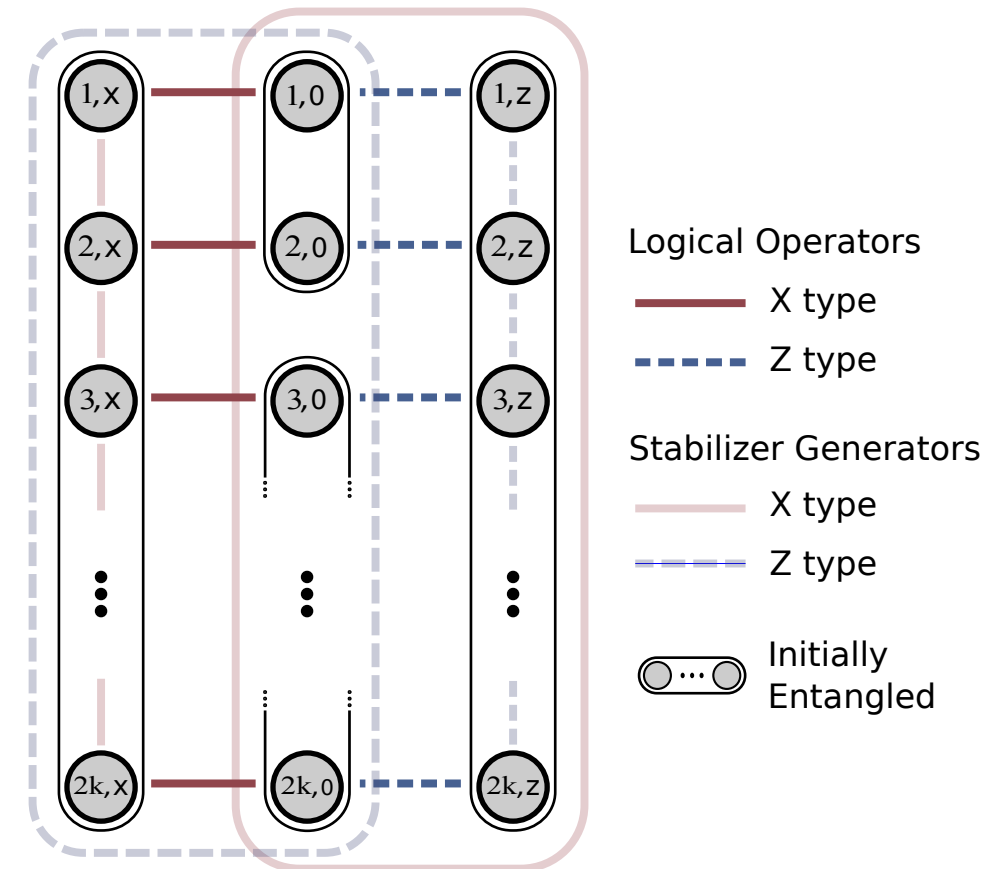
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# Efficacy (theory)

## 2. Penalty Hamiltonians

- Like DD, should work to suppress low-frequency errors.
- Limited by strength of the penalty Hamiltonian (not  $\infty$ !)
- In practice, hard to find codes with low-weight stabilizers that can be implemented using real-world Hamiltonians. Will probably require hybridization with DD technique.



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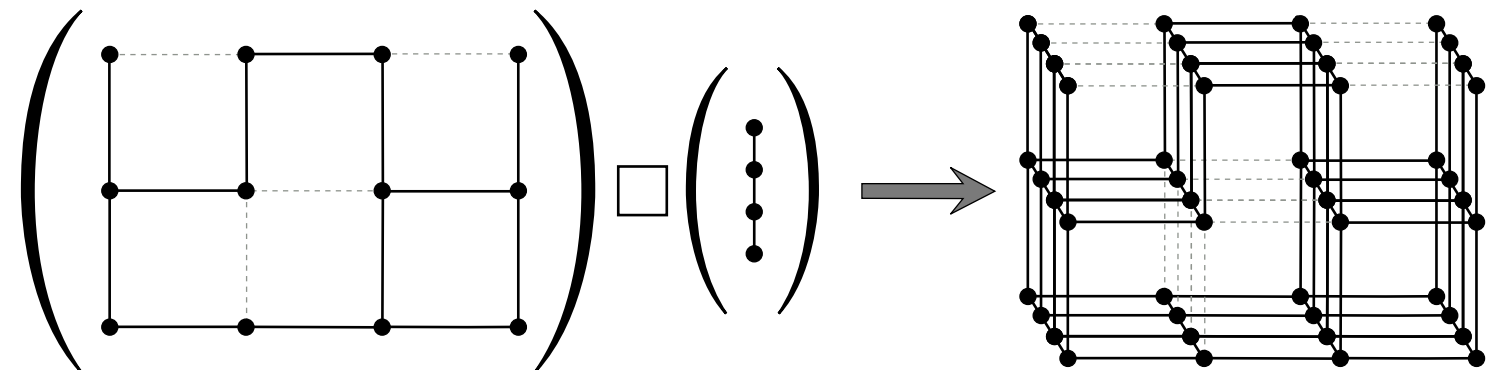
# Efficacy (theory)

## 2b. Encoding the problem Hamiltonian

- Encoding + penalty Hamiltonians can also be used to solve the “wrong problem Hamiltonian” issue.

- Approach: Encode the problem Hamiltonian in a repetition code.

- Basically, this replaces each qubit with a big ferromagnet. The desired Hamiltonian scales up as  $O(N)$ , whereas errors scale as  $O(N^{1/2})$ .



- Does not play well with the *dynamics* of AQC.



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# Efficacy (theory)

## 3. Cooling

- Unlikely to *solve* our problems in the  $N \rightarrow \infty$  limit.
- Clearly will help *somewhat* in practice (you want your device to be cold!)
- Very difficult to separate two effects in practice:
  - (1) Cooling adds *classical* annealing (helps, but not quantum!)
  - (2) Cooling helps to correct errors, protecting *quantum* advantages.
- It would help if we understood quantum speedups...



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# Efficacy (theory)

## 4. Active Correction

- Would definitely protect code space -- in principle.
- It's the highest priority for *standard* (circuit) QC.
- Requires resources incompatible w/AQC philosophy.
- More “AQC-compatible” if we manage to invent self-correcting quantum memories.
- Major open question (stay tuned):  
Can we actually *compute* adiabatically in a QECC?



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# Efficacy (experiment)

## Penalty Hamiltonians on D-Wave Two

- To date, there is *one* experimental test (2013-14).
- Pudenz et al used *Quantum Annealing Correction* for random Ising problems on D-Wave Two:
  - (1) Encoded problems in 3-qubit repetition code.
  - (2) Applied stabilizers (ZZI, IZZ, ZIZ) as penalty H.
  - (3) Decoded final state to obtain result of computation.
- Caveat: X terms could not be encoded ( $X \rightarrow XXX$ )
  - ↳ Penalty suppresses X... its strength  $\beta$  must be tuned.
- Small system, small code -- hard to extrapolate to large  $N$ !



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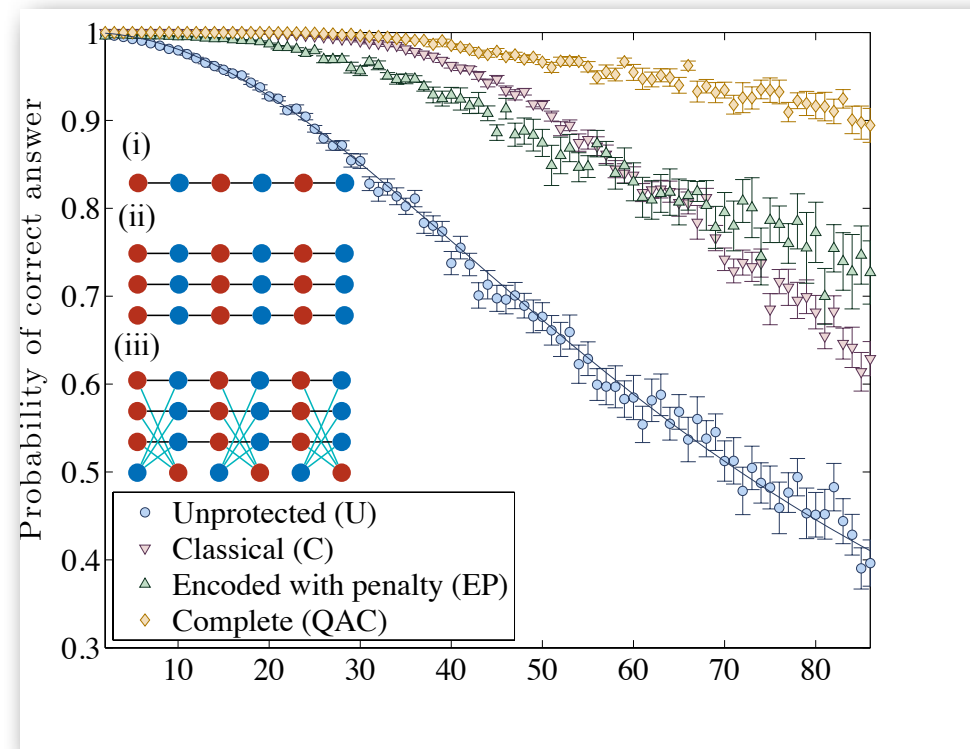
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# Efficacy (experiment)

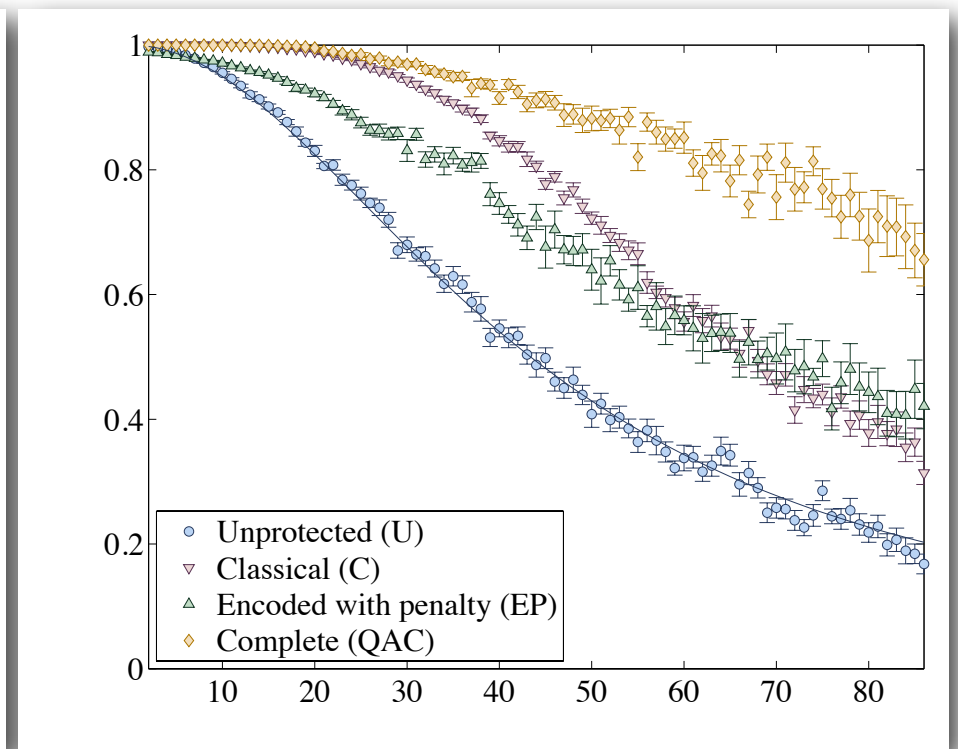
## Summary:

1. QAC increases the success probability of the adiabatic computation.

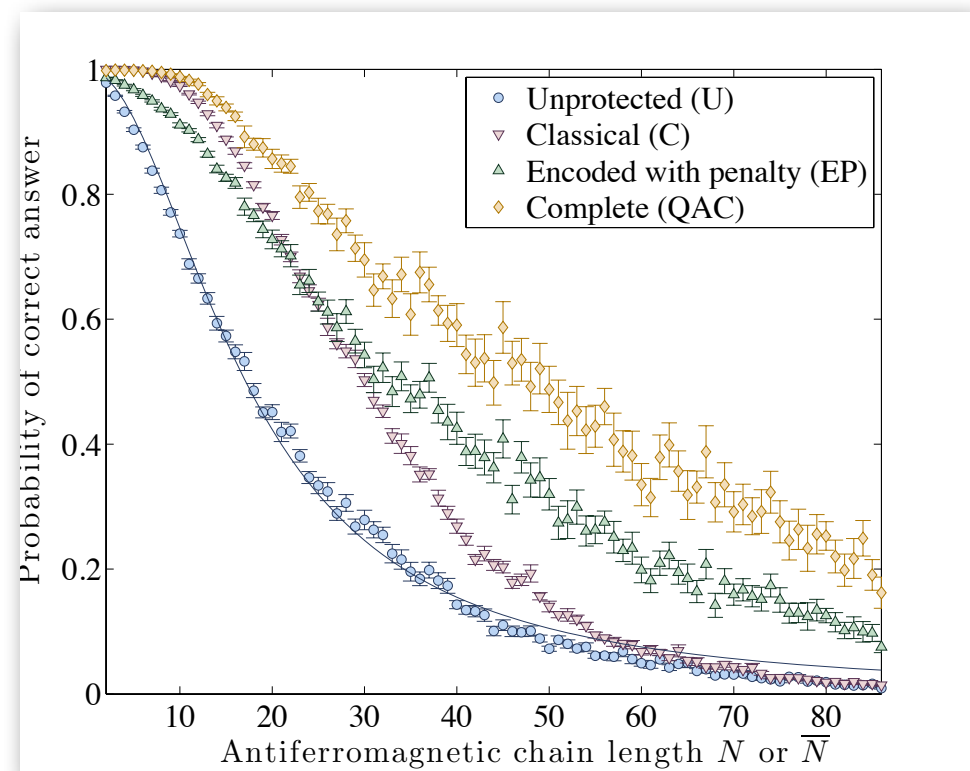
2. Maximum advantage at high problem energy  $\alpha$ .



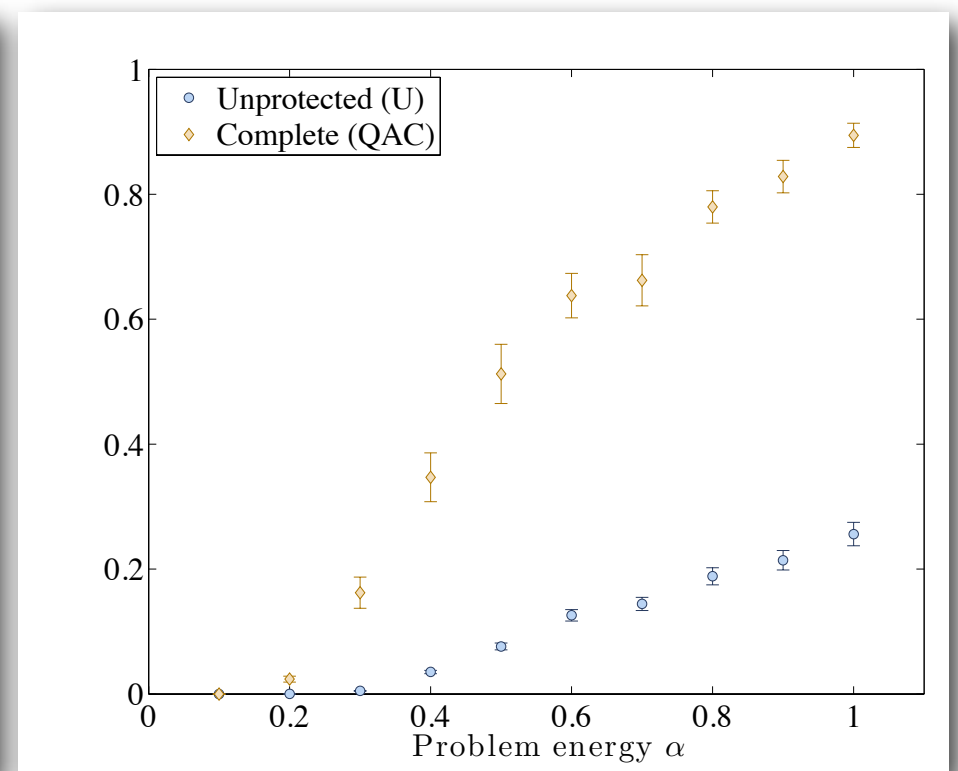
(a)  $\alpha = 1$



(b)  $\alpha = 0.6$

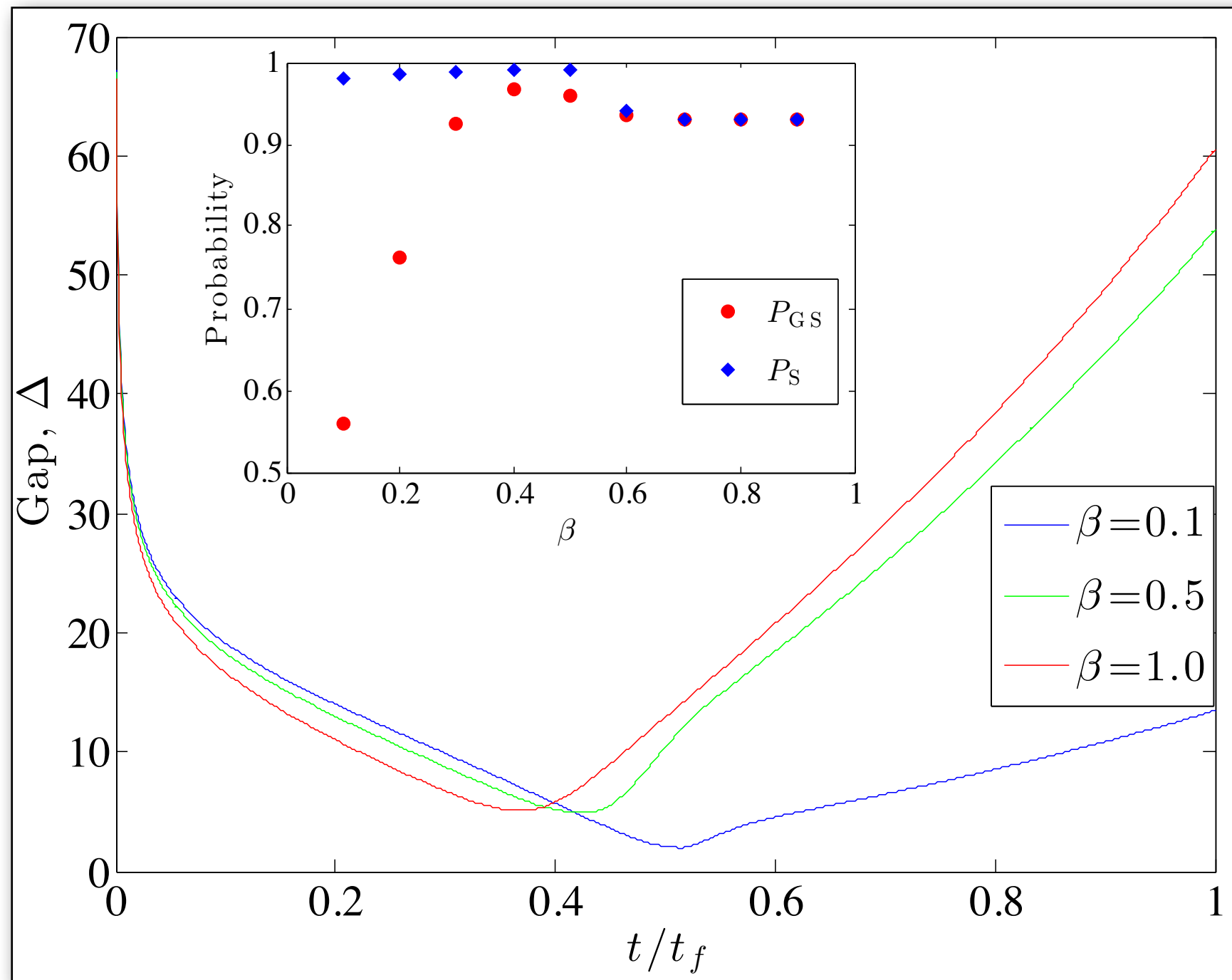


(c)  $\alpha = 0.3$



(d) U and QAC vs  $\alpha$ ,  $N = \bar{N} = 86$

# Efficacy (experiment)



QAC increases the gap of the encoded problem.

Optimizing the gap requires tuning penalty strength  $\beta$ .



# Efficacy (experiment)

## What's going on?

- **Unambiguous:** QAC strategy improved results.
- **Unambiguous:** *any*  $N \rightarrow \infty$  extrapolation of current experimental results on D-Wave Two is controversial.
- **Ambiguous:** Is the improvement due to
  - (1) suppression of errors (allowing more quantum)?
  - (2) enlarging the correct energy basin (classical)?
- **Ambiguous:** Will improvements persist as size and code distance increase? (penalty  $H$  may suppress  $X$  terms in  $H_{\text{AQC}}$ )



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# Toward scalable FTAQC?

- To be *useful* for solving computational problems, AQC devices need to scale up and get big.
- As size ( $N$ ) increases, errors become more important  $\Rightarrow$  fault tolerance is critical.
- We are now prepared to ask whether fault tolerant AQC is possible as  $N \rightarrow \infty$ . **Can we prove a threshold theorem, as for the circuit model?**
- Summary: it doesn't look good.



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# We need active correction

- AQC may have *low* error rates, but is not immune.
- Error suppression techniques only suppress low-frequency errors.
- Real environments usually include all frequencies  $\Rightarrow$  stochastic errors will happen at some rate.
- Cooling isn't enough without self-correcting memories.
- **Conclusion:** absent a creative breakthrough, FT will require some form of active (or self-) correction.



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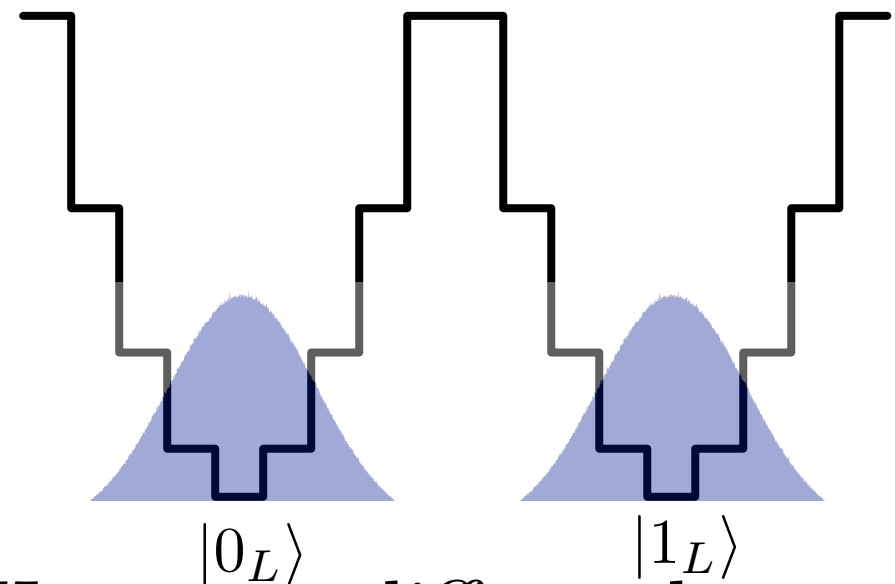


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# Trouble with error spaces

- Fault tolerant error correction doesn't keep the state in the code space!
- Instead, it's like cooling: there are always a few errors (excitations).
- Problem: The encoded *logical*  $H_{\text{AQC}}$  acts differently on error spaces than on the code space!  
(Some terms change sign --  $H = X+Z \Rightarrow H = X-Z$ )
- Only known fix requires *incredibly* complicated  $H_{\text{logical}}$ .



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# Rapunzel in the tower



- Once we have protected logical qubits...  
...we need to *compute* with them.
- Computing = dynamics.  $|\psi_L(t = 0)\rangle$
- We need to drive *logical operations* that change the logical state in time.  $|\psi_L(t = 1)\rangle$
- However... since the whole point of error correction is to *prevent* [unwanted] logical operations... this is hard.
- Have we locked our QI up so well that we can't get it?



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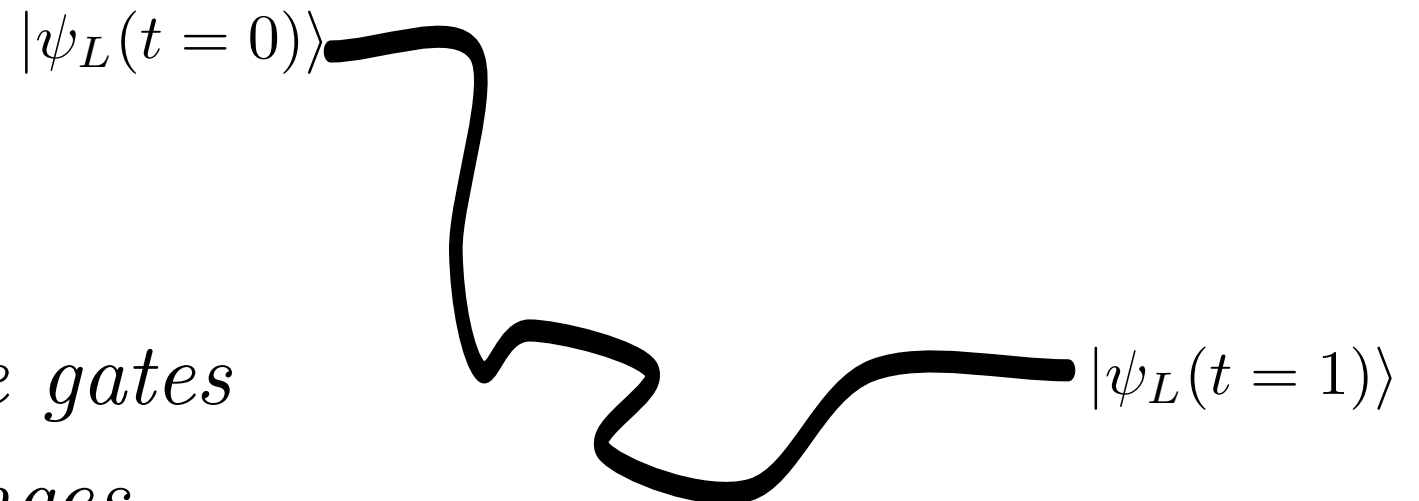


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# Logical dynamics in AQC

- FTQC always requires logical dynamics:
  - circuit model: *discrete gates*
  - AQC model: *slow changes*



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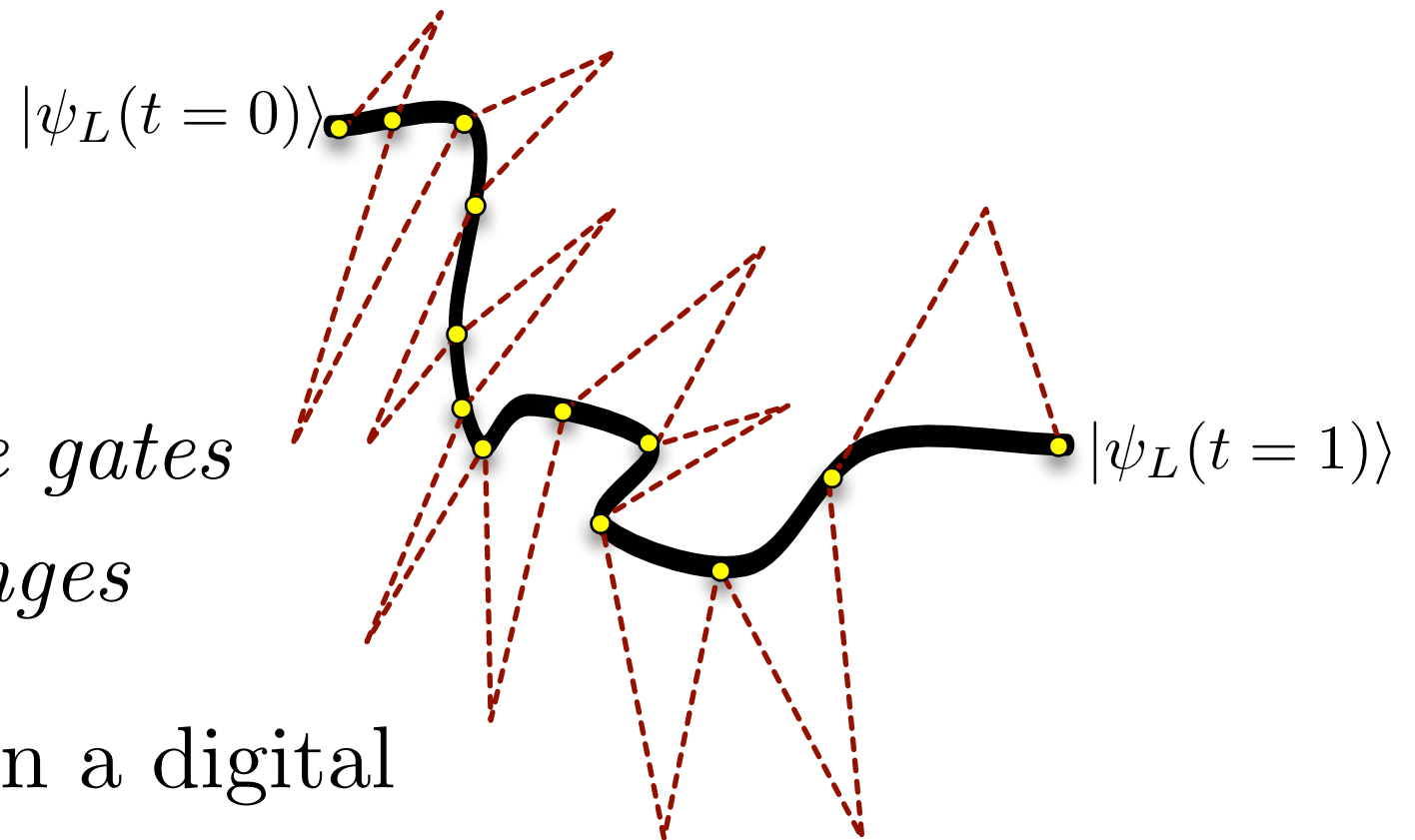


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# Logical dynamics in AQC

- FTQC always requires logical dynamics:
  - circuit model: *discrete gates*
  - AQC model: *slow changes*



- We can *simulate* AQC on a digital quantum computer, using logical gates.

This works -- but it's not the point. We want to know if *adiabatic computing* can be fault tolerant, not whether the adiabatic algorithm can be simulated using discrete gates (it can).

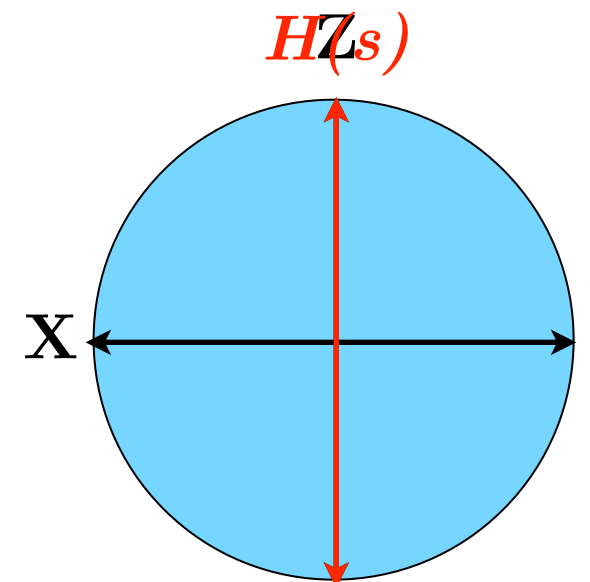
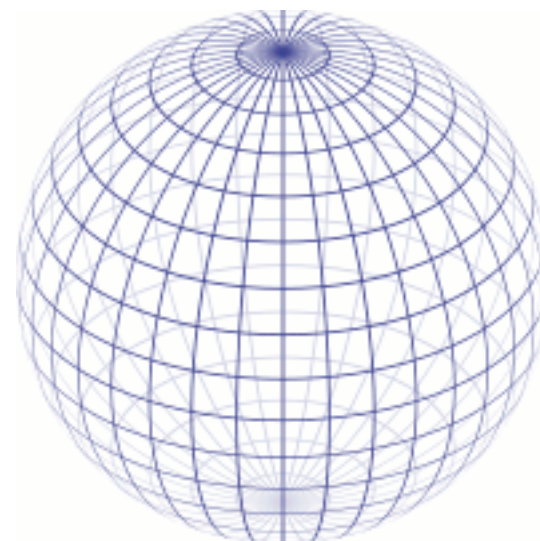
# Simulating $H_{\text{AQC}}$

$$|\psi_L(t=0)\rangle$$

- Key question: Can we perform logical operations (within a code) that *faithfully simulate* the evolution of the ground state of the AQC Hamiltonian (including its smoothness)?

$$|\psi_L(t=1)\rangle$$

- Requires *continuous* and *non-commuting* logical operations.



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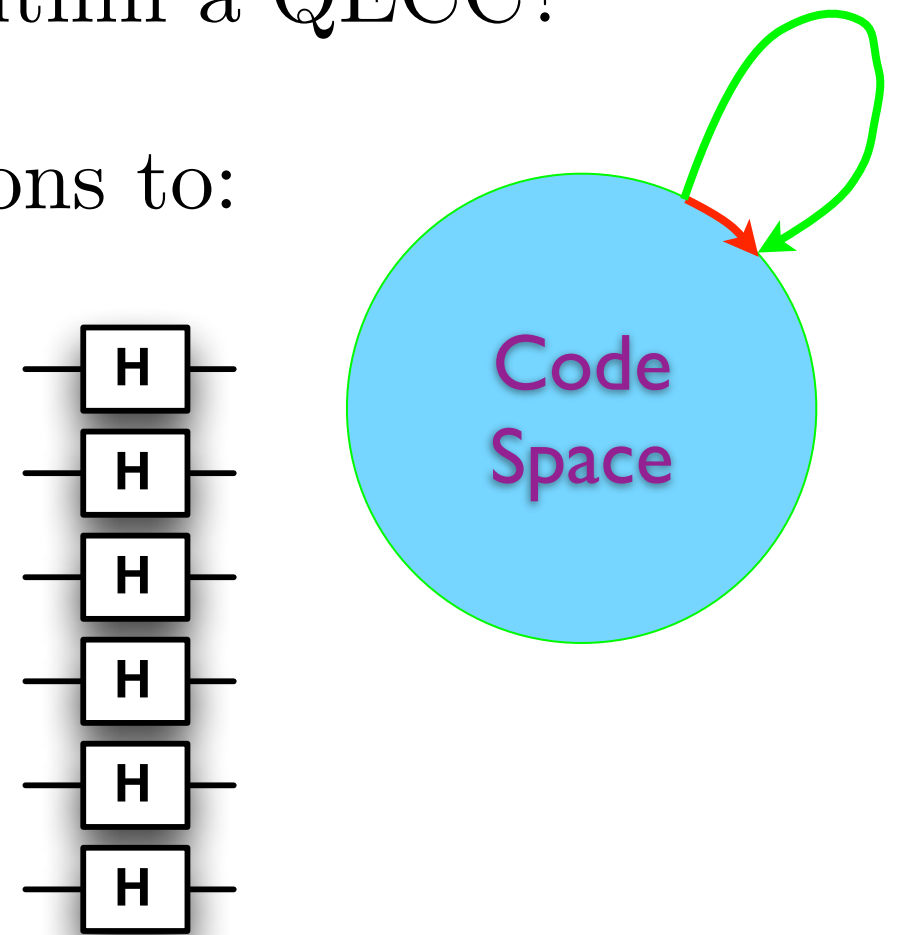
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# Transversal gates

- What logical operations are “easy” within a QECC?
- Need to apply *physical* qubit operations to:
  - (1) get out of the code space, then
  - (2) get back in before EC happens.
- Must be done *fast*.
  - ↳ *Transversal* gates are great.
  - ↳ *Constant-depth* circuits ok.
- But there are some really strong no-go theorems about what logical operations can be performed “fast enough”.



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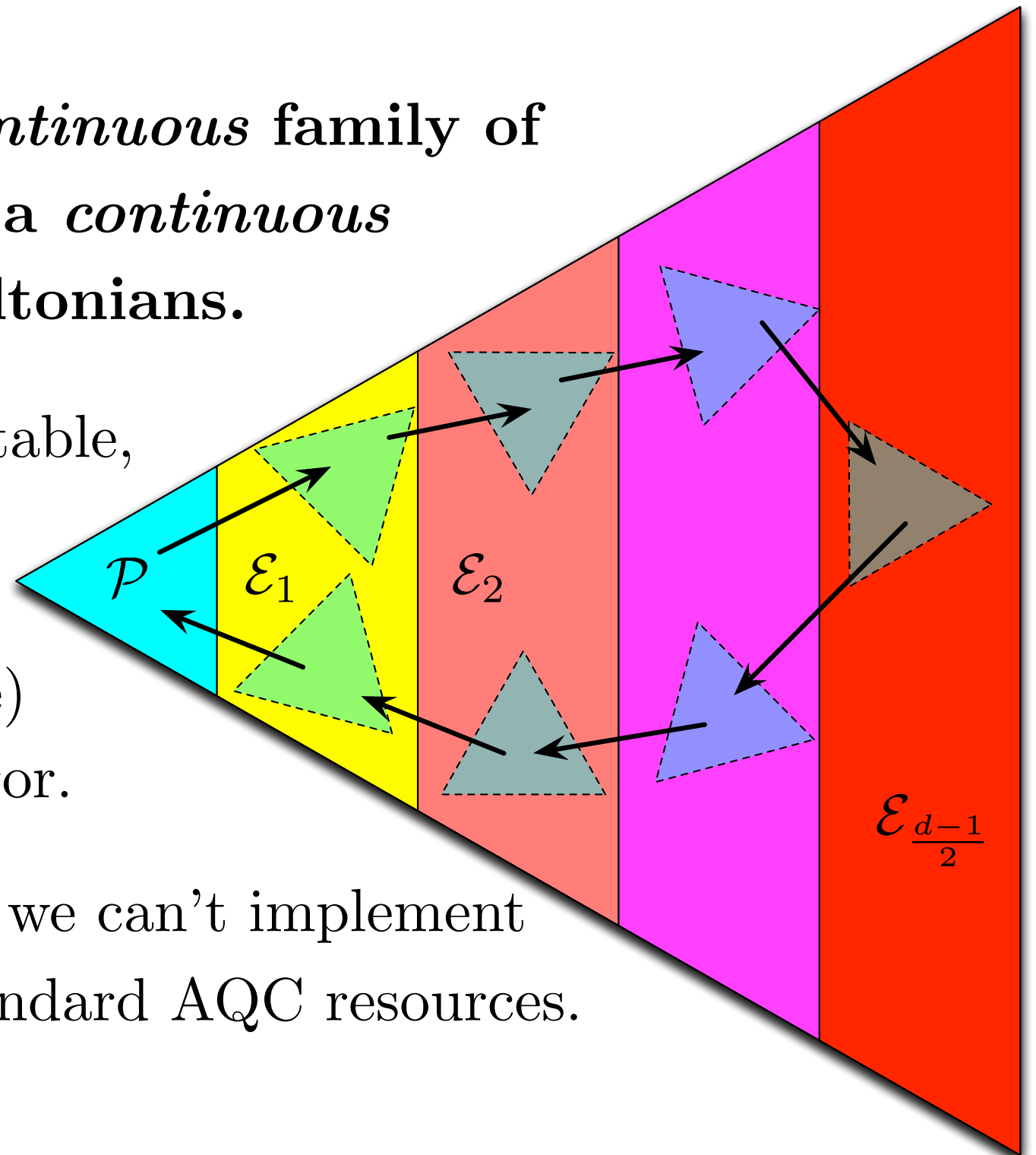


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# No-go arguments

- You can't generate a *continuous* family of logical operations using a *continuous* family of physical Hamiltonians.
- If  $H$  is physically implementable, and generates a logical operation  $U$ , then  $H + \delta H$  (where  $\delta H$  is implementable) just looks like  $U$  plus an error.
- This strongly implies that we can't implement logical (FT) AQC using standard AQC resources.



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# No-go arguments

- Can we implement logical AQC (i.e., smooth slow dynamics on the logical qubits) using *any* resources?
- A series of increasingly strong results (Eastin/Knill, Bravyi/Koenig, Pastawski/Yoshida, Belvedere *et al*) put *severe* limitations on what logical operations can be implemented feasibly (constant depth) in various codes.
- **Summary:** as  $N \rightarrow \infty$ , only *discrete* sets of noncommuting logical unitaries can be applied in constant depth.
- Absent a breakthrough, this seems to rule out FTAQC.



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# Prospects

- AQC is intrinsically robust to *some* errors, but there are other errors that will demand fault tolerant QEC.
- We have several tools to protect *logical* AQC from noise.
- EC experiments on D-Wave Two have shown some advantages!
- The prospects for  $N \rightarrow \infty$  fault tolerant AQC look bad.
- **However:** Even if the AQC *architecture* cannot be made fault tolerant... the adiabatic *algorithm[s]* could certainly be simulated on a digital quantum computer.
- This might well be the best way to solve some problems!



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