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***Transverse profile of the electron beam for the
RHIC electron lenses***

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1 Transverse Profile of the Electron Beam for the RHIC Electron Lenses

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16 Abstract

17 To compensate for the beam-beam effects from the proton-proton interactions at the two
18 interaction points IP6 and IP8 in the Relativistic Heavy Ion Collider (RHIC), we are constructing two
19 electron lenses (e-lenses) that we plan to install in the interaction region IR10. Before installing them,
20 the electron gun, collector, instrumentation were tested and the electron beam properties were
21 qualified on an electron lens test bench. We will present the test results and discuss our measurement
22 of the electron beam current and of the electron gun perveance. We achieved a maximum current of 1
23 A with 5 kV energy for both the pulsed- and the DC-beam (which is a long turn-by-turn pulse beam). We
24 measured beam transverse profiles with an Yttrium Aluminum Garnet (YAG) screen and pinhole
25 detector, and compared those to simulated beam profiles. Measurements of the pulsed electron beam
26 stability were obtained by measuring the modulator voltage.

27 **Keywords: low energy electron beam, transverse beam profile, space charge, electron lenses,**
28 **Gaussian beam**

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34 **Transverse Profile of the Electron Beam for the RHIC Electron Lenses**

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38

39 **Abstract**

40

41 The transverse profile of the electron beam plays a very important role in assuring the success
42 of the electron lens beam-beam compensation, as well as its application in space charge compensation.
43 To compensate for the beam-beam effect in the Relativistic Heavy Ion Collider (RHIC) at Brookhaven
44 National Laboratory, we recently installed and commissioned two electron lenses. In this paper, we
45 describe, via theory and simulations using the code Parmela, the evolution of the density of the electron
46 beam with space charge within an electron lens from the gun to the main solenoid. Our theoretical
47 analysis shows that the change in the beam transverse density is dominated by the effects of the space
48 charge induced longitudinal velocity reduction, not by those of transverse Coulomb collisions. We detail
49 the transverse profile of RHIC electron-lens beam, measured via the YAG screen and pinhole detector,
50 and also describe its profile that we assessed from the signal of the electron-backscatter detector (eBSD)
51 via scanning the electron beam with respect to the RHIC beam. We verified, in simulations and
52 experiments, that the distribution of the transverse electron beam is Gaussian throughout its
53 propagation in the RHIC electron lens.

54 **I. Motivation**

55

56 During the 2013 255 GeV proton run of the Relativistic Heavy Ion Collider, we found that the
57 threshold of the intensity of the proton bunch in RHIC was about 2×10^{11} [1], as was predicted by the
58 beam-beam simulations [2]. To further increase the bunch intensity, and therefore, its luminosity via
59 compensating for the large beam-beam tune spread from the proton-proton interactions at IP6 and IP8,
60 we installed two electron lenses in the electron-proton interaction region IR10 [3] and commissioned
61 them during the RHIC 2013 and 2014 runs. For long-range compensation of beam-beam tune shifts [4],
62 electron lenses had been installed and operated in the Fermilab Tevatron collider [5, 6, 7]. They were
63 also used for head-on beam-beam compensation [8], to remove un-captured particles in the abort gap
64 [9], and as well as to demonstrate halo scraping with hollow electron beams [10].

65 In an earlier paper [2], we specified the requirements of the electron beam in the RHIC electron
66 lens, such as its current, shape, and the distribution of the profile of the transverse beam in the
67 interaction region. For optimum head-on beam-beam compensation, according to theory and simulation,
68 the electron beam in the RHIC electron lens should have the same transverse distribution as does the

69 proton beam, i.e., it should be a Gaussian beam [11]. According to theoretical modeling [12, p169] of
70 the electron beam, if the effects of space charge dominate the physics of the beam, or if the Debye
71 length is much smaller than the beam radius, then, in a state of thermal equilibrium, the beam tends to
72 be uniform with a sharp radius. If we ignore the space charge, or if the Debye length is much greater
73 than beam radius, then the external focusing field will dominate the physical properties of the beam
74 physics, and the profile of the steady-state density will be Gaussian [12, p340].

75 The Debye length for the electron beam of the RHIC electron lens can be estimated. The
76 electron beam is considered as having a transversely uniform density profile, with a 5.12 Ampere
77 current and with a 4.1 mm radius, which corresponds approximately to a 9.7 A/cm^2 max current density
78 of the Gaussian beam for the RHIC electron lens. According to Trak simulations, the initial rms transverse
79 temperature (velocity) just after the electron gun, which is dominated by the curved cathode surface, is
80 approximately 12.42 eV for a 10 kV potential difference between the anode and the cathode. The beam
81 is compressed by a factor of $\sqrt{20}$ via a 0.3 T magnetic field on the cathode, and a 6 T field in the
82 interaction region. The beam size then changes from 4.1 mm to 0.917 mm, and the rms transverse
83 temperature changes to 248 eV.

84 During propagation within a drift tube or vacuum chamber, a 10 keV electron beam will have a
85 Debye length [12, p165] of 0.21 mm, which is less than the beam radius of 0.917 mm. By comparing the
86 Debye length with the transverse beam size, one can find that there is a large number of electrons in a
87 Debye sphere, which indicates that single particle scattering is negligible compared to the smoothed
88 space charge field effect. Therefore, to evaluate the effect of space charge on the profile of the electron
89 beam for the RHIC electron lens, some detailed studies including space charge code simulation and
90 theoretical analyses, are necessary.

91 The evaluation of the transverse profile in the electron lens is critical not only for the RHIC
92 electron lens, but also for the LHC proposed hollow-beam electron lens [13] and the integrable Optics
93 Test Accelerator in Fermilab [14, 15], as well as for the application of the electron lens in space charge
94 compensation [16, 17].

95 Another important factor that may affect transverse beam profile is diocotron instability [18, 19]
96 or diocotron frequency [20]. But, for the electron beam transverse profile like the transverse profile of
97 the RHIC electron lens, the profile can be regarded as steady because the instability evolution is
98 sufficiently slow [18]. Even for a 1 Ampere, 5 keV energy uniform cylindrical electron beam, a rotation of
99 5.76 degrees from the RHIC electron lens gun to collector is not a concern. A higher magnetic field and
100 larger vacuum tube can reduce diocotron frequency and its effect on the transverse profile.

101 In this paper, we detail our evaluation of the effect of space charge on the Gaussian profile of
102 the transverse beam, first theoretically, and then with simulations. In the Parmela [21] simulations, the
103 beam propagates through a straight magnetic field of varying intensity; this is similar to, but shorter
104 than, the actual magnetic field of the RHIC electron lens. The first simulation of space charge effects on
105 hollow-beam electron lens is given in [22]; it was obtained via the particle-in-cell (PIC) code, WARP [23].

106 This paper is organized hereafter as follows. In Sec. II, we give an introduction to the RHIC
107 electron lens. In Sec. III and Sec. IV, we detail the effect of space charge on the beam profile via theory
108 and the Parmela code simulation, respectively. In Sec. V, we discuss the profile of the simulated beam
109 produced from a measured cathode surface. Thereafter, we detail our experimental measurements of
110 the electron beam transverse profiles with YAG and a pinhole for different currents in Sec. VI, as well as
111 estimating the beam profile obtained from measuring backscattered electrons. Sec. VII and Sec. VIII
112 contain the discussion and summary.

113 II. Introduction to the RHIC Electron Lens

114

115 Fig. 1 illustrates the layout of one of the RHIC electron lenses, which has a gun for emitting the
116 electron beam and a collector for its collection. The electron beam emerges from the electron gun in
117 GS1 (gun solenoid #1) and propagates into the interaction region inside the superconducting magnet via
118 the combination of a gun-side bending solenoid (GSB), and a superconducting magnetic field. Two
119 correctors, GSX (gun side X steer) and GSY (gun side Y steer), control the position of the electron beam.
120 The extent of compression of the electron beam is assured by the ratio between GS1 magnetic field and
121 the superconducting magnetic field.

122 There is an electron-backscatter detector (eBSD) on the gun side dedicated to aligning the
123 electron beam with the RHIC beam. For measuring the profile of the electron beam, there are two
124 insertion devices, viz., a YAG screen and a pinhole detector [24]. When the electron lens runs with a
125 high-current (e.g. 1 A) electron beam, ions may accumulate in the interaction region within the
126 superconducting solenoid. We use a biased drift-tube system (DT01-05) with a high voltage gradient to
127 extract the accumulated ions from the interaction region, as shown in Figure 1.

128

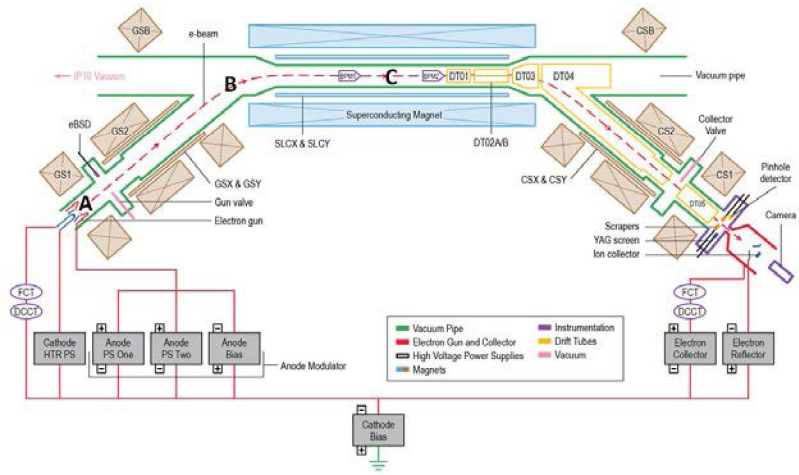


Figure 1: Schematic layout of the RHIC electron lens. The electron beam emerges from the electron gun, immersed in the magnetic field of GS1 (Gun Solenoid #1), and propagates into the interaction region inside a superconducting magnet. The proper trajectory is accomplished via controlling fields of the GS2 (Gun Solenoid #2) and the GSB (Gun Solenoid Bending Solenoid).

129 At the electron gun, the initial transverse Gaussian profile of the electron beam can be
 130 characterized by the cathode geometry [25], which was designed via the 2D program TRAK [26]. After
 131 they are manufactured, the machined cathode surfaces were projected on a screen and the surface
 132 curves were measured via a CCD camera. These measured curves then were used to simulate the beam
 133 profile, and for comparison later with the beam profile from the designed cathode. The profile of the
 134 simulated beam with its measured cathode surface predicts a Gaussian profile with a small flat top
 135 region. The results are shown in Sec. IV.

136 During the commissioning of the electron lenses in 2013 and 2014, the transverse profile of the
 137 electron beam was measured via both the YAG screen and pinhole scanner [24] systems. Both methods
 138 show that the electron beam still has a Gaussian transverse distribution. These measurements reveal
 139 that, as expected, the bending magnetic field does not change the beam transverse profile. We also
 140 measured the beam profile with high current (1 A) via the pinhole scanner. And the profile was still
 141 Gaussian, as expected. This result demonstrates that the space charge with a 1 A beam does not alter
 142 the beam transverse profile either.

143 Meanwhile, during the RHIC 2014 run, the electron backscatter detector (eBSD) for aligning the
 144 beam was commissioned and used for aligning both the electron-gold and electron-³He beams [27]. The
 145 convolution profile of electron beam and RHIC ion beam, which is the signal of the eBSD, was measured
 146 by scanning the electron beam through the ion beam. The measured convolution profile is also a
 147 Gaussian one, i.e., another demonstration of the electron beam Gaussian transverse profile.

III. Theory of Beam Profile and Space Charge

The effect of space charge effect on the distribution of the electron beam density encompasses the space charge transverse effect, which is a force effect and its longitudinal effect, which is the potential-induced outcome of a reduction in velocity.

The potential created by electron beam space charge inside the beam has a transverse distribution. This causes the beam to exhibit a different longitudinal velocity in the transverse direction, which also will affect the beam transverse density distribution. There have been very few studies of the space charge induced potential or the effect of a longitudinal reduction in velocity on the beam profile.

1. Changes in the profile of the transverse beam from the space charge transverse effect

With the self-consistent theory of the effect of space charge on the electron beam, for the beam transverse velocities with a Maxwell-Boltzmann or thermal distribution, its stationary transverse distribution in a uniform focusing channel already has been resolved numerically [12, 28-29] for particles having the same velocity v_0 , and energy $\gamma_0 mc^2$, in the laboratory frame, without taking into account the change in longitudinal velocity induced by the space charge potential. If space charge force effects dominate the beam physics, then the beam transverse density profile tends to be uniform with a sharp radius; if the effects of space charge force can be neglected, the beam tends to be Gaussian.

We can modify the above theory to include the effects of the longitudinal reduction in velocity occasioned by space charge potential. For those particles that are injected into a conducting drift tube or vacuum chamber (with a potential φ_{diff} with respect to the electron beam potential) with the same kinetic energy $(\gamma_0 - 1)mc^2 = q\varphi_{diff}$, the kinetic energy is then reduced by $q\varphi_s(r)$ because of the effect of the space charge and can be expressed as follows:

$$\gamma(r)mc^2 = \gamma_0 mc^2 - q\varphi_s(r) \quad (1)$$

where c is the speed of light, m is the particle mass, q is the particle charge, and $\varphi_s(r)$ is the beam space charge induced self-potential, which can be expressed as [12, p340]:

$$\varphi_s(r) = - \int_0^r \left[\frac{q}{\epsilon_0 r} \cdot \int_0^r r n(r) dr \right] dr \quad (2)$$

where, $n(r)$ is the beam transverse density distribution, and ϵ_0 is the permittivity of vacuum.

Then, for the Maxwell-Boltzmann distribution of particles, equation for the transverse density profile (5.275) in [12, p339] can be re-written after including the effect of the velocity reduction in the space charge potential:

$$n(r) = n(0) \exp \left[- \frac{\gamma(r) m v_0^2(r) k_0^2(r) r^2}{2 k_B T_{\perp}} - \frac{q \varphi_s(r)}{k_B T_{\perp} \gamma^2(r)} \right] \quad (3)$$

180 where v_0 is the transverse velocity, k_0^2 is the focus constant, k_B is Boltzmann constant, and T_{\perp} is
 181 the transverse temperature. For the uniform focusing channel of a solenoid, the constant k_0^2 is given by
 182 $k_0^2(r) = \omega_L^2(r)/v_0^2(r)$ [12, p312], and the Larmor frequency is expressed as $\omega_L(r) = \frac{qB}{2\gamma(r)m}$ [12, p67].
 183 Then equation (3) can be re-written as follows:

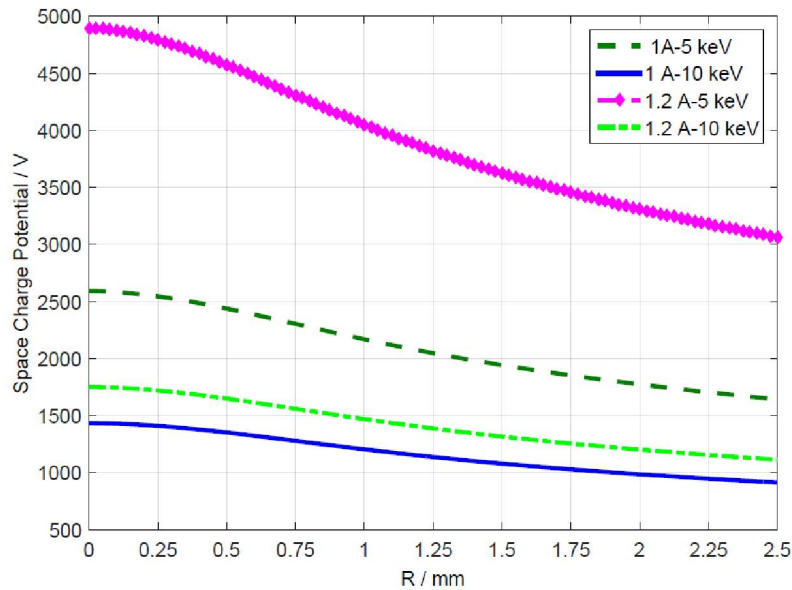
$$184 \quad n(r) = n(0)\exp\left[-\frac{q^2 B^2 r^2}{8k_B T_{\perp} \gamma(r) m} - \frac{q \phi_s(r)}{k_B T_{\perp} \gamma^2(r)}\right] \quad (4)$$

185 Equations (1), (2) and (4) are those that determine the distribution of the transverse profile of
 186 the RHIC electron lens beam. They can be solved numerically, including the space charge effect.

187 **2. Changes in the profile of the transverse beam due to the longitudinal effects of** 188 **space charge**

189 With only the effect of a reduction in the space charge longitudinal potential-induced velocity, a
 190 change in beam profile can be seen for the low energy (e.g., 5 keV) electron beam of the RHIC electron
 191 lens.

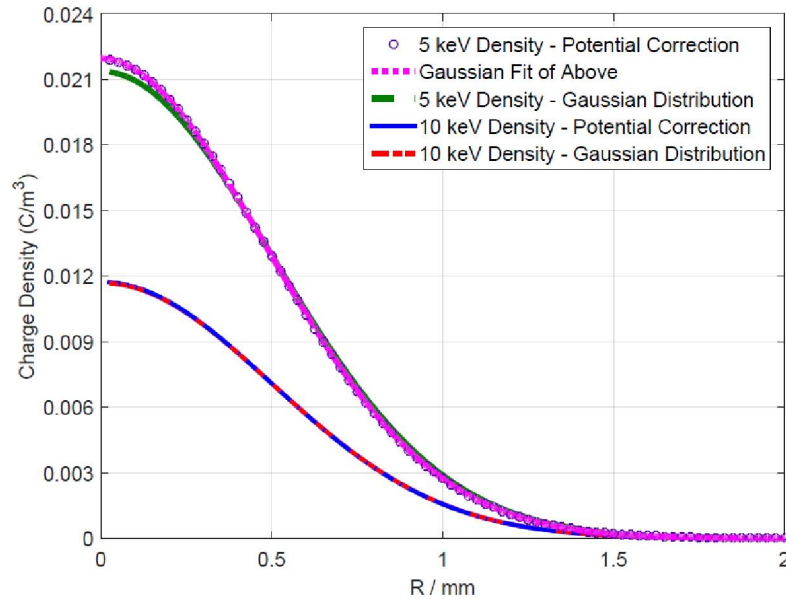
192 To evaluate the effect of the velocity reduction of the space charge induced-potential on the
 193 density of the transverse beam, in Fig. 2, we show an example of a numerical calculation based on Gauss'
 194 law for a cylindrical electron beam. The space charge potential is calculated for a beam with 0.5-mm rms
 195 beam size and 1 A current Gaussian electron beam which propagates in a 40-mm inner diameter drift
 196 tube. The two initial potentials between the electron beam and grounded drift tube are set to 5 keV and
 197 10 keV. After several iterations, the calculated space charge potentials converge as shown in Figure 2.
 198 They have their maximum absolute values at the beam center, which entails a lower velocity in the
 199 center, as well as a space charge induced energy spread. This is similar to the Boersch effect [30], in
 200 which the longitudinal temperature increases due to the effect of the space charge [12, p475].



202 **Figure 2: Space charge potentials for the 5 keV and 10 keV electron beams; the electron beam**
203 **has 1 A and 1.2 A current, 0.5-mm rms beam size; the internal diameter of the drift tube is 40 mm.**

204 Figure 2 shows that with an electron beam of 1.2 A current and 5 keV energy, the space charge
205 potential (absolute value) is very close to the beam energy. If it becomes greater than its initial energy,
206 the electron beam will stop and become a virtual cathode, which was observed on the test bench for the
207 RHIC electron lens [24]. With higher beam energy, this effect is reduced. We note that the electron
208 beam current from the electron gun is determined by the anode voltage and the gun perveance; while
209 its energy during propagation within the drift tube and vacuum chamber, other than the region early
210 the electron gun and collector, is determined by cathode bias voltage (Figure 1).

211 Figure 3 shows the electron beam transverse densities with a 1 A current. For a 5 keV kinetic-
212 energy electron beam, with self-potential longitudinal velocity reduction, we found that the beam
213 profile becomes denser in the center, and its density was lower at radius $r > 0.425$ mm. With a 10 keV
214 beam, this effect can be neglected, as evident.



215

216 **Figure 3: Beam charge density for 5 keV and 10 keV beams, with and without potential-**
217 **induced correction.**

218 Fitting the profile of the 5 keV self-potential corrected density with a Gaussian distribution, the
219 rms beam size is reduced from 0.5 mm to 0.495 mm. For the RHIC electron lens, the beam size then is
220 not completely determined by the ratio of magnetic field because the conservation of the magnetic
221 moment law applies only for lower energies and a constant velocity [12, p33]. From the above
222 calculation, we find that although the effect of the space charge potential effect tends to narrow the
223 electron beam, its transverse distribution remains close to Gaussian.

224

3. Comparison between the space charge transverse and longitudinal effects

225 Until now, in considering the effect of space charge effect on the density of a low energy
 226 electron beam, if the space charge effect dominates the beam physics and only Coulomb collisions are
 227 taken into account, the beam tends to be uniform with a sharp radius in the thermal equilibrium state
 228 [12, p24-25]. However, accounting only for a reduction in the space charge-induced longitudinal velocity,
 229 the beam tends to have a denser center.

230 However, the relaxation time toward this thermal equilibrium state is much longer than the
 231 travel time in the electron lens. The relaxation time, where the effect of the magnetic field on the
 232 relaxation toward equilibrium is not considered, can be expressed as follows [12, p474]:

$$233 \quad \tau_{eff} = 4.44 * 10^{20} * \frac{(k_B T_{eff}/mc^2)^{1.5}}{n \ln \Delta} \quad (5)$$

234 Where, $\ln \Delta = \ln[5.66 * 10^{21} * \frac{(k_B T/mc^2)^{1.5}}{n^{0.5}}]$ and the density $n = \frac{I}{ev\pi r^2}$; I and r respectively are
 235 the beam current and radius.

236 Following the example in reference [12, p474] and using the same parameters as in Sec. I and
 237 reference [25], we can estimate the relaxation time and distance for a transverse, uniform RHIC electron
 238 lens beam. They are $\tau_{eff} = 2.89 * 10^{-4} s$, and the distance is $1.69 * 10^4$ m. Because the effect of a
 239 potential-induced reduction in velocity can affect the beam transverse density immediately, this means
 240 that for the RHIC electron lens, which is only a few meters long, the change in the beam transverse
 241 density is dominated by the space charge induced effect on the reduction of longitudinal velocity, not
 242 the effects of Coulomb force.

243 **IV. Computer Simulation of the Beam Profile with Space Charge**

244

245 In the preceding section, we theoretically described how the beam shape is affected by the
 246 space charge. In this section, to reinforce that the Coulomb force effect on transverse beam profile is
 247 not the dominant effect, we evaluate the effect of space charge on the transverse profile of the RHIC
 248 electron lens beam via computer simulation. The simulated effect of space charge only includes
 249 Coulomb force effect.

250 We detail our evaluation of the effect of the space charge force on the Gaussian transverse
 251 distribution beam in the solenoid, using the computer simulation code Parmela [21]. This code can track
 252 particles with the space charge field that is from the particle itself, as well as from a user-defined
 253 external field. Parmela employs the routine SCHEFF [31-33] for calculating the space charge. The 2D/3D
 254 cylindrically symmetric grid algorithm can be chosen, and the mesh grid is addressed by a radial and a
 255 longitudinal bin number for 2D simulation. The space charge mesh moves at the relativistic γ of the
 256 reference particle, and is centered on this particle.

257 To treat the longitudinal distribution as a continuous beam (or DC) beam, the beam length
 258 should be much greater, e.g., 10 times longer than its diameter. In the simulation, 10^6 macro-particles

259 are used, and a 2D space charge calculation method is employed for symmetry. The external magnetic
 260 field is created via the Superfish program [21]. To avoid the reduction in the density of the beam particle
 261 due to increase in beam length, the longitudinal mesh size is set to a large value, so that the longitudinal
 262 space charge force is not calculated. Table I shows the simulation specifications.

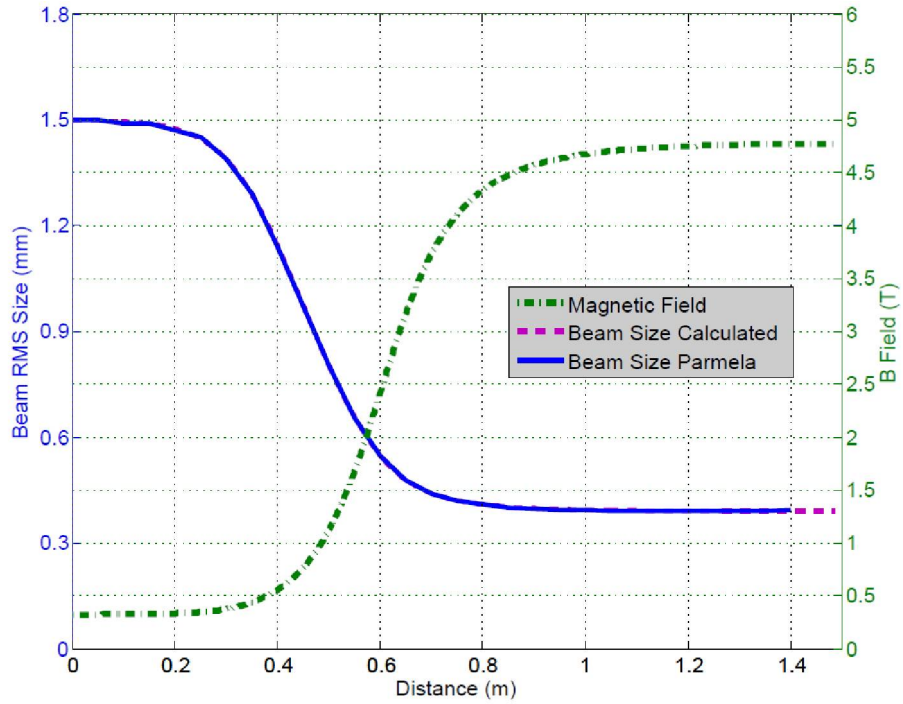
263 **Table 1: Specifications for Space Charge Tracking by Parmela**

Transverse Setup	Transverse Distribution	Gaussian
	Initial Transverse Beam Size, σ	1.5 mm
	Transverse Cut-off	4σ
Longitudinal Setup	Longitudinal Distribution	Uniform
	Longitudinal Length	116.8 mm
Energy and Divergence	Initial Kinetic Energy	5 keV
	Energy Spread	0
	Initial Particle Divergence	0
Simulation Setup	Beam Current	1 A
	Macro Particle number	1E6

264

265 We propagated a Gaussian beam with a round transverse profile through about 1500 mm,
 266 inside a straight longitudinal magnetic field of varying strength without a bending field (Figure 4). Thus,
 267 we can separate the effects of this field from the effects of the space charge force. The magnetic field is
 268 very similar to the magnetic field of the RHIC electron lens, wherein the field strength varies from the
 269 source, 0.3 T (Tesla), towards the interaction region, at 6 T.

270



271

272

Figure 4: The straight magnetic field and the electron beam rms size.

273

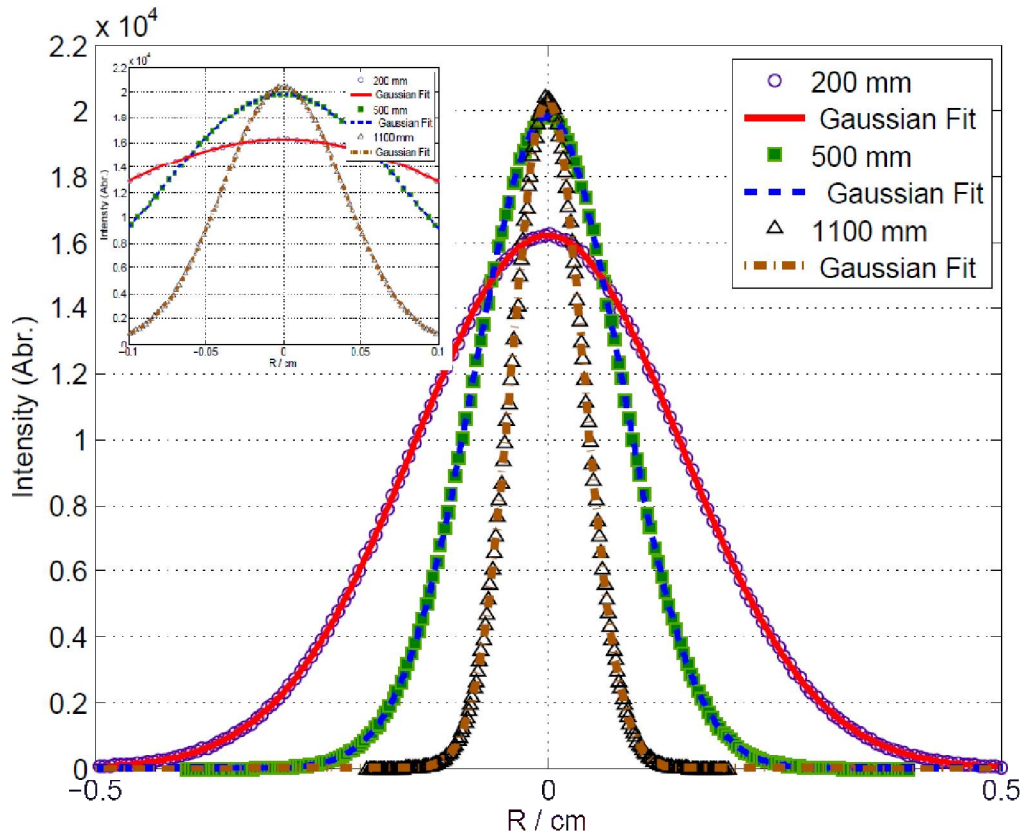
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Figure 5 shows the electron beam transverse profile at three locations, i.e., 200 mm, 500 mm, and 1100 mm from the source. They correspond, respectively, to 0.33 T, 1.13 T, and 4.73 T in Figure 4. The profiles are histograms of the distributions of particle number for all particles, not the particles' density distribution that is shown in Sec. III.



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279

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Figure 5: Electron density and Gaussian fit at 200 mm, 500 mm, and 1100 mm of the magnetic field.

281

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284

After zooming in on Figure 5, the profiles still remain Gaussian when the 1 A electron beam propagates from the 0.3 T magnetic field to the 4.7 T magnetic field. The theoretically calculated rms beam size and the rms size of the Parmela simulated Gaussian electron beam both shown in Figure 4 are in good agreement.

285

286

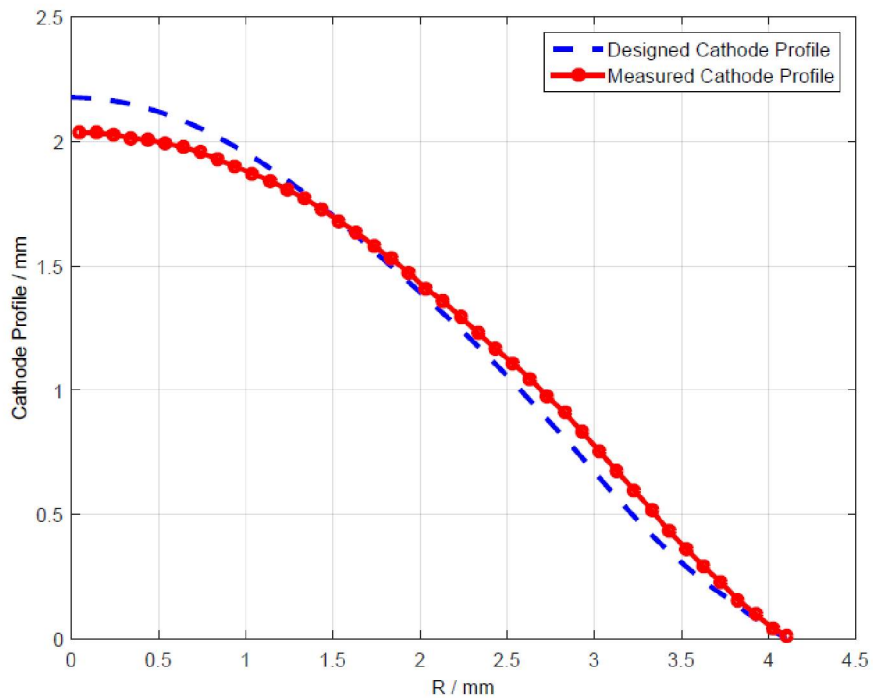
V. Cathode and the Transverse Beam Profile

287

288

289

After the cathodes were manufactured, their shapes were measured by a CCD camera via projecting them on to a screen to get two-dimensional cross-sectional profile. Figure 6 compares the designed cathode model and one example of a measured cathode profile.



290

291

Figure 6: The designed and a measured cathode profiles.

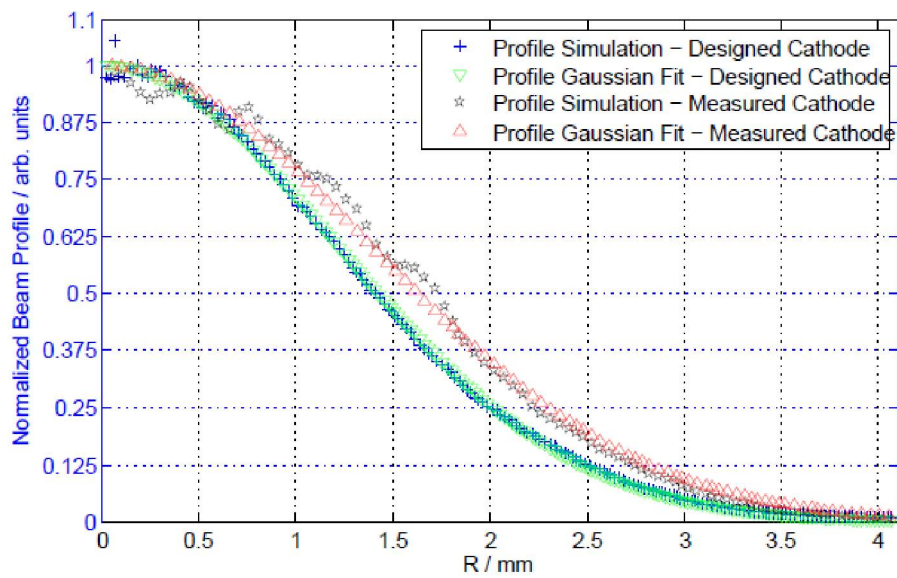
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295

There are some discrepancies between two: The machined cathode is flatter in the center than the designed one. This will result in a flat top at the center of the electron beam profile, which can be predicted by TRAK simulations based on the measured geometry. Figure 7 compares the simulated beam profiles and Gaussian fits of the designed and measured cathodes.



296

297 **Figure 7: Simulated electron beam profile from measured shape of the cathode**

298 From Figure 7, we see that the Gaussian fit and the simulation of the measured cathode beam
299 profiles agree well with each other. Moreover, there is some flattop in the simulated profile, as was
300 confirmed by the experimentally measured profile of the beam described in the next section.

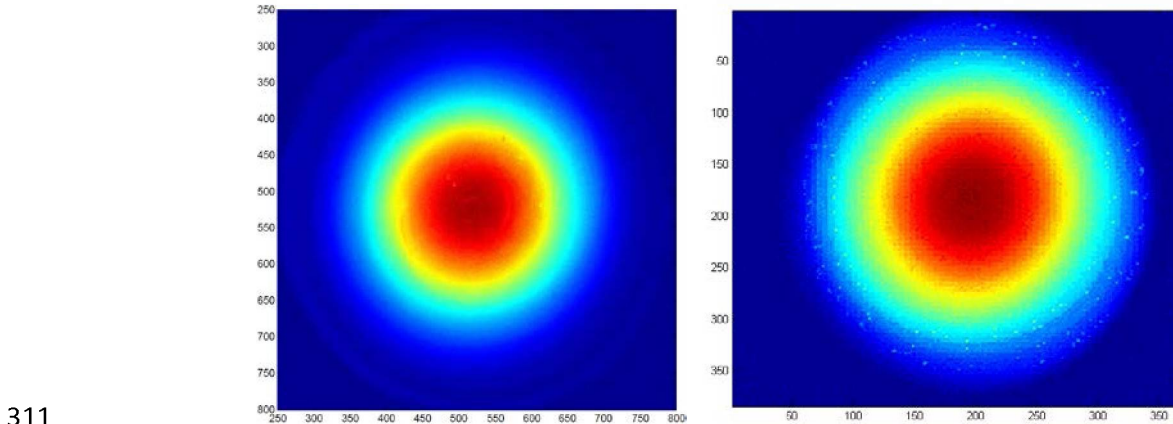
301 VI. Beam Profile Measurements

302

303 1. Beam profile measurements via YAG screen and pinhole detector

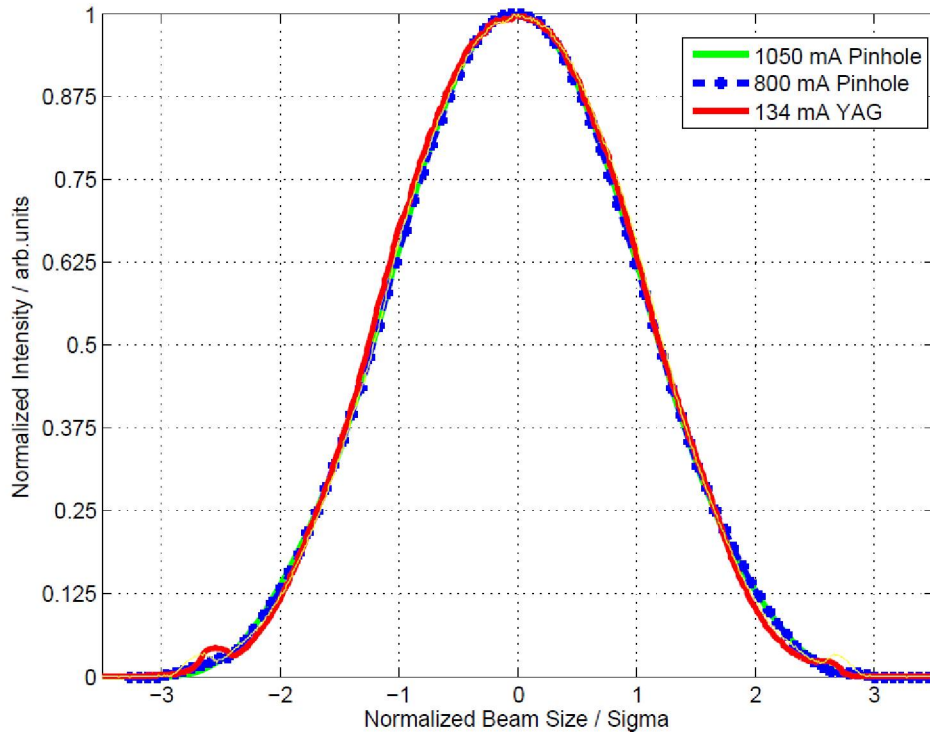
304 After the 2013 RHIC run, the electron beam was propagated through the electron-lens (the
305 layout is shown in Figure 1). Most of the system was fully tested, except for the superconducting magnet
306 that was replaced with a 3 T superconducting magnet (the RHIC EBIS spare solenoid).

307 During the 2013 commissioning of the electron lens, to verify both the effect of space charge
308 and the bending magnetic field on beam profile, the profile was measured via a pinhole detector with a
309 1050 mA beam current. The beam profile at 134 mA current was also measured via a YAG crystal screen
310 to confirm agreement with the findings from the pinhole scan.



311 **Figure 8: Beam images taken from a YAG crystal (left), and a pinhole detector (right). The**
312 **pinhole image is an interpolation from 1050 mA beam, and 80x80-point pinhole data.**
313

314 The left image in Figure 8 is the 134 mA beam profile measured on the YAG crystal; it
315 qualitatively shows that the electron beam is still round. The right image is the 1050 mA beam profile,
316 measured using the pinhole scanning system, and interpolated from a scan of 80x80 data points. From
317 these images we obtained the beam transverse density distribution.



318

319 **Figure 9: Beam profile from pinhole with high current, and from YAG screen with low current.**
 320 **The green curve is underneath the blue and red curves.**

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320

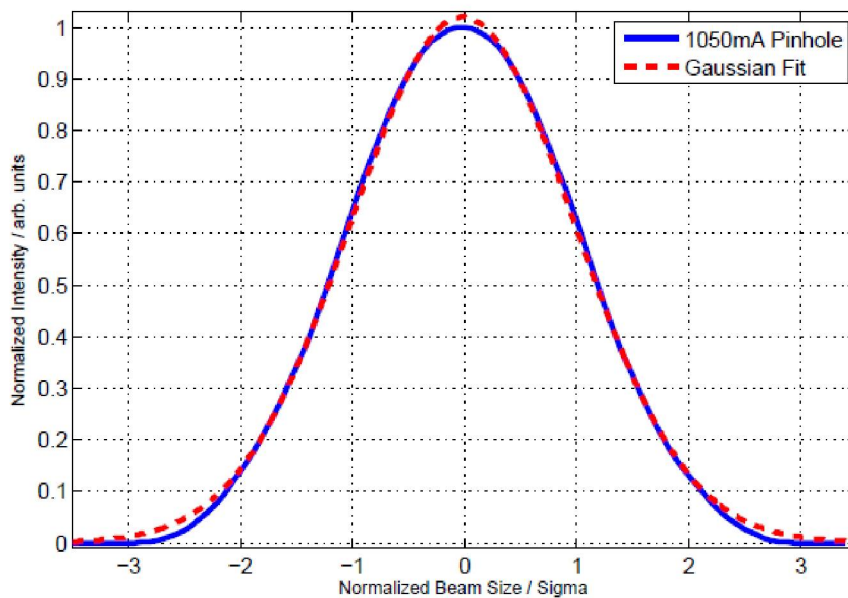
321

Figure 9 shows the measurements of the beam profile taken by a pinhole detector for an 800
 322 mA and 1050 mA electron beam. They overlap very well. The profile from the YAG screen with the 134
 323 mA beam current is also shown in this figure. The profiles obtained via YAG screen and pinhole detector
 324 agree well with each other.

322

323

324



325

326

Figure 10: Beam profile from pinhole for 1050 mA beam and its Gaussian Fit

327

328 Figure 10 shows the beam profile for 1050 mA that was obtained from the pinhole detector
329 together with Gaussian fit. The distribution of the Gaussian-fitted beam profile and the profile measured
330 on the pinhole detector agree well, except in the top region of the profile, where the measured profile
331 becomes somewhat flattened. This disparity is suspected to be caused mainly by the deviation between
332 the model and the actual cathode surface, an interpretation that is confirmed by the comparison
333 between the design model and the cathode geometry (or profile) measurement in Figure 7.

333

334 Another suspected reason that causes the disparity in top region of Figure 10 could be the space
335 charge transverse velocity reduction effect (Sec. III.2). This is because both pinhole scan and YAG screen
336 measure current density, which is the product of charge density and velocity, and the velocity
337 distribution is not radially uniform due to this space-charge depression of the potential effect. After
338 following the same transverse velocity reduction effect calculation in Section III.2, the variations in beam
339 velocity with radius at YAG screen and pinhole position are about 0.16%, 1.09% and 1.55% for 134 mA,
800 mA and 1050 mA, respectively, with 5 keV initial beam energy.

340

341 This 1.55% velocity variation could be another reason for the disparity in the top region of the
342 profile in Figure 10, but this couldn't explain the region on either side of the profile in Figure 10.
343 Furthermore, there is no visible difference between 1.09% and 1.55% velocity variation effect on beam
344 profile for the pinhole scan profiles in Figure 9. More systemic and detailed studies about this effect
could be done in the future with large energy variation and different beam current.

345

346 From these measurements of the beam profile, we verified that the beam is still a Gaussian
347 distribution at the locations of the pinhole and YAG locations in Figure 1, after passing through a 3 T
348 superconducting magnetic field and the two bending magnetic field regions (gun side and collector side),
as predicted by the simulation.

349

2. Indirect measurement of the electron beam profile via the RHIC ion beam

350

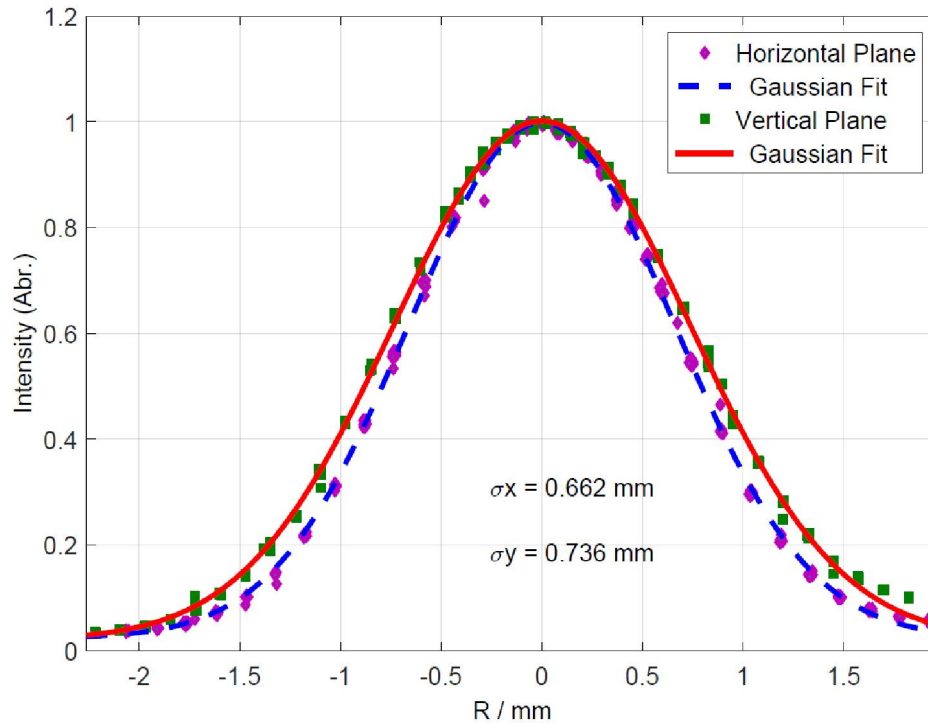
351 Although the above beam profiles demonstrated the Gaussian transverse beam profile for the
RHIC electron lens, they were not measured directly at the interaction region.

352

353 During the RHIC 2014 run, the electron-backscatter detector (eBSD) was commissioned and
354 became functional in beam-beam alignment [27]. The eBSD uses the backscattered electrons, coming
355 from the electron beam interaction with the relativistic ions or protons, as the signal for measuring,
optimizing, and maintaining the beam alignment.

356

357 The intensity profile of the eBSD signal can be obtained by scanning one beam with respect to
358 another. Figure 11 shows the scans of the vertical and horizontal separation of eBSD intensity profile via
steering the 5 keV electron beam with respect to the 100 GeV/nucleon gold beam.



359

360

361

Figure 11: eBSD signals from scans of the transverse separation between the electron and gold beams.

362

363

364

Figure 11 shows that the eBSD signals in both the vertical and horizontal planes agree very well with a Gaussian distribution. The intensity profile of the eBSD signal is the convolution product of the profiles of the electron beam and the ion beam.

365

366

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368

It was proved [34] that when a Gaussian beam convolutes with another Gaussian beam, their product is also Gaussian. Since the RHIC ion beam has a Gaussian distribution, and the product (the eBSD signal) of the electron beam and the ion beam has a Gaussian distribution, this is a further indication that the electron beam is also close to Gaussian.

369

370

VII. Discussion

371

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According to theory [35] and simulations of the propagation of single particles along the magnetic and electrostatic fields, the RHIC electron lens should avoid using transverse electrostatic fields for controlling the position of the transverse electron beam position so to ensure the undistorted shape of the electron beam [36]. Furthermore, the drift tubes in the electron lens could be used, but with care. If the electron beam is far away from the axis of the drift tube, the transverse component of the drift tube electrostatic field combined with the magnetic field can affect the beam shape.

377

378

For the RHIC electron lens, no drift tubes are used before the beam interaction region (Region C in Figure 1) to avoid a significant change in its shape via the transverse component of longitudinal drift

379 tube. But after the interaction region, there is a split drift tube (DT02A/B) [37] that has a transverse
380 electrostatic field. It can be used for clearing accumulated electrons in the magnetic bottle that forms
381 when the superconducting magnetic field is below 2.7 T. Furthermore, some drift tubes (DT03, DT04,
382 and DT05) are located in the exit arm. They could have some longitudinal electrostatic fields that can
383 control the beam velocity without affecting the shape of the interacting beams. The DT01 will be used
384 for measuring the electron beam current and pulse shape without applying any electrostatic field on it.

385 Although from the Parmela space charge simulations there is no apparent distortion for a 1 A,
386 1.5 mm rms sized electron beam propagated within a magnetic field, which is similar to the electron lens,
387 the space charge simulations were carried out with a straight magnetic field. The combination of a
388 bending magnetic field with the space charge is not included.

389 Furthermore, the effect of a space charge potential-induced reduction in longitudinal velocity,
390 which is very important for transverse beam profile, should also be included in simulating the space
391 charge profile of the low energy electron beam (several keV). Diocotron frequency and Larmor motion
392 effect on transverse beam profile could also be included. But neither the Parmela Code nor the routine
393 SCHEFF includes these effects during simulation [38]. To verify the results of the Parmela simulation as
394 well as including more space charge effect, simulations with other space charge codes, such as the PIC
395 code Warp, could be done in the future.

396 Although the beam profiles, found to be Gaussian [39], were only measured at 134 mA (with
397 YAG) and 1050 mA (with pinhole). A previous measurement of the beam profile at 664 mA, made with
398 the YAG crystal during the 2012 commission of the electron lens test bench, also showed a Gaussian
399 distribution as predicted in the simulation [40].

400 **VIII. Summary**

401

402 Our theoretical study shows that the relaxation distance to the thermal equilibrium for the RHIC
403 electron lens beam is thousands of meters. Thus, the change in the transverse beam density is
404 dominated by the effect of the space charge induced reduction in velocity. Due to this effect, one can
405 observe in calculating a small reduction in beam size for electron beams of 1 A current and 5 keV energy.
406 Nevertheless, the beam profile still fits well to Gaussian distribution. A higher energy of the electron
407 beam can reduce the effect of space charge induced velocity reduction, as shown in Figure 3.

408 We also demonstrated with Parmela simulations, experiments on a test bench, and the
409 experiments during 2013 and 2014 commissioning of the RHIC electron lens, that the electron beam can
410 be fitted well to Gaussian distribution for currents up to the design value of 1 A.

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412

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