

**LA-UR-15-24532**

Approved for public release; distribution is unlimited.

**Title:** Risk Informed Design and Analysis Criteria for Nuclear Structures

**Author(s):** Salmon, Michael W.

**Intended for:** Pacific Rim Forum, Earthquake Resilience of Nuclear Facilities,  
2015-06-08/2015-06-09 (Berkeley, California, United States)  
Web

**Issued:** 2015-06-17

---

**Disclaimer:**

Los Alamos National Laboratory, an affirmative action/equal opportunity employer, is operated by the Los Alamos National Security, LLC for the National Nuclear Security Administration of the U.S. Department of Energy under contract DE-AC52-06NA25396. By approving this article, the publisher recognizes that the U.S. Government retains nonexclusive, royalty-free license to publish or reproduce the published form of this contribution, or to allow others to do so, for U.S. Government purposes. Los Alamos National Laboratory requests that the publisher identify this article as work performed under the auspices of the U.S. Department of Energy. Los Alamos National Laboratory strongly supports academic freedom and a researcher's right to publish; as an institution, however, the Laboratory does not endorse the viewpoint of a publication or guarantee its technical correctness.



# **Risk Informed Design and Analysis Criteria for Nuclear Structures**

*Earthquake Resilience of Nuclear Facilities*

Michael W. Salmon  
Los Alamos National Laboratory

6/8/2015

UNCLASSIFIED



Operated by Los Alamos National Security, LLC for the U.S. Department of Energy's NNSA

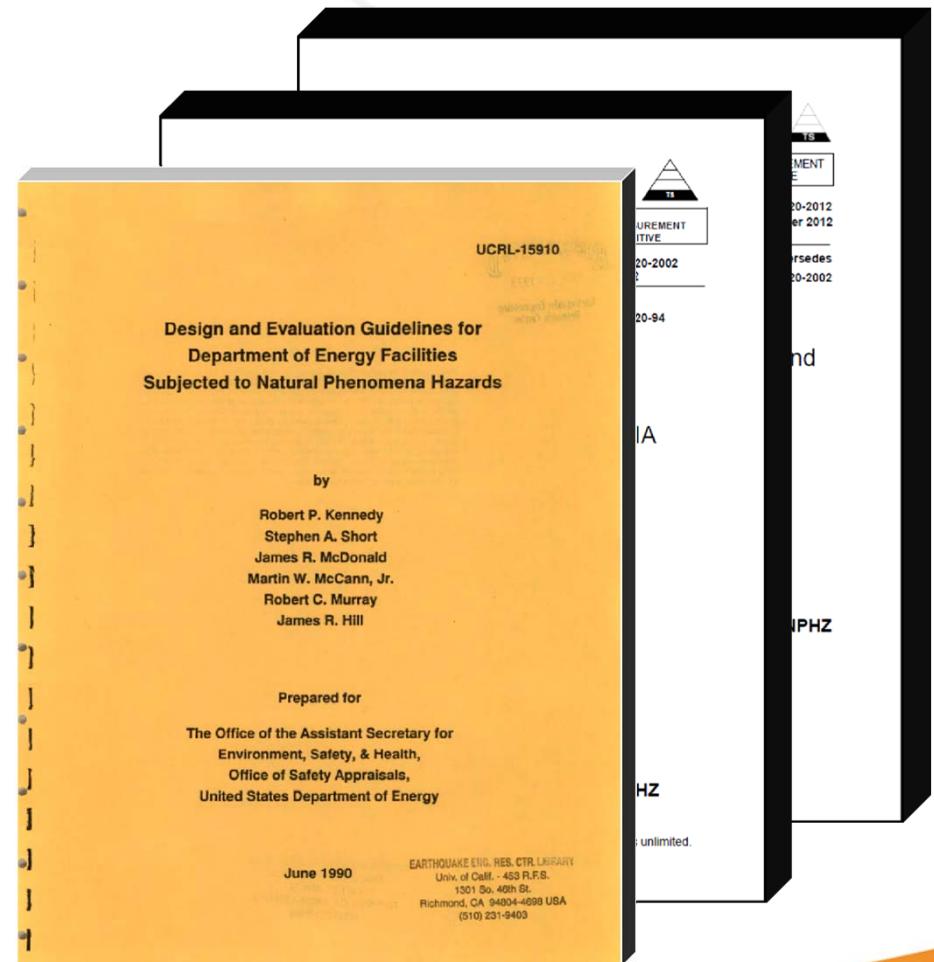
# Presentation Topics

- Performance Goal Based Design Philosophy of DOE STD-1020, ASCE-4, ASCE-43
- Use of a Graded Approach
- Target Limit States
- Uncertainties in Ground Motion
- Uncertainties in Component Capacities
- Use of Risk Models for Decision Making
- Summary

UNCLASSIFIED

# Performance Goal Based Design Philosophy of DOE-STD-1020

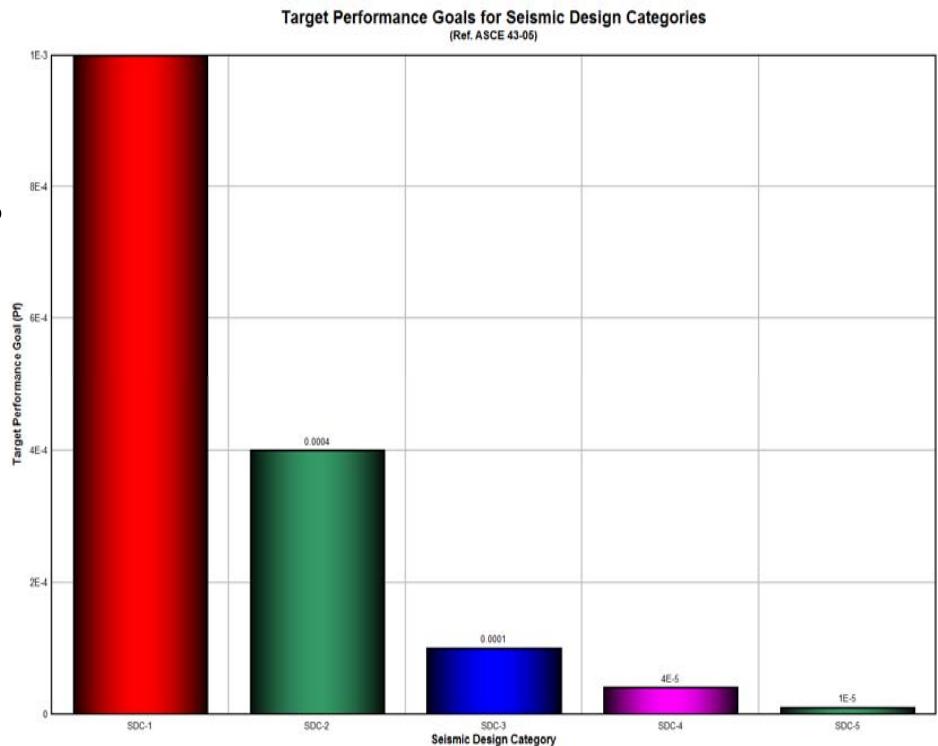
- Originated in UCRL-15910 (1990)
- Adapted for use by DOE in STD-1020-1994 (1994)
- Reaffirmed in DOE-STD-1020-2012 (2012)



UCRL-15910  
UNCLASSIFIED

# Use of a Graded Approach

- More stringent criteria should be used for hazardous facilities
- Less stringent criteria should be used for normal occupancy structures
- Target Performance Goals are Annual Frequencies of Unacceptable Performance
  - SDC 1 –  $P_f = 1 \times 10^{-3}$
  - SDC 2 –  $P_f = 4 \times 10^{-4}$
  - SDC 3 –  $P_f = 1 \times 10^{-4}$
  - SDC 4 –  $P_f = 4 \times 10^{-5}$
  - SDC 5 –  $P_f = 1 \times 10^{-5}$



$SDC - 1 P_f = 1 \times 10^{-3}$

$SDC - 5 P_f = 1 \times 10^{-5}$

UNCLASSIFIED

# Target Limit States

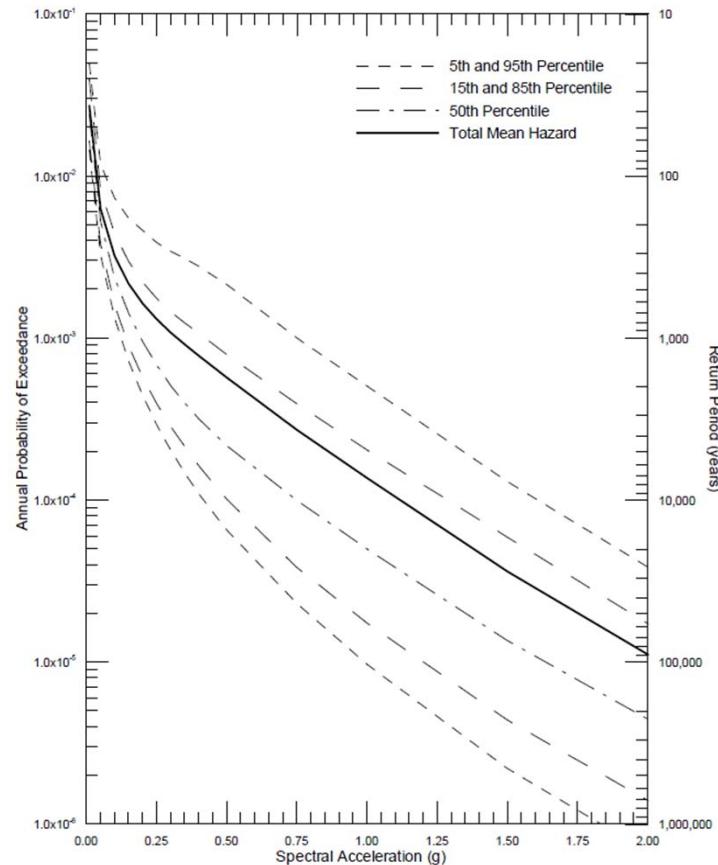
- Limit States Must be tied to Performance
- ASCE 43-05 first introduced limit states in 2005
- Meant to address common II/I issue

Limit State	Qualitative Description of Permissible Behavior
A	Large Permanent Distortion (Short of Collapse)
B	Moderat Permanent Distortion
C	Limited Permanent Distortion
D	Essentially Elastic

UNCLASSIFIED

# Uncertainties in Ground Motion

- There are large uncertainties in the ground motion
- ASCE-4 and ASCE-43 defined the “hazard” as the uniform hazard response spectral shape associated with a  $4 \times 10^{-4}$  annual frequency of exceedance
- A properly conducted PSHA incorporates all uncertainties (source, path, etc.) into estimate of mean UHRS



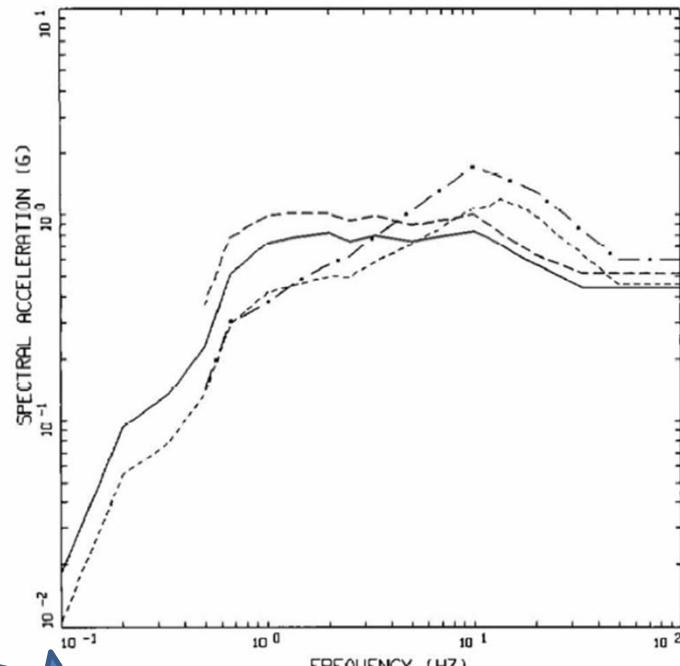
*Seismic Hazard Curves for 1.0 Horizontal Spectral Acceleration*

UNCLASSIFIED

# Ground Motion to be Used in ASCE 4

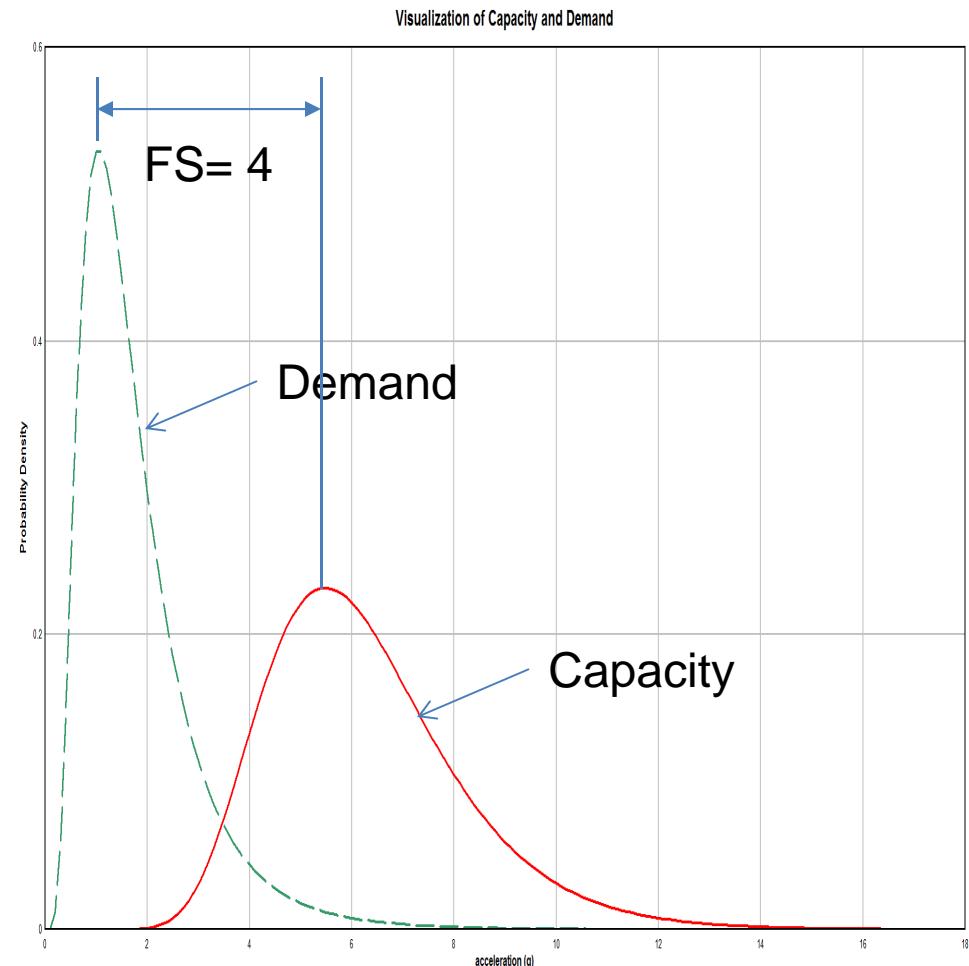
## Methodology for Design

- Use Mean UHRS
- UHRS are scaled by a design factor to get DRS
- $DRS = DF \times DRS$
- Design Factors were derived
  - Slope of most hazard curves
  - Risk reduction required



# Uncertainties in Capacities

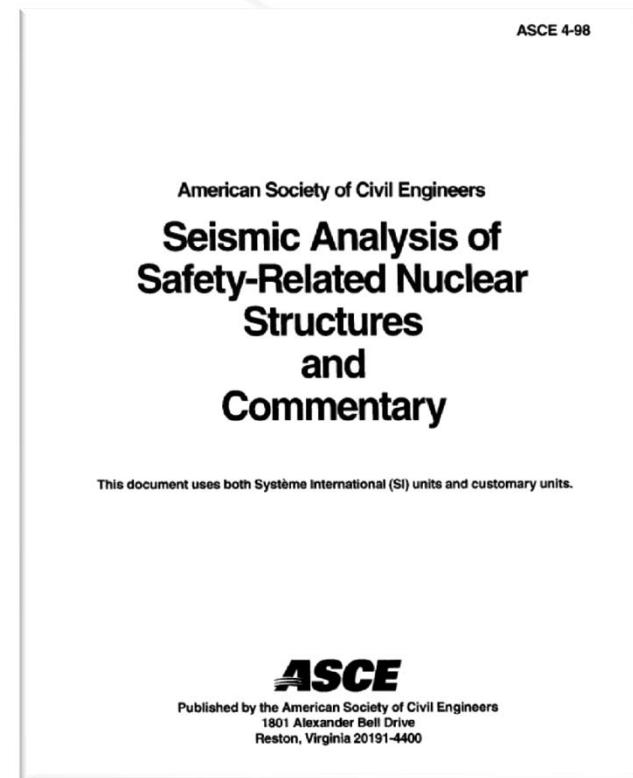
- There are uncertainties in component capacities
  - Strength of material
  - Damping
  - Mode combination
  - Ductility (inelastic energy absorption)
  - Code Strength equations
- ASCE 4 assumes that code capacities produce
  - 98% NEP for ductile failures
  - 99% for brittle failures



UNCLASSIFIED

# Conservatisms in ASCE 4

- 1% HCLPF is achieved
  - Response is at about 80% NEP
  - Capacities defined at 2% NEP
  - Inelastic energy absorption at 5% NEP (ductile failure modes)
- ASCE 4
  - Aims at 80% NEP response
  - Only slightly conservative damping, SSI effects



UNCLASSIFIED

# Use of Risk Models for Decision Making

- The target performance goals are only goals
- Each decision maker (NRC, DOE, etc.) must decide what to do
  - $P_f = 1.2 \times 10^{-4}$  vs  $1.0 \times 10^{-4}$
  - Some cost and importance of the facility must be considered
  - What about a cost benefit metric

$$\text{Salmon Number} = \frac{\text{Risk Reduction Achieved}}{\text{Cost}}$$

- ASCE 4/43-05 are aimed at component failures. What is really needed is a risk model with a defined damage state (loss of confinement, CDF, etc)

UNCLASSIFIED



Operated by Los Alamos National Security, LLC for the U.S. Department of Energy's NNSA



# Summary

- Target performance can be achieved by defining design basis ground motion from results of a probabilistic seismic hazards assessment, and introducing known levels of conservatism in the design above the DBE.
- ASCE 4, 43, DOE-STD-1020 defined the DBE at  $4 \times 10^{-4}$  and introduce only slight levels of conservatism in response.
- ASCE 4, 43, DOE-STD-1020 assume code capacities shoot for about 98% NEP
- There is a need to have a uniform target (98% NEP) for code developers (ACI, AISC, etc.) aim for.
- In considering strengthening options, one must also consider cost/risk reduction achieved.

UNCLASSIFIED

# Thank you!

- Feel Free to contact me

Michael Salmon  
Los Alamos National Laboratory  
P.O. Box 1663, MS F696  
Los Alamos. NM 87545  
[salmon@lanl.gov](mailto:salmon@lanl.gov)  
1-505-665-7244

UNCLASSIFIED



Operated by Los Alamos National Security, LLC for the U.S. Department of Energy's NNSA

Slide 12

