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Risk Informed Design and Analysis Criteria for Nuclear Structures

Earthquake Resilience of Nuclear Facilities

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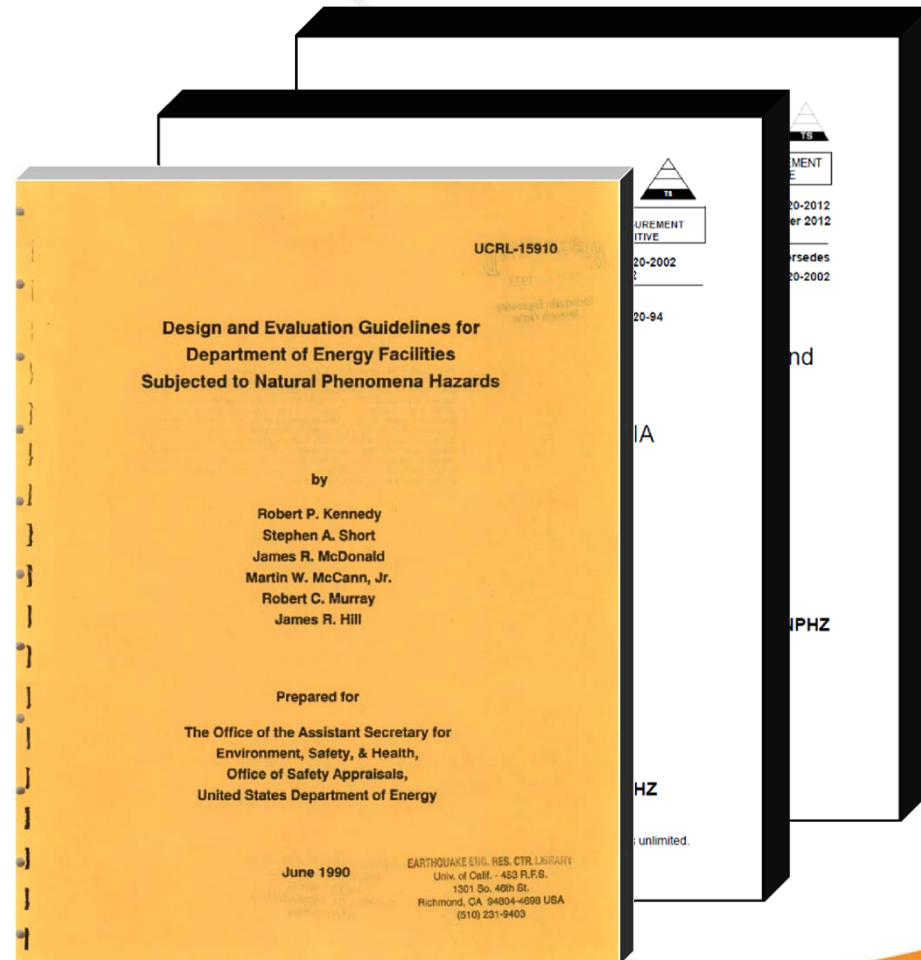
Presentation Topics

- Performance Goal Based Design Philosophy of DOE STD-1020, ASCE-4, ASCE-43
- Use of a Graded Approach
- Target Limit States
- Uncertainties in Ground Motion
- Uncertainties in Component Capacities
- Use of Risk Models for Decision Making
- Summary

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Performance Goal Based Design Philosophy of DOE-STD-1020

- Originated in UCRL-15910 (1990)
- Adapted for use by DOE in STD-1020-1994 (1994)
- Reaffirmed in DOE-STD-1020-2012 (2012)

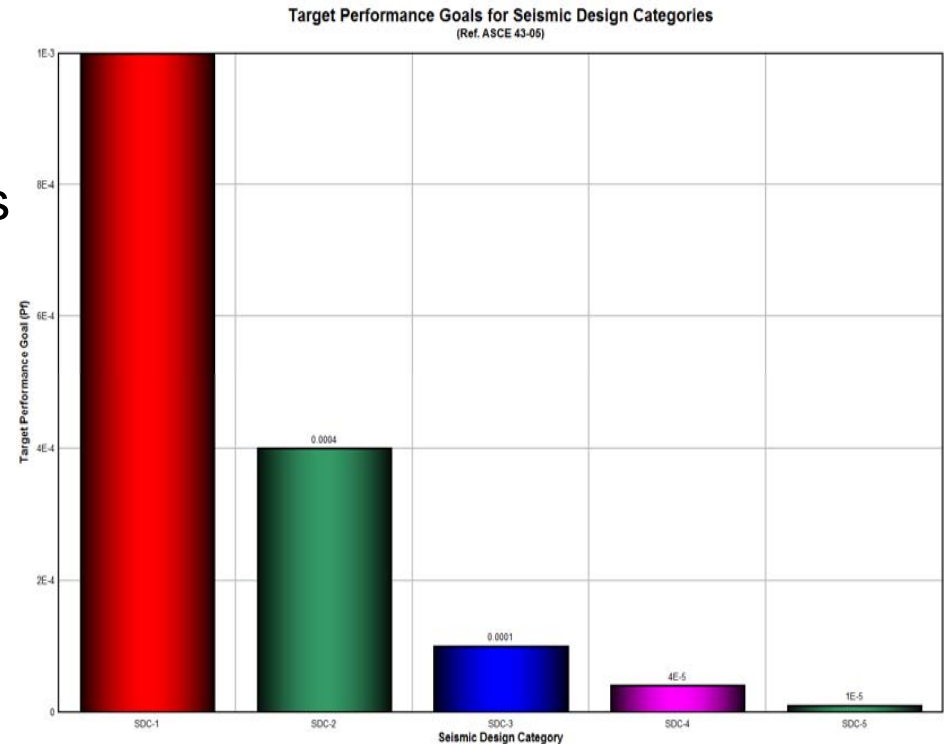


UCRL-15910

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Use of a Graded Approach

- More stringent criteria should be used for hazardous facilities
- Less stringent criteria should be used for normal occupancy structures
- Target Performance Goals are Annual Frequencies of Unacceptable Performance
 - SDC 1 – $P_f = 1 \times 10^{-3}$
 - SDC 2 – $P_f = 4 \times 10^{-4}$
 - SDC 3 – $P_f = 1 \times 10^{-4}$
 - SDC 4 – $P_f = 4 \times 10^{-5}$
 - SDC 5 – $P_f = 1 \times 10^{-5}$



$$SDC - 1 \ P_f = 1 \times 10^{-3}$$

$$SDC - 5 \ P_f = 1 \times 10^{-5}$$

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Target Limit States

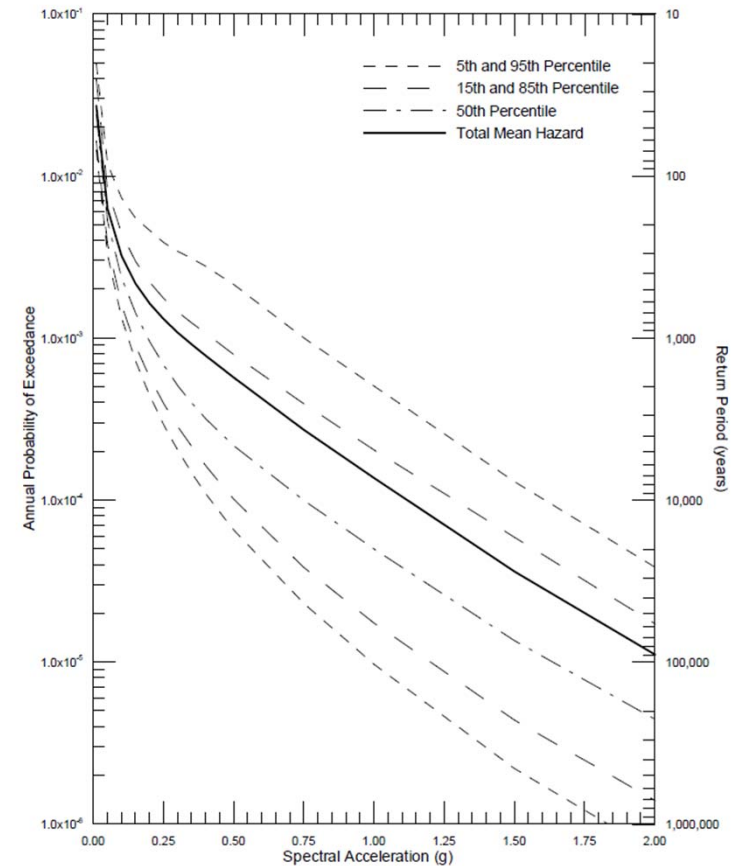
- Limit States Must be tied to Performance
- ASCE 43-05 first introduced limit states in 2005
- Meant to address common II/I issue

Limit State	Qualitative Description of Permissible Behavior
A	Large Permanent Distortion (Short of Collapse)
B	Moderat Permanent Distortion
C	Limited Permanent Distortion
D	Essentially Elastic

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Uncertainties in Ground Motion

- There are large uncertainties in the ground motion
- ASCE-4 and ASCE-43 defined the “hazard” as the uniform hazard response spectral shape associated with a 4×10^{-4} annual frequency of exceedance
- A properly conducted PSHA incorporates all uncertainties (source, path, etc.) into estimate of mean UHRS

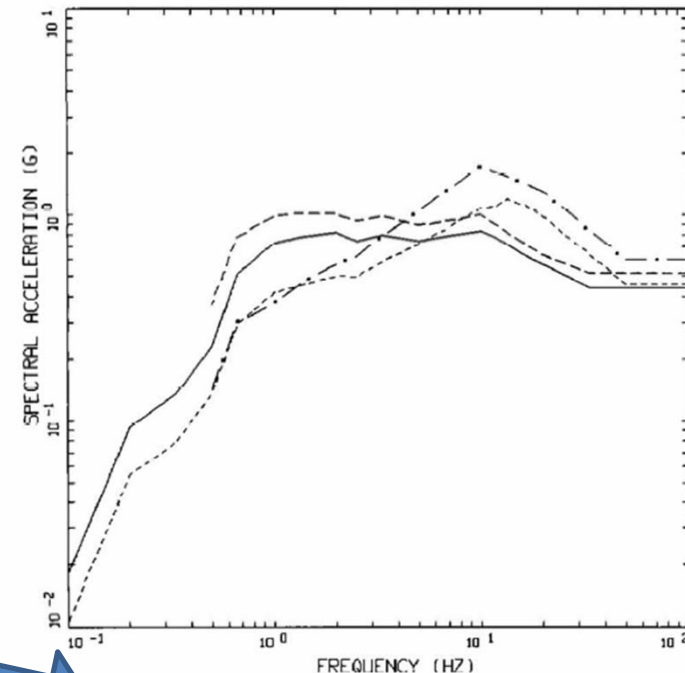


*Seismic Hazard Curves for 1.0
Horizontal Spectral Acceleration*

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Ground Motion to be Used in ASCE 4 Methodology *for Design*

- Use Mean UHRS
- UHRS are scaled by a design factor to get DRS
- $DRS = DF \times UHRS$
- Design Factors were derived
 - Slope of most hazard curves
 - Risk reduction required

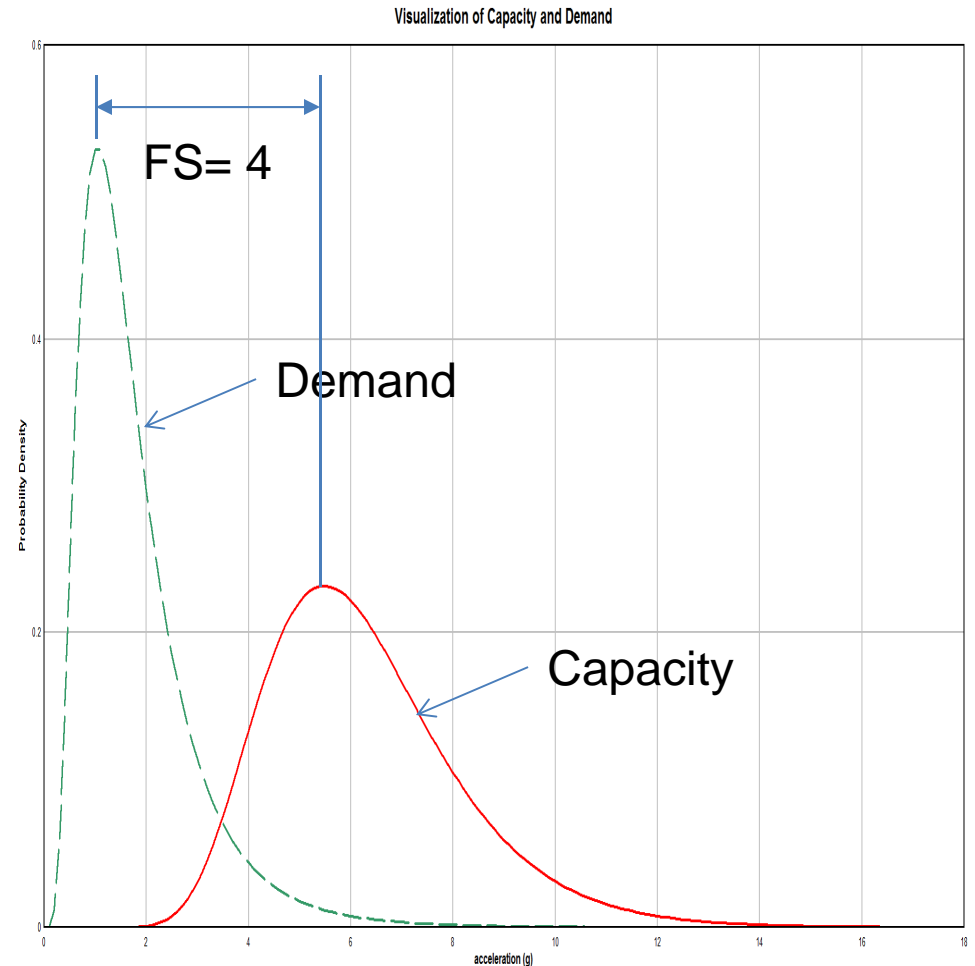


CMRR 2,500 YEAR
MEAN UHRS: HORIZONTAL, VERTICAL

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Uncertainties in Capacities

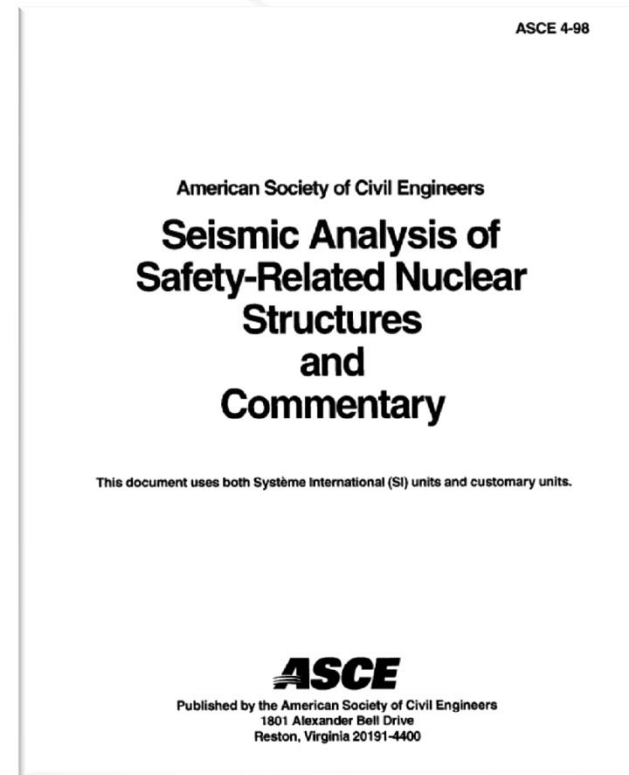
- There are uncertainties in component capacities
 - Strength of material
 - Damping
 - Mode combination
 - Ductility (inelastic energy absorption)
 - Code Strength equations
- ASCE 4 assumes that code capacities produce
 - 98% NEP for ductile failures
 - 99% for brittle failures



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Conservatisms in ASCE 4

- 1% HCLPF is achieved
 - Response is at about 80% NEP
 - Capacities defined at 2% NEP
 - Inelastic energy absorption at 5% NEP (ductile failure modes)
- ASCE 4
 - Aims at 80% NEP response
 - Only slightly conservative damping, SSI effects



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Use of Risk Models for Decision Making

- The target performance goals are only goals
- Each decision maker (NRC, DOE, etc.) must decide what to do
 - $P_f = 1.2 \times 10^{-4}$ vs 1.0×10^{-4}
 - Some cost and importance of the facility must be considered
 - What about a cost benefit metric

$$\text{Salmon Number} = \frac{\text{Risk Reduction Achieved}}{\text{Cost}}$$

- ASCE 4/43-05 are aimed at component failures. What is really needed is a risk model with a defined damage state (loss of confinement, CDF, etc)

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Summary

- Target performance can be achieved by defining design basis ground motion from results of a probabilistic seismic hazards assessment, and introducing known levels of conservatism in the design above the DBE.
- ASCE 4, 43, DOE-STD-1020 defined the DBE at 4×10^{-4} and introduce only slight levels of conservatism in response.
- ASCE 4, 43, DOE-STD-1020 assume code capacities shoot for about 98% NEP
- There is a need to have a uniform target (98% NEP) for code developers (ACI, AISC, etc.) aim for.
- In considering strengthening options, one must also consider cost/risk reduction achieved.

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Thank you!

- Feel Free to contact me

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