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# Beyond Orbital-Motion-Limited theory effects for dust transport in tokamaks

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# Outline

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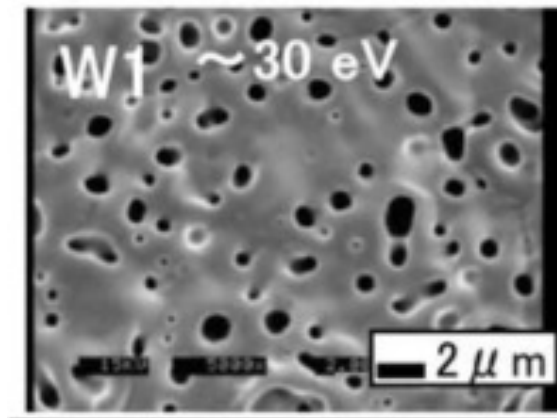
- Motivation: magnetic fusion energy
- Conventional model of dust transport in tokamaks: OML
- Thermionic emission, positively charged dust regime
  - Problems with OML
  - $OML^+$  approximation
- Conclusions

# Plasma-material interaction in a fusion reactor

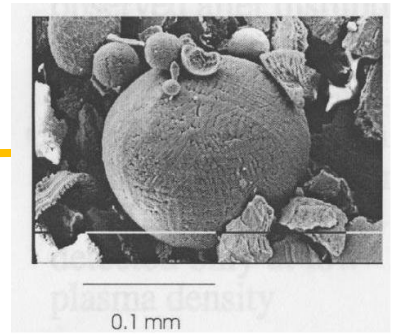
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- Burning plasmas: intense heat/particle/neutron fluxes to the wall
- Two competing processes:
  - Erosion: TEXTOR limiter tip estimated to 1 m/year gross erosion
  - Redeposition: wall material released as neutrals, ionized and redeposited
- Steady-state fusion reactors rely on a nearly perfect balance between erosion and redeposition
- Greenwald report 07: PFCs and materials TIER 1 (most urgent) R&D challenge in fusion research!

Holes on Tungsten



# Existing tokamaks produce dust



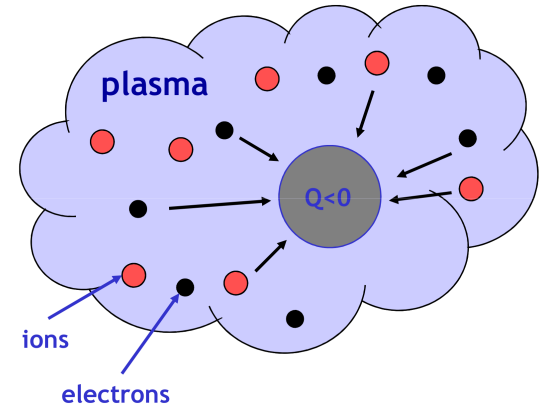
Winter, POP 00

- Presence of dust (UFOs) known for a long time
- Produced by plasma-material interaction, maintenance
- **Not an issue in short-pulse tokamaks: lower heat load**  $q_{ref} = 1 \text{ MW/m}^2$
- **A problem in ITER-era: higher heat load**  $q_{ref} = 10 \text{ MW/m}^2$ 
  - hundreds kgs of dust estimated for ITER
  - dust safety limits
  - Can dust survive in ITER?
- Safety issues & operational issues:
  - Dust penetration. Plasma pollution, disruptions
  - **PMI. Survivability, non-local redeposition**

# Conventional model of dust transport: OML

- Dust charging: 
$$\frac{dQ_d}{dt} = I_i + I_e + I_{se} + I_{th}$$
- Dust motion: 
$$\frac{d\mathbf{x}_d}{dt} = \mathbf{V}_d$$

$$m_d \frac{d\mathbf{V}_d}{dt} = Q_d (\mathbf{E} + \mathbf{V}_d \times \mathbf{B}) + \mathbf{F}_{i,drag} + \mathbf{F}_{n,drag} + \mathbf{F}_{rocket}$$
- Dust grain heating: 
$$\frac{d}{dt} (C_d m_d T_d) = q_e + q_i + q_n - q_{sec} - q_{th} - q_{rad}$$
- Dust mass loss: 
$$\frac{dm_d}{dt} = \frac{q_{net}}{H_{evap}} \xrightarrow{\text{spherical dust}} 4\pi r_d^2 \rho_d \frac{dr_d}{dt} = \frac{q_{net}}{H_{evap}}$$
- Coupled with the background plasma model
- Currents, ion drag and heat fluxes modeled by the OML theory
- Standard model used by many groups: DUSTT, DTOKS, MIGRAINE, ...



# Checking OML applicability

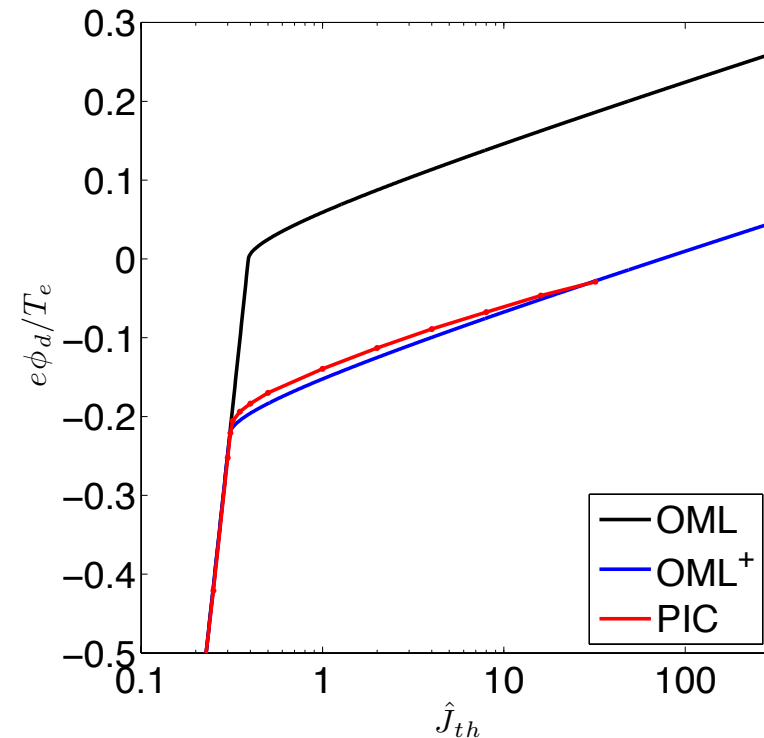
- PIC simulations: spherical dust grain in a plasma + **thermionic emission**.  $r_d = \lambda_{De}$ ,  $T_d/T_e = 0.03$

Richardson-Dushman current:

$$\hat{J}_{th} = \frac{J_{th}}{en_0 v_{th,e}} = \frac{4\pi m_e T_d^2}{n_0 v_{th,e} h^3} \exp\left(-\frac{W}{T_d}\right)$$

Thermionic current [Sodha&Guha, 71]:

$$I_{th} = (4\pi a k T_d)^2 \times \frac{m_e e}{h^3} e^{-W/kT_d} \begin{cases} 1 & \text{if } \phi_d < 0 \\ (1 + \frac{e\phi_d}{kT_d}) e^{-e\phi_d/kT_d} & \text{if } \phi_d > 0 \end{cases}$$

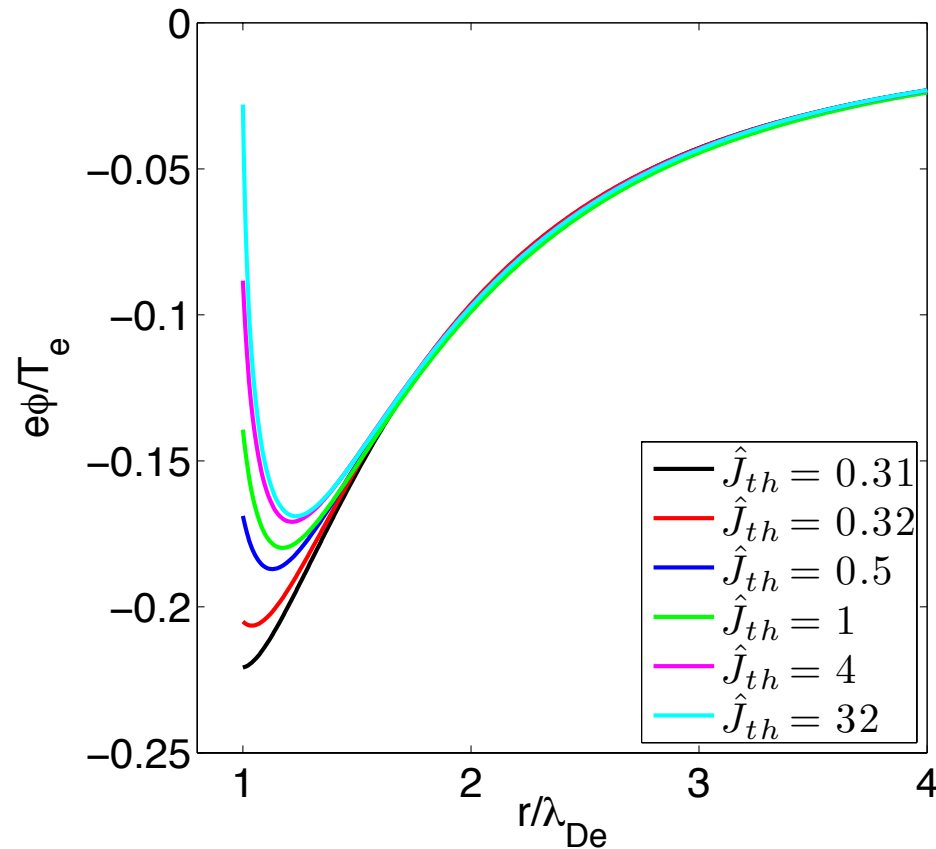


Theory and simulations disagree in the positively charged regime. Good agreement for negatively charged



# The disagreement is due to a non-monotonic potential near the dust grain

- In the positively charged regime, a **trapped** particle thermionic electron population exists



# Understanding the disagreement: orbital motion

- Steady state of a collisionless plasma in a central force field

$$v_r^2 + v_\theta^2 - \frac{2e}{m_e} \phi_d = v_r'^2 + v_\theta'^2 - \frac{2e}{m_e} \phi(r), \quad \text{Cons. energy}$$

$$m_e r_d v_\theta = m_e r v_\theta', \quad \text{Cons. angular momentum}$$

+ Liouville's theorem

- Equivalent to **radial motion in effective potential**

$$v_r^2 - U(r, v_\theta) = v_r'^2$$

$$U(r, v_\theta) \equiv \underbrace{\frac{2e}{m_e} [\phi_d - \phi(r)]}_{\text{Electrostatic force}} - \underbrace{\left[ 1 - \left( \frac{r_d}{r} \right)^2 \right] v_\theta^2}_{\text{Centrifugal force}}$$

# The potential determines the orbit around the grain

- Maxima of the effective potential depend on  $v_\theta$

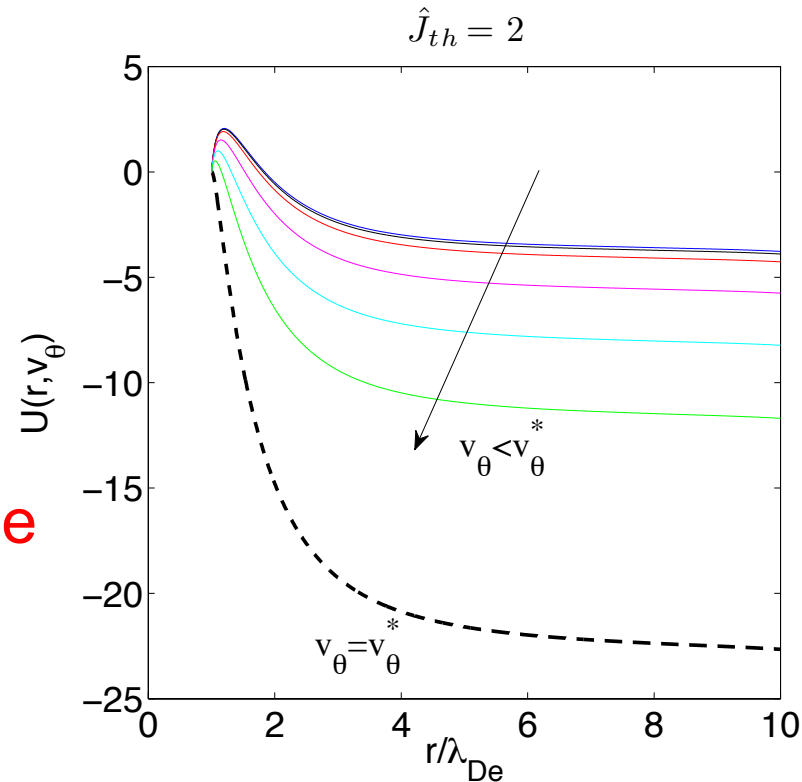
$$-\frac{e}{m_e} r_m^3 \phi'(r_m) = r_d^2 v_\theta^2$$

Potential well: only 1 solution for  $r_d \leq r_m \leq r_{min}$

- Critical tangential velocity

$$v_\theta^* = \sqrt{-\frac{e}{m_e} r_d \phi'_d}$$

- $v_\theta > v_\theta^*$  monotonic U-→ passing
- $v_\theta < v_\theta^*$  non-monotonic U-→ can be trapped!



# OML misses the emitted electrons trapped/ passing boundary ...

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- Full OM trapped/passing boundary of the emitted electrons

$$v_r^2 = U(r_m(v_\theta), v_\theta)$$

- Approximation: slow emitted electrons, see the potential barrier at the minimum of the electrostatic potential  $r_{\min}$

$$v_r^2 + \left[ 1 - \left( \frac{r_d}{r_{\min}} \right)^2 \right] v_\theta^2 = \frac{2e}{m_e} (\phi_d - \phi_{\min})$$

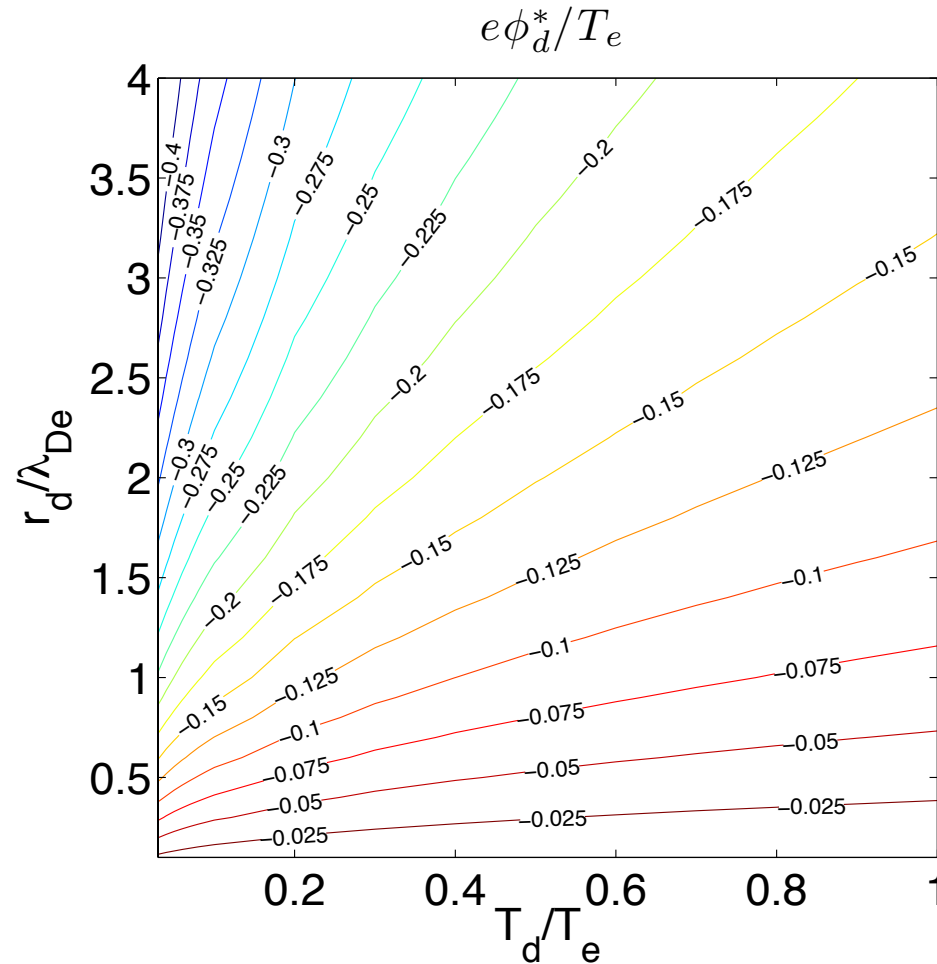
- OML trapped/passing boundary [Sodha&Guha, 1971]

$$v_r^2 + v_\theta^2 = \frac{2e}{m_e} \phi_d$$

**... when the potential well is deep and localized!**

# Potential well effects are important for large grains and small dust temperatures

- Solve **OML Poisson's equation** to calculate the transition from negatively to positively charged dust grain:  $J_{th} \rightarrow Q_d=0$



# Can we develop an OML-like theory when potential well effects are important? **OML<sup>+</sup>**

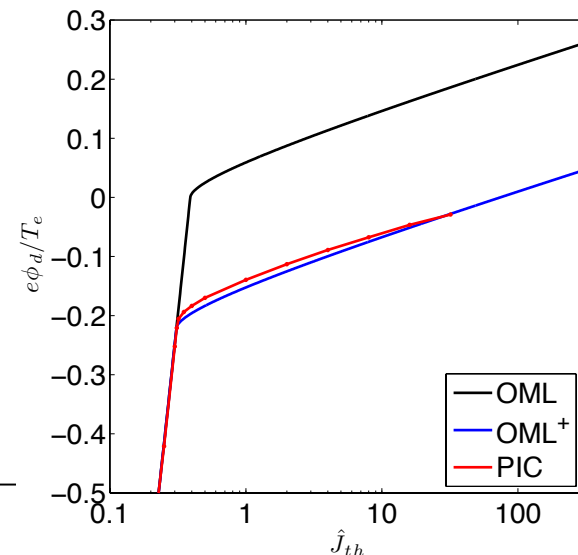
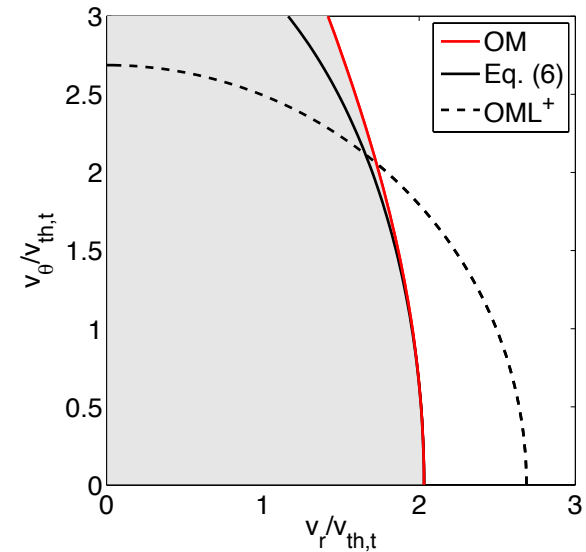
- Challenging because OML does not solve Poisson's equation
- Approximate emitted e<sup>-</sup> T/P boundary

$$v_r^2 + v_\theta^2 = \frac{2e}{m_e} (\phi_d - \phi_d^*)$$

- Emitted current

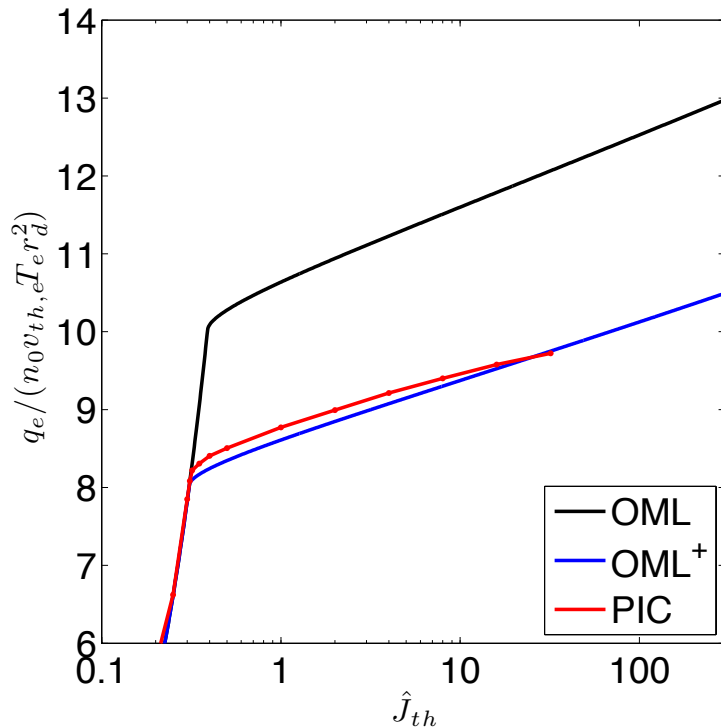
$$I_{th} = 4\pi r_d^2 J_{th} \exp \left[ -\frac{e(\phi_d - \phi_d^*)}{T_d} \right] \left[ 1 + \frac{e(\phi_d - \phi_d^*)}{T_d} \right]$$

- Recovers OML when potential well effects are unimportant
- Good agreement with PIC



# OML overestimates the power collected by the dust grain from background electrons

- Important for dust survivability
- Positively charged dust grains are heated mostly by background electrons  
[Smirnov et al., PPCF 07]



$$\hat{q}_e = q_e / (n_0 v_{th,e} T_d r_d^2)$$

TABLE I: Parametric study increasing the dust radius for  $\hat{J}_{th} = 2$  and  $T_d/T_e = 0.03$  ( $R/\lambda_{De} = 20$  for  $r_d/\lambda_{De} > 1$ ).

$r_d/\lambda_{De}$	$e\phi_d^{PIC}/T_e$	$\hat{q}_e^{PIC}$	$\hat{J}_{th}^*$	$e\phi_d^*/T_e$	$e\phi_d^{OML+}/T_e$	$\hat{q}_e^{OML+}$
1	-0.113	9.0	0.31	-0.22	-0.125	8.8
2	-0.208	8.1	0.27	-0.33	-0.228	8.0
3	-0.268	7.7	0.26	-0.39	-0.292	7.5
4	-0.309	7.4	0.24	-0.44	-0.336	7.2

$$q_e^{OML} \sim 11$$

# Conclusions

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- Dust transport in tokamaks is very important for ITER
  - Can we really accumulate many kgs of dust? Can dust survive?
- Conventional dust transport model is based on OML
- OML can break in the limit where the dust grain becomes positively charged due to electron emission processes
  - Overestimates the dust collected power
- An OML<sup>+</sup> approximation of the emitted electrons trapped/passing boundary is shown to be in good agreement with PIC simulations