



Algorithm Improvement Program

Nuclide Identification Algorithm Scoring Criteria And Scoring Application

Domestic Nuclear Detection Office

February 2015



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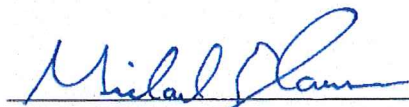
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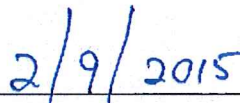
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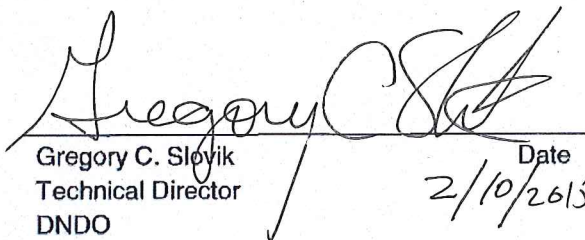
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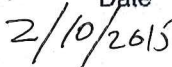
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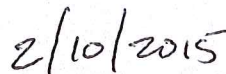
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Executive Summary

The goal of the Domestic Nuclear Detection Office (DNDO) Algorithm Improvement Program (AIP) is to facilitate gamma-radiation detector nuclide identification algorithm development, improvement, and validation. Accordingly, scoring criteria have been developed to objectively assess the performance of nuclide identification algorithms. In addition, a Microsoft Excel spreadsheet application for automated nuclide identification scoring has been developed.

This report provides an overview of the equations, nuclide weighting factors, nuclide equivalencies, and configuration weighting factors used by the application for scoring nuclide identification algorithm performance. Furthermore, this report presents a general overview of the nuclide identification algorithm scoring application including illustrative examples.

Contents

1	Introduction	9
2	Scoring Application and Definitions	9
2.1	Scoring Application Equations.....	9
2.2	Scoring Application Nuclide Weighting Factors	10
2.3	Scoring Application Examples.....	12
2.4	Scoring Application Configuration Weighting Factors.....	15
2.5	Scoring Application Nuclide Reporting Conventions and Nuclide Equivalencies	17
3	Nuclide Identification Confidence Indices	19
3.1	Scoring Application Bar Chart and Histogram Generation.....	21
3.2	Scoring Application Nonparametric Statistical Comparisons	23
	Summary	25
	References (or Related Documents)	26
	Acronyms and Abbreviations	27

Figures

Figure 1.	F-Score, Precision, and Recall Barchart Example.....	22
Figure 2.	F-Score Histogram Example	22

Tables

Table 1.	Harmonic Mean Versus Arithmetic Mean Example.....	10
Table 2.	Default Weighting Factors (WF) for High, Medium, and Low Importance Nuclides.....	11
Table 3.	Default Weighting Factors for Reported Uranium and Plutonium Type Determinations and Trace Nuclides	11
Table 4.	Default Weighting Factors for Nuclides That Are Not Applicable	12
Table 5.	Nuclide Weighting Factors	12
Table 6.	Nuclides Present and Reported by the Identification Algorithm	12
Table 7.	Calculated Precision, Recall, and F-scores	13
Table 8.	Nuclide Weighting Factors	13
Table 9.	Nuclides Present and Reported by the Identification Algorithm	13
Table 10.	Calculated Precision, Recall, and F-scores When a Trace Nuclide is Present.....	14
Table 11.	Nuclide Weighting Factors	14
Table 12.	Nuclides Present and Reported by the Identification Algorithm	14
Table 13.	Calculated Precision, Recall, and F-scores With Plutonium Type Reported.....	15
Table 14.	Default Weighting Factors for High, Medium, and Low Importance Configurations.....	16
Table 15.	Default Weighting Factors for High, Medium, and Low Importance Configurations.....	16
Table 16.	ANSI N42.42-2011 Name Format for Other Radiation Sources	17
Table 17.	Example Nuclide Equivalences Currently Assigned by the Scoring Application	18
Table 18.	Example Assigned Nuclide Equivalencies For Reported “Nuclides”.....	19
Table 19.	Default Weighting Factors for High, Medium, and Low Nuclide ID Confidence Indices	20

Table 20. Nuclides Present and Reported by the Identification Algorithms.....	20
Table 21. Nuclide Weighting Factors	20
Table 22. Calculated Precision, Recall, and F-scores	21
Table 23. Sign Test Example Data.....	23

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1 Introduction

The goal of the Domestic Nuclear Detection Office (DNDO) Algorithm Improvement Program (AIP) is to facilitate gamma-radiation detector nuclide identification algorithm development, improvement, and validation. Accordingly, scoring criteria have been developed to objectively assess the performance of nuclide identification algorithms. In addition, a Microsoft Excel spreadsheet application for automated nuclide identification scoring has been developed.

This report provides an overview of the equations, nuclide weighting factors, nuclide equivalencies, and configuration weighting factors used by the application for scoring nuclide identification algorithm performance. Furthermore, this report presents a general overview of the nuclide identification algorithm scoring application including illustrative examples.

2 Scoring Application and Definitions

To assist with understanding the scoring application equations presented in this report, the following definitions are provided:

- True Positive (tp): Nuclide reported by the algorithm that is present.
- False Positive (fp): Nuclide reported by the algorithm that is not present.
- False Negative (fn): Nuclide not reported by the algorithm that is present.

2.1 Scoring Application Equations

The fundamental equation used to evaluate nuclide identification algorithm performance is based on F-scores. F-scores are a statistical method for determining accuracy by utilizing precision (p) and recall (r). For more detailed information on F-scores, please see the reference “The truth of the F-measure” [1].

In general terms, precision is the fraction of nuclides reported by an algorithm that should have been reported. For example, if a nuclide identification algorithm has a calculated precision of 0.9, then 90% of the nuclides reported by the algorithm were correct. Eq. (1) illustrates the computation of precision.

$$p = \frac{tp}{tp+fp} \quad (1)$$

Similarly, recall is related to the fraction of nuclides not reported by an algorithm that should have been reported. For example, if a nuclide identification algorithm has a calculated recall of 0.8, then 0.2 (1.0 minus 0.8) or 20% of the nuclides were not reported by the algorithm that should have been reported. Eq. (2) illustrates the computation of recall.

$$r = \frac{tp}{tp+fn} \quad (2)$$

Once precision and recall are determined, the F-score (F) is determined by calculating the harmonic mean of precision and recall, Eq. (3).

$$F = 2 * \frac{p*r}{p+r} \quad (3)$$

For evaluating nuclide identification algorithm performance, the harmonic mean is used since it provides a more accurate representation of the average than the arithmetic mean [1]. This is due to the harmonic mean being more appropriate when averaging rates (both precision and recall can be interpreted as rates). To demonstrate the suitability of using the harmonic mean for evaluating algorithm performance, the following example is provided.

Table 1. Harmonic Mean Versus Arithmetic Mean Example

	Algorithm Results
Precision	0.05
Recall	1.00
F-score (Arithmetic mean)	0.53
F-score (Harmonic mean)	0.10

In the example, the algorithm liberally reports nuclides which results in very low precision and very high recall. Intuitively, the performance of the algorithm should be very low since nearly all of the nuclides reported are incorrect making the algorithm practically useless. However, the F-score arithmetic mean is an unrealistic value of 0.53 while the F-score harmonic mean is an appropriate value of 0.10. For more detailed information on the harmonic mean, please see the reference “The truth of the F-measure” [1].

2.2 Scoring Application Nuclide Weighting Factors

To utilize a scoring scale of zero to 100, the equations for precision and recall were multiplied by 100. In addition, the traditional F-score formula was augmented to allow the use of nuclide weighting factors (WF) based on nuclide importance. Although default scoring application nuclide weighting factors have been assigned (see Table 2), the scoring application has been programmed to allow default nuclide weighting factors to be changed easily to meet the goals and objectives of a given test campaign.

Table 2. Default Weighting Factors (WF) for High, Medium, and Low Importance Nuclides

Nuclide Category	Example	tp WF	fp WF	fn WF
High Importance	U-235 in HEU	4	2	4
Medium Importance	Shielded Ir-192	2	1	2
Low Importance	K-40 in Fertilizer	1	1	1

As shown in Table 2, false negatives (failures to correctly identify nuclides present) are deemed more serious than false positives (reporting nuclides that are not present) for medium and high importance nuclides. Accordingly, the assigned default false positive weighting factor is lower than the assigned default true positive and false negative weighting factors.

In addition to the nuclide weighting factors presented in Table 2, special categories were assigned for algorithms that provide uranium and plutonium type determinations and algorithms that correctly identify difficult to detect nuclides present in trace quantities. For example, if plutonium is present, a nuclide identification algorithm that correctly identifies the plutonium type (WGPu or RGPu) is preferable to one that does not. Similarly, a nuclide identification algorithm that identifies the presence of trace nuclides is preferable to one that does not. Consequently, the default “rewards” and “penalties” shown in Table 3 have been assigned for detection of trace nuclides and for algorithms that report uranium and plutonium material types.

Table 3. Default Weighting Factors for Reported Uranium and Plutonium Type Determinations and Trace Nuclides

Nuclide Importance	Example	tp WF	fp WF	fn WF
(+)	WGPu or RGPu correctly identified	0.5	0	0
(-)	WGPu or RGPu incorrectly identified	0	0.5	0
(+)	Ir-194m ² with Ir-192	0.5	0	0

Note: Ir-194m² represents the second metastable state of Ir-194.

To further define how the “reward” and “penalty” system is used for detection of trace nuclides and for algorithms that report uranium and plutonium material types, applicable examples are provided in the following section, 2.3 Scoring Application Examples.

An additional category for “nuclides” that are “not applicable” was also assigned. As shown in Table 4, this category assigns a value of zero to each of the weighting factors which effectively removes the reported “nuclide” from scoring. This is currently assigned to “Annihilation” when nuclides have readily identifiable gamma emissions in addition to annihilation radiation (e.g., Na-22, Ge-68/Ga-68, and Sr-82/Rb-82). For example, if a nuclide identification algorithm reports “Annihilation”, “Eu-154”, and “Na-22” when Na-22 is present, the scoring application will remove “Annihilation” and score the algorithm considering only “Eu-154” and “Na-22” as reported nuclides.

Table 4. Default Weighting Factors for Nuclides That Are Not Applicable

Nuclide Importance	Example	tp WF	fp WF	fn WF
Not Applicable	Annihilation	0	0	0

2.3 Scoring Application Examples

To assist in understanding the formulas and principles used by the scoring application, four general examples are presented for illustrative purposes. Information relevant to the four examples is supplied in Tables 5 and 6.

Table 5. Nuclide Weighting Factors

Nuclide	Importance	tp WF	fp WF	fn WF
Cs-137	Low	1	1	1
Ga-67	Low	1	1	1
Np-237	High	4	2	4

Table 6. Nuclides Present and Reported by the Identification Algorithm

Example	Nuclides Present	Nuclide(s) Reported
1	Ga-67, Cs-137	Np-237, Ga-67
2	Ga-67, Cs-137	Cs-137
3	Np-237, Cs-137	Np-237, Ga-67
4	Np-237, Cs-137	Cs-137

For Example 1 shown in Table 6, the nuclide identification algorithm correctly reported Ga-67, incorrectly reported Np-237, and did not report Cs-137. Using Table 5, the appropriate weighting factors for Example 1 are: tp WF = 1 for Ga-67; fp WF = 2 for Np-237; and fn WF = 1 for Cs-137. Accordingly, the precision, recall, and F-score are calculated on a scoring scale of zero to 100 as follows:

$$100 * p = 100 * \left(\frac{tp}{tp+fp} \right) = 100 * \left(\frac{1}{1+2} \right) = 33.3 \quad (4)$$

$$100 * r = 100 * \left(\frac{tp}{tp+fn} \right) = 100 * \left(\frac{1}{1+1} \right) = 50.0 \quad (5)$$

$$F = 2 * \left(\frac{p*r}{p+r} \right) = 2 * \left(\frac{33.3*50.0}{33.3+50.0} \right) = 40.0 \quad (6)$$

In a similar fashion, precision, recall, and F-scores were calculated for Examples 2 through 4 with results summarized in Table 7.

Table 7. Calculated Precision, Recall, and F-scores

Example	Nuclides Present	Nuclide(s) Reported	Precision	Recall	F-score
1	Ga-67, Cs-137	Np-237, Ga-67	33.3	50.0	40.0
2	Ga-67, Cs-137	Cs-137	100.0	50.0	66.7
3	Np-237, Cs-137	Np-237, Ga-67	80.0	80.0	80.0
4	Np-237, Cs-137	Cs-137	100.0	20.0	33.3

A review of Table 7 shows the impact of incorrectly and correctly identifying Np-237, a Special Nuclear Material (SNM) nuclide with high importance.

An additional two examples are provided to aid in the understanding on how to compute the “reward” and “penalty” for the detection of trace nuclides. Information relevant to these two examples is supplied in Tables 8 and 9.

Table 8. Nuclide Weighting Factors

Nuclide	Importance	tp WF	fp WF	fn WF
Ir-192	Medium	2	1	2
Ir-194m ²	Trace (+)	0.5	0	0
K-40	Low	1	1	1

Table 9. Nuclides Present and Reported by the Identification Algorithm

Example	Nuclides Present	Nuclides Reported
5	Ir-192, Ir-194m ² (Trace)	Ir-192, Ir-194m ² , K-40
6	Ir-192, Ir-194m ² (Trace)	Ir-192, K-40

For Example 5 shown in Table 9, the nuclide identification algorithm correctly reported Ir-192 and Ir-194m² and incorrectly reported K-40. Using Table 8, the appropriate weighting factors for Example 5 are: tp WF = 2 for Ir-192, tp WF = 0.5 for Ir-194m²; and fp WF = 1 for K-40.

Accordingly, the precision, recall, and F-score are calculated on a scoring scale of zero to 100 as follows:

$$100 * p = 100 * \left(\frac{tp}{tp+fp} \right) = 100 * \left(\frac{2+0.5}{(2+0.5)+1} \right) = 71.4 \quad (7)$$

$$100 * r = 100 * \left(\frac{tp}{tp+fn} \right) = 100 * \left(\frac{2+0.5}{(2+0.5)+0} \right) = 100.0 \quad (8)$$

$$F = 2 * \left(\frac{p*r}{p+r} \right) = 2 * \left(\frac{71.4*100.0}{71.4+100.0} \right) = 83.3 \quad (9)$$

In a similar manner, the precision, recall, and F-score were calculated for Example 6 with the results summarized in Table 10.

Table 10. Calculated Precision, Recall, and F-scores When a Trace Nuclide is Present

Example	Nuclides Present	Nuclide(s) Reported	Precision	Recall	F-score
5	Ir-192, Ir-194m ² (Trace)	Ir-192, Ir-194m ² , K-40	71.4	100.0	83.3
6	Ir-192, Ir-194m ² (Trace)	Ir-192, K-40	66.7	100.0	80.0

As shown in Table 10, the algorithm that correctly identified the trace nuclide, Ir-194m², is “rewarded” with a better F-score.

Three final examples are provided to assist in understanding the “reward” and “penalty” system for algorithms that report uranium and plutonium material types. Information relevant to the three examples is displayed in Tables 11 and 12.

Table 11. Nuclide Weighting Factors

Nuclide	Importance	tp WF	fp WF	fn WF
Pu-239	High	4	2	4
Am-241	Low	1	1	1
Pu-241	Low	1	1	1
K-40	Low	1	1	1
WGPu	(+)	0.5	0	0
RGPu	(-)	0	0.5	0

Table 12. Nuclides Present and Reported by the Identification Algorithm

Example	Nuclides Present	Nuclides Reported
7	Pu-239(H), Pu-241(L), Am-241(L), WGPu(+)	Pu-239, Pu-241, Am-241, RGPu, K-40
8	Pu-239(H), Pu-241(L), Am-241(L), WGPu(+)	Pu-239, Pu-241, Am-241, K-40
9	Pu-239(H), Pu-241(L), Am-241(L), WGPu(+)	Pu-239, Pu-241, Am-241, WGPu, K-40

For Example 7 shown in Table 12, the nuclide identification algorithm correctly reported Pu-239, Pu-241, and Am-241 and incorrectly reported K-40 and RGPu. Using Table 11, the appropriate weighting factors for Example 7 are: tp WF = 4, 1, and 1 for Pu-239, Pu-241, and Am-241, respectively; and fp WF = 1 and 0.5 for K-40 and RGPu, respectively. Accordingly, the precision, recall, and F-score are calculated on a scoring scale of zero to 100 as follows:

$$100 * p = 100 * \left(\frac{tp}{tp+fp} \right) = 100 * \left(\frac{4+1+1}{(4+1+1)+(1+0.5)} \right) = 80.0 \quad (10)$$

$$100 * r = 100 * \left(\frac{tp}{tp+fn} \right) = 100 * \left(\frac{4+1+1}{(4+1+1)+0} \right) = 100.0 \quad (11)$$

$$F = 2 * \left(\frac{p*r}{p+r} \right) = 2 * \left(\frac{80.0*100.0}{80.0+100.0} \right) = 88.9 \quad (12)$$

In a similar manner, precision, recall, and F-scores were calculated for Examples 8 and 9, shown in Table 12, with results summarized in Table 13.

Table 13. Calculated Precision, Recall, and F-scores With Plutonium Type Reported

Example	Nuclides Present	Nuclide(s) Reported	Precision	Recall	F-score
7	Pu-239, Pu-241, Am-241, WGPu	Pu-239, Pu-241, Am-241, RGPu, K-40	80.0	100.0	88.9
8	Pu-239, Pu-241, Am-241, WGPu	Pu-239, Pu-241, Am-241, K-40	85.7	100.0	92.3
9	Pu-239, Pu-241, Am-241, WGPu	Pu-239, Pu-241, Am-241, WGPu, K-40	86.7	100.0	92.9

As displayed in Table 13, the algorithm that identified WGPu is “rewarded” and the algorithm that incorrectly identified RGPu is “penalized”. This results in a better F-score for the algorithm that correctly identified WGPu.

2.4 Scoring Application Configuration Weighting Factors

Similar to nuclide weighting factors, the scoring application allows the use of weighting factors based on configuration importance and allows default configuration weighting factors to be changed easily to meet the goals and objectives of a given test campaign. In general, both the frequency of observation and the associated consequence with non-detection are used as the basis for assigning configuration weighting factors. For example, SNM and Radiological Dispersion Device (RDD) configurations, which are observed with low frequency, would be assigned a high configuration weighting factor due to the consequence associated with non-detection. Default scoring application weighting factors based on configuration importance are presented in Table 14.

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Table 14. Default Weighting Factors for High, Medium, and Low Importance Configurations

Configuration Importance	Example	Configuration Weighting Factor (WFC)
High	High frequency of observation and/or high consequence associated with non-detection	3
Medium	Medium frequency of observation and/or medium consequence associated with non-detection	2
Low	Low frequency of observation and low consequence associated with non-detection	1

To illustrate how the scoring application utilizes configuration weighting factors, the following example is provided which compares F-scores calculated with and without applying configuration weighting factors. Information applicable to the example is supplied in Table 15.

Table 15. Default Weighting Factors for High, Medium, and Low Importance Configurations

Configuration ID	Configuration Importance	Configuration Importance WF	F-Score
A	High	3	15.0
B	Medium	2	90.0
C	Low	1	95.0
D	Low	1	85.0

$$F(\text{unweighted}) = \left(\frac{\sum_{i=1}^n F}{\sum_{i=1}^n n} \right) = \left(\frac{(15.0+90.0+95.0+85.0)}{4} \right) = 71.3 \quad (13)$$

$$F(\text{weighted}) = \left(\frac{\sum_{i=1}^n F \times WFC}{\sum_{i=1}^n WFC} \right) = \left(\frac{(15.0 \times 3) + (90.0 \times 2) + (95.0 \times 1) + (85.0 \times 1)}{(3+2+1+1)} \right) = 57.9 \quad (14)$$

As shown in the example, the F-score calculated with configuration weighting factors applied places additional emphasis on the high importance configuration which scored poorly. Accordingly, the utilization of configuration weighting factors provides a better representation of operational nuclide identification algorithm performance.

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2.5 Scoring Application Nuclide Reporting Conventions and Nuclide Equivalencies

Since some nuclides are reported differently by nuclide identification algorithms, it is necessary for the application to interpret reported nuclides correctly for accurate scoring. To minimize the complexity of interpreting reported nuclides, ANSI N42.42-2011 [2] nuclide reporting conventions will be specified as the standard to be used by nuclide identification algorithms. Specifically, use of ANSI N42.42-2011 nuclide reporting conventions for “other radiation sources” (reproduced directly from ANSI N42.42-2011 in Table 16 below) is necessary.

Table 16. ANSI N42.42-2011 Name Format for Other Radiation Sources

Name	Definition
Annihilation	The 511 keV annihilation peak. Such photopeak can be produced by positron emission tomography (PET) sources; examples of such sources are: ^{11}C , ^{13}N , ^{15}O , ^{18}F .
Bremsstrahlung	The signature of bremsstrahlung radiation has been observed. Bremsstrahlung is produced when fast electrons interact with the Coulombic field of the nucleus or when the fast electrons are decelerated when interacting with a metal target.
DU	Depleted Uranium is uranium with lower than natural abundance of ^{235}U . Approximate abundance: 99.799% ^{238}U , 0.2% ^{235}U , 0.001% ^{234}U .
HEU	Highly Enriched Uranium is uranium with high abundance of ^{235}U . The ^{235}U abundance is higher than 20%.
LEU	Low Enriched Uranium is uranium with an abundance of ^{235}U of approximately 3% to 20%.
$N(\text{reaction})$	Nuclear reactions are indicated by the chemical element or nuclide name (N) followed by the reaction notation (<i>reaction</i>). Reaction notations include: • n,g • n,n'g • a,n • n,2n Examples are: “H(n,g)”, “Fe(n,g)”, and “O-18(a,n)”.
Plutonium	If the radiation measurement instrument cannot discriminate between the different levels of plutonium enrichments (RGPu and WGPu), then they should all be indicated as “Plutonium”.
N-xray	X-rays are indicated by the element name followed by “-xray”. Examples: “U-xray”, “Pb-xray”.
Radium	Naturally occurring radium decay chain in equilibrium.
Refined U	Natural uranium chemically processed to be separated from daughters (^{234}Th and $^{234\text{m}}\text{Pa}$ being short lived daughters of ^{238}U are still present).
RGPu	Reactor Grade Plutonium is plutonium with > 7% ^{240}Pu
Shielded Source	The signature of a shielded radioactive source that cannot be fully identified due to the present of shielding material.
Thorium	Naturally occurring thorium decay chain in equilibrium.

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Name	Definition
Unknown	Sources not identified because radionuclides are not listed in the radiation measurement instrument library or because the energy spectrum is distorted due, for example, to the presence of masking or shielding material.
U-natural	Uranium natural is equivalent to uranium-ore; that is, uranium in natural abundance and in secular equilibrium with an abundance of 99.2745% ^{238}U , 0.72% ^{235}U , and 0.0055% ^{234}U .
Uranium	If the radiation measurement instrument cannot discriminate between the different levels of uranium enrichments (DU, LEU, HEU and Refined U), then they should all be indicated as "Uranium".
WGPu	Weapons Grade Plutonium is plutonium with $\leq 7\%$ ^{240}Pu .

Table 17 presents example nuclide equivalences currently assigned by the scoring application for reported "nuclides" that are not unique. If other nuclide equivalencies are needed to properly interpret a reported "nuclide", additional nuclide equivalencies can be readily added to the application for accurate scoring.

Table 17. Example Nuclide Equivalences Currently Assigned by the Scoring Application

Assigned Nuclide	Nuclide Equivalencies
Ac-225	Ac-225, Fr-221, Bi-213, Tl-209
Annihilation	Annihilation, F-18, Positron Emitter
Background	Background, None
Bremsstrahlung	Beta, Bremsstrahlung, Sr-90, P-32, Y-90, Beta Emitter
Cf-252	Cf-252, Cf-249
Ge-68/Ga-68	Ge-68, Ga-68, Ge-68/Ga-68
Neutrons	Neutrons, Neutron, H(n,g), Fe(n,g), Neutrons On Fe, Neutrons On Hydrogen
Np-237	Np-237, Pa-233
Pu-239	Pu-239, Plutonium
Pu-241	Pu-241, U-237
Ra-226	Ra-226, Radium, Bi-214, Pb-214
Sr-82/Rb-82	Sr-82, Rb-82, Sr-82/Rb-82
Sr-85/Kr-85	Kr-85, Sr-85, Sr-85/Kr-85
Th-232	Th-232, Thorium
U-232/Th-228	U-232, Th-228
U-233/Th-229	U-233, Th-229, Ac-225, Fr-221, Bi-213, Tl-209
Zr-95	Zr-95, Nb-95

Similar to nuclide equivalences, it is occasionally necessary for the application to interpret and convert a reported "nuclide" to multiple nuclides for accurate scoring. For example, if a nuclide identification algorithm reports U-ore or U-natural, the scoring application will convert the reported nuclides to U-238 and Ra-226 prior to scoring. This is needed since uranium ore that has not been chemically processed to remove all decay chain products from the uranium will contain all decay products from the U-238 decay chain including all decay products from the Ra-

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226 decay chain. Alternatively, uranium that has been chemically processed will not contain Ra-226 and its decay chain products due to the long half-lives of U-234 and Th-230, which precede Ra-226 in the U-238 decay chain, and effectively eliminates in-growth of Ra-226.

Table 18 presents example nuclide equivalences currently assigned by the scoring application for reported “nuclides” that require conversion to multiple nuclides for accurate scoring. As needed to meet the goals and objectives of a given test campaign, default assigned nuclide equivalencies are editable and can be easily changed. Lastly, if other nuclide conversions are needed to properly interpret a reported “nuclide”, then additional nuclide conversions can be readily added to the application for accurate scoring.

Table 18. Example Assigned Nuclide Equivalencies For Reported “Nuclides”

Reported Nuclide	Assigned Nuclide Equivalencies
U-Ore	U-238 + Ra-226
U-natural	U-238 + Ra-226
HEU	U-235 + U-enr
LEU	U-235 + U-enr
DU	U-238 + U-dep
RefinedU	U-238 + U-nat
WG Pu	Pu-239 + WG Pu
RG Pu	Pu-239 + RG Pu

3 Nuclide Identification Confidence Indices

Many nuclide identification algorithms use confidence indices designed to evaluate the confidence of the nuclide identification. Unfortunately, confidence indices used by nuclide identification algorithms are computed using many different scales. Due to the complexity of interpreting the various scales used, the scoring application currently ignores nuclide confidence indices.

Future revisions of the scoring application may utilize nuclide identification confidence indices to further refine the analysis. If nuclide identification confidence indices are used in future scoring applications, it may be prudent to specify the confidence index scale to be used by nuclide identification algorithms. For example, confidence indices of 0 to 10 or High, Medium, Low could be used to minimize the degree of interpretation.

To illustrate how the scoring application might utilize nuclide identification confidence indices, the following example is provided. Information relevant to the example is displayed in Tables 19 through 21.

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Table 19. Default Weighting Factors for High, Medium, and Low Nuclide ID Confidence Indices

Nuclide ID Confidence Index	Nuclide ID Confidence Index Acronym	Weighting Factor
High	(H)	3/3
Medium	(M)	2/3
Low	(L)	1/3

Table 20. Nuclides Present and Reported by the Identification Algorithms

Algorithm	Nuclides Present	Nuclides and Confidence Indices Reported
A	Ga-67, Sm-153, Eu-154	Ga-67(H), Np-237(L), Sm-153(H)
B	Ga-67, Sm-153, Eu-154	Ga-67(H), Np-237(H), Sm-153(L)

Table 21. Nuclide Weighting Factors

Nuclide	Nuclide Importance	tp WF	fp WF	fn WF
Ga-67	Low	1	1	1
Sm-153	Low	1	1	1
Eu-154	Low	1	1	1
Np-237	High	4	2	4

As shown in Table 20, nuclide identification algorithm A correctly reported Ga-67 and Sm-153 with high confidence, incorrectly reported Np-237 with low confidence, and did not report Eu-154. Using the nuclide identification confidence index weighting factors presented in Table 19, the precision, recall, and F-score are calculated as follows:

$$100 * p = 100 * \left(\frac{tp}{tp+fp} \right) = 100 * \frac{\left[\left(1 * \frac{3}{3} + 1 * \frac{3}{3} \right) \right]}{\left[(1+1) + \left(2 * \frac{1}{3} \right) \right]} = 75.0 \quad (15)$$

$$100 * r = 100 * \left(\frac{tp}{tp+fn} \right) = 100 * \frac{\left[\left(1 * \frac{3}{3} + 1 * \frac{3}{3} \right) \right]}{\left[(1+1) + (1) \right]} = 66.7 \quad (16)$$

$$F \text{ Score} = 2 * \left(\frac{p*r}{p+r} \right) = 2 * \left(\frac{7.50*66.7}{7.50+66.7} \right) = 70.6 \quad (17)$$

In a similar manner, precision, recall, and F-scores were calculated using the nuclides and confidence indices reported by algorithm B. Lastly, precision, recall, and F-scores were calculated without considering nuclide identification confidence indices. A comparison of the results is presented in Table 22.

Unclassified

Table 22. Calculated Precision, Recall, and F-scores

Nuclide ID Confidence Indices	Precision	Recall	F-score
Algorithm A	75.0	66.7	70.6
Algorithm B	33.3	44.4	38.1
Not Considered	50.0	66.7	57.1

By considering nuclide identification confidence indices, Algorithm A is not fully penalized for reporting Np-237 with low confidence which provides a better assessment of nuclide identification algorithm performance. Likewise, Algorithm B demonstrates the impact of misplaced confidence with Sm-153 being correctly reported but with low confidence and Np-237 incorrectly reported with high confidence.

3.1 Scoring Application Bar Chart and Histogram Generation

The scoring application can be used to generate summary bar charts and histograms to evaluate and compare nuclide identification algorithm performance. Currently, summary bar charts and histograms are automatically generated for the following categories.

- Radionuclide (Natural, medical, industrial, and threat)
- Count time (User selectable)
- Source strength or standard deviations above background (User selectable)
- Unshielded versus shielded
- Areal density of shielding (User selectable)
- Configuration importance
- Detector type

Figures 1 and 2 present example bar charts and histograms, respectively, which compare nuclide identification algorithm performance for unshielded and shielded configurations.

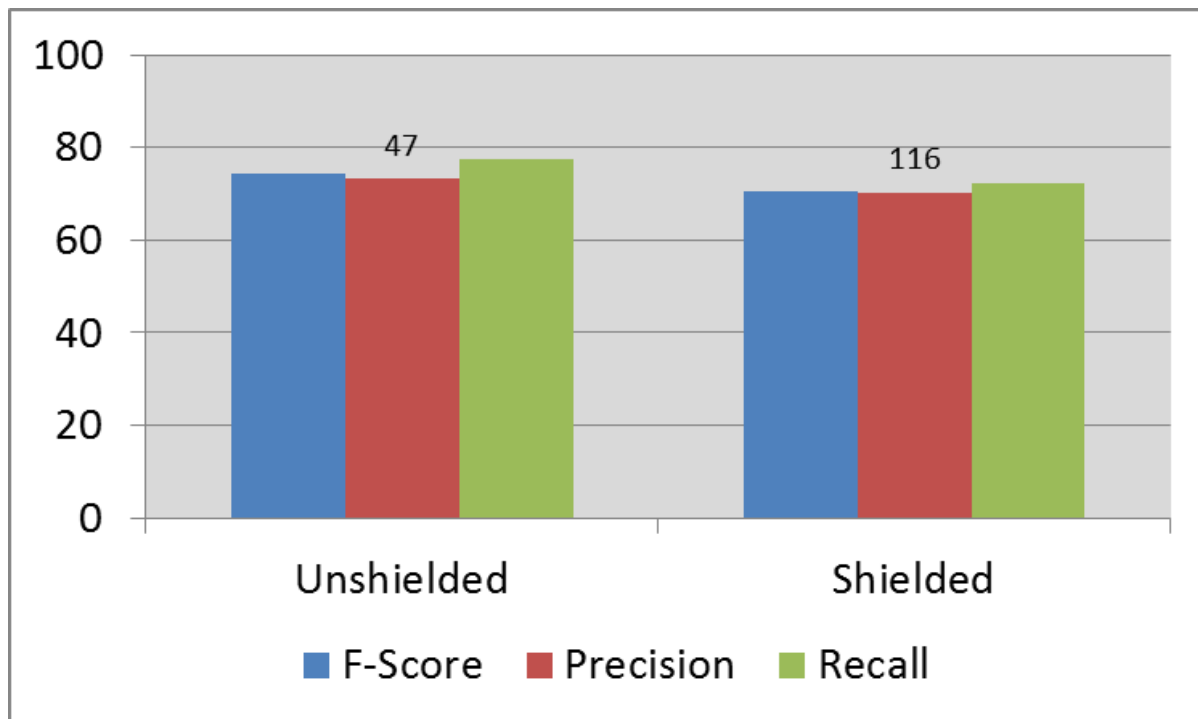


Figure 1. F-Score, Precision, and Recall Barchart Example

47 and 116 represent the number of unshielded and shielded spectra analyzed, respectively.

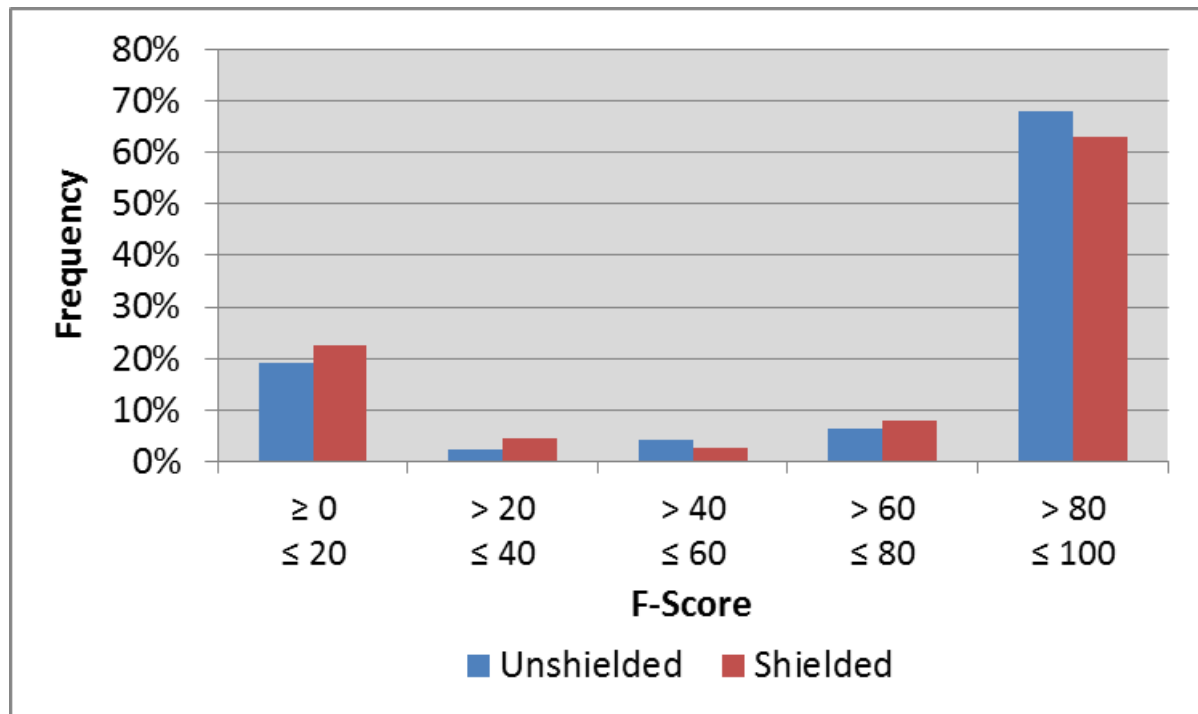


Figure 2. F-Score Histogram Example

3.2 Scoring Application Nonparametric Statistical Comparisons

The scoring application can be used to compare the performance of two nuclide identification algorithms statistically. For paired data, the application uses the sign test to determine whether the precision, recall, or F-score for one nuclide identification algorithm is generally larger (or smaller, or different) than the precision, recall, or F-score of another nuclide identification algorithm. Since the sign test is nonparametric, it requires no assumption for the measurement distribution. To illustrate how the sign test is executed, 20 pairs of example data are provided in Table 23.

Table 23. Sign Test Example Data

F-Score Algorithm 1	F-Score Algorithm 2	Is Difference Positive or Negative?
100.0	94.7	Positive
50.0	100.0	Negative
84.2	88.9	Negative
90.9	95.2	Negative
0.0	100.0	Negative
66.7	60.0	Positive
0.0	100.0	Negative
72.7	80.0	Negative
66.7	94.7	Negative
57.1	61.5	Negative
50.0	40.0	Positive
85.7	80.0	Positive
57.1	80.0	Negative
80.0	100.0	Negative
83.3	100.0	Negative
20.0	25.0	Negative
90.0	100.0	Negative
85.7	80.0	Positive
40.0	66.7	Negative

F-Score Algorithm 1	F-Score Algorithm 2	Is Difference Positive or Negative?
76.2	72.7	Positive

For the 20 pairs of data, 6 pairs are increases (positives) and 14 pairs are decreases (negatives). To determine if this is statistically significant at $\alpha = 0.05$, statistical tables are referenced to determine the p-value is 0.059. Since the p-value is greater than α , the null hypothesis that the F-score for the two algorithms is the same can't be rejected at $\alpha = 0.05$.

For detailed information on the sign test, please refer to Chapter 6 of "Statistical Methods in Water Resources" [3].

Summary

Scoring criteria and an associated Microsoft Excel application have been developed to objectively assess the performance of nuclide identification algorithms. As described in this report, the fundamental equation used to evaluate nuclide identification algorithm performance is F-scores which have been modified using nuclide weighting factors.

Additionally, this report discusses the importance of nuclide reporting conventions and outlines the use of nuclide equivalencies. Lastly, use of scoring application bar charts, histograms, and the sign test results for evaluating and comparing nuclide identification algorithm performance is discussed.

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Acronyms and Abbreviations

ACRONYM	TERM
AIP	Algorithm Improvement Program
DHS	Department Of Homeland Security
DNDO	Domestic Nuclear Detection Office
DU	Depleted Uranium
fn	False negative
fp	False Positive
HEU	Highly Enriched Uranium
p	Precision
r	Recall
RDD	Radiological Dispersion Device
RGPu	Reactor Grade Plutonium
SNM	Special Nuclear Material
tp	True Positive
U-dep	Depleted Uranium
U-enr	Enriched Uranium
U-nat	Natural Uranium
WF	Weighting Factor
WGPu	Weapons Grade Plutonium

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