

# Integration of the Socorro Code into the NNIN/C Code Base



**Normand A. Modine**

**Sandia National Laboratories**

Sandia is a multiprogram laboratory operated by Sandia Corporation, a Lockheed Martin Company,  
for the United States Department of Energy's National Nuclear Security Administration  
under contract DE-AC04-94AL85000.



# Background

---

- *First-principles techniques based on density-functional theory (DFT) are important contributors to science, including nanoscience*
- *Developments in algorithms, math libraries, and parallel computers have enabled DFT studies of increasingly complex systems*
- *Increased reliance upon first-principles techniques provides impetus to improve DFT and techniques based on DFT*
- *More widespread use of DFT techniques has revealed inadequacies in DFT codes that impede the development of new techniques*
- **Socorro** *is our effort to provide a open-source electronic structure code which is highly efficient on parallel computers and easy to maintain*



# Socorro Software Design Features

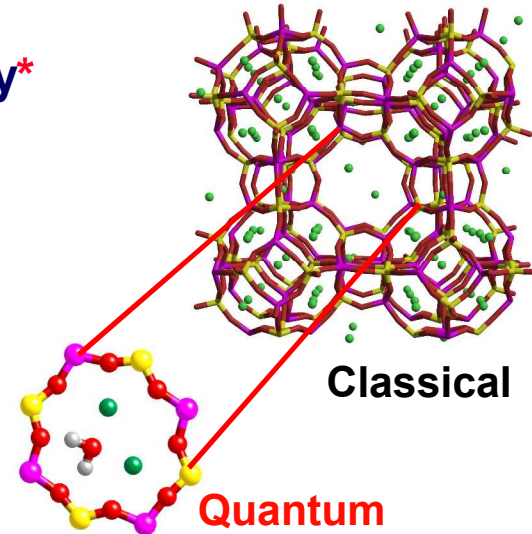
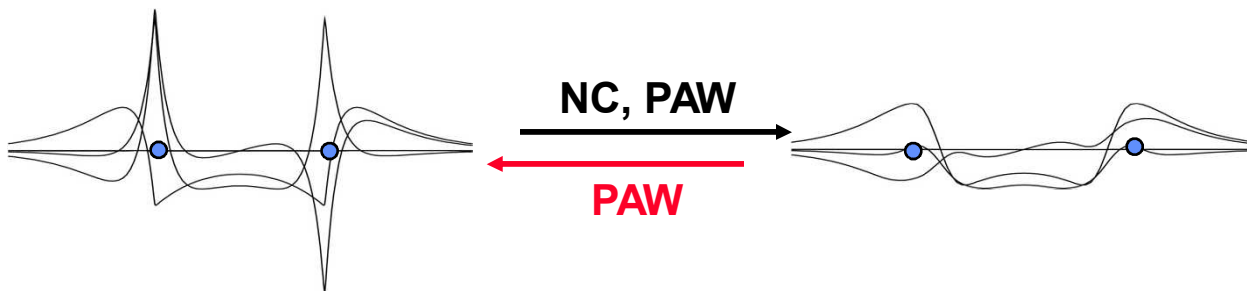
---

- **Open source (GNU public license)**
  - *researchers may use Socorro free of charge*
  - *developers may implement new techniques within Socorro*
- **Mainly written in Fortran 95 with a few parallelized C routines**
- **Powerful runtime support for parallel I/O, communication, and math operations**
- **Code consists of  $\approx 40$  modules (30 define objects, 10 provide support)**
- **Data is contained in derived (user-defined) types and organized hierarchically**
- **Support is provided for memory management (garbage collection)**
- **Unnecessary copying of large data structures is systematically avoided**
- **Computation and communication are separated for wavefunction FFT's**



# Socorro Capabilities

- Provides structures, energetics, transition states, and MD
- A full set of standard DFT code features with a plane wave basis set
- LDA, GGA (PW91, PBE, BLYP), AM05, and EXX\* exchange-correlation
- Projector-Augmented Wavefunction (PAW) method
- Quantum Mechanics / Molecular Mechanics capability\*
- Time-dependent DFT\*



\* Research level implementation



# ***Socorro and NNIN/C***

---

- DOE ASC funded development of Socorro
  - Focused on specific national security problems



- Center for Integrated Nanotechnologies (CINT)
  - DOE National User Facility
  - User project to integrate Socorro into NNIN
  - Finite resources targeted in a specific area



- National Nanoscience Infrastructure Network
  - Provides access to greater community
  - Help with first line training and support





# ***Accomplishments***

---

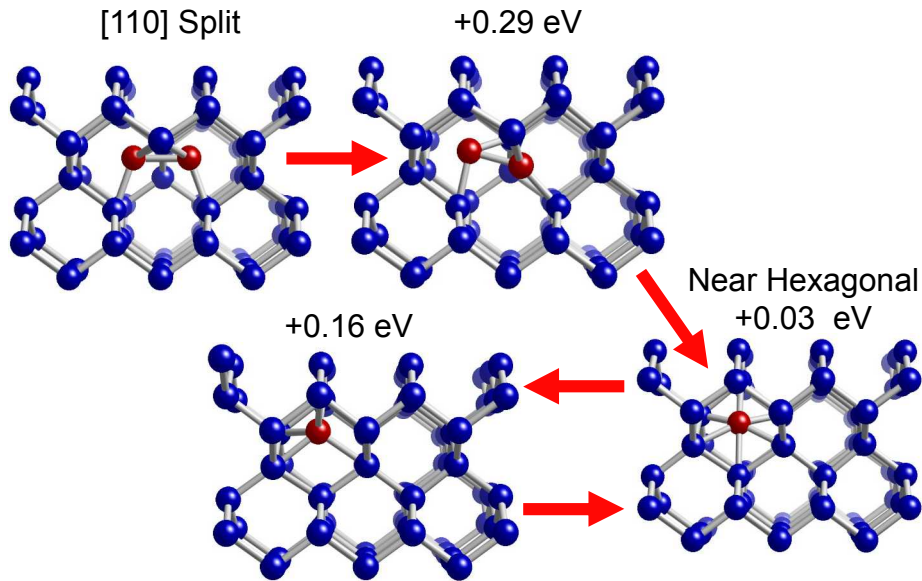
- Socorro running and tested on Harvard NNIN system
- Mike Stopa and Kaxiras group trained in running Socorro
- Mike Stopa modified Socorro to work with Condor
  - GOAL: Provide Socorro as a grid service
- Maria Fyta visited CINT and learned to run EXX calculations
- Looking forward to porting to more NNIN machines



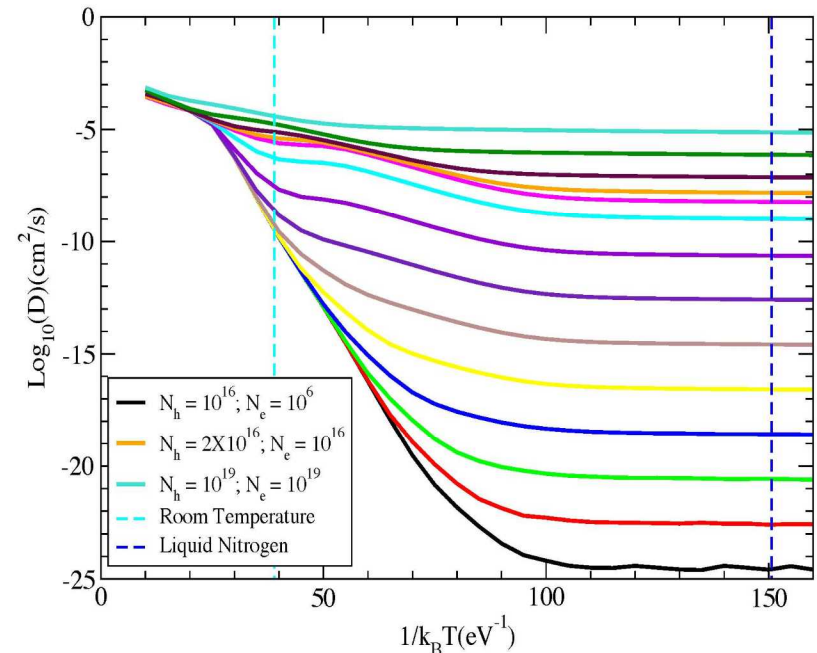
# An Example: Atomistic Behavior of Semiconductor Defects

Experiments yield almost no information about the Silicon Self-Interstitial!

Socorro calculations were used to determine structures, pathways, and reaction barriers for the Si Self-Interstitial

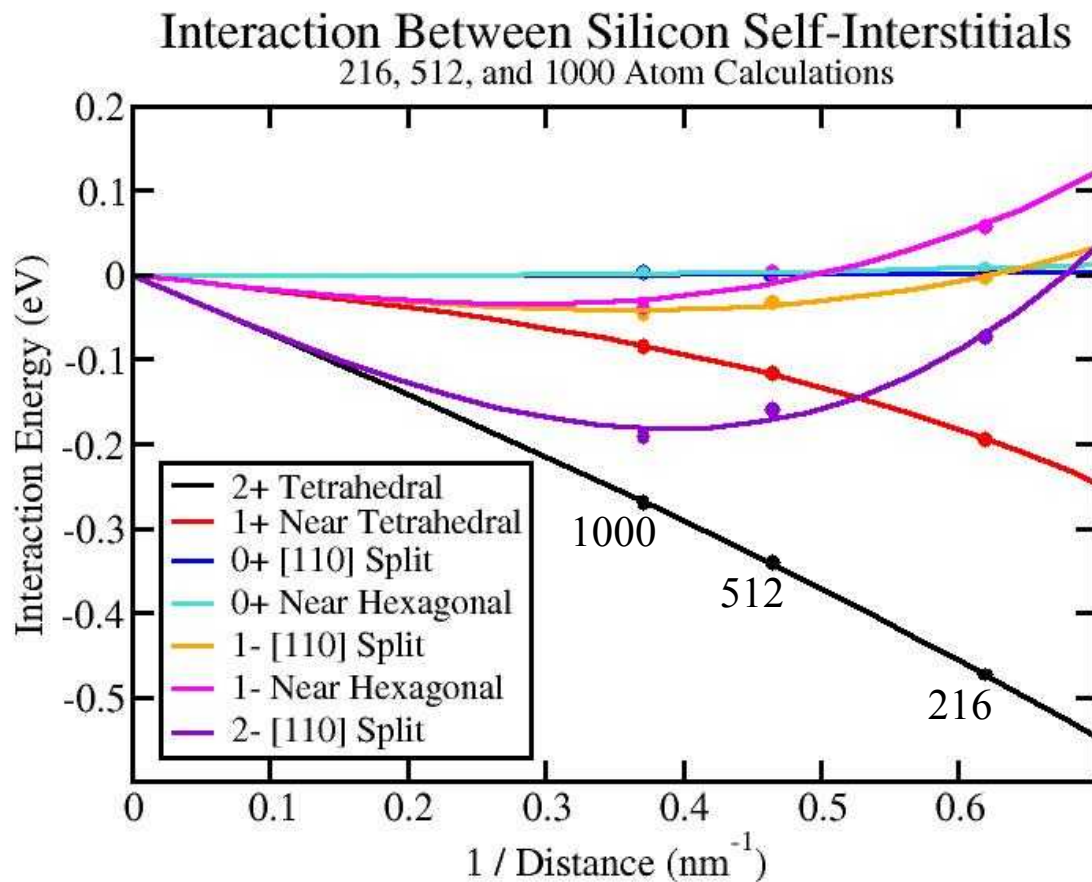


Kinetic Monte-Carlo calculations based on the DFT results were used to determine the diffusion coefficient



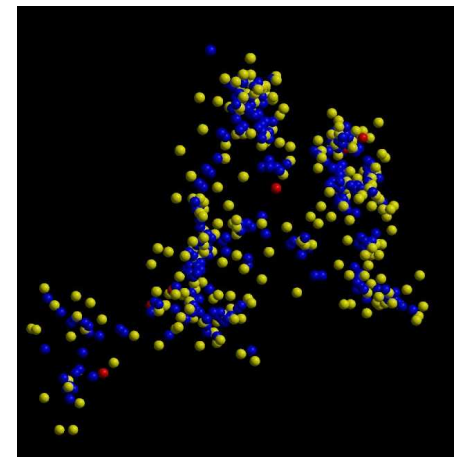


# Socorro Used to Explore Defect Interactions at the Nanoscale



- Perform DFT calculations for a cubic lattice of defects (Si self-interstitials)

- Vary the distance between defects by varying the supercell size



*Defect cluster from MD by Steven Foiles*





# An Exciting New Socorro Capability: The Exact Exchange Approximation (EXX)

---

- Consider an energy functional  $E(\phi_1, \dots, \phi_N)$
- Constrain  $\phi_1, \dots, \phi_N$  to be solutions of the Kohn-Sham Eq.

$$H\phi_i = (K + V_I + V)\phi_i = \varepsilon_i\phi_i$$

- Minimize  $E$  with respect to the **local potential  $V$**
- EXX takes  $E$  to be the Hartree-Fock energy

$$E_{EXX} = \sum_i \langle \phi_i^* (K + V_I) \phi_i \rangle + e^2 \iint \frac{\sum_{i,j} \{ \phi_i^*(r) \phi_i(r) \phi_j^*(r') \phi_j(r') - \phi_i^*(r) \phi_i(r') \phi_j^*(r') \phi_j(r) \}}{|r - r'|} dr dr'$$

- $V, \phi_i$  are functionals of the density  $\rho$ , so EXX is DFT



# **A New Algorithm for EXX Calculations Using A Plane Wave Basis**

---

- **Developed and implemented a new algorithm for EXX calculations based on a gradient based iterative minimization of the energy.**
- **Based on earlier work of Hyman, Stiles, and Zangwill, Phys. Rev. B 62, 15521 (2000) and Kümmel and Perdew, Phys. Rev. Lett. 90, 43004 (2003).**
- **Enables EXX calculations for systems with up to a few hundred atoms at zero or finite temperatures**



**Our results agree with previous EXX calculations using more expensive methods**

---

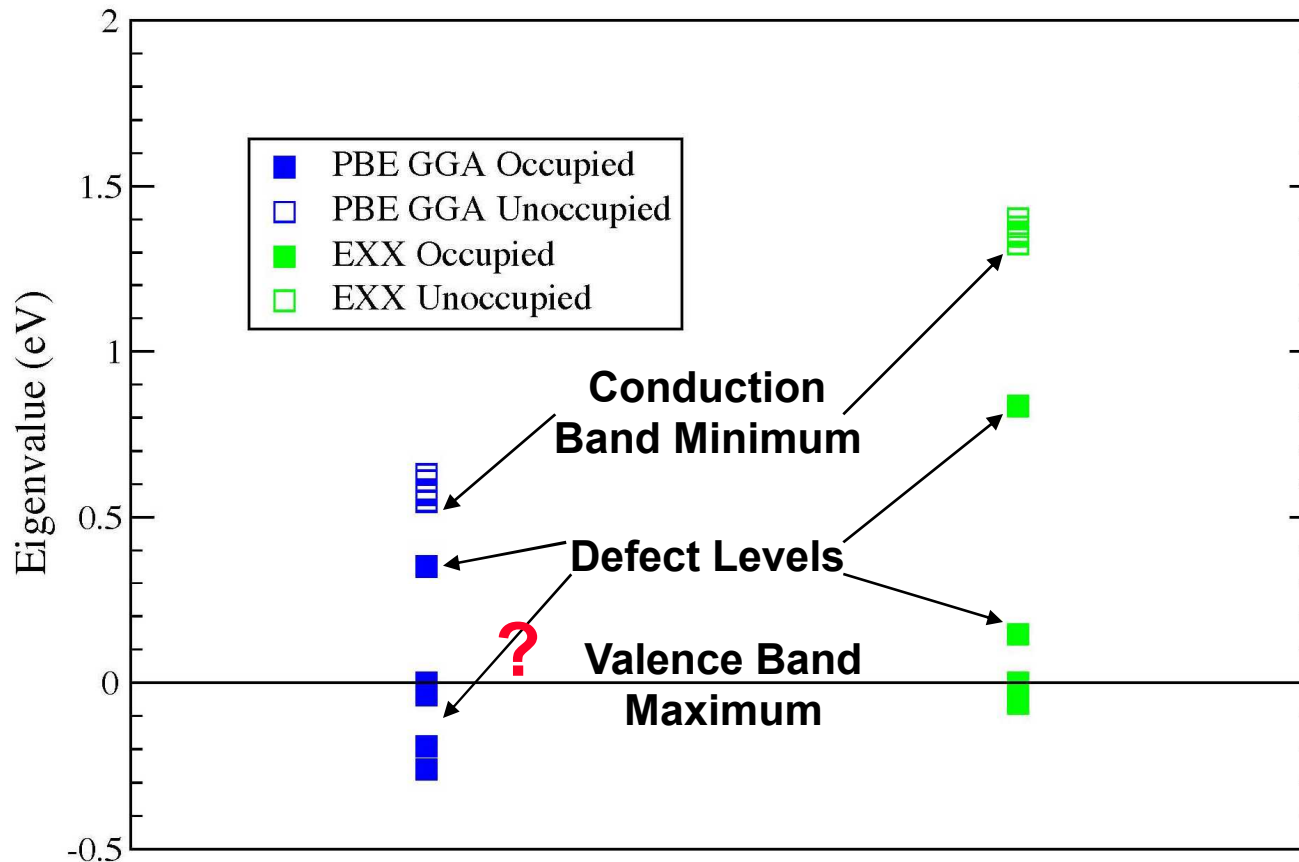
Property	Our EXX	Other EXX*	Experiment
Si Band Gap	1.28 eV	1.23 eV *	1.17 eV
Ge Band Gap	0.72 eV	0.94 eV *	0.66 eV
C Band Gap	5.09 eV	5.06 eV **	5.47 eV

\* M. Städele et al, Phys. Rev. B 59, 10031 (1999).

\*\* M. Städele et al, Phys. Rev. Lett. 79, 2089 (1997).

**KLI pseudopotentials from FHI code for Si and Ge.**

# An Example EXX Application: Semiconductor Defect Calculations



- Si Self-Interstitial defect in 217 atom supercell
- -2 Charge state of  $\text{Si}_i$  should have two filled levels in the band gap
- Misplaced levels due to band gap problem sometimes lead to errors in total energies of charged states



# Acknowledgements

---

**Alan Wright, Ryan Wixom, Ann Mattsson, Mark Sears,  
Rick Muller, Stephen Foiles, Mike Desjarlais - *Sandia  
National Laboratories***

**Alan Tackett and Ryan Hatcher - *Vanderbilt University***

**Natalie Holzwarth - *Wake Forest University***

**Ross Lippert - *MIT and D. E. Shaw Research***

**Graeme Henkelman - *University of Texas, Austin***

**Michael Stopa and Efthimios Kaxiras - *Harvard***