

# MEMS, Microfluidics, and BioMEMS – key concepts, examples, future directions

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Sandia National Laboratories  
Albuquerque New Mexico

# Acknowledgements

- My colleagues at Sandia. Much of what I am presenting is not my own work or is only partly my work. Therefore I acknowledge colleagues too numerous to remember up front.
- Sandia is a multi-program laboratory operated by Sandia Corporation, a Lockheed Martin Company, for the United States Department of Energy's National Nuclear Security Administration under Contract DE-AC04-94AL85000.

# Outline

- MEMS Introduction and applications
- MEMS microfabrication (SUMMiT)
- Example MEMS microsystem - MiniME
- Microfluidics Introduction
- Microfluidics/BioMEMS applications
- Microfluidics Examples
  - Gas-Phase Micro-Chem-Lab and MEMS valve
  - Molecular Motors
- Summary and Future Directions

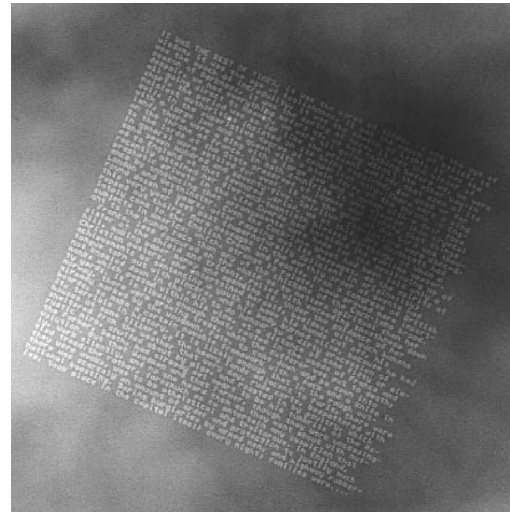
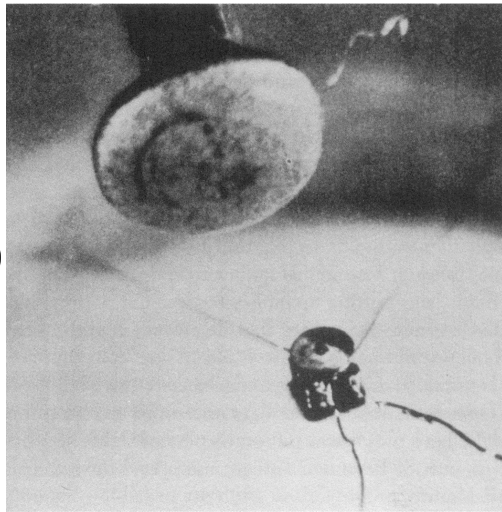
# Vision of Micro-Systems

- “There’s Plenty of Room at the Bottom”, 1959, California Institute of Technology
  - 2 Challenges:
    - Construct a working electric motor able to fit in a 1/64 inch cube
    - Print text at a scale that the Encyclopedia Britannica could fit on the head of a pin



Richard P. Feynman  
(1918-1988)

William McLellan, 1960



T. Newman,  
R.F.W. Pease,  
1985

# The Scale of Things – Nanometers and More



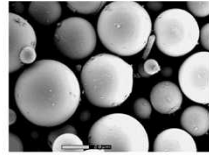
## Things Natural



Dust mite  
200  $\mu\text{m}$



Ant  
~ 5 mm

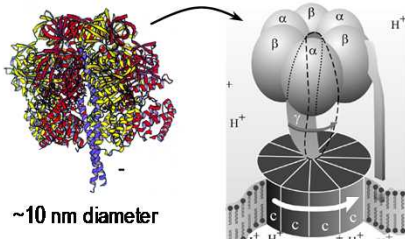


Fly ash  
~ 10-20  $\mu\text{m}$



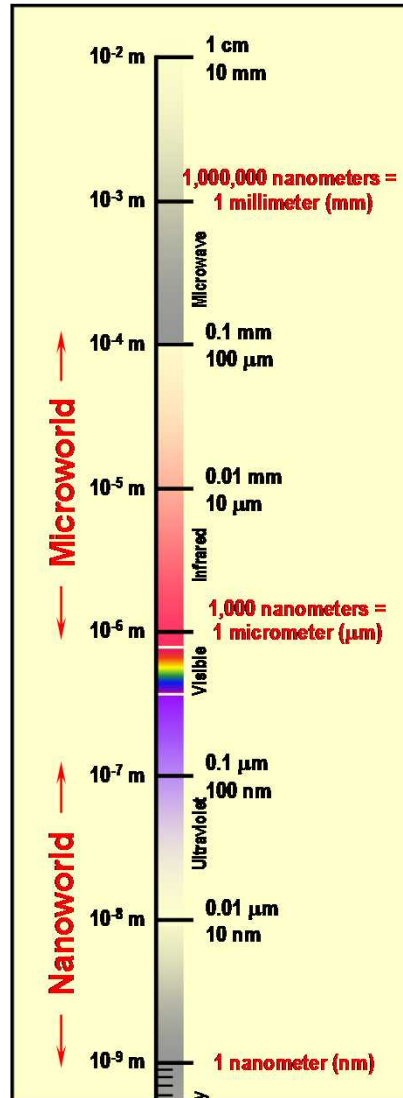
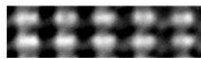
Human hair  
~ 60-120  $\mu\text{m}$  wide

Red blood cells  
(~7-8  $\mu\text{m}$ )

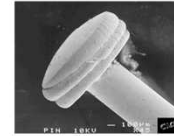


~10 nm diameter

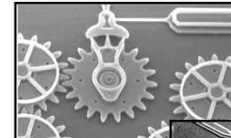
ATP synthase



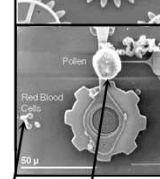
## Things Manmade



Head of a pin  
1-2 mm

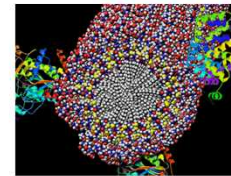
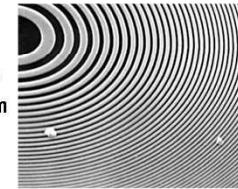


MicroElectroMechanical (MEMS) devices  
10 -100  $\mu\text{m}$  wide

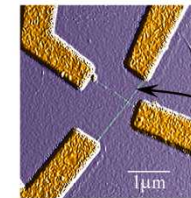


Pollen grain  
Red blood cells

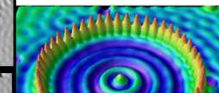
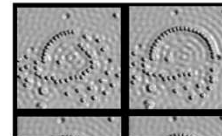
Zone plate x-ray "lens"  
Outer ring spacing ~35 nm



Self-assembled,  
Nature-inspired structure  
Many 10s of nm



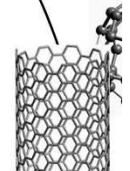
Nanotube electrode



## The C




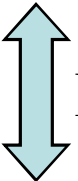
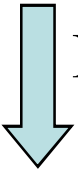
Fabricate nanoscale blocks to devices, e photosyn center wi semicon

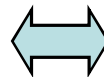
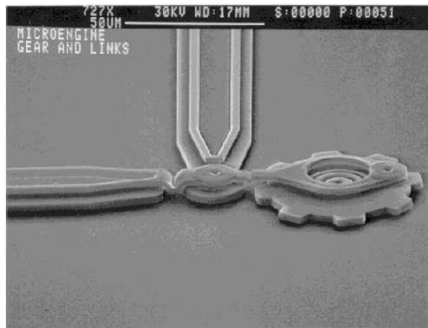


# Physical phenomena scale at different rates which changes their relative importance.

## Forces

## Scaling ( $S=1 \rightarrow 0.001$ )

• Casimir		$\propto 1/S^4$	 Nano Domain
• Van der Waals		$\propto 1/S^3$	
• Surface Tension	$\propto 1/S^3$		
• Electrostatic	$\propto 1/S^2$		 Micro Domain
• Magnetic		$\propto S^0$	
• Elastic stiffness	$\propto S$		 Macro Domain
• Inertia		$\propto S^3$	
• Gravity		$\propto S^3$	



# Physical Phenomena Change:

## The breakdown of Continuum Model

- Mean Free Path of air at STP - 65 nM
- Material crystal sizes in polycrystalline material ~300-500 nM
- Magnetic Domains ~10-25 micron
- Silicon lattice constant 5.43 Å

# Newly Relevant Phenomena

- Brownian Noise: (thermal noise, Johnson noise) atomic vibrations. Significant for MEMS sensors
- Paschen's Effect: Breakdown voltage increases as the pressure\*gap product decreases.
- Electron Tunneling: Quantum mechanical effect in which entities such as electrons can “tunnel” across small ( $\sim$ nm). Displacement transduction technique

Ref: Ch 4, Scaling Issues for MEMS, “Micro Electro Mechanical System Design,” J. J. Allen, CRC Press, 2005



Time Event Company

1947	ENIAC (electronic numerical integrator and computer)	University of Pennsylvania
1947	Invention of the bipolar transistor	
1954	Piezoresistive effect in germanium and silicon	
1958	First commercial bare silicon strain gages	Kulite Semiconductor
1959	"There's plenty of room at the bottom"	
1959	Planar Silicon Transistor	
1959	Planar fabrication process for microelectronics	
1960	Feynman Prize awarded for electric motor no larger than a 1/64-in. cube	
1961	Silicon pressure sensor demonstrated	Kulite Semiconductor
1965	Moore's law	
1967	Resonant gate transistor	
1974	First high-volume pressure sensor	National Semiconductor
1977-1979	Micromachined ink-jet nozzle:	International Business Machines, Hewlett-Packard
1982	Silicon as a mechanical material	
1982	Disposable blood pressure transducer	Foxboro/ICT, Honeywell
1985	Feynman prize awarded for producing text at a 1/25,000 scale	
1983	Surface micromachining process	
1987	Digital micromirror device (DMD) invented	Hornbeck
1988	Micromechanical elements	
1986	LIGA process	
1989	Lateral comb drive	
1991	Polysilicon hinge	
1993	ADXL50 accelerometer commercially sold.	Analog Devices Inc.
1996	Digital light processor (DLP™) containing DMD commercially sold	Texas Instruments
2002	Analog Devices ADXRS gyroscope introduced	Analog Devices Inc.

Feynman's Visionary Talk

Beginnings of Microelectronic Technology

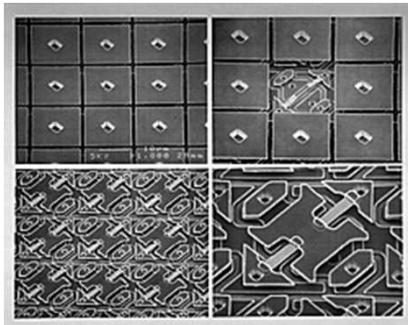
Early Products based upon the Piezoresistive properties of Silicon

MEMS Commercial Products

# Microsystem Timeline

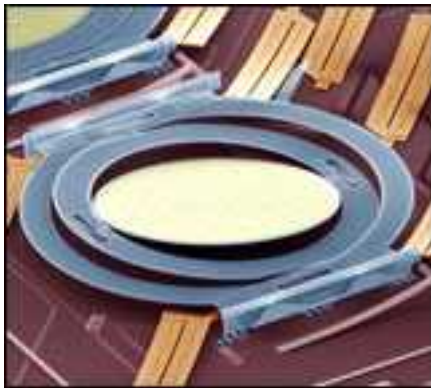
Adapted from: *Micro Electro Mechanical System Design*, J. Allen, CRC Press, 2005

# MEMS Commercial Applications



**Digital Mirror Device**

Texas Instruments



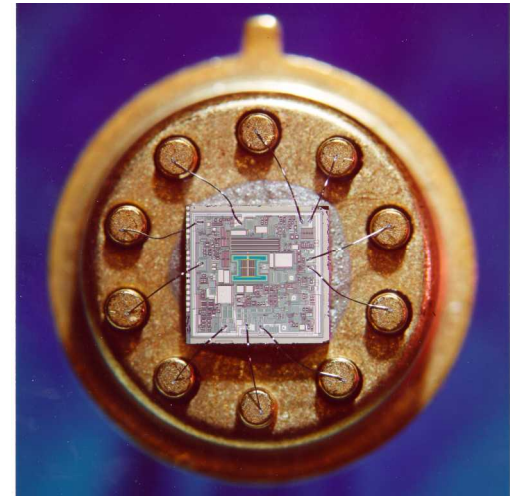
**Micromirror switch**

Lucent Technologies



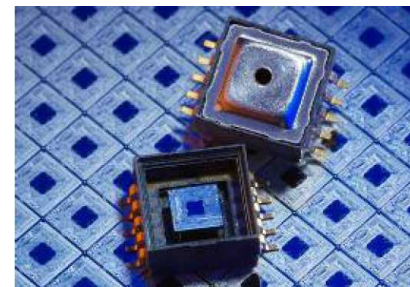
**Ink Jet Cartridge**

Hewlett Packard



**Accelerometer**

Analog Devices

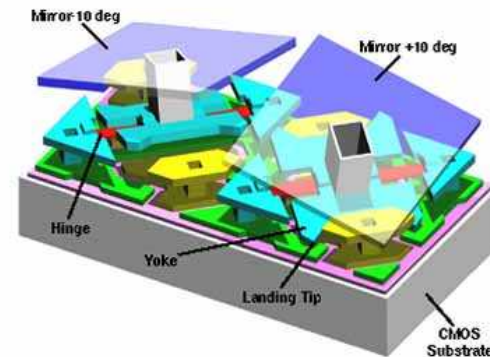


**Pressure Sensor**

Bosch MEMS

# TI DMD Light Switch

- Each light switch has an aluminum mirror ( $16\text{ }\mu\text{m}$  square) that can reflect light in two directions
- Rotation of the mirror occurs from an electrostatic attraction between the mirror and underlying memory cell
- System occupies 90% of projected image – mirrors separated by only  $1\text{ }\mu\text{m}$

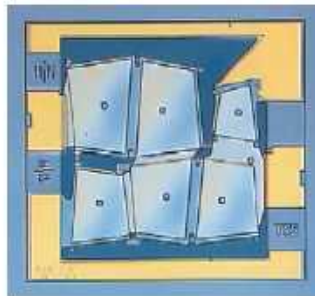
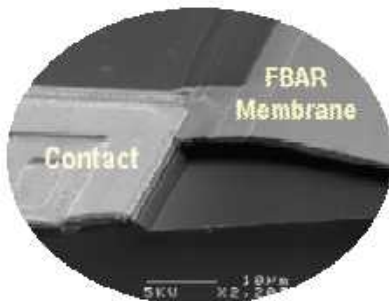


# Agilent Technologies RF MEMS

## Recent MEMS developments

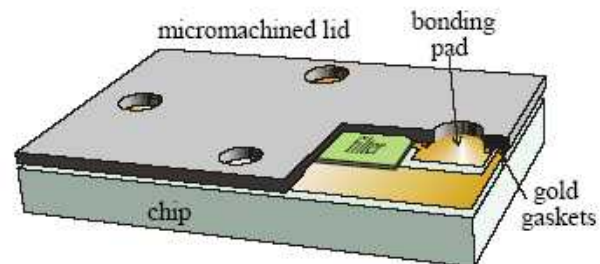
- **FBAR Technology (over 1,000,000 sold!)**

- ❖ A revolutionary acoustic radio frequency filter technology for mobile appliances



- **Microcap**

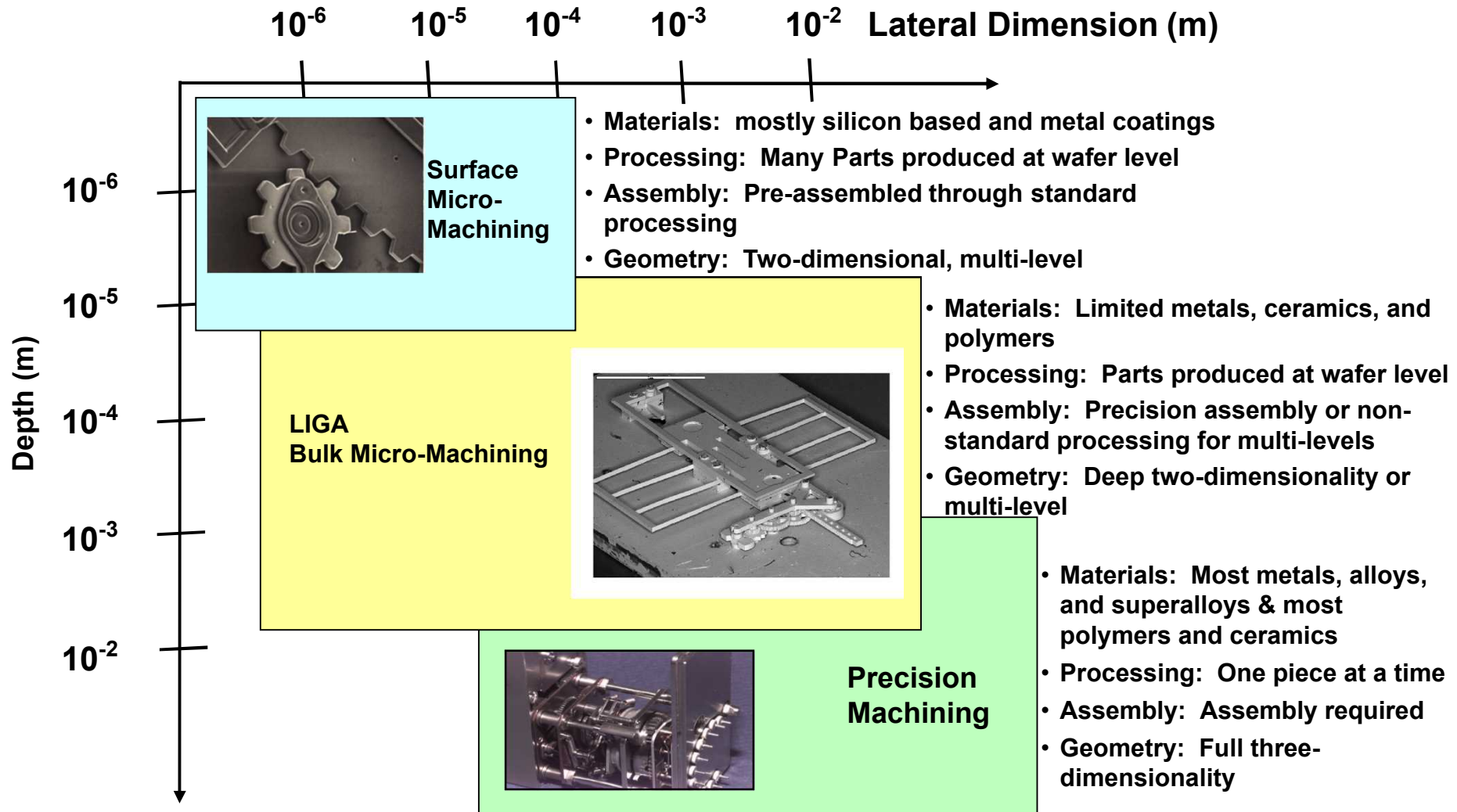
- ❖ A miniature, wafer-scale, silicon packaging technology



# MEMS Microfabrication – focus on SUMMiT



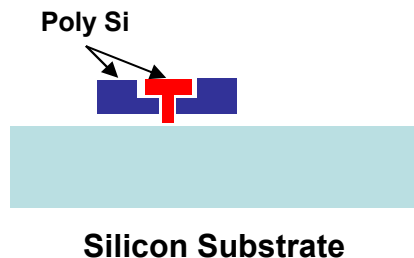
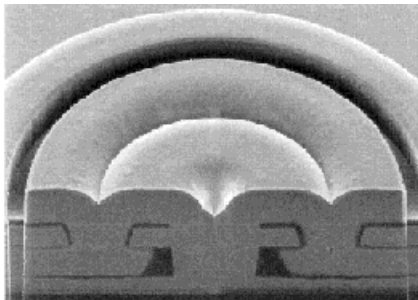
# A Continuum of Microsystems Fabrication Technologies



# Three Dominant MEMS Fabrication Technologies

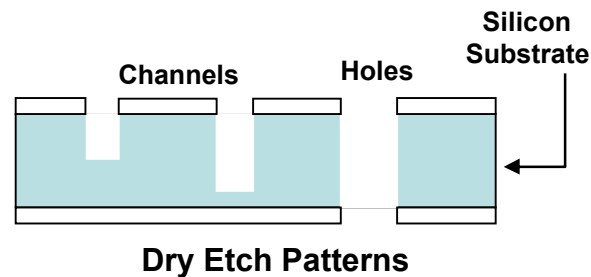
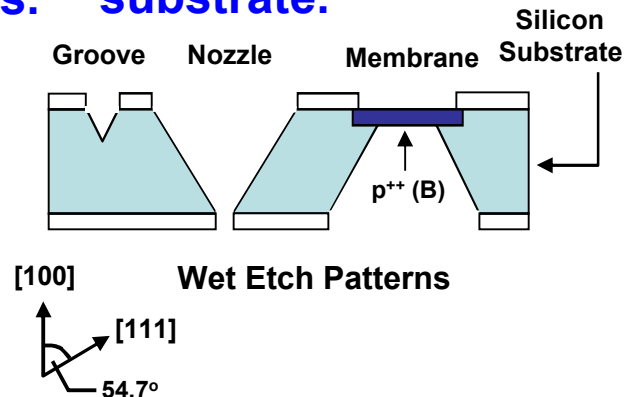
## Surface Micromachining

structures formed by deposition and etching of sacrificial and structural thin films.



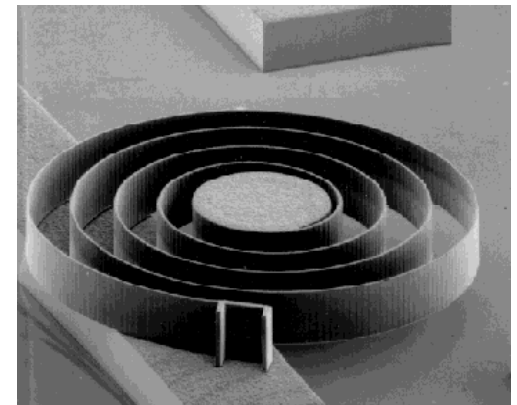
## Bulk Micromachining

3D structures formed by wet and/or dry etching of silicon substrate.



## LIGA

3D structures formed by mold fabrication, followed by injection molding/electroplating



Mold

# Baseline SUMMiT-V Technology

Structural Polysilicon



Sacrificial Oxide



SiN



Aluminum Metallization



**Aluminum Bondpad  
Metallization (~1um)**

*Note: Dimple 3 Backfill = 0.4um  
Dimple 4 Backfill = 0.2um*

**Additional  
SacOx 4+Poly 4  
for SUMMiT-V**

**SUMMiT-IV  
(4 Poly Layers)**

2.25  $\mu\text{m}$  Poly 4

2.25  $\mu\text{m}$  Poly 3

1.5  $\mu\text{m}$  Poly 2

1  $\mu\text{m}$  Poly 1

0.3  $\mu\text{m}$  Poly 0  
(Ground Plane)

2  $\mu\text{m}$  SacOx 4 (CMP)

2  $\mu\text{m}$  SacOx 3 (CMP)

**0.3  $\mu\text{m}$  SacOx 2\***

2  $\mu\text{m}$  SacOx 1

**13.3  $\mu\text{m}$**

0.80  $\mu\text{m}$  SiN

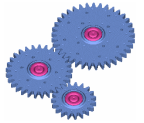
0.63  $\mu\text{m}$  Thermal SiO<sub>2</sub>

Silicon Substrate

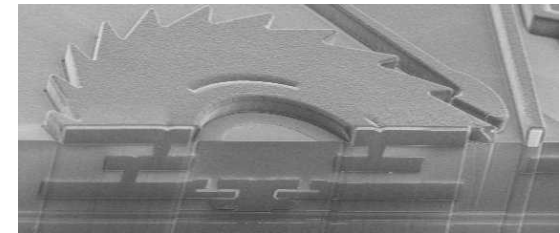
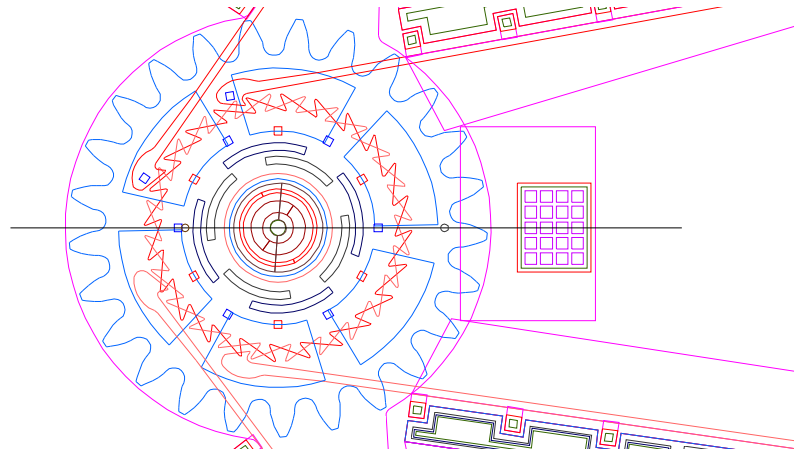
**\*Note: In SUMMiT-IV Sacox2 = 0.5 um**



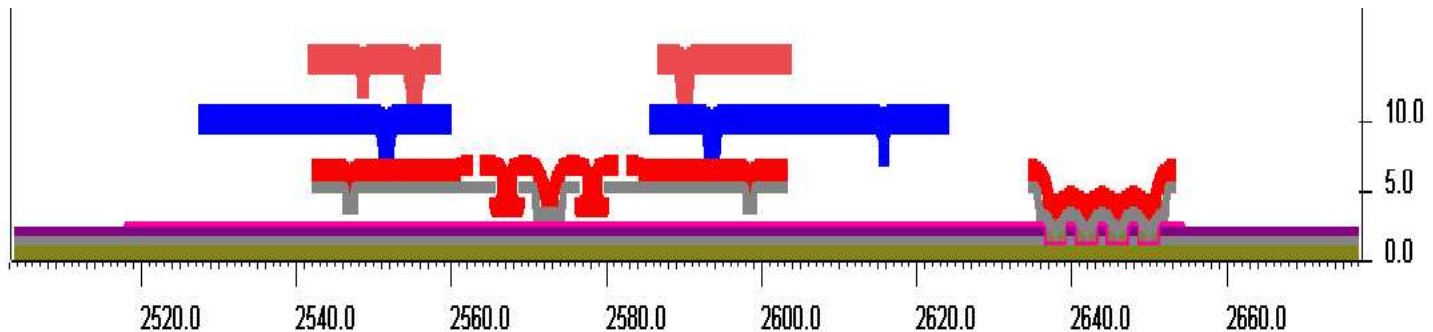
# SUMMiTV Cross-Sections: Released Structure

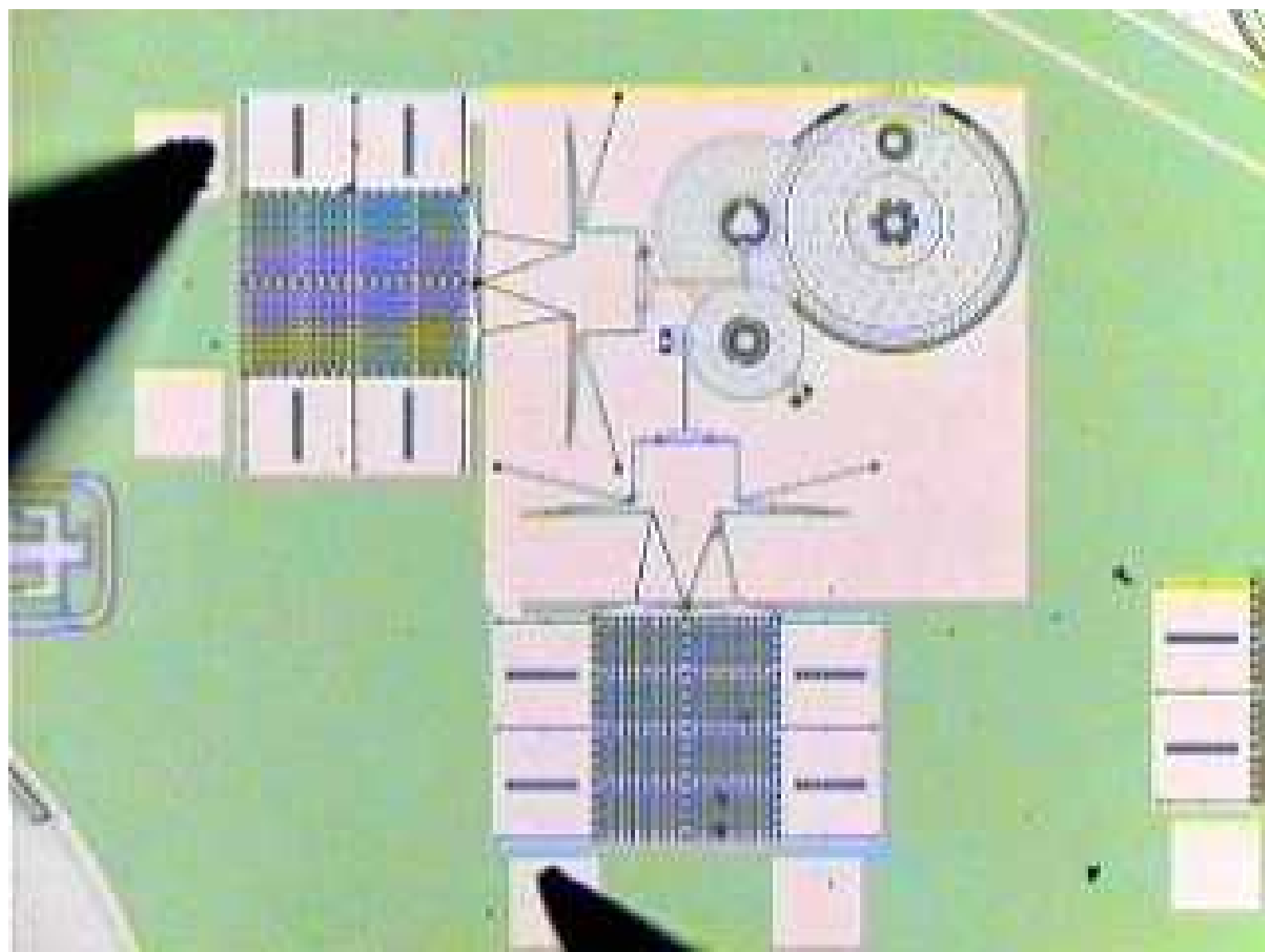


SEM perspective view of fabricated device



FIB Cross-section of fabricated device





# An Example MEMS Microsystem

Hand-held microsystem (MiniME)

# MinIME

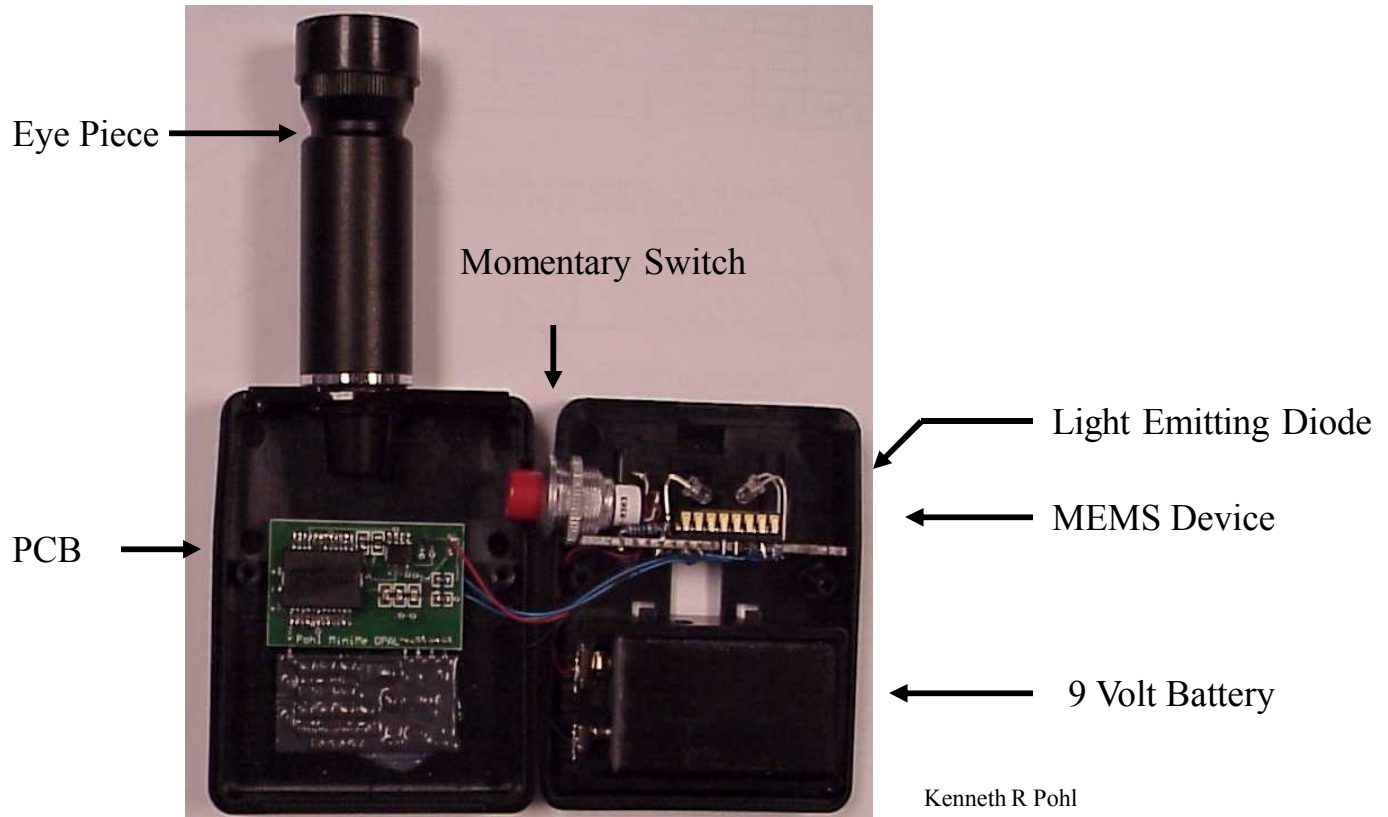
(Miniature Integrated MEMS/Electronics)



Courtesy of Ken Pohl, SNL

# Inside of MiniME

## Mini ME Component Placement



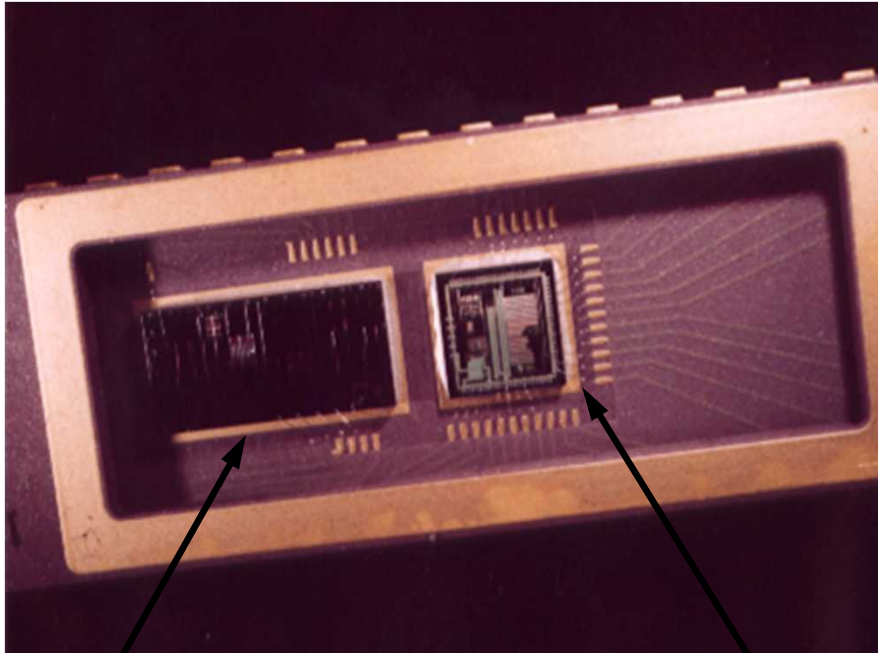
# TRA Driven Mirror Array

From Ken Pohl, SNL

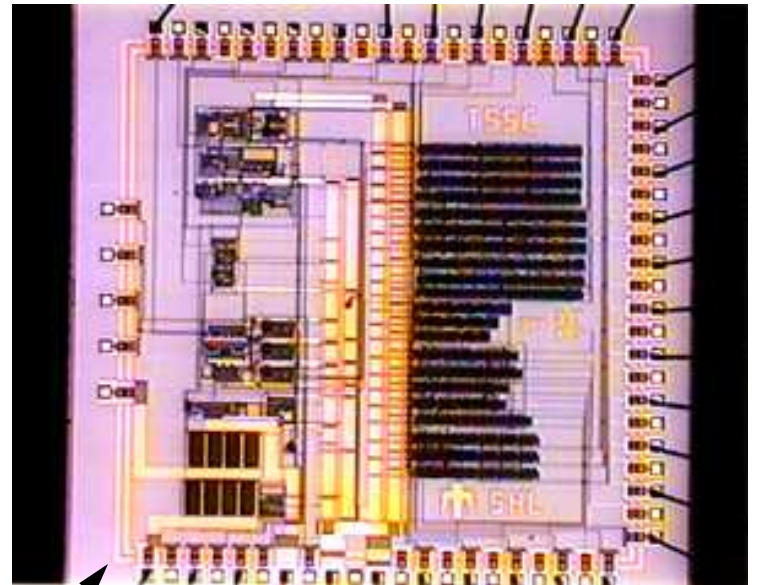




# Power and Mechanics in one DIP (Dual Inline Package)



MEMS part



ASIC - built in standard 1.2 micron CMOS,  
5 V in and 90 V out to power MEMS

From Mark Poloski, Sandia National Laboratories

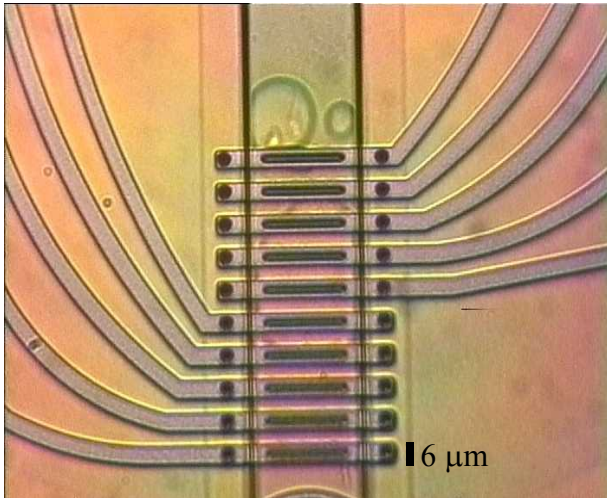
# Microfluidics Introduction



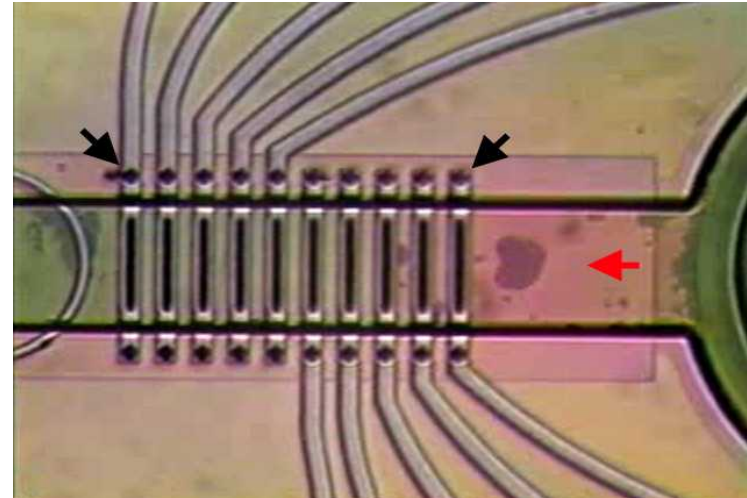
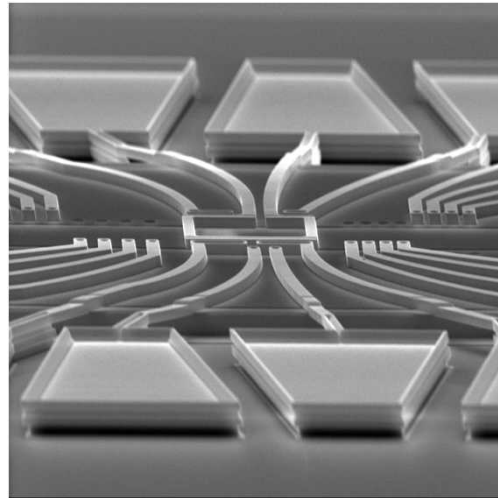
# Microfluidics Scales

- Meso-scale fluidics – pneumatic and hydraulic control, fluid handling robots, pipetting. Channels on the order of 10 mm to 10<sup>th</sup>s of mms. Reynolds numbers 1 to 100's. Volumes on the order of ml's to  $\mu$ l's.
- Microfluidics -  $\mu$ TAS (Micro-Total (chemical) Analysis Systems) or Chem-lab-on-a-chip, laminar flow, diffusion dominated transport, electrophoresis/electrosmosis. Channels on the order of 100's of microns to a few microns. Volumes on the order of  $\mu$ l's to pl's and Reynolds numbers from  $\ll 1$  to 100 (unsteady). A very small drop is on the order of a  $\mu$ l.
- Nano-scale fluidics – macromolecular machines, biological processes. Channels on the order of a  $\mu$ m or less, volumes on the order of femtoliters, and  $Re \ll 1$ .
- To interact with the nano-scale you must typically go through the meso and micro scales.

# Electrochemistry/photochemistry – particle manipulation in microfluidic channels

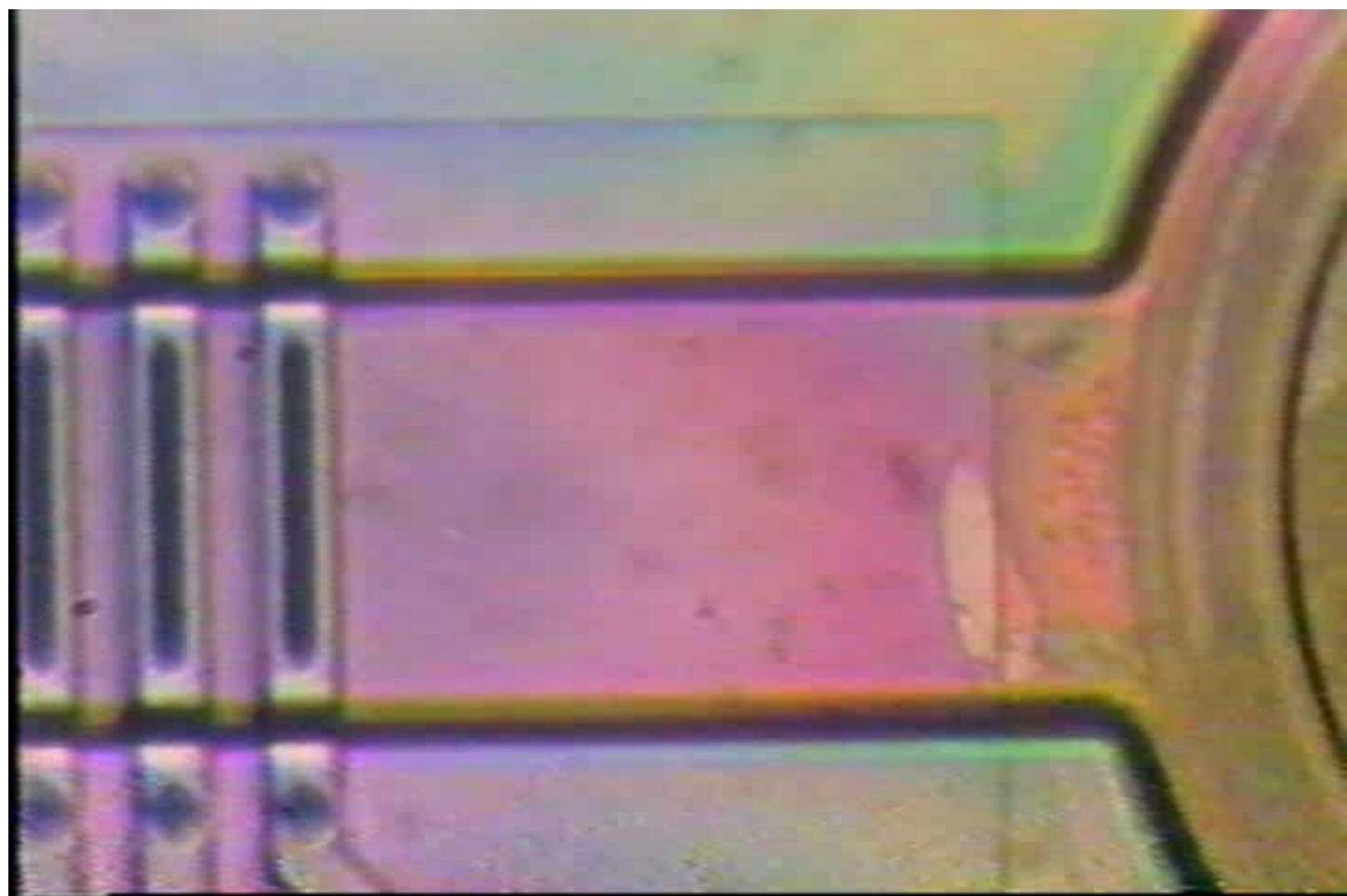


electrolysis bubbles inside the channel



Trapping of beads by application of AC waveform  
(measurement by Conrad James, 1769)

- Some of the very interesting aspects of micro-nanoscale reactions become observable/feasible at the scale of these devices.
- Reactions can be controlled by the applied field, light, temperature and flow conditions.
- Particles/streams inside the channel can be controlled by various means (dielectrophoretic trapping, magnetic fields, etc.)



# $\mu$ ChemLab microfluidics technology incorporated into complete microsystem

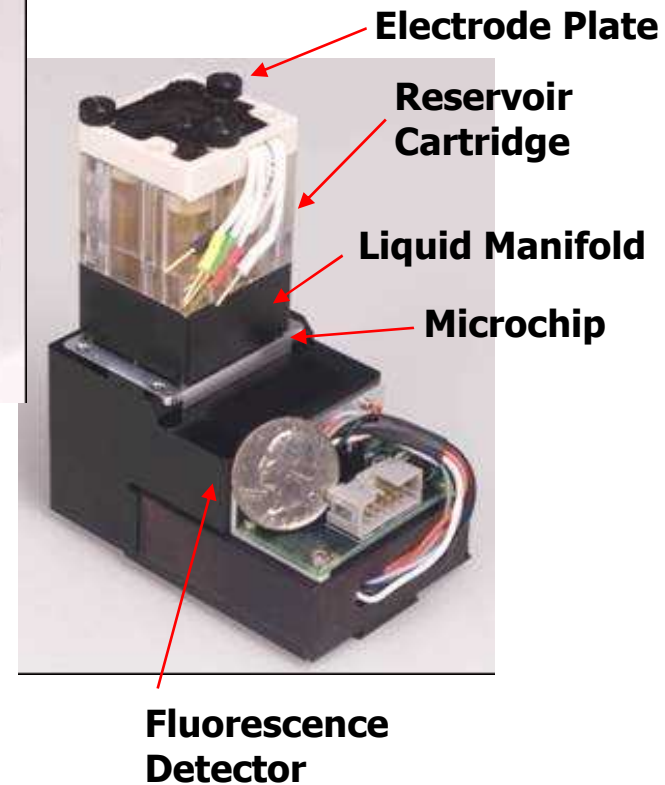
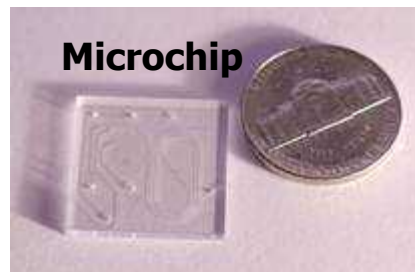
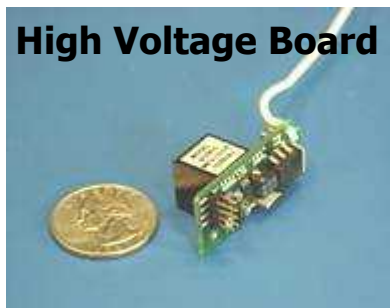


- Hand portable
- Battery operated
- On-board data analysis

A flexible, reliable platform for  
routine laboratory R&D



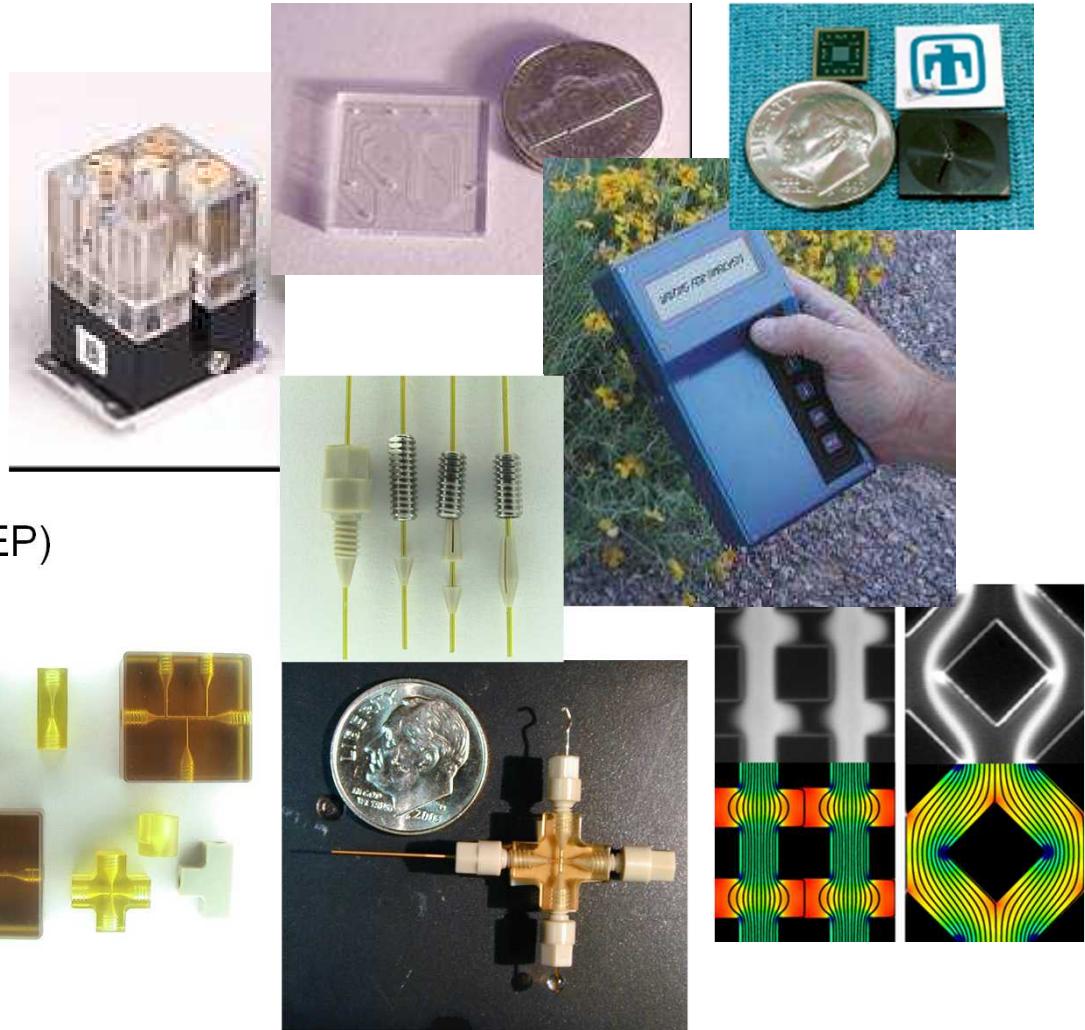
- Modular packaging
- Two analysis modules



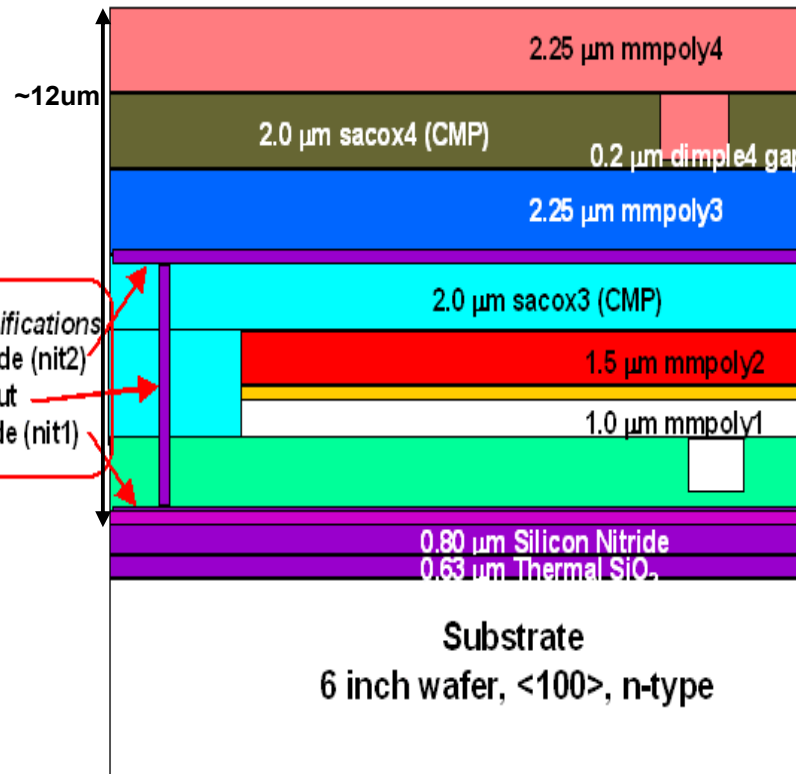


# Microfluidic Technologies

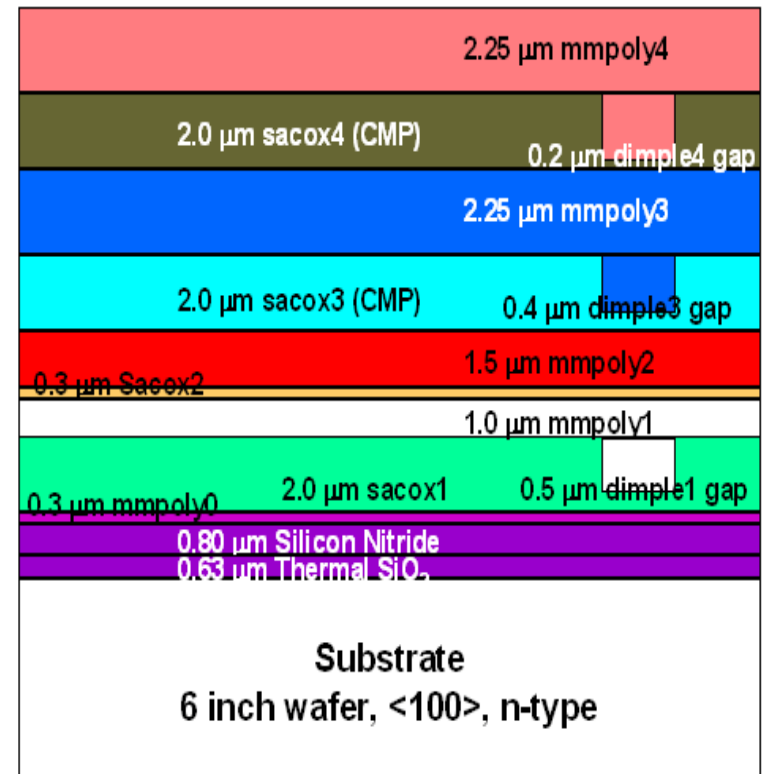
- Capillary Chip Electrophoresis
  - CGE, CZE, IEF
  - Laser-Induced Fluorescence detection
- Electrokinetic Pumping
  - EK-High Performance Liquid Chromatography (HPLC),
  - Infusion pumps
- Insulator-based Dielectrophoresis(iDEP)
  - Particle concentration
  - Sorting
- Gas Chromatography
  - SPE, GC, SAW detection
- High Pressure CapTite Capillary Fittings
  - Sub-miniature
  - Reusable



# SwIFT™ and SUMMiT™



(a)



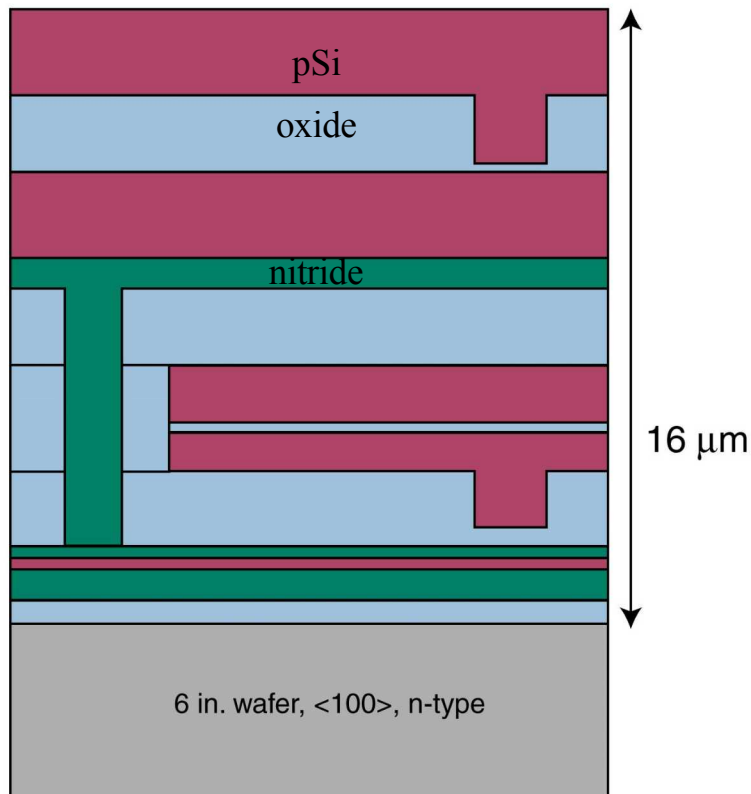
(b)

(a) SwIFT™ and (b) SUMMiT™ layers. The incorporation of the low stress silicon nitride layers allows the creation of complex microfluidic structures and enclosed cavities with optical access and provides the ability to create arbitrary fields inside these structures.

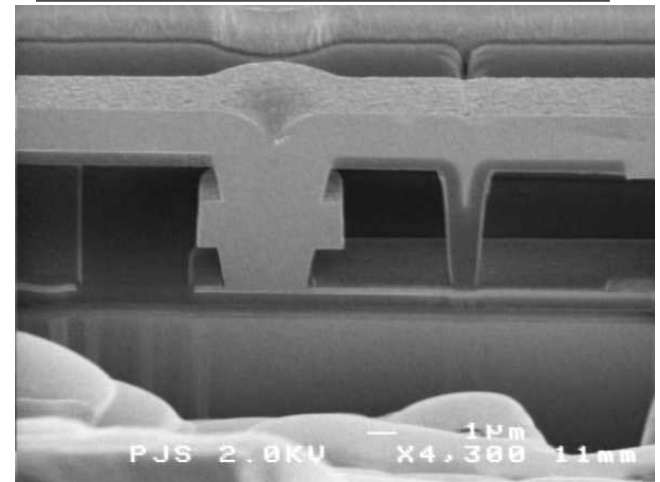
# SWIFT

## SwIFT™ (Surface Micromachining with Integrated microFluidic Technology)

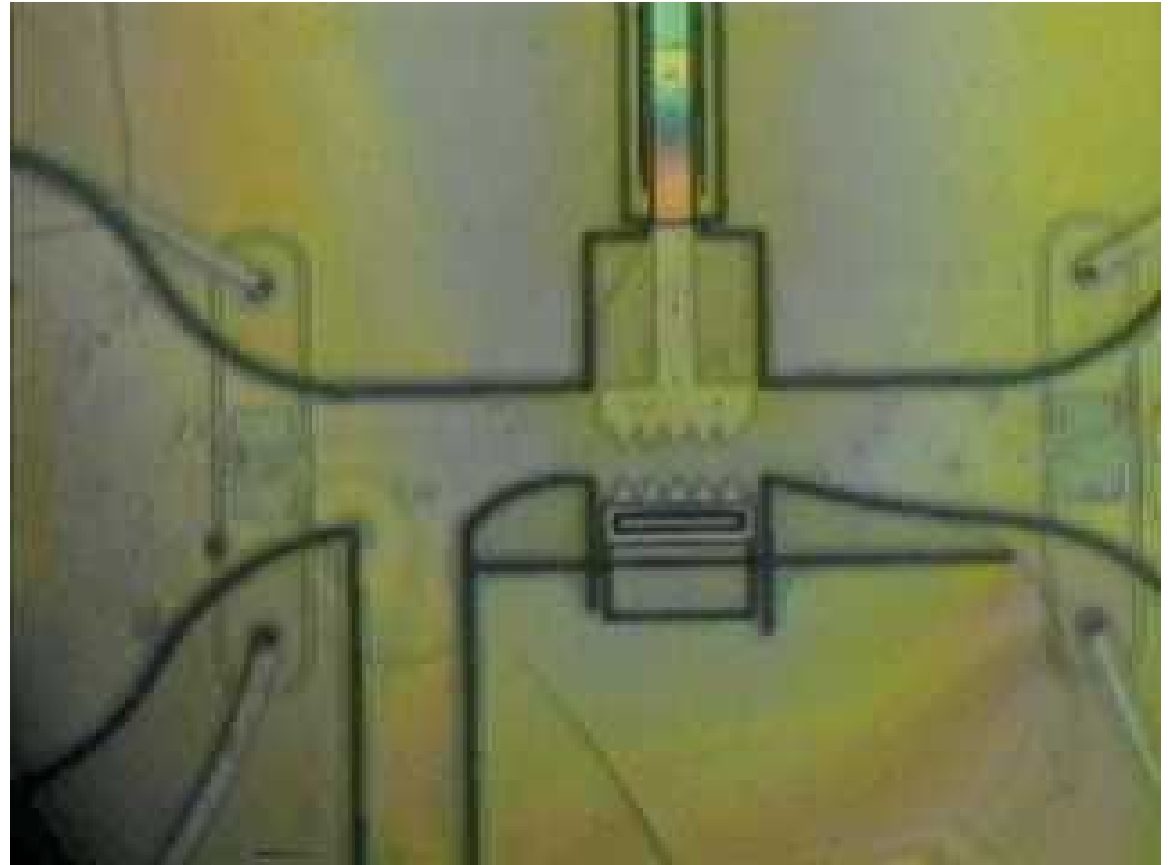
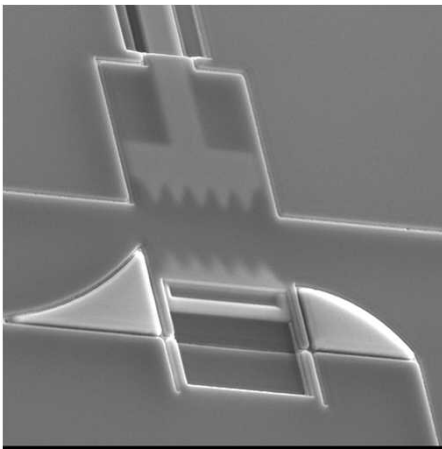
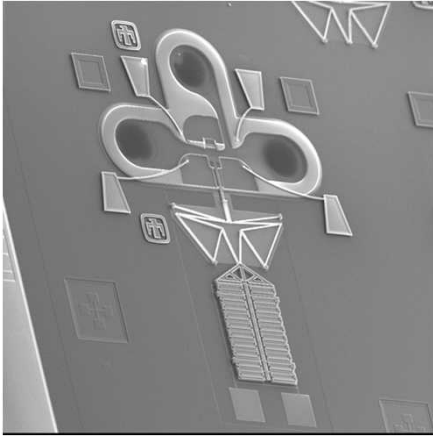
sacrificial layers of  $\text{SiO}_2$ , five layers of doped pSi, ~  
200 nm resolution, three layers of  $\text{Si}_x\text{N}_y$



## Fluidic channel fabrication:

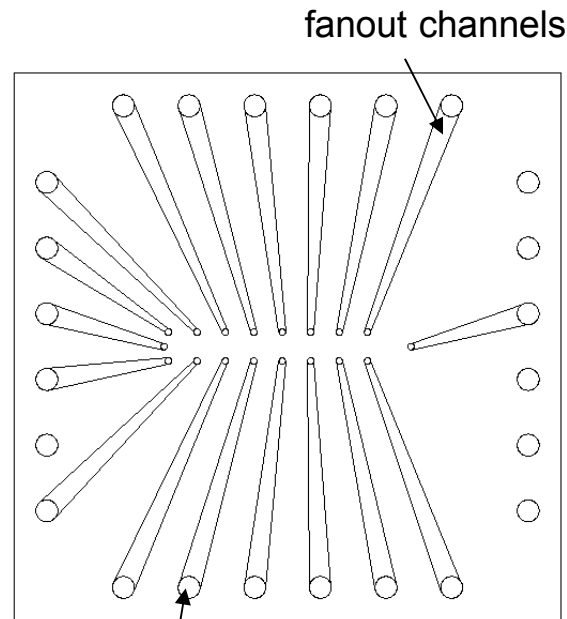


# Mechanical Cell Lysis Device - Example





# Microfluidic Platform – fanout and manifold connections

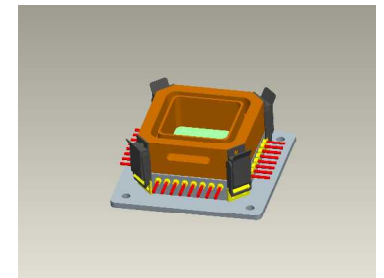
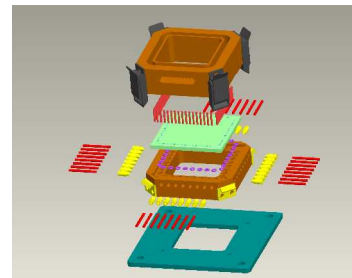
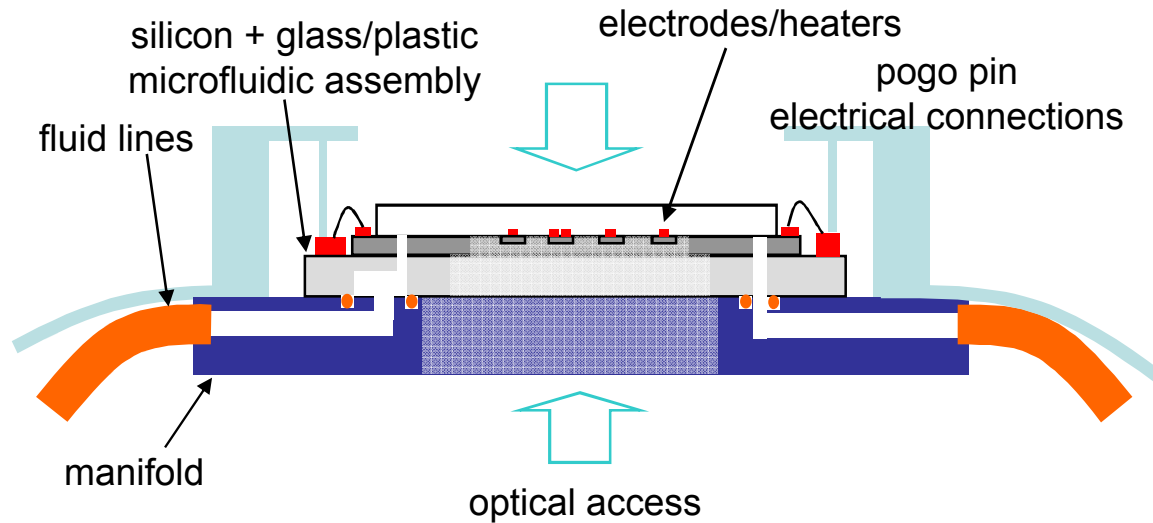


1mm manifold ports

The cover and fan out glass must be anodically bonded to the Microfluidic Synthesis DP.

## Integration package

- 64 pogo-pin connections utilizing one common interface connector
- 32 fluidic connections
- 1.5 inch square top/bottom access
- O-ring seals to 500 psi
- Dowel pin alignment
- 4-place draw-latch compression
- Circuit board connections soldered directly to pogo-pins
- MDM connector for reliable assembly and disassembly



# Microfluidics/BioMEMS applications

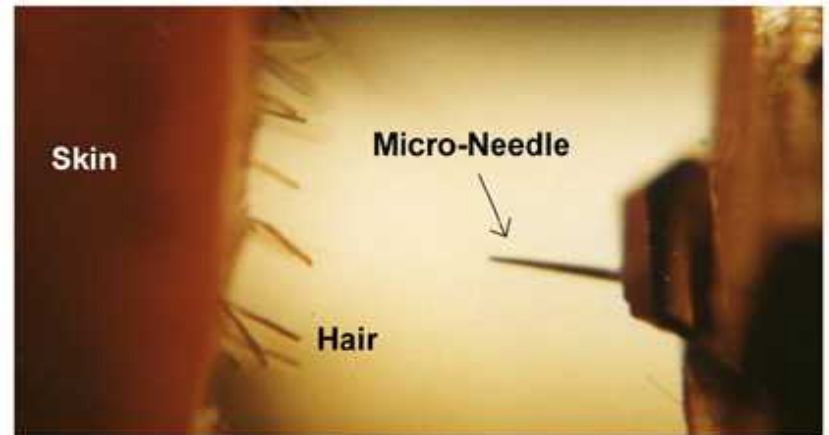
# Drug Delivery



*MiniMed*

**Implantable insulin  
pump**

**Painless blood-analyte  
monitoring**

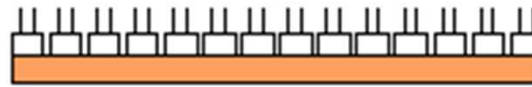


*Kumetrix*

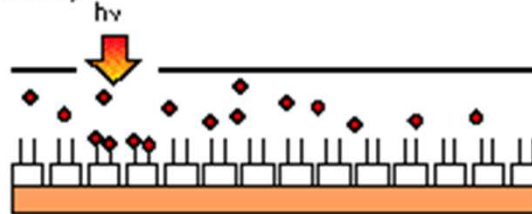
# Sensors

Using photolithography to produce multi-functional surfaces affords multiplexing capabilities to various assay/diagnostic applications

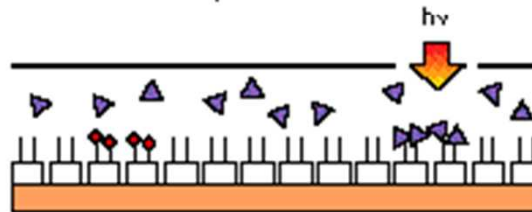
**Step 1:** Avidin D with photobiotin immobilised on the surface



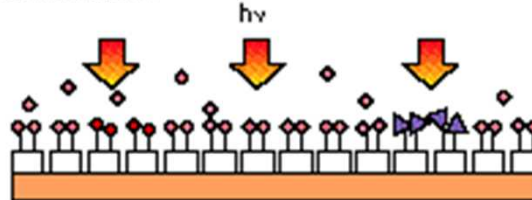
**Step 2:** Exposure of selected areas to light through a mask results in the activation of the photobiotin molecule, and a protein is immobilised specifically



**Step 3:** Unbound material is removed by washing and the procedure is repeated with a second protein

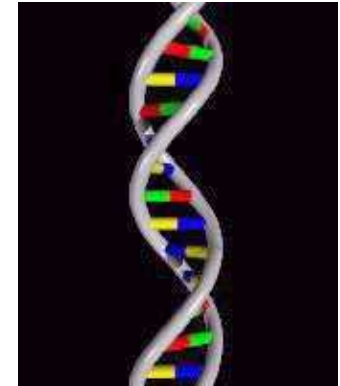


**Step 4:** The entire surface is exposed to light and unreacted photobiotin is blocked with casein

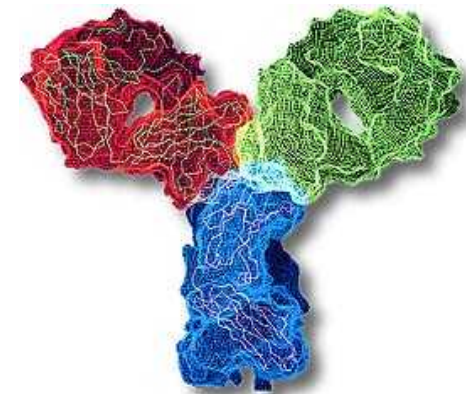


□ Avidin D   ♦ Protein 1   ▲ Protein 2   | Photobiotin ♦ Casein

*Farmanet*

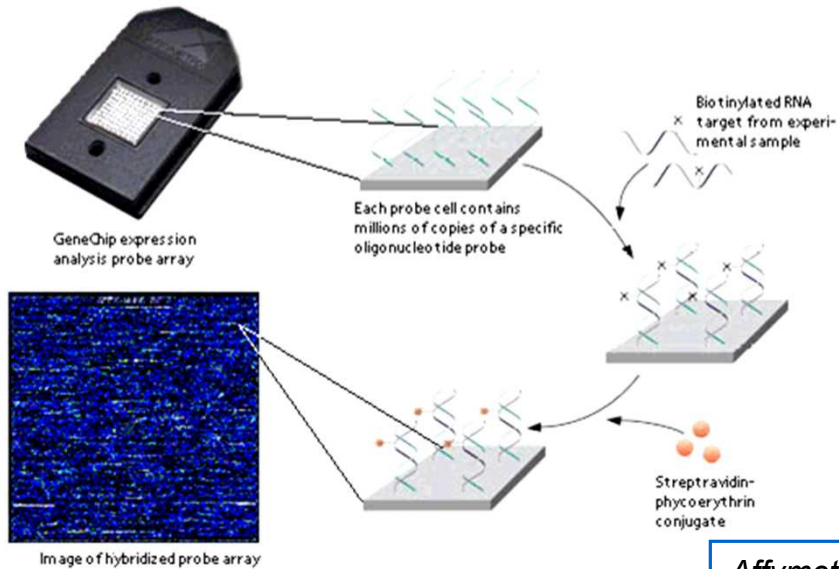


Nucleic acids

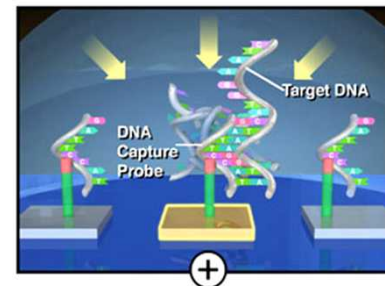
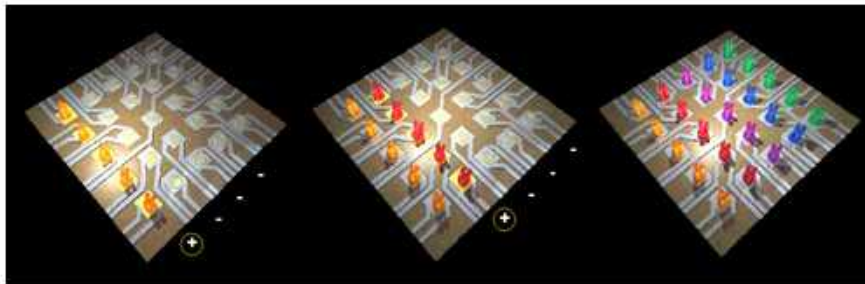
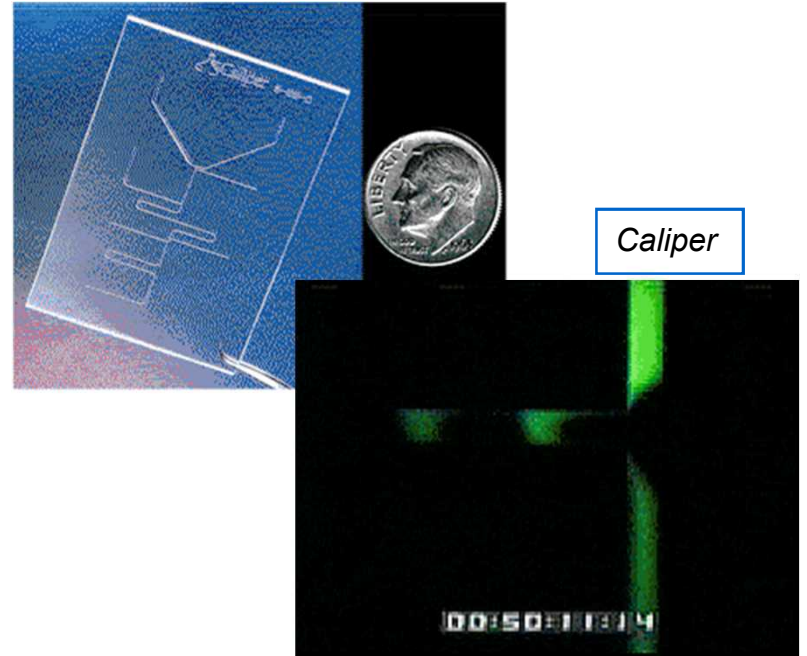


Antibodies

# Drug Discovery / Research Tools



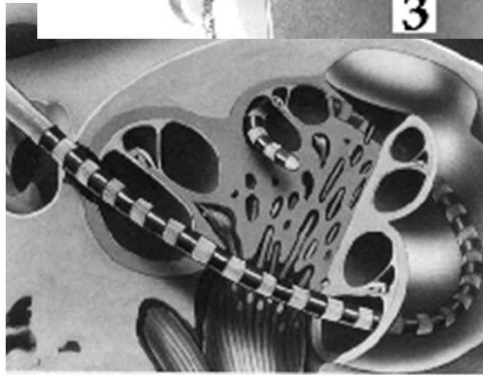
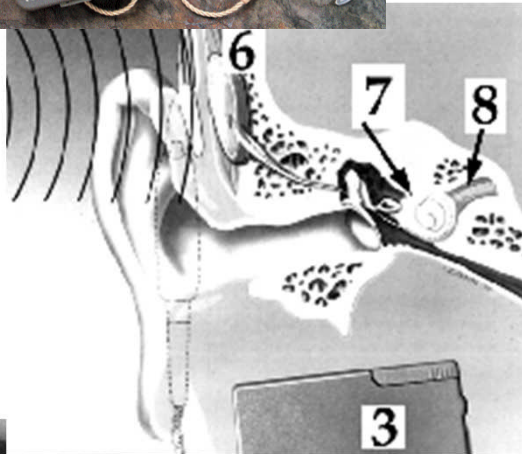
Affymetrix



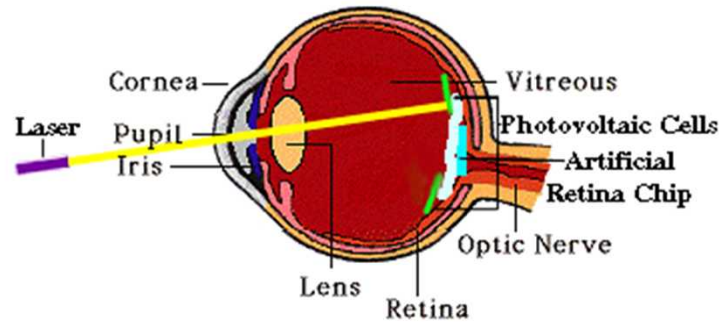
Nanogen



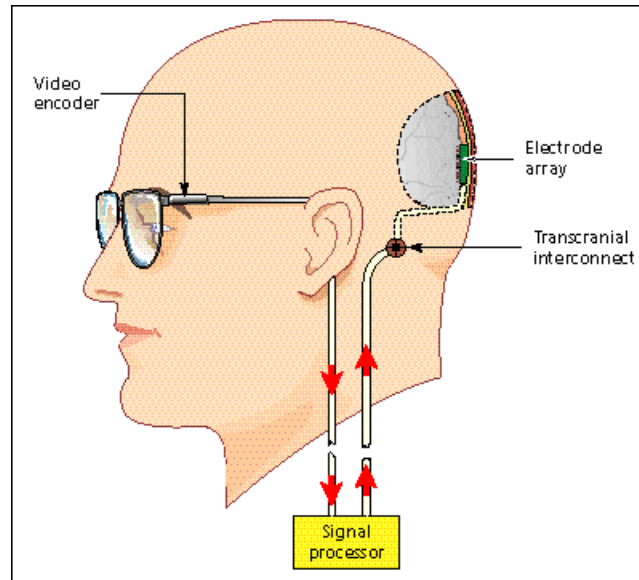
# Prosthetic Devices



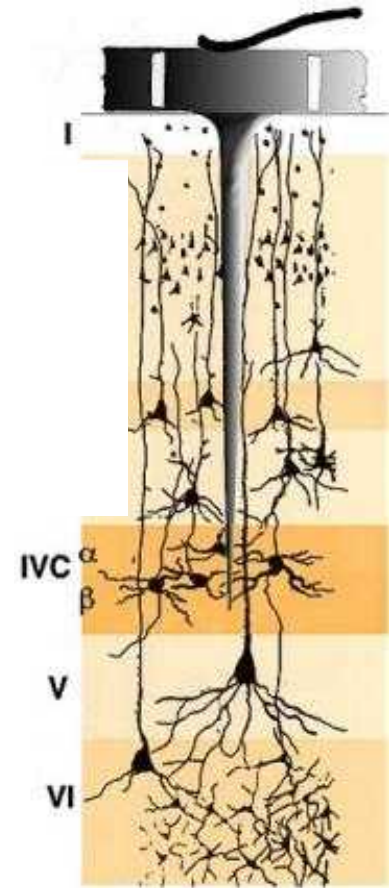
*Spectra*



*Johns-Hopkins*



*IEEE*

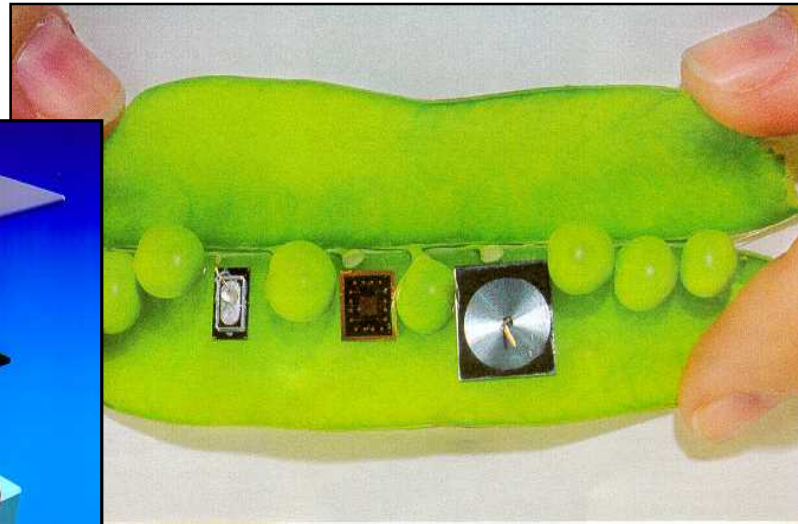
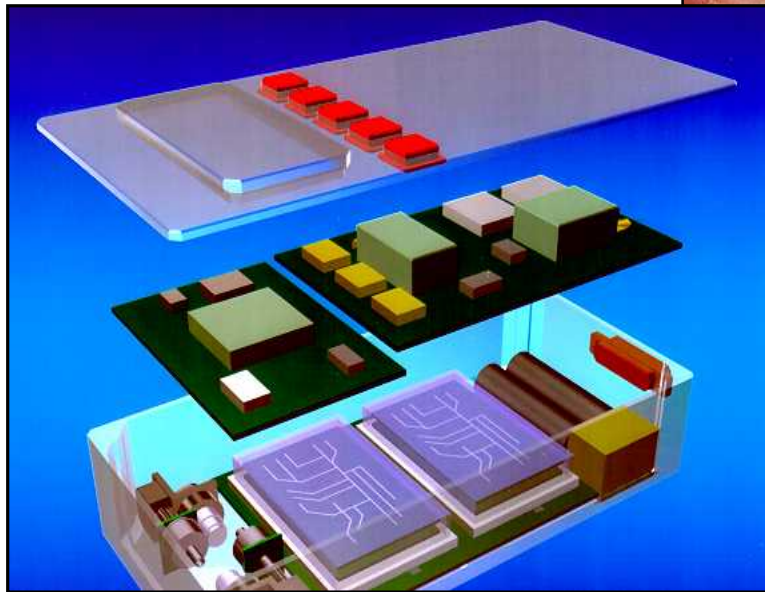


*Bionic tech.*

# Gas-Phase Chem Lab

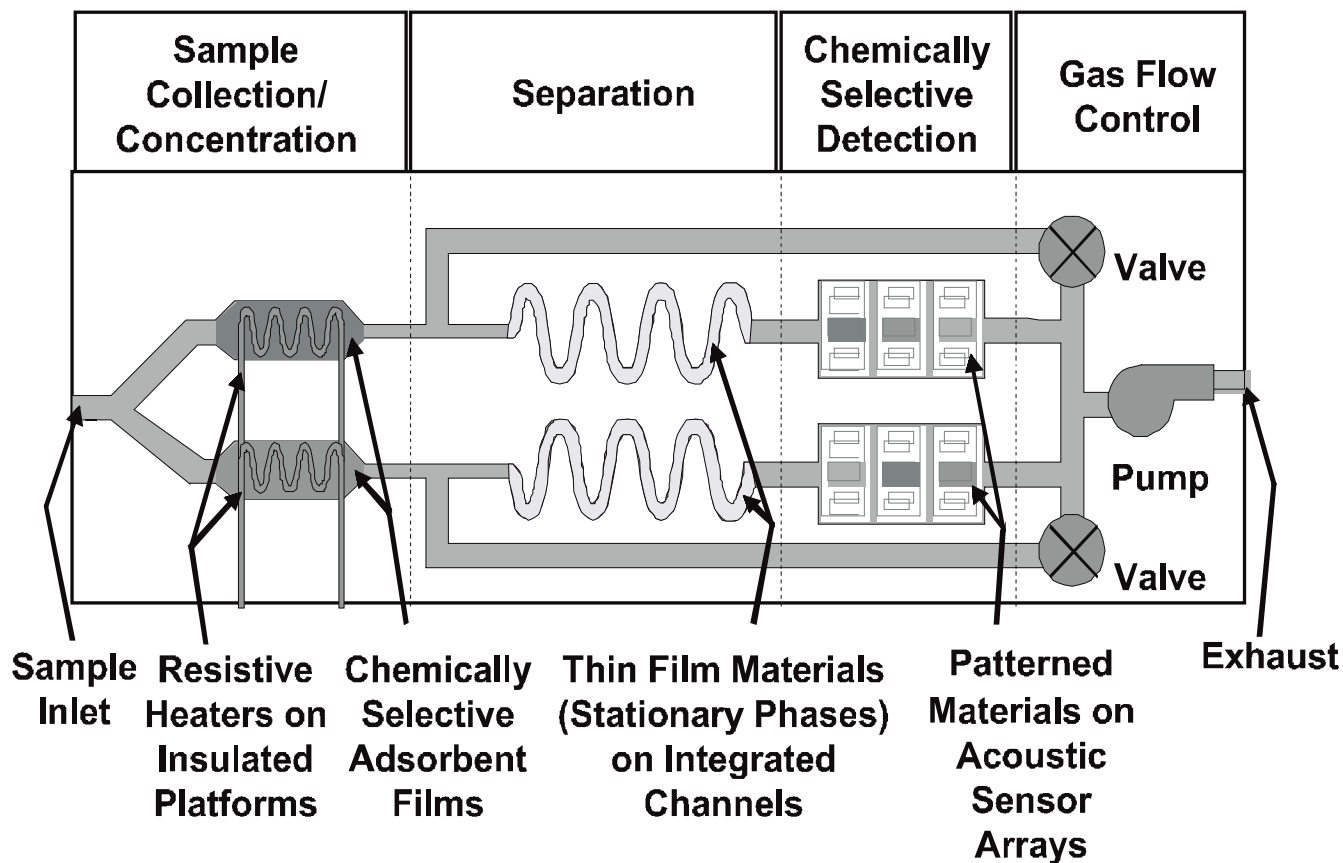
# $\mu$ -Chem-Lab-on-a-Chip

- A portable device to detect chemical warfare agents in air samples.
- Integrated microfluidics, electronics, and chemistry

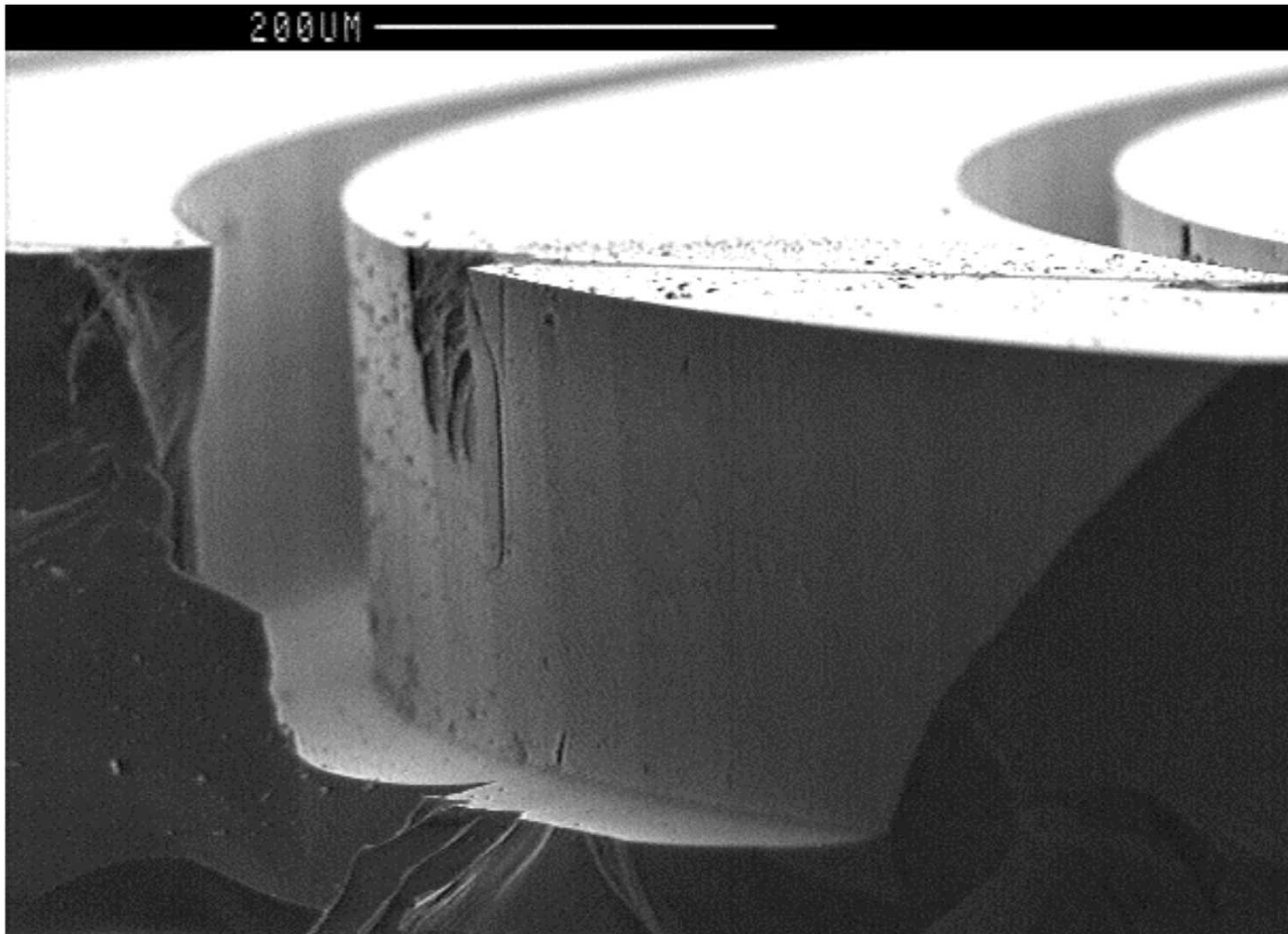




# Chem-Lab - Integration of components



# Chem-Lab - GC column fabrication

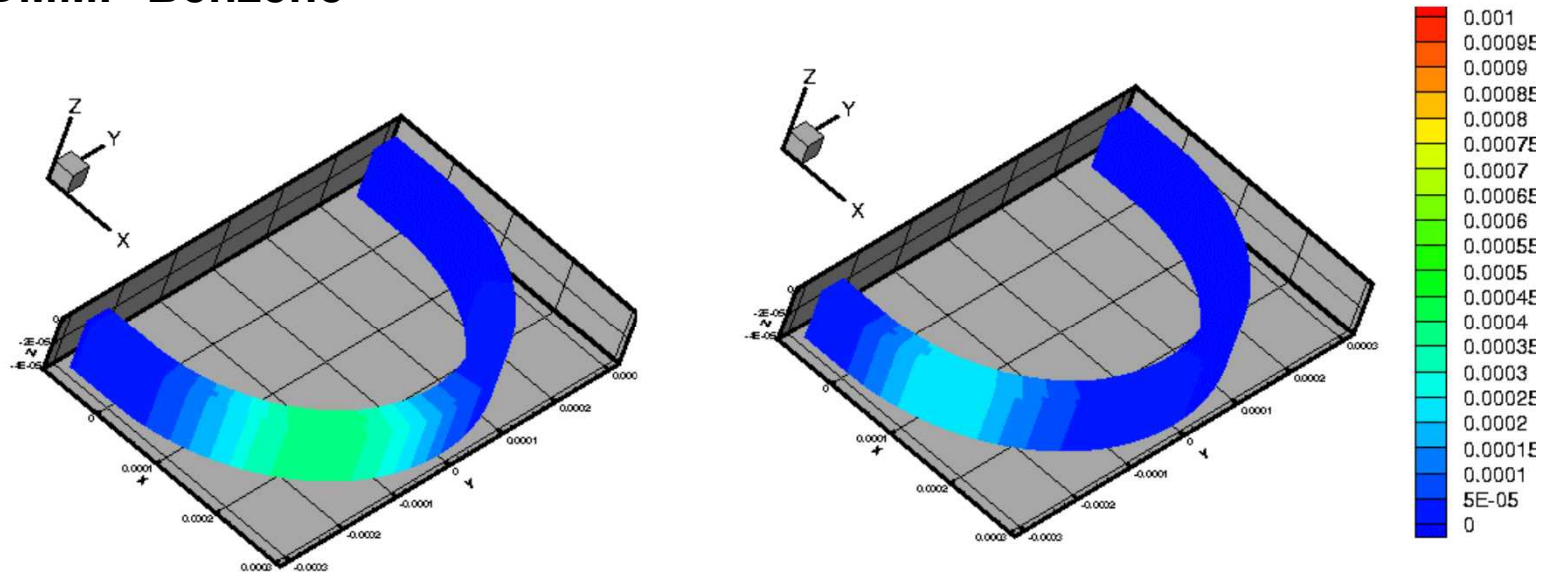


# Species Transport and separation

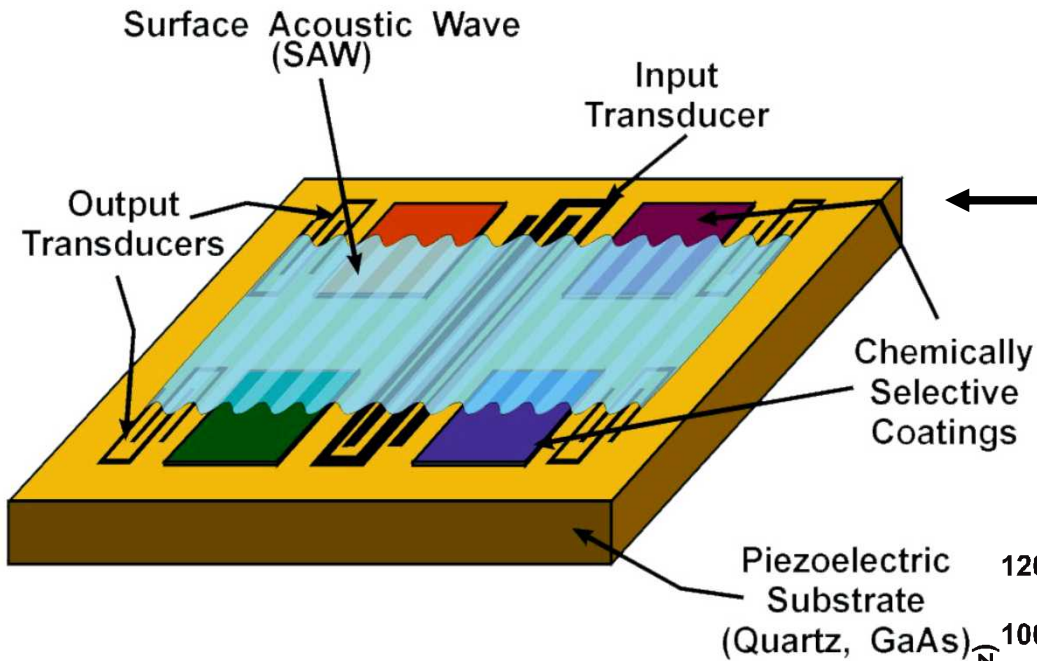
MPSalsa simulations of species transport and separation in GC columns:

3D xyz channels:  $d=40$  microns,  $h=40$  microns,  $l=1$  mm,  $R=270$  microns,  
 $DP=126$  Pa

Air-DMMP-Benzene

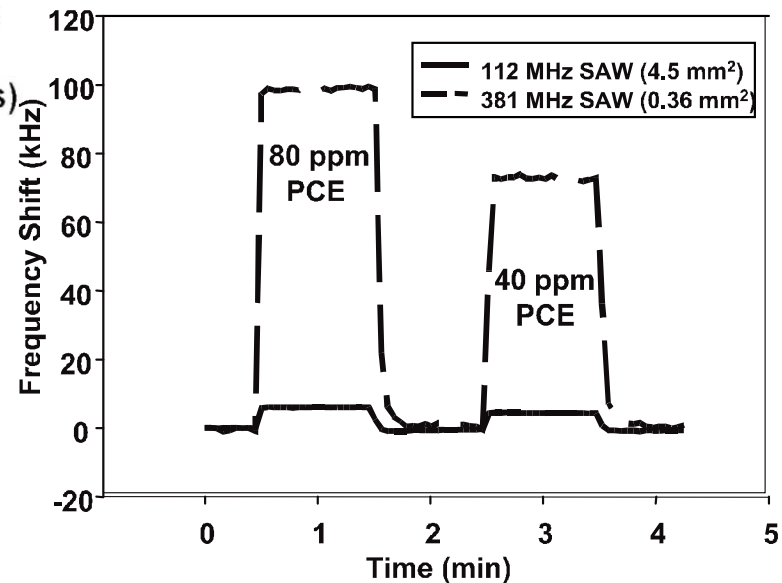


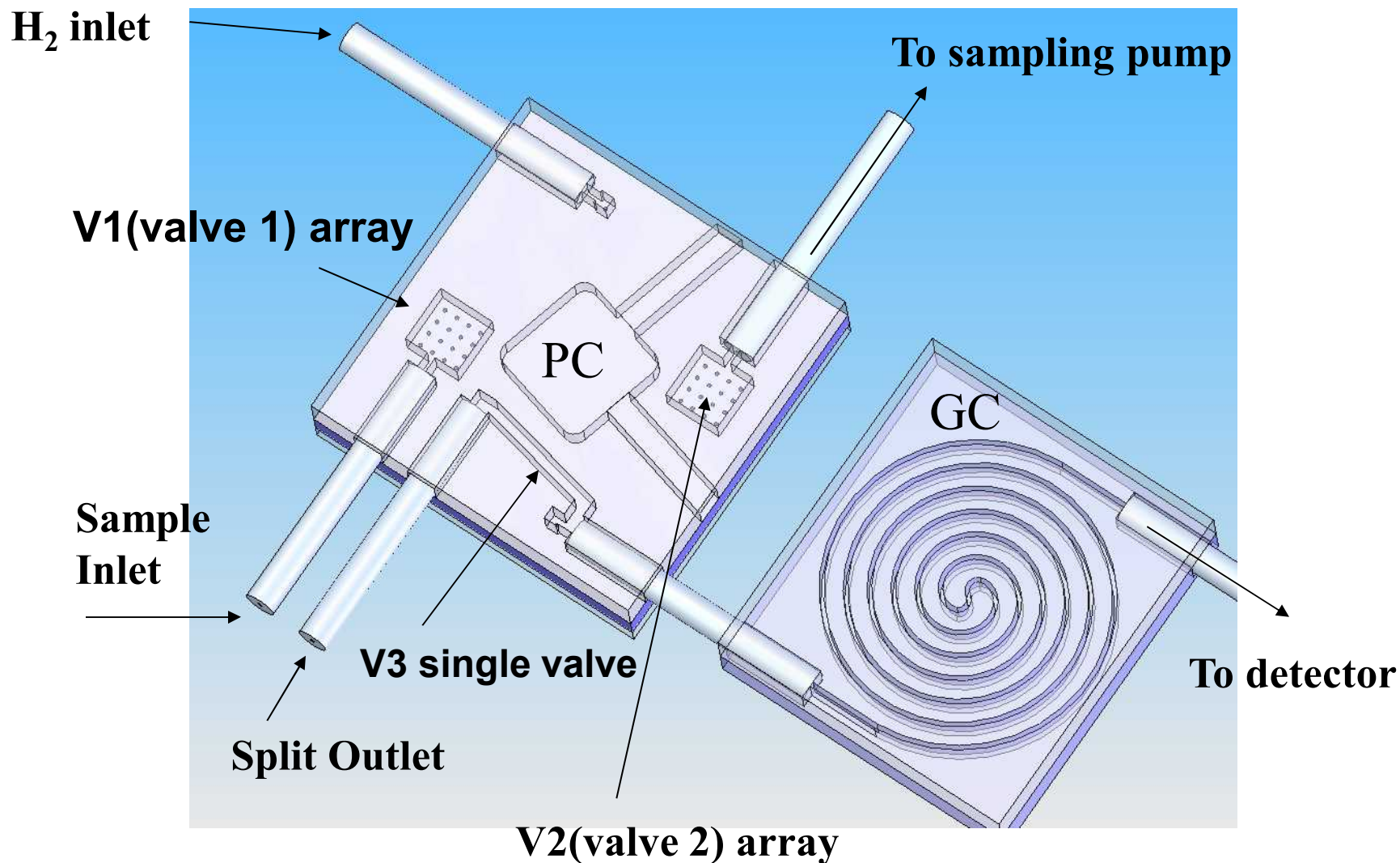
# SAW (surface acoustic wave) detector



SAW sensor components

SAW sensor output →

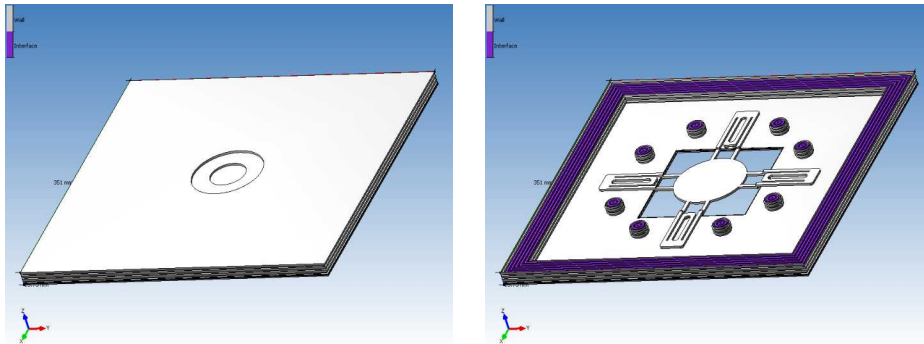




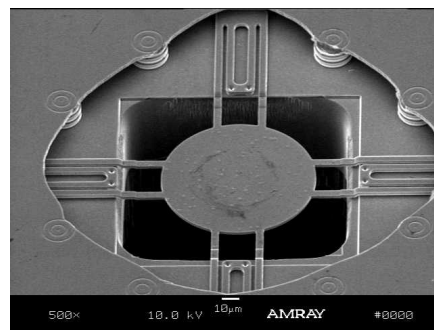
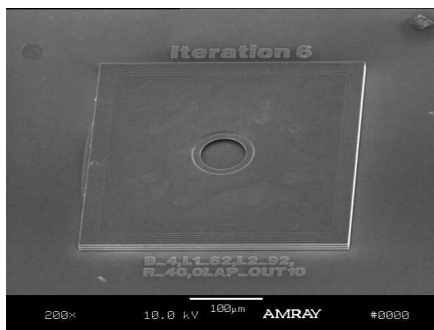
**Figure 1. Integrated MEMS valve array/pre-concentrator (PC) chip reduces gas chromatograph (GC) inlet sample volume allowing fast, high resolution separations. Very small dead volume capillary tubes connect PC to GC and other components.**

# Passive MEMS Check Valve

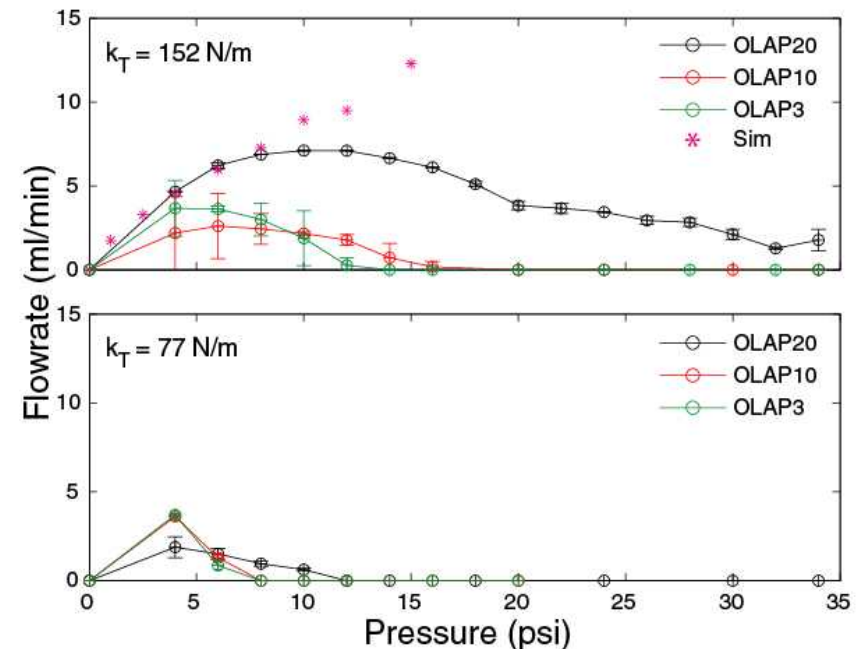
Designed a set of passive MEMS check valves for an internal customer. The SUMMIT V™ valve remains fully open when pressurized below 5 psi, and fully closes when pressurized above a certain psi (varies for different designs). The flap seals against a surface micromachined lid on the front of the wafer. The footprint for a single valve is 0.35 mm x 0.35 mm, and the maximum flowrate through one valve is ~4.5 ml/min at 5 psi.



Schematic design of a passive MEMS valve. On the right, the lid is removed to display the valve flap and springs. The inlet (through the wafer) is 125  $\mu\text{m}$  wide and the outlet (through the front-side lid) is 40  $\mu\text{m}$  wide.



SEM images of two valves. One valve lid is partially removed. The designed spring constant = 152 N/m. The valve is pressurized from the backside of the wafer, and the flap seals against the surface-micromachined lid.

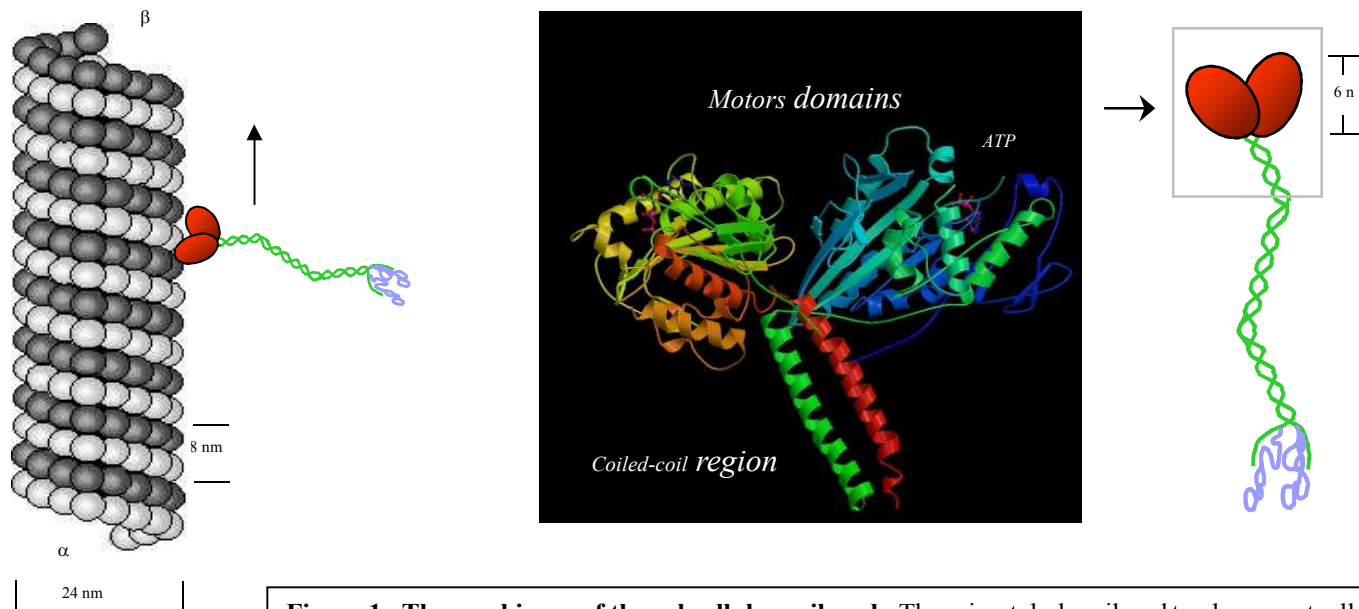


Flowrate through two valve designs (spring constant,  $k_T = 152$  and  $77 \text{ N/m}$ ) as a function of pressure. OLAP3: 3  $\mu\text{m}$  radial overlap between the lid and flap, producing a 74  $\mu\text{m}$  diameter outlet; OLAP10: 10  $\mu\text{m}$  overlap, 60  $\mu\text{m}$  outlet; OLAP20: 20  $\mu\text{m}$  overlap, 40  $\mu\text{m}$  outlet.

# Molecular Motors



# Nanofluidics – molecular machines (I)

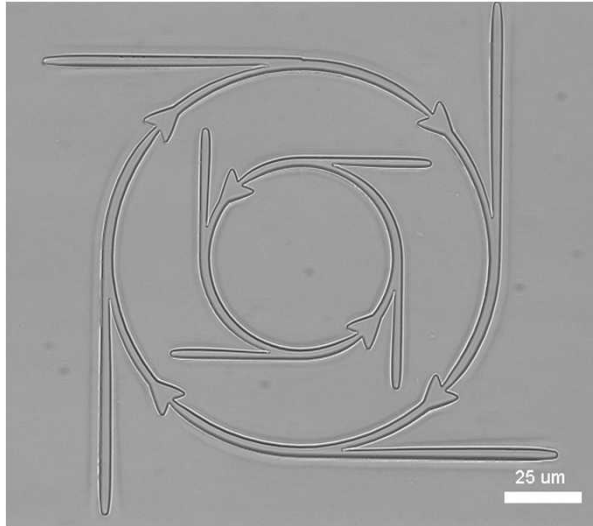


**Figure 1. The machinery of the subcellular railroad.** The microtubule railroad tracks are actually nanotubules, hollow tubes 24 nm in diameter, formed by the self-assembly of tubulin subunits. MTs have a lattice structure with an 8 nm periodicity corresponding to the dimensions of the subunits and are structurally polar due to the asymmetry of the subunits. The kinesin motor proteins consist of two protein chains entwined into an elongated molecule about 80 nm in length. The force generating motor domain of kinesin is a pear-shaped globular domain with dimensions of only 4 x 7 nm (shown in red). We believe this system can be integrated into microdevices to achieve levels of device sophistication that are not now possible.

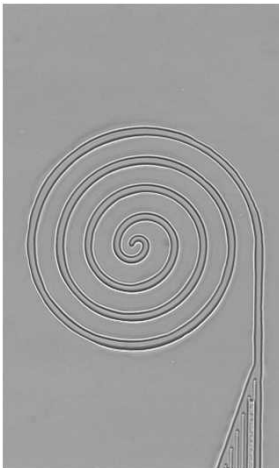
From Dr. Russell Stewart – University of Utah

# *Topography guided microtubule transport*

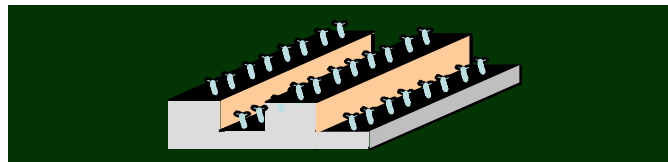
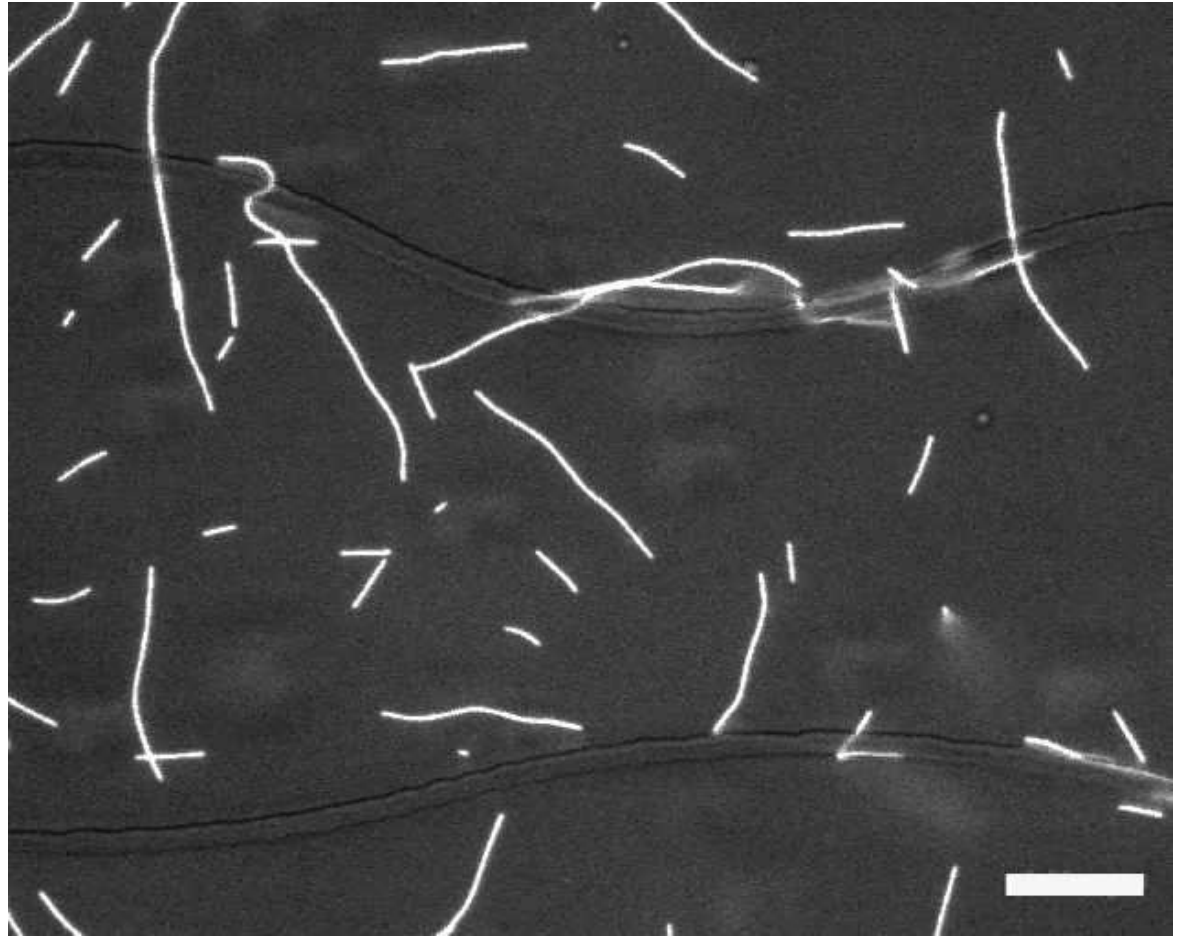
From George Bachand, Sandia National Laboratories



*Collaboration  
with V. Vogel  
(Univ. of Wash.)*



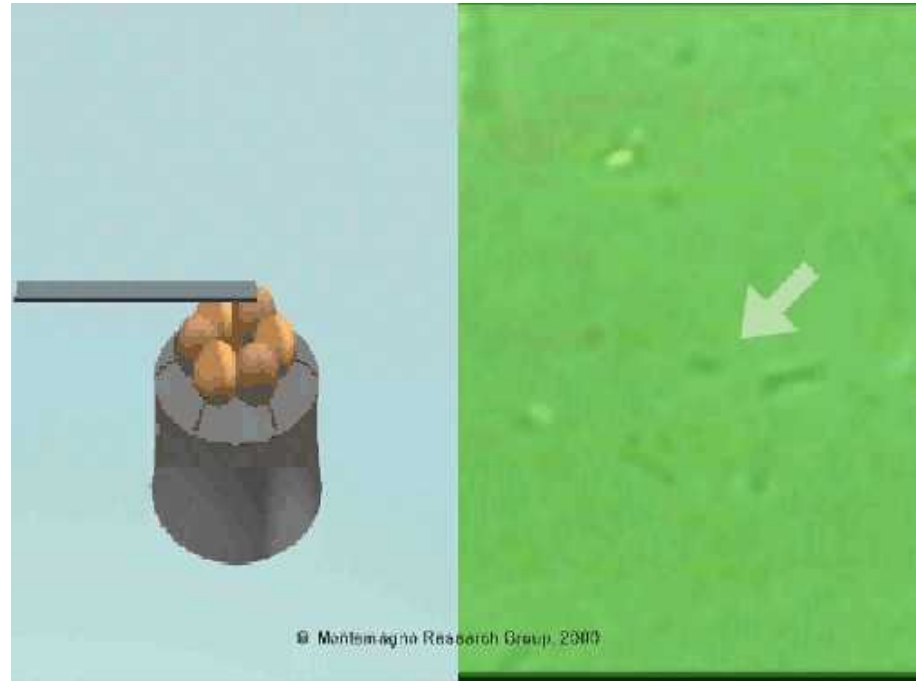
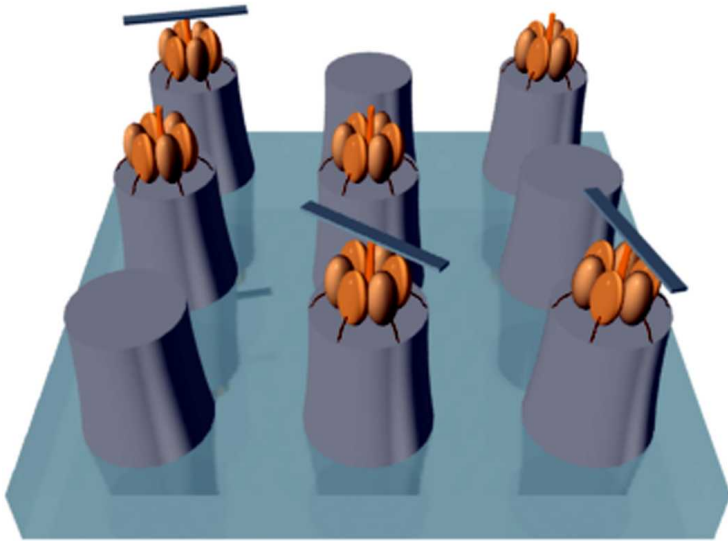
*Fabricated  
by Carolyn  
Matzke  
(1763)*



# *ATP Synthase – Powered Nanodevices*

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1. Nickel capped posts (80 nm x 200 nm)
2. F<sub>1</sub>-ATPase motors
3. Nickel propellers (150 nm x 750-1500 nm)



- Rotational velocity: 1.5 – 8.5 rps
- Rotation Torque: 40 pN•nm per step
- Efficiency: 50%
- Duration: >2 hrs

*Soong, R.K., Bachand, G.D., et al. (2000) Science 290: 1555*  
*Montemagno Research Group, Cornell University*

From George Bachand, Sandia National Laboratories

# Summary

- MEMS (started ~1960) is now part of our everyday life with products ranging from airbag accelerometers to digital TV's. New applications and research are on-going.
- Microfluidics (started ~1980) associated with analytical instruments, ink-jet printing and solution based fabrication is now a full fledged technology. New applications and research are on-going.
- BioMEMS – integration of machines and biology (including people) is in a similar state of development to microfluidics. New applications and research are on-going.
- NEMS (started ~1990) is still in the laboratory, but first applications are probably not far away (e.g. quantum dots and nanoparticles). New applications and research are on-going.

See JMEMS (Journal of Micro-Electromechanical Systems), Journal of Micromechanics and Microengineering, Sensors and Actuators, Nanotechnology, Microfluidics and Nanofluidics, Small Times, Sandia MEMS Short Course

# Future Directions

- MEMS sensors
  - Physical – accelerometers, gyros, force, pressure
  - Chemical – fluorescence, antibody based, beads
- RF MEMS
- MOEMS – Micro-Opto-Electro-Mechanical Systems
- MEMS actuators
  - Higher force actuators (e.g. thermal)
  - MESO scale actuation
- Microfluidics
  - surface tension based pumping, EKP, surface control (hydrophobic/hydrophilic), PDMS and beyond.
- Nano-cantilevers, Molecular machines, Nano-particles (e.g. Quantum dots), Nano-optics.