

# **Defect Localization Developments: Science and Impact**

**Edward I. Cole Jr.**  
**Failure Analysis Department**  
**Sandia National Laboratories**  
**Albuquerque, NM USA**

# Important Parts of Failure Analysis



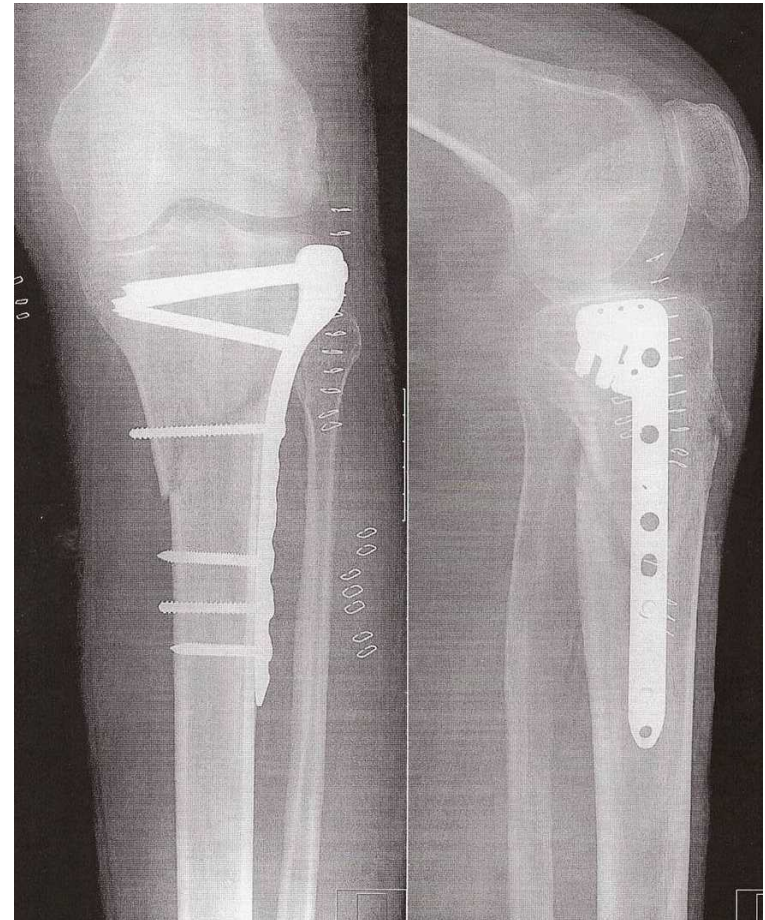
**Failure Localization**

# Important Parts of Failure Analysis



**Failure Localization**

## Corrective Action



# Purpose

- **To describe techniques that have and are still making an impact in defect localization**
  - **what, why, and how**
- **Not a complete list by any means**
  - **highlights in 3 particular areas**

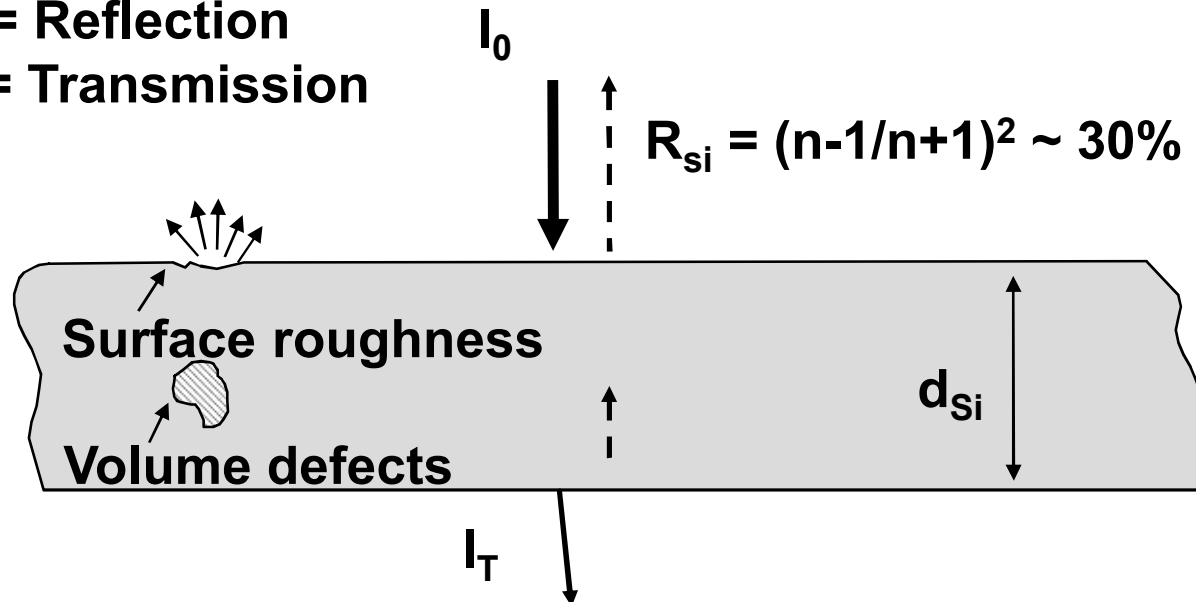
# Outline

- **Optical beam approaches**
  - Reflected light, OBIC, LIVA, TIVA/OBIRCH, SEI, SDL/LADA
  - Improved resolution with SILs
- **Light emission and PICA**
- **Magnetic field analysis**
- **Summary**

# Transmission Through Bulk Si

R = Reflection

T = Transmission



$$T_{Si} = I_T / I_0 = (1-R_{Si})^2 \cdot \exp(-\alpha d_{Si})$$

scattering due to  
surface roughness

$\alpha_{Si}(\lambda) =$

$\alpha_{n,p}(\lambda) =$

$\alpha_{volume} =$

bandgap related absorption

free carrier absorption

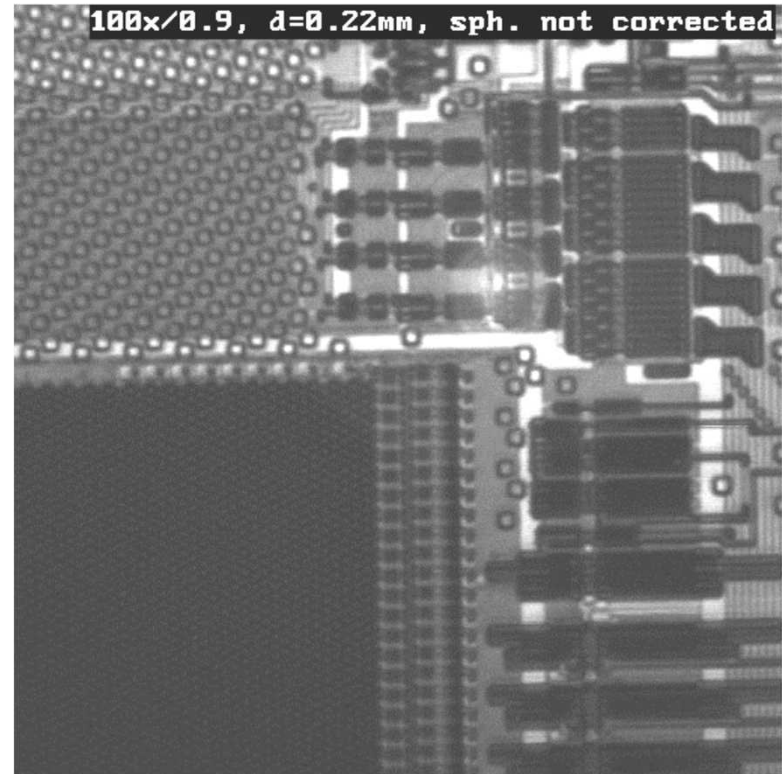
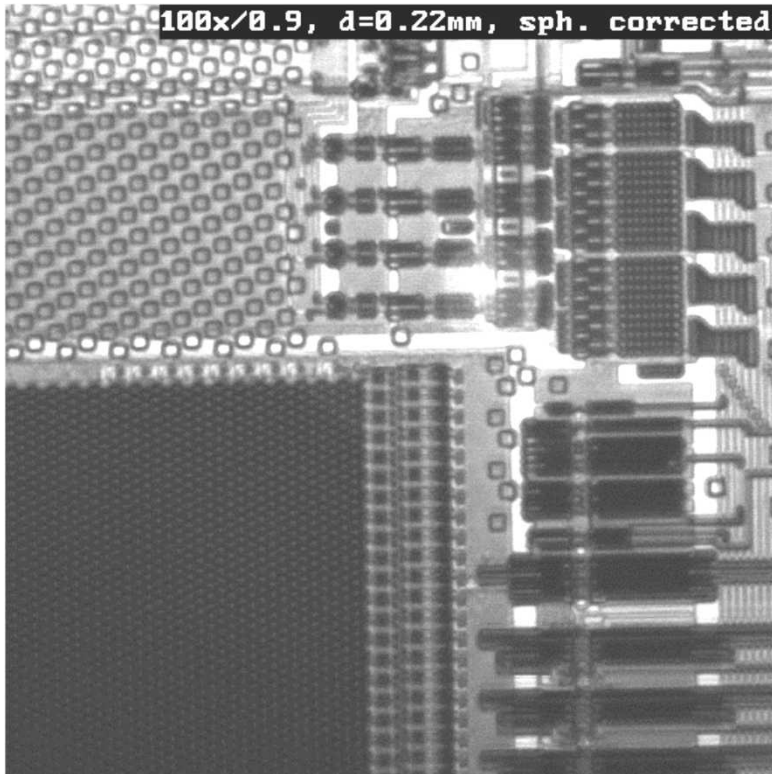
scattering due to volume  
defects (neglect)

# Optical Image Formation from the Backside of the Die: Key Issues

- Surface roughness:  $\text{rms} < 5\text{nm}$
- reduced lateral resolution:  
→ best image formation: confocal laser scanning microscope
- lens failure for large NA: spherical aberration  
→ use of a corrected microscope objective ( 100X)  
or thinning the die

$$res = \frac{0.61 \cdot \lambda}{NA}$$

# Zeiss IR confocal LSM ( 1152 nm)



$\rho_{\text{substrate}} = 1 \times 10^{19} \text{cm}^{-3}$ ;  $d = 220 \mu\text{m}$ ; 100x objective NA=0.9



# Why Use Optically Based Tools?

- Flip-chip packaging, dense metallization
- Si's transparency at infrared wavelengths
- Benign nature of optical techniques
- Availability of scanning laser microscopy equipment

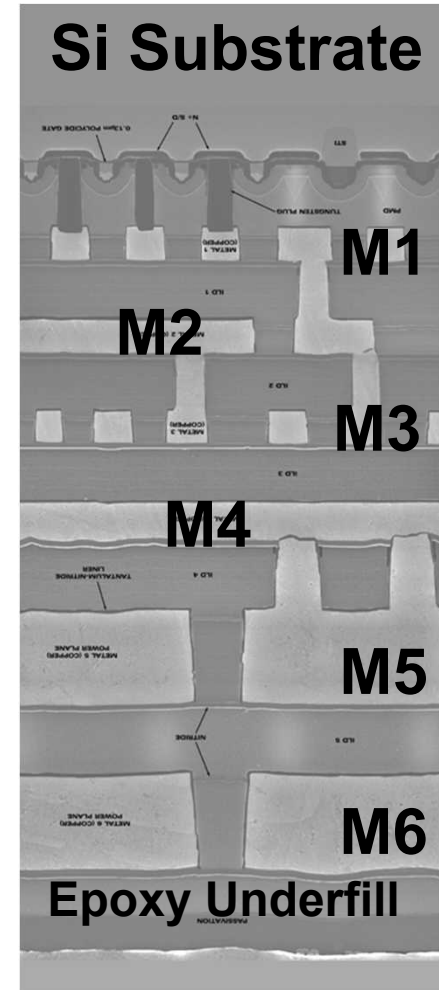
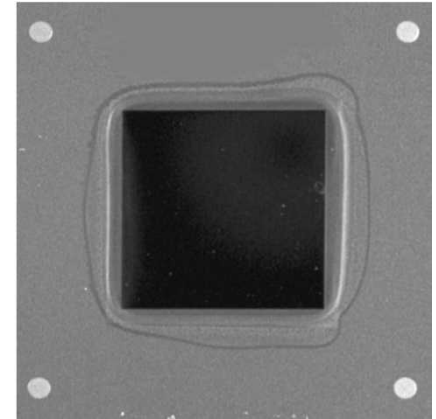


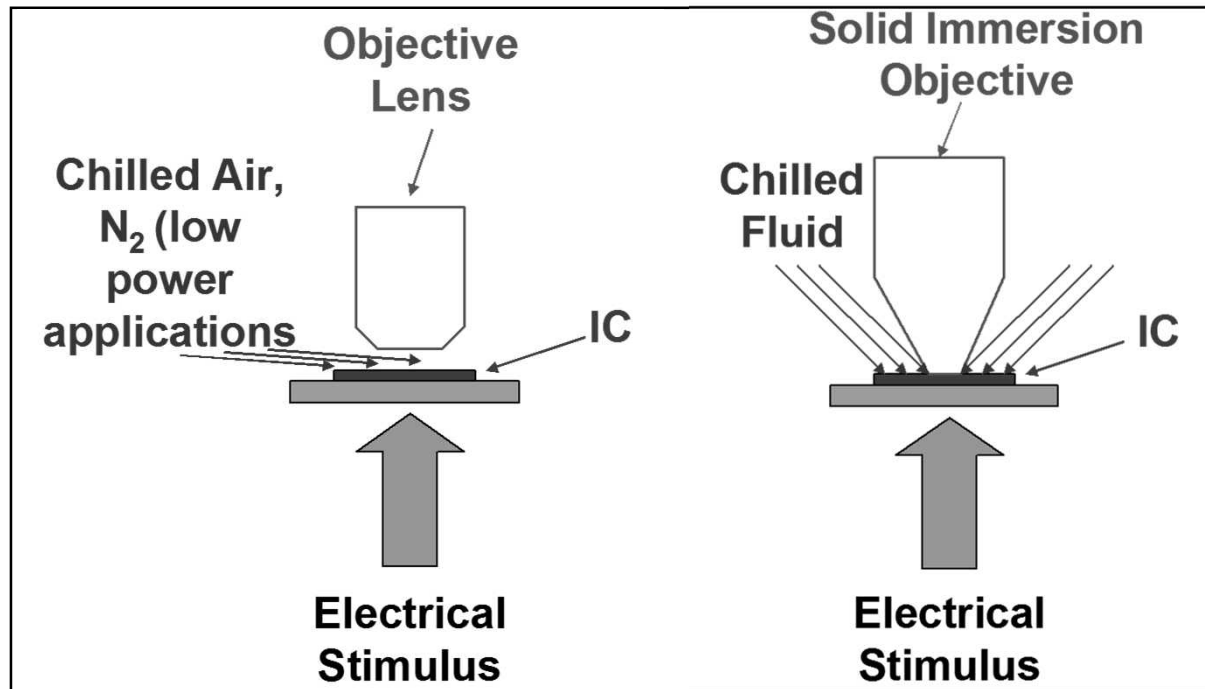
Image from Chipworks  
([www.chipworks.com](http://www.chipworks.com))

# Practical Issues

- Sample preparation
  - thinning/polishing/AR coating
- Fixturing/Device Stimulus
- Heat Dissipation

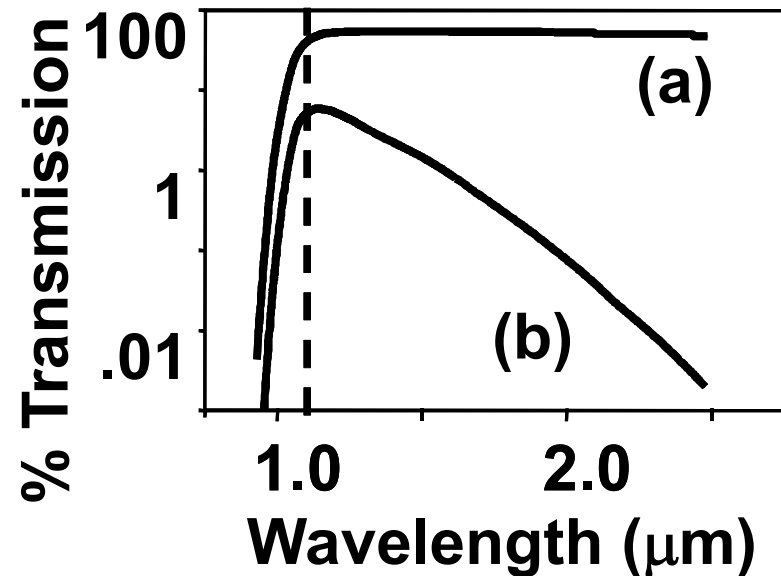


Polished die



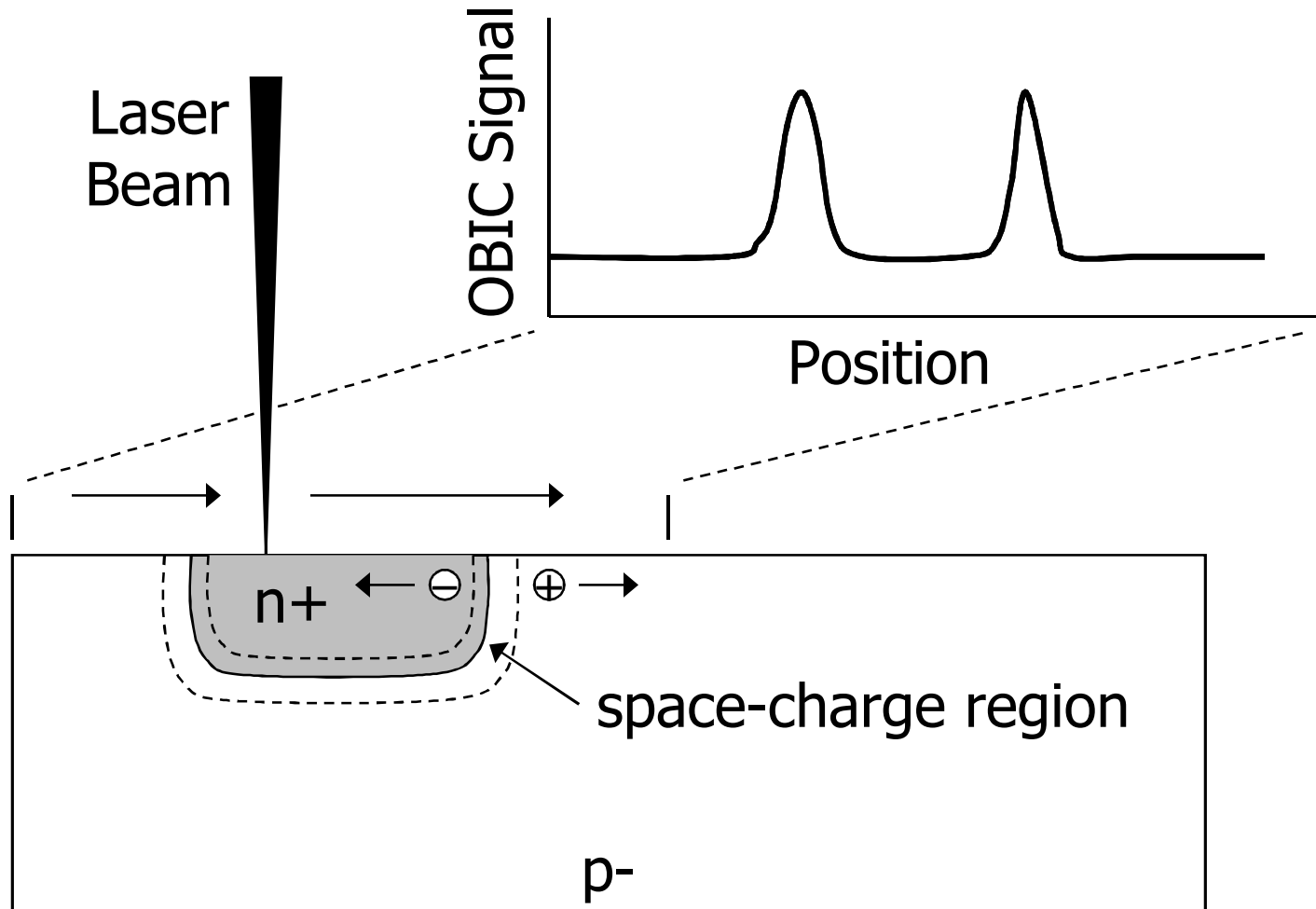
# Laser/IC Interaction Physics

- Transparency
- Two main interactions
  - Photocurrent generation
    - $\lambda < 1100$  nm
  - Thermal gradients
    - $\lambda > 1100$  nm

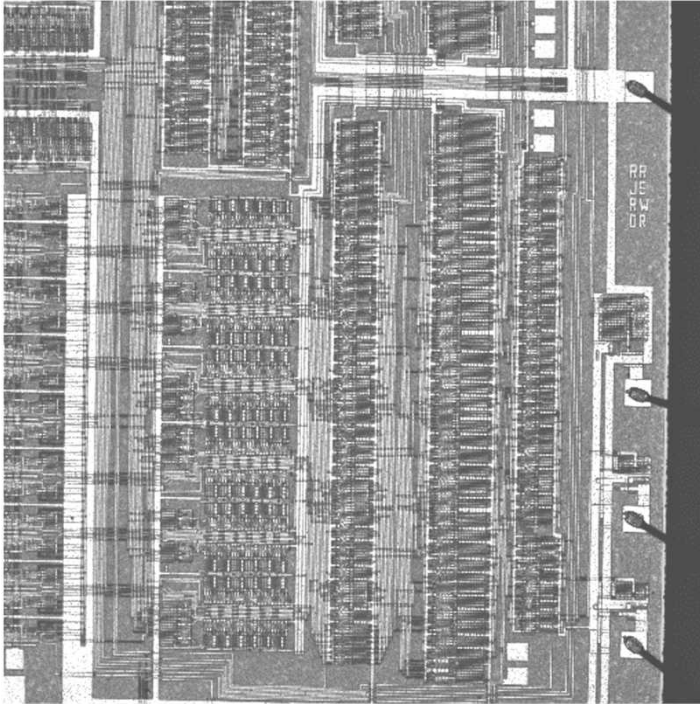


Dopant conc. x 10<sup>16</sup> cm<sup>3</sup>  
(a) 1.5 , (b) 730

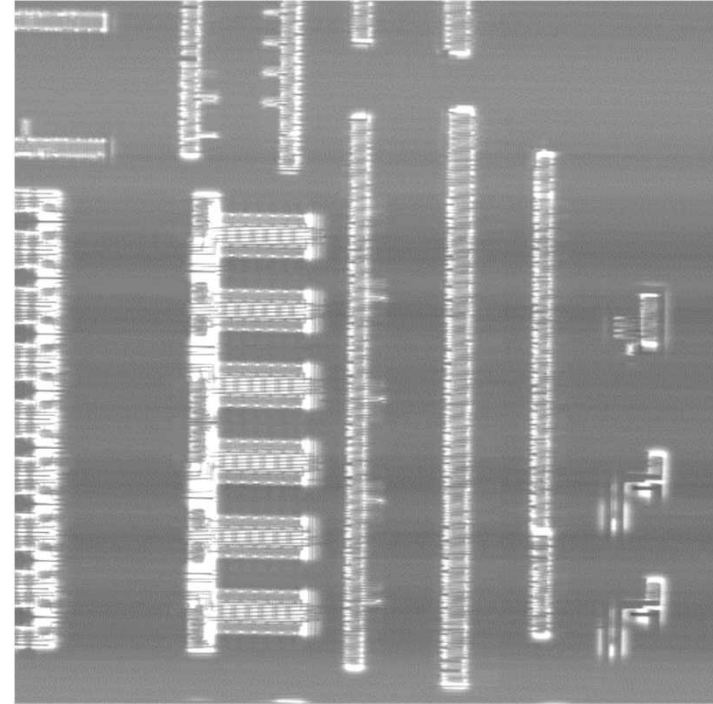
# OBIC – Physics of Signal Generation



# OBIC Image



Reflected Light Image



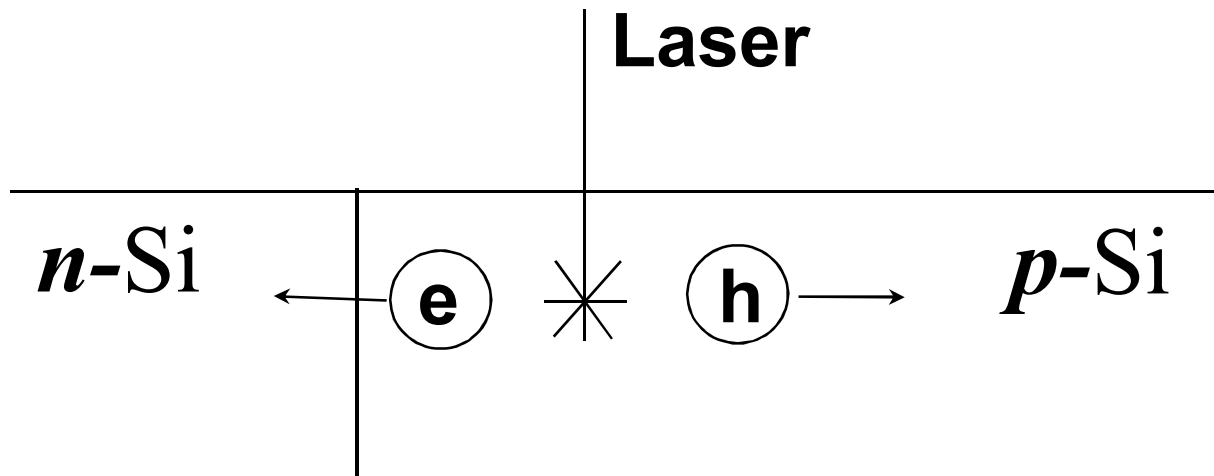
OBIC Image

# **Light-Induced Voltage Alteration (LIVA)**

- **Enables:**
  - quick localization of defective junctions  
and junctions connected to defects**
  - imaging of transistor logic states (off or on)**
- **Easily implemented on existing scanning optical  
microscopy (SOM) equipment**

# Electron-hole pair (ehp) Generation from Photons

- Photons injected into Si with energies greater than the indirect Si bandgap ( $\sim 1.1$  eV) will produce ehps
- Nonrandom recombination of ehps will produce a “photocurrent” that affects IC operation



# **Light-Induced Voltage Alteration (LIVA)**

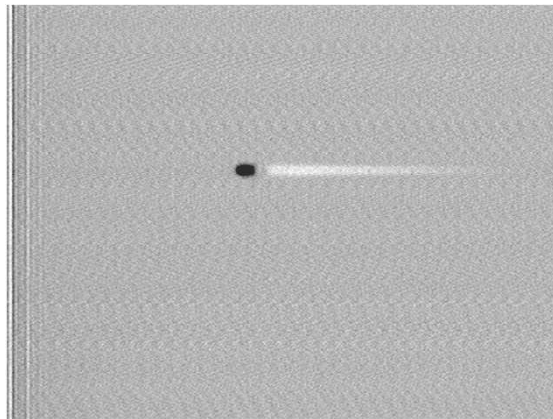
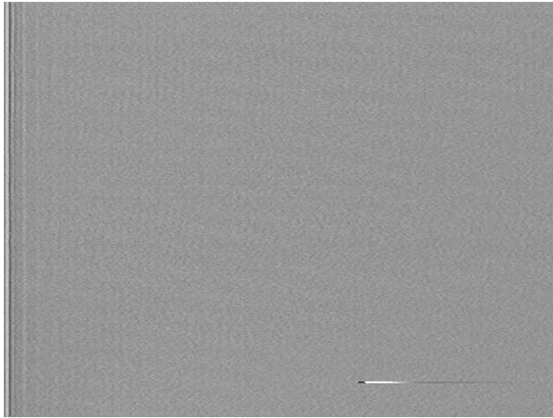
- **Information yielded:** SOM technique to localize diffusion related defects and transistor logic states from the IC front and backside
- **Physics behind use:** photons with energy greater than Si indirect bandgap make ehps (like EBIC), ehps affect IC operation, supply IC with a constant current source and monitor voltage changes with photon beam scan (like CIVA), diffusion defects and logic state voltage gradients alter IC power demands, proper IR laser makes backside analysis possible



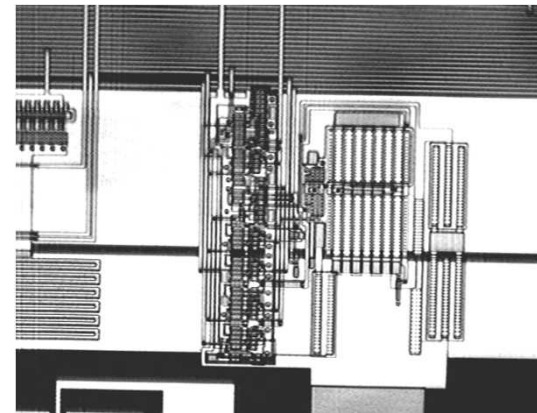
# Light-Induced Voltage Alteration (LIVA) con't

- Implementation: need SOM with proper wavelength lasers, electrical connection, sample preparation for backside analysis, high power ICs and heavy doping decreases signal sensitivity
- IC damage: backside sample preparation must be done with care

# Backside LIVA Defect Imaging

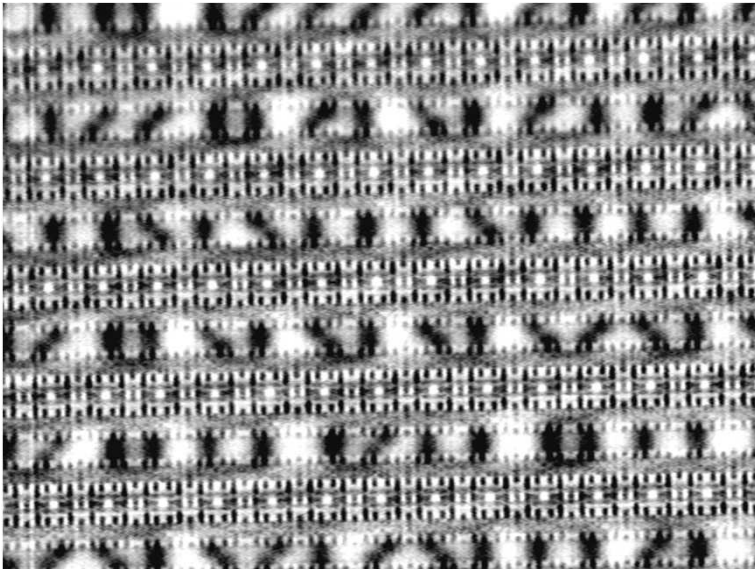


**LIVA Images**

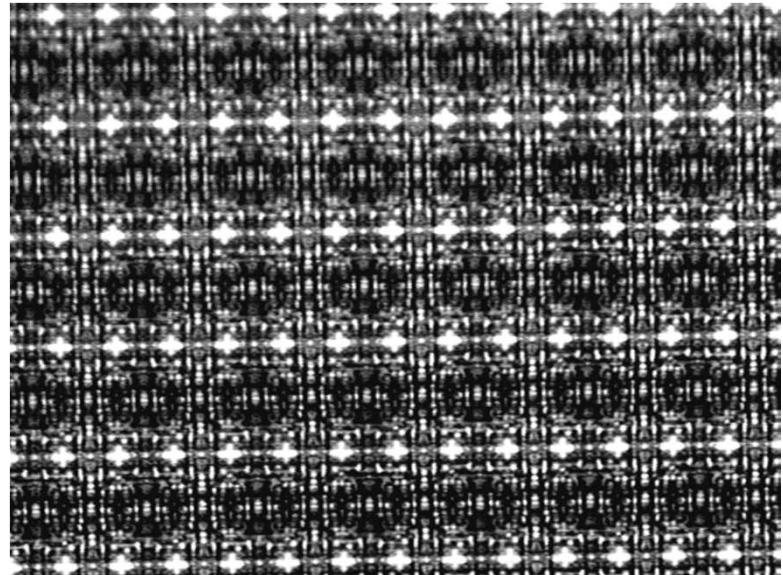


**Reflected Images**

# Backside LIVA Logic State Imaging



**LIVA Image**



**Reflected Light Image**

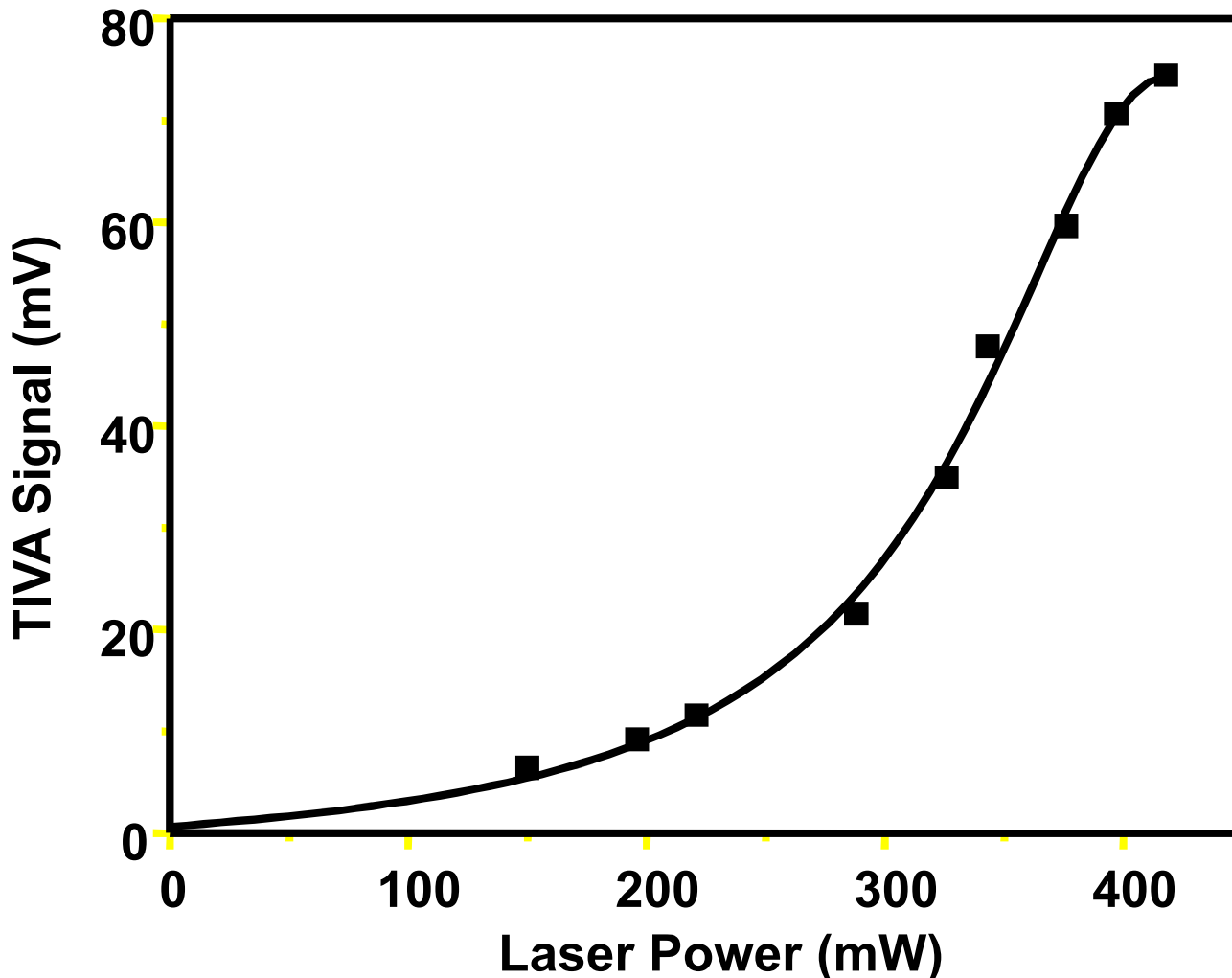
# Thermally-Induced Voltage Alteration (TIVA) and Seebeck Effect Imaging (SEI)

- Information yielded: SOM technique to localize open interconnections and short sites from the IC front and backside
- Physics behind use: photons with energy less than Si indirect bandgap to generate heat, no ehps, shorts localized by resistance change (OBIRCH), opens located by Seebeck effect-thermal gradients produced voltage gradients, sensitivity increase using constant current biasing, (constant current OBIRCH proposed by Nikawa), non-linear signal gain with laser intensity

# **Thermally-Induced Voltage Alteration (TIVA) and Seebeck Effect Imaging (SEI)**

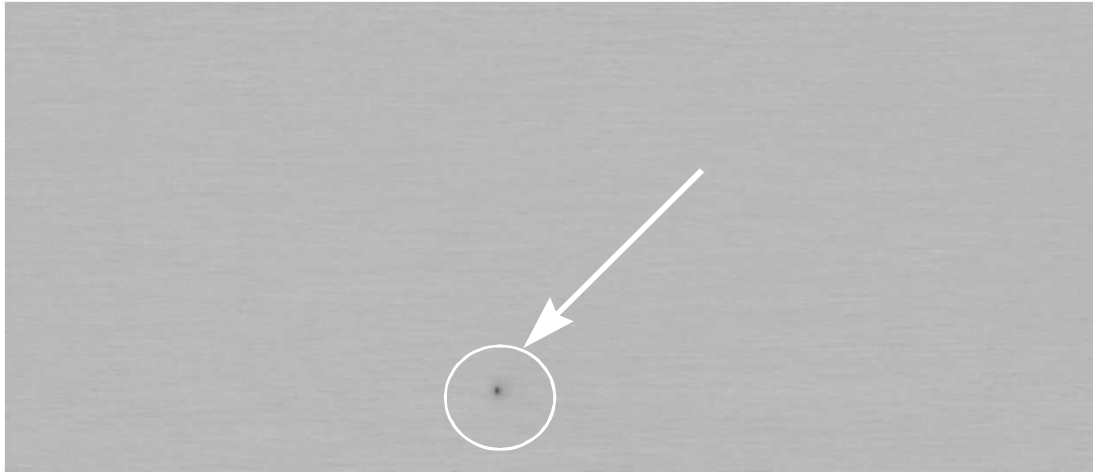
- **Implementation:** same as LIVA, need proper laser  $\lambda$ , same limitations apply as with LIVA, SEI signals weaker than other “IVA’s”
- **IC damage:** backside sample preparation must be done with care

# Non-Linear Response with Laser Power

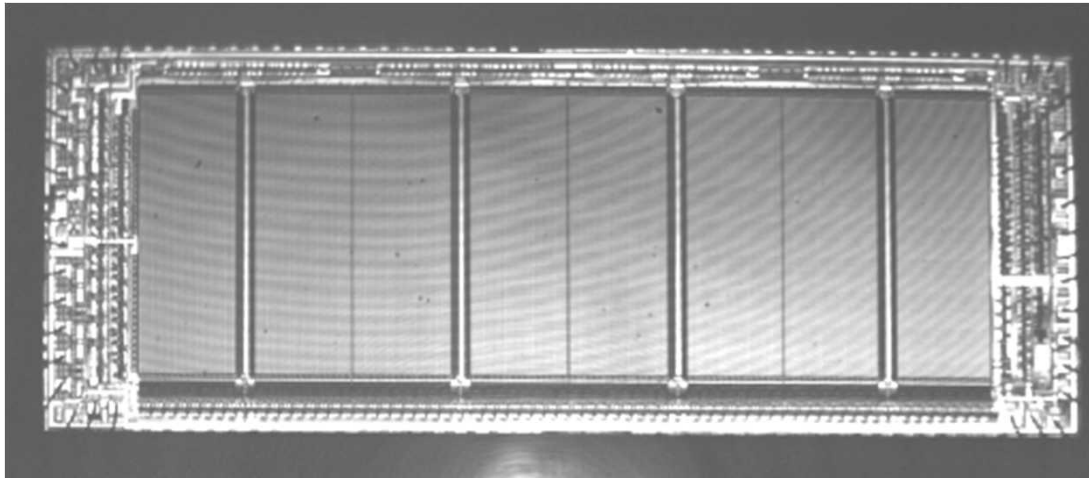


# Front Side TIVA Imaging

## Example, 1 MB SRAM

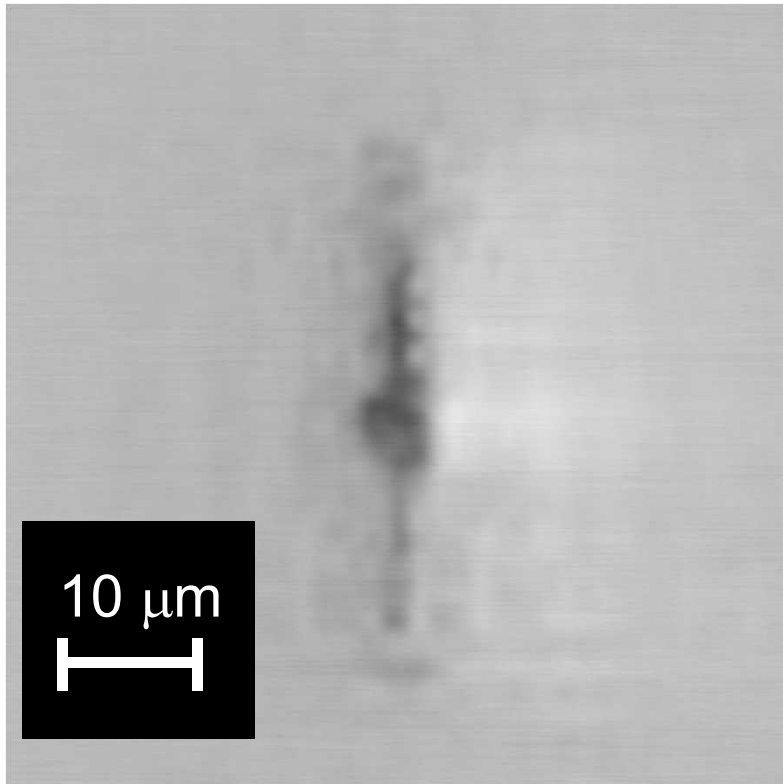


**TIVA image**

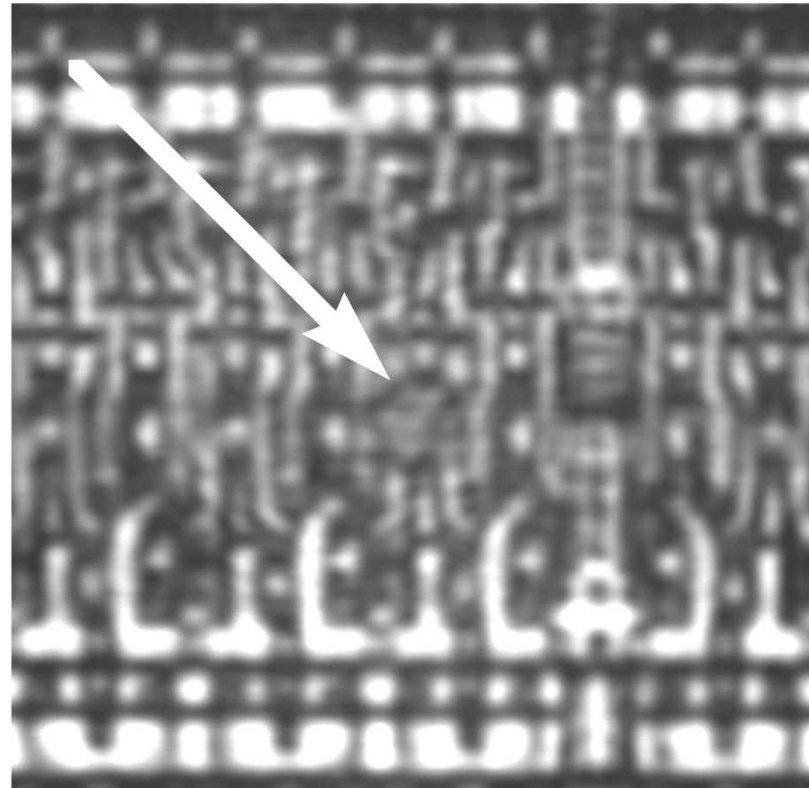


**Reflected  
light image**

# Front Side TIVA Example - 1Mb SRAM Particle Short



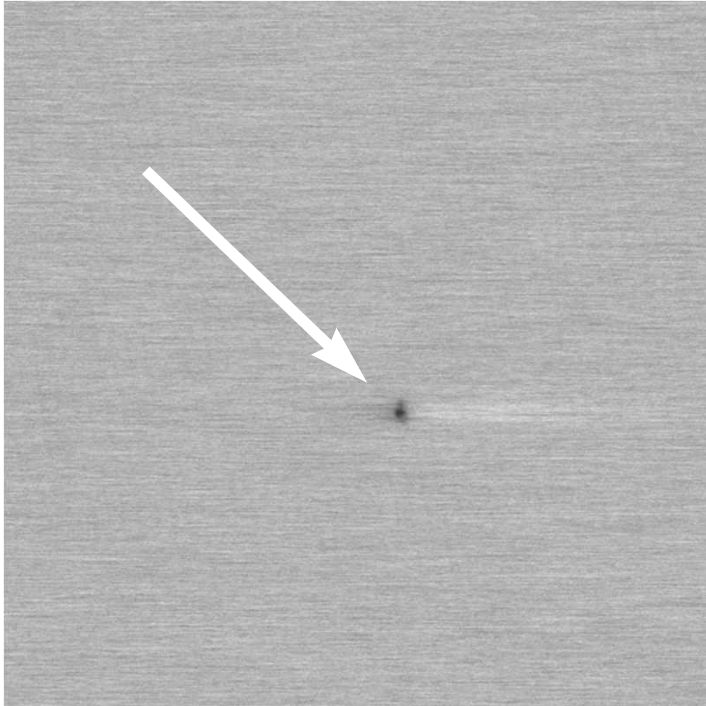
**TIVA Image**



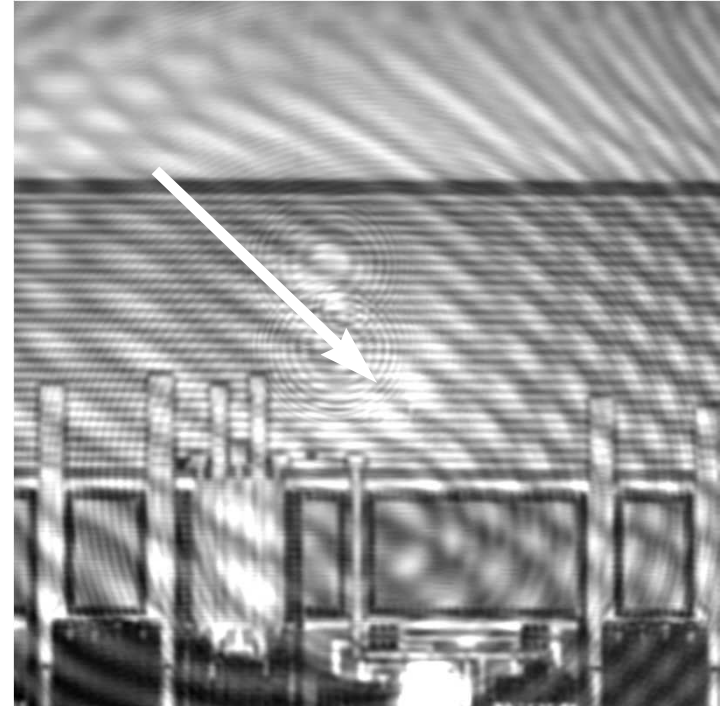
**Reflected Image**



# Backside TIVA Example

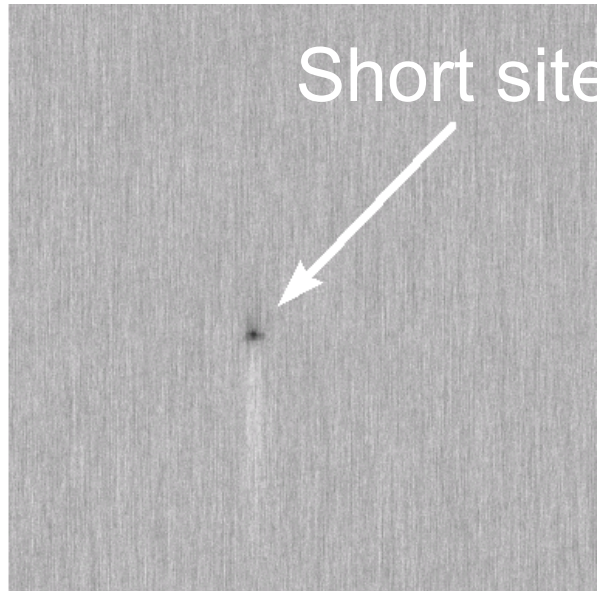


**TIVA image**

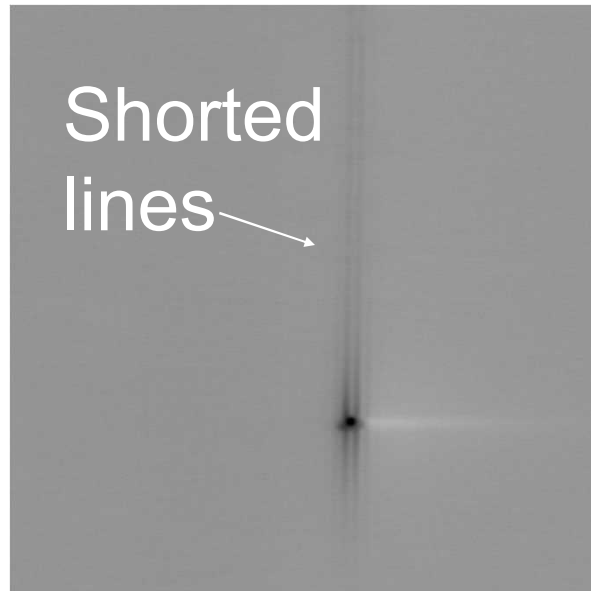


**Reflected light image**

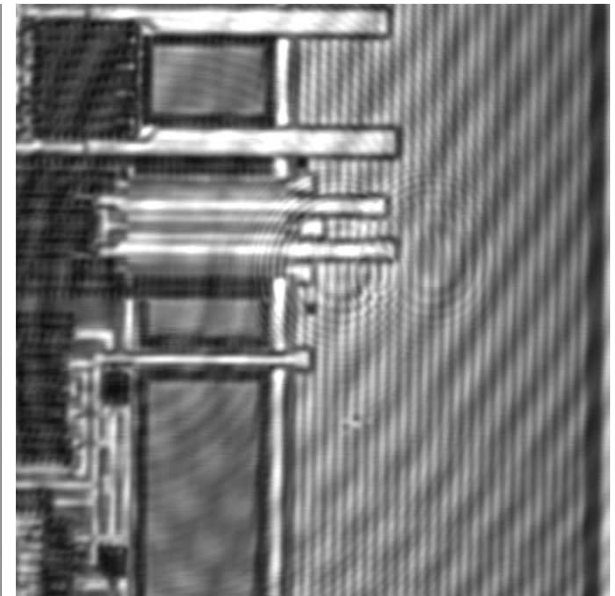
# TIVA Signal Improvement 1 Mbit SRAM (Backside)



Before  
16 min acquisition



After  
2 min acquisition

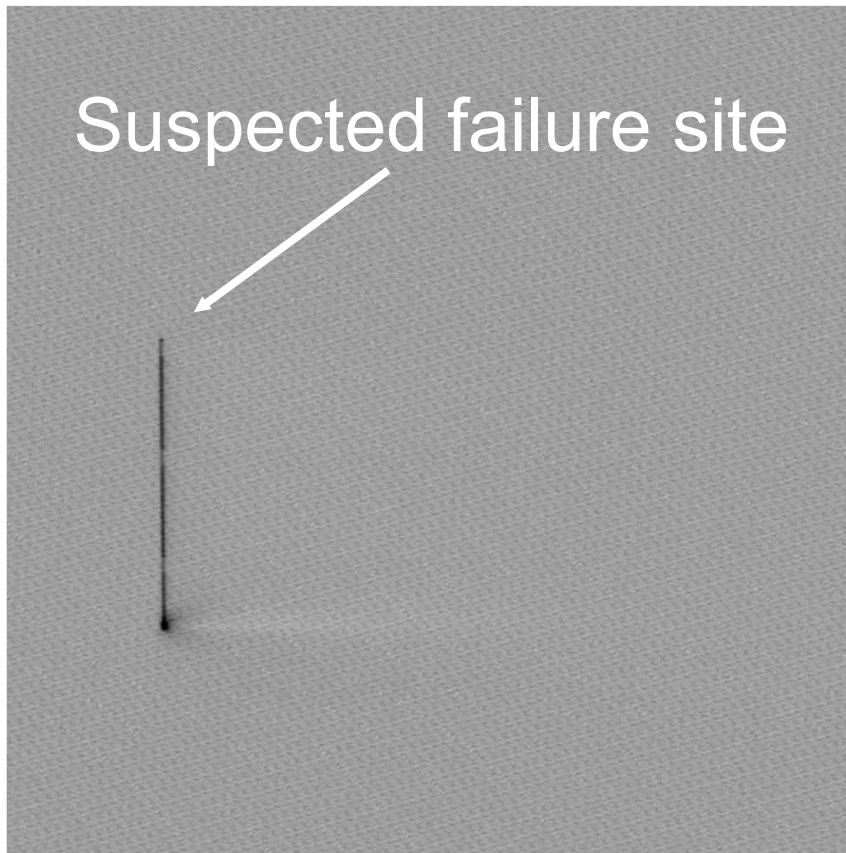


Reflected image  
26

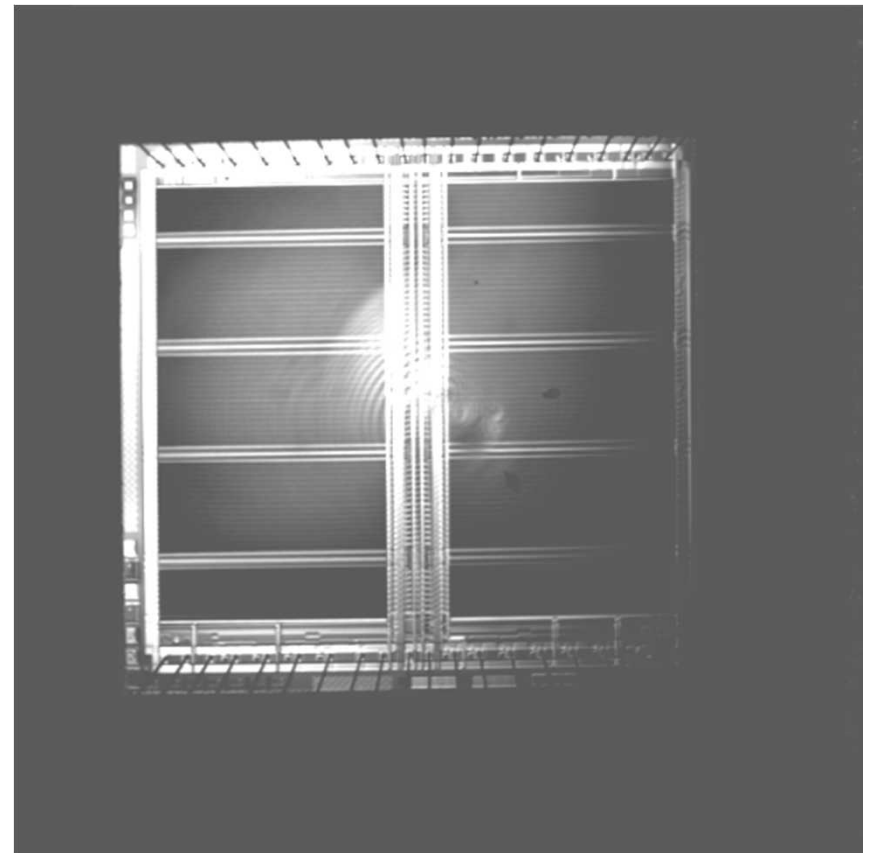
TIVA Images

# SRAM Short Failure

## 256k SRAM, 3LM, 0.5 $\mu$ m



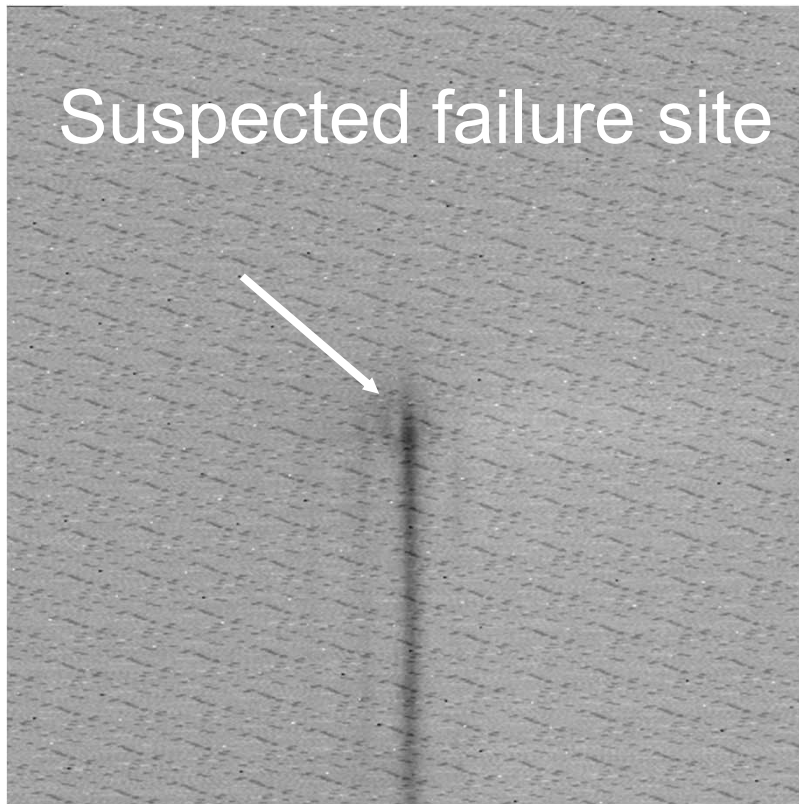
TIVA Image



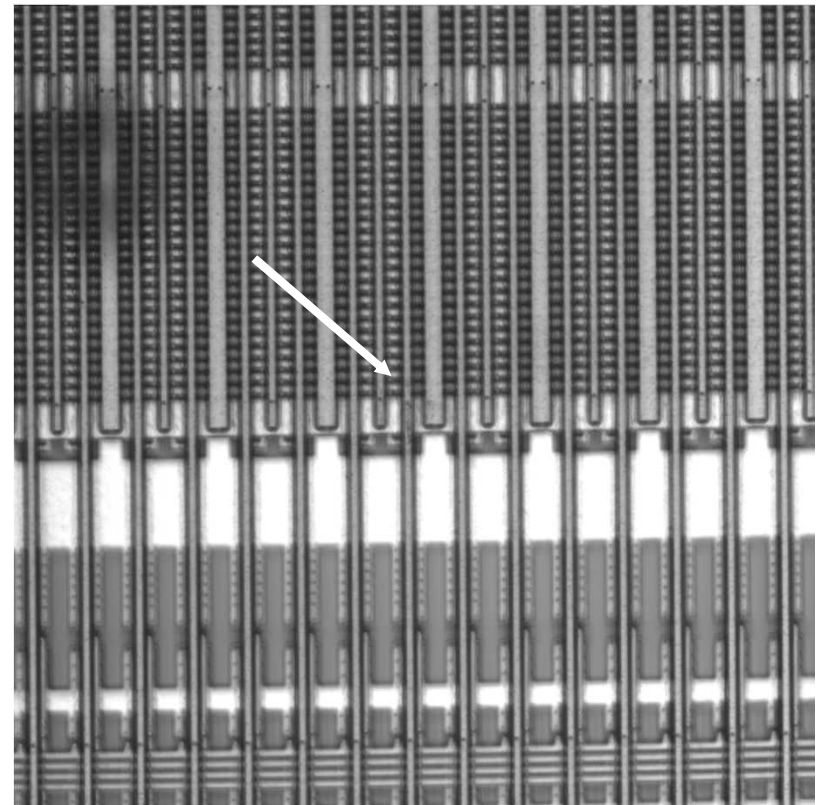
Reflected image

# SRAM Short Failure

## High Magnification

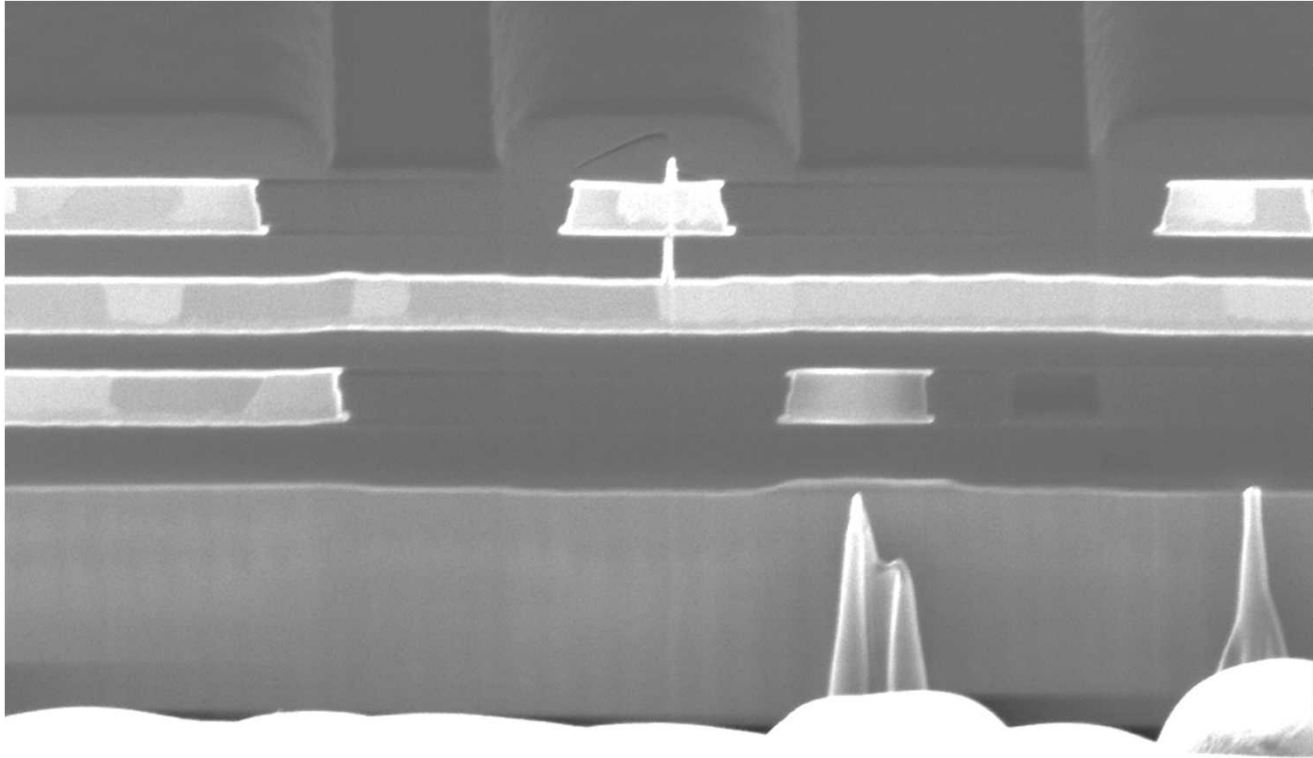


TIVA Image

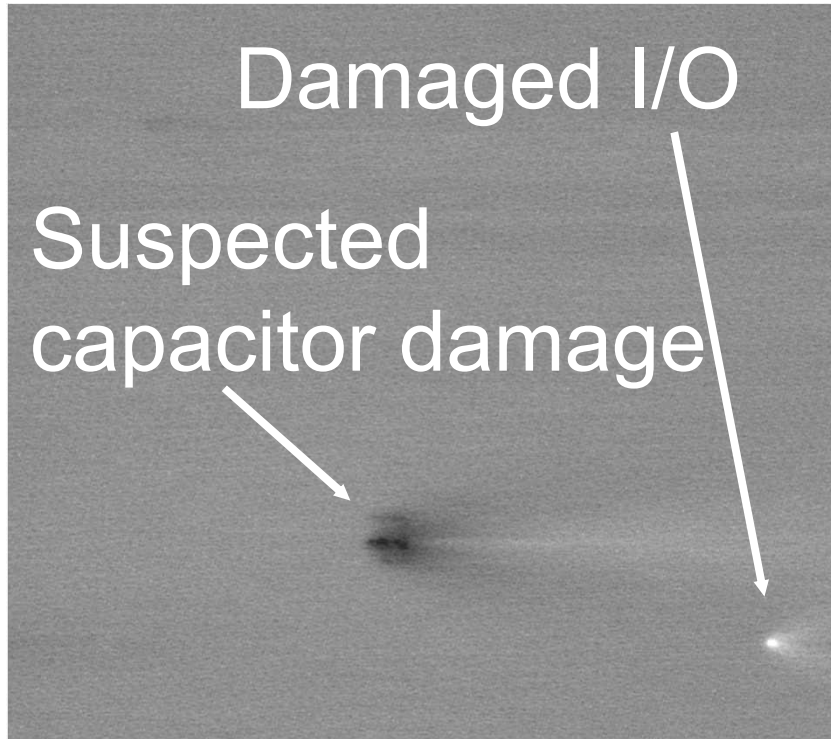


Reflected Image

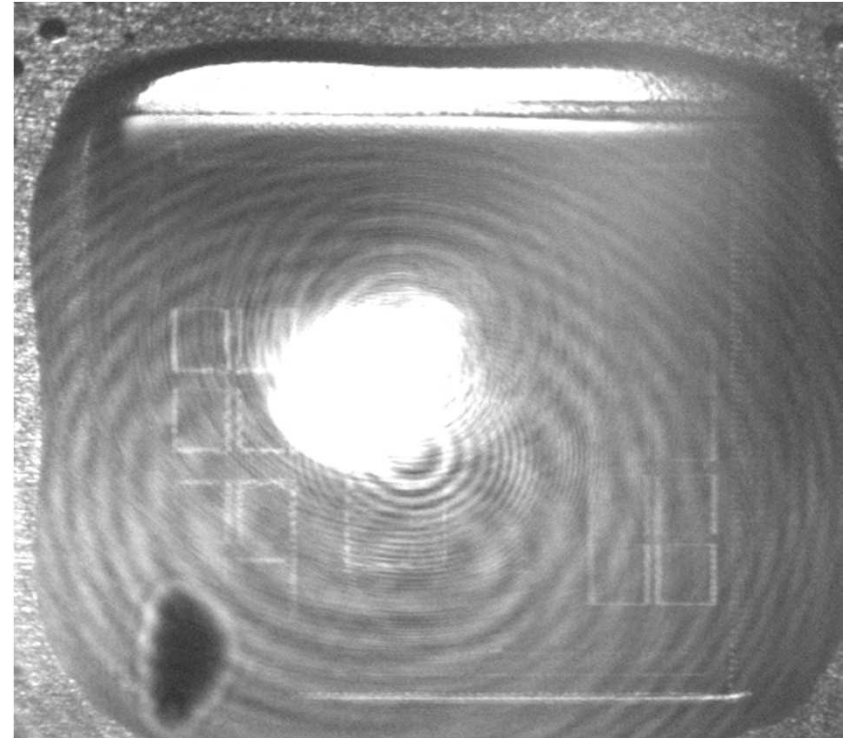
# Cross Section of SRAM Short Failure



# TIVA Example - Microprocessor



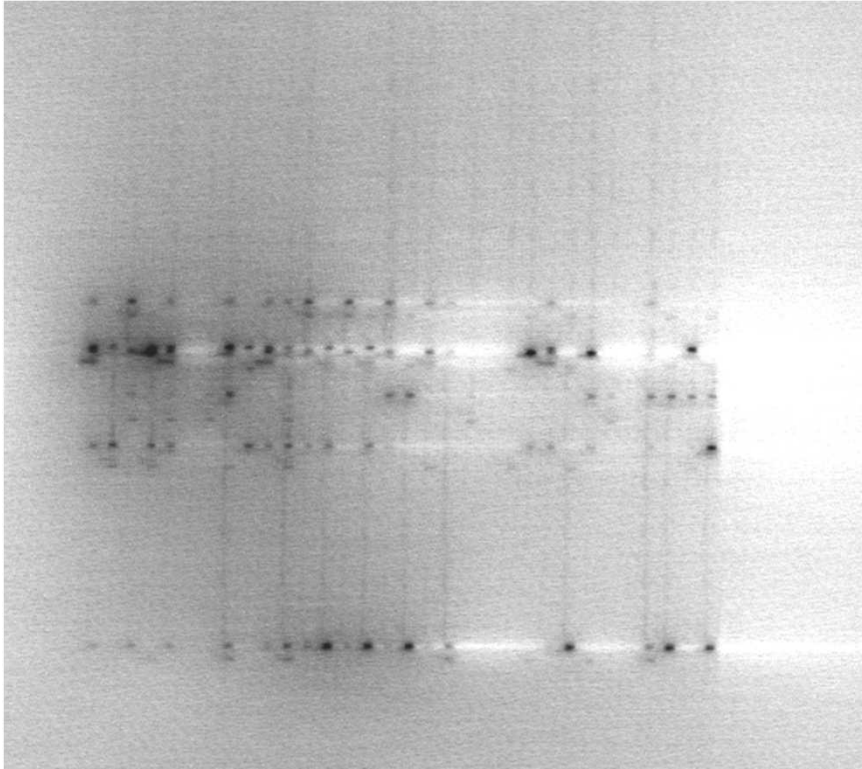
TIVA Image  
(6LM 0.3  $\mu\text{m}$  technology)



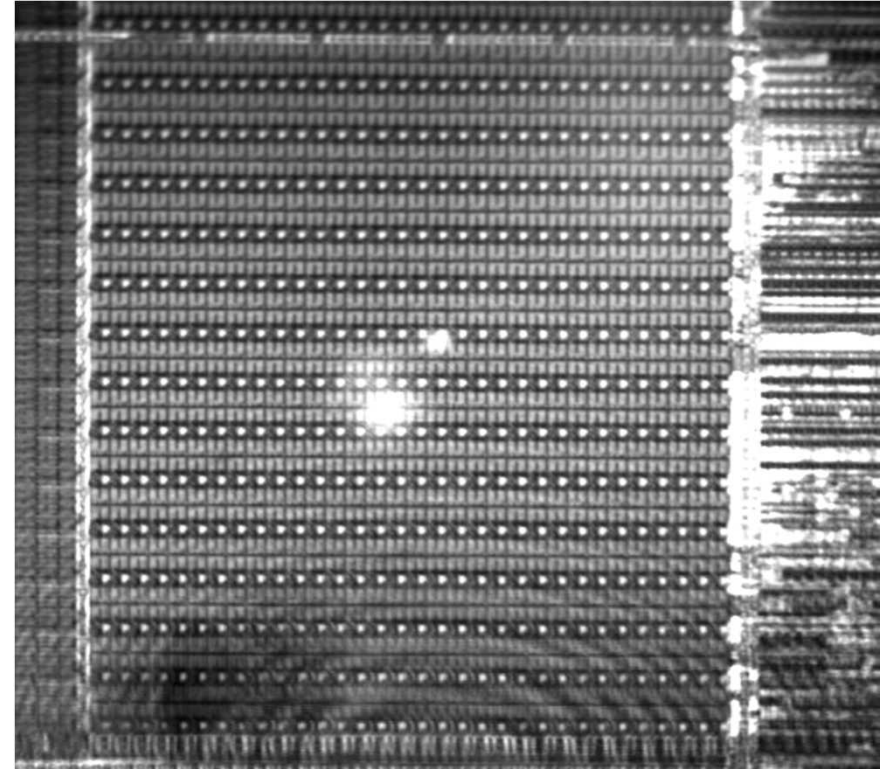
Reflected Image



# TIVA Microprocessor Failure: Suspected Capacitor Damage



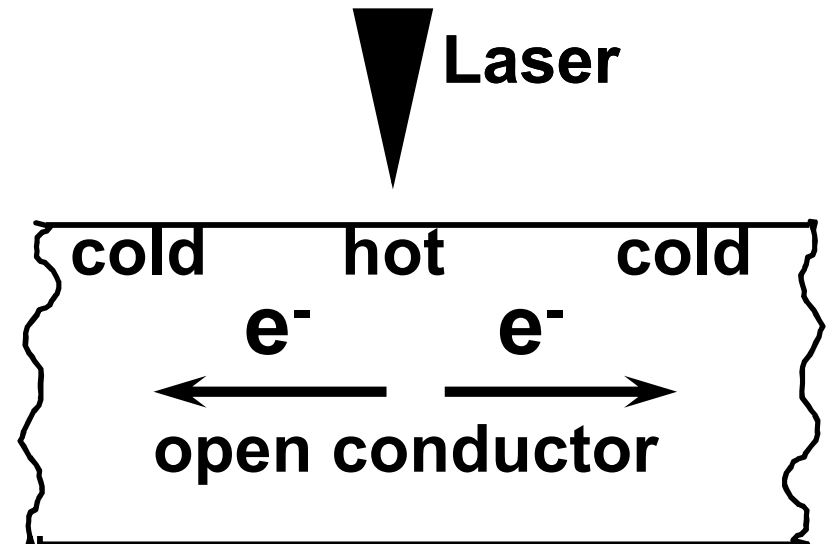
TIVA Image  
(6LM 0.3  $\mu\text{m}$  technology)



Reflected image

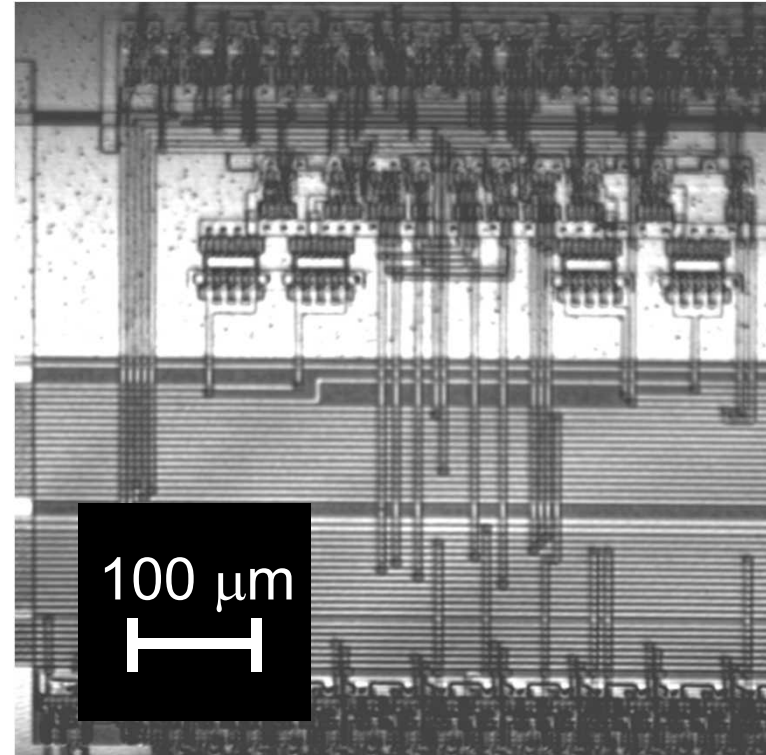
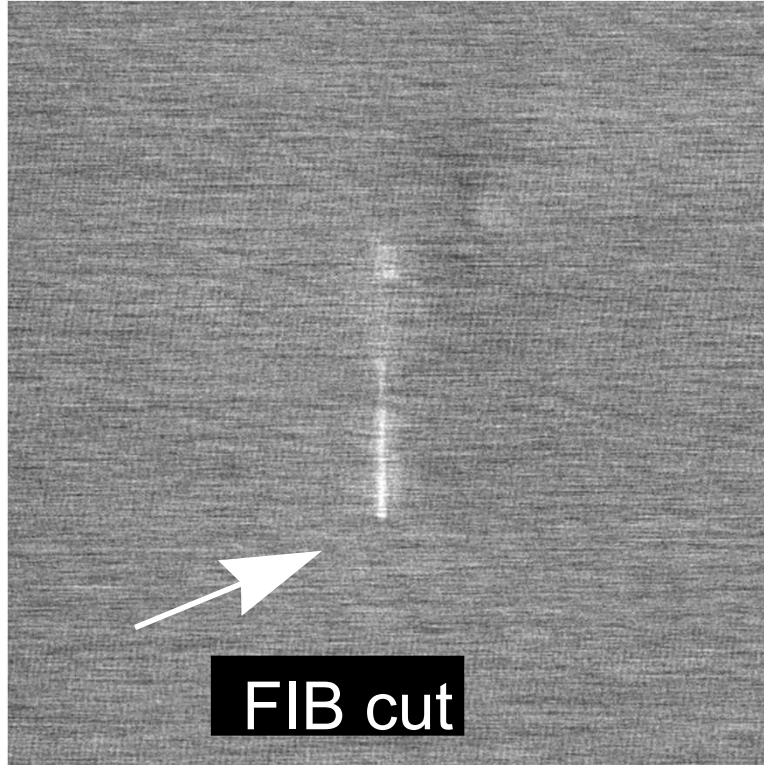
# Thermal Gradient Techniques – Localizing opens

- Thermal gradients produce voltage gradients on open interconnections (Seebeck Effect)
  - typically  $\mu\text{V/K}$  for metals
- Localized heating using focused laser
  - used to detect voids in conductors
  - changes voltage of open conductors
  - alters IC power demands



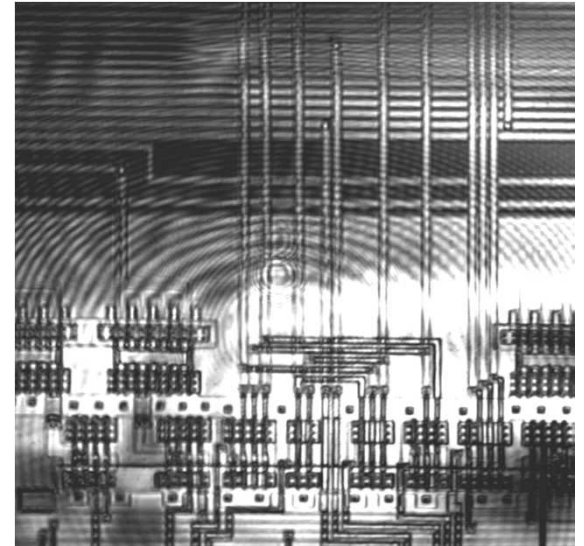
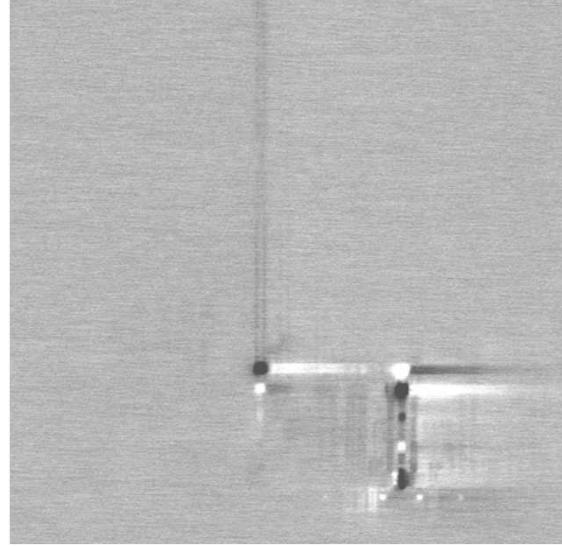
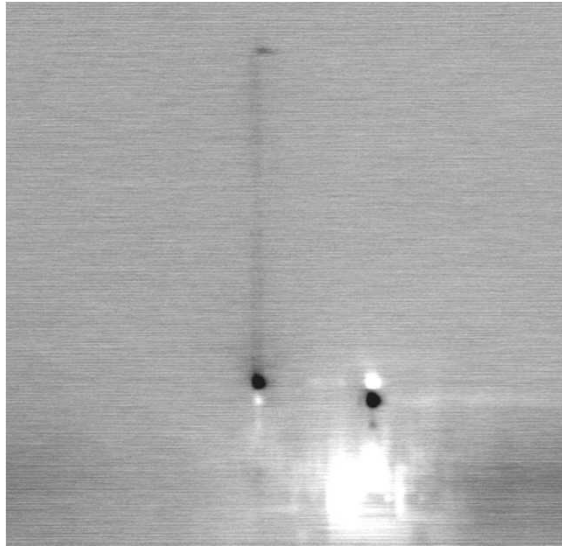


# Front Side SEI Example - 80C51



-signal visible *under* power bus

# SEI Signal Improvement, 80C51 FIB Cut (Backside)



**Before**

**45 min acquisition**

**SEI Images**

**After**

**2 min acquisition**

**Reflected image**

# **Combining Testing and Laser Stimulus**

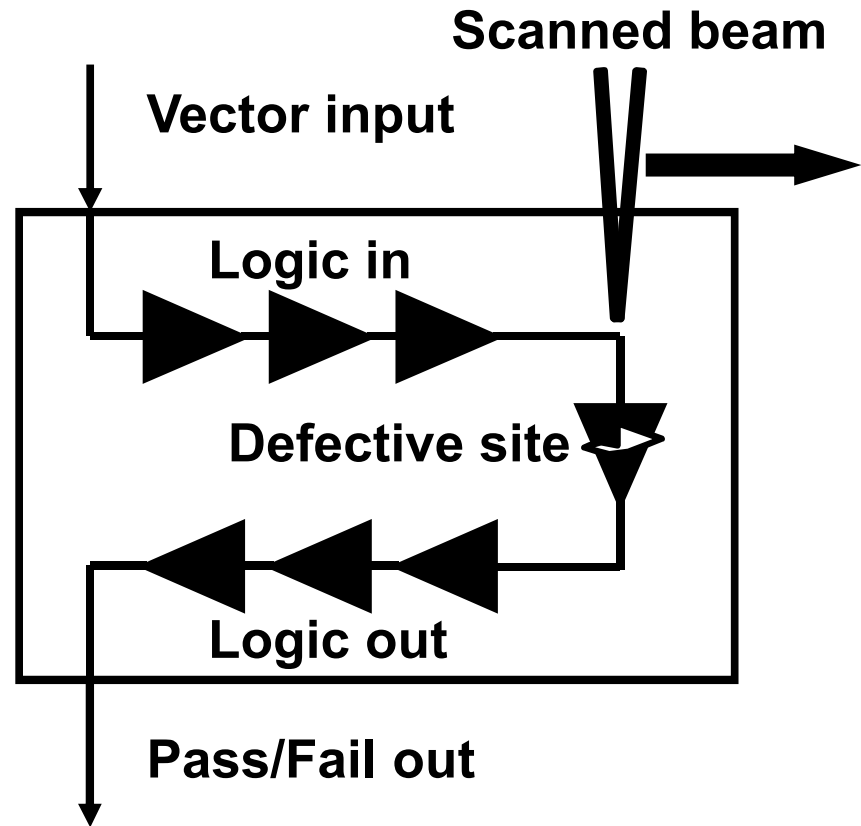
- **Monitor test results during laser scan**
  - image contrast shows pass/fail changes
  - alter conditions for detection (freq, V, T)
- **Soft Defect Localization (SDL)**
  - uses thermal gradients
  - identifies many types of “soft defects”
- **Laser Assisted Device Alteration (LADA)**
  - uses localized photocurrents
  - timing analysis

# **Soft Defects - Definition**

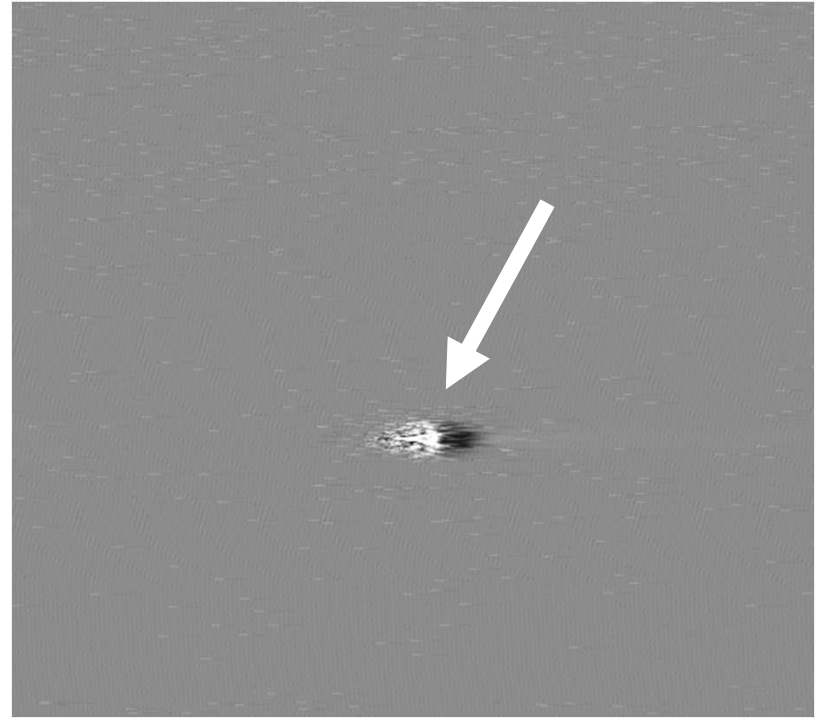
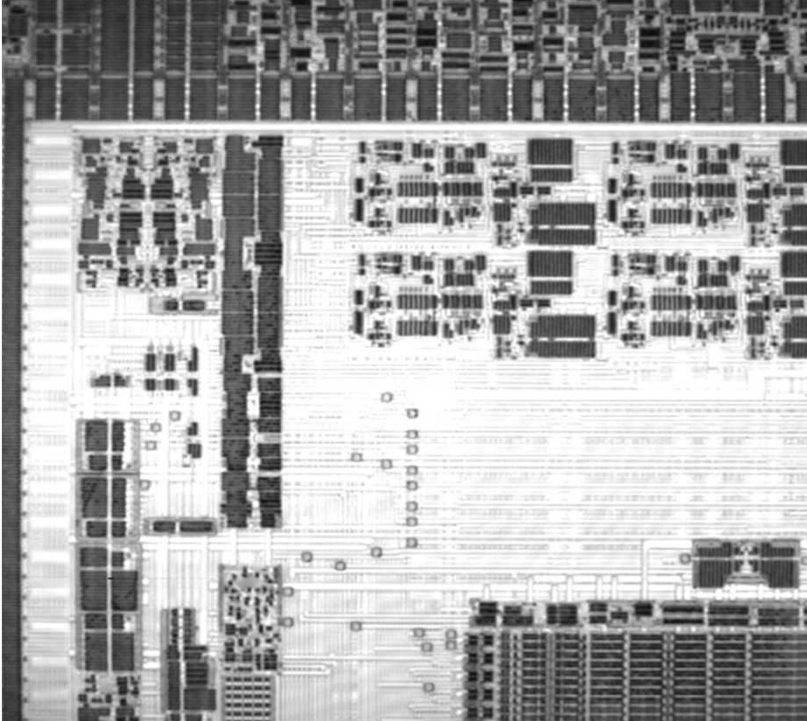
- **Functional failure of an IC, but only under certain conditions**
  - **may be within or outside normal limits**
  - **will operate under specific conditions**
  - **most common variables are temperature, voltage, and frequency**

# SDL Imaging

- **Vector input to IC**
- **Laser heating changes pass/fail condition**
- **Pass/Fail condition used to produce image contrast**
- **1.3  $\mu\text{m}$  laser wavelength avoids photocurrents**

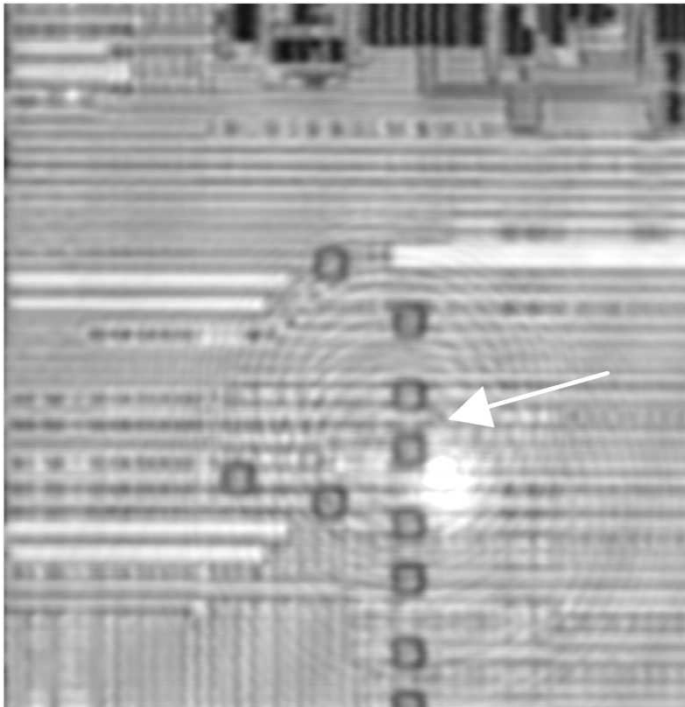


# SDL Example-Resistive Interconnection

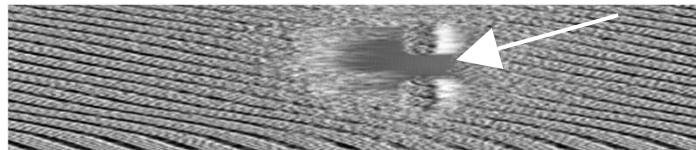


**Fails at low temperature, high speed**

# Higher Magnification



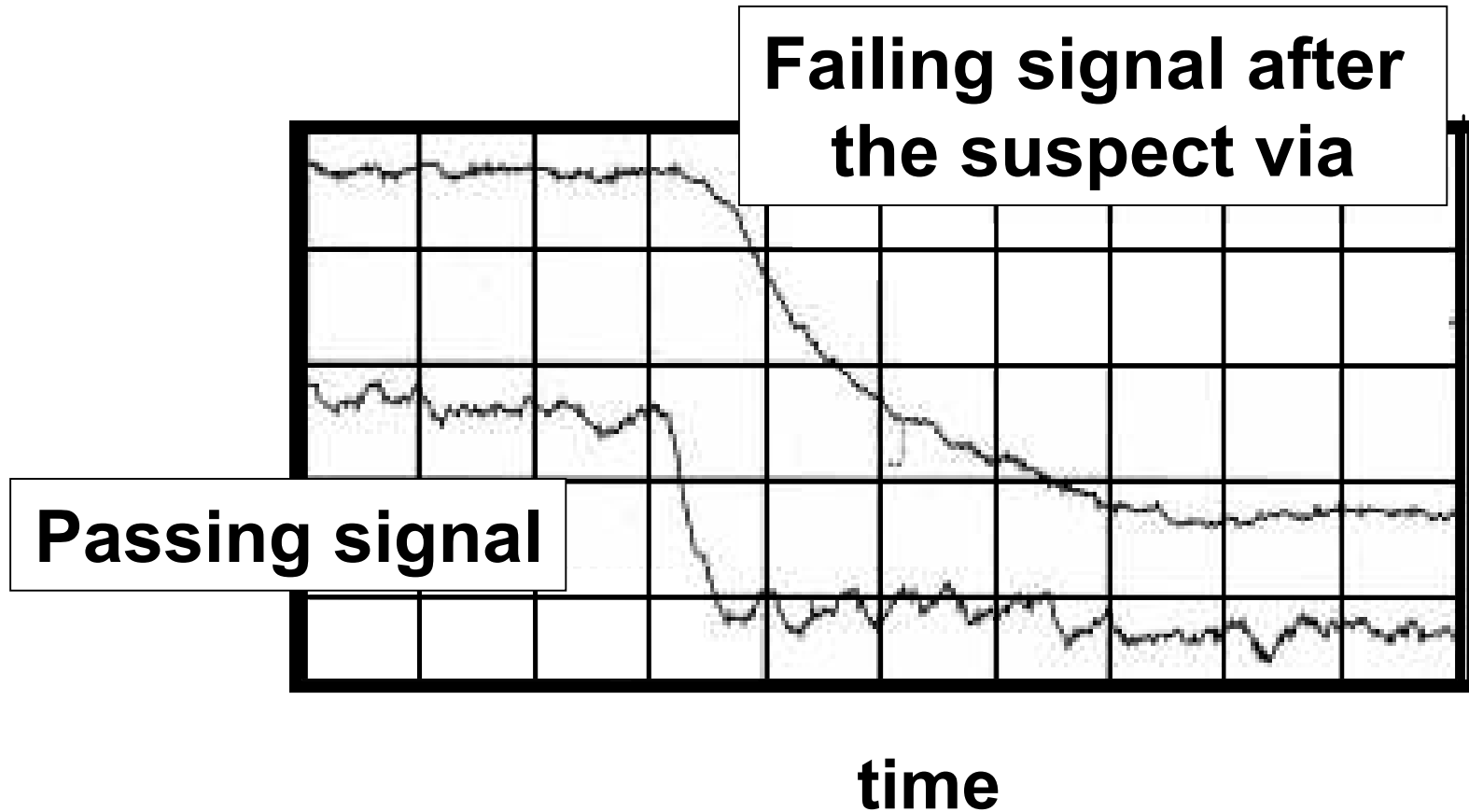
**Backside reflected**



**SDL**

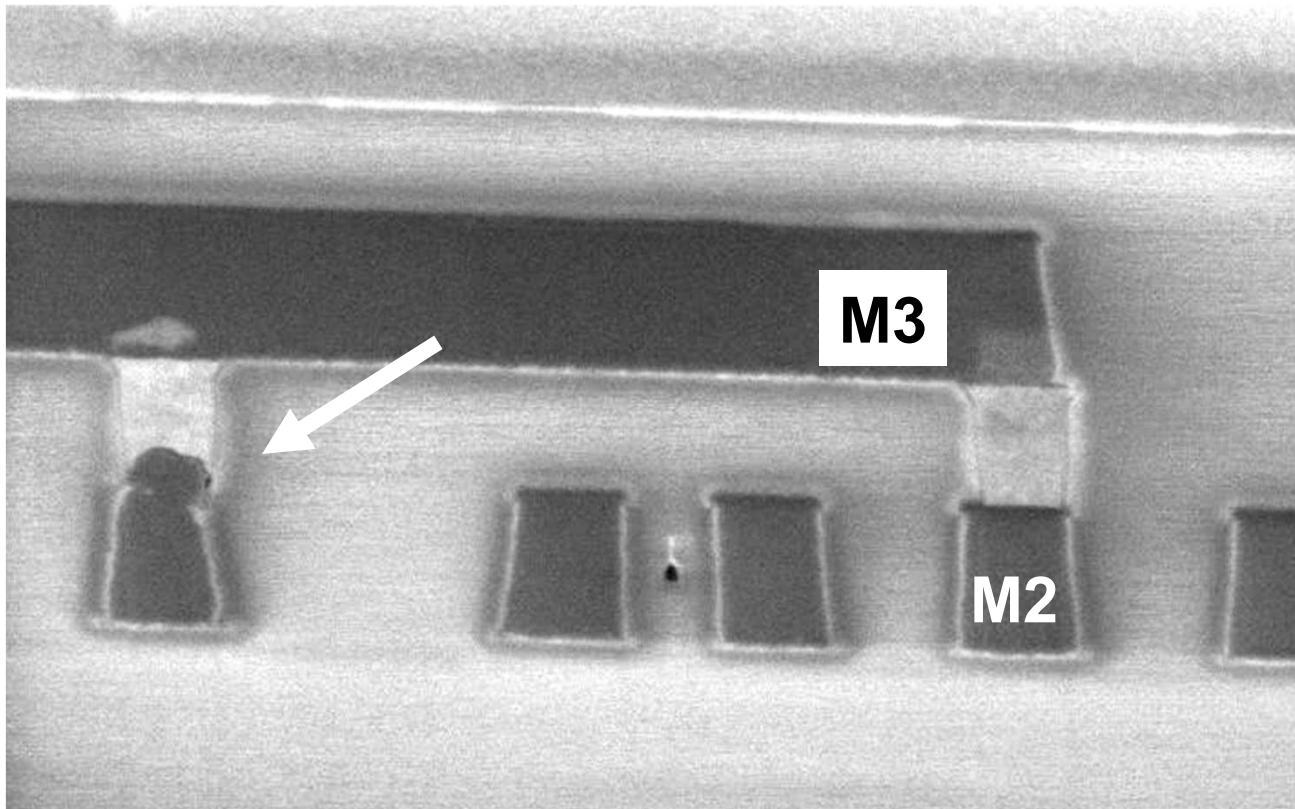
**region  
of  
interest  
scan**

# Backside Waveforms





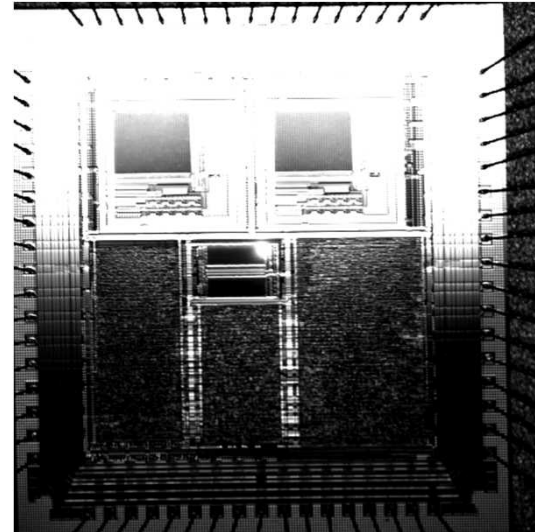
# SDL Example-Resistive Interconnection



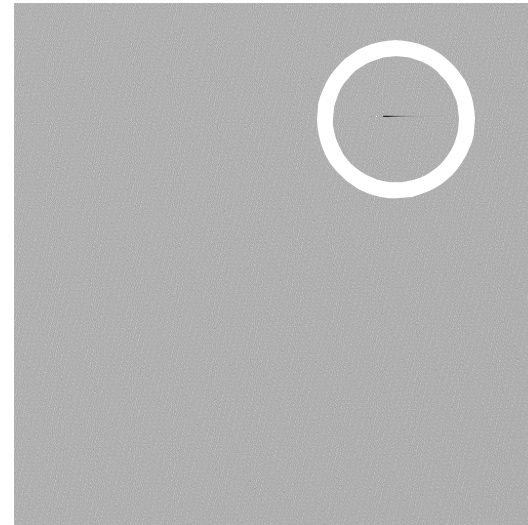
**Al extrusions clearly seen on left via**

# SDL on an ASIC

- Examination of an ASIC from the front side
- Changes from failing to passing with laser heating
- SDL site identified as a parallel path in a race condition
- No physical defect found
- Modifications eliminated the race condition



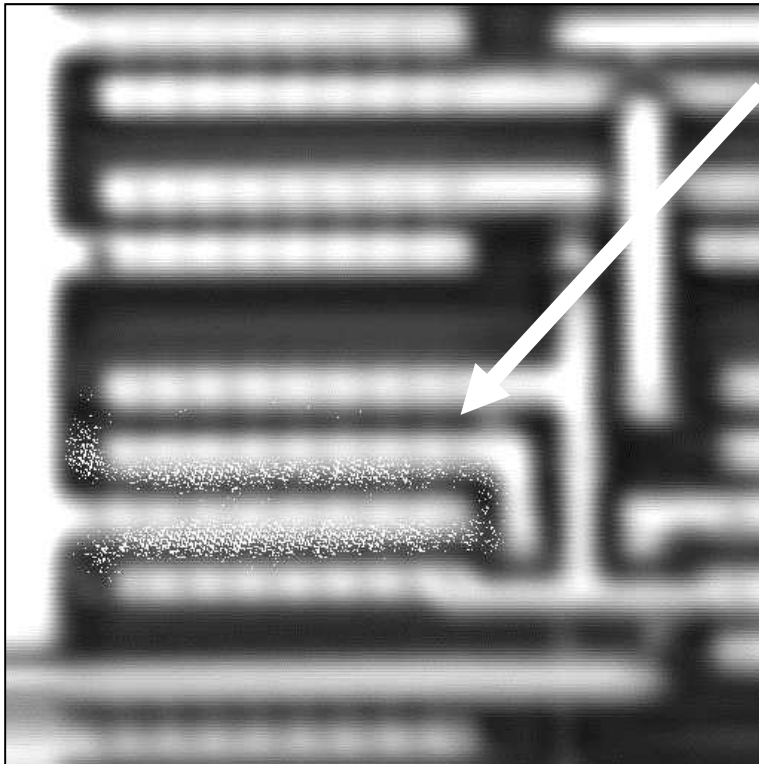
Front side  
reflected  
image



SDL Image

# SDL on an ASIC

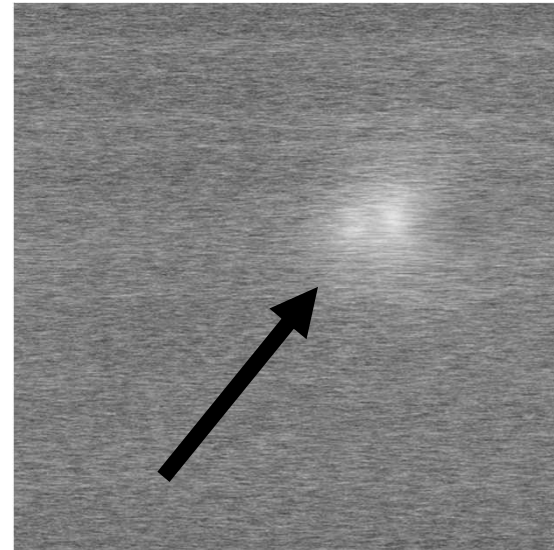
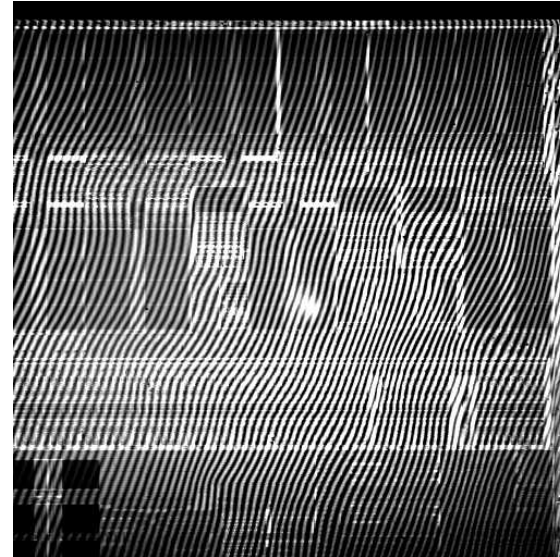
## Higher Magnification SDL/Reflected image



**Transistor slowed down  
by laser heating**

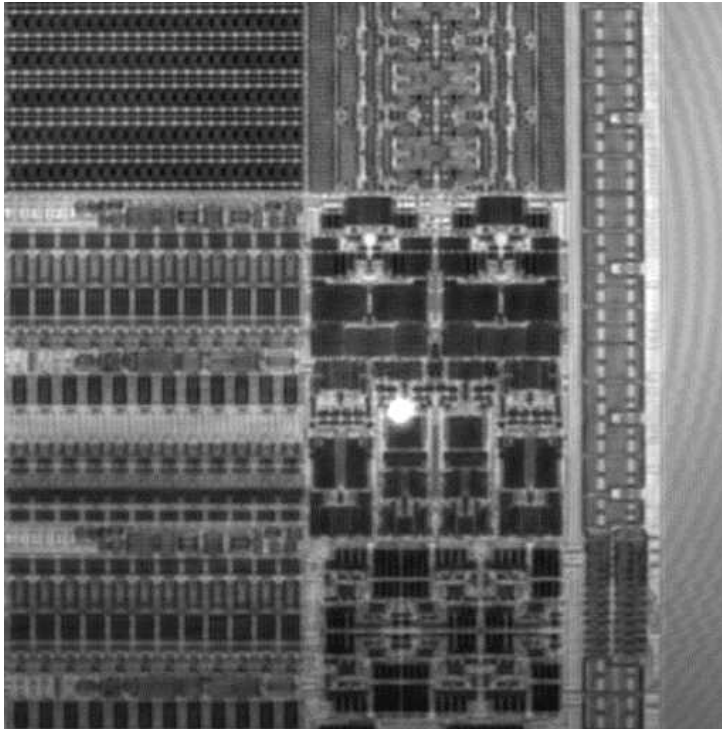
# SDL on a Microprocessor

- Examination of a microprocessor from the backside
- Intermittently failing in control circuitry of instruction cache
- SDL site identified as a weak link in a serial path
- Improvements made the site less susceptible to process variations



# SDL on a Microprocessor

## Higher Magnification Images



Reflected light image



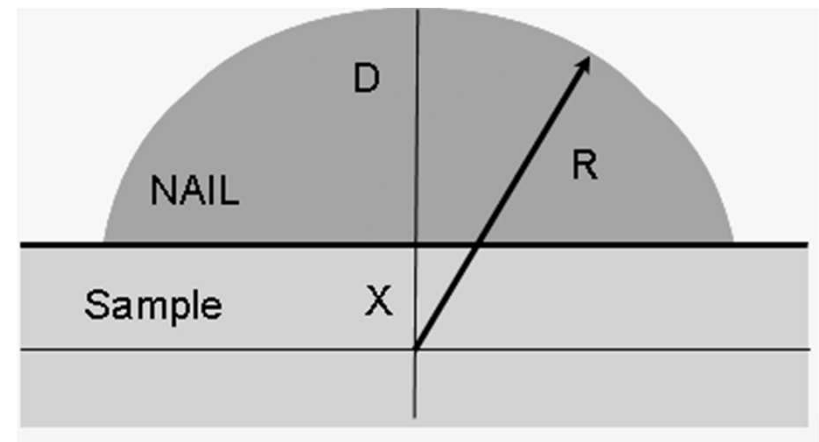
SDL image

# Spatial Resolution Improvements – Solid Immersion Lenses (SILs)

- Major limitation is conventional optical resolution
- SILs increase the numerical aperture (NA) and improve resolution

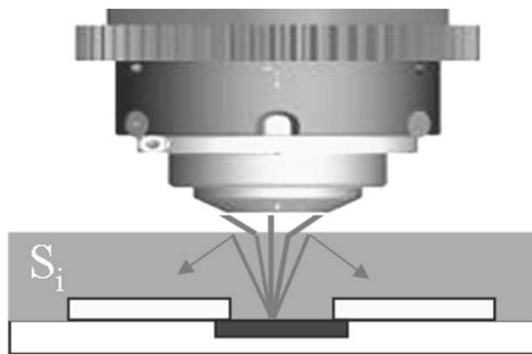
- Three types
  - surface NAIL (NA increasing lens)
  - machined hemisphere or FOSSIL (forming substrate into SIL)
  - machined diffraction or (Fresnel) lens

$$\text{Res.} = \frac{0.6 \lambda}{\text{NA}}$$

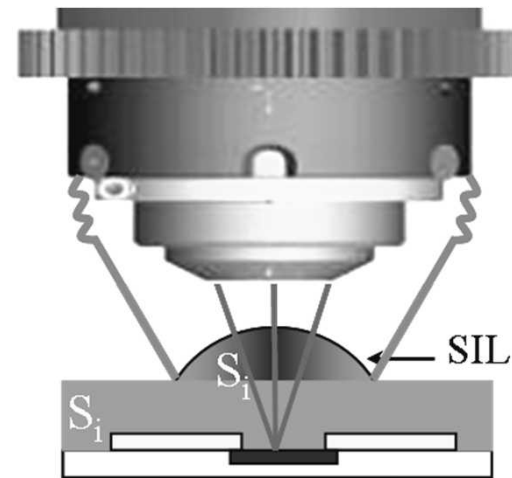


# SIL Considerations

- **Motivation**
  - $< 0.13 \mu\text{m}$  devices difficult to see
  - Need improved light collection efficiency
- **Engineering Challenges**
  - Making mechanical contact without breaking DUT or SIL
  - Navigation
  - Cooling



Air/ $S_i$  interface



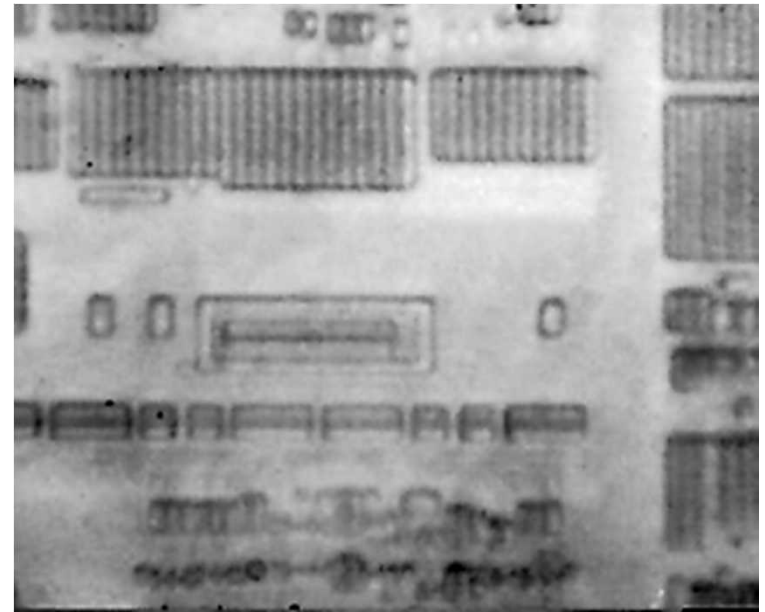
$S_i/S_i$  + normal incident  
Si/air interface

# Optonics Solid Immersion Lens

- **3x better resolution than best air-coupled lens**
  - 0.25  $\mu\text{m}$  for SIL vs. 0.7  $\mu\text{m}$  for air-coupled lens
- **5x faster acquisition time**



Air Lens, NA=0.85

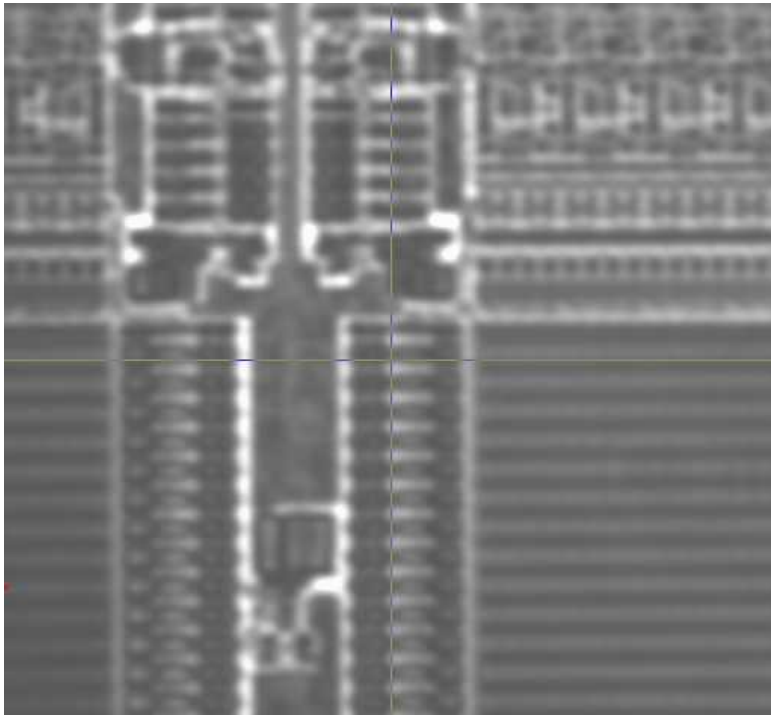


SIL, NA=2.45



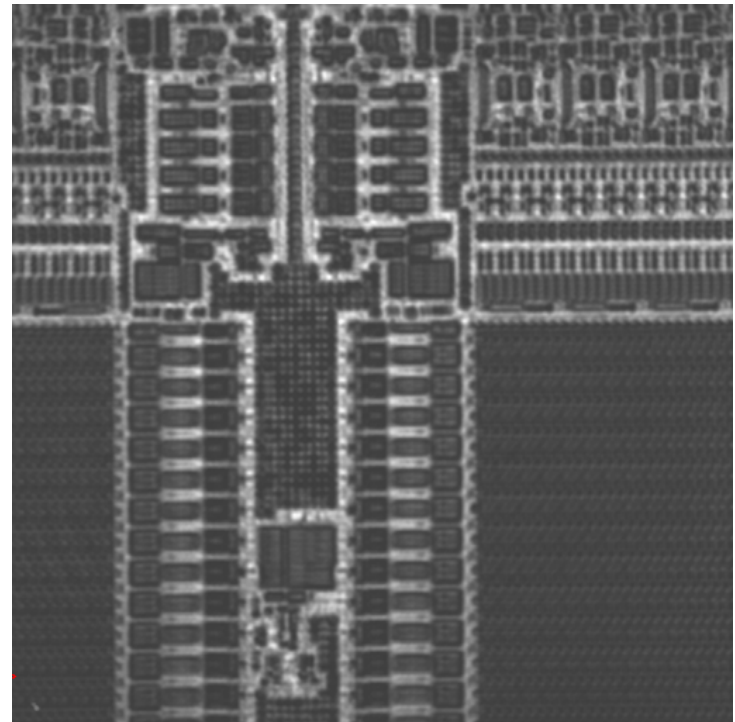
# Image Comparison

High NA air coupled objective



0.7 NA Lieca 63X

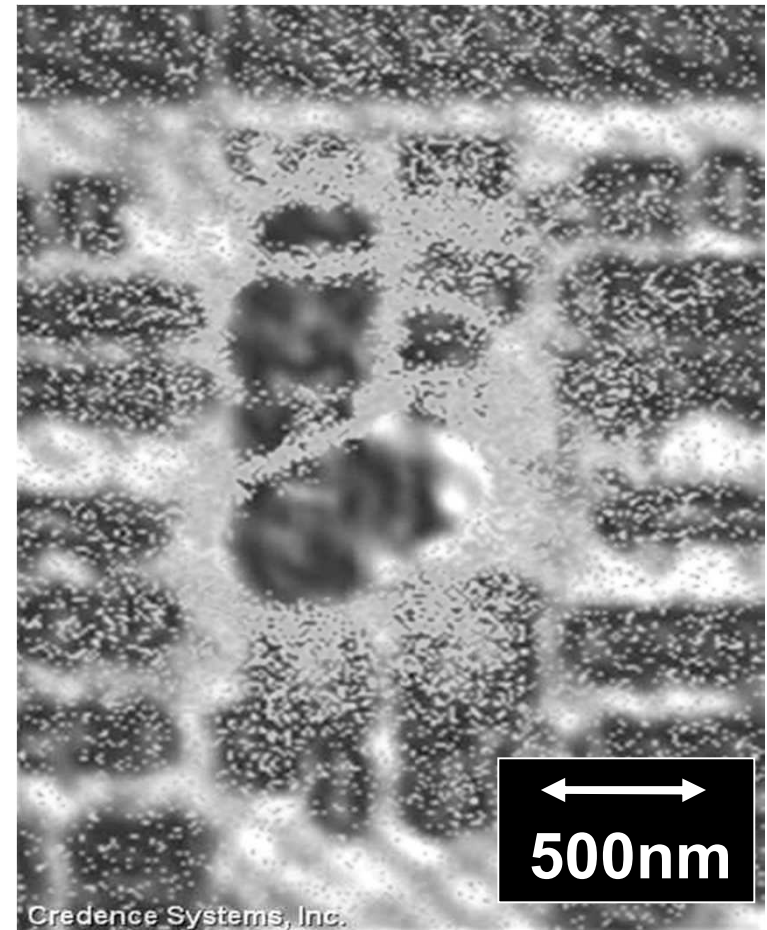
High Resolution with SIL



Checkpoint SIL, ~ NA 2.4  
50  $\mu\text{m}$  to 150  $\mu\text{m}$  thick

# Thermal Stimulus Using a SIL on 90 nm Sample

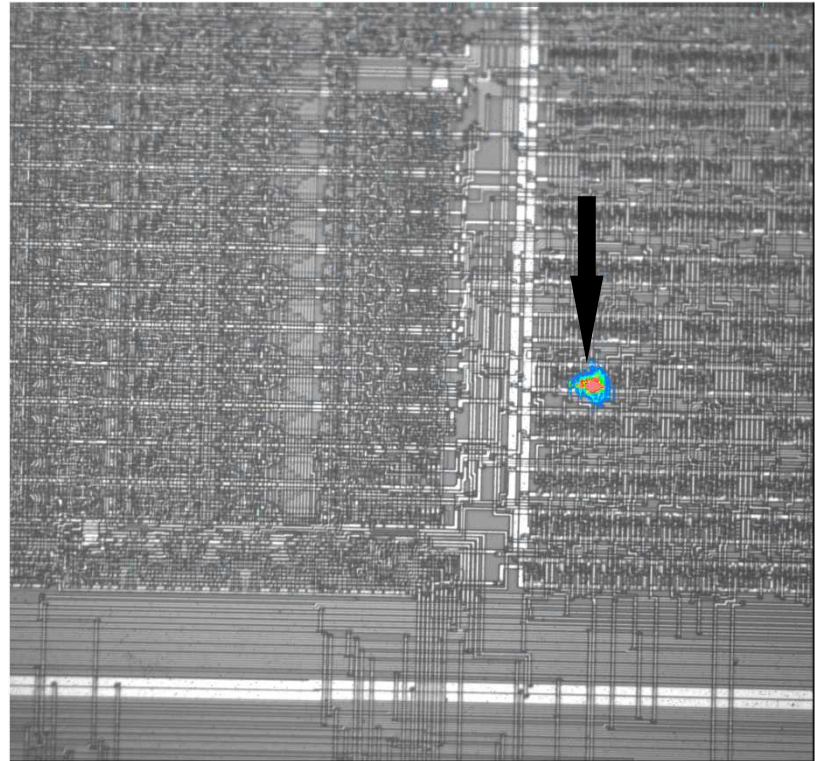
- Better than 200 nm resolution demonstrated
  - 220X SIL
  - Grey signal indicates a passing condition



Courtesy of Steven Kasapi,  
Credence Systems, Inc.

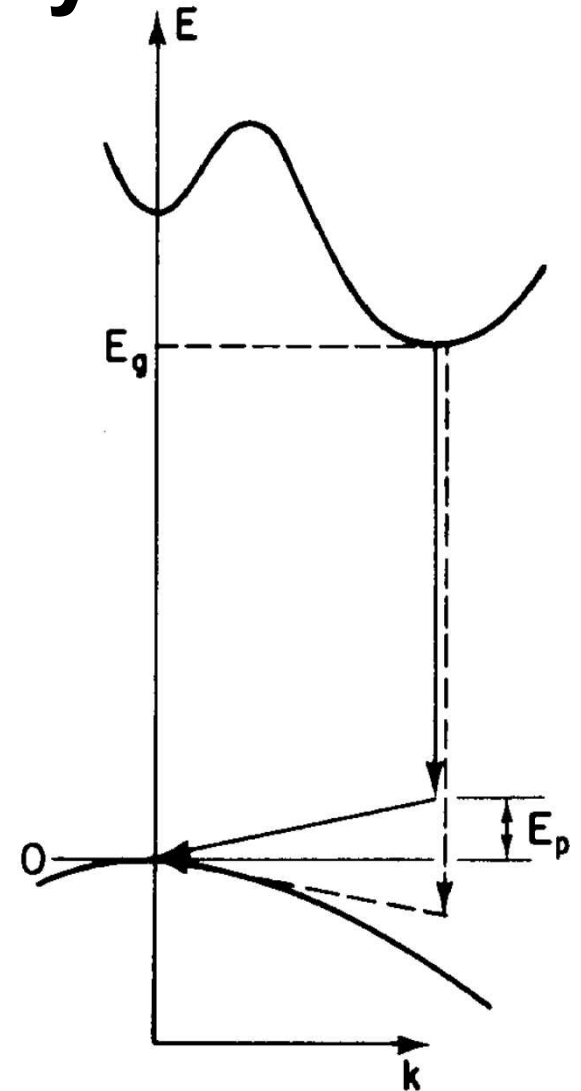
# Light Emission-Based Analysis

- NIR light emission
- PICA - IBM



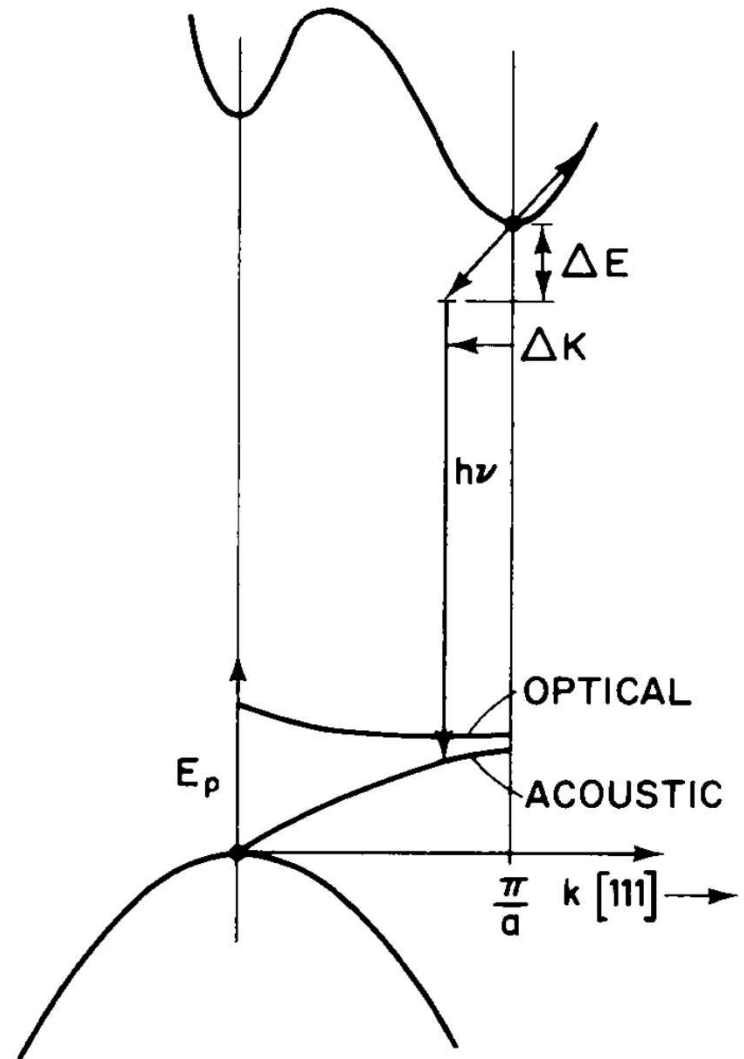
# Emission Theory

- Recombination can be intraband or interband
- Momentum must be conserved - phonon emission likely
- $E_G = 1.11 \text{ eV}$  or  $(1.12 \text{ } \mu\text{m})$  at 300 K



# Emission Theory

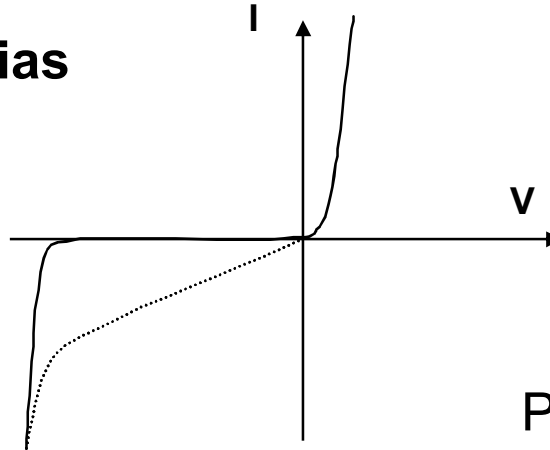
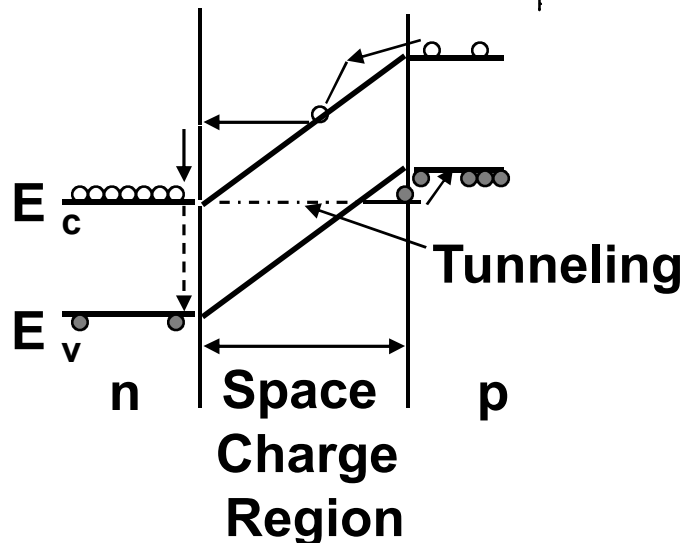
- Only chance for short wavelength emission is from hot carriers
- $dE = k_B T_e$
- Possible under high field situations
- Visible: 390 - 770 nm
- NIR: 770 - 1500 nm



# Two Basic Mechanisms of Photoemission in IC

**Junction: Reverse Bias**

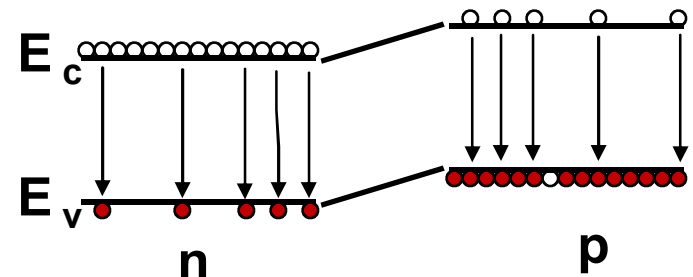
Scattering of Field  
Accelerated Carriers  
(+ Recombination)



**Forward Bias**

Minority Carrier  
Injection

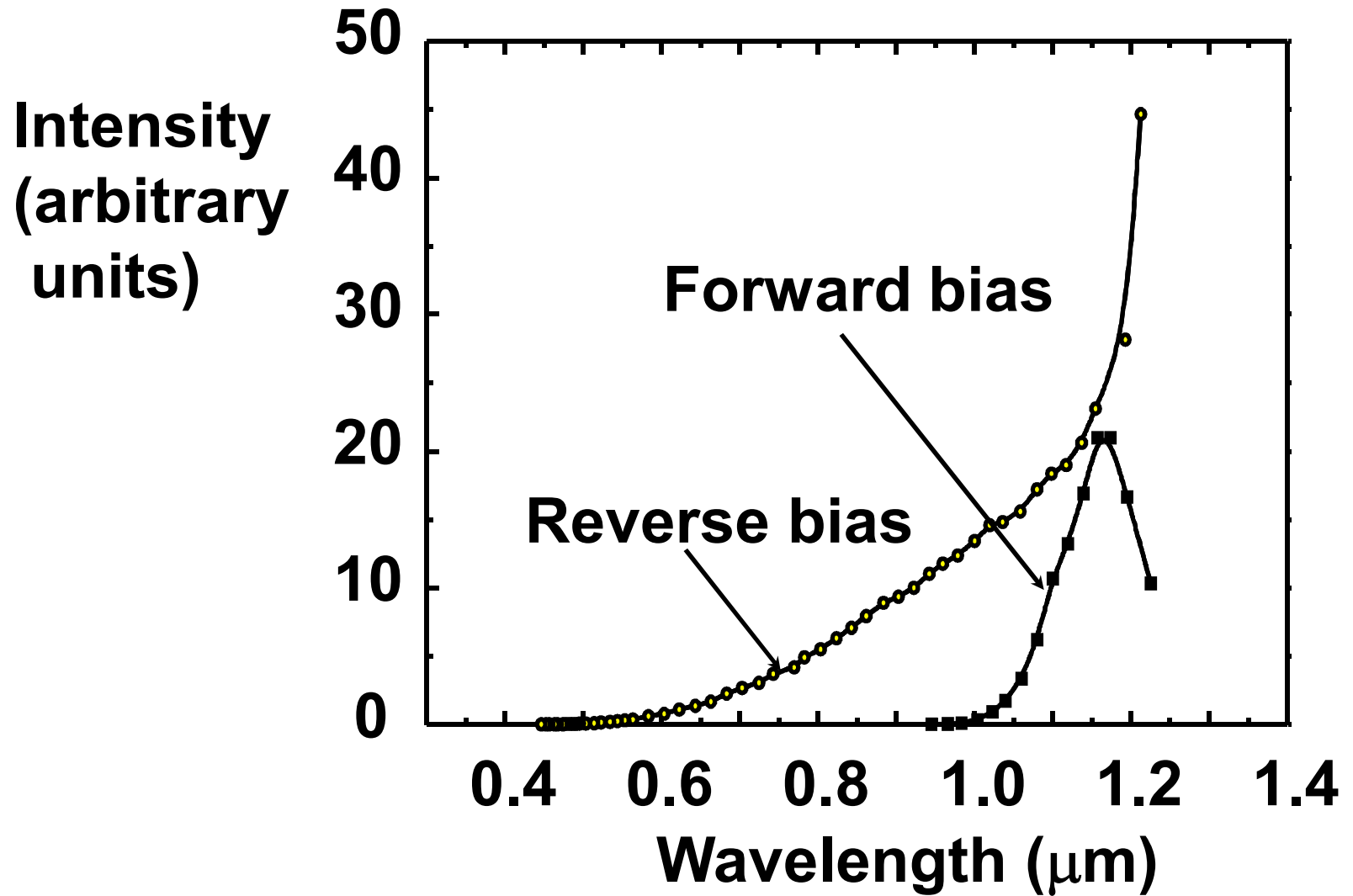
Photoemission via  
Band-Band  
Recombination



# Si Integrated Circuit Emission

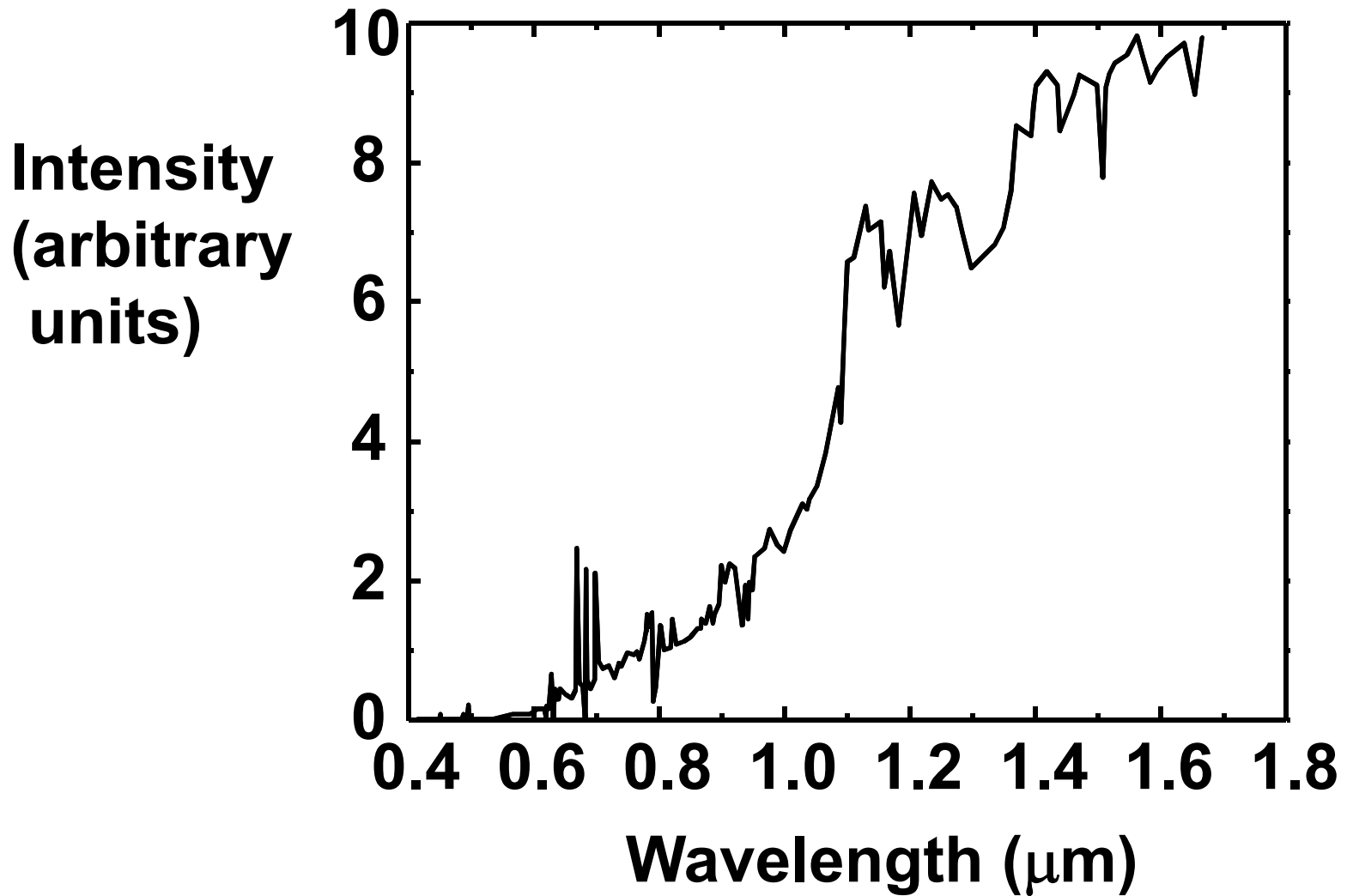
<b>Light Emitting Process</b>	<b>E-Field</b>
<b>Forward biased junctions</b>	<b>Low</b>
<b>Reverse biased junctions</b>	<b>High</b>
<b>Latchup</b>	<b>Low</b>
<b>Transistor saturation</b>	<b>High</b>
<b>Gate shorts</b>	<b>Mixed</b>

# $p$ - $n$ Junction Emission

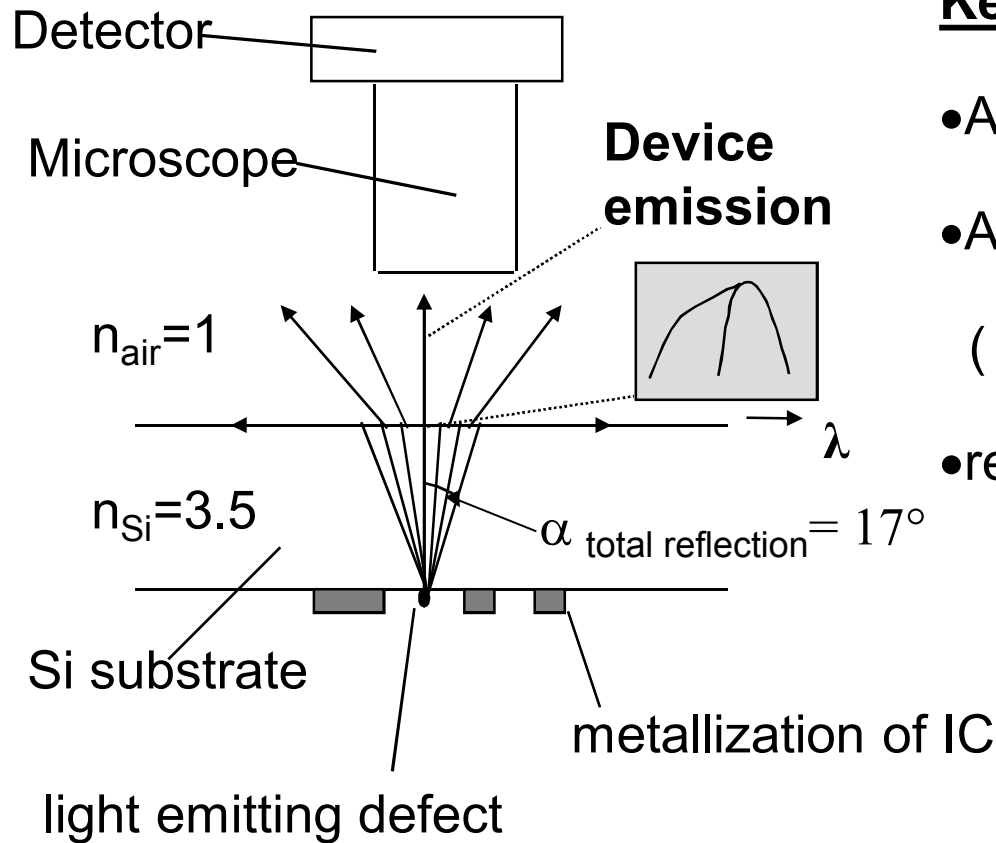




## *n*-MOSFET Saturation



# PEM Inspection from Backside: Key Issues



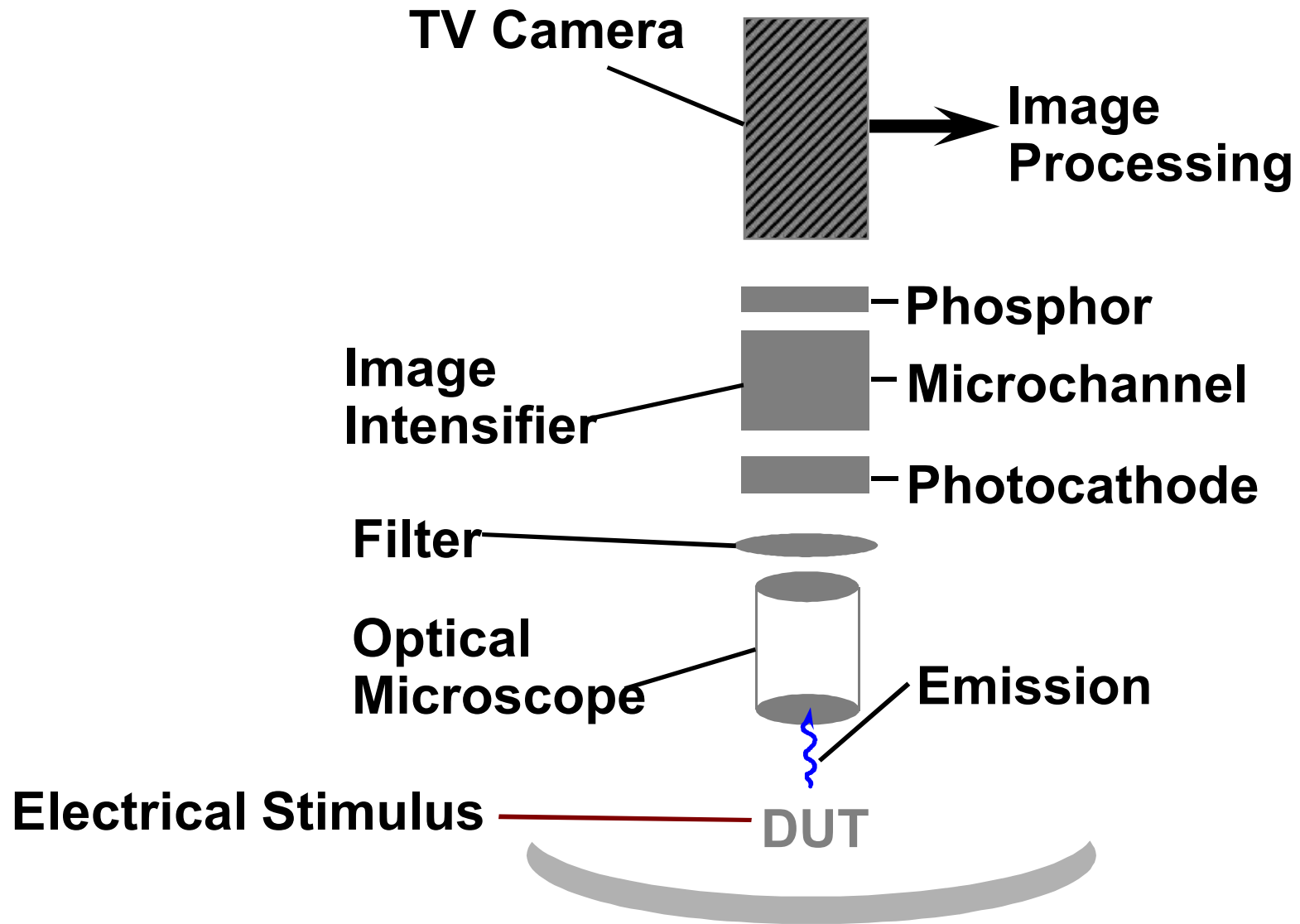
## Key issues:

- Absorption of the Si substrate
- Absorption by free carriers  
(doping density of the Si substrate)
- reflection micrograph:  
 $\Rightarrow$  hard to get +  
reduced lateral resolution

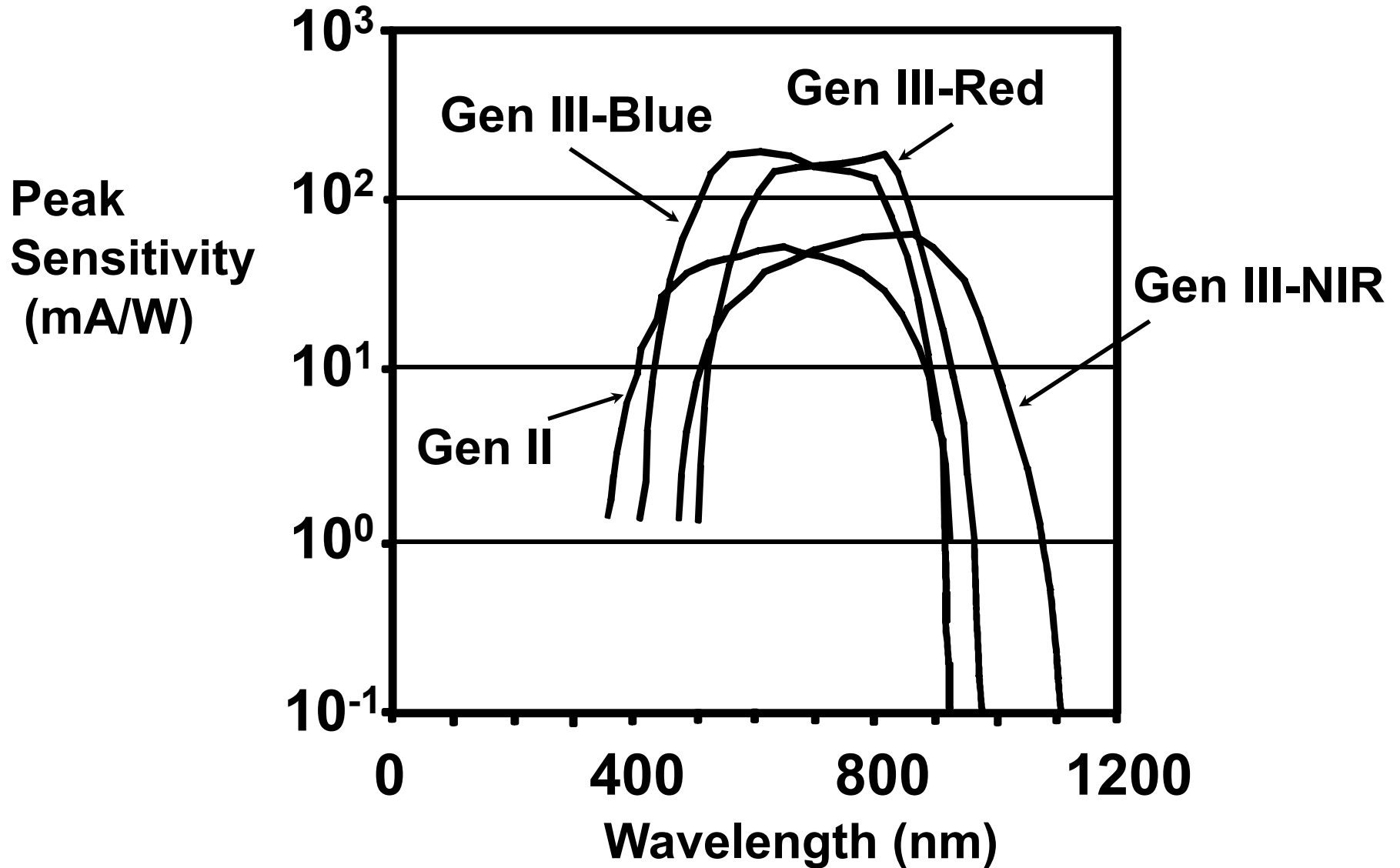
# Cameras

- **Intensified cameras**
  - Developed for military night vision use
  - Spectral response centered in visible range
- **Cooled Array Cameras**
  - Developed for high performance imaging applications (Astronomy)
  - Many detector materials and formats available
  - External cooling usually required

# Intensified Cameras



# Intensifier Response



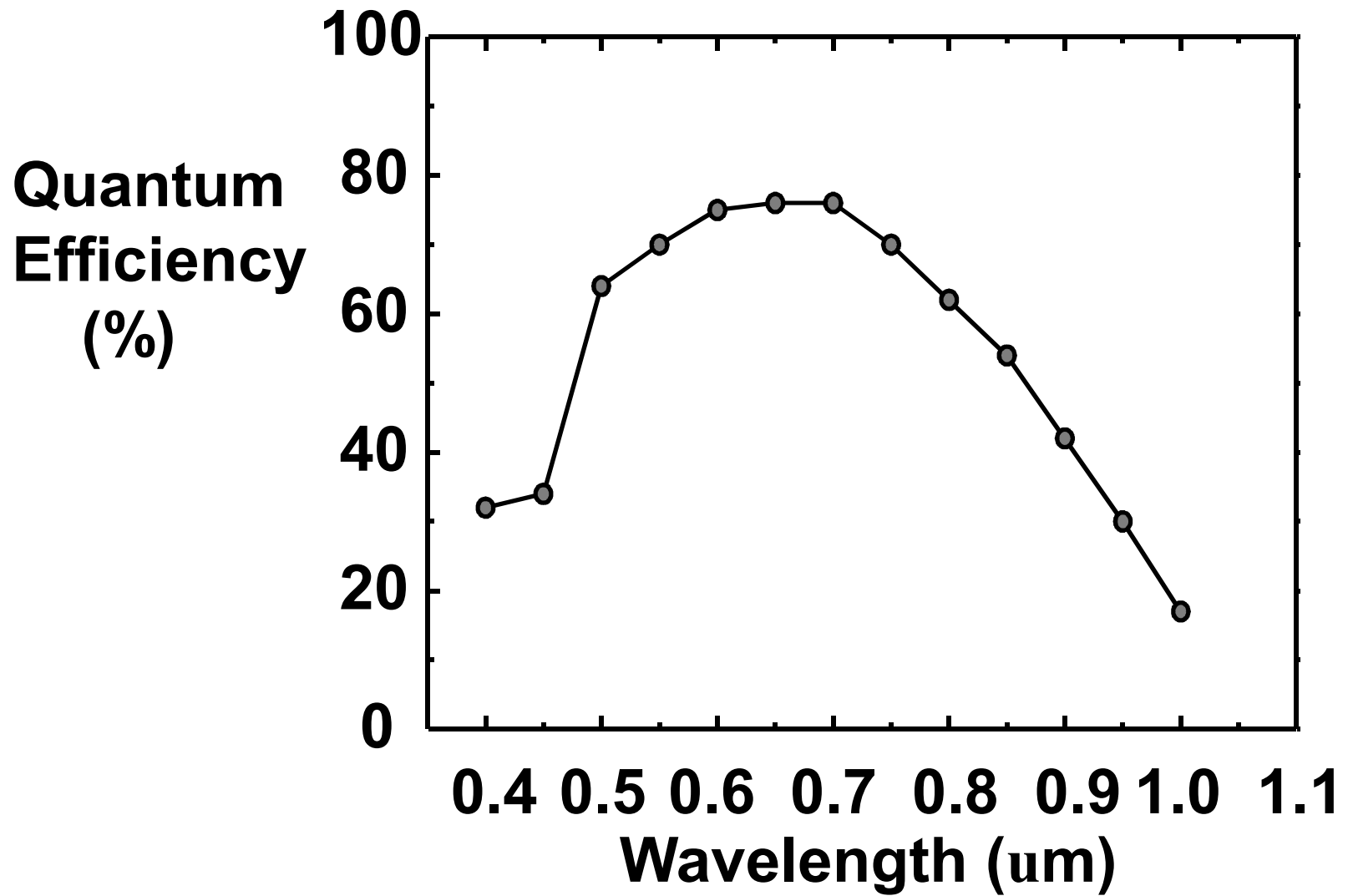
# Silicon CCD Cameras

- **Cameras commercially available from several sources**
- **Arrays made by many companies (e.g. Tektronix, Kodak, Thompson, etc.)**
- **Mature manufacturing technology**
- **Cooling can be Peltier, liquid/Peltier, or LN<sub>2</sub>**



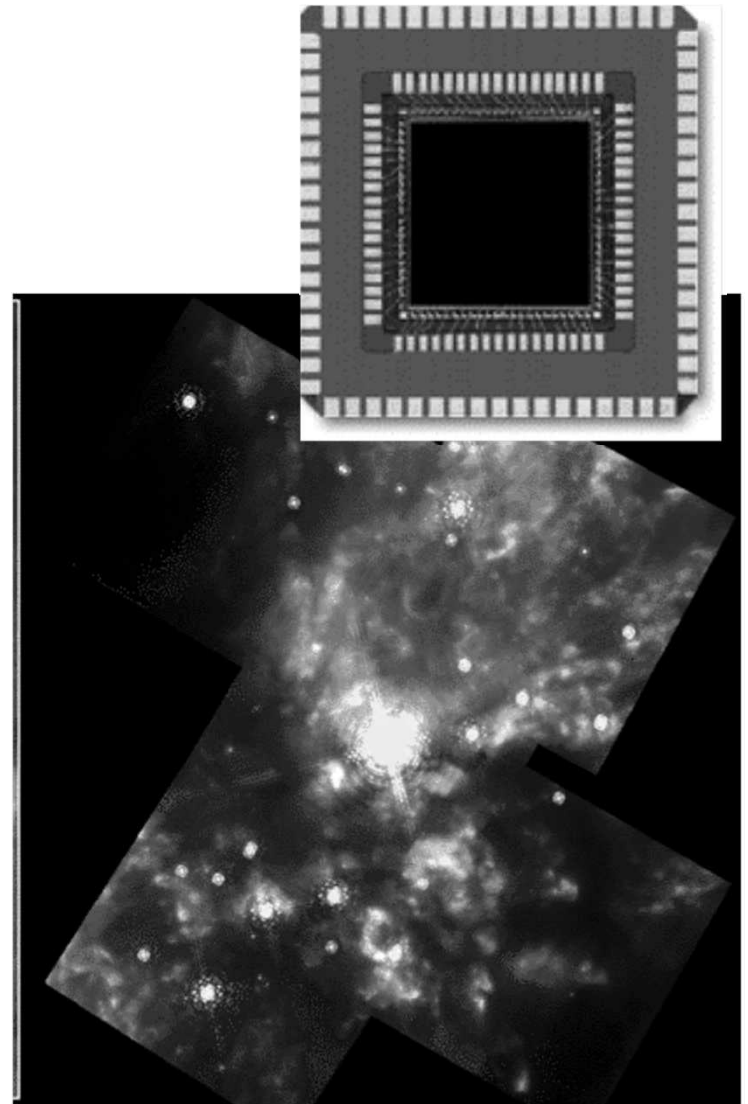
<http://www.photomet.com/>

## Si - CCD Array QE



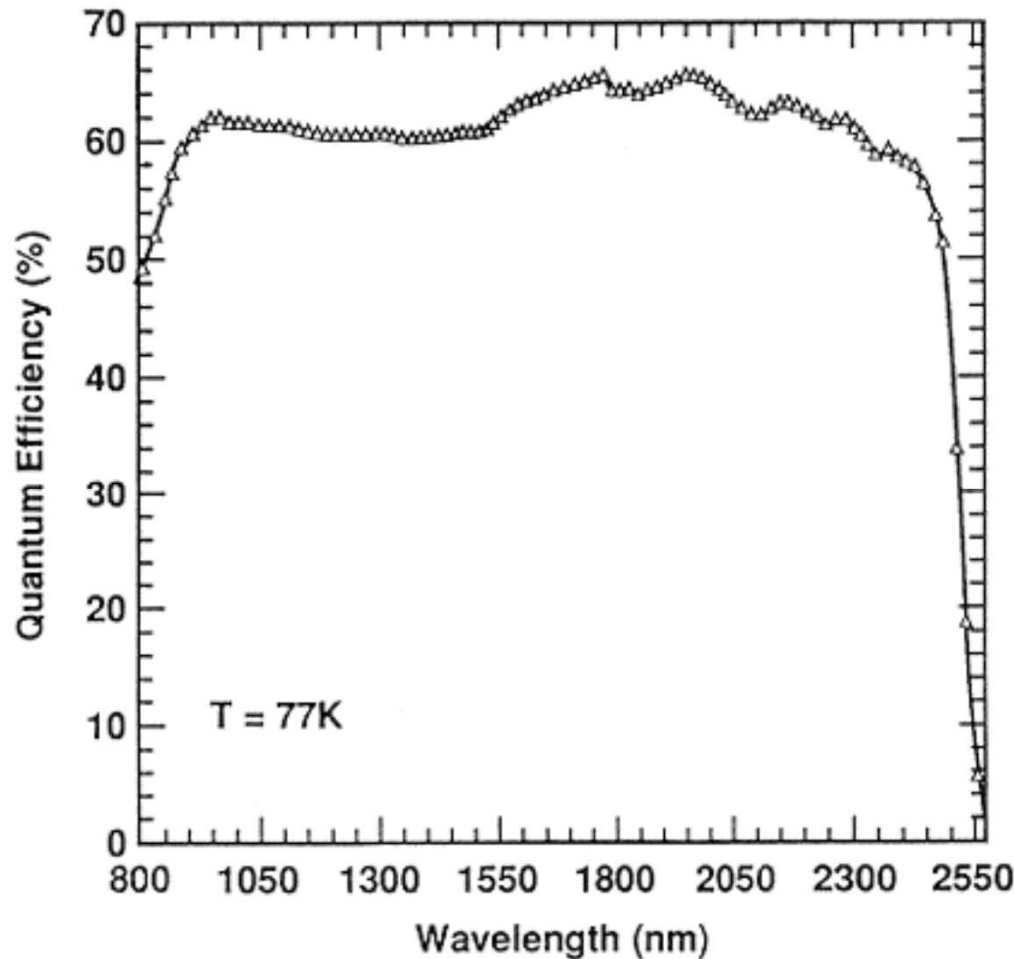
# NICMOS Array

- **NICMOS - Near Infrared Camera Multi-Object Spectrograph**
- **256 by 256 pixel HgCdTe array**
- **Optimized for use between 800 & 2500 nm**
- **First Array with Si-CCD level performance in NIR**
- **Flown on Hubble Space Telescope - February 1997**





# NICMOS Array Response

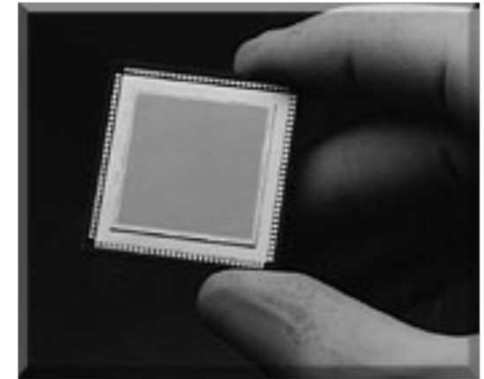


**Read Noise**  
(77 K) :  $<40\text{ e}^-$

**Dark Current**  
(77 K):  $<1\text{ e}^-/\text{s}$

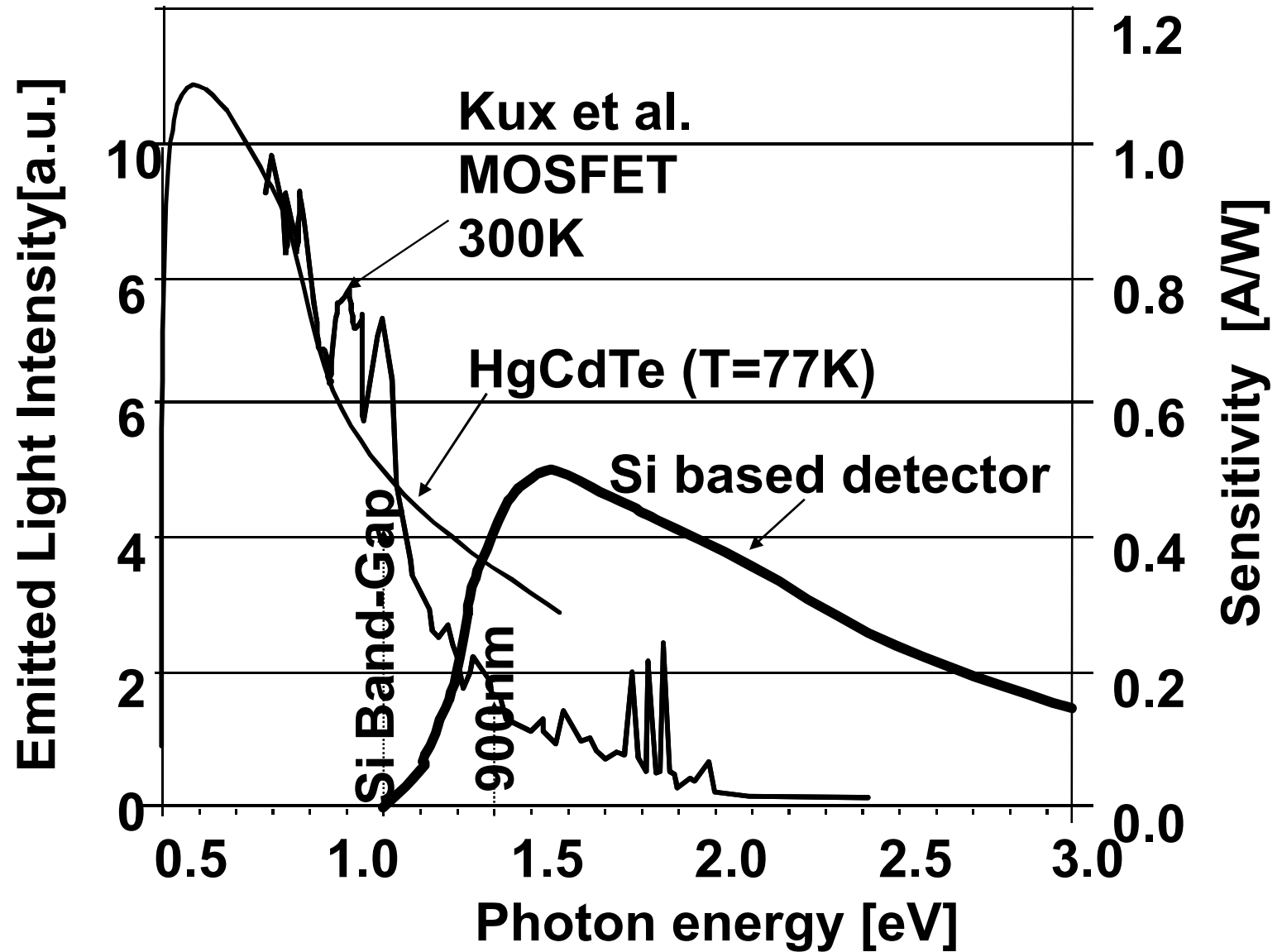
# Other NIR Arrays

- **Other arrays are available with low noise NIR response:**
  - **InSb (Indium Antimonide)**
    - **64x64 to 1024x1024 pixel formats**
    - **Spectral response  $\sim 0.6$  to  $5\ \mu\text{m}$**
    - **Operating temperature 35 K**
    - **Infrared blocking more difficult**
  - **PtSi (Platinum Silicide)**
    - **256x256 pixel array format**
    - **Spectral range 1 to  $5.7\ \mu\text{m}$**
    - **Operating temperature 79 K**



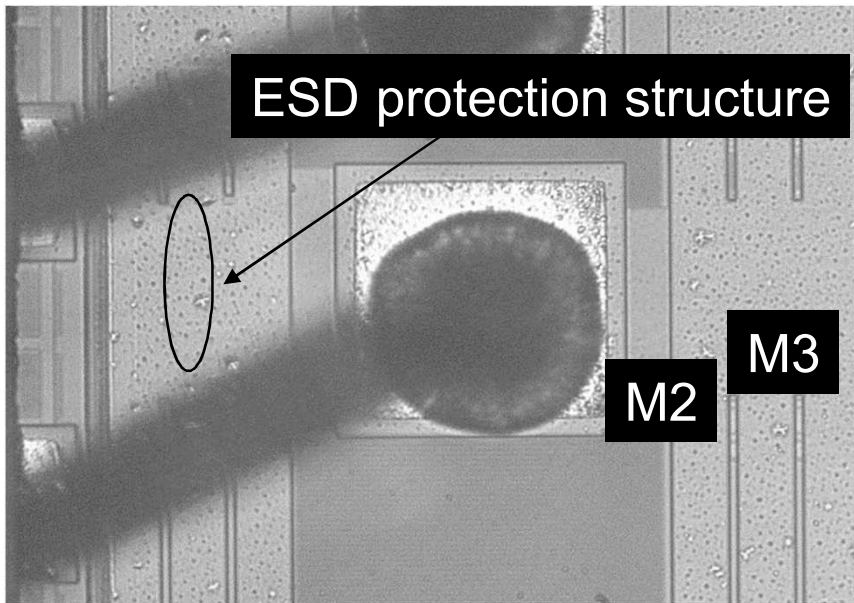
<http://www.sbrc.com/>

# PEM

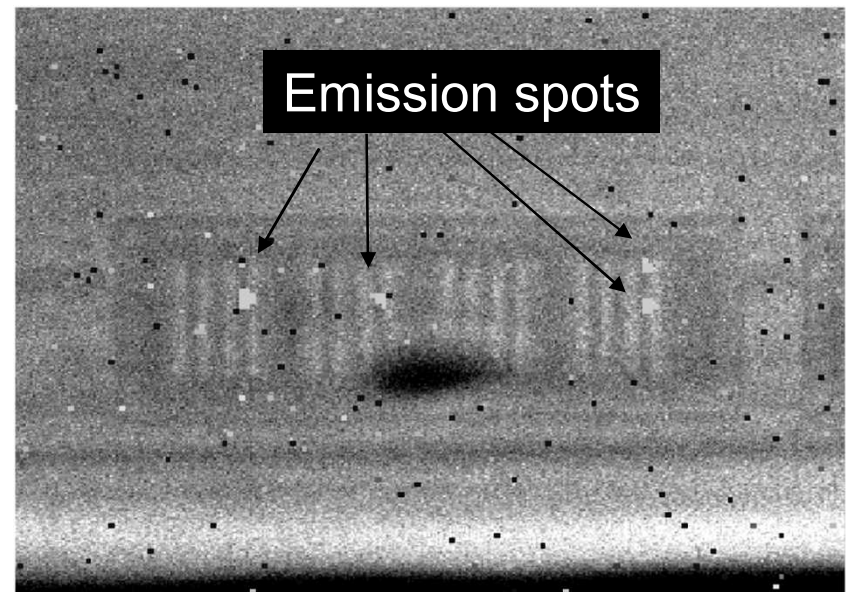


# PEM

Frontside illuminated image



Backside PEM ( Si CCD detector)



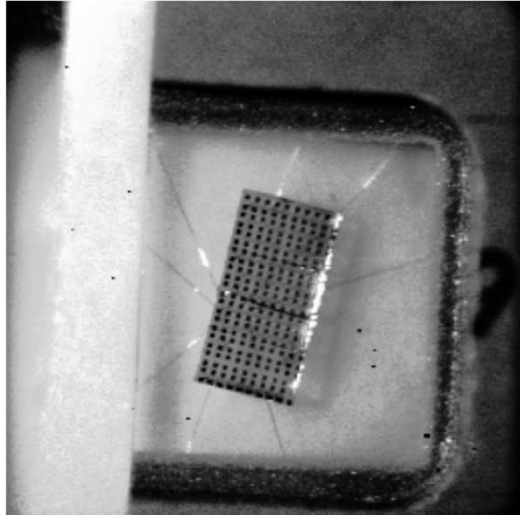
$$d_{\text{Si}}=150\mu\text{m}, n_{\text{substrate}}=1\times 10^{19}\text{cm}^{-3}$$

$$I_{\text{leakage}}=2\mu\text{A}, V=3.5\text{V after electrical overstress}$$

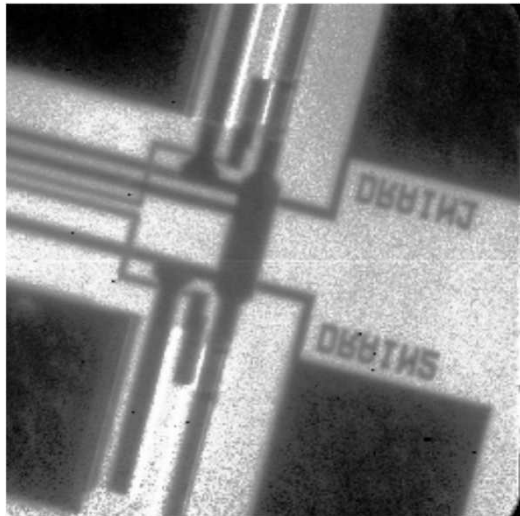
# PEM

## Backside illuminated images

X1

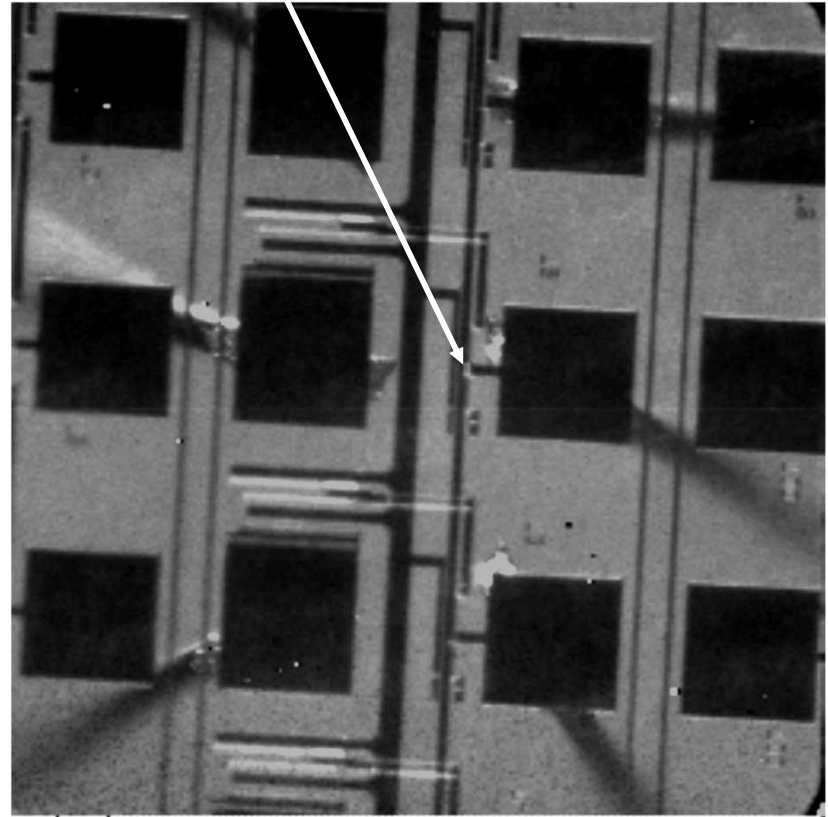


X50



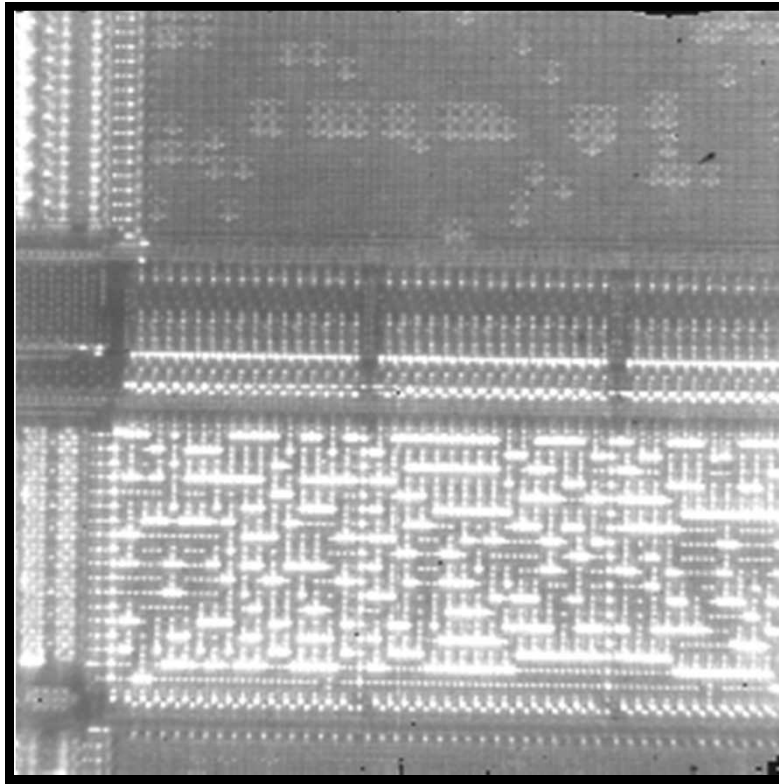
Backside emission signal: n-channel MOSFET

$V_g=5V$ ,  $V_{SD}=3.5V$ ,  $I_{DS}=1.5mA$ , 10 sec integration time



Backside emission microscopy with HgCdTe camera;  
Measurements performed by A. Zaplatin IR Labs

# Backside Analysis: Gate Oxide Breakdown



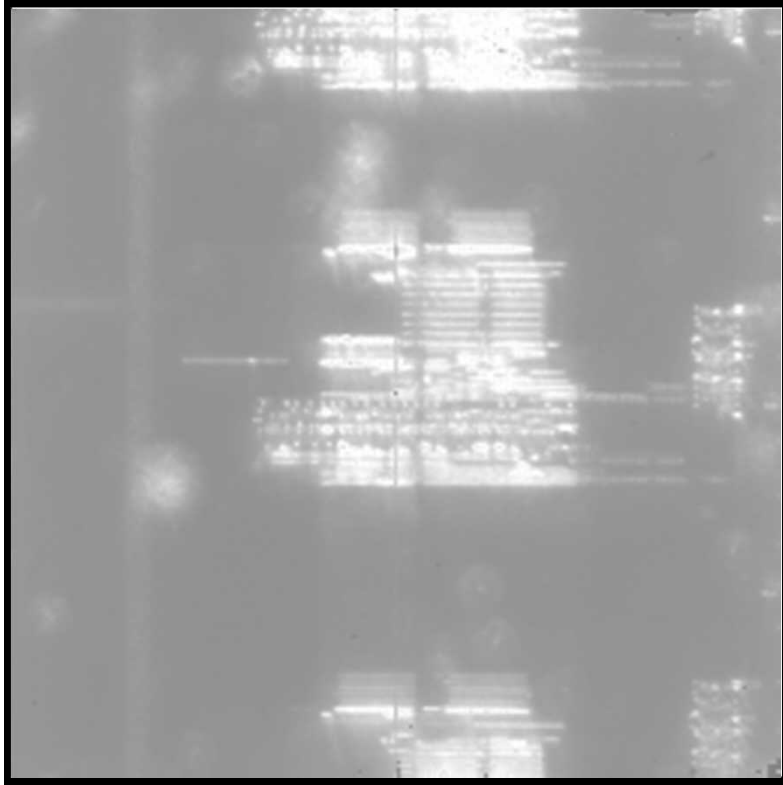
**Reflected Image**



**Emission Image**

1.5 sec. exposure,  $I_{DD} = 1.47$  mA

# Backside Saturation Emission



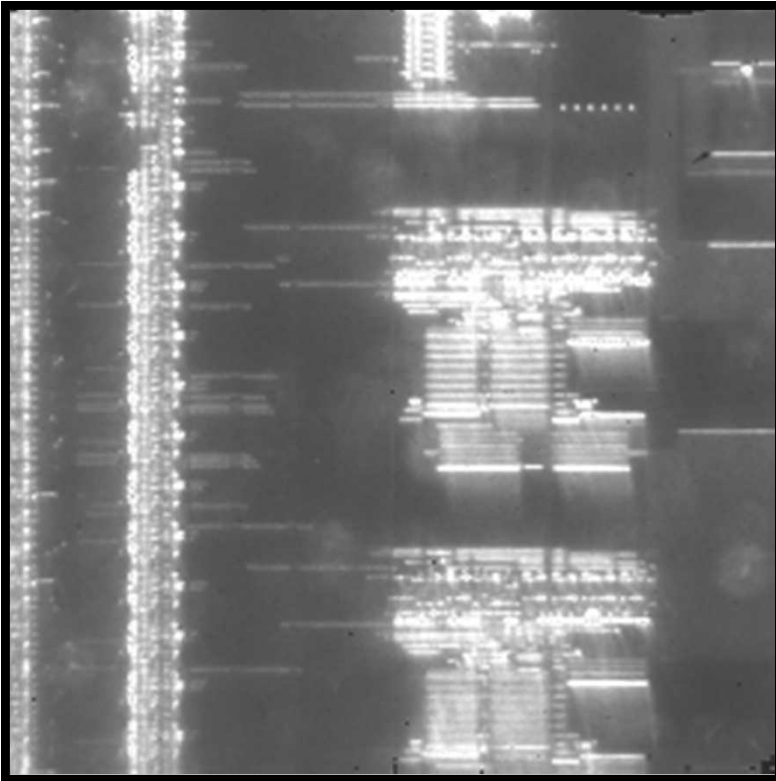
**Reflected Image**



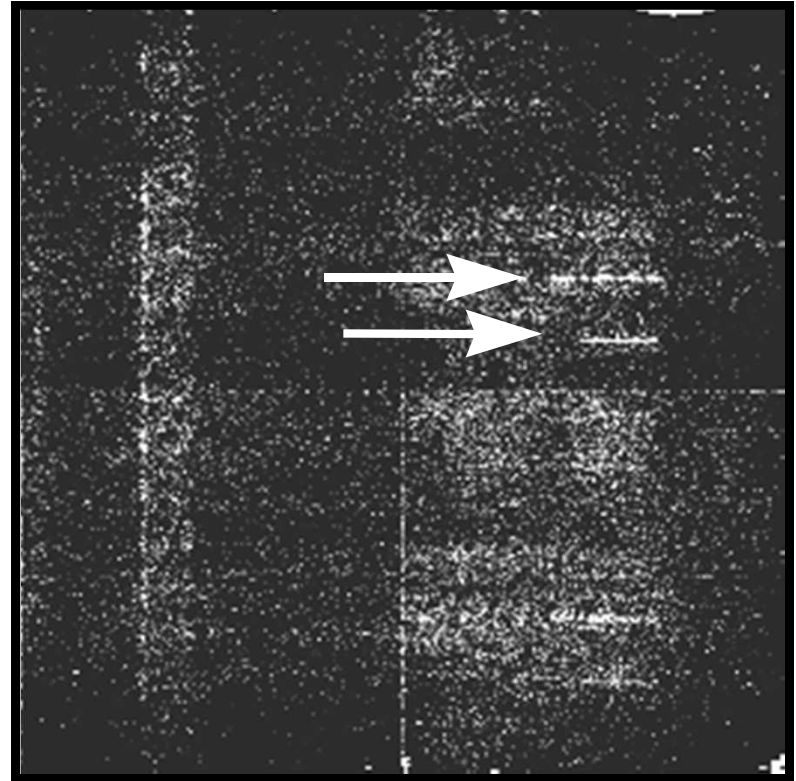
**Emission Image**

Full thickness die, 10 sec. exposure,  $I_{DD} = 225 \mu\text{A}$

# Backside Defect Detection



**Reflected Image**



**Emission Image**

Full thickness die, 200 sec. exposure  
 $I_{DD} = 200 \mu\text{A}$

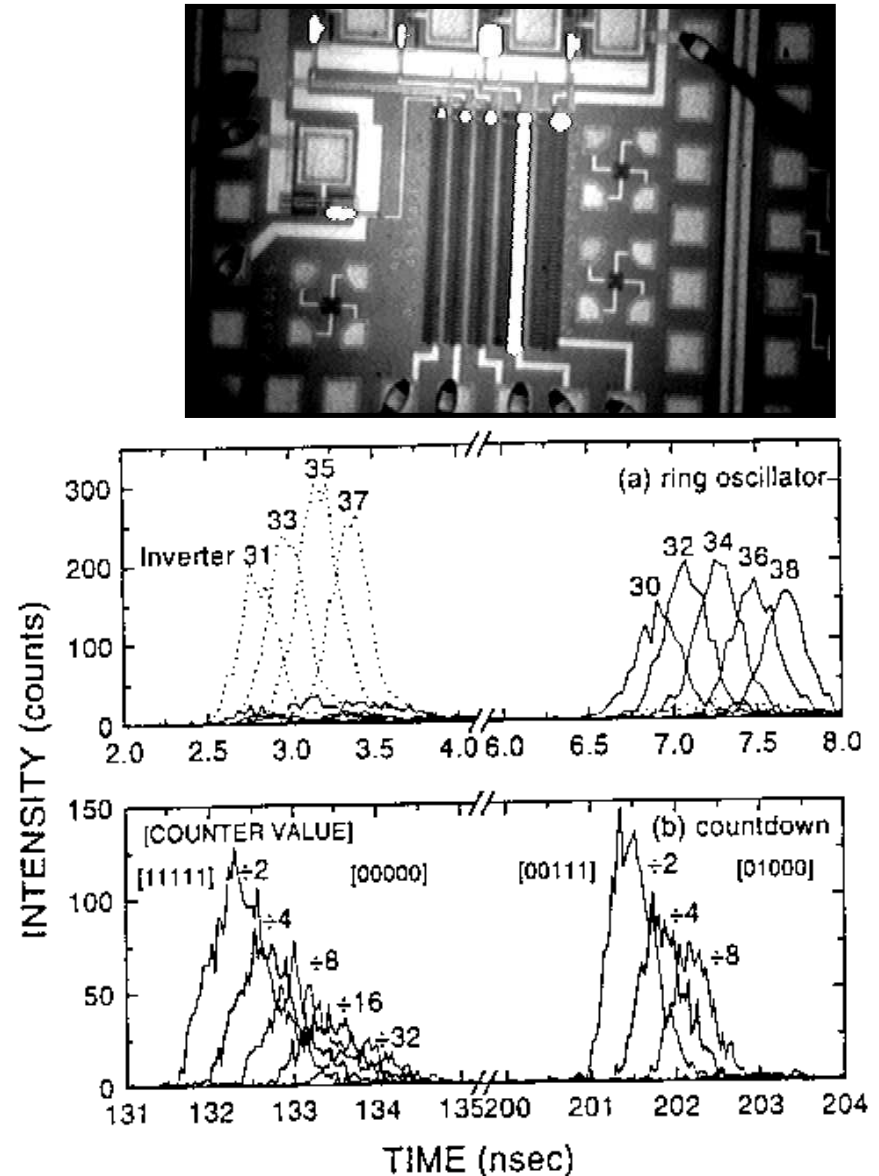


# PEM Conclusions

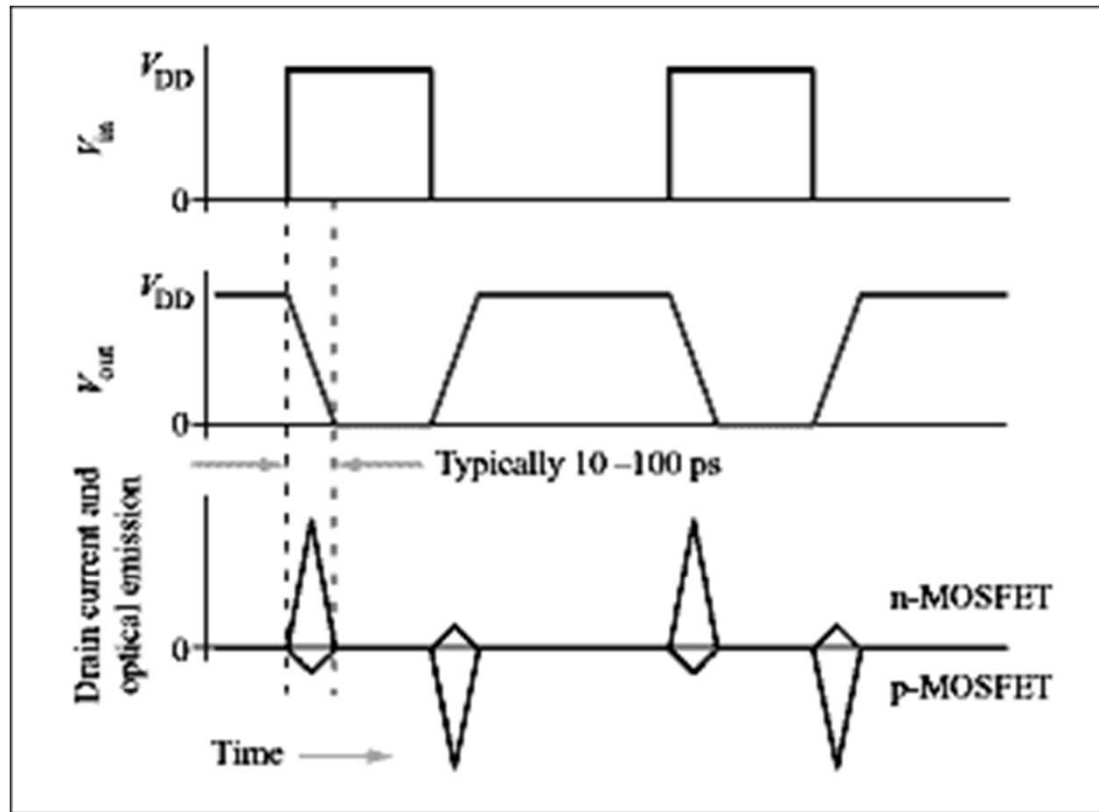
- **Physics suggests stronger light emission in NIR than at visible wavelengths**
- **Technology to detect NIR emission now available**
- **Head to head comparison of visible and NIR cameras proved NIR emission is stronger**
- **Similar signal to noise images can be acquired with up to 1000 times shorter exposures**

# PICA

- **Picosecond Imaging Circuit Analyzer (PICA)**
  - Kash & Tsang, IBM
- Working gates emit light during switching
- Emission is strongest when gate voltage is half of the drain voltage - the midpoint of the logic transition
- PICA uses a strobed, intensified data collection to gather spatial and time information
- The use of optical information emitted from IC makes PICA non-invasive



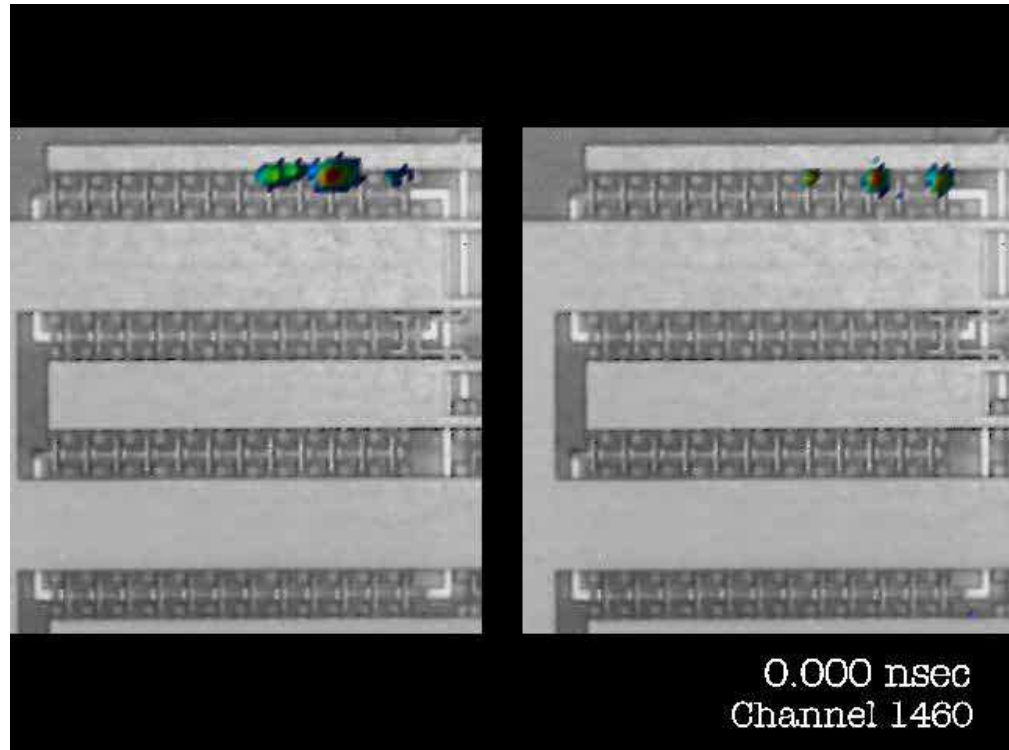
# PICA Signals



Schematic of the relationship between electrical and hot-carrier-induced optical emission waveforms for a CMOS inverter.

# PICA Ring Oscillator Movie

- Only a few “switching” transistors
- Timing and location information
- 20+ hours to acquire



Courtesy of Dave Vallet, IBM

# Single Point PICA

- **Traditional PICA technique**
  - Collect all data from field of view (parallel acquisition)
  - Requires 2-D intensified array camera
  - Collection efficiency and photon flux yield long data acquisition times
    - But you get timing information from every transistor in the field of view
- **Single point PICA**
  - Position single photodetector over transistor of interest
  - Photodetector can be extremely fast and sensitive to IR light
    - More detector choices than in traditional PICA
    - IR-sensitive detectors better for backside applications
  - Issues
    - Positioning detector
    - High NA lenses for resolution and collection efficiency
      - Need solid immersion lenses for resolution

# Magnetic Field Analysis

- Use  $B$  fields from local currents
  - Biot-Savart law

$$\vec{B} = \frac{\mu_o I}{2\pi r} \hat{\phi}$$

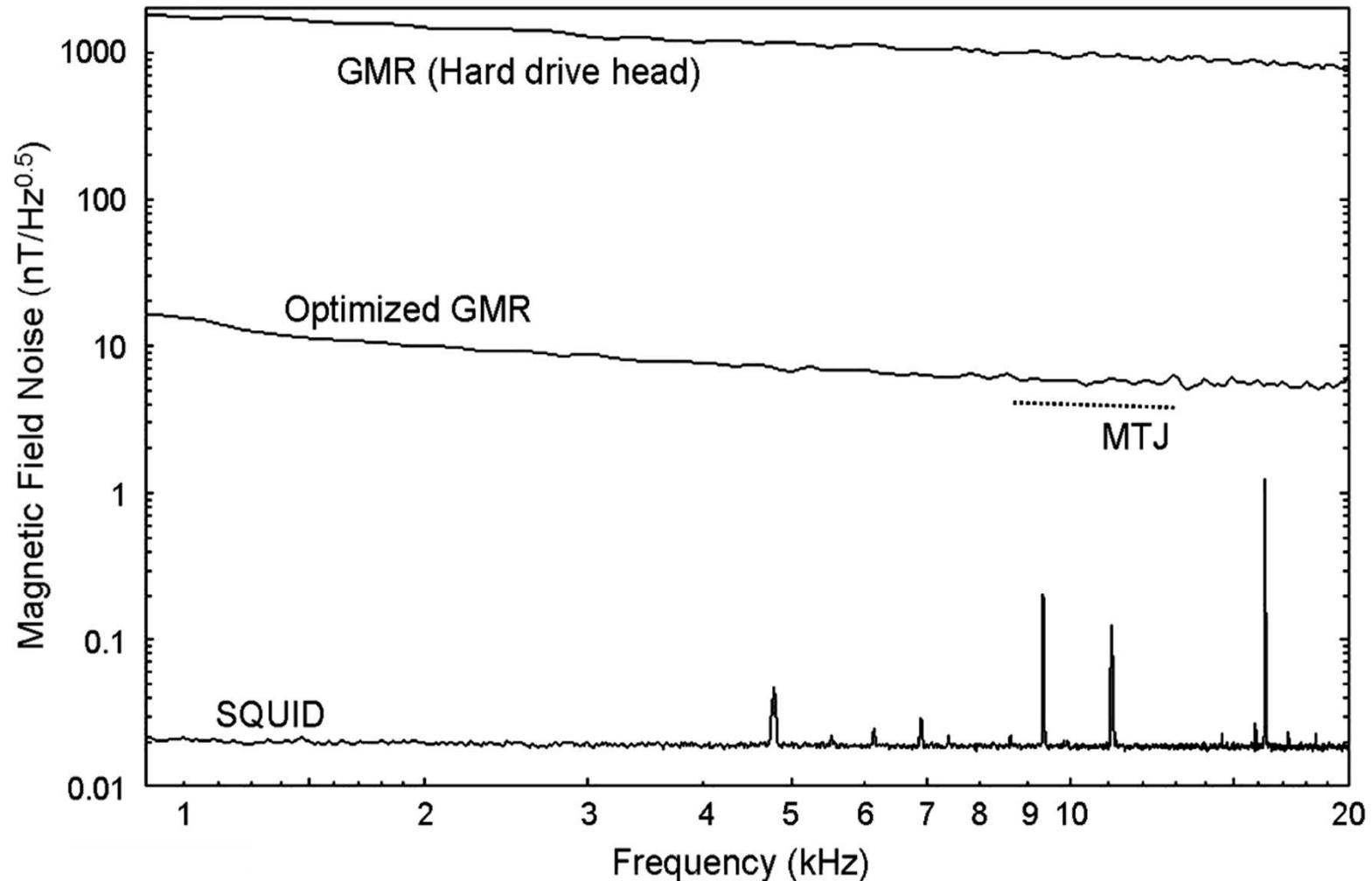


- $B$  fields can penetrate overlying layers
    - Enables non- or minimally invasive backside and multilayer samples
- Now a standard approach for PCBs and ICs
- Quantitative current and depth measurement

# Detectors for Magnetic Field Analysis

- Three basic detectors
  - MFM (magnetic field microscopy)
    - AFM based approach
    - $\mu\text{A}$  demonstrated over very small fields of view
  - SQUID (superconducting quantum interference device)
    - Most sensitive (lowest noise floor)
    - Geometry and cooling can be a challenge
  - Magnetoresistive sensors: GMR and MTJ
    - Reduced sensitivity, but better spatial resolution
      - Can be used in “cavities”
    - GMR (giant magnetoresistance)
      - Easier to manufacture (“tape heads”)
    - MTJ (magnetic tunnel junction)

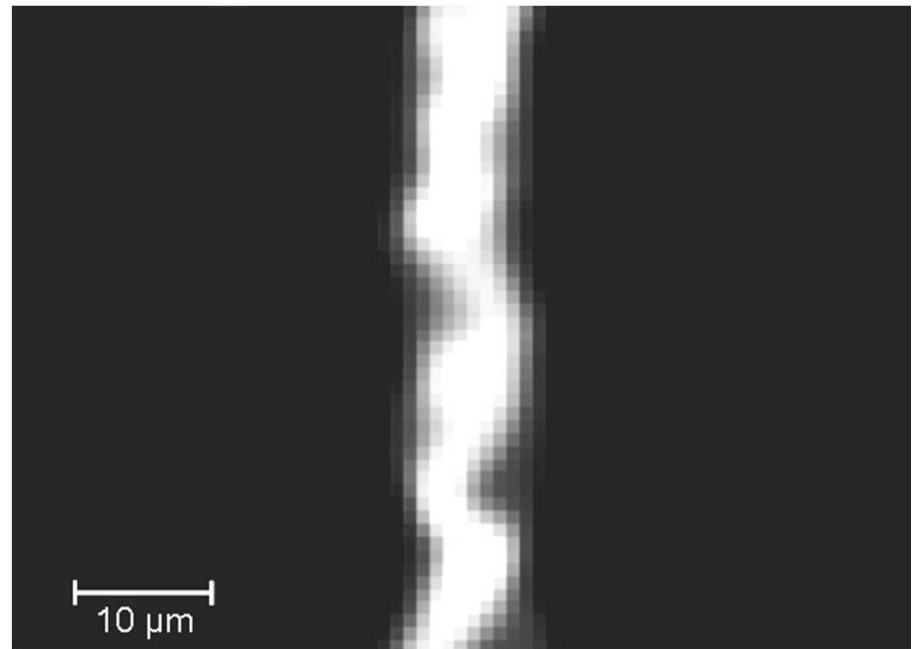
# Detector Noise vs. Frequency





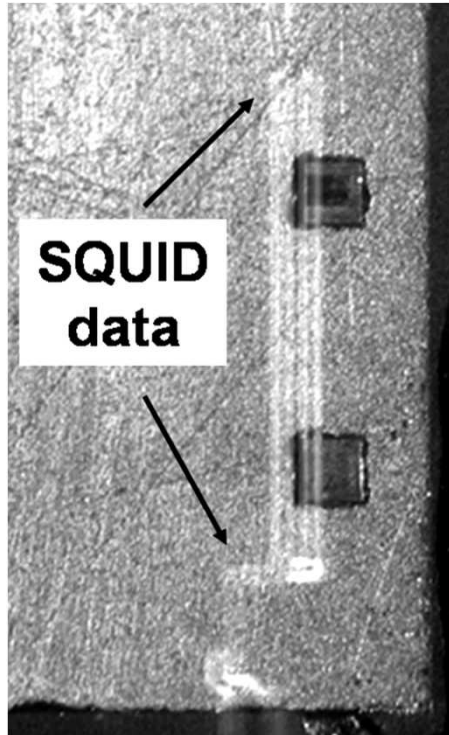
# Optimized GMR Example

- High spatial resolution with GMR detector
- Similar image with normal GMR head would require 300  $\mu\text{Arms}$

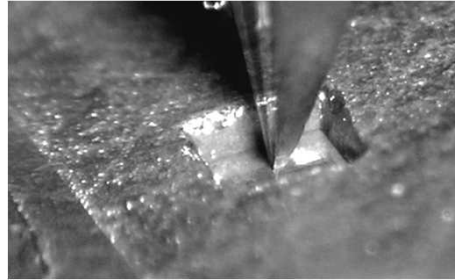


2  $\mu\text{Arms}$

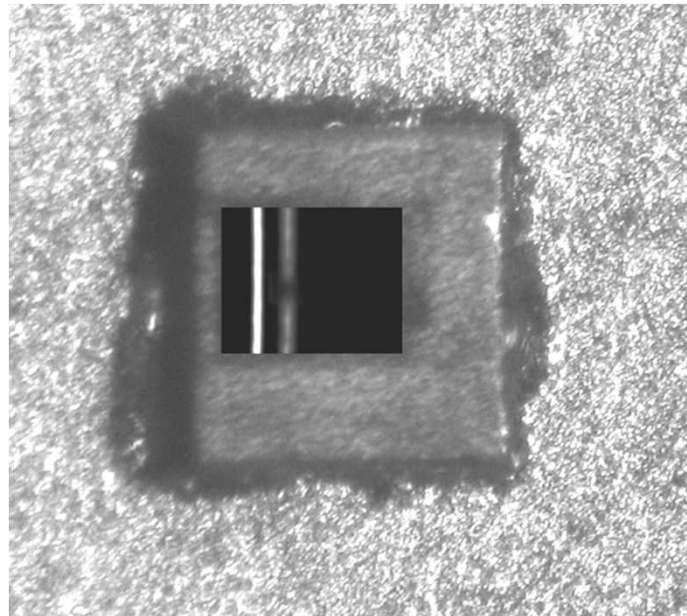
# SQUID and GMR Complement



**> 250  $\mu\text{m}$  distance**



**GMR in local cavity  
500x500x200  $\mu\text{m}$**



**GMR  
through  
50  $\mu\text{m}$   
Note 2  
contrast levels  
for different  
metal levels**

# Summary

- **Failure analysis now an enabler of technology**
  - Reliability, performance, profitability
- **Technology advances in IC processing require advances in FA**
- **Optical beam, photon emission, and magnetic imaging, with steady improvements, will continue to meet these needs**

# References

## For OBIC:

K.S. Wills, T. Lewis, G. Billus, and H. Hoang, "Optical beam induced current applications for failure analysis of VLSI devices," in *Proc. Int. Symp. Testing and Failure Anal. (ISTFA)*, pp. 21-26, (1990).

## For LIVA:

E. I. Cole Jr. et al., "Novel Failure Analysis Techniques Using Photon Probing With a Scanning Optical Microscope", *Proceedings of IEEE International Reliability Physics Symposium*, (Santa Clara, CA), p. 388-398, (1994).

## For OBIRCH and TIVA/SEI:

K. Nikawa and S. Inoue, "New Capabilities of OBIRCH Method for Fault Localization and Defect Detection", *Proc. of Sixth Asian Test Symposium*, pp.219-219, (1997).

E.I. Cole Jr. et al, "Backside Localization of Open and Shorted IC Interconnections", *Proceedings of IEEE International Reliability Physics Symposium*, (Reno, NV), p. 129-136, (1998).

## For SDL and LADA:

E.I. Cole Jr. et al., "Resistive Interconnect Localization", *International Symposium for Testing & Failure Analysis*, 43-50, (2001)

M.R. Bruce et al., Soft Defect Localization (SDL), *International Symposium for Testing & Failure Analysis*, 21-27, (2002)

J.A. Rowlette and T.M. Eiles, Critical Timing Analysis in Microprocessors Using Near-IR Laser Assisted Device Alteration, *International Test Conference*, 264-273, (2003)

# References (con't)

## For Light Emission

C.F. Hawkins, et, al., “The Use of Light Emission in Failure Analysis”, *ISTFA*, pp. 55-67, Oct. 29-Nov. 2, (1990).

J.M. Soden, E.I. Cole, Jr., and T.L. Barrette, “Selected Topics in IC Failure Analysis: Light Emission Microscopy, SEM Techniques, and Issues Concerning Gate Array Devices”, Tutorial, Proc. *IRPS.*, pp. 4a1-4a.16, (1992).

D.L. Barton, et al., “Infrared Light Emission from Semiconductor Devices”, *ISTFA*, pp. 9 – 17, (1996).

## For PICA

J.A. Kash and J. C. Tsang, “Dynamic Internal Testing of CMOS Circuits,” *IEEE Electron Device Letters*, Vol. 18, pp. 330-332 (1997).

J.C. Tsang, J.A. Kash, and D.P. Vallet, “Picosecond Imaging Circuit Analysis”, *IBM Journal of Research and Development*, V44, N 4, pp. 583-604, (2000).

# References (con't)

## For Magnetic Analysis

L.A. Knauss, et al. "Detecting Power Shorts from Front and Backside of IC Packages Using Scanning SQUID Microscopy", *ISTFA*, pp. 11-16. (1999).

B.D. Schrag, et al., "Quantitative Analysis and Depth Measurement via Magnetic Field Imaging", *EDFA Magazine*, November, Vol. 7, No. 4, pp. 24-31, (2005).

S.I. Woods, A. Orozco and L.A. Knauss, "Advances in Magnetic Current Imaging for Die-Level Fault Isolation" *EDFA Magazine*, November, Vol. 8, No. 4, pp. 26-30, (2006).