



Experimental Determination of Thermal Accommodation Coefficients for Microscale Gas-Phase Heat Transfer

**W.M. Trott¹, D.J. Rader¹, J.N. Castañeda¹,
J.R. Torczynski¹, M.A. Gallis¹, and L.A. Gochberg²**

**¹Engineering Sciences Center, Sandia National Laboratories
Albuquerque, New Mexico**

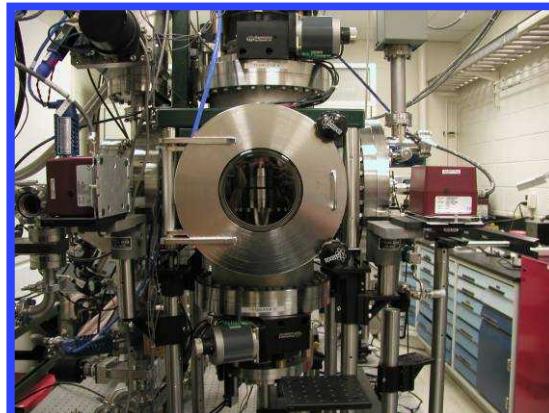
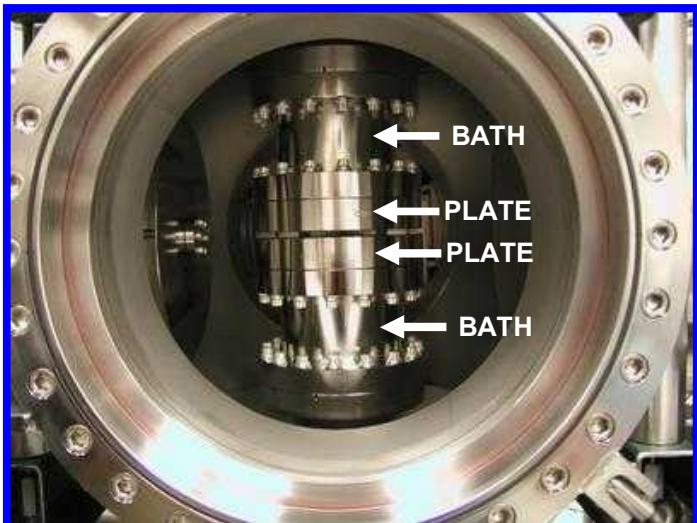
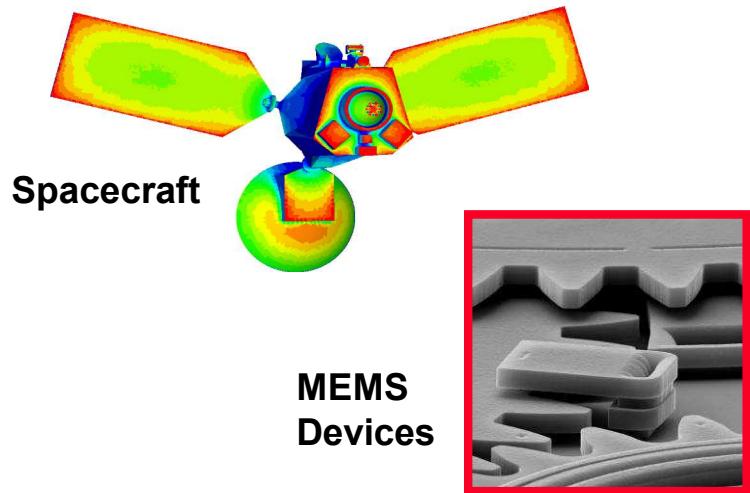
²Novellus Systems, Inc., San Jose, California

***American Vacuum Society 54th International Symposium
Seattle, WA, October 14-19, 2007***

Presentation Outline

- ❖ Motivation
- ❖ Experimental Capability and Data Analysis
 - Review Original System Design and Early Results
 - Discuss System Improvements
 - Discuss Improvements in Analysis
(DSMC-based formula to determine thermal accommodation coefficients)
- ❖ Recent Results for Different Gases (Single-Species)
- ❖ Helium/Argon Mixture Experiments and Modeling
- ❖ Summary and Future Work

Gas-Surface Interactions



Problem

- No-slip, no-jump boundary models break down for rarefied or microscale flows
- Details of gas-surface interaction crucial

Applications

- Aerodynamic heating of spacecraft
- Heat management in MEMS devices
- DSMC always needs surface model

Technical Approach

- Complex physics requires experiments
- Measure heat flux and gas density between parallel plates (*primary emphasis on heat flux measurements*)
- Infer gas-surface energy accommodation

Thermal
Accommodation
Test Chamber

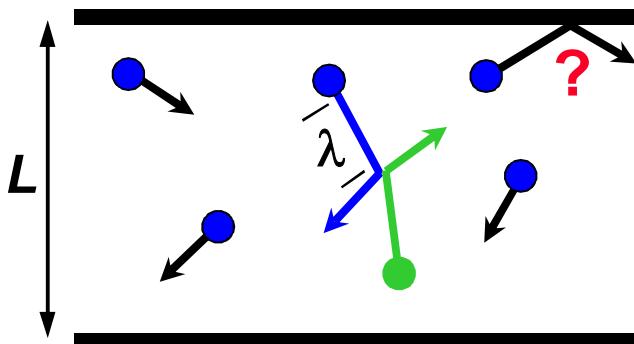


Surface Accommodation and Noncontinuum Heat Transfer



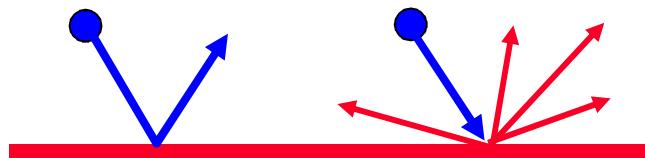
- Accommodation depends on surface material, gas composition, gas pressure, surface roughness
- Maxwell model successful in reproducing experimental data, allows for closed-form solutions to the BE
- Maxwell model does not take into account internal degrees of freedom
- The Liu and Lees (1961) approximate four-moment solution (with later extensions) reproduces noncontinuum heat transfer
- The Springer experiment (1961) measured accommodation coefficients but cannot be reproduced by solutions to the BE (Ohwada)
- To resolve this, *precise heat transfer measurements* are needed

Noncontinuum Gas Behavior



Molecular and Wall Collisions

Specular reflection



Diffuse reflection

Continuum flow assumptions break down as mean free path approaches system length scale: $\lambda \sim L$

Noncontinuum flow encountered in widely different regimes

- Low pressure, large scale (*spacecraft*)
- Ambient pressure, micro scale (*MEMS*)

Gas-gas collisions well understood

Gas-surface collisions not understood

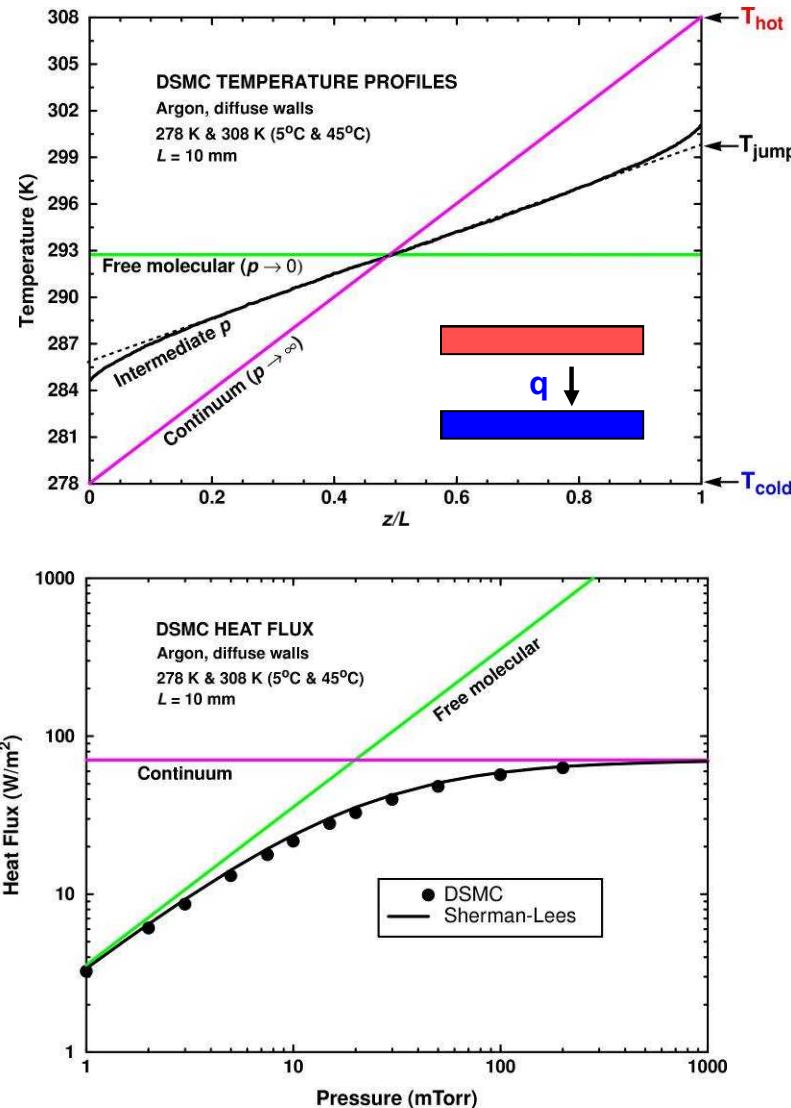
- Simple *ad hoc* models (e.g., Maxwell, 1890)
- MD simulations limited to atomic scale - requires surface characterization

DSMC Perspective

- Probabilistic description of microscopic gas-surface interaction
- DSMC simulations with gas-surface model must reproduce **heat flux** data

α = diffuse fraction
 $1 - \alpha$ = specular fraction

Noncontinuum Heat Flux



Molecular reflection at walls controls heat flux and temperature profile

- Near-wall Knudsen layers
- Temperature jumps at walls
- Pressure-dependent heat flux

Approach

- Perform precise experiments
- Parallel plates of unequal temperature
- Use measurement of heat flux vs. pressure to determine accommodation

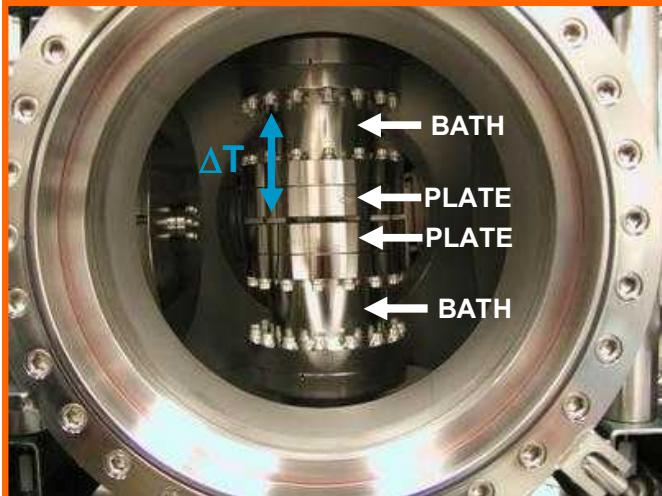
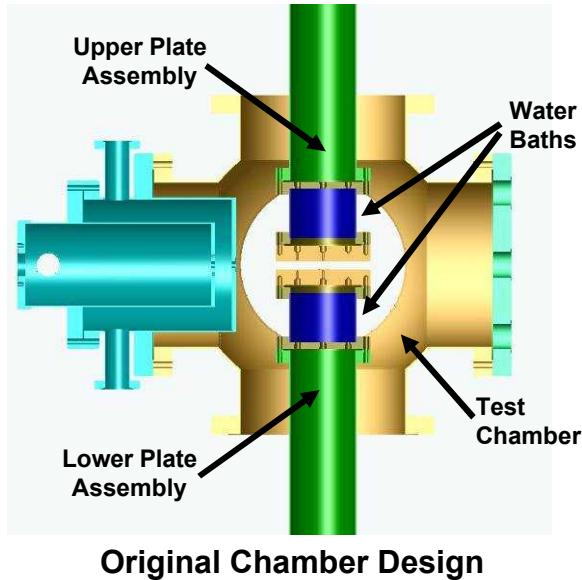
Gas-Surface Combinations

- Gases (monatomic, diatomic, polyatomic, mixtures)
- Materials (stainless steel, gold, aluminum, silicon...)
- Surface finish (machined, polished, ...)

Assess gas-surface models in DSMC



Experimental Heat-Flux Measurement



Infer Heat Flux from Temperature Drop Across Each Plate (both hot and cold)

Principle of Operation

- Two temperature-controlled water baths
- Measure temperature difference, ΔT , between liquid in baths and surface of plates
- Assume heat flux, q , proportional to ΔT

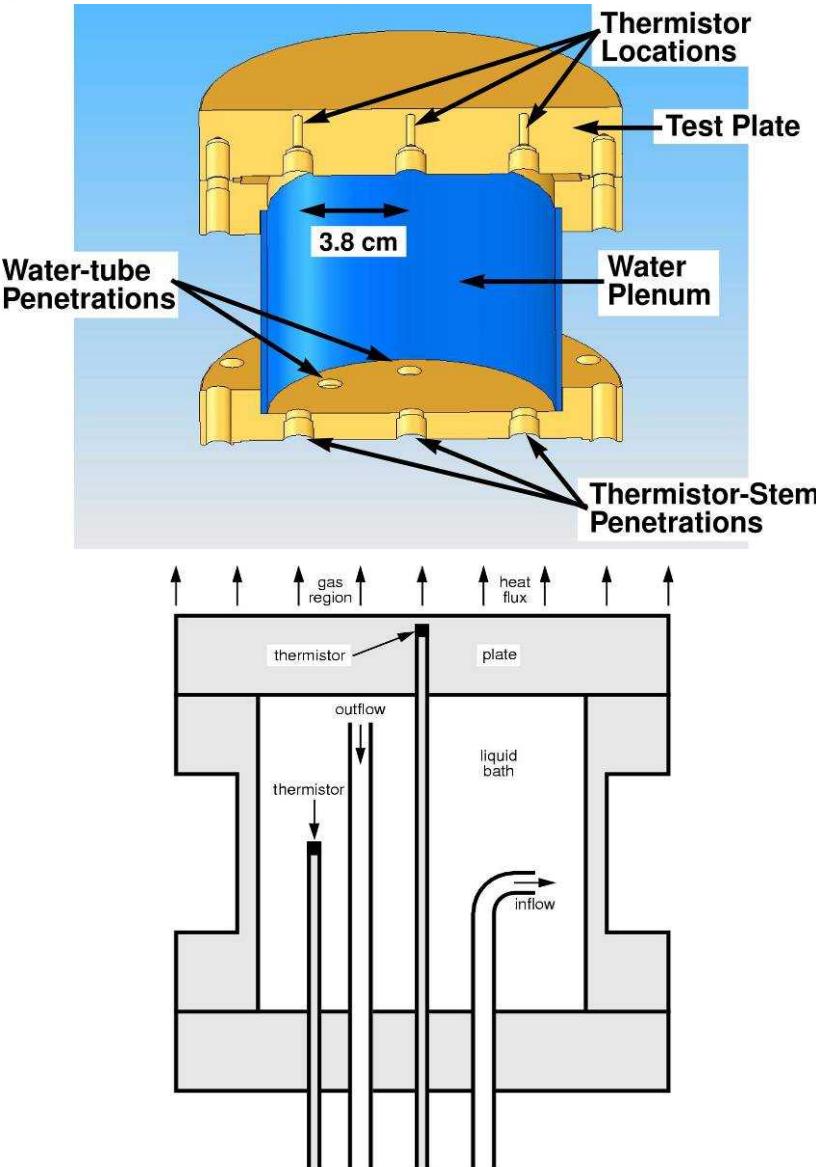
Challenges:

- Very low heat fluxes \Rightarrow small ΔT
- Need high accuracy measurement of ΔT
- Need high accuracy control of gap (requires precise, reproducible translation of high thermal-mass components)
- Need high accuracy, stable pressure

High Accuracy Solutions:

- Hart Scientific thermistors
- Robust, independent plate positioners
- MKS Baratron pressure transducers
- MKS pressure (flow) controller

Temperature-Difference Measurement



Infer Heat Flux from Temperature Drop between Plate Surface and Bath

Test Plates:

- Based on 6-inch conflat flange
- Stainless steel provides low conductivity
- Coat working surface with other materials
- Interchangeable relatively quickly

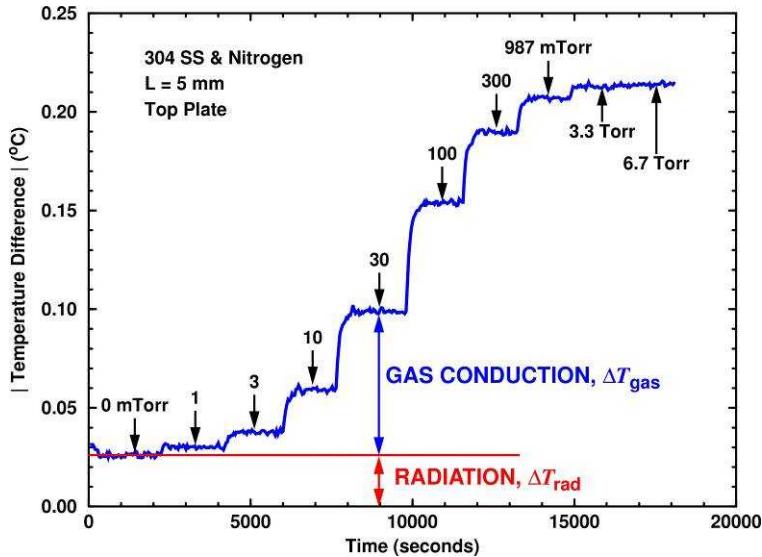
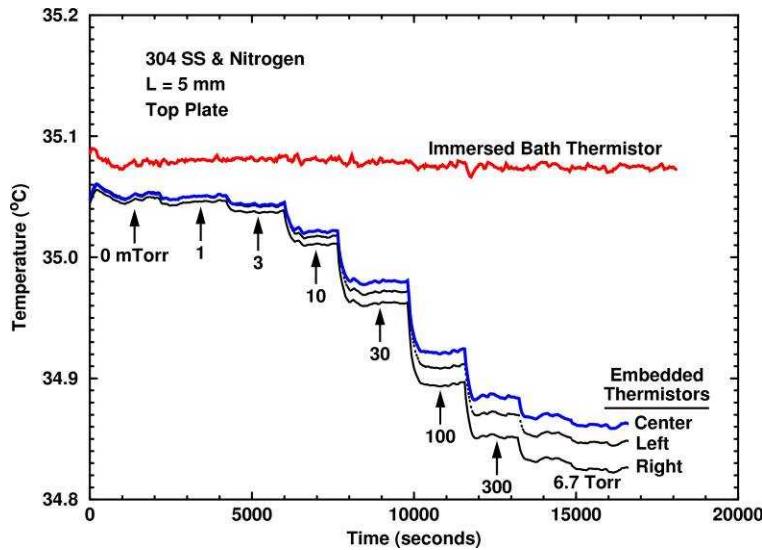
Bath Temperature

- Thermistor immersed in water
- Water stirred by constant flow
- Simulations of bath show some temperature drop across fluid/wall boundary layers

Plate Temperature

- Three thermistors embedded ~ 1.6 mm from plate working surface
- Central thermistor used for measurement
- Side thermistors test for uniformity

Analysis of Temperature Data



Infer Heat Flux from Temperature Drop Across Each Plate

Plate temperatures straddle ambient

- Reduce parasitic losses
- Keep temperature differences small
- Use small gaps to increase heat flux

Measure temperature differences

- Between immersed and center-embedded thermistors, ΔT
- Vanishing-pressure limit gives radiation contribution, ΔT_{rad} (other parasitic losses may also contribute slightly)
- Gas-phase heat flux: $\Delta T_{\text{gas}} = \Delta T - \Delta T_{\text{rad}}$

Pressure effect clearly evident

Continuum limit clearly observed

Some nonideal system behaviors

- Temperature varies across plates, $\sim 0.05^\circ\text{C}$
- Side-to-side asymmetry

Noncontinuum Modeling of Heat Conduction

Navier-Stokes Slip-Jump (NSSJ)

- Continuum equations plus velocity slip and temperature jump
- Computationally less expensive, approximate for noncontinuum

Bulk gas: $\mathbf{q} = -K\nabla T, \quad \rho C_p (\partial T / \partial t) = \nabla \cdot (K \nabla T) + S$

Jump BC: $q = h\Delta T, \quad h = \left(1 + \frac{\varsigma}{4}\right) \left(\frac{\alpha}{2 - \alpha}\right) \left(\frac{p\bar{c}}{T}\right)$

Direct Simulation Monte Carlo (DSMC)

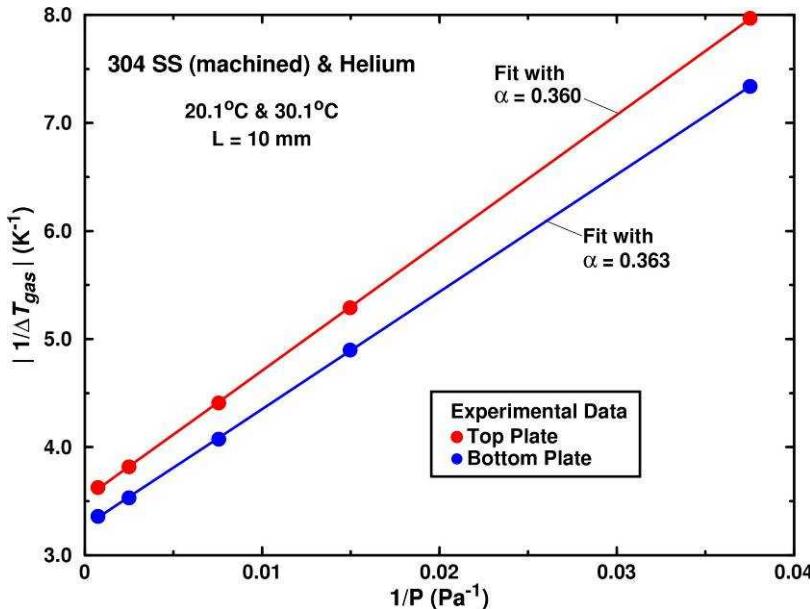
- Molecular statistical simulation of Boltzmann equation
- Computationally more expensive, accurate for noncontinuum

$$\partial(nf)/\partial t + \mathbf{c} \cdot \nabla(nf) = C[nf]$$

Accommodation Coefficient - Kennard

$$T_g - T_{wall} = \frac{2\gamma}{\gamma+1} \frac{2-\alpha}{\alpha} \frac{\lambda}{\text{Pr}} \frac{dT}{dx}$$

$$\frac{1}{q} = \frac{1}{q_c} + \frac{1}{q_c} \frac{2KT}{L \left(\frac{\alpha}{2-\alpha} \right) \left(1 + \frac{\zeta}{4} \right) \bar{c}} \cdot \frac{1}{P}$$



Approach of Kennard (1938)

Use Maxwell Wall Model

- Fraction α reflected diffusely
- Remainder $(1-\alpha)$ reflected specularly
- Assume equal accommodation at walls

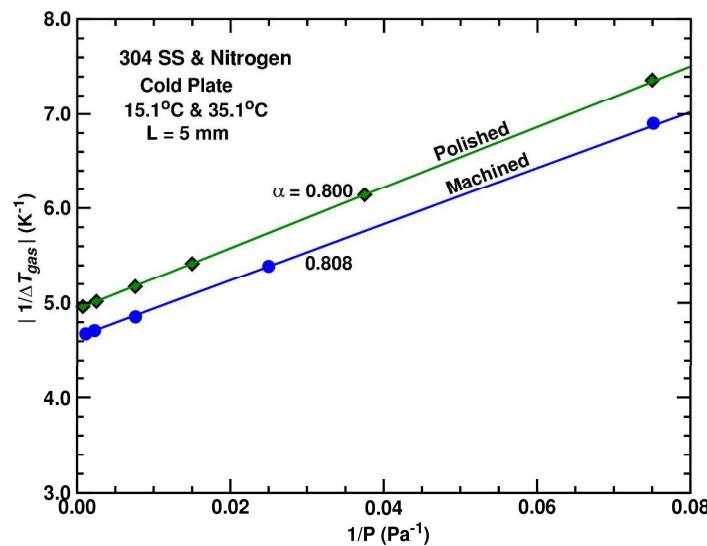
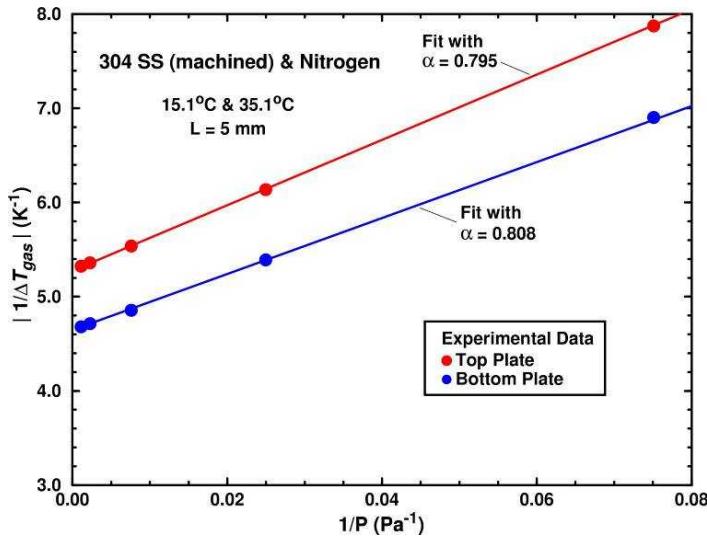
Consider Near-Continuum Regime

- Small temperature jumps
- Jump proportional to gas mean free path, λ , and temperature gradient
- Find that $1/q$ linear in $1/P$
- Assume $q \propto \Delta T_{\text{gas}}$
- Calculate α from slope

Simple Analysis Generally Satisfactory

- Data well described by linear fit
- Data from top and bottom plates agree

Effects of Gas Composition, Surface Finish and Surface Contamination Explored



Maxwell jump model consistent with experimental observations

Representative Thermal Accommodation Coefficients (α) for 304 Stainless Steel

Gas	α , machined (RMS roughness $\sim 2 \mu\text{m}$)	α , polished (RMS roughness $\sim 20 \text{ nm}$)
Argon	0.87 ± 0.02	0.88 ± 0.02
Nitrogen	0.80 ± 0.02	0.80 ± 0.02
Helium	0.36 ± 0.02	0.40 ± 0.02

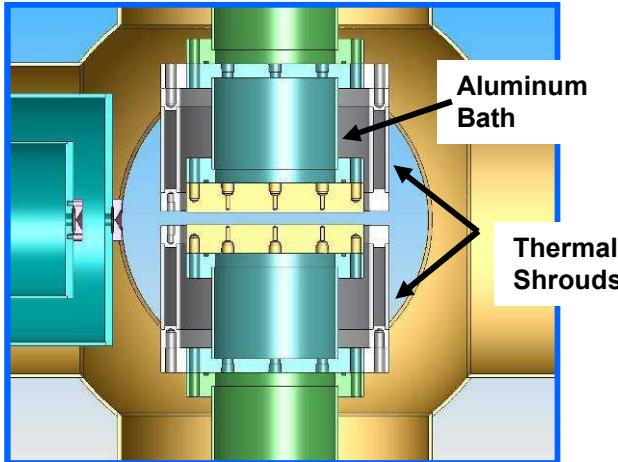
Surface roughness plays a minor role

Surface contamination identified as an important effect:

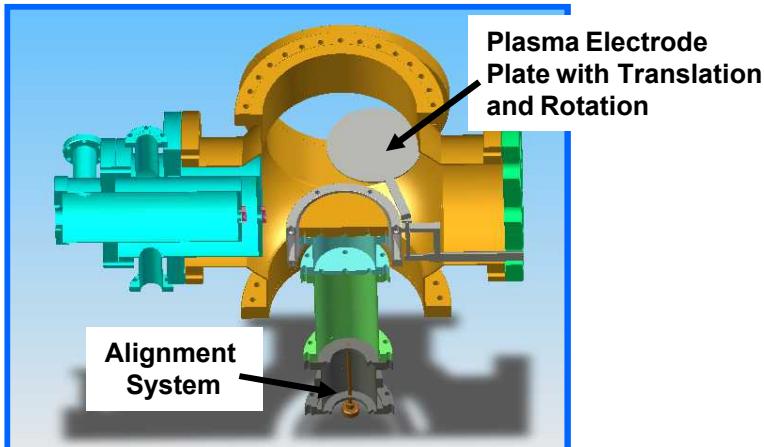
- *In situ* argon-plasma cleaning
- Helium and Polished SS: $\alpha \rightarrow 0.32$



Modifications Have Enhanced System Performance



New Chamber Design with Thermal Shrouds and Plate Alignment System



Thermal Shrouds

- Independent shroud-temperature control
- Reduce parasitic side-wall heat loss
- Improved plate-temperature uniformity

Aluminum Baths

- High thermal conductivity
- Better heat flow to plates
- Improved plate-temperature uniformity

Inter-Plate Separation Control

- Mechanical plate alignment system
- High-precision, *in situ* plate-gap sensors

Permanently Mounted Capability for In Situ Plasma Treatment

Added Hardware for Precision Filling/Metering of Gas Mixtures

Improvements in plate-temperature uniformity are significant but still less than desired

Enabling Specifications

Temperature Measurement and Control

- Thermistor Precision $\sim 0.003^\circ\text{C}$
- Accurate to 0.01°C (by in-house calibration)
- Multiple measurement points
- Water-bath control of plates $\pm 0.01^\circ\text{C}$

Pressure Measurement and Control

- Accurate to 0.1% reading
- Redundant absolute pressure sensors, multiple ranges
- Stable pressures via automated flow control (e.g., $30 \pm 0.01 \text{ mTorr}$)

Parallel Plate Assemblies

- Designed for facile mounting/exchange of sample plates
- Robust translators provide position accuracy $\sim 10 \mu\text{m}$
- Independent positioning and alignment of top and bottom plates
- Capacitive gap measurement system to ensure parallel configuration

Electron-Beam Fluorescence

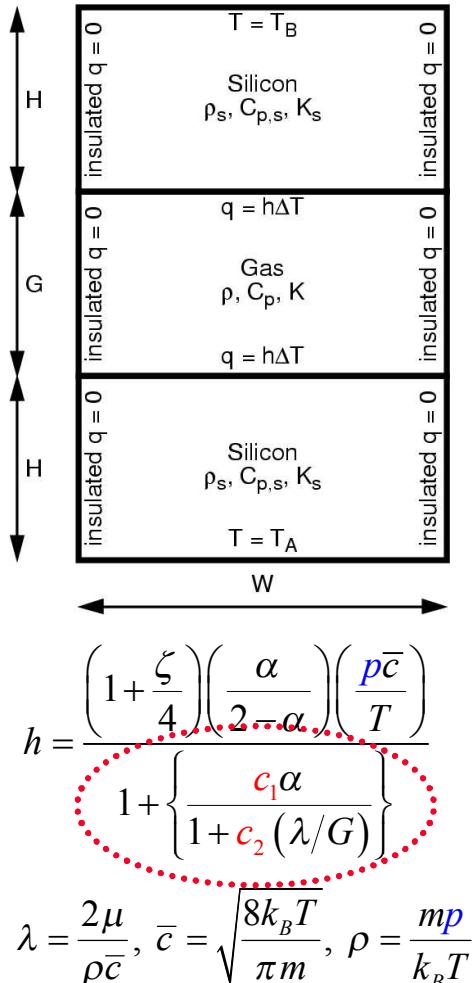
- Stable operation ($\sim 0.1\%$ long-term drift in beam current)
- Minimum spot size $\sim 200 \mu\text{m}$ at long working distance
- Precision gas density studies awaiting further technique improvements

In Situ Plasma Treatment

- Mitigate surface contamination
- Maintain sample plates under vacuum
- Use electron gun to initiate plasma formation

Computational Analysis of Microgap Heat Transfer Has Motivated New Expression for Heat-Transfer Coefficient

Application of
Navier-Stokes
Slip-Jump and
DSMC Methods:



Reference:

M.A. Gallis et al.,
Sensors and Actuators A 134, 57 (2007)

Maxwell Gas-Wall Interaction Model

- Fraction α reflects diffusely
- Remainder $(1-\alpha)$ reflects specularly
- Assume equal accommodation at both walls

Heat-Transfer Assumptions

- All temperatures close to nominal
- Heat flux uniform across domain (1-D)
- Fourier heat conduction in bulk gas
- Temperature jumps at gas-wall boundaries

Temperature-Jump Expression

- Extend Kennard (1938) expression
- $c_1 \sim 0.17$ corrects for Knudsen layer
- $c_2 \sim 0.6$ corrects for opposite plate
- Values vary slightly with gas

Heat-Flux/Pressure Relation

- Find that $1/q$ is almost linear in $1/P$



Accommodation Coefficient – Present Approach

$$T_{\text{gas}} - T_{\text{wall}} = \frac{2\gamma}{\gamma+1} \frac{(2-\alpha)(1+c_1\alpha)}{\alpha} \frac{\lambda}{\text{Pr}} \frac{dT}{dx}$$

$$\frac{1}{q} = \frac{1}{q_c} + \frac{1}{q_c} \frac{2KT(1+c_1\alpha)}{L\left(\frac{\alpha}{2-\alpha}\right)\left(1+\frac{\zeta}{4}\right)\bar{c}} \cdot \frac{1}{P}$$

“Revisit” 304 Stainless Steel Thermal Accommodation Results:

Accommodation Coefficient (α)

Gas	Kennard	Present
Helium	0.38	0.40
Nitrogen	0.80	0.87
Argon	0.87	0.95

Again Use Maxwell Wall Model

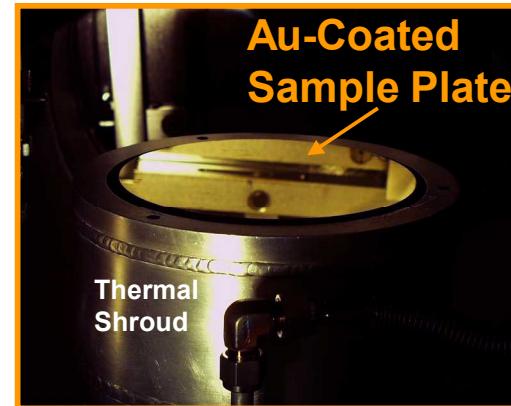
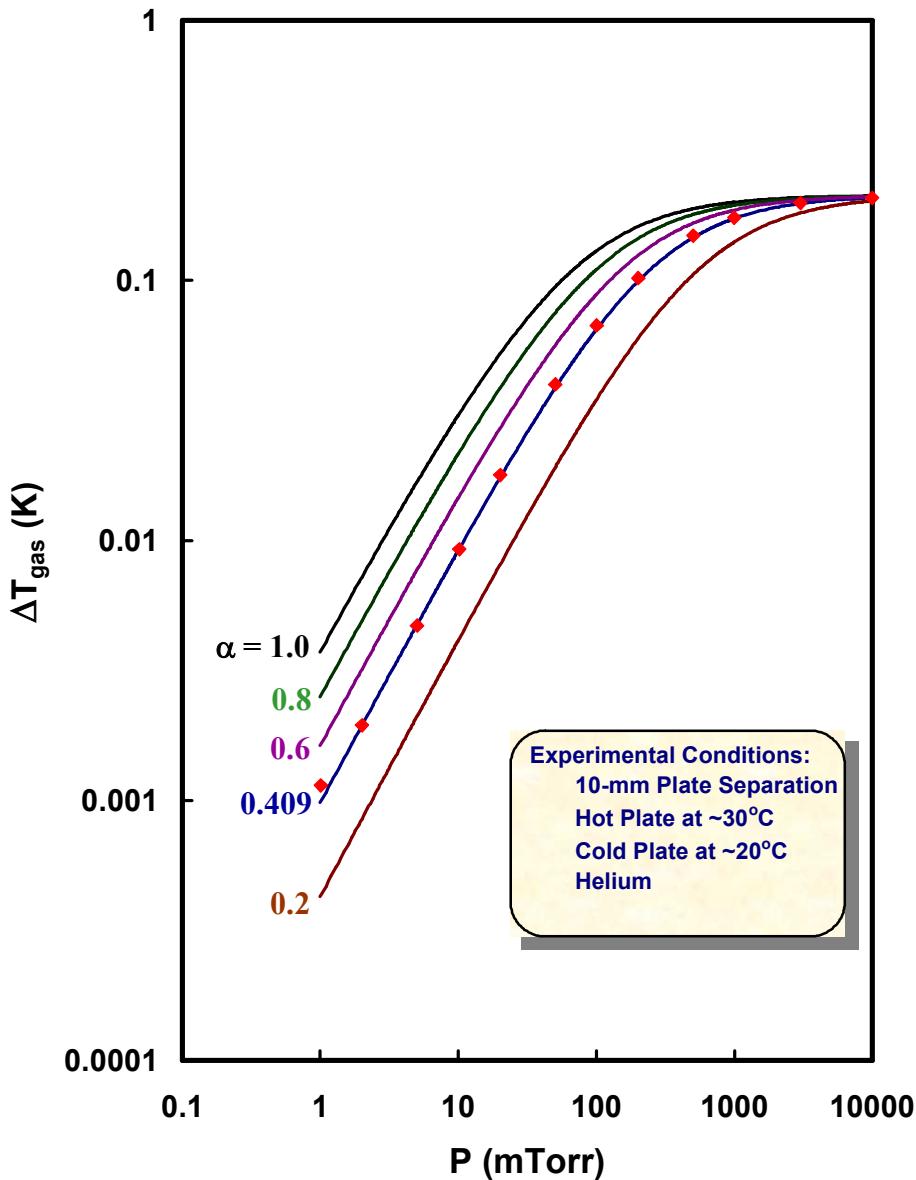
Consider Near-Continuum Regime

- Small temperature jumps
- Jump proportional to gas mean free path, λ , and temperature gradient
- Include c_1 to obtain correct Knudsen layer
 - $c_1 \sim 0.167$ for nitrogen, 0.176 for argon
 - Determined from DSMC simulations
 - Find again that $1/q$ linear in $1/P$

Relation to Kennard

- Reduces to Kennard when $c_1 = 0$
- Almost identical when $\alpha \ll 1$
- Yields slightly larger values of α

Improvements in Experiment and Data Analysis Applied to Gold Surface Studies



Thin (~ 10 s nm) Gold Coating Applied to 304 Stainless Steel Sample Plate

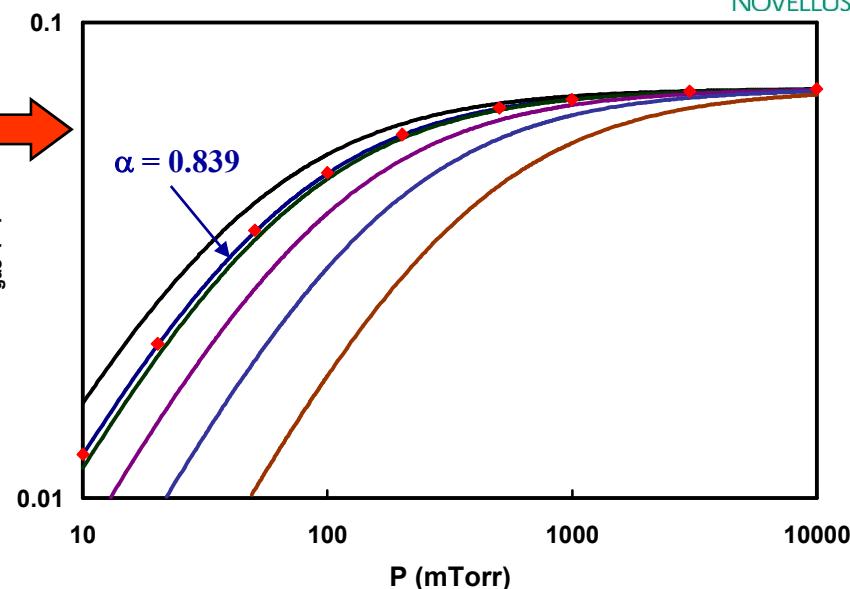
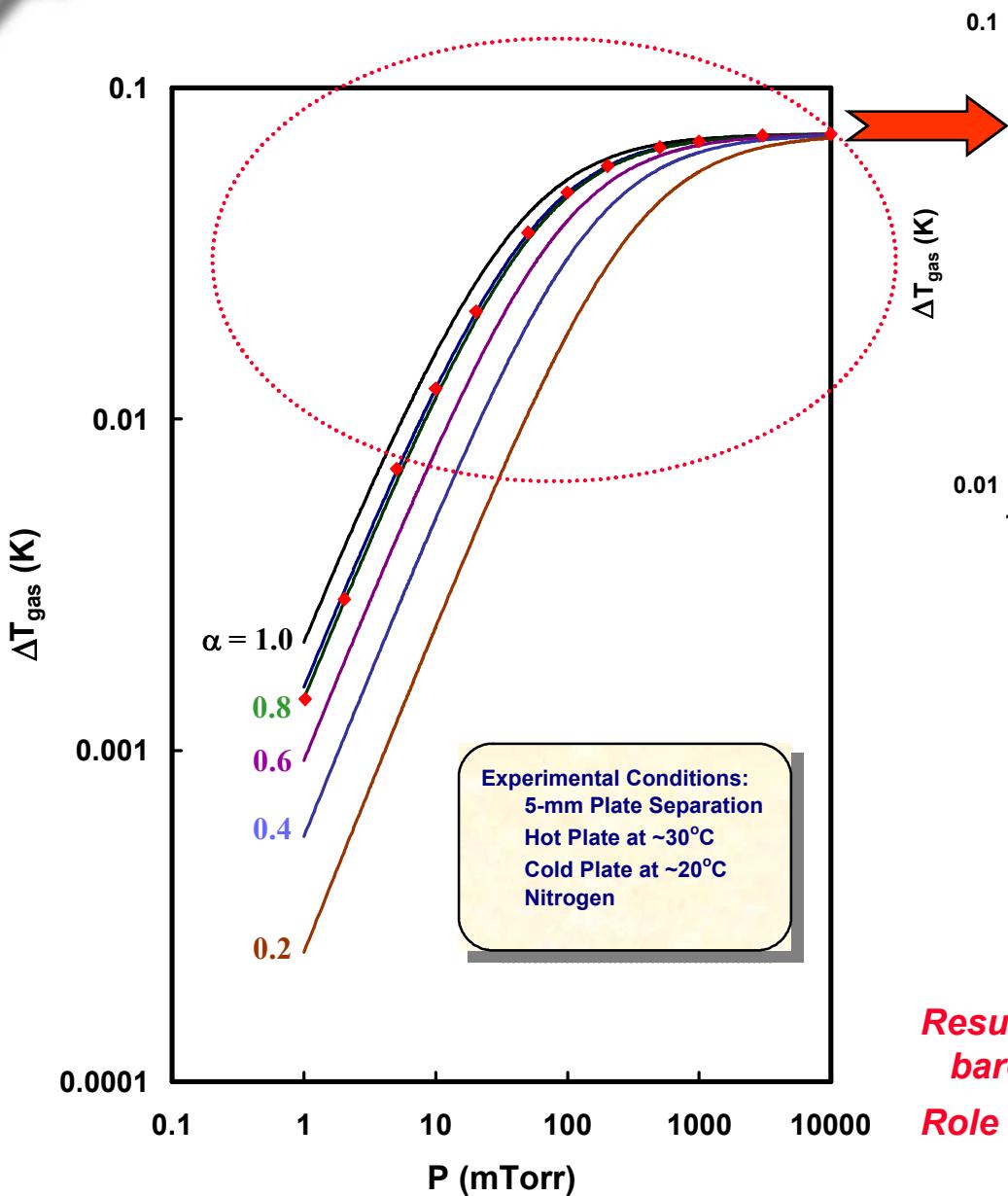
Essentially Identical Top and Bottom Plates

Regression Analysis Provides Optimal Fit to Experimental Data

Accommodation Coefficients Obtained with Different Plate Separations Are in Reasonable Agreement

$$\alpha \text{ (Helium)} = 0.41 \pm 0.02$$

Effect of Gas Composition



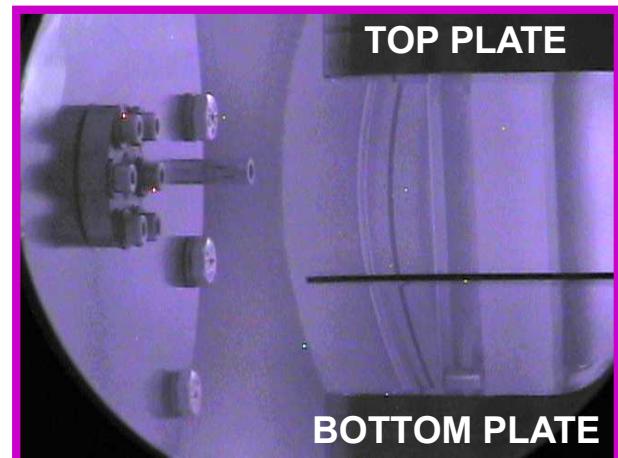
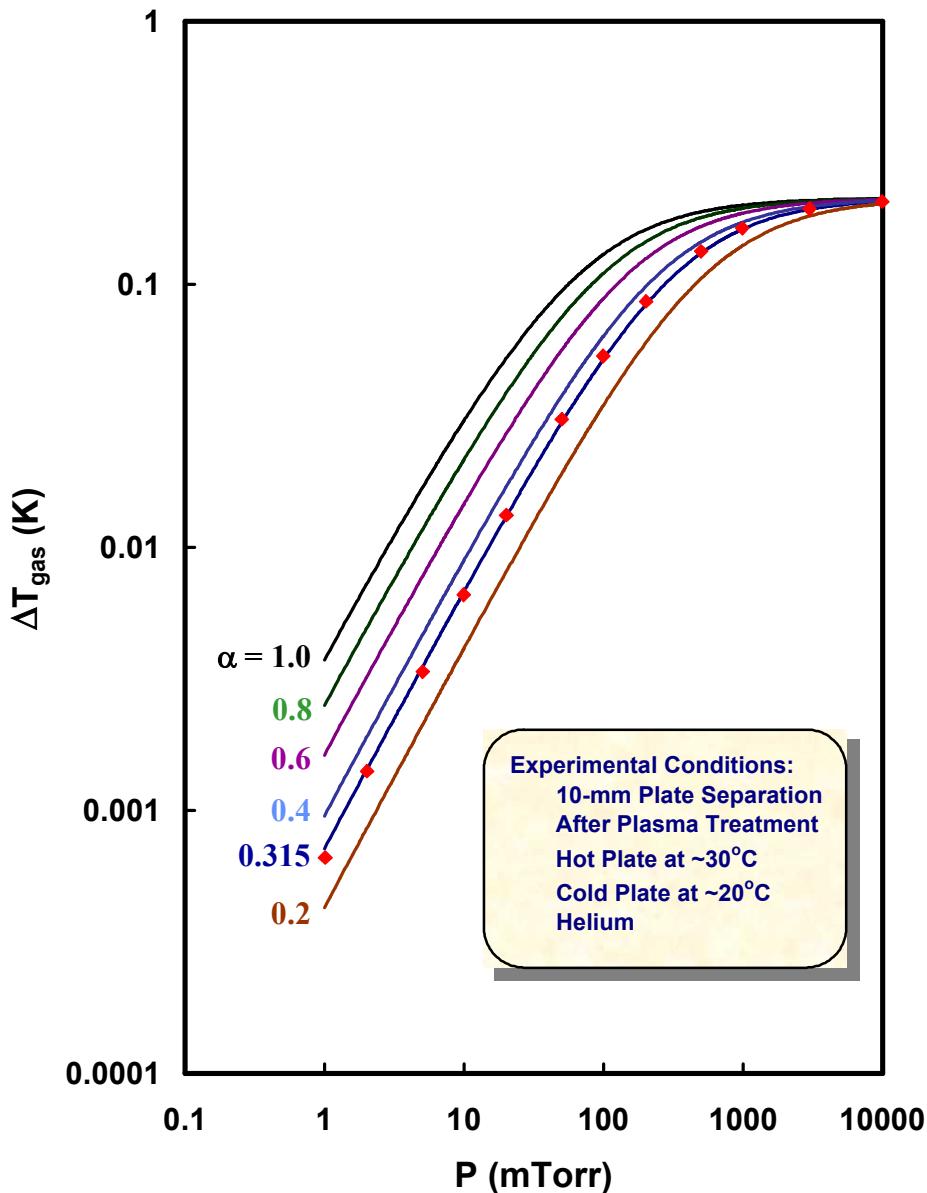
Thermal Accommodation Coefficients for Au-Coated 304 Stainless Steel

Gas	α
Argon	0.93 ± 0.02
Nitrogen	0.83 ± 0.02
Helium	0.41 ± 0.02

Results are very similar to those for bare 304 Stainless Steel (!)

*Role of Coating Thickness?
Surface Contamination?*

Effect of Surface Contamination Evaluated for Different Gases



Sample chamber illuminated by argon plasma used for surface treatment

Thermal Accommodation Coefficients for Au-Coated 304 Stainless Steel

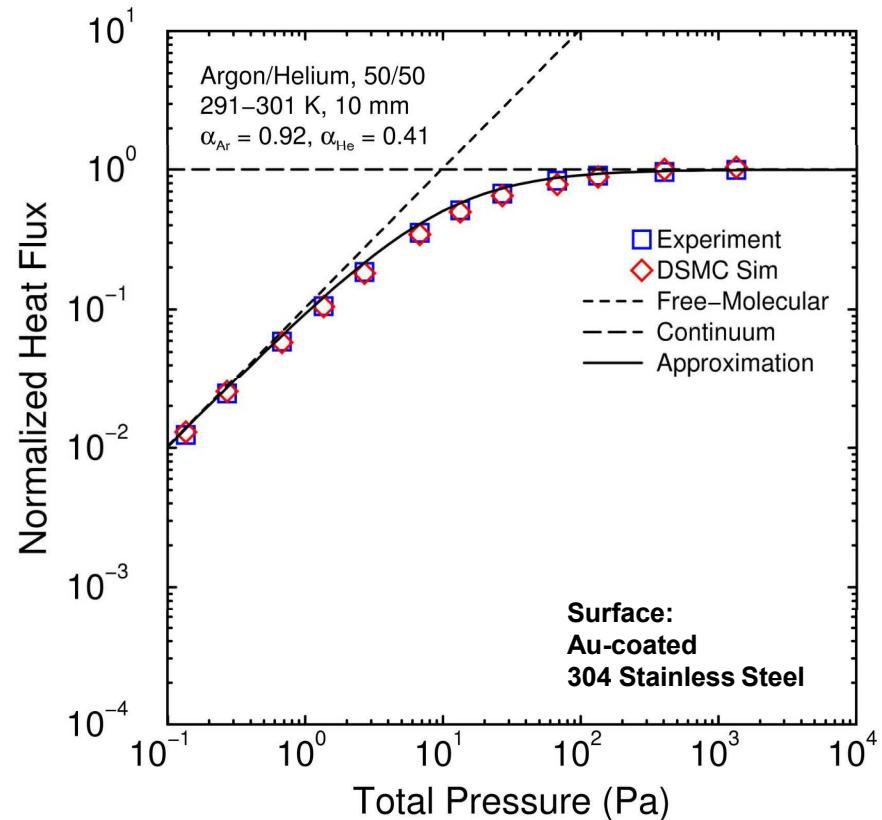
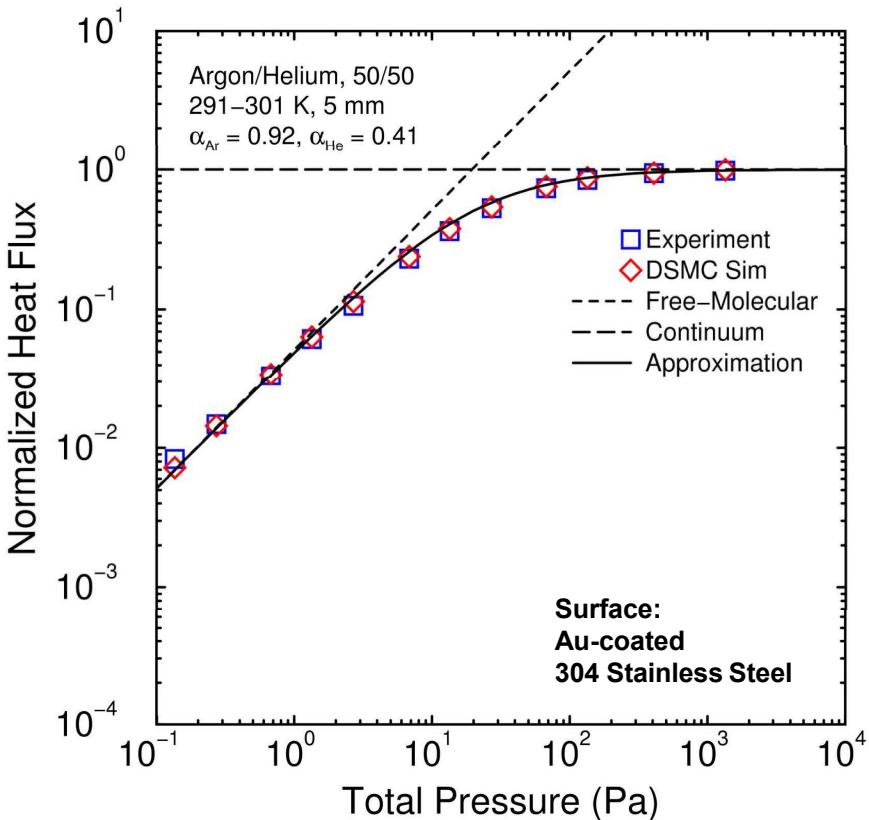
Gas	α Untreated	α Plasma-Treated
Argon	0.93 ± 0.02	0.85 ± 0.02
Nitrogen	0.83 ± 0.02	0.77 ± 0.02
Helium	0.41 ± 0.02	0.31 ± 0.02

Effect appears to be largely reversible upon returning sample plates to ambient conditions

Helium/Argon Mixtures Have Also Been Evaluated

DSMC simulations with gas-surface model must predict heat flux accurately

Results provide important new validation data for DSMC optimization as well as a useful test of experimental system performance, self-consistency, etc.



Agreement of experiment and DSMC simulations is good but not optimal

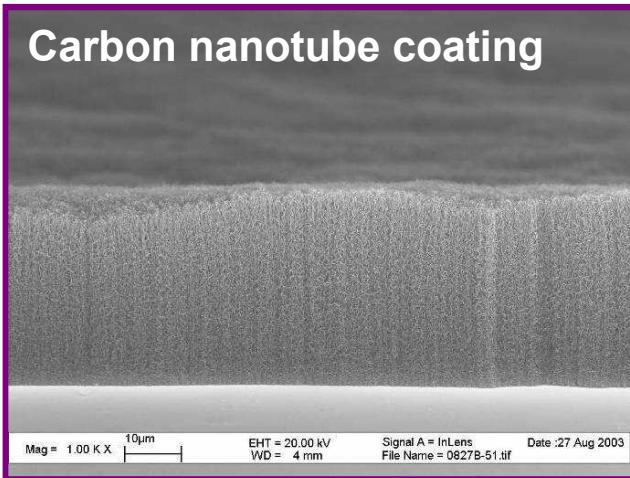
Both experimental and computational issues warrant further exploration

Summary

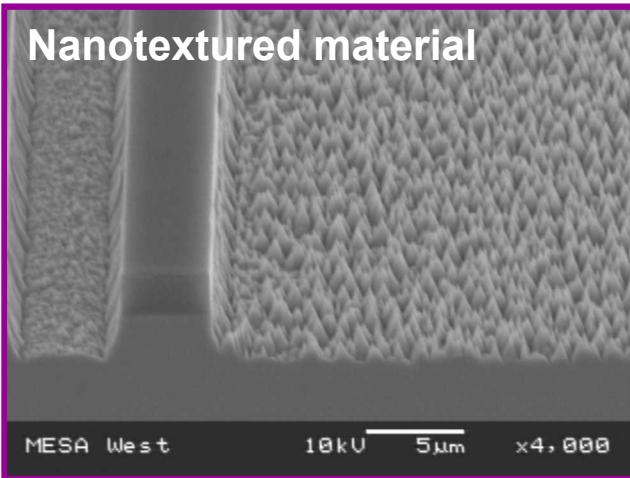
- An experimental facility for precise determination of thermal accommodation coefficients has been developed, tested, and extensively upgraded to improve performance
- Different gases, gas mixtures, and surfaces can be tested with minimal changes in setup
- Measured heat-flux results have been used with a new DSMC-based formula to determine thermal accommodation coefficients
- Self-consistent results have been obtained for a variety of surfaces and three different gas species
- Results thus far indicate that surface roughness plays a minor role in accommodation but surface contamination is important
- Helium/Argon accommodation results provide a good indicator of self-consistent experimental system performance and have generated useful new data for DSMC evaluation and optimization
- Agreement of experiment and DSMC simulations is good; however, significant experimental and computational issues warrant further exploration

Future Work

Carbon nanotube coating



Nanotextured material



Continued analysis of materials with MEMs and semiconductor applications

- Evaluate role of surface material thickness (e.g., compare gold-plating to gold-coating)
- Evaluate scope/circumstances of surface contamination effects
- Expand database to include materials such as silicon, aluminum, polysilicon, etc.

Pursue additional improvements to experimental design

- Further mitigation of parasitic heat loss
- Develop complementary gas-density test capability

Continued comparison with DSMC

Apply techniques to exotic surfaces, novel materials