



3D (passive) and 2D (active) Metamaterials at Infrared Optical Frequencies

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Center for Integrated Nanotechnologies: CINT



Center for Nanoscale
Materials
Argonne National Lab.

Molecular Foundry
Lawrence Berkeley National
Lab.

Center for Functional
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Brookhaven National Lab.



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- one of five U.S. Dept. of Energy Nanoscience Centers
- Between 150 and 300 active user projects

User facility: free to use

<http://cint.sandia.gov>

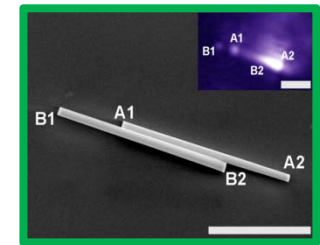
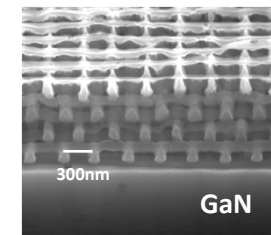
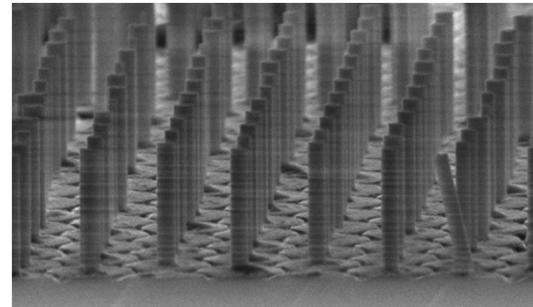


Other Activities in Nanophotonics



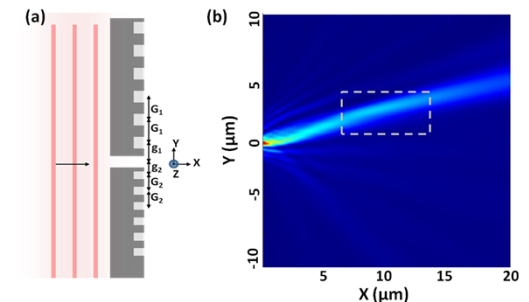
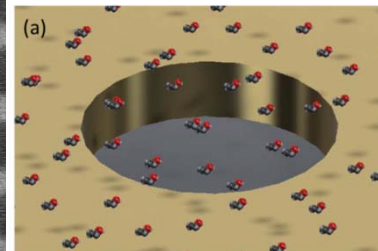
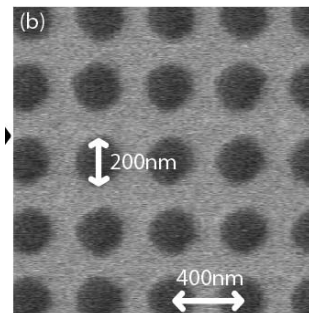
Solid State Lighting “Energy Frontier Research Center”

- Enhanced Spontaneous Emission
- Nanowire Lasers (III-N) (new: electrical injection)



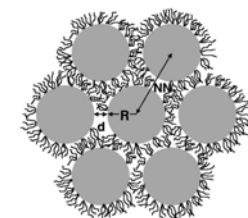
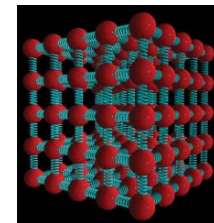
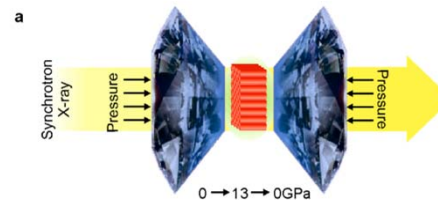
Plasmonics

- Sensors (gas)
- Optical trapping



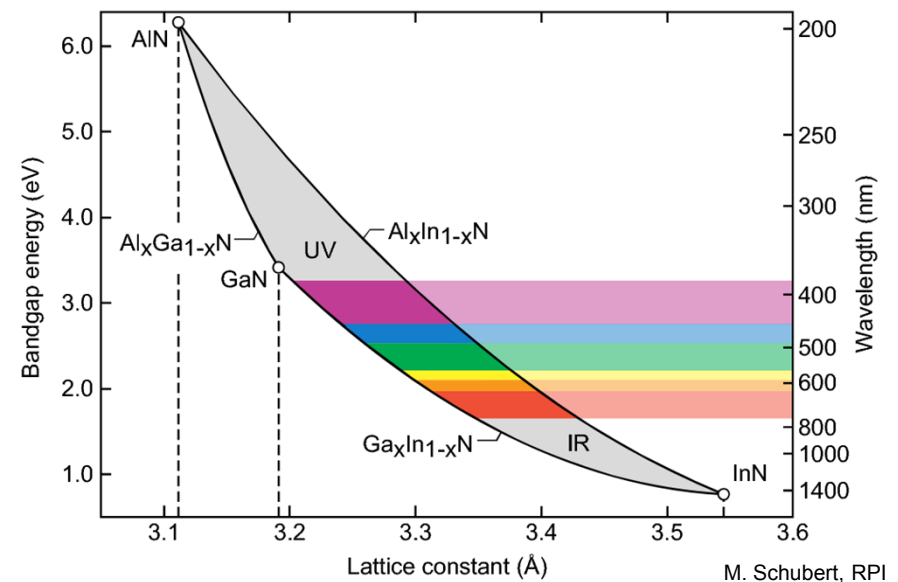
Nanophotonics, etc

- Energy transfer in QDs
- QD “solids” (new)



III-Nitride (AlGaInN) semiconductors – The Most Interesting Materials System?

- **Direct RT bandgaps: ~0.7-6.2 eV**
- Solid alloy system (tuneable bandgaps)
- High breakdown field, mobility, thermal conductivity, melting temperature
- Radiation resistant and chemically inert
- InGaN covers entire visible & bulk of solar spectrum (PV material?)



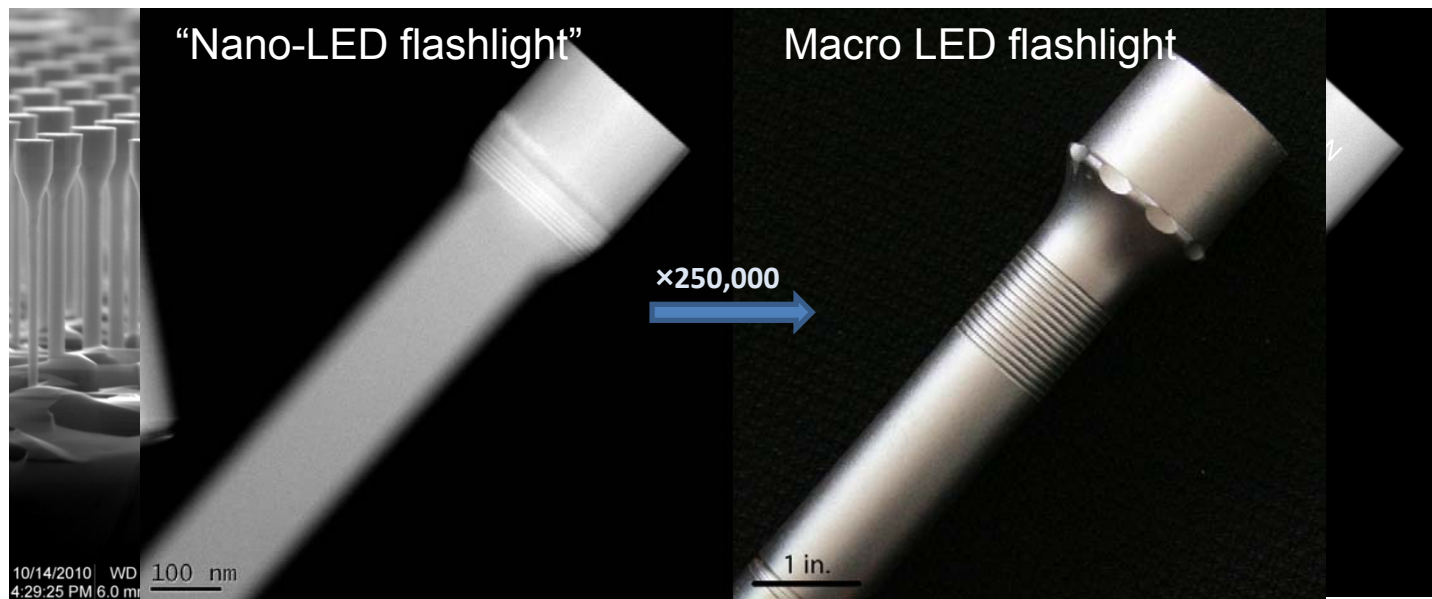
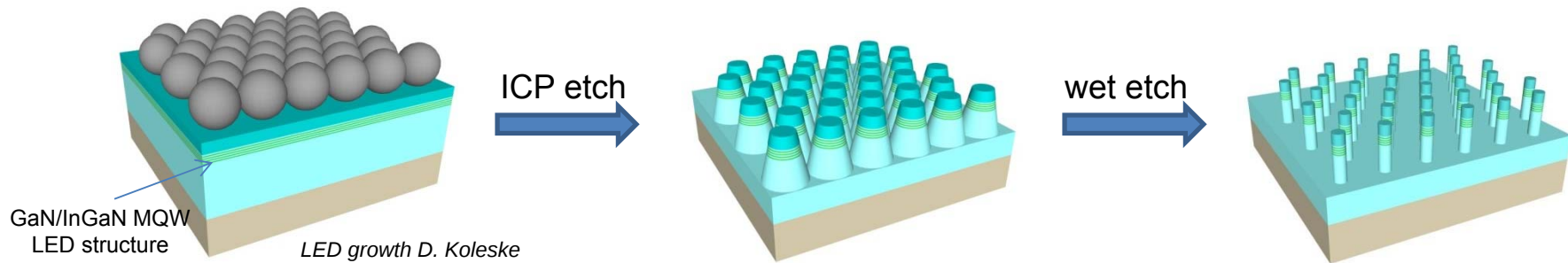
- *Commercially used in LEDs, blue laser diodes, high power electronics, HEMTs*



Nitronex GaN power transistor



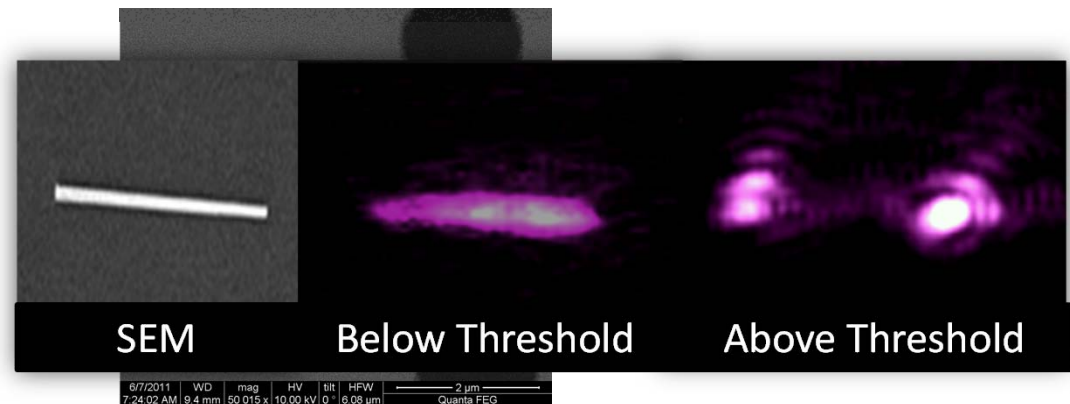
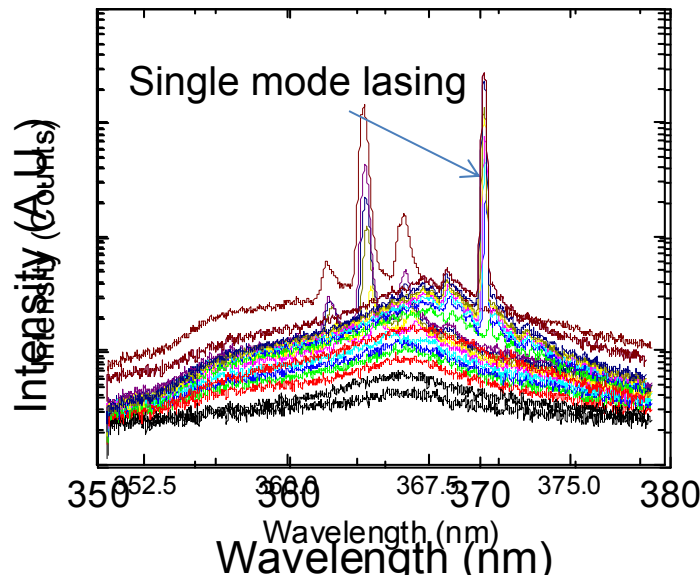
Axial GaN/InGaN nanowire LEDs



Q. Li et al.,
Optics Express **19**,
25528 (2011)

Method 1: Single-mode GaN nanowire laser via geometry control

- Nanowire lasers generally exhibit *multiple modes*
- *Single mode* behavior desired for highest resolution and beam quality



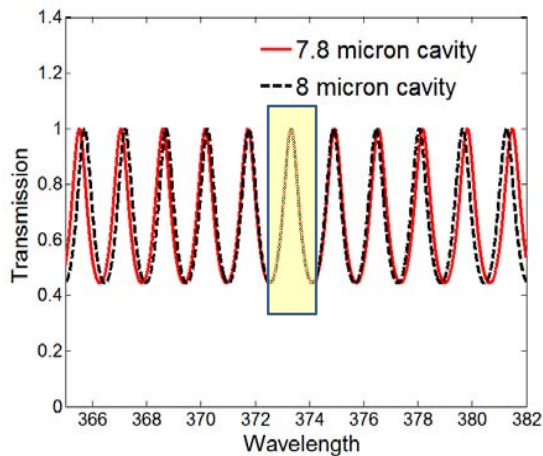
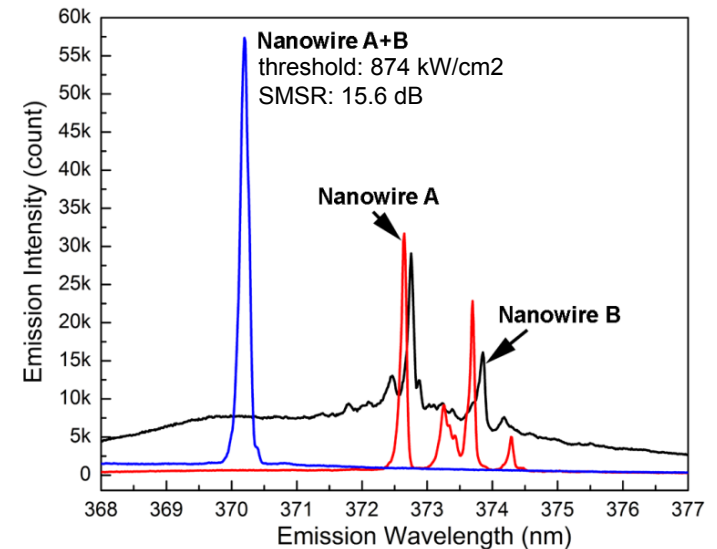
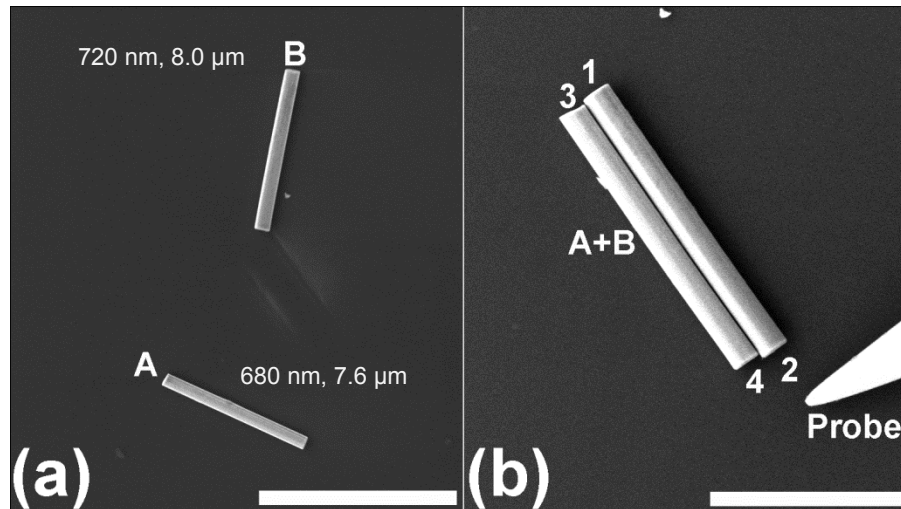
Nanowire dimensions: $\sim 130\text{nm} \times 4.7\mu\text{m}$
 Nanowire dimensions: $\sim 500\text{ nm} \times 4.7\mu\text{m}$

Q. Li et al., *Opt. Exp.* **20** 17873 (2012)

Single-mode: Narrow linewidth ($< 0.1\text{ nm}$), $\sim 150\text{ dB Side Mode Suppression Ratio}$, and **Low Threshold** ($\sim 250\text{ kW/cm}^2$)

Reducing the dimensionality of the wire ($< \sim 130\text{ nm}$ diameter and $< \sim 6\text{ }\mu\text{m}$ length) lowers the number of competing modes, leading to single-mode lasing.

Method 2: Single-mode lasing via coupled nanowire cavities



- GaN NWs need to be $\leq \sim 130$ nm for single transverse mode behavior; larger single-mode NWs?
- Individual large NWs shows multiple modes.
- Coupled nanowires show single mode!
- Vernier effect – only resonant modes survive

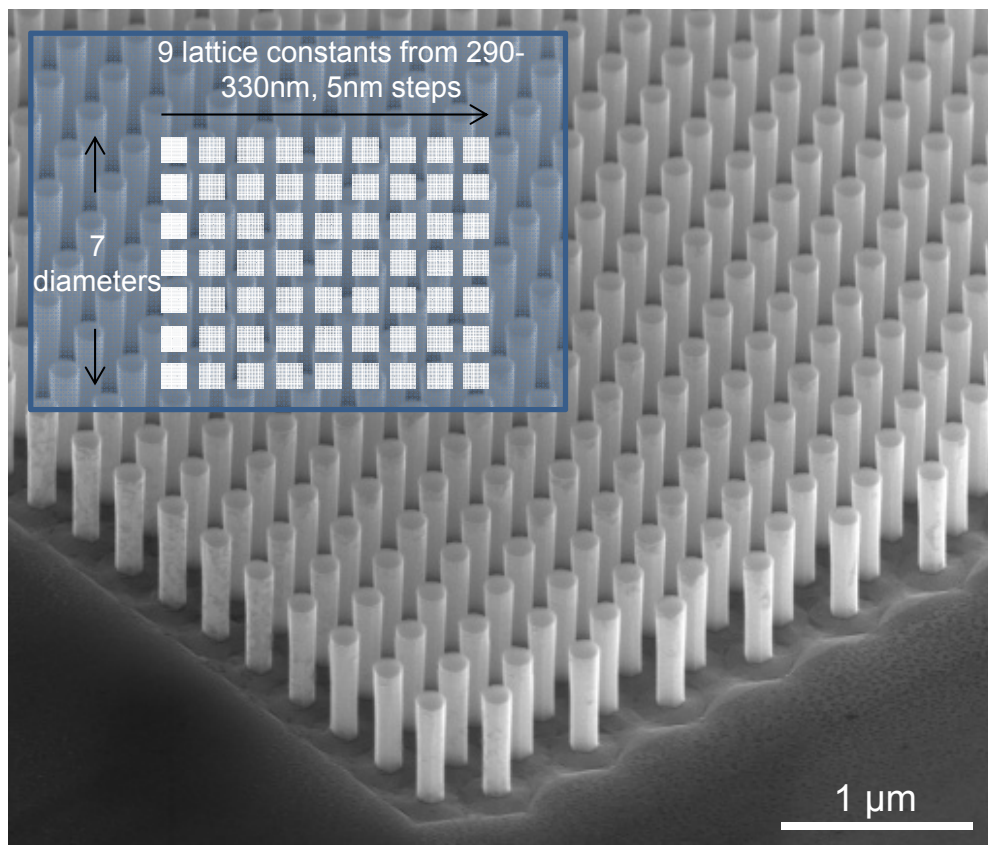
modeling: Huiwen Xu (UNM)

H. Xu et al., *Appl. Phys. Lett.* **101** 113106 (2012)

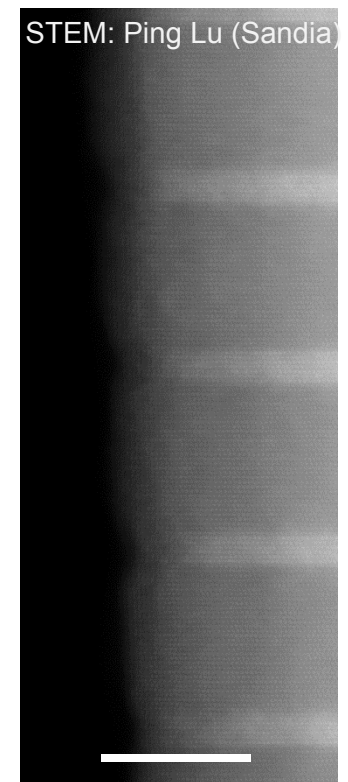
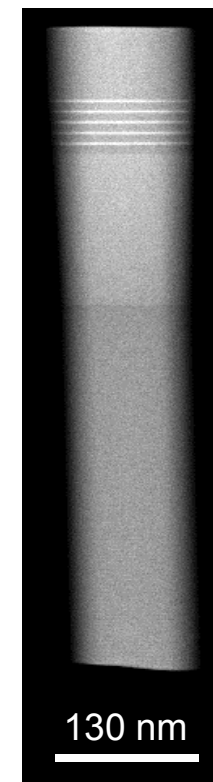


III-N Nanowire Photonic Crystal (PC) Lasers

Motivation: Achieve single-mode, tunable lasing on same chip. Applications in optical information processing, biology, solid state lighting, displays, etc.



Nanowire PCs fabricated by top-down method using e-beam lithographic mask



NW STEM images: 5x MQW InGaN emission centered at 420nm, $\text{In}_{0.02}\text{GaN}$ underlayer

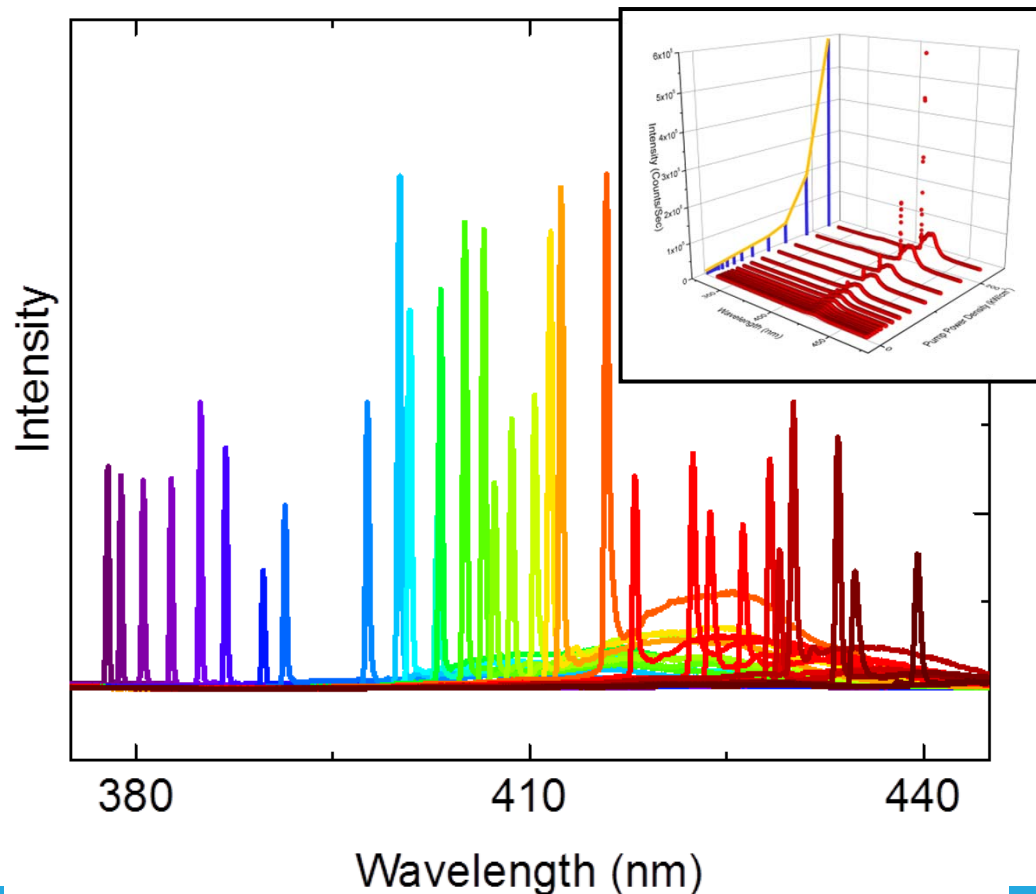




III-N Nanowire Photonic Crystal Lasers

Broad gain width of InGaN MQWs with PC design allows for tunable single mode lasing over large range on same chip

61 color nanowire laser array



- High-yield >95% (2 of the PCLs were accidentally removed during sample handling.)
- Spectral Coverage from 380-440nm.
- Emission wavelength increases with the diameter and the lattice constant
- Thresholds are reasonable compared to other optically pumped III-N nanowire devices. (<500kW/cm² for all devices)

J.B. Wright et al., Scientific Reports 3, Article number: 2982 (2013) doi:10.1038/srep02982



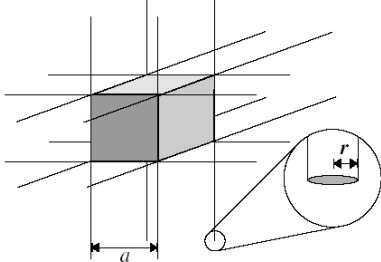
Outline

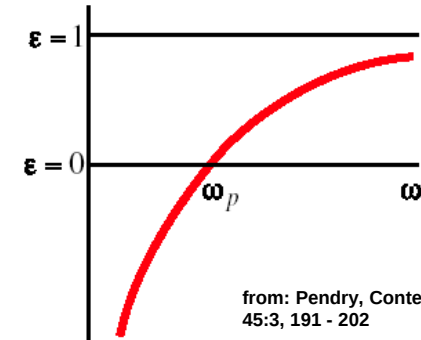
- 3D Passive Metamaterials
 - With Metals: Membrane Projection Lithography
 - Without Metals: Dielectric Resonators
- 2D Active Metamaterials



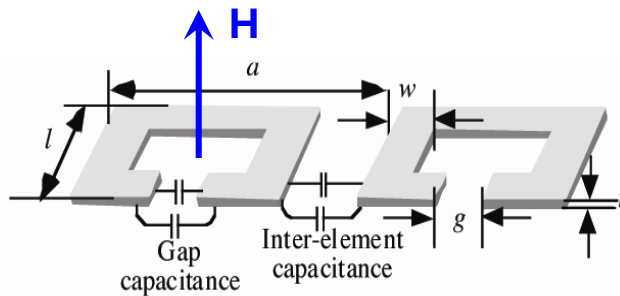
How to make a “Metamaterial”

“Dilute” a metal to control electric permittivity (ϵ)

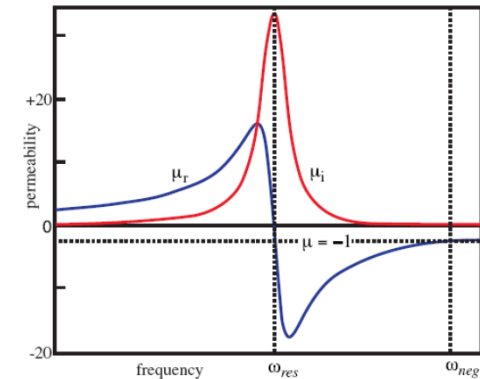
$$\omega_p = \sqrt{\frac{ne^2}{m^* \epsilon_0}}$$




LC “Resonators” to control magnetic permeability (μ)



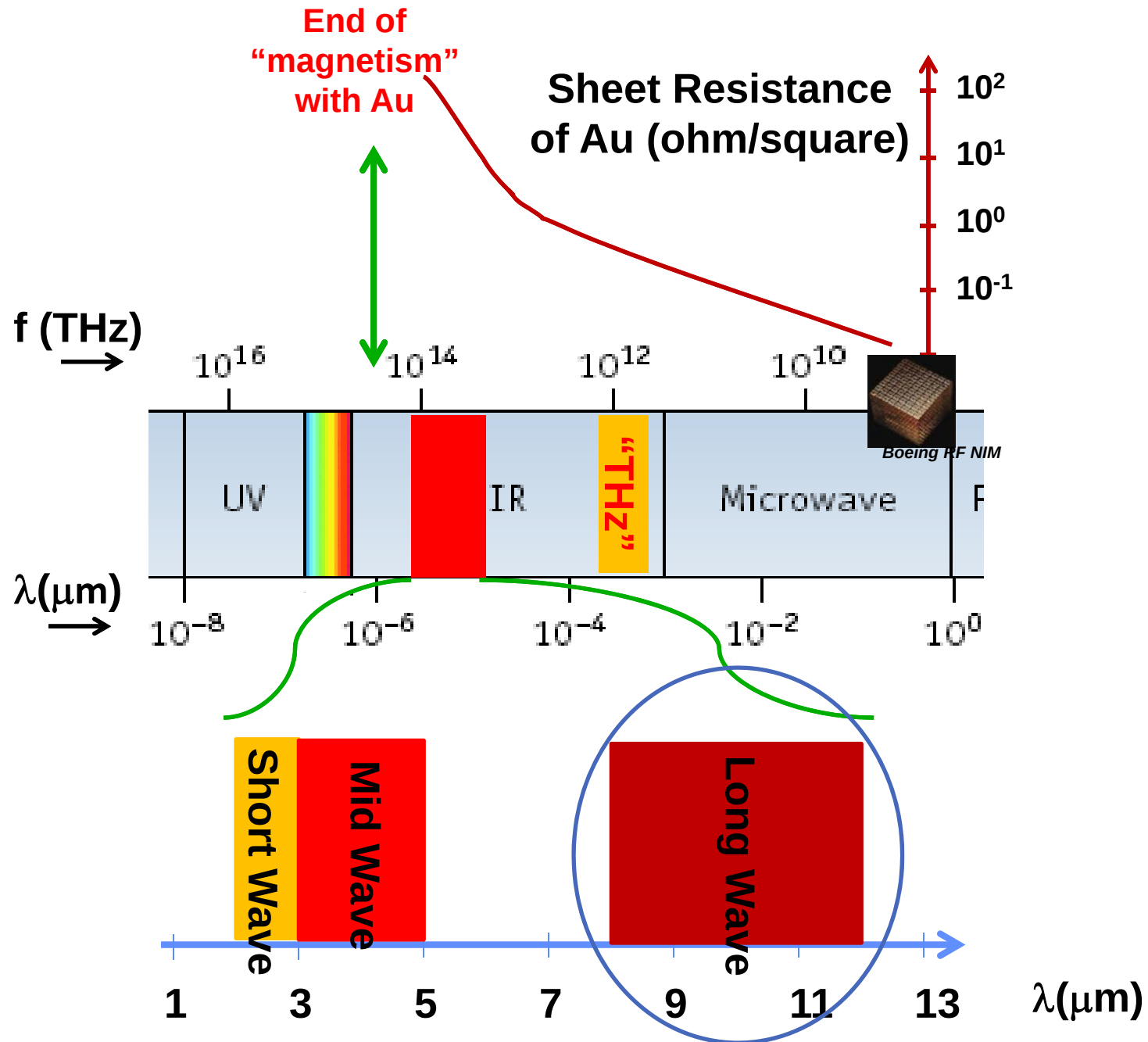
from; S. McMeekin, Proc. Of SPIE, Vol. 6581



Combine the two:

http://www.multitel.be/newsletter/mn7_2005/images/nl3_Metamaterials_type1.jpg



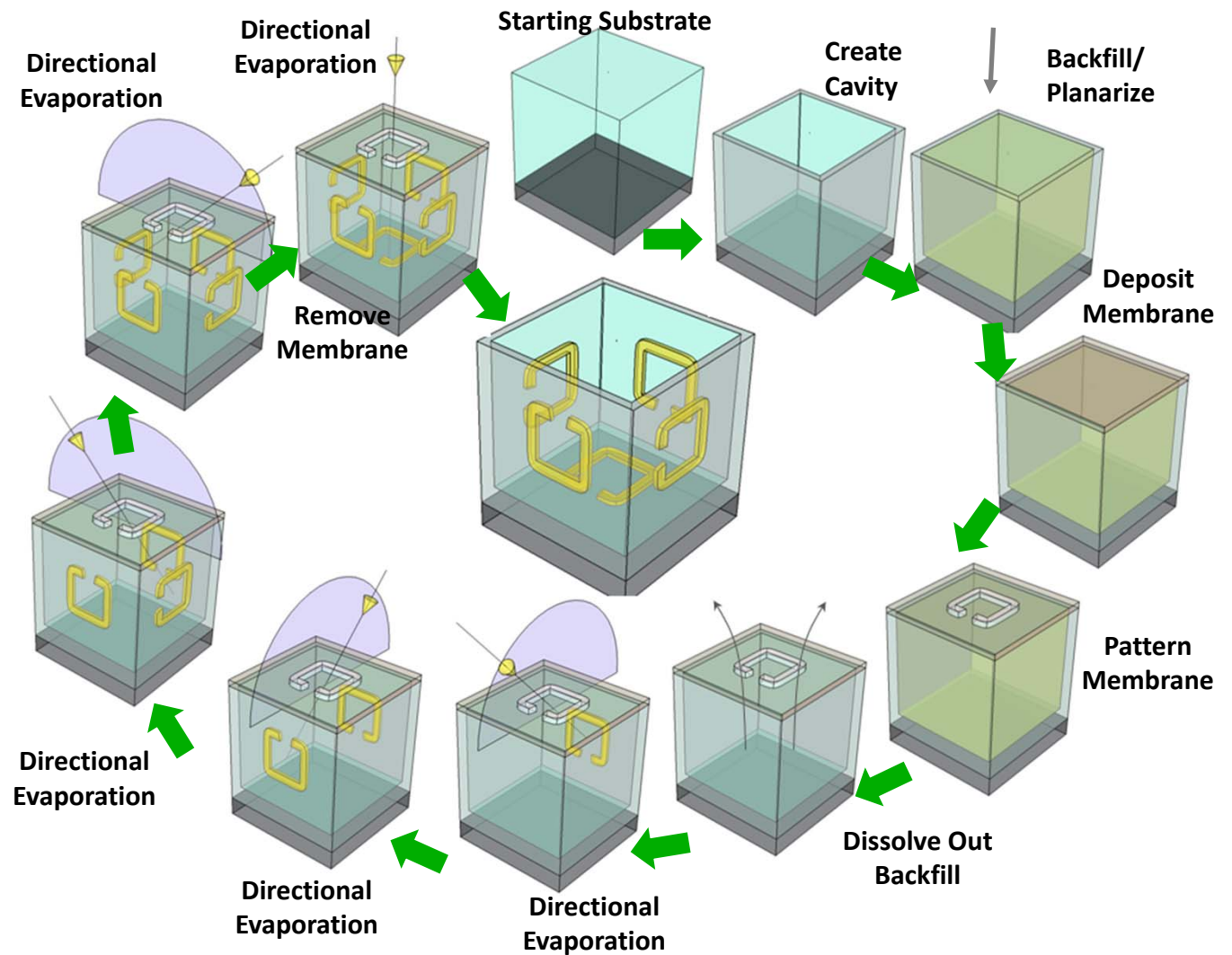




3D MMs: Membrane Projection Lithography (MPL)

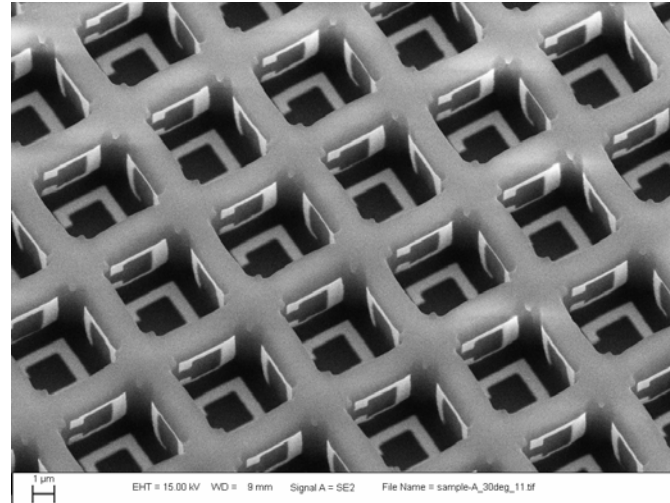
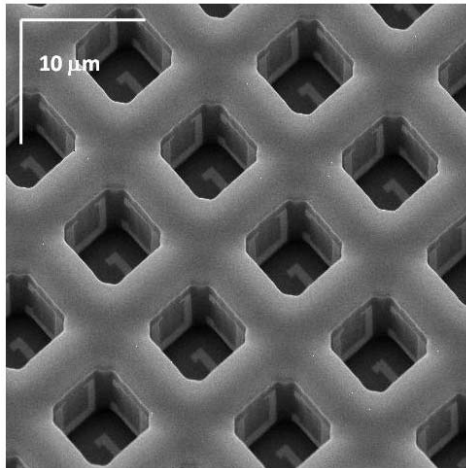


**Goal: achieve this
at optical
frequencies**

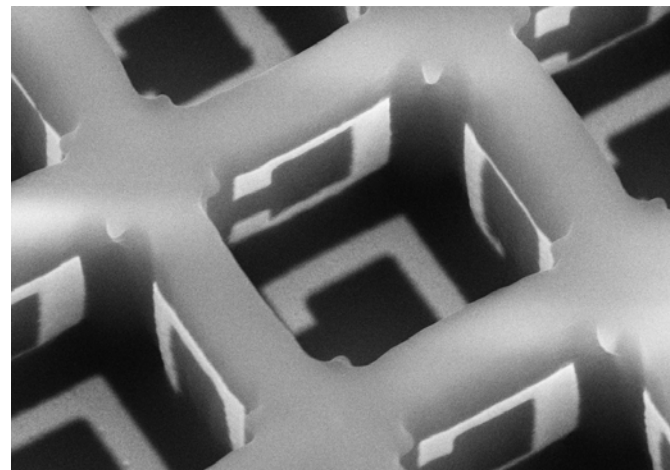
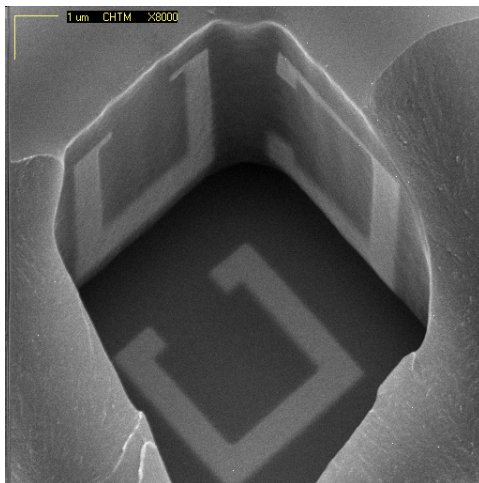
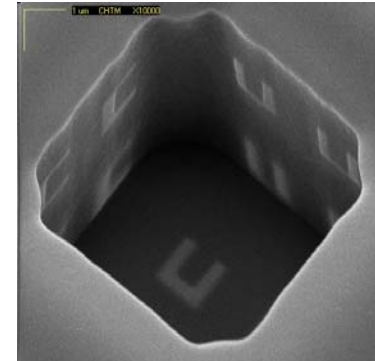




3D Metamaterials via MPL



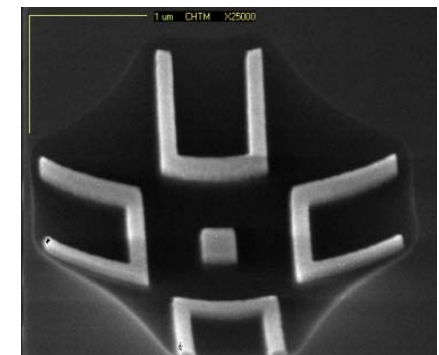
➤ Multi-aligned MPL



- Unit Cell Dimension = 14 μm pitch
- SRR Dimension = 8 μm
- Resonance wavelength $\sim 50 \mu\text{m}$

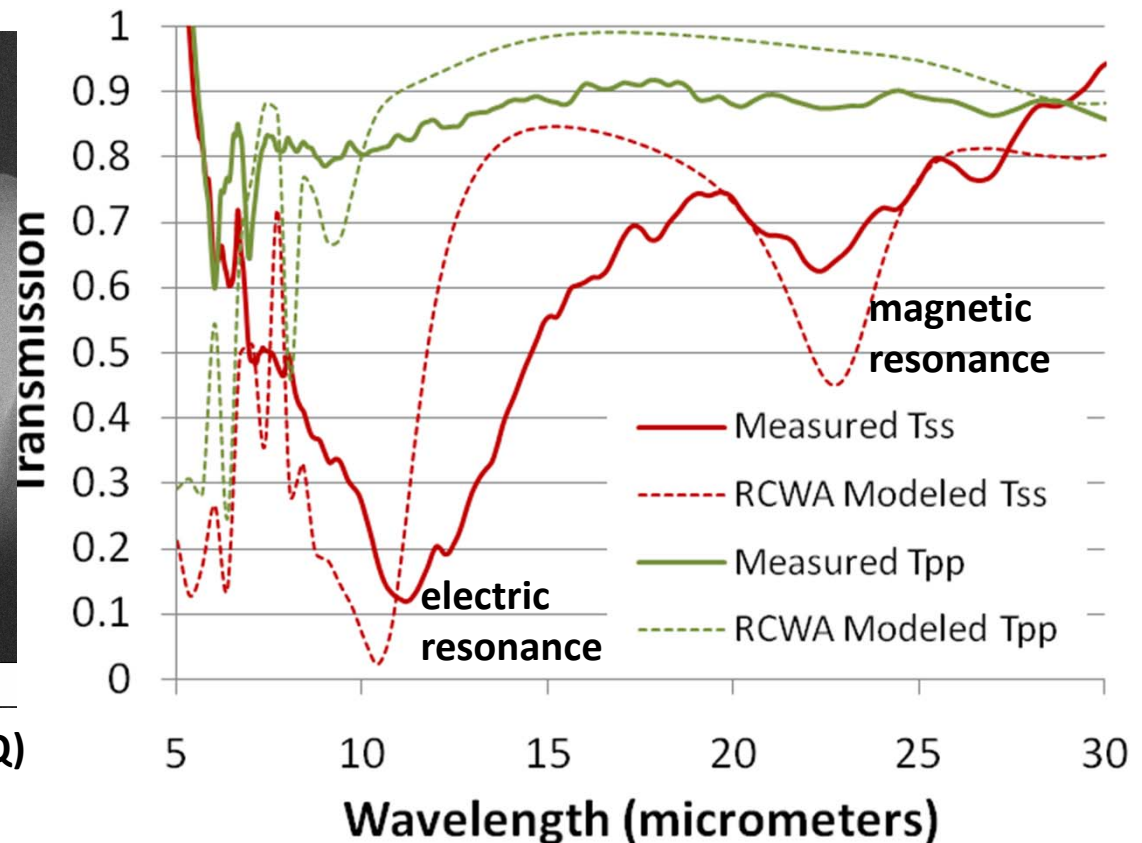
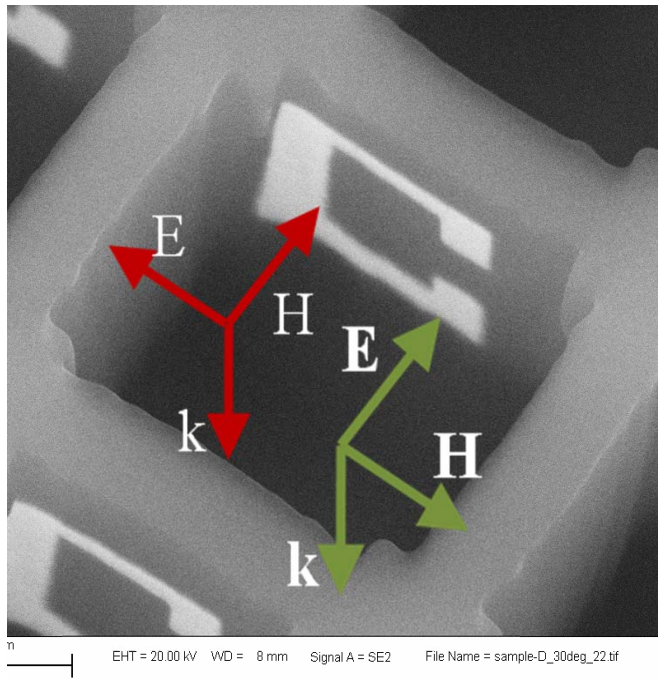
- Unit Cell Dimension = 6 μm pitch
- SRR Dimension = 3 μm
- Resonance wavelength $\sim 22 \mu\text{m}$

➤ Self-aligned MPL: spherical cavities





Proof of “Magnetic Resonances”: Polarized Transmission



RCWA: $m=0.36+0.56i$ (fill factor, low-Q)

S-polarization

- B-field excites lowest SRR resonance --- magnetic excitation
- E-field excites second order resonance --- electric excitation

P-polarization

- can't couple to any SRR resonances



Outline

- **3D Passive Metamaterials**
 - With Metals: Membrane Projection Lithography
 - Without Metals: Dielectric Resonators
- 2D Active Metamaterials

What's wrong with metals? LOSS!!

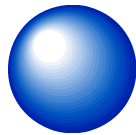
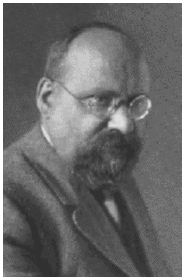


Ohmic Losses....

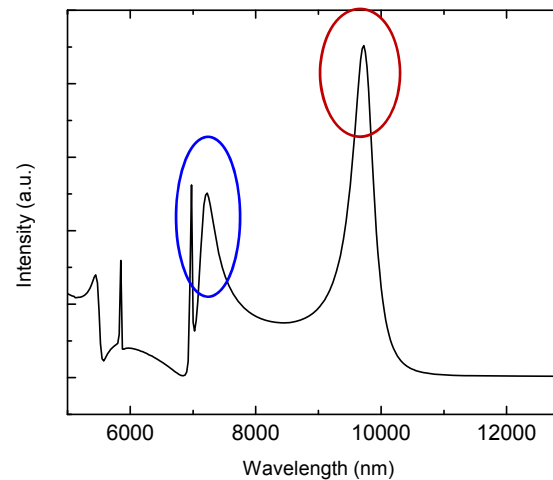


A Non-Metallic Path to Low Loss Metamaterials: Dielectric Resonators

Gustav Mie



<http://www.philipaven.com/mieplot.htm>

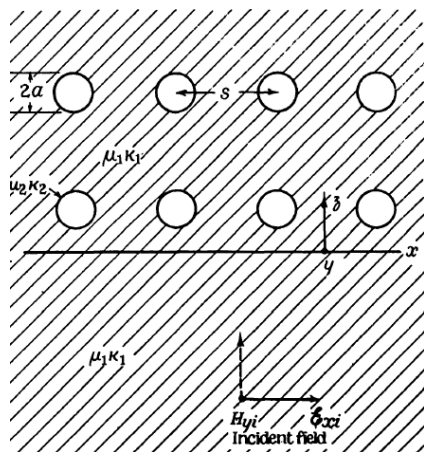


First (Primary) resonance is **magnetic** for most materials; **Second** is **electric**

THE ELECTRICAL CONSTANTS OF A MATERIAL LOADED WITH SPHERICAL PARTICLES*

By L. LEWIN.†

(The paper was first received 4th March, and in revised form 27th September, 1946.)



$$\mu'_{re} = \mu_{r1} \left(1 + \frac{3v_f}{\frac{F(\theta) + 2b_m}{F(\theta) - b_m} - v_f} \right)$$

$$\epsilon'_{re} = \epsilon_{r1} \left(1 + \frac{3v_f}{\frac{F(\theta) + 2b_e}{F(\theta) - b_e} - v_f} \right)$$

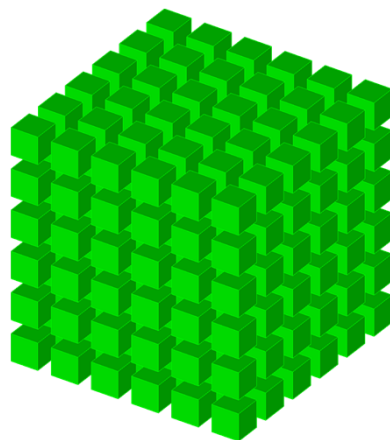
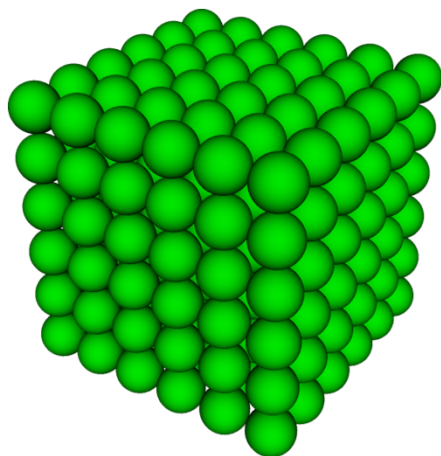
$$F(\theta) = \frac{2(\sin \theta - \theta \cos \theta)}{(\theta^2 - 1) \sin \theta + \theta \cos \theta}$$

$$\theta = k_0 a \sqrt{\epsilon'_{r2} \mu'_{r2}}$$

$$b_e = \frac{\epsilon_1}{\epsilon_2}, \quad b_m = \frac{\mu_1}{\mu_2}$$

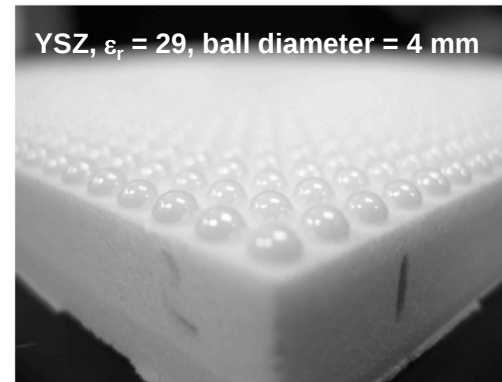


Arrays of High ϵ Resonators: Low Loss Metamaterials



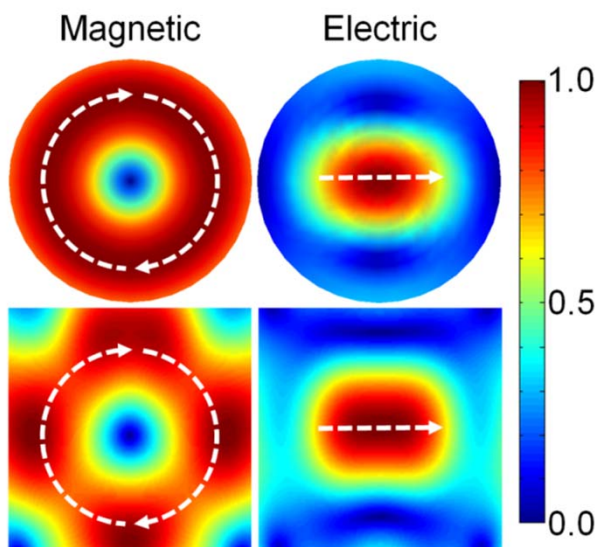
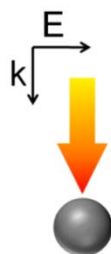
Works nice in the RF

YSZ, $\epsilon_r = 29$, ball diameter = 4 mm

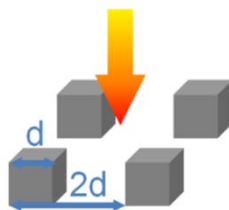


(negative ϵ , negative μ)

Spherical

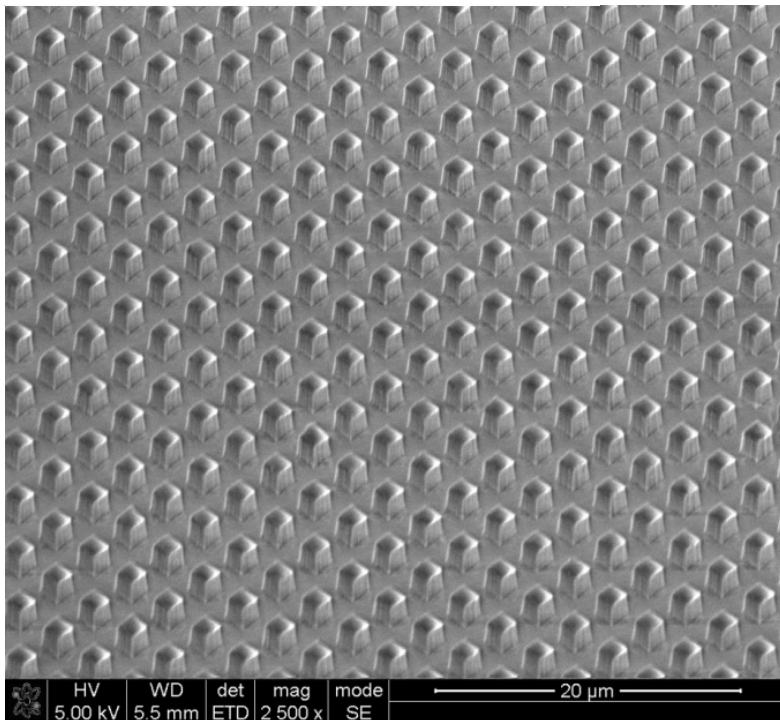
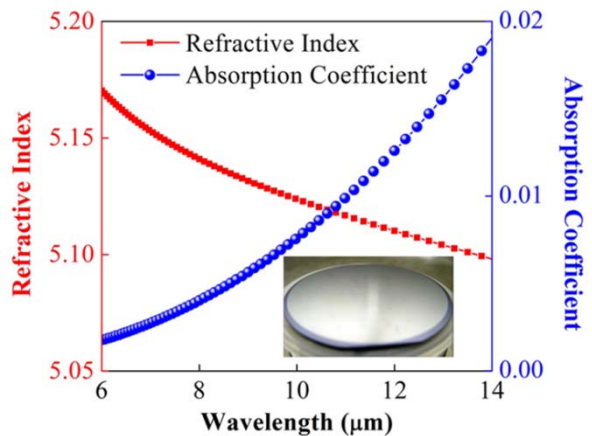


Cubic

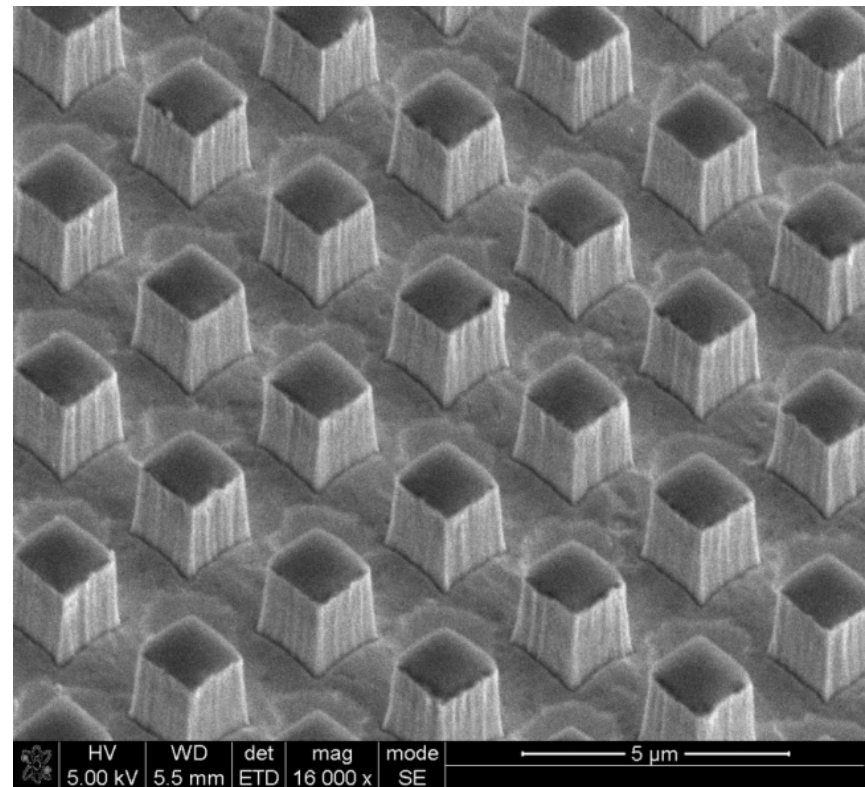




Dielectric Resonator IR Metamaterial: Te/BaF₂



Mask + RIE etch



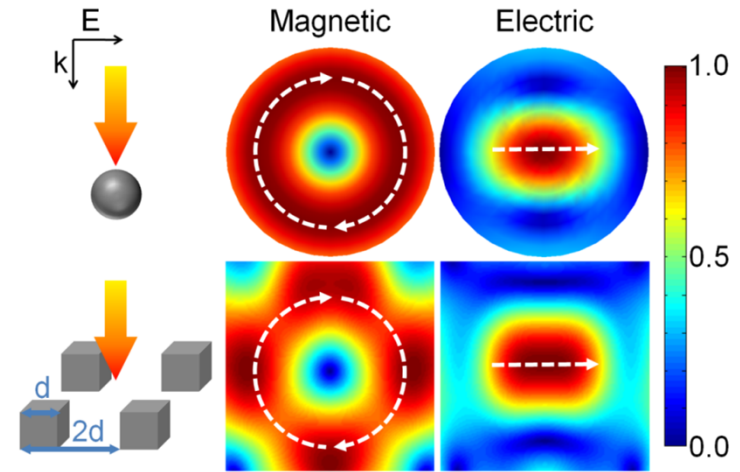
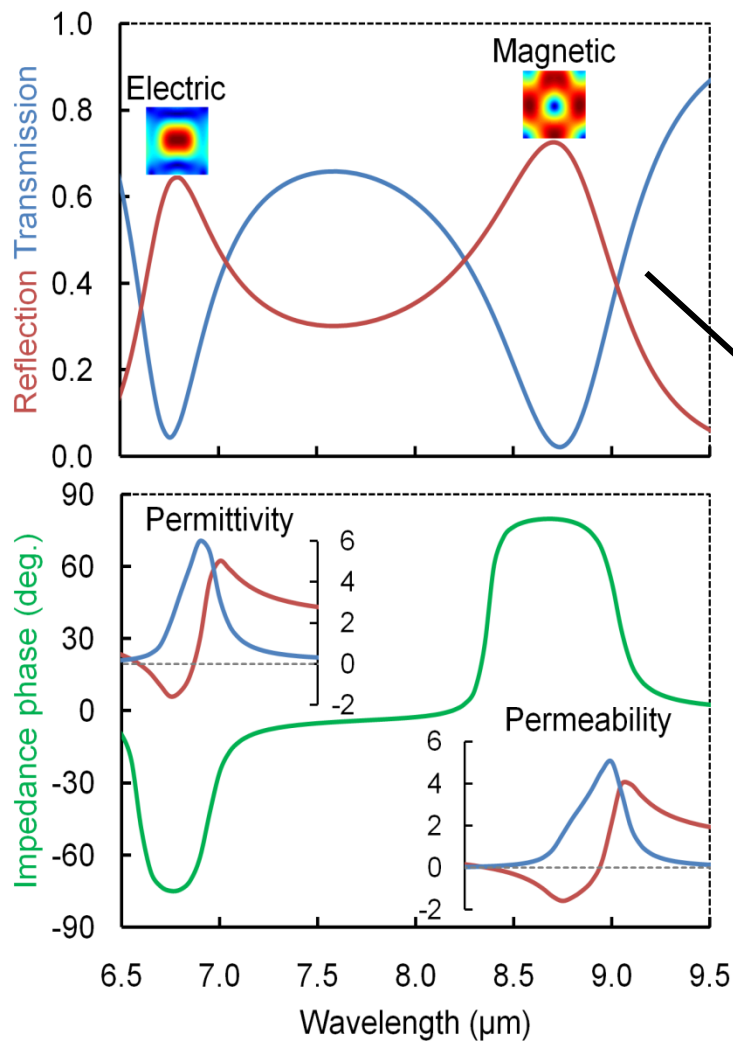
1.53x1.53x1.7mm³
10 deg wall slope



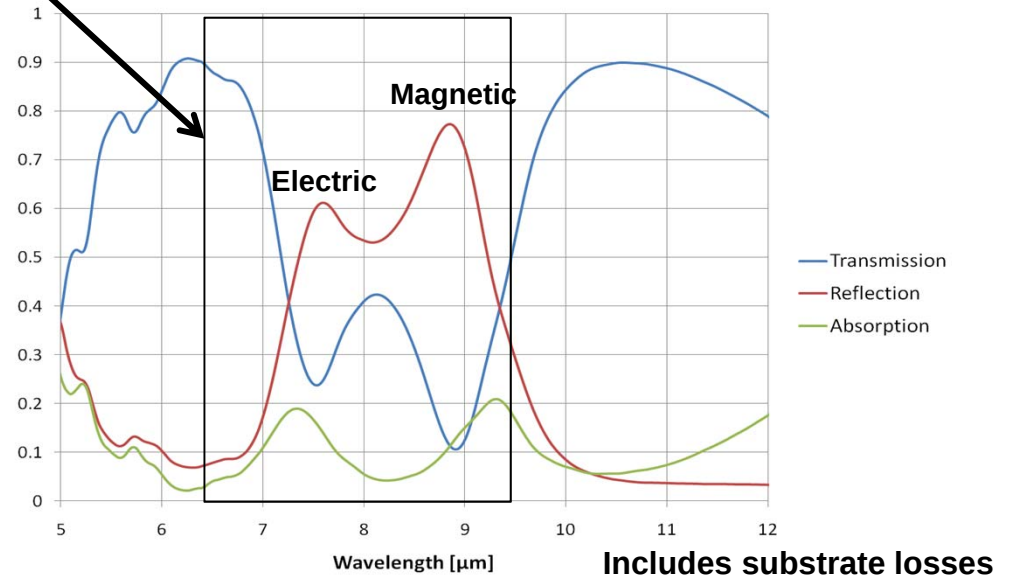
Dielectric Resonator IR Metamaterial.

Sandia National Laboratories

Te/BaF₂

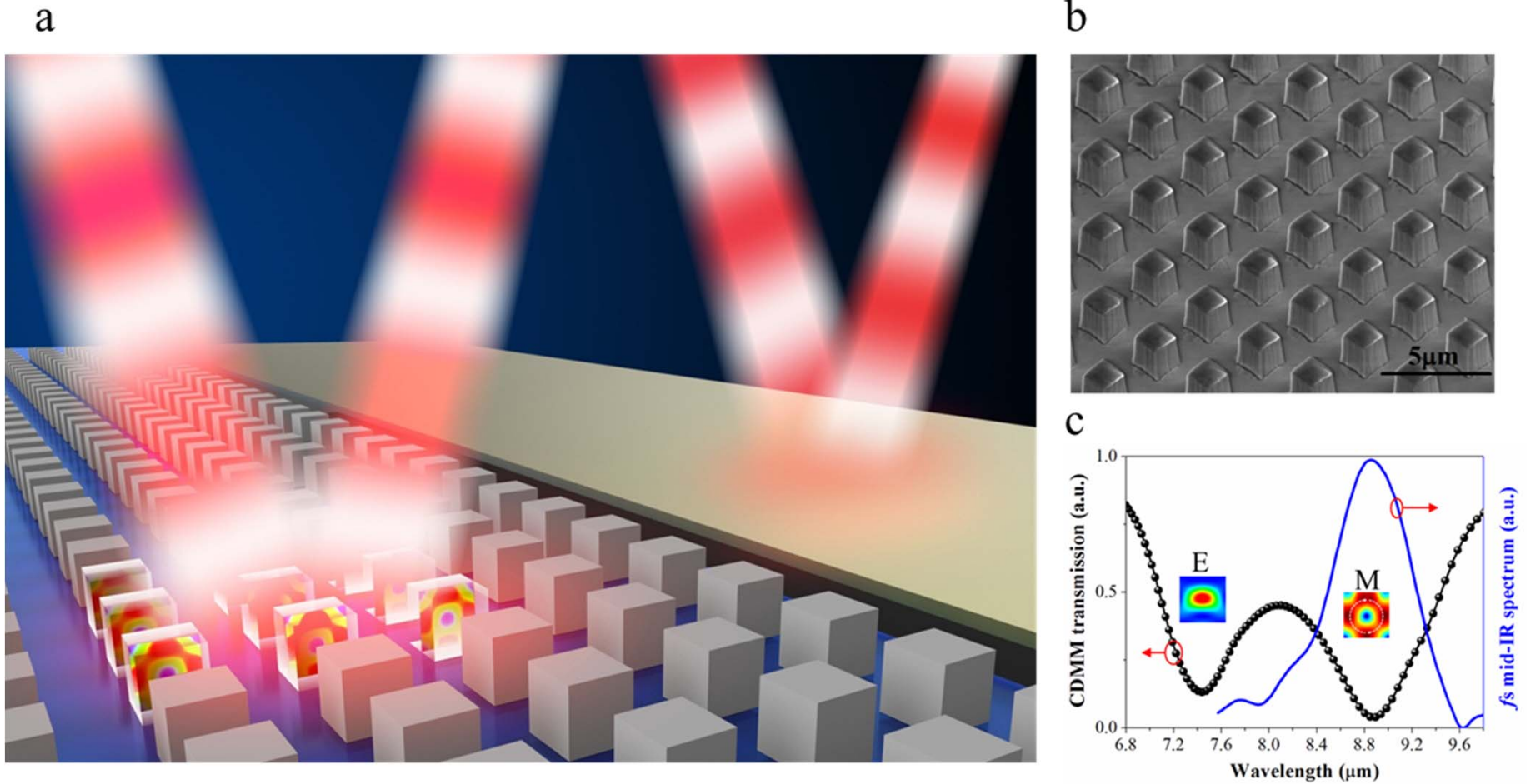


Te on BaF₂: Full Reflection/Transmission





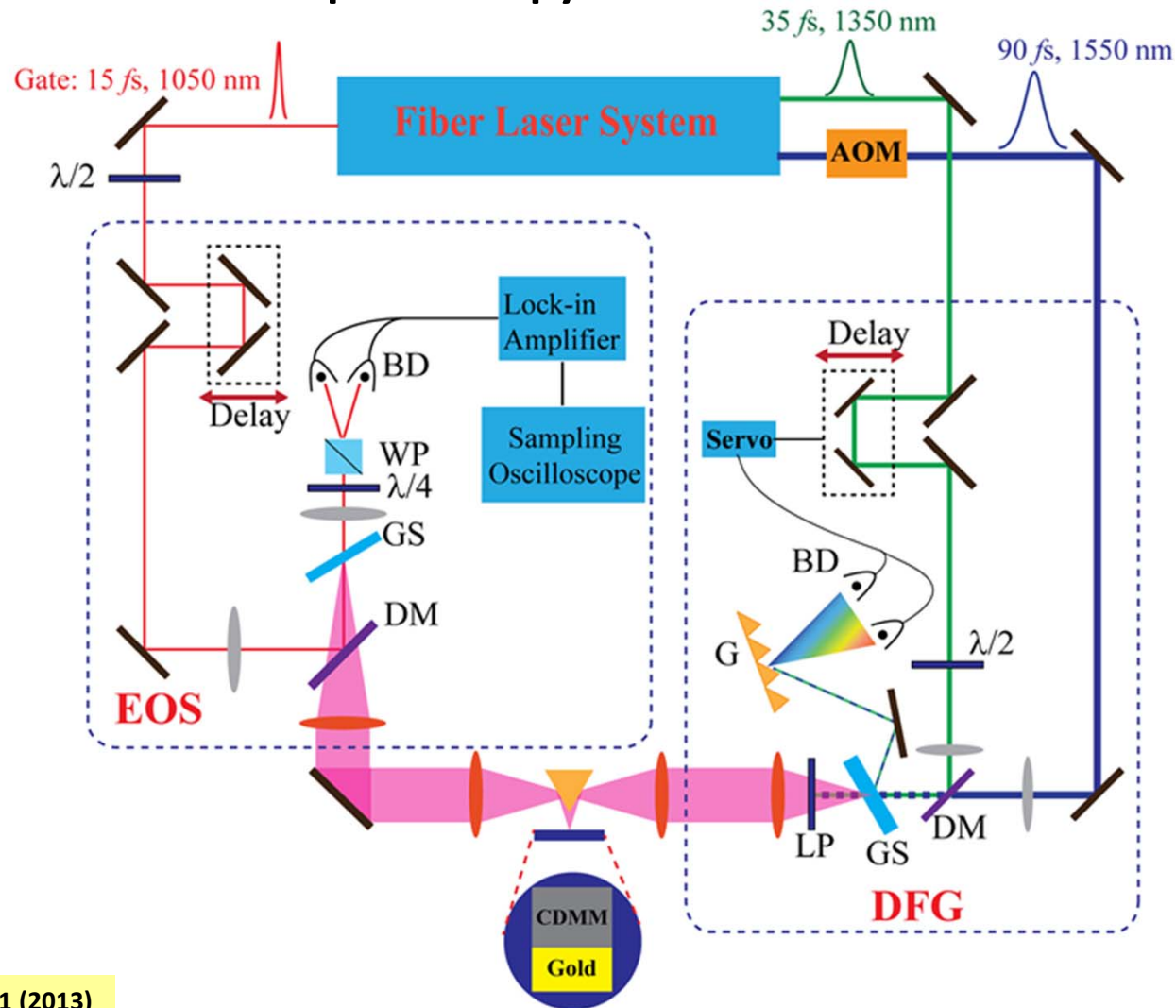
Proving Optical Magnetism: Reflection Does Not Invert the Phase





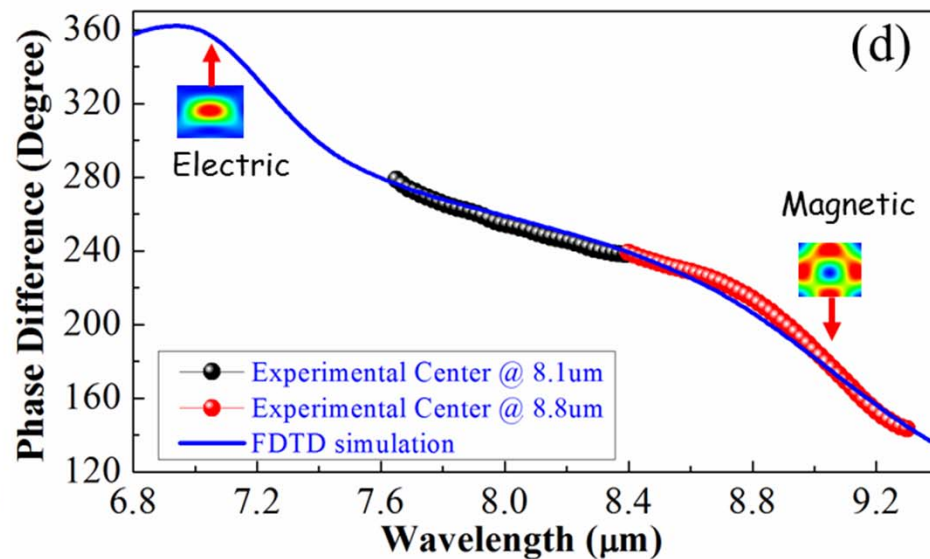
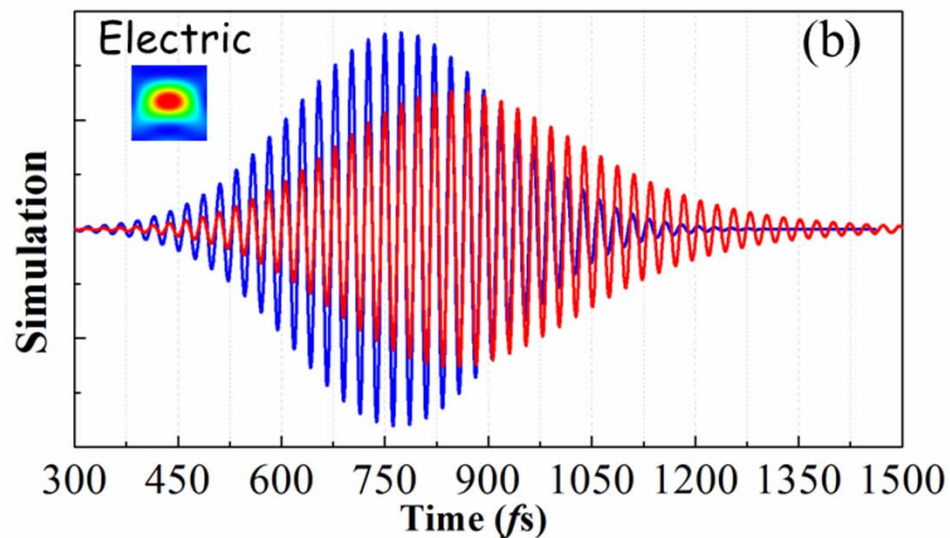
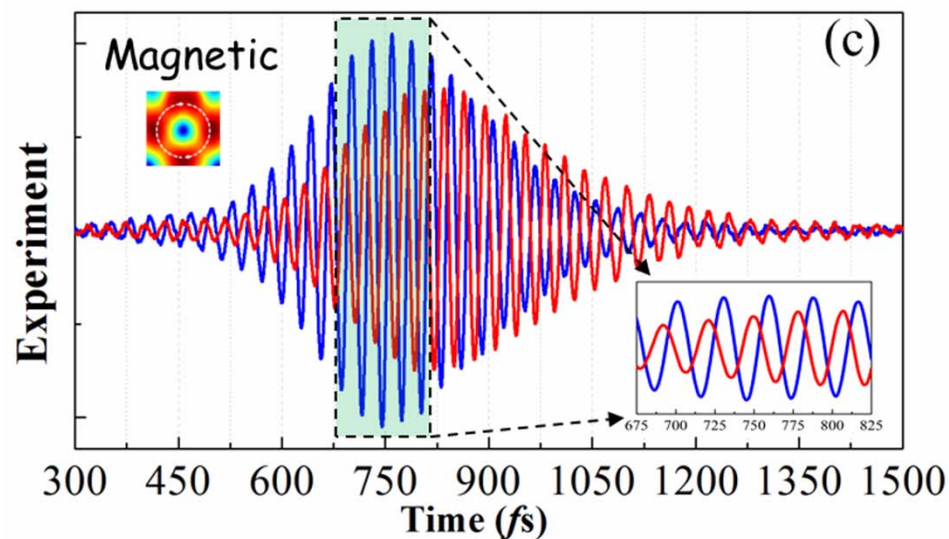
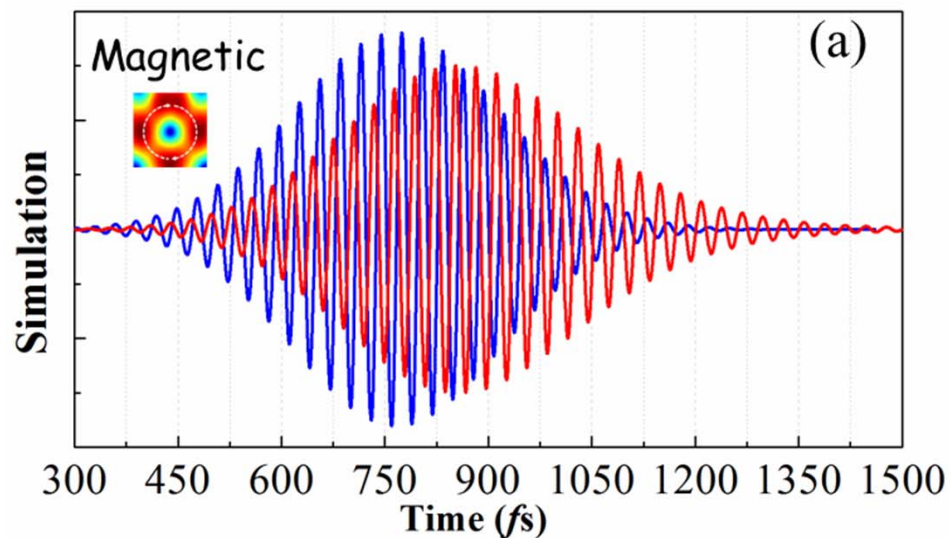
Proving Optical Magnetism: Measure Phase of Reflected Wave

Phase-locked Time Domain Spectroscopy





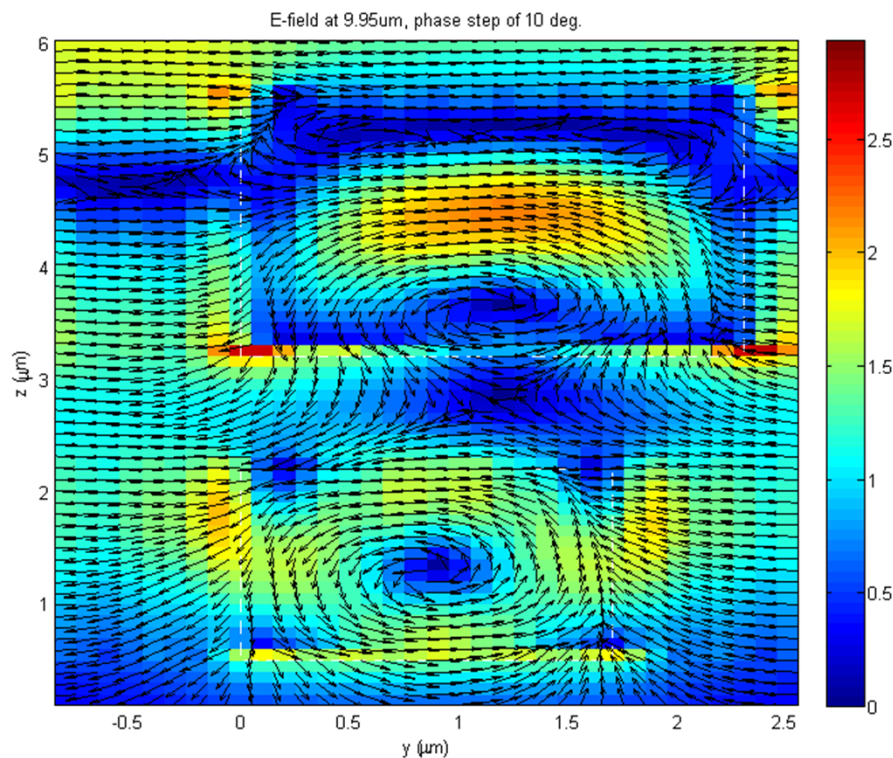
Experimental Demonstration of “Optical Magnetism”





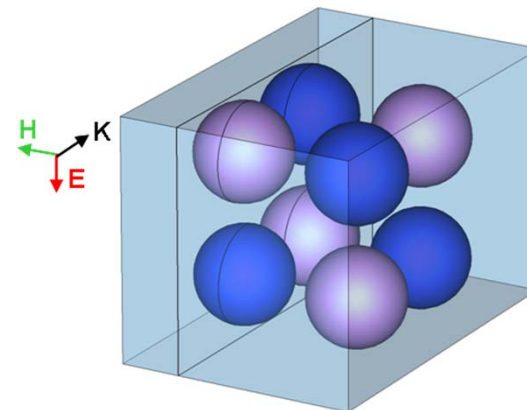
Tailoring both ϵ and μ : Dual Species Unit Cell Designs

- **Strategy:** utilize two different resonators in the unit cell to adjust ϵ and μ
 - same material different sizes
 - different materials
- Has been experimentally demonstrated at RF frequencies (Sandia)



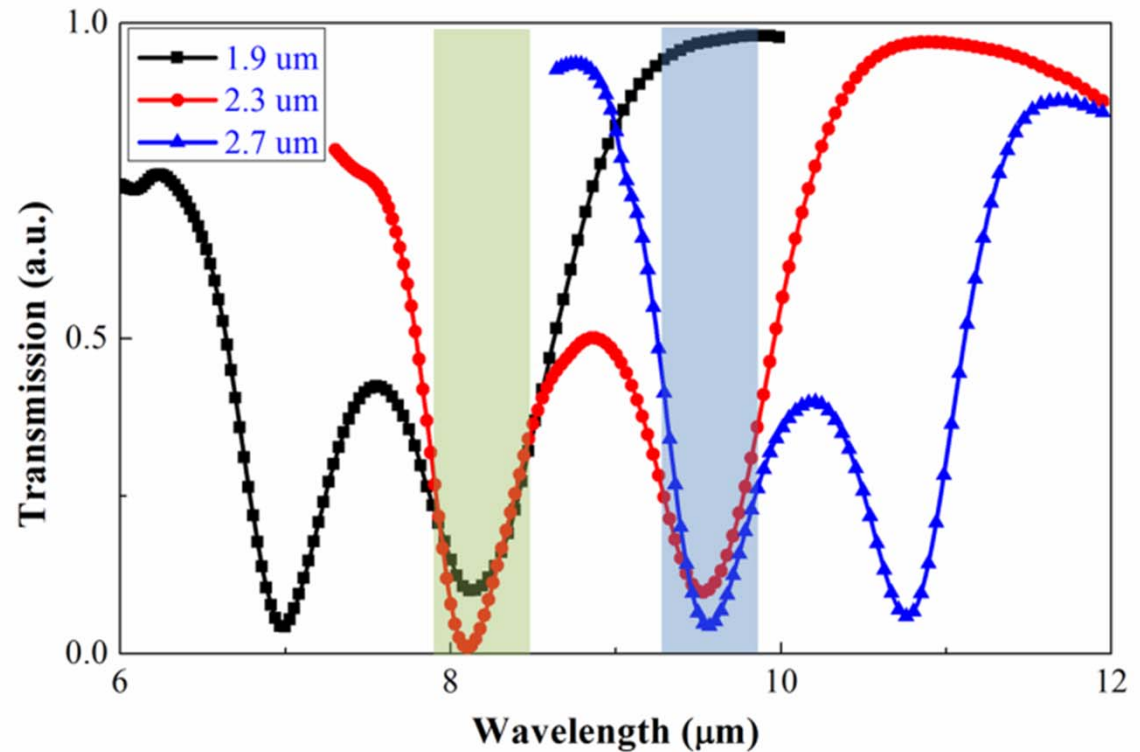
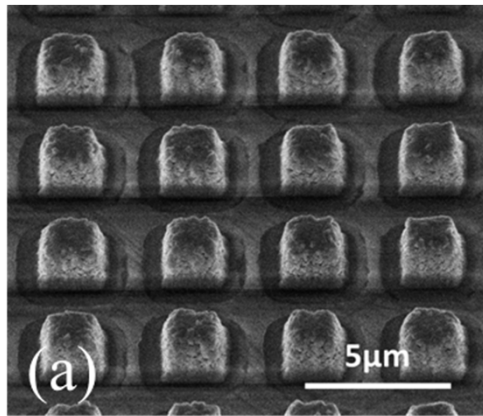
Primary Drawbacks:

- Doubling of the unit cell size
- Difficult to stay within the effective medium regime
- Can't achieve high index metamaterials due to cut-off





Te Resonators: Overlapping Electric and Magnetic Resonances



Te resonators using a single multiple deposition & liftoff process.

- Side: 1.7 μm , 2.3 μm and 2.7 μm with 50% duty cycle (height constant at $\sim 1.8 \mu\text{m}$).
- Shaded areas are the spectral regions where magnetic and electric resonances overlap.



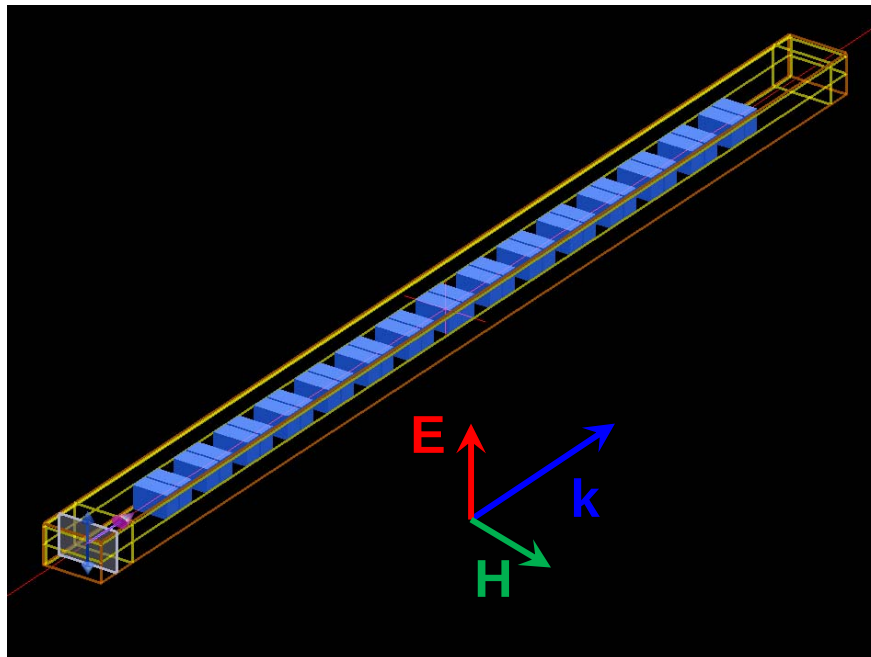
Full Wave Simulation of Propagation Through Split Cube Array

$\epsilon=32$, edge = $1.53 \mu\text{m}$, gap = 100 nm

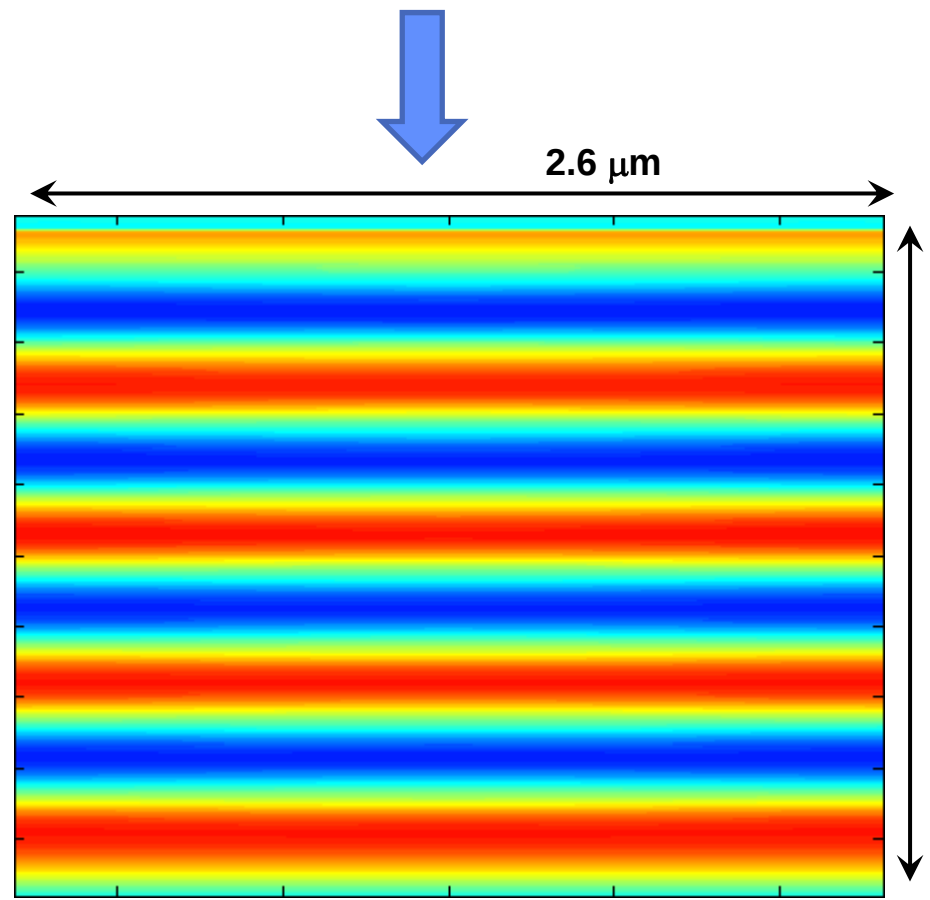
H_x at top of unit cell ($z=1.3 \mu\text{m}$)

Incident waves

Lumerical FDTD



Periodic BC in x & z, 15 cubes thick in y

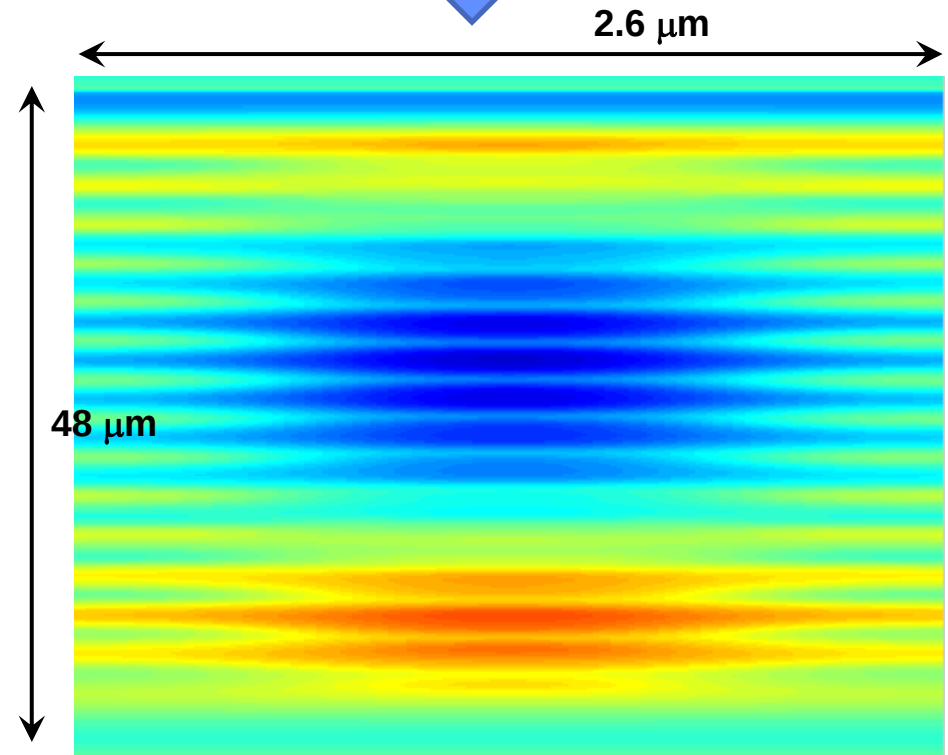
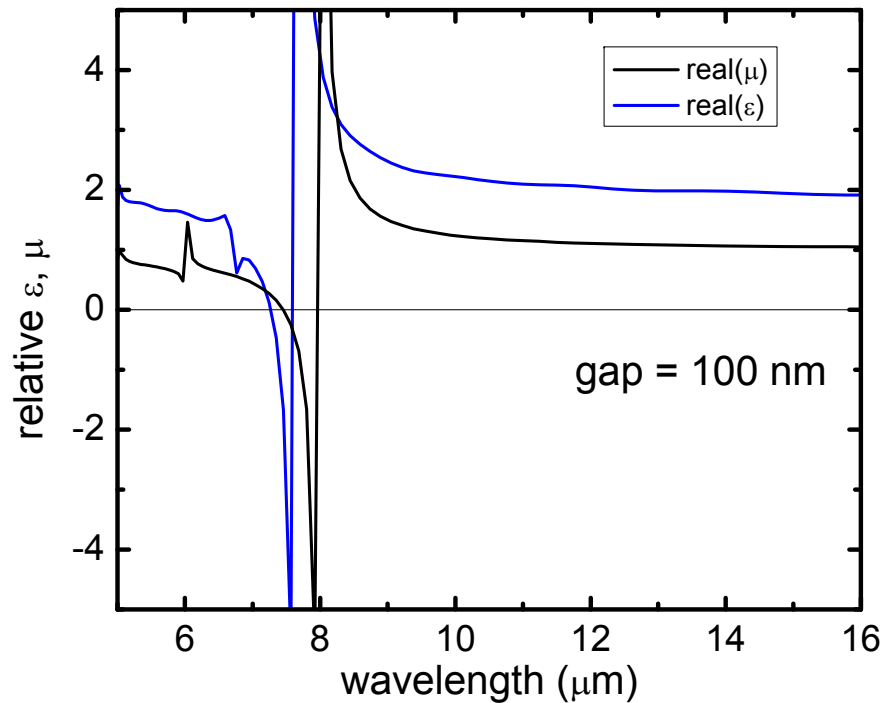
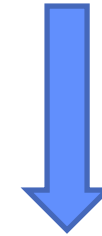


$\lambda = 15 \mu\text{m}$



Magnetic Field Movie – 7.5 μm

Incident waves



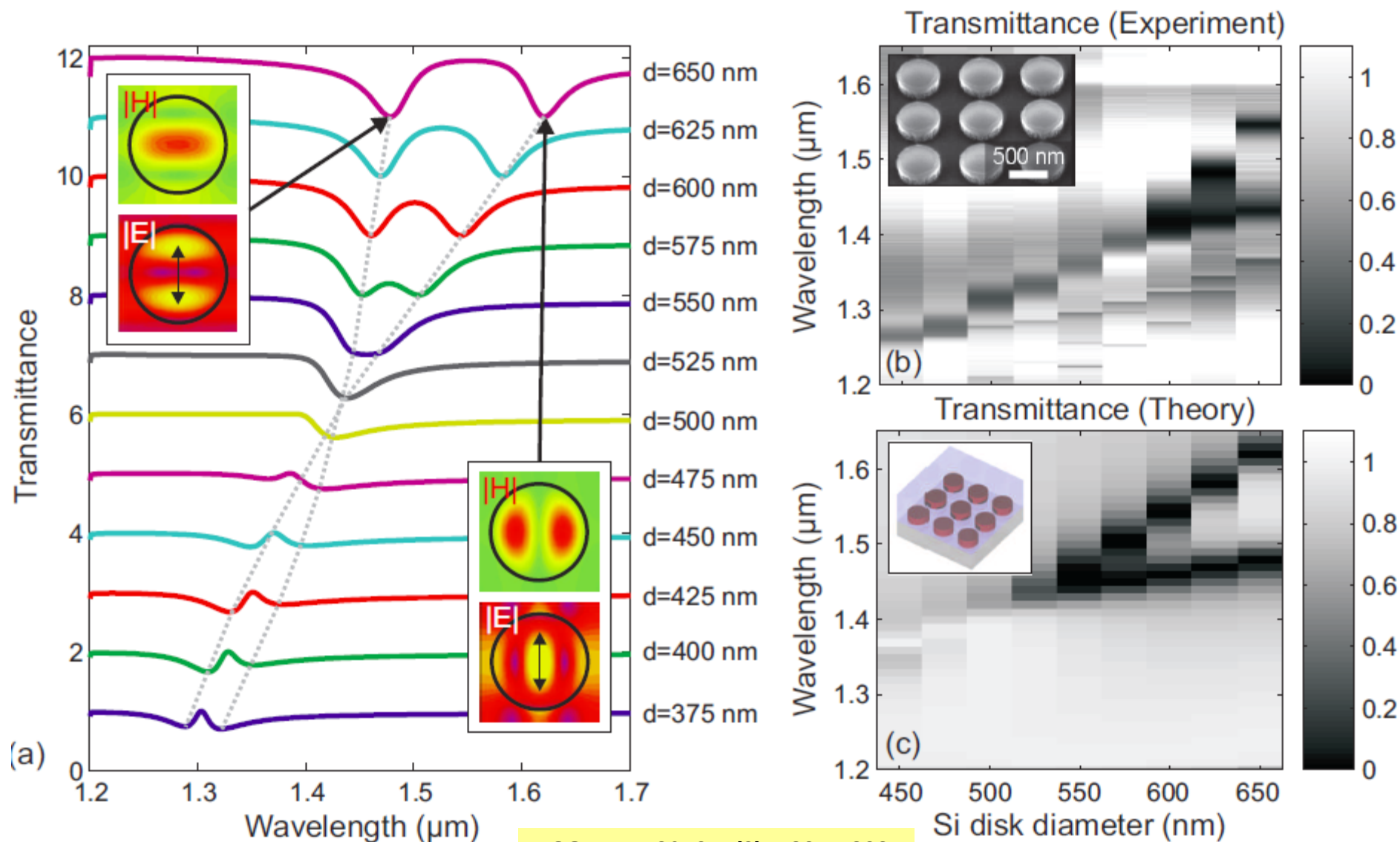
$\lambda = 7.5 \mu\text{m}$

H_x at top of unit cell ($z=1.3 \mu\text{m}$)



Scaling Dielectric Resonators to the Near IR:

Silicon Cylinders (with I. Staude & Y. Kivshar, ANU)

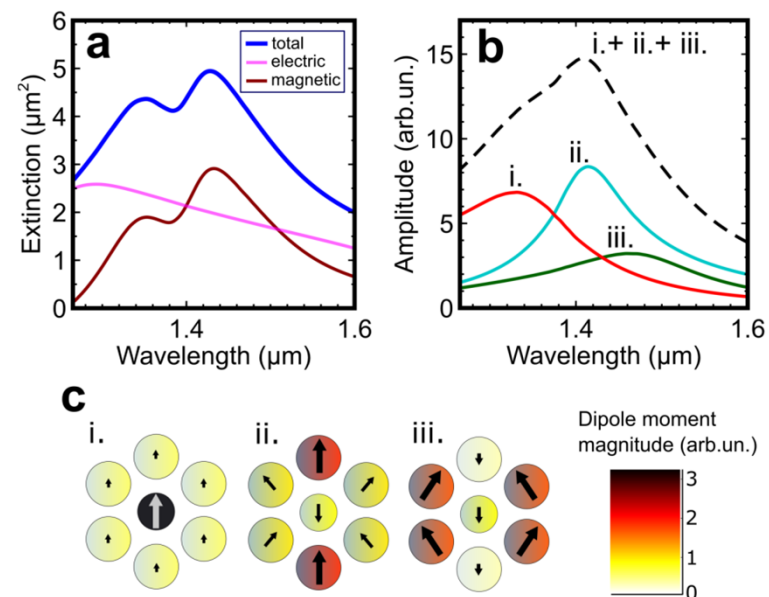
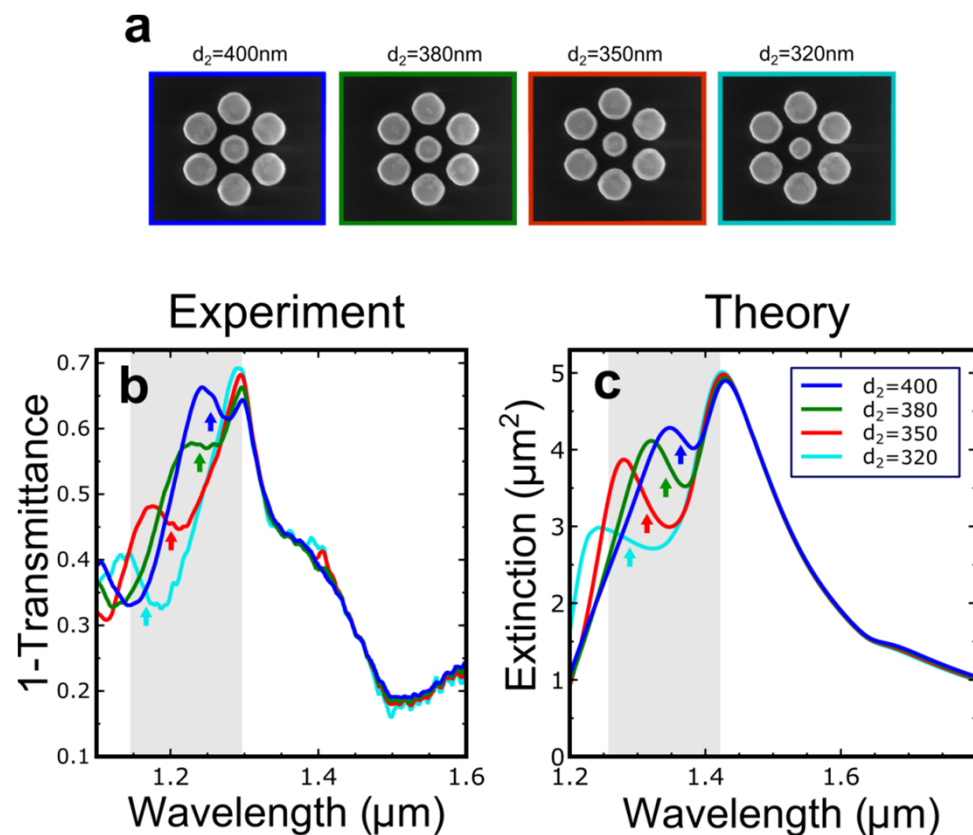


ACS Nano 2013 7 (9), 7824-7832



Fano Resonances in All-Dielectric Nanoparticle Oligomers

(with I. Staude & Y. Kivshar, ANU)

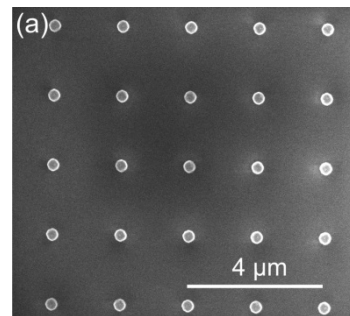


In press, Small, 2013

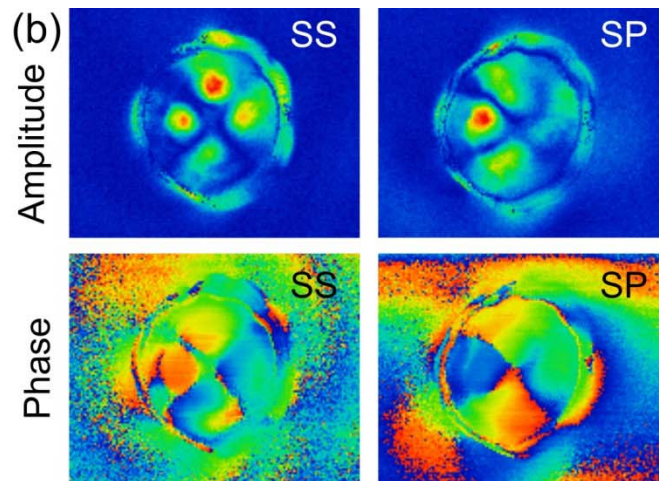


Near Field Imaging of Localized Modes

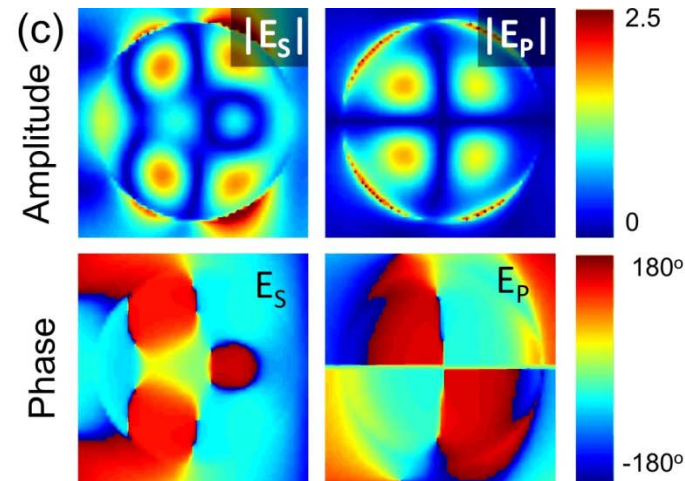
Terefe Habteyes, UNM



Measurements



Calculated near-field amplitudes



(submitted to CLEO 2014)

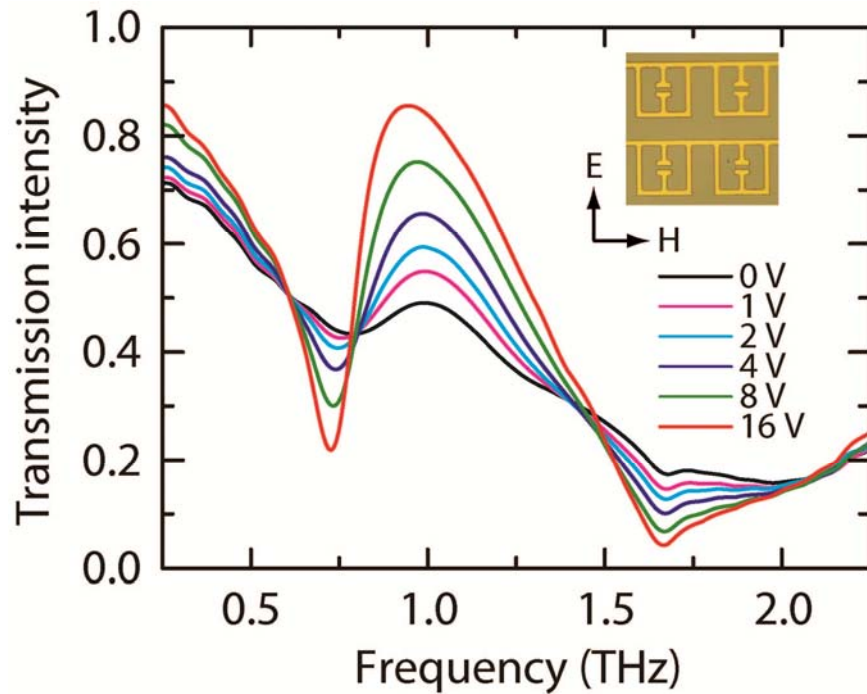


Outline

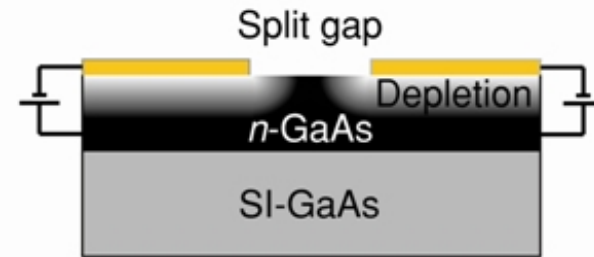
- 3D Passive Metamaterials
 - With Metals: Membrane Projection Lithography
 - Without Metals: Dielectric Resonators
- **2D Active Metamaterials**



Electrically Switchable THz Metamaterials: Controlling Interaction With Electrons



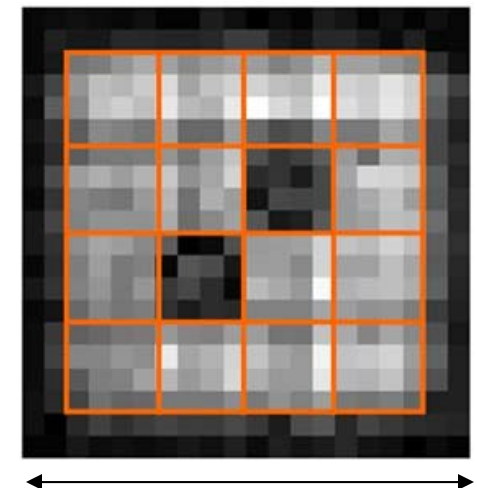
Nature 444, 597 (2006).



This works by increasing the damping (ϵ_2). Plasma frequency of doped layer needs to match the MM resonance frequency

0.36 THz, 4x4 SLM

Appl. Phys. Lett. 94, 213511
(2009)

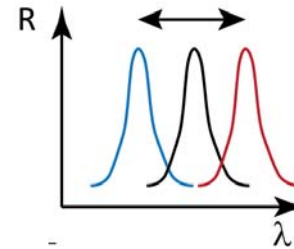
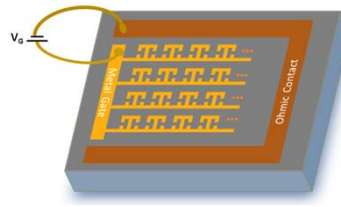


16 mm

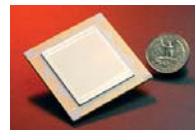


Why Infrared Tunable Metamaterials?

We would like to have semiconductor based, planar, electrically tunable IR filters that can be integrated with array detectors.

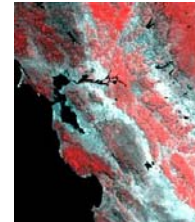


Examples: hyperspectral imaging

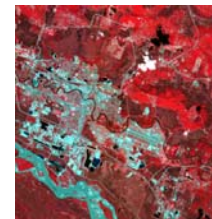


(JPL)

(NASA)



(Cedip)

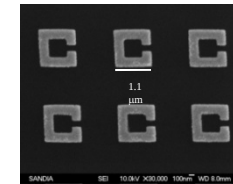
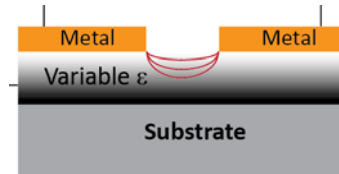


Mechanical filters!



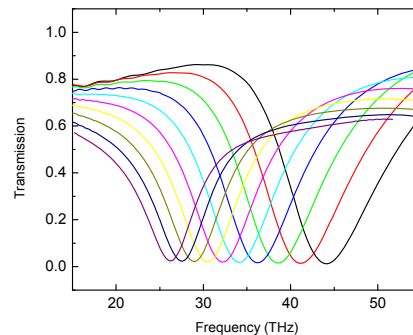
Tunable Interactions with Planar Metamaterials are Needed

Example, SRRs interact strongly with thin layers underneath

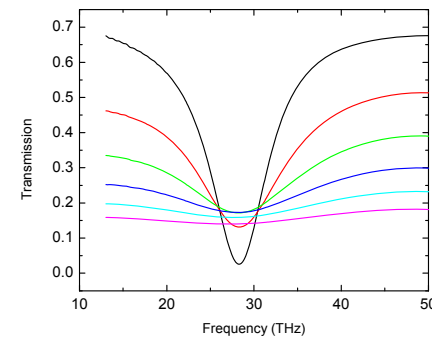


- Nonresonant

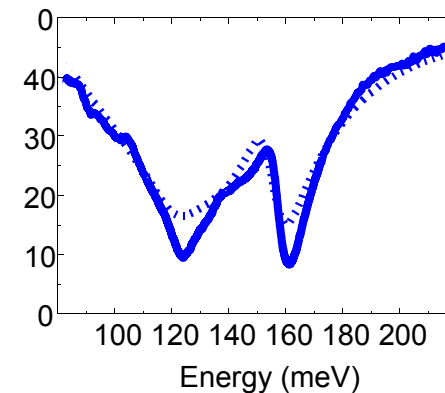
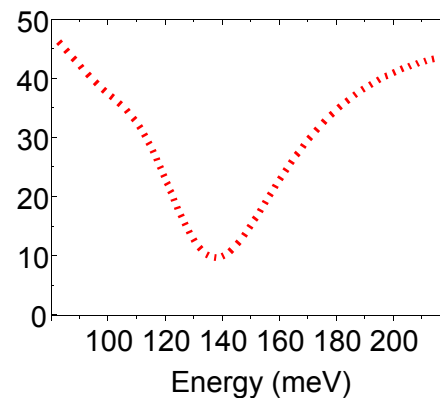
Change ϵ_1 (n)



Change ϵ_2 (n)

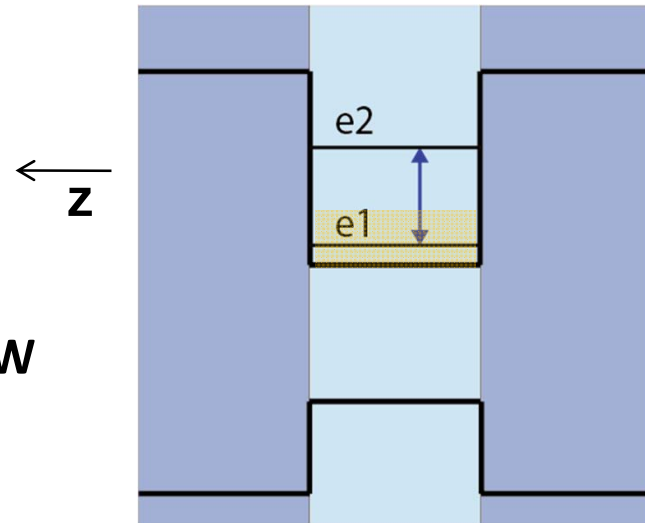
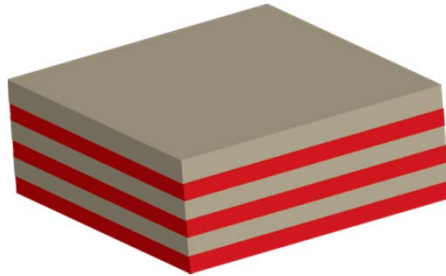


- Resonant
(Create a dipolar resonance, example: phonon)

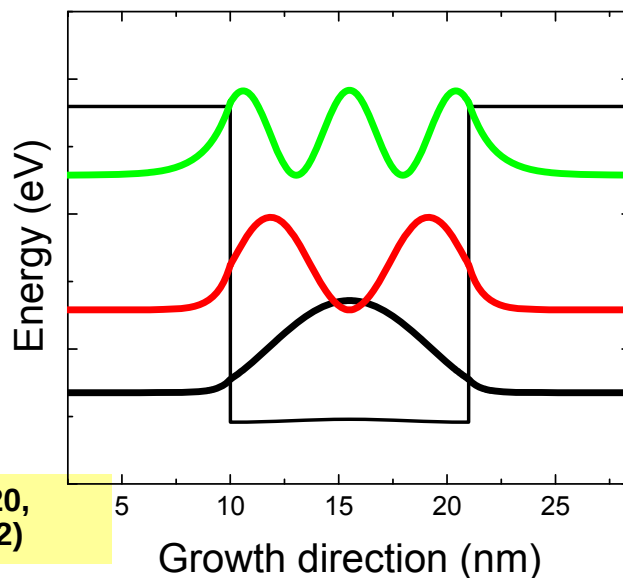




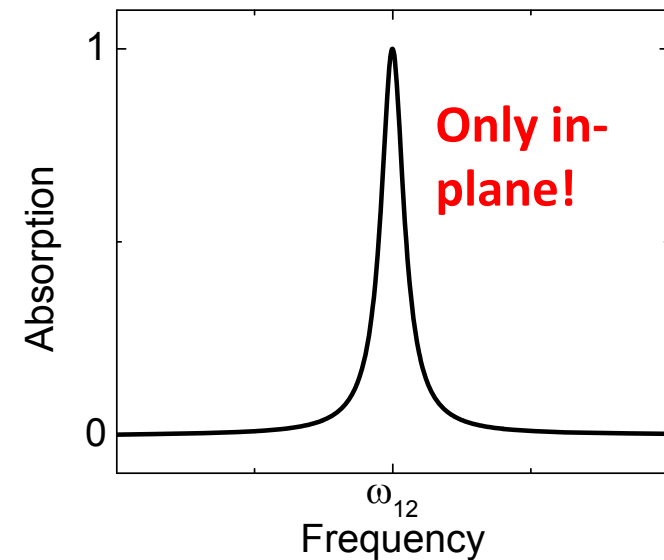
(Ultra) Strong Coupling to Inter-subband Transitions in Quantum Wells



- Scalable from far IR to near IR
- Huge parameter space: Coupled QW systems, parabolic wells, superlattices, etc.
- Mature Technology (MBE Growth)
- Material Versatility (GaAs, GaN, InGaAs)

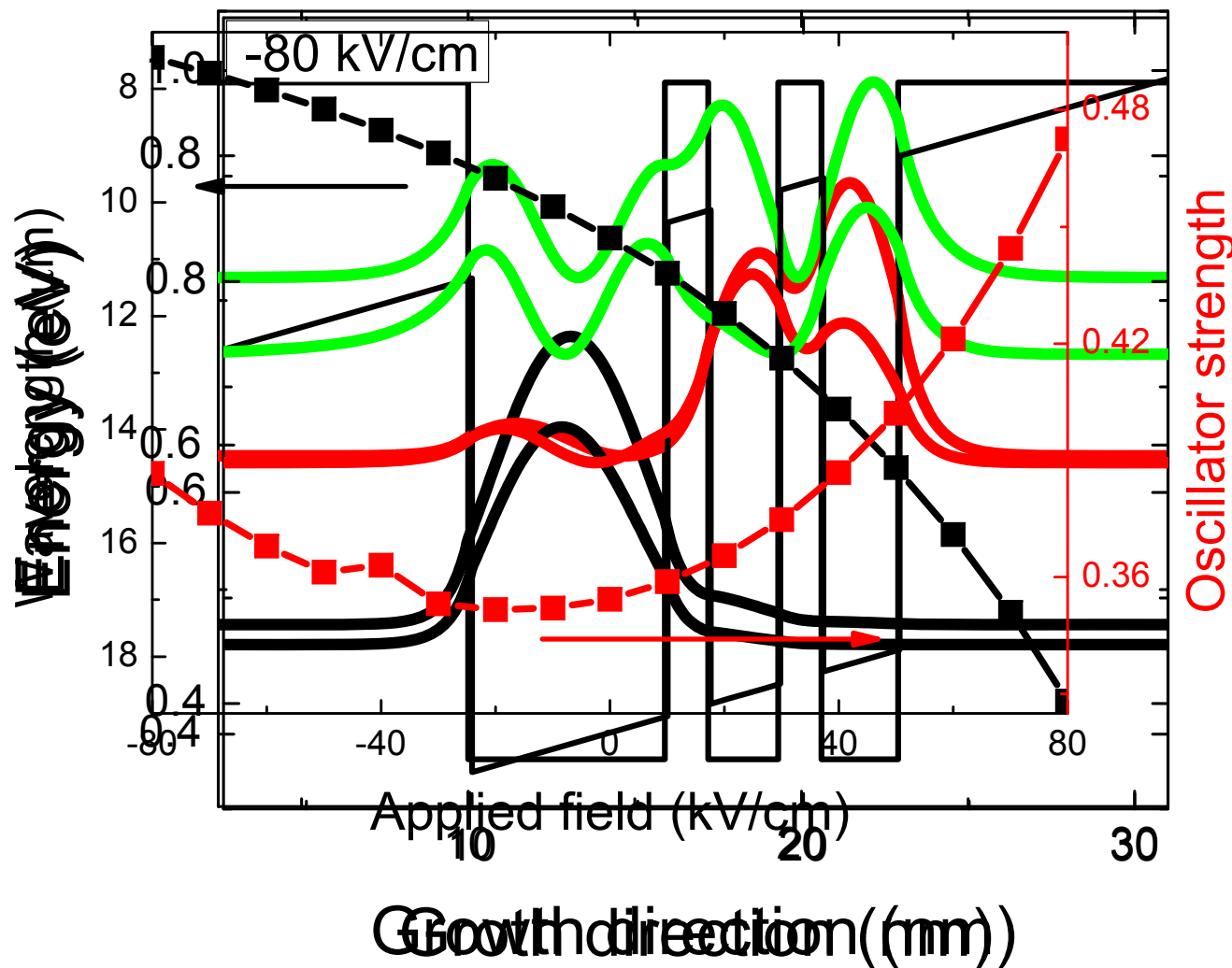


Opt. Express 20,
6584 (2012)





We can tune Intersubband transitions: we can tune MMs

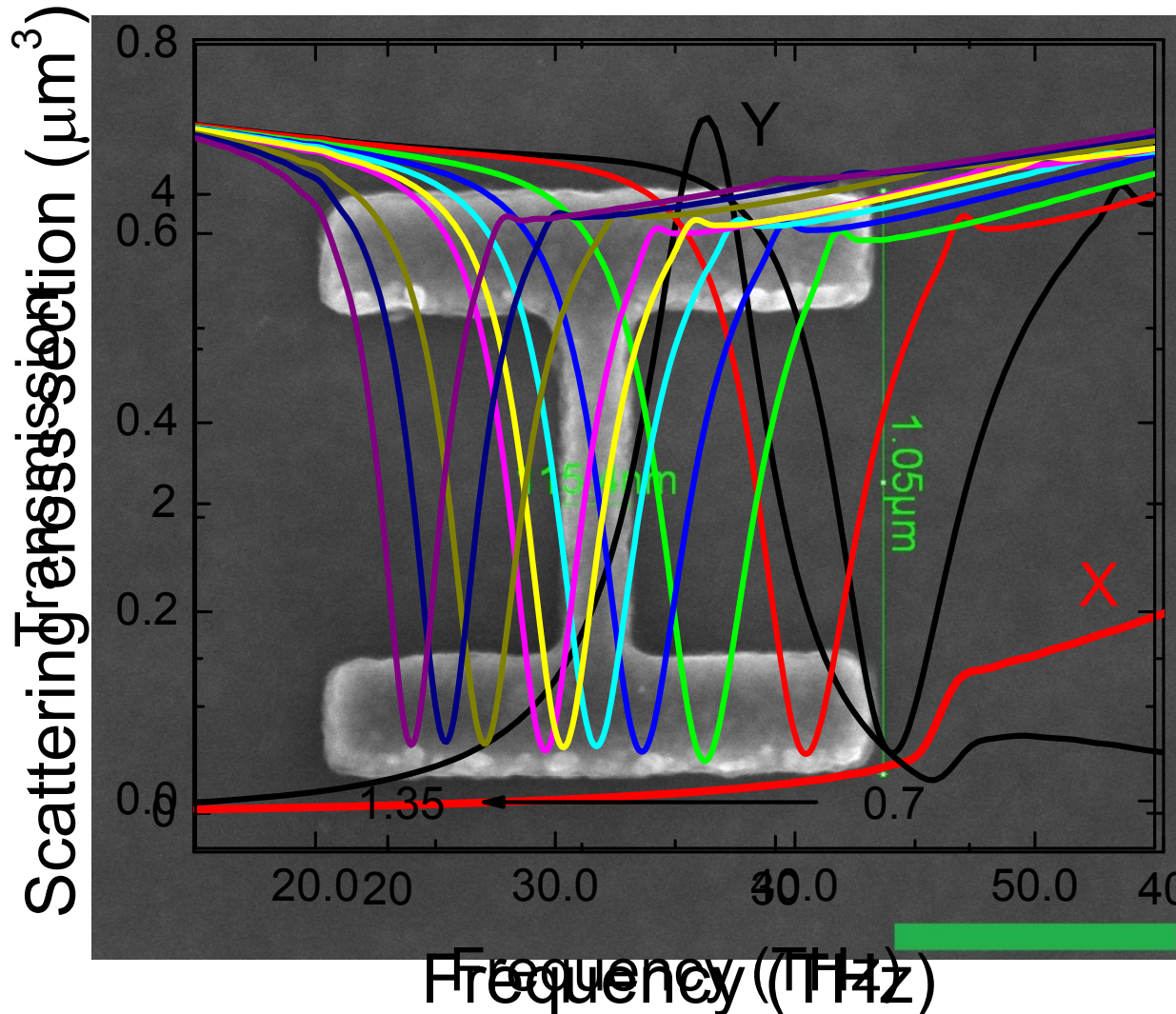


- Intersubband resonance tuned
 - Stark effect
 - Scales linearly
- Oscillator strength constant



Metamaterial Fabrication: “Dogbones”

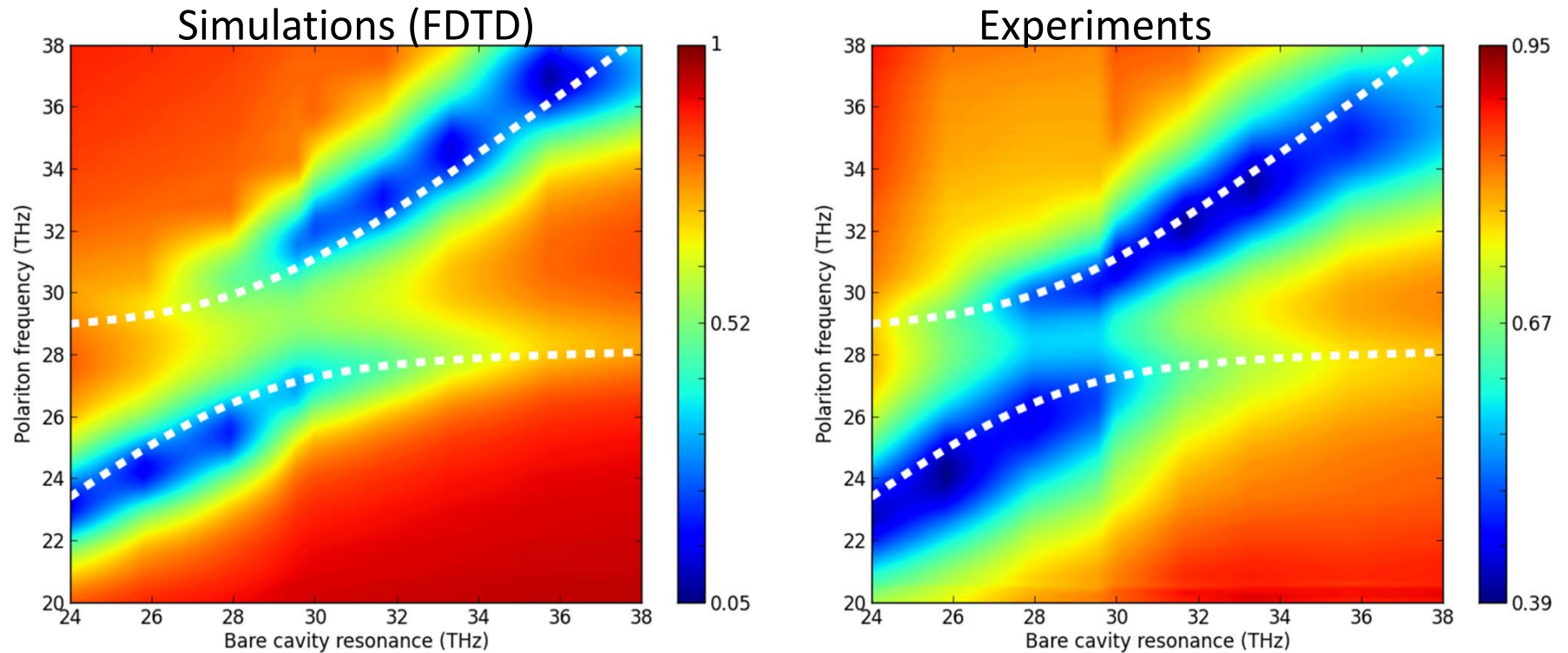
$\lambda \sim 10\mu\text{m}$



- Processing
 - Ebeam litho
 - 100 nm gold
- Resonance adjustable by geometric scaling



Strong Coupling Theory vs. Experiment



- Anti-crossing
- Polariton picture^{1,2}

$$\Omega_R = \sqrt{f_W \omega_p} / 2$$

Plasma frequency

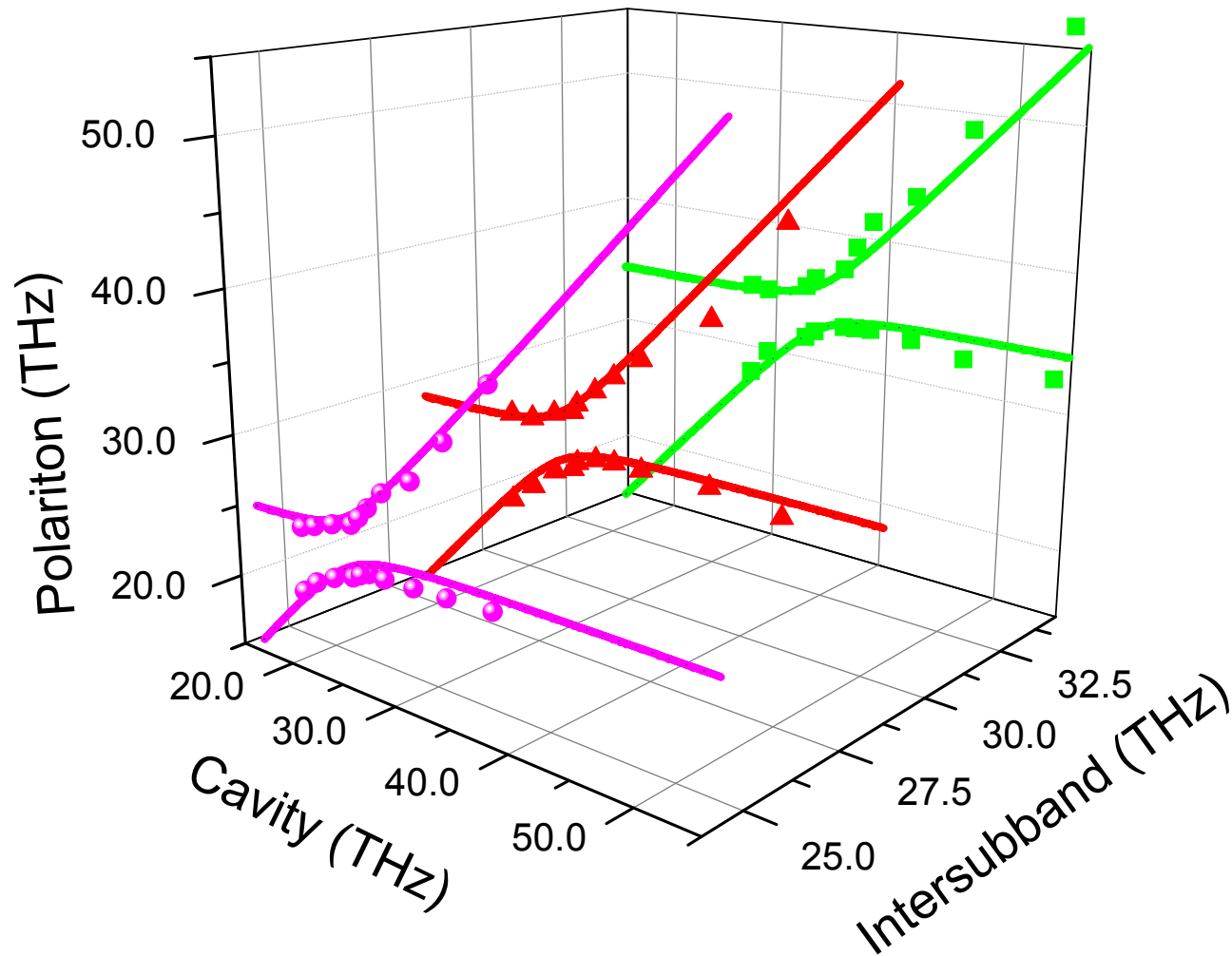
Geometry factor

¹ A. Gabbay et al., APL 98, 203103 (2011)

² A. Gabbay et al., Opt. Exp. 20, 6584 (2012)



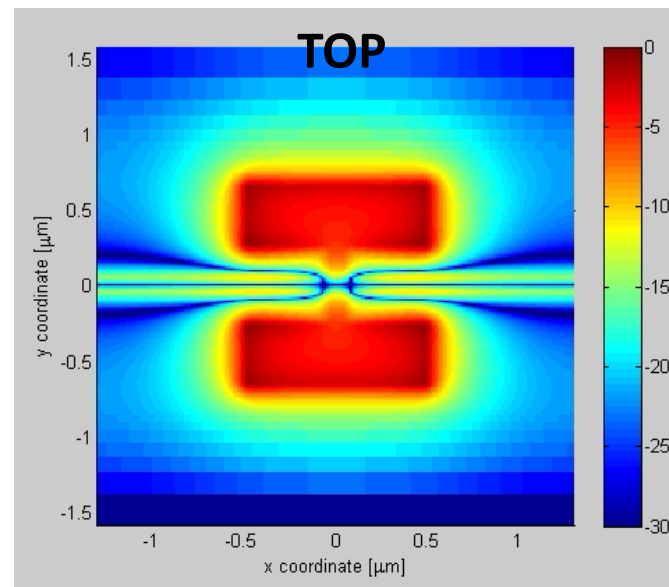
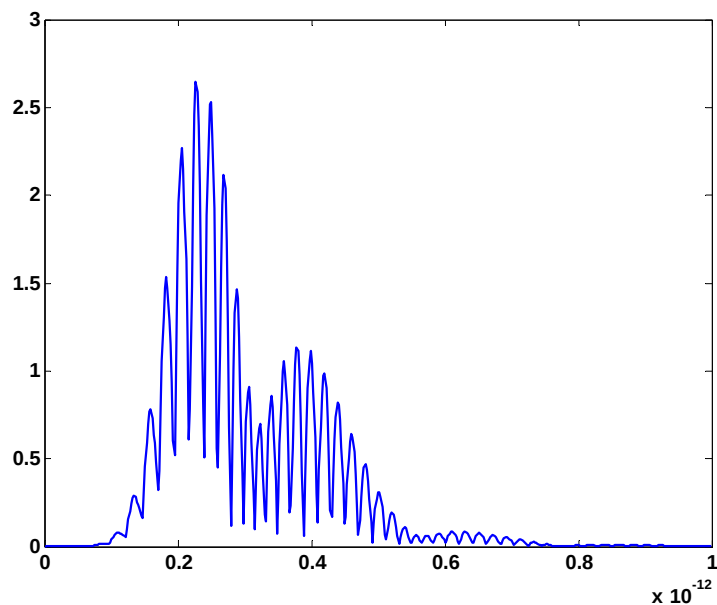
Intersubband Flexibility



- Cover entire thermal IR
- Quantum-well transitions
 - 8, 10, 12 μm
- Model explains experiment
 - No free fitting parameters

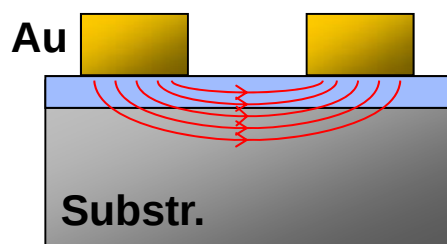


Rabi Oscillation Movies

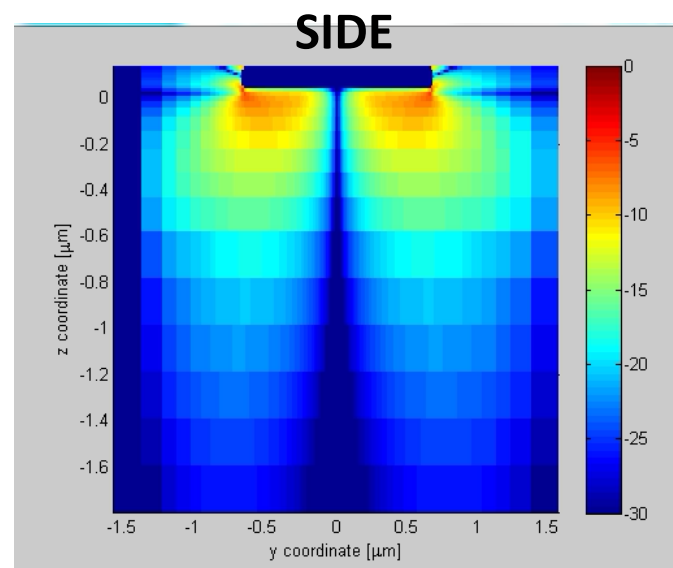


E_z

z



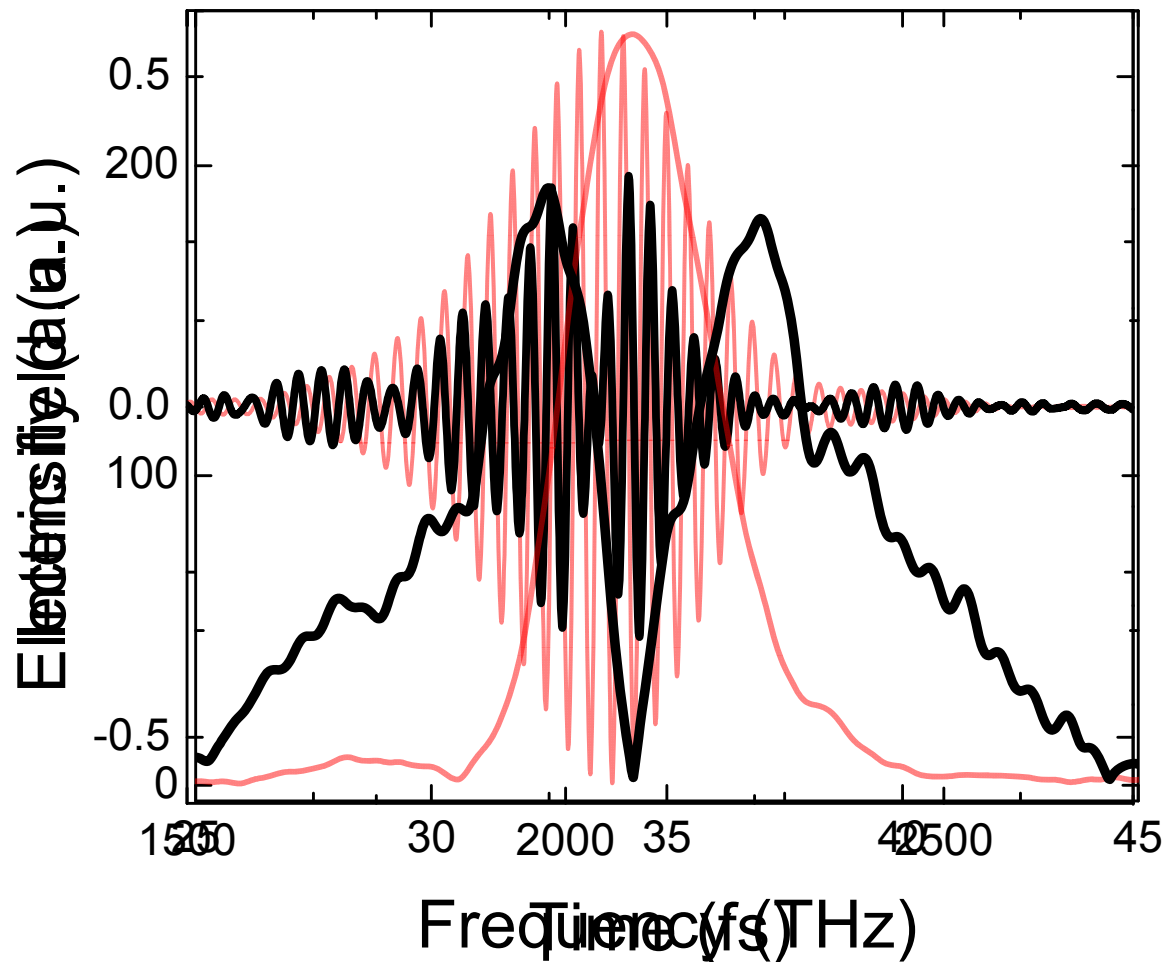
QWs





Experimental Rabi Oscillations

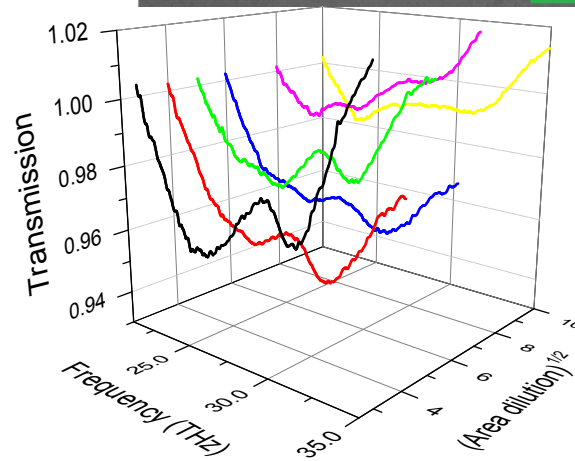
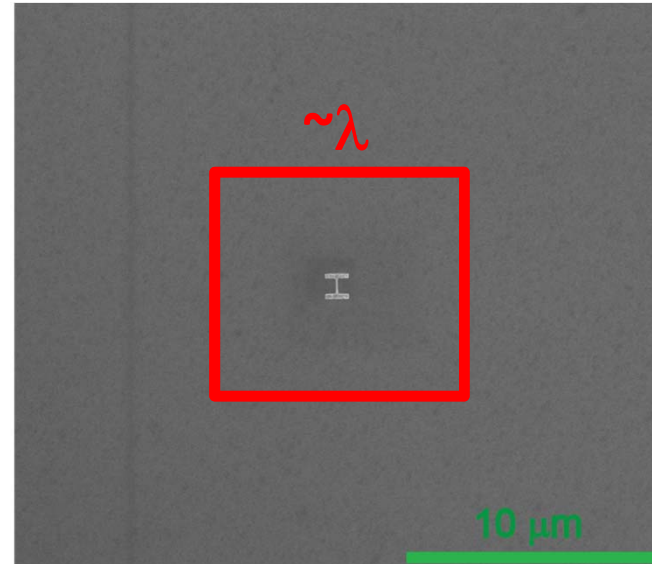
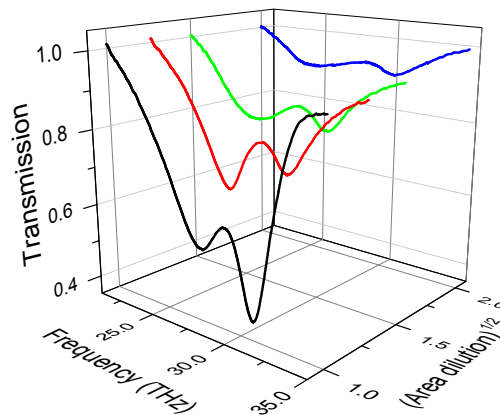
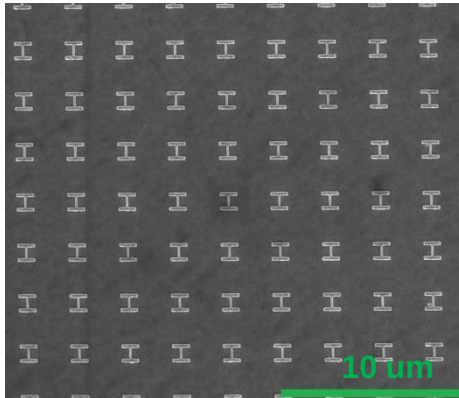
(using a mid-IR Time-domain fsec system)



- Energy exchange probed in time
 - 33 fs oscillation
 - 480 fs beating
- System strongly coupled
- Splitting of 4.2 THz measured
 - 15 % of ω_{12}



This is a “Single Resonator” Behavior



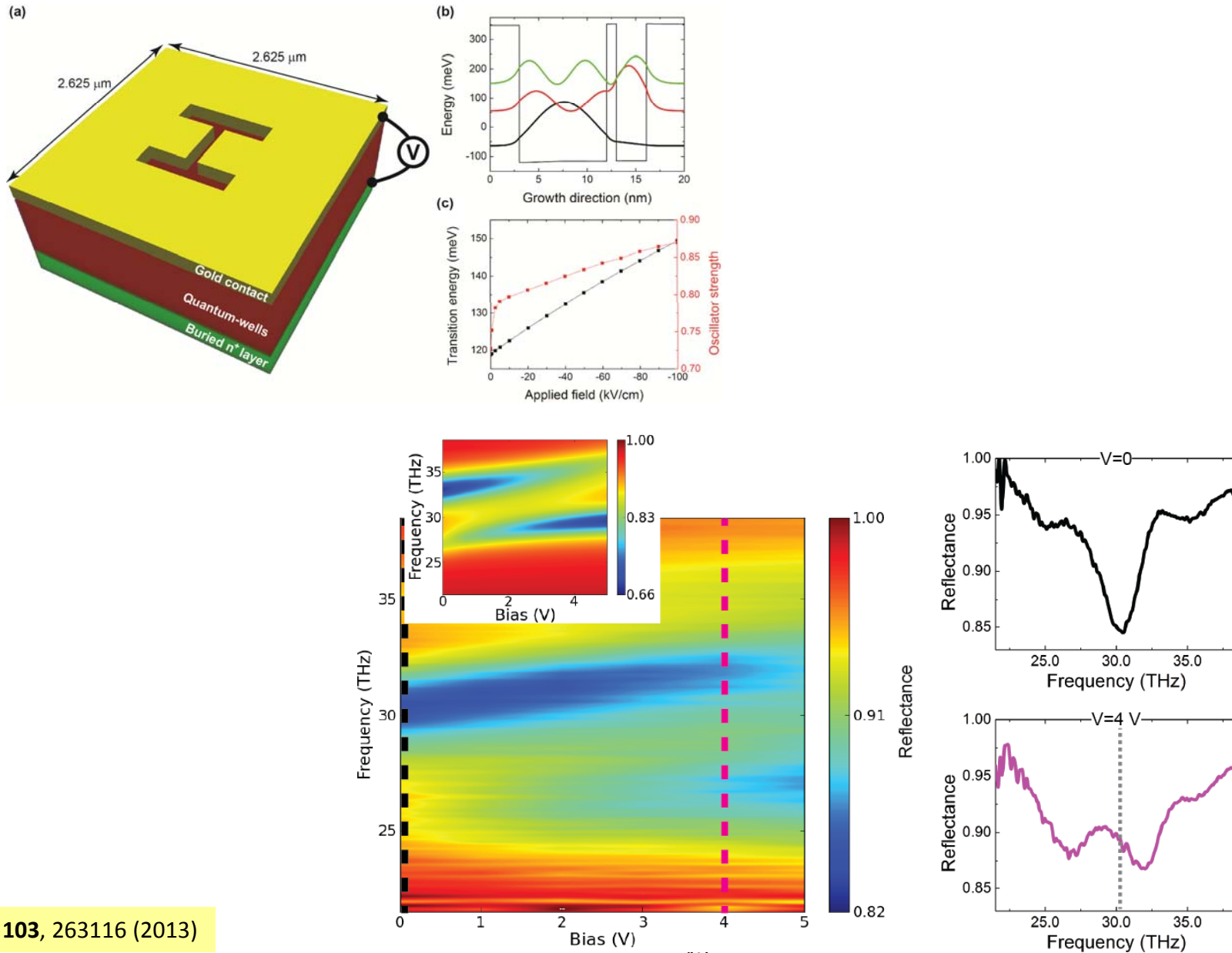
**Same Rabi splitting remains
down to a single resonator!**

Nature communications **4**, (2013)

Area of a resonator * carrier density ~1000-3000 electrons!



Voltage Tuning of the Strong Coupling



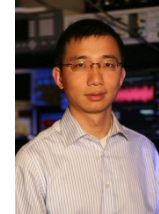


Acknowledgments

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CINT + Sandia: John Reno, Mike Sinclair, Ines Montano, John Klem, *, Eric Shaner, Mike Wanke, Joel Wendt, Paul Clem, Jon Ihlefeld, Bruce Burckel,

ANU: Y. Kivshar, I. Staude, D. Neshev,

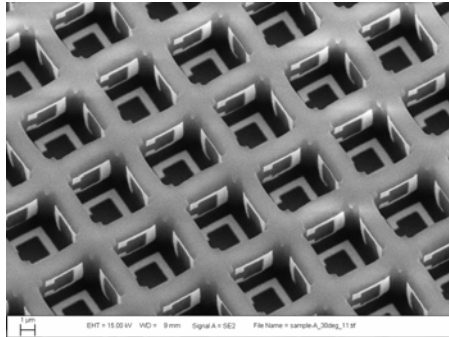
UNM: T. Habteyes



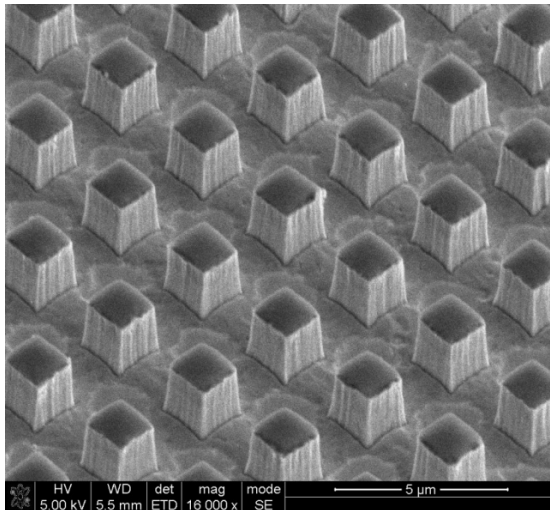
Summary

3D (Passive)

**Metallic: Membrane Projection
Lithography**



**Non-Metallic: Dielectric
Resonators**



2D (Active)

