

HARNESSING THE CHEMISTRY OF CO₂

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Janis Louie

Department of Chemistry, University of Utah, Salt Lake City, UT 84115

Email: louie@chem.utah.edu; Telephone: (801) 581-7309

DOE/Office of Science Program Office: Basic Energy Sciences, Chemical Sciences
DOE/Office of Science Program Technical Program Manager Contact: Raul Miranda

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PERSONNEL

PI: Janis Louie

Post-docs: none

Graduate Students: Puneet Kumar, Timothy Lane, Ryan Stolley

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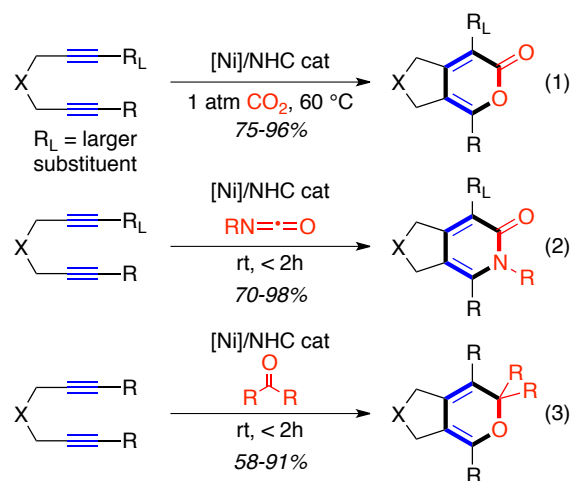
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DEVELOPMENT OF A CYCLOADDITION CATALYST¹

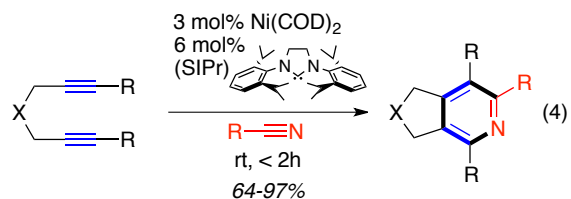
INCREASING THE UTILITY OF THE NI CYCLOADDITION CATALYST^{2,3,4}

Our initial efforts focused on *improving* Ni catalyzed cycloaddition chemistry. That is, prior to our work, known Ni cycloaddition catalysts generally afforde/d heterocyclic products (such as pyrones,⁵ pyridones,⁶ and pyrans⁷) in low to moderate yields and possessed limited substrate scope. We successfully developed a Ni catalyst system based on the use of *N*-heterocyclic carbene (NHC) ligands that not only provided a large array of heterocyclic products (i.e., large substrate scopes) but also provided these products in generally excellent yields (eq 1-3).



I. CYCLOADDITION OF DIYNES AND NITRILES⁸

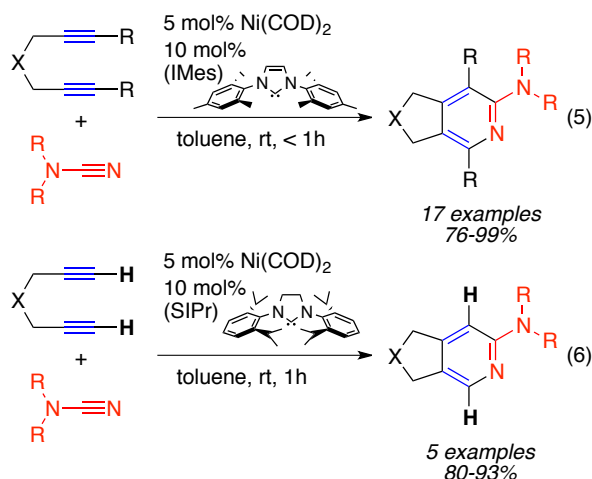
As we anticipated, this chemistry served as a launching pad for the development of a *general* annulation system and allowed us to expand the repertoire of unsaturated hydrocarbons (alkenes and alkynes) and $X=C$ -containing substrates amenable to cycloaddition chemistry. Our first success was in applying the NHC/Ni catalyst system to the cycloaddition of diynes and nitriles to afford pyridines (eq 4). The success of this reaction is intriguing for a number of reasons: 1) Ni



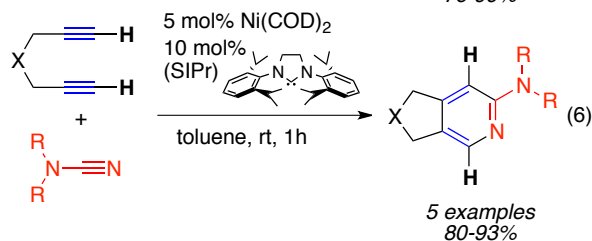
complexes readily undergo oxidative addition of R-CN bonds;⁹ 2) Ni complexes are known to cause homodimerization of nitriles;¹⁰ 3) Ni complexes catalyze the carbocyanation of alkynes;¹¹ and 4) the oxidative coupling of an alkyne and a nitrile is *sluggish* at best for Ni¹⁰ (although the resultant nickelacycle can be prepared through the stoichiometric transmetalation between an azazirconacyclopentenone and Ni(PPh₃)₂Cl₂).¹² Despite these potential obstacles, the Ni/NHC catalyst efficiently converts a variety of nitriles and diynes into pyridines in generally high yields. It is likely the high nucleophilic character of our Ni/NHC system allows for the facile oxidative coupling of the alkyne and the nitrile which thereby leads to efficient cycloaddition.

II. CYCLOADDITION OF DIYNES AND CYANAMIDES¹³

Although studies of cycloadditions of alkynes and nitriles are expansive, independent studies of analogous cyanamides are sparse, despite the utility of 2-aminopyridines (i.e., organometallic ligands,¹⁴ chromophores,¹⁵ and intermediates in biologically active molecules¹⁶). Given the efficacy of our Ni/NHC catalyst, we evaluated cyanamides as a potential substrate in cycloaddition chemistry. Interestingly, 2-aminopyridine product was detected for most ligands evaluated, which is markedly different than Ni-catalyzed cycloadditions of simple nitriles where only select NHC ligands (i.e., no phosphines) afforded appreciable amounts of pyridine products. The highest yields were obtained when IMes (or SIPr, IMes = 1,3-bis(1,3,5-trimethyl)-imidazol-2-ylidene, SIPr = 1,3-bis-(2,6-diisopropylphenyl)-4,5-dihydroimidazol-2-ylidene) was employed as the ligand. The effectiveness of the Ni(COD)₂/IMes catalyst is particularly surprising as this catalyst system typically produces significant amounts of a diyne dimerization side product. Using Ni(COD)₂/IMes as the catalyst system, a variety of diynes and cyanamides were converted their respective 2-aminopyridine products (eq 5) in excellent yields.

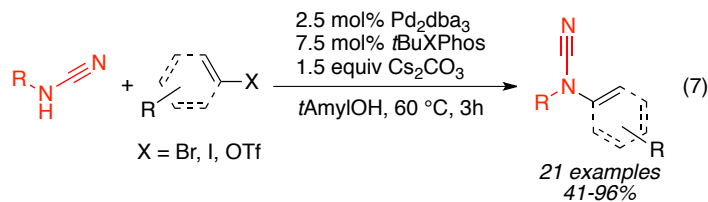


Terminal diynes, a challenging substrate for the parent Ni-catalyzed nitrile cycloaddition, were also evaluated as potential substrates (eq 6). Initially, the Ni(COD)₂/IMes-catalyzed reaction between terminal diynes and cyanamides only yielded trace amounts of desired product in an otherwise unidentifiable reaction mixture. However, when SIPr is substituted for IMes, the reaction is effective and generates aminopyridines in excellent yields, although slightly extended reaction times (i.e., 1 h) are required for the Ni(COD)₂/SIPr-catalyzed reactions.



III. PALLADIUM-CATALYZED ARYLATION OF CYANAMIDES¹⁷

Given our interest in utilizing cyanamides as substrates in cycloaddition chemistry, we required rapid access to a variety of cyanamides. Common methods for the preparation of cyanamides include simple substitution of cyanogen bromide or more exotic cyanating agents.¹⁸ However the reduced nucleophilicity of aryl or diaryl amines (particularly with electron withdrawing groups) can lead to poor

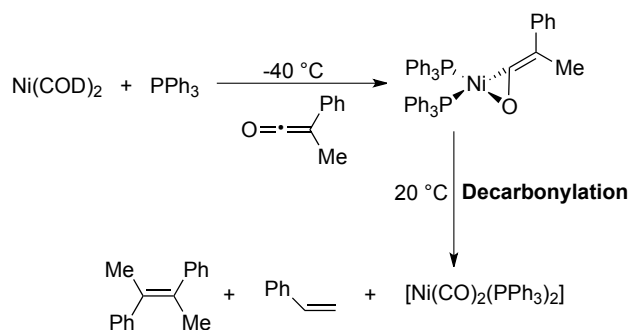


yields. A common alternative, the Von Braun reaction,¹⁹ while effective, requires extraneous formation of a trisubstituted amine thereby potentially leading to moderate to low yields. As an alternative, we believed cross-coupling between an aryl halide (or vinyl halide) and a monosubstituted cyanamide could serve as a direct approach to the desired aryl cyanamide compounds. Yet, despite the incredible progress made in metal-catalyzed cross-coupling methodologies,²⁰ cyanamides had never been evaluated in a cross-coupling study. We successfully developed a Pd-catalyzed cross-coupling reaction between aryl and vinyl halides (and pseudo-halides such as triflates) that affords cyanamides under mild conditions in moderate to excellent yields (eq 7).

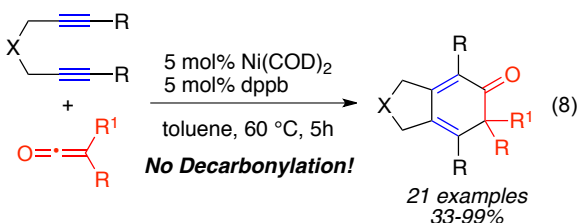
IV. CYCLOADDITION OF DIYNES AND KETENES²¹

Almost every possible unsaturated starting material (alkynes, alkenes, dienes, CO₂, nitriles, isocyanates, carbonyls etc.) has been employed as a substrate in transition metal catalyzed cycloadditions.^{1,22} Despite the rich history of cycloaddition chemistry, ketene

substrates were notoriously absent.²³ An insufficient reactivity between potential transition metal catalysts and ketenes is not the problem. Ketenes easily form η^2 -complexes with various metals (Ni, Pd, Pt, Co, Rh, Ir etc.).²⁴ The inability of these η^2 -complexes to undergo further reactions with other unsaturated coupling partners lies in their propensity to decarbonylate and form stable, unreactive M-CO complexes (Scheme 1).²⁵ In addition, ketenes often undergo homo-dimerization under thermal conditions.²⁶ Given these pitfalls, we were surprised and delighted to discover that Ni-phosphine catalysts mediate the cycloaddition of ketenes and diynes to afford cyclohexadienones, a structure prevalent in a variety of natural products,²⁷ in good yields



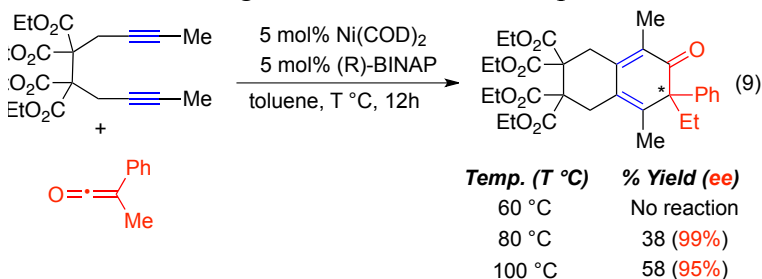
Scheme 1. Typical reactivity between Ni(0) and ketenes



(eq 8).

The asymmetric formation of quaternary stereocenters remains a formidable challenge to organic chemists.²⁸ With this in mind, we also investigated the development of an asymmetric version of the cycloaddition reaction. Initial investigations employing (R)-BINAP as a ligand gave dismal results. That is, no reaction was observed when standard reaction conditions (5 mol% catalyst, 0.1M diyne, 60 °C, and toluene) were employed. However, carbocyclic product was generated when the temperature was elevated to 80 °C. Although a relatively low yield

(38%) was obtained, excellent enantioselectivity (99%) was observed (eq 9). A higher yield without a substantial decrease in *ee* was obtained when the reaction temperature was increased to 100 °C.



V. CYCLOADDITION OF NITRILES: A NEW

NI/XANTPHOS CATALYST SYSTEM²⁹

As a corollary to our discovery of a ketene cycloaddition catalyst system, we began investigating the cycloaddition of ketenimines. Upon evaluating conditions to promote this type of cycloaddition (specifically employing methyl 2-methyl-3-(phenylimino)acrylate as the ketenimine substrate), we found a pyridine product rather than the expected carbocycle was obtained in moderate yield when Xantphos was used as the ligand. Surprised by this result, particularly in light of previous difficulties associated with pyridine formation using Ni/PR₃ catalysts (*vide supra*), we evaluated a variety of monodentate and bidentate phosphines as potential ligands in the Ni-catalyzed cycloaddition of diynes and the simple, unactivated nitriles. As expected, little to no pyridine product was observed despite good conversion of the diyne. However, a dramatic increase in pyridine formation occurred when Xantphos ($\beta = 111^\circ$), a bidentate phosphine ligand with a large bite angle, was evaluated. Further optimization led to a system that provided pyridine in excellent yields under mild reaction conditions (eq 10). Thus, in contrast to our previous hypothesis, sterics may play an equally or more important role in promoting both the challenging oxidative coupling and reductive elimination of C-N bond.

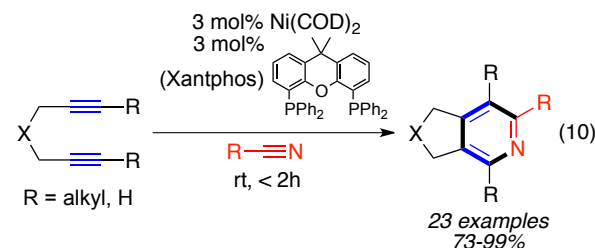
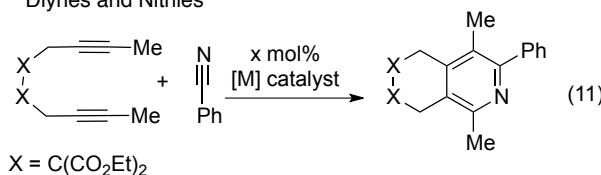


Table 1. Comparison of Metal Catalysts for Cycloaddition of Diynes and Nitriles



X = C(CO₂Et)₂

Entry	[M] Catalyst x mol%	equiv. of Nitrile	Temp	Time	Conv ^a	Yield ^{a,b}
1	5 mol% [Co] ^c	20	RT	24h	86%	70%
2	3 mol% [Rh] ^d	1.5	60 °C	3h	>99%	>99%
3	3 mol% [Rh] ^e	1.5	RT	3h	32%	15%
4	10 mol% [Ru] ^f	1.5	60 °C	24h	6% ^g	-
5	3 mol% [Ni/SIPr] ^h	1.5	RT	3h	>99%	80%
6	3 mol% [Ni/Xant] ⁱ	1.5	RT	3h	>99%	>99% (98%)

^aAnalysed by GC using naphthalene as an internal standard.

^bIsolated yield in parenthesis. ^c5 mol% CoCl₂, 6 mol% DPPE, xs Zn, diyne (1 equiv., 1 M), nitrile (20 equiv), NMP, RT. ^d3 mol% [Rh(COD)]BF₄, 3 mol% Segphos, diyne (1equiv., 0.1 M), nitrile (1.5 equiv.), DCE, 60 °C. ^eSame conditions as in [d], but the reaction was run @ RT. ^f10 mol% Cp*Ru(COD)Cl, diyne (1 equiv., 0.1 M), nitrile (1.5 equiv.), DCE, 60 °C, 24 h. ^gAnalysed by NMR. ^h3 mol% Ni(COD)₂, 3 mol% SIPr, diyne (1 equiv., 0.1 M), nitrile (1.5 equiv.), THF, RT. ⁱ3 mol% Ni(COD)₂, 3 mol% Xantphos, diyne (1 equiv., 0.1 M), nitrile (1.5 equiv.), toluene, RT.

The efficacy of the Ni/Xantphos cycloaddition catalyst system was compared to other, state-of-the-art transition metal catalysts based on Co, Ru, Rh, and Ni (eq 11, Table 1).^{8,30} In all cases, our Ni/Xantphos catalyst system out-performed other cycloaddition catalysts. Although excellent yield and conversion were observed at 60 °C when using Tanaka's Rh catalyst, the reaction afforded the pyridine in lower yield at RT (Table 1, entries 2-3 vs. entry 6). Similarly, higher yields of pyridine were observed with the Ni/Xantphos catalyst when compared to our initial Ni/SIPr catalyst. Thus, lower catalyst loadings, milder reaction conditions, and equimolar substrate stoichiometry make Ni-Xantphos a more efficient catalyst for coupling of diynes and unactivated nitriles.

UNDERSTANDING THE MECHANISM OF NI-CATALYZED CYCLOADDITION

I. REACTIONS WITH WELL-DEFINED NICKEL COMPOUNDS: C-O BOND-FORMING REDUCTIVE ELIMINATION³¹

Little is known about both Ni-imidazolyliene mediated C-C and C-Y (Y = heteroatom) bond-forming reductive elimination. Nevertheless, the formation of heterocycles and carbocycles hinges on the ability to form C-C and C-Y bonds through reductive elimination. As such, we have begun a program revolved around the understanding of this fundamental process. Intimately tied to this research is the side β -H elimination reaction, which can impede product formation in some cases.

Our initial synthetic plan to access the well-defined Ni imidazolyliene species required to evaluate such reactions lead to some intriguing discoveries. Although oxidative addition of aryl halides to Ni(0) complexes has been demonstrated numerous times, the corresponding oxidative addition to Ni(0)-NHC complexes has only been shown in a single experiment.³² Nevertheless, we presumed oxidative addition should be straightforward and should afford $(\text{NHC})_2\text{Ni}(\text{Ar})(\text{X})$ complexes in a rapid fashion. With those complexes in hand, we would then substitute the halide (X) for either an alkyl or a heteroatom-containing ligand and subsequently monitor the rates of reductive elimination as a function of NHC ligand. Surprisingly however, $(\text{NHC})_2\text{Ni}(\text{Ar})(\text{X})$ complexes were not obtained. Instead, we found that regardless of ArX, a nickel(I) species, $(\text{NHC})_2\text{Ni}(\text{X})$, were formed. Although the reaction of nickel phosphines and aryl halides had been shown to provide Ni(I) complexes,³³ such reactions typically provided low amounts of Ni(I). In addition, no structural information could be obtained when phosphines were employed as ligands.

Given of the importance of aryl halide oxidative addition, not only in our research but in the vast field of coupling chemistry, we examined the reaction of $\text{Ni}^0(\text{NHC})_n$ and aryl halides.

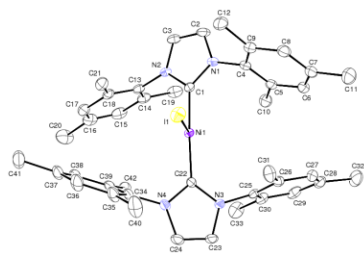
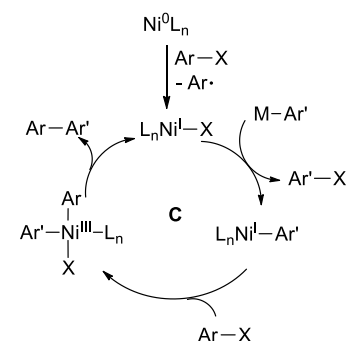


Figure 1. ORTEP diagram of the molecular structure of $\text{Ni}(\text{IMes})_2(\text{I})$.

Our studies suggest that $(\text{NHC})_2\text{Ni}(\text{Ar})(\text{X})$ forms first and then readily converts to $(\text{NHC})_2\text{NiX}$ complexes. We can confirm the formation of the $(\text{NHC})_2\text{NiX}$ complexes by synthesizing them directly via the disproportionation between $(\text{NHC})_2\text{NiX}_2$ and $(\text{NHC})_2\text{Ni}$. We have crystallized a series of $(\text{IMes})_2\text{Ni}(\text{X})$ (X = I, Br, or Cl, Figure 1). Our EPR measurements on these complexes further substantiate the formation of Ni(I) species. In addition to X-ray analysis, ^1H NMR and EPR studies further supported the formation of paramagnetic Ni(I) species.

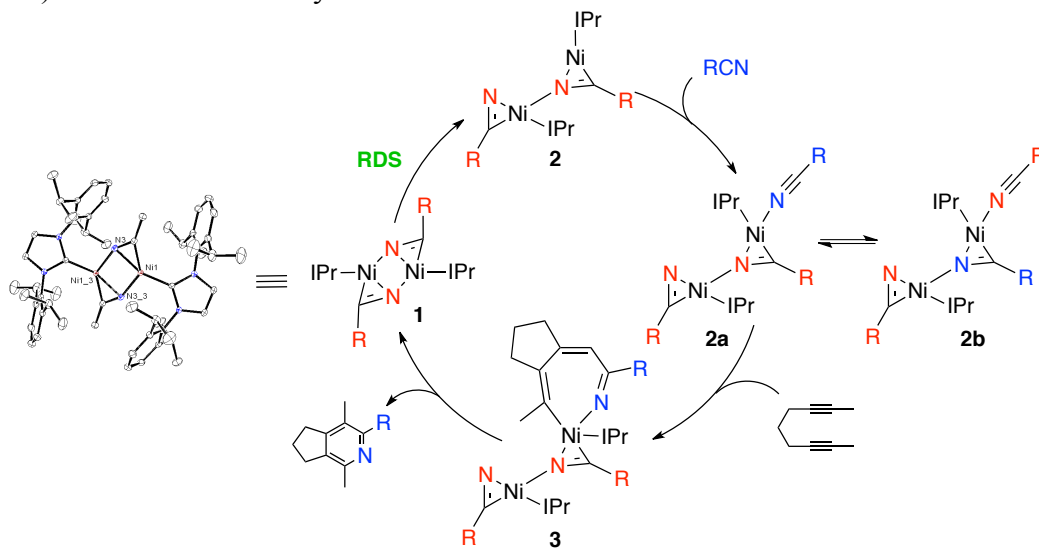
$\text{Ni}(\text{IMes})_2$ is an efficient catalyst for the Kumada couplings of aryl halides and Grignard reagents.³⁴ Although conventional wisdom suggests these couplings mirror analogous Pd-catalyzed couplings, we have performed a series of experiments revolving around cross-coupling reactions catalyzed by $(\text{IMes})_2\text{Ni}^{\text{I}}\text{X}$ and our results advocate a different mechanism may be operative (Scheme 2). In this mechanism, any Ni(0) present is rapidly converted to Ni(I) via a pseudo oxidative addition with the aryl halide. The Ni(I) complex undergoes transmetalation with the Grignard reagent to form a $\text{Ni}^{\text{I}}(\text{IMes})_n\text{Ar}$ species capable of reacting with the aryl halide. After oxidative addition of the aryl halide to form $\text{Ni}^{\text{III}}(\text{IMes})_n(\text{X})(\text{Ar})(\text{Ar}')$ occurs, reductive elimination of the Ar-Ar' product regenerates a $\text{Ni}^{\text{I}}(\text{IMes})_n\text{X}$ catalyst.



Scheme 2. Proposed mechanism of Ni(NHC)-catalyzed Kumada Couplings (L = IMes)

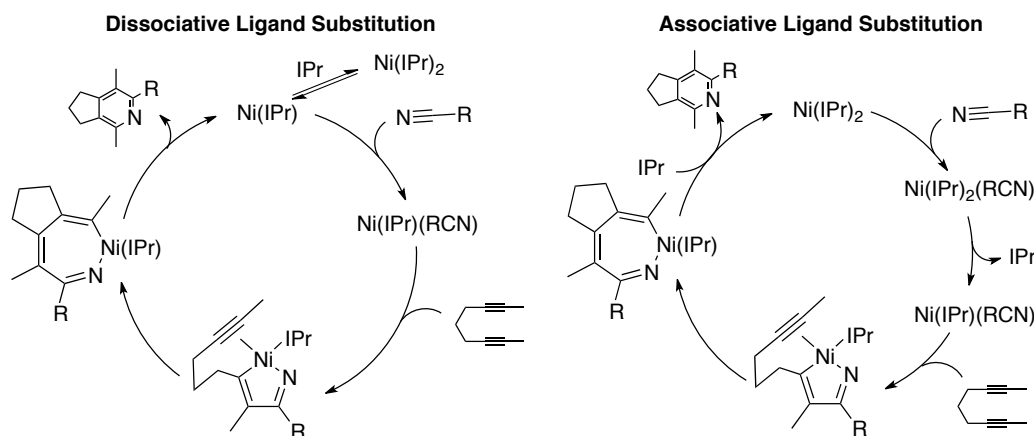
II. EVALUATION OF NITRILE CYCLOADDITION³⁵

We embarked on a detailed kinetic analysis of the cycloaddition of diynes and nitriles. Our investigations resulted in the discovery of another viable Ni cycloaddition catalyst system. Specifically, we isolated an interesting η^2 bound nitrile complex and found that this dimer also catalyzes the cycloaddition of diynes and nitriles. The mechanism of the Ni dimer-catalyzed cycloaddition is quite intriguing for numerous reasons (Scheme 3). Firstly, the sterically crowded nitrile dimers do not dissociate to monomeric nickel compounds (bound by one nitrile and one NHC ligand) nor does an NHC ligand dissociate. Secondly, the ‘opening’ of the dimer (i.e., from **1** to **2**) is the rate determining step according to our kinetic analysis. That is, our kinetic analysis revealed reactions were zero order in added ligand, nitrile, *and* diyne. The reactions were first order in nitrile dimer **1** *only*. Thirdly, pyridine formation required additional nitrile, despite nitriles already being bound to the metal. Furthermore, what is most non-intuitive, through detailed crossover experiments, we have determined that it is the incoming nitrile – rather than the initial, bound nitrile – that gets incorporated into the pyridine product! Thus, the second Ni center, and its ligands, may act as a large ligand for the first, catalytically operable Ni center. This reasoning may explain the effectiveness of the large Xantphos ligand in nitrile cycloaddition chemistry (*vide supra*). Alternatively, both Ni centers may act in concert (not drawn) as a bimetallic catalyst.



Scheme 3. Proposed Mechanism for Nitrile Dimer-Catalyzed Cycloaddition

Although catalytically competent, dimer **1** cycloaddition is kinetically slower than reactions catalyzed by our original $\text{Ni}(\text{IPr})_2$ system. Subsequent to this discovery, we also evaluated the kinetics of the $\text{Ni}(\text{IPr})_2$ catalyzed cycloaddition of diynes and nitriles. Again, the mechanism of cycloaddition was not straightforward. As expected, cycloadditions showed a first order dependence on $\text{Ni}(\text{IPr})_2$. However, reactions run with excess nitrile showed a zero order dependence for IPr, nitrile, as well as diyne. What is even more perplexing is that although reactions display a zero order dependence in nitrile concentration, the *identity* of the nitrile does influence the rate of the reaction. For example, reactions run with benzonitrile are significantly faster than those run with acetonitrile. Furthermore, reactions run with isopropyl nitrile display a first order dependence in nitrile concentration. It is possible that we are in the range of saturation kinetics with respect to nitrile concentration. Unfortunately, reactions run with excess diyne, rather than excess nitrile, undergo rapid and irreversible diyne oligomerization rather than pyridine formation.

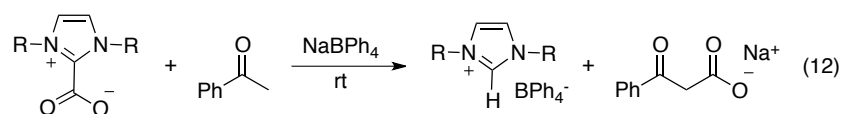


Scheme 4. Kinetic analysis of $\text{Ni}(\text{IPr})_2$ catalyzed cycloaddition of diynes and MeCN

Reasonable mechanisms for this reaction are depicted in Scheme 4. Given our kinetic data, we were able to rule out a dissociative ligand substitution mechanism since this mechanism would require the observation of either an inverse first order in ligand or reaction rates that were identical regardless of the identity of the nitrile. Although at first glance, a mechanism involving an associative ligand substitution may not seem to account for all of the kinetic data, a deeper look would reveal that such a mechanism is possible if the rate determining step is dependent on the nitrile. Specifically, if a bulky nitrile such as *i*PrCN is used, the rate determining step is association of the nitrile. Under these circumstances, we would expect to see and do observe first order in $\text{Ni}(\text{IPr})_2$ and *i*PrCN as well as zero order in IPr and diyne. However, when a smaller nitrile such as MeCN is employed, binding of the nitrile may be fast and irreversible. As such, the new resting state of the catalyst would be $\text{Ni}(\text{IPr})_2(\text{RCN})$ and the rate determining step would be loss of IPr. Our kinetic data fit this scenario. Because formation of the Ni-RCN dimer likely arises from dimerization of $\text{Ni}(\text{IPr})(\text{RCN})$, we anticipate that investigation of the mechanism of Ni-RCN dimer formation will corroborate our mechanistic conclusion.

METAL-FREE CO_2 ACTIVATION^{36,37}

Through our efforts toward understanding the factors that govern the reactivity of imidazolium carboxylates, we are now uniquely positioned to develop carboxylation reactions³⁸ that employ these compounds as reactive intermediates. Our discoveries include the size of the substituent has a dramatic effect on the bond strength between the imidazolidene and the carbon of CO_2 . In fact, these steric factors will often override any electronic effects that may exist. Importantly, we have also found how subtle changes in the substitution pattern of the imidazolium carboxylate can affect more practical physical properties like solubility.



A reaction that we focused our initial efforts is the carboxylation of acetophenone. Although it has been reported that the stoichiometric reaction between IMeCO_2 and acetophenone in the presence of NaBPh_4 affords a β -keto acid,³⁹ this reaction appears to be limited to acetophenone as a substrate (eq 12). We believe further advancement (i.e., increased substrate scope, catalytic use of imidazolium salt in an atmosphere of CO_2 , etc.) of this process hinges on understanding

the mode of reaction for the imidazolylidene carboxylates and embarked on investigating the mechanism of this reaction.

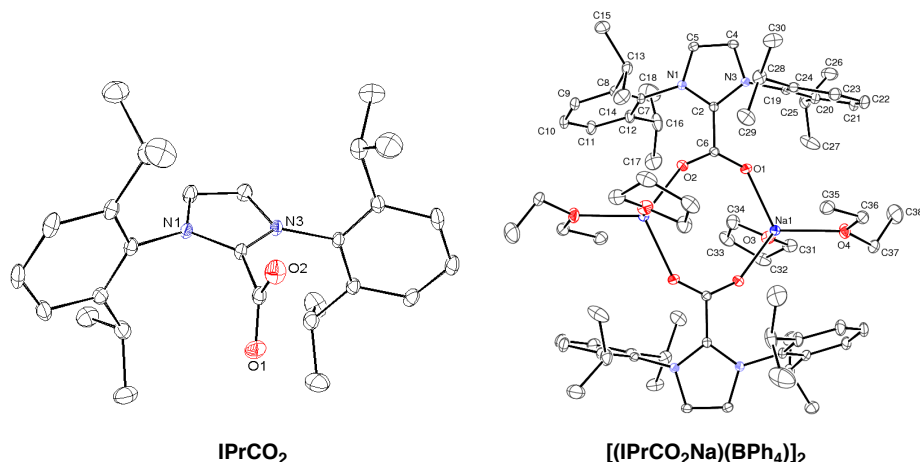
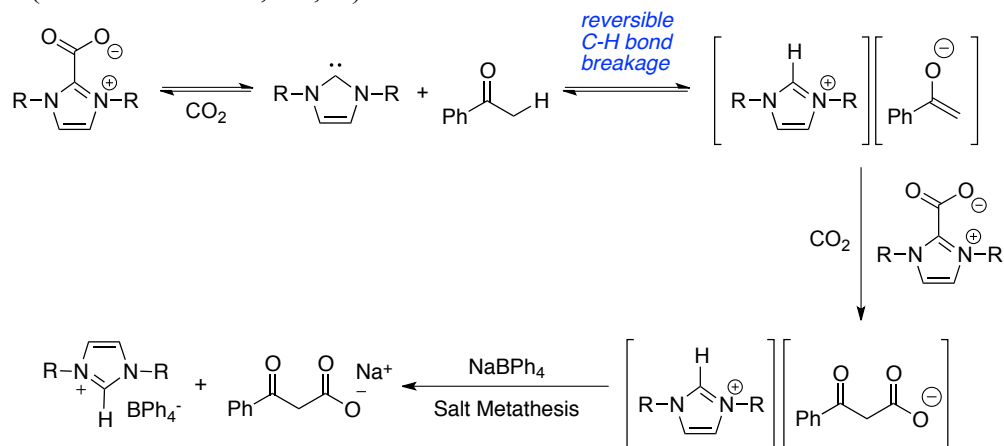


Figure 2. Carboxylate Pitch, (N(3)-C(2)-C(6)-O(2)):
IPrCO₂ = 88°, [(IPrCO₂Na)(BPh₄)]₂ = 151°

We successfully isolated an imidazolylidene carboxylate complex of NaBPh₄ ([IPrCO₂Na)(BPh₄)]₂; this complex carboxylates acetophenone directly. Although [IPrCO₂Na)(BPh₄)]₂ has similar properties to IPrCO₂ (C-C bond length, C=O stretching frequency, decarboxylation temperature), one striking feature stands out – an increase in the carboxylate torsional angle (Figure 2). We have also successfully prepared other metal bound carboxylates (where metal = Li, Na, K).



Despite the isolation of a variety of interesting NHC·CO₂+MBPh₄ complexes, our data suggest that the complexes do not remain aggregated during carboxylation. That is, our kinetic analysis show carboxylation reactions rates that are independent of NaBPh₄ concentration. Our evidence also points away from a mechanism that involves a salt-assisted deprotonation of acetophenone (i.e., enhanced acidity of the α-proton through pre-coordination of the Na⁺ to either the carbonyl or the arene).^{40,41} Thus, the role of NaBPh₄ may be to help bring the NHC·CO₂ into solution such that it is available to react. Indeed, our investigations indicate that the addition of salts to otherwise insoluble NHC·CO₂ compounds led to homogeneous solutions. Given the

propensity of the carboxylated product to undergo spontaneous decarboxylation, the role of the NaBPh₄ may also serve to stabilize the product through ion-pairing. A proposed mechanism that is shown in Scheme 5.

POTENTIAL IMPACT IN SCIENCE & TECHNOLOGIES OF INTEREST TO DOE

Pollution prevention and waste management continue to be profound challenges for modern society. One potential solution to this problem is the development and utilization of ideal reactions for the production of valuable commodities. In an ideal synthetic reaction, raw materials are converted to the desired product with complete selectivity and without any waste products. Only heat, light, and/or a catalyst are necessary to facilitate the reaction. For example, annulations of unsaturated hydrocarbons with CO₂ could efficiently provide oxygen-containing heterocycles, an important structural motif found in numerous economically important and biologically relevant systems. As added benefits, CO₂ is relatively non-toxic, non-flammable, and abundant and a wide range of unsaturated hydrocarbons are already used chemical feed stocks on an industrial scale. Although considerable effort has been directed toward the discovery of new and efficient protocols, activation of CO₂ has yet to reach its full potential.

Our research presents several strategies for addressing the challenges of activating CO₂. In addition, our cycloaddition chemistry addresses several fundamental issues pertaining to catalysis as it applies to energy conservation. Our research was highlighted *twice* last year in *Chemical & Engineering News*.

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