

# Phonon considerations in the reduction of thermal conductivity in phononic crystals

Patrick E. Hopkins, Leslie M. Phinney, Peter T. Rakich, Roy H. Olsson III, Yasser M. Soliman, Charles M. Reinke, Ihab F. El-Kady

Sandia National Laboratories

Albuquerque, NM, USA

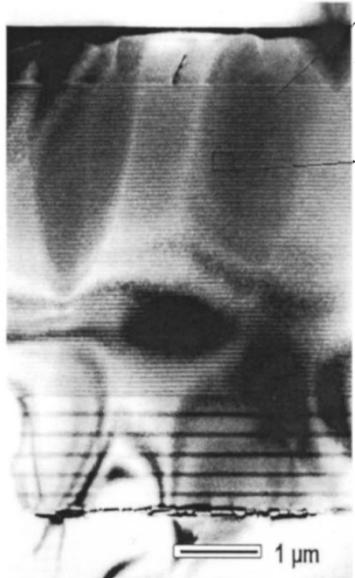
# Controlling thermal conductivity through phonon transport

## Phonon engineering for thermoelectric applications

Thermoelectric  
Figure of Merit

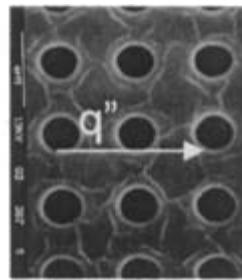
$$Z = \frac{S^2 \sigma}{K}$$

Superlattices



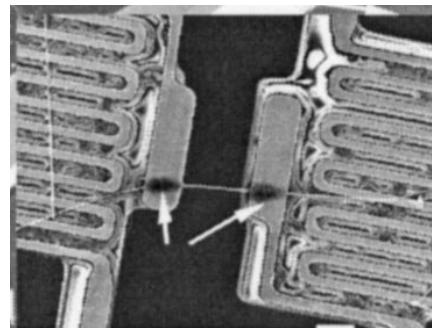
Cahill *et al.* Journal of Heat Trans.  
124, 233 (2002)

Microporous  
materials



Song and Chen, APL  
84, 687 (2004)

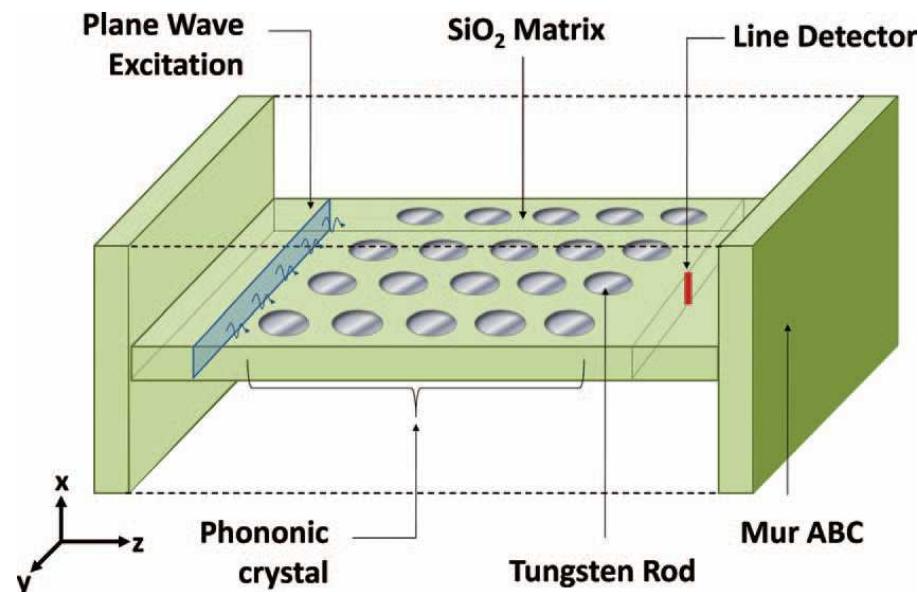
Nanowires



Li *et al.*, APL 83, 2934 (2003)

Recent realization of GHz PnC

Su, Olsson, Leseman, and El-Kady, APL 96, 05311 (2010)



More details in this session at  
15:10 (Roy H. Olsson III)



# Outline

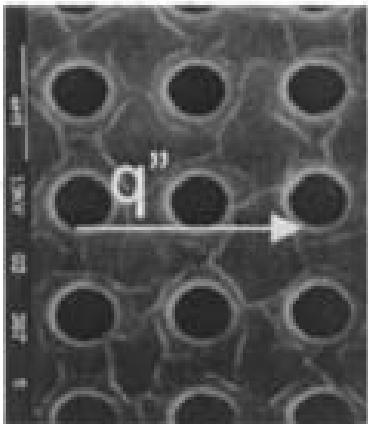
Goal: Study phonon scattering and thermal conductivity reduction in PnC

- Predicting the thermal conductivity in periodically arranged porous solids
- Thermal conductivity reduction in the PnC
- Thermal conductivity measurements of PnC with time-domain thermoreflectance

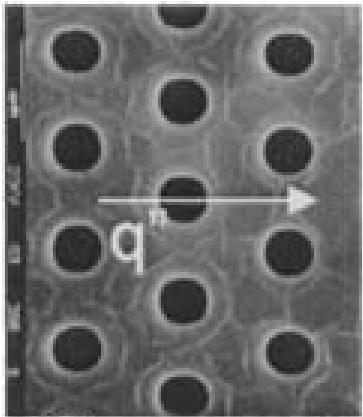


# Thermal conductivity in periodic, porous Si

Aligned

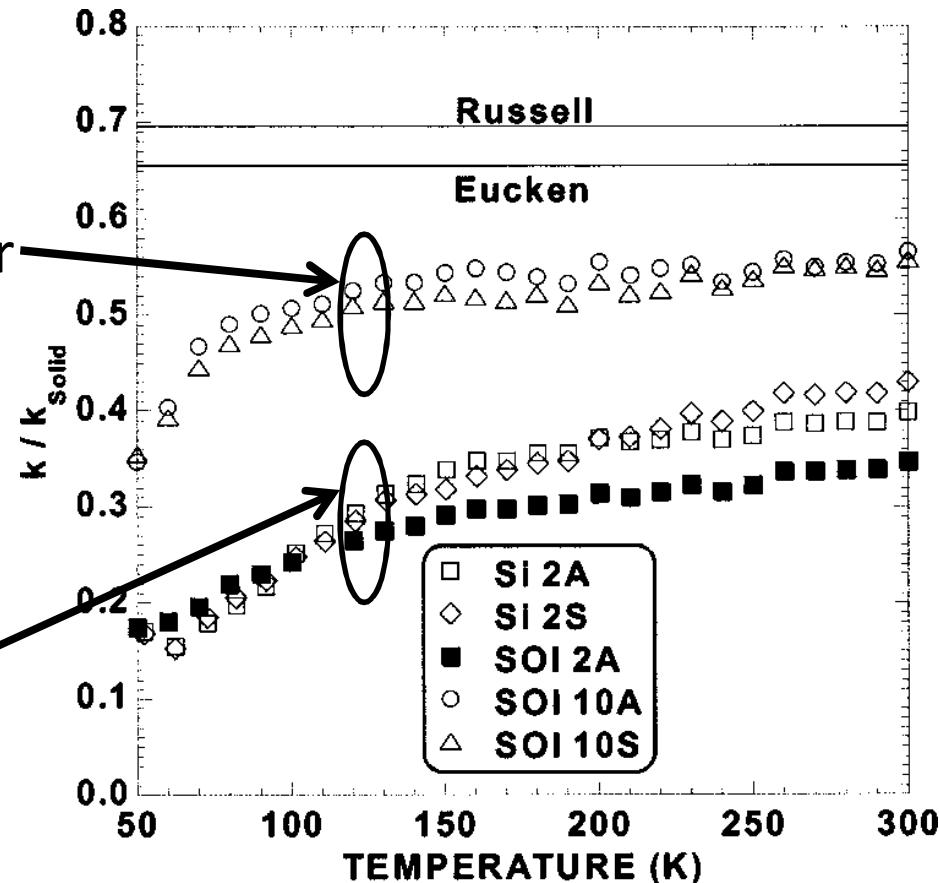


10  $\mu\text{m}$  diameter



Staggered

Song and Chen, APL **84**, 687 (2004)



How can we model this thermal conductivity reduction?

# Thermal conductivity in bulk Si

## Thermal conductivity

$$\kappa = \frac{1}{6\pi} \sum_j \int_q \frac{\hbar^2 \omega_j^2(q)}{k_B T^2} \frac{\exp\left[\frac{\hbar\omega_j(q)}{k_B T}\right]}{\left(\exp\left[\frac{\hbar\omega_j(q)}{k_B T}\right] - 1\right)^2} v_j^2(q) \tau_j(q) q^2 dq$$

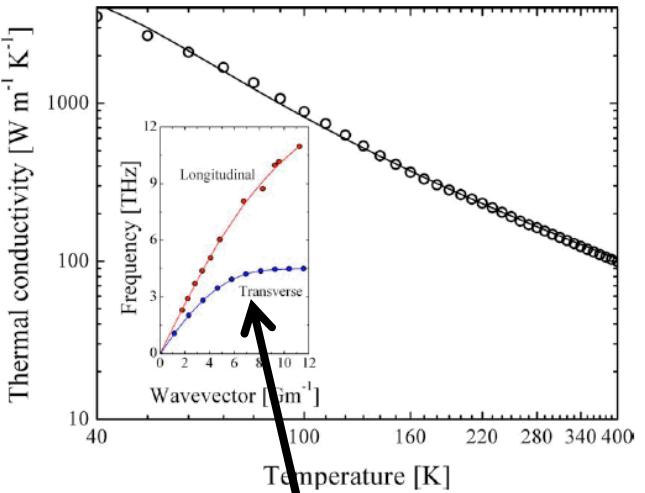
Fit thermal conductivity model to experimentally measured  $\kappa$  on bulk Si to determine  $\tau$  (A,B,C, and E)

## Scattering time

$$\frac{1}{\tau_j(q)} = \frac{1}{\tau_{\text{Umklapp},j}} + \frac{1}{\tau_{\text{impurity},j}} + \frac{1}{\tau_{\text{boundary},j}}$$

$$\frac{1}{\tau_{\text{Umklapp},j}} = BT\omega_j^2(q) e^{\frac{C}{T}}$$

$$\frac{1}{\tau_{\text{Impurity},j}} = A\omega_j^4(q) \quad \frac{1}{\tau_{\text{Boundary},j}} = \frac{\partial\omega_j(q)}{\partial q} \frac{1}{E}$$



Fit polynomial to measured dispersion in bulk Si

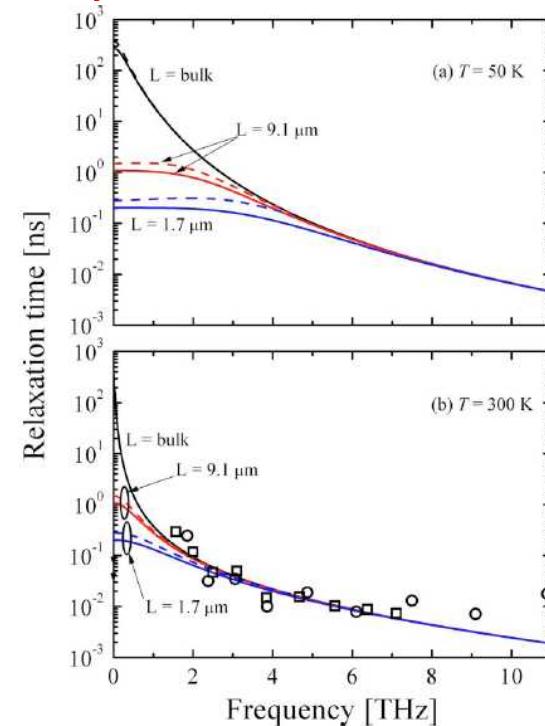
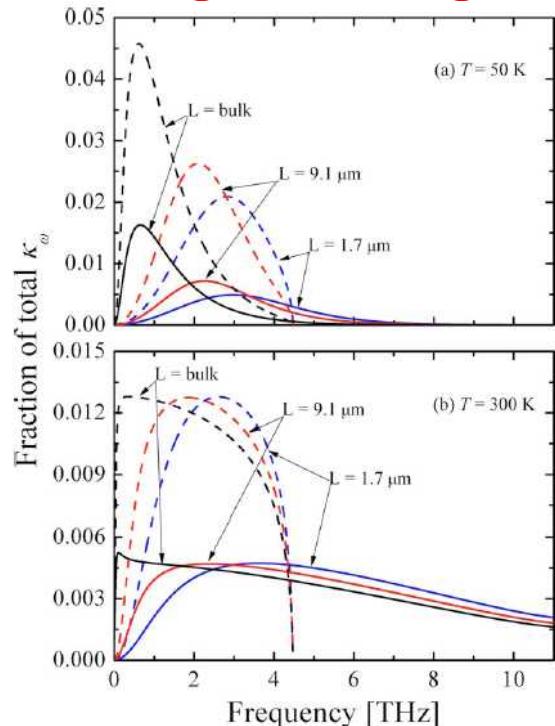
# Origin of reduction of $\kappa$ in microporous Si

Scattering at pore boundaries

$$\frac{1}{\tau_{Boundary,j}} = \frac{\partial \omega_j(q)}{\partial q} \frac{1}{L}$$

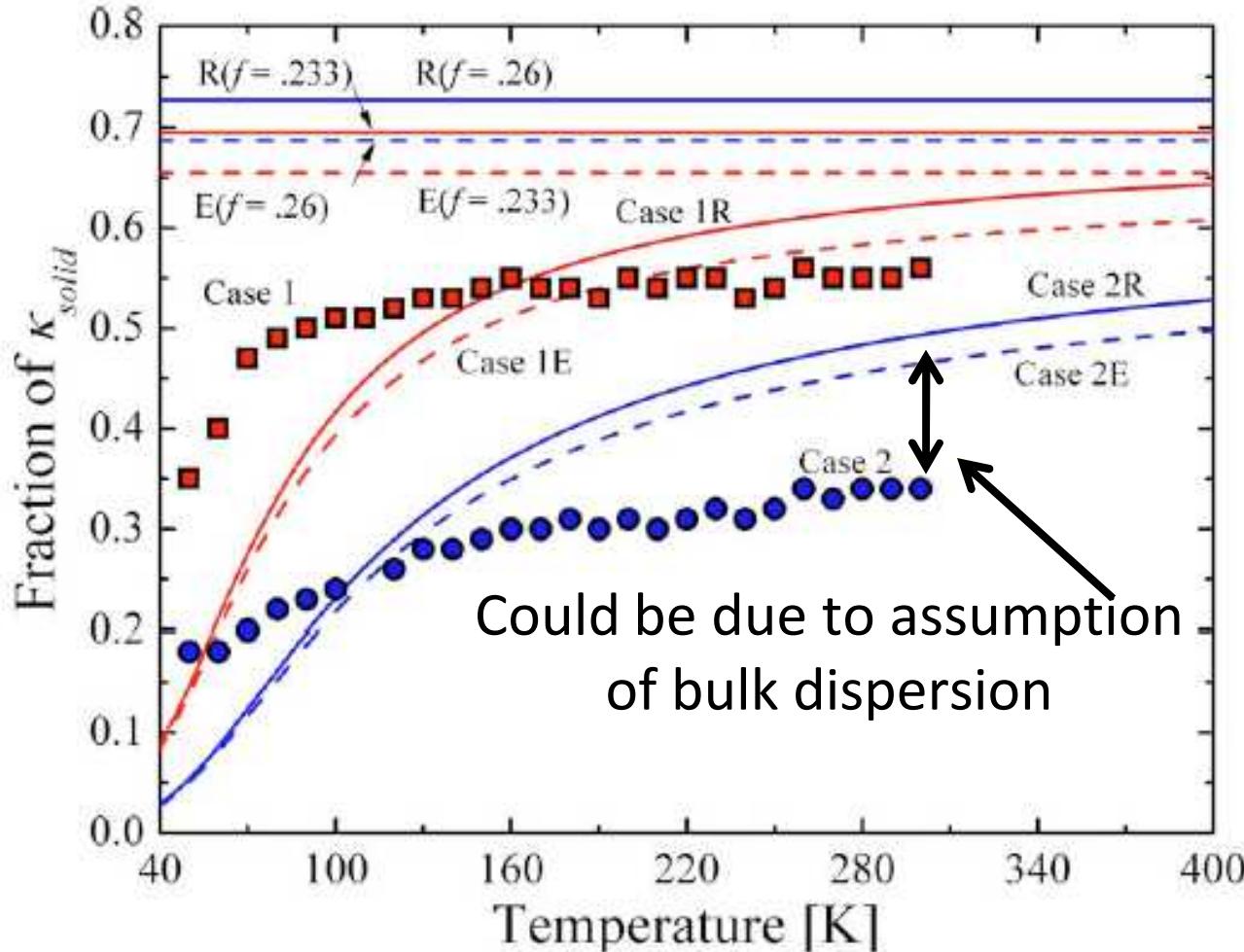
Pore-edge to pore-edge spacing

Long wavelength modes scattered by boundaries



# Predicting $\kappa$ in microporous Si

Porosity accounted for by “Eucken” and “Russel” models



“E” model

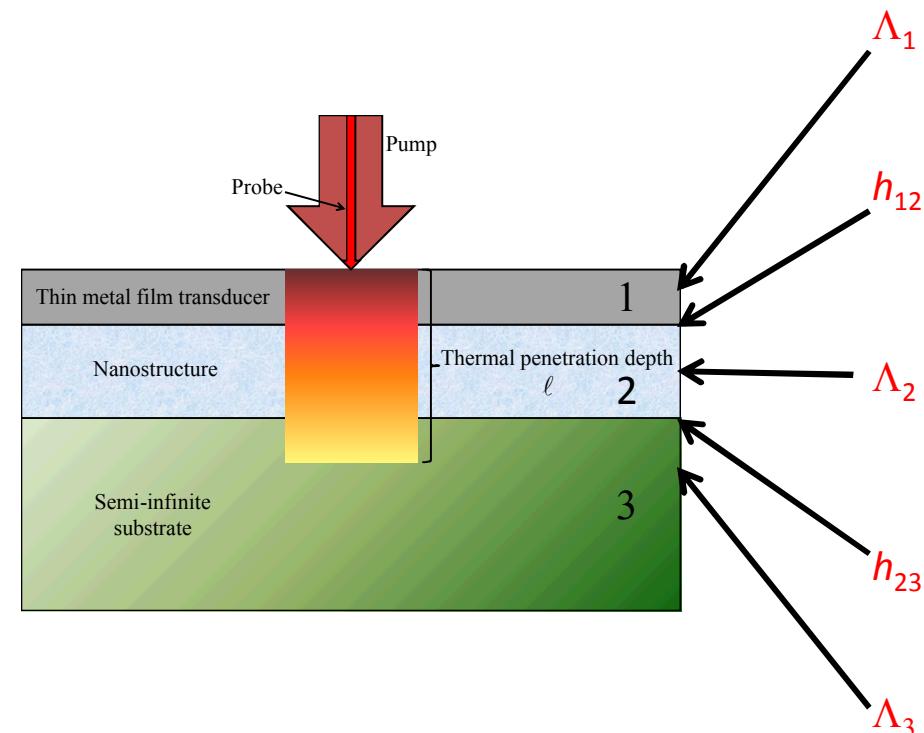
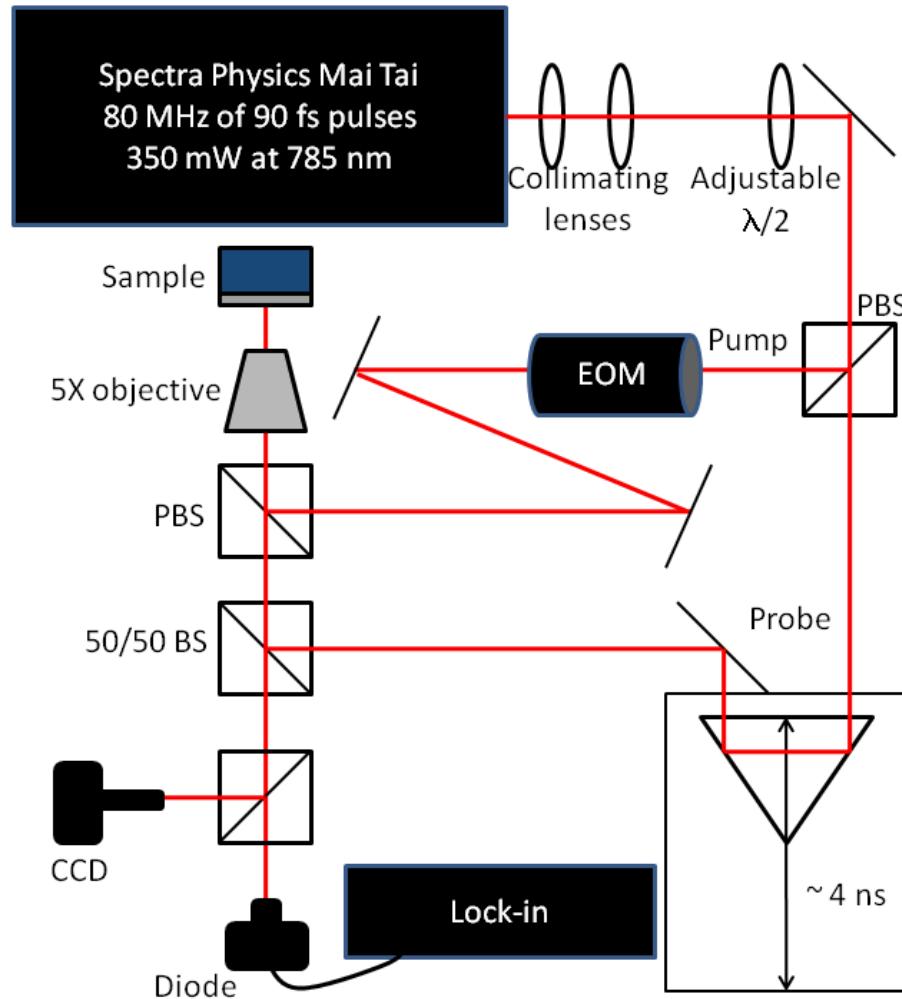
$$\frac{\kappa_{porous}}{\kappa_{solid}} = \frac{1-p}{1+\frac{p}{2}}$$

“R” model

$$\frac{\kappa_{porous}}{\kappa_{solid}} = \frac{1-p^{\frac{2}{3}}}{1-p^{\frac{2}{3}}+p}$$

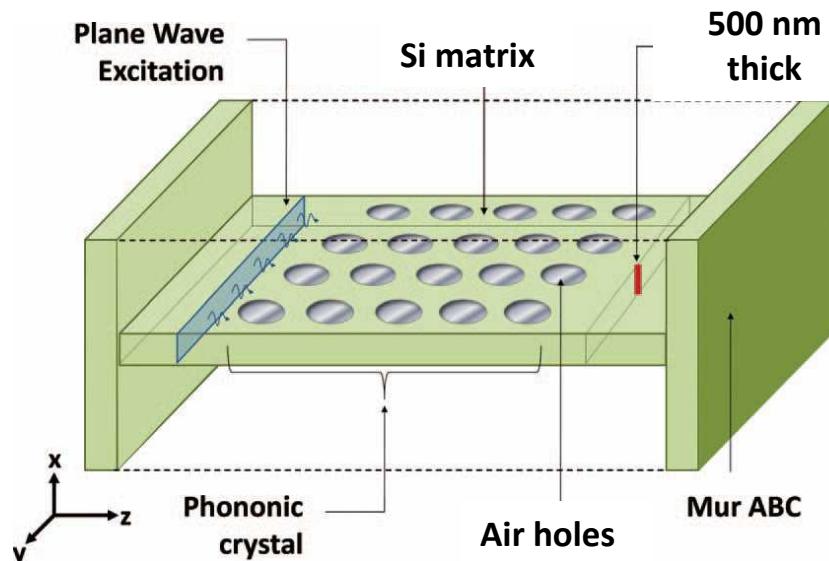
Consider bulk approach as “maximum”  $\kappa$ , or smallest reduction in  $\kappa$

# Time-domain thermoreflectance



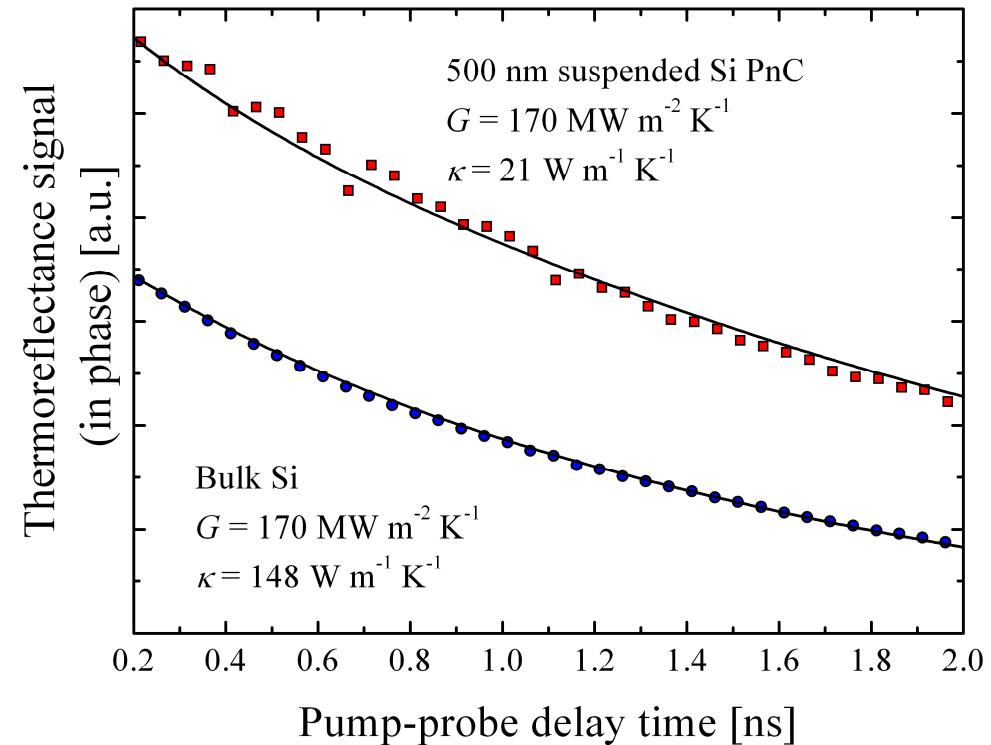
# Measurement of $\kappa$ of the PnC

Thermal conductivity measurements on a 500 nm thick suspended PnC structure



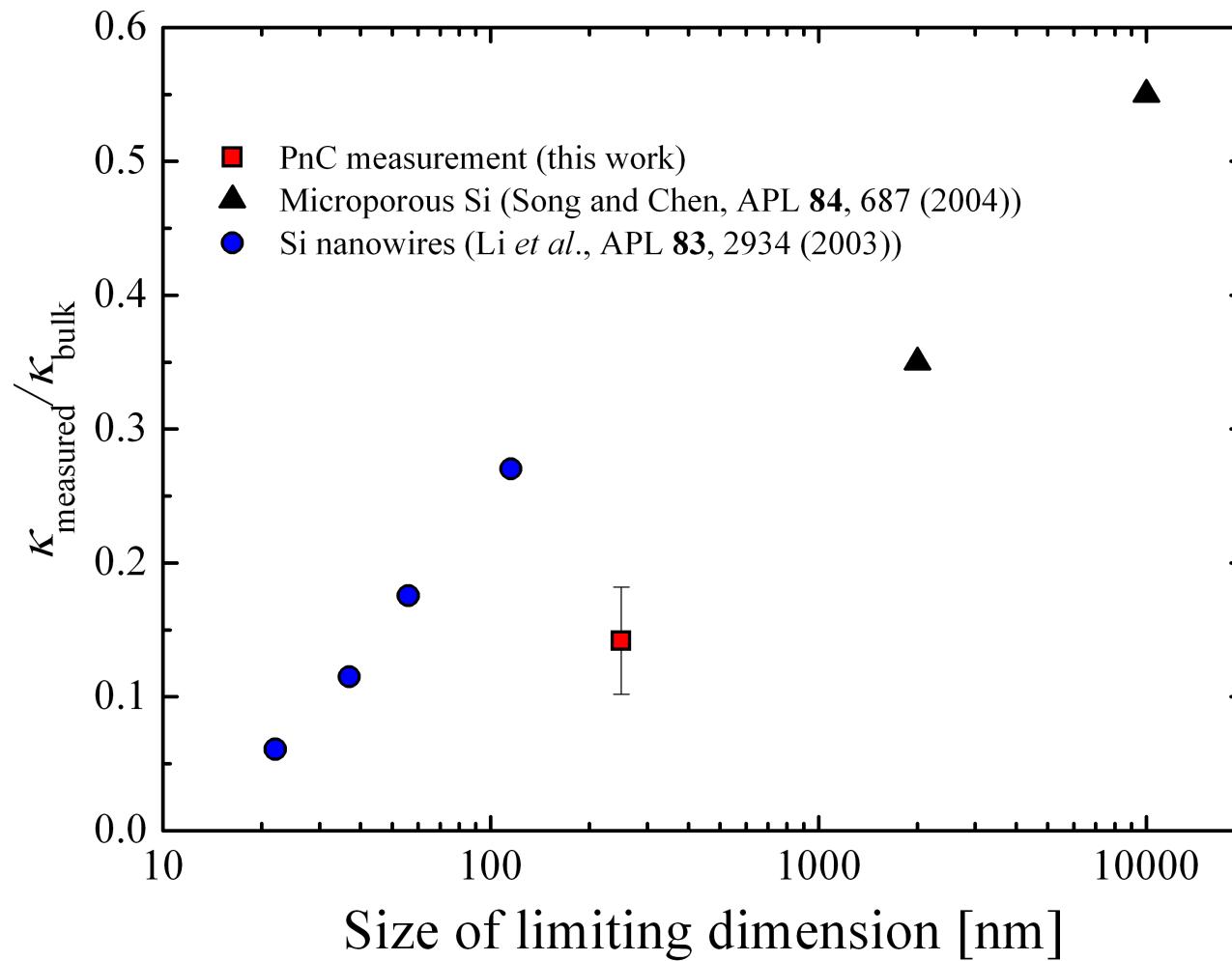
$$\frac{r}{a} = 0.32 \quad a = 700 \text{ nm}$$

$$L = 250 \text{ nm}$$





# Phonon engineering of $\kappa$ in nanostuctures





# Conclusions

Goal: Study phonon scattering and thermal conductivity reduction in PnC

- Reduction in thermal conductivity of microporous, periodic solids due to long wavelength phonon scattering
- PnC further reduces thermal conductivity through wave interference of phonon modes in periodic lattice
- TDTR experiments show reduced thermal conductivity in PnC beyond that from boundary scattering considerations

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