

# Facility Clearance Program

**FSO Conference**

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# Topics

- Elements of a Facility Clearance
- Prime Contractor/Subcontractor Relationships
- Reporting Changes
- Contract Expiration
- Keeping a Facility Clearance Active

# Elements of a Facility Clearance

- Contract requiring personnel clearances for access to classified information or unescorted area access
- Key Management Personnel (KMP) security clearances
- Facility Security Officer (FSO) designation and training
- Security plan
- Initial/periodic survey or inspection (possessors only)
- Favorable Foreign Ownership, Control or Influence (FOCI) determination including all tier parent entities
- Approved Facility Data and Approval Record (FDAR)

# Prime Contractor/Subcontractor Relationship

- Flow-down of Security Requirements in Subcontract
  - Incorporate DEAR Clauses 952.204-2 and 952.204-70
  - [http://management.energy.gov/policy\\_guidance/637.htm](http://management.energy.gov/policy_guidance/637.htm)
- Subcontractors must have own Facility Clearance
  - CSCS form for each Subcontractor (prepared by Sandia Delegated Representative; registered by our office)
  - Personnel Clearances must be tied to Subcontractor's facility clearance
  - Prime Contractor receives copies of Subcontractor's CSCS forms

# Contract Expiration

- There must be at least one active contract requiring clearances to keep the facility clearance active. Don't let the last contract expire before another contract is in place, if you're expecting a new contract.
  - Assess the needs of each contract – transfer clearances
  - Personnel clearances will be terminated within 2 days of expiration
  - Facility clearance termination process will commence upon expiration of contract

# Reporting Changes

- Report to Facility Clearance Team (as far in advance as possible)
  - Merger/Buyout
    - **If sale occurs without prior notification, your facility clearance and all personnel clearances will be immediately terminated**
  - Company Structure Change
  - FOCI Change
  - KMP Change (to include FSO)
    - New KMP must be clearable
    - New FSO training, new security plan
  - Simple Name Change
  - Address/Phone/e-Mail Change
- Formally report in e-FOCI (e-FCL under DoD cognizance)

# Keeping a Facility Clearance Active

- Some Reasons for a Facility Clearance in Jeopardy
  - Non-compliance with reporting requirements
  - KMP not cleared
  - Failure to comply with personnel security requests
  - Failure to flow-down security requirements to subcontractors
  - Unsatisfactory survey results (possessors)
- Suspension of a Facility Clearance
  - Contract and badge extensions are handled on a case-by-case basis
  - No new classified contracts or personnel clearances
- Termination of a Facility Clearance
  - All classified work stops
  - All personnel clearances are terminated

**JEPARDY!**



# JEOPARDY

FORMS	REPORTING REQUIRE- MENTS	FSO RESPONSI- BILITIES	DEFINE THIS!	JUST FOR FUN
<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>\$200</u>	<u>\$200</u>	<u>\$200</u>	<u>\$200</u>	<u>\$200</u>
<u>\$300</u>	<u>\$300</u>	<u>\$300</u>	<u>\$300</u>	<u>\$300</u>
<u>\$400</u>	<u>\$400</u>	<u>\$400</u>	<u>\$400</u>	<u>\$400</u>

# FINAL JEOPARDY

Nuclear explosions in film



# FINAL JEOPARDY

Answer: A Strategic Air Command B-52 bomber was featured in this Stanley Kubrick film.

What is *Dr. Strangelove or: How I Learned to Stop Worrying and Love the Bomb?*

This has been a production  
of Sandia National  
Laboratories Facility  
Clearance Program

\$100

# FORMS

Answer: This Form describes your company's data and security clearance approval.

?

What is the *FDAR*?

\$200

# FORMS

Answer: This Form outlines the security requirements of the contract.

?

What is the *CSCS*?

\$300

# FORMS

**Answer:** Formerly known as OODEP, this form lists the company's management officers to include the FSO.

**?**

What is the *KMP*  
*Listing*?

\$400

# FORMS

**Answer:** This form is executed for an initial facility clearance or re-executed whenever there is a significant change in foreign ownership control, or influence within your company.

?

What is the *SF328*  
(*Certificate Pertaining  
to Foreign Interests*)?



\$100

# REPORTING REQUIREMENTS

Answer: All initial, five-year and significant change reporting must be submitted through this website.

**?**

What is *e-FOCI* / *e-FCL*?

\$200

# REPORTING REQUIREMENTS

**Answer:** Changes in your company name, address, structure, ownership, foreign involvement, and KMP (including FSO) are examples of this.

?

What is *Significant Change*?

\$300

## REPORTING REQUIREMENTS

**Answer:** The FSO must notify these people when a subcontractor needs personnel clearances so that a CSCS form can be prepared.

?

What are the *SCR* (*buyer*) and *SDR* (*requestor*)?

\$400

## REPORTING REQUIREMENTS

Answer: The FSO must notify the SCR and SDR when these are no longer required to perform on the contract.

? What are *personnel clearances*?

\$100

# FSO RESPONSIBILITIES

**Answer:** If your company has a subcontractor that needs clearance for its employees, the subcontractor must have one of these.

**?**

What is a *Facility Clearance*?

\$200

# FSO RESPONSIBILITIES

**Answer:** This person must be an employee of the company, appointed in writing and cleared to the same level as the company.

?

What is the *Facility Security Officer (FSO)*?

\$300

# FSO RESPONSIBILITIES

Answer: When a new FSO is appointed at your company, this list must be updated through e-FOCI/e-FCL.

?

What is the *KMP* listing?

\$400

# FSO RESPONSIBILITIES

**Answer:** The FSO is responsible for completing and returning this form at the conclusion of a “possessing” contract.

?

What is a *Certificate of Non-Possession (CNP)*?



\$100

# DEFINE THIS!

Answer: This process is established between DOE and DoD to avoid duplication of clearance.

?

What is *Reciprocity*?

\$200

# DEFINE THIS!

Answer: A contractor company that stores classified matter at its facility (reflected in Box 10b of the CSCS).

?

What is *A*  
*possessing facility*?

# DEFINE THIS!

**Answer:** Designed to ensure that companies with a Facility Clearance are periodically evaluated to determine the Facility Clearance is still valid.

**?**

**What is *5 year recertification*?**

\$400

# DEFINE THIS!

**Answer:** A contractor company that may access classified matter at SNL or other approved possessing facilities at SNL's direction, but is not authorized to store classified matter at its own facility.

**?**

What is *A non-possessing facility*?

\$100

# JUST FOR FUN

Answer: He was the only U.S. President never elected to the office of President or Vice President.

?

Who is *Gerald R. Ford*?

\$200

# JUST FOR FUN

Answer: This state capitol is the oldest  
in the United States .

?

What is *Santa Fe*?

\$300

# JUST FOR FUN

Answer: Norma Jean Baker.

?

Who is *Marilyn*  
*Monroe*?

\$400

# JUST FOR FUN

**Answer:** This comic book superhero, who derived his super powers from a dose of gamma radiation, was also featured in a 1970s television show and a 2008 feature film.

**?**

Who is *The Hulk*?



**DAILY**

**DOUBLE**