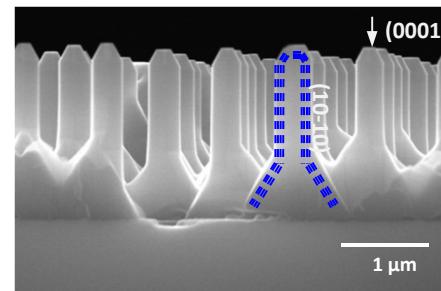


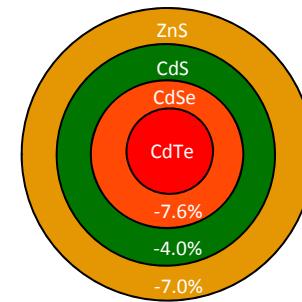
# SSLS EFRC Research Challenges

Materials Architectures

**1: Nanowires  
(George Wang)**



**2: Quantum Dots &  
Phosphors (Jim Martin)**



Light Emission  
Phenomena

**3: Competing Rad & Non-Rad Processes (Mary Crawford)**

$$\mathcal{E} = \mathcal{E}_{Joule} \cdot \mathcal{E}_{inj} \cdot \frac{BN^2}{AN + BN^2 + CN^3 + \dots} \cdot \mathcal{E}_{ext}$$

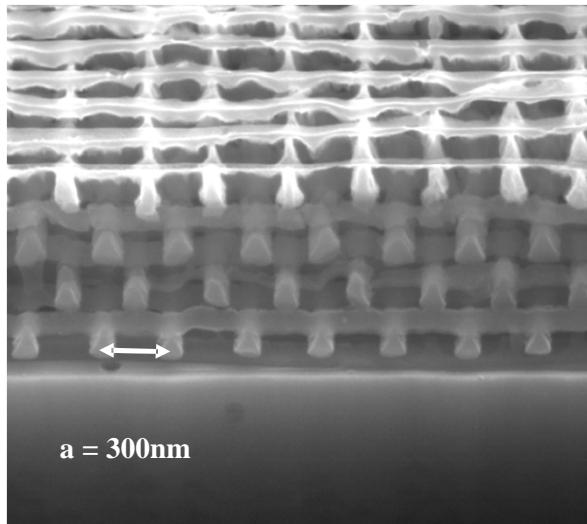
**4: Defect-Carrier Interactions  
(Andy Armstrong)**

**5: Enhanced Spontaneous Emission  
(Igal Brener)**

**6: Beyond Spontaneous Emission  
(Art Fischer)**

# Research Challenge 5: Enhanced Spontaneous Emission

*Develop Photonic Approaches for Ultra-high Efficiency Solid State Lighting*



Igal Brener\*, Willie Luk\*, Ganapathi Subramania\*,  
Eric Shaner, Weng Chow\* and Jeremy Wright\*  
*Sandia National Labs*

Steve Brueck \*  
*University of New Mexico*

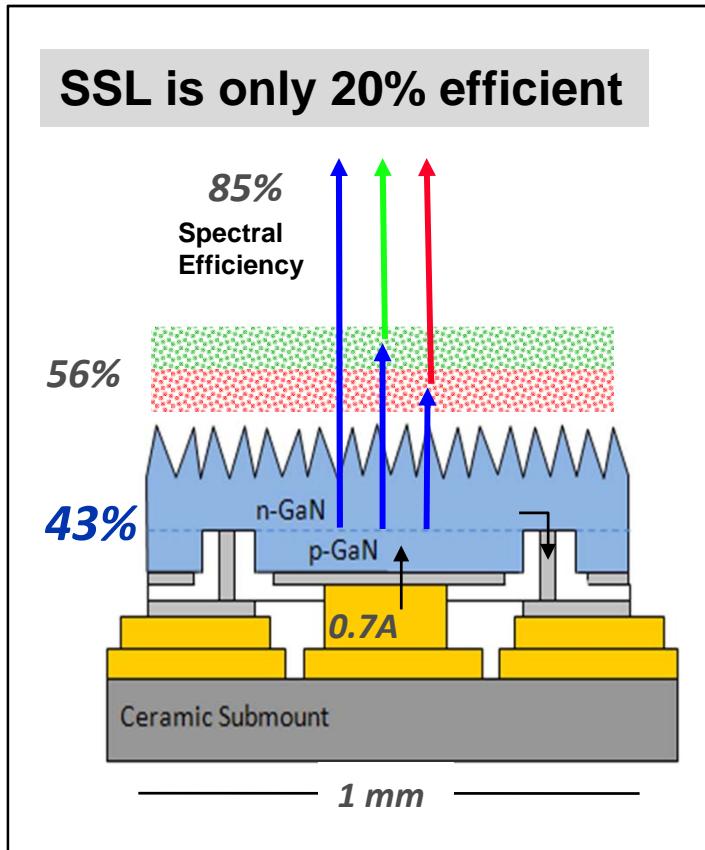
S. Ken Lyo  
*UC Irvine*

**\*Participants in other Research Challenges**

Work at Sandia National Laboratories was supported by Sandia's Solid-State-Lighting Science Energy Frontier Research Center, funded by the U.S. Department of Energy, Office of Basic Energy Sciences. Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.

# Motivation

## State of the art white light emitter



- Spontaneous emission can be enhanced through modification of the environment (photonic density of states)
- Absorption can be enhanced by cavity effects or field concentration
- Opportunities for efficiency improvements:
  - At the device level
  - At the phosphor/down-converter level

# Radiative Rate and its Enhancement

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- Radiative rate  $\Gamma_r$  of an emitter is given by the Fermi Golden rule

$$\Gamma_r \propto \frac{\omega \mu^2}{\epsilon_0 \hbar} \rho_L(r, \omega)$$

$\mu$  is the dipole moment;  $\rho_L(r, \omega)$  is local photonic density of states (PDOS)

- Thus, radiative rate of the emitter can be enhanced by modifying local Photonic Density of States
- Enhancement of Photonic Density of States in a electromagnetic cavity was proposed by Purcell in 1946 (Q-cavity quality factor,  $V_m$  -mode volume)

$$F_p = \frac{3}{4\pi^2} \frac{Q}{V_m} \frac{\lambda^3}{n_{eff}^3}$$

Structures based on photonic crystals, plasmonics or metamaterials can be utilized to achieve this.

# Outline

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## I. Highlights of Work

- A. Photonic Crystal Control of Photonic Density of States and Spontaneous Emission (2D & 3D) \***
- B. Control of Field Enhancement and Spontaneous Emission Using Plasmonic Approaches**

**\*Poster Presentation: W. Luk**

## II. Future Work

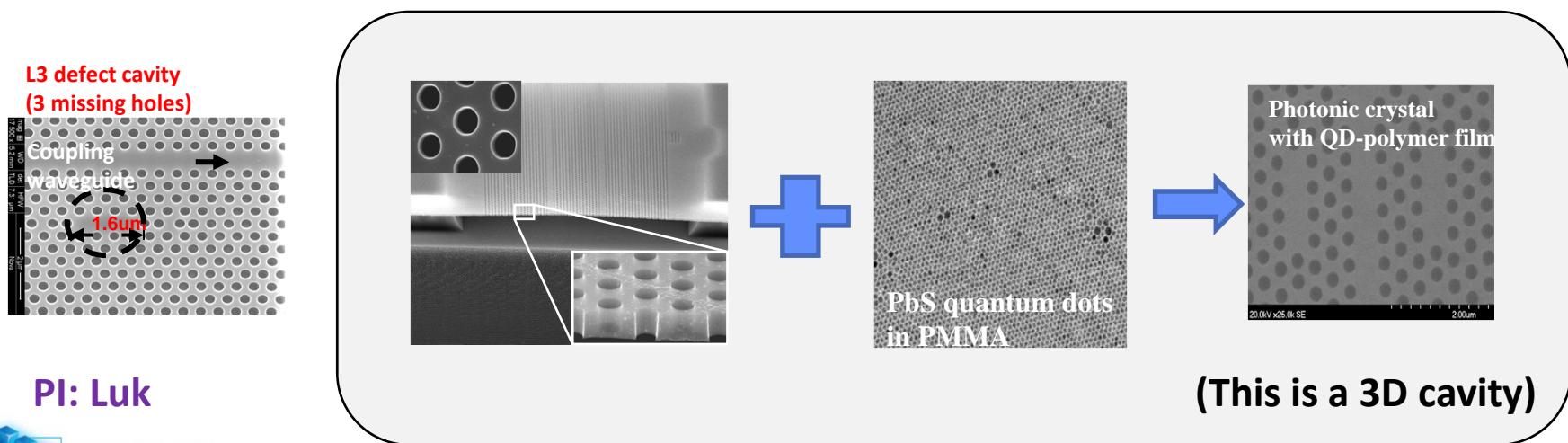
- A. 2D Photonic Crystals using Nanowire Arrays\***
- B. Plasmonic approaches for efficient electrically injected red LEDs**
- C. Nanoantennas and Nanowires**

**\*Poster Presentation: J. Wright**

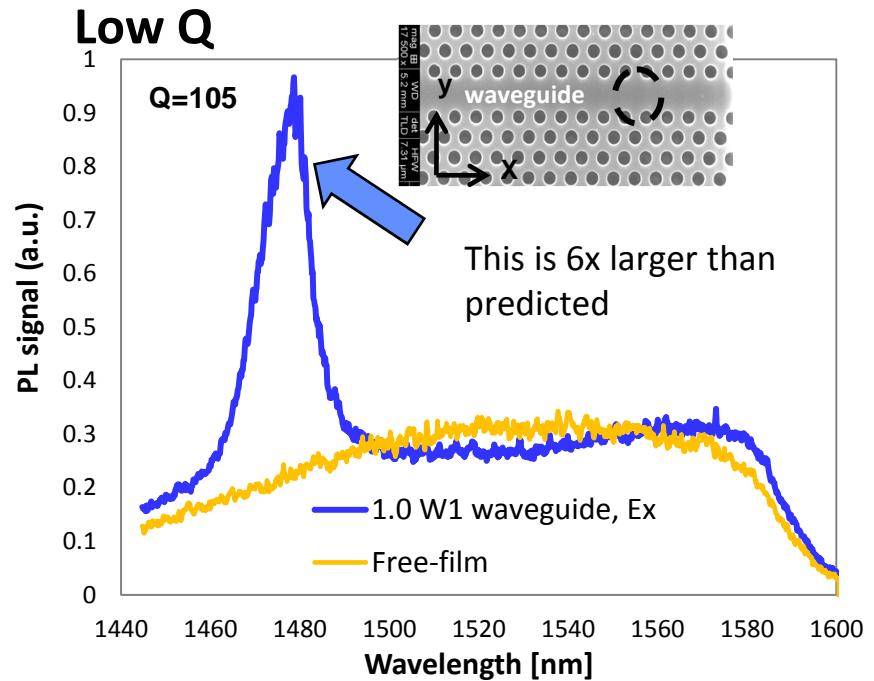
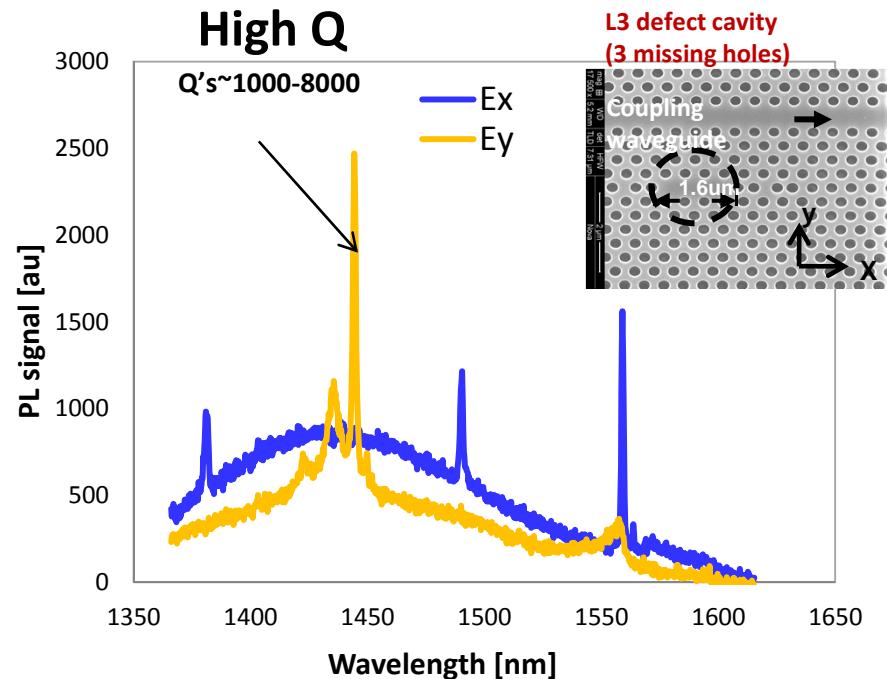
# Highlight: 2D Photonic Crystal for Spontaneous Emission Enhancement

**Motivation: Understand spontaneous emission enhancement for QD emitters in the weak coupling regime and with many body effects**

- More realistic scenario: emitter linewidths broader than cavity linewidth  
(How high cavity Q is needed for maximum Sp. Emission enhancement?)
- This is relevant to enhancement of emission in QD down converters.
- We choose 2D Photonic Crystals (Silicon) and Near IR QDs as a good model system: ease of fabrication



# Anomalous Enhancement Observed from Close-Packed Quantum Dots

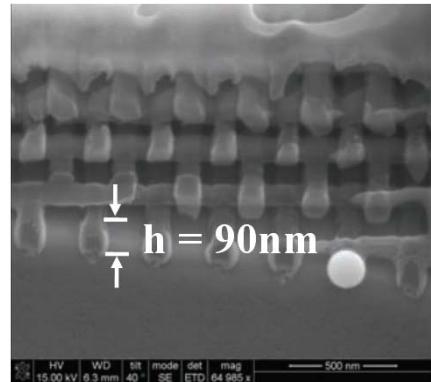
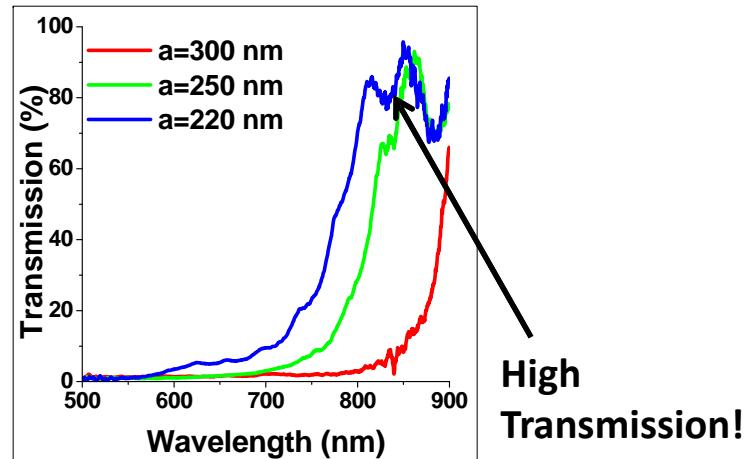
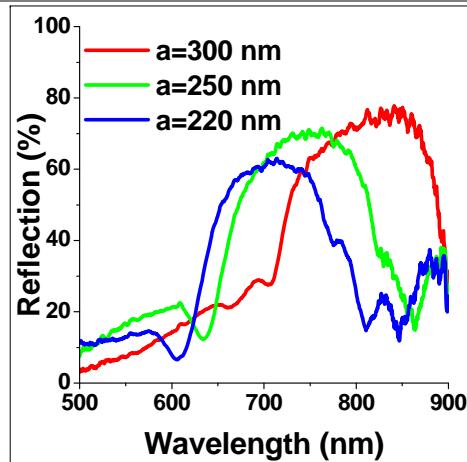
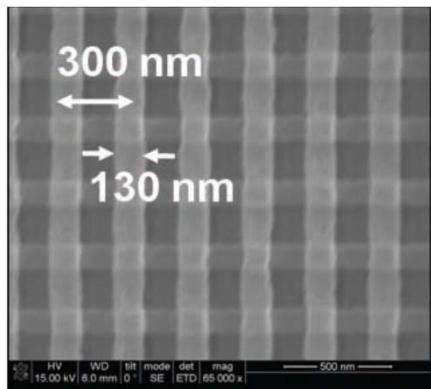


## Results:

1. Both low and high Q cavities display an enhancement 5-6x larger than what it should be (dielectric, Purcell, angular redistribution, etc).
2. Speculation: spectral diffusion and/or Forster process could play a role not accounted for in Fermi's golden rule.
3. Conclusion: high density of emitters relaxes requirement for high Q cavity

# Highlight: Silicon Logpile 3D Photonic Crystals for the Visible

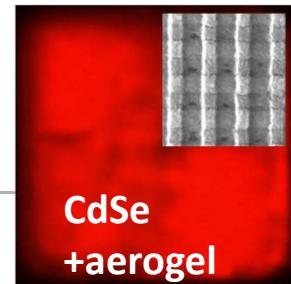
Top View



Cross-section View

Advanced Materials 22, 4180 (2010)

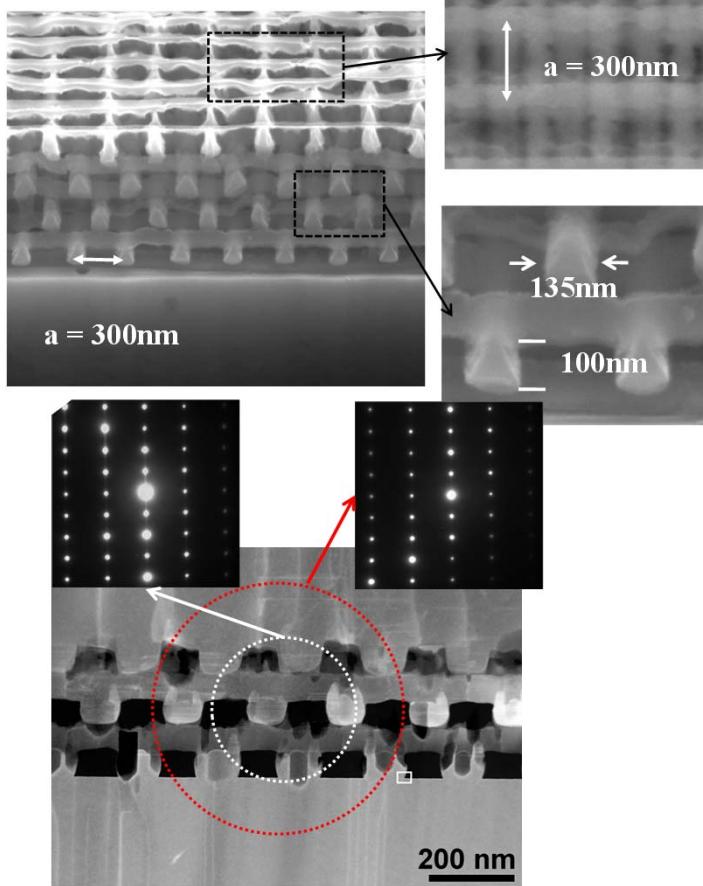
- Silicon's large refractive index ( $n \sim 3.4$ ) provides strong photonic confinement (large bandgap, enhanced PDOS)
- Its near infrared absorption ( $\sim 1100\text{nm}$ ) edge has been a discouragement for use in visible 3DPC.
- **This work demonstrates that 3D PhCs composed of Si can operate for wavelengths shorter than its absorption edge and with minimal loss**



Challenge 5-

# Highlight: GaN Logpile 3D Photonic Crystals for the Visible

## Crystalline & Epitaxial

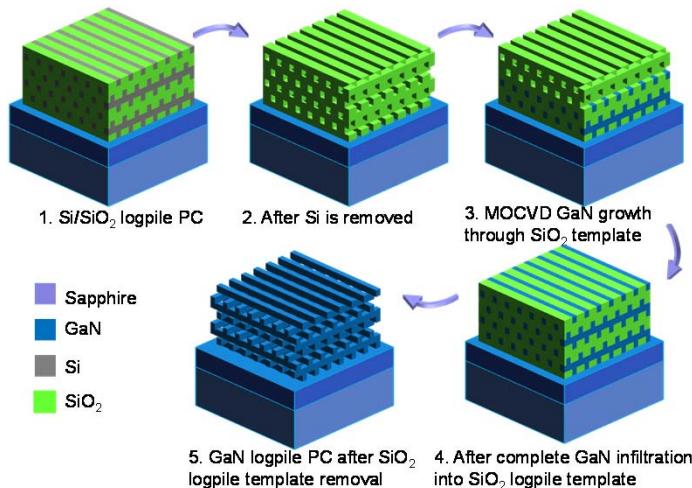


Nano Letters 11, 4591 (2011).

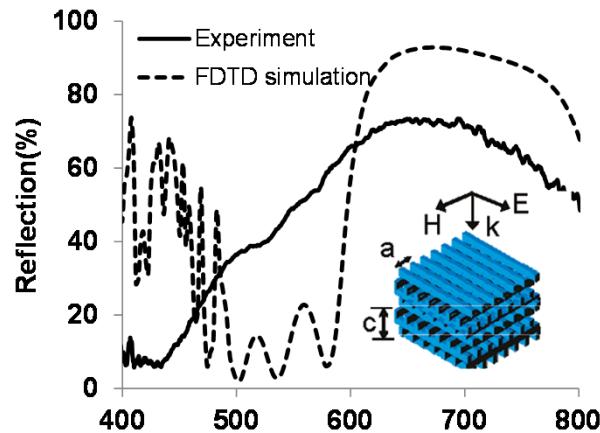
PI: Subramania



Igal Brener



## Normal Incidence Optical Response



This could be used to control light emission of nitride LEDs

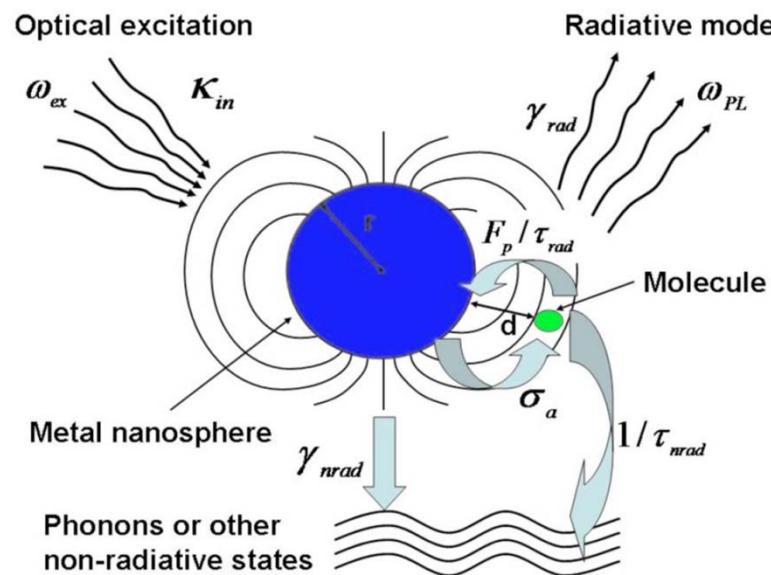
Challenge 5-

9/20



# Plasmonic Approaches for Enhanced Emission

Emitters coupled to Plasmons have been studied and used for decades as a viable way to enhance radiative rate. For ex: dye molecules on top of metallic surfaces, etc.



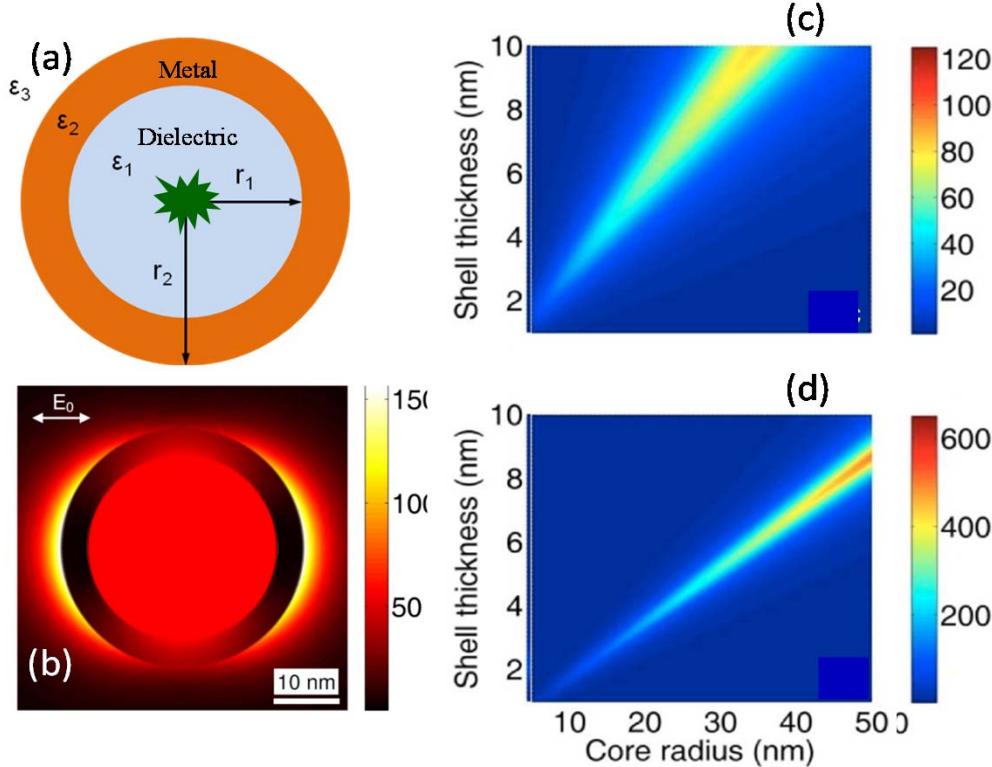
(Lakowicz)

Control of Emission:  
Purcell effect, PDOS

Control of Absorption:  
Optical Field Enhancement

# Highlight: Enhancement of Emitters using Plasmonic Core-Shell Nanoparticles

An emitter encapsulated in a dielectric/metallic core/shell nanoparticle



JOSA B 27, 1561 (2010)

## Advantages of this structure

- Insensitive to emitter placement
- Circumvent the need for spacer layer
- Emitter protected from environment

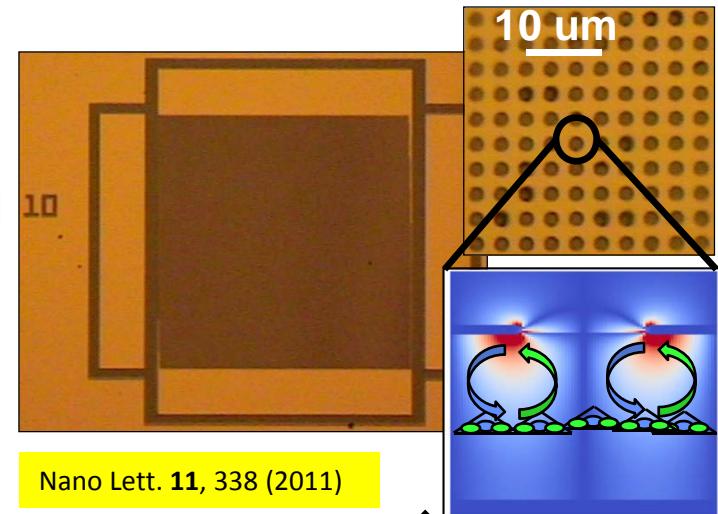
## Results:

- Optimal shell thickness  $\sim 1/3$  of the inner radius
- QY can reach 0.5-0.6

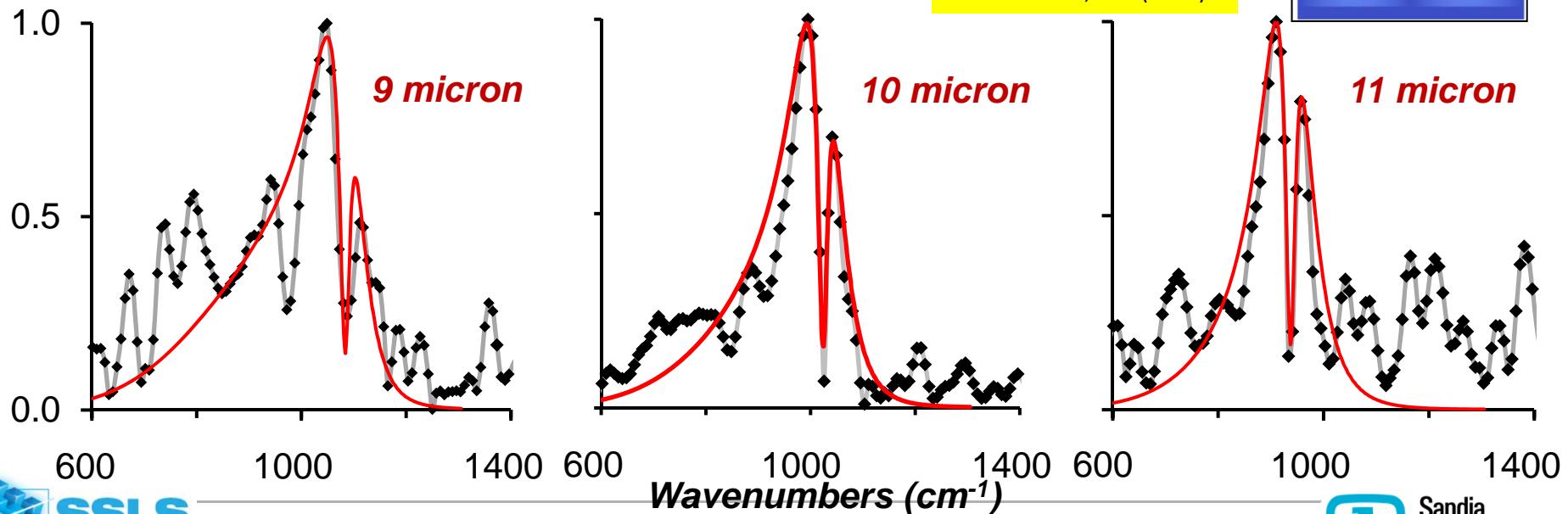
PIs: Brener&Luk

# Highlight: Strong Coupling between QDs and Plasmons

- Investigated coupling between plasmonic geometries and electrically pumped epitaxial quantum dots.
- Observed strong coupling effects in splitting of electroluminescence from devices which was described by plasmon field driving Rabi oscillations in quantum dots.
- Strong coupling effects were observable despite large inhomogeneous broadening of material system.



Nano Lett. 11, 338 (2011)



# Summary: Selected Research Highlights

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- Surprising emission enhancement when high density of broad-luminescence QDs are coupled to 2D Photonic Crystals: relaxation of high Q cavity requirement
- First demonstration of 3D logpile Si & GaN Photonic Crystals working in the visible
- Core shell plasmonic nanoparticles provide a new platform for bright emitters
- Demonstrated strong coupling between *electrically injected* QDs and plasmonic resonances

# Publications and Presentations

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- **Publications (published, accepted or submitted): 9**

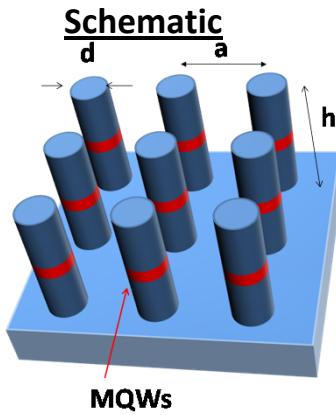
- **Selected:**

- “Gallium Nitride Based Logpile Photonic Crystals”, *Nano Lett.* 11, 4591(2011).
- “Observation of Rabi Splitting from Surface Plasmon Coupled Conduction State Transitions in Electrically Excited InAs Quantum Dots”, *Nano Lett.* 11, 338 (2011).
- “Nanocomposite Plasmonic Fluorescence Emitters With Core/Shell Configurations, *J. Opt. Soc. Am. B*, 27, 1561 (2010).
- “Energy transfer from an electron-hole plasma layer to a quantum well in semiconductor structures”, *Phys. Rev. B* 81, 115303 (2010).
- “Strong Coupling between Nanoscale Metamaterials and Phonons”, *Nano Lett.* 11, 2104 (2011)
- “Anomalous enhanced emission from PbS quantum dots on a photonic-crystal microcavity”, *J. Opt. Soc. Am. B* 28, 1365 (2011).

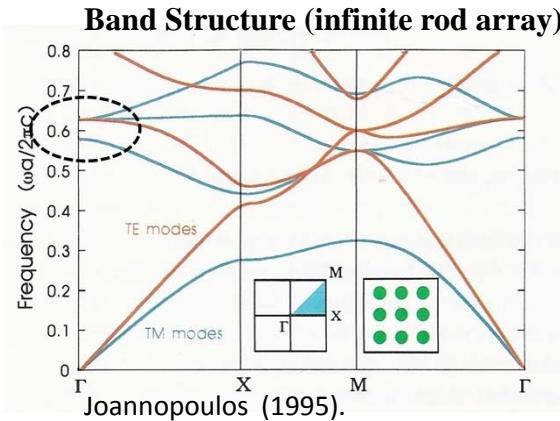
- **Invited Presentations: 23**

# Future Work:

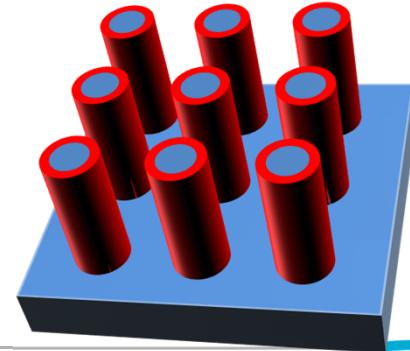
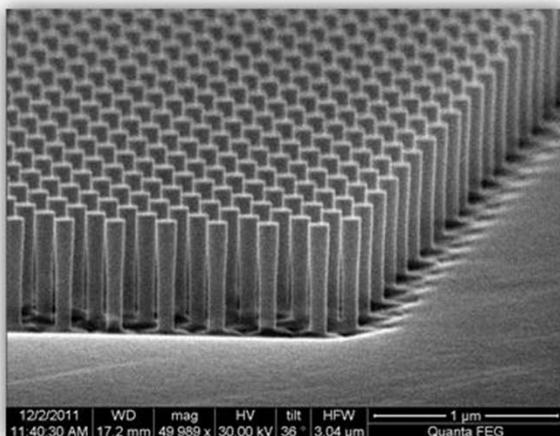
## Nanowire Arrays 2D Photonic Crystals



Top down NWs are excellent emitters. Use 2D Photonic Crystals to suppress in-plane emission and thus enhance vertical emission



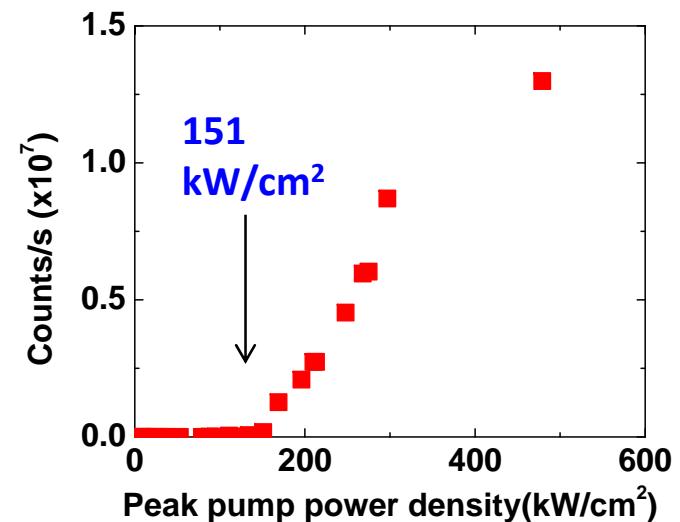
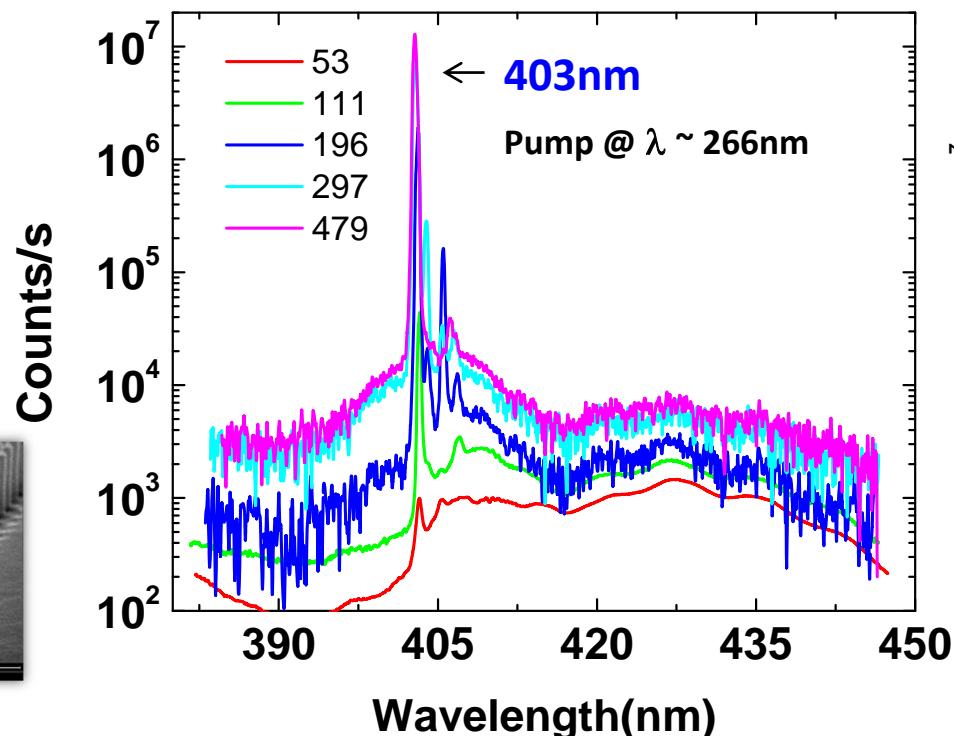
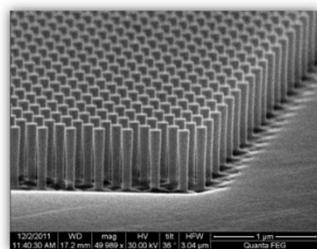
- Time resolved PL to determine radiative rate enhancement by PhC.
- Optimize PhC design with simulations
- Fabrication of large area devices (1" x 1") using interference lithography
- Explore electrical contacting scheme
- Explore radial MQW architecture to increase emitter volume.



# Future Work: 2D Photonic Crystal NW Lasers

- This is another approach for low threshold NW lasers
- Will attempt electrical injection
- Will map the photonic bandgap dispersion through angular PL dependence

Preliminary  
PL & lasing



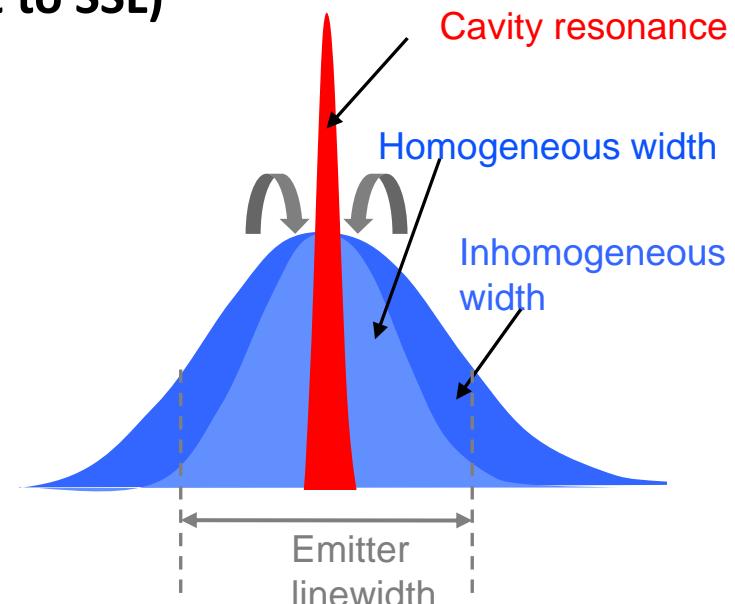
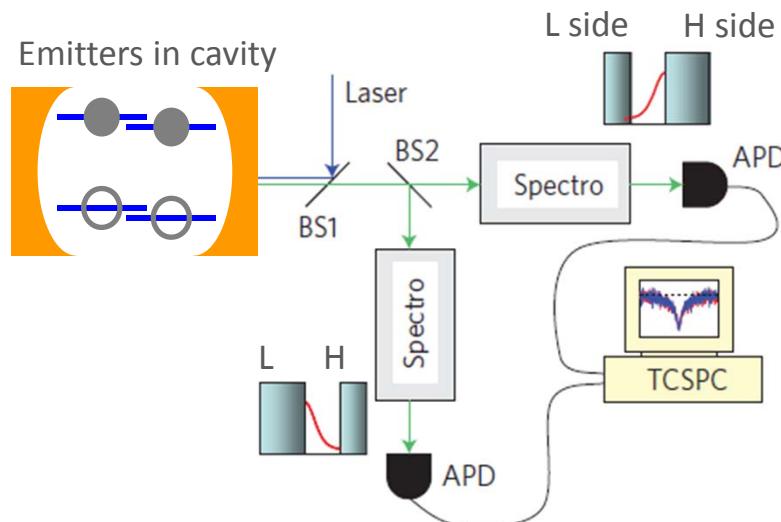
\*Poster Presentation: **J. Wright**

First axial-heterostructure III-nitride NW laser

# Future work: 2D Photonic Crystals + QDs

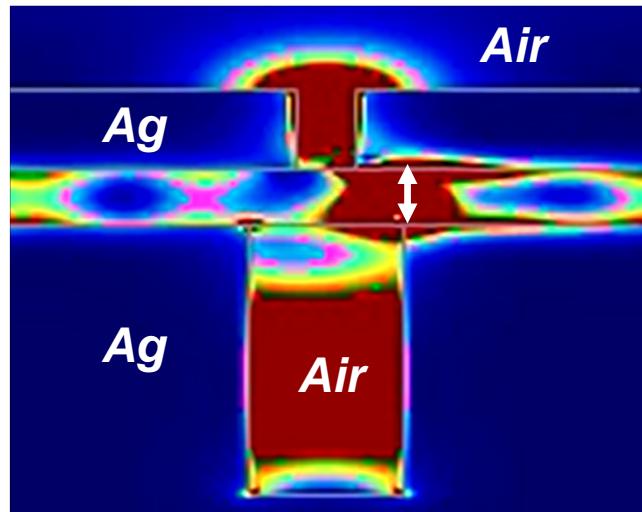
Confirmation of enhanced radiative rate through lifetime measurements in the regime:  $Q_{\text{emitter}} < Q_{\text{cavity}}$  ( $Q_{\text{emitter}}$  related to PL linewidth)

- High density emitters: lifetime
- Low density emitters (single QD): correlation measurements
- Repeat all this for red emitters (relevant to SSL)

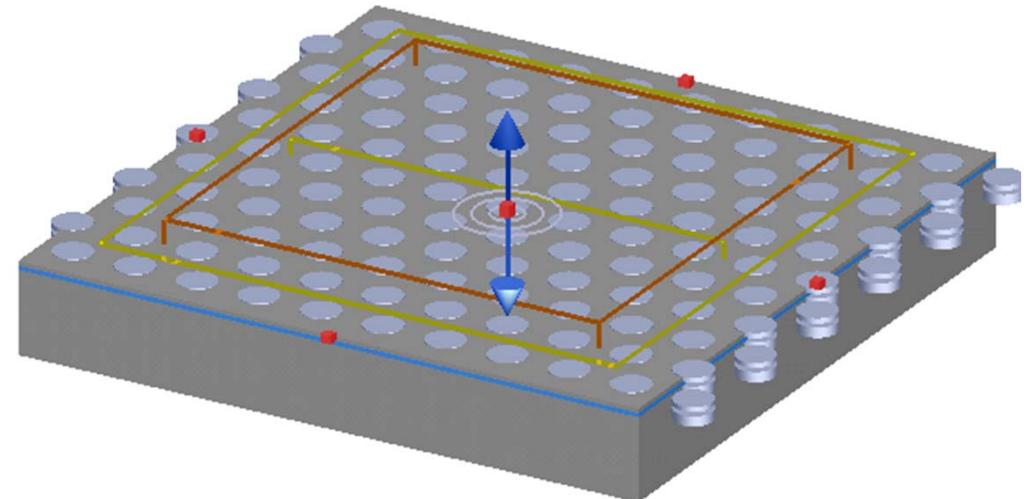


# Future Work: Plasmonics for Red

- Use plasmonics to enhance spontaneous emission rates, but implement in a high efficiency design with reduced heating.
- Basic LED concept is InGaP active region with metal-insulator-metal plasmonic geometry. Plasmon out-coupling structures are implemented in top and bottom metal layers.
- Any reasonable device will need to be hybrid: integrate LED texturing concepts, as well as plasmonics, in order to achieve good output coupling and avoid waveguiding effects.



*2D –instructive, but misleading*



*3D large area – much more realistic*

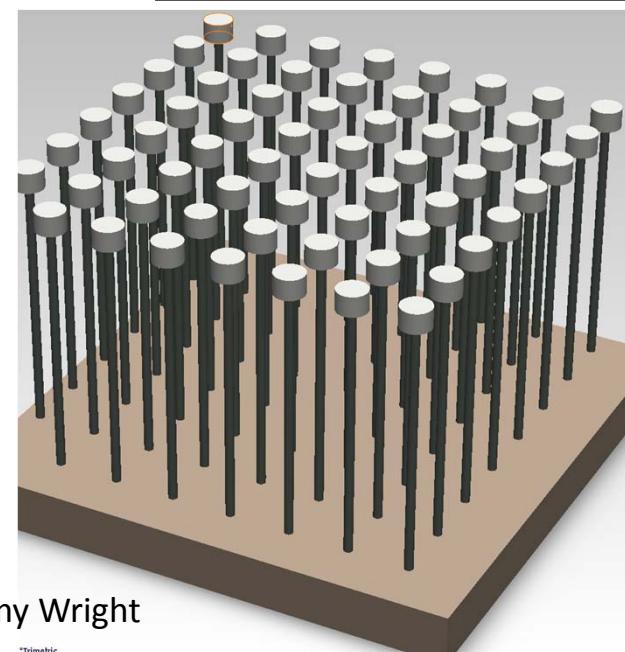
# Future Work: NWs and Plasmonic Nanoantennas

- The nanoantenna can be designed to resonate at absorption or emission wavelength
- Currently exploring a liftoff process and short-wavelength plasmonic metals (Ag & Al)

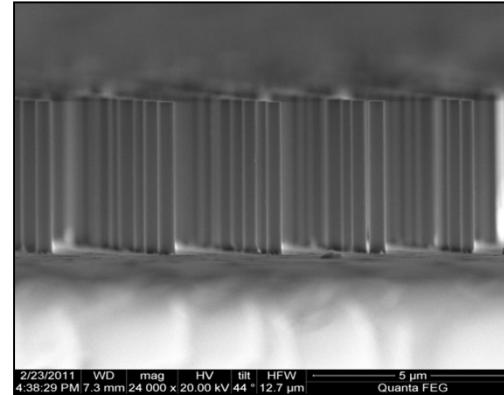
Or NW encased in a metal shell



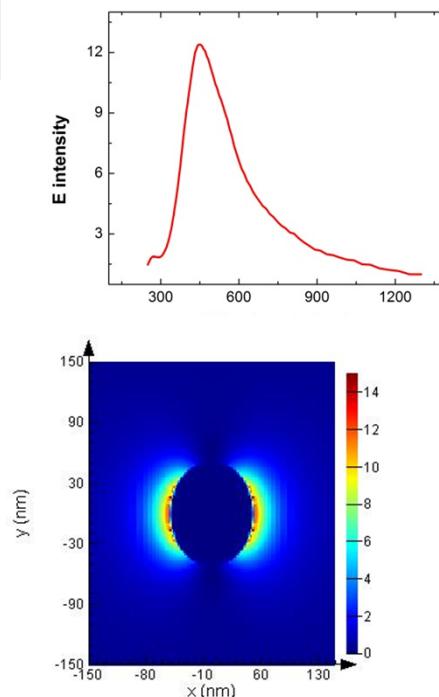
Qiming Li



Jeremy Wright



Aluminum nanoantennas (disks) scatt. spectrum



# Summary: Selected Future Directions

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- **Exploit 2D Photonic Crystals made from NW arrays as a new platform for high efficiency emission and lasing: synergistic with Challenges 1 & 6**
- **Elucidate the mechanism for emission enhancement for QDs coupled to 2D-Photonic Crystals when  $Q_{\text{emitter}} < Q_{\text{cavity}}$**
- **Design and fabricate electrically pumped LEDs that use plasmonics for out-coupling and plasmonic Purcell enhancement**
- **Combine plasmonic nanoantennas and top down nanowires for lower threshold nanowire lasers**