

ANH/ET/CP-97899

# CONTROLLED DENSIFICATION OF MULLITE FOR COMPOSITE APPLICATIONS\*

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April 1999

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Submitted to Proceedings of 101st Annual Meeting of the American Ceramic Society, April 22-24, 1999, Indianapolis, IN.

\*Work supported by the Defense Advanced Research Projects Agency, through a Department of Energy Interagency Agreement, and Work for Others Contract 82110, under Contract W-31-109-Eng-38.

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### ABSTRACT

As part of an effort to fabricate oxide-based fibrous monolithic ceramics, sintering of mullite has been examined. The effects of  $Y_2O_3$  additions on sinterability of sol-gel-derived mullite and on the resulting microstructures were evaluated over a range of compositions, sintering times, and temperatures. Electron microscopy, X-ray diffraction, differential thermal analysis, and density measurements indicated that the  $Y_2O_3$  additions promoted densification through formation of a Y-Si-Al-O liquid phase. This phase tended to solidify as a glass during normal processing, but could be crystallized by a two-step annealing process at 1300 and 1200°C. The four-point flexural strengths of mullite and mullite-5 wt.%  $Y_2O_3$  were also examined.

### INTRODUCTION

Development of ceramic fibrous monoliths (FMs) has been the primary goal of our research to date. FMs typically consist of a strong, primary cell phase and a weaker, secondary cell-boundary phase [1-6]. The weaker phase may also serve as an interphase between the cell and a strong matrix in a three-constituent structure. This structure allows FMs to exhibit graceful failure and improved toughness compared to that of monolithic ceramics. Improved toughness and tolerance to flaws relies on several mechanisms; the primary mechanisms tend to be crack deflection through the cell-boundary phase and debonding between the two phases. In this manner, FMs are similar to traditional continuous fiber ceramic composites (CFCC). FMs differ from CFCCs in that they are produced in-situ by traditional powder-processing techniques and thus promise to be much

less expensive to produce [1-3].

Most of the work on FMs to date has been conducted on SiC/BN [4], Si<sub>3</sub>N<sub>4</sub>/BN [5,6], and cermets. These systems generally require high-temperature hot pressing to achieve good densification, and they oxidize at high temperature in air. Therefore, oxides that can be sintered to high density are of interest for FM applications.

Mullite appears to be an excellent candidate material for FMs. It offers a good combination of high-temperature properties: high strength and resistance to creep, chemical attack, and thermal shock. Sintering aids have been shown to lower the sintering temperature needed to produce a high-density mullite [7-11]. With a suitably doped mullite as the cell phase and an undoped mullite as the cell-boundary phase, it should be possible to produce a highly dense cell and weaker, less-dense cell boundary. Such an FM structure should be capable of dissipating energy during fracture.

Based on a review of literature [7-11] and some preliminary evaluations, Y<sub>2</sub>O<sub>3</sub> was selected as the sintering aid. Sintering aids generally improve the densification of mullite through liquid-phase sintering. TiO<sub>2</sub> is reported to improve the densification of diphasic mullite gels by lowering the viscosity of an intermediate glass phase, resulting in improved densification [7]; however, anisotropic grain growth can result. Y<sub>2</sub>O<sub>3</sub> is expected to improve densification in a similar manner. Recent work on the SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>-Y<sub>2</sub>O<sub>3</sub> phase diagram confirms formation at common sintering temperatures of a liquid phase [12]. Under equilibrium conditions, this phase will transform upon cooling to Y<sub>2</sub>Si<sub>2</sub>O<sub>7</sub>, mullite, and Al<sub>2</sub>O<sub>3</sub>. Y<sub>2</sub>O<sub>3</sub> has also been used as an effective sintering aid for Si<sub>3</sub>N<sub>4</sub> [13-15]. Work has shown that crystallization of the Y<sub>2</sub>Si<sub>2</sub>O<sub>7</sub> phase in Si<sub>3</sub>N<sub>4</sub> imparted improved strength compared to Si<sub>3</sub>N<sub>4</sub> with an amorphous Y<sub>2</sub>Si<sub>2</sub>O<sub>7</sub> phase [14]. Similar results may be possible for the Y<sub>2</sub>Si<sub>2</sub>O<sub>7</sub> phase in mullite.

## EXPERIMENTAL PROCEDURES

Mullite was produced by a colloidal sol-gel approach. Colloidal SiO<sub>2</sub> (Alfa Aesar, particle size  $\approx 0.02 \mu\text{m}$ ) was used to prepare a SiO<sub>2</sub> sol and boehmite ( $\gamma$ -AlOOH, Alcoa Hi-Q 10) was used to prepare an Al<sub>2</sub>O<sub>3</sub> sol. Y<sub>2</sub>O<sub>3</sub> (Sigma Aldrich Corp., particle size  $\approx 1 \mu\text{m}$ ) was added to the Al<sub>2</sub>O<sub>3</sub> sol. Sintering aid concentrations were 0-15 wt.% of the final product. The sols were mixed together and HNO<sub>3</sub> was added to produce a pH of 3. The sol was gelled by heating in air at 80°C for 24 h. The gel was ground and calcined in air at 1000°C for 2 h. The calcined material was ball-milled for 24 h in isopropyl alcohol. The resulting material was then dried and sieved.

The powder was then uniaxially pressed at 175 MPa in a 1.27-cm cylindrical die. The resulting specimens were then fired for various times (0-12 h) at 1550°C and for 3 h at various temperatures (1400-1650°C). Some specimens were then

annealed at 1300°C for 24 h, then cooled to 1200°C and held for another 24 h, in an attempt to crystallize an amorphous grain boundary phase.

After firing, densities were determined by geometric and Archimedes methods; agreement was typically within 1%. Specimens were then cut and polished with diamond paste to a 1- $\mu$ m finish. The polished specimens were then thermally etched, typically at 100°C below the sintering temperature. Scanning electron microscopy (SEM) and transmission electron microscopy (TEM) photomicrographs were used for microstructural analysis; energy-dispersive analysis of X-rays (EDS) was used to determine elemental compositions.

Bars of some materials were also prepared by uniaxially pressing to 51 MPa and sintering at 1550°C for 3 h. These bars were used for four-point flexural strength measurements and thermomechanical analysis to determine the coefficient of thermal expansion. Several specimens were examined by X-ray diffraction (XRD) and differential thermal analysis (DTA).

$Y_2Si_2O_7$  was prepared by mixing  $Y_2O_3$  and colloidal  $SiO_2$ . The material was dried, ball-milled for 24 h in isopropyl alcohol, redried, sieved, and pressed into specimens. Bars were prepared by uniaxially pressing to 51 MPa and sintering at 1200 or 1550°C for 3 h. The goal of the different temperatures was to produce  $\alpha$ -phase and  $\gamma$ -phase  $Y_2Si_2O_7$ .

## RESULTS AND DISCUSSION

$Y_2O_3$  additions ranging from 1 to 15 wt.% were examined to determine effects on microstructural development with sintering in air at 1550°C for 3 h. All concentrations induced significant improvement in densification compared to that of pure mullite. For  $Y_2O_3$  additions greater than 5 wt.%, there was a significant increase in grain growth, while concentrations less than 5 wt.% did not densify as quickly. Because our goal was to achieve rapid densification with minimal grain growth, 5 wt.% was selected.

After selecting mullite-5 wt.%  $Y_2O_3$  as the best candidate material for our application, we conducted time and temperature studies to determine optimal processing conditions. Figure 1a shows the effect on density of sintering time at 1550°C. At 1550°C, most of the sintering occurred within the first 3 h for mullite-5 wt.%  $Y_2O_3$ . Beyond this time, the density decreased slightly. For pure mullite, density continued to increase with increasing sintering time. Figure 1b shows the effect on density of sintering temperature; sintering time was 3 h. The maximum density for mullite-5 wt.%  $Y_2O_3$  occurred at 1500°C, whereas with pure mullite, the density continued to increase with increasing sintering temperature.

The decrease in density observed with mullite-5 wt.%  $Y_2O_3$  with longer sintering times and higher sintering temperatures coincides with an increase in anisotropic grain growth and an increase in porosity. Figure 2 provides a

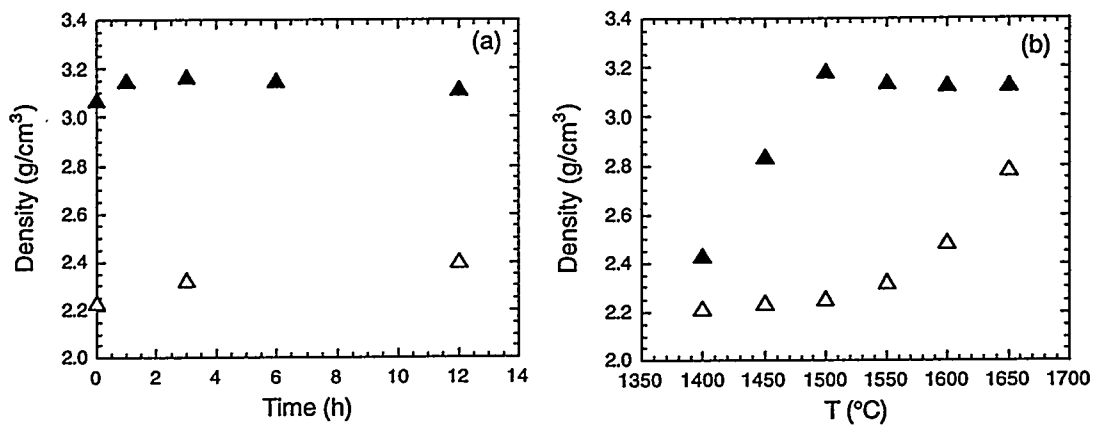


Figure 1. For mullite( $\Delta$ ) and mullite-5 wt.%  $Y_2O_3$ ( $\blacktriangle$ ): (a) Effect of sintering time at 1550°C and (b) effect of temperature for sintering 3 h.

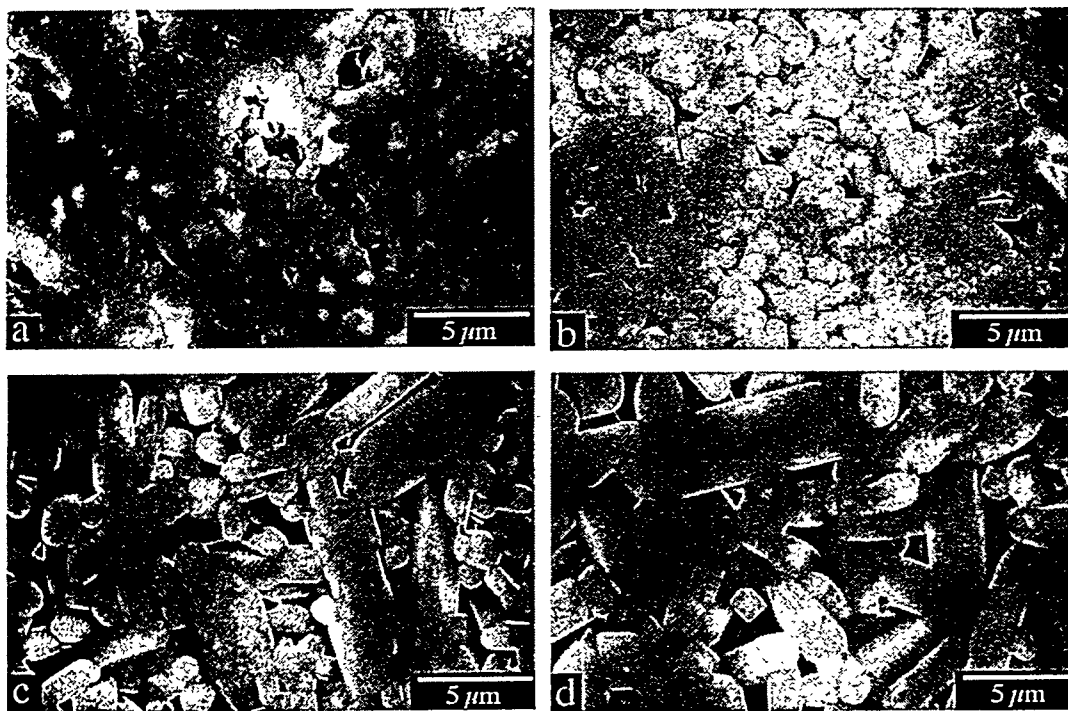


Figure 2. SEM photomicrographs of mullite-5wt.%  $Y_2O_3$  specimens sintered for 3 h at (a) 1450, (b) 1500, (c) 1550, and (d) 1600°C.

comparison of the microstructures for different sintering temperatures. Changing the sintering temperature from 1500 to 1550°C caused a significant increase in grain size and aspect ratio. Figure 3 shows changes in microstructure resulting from different sintering times at 1550°C. There was change in grain size between the 3 h specimen and the 6 h specimen, as well as an increase in the size and concentration of porosity. These effects also coincided with a decrease in density.

Other considerations in the production of FMs include strength and coefficient of thermal expansion. The cell phase should be approximately an order of magnitude stronger than the cell-boundary phase to promote crack growth in the boundary phase and help limit crack growth into the cell phase. The room-temperature strength of 70%-dense mullite was  $\approx 34$  MPa and that of >95%-dense mullite-5 wt.%  $Y_2O_3$  was  $\approx 180$  MPa. Further modifications to processing should improve the strength of the mullite-5 wt.%  $Y_2O_3$ . For example, >95%-dense mullite doped with MgO was reported to have a room-temperature three-point flexural strength of 350 MPa [16]. With continued work, the  $Y_2O_3$ -doped mullite may achieve a similar strength.

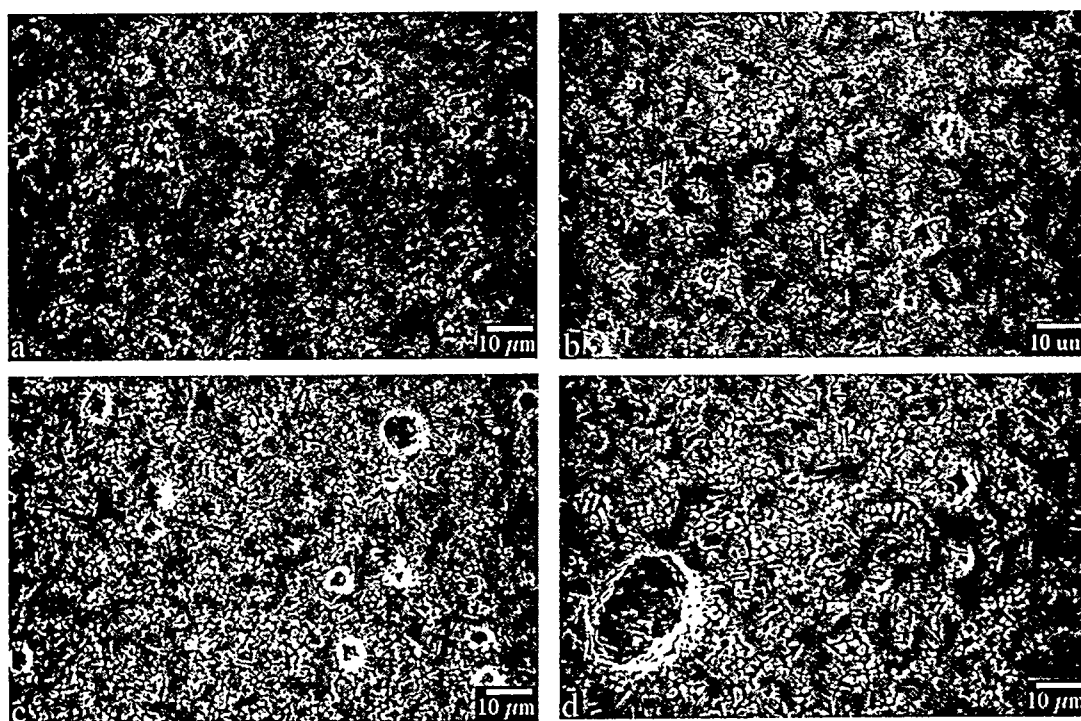


Figure 3. SEM photomicrographs comparing effects of sintering time at 1500°C on microstructure of mullite-5 wt.%  $Y_2O_3$  specimens: (a) no hold, (b) 3 h, (c) 6 h, and (d) 12 h.



For FMs, all constituents should have similar coefficients of thermal expansion ( $\alpha$ ). Values of  $\alpha$  were obtained by thermomechanical analysis for 65%-dense mullite and  $\approx 95\%$ -dense mullite-5 wt.%  $\text{Y}_2\text{O}_3$ . The average values of  $\alpha$  from 25 to 1400°C were  $5.9 \times 10^{-6} \text{ cm/cm-}^\circ\text{C}$  and  $6.2 \times 10^{-6} \text{ cm/cm-}^\circ\text{C}$ , respectively. The slight change in the thermal expansion of mullite with the addition of  $\text{Y}_2\text{O}_3$  or with large changes in density indicates that little internal stress should develop in cofired structures.

For the production of mullite-based FMs, sintering mullite/mullite-5 wt.%  $\text{Y}_2\text{O}_3$  at 1500°C for 3 h should be nearly optimal. This should result in a strong cell phase that is nearly fully dense and a cell-boundary phase that is weaker and only  $\approx 70\%$  dense. The density of the cell boundary phase may be further decreased by incorporating a combustible material such as carbon; this would also help to match shrinkages between the two phases during cosintering.

Further characterization of mullite-5 wt.%  $\text{Y}_2\text{O}_3$  was conducted to better understand the sintering response of this material. Examination of the phase diagram by Kolitsch et al [12] indicates that the phases present in mullite-5 wt.%  $\text{Y}_2\text{O}_3$  at room temperature should be mullite,  $\text{Al}_2\text{O}_3$ , and  $\text{Y}_2\text{Si}_2\text{O}_7$ . XRD of mullite-5 wt.%  $\text{Y}_2\text{O}_3$  produced under the standard conditions showed no indication of the presence of  $\text{Al}_2\text{O}_3$  or a  $\text{Y}_2\text{Si}_2\text{O}_7$ . However, by annealing the material, XRD revealed the presence of  $\beta\text{-Y}_2\text{Si}_2\text{O}_7$  (Fig. 4).

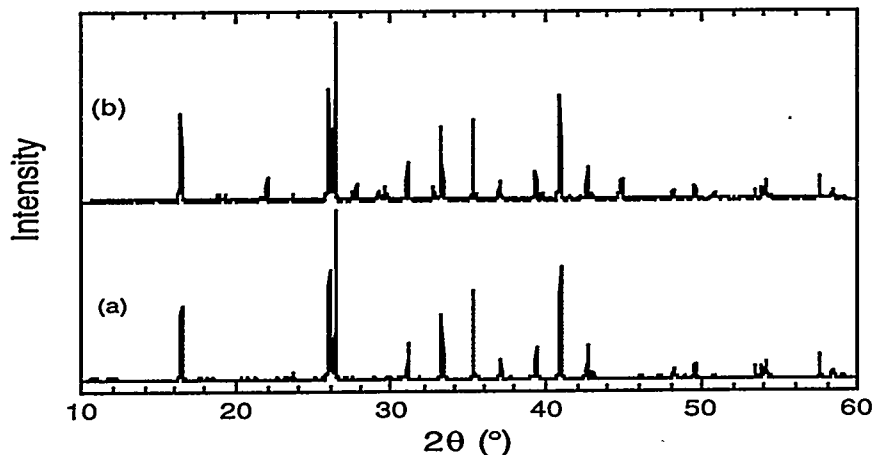


Figure 4. XRD of mullite-5 wt.%  $\text{Y}_2\text{O}_3$ : (a) as sintered and (b) after annealing. In the as-sintered specimen, only mullite was detected, but after annealing,  $\beta\text{-Y}_2\text{Si}_2\text{O}_7$  was also detected.

SEM examination of the surface of a mullite-5 wt.%  $\text{Y}_2\text{O}_3$  pellet indicated the presence of a secondary phase, which EDS analysis revealed to have an approximate composition of  $\text{YSi}_2\text{Al}_2\text{O}_x$ . This is similar to the composition of the liquid phase reported in the  $\text{Y}_2\text{O}_3$ - $\text{SiO}_2$ - $\text{Al}_2\text{O}_3$  phase diagram [12] and that is present at  $\approx 1400^\circ\text{C}$ . Although this area of the phase diagram has not been fully evaluated, no crystalline Y-Si-Al-O material has been determined to exist, supporting the idea that this is an amorphous phase. Only traces of Y were detected in the mullite phase.

TEM analysis of sintered specimens confirmed the presence of an amorphous phase along the grain boundaries of as-sintered specimens and crystalline  $\text{Y}_2\text{Si}_2\text{O}_7$  at triple points in annealed specimens (Fig. 5). Transformation of the  $\text{Y}_2\text{Si}_2\text{O}_7$  from amorphous to crystalline has been shown to significantly increase the strength of  $\text{Si}_3\text{N}_4$ , although no mechanism for the effect was provided [14]. Crystallizing the  $\text{Y}_2\text{Si}_2\text{O}_7$  phase in mullite may produce an increase in strength.

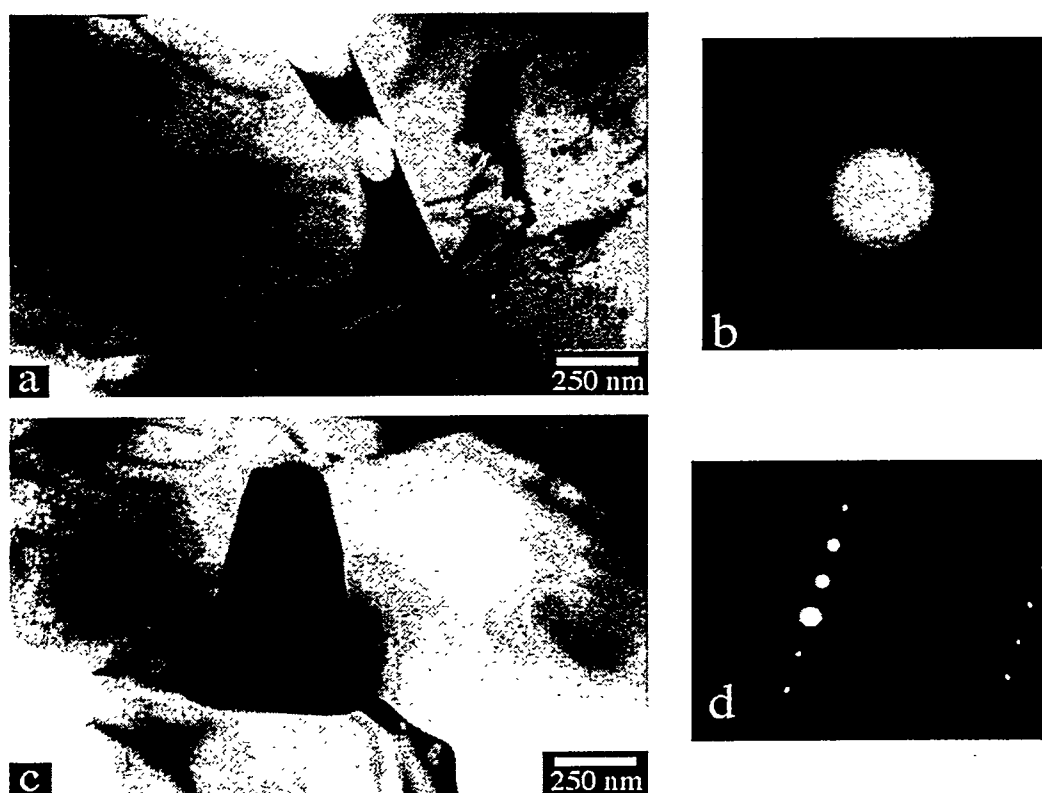


Figure 5. TEM analysis of mullite-5 wt.%  $\text{Y}_2\text{O}_3$ : (a) as sintered, (b) electron diffraction pattern of amorphous grain-boundary phase, (c) after annealing, and (d) electron diffraction pattern of crystalline  $\text{Y}_2\text{Si}_2\text{O}_7$  phase at triple point.

DTA of the mullite-5 wt.%  $Y_2O_3$  showed endothermic reactions at  $\approx 1175$ ,  $1375$ , and  $1425^\circ C$ . A reaction at  $1375^\circ C$  was reported by Hwang and Fang [10-11] to be a phase transformation of the  $Y_2Si_2O_7$ , although in pure  $Y_2Si_2O_7$  this transformation should occur at  $1225^\circ C$  [18]. The reactions at  $1375$  and  $1425^\circ C$  are probably the result of formation of an yttrium aluminosilicate liquid phase, as seems to be indicated by the phase diagram [12]. More work is needed to properly characterize this system.

We could not find information on thermal expansion of  $Y_2Si_2O_7$ . Specimens that were  $\approx 73\%$  dense were prepared, and the average value of  $\alpha$  was obtained. Specimens were sintered at  $1550^\circ C$  for 3 h; some were then cooled to room temperature, while others were annealed at  $1300^\circ C$  for 24 h or  $1175^\circ C$  for 24 h. XRD identified all specimens as  $\gamma$ - $Y_2Si_2O_7$ . The average  $\alpha$  from 25 to  $1200^\circ C$  was determined to be  $4.5 \times 10^{-6}$  cm/cm- $^\circ C$ . At  $\approx 1225^\circ C$ , there was an increase in the slope, which may indicate a phase transformation. This is the temperature at which an  $\alpha$ - $\beta$  phase transformation should occur, although neither phase was indicated by X-ray diffraction as being present. It may be that a metastable  $\gamma$  phase transforms to  $\beta$  at this temperature. More work will be needed to determine the exact reaction. Trusty et al. [17] reported the room-temperature strength of  $Y_2Si_2O_7$  to be 219 MPa for a 92%-dense material. The phase of the material was not identified, but based on the theoretical density used, it was probably either  $\beta$  or  $\gamma$ , which have the same theoretical density of  $4.03$  g/cm<sup>3</sup>. It appears, based on the preliminary data, that  $Y_2Si_2O_7$  should be compatible with mullite, each having similar strength and  $\alpha$ .

## CONCLUSIONS

We conclude from our studies that:

1.  $Y_2O_3$  is effective at reducing the sintering time and temperature needed to achieve highly dense mullite.
2. The addition of 5 wt.%  $Y_2O_3$  to mullite does not significantly affect  $\alpha$ .
3. Without doping, under similar processing conditions, mullite will achieve only  $\approx 70\%$  of its theoretical density and will have a much lower strength.
4. By proper annealing, the amorphous grain boundary phase can be crystallized.
5. Preliminary analysis of the  $Y_2Si_2O_7$  material indicates that in the  $\beta$  and  $\gamma$  forms,  $Y_2Si_2O_7$  should be reasonably compatible with mullite.

## ACKNOWLEDGMENT

This work was supported by the Defense Advanced Research Projects Agency, through a Department of Energy Interagency Agreement, and Work for Others Contract 82110, under Contract W-31-109-Eng-38.

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