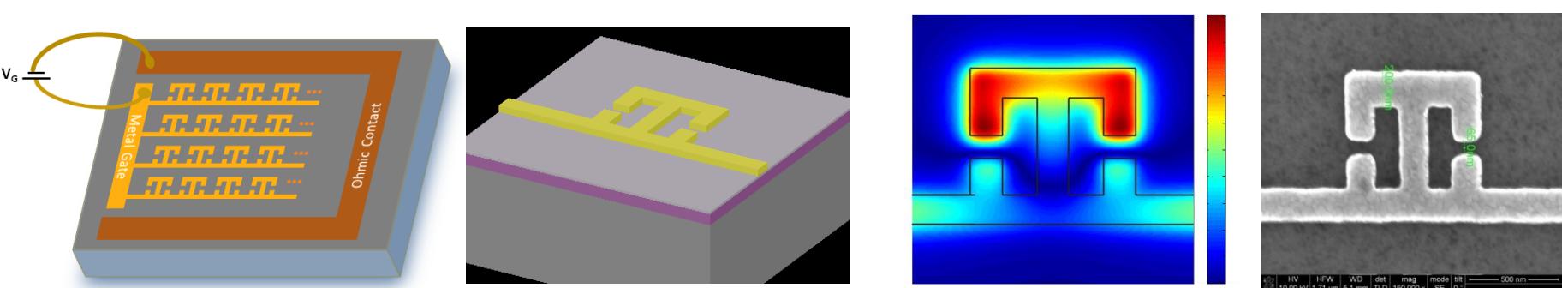


Semiconductor Approaches for Tunable Metamaterials in the Infrared

Michael B Sinclair, Igal Brener, Young Chul Jun, Alex Benz, Alon Gabbay, Eric Shaner, John Reno

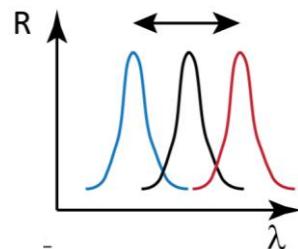
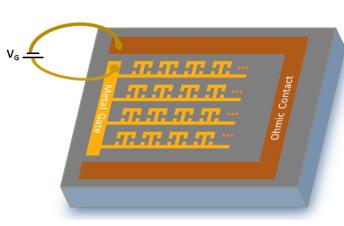
Sandia National Laboratories
Albuquerque, New Mexico



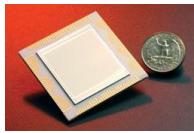
"Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000."

Why Infrared Tunable Metamaterials?

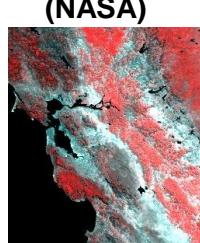
We would like to have semiconductor based, planar, electrically tunable IR filters that can be integrated with array detectors.



Examples: hyperspectral imaging



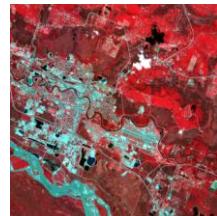
(JPL)



(NASA)



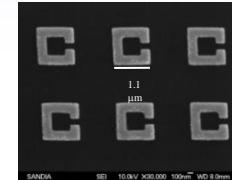
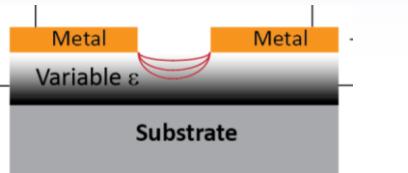
(Cedip)



Mechanical filters!

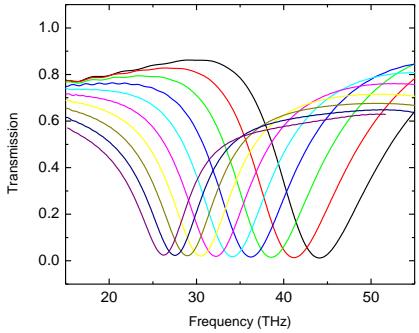
Tunable interactions with planar metamaterials are needed

Example, SRRs interact strongly with thin layers underneath

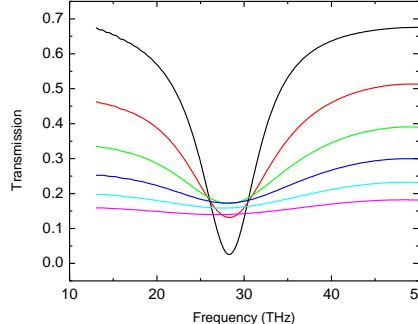


- Nonresonant

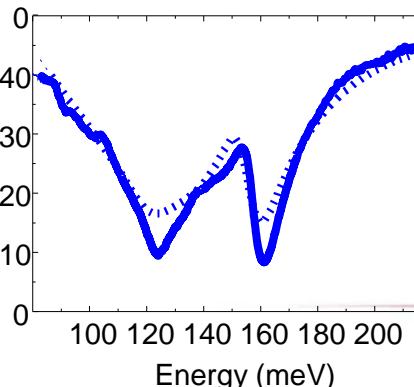
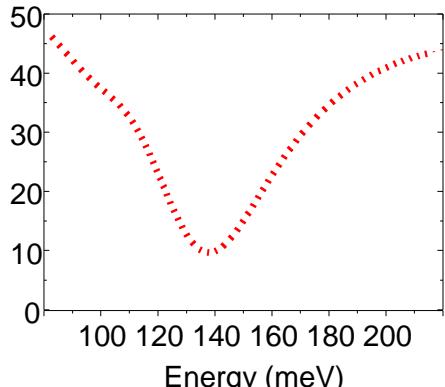
Change $\epsilon_1(n)$



Change $\epsilon_2(n)$



- Resonant
(Create a dipolar resonance, example: phonon)



Outline

- Tuning through interaction with Semiconductor Free Carriers.
- Tuning through interaction with Intersubband Transitions in Semiconductor Heterostructures

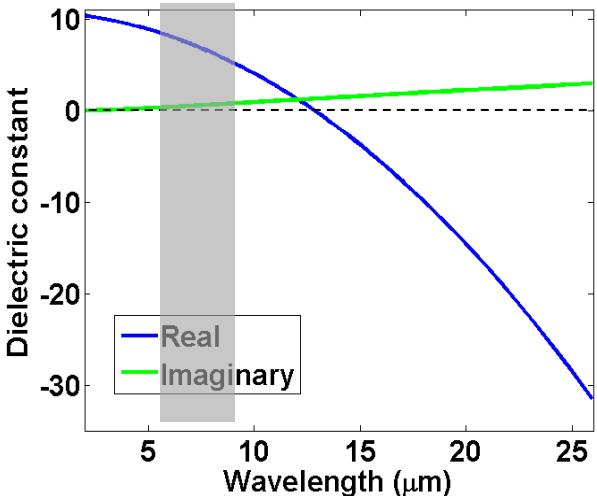


Interactions between metamaterials and electrons in doped semiconductors

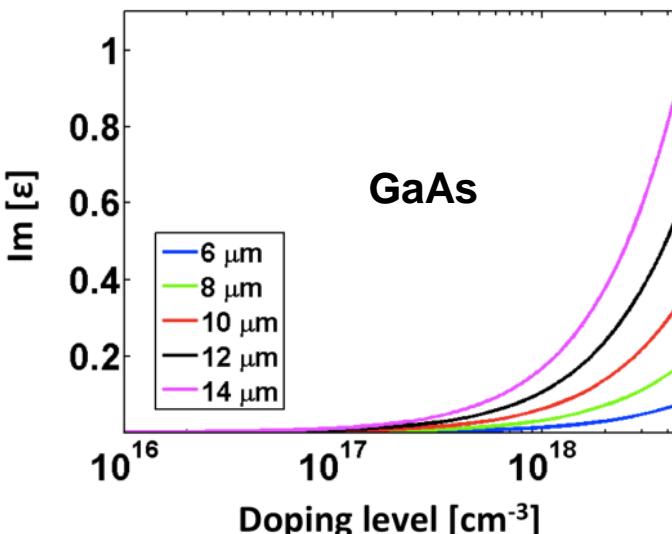
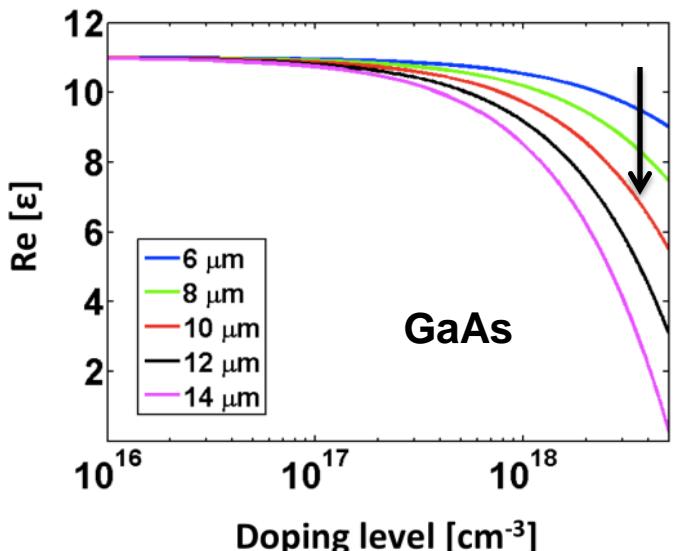
Drude Model: $\epsilon \approx \epsilon_{\infty} \left(1 - \frac{\omega_p^2}{\omega^2 + i\omega\Gamma} \right) = \epsilon_{\infty} \left(1 - \frac{\omega_p^2}{\omega^2 + \Gamma^2} + i \frac{\omega_p^2 \Gamma}{\omega(\omega^2 + \Gamma^2)} \right)$ $\Gamma = 1/\tau = \frac{q}{\mu m^*}$

$$\omega_p^2 = \frac{Nq^2}{\epsilon_0 \epsilon_{\infty} m^*}$$

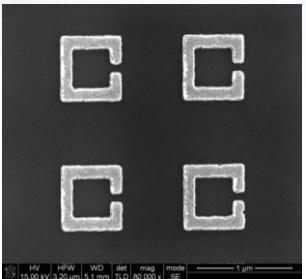
ex: n+ GaAs, $5 \times 10^{18} \text{ cm}^{-3}$



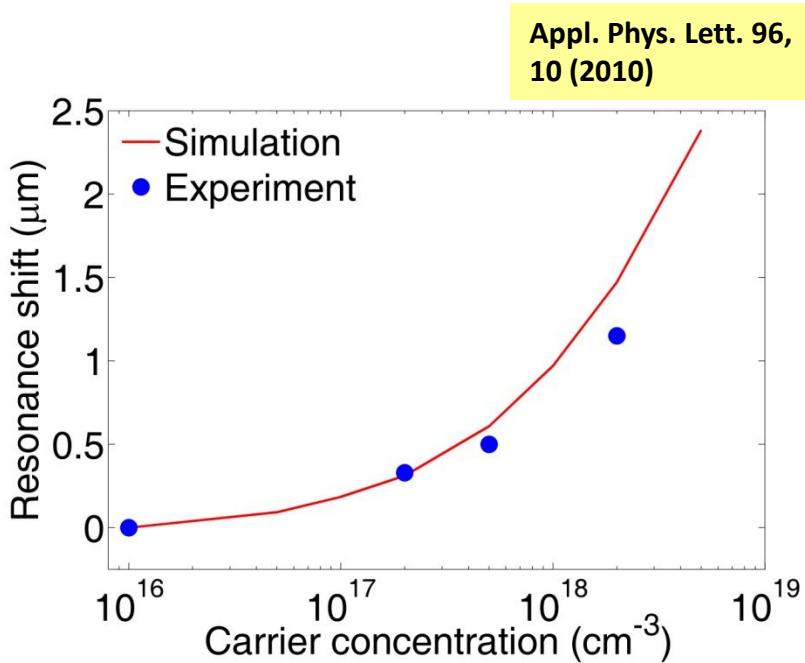
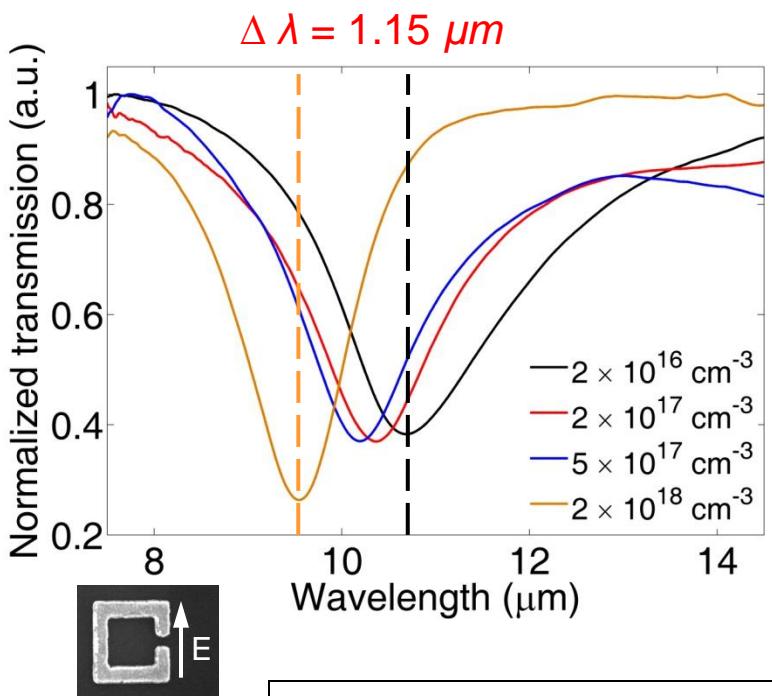
(a)



Demonstration of “static” tuning: SRRs on n+ InSb

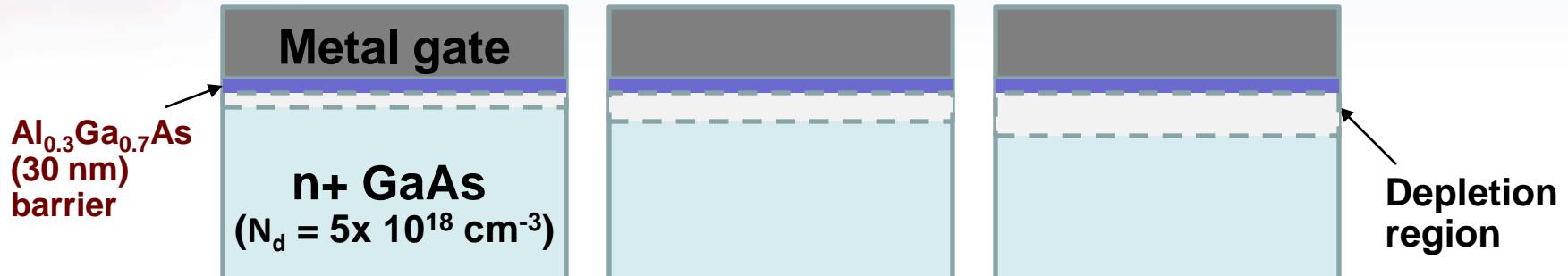


- Thin n-type doped layer grown on semi-insulating InSb wafer.
- SRRs are made by EBL+lift-off, Au/Ti



Resonance shift of 1.15 μm with no appreciable damping.

Tuning metamaterials by depletion of carriers



Increased reverse bias

Change in ϵ increases with N_d

$$\Delta\epsilon \approx \frac{1}{\omega^2} \frac{N_d q^2}{\epsilon_0 m^*} \propto N_d$$

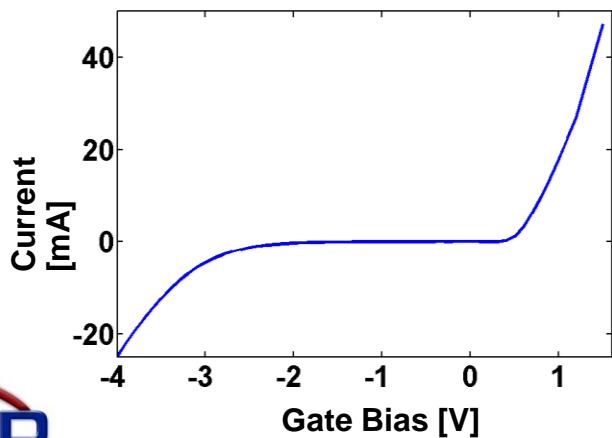
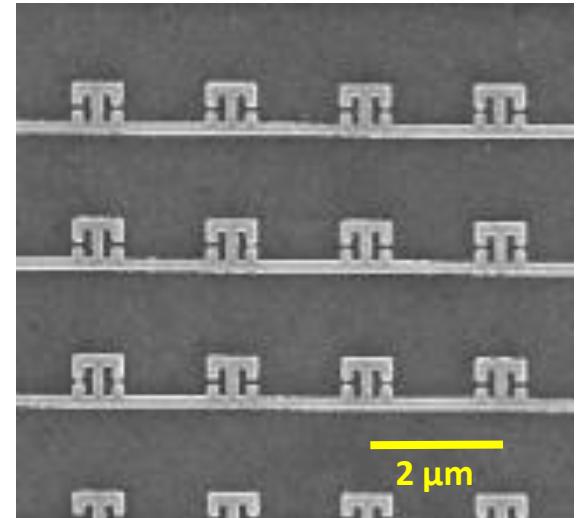
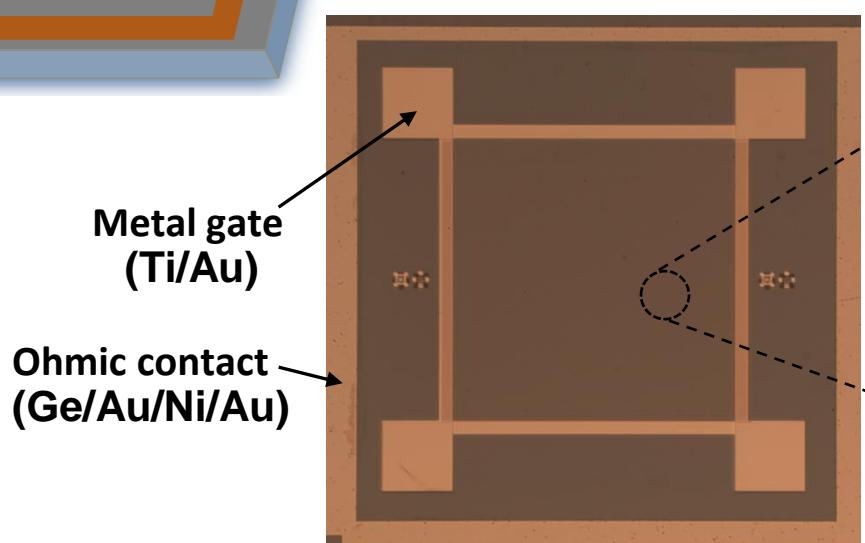
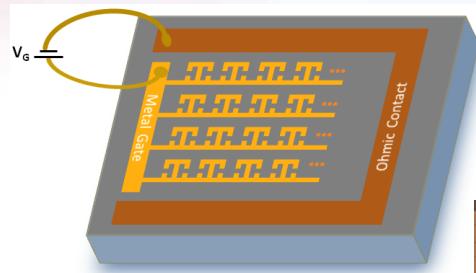
Depletion width decreases with N_d

$$W_{depletion} = \left[\frac{2\epsilon_{GaAs}\epsilon_0}{qN_d} (-\phi_s) \right]^{1/2}$$

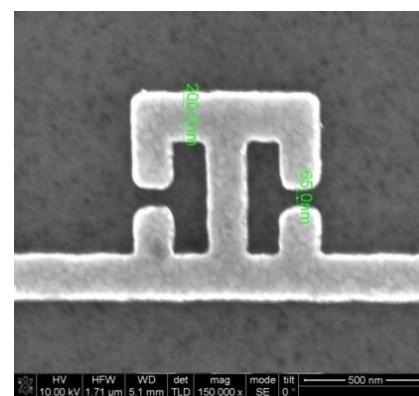
Overall resonator capacitance change $\sim \sqrt{N_d}$

Mid IR (~10 μ m) tunable metamaterial

(Young Chul Jun)



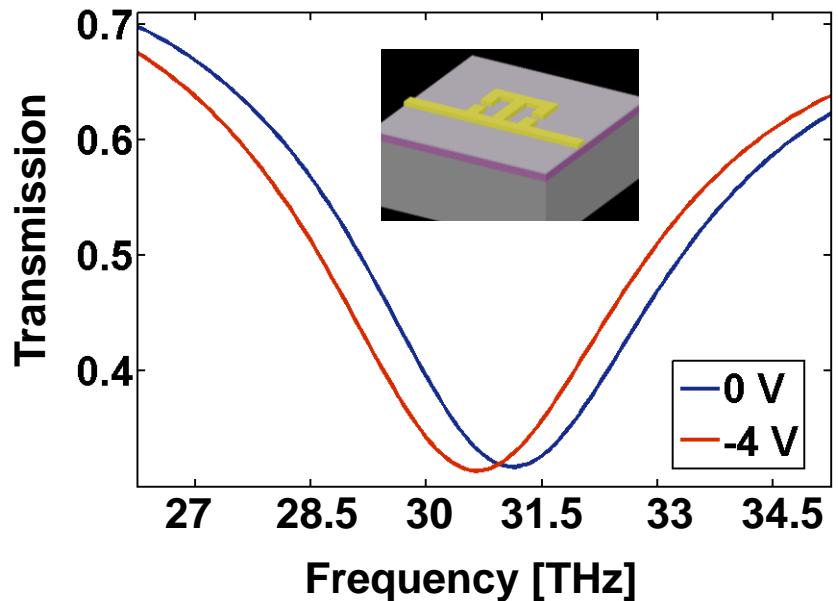
Gold SRR array patterned by e-beam lithography
(connected to metal gate)



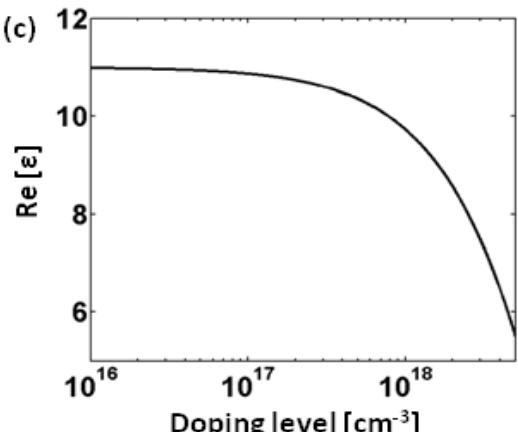
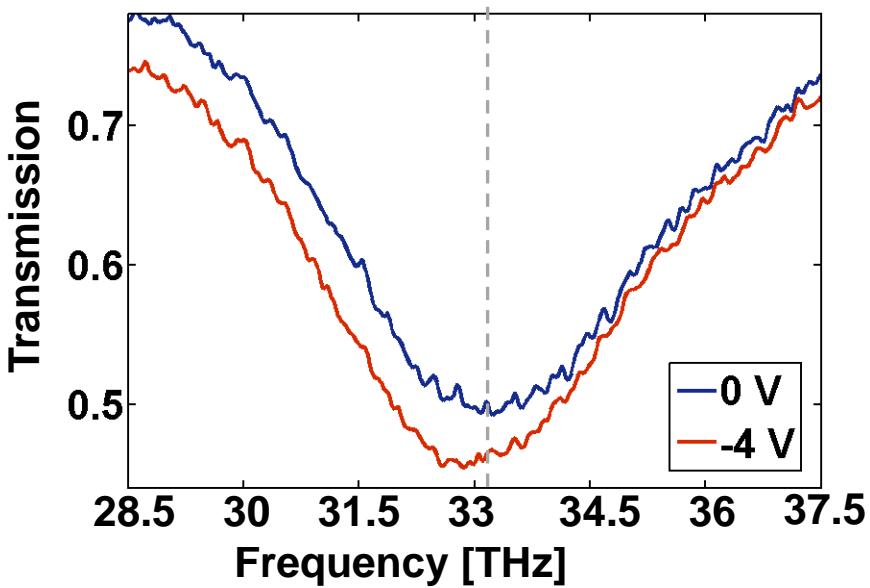
Experimental Results

Optics Express 20, 1903 (2012)

Theory



Experiment



Depleting carriers increases ϵ



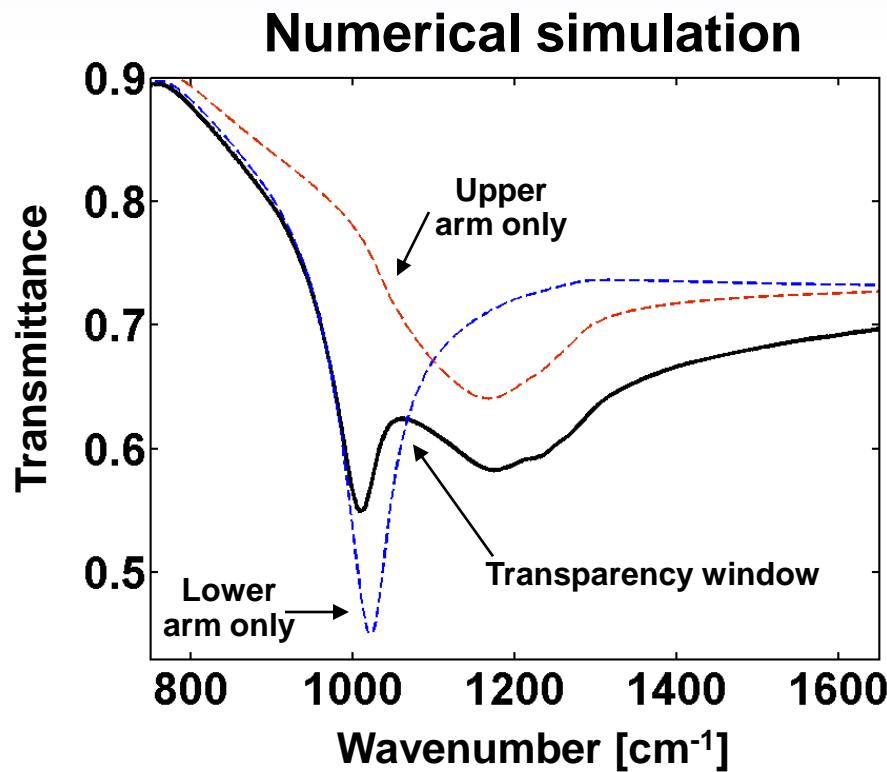
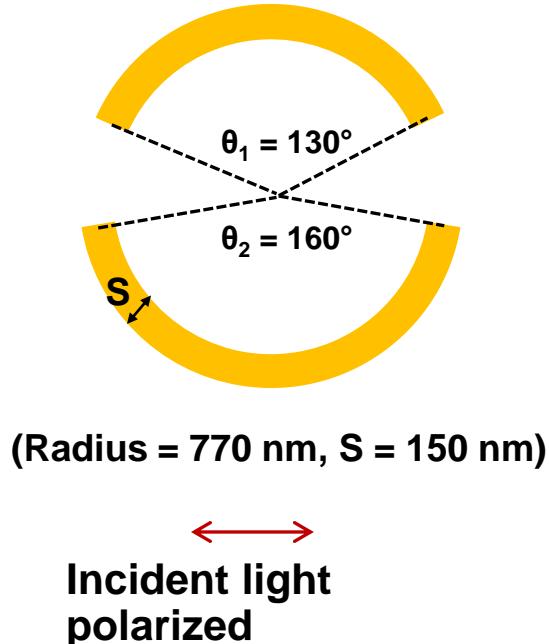
Capacitance increases



Resonant frequency decreases

Better tunability: coupled resonators

Classical analog of EIT (N. Zheludev group)

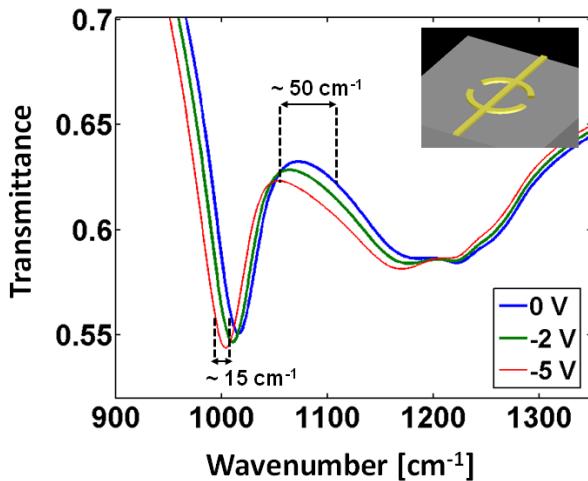


- Scaled-down structure which is resonant at mid-IR
- Transparency window: destructive interference between two resonances
- Interference can be more sensitive to refractive index change

Tunable coupled resonators

- We can electrically address each resonator arm separately
- Different biasing schemes produce different spectral changes

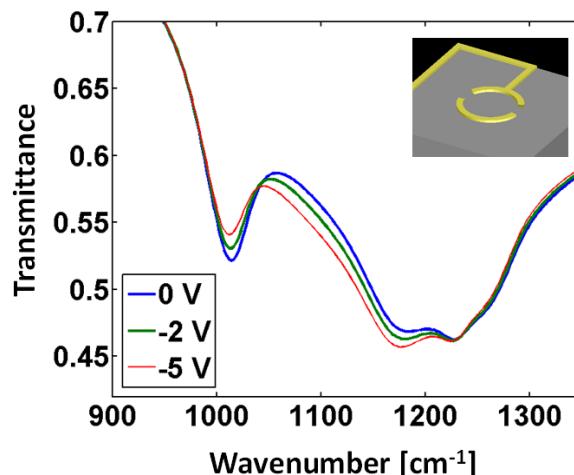
Both arms connected to electrical bus line



All resonances shift with bias

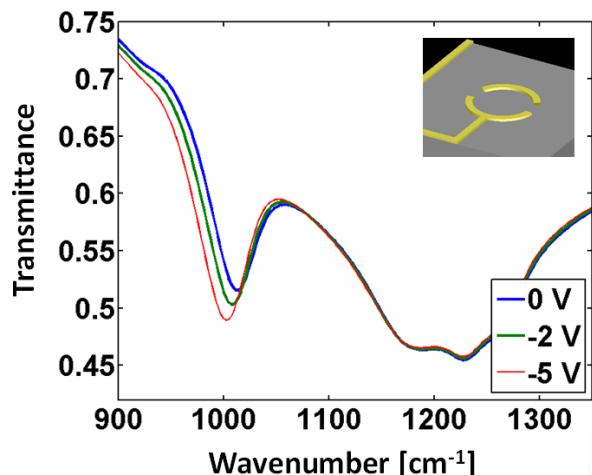
Numerical simulations

Only upper arm connected to electrical bus line



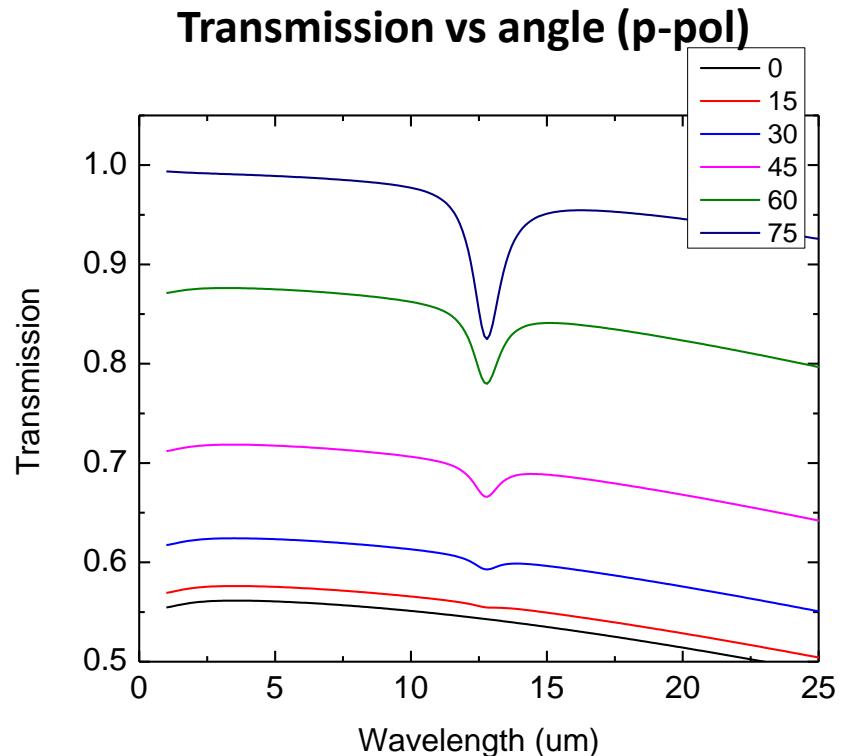
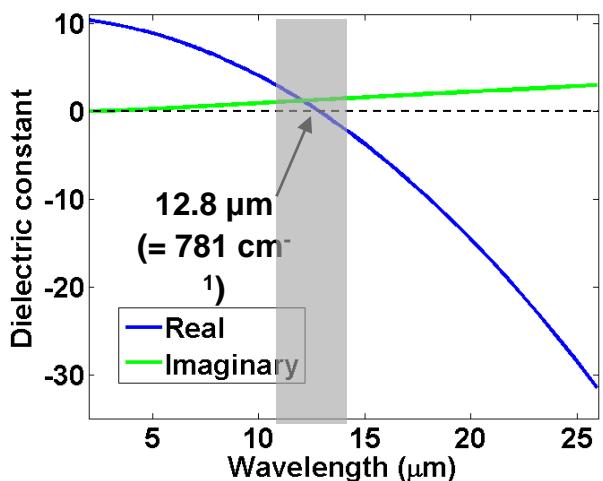
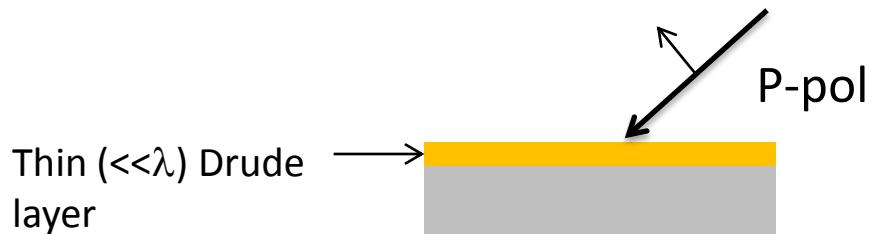
Transmission of 1000 cm^{-1} dip increases with bias

Only lower arm is connected to the electrical bus line



Transmission of 1000 cm^{-1} dip decreases with bias

Interaction with a thin Drude layer near $\epsilon \sim 0$ (Berreman effect)

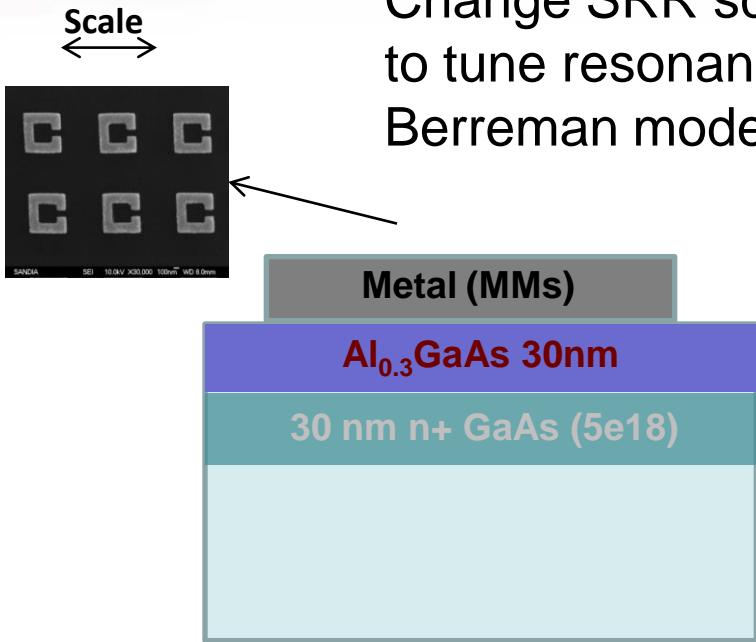


- A sharp dip is observed in transmission, where $\epsilon \sim 0$
- Berreman effect, can be observed with plasmons or phonons

Berreman, Physical Review **130** (6), 2193 (1963).

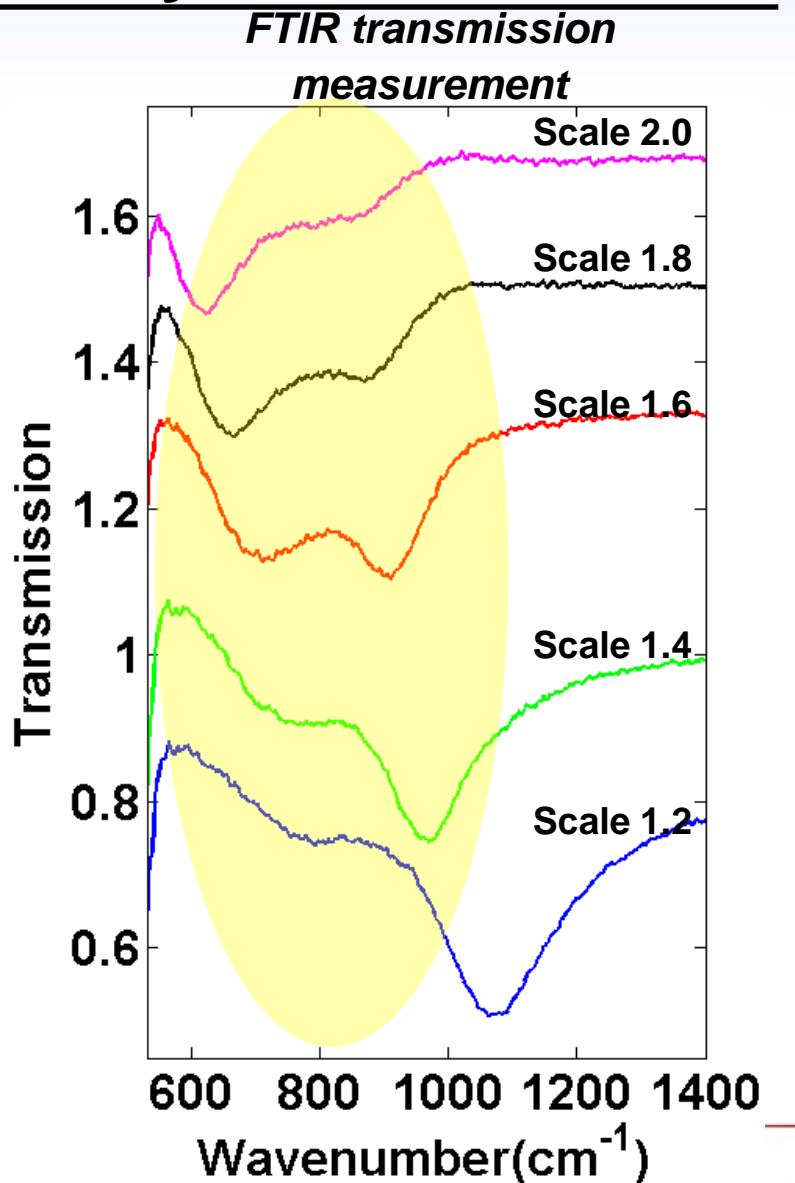
McAlister and Stern, Physical Review **132**, 1599 (1963).

What happens when metamaterials resonate with $\epsilon \sim 0$ Layer?



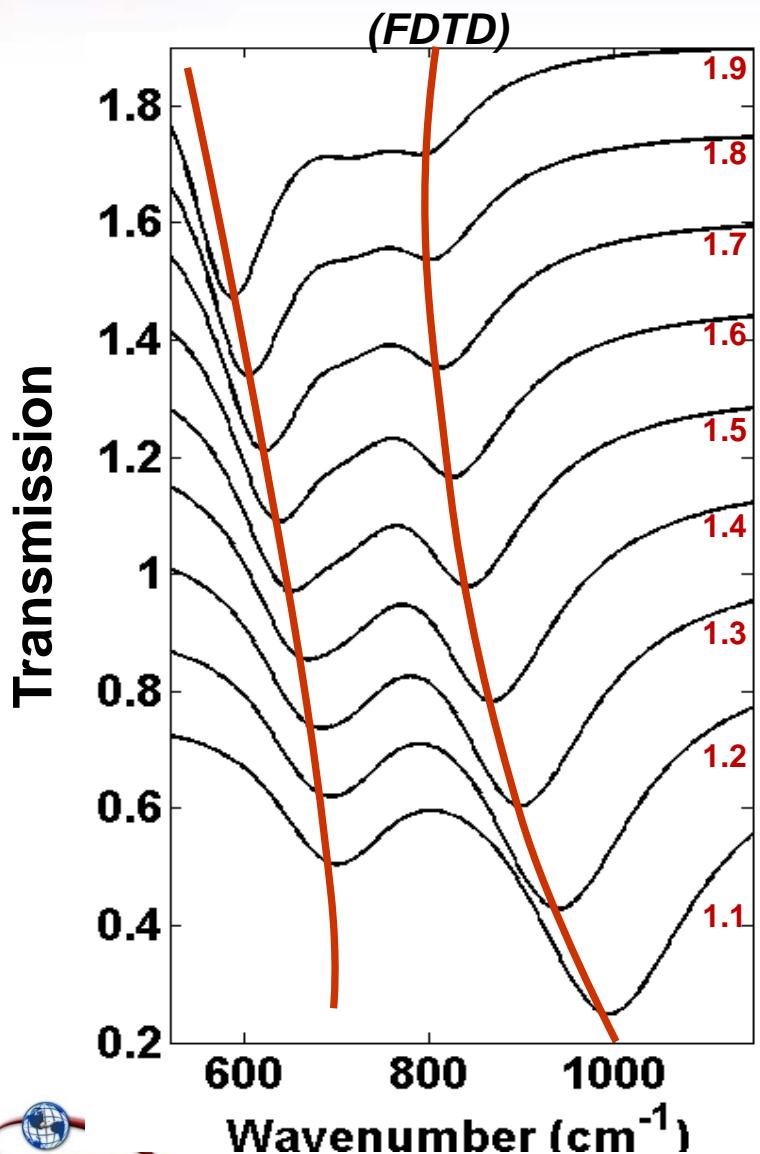
Change SRR scaling factor to tune resonance through Berreman mode

- Strong coupling between the metamaterial resonance and plasmon resonance in the n+ epilayer

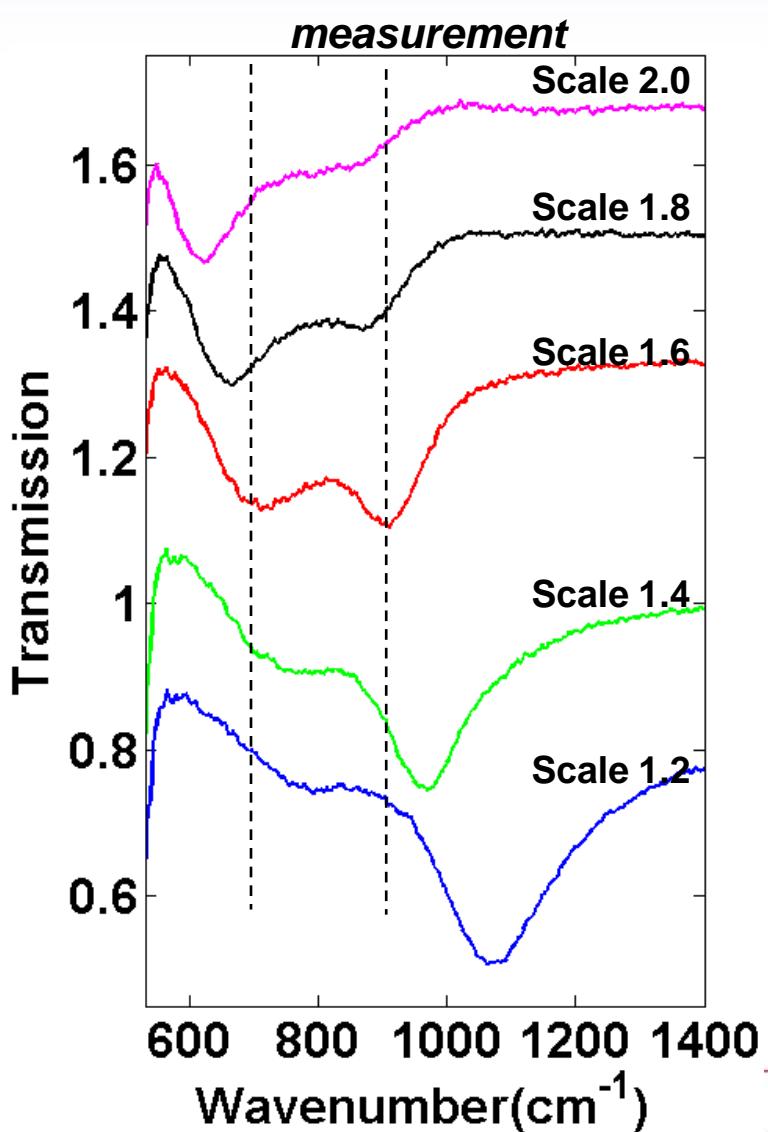


Strong coupling to $\epsilon \sim 0$ layer: theory vs. experiment

Numerical simulation

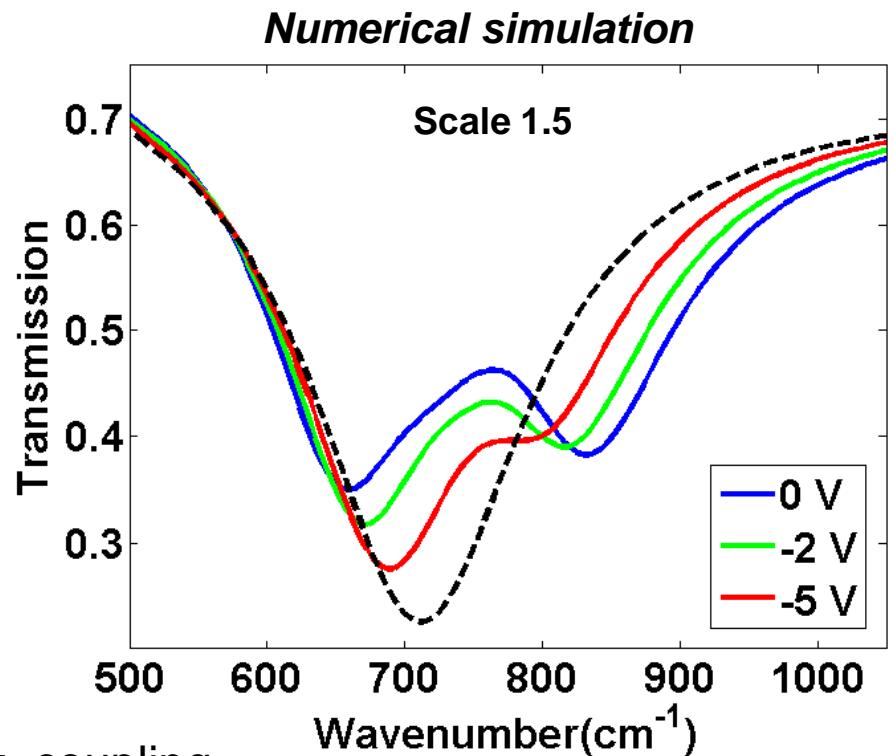
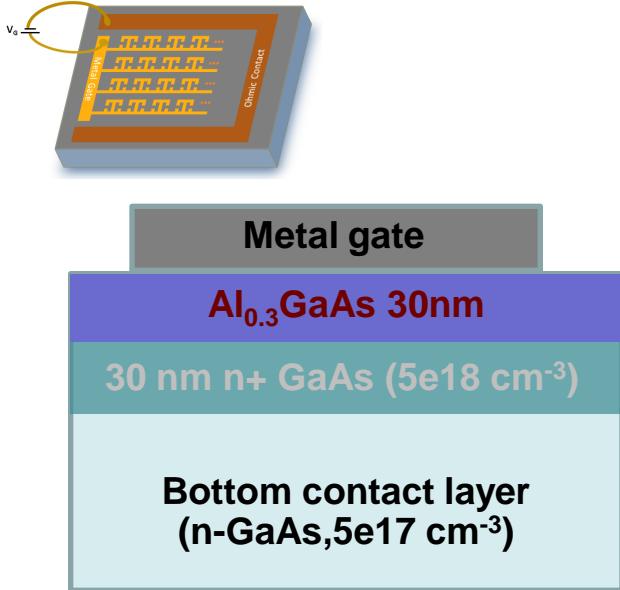


FTIR transmission



Metamaterial – plasmon strong coupling: electrical tuning

Depletion moves the $\epsilon \sim 0$ frequency \rightarrow moves plasmon mode



- $V_G = 0 \text{ V}$: double peak, coupling
- $V_G = -5 \text{ V}$: coupling almost destroyed
- Dotted black line: Complete depletion of 30 nm n+ GaAs layer

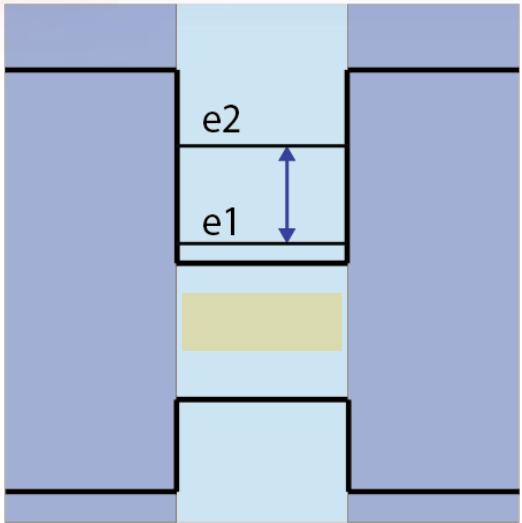
Optical coupling is bias controlled

Outline

- Tuning through interaction with Semiconductor Electron Sheets.
- Tuning through interaction with Intersubband Transitions in Semiconductor Heterostructures



Coupling to tunable optical transitions: inter-subband transitions in quantum wells



- Scalable from far IR to near IR
- Huge parameter space: Coupled QW systems, parabolic wells, superlattices, etc.
- Mature technology (MBE Growth)
- Material versatility (GaAs, GaN, InGaAs)

For example,
GaAs/AlGaAs

$$\varepsilon_{\text{GaAs}}^{\text{QW}}(\omega) = \begin{pmatrix} \varepsilon_{\text{GaAs}}^{\text{b}}(\omega) & 0 & 0 \\ 0 & \varepsilon_{\text{GaAs}}^{\text{b}}(\omega) & 0 \\ 0 & 0 & \varepsilon_{\text{GaAs}}^{\text{b}}(\omega) \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \chi_0(\omega) \end{pmatrix}$$

$$\chi_0(\omega) = -\frac{Ne^2}{\varepsilon_0 \hbar} \frac{|z_{21}|^2}{(\omega - \omega_{21} + i\gamma_{21}/2)}$$

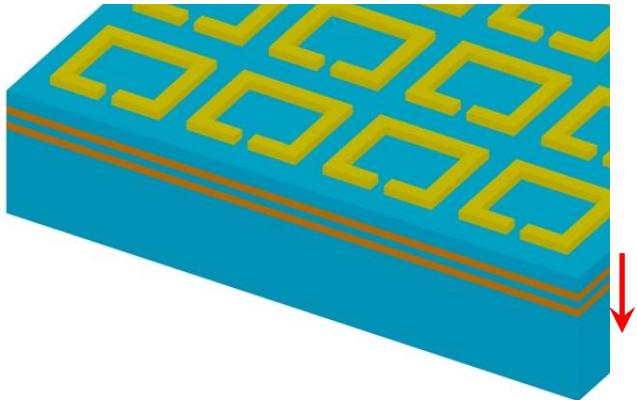
Opt. Express 20,

6584 (2012)

Polarization selection rules for coupling to Inter-subband transitions

$$\varepsilon_{\text{GaAs}}^{\text{QW}}(\omega) = \begin{pmatrix} \varepsilon_{\text{GaAs}}^{\text{b}}(\omega) & 0 & 0 \\ 0 & \varepsilon_{\text{GaAs}}^{\text{b}}(\omega) & 0 \\ 0 & 0 & \varepsilon_{\text{GaAs}}^{\text{b}}(\omega) \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \chi_0(\omega) \end{pmatrix}$$

growth direction



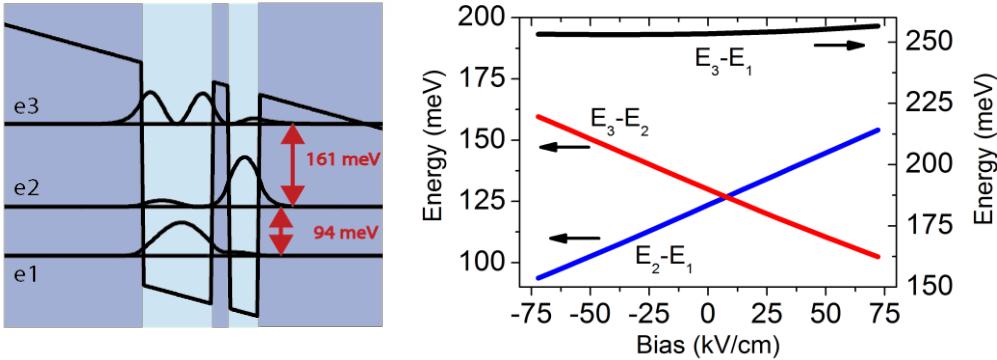
E
Incident light:
 $E \parallel \text{QW plane}$

IST requires E-field $\perp \text{QWs}$

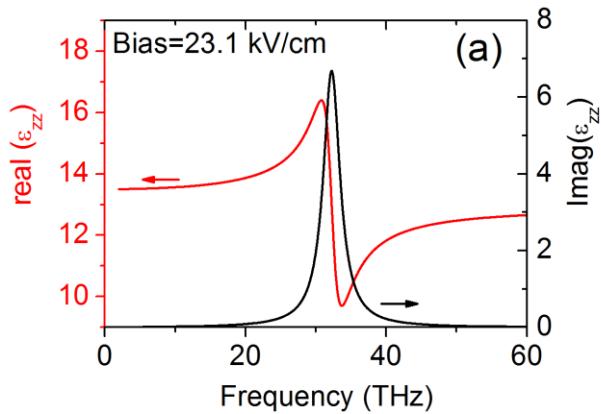
Coupling to ISTs requires longitudinal electric field components:

- need to optimize metamaterial resonator near-fields (strength & overlap)

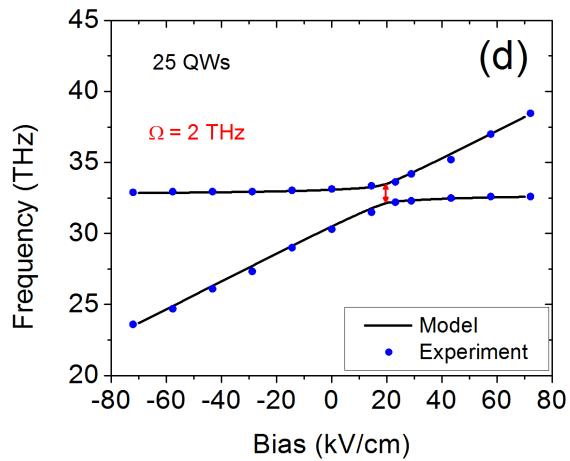
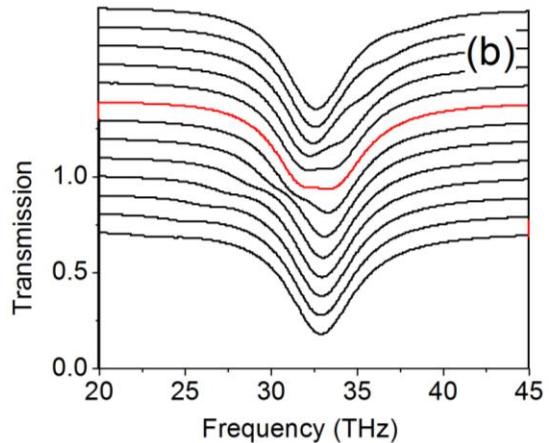
How to achieve electrical modulation by coupling to intersubband transitions



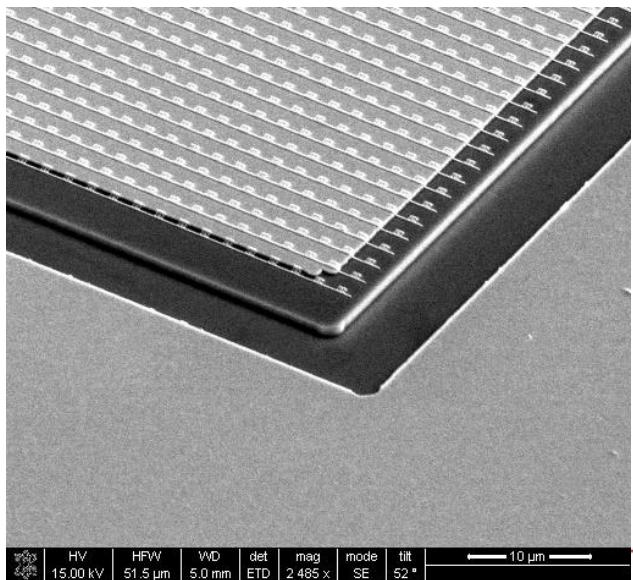
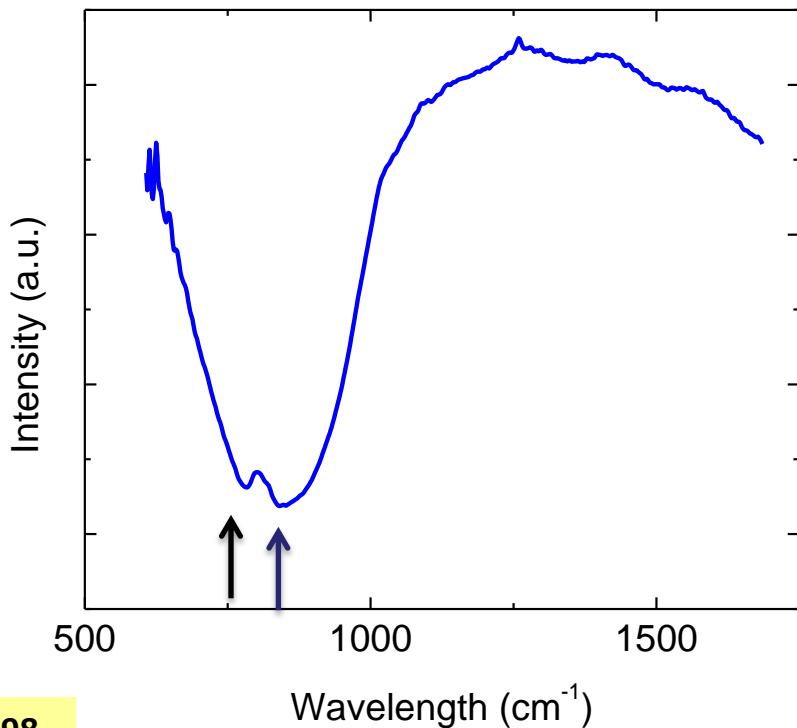
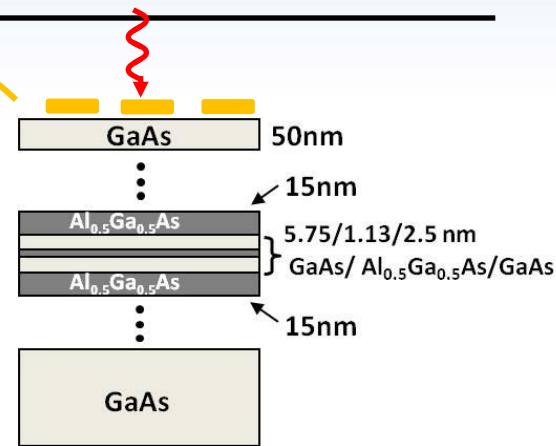
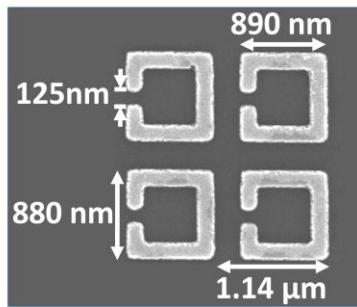
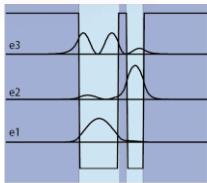
At any given bias, ϵ_{zz} looks like a Lorentzian



We then change the bias voltage to tune the IST. Anticrossing behavior with bias (modeling)



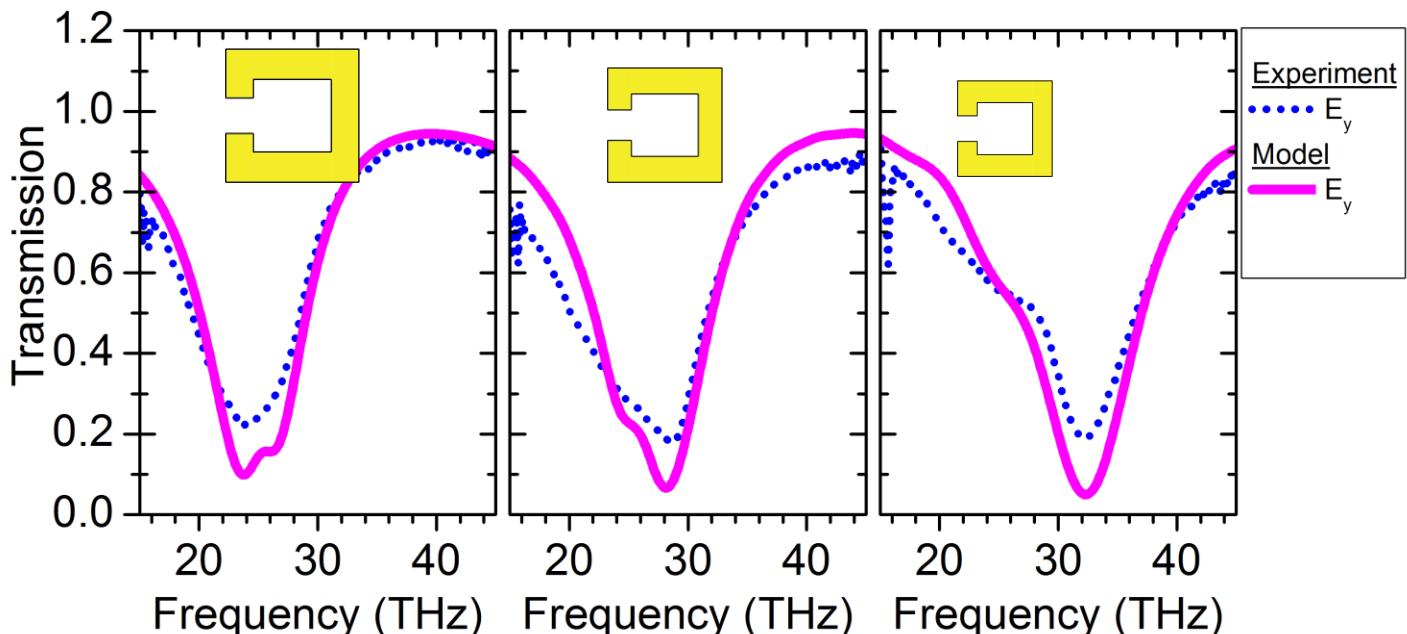
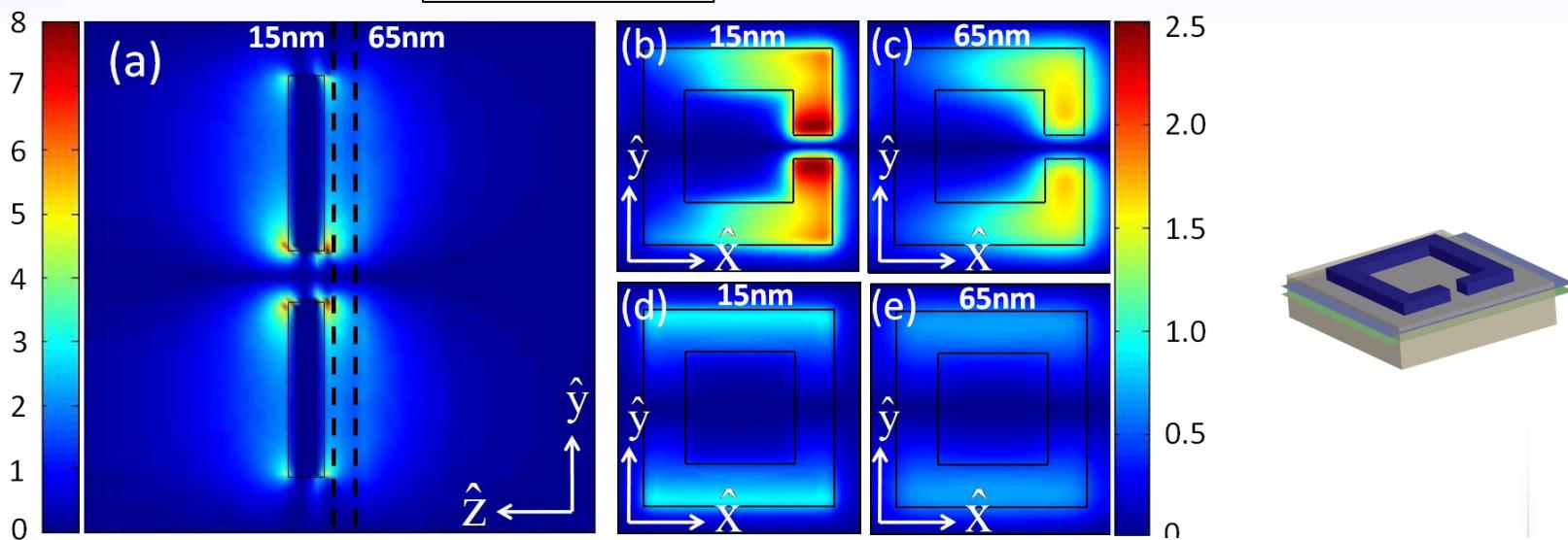
Experiments: Coupling to intersubband transitions



SRR near-field contains correct polarization



$$|E_z|/|E_{\text{incl}}|$$

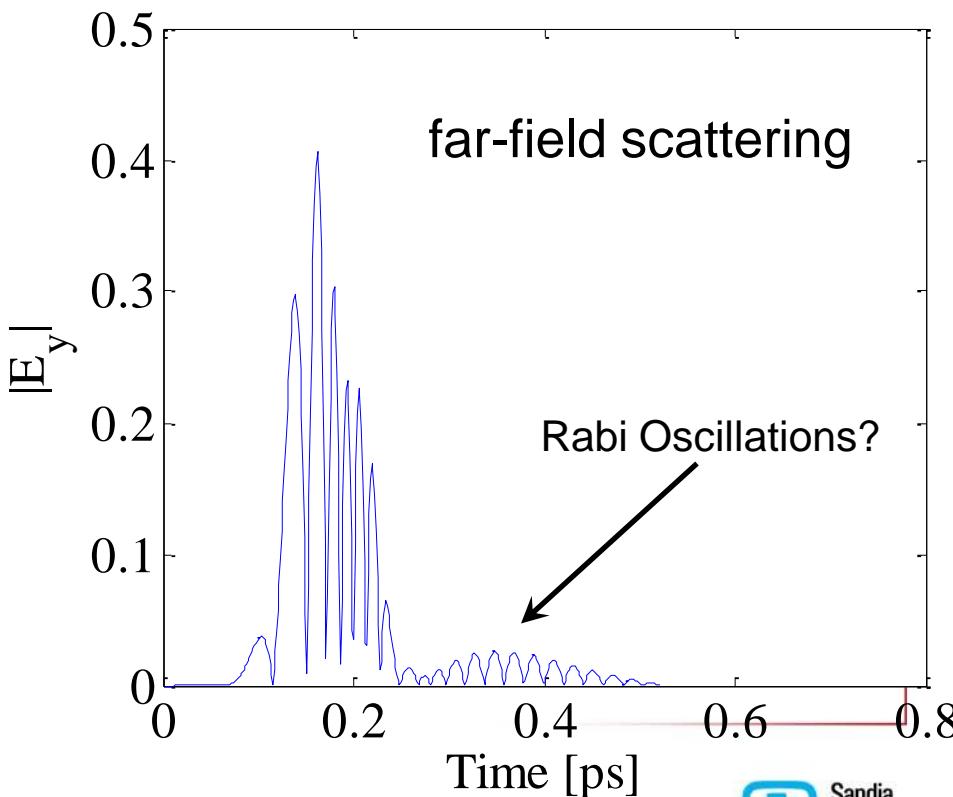
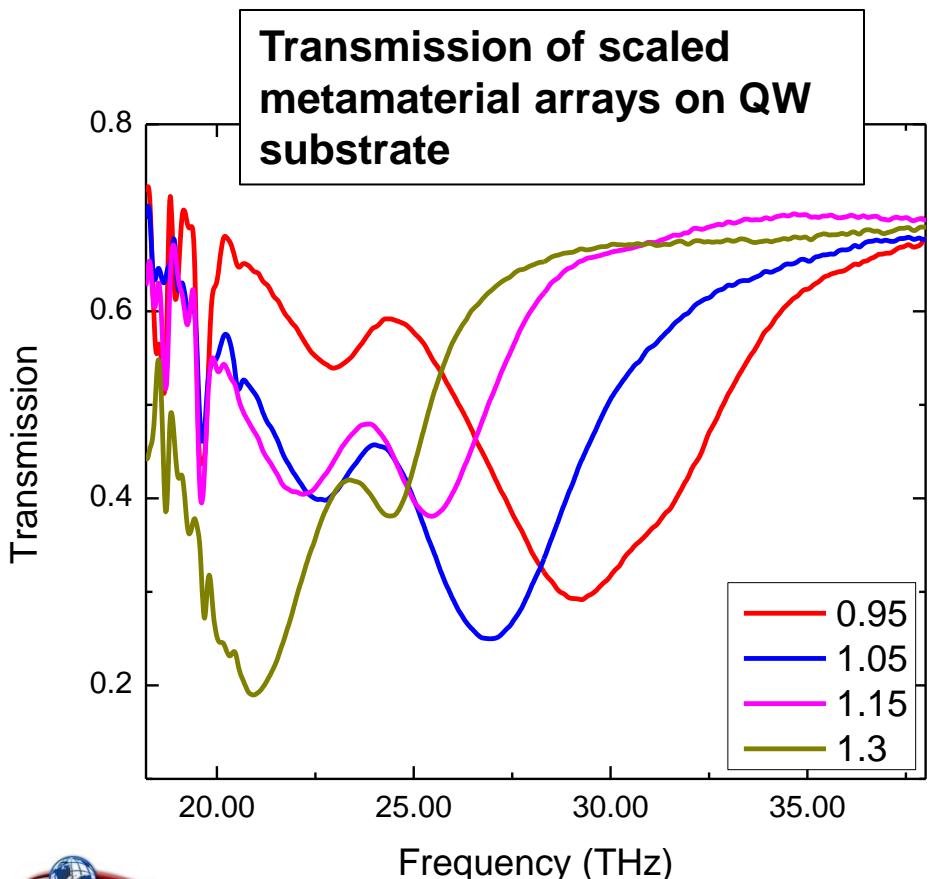


New Result: Strong Coupling to ISTs

(Alex Benz)

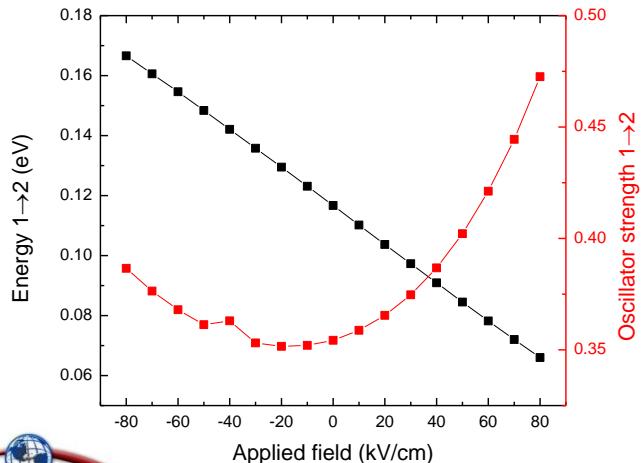
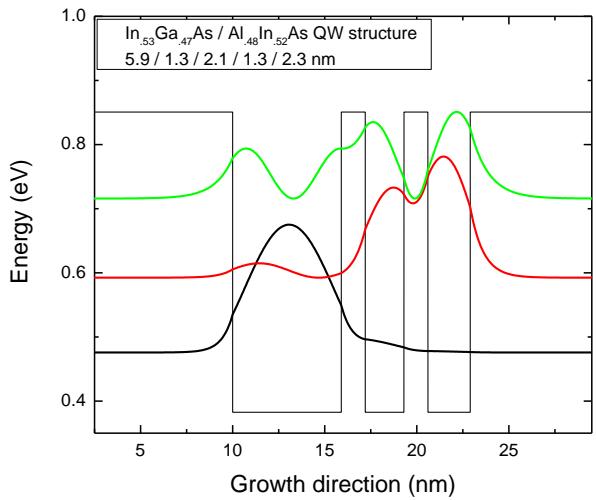
Through optimization, we've achieved strong coupling to ISTs

- works for a wide array of resonator types (dipoles, SRRs, etc)
- models show significant E_z
- splitting/center w $\sim 15\%$

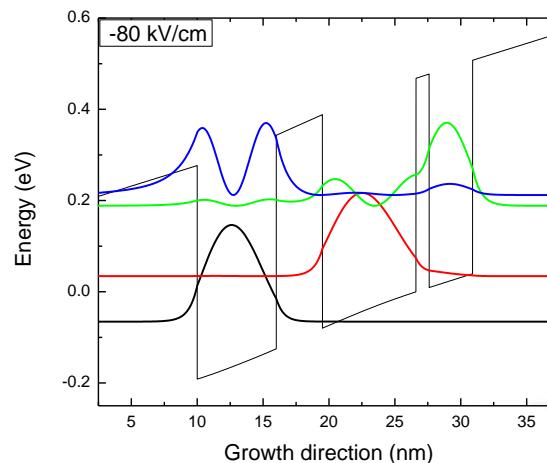
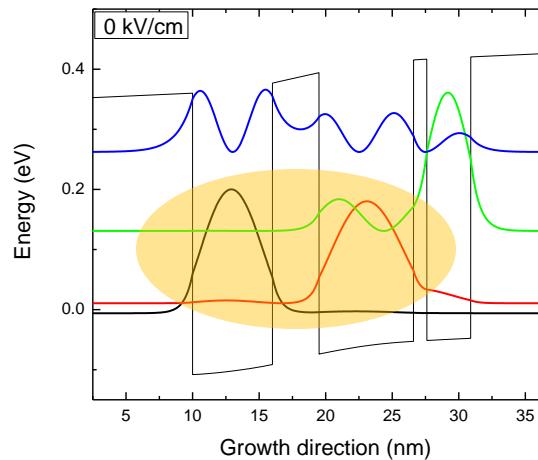


Strategies for achieving tunable coupling to intersubband transitions

Conventional Approach:
Stark tuning changes level splitting



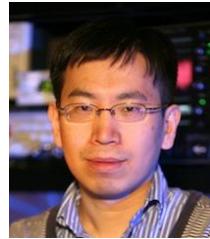
Different Approach:
Bias-induced depletion of lower level → turn off transition



nextnano simulations

Acknowledgments

Postdocs: Young Chul Jun,



Alex Benz,



Alon Gabbay*, Xiaoyu Miao*, Brandon Passmore*

CINT + Sandia: Igal Brener, John Reno, Eric Shaner, Mike Wanke, Joel Wendt, Dave Peters, Ines Montano

UCF :G. Boreman, D. Shelton* (MM-phonon)

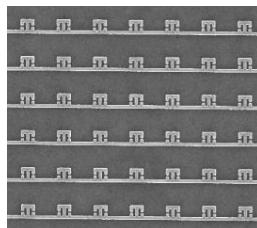
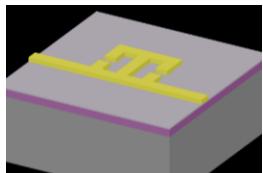
U. of Mass. Lowell: S. Vangala, W. Goodhue (InSb)



Summary

- Active tuning of metamaterials can be achieved using voltage-controlled optical transitions in semiconductors in the mid-infrared.
- We have demonstrated strong coupling to underlying semiconductor structures.
- Full device operability requires:
 - optimized electromagnetic coupling
 - low leakage & good current — voltage behavior

Electron Sheets



Semiconductor Heterostructures

