

ZnO buffered platinized silicon substrates for high temperature oxide thin film synthesis

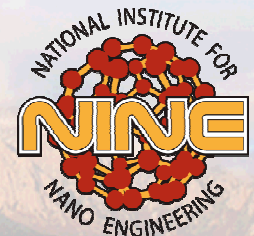
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Outline

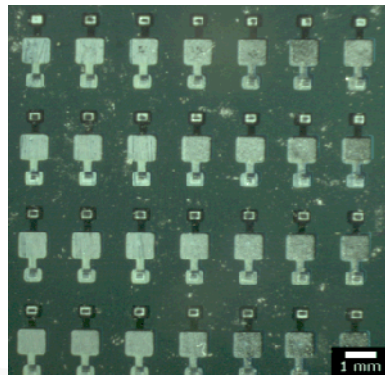
- **Ferroelectric thin films**
- **Substrates and adhesion layers**
- **ZnO Adhesion layers**
- **Pb(Zr,Ti)O₃ integration**
- **BaTiO₃ thin films**



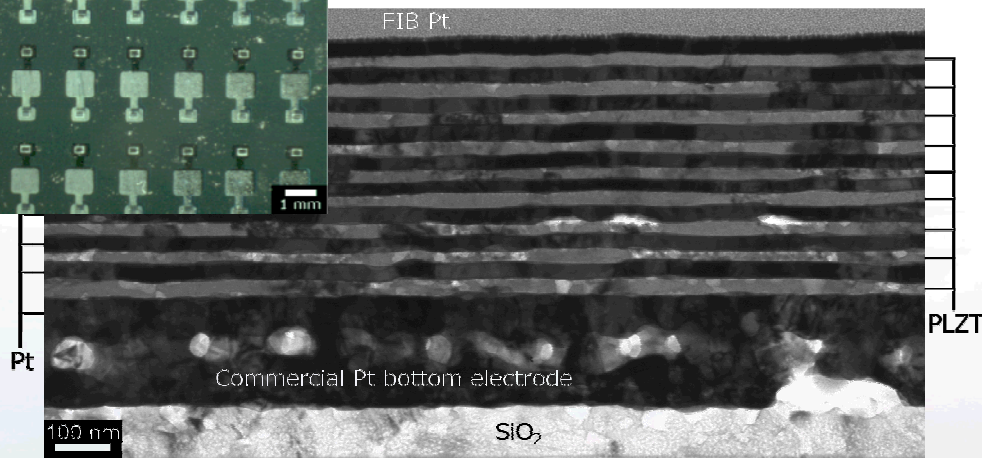
Ferroelectric thin films

- Many commercial and emerging uses:

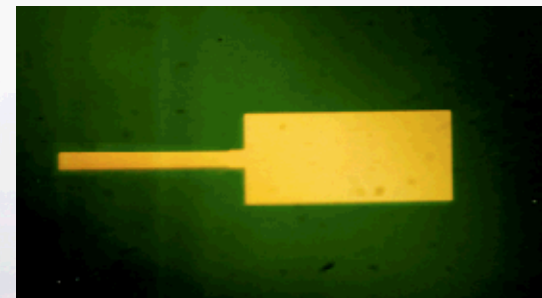
- ◆ FE-RAM
- ◆ Piezoelectric actuators and sensors
- ◆ High-k dielectrics for energy storage
- ◆ MEMS



Functional PZT-based multilayer capacitor structures



Brennecke, et al, *J. Mat. Res.*, (2008)



PZT-MEMS Piezo Cantilever Beam for Energy Harvesting



Substrates

- Most common substrate for oxide thin film synthesis is platinized silicon:
 - $\langle 100 \rangle$ or $\langle 111 \rangle$ Silicon wafer
 - 400 – 500 nm thermal SiO_2
 - 20 – 40 nm adhesion layer: commonly Ti or TiO_2
 - 100 – 200 nm Pt
- Platinum is an obvious choice for bottom electrode:
 - High Schottky barrier height = low leakage current
 - High-temperature oxidation resistant
 - Inert – will not react with deposited film



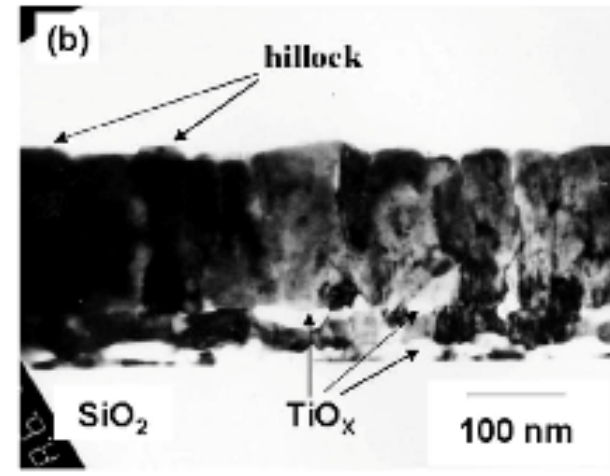
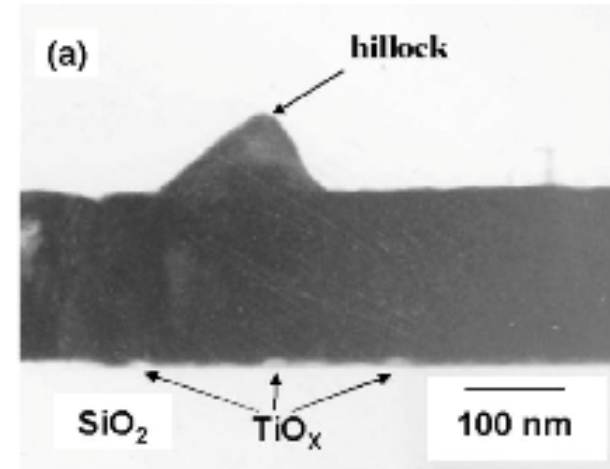
Substrates

■ The adhesion layer is typically the weakest link:

- Ti diffusion into Pt and oxidation
 - High compressive stress in Pt > hillocking

■ Oxidize the adhesion layer?

- Reactions with silicon substrate
- Phase transitions = stress



Nam et al., *Thin Solid Films*, (2000)



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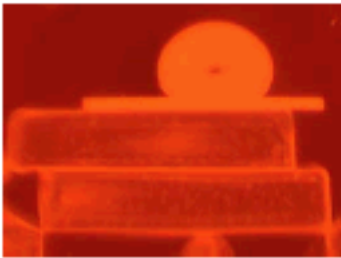
Alternative adhesion layers

■ Replace Ti with more robust adhesion layer:

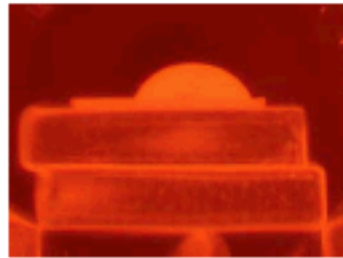
- Zr or ZrO_2 – Al Shareef, H.N. et al *J. Mat. Res.* 12 (1997) 347; Zohni, O. et al. ***Thin Solid Films*** 516 (2008) 6052
- Ta - Klissurska, R. et al. ***Microelectronic Engineering*** 29 (1995) 297
- Al_2O_3 – Halder, S. et al. ***Appl. Phys. A*** 87, 705 (2007)
 - ♦ Al_2O_3 is an excellent high temperature adhesion layer – stable to 1000 °C

■ Can we do better?

ZnO



Molten Cu on Al_2O_3



Molten Cu on ZnO

Substrate	Contact angle (°)	W_a (J/m ²)
Al_2O_3	133 ± 6	0.480 ± 0.142
ZnO	62 ± 5	2.012 ± 0.097

■ Previous work has shown Cu wet ZnO very well:

- W_a is *work of adhesion* and defines energy required to separate materials of interest
- Determined experimentally from sessile drop tests
 - Cu is non-wetting on Al_2O_3 (contact angle $> 90^\circ$) and wetting on ZnO (contact angle $< 90^\circ$)

■ Can we use ZnO as an adhesion layer for Pt?

- Cu and Pt both Fm-3m metals



ZnO

- Pt/ZnO should provide a:

- Non reactive substrate,
- High temperature

- With:

- Smoother interfaces
- No hillocking or delamination

- Resulting in:

- Ferroelectric oxide films with superior dielectric properties

ZnO buffered Pt/Si

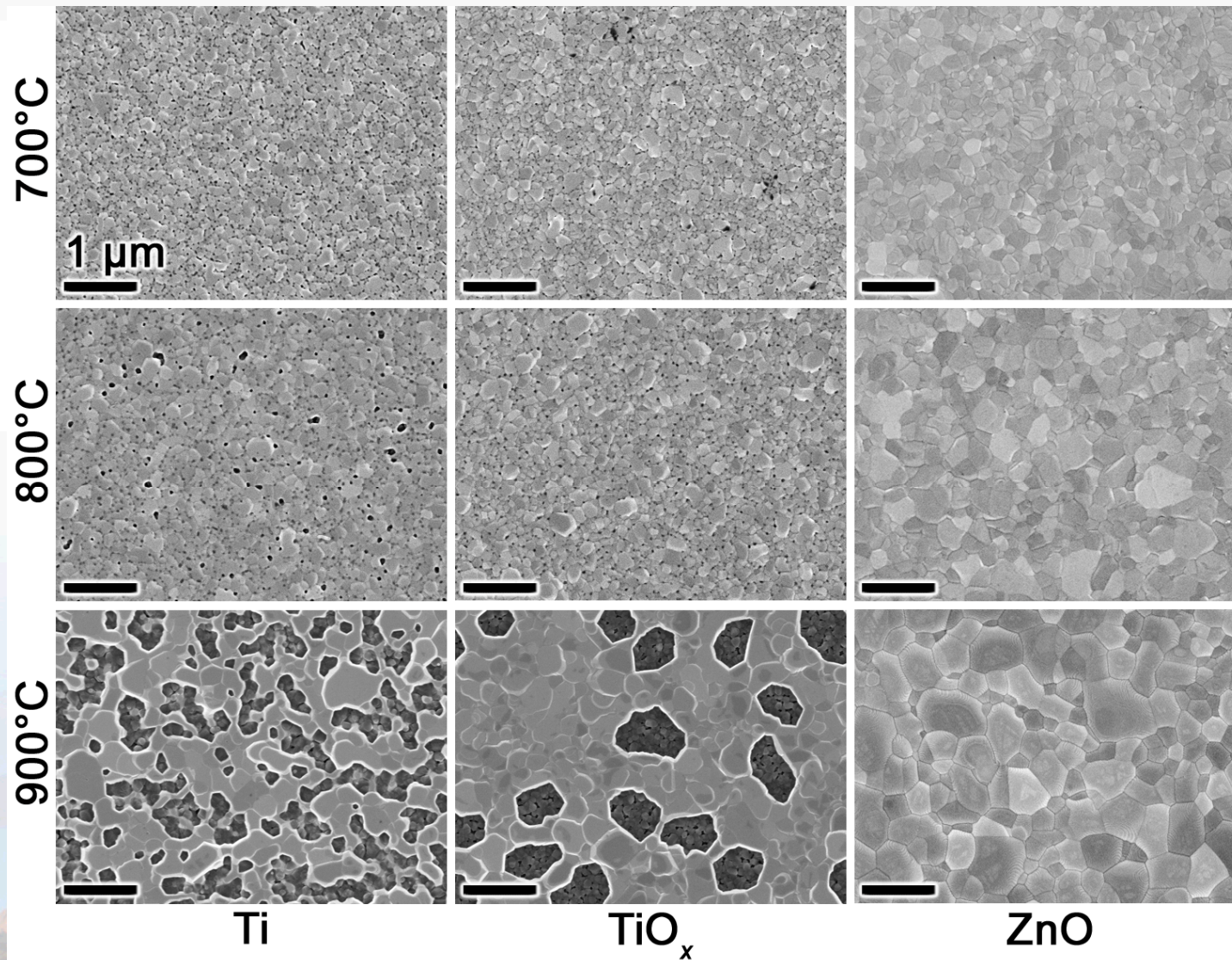
- RF sputter ZnO and Pt on commercially available 400 nm thermal oxide wafers

ZnO buffer layer	
RF power	150 W
Pressure	5 mTorr
Gas	50/50 Ar/O ₂
Thickness	40 nm

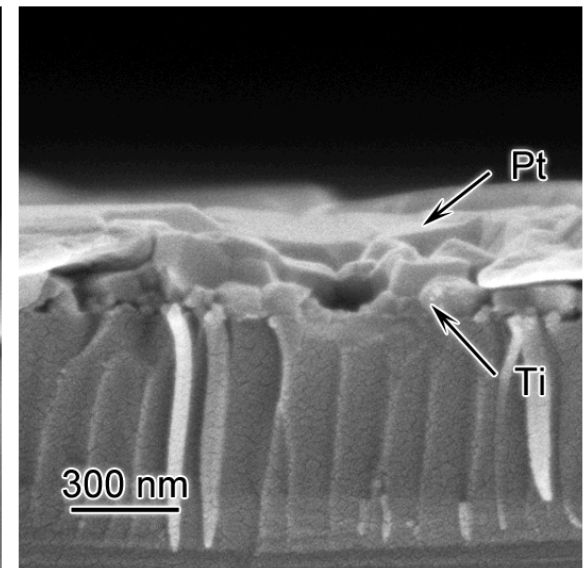
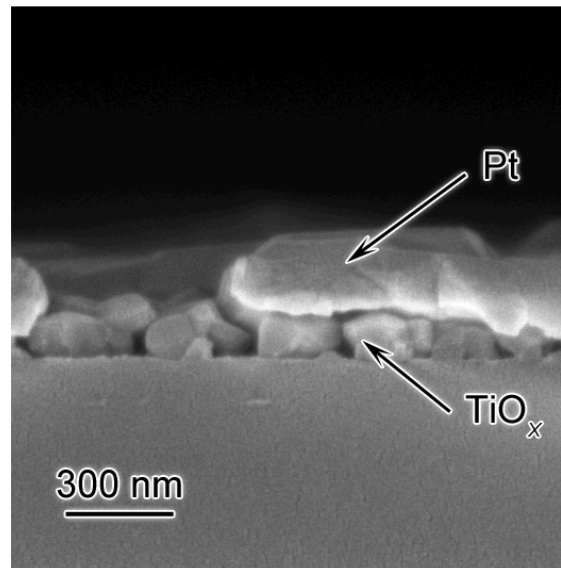
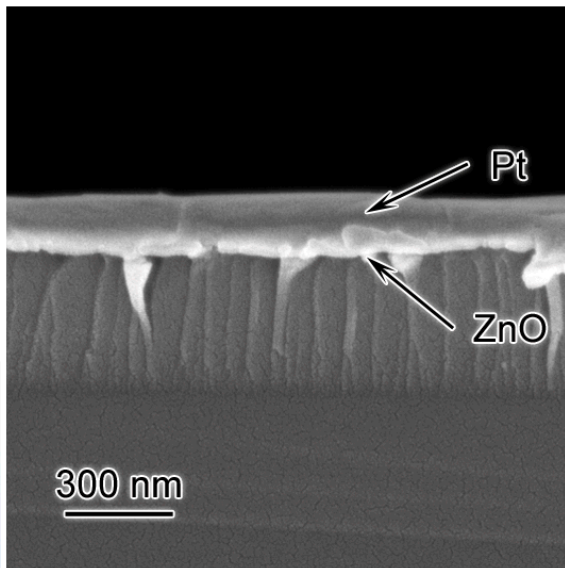
Pt electrode	
RF power	300 W
Pressure	3 mTorr
Gas	Pure Ar
Thickness	100 nm

- Prepare Ti and TiO_x buffered platinized silicon for comparison
 - RF sputter 40 nm Ti metal
 - Oxidize in 15 mTorr flowing O₂ at 450 °C
- What happens to the substrate during thermal processing?

ZnO buffered Pt/Si vs temperature

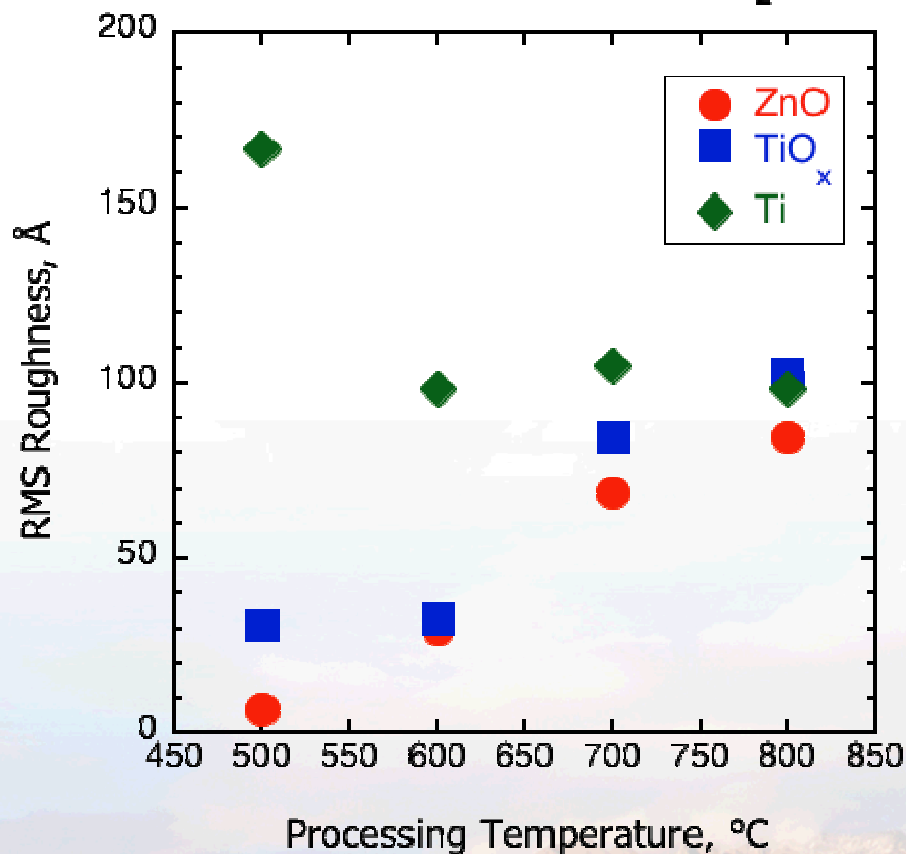


ZnO buffered Pt/Si cross-section



Surface roughness of Platinized Si

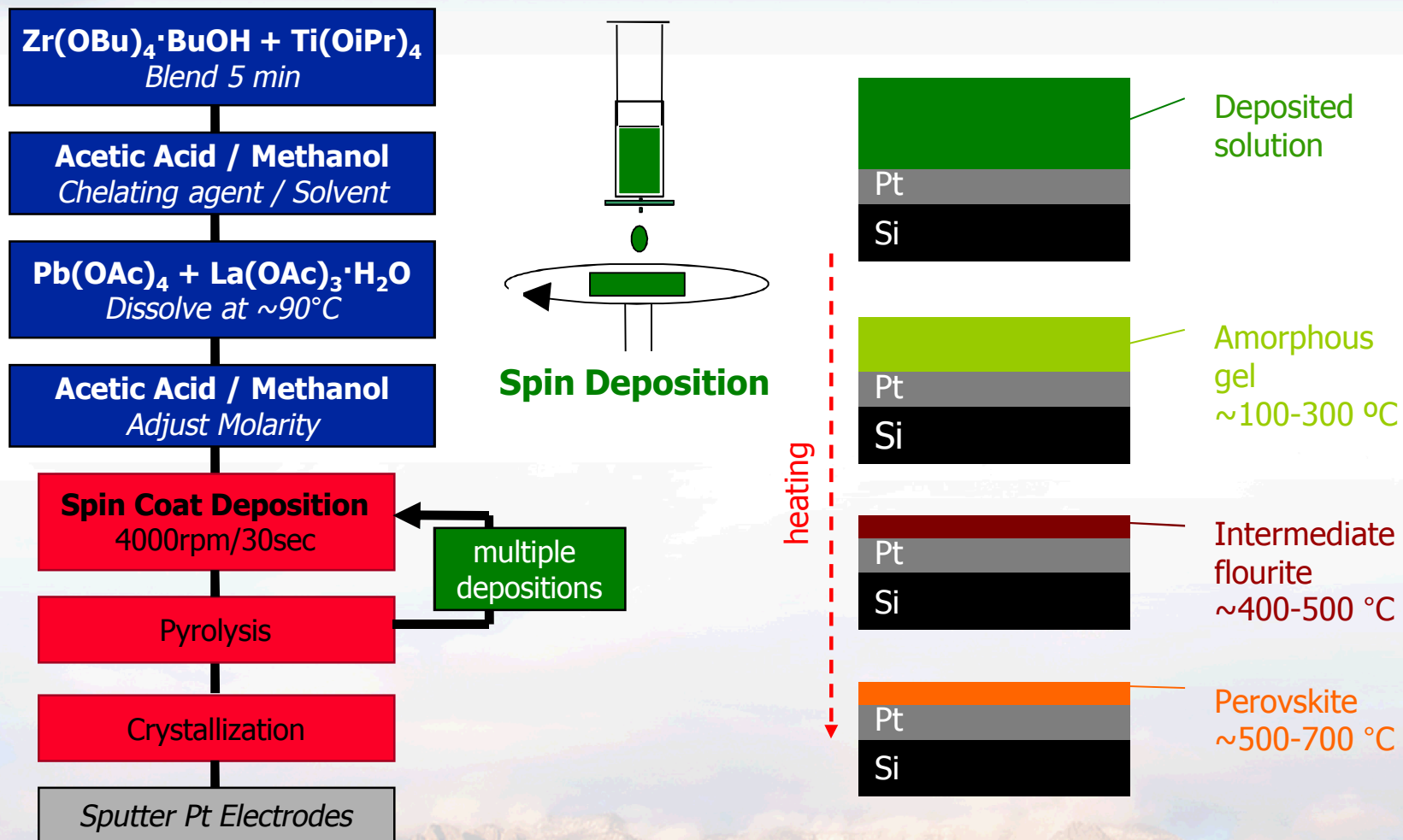
**RMS Roughness of 100 nm thick Pt films on
40 nm of buffer layer/400nm SiO₂/silicon**



- RMS roughness of Pt/ZnO is lower for all temperatures
 - Smoother interface
- How do ferroelectric thin films perform on Pt / ZnO?



SNL IMO-based Solution Route

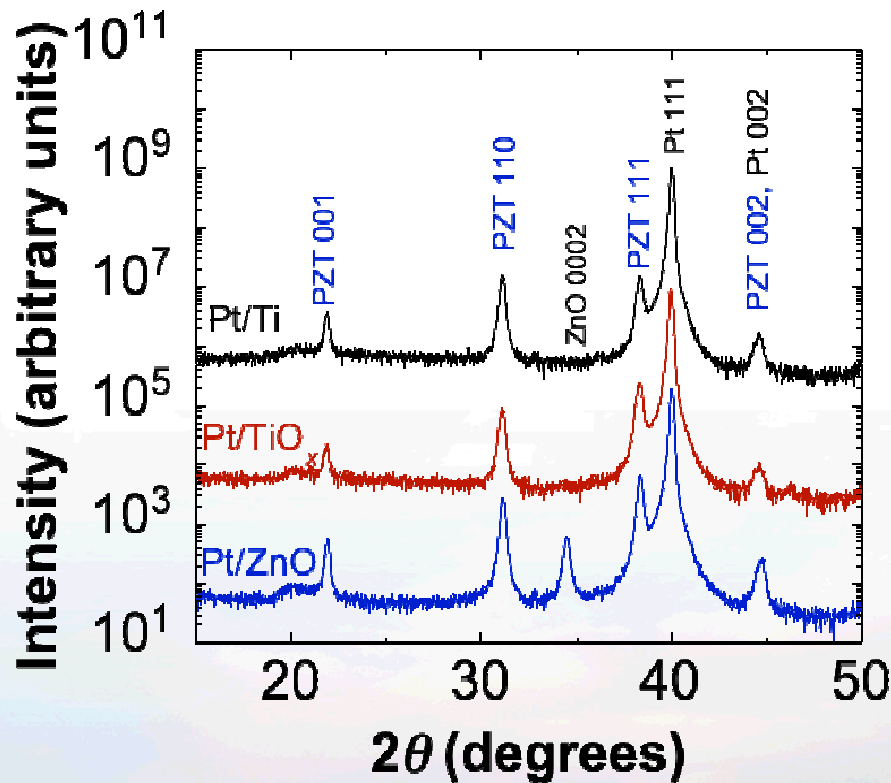


R.A. Assink and R.W. Schwartz;
Chem. Mater. (1993)

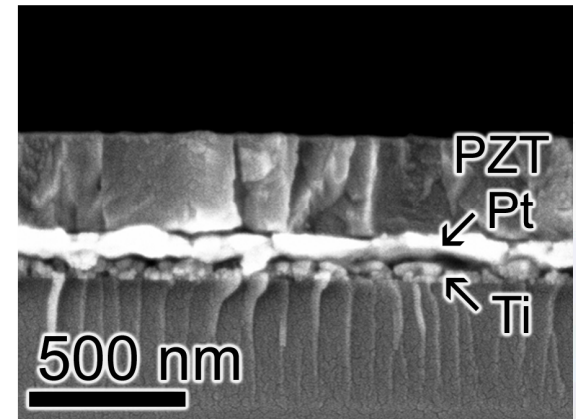
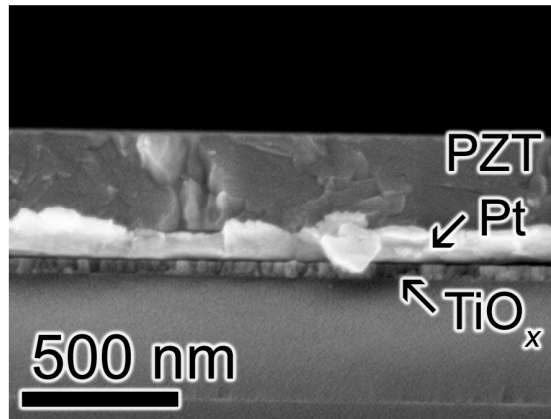
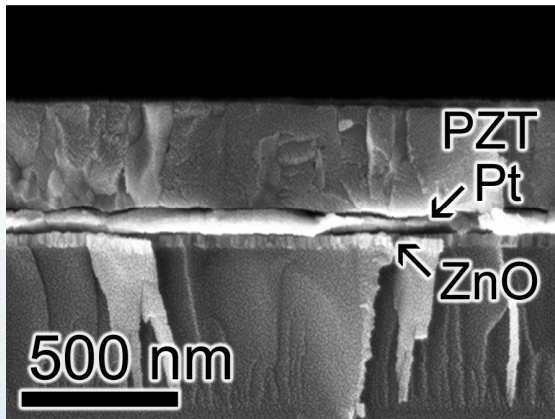
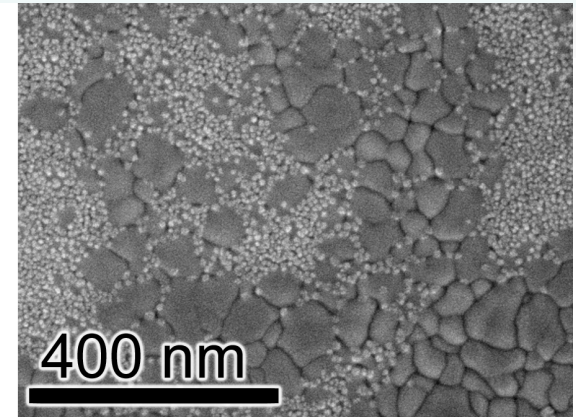
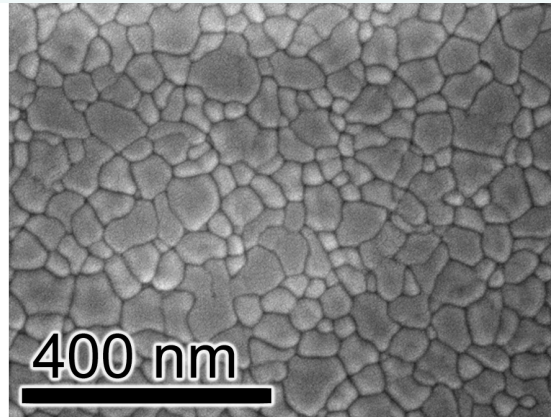
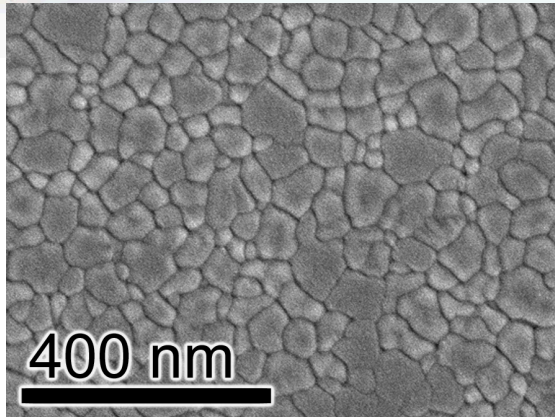
G. Yi and M. Sayer;
J. Appl. Phys. (1988)

PZT Texture

- PZT grows polycrystalline under these conditions - regardless of substrate



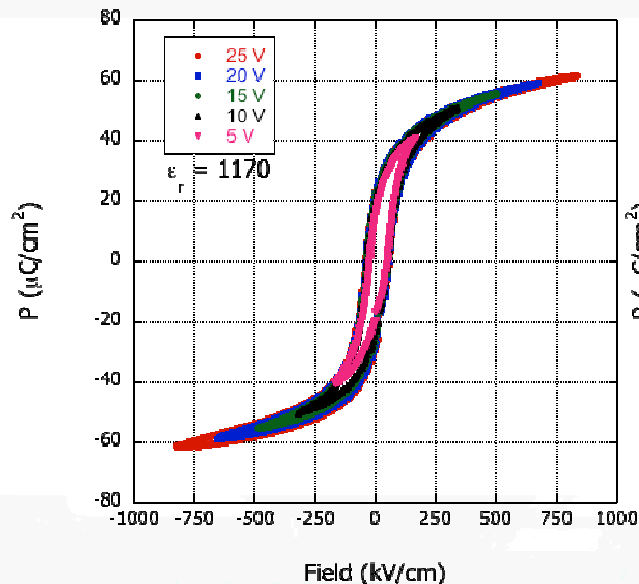
Surface topography



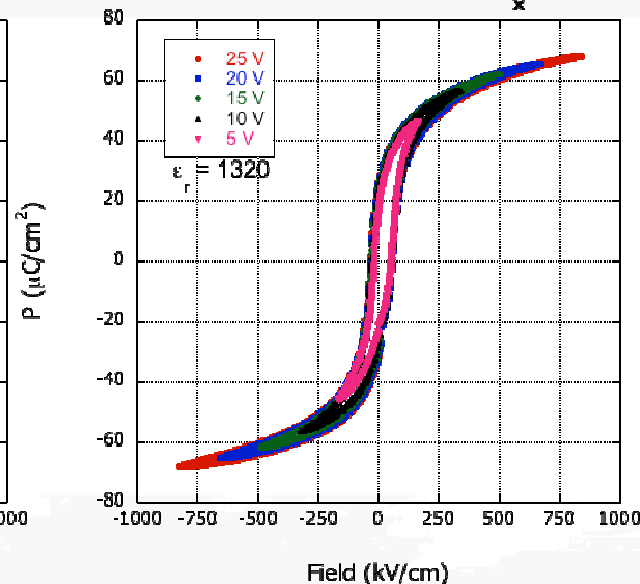
- A fluorite second phase commonly occurs in PZT on Pt/Ti that dilutes permittivity
- PZT deposited on Pt/ZnO produces dense columnar microstructure with no evidence of fluorite

Dielectric and Ferroelectric properties

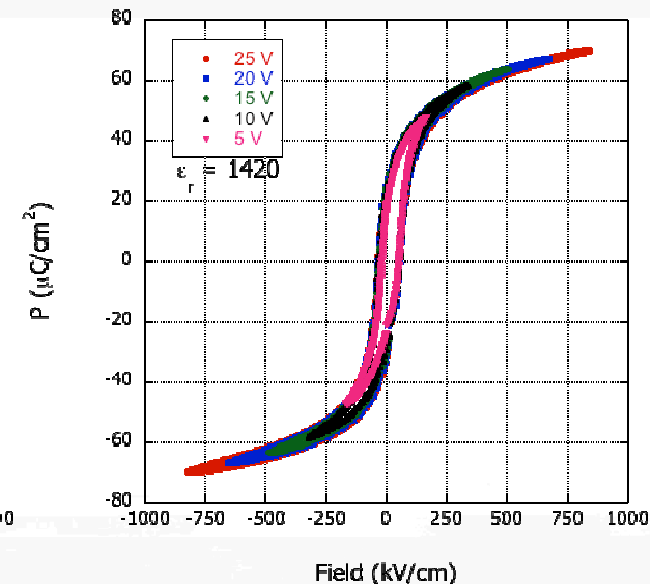
PZT 52/48 on Pt/Ti



PZT 52/48 on Pt/TiO_x



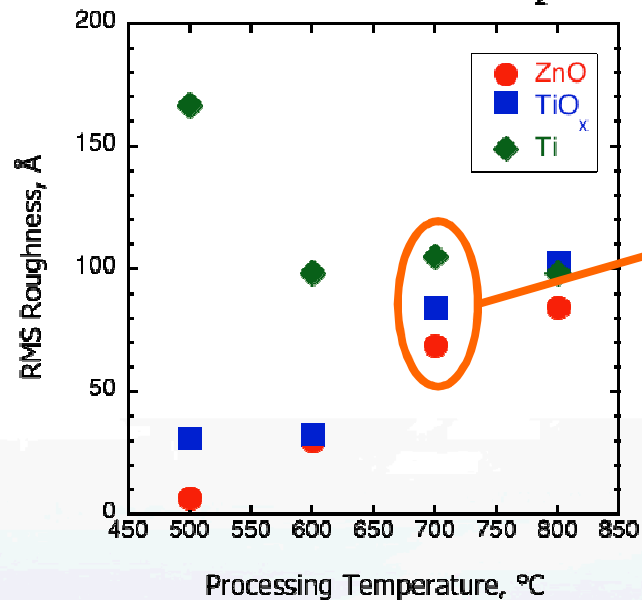
PZT 52/48 on Pt/ZnO



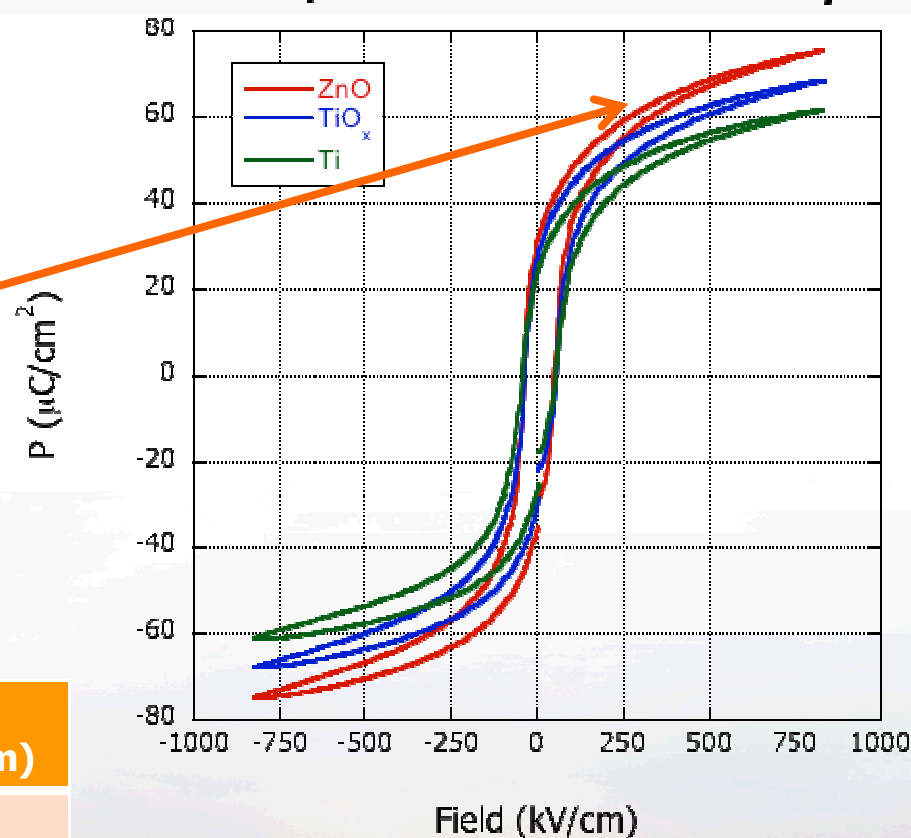
- Low field dielectric properties – 100 mV, 1kHz
 - Films on Pt/ZnO substrates have higher permittivity
- Polarization – field measurements – 10 Hz

Ferroelectric properties

RMS Roughness of 100 nm thick Pt films on 40 nm of buffer layer/400nm SiO₂/silicon



PZT 52/48 on various adhesion layers

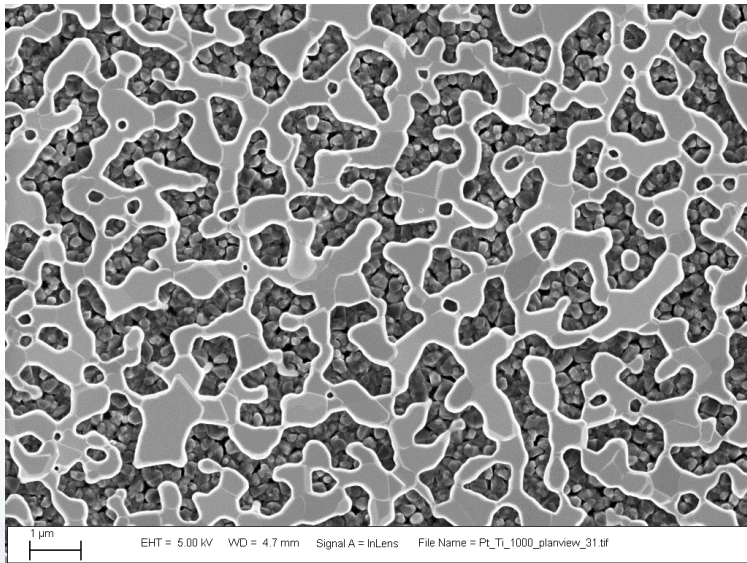


	P_{max} ($\mu\text{C}/\text{cm}^2$)	P_r ($\mu\text{C}/\text{cm}^2$)	E_c (kV/cm)
Pt/Ti	61.5	23.8	47.0
Pt/TiO _x	68.2	27.0	44.1
Pt/ZnO	75.3	32.2	43.8

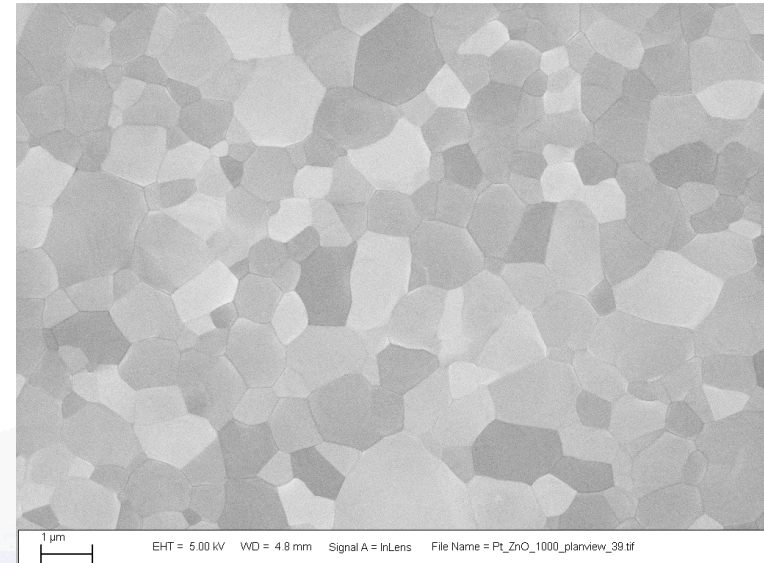


Pt/ZnO as a high temperature substrate

Pt/Ti $\longrightarrow$ Pt/ZnO

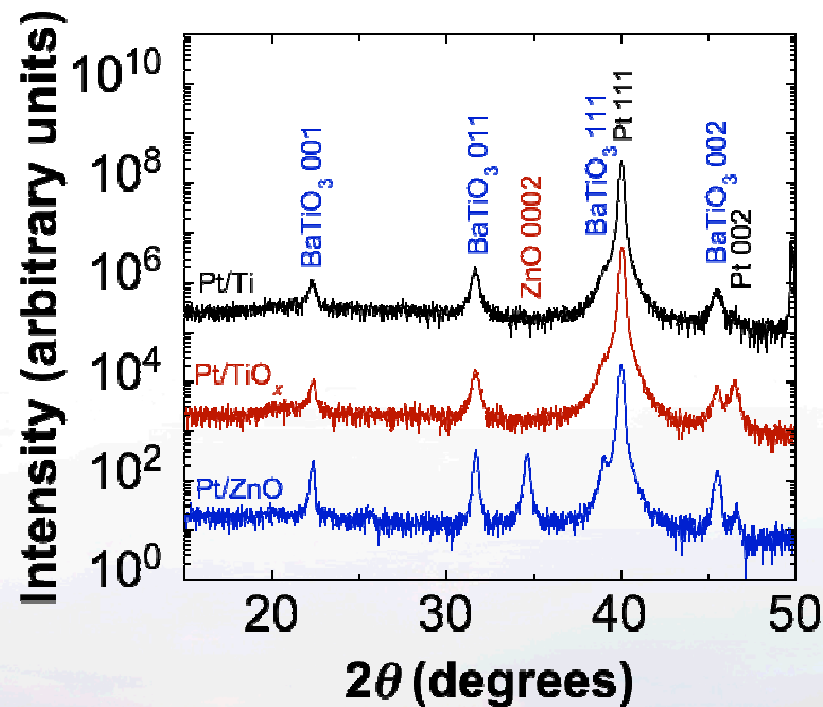
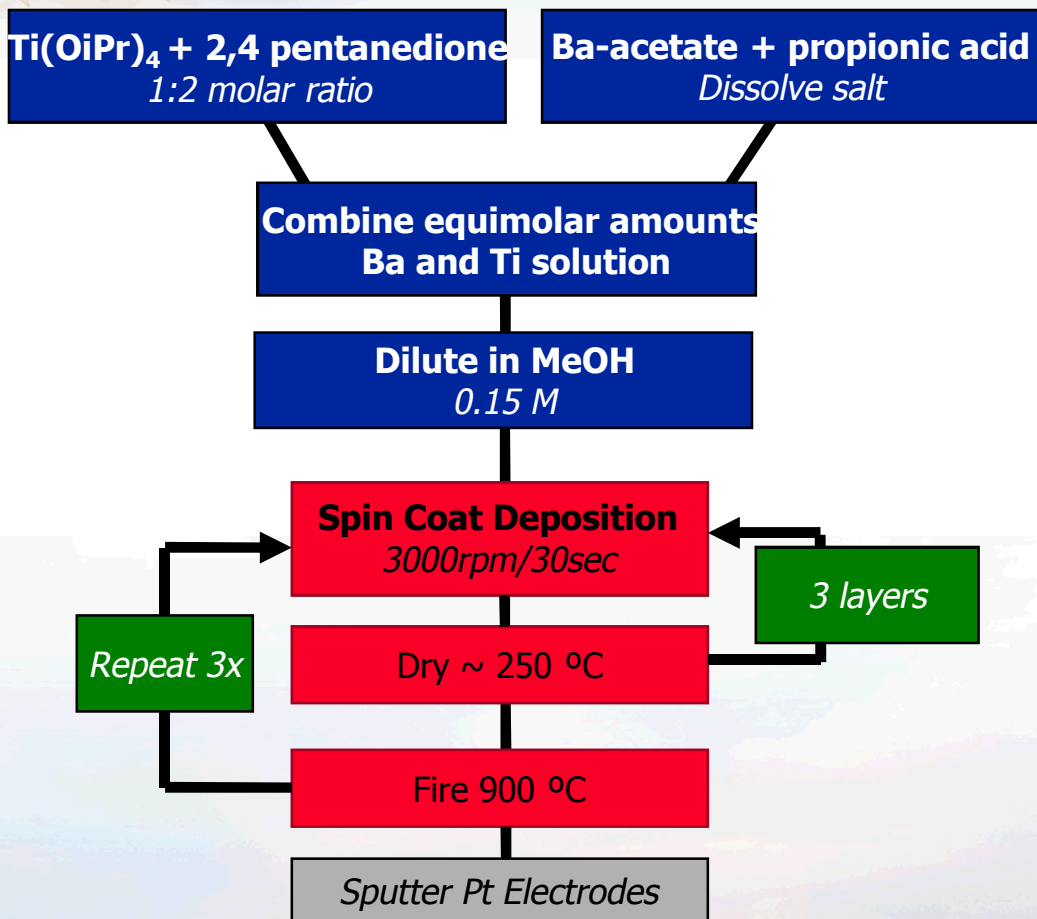


1000 °C

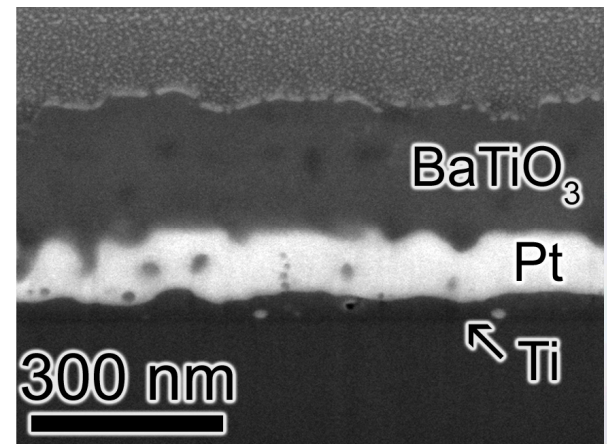
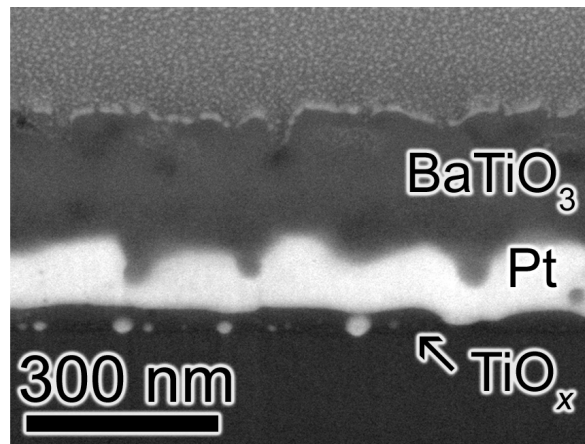
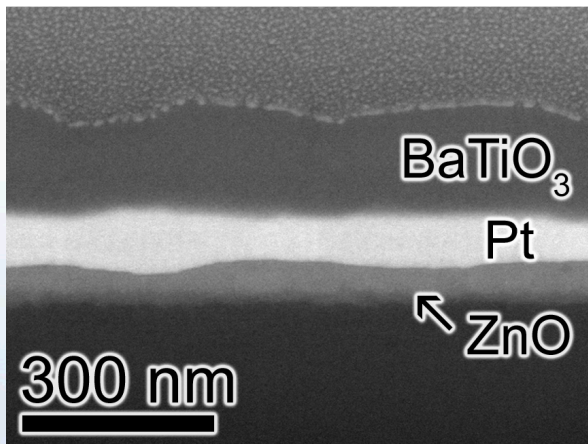
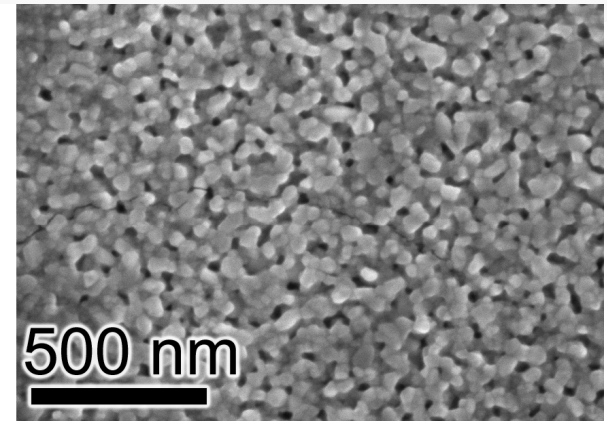
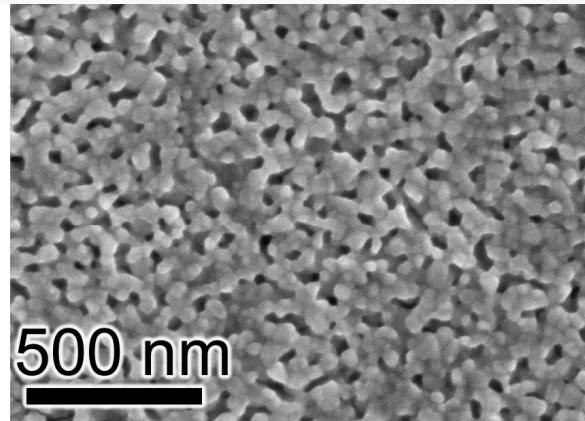
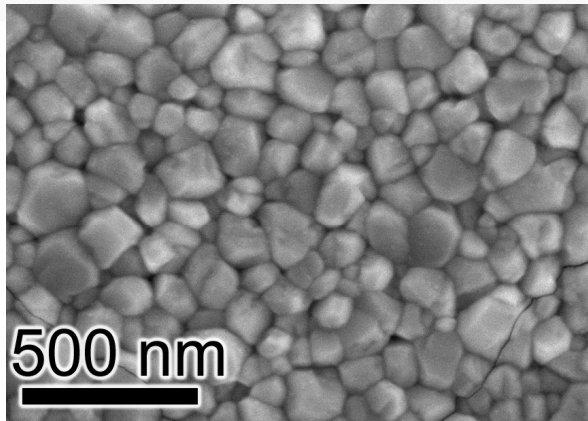


- ZnO buffered Pt/Si is robust enough to withstand process temps in excess of 1000 °C
- Ideal candidate for materials previously best grown on single crystals:
 - BaTiO₃
 - (Ba,Sr)TiO₃

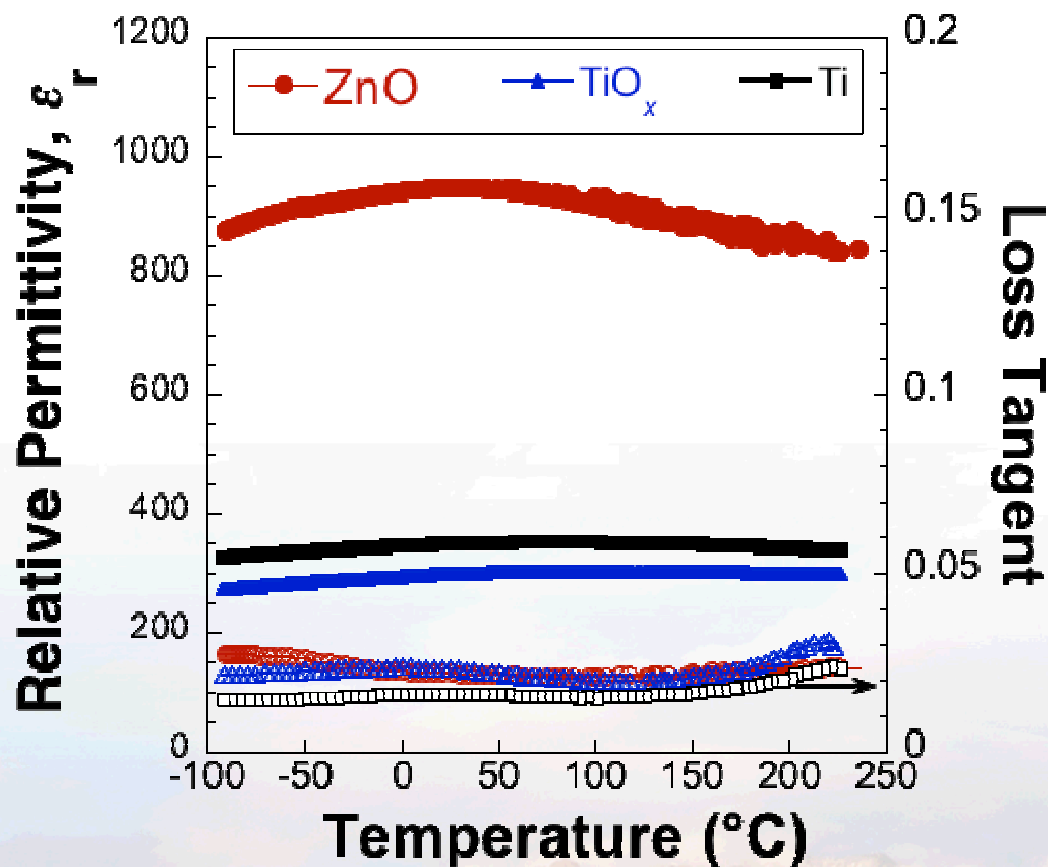
CSD BaTiO₃ on Platinized Si



Topography and microstructure



BaTiO₃ C-T by substrate



- Reduced porosity + larger grain size in films on Pt/ZnO = Higher permittivity
 - Comparable to high quality - fiber textured BT films (Hoffman and Waser; **J. Eur. Cer. Soc.** 19, (1999) 1339)
 - 3x comparable thickness MOCVD BST films (Parker et. al.; **APL** 81 (2002) 340)
- Temperature of max ϵ_r is lower than for bulk BaTiO₃
 - Possible ZnO doping?
 - Thickness effect? Film is only 175 nm thick



Summary

- ZnO buffered Pt/Si may be a significant upgrade to Pt/Si with Ti adhesion layers:
 - Smoother oxide/electrode interfaces
 - Higher k
 - Better ferroelectric properties
- Future uses:
 - Fatigue resistant films
 - High temperature processing of other oxide systems