

A Neurophysiologically Inspired Hippocampus Based Associative-ARTMAP Artificial Neural Network Architecture

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Abstract—Hippocampus within medial temporal lobe of the brain is essentially involved in episodic memory formation. Rather than simply being a mechanism of storing information, episodic memory associates information such as the spatial and temporal context of an event. Using hippocampus neurophysiology and functionality as an inspiration, we have developed an artificial neural network architecture called Associative-ARTMAP to associate k-tuples of inputs. In this paper we present an overview of hippocampus neurophysiology, explain the design of our neural network architecture, and present experimental results from an implementation of our architecture.

I. INTRODUCTION

EPISODIC memory allows us to remember specific personal experiences. In doing so, we are able to remember more than just a particular event. Rather, we are capable of remembering the detailed sequence of events comprising an experience as well as the temporal and spatial context of each event in the sequence [1]. One brain area, hippocampus, is critically involved in remembering the spatial and temporal context of an event. Hippocampus location within human brain may be seen in Fig. 1. The medial temporal lobe (MTL), where hippocampus is located, is the recipient of inputs from widespread areas of the cortex and supports the ability to bind together cortical representations.

A key component of episodic memory is association formation. This capability allows you to relate information

pertaining to an event such as who, what, when, and where. In this paper we present an artificial neural network architecture for association formation inspired by hippocampal functionality. First we give a brief overview of hippocampal neurophysiology, and then we provide an explanation of how we have incorporated key hippocampal functionality into our architecture, next we provide experimental results from an implementation of our architecture, and close with future work and conclusion.

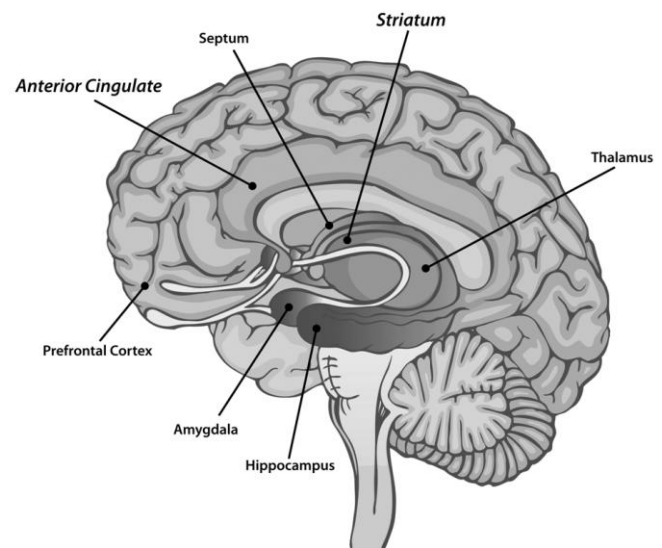


Fig. 1. Hippocampus location within human brain.

II. HIPPOCAMPUS NEUROPHYSIOLOGY

Cortical inputs to MTL arrive from various sensory modalities, with different emphases depending upon the mammalian species. For instance, rats receive a significant olfactory influence whereas bats receive a strong auditory influence [2]. Nevertheless, across species, most of the neocortical inputs to the perirhinal cortex come from cortical areas which process unimodal sensory information about qualities of objects (“what” information), and most of the neocortical inputs to the parahippocampal cortex come from cortical areas which process polymodal spatial (“where”) information [2][3]. There are some connections between the two streams, however overall processing of the streams remains largely segregated until they converge within hippocampus.

Extensive neuroscience research typically identifies hippocampus to be composed of a loop receiving inputs

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from entorhinal cortex (EC) and beginning with dentate gyrus (DG), proceeding to CA3, followed by CA1 and propagating back to cortex. These sub regions will be addressed individually as follows.

The DG receives the conjoined multimodal sensory signals from EC. Anatomically, DG consists of a large number of neurons with relatively sparse neural activation at a given instant. Effectively, this behavior suggests that the DG creates non-overlapping sparse codes for unique events [4]. The sparse DG outputs serve as the input for CA3.

The CA3 region of hippocampus consists of extensive recurrent connections. Additionally, the presence of numerous inhibitory and excitatory interneurons enables CA3 to perform auto-association processes. Anatomically, the output of CA3 proceeds to CA1 and subiculum as the major output regions of hippocampus.

While the exact functionality of subiculum is largely unknown, CA1 functionality is typically identified as learning relational information for temporal sequences and connecting episodic encodings from CA3 with the original EC sensory activations.

We have used some of these functional properties of hippocampus as the basis for an artificial neural network architecture for association formation which we will describe next.

III. COMPUTATIONAL ARCHITECTURE

In general, an association is a relationship between entities of a particular type. For example, an individual is associated with their name or two individuals may be associated by a common workplace. All entities are trivially related to themselves, but more interesting associations are between pairs and k- tuples of entities. A pair is the simplest non trivial association, but more complexly, k individual entities may be associated with each other as a k-tuple. And so the question arises as to how relationships are formed.

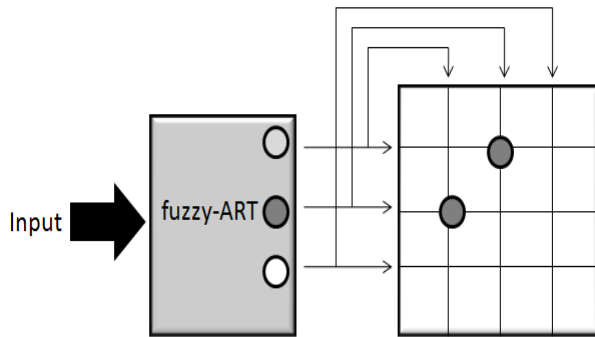


Fig. 2. Associative-ARTMAP Architecture.

Numerous domain specific rules or heuristics may be derived based upon criteria such as distance metrics or shared features. But instead, our architecture, which is inspired by hippocampus, answers this question by the premise of associating a focus with its context, analogous to the dorsal and ventral partitioning in EC sensory input signals. In other words, our approach associates what and where information based upon their shared frame of

reference. For example, a man may be associated with the home he is seen living at.

However, beyond simply deciding what entities should be associated with one another there is also the issue of representation. Prior to entering hippocampus, sensory signals pass through numerous layers of cortex. And throughout these layers a representation for entities is built up. Eventually, within hippocampus, the DG is believed to create unique sparse encodings for unique perceptions. Likewise, our architecture relies upon having a unique representation of the inputs it receives such that it can identify whether the current input is an item it has seen before and update any existing associations appropriately, or whether the input is novel necessitating a new encoding.

Our architecture, shown in Fig. 2, addresses this capability by using fuzzy-Adaptive Resonance theory (ART) artificial neural network modules. Developed by Carpenter and Grossberg, the ART family of neural networks are online, unsupervised neural networks which are excellent at category recognition [5]. The fuzzy-ART variant which we have employed in our architecture operates upon real valued inputs. Given a vector of real valued numbers corresponding to a particular input, fuzzy-ART performs pattern classification and through winner-take-all competition yields a unique output value to represent a group of similar inputs. A vigilance parameter allows us to control how similar inputs must be to be classified within the same category. A vigilance value of one specifies the inputs must be identical, and lowering the vigilance parameter towards zero allows for generalization such that similar, but not exactly identical, inputs may be grouped together. If no existing category is sufficiently close to represent a novel input, then a growing version of ART is capable of expanding and creating a new category. We have utilized these capabilities by employing a fuzzy-ART module to classify the inputs presented to our architecture. In the neurophysiology, DG creates a unique encoding of unique inputs. Likewise, the fuzzy-ART module we are using in our architecture creates representative categories for inputs. Repeated presentation of formerly presented inputs activates the same categorical representation whereas newly seen inputs can be represented by their own encoding. These unique categorical activations may then be further processed and associated together.

The DG encodings of hippocampus propagate to the CA3 region which is heavily composed of recurrent connections and association is believed to occur. In our architecture, by connecting a mapfield to the template activations of the fuzzy-ART module we are able to encode associations among k-tuples of inputs. Existent neural network architecture ARTMAP links two ART modules by a mapfield such that the mapfield may record simultaneous activations across the two ART modules. The rectangular mapfield of ARTMAP connects one ART module to each axis of the map grid and the intersecting grid lines encode a connection between the two ART modules [6]. This architecture allows input pairs simultaneously presented to be linked together such that a label presented to right (or B) side ART module may be correlated with the encoding the

Our Associative-ARTMAP architecture consists of only a single fuzzy-ART module and utilizes the mapfield to encode associations between k-tuples of entities presented to it rather than between two ART modules. Instead of connecting a separate ART module to each axis of the mapfield, the outputs of our single ART module are mirrored connecting it to both sides of the mapfield and subsequently allowing associations to be formed across the single ART module. The mapfield stores a value of one at the grid intersection of the associated entities and a value of zero indicates the two entities represented by that mapfield grid intersection point are not associated with one another based upon formerly received inputs. Consequently, the mapfield of our Associative-ARTMAP architecture creates a symmetric binary association matrix.

The overall Associative-ARTMAP architecture is depicted in Fig. 2.

As a demonstration of the associative capability of our Associative-ARTMAP architecture we have constructed a simple image association experiment with 13 unique inputs and 14 associations amongst the inputs. As the base case,

Input 1	Input 2
1	2
2	3
5	6
5	2
3	4
2	13
9	2
10	9
2	11
7	4
8	2
2	12

we have set k equal to two so that the associations are pairs. While ART is capable of processing any vectorized inputs, for this experiment we have presented our architecture with grayscale images of uniquely numbered circles as shown in Fig. 3. Each row in the figure portrays an associative pairing and the column depicts the individual input which was presented to the architecture.

The ordering of the pairs is arbitrary in regards to the overall result, however computationally by using a fuzzy-ART neural network, the ordering influences the representative template encoding of the input. Additionally, the placement of an input in column one or two is also arbitrary. For example, Input 2 in the first row is the same image as Input 1 in the second row. Due to the fact that both Input 1 and Input 2 are processed by the same Fuzzy-ART module, the repeated presentation of an input is represented by the same output activation as opposed to a unique encoding whether the input was presented as Input 1 or Input 2.

For this simple example, we were able to manually construct the association matrix yielded by the pairing of the inputs for comparison purposes and verify the association matrix yielded by our Associative-ARTMAP architecture was equivalent. The association matrix generated by our architecture is shown in Fig. 4, which is identical to the manually constructed association matrix.

[illegible]

Additionally, as a more intuitive depiction we have generated an association graph from the mapfield which is shown in Fig. 5. As illustrated in this figure, while simple pairs were presented to the architecture, the net result is a more complex associative graph or network in which larger transitive and group associations may be inferred. For example, while input circles 10 and 4 were never associated with one another, transitive association paths exist by which the two inputs may be connected.

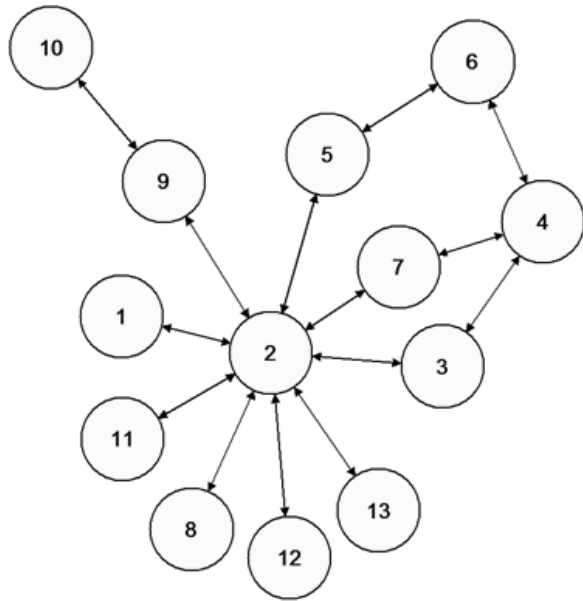


Fig. 5. Graph of resulting associations.

VI. TEXT ASSOCIATION EXPERIMENT

As a second exemplar illustrating the associative capabilities of our architecture, we have created a text based association example. Just as an individual's physical self is associated with their name, an individual's first and last name are associated with one another. And so, for this

First Name:	Last Name:		
George	Washington	Benjamin	Harrison
John	Adams	Grover	Cleveland
Thomas	Jefferson	William	McKinley
James	Madison	Theodore	Roosevelt
James	Monroe	William	Taft
John Quincy	Adams	Woodrow	Wilson
Andrew	Jackson	Warren	Harding
Martin	Van Buren	Calvin	Coolidge
William	Harrison	Herbert	Hoover
John	Tyler	Franklin	Roosevelt
James	Polk	Harry	Truman
Zachary	Taylor	Dwight	Eisenhower
Millard	Fillmore	John	Kennedy
Franklin	Pierce	Lyndon	Johnson
James	Buchanan	Richard	Nixon
Abraham	Lincoln	Gerald	Ford
Andrew	Johnson	James	Carter
Ulysses	Grant	Ronald	Reagan
Rutherford	Hayes	George H.W.	Bush
James	Garfield	William	Clinton
Chester	Arthur	George W.	Bush
Grover	Cleveland	Barack	Obama

Fig. 6. Input text pairs of U.S. President Names.

example we have used the first and last names of United States Presidents as our dataset [7]. First and last names were presented to the architecture individually and consecutively as text strings. John Quincy Adams and the two President Bush's were presented as triples rather than pairs to differentiate these individuals. The full input data is portrayed in Fig. 6. The ordering shown in the image is the same as the order presented to our architecture, and although the ordering does not affect the final associations formed we have presented the paired names in order of presidency.

In order to process text strings using a Fuzzy-ART module the text string must be mapped to a numeric vector. In this case, we have done so by forming a vector consisting of the American Standard Code for Information Interchange (ASCII) decimal value for each individual letters in the names [8]. Additionally, because Fuzzy-ART requires a fixed length input vector, we have padded the shorter names with zero values at the end of the vector to attain a constant length for all text strings. Alternative numeric text encodings are possible but would not alter the resulting association formations.

VII. TEXT ASSOCIATION RESULTS

This example illustrates our architecture's ability to operate upon various input types, not just graphics as demonstrated in the first example. The particular characteristics of an association graph or network are dependent upon the data presented to the architecture. This second example is a larger input data set which exhibits some characteristics not present in the first example. Due to the increased complexity of this example, the full association matrix generated by the architecture is too large to meaningfully display within this paper. However, Fig. 7 depicts a few of the interesting associations extracted from the overall resultant association matrix.

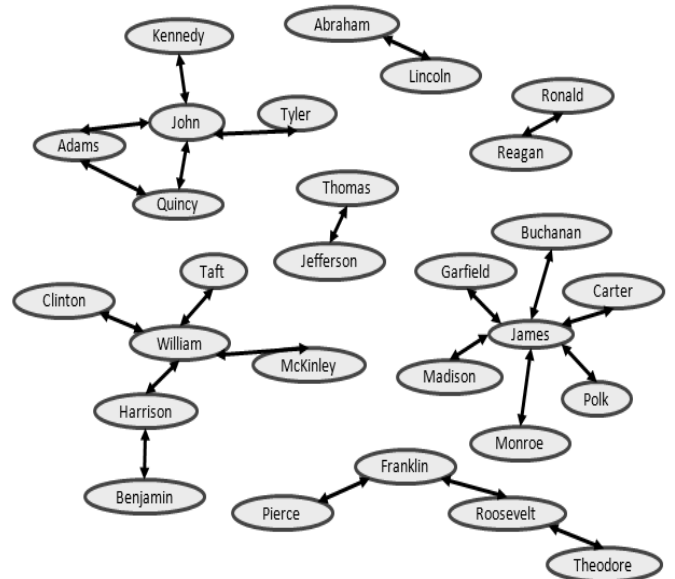


Fig. 7. Partial graph of text associations of U.S. President Names.

In this example, the overall association graph is not connected, but rather disjoint groupings form, some of

which are shown in Fig. 7. For example, as may be seen on the right side of Fig. 7, James has been a popular first name among several presidents and thus six different presidents are all associated with this first name. Other associations are unique in the sense that they do not share a first or last name with any other president and consequently only the two names are associated with each other and nothing else. Two such examples shown in Fig. 7 are Abraham Lincoln and Ronald Reagan near the top right corner of the figure.

John Adams was the second President and John Quincy Adams was the sixth President. As previously stated, the name John Quincy Adams was presented as a triple to differentiate these two men. The graph of the resulting association group is illustrated in the upper left portion of Fig. 7.

VIII. CONCLUSION AND FUTURE WORK

In this paper we have presented an artificial neural network computational architecture with functionality inspired by the neural functionality of hippocampus. Specifically, this architecture was designed based upon the CA3 region of hippocampus to learn associations amongst k-tuples of entities. Our approach is general, as opposed to a domain specific solution, in the sense that it can handle any sort of input as long as the input may be represented as a numeric vector.

Future development of this architecture may include additional processing within the mapfield. Rather than simply recording a binary association value, additional metrics such as a frequency count or a recency value may provide interesting enhancements. Incorporating a frequency count is one possibility to identify strength of association such that pairings repeatedly presented together are more strongly associated than items only presented once. In our preliminary architecture, presentation order is irrelevant, but if instead order matters a temporal marker could be utilized to assess how recently an association was formed. From this approach, various further processing could be incorporated such as the decay of associations over time. Depending upon the particular application, architecture modifications such as these provide great potential for enhanced further processing.

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