

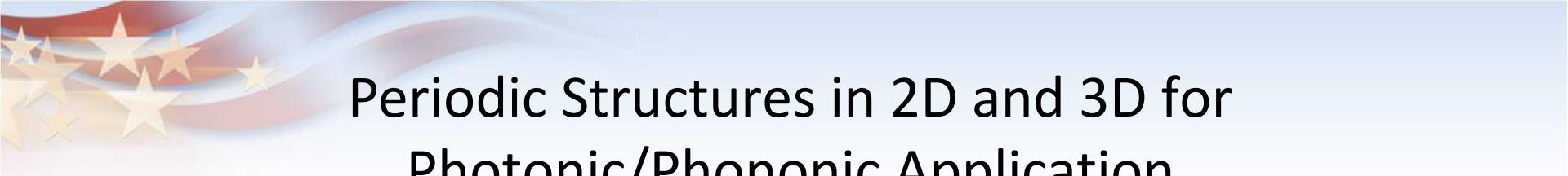


# Larger Scale Fabrication of Nanometer to Micron Sized Periodic Structures in 2D and 3D: Approaches and Trends

SAND2011-3540C

G.R. Bogart  
Sandia National Laboratories  
Phononics 2011  
May 29-June 2, 2011  
Santa Fe, NM USA

Sandia is a multiprogram laboratory operated by Sandia Corporation, a Lockheed Martin Company,  
for the United States Department of Energy under contract DE-AC04-94AL85000.



# Periodic Structures in 2D and 3D for Photonic/Phononic Application

Past 20 years research, fabrication and processing have focused on optical band gap structures looking for large photonic band gaps and photon control.

## Why Phononics?

- Renewed interest in the past few years in mechanical waves passing through air, liquids, and solids (elastic waves). Like Photonic crystals and photon manipulation, phononic crystals can be used to manipulate acoustic or elastic waves.
- Obvious uses: Sound attenuation, acoustic filters, acoustic super lenses (ultrasound), thermal management, energy harvesting.
- Sonic and ultrasonic crystals are macroscopic and can be manufactured in large scales with conventional processing techniques, hypersonic crystals require 3D periodic patterns created at the micron to nanometer scale.



# Congratulations! You Made It!!



The next possible questions:

Can you make more?

And how soon can I get them??



Wow! It's so tiny!! I need it bigger. Can I get a few square meters?

How much does it cost?

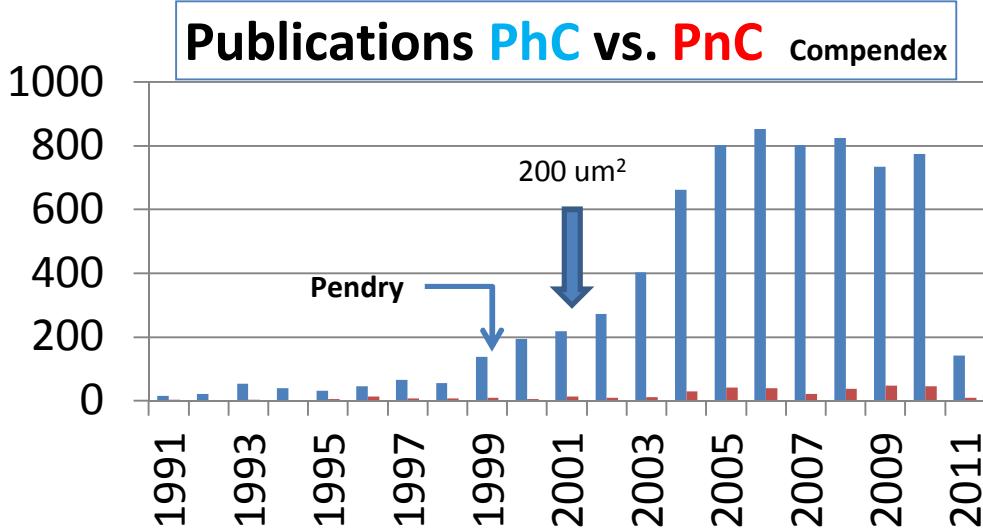
I've done some calculations...can you make it out of \_\_\_\_\_?

Where do you begin?



# Fabrication of Photonic vs. Phononic Crystals: Some Perspective

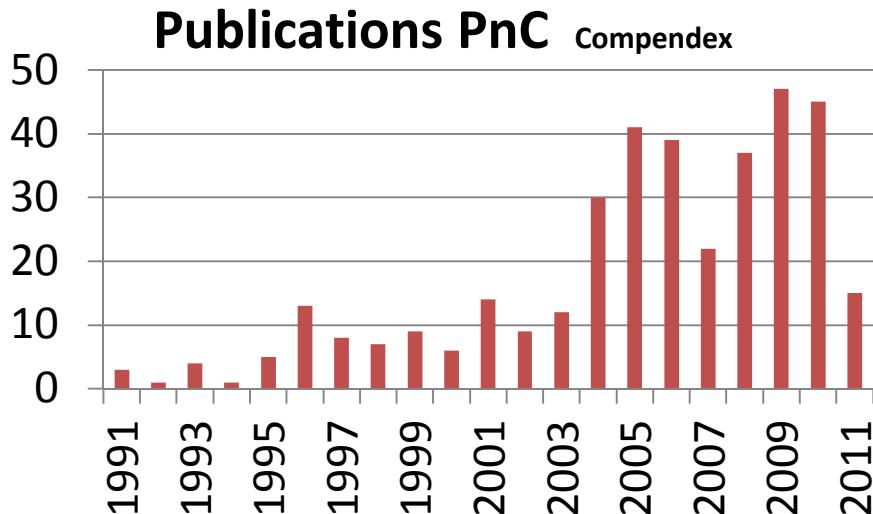
Crystal Type	Photonic	Phononic
Medium to control	Light/Photons	Sound/Elastic Waves
Element Pitch	nm – um	nm – meters
2D (Slab)	Yes	Yes
3D (Bulk)	Yes	Yes



Large area in 2001  
Several hundred square  
microns. Generally  
technique limited due to  
element size.  
Search Terms: Photonic, or  
phononic crystal, fabrication

# Fabrication of Photonic vs. Phononic Crystals: Some Perspective

Crystal Type	Photonic	Phononic
Medium to control	Light	Sound
Element Pitch	nm – um	nm – meters
2D	Yes	Yes
3D	Yes	Yes



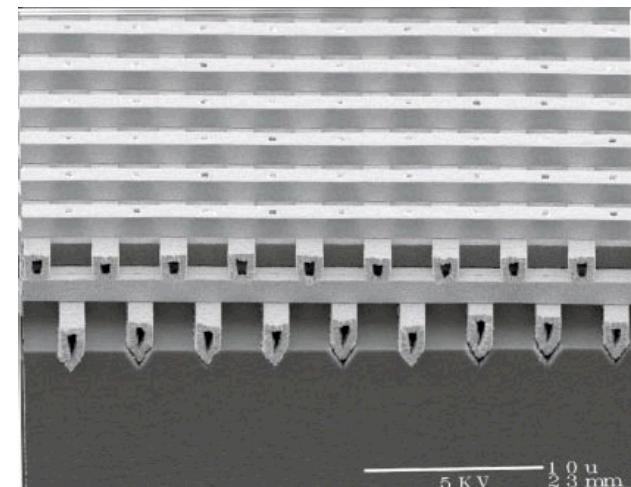
Large area dimension in 2011 is substantially larger. PnC element sizes are more easily fabricated than photonic in some applications (long wavelengths). Other limitations come in to play.



## Traditional Approaches for PhC or PnC :

Top-down processing in the semiconductor fab. What works for PhC should work for PnC

- Single print area can be large (EUV 26mm x 33mm field size)
  - Field stitching for larger areas
- Leverage existing pattern transfer processes
  - Speed and know how/ flexibility
- Limited set of materials
- Front end (geared toward small features) vs. Back end

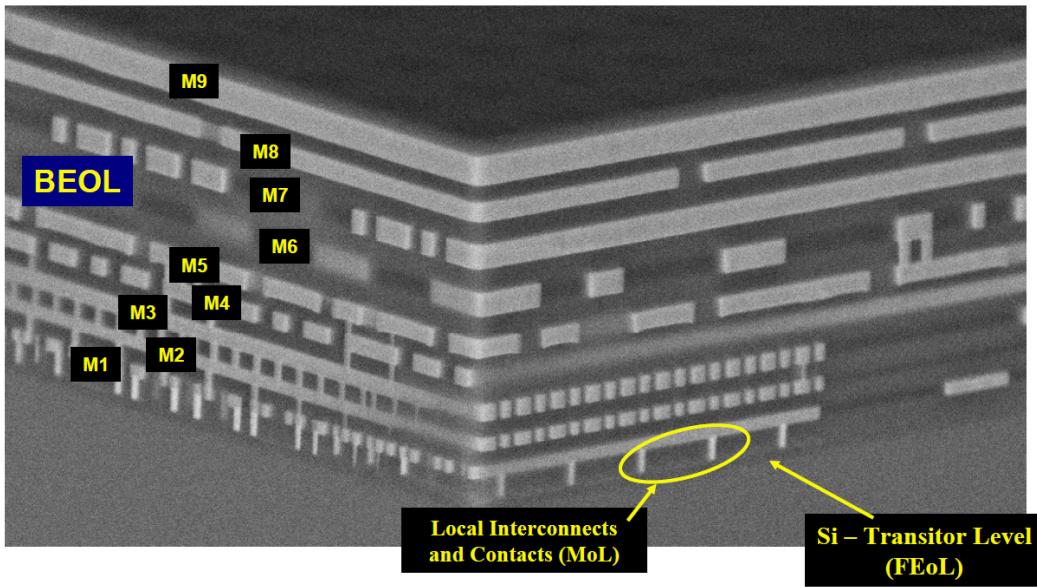


J. Fleming, SNL 2002

~1um on 4um pitch Tungsten from PolySi

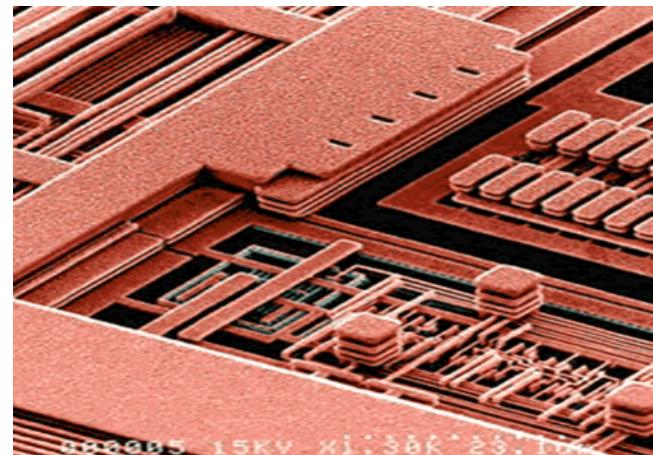
# More Metal, More Layers, More Molding, More Stress

AMD Cu Interconnect Technology: AMD Opteron™ Microprocessor with 9LM BEOL



William H. Dresher, Ph.D., P.E.

[http://www.copper.org/publications/newsletters/innovations/2006/01/copper\\_nanotechnology.html](http://www.copper.org/publications/newsletters/innovations/2006/01/copper_nanotechnology.html)



7

02/23/2006

C. Labelle, Feb'06 SRC Review



C. Labelle, Feb SRC Review, 2006.

11 layers of metal at the 65nm node with inductors and solenoids fabricated

<http://www.electroiq.com/index/display/semiconductors-article-display/296969/articles/solid-state-technology/volume-50/issue-7/departments/technology-news.html>

G. R. Bogart, Sandia National Laboratories,  
New Mexico June 2, 2011

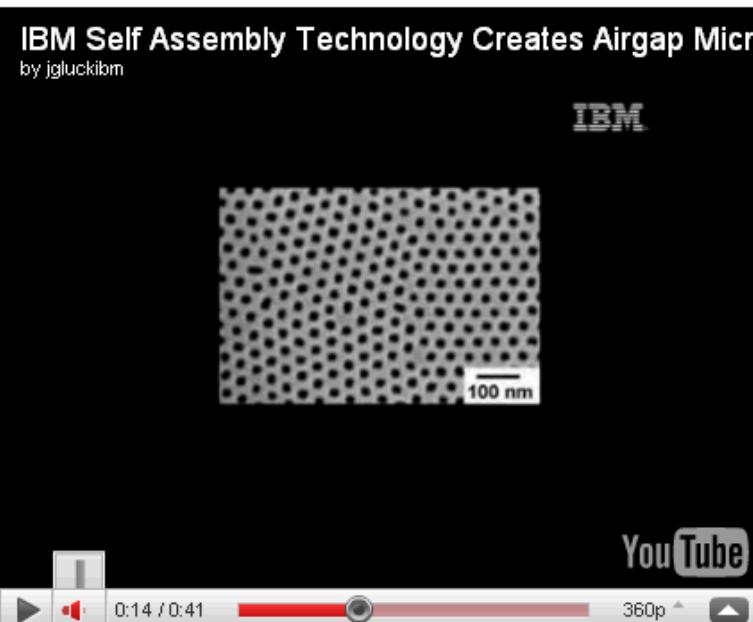
7





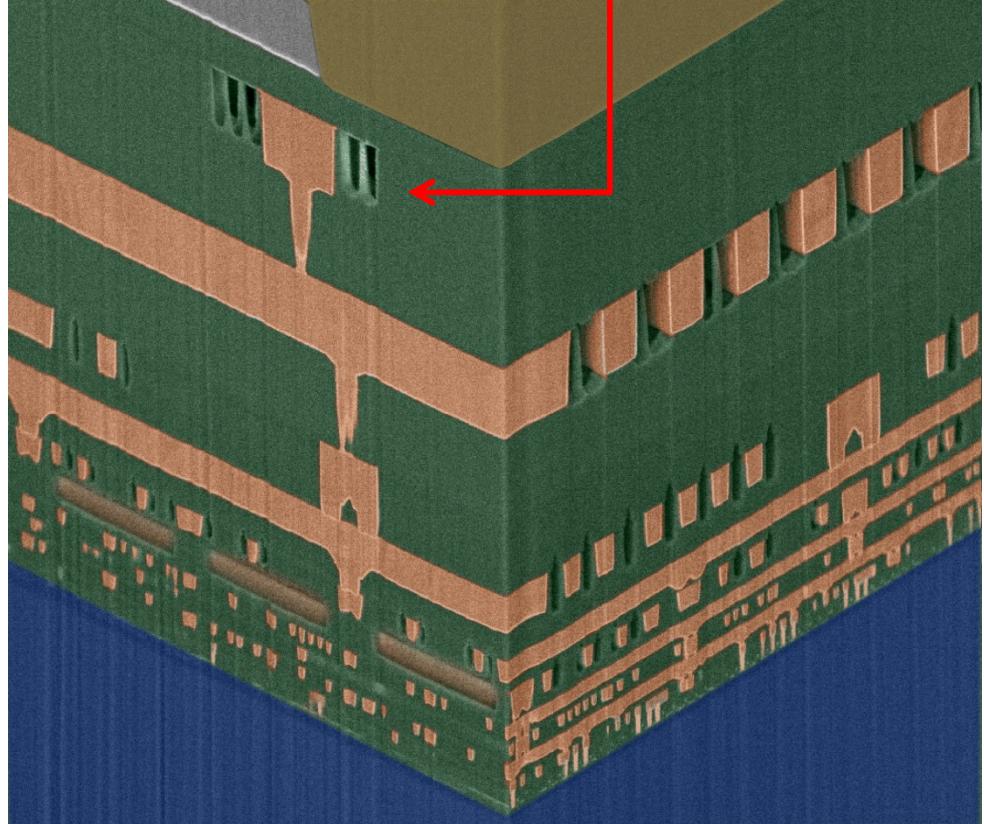
# IBM Self-Assembly of Diblock Copolymer for Air Gaps

## Dan Edelstein, May 2007



Self-assembled tiny holes allow for  
pinch off in CVD  
Deposition.

<http://www-03.ibm.com/press/us/en/presskit/21463.wss>





# Stamping and Printing for High Volume/Low Cost Scaling

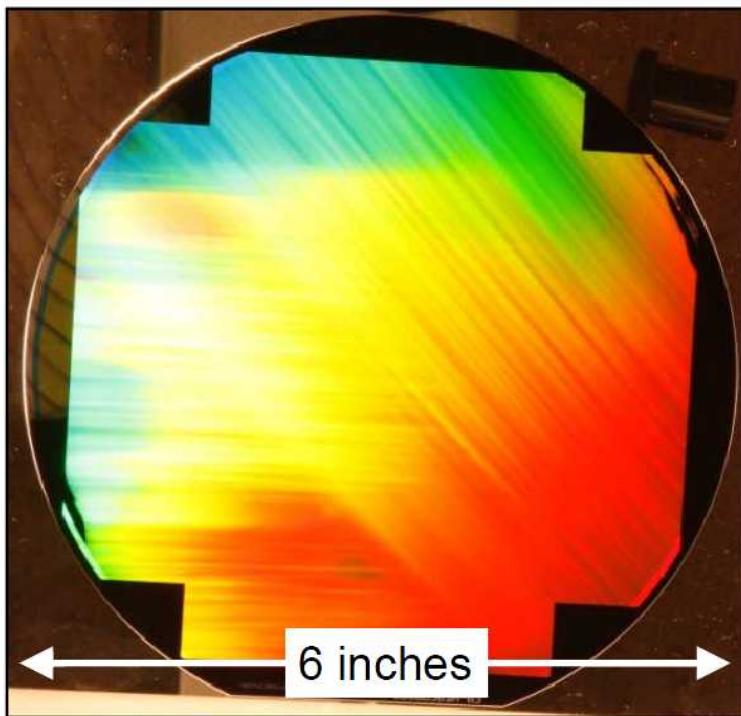
- ~2<sup>nd</sup> Century China printing with wood block stamps
- ~11<sup>th</sup> Century China: printing using clay tablets
- Signet rings or cylinders: Much earlier by the Egyptians and others ~4000 BC.  
Mesopotamia: Between Tigris and Euphrates rivers
- Gutenberg: Printing press, ~1450 A.D.
- Nano-imprint Lithography, ~1995 Chou, et. al. Currently being leveraged by semiconductor fabricators.



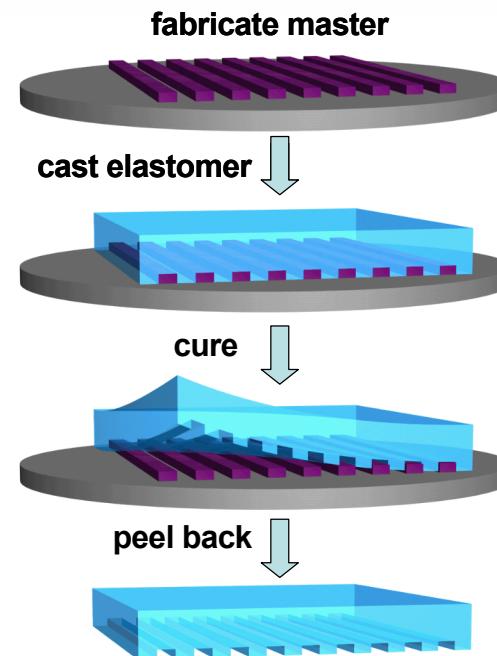
<http://previous.presstv.ir/photo/20090302/ahmadi-nastaran20090302124546109.jpg>  
(Ancient cylinder seal found in Iran)



# Printing and Stamping Large Area 3D “Fishnet” Negative Index Materials



D. Chanda, J. A. Rogers, UIUC, G.R. Bogart SNL Nature Nanotech In Press



Large effort to make the master.  
Less effort to make the stamp

Material issues: Polymer shrinkage, thermal stability, CD control, topography. Flat surface to curved surface transfers?

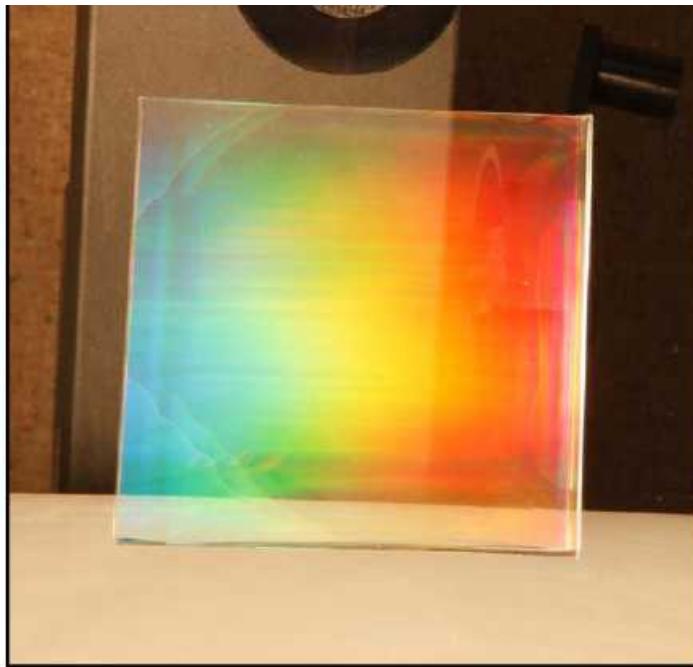
Rapid and reproducible pattern transfer down to the nanoscale or better.

The cost is still in the mold master.

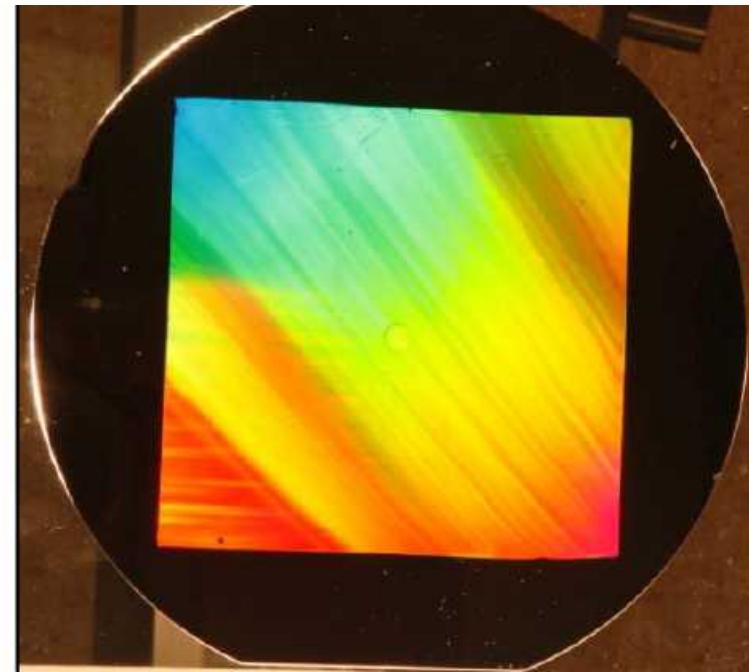


# Soft Molds for Pattern Transfer

Soft silicone mold



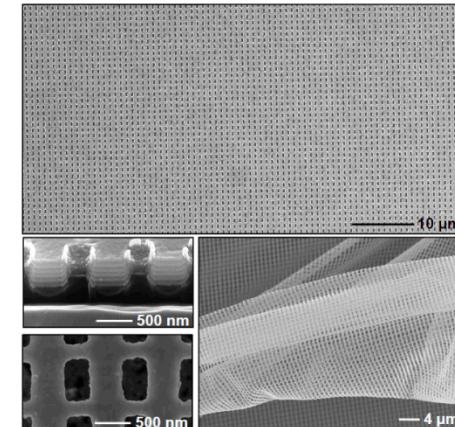
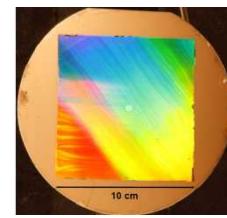
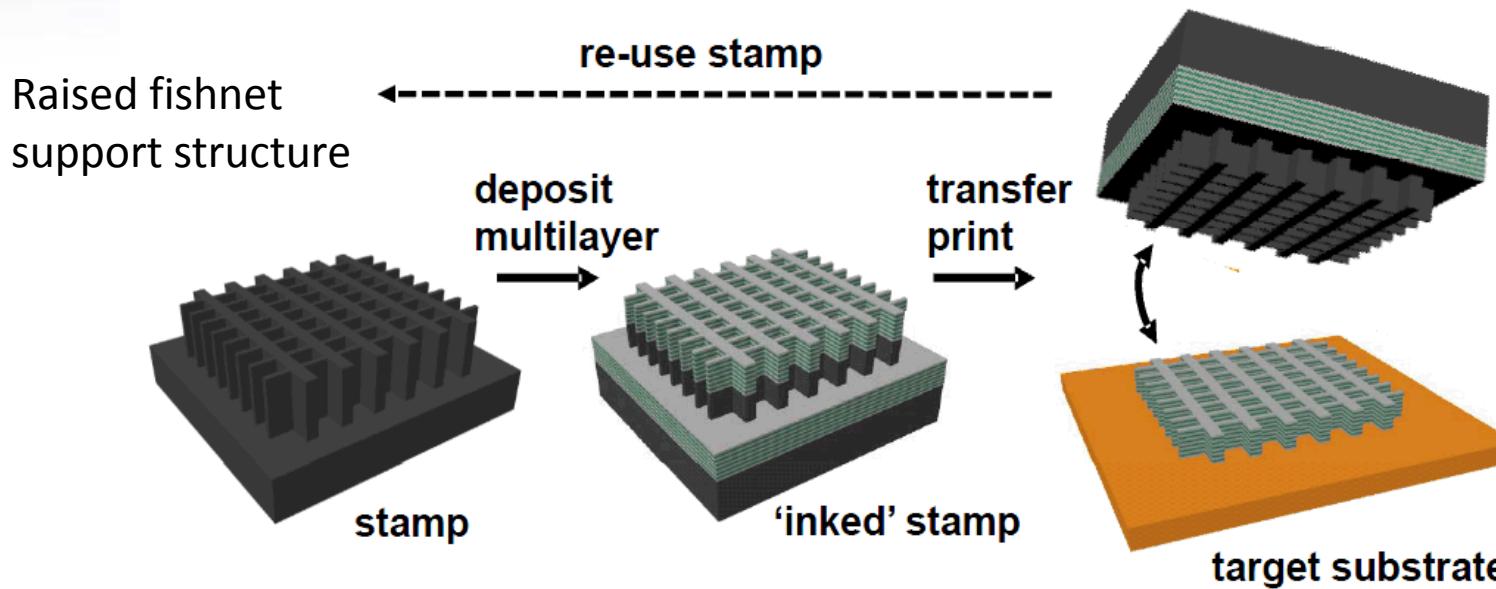
Pattern transferred to silicon wafer using traditional resist/etch

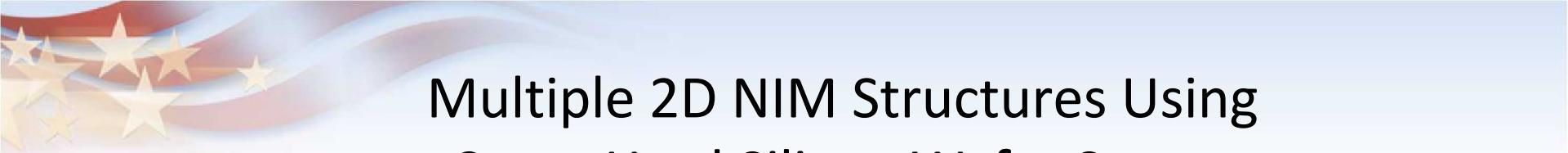


D. Chanda, J. A. Rogers, UIUC,  
Bogart SNL Nature Nanotech In  
Press



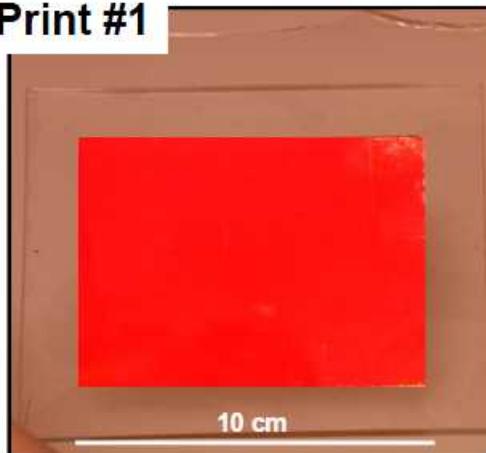
# Large Area NIM Fabrication



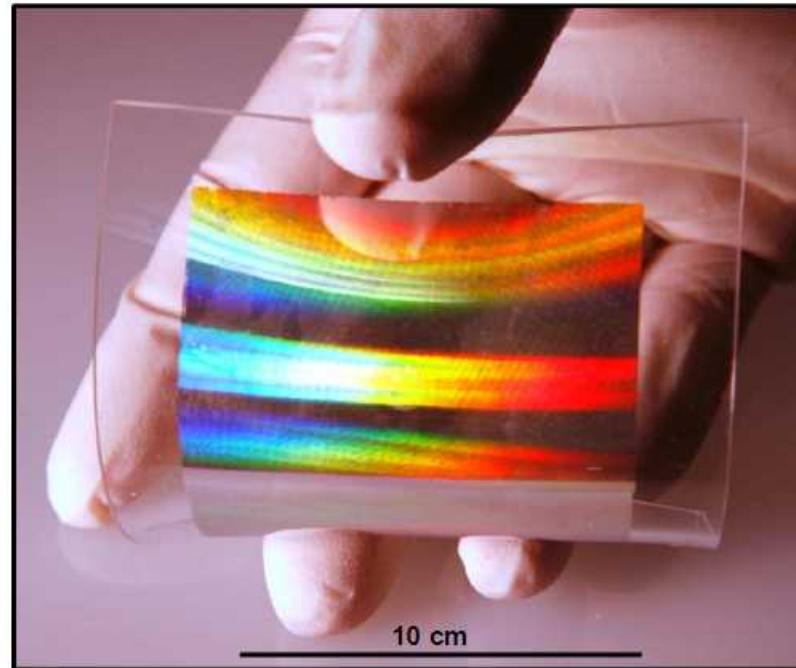


# Multiple 2D NIM Structures Using Same Hard Silicon Wafer Stamp

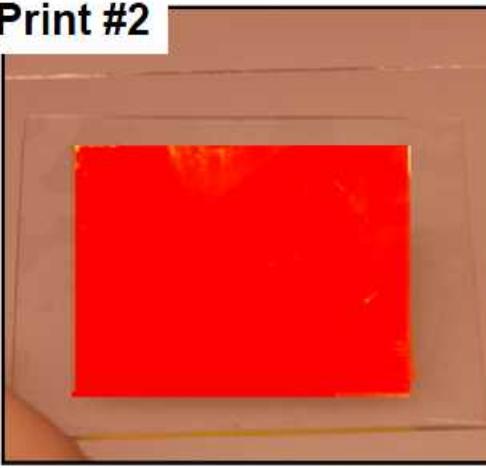
Print #1



Low-Cost, Plastic Substrate



Print #2



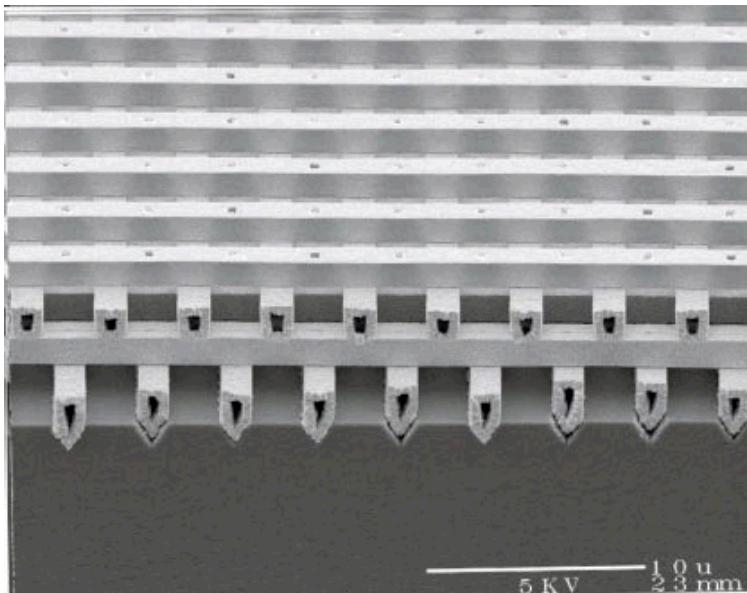
*Nature Nanotech, in press*



# Phase Mask Lithography For Stamped 3D Structures

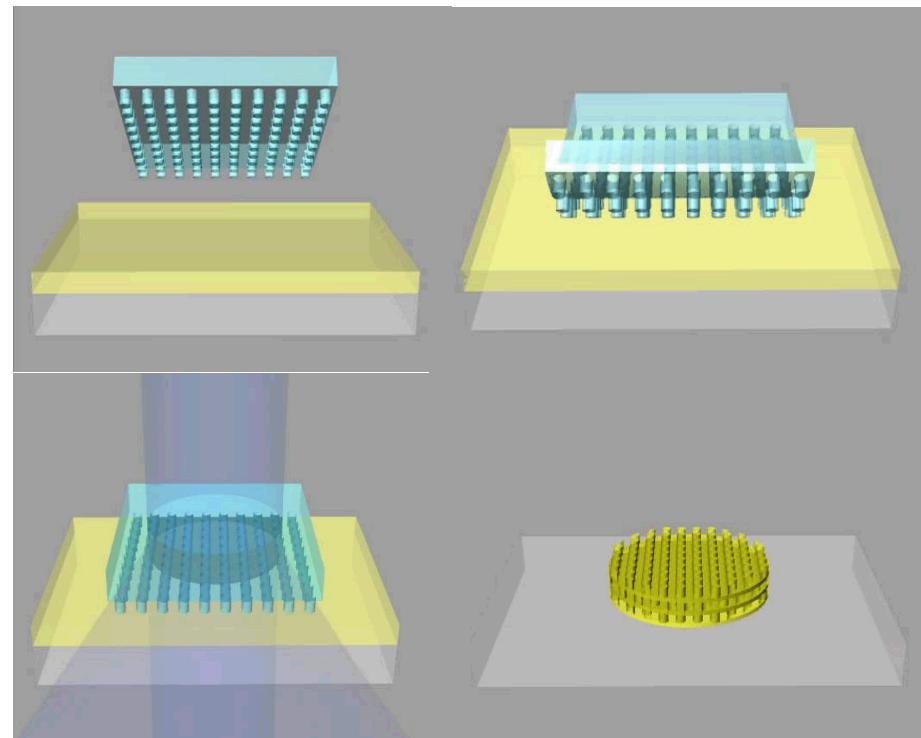
J. Fleming, SNL 2002

~1um on 4um pitch Tungsten from PolySi



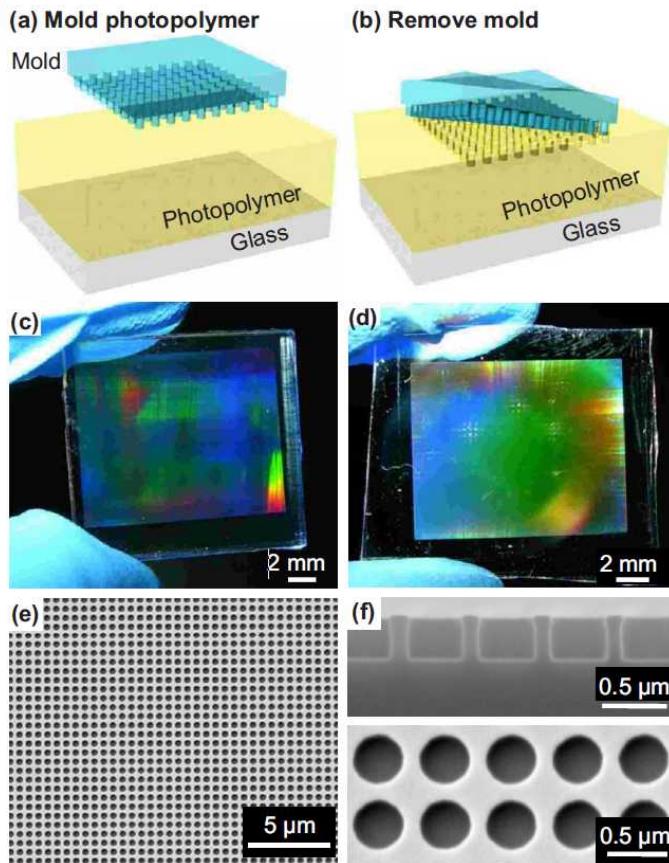
Layer by layer 3D  
Extent of effort is  
very high.

Can we get 3D structures in  
one shot?

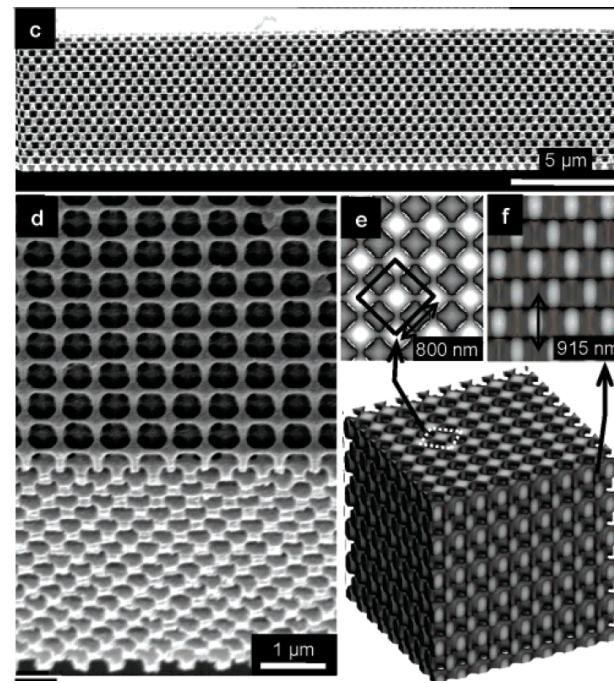


Less effort and lower cost

# Phase Imprint Lithography for 3D Structures



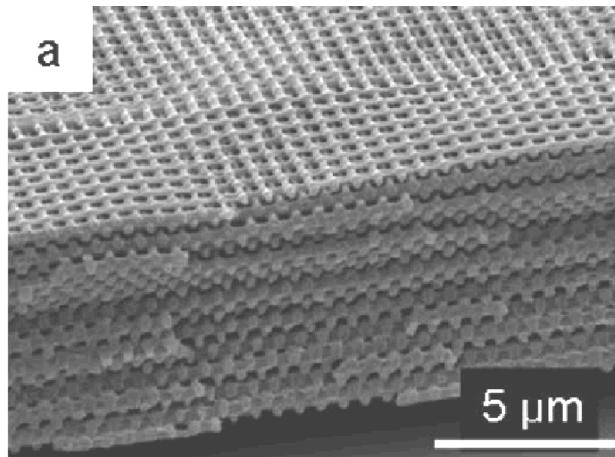
Simple Process: Stamp, Expose, Develop  
Mask (optical phase delay) integrated into the resist.



Efficient phase mask design  
requires modeling both  
forward and backward

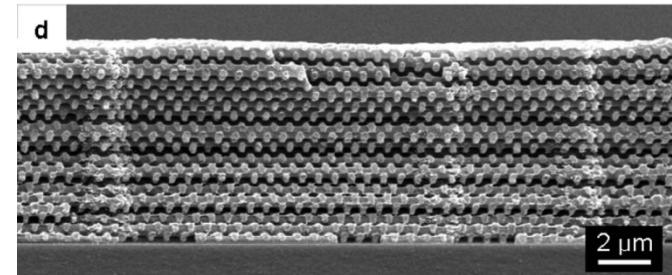


# Improved Processing: Layer by Layer to Single Step With Other Materials

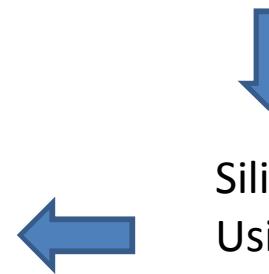
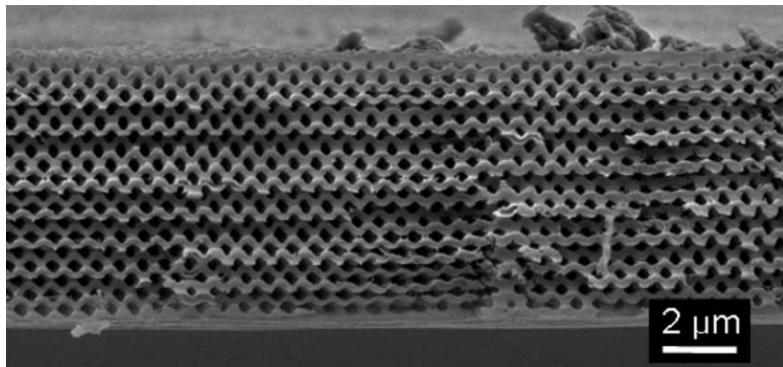


Polymer →

Inverse  
Silicon  
Wood Pile  
Structure



~3D: large area but not very thick



Silicon  
Using ALD  
and CVD

J. Vac. Sci. Technol. B, Vol. 28, No. 4, Jul/Aug 2010 D. Shir, et.al



# Electron Beams: Proven Technology but Oh So SLOW???

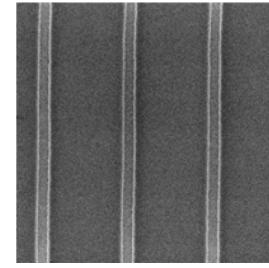
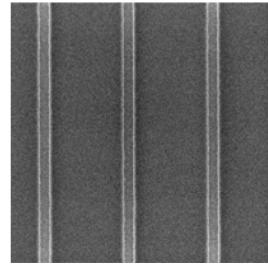
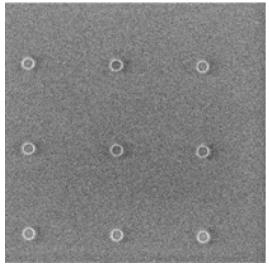
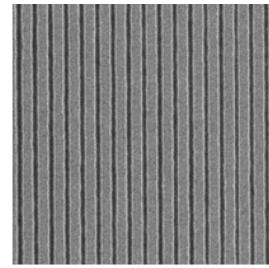
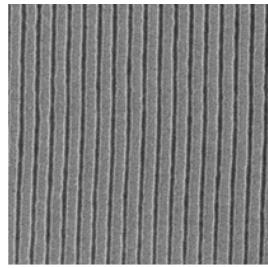
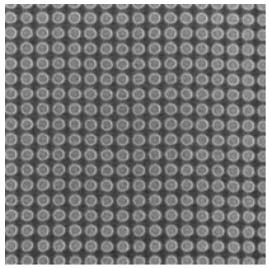
- Current e-beam litho speed - writing a single 300-mm wafer at 60-nm half pitch still takes 20 hours

However:

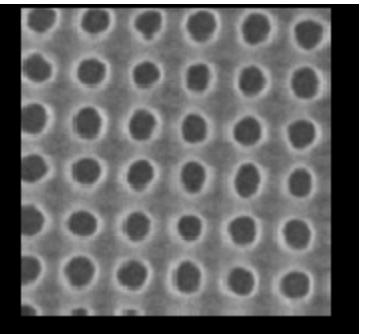
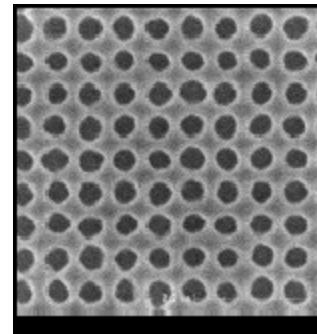
- NuFlare and others make e-beam direct write photo mask writers for flash imprint lithography mold masters.
- Projection Mask-Less Lithography (PLM2) technology has a goal of building E-beam direct write systems that offer 256,000 programmable electron multi-beams of 5 keV energy.
- Mapper e-beam has a throughput goal for its tools of 10 wafers per hour and then cluster 10 tools together in a system that can write 100 wafers per hour. Current tools feature 13,000 electron beams that can be individually switched on and off by means of an optical blanker array and a movable stage.

# Multiple E-Beam Direct Write Lithography System by MAPPER for High Throughput Templates

[www.mapperlithography.com](http://www.mapperlithography.com) Delft, Netherlands



45 nm structures (isolated and dense)



Easily  
Programmable  
defects

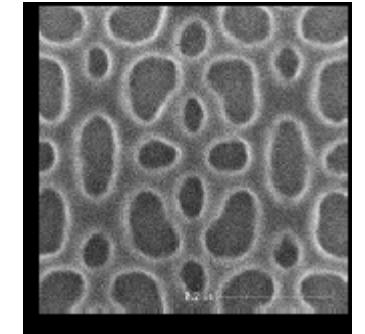


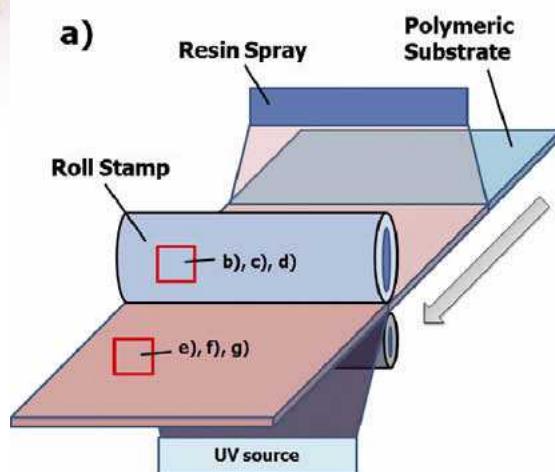
Figure 8 Dense and isolated 45 nm exposures in PMMA at MAPPER's S005 machine. (Center trenches are 'horizontal trenches' and right trenches are 'vertical trenches')

Wieland, et al. 2010 Proc. of SPIE Vol.

7637 76370F-2

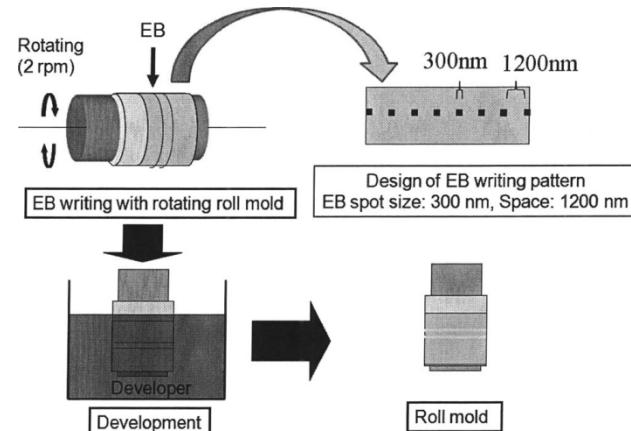
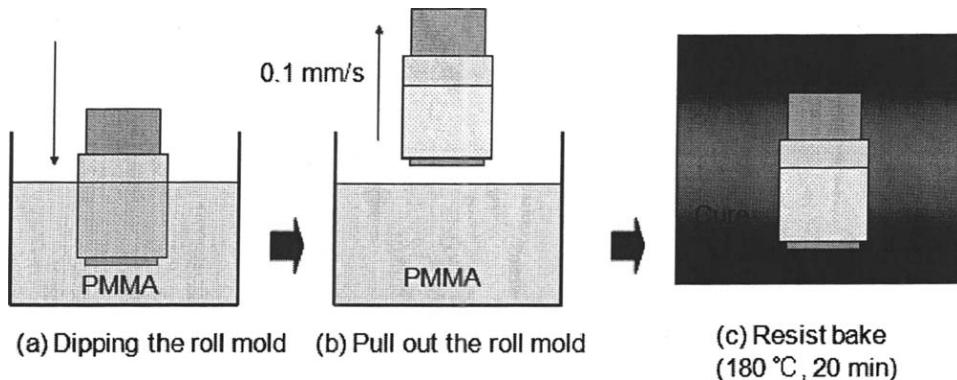
G. R. Bogart, Sandia National Laboratories,  
New Mexico June 2, 2011

# E-Beam Fabricated Stamps Mounted to Rollers



Microelectronic Engineering 86 (2009) 642–645  
Seon-Yong Hwang, Sung-Hoon Hong, Ho-Yong Jung, Heon Lee

## Flexographic (plate on a roller)



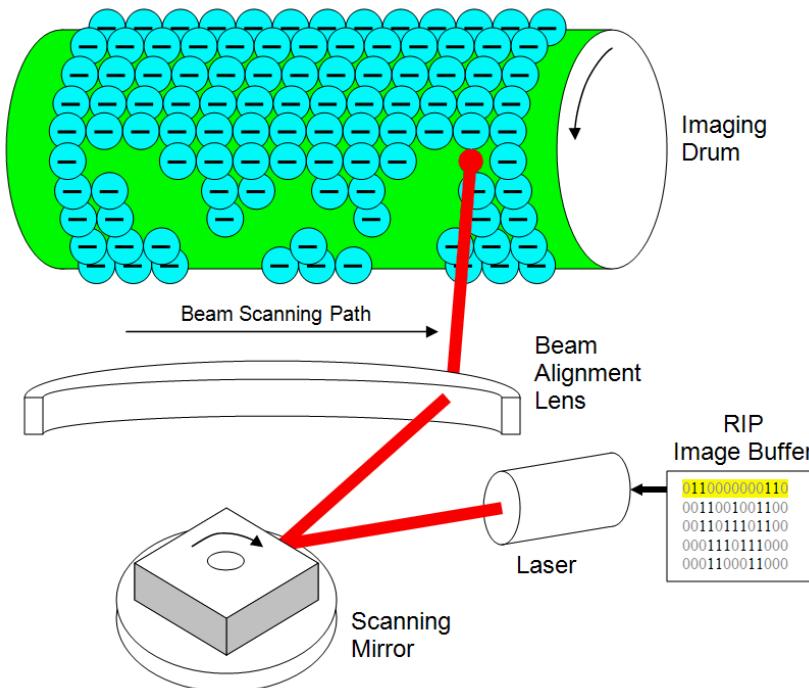
Taniguchi and Aratani, J. Vac. Sci. Technol. B 27,,6..., Nov/Dec 2009

# Where Could Direct Write E-beam be Headed?

Desk top E-beam printer?

Typical Laser Printer Design:

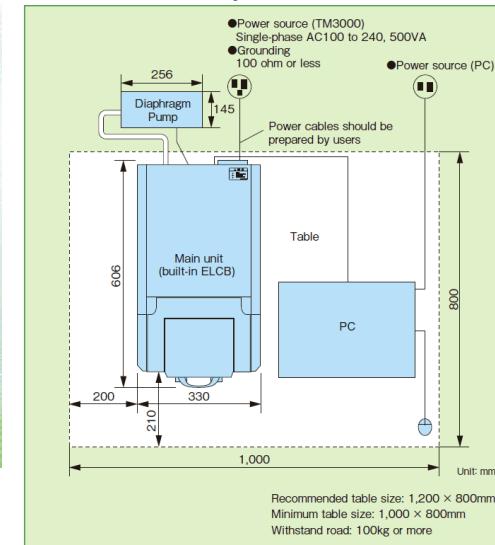
Full activation with deactivation in DW mode.



**Hitachi TM3000 (1'x2')**

Image from Brochure as of presentation date  
Table Top Electron Microscope

Minimum installation layout



Wikimedia

# Additive Technologies: 3D Printers With Plastic Materials for Rapid Prototyping/Mock Ups

Liquid or Powder



Commercial Systems  
150um resolution



Other Than Commercial Vendors  
50um resolution  
claim using a DLP projector

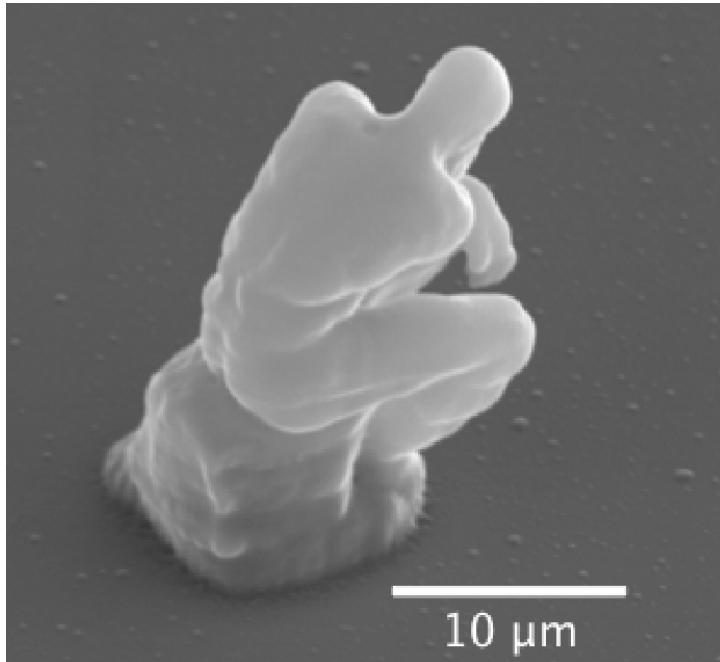
Nickel plated Plastic



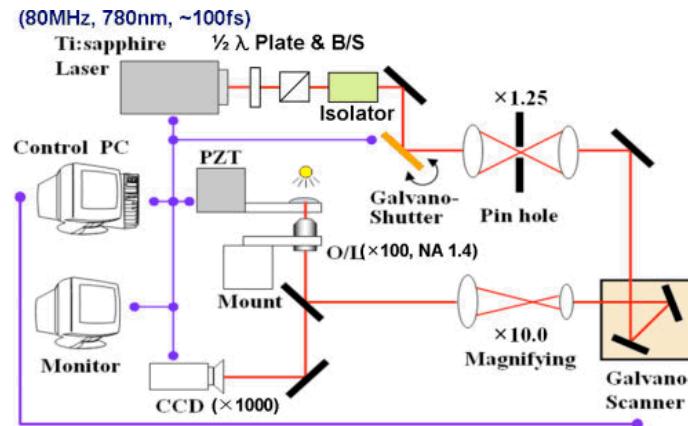
<http://blog.sculpteo.com/2011/04/08/home-made-high-resolution-3d-printer/> Junior Veloso (Singapore)

<http://www.finelineprototyping.com/services/materials.php>

# 3D Direct Write Lithography: Two Photon Stereo Lithography



*Sang-Hu Park et al.,*  
Laser & Photon. Rev. 3,  
No. 1–2, 1–11 (2009)



Resolutions typically 100's nm  
Limited more by voxel (volume  
element of exposure beam) size and  
polymer chemistry

# Other 3D Direct Write Structures Using 2-Photon Exposure

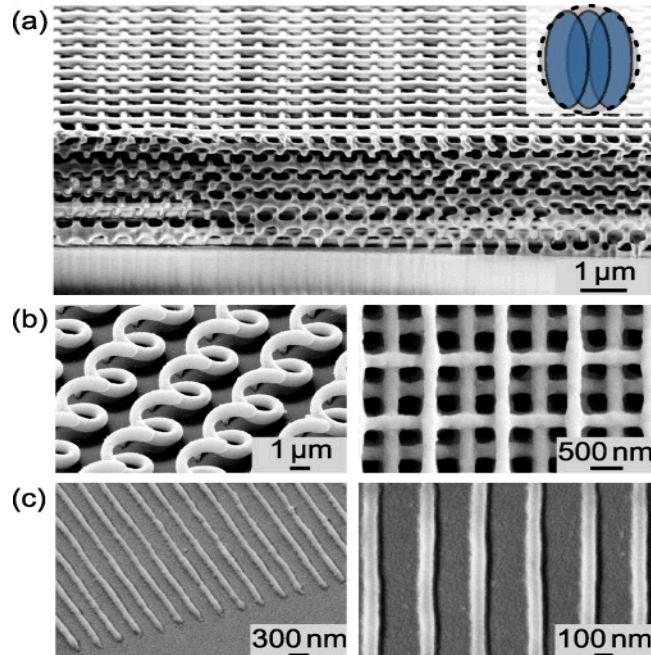


FIG. 2. The gallery of electron micrographs of structures fabricated by 532 nm cw-DLW. (a) 24 layers of  $a = 500$  nm rod spacing woodpile cut by focused-ion-beam milling to reveal the three-dimensional interior IP-L photoresist. (b) Oblique view of SU-8 photoresist...

Thiel, M.; Fischer, J.; von Freymann, G.; Wegener, M , Appl. Phys. Lett. 97, 221102 (2010) American Institute of Physics

Where to now?

- Super Resolution Imaging and Lithography
- Based on Microscopy technique to image single molecules using fluorescent dyes.
- Developed by Stefan Hell, Max Planck Institute.
- Promise of sub-100nm structures in one direction.
- Improvements in polymer chemistry and beam shaping may achieve that in all three.
- Martin Wegener's Group (*Universität Karlsruhe: Karlsruhe, Germany*)

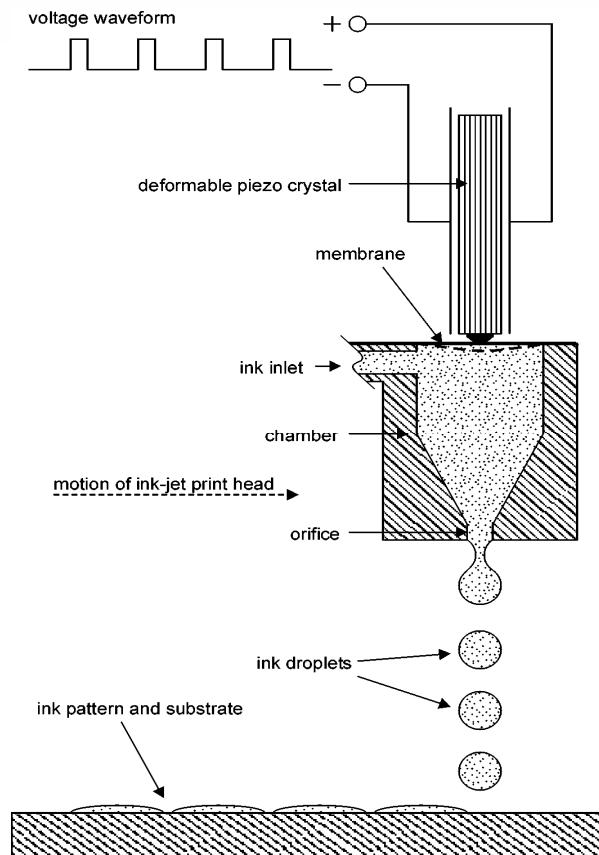
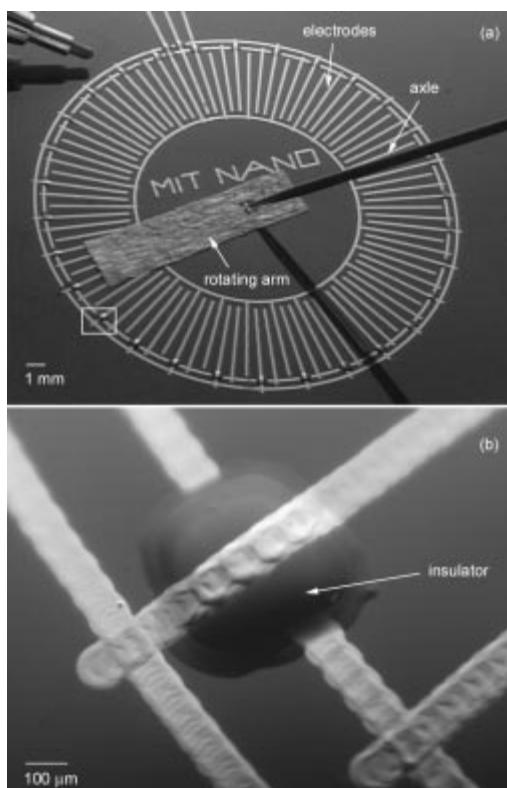
# Ink-Jet Printing: Some Early Work

< 100um final size perhaps  
to 20um Positional accuracy  
better than +/-5um.  
Solvent issues  
Particle size issues (CdSe)  
1-100nm Lower melting  
points  
Sintering temp 300C  
Rheology issues  
Multi-layer demonstrated

Fuji  
Dimatix Materials Printer DMP-2800



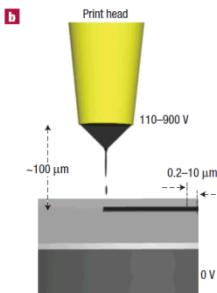
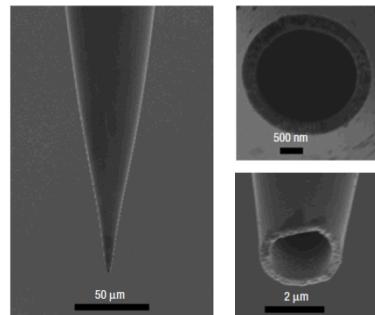
[http://www.dimatix.com/divisions/materials-deposition-division/printer\\_cartridge.asp](http://www.dimatix.com/divisions/materials-deposition-division/printer_cartridge.asp)



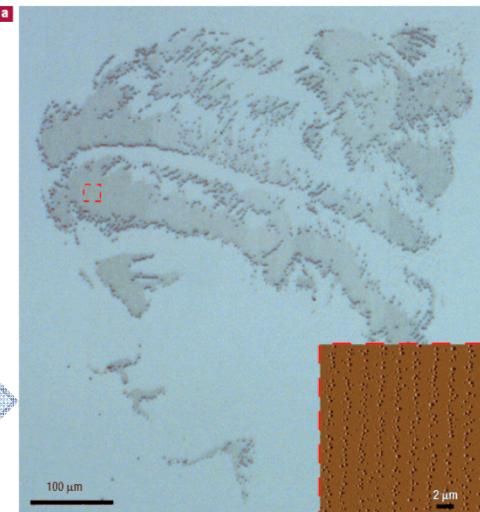
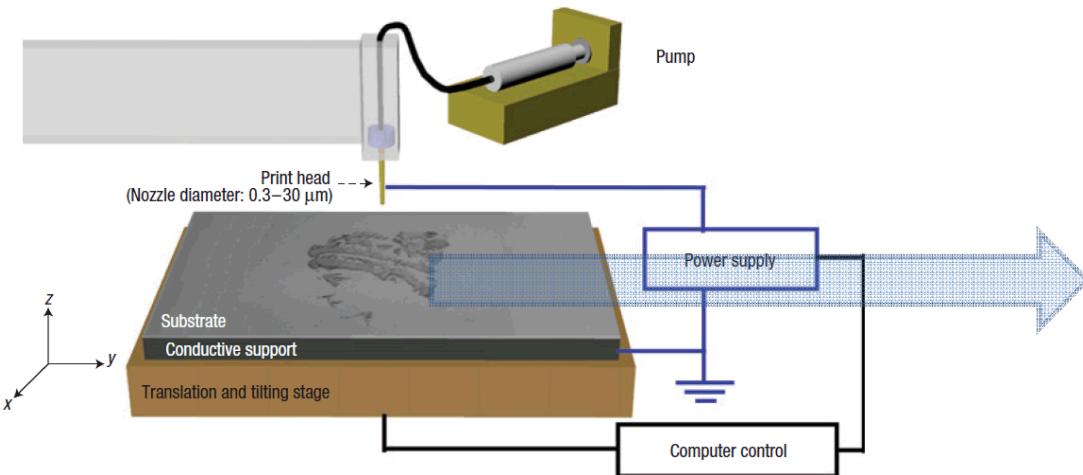
JMEMS, VOL. 11, NO. 1, FEBRUARY 2002  
Sawyer B. Fuller, Eric J. Wilhelm, and  
Joseph M. Jacobson MIT 2002

# Electrohydrodynamic Ink-Jet Printing

E-jet printing: Uses electric fields rather than acoustic/thermal methods to create fluid flow  
Graphic arts dot diameters to 20um. Resolution approaching 0.5um. Printing speeds vary ~100um/sec



Dispensed nanoparticles in solution  
Au, Si, Ferritin for aligned SWNT growth, SWNT themselves. Substrates: Glass, Silicon,



Park, et al. Nature Nanomaterials  
October 2007, V6, PP 782-789



# Where is Inkjet Technology Headed?

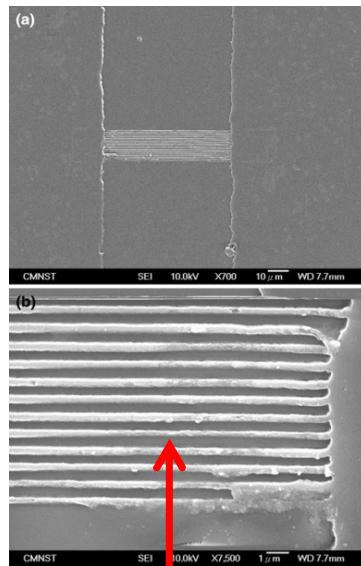
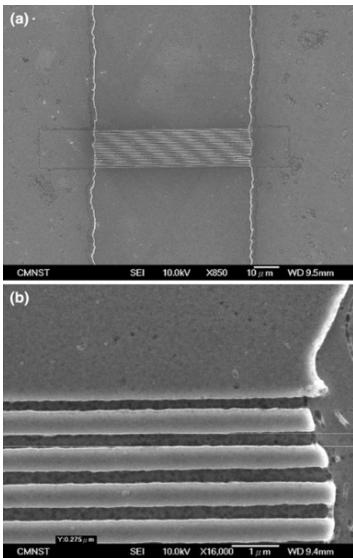


HP T400 Color Inkjet Web Press

**Create new business opportunities with a whole new class of digital production**

Instead of different colors (12) have different materials  
Picoliter (current) to Femtoliter drop sizes

# Subtractive Technologies: Machining and Injection Molding (Drill and Fill)



- Sesame seed sized pellet produces 520 micro molding components
- Microfluidics slots to 0.5 micron (0.000019")
- Total part length of 0.060" (1.5mm)
- Gate diameters as low as 0.002" (0.05mm)
- Overall part weight of 0.00012 grams

Microsyst Technol (2010) 16:941–946

C.-H. Chang W.-B. Young (&  
Department of Aeronautics and Astronautics,  
National Cheng Kung University,  
Tainan 70101, Taiwan, ROC

<http://www.mtdmicromolding.com/micro-molding>

0.5 um channel on 1 um pitch



# Materials:



“Spruce” Goose aka H-4 Hercules

Wikimedia Commons

Materials mentioned so far:

Silicon, copper, aluminum, tungsten, (CMOS fab) plastics, silicone, exotic polymers, mixed matrix, biologicals, ceramics, glass.

Choice depends on:

1. Technology you choose to work in
2. Materials you have available
3. Materials defined by the design



# Lithium Niobate and Processing

Why LiNbO<sub>3</sub>?

excellent electro-optical, acousto-optical, and nonlinear optical properties.

Very difficult to process but the payoffs are high.

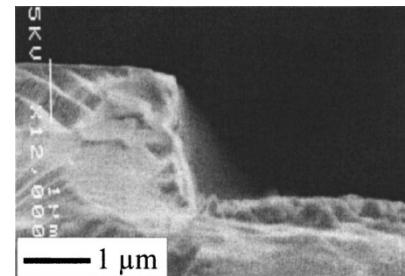
- Pyroelectric
- Piezoelectric

Leverage the optoelectronics industry?

Main processes are etching. Wet and Dry

Wet: Isotropic etch in HF

Dry: Anisotropic but generally rough due to LiF residues generated from fluorine based plasma chemistries.

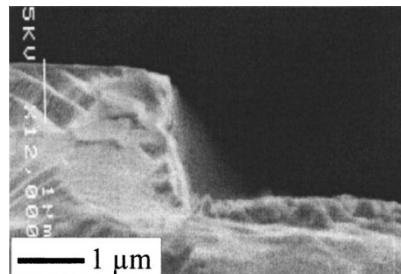


Hu et. al. , J. Vac. Sci. Technol. A, Vol. 24, No. 4, Jul/Aug 2006

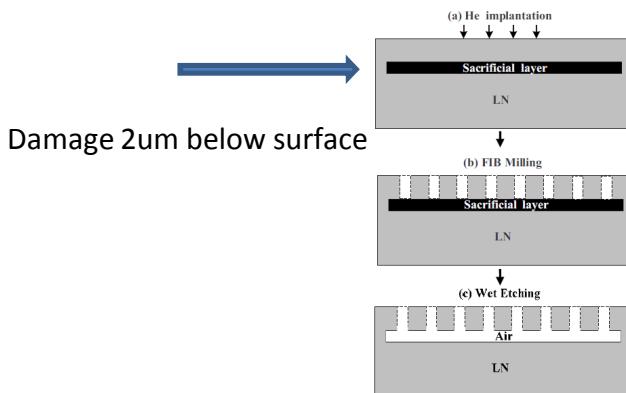
# Processing of LiNbO<sub>3</sub> Lags Other Optical Materials

## Proton Exchange Processing:

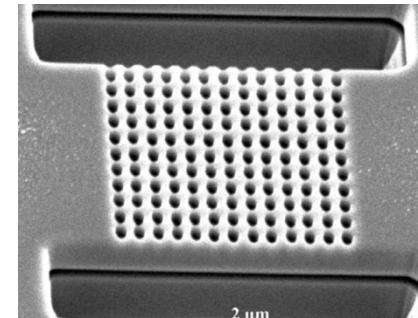
Lithium is substituted with hydrogen to eliminate non-volatile etch species for dry etch processing. (Benzoic acid melt)



Hu et. al., J. Vac. Sci. Technol. A, Vol. 24, No. 4, Jul/Aug 2006

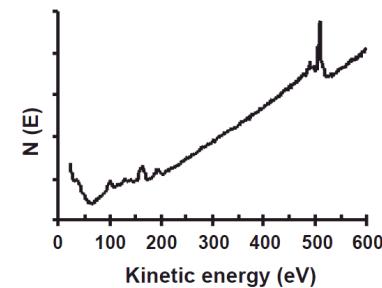


Ion implantation with FIB milling and wet etching allow for suspended membranes.

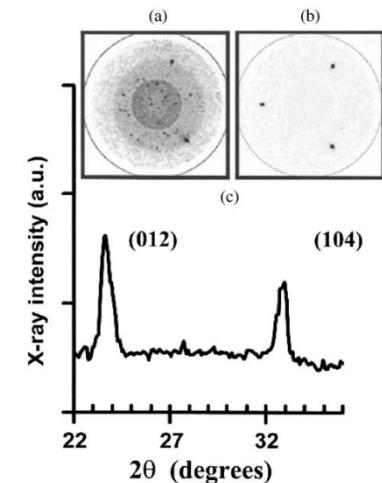


Si et al., J. Vac. Sci. Technol. B 28, 2..., Mar/Apr 2010  
C.R. Bogart, Sandia National Laboratories,  
New Mexico June 2, 2011

CVD: Alcoide precursor deposition, processing, then annealing. High processing temps.



2. Auger electron spectra of amorphous LiNbO<sub>3</sub> films



V. Joshkin et al. / Journal of Crystal Growth 259 (2003) 273–278



# Summary



Location: Rome, Oregon, USA Credit: Ellen Findlay Herdegen

From Sign Spotting Calendar  
April 11, 2011  
Ellen Findlay Herdegen  
Rome, Oregon USA

- Have a vision and dream big!!
- Plan Ahead: Begin thinking about fabrication methods **AT** the time of design not just the materials.
- Pick appropriate technologies to start with. Don't design with "a hope" that the technology you choose to work in will improve by the time you need it.
- Don't forget to look at current technologies for advanced implementations and also keep your eyes open for improvements in the tried and true fabrication methods



# Acknowledgements:

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Mehmet Su, UNM

Amy Rein, SNL

Bruce Burkel, SNL

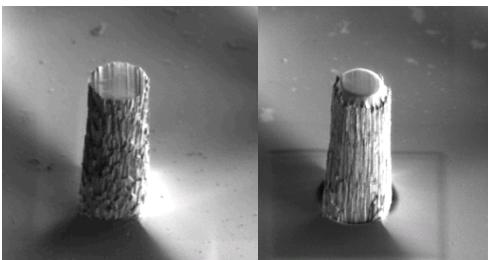
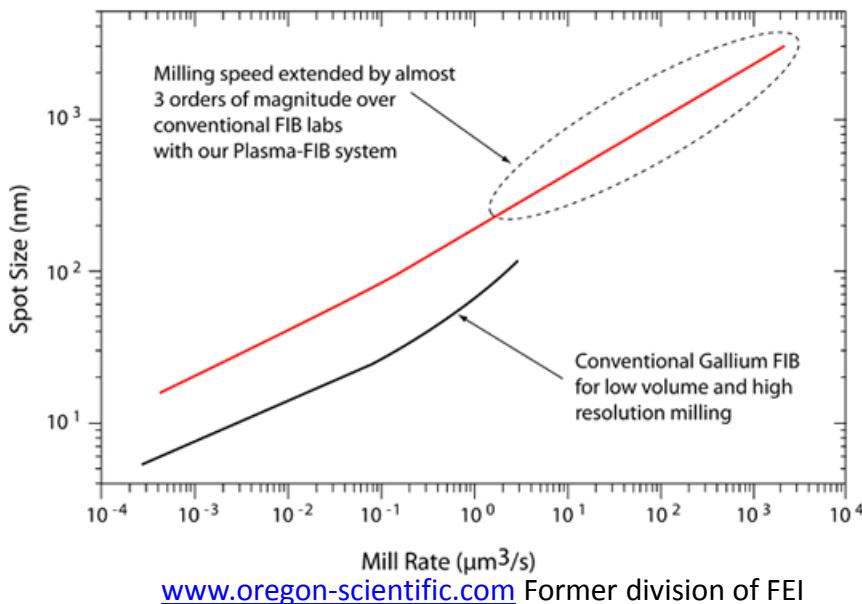
Victor Katsap, NuFlare

Penny Moore, SNL

Anthony Farino, SNL

# Emerging Trends

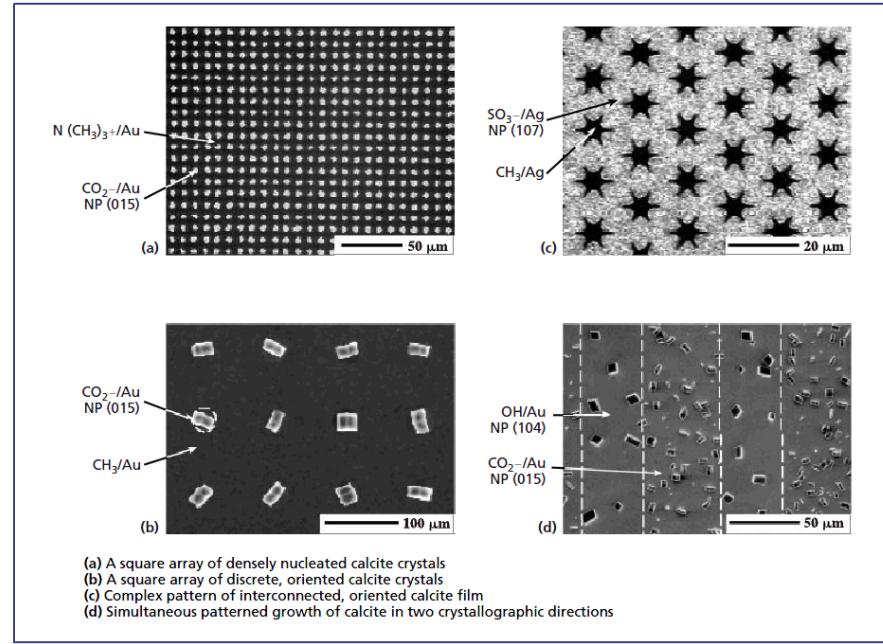
## Fast FIB (gas ions not liquid metals)



DRIE pillar

Shaping 30 sec

## Selective Crystal growth (Zeolites, biomimetics)



J. Aizenberg, Bell Labs Technical Journal 2005 P. 138

G. R. Bogart, Sandia National Laboratories,  
New Mexico June 2, 2011

# Phase Mask Master Design Using Modeling

If I have a 3D structure, what does the 2D phase mask need to look like?

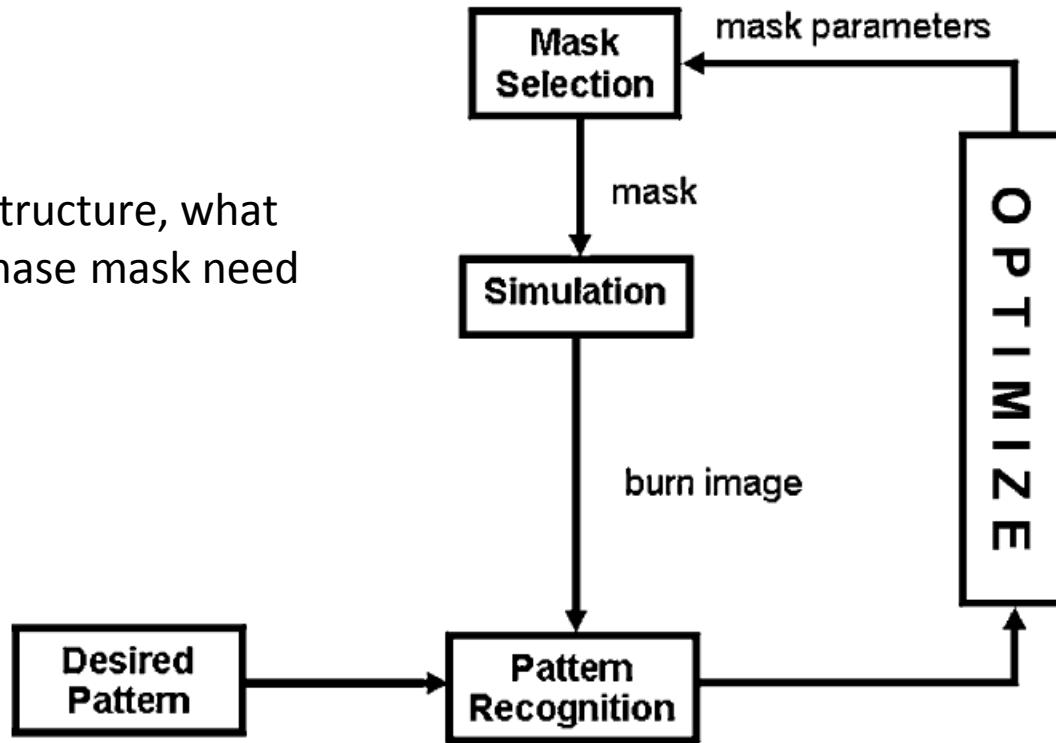
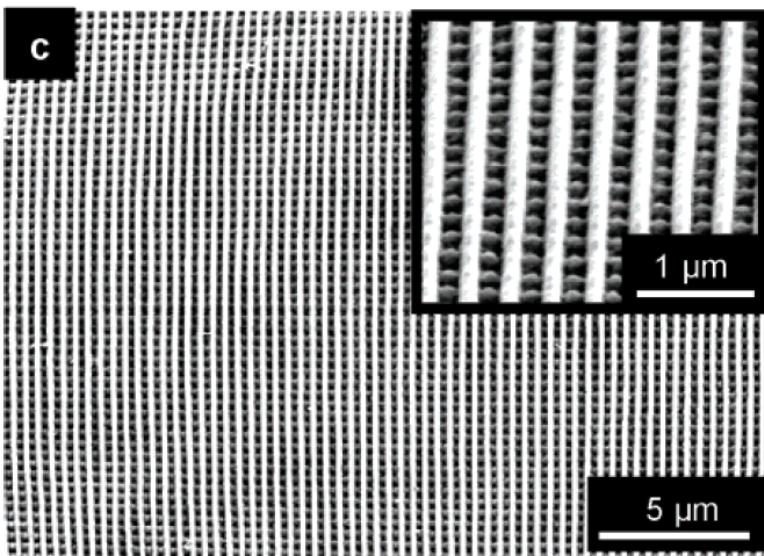
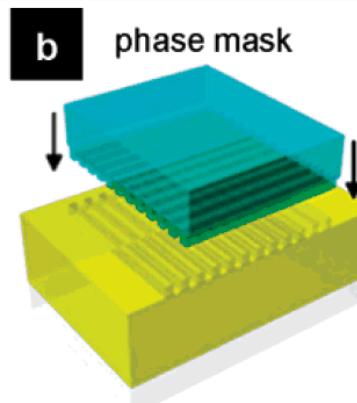
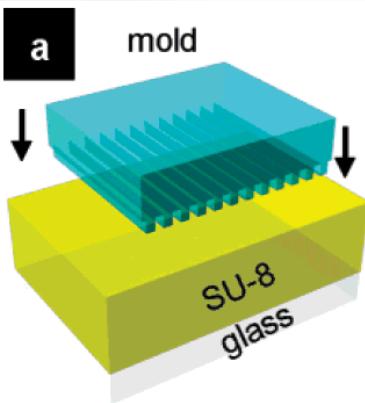


Fig. 1. Schematic illustrating the components of the integrated tool.

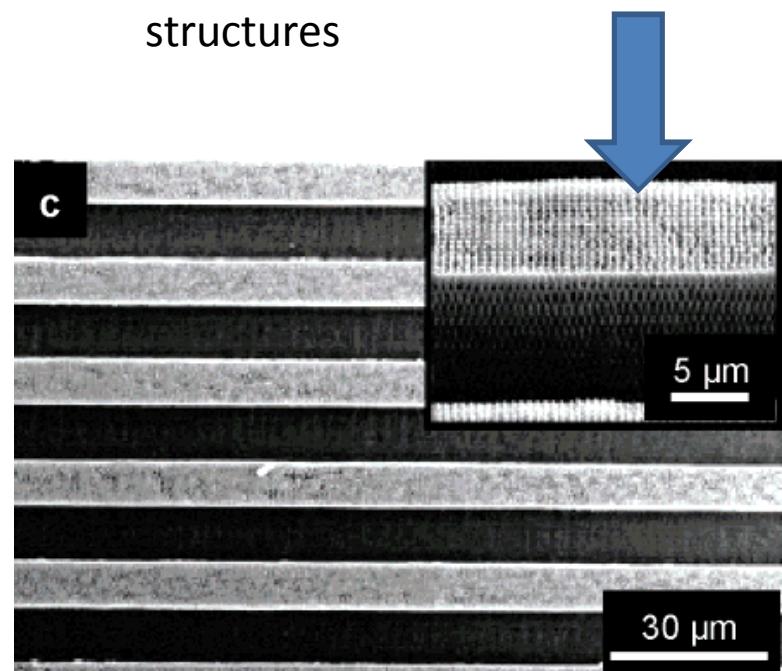
M.F. Su et al. / Photonics and Nanostructures – Fundamentals and Applications 6 (2008) 69–80



# Multiple Phase Mask Imprints

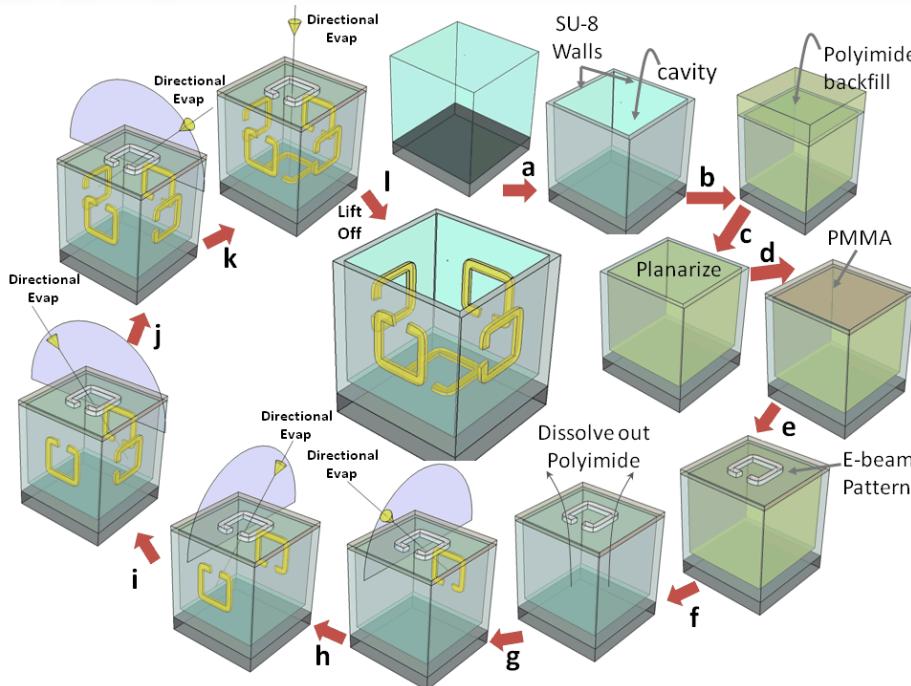


Periodic structures within periodic structures

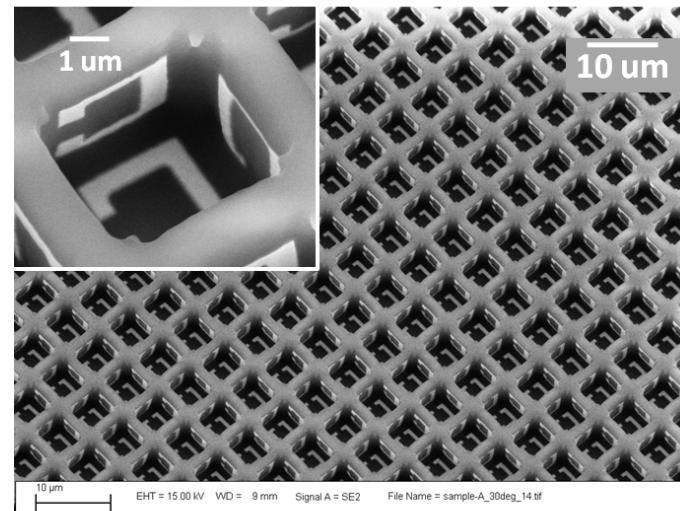


# Membrane Projection Lithography

## Scaled Metamaterial at 6um with 5 walls patterned



D. Bruce Burckel, Joel R. Wendt, Gregory A. Ten Eyck, James C. Ginn, A. Robert Ellis, Igal Brener, and Michael B. Sinclair,  
"Micrometer-Scale Cubic Unit Cell 3D Metamaterial Layers"  
Advanced Materials, vol 22, pp 5053-5057, (2010).



Angled evaporation with a suspended stencil.  
5mm x 5mm current size. Resonator 0.7um width. Process could be adapted to traditional CMOS tool set.

G. R. Bogart, Sandia National Laboratories,  
New Mexico June 2, 2011